

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

RALPH S. & FRANCES R. DWECK FAMILY
FOUNDATION, INC.

A Employer identification number

02-0762730

Number and street (or P.O. box number if mail is not delivered to street address)

1730 M STREET N.W.

Room/suite

408

B Telephone number

301-589-9000

City or town, state or province, country, and ZIP or foreign postal code

WASHINGTON, DC 20036

C If exemption application is pending check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

G Check all that apply

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c) line 16)

\$ 1,533,917.

J Accounting method

☐

Cash

☒

Accrual

Other (specify)

(Part I column (d) must be on cash basis)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a).)(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

1,350,000.

2 Check ☐ if the foundation is not required to attach Sch. BInterest on savings and temporary
cash investments

4 Dividends and interest from securities

5,234.

5,234.

5,234.

STATEMENT 1

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

-73,211.

b Gross sales price for all
assets on line 6a

877,554.

7 Capital gain net income (from Part IV, line 7)

0.

8 Net short-term capital gain

N/A

9 Income modifications

10a Gross sales price for all
assets on line 6a

877,554.

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

1,282,023.

5,234.

5,234.

13 Compensation of officers, directors, trustees, etc.

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 2

3,500.

0.

0.

3,500.

c Other professional fees

STMT 3

287.

287.

287.

0.

17 Interest

18 Taxes

STMT 4

574.

0.

0.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 5

270.

0.

0.

0.

24 Total operating and administrative

expenses. Add lines 13 through 23

4,631.

287.

287.

3,500.

25 Contributions, gifts, grants paid

938,301.

938,301.

938,301.

938,301.

26 Total expenses and disbursements

Add lines 24 and 25

942,932.

287.

287.

941,801.

27 Subtract line 26 from line 12

339,091.

339,091.

339,091.

339,091.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative enter 0-)

4,947.

4,947.

4,947.

4,947.

c Adjusted net income (if negative enter 0-)

4,947.

4,947.

4,947.

4,947.

9/12-20 13

SCANNED MAY 25 2017

Revenue

Operating and Administrative Expenses

RECEIVED

MAY 19 2017

IRS-OSC

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1 Cash - non-interest-bearing		46,104.	1,077,957.	1,077,957.		
	2 Savings and temporary cash investments		23,946.	5,574.	5,574.		
	3 Accounts receivable						
	Less: allowance for doubtful accounts						
	4 Pledges receivable						
	Less: allowance for doubtful accounts						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons						
	7 Other notes and loans receivable						
	Less: allowance for doubtful accounts						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments - U.S. and state government obligations						
	b Investments - corporate stock	STMT 6	939,137.	109,216.	107,750.		
	c Investments - corporate bonds	STMT 7	193,767.	348,797.	342,036.		
Liabilities	11 Investments - land, buildings, and equipment basis						
	Less accumulated depreciation						
	12 Investments - mortgage loans						
	13 Investments - other						
	14 Land, buildings, and equipment basis						
	Less accumulated depreciation						
	15 Other assets (describe DUES FROM OFFICERS)		0.	600.	600.		
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,202,954.	1,542,144.	1,533,917.		
	17 Accounts payable and accrued expenses						
	18 Grants payable						
19 Deferred revenue							
20 Loans from officers, directors, trustees, and other disqualified persons							
21 Mortgages and other notes payable							
22 Other liabilities (describe INCOME TAX PAYABLE)		0.	99.				
23 Total liabilities (add lines 17 through 22)		0.	99.				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐						
	24 Unrestricted						
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here ☒						
	27 Capital stock, trust principal, or current funds		0.	0.			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.			
29 Retained earnings, accumulated income, endowment, or other funds		1,202,954.	1,542,045.				
30 Total net assets or fund balances		1,202,954.	1,542,045.				
31 Total liabilities and net assets/fund balances		1,202,954.	1,542,144.				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,202,954.
2 Enter amount from Part I, line 27a	2	339,091.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	1,542,045.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,542,045.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAPITAL GAIN DISTRIBUTIONS		VARIOUS	VARIOUS
b PUBLICLY TRADED SECURITIES - LT		VARIOUS	VARIOUS
c PUBLICLY TRADED SECURITIES - ST		VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,213.			1,213.
b 501,053.		533,464.	-32,411.
c 375,288.		417,301.	-42,013.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,213.
b			-32,411.
c			-42,013.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-73,211.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	-42,013.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,304,645.	1,506,709.	.865890
2014	633,323.	230,703.	2.745188
2013	677,212.	422,270.	1.603742
2012	544,904.	912,716.	.597014
2011	594,561.	1,299,552.	.457512

2 Total of line 1, column (d)	2	6.269346
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1.253869
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	885,817.
5 Multiply line 4 by line 3	5	1,110,698.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	49.
7 Add lines 5 and 6	7	1,110,747.
8 Enter qualifying distributions from Part XII, line 4	8	941,801.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	99.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	99.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	99.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		99.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► BERLIN, RAMOS & COMPANY, P.A. Telephone no. ► 301-589-9000 Located at ► 11200 ROCKVILLE PIKE, SUITE 400, NORTH BETHESDA, ZIP+4 ► 20852		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	☐	☐
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	☐	☐

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	☐	☐
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	☐	☐
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	☐	☐
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RALPH S. DWECK 5310 EDMOND LANE BETHESDA, MD 20814	DIRECTOR			
	0.00	0.	0.	0.
FRANCES R. DWECK 5310 EDMOND LANE BETHESDA, MD 20814	DIRECTOR			
	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 653,227.
b	Average of monthly cash balances	1b 246,080.
c	Fair market value of all other assets	1c
d	Total (add lines 1a, b, and c)	1d 899,307.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 899,307.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 13,490.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 885,817.
6	Minimum investment return. Enter 5% of line 5	6 44,291.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 44,291.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 99.
2b	Income tax for 2016. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 99.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 44,192.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 44,192.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 44,192.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 941,801.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 941,801.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 941,801.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				44,192.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	531,429.			
b From 2012	499,664.			
c From 2013	658,202.			
d From 2014	621,788.			
e From 2015	1,229,785.			
f Total of lines 3a through e	3,540,868.			
4 Qualifying distributions for 2016 from Part XII, line 4: \$ 941,801.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				44,192.
e Remaining amount distributed out of corpus	897,609.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,438,477.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	531,429.			
9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a	3,907,048.			
10 Analysis of line 9:				
a Excess from 2012	499,664.			
b Excess from 2013	658,202.			
c Excess from 2014	621,788.			
d Excess from 2015	1,229,785.			
e Excess from 2016	897,609.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- ### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

- b** The form in which applications should be submitted and information and materials they should include:

THERE IS NO SPECIFIC FORM REQUIRED TO APPLY FOR A GRANT.

- c Any submission deadlines:**

NONE

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

FOUNDATION, INC.

Page 11

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Total

▶ 3b

0.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	5,234.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-73,211.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0.		-67,977.	0.
13	Total. Add line 12, columns (b), (d), and (e)					13 -67,977.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | X |
| 1a(2) | | X |
| 1b(1) | | X |
| 1b(2) | | X |
| 1b(3) | | X |
| 1b(4) | | X |
| 1b(5) | | X |
| 1b(6) | | X |
| 1c | | X |

[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

☒ Yes ☐ No

Date _____

Title

Phone no. 301-589-9000

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

RALPH S. & FRANCES R. DWECK FAMILY
FOUNDATION, INC.

Employer identification number

02-0762730

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization
**RALPH S. & FRANCES R. DWECK FAMILY
 FOUNDATION, INC.**

Employer identification number

02-0762730

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RALPH S. DWECK 5310 EDMOOR LANE BETHESDA, MD 20814-1324	\$ 200,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	NORA L. & MICHAEL E. MCMULLEN 3536 SPRINGLAND LANE, NW WASHINGTON DC, DC 20008	\$ 150,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
3	HANNA ROSE DWECK 1870 WYOMING AVENUE, NW, UNIT 303 WASHINGTON DC, DC 20009	\$ 1,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

RALPH S. & FRANCES R. DWECK FAMILY
FOUNDATION, INC.

Employer identification number

02-0762730

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization RALPH S. & FRANCES R. DWECK FAMILY FOUNDATION, INC.	Employer identification number 02-0762730
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BESSEMER TRUST-INTEREST	6.	0.	6.	6.	6.
BESSEMER TRUST-NON- -QUALIFIED DIVIDEND	4,480.	0.	4,480.	4,480.	4,480.
BESSEMER TRUST-QUALIFIED DIVIDEND	748.	0.	748.	748.	748.
TO PART I, LINE 4	5,234.	0.	5,234.	5,234.	5,234.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,500.	0.	0.	3,500.
TO FORM 990-PF, PG 1, LN 16B	3,500.	0.	0.	3,500.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	287.	287.	287.	0.
TO FORM 990-PF, PG 1, LN 16C	287.	287.	287.	0.

FORM 990-PF

TAXES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	574.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	574.	0.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK SERVICE CHARGES	270.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	270.	0.	0.	0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS-BESSEMER TRUST	109,216.	107,750.
TOTAL TO FORM 990-PF, PART II, LINE 10B	109,216.	107,750.

FORM 990-PF

CORPORATE BONDS

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BONDS-BESSEMER TRUST	348,797.	342,036.
TOTAL TO FORM 990-PF, PART II, LINE 10C	348,797.	342,036.

RALPH AND FRANCES DWECK FAMILY FOUNDATION							
EIN #02-0762730							
CHARITABLE CONTRIBUTIONS							
12/31/16							
STATEMENT #8							
CONTRIBUTIONS PAID - Page 11, Part XV, Line 3(a).							
Purpose of Contribution							
Recipient	Total	Religious	Civic	Educational	Address		
ACLU Foundation	10,000		10,000		125 Broad Street, 18th Fl	New York, NY	10004
Anne Frank House	1,000		1,000		PO Box 730, 1000 AS	Amsterdam, Netherlands	
Avalon Theatre Project, Inc	10,000		10,000		5612 Connecticut Avenue NW	Washington, DC	20015
Bethesda-Chevy Chase High School	1,500			1,500	4301 East-West Hwy	Bethesda, MD	20814
Bethesda-Chevy Chase Rescue Squad	500		500		5020 Battery Ln	Bethesda, MD	20814
B'nai Israel Congregation	3,035	3,035			6301 Montrose Road	Rockville, MD	20852
Bread For The City	51,000		51,000		1525 7th Street, NW	Washington, DC	20001
Camp JRF	500		500		1 Pine Grove Rd	South Sterling, PA	18460
Center for Reproductive Rights	10,000		10,000		1634 I St NW,	Washington, DC	20006
CESLC Hebrew Home	2,500		2,500		6121 Montrose Road	Rockville, MD	20852
Children's Hospital Foundation	1,000		1,000		801 Roeder Rd #300	Silver Spring MD	20910
Cystic Fibrosis Foundation	1,000		1,000		6931 Arlington Road	Bethesda, MD	20814
DCJCC	31,500		31,500		1529 16th St NW	Washington, DC	20036
Dystonia Medical Research Foundation	500		500		1 E Upper Wacker Dr # 2810	Chicago, IL	60601
Edgemoor Security Patrol	175		175		Edgemoor Citizens Association P O Bo	Bethesda, MD	20824
Edlavitch DCJCC	10,000		10,000		1529 16th St NW	Washington, DC	20036
Environmental Defense Fund	10,000		10,000		1875 Connecticut Ave NW # 600	Washington, DC	20009
Food & Friends	250		250		219 Ruga Rd NE	Washington, DC	20011
Higher Achievement	50,000			50,000	1701 K Street NW, Suite #625	Washington, DC	20006
Hope For Henry Foundation	5,000		5,000		2300 Wisconsin Ave NW	Washington, DC	20007
House of Ruth	1,000		1,000		5 Thomas Cir NW # 4	Washington, DC	20005
Humane Rescue Alliance & Medical Center	36,000		36,000		71 Oglethorpe St NW	Washington, DC	20011
International Refugee Assistance Project	10,000		10,000		Urban Justice Center 40 Rector St, 9th	New York, NY	10006
Island Elderly Housing	500		500		60 Village Rd,	Vineyard Haven, MA	02568
JCC Association Of North America	5,000		5,000		520 8th Avenue, 4th Floor	New York, NY	10018
Jewish Federation Of Greater Washington	50,000		50,000		6101 Executive Blvd #100	North Bethesda, MD	20852
Jewish Primary Day School	103,300			103,300	6045 16th Street NW	Washington, DC	20011
John Hopkins Children's Center	25,000		25,000		600 North Wolfe Street	Baltimore, MD	21287
Joy Of Motion	500		500		5207 Wisconsin Ave NW	Washington, DC	20015
Juvenile Diabetes Research Foundation	5,000		5,000		26 Broadway, 14th Floor	New York, NY	10004
Malala Fund	500		500		PO BOX 53347	Washington, DC	20009
Mannu Food Center	5,000		5,000		9311 Garther Road	Garthersburg, MD	20877
Martha's Table	33,333		33,333		2114 14th St NW	Washington, DC	20009
Martha's Vineyard Hospital	5,000		5,000		1 Hospital Road	Vineyard Haven, MA	02568
Martha's Vineyard Preservation Trust	500		500		99 Main St	Edgartown, MA	02539
Mexican American Legal Defense	10,000		10,000		1018 18th St NW #100	Washington DC	20036
Muram's Kitchen	5,000		5,000		2401 Virginia Ave NW	Washington DC	20037
Mosaic Theatre	1,000		1,000		1333 H St NE	Washington, DC	20002
N Street Village	55,000		55,000		1333 N Street NW	Washington, DC	20005
NAACP Legal Defense and Educational Fund	10,000			10,000	40 Rector St, 5th Floor	New York, NY	10006
Naomi Berrie Diabetes Center	100,000		100,000		1150 St Nicholas Ave	New York, NY	10032
NARAL Pro Choice America	5,000		5,000		1156 15th St NW	Washington, DC	20005
National Resources Defense Fund	10,000		10,000		1152 15th St NW #300	Washington, DC	20005
PPFA	10,000		10,000		1110 Vermont Ave NW #200	Washington, DC	20005
Reading Partners	1,500			1,500	180 Grand Ave	Oakland, CA	94612
Sitar Arts Center	71,667			71,667	1700 Kalorama Road NW	Washington, DC	20009
Sixth & I Historic Synagogue	65,000	65,000			600 I Street NW	Washington, DC	20001
So Others Might Eat	2,500		2,500		71 O Street NW	Washington, DC	20001
Southern Poverty Law Center	10,000		10,000		400 Washington Ave	Montgomery, AL	36104
The Friendship Circle	2,500		2,500		11621 Seven Locks Road	Potomac, MD	20854
The Writer's Center	400		400		4508 Walsh St,	Chevy Chase, MD	20815
University of Rochester	22,500			22,500	300 East River Rd, P O Box 270032	Rochester, NY	14627
Washington Humane Society	56,641		56,641		1201 New York Avenue, NE	Washington, DC	20002
White Truck Charity	3,000		3,000		4289 Wartha Dr	Harwood, MD	20776
WHS-WARL	5,000		5,000		71 Oglethorpe St NW	Washington, DC	20011
Women's Law Project	10,000		10,000		125 S 9th St #300	Philadelphia, PA	19107
Youth Art For Healing	1,500		1,500		PO BOX 30183	Bethesda, MD	20824
Subtotal	\$ 938,301	\$ 68,035	\$ 609,799	\$ 260,467			