Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
Henry O Schaefer Family Foundation

A Employer identification number
03-0503064

Number and street (or P O box number if mail is not delivered to street address) Room/suite
101 Tanglewood Drive

B Telephone number (see instructions)
(802) 658-4776

City or town, state or province, country, and ZIP or foreign postal code
Colchester, VT 05446

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 1,083,568

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	20,244	20,244		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	18,614			
	b	Gross sales price for all assets on line 6a 357,896				
	7	Capital gain net income (from Part IV, line 2)		18,614		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	38,858	38,858		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	20,000	10,000		10,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	1,500			1,500
	b	Accounting fees (attach schedule)	2,785			2,785
	c	Other professional fees (attach schedule)	12,577	12,577		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,155	671		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	39,017	23,248		14,285
	25	Contributions, gifts, grants paid	39,449			39,449
	26	**Total expenses and disbursements.** Add lines 24 and 25	78,466	23,248		53,734
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-39,608			
	b	**Net investment income** (if negative, enter -0-)		15,610		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	22,603	70,262	70,262
	3	Accounts receivable ▶ ; Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ ; Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ; Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,047,285	960,018	1,013,306
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ ; Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ ; Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,069,888	1,030,280	1,083,568
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,069,888	1,030,280	
	30	**Total net assets or fund balances** (see instructions)	1,069,888	1,030,280	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,069,888	1,030,280	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,069,888
2	Enter amount from Part I, line 27a	2	-39,608
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,030,280
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,030,280

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Capital Gain Distribution			
b	Publicly traded securities - ST			
c	Publicly traded securities - LT			
d	Publicly traded securities - LT			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,163			3,163
b	37,117		35,780	1,337
c	288,925		287,907	1,018
d	28,691		15,595	13,096
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				3,163
b				1,337
c				1,018
d				13,096
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	18,614
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	37,805	1,109,246	0 03408
2014	33,630	1,140,079	0 02950
2013	33,301	952,194	0 03497
2012	21,750	639,917	0 03399
2011	18,943	434,899	0 04356

2	**Total** of line 1, column (d)	2	0 176099
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 035220
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,071,713
5	Multiply line 4 by line 3	5	37,746
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	156
7	Add lines 5 and 6	7	37,902
8	Enter qualifying distributions from Part XII, line 4	8	53,734

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary–see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	156
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	156
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	156
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	156
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ — Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶Inge Schaefer Telephone no ▶(802) 658-4776

Located at ▶101 Tanglewood Drive Colchester VT ZIP+4 ▶05446

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____, 20____, 20____, 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____, 20____, 20____, 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Henry R Schaefer 5030 Crossbow Circle Roanoke, VA 24014	Director 1 00	5,000		
Thomas Schaefer 48 Cabot Court South Burlington, VT 05403	Director 1 00	5,000		
Peter Schaefer 1138 N 82nd Street Seattle, WA 98103	Director 1 00	5,000		
Inge Schaefer 101 Tanglewood Drive Colchester, VT 05446	Director 1 00	5,000		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	1,041,806
b	Average of monthly cash balances		1b	46,228
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	1,088,034
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	1,088,034
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	16,321
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,071,713
6	**Minimum investment return.** Enter 5% of line 5		6	53,586

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	53,586
2a	Tax on investment income for 2016 from Part VI, line 5	2a 156		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	156
3	Distributable amount before adjustments Subtract line 2c from line 1		3	53,430
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	53,430
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	53,430

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	53,734
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	53,734
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	156
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	53,578

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					53,430
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.					
b Total for prior years 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2016					
a From 2011.					
b From 2012.					
c From 2013.					
d From 2014.					
e From 2015.					
f **Total** of lines 3a through e.					
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 53,734					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					53,430
e Remaining amount distributed out of corpus		304			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		304			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		304			
10 Analysis of line 9					
a Excess from 2012. . . .					
b Excess from 2013. . . .					
c Excess from 2014. . . .					
d Excess from 2015. . . .					
e Excess from 2016. . . .	304				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				39,449
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	20,244	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	18,614	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				38,858	

13 Total. Add line 12, columns (b), (d), and (e) 13 38,858

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-05-12	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Elaine G Tornello CPA				P01217378
Firm's name ▶ Grippin Donlan & Pinkham PLC				Firm's EIN ▶
Firm's address ▶ 3 Baldwin Avenue South Burlington, VT 05403				Phone no (802) 846-2000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Turning Point Center 191 Bank Street Burlington, VT 05401	None	PC	Unrestricted grant for donee's exempt purpose	5,200
Raleigh Crt Presbyterian Church 1837 Grandin Road Roanoke, VA 24015	None	PC	Unrestricted grant for donee's exempt purpose	3,500
UVM 110 Main Street Burlington, VT 05401	None	PC	Renovation and restoration of Royale Tyler Theatre	10,000
School of Life International Fund 1 Angle Street Asheville, NC 28803	None	PC	Unrestricted grant for donee's exempt purpose	2,500
Vermont Historical Society 60 Washington Street Barre, VT 05641	None	PC	Building debt reduction	1,000
Northwest Seaport PO Box 9744 Seattle, WA 98109	None	PC	Unrestricted grant for donee's exempt purpose	250
Farmingdale State College 2350 Broad Hollow Road Farmingdale, NY 11735	None	PC	Unrestricted grant for donee's exempt purpose	500
Pi Beta Phi Foundation 1701 Elm Avenue Norman, OK 73072	None	PC	Unrestricted grant for donee's exempt purpose	500
NW Youth Music Association 3633 136th Place SE Suite 100 Bellevue, WA 98006	None	PC	Student scholarships	1,000
ColchesterMilton Rotary Club PO Box 82 Colchester, VT 05446	None	PC	Unrestricted grant for donee's exempt purpose	250
Lutheran World Relief 700 Light Street Baltimore, MD 21230	None	PC	Unrestricted grant for donee's exempt purpose	275
Colchester Athletic Association 1 Laker Lane Colchester, VT 05446	None	PC	Unrestricted grant for donee's exempt purpose	700
Vermont Humanities 11 Loomis Street Montpelier, VT 05602	None	PC	Unrestricted grant for donee's exempt purpose	674
University of Oklahoma 633 Elm Street Norman, OK 73919	None	PC	Unrestricted grant for donee's exempt purpose	2,000
Pawnee Bill Ranch Association PO Box 493 Pawnee, OK 74058	None	PC	Unrestricted grant for donee's exempt purpose	500
Total . . . . ▶ **3a**				39,449

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Vermont Shakespeare Festival PO Box 64733 Burlington, VT 05406	None	PC	Unrestricted grant for donee's exempt purpose	100
The Denver Children Home 1501 Albion Street Denver, CO 80220	None	PC	Unrestricted grant for donee's exempt purpose	2,000
Vermont Ibutwa Initative 38 South Winooski Avenue Burlington, VT 05401	None	PC	Unrestricted grant for donee's exempt purpose	300
Mercy Connection 255 South Champlain Street Burlington, VT 05401	None	PC	Unrestricted grant for donee's exempt purpose	250
Wounded Warrior Program 4899 Belfort Road Suite 300 Jacksonville, FL 32256	None	PC	Unrestricted grant for donee's exempt purpose	100
Vermont Veterans Militia Museum 789 Vermont National Guard Road Colchester, VT 05446	None	PC	Unrestricted grant for donee's exempt purpose	100
Osher Lifelong Learning Institute 460 South Prospect Street Burlington, VT 05401	None	PC	Unrestricted grant for donee's exempt purpose	500
Vermont Adaptive Ski Sports Assoc PO Box 139 Killington, VT 05751	None	PC	Unrestricted grant for donee's exempt purpose	100
West End Center for Youth PO Box 4562 Roanoke, VA 24015	None	PC	Unrestricted grant for donee's exempt purpose	1,000
South Burlington HS Academic Founda 550 Dorset Street South Burlington, VT 05403	None	PC	Unrestricted grant for donee's exempt purpose	500
Vermont Foundation of Recovery 62A Rolling Meadows Lane Waterbury, VT 05676	None	PC	Unrestricted grant for donee's exempt purpose	2,000
Josh Pallotta Fund PO Box 195 Bakersfield, VT 05441	None	PC	Unrestricted grant for donee's exempt purpose	1,000
A New Place 89 North Street Burlington, VT 05401	None	PC	Unrestricted grant for donee's exempt purpose	300
Woodland Zoo 601 N 59th Street Seattle, WA 98103	None	PC	Unrestricted grant for donee's exempt purpose	1,000
Camp Ta-Kum Ta PO Box 459 South Hero, VT 05486	None	PC	Unrestricted grant for donee's exempt purpose	300
Total . . . . ▶ **3a**				39,449

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COTS PO Box 1616 Burlington, VT 05402	None	PC	Unrestricted grant for donee's exempt purpose	100
Lyric Theatre PO Box 1688 Williston, VT 05495	None	PC	Unrestricted grant for donee's exempt purpose	300
St Joseph's Indian School PO Box 326 Chamberlain, SD 57326	None	PC	Unrestricted grant for donee's exempt purpose	100
Ascentria Care Alliance 261 Sheep Davis Road A-1 Concord, NH 03301	None	PC	Unrestricted grant for donee's exempt purpose	300
Maple Leaf Farm 10 Maple Leaf Road Underhill, VT 05489	None	PC	Unrestricted grant for donee's exempt purpose	250
Total . . . . ▶ 3a				39,449

TY 2016 Accounting Fees Schedule

Name: Henry O Schaefer Family Foundation

EIN: 03-0503064

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tax preparation and tax advice	2,785	0	0	2,785

TY 2016 Legal Fees Schedule

Name: Henry O Schaefer Family Foundation

EIN: 03-0503064

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Retainer for advice and general support	1,500	0	0	1,500

TY 2016 Other Professional Fees Schedule

Name: Henry O Schaefer Family Foundation

EIN: 03-0503064

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Advisory Fees #1202	12,577	12,577	0	0

TY 2016 Taxes Schedule

Name: Henry O Schaefer Family Foundation

EIN: 03-0503064

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Tax	1,234			
Foreign Tax	671	671		
NY Registration Fee	250			

Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2016

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Investment Advisory Account

Investment Objectives †: Capital Appreciation, Income

† Inform us if your investment objectives, as defined in the Expanded Di

HOLDINGS

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ADOBE SYSTEMS (ADBE)	8/25/15	9 000	$76 675	$102.950	$690 08	$926 55	$236 47 LT		
	8/26/15	5 000	76.142	102 950	380.71	514.75	134 04 LT		
	2/8/16	1 000	74 330	102 950	74 33	102.95	28.62 ST		
	2/12/16	10 000	76.593	102 950	765.93	1,029.50	263.57 ST		
	Total	25 000			1,911 05	**2,573.75**	370.51 LT 292.19 ST	—	—

Asset Class: Equities

Security Mark
at Right

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AETNA INC (NEW)(CT) (AET)	11/23/15	7 000	106 202	124 010	743 41	868 07	124 66 LT		
	11/24/15	9 000	105 812	124 010	952 31	1,116 09	163 78 LT		
	12/4/15	1 000	102 790	124.010	102 79	124 01	21 22 LT		
	2/12/16	4 000	98 425	124 010	393 70	496 04	102 34 ST		
	Total	21 000			2,192 21	**2,604.21**	309 66 LT 102 34 ST	21 00	0 80
Next Dividend Payable 01/2017; Asset Class Equities									
AIR PROD & CHEM INC (APD)	10/4/13	4 000	97 098	143 820	388 39	575 28	186 89 LT		
	12/18/13	5 000	98 158	143 820	490 79	719 10	228 31 LT		
	4/11/14	2 000	105 590	143 820	211 18	287 64	76 46 LT		
	Total	11 000			1,090 36	**1,582.02**	491 66 LT	38 00	2 40
Next Dividend Payable 02/13/17, Asset Class: Equities									
AKAMAI TECHNOLOGIES INC (AKAM)	11/8/13	16 000	44 766	66 680	716 25	1,066.88	350 63 LT		
	12/18/13	23 000	46 490	66 680	1,069 27	1,533 64	464 37 LT		
	8/15/14	6 000	59 470	66 680	356 82	400 08	43 26 LT		
	1/22/16	6 000	46 970	66 680	281 82	400 08	118 26 ST		
	9/29/16	7 000	52 869	66 680	370 08	466 76	96 68 ST		
	Total	58 000			2,794 24	**3,867.44**	858 26 LT 214 94 ST	—	—
Asset Class: Equities									
ALEXION PHARM INC (ALXN)	1/28/16	3 000	145 750	122 350	437 25	367 05	(70 20) ST		
	2/1/16	1 000	142 750	122 350	142 75	122 35	(20 40) ST		
	2/3/16	4 000	141 985	122 350	567 94	489 40	(78 54) ST		
	2/12/16	1 000	138 870	122 350	138 87	122 35	(16 52) ST		
	6/20/16	4 000	125 675	122 350	502 70	489 40	(13 30) ST		
	6/21/16	4 000	123 938	122 350	495 75	489 40	(6 35) ST		
	6/27/16	1 000	112 440	122 350	112 44	122 35	9 91 ST		
	9/8/16	2 000	128 990	122 350	257 98	244 70	(13 28) ST		
	Total	20 000			2,655 68	**2,447.00**	(208 68) ST	—	—
Asset Class: Equities									
ALPHABET INC CL A (GOOGL)	4/8/13	2 000	386 243	792 450	772 49	1,584 90	812 41 LT		
	12/18/13	2 000	537 195	792 450	1,074 39	1,584 90	510 51 LT		
	Total	4 000			1,846 88	**3,169.80**	1,322 92 LT	—	—
Asset Class: Equities									

004645 MSGDT173 015944

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ALPHABET INC CL C (GOOG)	4/8/13	1.000	382 615	771 820	382 62	771.82	389 20 LT		
	12/18/13	2 000	535 475	771 820	1,070 95	1,543 64	472 69 LT		
	1/12/15	2.000	489 895	771 820	979 79	1,543 64	563 85 LT		
	6/23/16	1 000	698 250	771 820	698 25	771 82	73 57 ST		
	Total	6 000			3,131.61	**4,630.92**	1,425.74 LT 73.57 ST	—	—
Asset Class. Equities									
ALTRIA GROUP INC (MO)	10/4/13	17 000	34 670	67 620	589 39	1,149 54	560 15 LT		
	11/8/13	2 000	37 400	67 620	74 80	135 24	60 44 LT		
	12/18/13	14 000	37 820	67 620	529 48	946 68	417 20 LT		
	4/11/14	7 000	37 840	67 620	264 88	473 34	208 46 LT		
	Total	40.000			1,458.55	**2,704.80**	1,246.25 LT	98 00	3 62
Next Dividend Payable 01/10/17, Asset Class: Equities									
AMAZON COM INC (AMZN)	4/8/13	1 000	258 130	749 870	258 13	749 87	491 74 LT		
	12/18/13	4 000	391 600	749 870	1,566 40	2,999.48	1,433 08 LT		
	4/11/14	3 000	314 000	749 870	942 00	2,249.61	1 307 61 LT		
	Total	8 000			2,766 53	**5,998.96**	3,232 43 LT	—	—
Asset Class: Equities									
AMERICAN EXPRESS CO (AXP)	10/4/13	8 000	74 110	74 080	592 88	592 64	(0.24) LT		
	10/4/13	1 000	74 110	74 080	74 11	74 08	(0.03) LT		
	11/8/13	1 000	81 170	74 080	81 17	74 08	(7.09) LT		
	12/18/13	11 000	84 960	74 080	934 56	814 88	(119.68) LT		
	4/11/14	5 000	84 950	74 080	424 75	370 40	(54.35) LT		
	7/6/15	5 000	77 583	74 080	387 91	370 40	(17.51) LT		
	7/6/15	7.000	77 583	74 080	543.08	518 56	(24 52) LT		
	7/6/15	1.000	77 583	74 080	77 58	74 08	(3 50) LT		
	7/7/15	2.000	77 235	74 080	154 47	148 16	(6 31) LT		
	7/7/15	10 000	77 236	74 080	772 36	740 80	(31 56) LT		
	7/7/15	2.000	77 236	74 080	154.47	148 16	(6 31) LT		
	7/8/15	5.000	76 352	74 080	381 76	370 40	(11 36) LT		
	7/27/15	15 000	74 733	74 080	1,121 00	1,111 20	(9 80) LT		
	8/26/15	5 000	74 902	74 080	374.51	370 40	(4.11) LT		
	8/26/15	2 000	74.900	74 080	149 80	148 16	(1.64) LT		
	10/22/15	3 000	72 330	74 080	216 99	222 24	5 25 LT		
	Total	83 000			6,441 40	**6,148.64**	(292 76) LT	107 00	1 74
Next Dividend Payable 02/2017; Asset Class: Equities									

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AMERICAN TOWER REIT COM (AMT)	10/4/13	13 000	72 525	105 680	942 83	1,373 84	431 01 LT		
	11/8/13	1 000	78 510	105 680	78 51	105 68	27 17 LT		
	12/18/13	6 000	77 300	105 680	463 80	634 08	170 28 LT		
	4/11/14	3 000	81 240	105 680	243 72	317 04	73 32 LT		
	Total	23 000			1,728 86	**2,430.64**	701 78 LT	53 00	2 18
Next Dividend Payable 01/13/17 Asset Class Alt									
AMERISOURCEBERGEN CORP (ABC)	4/22/16	4 000	91 728	78 190	366 91	312 76	(54 15) ST		
	4/25/16	5 000	91 750	78 190	458 75	390 95	(67 80) ST		
	4/25/16	3 000	91 427	78 190	274 28	234 57	(39 71) ST		
	4/26/16	5 000	91 600	78 190	458 00	390 95	(67 05) ST		
	6/30/16	8 000	79 020	78 190	632 16	625 52	(6 64) ST		
	Total	25 000			2,190 10	**1,954.75**	(235 35) ST	37 00	1 89
Next Dividend Payable 03/2017, Asset Class. Equities									
AMGEN INC (AMGN)	4/24/14	12 000	113 821	146 210	1,365 85	1,754 52	388 67 LT		
	5/23/14	8 000	114 348	146 210	914 78	1 169 68	254 90 LT		
	Total	20 000			2,280 63	**2,924.20**	643 57 LT	92 00	3 14
Next Dividend Payable 03/2017, Asset Class. Equities									
ANHEUSER BUSCH INBEV SA SPON (BUD)	12/23/11	1 000	59 961	105 440	59 96	105 44	45 48 LT		
	6/27/13	6 000	90 142	105 440	540 85	632 64	91 79 LT		
	10/4/13	5 000	98 700	105 440	493 50	527 20	33 70 LT		
	11/8/13	2 000	100 450	105 440	200 90	210 88	9 98 LT		
	11/8/13	1 000	100 450	105 440	100 45	105 44	4 99 LT		
	12/18/13	5 000	102 800	105 440	514 00	527 20	13 20 LT		
	12/18/13	10 000	102 810	105 440	1,028 10	1,054 40	26 30 LT		
	8/15/14	3 000	110 450	105 440	331 35	316 32	(15 03) LT		
	11/2/16	3 000	114 723	105 440	344 17	316 32	(27 85) ST		
	Total	36 000			3,613 28	**3,795.84**	210 41 LT (27 85) ST	115 00	3 04
Asset Class: Equities									
ANTHEM INC COM (ANTM)	10/4/13	10 000	87 370	143 770	873 70	1,437 70	564 00 LT		
	12/18/13	5 000	88 500	143 770	442 50	718 85	276 35 LT		
	1/29/14	7 000	86 946	143 770	608 62	1,006 39	397 77 LT		
	4/11/14	2 000	95 330	143 770	190 66	287 54	96 88 LT		
	Total	24 000			2,115 48	**3,450 48**	1,335 00 LT	62 00	1 79
Next Dividend Payable 03/2017; Asset Class: Equities									

004645 MSGDT173 015945

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
APPLE INC (AAPL)	6/27/13	5 000	56 423	115 820	282.11	579 10	296.99 LT		
	12/18/13	14.000	77 909	115 820	1 090 72	1,621.48	530.76 LT		
	Total	19 000			1,372 83	**2,200.58**	827.75 LT	43 00	1 95
Next Dividend Payable 02/2017, Asset Class. Equities									
BANK OF AMERICA CORP (BAC)	12/1/16	90 000	21 435	22 100	1,929.11	**1,989.00**	59 89 ST	27 00	1 35
Next Dividend Payable 03/2017; Asset Class: Equities									
BANK OF NEW YORK MELLON CORP (BK)	10/4/13	25.000	30 530	47 380	763 25	1,184 50	421.25 LT		
	11/8/13	3.000	32 090	47 380	96 27	142 14	45.87 LT		
	12/18/13	12.000	33 470	47 380	401 64	568.56	166.92 LT		
	4/11/14	7.000	32 960	47 380	230 72	331.66	100 94 LT		
	Total	47 000			1,491 88	**2,226.86**	734.98 LT	36 00	1 61
Next Dividend Payable 02/2017; Asset Class: Equities									
BIOGEN INC COM (BIIB)	12/23/11	6.000	110 670	283.580	664 02	1,701.48	1,037 46 LT		
	11/8/13	2.000	233 120	283 580	466 24	567 16	100.92 LT		
	12/18/13	2 000	276 740	283 580	553.48	567 16	13.68 LT		
	7/15/16	1 000	254 280	283 580	254.28	283 58	29 30 ST		
	Total	11.000			1,938.02	**3,119.38**	1,152 06 LT 29 30 ST	—	—
Asset Class: Equities									
BLACKROCK INC (BLK)	2/7/13	1 000	236 925	380 540	236.92	380.54	143 62 LT		
	4/8/13	2 000	247 940	380 540	495.88	761.08	265 20 LT		
	4/8/13	3 000	248 010	380 540	744 03	1,141 62	397 59 LT		
	12/18/13	3.000	303.650	380 540	910 95	1,141.62	230 67 LT		
	8/15/14	1.000	316 460	380 540	316 46	380 54	64.08 LT		
	Total	10.000			2,704.24	**3,805.40**	1,101 16 LT	92 00	2.41
Next Dividend Payable 03/2017, Asset Class: Equities									
CAPITAL ONE FINANCIAL CORP (COF)	10/4/13	14 000	70 220	87 240	983 08	1,221.36	238 28 LT		
	11/8/13	2 000	69 365	87 240	138.73	174.48	35 75 LT		
	12/18/13	7.000	72 310	87.240	506.17	610.68	104 51 LT		
	4/11/14	3 000	73 893	87 240	221 68	261 72	40 04 LT		
	Total	26 000			1,849.66	**2,268.24**	418 58 LT	42.00	1.85
Next Dividend Payable 02/2017; Asset Class· Equities									
CELGENE CORP (CELG)	4/8/13	7 000	58 035	115.750	406 25	810.25	404 00 LT		
	11/8/13	8 000	73 171	115.750	585 37	926 00	340 63 LT		
	12/18/13	12 000	80 350	115 750	964.20	1,389.00	424 80 LT		
	4/11/14	4.000	68 275	115.750	273 10	463.00	189 90 LT		

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	8/15/14	7 000	89 884	115 750	629 19	810 25	181 06 LT		
	7/15/16	4 000	102 593	115 750	410 37	463 00	52 63 ST		
	Total	42.000			3,268 48	**4,861.50**	1,540 39 LT 52 63 ST	—	—
Asset Class. Equities									
CHARLES SCHWAB NEW (SCHW)	12/23/11	10 000	11 496	39 470	114 96	394 70	279 74 LT		
	4/8/13	1 000	16 750	39 470	16 75	39 47	22 72 LT		
	11/8/13	1 000	23 050	39 470	23 05	39 47	16 42 LT		
	12/18/13	20 000	25 170	39 470	503 40	789 40	286 00 LT		
	8/15/14	12 000	27 671	39 470	332 05	473 64	141 59 LT		
	1/20/16	12 000	25 056	39 470	300 67	473 64	172 97 ST		
	7/15/16	7 000	27 236	39 470	190 65	276 29	85 64 ST		
	Total	63 000			1,481 53	**2,486.61**	746 47 LT 258 61 ST	18 00	0 72
Next Dividend Payable 02/2017, Asset Class: Equities									
CHARTER COMMUNICATIONS INC (CHTR)	10/4/13	5 042	110 700	287 920	558 15	1,451 69	893 54 LT		
	11/8/13	0 917	135 802	287 920	124 53	264 02	139 49 LT		
	12/18/13	3 208	153 180	287 920	491 40	923 65	432 25 LT		
	4/11/14	1 833	153 513	287 920	281 39	527 76	246 37 LT		
	Total	11 000			1,455 47	**3,167.12**	1,711 65 LT	—	—
Asset Class: Equities									
CHEVRON CORP (CVX)	4/8/13	18 000	117 570	117 700	2,116 26	2,118 60	2 34 LT		
	12/18/13	7 000	120 770	117 700	845 39	823 90	(21 49) LT		
	4/11/14	5 000	116 806	117 700	584 03	588 50	4 47 LT		
	Total	30 000			3,545 68	**3,531.00**	(14 68) LT	130 00	3 68
Next Dividend Payable 03/2017; Asset Class· Equities									
CHIPOTLE MEXICAN GRILL INC COM (CMG)	12/12/16	3 000	380 513	377 320	1,141 54	**1,131 96**	(9 58) ST	—	—
Asset Class: Equities									
CITIGROUP INC NEW (C)	5/8/13	8 000	48 974	59 430	391 79	475 44	83 65 LT		
	11/8/13	2 000	49 425	59 430	98 85	118 86	20 01 LT		
	12/18/13	6 000	51 070	59 430	306 42	356 58	50 16 LT		
	2/6/14	13 000	47 670	59 430	619 71	772 59	152 88 LT		
	4/11/14	5 000	45 544	59 430	227 72	297 15	69 43 LT		
	10/14/14	17 000	51 092	59 430	868 57	1,010 31	141 74 LT		
	Total	51 000			2,513 06	**3,030.93**	517 87 LT	33 00	1 08
Next Dividend Payable 02/2017; Asset Class. Equities									

004645 MSGDT173 015946

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
COCA COLA CO (KO)	2/7/13	17 000	38.877	41 460	660.90	704.82	43.92 LT		
	4/8/13	10 000	40 690	41 460	406.90	414 60	7.70 LT		
	7/30/13	20 000	40 363	41 460	807.26	829 20	21.94 LT		
	12/18/13	2 000	39 620	41 460	79 24	82 92	3 68 LT		
	8/15/14	17 000	40.850	41 460	694 45	704 82	10.37 LT		
	9/29/16	6 000	42 155	41 460	252 93	248 76	(4 17) ST		
	Total	72 000			2,901 68	**2,985.12**	87.61 LT (4 17) ST	101.00	3.38
Next Dividend Payable 03/2017, Asset Class: Equities									
COMCAST CORP (NEW) CLASS A (CMCSA)	4/8/13	14 000	39 230	69.050	549.22	966.70	417.48 LT		
	11/8/13	1 000	46 160	69 050	46.16	69.05	22.89 LT		
	12/18/13	24 000	47 960	69 050	1,151 04	1,657 20	506.16 LT		
	8/15/14	16 000	54 430	69 050	870.88	1,104 80	233 92 LT		
	Total	55 000			2,617.30	**3,797.75**	1,180.45 LT	61.00	1 60
Next Dividend Payable 01/25/17; Asset Class: Equities									
CROWN HLDGS INC (HOLDING CO) (CCK)	10/4/13	14.000	41 850	52 570	585 90	735 98	150.08 LT		
	10/31/13	14 000	43.536	52 570	609.51	735 98	126.47 LT		
	11/8/13	2 000	43 210	52 570	86.42	105 14	18.72 LT		
	12/18/13	16 000	43 640	52 570	698.24	841 12	142.88 LT		
	4/11/14	7 000	44 550	52 570	311.85	367.99	56.14 LT		
	Total	53 000			2,291.92	**2,786.21**	494.29 LT	—	—
Asset Class: Equities									
CVS HEALTH CORP COM (CVS)	12/23/11	2.000	40 837	78 910	81.67	157.82	76 15 LT		
	12/23/11	7 000	40 837	78 910	285 86	552 37	266.51 LT		
	12/23/11	2.000	40 837	78 910	81 68	157.82	76 14 LT		
	12/23/11	1.000	40 837	78 910	40 84	78 91	38 07 LT		
	4/8/13	14.000	56.210	78.910	786 94	1,104 74	317 80 LT		
	10/4/13	31 000	56 940	78 910	1,765 14	2,446 21	681 07 LT		
	10/4/13	1.000	56 930	78 910	56 93	78 91	21.98 LT		
	12/18/13	16.000	68.960	78 910	1,103 36	1,262 56	159.20 LT		
	12/18/13	15 000	68.960	78 910	1,034.40	1,183.65	149 25 LT		
	8/15/14	10.000	79 300	78 910	793 00	789.10	(3.90) LT		
	7/15/16	1 000	97 840	78.910	97 84	78.91	(18 93) ST		
	7/15/16	2.000	97 845	78 910	195.69	157.82	(37.87) ST		
	Total	102.000			6,323 35	**8,048.82**	1,782.27 LT (56 80) ST	204 00	2.53

Security Mark at Right

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 02/2017; Asset Class: Equities									
DENTSPLY SIRONA INC (XRAY)	10/28/16	3 000	57 123	57 730	171 37	173 19	1 82 ST		
	10/31/16	2 000	57 365	57 730	114 73	115 46	0 73 ST		
	11/1/16	2 000	58 515	57 730	117 03	115 46	(1 57) ST		
	11/2/16	1 000	60 060	57 730	60 06	57 73	(2 33) ST		
	11/3/16	3 000	59 803	57 730	179 41	173 19	(6 22) ST		
	11/4/16	5 000	60 864	57 730	304 32	288 65	(15 67) ST		
	11/9/16	2 000	60 845	57 730	121 69	115 46	(6 23) ST		
	11/10/16	2 000	61 310	57 730	122 62	115 46	(7 16) ST		
	11/16/16	3 000	60 487	57 730	181 46	173 19	(8 27) ST		
	11/22/16	2 000	59 455	57 730	118 91	115 46	(3 45) ST		
	12/1/16	3 000	57 923	57 730	173 77	173 19	(0 58) ST		
	Total	28 000			1,665 37	**1,616.44**	(48 93) ST	9 00	0 55
Next Dividend Payable 01/13/17, Asset Class- Equities									
DISH NETWORK CORP CLASS A (DISH)	10/4/13	38 000	47 990	57 930	1,823 62	2,201 34	377 72 LT		
	11/8/13	5 000	48 310	57 930	241 55	289 65	48 10 LT		
	12/18/13	24 000	54 920	57 930	1,318 08	1,390 32	72 24 LT		
	4/11/14	12 000	58 370	57 930	700 44	695 16	(5 28) LT		
	8/3/16	7 000	51 041	57 930	357 29	405 51	48 22 ST		
	Total	86 000			4,440 98	**4,981.98**	492 78 LT 48 22 ST	—	—
Asset Class. Equities									
EBAY INC (EBAY)	11/8/13	7 000	20 724	29 690	145 07	207 83	62 76 LT		
	12/18/13	19 000	20 598	29 690	391 36	564 11	172 75 LT		
	8/15/14	25 000	20 963	29 690	524 07	742 25	218 18 LT		
	4/22/16	7 000	24 610	29 690	172 27	207 83	35 56 ST		
	Total	58 000			1,232 77	**1,722.02**	453 69 LT 35 56 ST	—	—
Asset Class: Equities									
ECOLAB INC (ECL)	9/1/15	2 000	105 594	117 220	211 19	234 44	23 25 LT		
	9/2/15	6 000	106 703	117 220	640 22	703 32	63 10 LT		
	11/23/15	6 000	119 790	117 220	718 74	703 32	(15 42) LT		
	1/4/16	6 000	111 857	117 220	671 14	703 32	32 18 ST		
	1/5/16	3 000	113 070	117 220	339 21	351 66	12 45 ST		
	Total	23 000			2,580 50	**2,696.06**	70 93 LT 44 63 ST	34 00	1 26
Next Dividend Payable 01/17/17, Asset Class: Equities									

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXXON MOBIL CORP (XOM)	4/8/13	8 000	88 628	90 260	709.02	722 08	13 06 LT		
	8/21/13	10 000	87 226	90 260	872 26	902 60	30.34 LT		
	12/18/13	7 000	98.790	90 260	691 53	631.82	(59.71) LT		
	4/11/14	5.000	96.900	90.260	484 50	451.30	(33.20) LT		
	12/11/14	8.000	91 053	90.260	728 42	722 08	(6 34) LT		
	4/17/15	2.000	87 290	90.260	174.58	180 52	5.94 LT		
	Total	40 000			3,660.31	**3,610.40**	(49 91) LT	120 00	3.32
Next Dividend Payable 03/2017; Asset Class: Equities									
FACEBOOK INC CL-A (FB)	6/6/13	14.000	22 929	115 050	321 00	1,610.70	1,289 70 LT		
	11/8/13	6 000	48.573	115.050	291 44	690 30	398 86 LT		
	12/18/13	6 000	55 620	115.050	333.72	690 30	356 58 LT		
	8/14/15	1 000	93 250	115 050	93.25	115 05	21.80 LT		
	Total	27 000			1,039.41	**3,106.35**	2,066 94 LT	—	—
Asset Class: Equities									
FORTINET INC (FTNT)	4/5/16	6 000	30 827	30 120	184.96	180 72	(4 24) ST		
	4/7/16	3 000	30 330	30 120	90.99	90 36	(0.63) ST		
	5/4/16	3.000	32.090	30 120	96 27	90.36	(5 91) ST		
	5/6/16	6.000	31 712	30 120	190 27	180.72	(9 55) ST		
	5/13/16	4 000	32 420	30.120	129.68	120 48	(9 20) ST		
	5/19/16	2 000	33 225	30 120	66.45	60 24	(6 21) ST		
	5/31/16	3.000	34 140	30 120	102.42	90.36	(12 06) ST		
	7/15/16	1.000	34 180	30 120	34 18	30.12	(4.06) ST		
	10/12/16	12.000	30 273	30.120	363 27	361 44	(1.83) ST		
	Total	40 000			1,258 49	**1,204.80**	(53 69) ST	—	—
Asset Class: Equities									
FREEPORT-MCMORAN INC (FCX)	2/5/15	93.000	19 229	13 190	1,788 28	1,226 67	(561.61) LT		
	10/29/15	38.000	11 867	13.190	450 96	501.22	50.26 LT		
	7/15/16	3.000	13 010	13 190	39 03	39 57	0 54 ST		
	Total	134 000			2,278 27	**1,767.46**	(511 35) LT 0 54 ST	—	—
Asset Class: Equities									
GENERAL ELECTRIC CO (GE)	10/4/13	39 000	24 000	31.600	936.00	1,232 40	296.40 LT		
	10/4/13	9 000	24 000	31 600	216 00	284 40	68.40 LT		
	10/21/13	36 000	26 196	31 600	943 06	1,137.60	194 54 LT		
	11/8/13	9 000	26 777	31 600	240.99	284 40	43.41 LT		
	11/8/13	3 000	26 777	31 600	80.33	94 80	14 47 LT		

Security Mark at Right

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	12/18/13	38 000	27 270	31 600	1,036 26	1,200 80	164 54 LT		
	12/18/13	26 000	27 330	31 600	710 58	821 60	111 02 LT		
	2/5/14	12 000	24 439	31 600	293 27	379 20	85 93 LT		
	2/5/14	6 000	24 440	31 600	146 64	189 60	42 96 LT		
	4/11/14	4 000	25 528	31 600	102 11	126 40	24.29 LT		
	8/15/14	8 000	25 950	31 600	207 60	252 80	45 20 LT		
	10/9/14	18 000	24 964	31 600	449 35	568 80	119 45 LT		
	Total	208 000			5,362 19	**6,572 80**	1,210 61 LT	200 00	3 04
Next Dividend Payable 01/25/17, Asset Class Equities									
HALLIBURTON CO (HAL)	7/17/12	7 000	29 395	54 090	205 77	378 63	172 86 LT		
	4/8/13	18 000	38 400	54 090	691 20	973 62	282 42 LT		
	11/8/13	2 000	53 790	54 090	107 58	108 18	0 60 LT		
	12/18/13	16 000	49 720	54 090	795 52	865 44	69 92 LT		
	4/11/14	7 000	57 420	54 090	401 94	378.63	(23 31) LT		
	12/11/14	16 000	38 773	54 090	620 36	865 44	245 08 LT		
	2/25/15	19 000	43 277	54 090	822 26	1,027 71	205 45 LT		
	Total	85 000			3,644 63	**4,597.65**	953 02 LT	61 00	1 32
Next Dividend Payable 03/2017; Asset Class: Equities									
HOME DEPOT INC (HD)	4/8/13	10 000	70 960	134 080	709 60	1,340 80	631 20 LT		
	4/8/13	1 000	70 960	134 080	70 96	134 08	63 12 LT		
	4/8/13	2 000	70 960	134 080	141 92	268 16	126 24 LT		
	11/8/13	1 000	75 180	134 080	75 18	134 08	58 90 LT		
	11/8/13	5 000	75 190	134 080	375 95	670 40	294 45 LT		
	12/18/13	8 000	79 800	134 080	638 40	1,072 64	434 24 LT		
	12/18/13	17 000	79 840	134 080	1,357 28	2,279 36	922 08 LT		
	8/15/14	6 000	84 000	134 080	504 00	804 48	300 48 LT		
	Total	50 000			3,873 29	**6,704.00**	2,830 71 LT	138 00	2 06
Next Dividend Payable 03/2017; Asset Class: Equities									
HONEYWELL INTERNATIONAL INC (HON)	12/23/11	10 000	54 590	115 850	545 90	1,158 50	612 60 LT		
	4/8/13	12 000	73 151	115 850	877 81	1,390 20	512 39 LT		
	11/8/13	1 000	85 070	115 850	85 07	115 85	30 78 LT		
	12/18/13	11 000	87 256	115 850	959 82	1,274 35	314 53 LT		
	4/11/14	5 000	90 380	115 850	451 90	579 25	127 35 LT		
	Total	39 000			2,920 50	**4,518 15**	1,597 65 LT	104 00	2 30
Next Dividend Payable 03/2017, Asset Class. Equities									

004645 MSGDT173 015948

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ILL TOOL WORKS INC (ITW)	10/4/13	16.000	75 510	122 460	1,208 16	1,959 36	751 20 LT		
	11/8/13	1.000	78 360	122 460	78 36	122.46	44.10 LT		
	12/18/13	9.000	80.720	122 460	726 48	1,102.14	375.66 LT		
	4/11/14	5.000	81 390	122 460	406 95	612.30	205 35 LT		
	Total	31.000			2,419 95	**3,796.26**	1,376 31 LT	81 00	2 13
Next Dividend Payable 01/11/17; Asset Class: Equities									
INTL BUSINESS MACHINES CORP (IBM)	10/4/13	4.000	184 370	165 990	737 48	663.96	(73.52) LT		
	12/18/13	4.000	177 030	165 990	708.12	663.96	(44 16) LT		
	Total	8 000			1,445 60	**1,327.92**	(117.68) LT	45 00	3.38
Next Dividend Payable 03/2017; Asset Class: Equities									
JOHNSON & JOHNSON (JNJ)	4/8/13	1 000	80 910	115 210	80.91	115 21	34 30 LT		
	4/8/13	16 000	80 870	115 210	1,293.92	1,843.36	549 44 LT		
	4/8/13	1 000	80 870	115.210	80.87	115 21	34 34 LT		
	4/8/13	1 000	80 870	115.210	80.87	115 21	34 34 LT		
	11/8/13	1 000	92 640	115 210	92 64	115 21	22 57 LT		
	12/18/13	7.000	91.620	115 210	641.34	806 47	165.13 LT		
	12/18/13	15 000	91 680	115 210	1,375 20	1,728.15	352.95 LT		
	8/15/14	4.000	102 370	115 210	409 48	460.84	51.36 LT		
	Total	46.000			4,055 23	**5,299.66**	1,244.43 LT	148 00	2 79
Next Dividend Payable 03/2017; Asset Class: Equities									
JPMORGAN CHASE & CO (JPM)	12/13/12	9 000	42 745	86 290	384.71	776.61	391.90 LT		
	4/8/13	26.000	48 210	86.290	1,253.46	2,243 54	990 08 LT		
	11/8/13	5 000	52 654	86 290	263.27	431 45	168 18 LT		
	12/18/13	25 000	56 440	86 290	1,411.00	2,157 25	746 25 LT		
	4/11/14	14 000	55 332	86 290	774.65	1,208.06	433 41 LT		
	Total	79 000			4,087 09	**6,816.91**	2,729 82 LT	152 00	2.22
Next Dividend Payable 01/2017; Asset Class· Equities									
KIMBERLY CLARK CORP (KMB)	10/4/13	3.000	90.279	114 120	270 84	342.36	71 52 LT		
	11/8/13	2.000	103.400	114 120	206 80	228 24	21 44 LT		
	12/18/13	6.000	99 740	114 120	598 44	684.72	86.28 LT		
	4/11/14	4 000	106 343	114 120	425 37	456 48	31 11 LT		
	Total	15.000			1,501.45	**1,711.80**	210 35 LT	55.00	3 21
Next Dividend Payable 01/04/17, Asset Class: Equities									
MARSH & MCLENNAN COS INC (MMC)	10/4/13	27 000	43 460	67.590	1,173.42	1,824 93	651 51 LT		
	11/8/13	2 000	45 670	67 590	91.34	135 18	43 84 LT		
	12/18/13	14 000	47 470	67 590	664.58	946.26	281 68 LT		

Security Mark at Right

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	4/11/14	4 000	47 160	67 590	188 64	270 36	81 72 LT		
	4/17/15	1 000	56 690	67 590	56 69	67 59	10 90 LT		
	Total	48 000			2,174 67	**3,244.32**	1,069 65 LT	65 00	2 00
Next Dividend Payable 02/2017, Asset Class: Equities									
MARTIN MARIETTA MATERIALS (MLM)	2/7/14	8 000	112 478	221 530	899 82	1,772 24	872 42 LT		
	4/11/14	1 000	125 250	221 530	125 25	221 53	96 28 LT		
	7/9/14	4 000	126 283	221 530	505 13	886 12	380 99 LT		
	Total	13 000			1,530 20	**2,879.89**	1,349 69 LT	22 00	0 76
Next Dividend Payable 03/2017, Asset Class. Equities									
MERCK & CO INC NEW COM (MRK)	2/7/13	21 000	41 088	58 870	862 85	1,236 27	373 42 LT		
	4/8/13	20 000	45 140	58 870	902 80	1,177 40	274 60 LT		
	11/8/13	2 000	46 235	58 870	92 47	117 74	25 27 LT		
	12/18/13	15 000	48 410	58 870	726 15	883 05	156 90 LT		
	4/11/14	6 000	55 677	58 870	334 06	353 22	19 16 LT		
	8/14/15	3 000	59 010	58 870	177 03	176 61	(0 42) LT		
	Total	67 000			3,095 36	**3,944.29**	848 93 LT	126 00	3 19
Next Dividend Payable 01/09/17; Asset Class: Equities									
METLIFE INCORPORATED (MET)	10/4/13	15 000	47 590	53 890	713 85	808 35	94 50 LT		
	10/7/13	2 000	47 170	53 890	94 34	107 78	13 44 LT		
	12/18/13	9 000	51 160	53 890	460 44	485 01	24 57 LT		
	4/11/14	6 000	49 685	53 890	298 11	323 34	25 23 LT		
	7/15/16	1 000	42 890	53 890	42 89	53 89	11 00 ST		
	Total	33 000			1,609 63	**1,778 37**	157 74 LT 11 00 ST	53 00	2 98
Next Dividend Payable 03/2017; Asset Class: Equities									
MICROSOFT CORP (MSFT)	4/8/13	8 000	28 530	62 140	228 24	497 12	268 88 LT		
	4/8/13	1 000	28 530	62 140	28 53	62 14	33 61 LT		
	4/8/13	1 000	28 530	62 140	28 53	62 14	33 61 LT		
	12/18/13	15 000	36 150	62 140	542 25	932 10	389 85 LT		
	12/18/13	4 000	36 118	62 140	144 47	248 56	104 09 LT		
	12/18/13	5 000	36 118	62 140	180 59	310 70	130 11 LT		
	12/18/13	17 000	36 118	62 140	614 00	1,056 38	442 38 LT		
	8/15/14	3 000	44 640	62 140	133 92	186 42	52 50 LT		
	3/3/15	67 000	43 286	62 140	2,900 13	4,163 38	1,263 25 LT		
	Total	121 000			4,800 66	**7,518 94**	2,718 28 LT	189 00	2 51
Next Dividend Payable 03/2017; Asset Class Equities									

004645 MSGDT173 015949

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MONSANTO CO/NEW (MON)	4/8/13	3.000	105 010	105 210	315 03	315.63	0.60 LT		
	6/27/13	5.000	99 284	105 210	496 42	526.05	29.63 LT		
	8/21/13	5 000	95 406	105 210	477 03	526 05	49.02 LT		
	11/8/13	2 000	104.010	105 210	208 02	210.42	2.40 LT		
	12/18/13	6 000	112 990	105 210	677 94	631 26	(46 68) LT		
	8/15/14	5 000	117 880	105 210	589.40	526.05	(63 35) LT		
	8/14/15	1.000	102 970	105 210	102.97	105 21	2.24 LT		
	Total	27.000			2,866.81	**2,840.67**	(26.14) LT	58 00	2.04
Next Dividend Payable 01/2017; Asset Class: Equities									
MOTOROLA SOLUTIONS INC (MSI)	10/4/13	8 000	61 090	82 890	488.72	663 12	174 40 LT		
	11/8/13	1 000	62 600	82 890	62.60	82.89	20 29 LT		
	12/18/13	9.000	65 800	82 890	592.20	746.01	153 81 LT		
	4/11/14	5.000	63 700	82 890	318.50	414.45	95 95 LT		
	9/25/14	9.000	61.779	82 890	556 01	746 01	190 00 LT		
	4/17/15	1 000	61 490	82 890	61 49	82 89	21.40 LT		
	Total	33 000			2,079.52	**2,735.37**	655.85 LT	62.00	2 26
Next Dividend Payable 01/13/17; Asset Class: Equities									
NASDAQ INC COM (NDAQ)	4/8/13	2 000	28 940	67.120	57 88	134 24	76.36 LT		
	12/18/13	6 000	39.230	67 120	235 38	402 72	167.34 LT		
	4/1/14	7.000	35 941	67 120	251.59	469.84	218 25 LT		
	4/1/14	15.000	36 202	67 120	543.03	1,006 80	463 77 LT		
	4/22/16	1 000	63 700	67 120	63 70	67 12	3.42 ST		
	Total	31 000			1,151 58	**2,080.72**	925 72 LT 3.42 ST	40 00	1.92
Next Dividend Payable 03/2017; Asset Class. Equities									
NATIONAL OILWELL VARCO INC (NOV)	5/16/14	18 000	72 898	37 440	1,312.16	673 92	(638 24) LT		
	6/18/14	9.000	77 700	37 440	699.30	336 96	(362 34) LT		
	6/27/14	13.000	82 191	37 440	1,068 48	486.72	(581 76) LT		
	10/29/15	6 000	36 297	37.440	217 78	224 64	6 86 LT		
	Total	46 000			3,297 72	**1,722.24**	(1,575.48) LT	9 00	0.52
Next Dividend Payable 03/2017; Asset Class: Equities									
NOVARTIS AG ADR (NVS)	4/8/13	1 000	71 450	72 840	71 45	72 84	1 39 LT		
	6/27/13	11.000	70 510	72 840	775 61	801 24	25 63 LT		
	12/18/13	5.000	77 360	72 840	386 80	364 20	(22 60) LT		
	4/11/14	3 000	82 900	72 840	248.70	218 52	(30 18) LT		
	Total	20 000			1,482 56	**1,456.80**	(25.76) LT	46 00	3.15

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Asset Class: Equities									
NUANCE COMMUNICATIONS INC (NUAN)	3/26/14	60 000	16 890	14 900	1,013 39	894 00	(119 39) LT		
	4/11/14	16 000	16 010	14 900	256 16	238 40	(17 76) LT		
	5/13/14	10.000	15 426	14 900	154 26	149 00	(5 26) LT		
	10/9/14	43 000	14 998	14 900	644 92	640 70	(4 22) LT		
	Total	129 000			2,068 73	**1,922.10**	(146 63) LT	—	—
Asset Class: Equities									
PALO ALTO NETWORKS INC (PANW)	6/24/16	3 000	122 396	125 050	367 19	375 15	7 96 ST		
	6/27/16	3 000	116 897	125 050	350 69	375 15	24 46 ST		
	6/29/16	3 000	123 280	125 050	369 84	375 15	5 31 ST		
	9/1/16	2 000	139 395	125 050	278 79	250 10	(28 69) ST		
	12/5/16	3 000	129 270	125 050	387 81	375 15	(12 66) ST		
	Total	14 000			1,754 32	**1,750 70**	(3.62) ST	—	—
Asset Class. Equities									
PAYPAL HLDGS INC COM (PYPL)	12/18/13	12 000	31 853	39 470	382 23	473 64	91 41 LT		
	8/15/14	25 000	32 417	39 470	810 43	986 75	176 32 LT		
	10/29/15	22 000	35 850	39 470	788 69	868 34	79 65 LT		
	Total	59 000			1,981 35	**2,328.73**	347 38 LT	—	—
Asset Class Equities									
PHILIP MORRIS INTL INC (PM)	10/4/13	17 000	87 150	91 490	1,481 55	1,555 33	73 78 LT		
	11/8/13	1 000	89 570	91 490	89 57	91 49	1 92 LT		
	12/18/13	12 000	85 020	91 490	1,020 24	1,097 88	77 64 LT		
	4/11/14	6 000	83 405	91 490	500 43	548 94	48 51 LT		
	Total	36 000			3,091 79	**3,293.64**	201 85 LT	150 00	4 55
Next Dividend Payable 01/10/17. Asset Class: Equities									
PROGRESSIVE CORP OHIO (PGR)	10/4/13	17 000	27 320	35 500	464 44	603 50	139 06 LT		
	11/8/13	2 000	26 160	35 500	52 32	71 00	18 68 LT		
	12/18/13	11 000	26 260	35 500	288 86	390 50	101 64 LT		
	4/11/14	6 000	23 750	35 500	142 50	213 00	70 50 LT		
	11/4/16	12 000	31 205	35 500	374 46	426 00	51 54 ST		
	Total	48 000			1,322 58	**1,704 00**	329 88 LT 51 54 ST	43 00	2 52
Next Dividend Payable 02/2017; Asset Class: Equities									
RED HAT INC (RHT)	3/13/14	1 000	57 510	69 700	57 51	69 70	12 19 LT		
	4/7/14	11 000	50 485	69 700	555 33	766 70	211 37 LT		
	4/10/14	7 000	50 084	69 700	350 59	487 90	137 31 LT		

004645 MSGDT173 015950

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	5/13/14	11 000	49 505	69.700	544 55	766 70	222.15 LT		
	8/15/14	3 000	60 000	69 700	180 00	209 10	29 10 LT		
	7/15/16	1 000	73 920	69 700	73 92	69 70	(4 22) ST		
	Total	34 000			1,761 90	**2,369.80**	612 12 LT (4 22) ST	—	—
Asset Class: Equities									
REGENERON PHARM (REGN)	7/28/14	3 000	299 963	367 090	899 89	1,101 27	201.38 LT		
	7/30/14	1 000	319 990	367 090	319 99	367 09	47.10 LT		
	Total	4 000			1,219 88	**1,468.36**	248 48 LT	—	—
Asset Class: Equities									
ROCKWELL COLLINS INC (COL)	11/20/15	2.000	93 630	92 760	187.26	185.52	(1 74) LT		
	11/23/15	5.000	94 050	92 760	470 25	463 80	(6 45) LT		
	11/24/15	5.000	94 010	92 760	470 05	463 80	(6 25) LT		
	11/25/15	4.000	94 043	92 760	376.17	371 04	(5 13) LT		
	12/4/15	1.000	91 310	92 760	91 31	92.76	1 45 LT		
	1/5/16	5 000	92 040	92.760	460 20	463.80	3.60 ST		
	2/12/16	6 000	80 280	92.760	481 68	556 56	74 88 ST		
	7/15/16	1 000	86 330	92 760	86 33	92 76	6.43 ST		
	Total	29 000			2,623 25	**2,690.04**	(18 12) LT 84 91 ST	38 00	1 41
Next Dividend Payable 03/2017; Asset Class: Equities									
ROYAL DUTCH SHELL PLC (RDS'A)	10/4/13	15.000	65 530	54 380	982.95	815 70	(167 25) LT		
	12/18/13	6 000	68 430	54 380	410.58	326.28	(84 30) LT		
	4/11/14	5 000	73 240	54 380	366 20	271.90	(94 30) LT		
	4/13/16	13.000	51 405	54 380	668 27	706.94	38 67 ST		
	Total	39.000			2,428 00	**2,120.82**	(345 85) LT 38 67 ST	125 00	5.89
Asset Class: Equities									
SCHLUMBERGER LTD (SLB)	8/15/14	4.000	106 607	83 950	426 43	335.80	(90 63) LT		
	12/5/14	10 000	87 128	83 950	871.28	839.50	(31 78) LT		
	1/26/15	5 000	83 008	83 950	415.04	419.75	4 71 LT		
	8/27/15	2 000	72 967	83 950	145 93	167.90	21.97 LT		
	8/27/15	23 000	72 967	83 950	1,678.25	1,930.85	252 60 LT		
	8/27/15	7.000	72 967	83 950	510.77	587 65	76 88 LT		
	8/27/15	1.000	72 967	83 950	72.97	83.95	10 98 LT		
	8/27/15	4.000	72 967	83 950	291 87	335 80	43 93 LT		

Security Mark at Right

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	9/15/15	12 000	73 576	83 950	882 91	1,007 40	124 49 LT		
	4/4/16	15 000	72 120	83 950	1,081 80	1,259 25	177 45 ST		
	Total	83 000			6,377 25	**6,967 85**	413 15 LT 177 45 ST	166 00	2 38
Next Dividend Payable 01/13/17, Asset Class: Equities									
SEMPRA ENERGY (SRE)	10/4/13	13 000	85 640	100 640	1,113 32	1,308 32	195 00 LT		
	11/8/13	1 000	89 720	100 640	89 72	100 64	10 92 LT		
	12/18/13	8 000	87 150	100 640	697 20	805 12	107 92 LT		
	4/11/14	4 000	96 610	100 640	386 44	402 56	16 12 LT		
	Total	26 000			2,286 68	**2,616 64**	329 96 LT	79 00	3 01
Next Dividend Payable 01/17/17, Asset Class Equities									
STATE STREET CORP (STT)	4/8/13	2 000	57 630	77 720	115 26	155 44	40 18 LT		
	5/20/13	18 000	65 186	77 720	1,173 34	1,398 96	225 62 LT		
	11/8/13	2 000	70 050	77 720	140 10	155 44	15 34 LT		
	12/18/13	14 000	70 150	77 720	982 10	1,088 08	105 98 LT		
	4/11/14	4 000	64 500	77 720	258 00	310 88	52 88 LT		
	4/17/15	1 000	75 870	77 720	75 87	77 72	1 85 LT		
	Total	41 000			2,744 67	**3,186.52**	441 85 LT	62 00	1 94
Next Dividend Payable 01/18/17, Asset Class: Equities									
SUNCOR ENERGY INC (SU)	10/4/13	30 000	35 810	32 690	1,074 30	980 70	(93 60) LT		
	10/7/13	3 000	35 640	32 690	106 92	98 07	(8 85) LT		
	11/8/13	2 000	34 660	32 690	69 32	65 38	(3 94) LT		
	12/18/13	11 000	34 180	32 690	375 98	359 59	(16 39) LT		
	4/11/14	6 000	36 010	32 690	216 06	196 14	(19 92) LT		
	10/29/15	8 000	29 454	32 690	235 63	261 52	25 89 LT		
	3/4/16	15 000	25 423	32 690	381 34	490 35	109 01 ST		
	4/22/16	14 000	28 440	32 690	398 16	457 66	59 50 ST		
	Total	89 000			2,857 71	**2,909.41**	(116 81) LT 168 51 ST	76 00	2 61
Next Dividend Payable 03/2017; Asset Class: Equities									
SYNCHRONY FINANCIAL (SYF)	7/31/14	44 000	22 997	36 270	1,011 89	1,595 88	583 99 LT		
	2/3/16	3 000	25 870	36 270	77 61	108 81	31 20 ST		
	4/22/16	11 000	30 280	36 270	333 08	398 97	65 89 ST		
	Total	58 000			1,422 58	**2,103.66**	583 99 LT 97 09 ST	30 00	1 42
Next Dividend Payable 02/2017, Asset Class. Equities									

004645 MSGDT173 015951

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
TARGET CORPORATION (TGT)	5/13/14	1 000	59 320	72.230	59 32	72.23	12 91 LT		
	5/21/14	10.000	56 563	72 230	565 63	722.30	156 67 LT		
	5/21/14	5.000	56 998	72 230	284.99	361 15	76 16 LT		
	6/4/14	18.000	57.141	72 230	1,028.53	1,300 14	271 61 LT		
	6/12/14	2 000	57 230	72 230	114.46	144 46	30.00 LT		
	Total	36 000			2,052.93	**2,600.28**	547.35 LT	86 00	3 30
Next Dividend Payable 03/2017; Asset Class: Equities									
TE CONNECTIVITY LTD NEW (TEL)	12/23/11	8 000	31 207	69 280	249 66	554 24	304 58 LT		
	4/8/13	17.000	41 218	69 280	700.71	1,177 76	477 05 LT		
	11/8/13	1 000	51.660	69 280	51.66	69 28	17.62 LT		
	12/18/13	12 000	52.490	69 280	629.88	831 36	201 48 LT		
	4/11/14	6 000	59 430	69 280	356 58	415.68	59.10 LT		
	Total	44 000			1,988 49	**3,048.32**	1,059.83 LT	65.00	2 13
Next Dividend Payable 03/2017, Asset Class. Equities									
TEVA PHARMACEUTICALS ADR (TEVA)	12/11/12	10 000	41 430	36 250	414 30	362 50	(51 80) LT H		
	4/8/13	10 000	38.900	36 250	389.00	362 50	(26 50) LT		
	12/18/13	10 000	39 910	36 250	399.10	362 50	(36 60) LT		
	4/11/14	3 000	50 390	36 250	151 17	108.75	(42.42) LT		
	12/3/15	11.000	63 713	36 250	700 84	398 75	(302 09) LT		
	Total	44 000			2,054 41	**1,595.00**	(459 41) LT	51 00	3 19
Next Dividend Payable 03/2017; Basis Adjustment Due to Wash Sale: $33.60; Asset Class: Equities									
TEXAS INSTRUMENTS (TXN)	12/18/13	15 000	42 578	72.970	638 66	1,094.55	455.89 LT		
	8/15/14	9.000	47 670	72.970	429 03	656.73	227 70 LT		
	8/5/15	6.000	50 868	72.970	305 21	437 82	132 61 LT		
	Total	30.000			1,372 90	**2,189.10**	816.20 LT	60 00	2.74
Next Dividend Payable 02/2017; Asset Class: Equities									
THERMO FISHER SCIENTIFIC (TMO)	12/23/11	5.000	45 587	141.100	227 93	705 50	477 57 LT		
	12/18/13	7.000	104 790	141 100	733 53	987 70	254 17 LT		
	8/15/14	5 000	122 390	141 100	611.95	705 50	93.55 LT		
	Total	17 000			1,573 41	**2,398.70**	825 29 LT	10.00	0.41
Next Dividend Payable 01/16/17; Asset Class· Equities									
TIME WARNER INC NEW (TWX)	10/4/13	19 000	63 663	96 530	1,209 60	1,834 07	624.47 LT		
	11/8/13	3 000	62 583	96 530	187.75	289.59	101 84 LT		
	12/18/13	17 000	64 229	96 530	1,091.89	1,641.01	549.12 LT		
	4/11/14	9 000	60 718	96 530	546.46	868 77	322 31 LT		
	8/14/14	7 000	75 754	96 530	530.28	675 71	145 43 LT		

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	55 000			3,565 98	**5,309.15**	1,743 17 LT	89 00	1 67
Next Dividend Payable 03/2017; Asset Class: Equities									
TRAVELERS COMPANIES INC COM (TRV)	10/4/13	12 000	84 620	122 420	1,015 44	1,469 04	453 60 LT		
	11/8/13	2 000	87 200	122 420	174 40	244 84	70 44 LT		
	12/18/13	6 000	87 620	122 420	525 72	734 52	208 80 LT		
	4/11/14	4 000	85 000	122 420	340 00	489 68	149 68 LT		
	Total	24 000			2,055 56	**2,938.08**	882 52 LT	64 00	2 17
Next Dividend Payable 03/2017; Asset Class: Equities									
TWENTY-FIRST CENTURY FOX CL A (FOXA)	8/15/14	4 000	36 006	28 040	144 02	112 16	(31 86) LT		
	9/8/14	16 000	36 028	28 040	576 45	448 64	(127 81) LT		
	10/9/14	21 000	33 564	28 040	704 85	588 84	(116 01) LT		
	8/6/15	23 000	29 310	28 040	674 12	644 92	(29 20) LT		
	7/15/16	5 000	28 224	28 040	141 12	140 20	(0 92) ST		
	8/4/16	11 000	25 751	28 040	283 26	308 44	25 18 ST		
	Total	80 000			2,523 82	**2,243.20**	(304 88) LT 24 26 ST	29 00	1 29
Next Dividend Payable 04/2017; Asset Class. Equities									
TWENTY-FIRST CENTURY FOX CL B (FOX)	10/4/13	47 000	33 558	27 250	1,577 20	1,280 75	(296 45) LT		
	11/8/13	6 000	32 810	27 250	196 86	163 50	(33 36) LT		
	12/18/13	28 000	32 420	27 250	907 76	763 00	(144 76) LT		
	4/11/14	16 000	31 460	27 250	503 36	436 00	(67 36) LT		
	1/14/16	25 000	26 769	27 250	669 23	681 25	12 02 ST		
	Total	122 000			3,854 41	**3,324.50**	(541 93) LT 12 02 ST	44 00	1 32
Next Dividend Payable 04/2017; Asset Class. Equities									
U S BANCORP COM NEW (USB)	4/8/13	49 000	33 548	51 370	1,643 87	2,517 13	873 26 LT		
	11/8/13	5 000	37 420	51 370	187 10	256 85	69 75 LT		
	12/18/13	25 000	39 800	51 370	995 00	1,284 25	289 25 LT		
	4/11/14	17 000	40 509	51 370	688 66	873 29	184 63 LT		
	7/15/16	1 000	42 480	51 370	42 48	51 37	8 89 ST		
	Total	97 000			3,557 11	**4,982.89**	1,416 89 LT 8 89 ST	109 00	2 18
Next Dividend Payable 01/17/17; Asset Class: Equities									
UNITED PARCEL SER INC CL-B (UPS)	7/30/13	4 000	86 386	114 640	345 54	458 56	113 02 LT		
	12/18/13	8 000	102 230	114 640	817 84	917 12	99 28 LT		
	8/15/14	8 000	96 780	114 640	774 24	917 12	142 88 LT		

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	6/2/16	3.000	102.993	114 640	308.98	343 92	34.94 ST		
	Total	23 000			2,246 60	**2,636.72**	355 18 LT 34.94 ST	72 00	2.73
Next Dividend Payable 02/2017; Asset Class. Equities									
UNITED TECHNOLOGIES CORP (UTX)	10/4/13	15 000	104 420	109 620	1,566.30	1,644 30	78 00 LT		
	11/8/13	1 000	107 310	109 620	107.31	109 62	2.31 LT		
	12/18/13	8 000	108.780	109 620	870.24	876.96	6 72 LT		
	4/11/14	3 000	114 150	109 620	342 45	328.86	(13.59) LT		
	Total	27 000			2,886.30	**2,959.74**	73 44 LT	71.00	2.39
Next Dividend Payable 03/2017; Asset Class: Equities									
UNITEDHEALTH GP INC (UNH)	10/21/13	3.000	68 362	160 040	205 09	480.12	275.03 LT		
	10/21/13	4.000	68 362	160.040	273 45	640.16	366 71 LT		
	10/21/13	1.000	68 362	160 040	68 36	160 04	91 68 LT		
	11/8/13	1.000	69 700	160.040	69 70	160 04	90 34 LT		
	12/18/13	14.000	72 140	160 040	1,009.96	2,240 56	1,230 60 LT		
	8/15/14	10 000	82 210	160 040	822.10	1,600 40	778 30 LT		
	4/22/16	7 000	133.550	160 040	934.85	1,120 28	185 43 ST		
	Total	40 000			3,383.51	**6,401.60**	2,832 66 LT 185 43 ST	100.00	1 56
Next Dividend Payable 03/2017; Asset Class: Equities									
VISA INC CL A (V)	12/23/11	7 000	25 535	78.020	178 74	546 14	367 40 LT		
	12/18/13	20 000	53 408	78.020	1,068 15	1,560 40	492 25 LT		
	8/15/14	20.000	53 185	78.020	1,063.69	1,560 40	496 71 LT		
	7/15/16	2.000	78 660	78 020	157 32	156 04	(1.28) ST		
	Total	49 000			2,467 90	**3,822.98**	1,356.36 LT (1.28) ST	32 00	0 83
Next Dividend Payable 03/2017; Asset Class. Equities									
VMWARE INC CLASS A (VMW)	3/10/15	4 000	84 423	78 730	337 69	314.92	(22 77) LT		
	3/24/15	8.000	84 828	78 730	678 62	629 84	(48 78) LT		
	1/5/16	15 000	56 960	78 730	854 40	1,180 95	326.55 ST		
	Total	27 000			1,870.71	**2,125.71**	(71.55) LT 326 55 ST	—	—
Asset Class: Equities									
W W GRAINGER INC (GWW)	1/10/14	2.000	264 970	232.250	529.94	464 50	(65 44) LT		
	1/24/14	3.000	249 413	232 250	748.24	696 75	(51.49) LT		
	8/15/14	5.000	239 080	232.250	1,195 40	1,161 25	(34 15) LT		

Security Mark at Right

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	2/12/15	1 000	234 000	232 250	234 00	232 25	(1 75) LT		
	Total	11 000			2,707 58	**2,554.75**	(152 83) LT	54 00	2 11
Next Dividend Payable 03/2017, Asset Class. Equities									
WALT DISNEY CO HLDG CO (DIS)	4/8/13	13 000	58 500	104 220	760 50	1,354 86	594 36 LT		
	11/8/13	1 000	67 110	104 220	67 11	104 22	37 11 LT		
	12/18/13	14 000	71 480	104 220	1,000 72	1,459 08	458 36 LT		
	8/15/14	6 000	89 050	104 220	534 30	625 32	91 02 LT		
	8/14/15	1 000	106 830	104 220	106 83	104 22	(2 61) LT		
	8/30/16	3.000	94 973	104 220	284 92	312 66	27 74 ST		
	Total	38 000			2,754 38	**3,960 36**	1,178 24 LT 27 74 ST	59 00	1 48
Next Dividend Payable 01/11/17, Asset Class Equities									
WELLS FARGO & CO NEW (WFC)	10/4/13	52 000	41 220	55 110	2,143 44	2,865 72	722 28 LT		
	11/8/13	4 000	42 793	55 110	171 17	220 44	49 27 LT		
	12/18/13	24 000	44 320	55 110	1,063 68	1,322 64	258 96 LT		
	4/11/14	15 000	47 970	55 110	719 55	826 65	107 10 LT		
	Total	95 000			4,097 84	**5,235.45**	1,137 61 LT	144 00	2 75
Next Dividend Payable 03/2017; Asset Class: Equities									
XEROX CORP (XRX)	10/4/13	95 000	10 545	8 730	1,001 78	829 35	(172 43) LT		
	11/8/13	8 000	10 119	8 730	80 95	69 84	(11 11) LT		
	12/18/13	38 000	11 630	8 730	441 94	331 74	(110 20) LT		
	4/11/14	17 000	11 240	8 730	191 08	148 41	(42 67) LT		
	8/14/15	54 000	10 934	8 730	590 43	471 42	(119 01) LT		
	7/15/16	2 000	9 650	8 730	19 30	17 46	(1 84) ST		
	Total	214 000			2,325 48	**1,868.22**	(455 42) LT (1 84) ST	66 00	3 53
Next Dividend Payable 01/31/17, Asset Class: Equities									
XILINX INC (XLNX)	7/23/14	12 000	41 144	60 370	493 73	724 44	230 71 LT		
	8/15/14	9 000	41 990	60 370	377 91	543 33	165 42 LT		
	1/22/15	14 000	38 871	60 370	544 20	845 18	300 98 LT		
	Total	35 000			1,415 84	**2,112.95**	697 11 LT	46 00	2 17
Next Dividend Payable 02/2017; Asset Class: Equities									
YUM CHINA HLDNGS (YUMC)	2/25/14	3 000	22 230	26 120	66 69	78 36	11 67 LT		
	2/27/14	7 000	22 257	26 120	155 80	182 84	27 04 LT		
	8/15/14	13 000	21 539	26 120	280 01	339 56	59 55 LT		
	11/8/16	15 000	26 709	26 120	400 63	391 80	(8 83) ST		

004645 MSGDT173 015953

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/9/16	11 000	26 275	26 120	289 02	287 32	(1 70) ST		
	11/10/16	14.000	26 198	26 120	366 77	365.68	(1 09) ST		
	11/11/16	15 000	26.677	26.120	400.15	391.80	(8 35) ST		
	Total	78.000			1,959.07	**2,037.36**	98 26 LT (19 97) ST	—	—
Asset Class: Equities									
ZOETIS INC CLASS-A (ZTS)	12/18/13	15 000	31 870	53 530	478 05	802.95	324 90 LT		
	2/11/14	7.000	29 079	53 530	203.55	374.71	171 16 LT		
	2/25/14	21.000	30 215	53.530	634 51	1,124 13	489 62 LT		
	8/15/14	3 000	33 460	53 530	100 38	160 59	60 21 LT		
	10/23/15	11 000	42 724	53 530	469 96	588.83	118 87 LT		
	4/22/16	1.000	48 540	53 530	48.54	53.53	4.99 ST		
	Total	58.000			1,934.99	**3,104.74**	1,164.76 LT 4 99 ST	24.00	0.77
Next Dividend Payable 03/2017; Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	**29.23%**	**$237,187.26**	**$300,854.27**	**$61,873.16 LT** **$1,793.85 ST**	**$5,271.00**	**1.75%**

EXCHANGE-TRADED & CLOSED-END FUNDS

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain exchange-traded funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these status codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ISHARES INC MSCI JAPAN ETF (EWJ)	1/22/16	614 000	$44 525	$48 860	$27,338 47	**$30,000.04**	$2,661.57 ST	$584 00	1 94
GIMA Status: AL. Next Dividend Payable 06/2017· Asset Class. Equities									
ISHARES RUSSELL MIDCAP G ETF (IWP)	10/4/13	74.000	79 058	97 390	5,850 30	7,206 86	1,356 56 LT		
	11/8/13	9.000	79 389	97 390	714.50	876.51	162 01 LT		
	12/18/13	125.000	81.230	97 390	10,153.71	12,173 75	2,020 04 LT		
	4/11/14	2.000	82 665	97 390	165.33	194 78	29.45 LT		
	7/15/16	107.000	96 621	97 390	10,338 43	10,420 73	82 30 ST		
	Total	317.000			27,222.27	**30,872.63**	3,568 06 LT 82 30 ST	359 00	1.16
GIMA Status: AL; Next Dividend Payable 03/2017; Asset Class: Equities									

Security Mark
at Right

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ISHARES RUSSELL MIDCAP V ETF (IWS)	11/8/13	226.000	63 478	80 430	14,345 99	18,177 18	3,831 19 LT		
	12/18/13	174 000	63 600	80.430	11,066 40	13,994 82	2,928 42 LT		
	1/22/16	3 000	63 033	80 430	189 10	241 29	52 19 ST		
	Total	403 000			25,601 49	**32,413.29**	6,759 61 LT 52.19 ST	680 00	2 09
GIMA Status. AL; Next Dividend Payable 03/2017; Asset Class: Equities									
ISHARES TIPS BOND ETF (TIP)	4/17/15	328 000	115 252	113 170	37,802 50	37,119 76	(682 74) LT		
	8/14/15	1.000	111 810	113 170	111 81	113 17	1 36 LT		
	4/22/16	23 000	113 971	113 170	2,621 34	2,602 91	(18 43) ST		
	Total	352 000			40,535 65	**39,835.84**	(681 38) LT (18 43) ST	588 00	1 47
GIMA Status: AL; Next Dividend Payable 01/2017, Asset Class· FI & Pref									
PIMCO ENHANCED SHRT MTRT EXC (MINT)	1/22/16	180 000	100 757	101 330	18,136 28	18,239 40	103 12 ST		
	4/22/16	19 000	100 989	101 330	1,918 80	1,925 27	6 47 ST		
	7/15/16	4 000	101 135	101 330	404 54	405 32	0 78 ST		
	Total	203 000			20,459 62	**20,569.99**	110 37 ST	277 00	1 34
GIMA Status. AL; Next Dividend Payable 01/04/17; Asset Class: FI & Pref									
SPDR BBG BARCLAYS HIGH YIE BND (JNK)	11/8/13	400 000	40 454	36 450	16,181 52	14,580 00	(1,601 52) LT		
	12/18/13	170 000	40 595	36 450	6,901 17	6,196 50	(704 67) LT		
	4/11/14	5 000	41.128	36 450	205 64	182 25	(23 39) LT		
	8/15/14	307.000	41 209	36 450	12,651 07	11,190 15	(1,460 92) LT		
	4/17/15	406 000	39 461	36 450	16,021 29	14,798.70	(1,222 59) LT		
	8/14/15	29.000	37 169	36 450	1,077 90	1,057 05	(20 85) LT		
	4/22/16	646 000	35 022	36 450	22,624 28	23,546 70	922 42 ST		
	Total	1,963.000			75,662 87	**71,551.35**	(5,033 94) LT 922 42 ST	4,336 00	6 05
GIMA Status: AL; Next Dividend Payable 01/06/17; Asset Class. FI & Pref									
VANGUARD SM CAP GROWTH ETF (VBK)	12/18/13	67 000	118 780	133 140	7,958 26	8,920 38	962 12 LT		
	4/11/14	5 000	118 280	133 140	591 40	665 70	74 30 LT		
	8/15/14	6 000	122 897	133 140	737.38	798 84	61 46 LT		
	Total	78 000			9,287 04	**10,384.92**	1,097 88 LT	112 00	1 07
GIMA Status: AL, Next Dividend Payable 03/2017, Asset Class: Equities									

004645 MSGDT173 015954

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	22.89%	$226,107.41	$235,628.06	$5,710.23 LT 3,810.42 ST	$6,936.00	2.94%

MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes. Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain mutual funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AMERICAN EUROPACIFIC GRW F2 (AEPFX)	10/4/13	397 241	$46 500	$44 970	$18,471 71	$17,863 93	$(607 78) LT		
	11/8/13	176.477	47 060	44 970	8,304 99	7,936 17	(368 82) LT		
	12/18/13	297 060	48 310	44 970	14,350.97	13,358.79	(992.18) LT		
	4/11/14	3.951	48.337	44 970	190.98	177.68	(13.30) LT		
	8/15/14	45.731	49.440	44 970	2,260.96	2,056 52	(204.44) LT		
	4/17/15	243 456	51 040	44.970	12,425 98	10,948.22	(1,477.76) LT		
	8/14/15	25 695	49 581	44.970	1,273.98	1,155 50	(118 48) LT		
	1/22/16	371 684	41 780	44.970	15,528.96	16,714 63	1,185.67 ST		
	Total	1,561 295			72,808 53	**70,211.44**	(3,782 76) LT 1,185 67 ST	1,082 00	1.54
Total Purchases vs Market Value					72,808.53	70,211 44			
Cumulative Cash Distributions						5,681 40			
Net Value Increase/(Decrease)						3,084.31			
GIMA Status. AL; Dividend Cash; Capital Gains Cash; Asset Class: Equities									
BLACKROCK LOW DUR BD INV INST (BFMSX)	10/4/13	3,181.156	9.720	9 600	30,920 89	30,539 10	(381 79) LT		
	11/8/13	112 614	9.750	9 600	1,097 99	1,081 09	(16 90) LT		
	12/18/13	2,342 622	9 760	9.600	22,863.99	22,489 17	(374 82) LT		
	4/11/14	206 843	9.790	9 600	2,024.99	1,985 69	(39 30) LT		
	4/17/15	494 455	9.740	9 600	4,815 99	4,746 77	(69 22) LT		
	8/14/15	1,168.181	9 680	9.600	11,307 99	11,214.54	(93.45) LT		
	4/22/16	842 455	9.610	9 600	8,095 99	8,087 57	(8 42) ST		
	7/15/16	139.003	9 640	9 600	1,339.99	1,334 43	(5.56) ST		

Security Mark at Right

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	8,487 329			82,467 82	**81,478.36**	(975 48) LT (13 98) ST	1,426 00	1 75
Total Purchases vs Market Value					82,467 82	81,478 36			
Cumulative Cash Distributions						5,402 35			
Net Value Increase/(Decrease)						4,412 89			
GIMA Status FL, Dividend Cash Capital Gains Cash; Asset Class FI & Pref									
HARDING LOEVNER EMERG MKTS ADV (HLEMX)	10/4/13	62 166	49 330	44 130	3,066 65	2,743 38	(323 27) LT		
	12/18/13	140 401	49 800	44 130	6,991 97	6,195 89	(796 08) LT		
	4/11/14	6 609	49 932	44 130	330 00	291 65	(38 35) LT		
	4/17/15	565 818	48 740	44 130	27,577 99	24,969 54	(2,608 45) LT		
	7/15/16	214 343	44 830	44 130	9,608 98	9,458 95	(150 03) ST		
	Total	989 337			47,575 59	**43,659.44**	(3,766 15) LT (150 03) ST	—	—
Total Purchases vs Market Value					47,575 59	43,659 44			
Cumulative Cash Distributions						3,052 39			
Net Value Increase/(Decrease)						(863 76)			
GIMA Status. FL; Dividend Cash; Capital Gains Cash; Asset Class· Equities									
HARDING LOEVNER INTL EQTY INST (HLMIX)	10/4/13	832 419	17 360	17 830	14,450 81	14,842 03	391 22 LT		
	11/8/13	473 974	17 560	17 830	8,322 99	8,450 95	127 96 LT		
	12/18/13	870 967	17 670	17 830	15,389 99	15,529 34	139 35 LT		
	4/11/14	2 361	17 781	17 830	41 98	42 09	0 11 LT		
	8/15/14	8 351	18 679	17 830	155 99	148 89	(7 10) LT		
	4/17/15	715 615	19 020	17 830	13,610 99	12,759 41	(851 58) LT		
	8/14/15	158 267	18 020	17 830	2,851 98	2,821 90	(30 08) LT		
	1/22/16	881 147	15 860	17 830	13,974 99	15,710 85	1,735 86 ST		
	Total	3,943 101			68,799 72	**70,305.49**	(230 12) LT 1,735 86 ST	—	—
Total Purchases vs Market Value					68,799 72	70,305 49			
Cumulative Cash Distributions						2,780 92			
Net Value Increase/(Decrease)						4,286 69			
GIMA Status· FL, Dividend Cash, Capital Gains Cash Asset Class Equities									
ROYCE PREMIER INV (RYPRX)	12/18/13	183 037	21 550	15 510	3,944 46	2,838 90	(1,105 56) LT		
	8/15/14	40 412	22 740	15 510	918 98	626 79	(292 19) LT		
	12/18/14	328 665	19 640	15 510	6,454 98	5,097 59	(1,357 39) LT		
	8/14/15	31 406	19 550	15 510	613 99	487 11	(126 88) LT		
	7/15/16	678 279	15 700	15 510	10,648 98	10,520 11	(128 87) ST		

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	1,261 799			22,581 39	**19,570.50**	(2,882 02) LT (128 87) ST	—	—
Total Purchases vs Market Value					22,581.39	19,570 50			
Cumulative Cash Distributions						16,054.68			
Net Value Increase/(Decrease)						13,043.79			
GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: Equities									
THORNBURG INTL GROWTH I (TINGX)	10/4/13	648 042	21 010	18.640	13,615 37	12,079.50	(1,535 87) LT		
	11/8/13	371 221	21 440	18 640	7,958 97	6,919 56	(1,039 41) LT		
	12/18/13	763.024	21 420	18.640	16,343 98	14,222 77	(2,121 21) LT		
	4/11/14	192.670	20 330	18 640	3,916.98	3,591 37	(325 61) LT		
	8/15/14	130 999	20.710	18 640	2,712 99	2,441.82	(271.17) LT		
	4/17/15	884 312	20 080	18.640	17,756 99	16,483 58	(1,273 41) LT		
	8/14/15	62.938	19 670	18 640	1,237 99	1,173 16	(64 83) LT		
	1/22/16	838.907	17 940	18 640	15,049.99	15,637.23	587.24 ST		
	Total	3,892 113			78,593.26	**72,548.99**	(6,631.51) LT 587.24 ST	296 00	0 40
Total Purchases vs Market Value					78,593 26	72,548 99			
Cumulative Cash Distributions						5,863 86			
Net Value Increase/(Decrease)						(180 41)			
GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class. Equities									
VIRTUS INSIGHT EMERG MKTS I (HIEMX)	10/4/13	340 816	9 750	9 050	3,322 99	3,084.38	(238.61) LT		
	12/18/13	791.439	9 580	9.050	7,581.99	7,162 52	(419 47) LT		
	4/17/15	2,487.101	10 390	9 050	25,840 98	22,508 26	(3,332.72) LT		
	7/15/16	885 340	9 960	9 050	8,817.99	8,012.32	(805.67) ST		
	Total	4,504 696			45,563 95	**40,767.50**	(3,990.80) LT (805 67) ST	180 00	0 44
Total Purchases vs Market Value					45,563.95	40,767 50			
Cumulative Cash Distributions						1,509 44			
Net Value Increase/(Decrease)						(3,287.01)			
GIMA Status: AL; Dividend Cash; Capital Gains Cash; Asset Class· Equities									
WESTERN ASSET CORE PLUS BD I (WACPX)	12/18/13	193 263	11 230	11 430	2,170.35	2,208.99	38.64 LT		
	4/11/14	2.958	11.491	11 430	33.99	33 80	(0 19) LT		
	8/15/14	59.176	11 660	11 430	689 99	676 38	(13 61) LT		
	8/14/15	2,890.060	11 570	11 430	33,437 99	33,033 38	(404 61) LT		
	1/22/16	638.474	11 410	11 430	7,284 99	7,297 75	12 76 ST		
	4/22/16	3,064.836	11 660	11.430	35,735 99	35,031 07	(704 92) ST		

Security Mark
at Right

Account Detail

Select UMA Active Assets Account
383-121202-545

HENRY SCHAEFER FAMILY FOUNDATI
5030 CROSSBOW

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	6,848 767			79,353 30	**78,281.41**	(379 77) LT (692 16) ST	2 945 00	3 76
Total Purchases vs Market Value					79,353 30	78,281 41			
Cumulative Cash Distributions						5,093 34			
Net Value Increase/(Decrease)						4,021 45			

GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MUTUAL FUNDS	**46.33%**	**$497,743.56**	**$476,823.13**	**$(22,638.61) LT** **$1,718.06 ST**	**$5,929 00**	**1.24%**

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
TOTAL MARKET VALUE		**$961,038.23**	**$1,029,173.46**	**$44,944.78 LT** **$7,322 33 ST**	**$18,208 85** **$0.00**	**1.77%**
TOTAL VALUE (includes accrued interest)	**100.00%**	Excludes cash	**$1,029,173.46**			

Mkt value includes cash

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction The aggregate amount of the basis adjustment is identified in italics under the Security Description.

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	$15,868 00	—	—	—	—	—	—
Stocks	—	$298,423 63	—	$2,430 64	—	—	—
ETFs & CEFs	—	103,670 88	$131,957 18	—	—	—	—
Mutual Funds	—	317,063 36	159,759 77	—	—	—	—
TOTAL ALLOCATION OF ASSETS	**$15,868.00**	**$719,157.87**	**$291,716.95**	**$2,430.64**	—	—	—

004645 MSGDT173 015956