

Extended to November 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

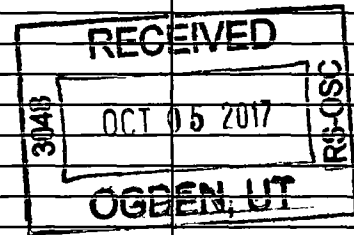
Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation John T. Gorman Foundation		A Employer identification number 01-0498551	
Number and street (or P O box number if mail is not delivered to street address) One Canal Plaza		Room/suite 800	B Telephone number (207) 518-6784
City or town, state or province, country, and ZIP or foreign postal code Portland, ME 04101		C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 180,961,248.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____			
(Part I, column (d) must be on cash basis)			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))			
	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income
	(d) Disbursements for charitable purposes (cash basis only)		
1 Contributions, gifts, grants, etc., received	147.		N/A
2 Check ☒ if the foundation is not required to attach Sch B			
3 Interest on savings and temporary cash investments	420,264.	420,264.	Statement 1
4 Dividends and interest from securities	954,607.	954,607.	Statement 2
5a Gross rents			
b Net rental income or (loss)			
6a Net gain or (loss) from sale of assets not on line 10	9,790,215.		
b Gross sales price for all assets on line 6a 33,949,932.			
7 Capital gain net income (from Part IV, line 2)		9,790,215.	
8 Net short-term capital gain			
9 Income modifications			
10a Gross sales less returns and allowances			
b Less Cost of goods sold			
c Gross profit or (loss)			
11 Other income		<2,459,461.>	
12 Total. Add lines 1 through 11	11,165,233.	8,705,625.	
13 Compensation of officers, directors, trustees, etc	343,699.	31,559.	312,140.
14 Other employee salaries and wages	754,740.	69,512.	685,228.
15 Pension plans, employee benefits	129,696.	10,443.	119,253.
16a Legal fees Stmt 3	6,918.	6,918.	0.
b Accounting fees Stmt 4	27,105.	1,406.	25,699.
c Other professional fees Stmt 5	1,244,385.	718,619.	525,766.
17 Interest			
18 Taxes Stmt 6	161,326.	16,748.	63,532.
19 Depreciation and depletion	52,199.	0.	
20 Occupancy	100,347.	6,758.	93,589.
21 Travel, conferences, and meetings	50,299.	1,233.	49,066.
22 Printing and publications			
23 Other expenses Stmt 7	87,815.	1,006.	<13,939.>
24 Total operating and administrative expenses. Add lines 13 through 23	2,958,529.	864,202.	1,860,334.
25 Contributions, gifts, grants paid	7,043,410.		7,043,410.
26 Total expenses and disbursements. Add lines 24 and 25	10,001,939.	864,202.	8,903,744.
27 Subtract line 26 from line 12.			
a Excess of revenue over expenses and disbursements	1,163,294.		
b Net investment income (if negative, enter -0-)		7,841,423.	
c Adjusted net income (if negative, enter -0-)			N/A



5

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			152,945.	756,193.	756,193.
	2 Savings and temporary cash investments			785,895.	1,197,830.	1,197,830.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			93,046.	153,549.	153,549.
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock Stmt 10			46,212,444.	46,891,008.	46,891,008.
	c Investments - corporate bonds					
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other Stmt 11				131,884,994.	110,111,943.	110,111,943.
14 Land, buildings, and equipment basis ▶ 516,959.						
Less accumulated depreciation Stmt 12 ▶ 251,319.				300,082.	265,640.	265,640.
15 Other assets (describe ▶ Statement 13)				0.	21,585,085.	21,585,085.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)				179,429,406.	180,961,248.	180,961,248.
17 Accounts payable and accrued expenses				133,315.	359,680.	
18 Grants payable						
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ Statement 14)			38,611.	54,543.	
23 Total liabilities (add lines 17 through 22)			171,926.	414,223.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted			179,257,480.	180,547,025.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☐					
	and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds					
28 Paid-in or capital surplus, or land, bldg., and equipment fund						
29 Retained earnings, accumulated income, endowment, or other funds						
30 Total net assets or fund balances			179,257,480.	180,547,025.		
31 Total liabilities and net assets/fund balances			179,429,406.	180,961,248.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	179,257,480.
2 Enter amount from Part I, line 27a	2	1,163,294.
3 Other increases not included in line 2 (itemize) ▶ See Statement 9	3	126,251.
4 Add lines 1, 2, and 3	4	180,547,025.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	180,547,025.

Form 990-PF (2016)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly Traded Securities				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 33,949,932.		24,159,717.	9,790,215.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			9,790,215.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 9,790,215.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	8,921,571.	190,380,868.	.046862
2014	8,434,219.	198,441,424.	.042502
2013	7,904,874.	187,108,828.	.042247
2012	7,262,106.	172,607,003.	.042073
2011	6,720,764.	162,952,870.	.041244
2 Total of line 1, column (d)			2 .214928
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .042986
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 176,148,226.
5 Multiply line 4 by line 3			5 7,571,908.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 78,414.
7 Add lines 5 and 6			7 7,650,322.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 8,903,744.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	78,414.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	78,414.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	78,414.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 65,114.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 120,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	185,114.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	106,700.
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax	106,700. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ME		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.jtgfoundation.org</u>	X	
14 The books are in care of ► <u>Michael Bevilacqua</u> Telephone no. ► <u>(207) 518-6784</u> Located at ► <u>One Canal Plaza, No. 800, Portland, ME</u> ZIP+4 ► <u>04101</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► _____, _____, _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

See Statement 16

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7b

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 15		319,152.	24,547.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Nicole Witherbee - One Canal Plaza, Suite 800, Portland, ME 04101	Chief Program Officer	169,034.	12,559.	0.
Michael Bevilacqua - One Canal Plaza, Suite 800, Portland, ME 04101	CFO	159,469.	11,755.	0.
Sara Gagne Holmes - One Canal Plaza, Suite 800, Portland, ME 04101	Senior Program Associate	104,761.	12,887.	0.
Carter Friend - One Canal Plaza, Suite 800, Portland, ME 04101	Senior Program Associate	107,723.	7,278.	0.
Elizabeth Pope - One Canal Plaza, Suite 800, Portland, ME 04101	Communications & Research Associate	63,569.	5,446.	0.

Total number of other employees paid over \$50,000

1

Form 990-PF (2016)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Cambridge Associates 125 High Street, Boston, MA 02110	Investment Advisory Services	356,984.
Development Without Limits, LLC - 244 Fifth Avenue, Suite 1544, New York, NY 10001	Grantee Technical Assistance	118,519.
Abt Associates P.O. Box 84-5586, Boston, MA 02284-5586	Program Evaluation	71,250.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3

0.

Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	178,376,117.
b	Average of monthly cash balances	1b	454,569.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	178,830,686.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	178,830,686.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,682,460.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	176,148,226.
6	Minimum investment return. Enter 5% of line 5	6	8,807,411.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	8,807,411.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	78,414.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	78,414.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	8,728,997.
4	Recoveries of amounts treated as qualifying distributions	4	61,250.
5	Add lines 3 and 4	5	8,790,247.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,790,247.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,903,744.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,903,744.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	78,414.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,825,330.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				8,790,247.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			7,933,550.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 8,903,744.			7,933,550.	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				970,194.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				7,820,053.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

N/A

- | | | |
|---------------|--|------------|
| 4942(1)(3) or | | 4942(1)(5) |
|---------------|--|------------|

- (4) Gross investment income

[illegible]

See 2(b) above

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Statement 23	N/A	See Attached	Various	7,043,410.
Total			3a	7,043,410.
b Approved for future payment				
None				
Total			3b	0.

Form 990-PF (2016)

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Interest Income	420,264.	420,264.	
Total to Part I, line 3	420,264.	420,264.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Dividend Income	954,607.	0.	954,607.	954,607.	
To Part I, line 4	954,607.	0.	954,607.	954,607.	

Form 990-PF Legal Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal Fees	199.	199.		0.
Investment Legal Fees	6,719.	6,719.		0.
To Form 990-PF, Pg 1, ln 16a	6,918.	6,918.		0.

Form 990-PF Accounting Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting & Tax Preparation Fees	27,105.	1,406.		25,699.
To Form 990-PF, Pg 1, ln 16b	27,105.	1,406.		25,699.

Form 990-PF	Other Professional Fees	Statement	5
-------------	-------------------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Management Fees	718,619.	718,619.		0.
Consulting/Management Fees	525,766.	0.		525,766.
To Form 990-PF, Pg 1, ln 16c	1,244,385.	718,619.		525,766.

Form 990-PF	Taxes	Statement	6
-------------	-------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Payroll Taxes	69,418.	5,886.		63,532.
Foreign Taxes	10,862.	10,862.		0.
Excise Taxes	81,046.	0.		0.
To Form 990-PF, Pg 1, ln 18	161,326.	16,748.		63,532.

Form 990-PF	Other Expenses	Statement	7
-------------	----------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Office Expense	76,775.	1,006.		75,769.
Insurance	11,040.	0.		11,040.
Accrual to Cash Adjustment	0.	0.		<100,748.>
To Form 990-PF, Pg 1, ln 23	87,815.	1,006.		<13,939.>

Footnotes	Statement	8
-----------	-----------	---

Form 990-PF Other Increases in Net Assets or Fund Balances Statement 9

Description	Amount
Unrealized Gains on Investments	65,001.
Recovery of Prior Grants	61,250.
Total to Form 990-PF, Part III, line 3	126,251.

Form 990-PF Corporate Stock Statement 10

Description	Book Value	Fair Market Value
Corporate Stock - Statement 22	46,891,008.	46,891,008.
Total to Form 990-PF, Part II, line 10b	46,891,008.	46,891,008.

Form 990-PF Other Investments Statement 11

Description	Valuation Method	Book Value	Fair Market Value
Other Investments - Statement 22	FMV	110,111,943.	110,111,943.
Total to Form 990-PF, Part II, line 13		110,111,943.	110,111,943.

Form 990-PF Depreciation of Assets Not Held for Investment Statement 12

Description	Cost or Other Basis	Accumulated Depreciation	Book Value
Furniture & Fixtures	173,851.	77,729.	96,122.
Computers & Equipment	48,586.	36,503.	12,083.
Leasehold Improvements	294,522.	137,087.	157,435.
Total To Fm 990-PF, Part II, ln 14	516,959.	251,319.	265,640.

Form 990-PF	Other Assets	Statement 13
-------------	--------------	--------------

Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
Pending Investment Trade Sales/Purchases	0.	21,585,085.	21,585,085.
To Form 990-PF, Part II, line 15	0.	21,585,085.	21,585,085.

Form 990-PF	Other Liabilities	Statement 14
-------------	-------------------	--------------

Description	BOY Amount	EOY Amount
Deferred Tax Liability	38,611.	54,543.
Total to Form 990-PF, Part II, line 22	38,611.	54,543.

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement 15
-------------	---	--------------

Name and Address	Title and Avg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account
Anne Dinsmore One Canal Plaza, Suite 800 Portland, ME 04101	Director 1.00	0.	0. 0.
Maggie Christie Keohan One Canal Plaza, Suite 800 Portland, ME 04101	Director 2.00	0.	0. 0.
Richard W. Petersen One Canal Plaza, Suite 800 Portland, ME 04101	Director 1.50	0.	0. 0.
Shawn O. Gorman One Canal Plaza, Suite 800 Portland, ME 04101	Chairman & Director 2.00	0.	0. 0.
Anthony Cipollone (ex officio) One Canal Plaza, Suite 800 Portland, ME 04101	President, CEO, & Director 40.00	319,152.	24,547. 0.

John T. Gorman Foundation

01-0498551

Jeffrey J. Gorman
One Canal Plaza, Suite 800
Portland, ME 04101

Vice Chairman & Director
1.00 0.

0. 0.

Martha E. Greene
One Canal Plaza, Suite 800
Portland, ME 04101

Secretary & Director
2.00 0.

0. 0.

Weston Bonney
One Canal Plaza, Suite 800
Portland, ME 04101

Treasurer & Director
2.00 0.

0. 0.

Totals included on 990-PF, Page 6, Part VIII

319,152.

24,547.

0.

Form 990-PF	Expenditure Responsibility Statement Part VII-B, Line 5c	Statement 16
-------------	---	--------------

Grantee's Name

See Statement 24

Grantee's Address

<u>Grant Amount</u>	<u>Date of Grant</u>	<u>Amount Expended</u>
10,000.		

Purpose of Grant

See Statement 23

Dates of Reports by Grantee

See Statement 23

990-PF	Involvement With Noncharitable Organizations	Statement	17
	Part XVII, Line 1, Column (d)		

Name of Noncharitable Exempt Organization

Portland Regional Chamber

Description of Transfers, Transactions, and Sharing Arrangements

The John T. Gorman Foundation awards grants to Portland Regional Chamber, an unrelated 501(c)(6) business league. See Statement 24.

	Account A	Account B	Account C	Account D	Account E	Account F	Account G
Cash	739,690	135,567	140,652	177	-	161,925	5
Investment Cash	-	-	-	-	-	-	-
Common Stock	-	-	-	-	-	-	2,534,366
Equities	-	40,704,885	-	-	3,651,757	-	-
Fixed Income	-	-	-	18,284,006	-	-	-
Partnerships	-	16,981,257	-	-	2,770,650	11,476,970	-
Hedge Funds	-	24,440,230	29,867,746	-	6,015,298	-	-
Cash due from Broker	-	21,065,202	555,727	(32,363)	1,043	-	(4,524)
Accrued Income	171	(17,193)	84	32,364	38	4	4,524
Per Statements	739,861	103,309,948	30,564,209	18,284,184	12,438,786	11,638,899	2,534,370
*Timing Differences	-	-	-	-	-	275,609	-
Per 990-PF	739,861	103,309,948	30,564,209	18,284,184	12,438,786	11,914,508	2,534,370

FMV

Total Investments - Cash:	\$	1,197,830
Total Investments - Corporate Stock:	\$	46,891,008
Total Investments - Other Investments:	\$	110,111,943
Cash Due From Broker:	\$	21,585,085
	\$	179,785,865

*Timing differences relate to activity reflected on the December year-end statements, but not on the attached 2016 portfolio summary statements. All timing differences are related to Other Investments

Portfolio Statement

◆ Asset Summary

Page 3 of 21

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss		Total	Market value incl. accruals	%
						Translation			
<i>Cash and Cash Equivalents</i>									
Funds - short term investment									
Funds - Short Term Investment	170.41	739,690.06	739,690.06	0.00	0.00	0.00	0.00	739,860.47	100.000%
Total funds - short term investment	170.41	739,690.06	739,690.06	0.00	0.00	0.00	0.00	739,860.47	100.000%
Total cash and cash equivalents	170.41	739,690.06	739,690.06	0.00	0.00	0.00	0.00	739,860.47	100.000%
Total Unrealized Gains								0.00	
Total Unrealized Losses								0.00	
Total	170.41	739,690.06	739,690.06	0.00	0.00	0.00	0.00	739,860.47	100.000%

Total Cost incl. Accruals

739,860.47

Portfolio Statement

◆ Asset Summary

Page 3 of 63

Asset Summary									
Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss		Total	Market value incl. accruals	%
					Translation				
Equities									
Funds - common stock									
International Region - USD	0.00	20,511,316.18	20,638,643.67	- 127,327.49	0.00	- 127,327.49	20,511,316.18	19.854%	
United States - USD	0.00	19,844,589.29	19,070,777.65	773,811.64	0.00	773,811.64	19,844,589.29	19.209%	
Total funds - common stock	0.00	40,355,905.47	39,709,421.32	646,484.15	0.00	646,484.15	40,355,905.47	39.063%	
Funds - equities etf									
Emerging Markets Region - USD	0.00	348,979.68	296,500.15	52,479.53	0.00	52,479.53	348,979.68	0.338%	
Total funds - equities etf	0.00	348,979.68	296,500.15	52,479.53	0.00	52,479.53	348,979.68	0.338%	
Total equities	0.00	40,704,885.15	40,005,921.47	698,963.68	0.00	698,963.68	40,704,885.15	39.401%	
Venture Capital and Partnerships									
Partnerships									
Global Region - USD	0.00	8,947,888.00	8,704,319.30	243,568.70	0.00	243,568.70	8,947,888.00	8.661%	
United States - USD	0.00	8,033,369.00	5,000,000.00	3,033,369.00	0.00	3,033,369.00	8,033,369.00	7.776%	
Total partnerships	0.00	16,981,257.00	13,704,319.30	3,276,937.70	0.00	3,276,937.70	16,981,257.00	16.437%	
Total venture capital and partnerships	0.00	16,981,257.00	13,704,319.30	3,276,937.70	0.00	3,276,937.70	16,981,257.00	16.437%	
Other Assets									
Miscellaneous									
United States - USD	0.00	135,567.00	135,567.00	0.00	0.00	0.00	135,567.00	0.131%	
Total miscellaneous	0.00	135,567.00	135,567.00	0.00	0.00	0.00	135,567.00	0.131%	
Total other assets	0.00	135,567.00	135,567.00	0.00	0.00	0.00	135,567.00	0.131%	

Portfolio Statement

◆ Asset Summary

Page 4 of 63

		Unrealized gain/loss					
Country	Accrued income/expense	Market value	Cost	Market	Translation	Total	Market value incl accruals %
Hedge Fund							
Hedge equity							
Global Region - USD	0.00	2,744,516.00	2,500,000.00	244,516.00	0.00	244,516.00	2,744,516.00 2.657%
International Region - USD	0.00	6,689,621.60	6,266,754.10	422,867.50	0.00	422,867.50	6,689,621.60 6.475%
United States - USD	0.00	10,650,445.30	9,000,000.00	1,650,445.30	0.00	1,650,445.30	10,650,445.30 10.309%
Total hedge equity	0.00	20,084,582.90	17,766,754.10	2,317,828.80	0.00	2,317,828.80	20,084,582.90 19.441%
Hedge event driven							
United States - USD	0.00	4,355,646.84	3,614,088.00	741,558.84	0.00	741,558.84	4,355,646.84 4.216%
Total hedge event driven	0.00	4,355,646.84	3,614,088.00	741,558.84	0.00	741,558.84	4,355,646.84 4.216%
Total hedge fund	0.00	24,440,229.74	21,380,842.10	3,059,387.64	0.00	3,059,387.64	24,440,229.74 23.657%
Cash and Cash Equivalents							
Funds - short term investment							
Funds - Short Term Investment	51.62	4,119.39	4,119.39	0.00	0.00	0.00	4,171.01 0.004%
Total funds - short term investment	51.62	4,119.39	4,119.39	0.00	0.00	0.00	4,171.01 0.004%
Currency							
Currency	- 17,244.62	0.00	0.00	0.00	0.00	0.00	-17,244.62 - 0.017%
Total currency	- 17,244.62	0.00	0.00	0.00	0.00	0.00	-17,244.62 - 0.017%
Total cash and cash equivalents	- 17,193.00	4,119.39	4,119.39	0.00	0.00	0.00	-13,073.61 - 0.013%
Adjustments To Cash							
Pending trade purchases							
Pending trade purchases	0.00	- 135,567.00	- 135,567.00	0.00	0.00	0.00	-135,567.00 - 0.131%
Total pending trade purchases	0.00	- 135,567.00	- 135,567.00	0.00	0.00	0.00	-135,567.00 - 0.131%
Pending trade sales							
Pending trade sales	0.00	21,196,649.62	21,196,649.62	0.00	0.00	0.00	21,196,649.62 20.518%
Total pending trade sales	0.00	21,196,649.62	21,196,649.62	0.00	0.00	0.00	21,196,649.62 20.518%

Northern Trust

Generated by Northern Trust from reviewed periodic data on 16 Feb 17

Statement 22

Portfolio Statement

◆ Asset Summary

Page 5 of 63

Country	Accrued income/expense	Market value	Cost	Market	Translation	Total	Market value incl. accruals	%
<i>Adjustments To Cash</i>								
Pending trade sales								
Total adjustments to cash	0 00	21,061,082 62	21,061,082 62	0.00	0.00	0 00	21,061,082 62	20.386%
Total Unrealized Gains						8,017,182.30		
Total Unrealized Losses						- 981,893.28		
Total	17,193.00	203,327,440.90	96,281,351.88	7,035,289.02	0.00	7,035,289.02	103,305,947.90	100.0000%

Total Cost incl. Accruals

96,274,558.88

Portfolio Statement

Dec 2016

◆ Asset Summary

Page 3 of 31

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss		Total	Market value incl. accruals	%
						Translation			
Other Assets									
Miscellaneous									
United States - USD	0.00	140,652.21	140,652.21	0.00	0.00	0.00	0.00	140,652.21	0.460%
Total miscellaneous	0.00	140,652.21	140,652.21	0.00	0.00	0.00	0.00	140,652.21	0.460%
Total other assets	0.00	140,652.21	140,652.21	0.00	0.00	0.00	0.00	140,652.21	0.460%
Hedge Fund									
Hedge equity									
Global Region - USD	0.00	3,295,587.00	3,250,000.00	45,587.00	0.00	0.00	45,587.00	3,295,587.00	10.783%
International Region - USD	0.00	10,802,037.50	10,158,678.95	643,358.55	0.00	0.00	643,358.55	10,802,037.50	35.342%
Ireland - USD	0.00	2,200,439.56	2,500,000.00	- 299,560.44	0.00	0.00	- 299,560.44	2,200,439.56	7.199%
United States - USD	0.00	3,599,948.05	3,468,331.62	131,616.43	0.00	0.00	131,616.43	3,599,948.05	11.778%
Total hedge equity	0.00	19,898,012.11	19,377,010.57	521,001.54	0.00	0.00	521,001.54	19,898,012.11	65.102%
Hedge event driven									
International Region - USD	0.00	3,511,246.88	3,000,000.00	511,246.88	0.00	0.00	511,246.88	3,511,246.88	11.488%
Total hedge event driven	0.00	3,511,246.88	3,000,000.00	511,246.88	0.00	0.00	511,246.88	3,511,246.88	11.488%
Hedge multi strategy									
International Region - USD	0.00	6,458,486.92	6,124,997.44	333,489.48	0.00	0.00	333,489.48	6,458,486.92	21.131%
Total hedge multi strategy	0.00	6,458,486.92	6,124,997.44	333,489.48	0.00	0.00	333,489.48	6,458,486.92	21.131%
Total hedge fund	0.00	29,867,745.91	28,502,008.01	1,365,737.90	0.00	0.00	1,365,737.90	29,867,745.91	97.721%
Cash and Cash Equivalents									
Funds - short term investment									
Funds - Short Term Investment	83.72	0.00	0.00	0.00	0.00	0.00	0.00	83.72	0.000%
Total funds - short term investment	83.72	0.00	0.00	0.00	0.00	0.00	0.00	83.72	0.000%
Total cash and cash equivalents	83.72	0.00	0.00	0.00	0.00	0.00	0.00	83.72	0.000%

Portfolio Statement

Dec 2016

◆ Asset Summary

Page 4 of 31

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss		Total	Market value incl accruals	%
						Translation			
Adjustments To Cash									
Pending trade purchases									
	0.00	- 29,249.00	- 29,249.00	0.00	0.00	0.00	0.00	-29,249.00	- 0.096%
Total pending trade purchases	0.00	- 29,249.00	- 29,249.00	0.00	0.00	0.00	0.00	-29,249.00	- 0.096%
Pending trade sales									
	0.00	584,975.77	584,975.77	0.00	0.00	0.00	0.00	584,975.77	1.914%
Total pending trade sales	0.00	584,975.77	584,975.77	0.00	0.00	0.00	0.00	584,975.77	1.914%
Total adjustments to cash	0.00	555,726.77	555,726.77	0.00	0.00	0.00	0.00	555,726.77	1.818%
Total Unrealized Gains							2,471,886.45		
Total Unrealized Losses							- 1,106,148.55		
Total	83.72	30,564,124.89	29,198,386.99	1,365,737.90	0.00	0.00	1,365,737.90	30,564,208.61	100.000%

Total Cost incl Accruals

29,198,470.71

Portfolio Statement

◆ Asset Summary

Page 3 of 28

Country	Accrued income/expense	Market value	Cost	Unrealized gain/loss		Total	Market value incl. accruals	%
				Market	Translation			
Fixed Income								
Funds - government agencies								
United States - USD	32,363.34	18,284,006.08	18,940,016.17	- 656,010.09	0.00	- 656,010.09	18,316,369.42	100.176%
Total funds - government agencies	32,363.34	18,284,006.08	18,940,016.17	- 656,010.09	0.00	- 656,010.09	18,316,369.42	100.176%
Total fixed income	32,363.34	18,284,006.08	18,940,016.17	- 656,010.09	0.00	- 656,010.09	18,316,369.42	100.176%
Cash and Cash Equivalents								
Funds - short term investment								
Funds - Short Term Investment	0.00	177.02	177.02	0.00	0.00	0.00	177.02	0.001%
Total funds - short term investment	0.00	177.02	177.02	0.00	0.00	0.00	177.02	0.001%
Total cash and cash equivalents	0.00	177.02	177.02	0.00	0.00	0.00	177.02	0.001%
Adjustments To Cash								
Pending trade purchases								
Pending trade purchases	0.00	- 32,363.34	- 32,363.34	0.00	0.00	0.00	-32,363.34	- 0.177%
Total pending trade purchases	0.00	- 32,363.34	- 32,363.34	0.00	0.00	0.00	-32,363.34	- 0.177%
Total adjustments to cash	0.00	- 32,363.34	- 32,363.34	0.00	0.00	0.00	-32,363.34	- 0.177%
Total Unrealized Gains						0.00		
Total Unrealized Losses						- 656,010.09		
Total	32,363.34	18,284,006.08	18,940,016.17	- 656,010.09	0.00	- 656,010.09	18,316,369.42	100.176%

Total Cost Incl Accruals

18,940,193.19

Portfolio Statement

◆ Asset Summary

Page 3 of 39

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss		Total	Market value incl accruals	%
						Translation			
Venture Capital and Partnerships									
Partnerships									
Global Region - USD	0.00	3,255,204.00	3,437,049.03	- 181,845.03	0.00		- 181,845.03	3,255,204.00	27.968%
United States - USD	0.00	8,221,770.00	7,492,702.76	729,067.24	0.00		729,067.24	8,221,770.00	70.640%
Total partnerships	0.00	11,476,974.00	10,929,751.79	547,222.21	0.00		547,222.21	11,476,974.00	98.609%
Total venture capital and partnerships	0.00	11,476,974.00	10,929,751.79	547,222.21	0.00		547,222.21	11,476,974.00	98.609%
Cash and Cash Equivalents									
Funds - short term investment									
Funds - Short Term Investment	3.16	161,924.70	161,924.70	0.00	0.00		0.00	161,927.86	1.391%
Total funds - short term investment	3.16	161,924.70	161,924.70	0.00	0.00		0.00	161,927.86	1.391%
Total cash and cash equivalents	3.16	161,924.70	161,924.70	0.00	0.00		0.00	161,927.86	1.391%
Total Unrealized Gains							981,526.04		
Total Unrealized Losses							- 434,303.83		

Total	3.16	11,638,898.70	11,091,676.49	547,222.21	0.00		547,222.21	11,638,898.70	100.000%
--------------	-------------	----------------------	----------------------	-------------------	-------------	--	-------------------	----------------------	-----------------

Total Cost Incl. Accruals

11,091,679.65

Portfolio Statement

◆ Asset Summary

Page 3 of 20

ASSET SUMMARY									
Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss		Total	Market value incl accruals	%
					Translation				
Equities									
Funds – common stock									
Global Region - USD	0.00	3,651,756.96	4,959,049.90	- 1,307,292.94	0.00	- 1,307,292.94	- 1,307,292.94	3,651,756.96	29.358%
Total funds - common stock	0.00	3,651,756.96	4,959,049.90	- 1,307,292.94	0.00	- 1,307,292.94	- 1,307,292.94	3,651,756.96	29.358%
Total equities	0.00	3,651,756.96	4,959,049.90	- 1,307,292.94	0.00	- 1,307,292.94	- 1,307,292.94	3,651,756.96	29.358%
Real Estate									
Real estate									
Global Region - USD	0.00	2,770,650.00	2,500,000.00	270,650.00	0.00	270,650.00	270,650.00	2,770,650.00	22.274%
Total real estate	0.00	2,770,650.00	2,500,000.00	270,650.00	0.00	270,650.00	270,650.00	2,770,650.00	22.274%
Total real estate	0.00	2,770,650.00	2,500,000.00	270,650.00	0.00	270,650.00	270,650.00	2,770,650.00	22.274%
Hedge Fund									
Hedge equity									
United States - USD	0.00	3,334,584.00	4,000,000.00	- 665,416.00	0.00	- 665,416.00	- 665,416.00	3,334,584.00	26.808%
Total hedge equity	0.00	3,334,584.00	4,000,000.00	- 665,416.00	0.00	- 665,416.00	- 665,416.00	3,334,584.00	26.808%
Hedge fund of funds									
International Region - USD	0.00	2,680,713.86	5,000,000.00	- 2,319,286.14	0.00	- 2,319,286.14	- 2,319,286.14	2,680,713.86	21.551%
Total hedge fund of funds	0.00	2,680,713.86	5,000,000.00	- 2,319,286.14	0.00	- 2,319,286.14	- 2,319,286.14	2,680,713.86	21.551%
Total hedge fund	0.00	6,015,297.86	9,000,000.00	- 2,984,702.14	0.00	- 2,984,702.14	- 2,984,702.14	6,015,297.86	48.359%

Portfolio Statement

31 Dec 2016

◆ Asset Summary

Page 4 of 20

Asset Summary									
Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss		Total	Market value incl accruals	%
						Translation			
Cash and Cash Equivalents									
Funds - short term investment									
Funds - Short Term Investment	36.65	1,043.09	1,043.09	0.00	0.00	0.00	0.00	1,079.74	0.009%
Total funds - short term investment	36.65	1,043.09	1,043.09	0.00	0.00	0.00	0.00	1,079.74	0.009%
Total cash and cash equivalents	36.65	1,043.09	1,043.09	0.00	0.00	0.00	0.00	1,079.74	0.009%
Total Unrealized Gains							270,650.00		
Total Unrealized Losses							- 4,291,995.08		
Total	36.65	12,438,747.91	16,460,992.99	4,021,345.08	0.00	0.00	4,021,345.08	12,438,784.56	100.000%

Total Cost incl. Accruals

16,460,129.64

Portfolio Statement

◆ Asset Summary

Page 3 of 29

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Total	Market value incl accruals	%
<i>Equities</i>								
Funds - common stock								
United States - USD	4,523.70	2,534,365.42	2,089,643.40	444,722.02	0.00	444,722.02	2,538,889.12	100.178%
Total funds - common stock	4,523.70	2,534,365.42	2,089,643.40	444,722.02	0.00	444,722.02	2,538,889.12	100.178%
Total equities	4,523.70	2,534,365.42	2,089,643.40	444,722.02	0.00	444,722.02	2,538,889.12	100.178%
<i>Cash and Cash Equivalents</i>								
Funds - short term investment								
Funds - Short Term Investment	0.00	4.58	4.58	0.00	0.00	0.00	4.58	0.000%
Total funds - short term investment	0.00	4.58	4.58	0.00	0.00	0.00	4.58	0.000%
Total cash and cash equivalents	0.00	4.58	4.58	0.00	0.00	0.00	4.58	0.000%
<i>Adjustments To Cash</i>								
Pending trade purchases								
Pending trade purchases	0.00	- 4,523.59	- 4,523.59	0.00	0.00	0.00	- 4,523.59	- 0.178%
Total pending trade purchases	0.00	- 4,523.59	- 4,523.59	0.00	0.00	0.00	- 4,523.59	- 0.178%
Total adjustments to cash	0.00	- 4,523.59	- 4,523.59	0.00	0.00	0.00	- 4,523.59	- 0.178%
Total Unrealized Gains						444,722.02		
Total Unrealized Losses								
						0.00		
Total	4,523.70	2,529,841.83	2,085,124.39	444,722.02	0.00	444,722.02	2,534,370.11	100.000%
Total Cost Incl Accruals								
			2,089,648.09					

JOHN T. GORMAN FOUNDATION

EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
AARP Foundation 501 E Street NW Washington, DC 20049	N/A	PC	To support AARP Maine's engagement of local organizations and citizens over the age of 50, and to create additional infrastructure to support localities investing in preparing for aging residents.	\$50,000
ACLU of Maine Foundation 121 Middle Street, Suite 301 Portland, ME 04101	N/A	PC	Sponsorship support for the 28th Annual Justice Louis Scolnik Award Dinner.	\$500
Alfond Scholarship Foundation 15 Monument Square, 4th Floor Portland, ME 04101	N/A	SO, Type I	For a systematic and objective evaluation of its Children's Savings Account program, the Harold Alfond College Challenge.	\$50,000
Alfond Youth Center 126 North Street Waterville, ME 04901	N/A	PC	For community-based summer programming to address summer learning loss in Bangor's Capehart neighborhood.	\$49,970
American Cancer Society, Inc. 30 Speen Street Framingham, MA 01701	N/A	PC	To support the Patient Navigation Programs at Maine Medical Center and Maine General Medical Center and the for the Road to Recovery transportation program.	\$25,000
Amistad P.O. Box 992 Portland, ME 04104	N/A	PC	To address the needs of individuals with mental illness and other life challenges, and to develop peer services.	\$15,000
Androscoggin Home Health Services 15 Strawberry Avenue Lewiston, ME 04240	N/A	PC	To provide bereavement services for hospice patients and their families who are affected by cancer	\$25,000
Area Interfaith Outreach 1248 North Union Road Union, ME 04862	N/A	PC	To provide food and emergency aid to economically distressed people of Knox County.	\$20,000
Aroostook County Action Program 771 Main Street Presque Isle, ME 04769	N/A	PC	To support the Energy Crisis Intervention Program, an effort that helps individuals and families manage crises such as homelessness or eviction in Aroostook County.	\$25,000
Augusta Food Bank 9 Summer Street Augusta, ME 04330	N/A	PC	To support service expansion in the Augusta area.	\$50,000
Augusta Public Schools 40 Pierce Drive, Suite #3 Augusta, ME 04330	N/A	GOV	For community-based programming to address summer learning loss in Augusta.	\$9,586

JOHN T. GORMAN FOUNDATION

EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Avesta Housing Development Corporation / Maine Housing 307 Cumberland Avenue Portland, ME 04101	N/A	PC	\$32,000-For the Maine Affordable Housing Coalition to support outreach and education work on lead poisoning prevention and affordable senior housing, as well as an update to senior housing research. \$1,500-To provide sponsorship support for the 2016 Housing Policy Conference. \$25,000-To provide support for people transitioning from homelessness to affordable housing in Portland. To support programming for post-secondary readiness activities for prospective participants in Family Futures Downeast, a two-generation program in Washington County.	\$58,500
Axiom Education and Training Center 6 Colonial Way Machias, ME 04654	N/A	PC	To support programming for post-secondary readiness activities for prospective participants in Family Futures Downeast, a two-generation program in Washington County.	\$150,000
Bangor Housing Development Corporation 161 Davis Road Bangor, ME 04401	N/A	PC	For Bangor Housing Authority's Family Self-Sufficiency Program, a program that enables families to increase their earned income and reduce their dependency on public assistance and rental subsidies.	\$108,000
Bates College 2 Andrews Road Lewiston, ME 04240	N/A	PC	To provide scholarships for Maine students	\$10,000
Bath Housing Development Corporation 80 Congress Street Bath, ME 04530	N/A	PC	\$20,000-For the Community Aging in Place program to increase the housing stability of low-income, elderly homeowners in the greater Bath, Maine region by increasing safety, minimizing the maintenance burden, and lengthening tenure in their own homes. \$25,000-To provide comprehensive technical assistance services to four Maine Public Housing Authorities as they replicate Bath Housing's Community Aging in Place Program.	\$45,000
Big Brothers Big Sisters of Southern Maine P.O. Box 7830 Portland, ME 04112	N/A	PC	To support the Great Futures Campaign	\$5,000
Boy Scouts of America - Pine Tree Council 146 Plains Road Raymond, ME 04071	N/A	PC	To provide support for the Scout Program Aid Initiative in six scouting units in under-served Maine communities.	\$15,750

JOHN T. GORMAN FOUNDATION
 EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Boys & Girls Club of Southern Maine P.O. Box 7830 Portland, ME 04112	N/A	PC	\$38,315-For community-based summer programming to address summer learning loss in Lewiston, Portland and South Portland. \$5,000-To expand services in Southern Maine.	\$43,315
Brandeis University Sponsored Programs Accounting, MS 144, P.O. Box 549110 Waltham, MA 02454-9110	N/A	PC	\$83,000-For the evaluation of the Bangor Family Self Sufficiency program and additional funds for the evaluation of the Family, Friend and Neighbor Care pilot. \$10,000-To provide technical assistance and support for the Gateway to Opportunity summer youth employment program.	\$93,000
Campaign for Justice 40 Water Street Hallowell, ME 04347	N/A	PC	To provide support to six providers: Pine Tree Legal Assistance, Maine Equal Justice Partners, Legal Services for the Elderly, Immigrant Legal Advocacy Project, Cumberland Legal Aid Clinic and Maine Volunteer Lawyers Project.	\$1,000
Catholic Charities of Maine 307 Congress Street Portland, ME 04104	N/A	PC	To provide support to homebound seniors in Androscoggin, Kennebec, Lincoln, Sagadahoc, and Franklin counties.	\$25,000
Center for Wisdom's Women 97 Blake Street Lewiston, ME 04240	N/A	PC	For a drop-in center for low-income women providing food, clothing, and personal hygiene products.	\$10,000
Chebeague Island Council P.O. Box 12 Chebeague Island, ME 04017	N/A	PC	To support the Samaritan Fund and the Fuel Assistance Fund on Chebeague Island.	\$5,000
Cheverus High School 267 Ocean Avenue Portland, ME 04103	N/A	PC	\$10,000-To support professional development in educational technology. \$5,000-For general operating support.	\$15,000
Child And Family Opportunities P.O. Box 648 Ellsworth, ME 04605	N/A	PC	For the Early Education Access Fund helping parents who need childcare, and who are ineligible for other assistance or are unable to pay full fees due to changes in employment; changes in family health and well-being, or unexpected situations.	\$15,000
City of Lewiston 27 Pine Street Lewiston, ME 04240	N/A	GOV	To provide training in entry level construction trades to individuals with low-incomes, including parents, New Mainers, and veterans.	\$150,000

JOHN T. GORMAN FOUNDATION
 EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
City of Portland 389 Congress Street Portland, ME 04101	N/A	GOV	\$16,693-For community-based summer programming to address summer learning loss in Portland.	\$17,463
Community Clinical Services 57 Birch Street, Suite 201 Lewiston, ME 04240	N/A	PC	\$500-Sponsorship of Maine World Refugee Day. To provide general operating support for patients' out of pocket expenses not covered by any type of insurance; and community outreach, education and engagement activities.	\$10,000
Community Concepts, Inc. 240 Bates Street Lewiston, ME 04240	N/A	PC	To support data analysis, the ability to conduct a strategic analysis of its housing capacity, and greater efficiency in transportation scheduling.	\$224,000
Community Financial Literacy P.O. Box 8013 Portland, ME 04104	N/A	PC	To provide general operating support to provide financial education courses and individual financial and college access counseling sessions.	\$15,000
Consumers for Affordable Health Care Foundation 12 Church Street Augusta, ME 04330	N/A	PC	Sponsorship of the 4th Annual Health Care for Maine Educational Summit.	\$1,000
Cultivating Community P.O. Box 3792 Portland, ME 04104	N/A	PC	To provide support for programs that increase access to healthy food.	\$15,000
Day One 525 Main Street South Portland, ME 04106	N/A	PC	To help homeless youth and other vulnerable young people obtain stable housing.	\$25,000
Dean Snell Cancer Foundation P O. Box 104 Brunswick, ME 04011	N/A	PC	To provide financial assistance to cancer patients being treated at New England Cancer Specialists in Brunswick.	\$10,000
Down East Hospice Volunteers 24 Hospital Lane Calais, ME 04619	N/A	PC	To provide cost-free compassionate care for terminally ill cancer patients, their families and their caregivers.	\$15,000
Eastern Maine Healthcare Systems 43 Whiting Hill Road Brewer, ME 04401	N/A	PC	To provide support for the Patient Assistance Fund to help cancer patients in Aroostook County with travel and lodging, and financial help for medication co-pays.	\$20,000

JOHN T. GORMAN FOUNDATION
 EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Educate Maine 482 Congress Street, Suite 303 Portland, ME 04101	N/A	PC	\$50,000-To develop and distribute the Education Indicators for Maine 2016 report, as well to support the production of three policy briefs. \$99,000-To serve as the fiscal sponsor for Count Me In, an initiative focused on partnering with school districts and communities to decrease chronic absenteeism by implementing evidence-based practices. \$10,000-To support the creation of a plan that links education investments to workforce outcomes. \$4,000-For general operating support.	\$163,000
Foundation for A Strong Maine Economy	N/A	PC	For FocusMaine, which works to advance and strengthen new economic sectors in Maine.	\$40,000
Foundation for Maine's Community Colleges 54 Lighthouse Circle South Portland, ME 04106	N/A	PC	For general operating support.	\$7,500
Freeport Community Services P.O. Box 119 Freeport, ME 04032	N/A	PC	To support staff and programs that serve the most vulnerable residents of Freeport and Pownal, including low-income seniors and children.	\$15,000
Friends Of Aroostook 144 Military Street Houlton, ME 04730	N/A	PC	To provide funding to grow, maintain, harvest and deliver fresh produce to people in need living in Aroostook, Washington, Piscataquis and Penobscot counties.	\$10,000
Friends of the Long Creek Youth Development Center 675 Westbrook Street South Portland, ME 04106	N/A	PC	To support opportunities for youth in Maine's juvenile justice system.	\$25,000
Gathering Place 24 Summer Street Topsham, ME 04086	N/A	PC	To provide general operating support for the only daytime shelter for the poor and homeless in the Midcoast Region.	\$5,000
Good Shepherd Food-Bank P.O. Box 1807 Auburn, ME 04211	N/A	PC	To provide general operating support	\$15,000

JOHN T. GORMAN FOUNDATION

EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Goodwill Industries of Northern New England 34 Hutchinson Drive Gorham, ME 04038	N/A	PC	\$88,000-To support the Take2 program, which provides career pathways and opportunities to build community connections for disconnected youth in Lewiston-Auburn \$95,011-For the Gateway to Opportunity pilot, an employability initiative offering at-risk youth meaningful, work-based learning projects during the summer months.	\$183,011
Grahamstastic Connection 21 Bradeen Street, Suite 107 Springvale, ME 04083	N/A	PC	To provide laptops, tablets and internet access for children in Maine who are battling cancer.	\$15,000
Grantmakers for Effective Organizations 1725 DeSales Street NW, Suite 404 Washington, DC 20036	N/A	PC	For general operating support.	\$2,471
Greater Portland Charitable Furniture Center P.O. Box 7894 Portland, ME 04112	N/A	PC	To provide donated furniture to people in the Greater Portland area who lack basic home necessities.	\$10,000
Green Healthy Homes Initiative 2714 Hudson Street Baltimore, MD 21224	N/A	PC	\$10,000-To support work with staff at the Conservation Law Foundation, which is helping local advocates provide technical assistance to reduce exposure to lead paint in the Lewiston-Auburn area's housing stock. \$15,000-For grant writing and technical assistance to MaineHousing related to the submission of a 2016 Lead-Based Paint Hazard Control / Lead Hazard Reduction Demonstration Grant.	\$25,000
Gulf of Maine Research Institute 350 Commercial Street Portland, ME 04101	N/A	PC	For general operating support.	\$10,000
Hand In Hand / Mano En Mano, Milbridge P.O. Box 573 Milbridge, ME 04658	N/A	PC	To provide support for a drop-in resource center, home-based services, case management, referrals and transportation services for immigrants and farmworkers in Milbridge and surrounding towns.	\$15,000
Immigrant Legal Advocacy Project P.O. Box 19717 Portland, ME 04101	N/A	PC	To provide general operating support for legal services to Maine's low-income immigrants.	\$15,000

JOHN T. GORMAN FOUNDATION
 EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Intercultural Community Center 36 Patrick Drive Westbrook, ME 04092	N/A	PC	\$5,000-To help low-income immigrants access housing, education and employment. \$1,500-Sponsorship for the Harvest on the Ridge Fall Banquet. \$40,000-For community-based summer programming to address summer learning loss in Westbrook.	\$46,500
Irene Chadbourne Ecumenical Food Pantry 513 Main Street Calais, ME 04619	N/A	PC	To provide for children, young parents and the elderly living in Washington County, the Passamaquoddy Indian Township and Pleasant Point with basic services such as food, fuel and transportation.	\$15,000
Island Commons Resource Center 132 Littlefield Road Chebeague Island, ME 04017	N/A	PC	For general operating support.	\$1,000
Island Institute 386 Main Street Rockland, ME 04841	N/A	PC	To provide business support services to small businesses in rural coastal areas and islands in Maine.	\$100,000
Jewish Community Alliance Of Southern Maine 57 Ashmont Street Portland, ME 04103	N/A	PC	To support the food and diaper pantry, which serves those in need in the Portland area.	\$15,000
Jobs for Maine Graduates 45 Commerce Drive, Suite 9 Augusta, ME 04330	N/A	PC	For a pilot to expand the Opportunity Passport matched savings program in Maine.	\$137,000
Kennebec Valley Community Action Program 97 Water Street Waterville, ME 04901	N/A	PC	To provide transportation services to individuals and families affected by cancer.	\$15,000
Kennebec Valley Mental Health Center 67 Eustis Parkway Waterville, ME 04901	N/A	PC	To provide support to youth in Central Maine who are experiencing homelessness.	\$25,000
Knox County Homeless Coalition P.O. Box 1696 Rockland, ME 04841	N/A	PC	To provide support for mental health programs serving homeless clients in Knox County.	\$25,000
Lake Region Senior Service, Inc. P.O. Box 816 Bridgton, ME 04009	N/A	PC	To provide support for programs that provide transportation to low-income seniors, disabled citizens and cancer patients.	\$10,000

**Part XV, Line 3a: Grants and Contributions Paid During the Year
 Fiscal Year Ending December 31, 2016**

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Legal Services for the Elderly 5 Wabon Street Augusta, ME 04330	N/A	PC	For a statewide public awareness and education campaign focused on elder maltreatment, and to ensure that all victims who contact LSE for services receive the help that they need.	\$187,000
Leukemia & Lymphoma Society 9 Eerie Drive Natick, MA 01760	N/A	PC	To provide assistance with travel and other expenses for Maine patients undergoing cancer treatment.	\$15,000
Lewiston Public Schools 36 Oak Street Lewiston, ME 04240	N/A	GOV	\$7,000-To support the Restorative Practices Working Group, which is creating a plan to implement restorative practices at the Lewiston High School for school year 2017-2018 \$10,000-To support the Restorative Practices Working Group, which is creating a plan to implement restorative practices at the Lewiston High School for school year 2017-2018. \$62,000-For the Lewiston Campaign for Grade-Level Reading initiative, which works to ensure Lewiston students are reading at grade level by the end of third grade. \$152,000-To support two English Language Learner coaches to implement recommendations from a program evaluation conducted by the Center for Applied Linguistics	\$231,000
Lydia Home Association P.O. Box 93 Whiting, ME 04691	N/A	PC	To support Safe Families for Children, which arranges homestays for children in families experiencing immediate crises.	\$75,000
Maine Association of Area Agencies on Aging P.O. Box 5415 Augusta, ME 04332	N/A	SO, Type I	To help Maine's five area agencies on aging meet the growing demand by older adults for access to food and home repair services.	\$150,000
Maine Association of Nonprofits 565 Congress Street Portland, ME 04101	N/A	PC	For general operating support	\$10,000
Maine Commission on Indigent Legal Services 19 Union Street Augusta, ME 04330	N/A	GOV	To train juvenile defense attorneys.	\$17,600

JOHN T. GORMAN FOUNDATION
 EIN: 01-0498551

**Part XV, Line 3a: Grants and Contributions Paid During the Year
 Fiscal Year Ending December 31, 2016**

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Maine Community Action Association 20A Church Street East Wilton, ME 04234	N/A	PC	\$80,000-For repairs to homes of senior Maine residents. \$120,000-To support six programs (Community Concepts, KVCAP, Penquis, Waldo CAP, Washington Hancock CAP and York County Cap) that provide transportation for seniors in underserved areas.	\$200,000
Maine Community Foundation 245 Main Street Ellsworth, ME 04605 Maine Council on Aging 8 Falcon Road Lewiston, ME 04240	N/A	PC	Towards a pooled fund to support a statewide policy and advocacy effort intended to reduce harm and addiction from opiate misuse.	\$10,000
	N/A	PC	Sponsorship of the 3rd Annual Maine Summit on Aging.	\$2,500
Maine Development Foundation 295 Water Street, Suite 5 Augusta, ME 04330	N/A	PC	To serve as the fiscal sponsor for the Maine Resilience Building Network (MRBN)'s work to educate and train practitioners to identify and address the implications of Adverse Childhood Experiences (ACES).	\$10,000
Maine Equal Justice Partners 126 Sewall Street Augusta, ME 04330	N/A	PC	\$1,000-Sponsorship of MEJP's 20th anniversary celebration. \$19,000-To build a broad base of support for solutions to child poverty by identifying indicators and developing a scorecard to measure outcomes of assistance programs.	\$20,000
Maine Immigrant & Refugee Services P.O. Box 7149 Lewiston, ME 04243	N/A	PC	\$1,000-Sponsorship of the 5th Annual Juvenile Justice panel. \$7,500-For general operating support.	\$8,500
Maine Philanthropy Center USM Glickman Family Library, P.O. Box 9301 Portland, ME 04104	N/A	PC	For general operating support.	\$9,650
Maine Public 323 Marginal Way Portland, ME 04101	N/A	PC	For sponsorship support.	\$10,089
Maine Seacoast Mission 127 West Street Bar Harbor, ME 04609	N/A	PC	To support the Weald Bethel Food Pantry, which serves low-income residents within a 700 mile radius of Washington County.	\$15,000

JOHN T. GORMAN FOUNDATION
 EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Maine Women's Policy Center 124 Sewall Street Augusta, ME 04330	N/A	PC	To research, write and publish a white paper documenting the current status of key programs that serve children and families, and to support other policy-related activities	\$80,000
Mid Coast Hunger Prevention Program 84A Union Street Brunswick, ME 04011	N/A	PC	To support programs that provide food to low- income families.	\$15,000
Mid-Coast Health Net 22 White Street Rockland, ME 04841	N/A	PC	To increase the number of patients receiving mental health counseling.	\$20,000
Milestone Foundation, Inc. 65 India Street Portland, ME 04101	N/A	PC	To support Milestone's Homeless Outreach and Mobile Engagement (HOME) Team and Emergency Homeless Shelter.	\$25,000
Mitchell Institute 75 Washington Avenue, Suite 2E Portland, ME 04101	N/A	PC	\$9,800-To support the adoption and implementation of an integrated data management platform. \$2,500-To provide sponsorship support for the 2016 Mitchell Institute Fall Gala.	\$12,300
Mount Blue Regional School District 115 Learning Lane Farmington, ME 04938	N/A	GOV	To provide school-based summer learning programming to address summer learning loss in Farmington.	\$10,000
Muskie Fund for Legal Services P.O. Box 547 Portland, ME 04112	N/A	PC	To provide sponsorship support for the 2016 Hon. Edmund S. Muskie Access to Justice Award Dinner.	\$1,500
My Place Teen Center 755 Main Street Westbrook, ME 04092	N/A	PC	For general operating support.	\$1,000
NAMI - Maine, Inc. 1 Bangor Street Augusta, ME 04330	N/A	PC	For general operating support.	\$500
New Beginnings 436 Main Street Lewiston, ME 04240	N/A	PC	To provide program support for a 24-hour shelter for runaway and homeless teens in Maine.	\$15,000

JOHN T. GORMAN FOUNDATION
EIN: 01-0498551

**Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016**

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
New Ventures University of Maine-Augusta, 331 Water Street Augusta, ME 04330	N/A	GOV	\$310,000-To build the capacity of CA\$H Coalitions statewide to help families become more financially stable, to enhance access to financial training services and products, and to support the creation of a policy and research agenda. \$10,000-To provide coordinated financial education, career planning training and individual coaching to help meet individual and program goals for Family Self- Sufficiency Programs at the Bangor Housing Authority and Lewiston Housing Authority.	\$320,000
North Haven Sustainable Housing P.O. Box 373 North Haven, ME 04853	N/A	PC	To provide sponsorship support for the "Aging on Islands" conference.	\$1,000
Oasis Health Network 66 Baribeau Drive Brunswick, ME 04011	N/A	PC	To provide more free mental health services.	\$20,000
Olympia Snowe Women's Leadership Institute One Canal Plaza, Suite 501 Portland, ME 04101	N/A	PC	For the Androscoggin County beta class of 49 juniors as they begin year two of the leadership program, which is focused on helping participants learn effective communication skills.	\$50,000
Oxford County Mental Health Services 150 Congress Rumford, ME 04276	N/A	PC	To provide mental health services and intervention when law enforcement has become involved in a mental health crisis.	\$25,000
Penobscot Community Health Care 103 Maine Avenue Bangor, ME 04402	N/A	PC	To provide mental health services to clients in the Hope House Health and Living Center.	\$25,000
Penobscot East Resource Center 13 Atlantic Avenue Stonington, ME 04681	N/A	PC	For the Eastern Maine Skipper's Program, which provides multiple pathways to high school graduation for at-risk students interested in marine related careers.	\$100,000
Penquis Community Action Program P.O. Box 1162 Bangor, ME 04402	N/A	PC	\$140,000-To increase the quality of family, friend and neighbor care in Bangor's Capehart community. \$15,000-To provide transportation assistance for individuals who do not have or cannot afford transportation to cancer treatment or support.	\$155,000

JOHN T. GORMAN FOUNDATION

EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Pine Tree Hospice 883 West Main Street Dover-Foxcroft, ME 04426	N/A	PC	To assist clients and families experiencing end-of-life challenges and bereavement.	\$15,000
Pine Tree Legal Assistance P.O. Box 547 Portland, ME 04112	N/A	PC	\$90,000-To support the KIDS LEGAL Fellowship, focused on issues related to the successful transition of vulnerable older youth to adulthood. \$215,000-For a medical-legal partnership intended to reduce lead paint exposure and poisoning among children in Lewiston.	\$305,000
Portland Education Foundation P.O. Box 783 Portland, ME 04101	N/A	PC	To support a universal breakfast program at the Fred P. Hall School in Portland.	\$20,000
Portland Regional Chamber 443 Congress Street Portland, ME 04101	N/A	NC	To support enhanced and expanded services for low-to- moderate-income individuals in Portland by providing employment case management, workshops, networking events, job fairs and job classes.	\$82,320
Preble Street P.O. Box 1459 Portland, ME 04104	N/A	PC	\$271,000-To support the First Place program, which helps young people experiencing homelessness transition to permanent and stable housing. \$20,000-To provide support for Preble Street's Emergency Food Programs at the Resource Center Soup Kitchen and Food Pantry, the Florence House Soup Kitchen, and the Teen Center Soup Kitchen. \$6,500-For general operating support.	\$297,500
Progress Center 35 Cottage Street Norway, ME 04268	N/A	PC	To provide support for a community kitchen program serving children, youth and families in Norway.	\$15,000
Rockland District Nursing Association P.O. Box 1713 Rockland, ME 04841	N/A	PC	To provide affordable in-home and community health nursing services to low- to moderate- income seniors	\$21,000
Eastern Maine Health Systems (RossCare) 489 State Street Bangor, ME 04401	N/A	PC	To support the monthly subsidy given to low-income subscribers of EMHS LiveSAFE, a service which allows seniors to continue to live independently and safely in their own homes	\$12,000

JOHN T. GORMAN FOUNDATION

EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Rumford Group Home 160 Lincoln Avenue Rumford, ME 04276	N/A	PC	To serve children who need crisis stabilization services.	\$25,000
Rural Community Action Ministry 81 Church Street Leeds, ME 04263	N/A	PC	To support programs aimed at alleviating hunger and homelessness for the most vulnerable residents in 13 central Maine towns.	\$15,000
Safe Voices P.O. Box 713 Lewiston, ME 04212	N/A	PC	To support direct services such as emergency housing to men and women fleeing domestic violence situations.	\$15,000
Sanford Public Schools 917 Main Street, Suite 200 Sanford, ME 04073	N/A	GOV	To support community- based summer programming to address summer learning loss in Sanford.	\$10,000
Sexual Assault Prevention and Response Services P O. Box 648 Auburn, ME 04212	N/A	PC	To provide mental health services to children who have been sexually abused in Androscoggin, Oxford and Franklin counties.	\$25,000
Sexual Assault Response Services of Southern Maine P.O. Box 1371 Portland, ME 04104	N/A	PC	To provide funding to support sexual assault education and services specific to homeless and at-risk youth.	\$20,000
Shaw House 136 Union Street Bangor, ME 04401	N/A	PC	To provide mental health support services for homeless at-risk youth throughout five counties in Maine.	\$20,000
Southern Maine Agency On Aging 136 US Route 1 Scarborough, ME 04074	N/A	PC	To provide support for the When In Need (WIN) Fund, a discretionary fund designed to support the basic human needs of older and disabled adults in southern Maine.	\$10,000
St. Mary's Health System P.O. Box 7291 Lewiston, ME 04234	N/A	PC	To provide general operating support funds to the St. Mary's Nutrition Center, which improves food access to those in need in Lewiston-Auburn.	\$15,000

**Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016**

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Sunrise County Economic Council 53 Prescott Drive, Suite 3 Machias, ME 04654	N/A	PC	\$10,000-To identify new opportunities for Maine Tribes to better represent and serve their most vulnerable families through Wabanaki Aspirations, a collaborative entity that supports and incubates responsive, culturally competent services. \$200,000-For Family Futures Downeast, a two – generation education and workforce training opportunity for low – income parents in Washington County.	\$210,000
Sunrise Opportunities P.O. Box 88 Machias, ME 04654	N/A	PC	\$190,000-To help Community Caring Collaborative maintain the necessary infrastructure to continue to support children and families in Washington County. \$5,000-For the Hope and Dream Fund, which provides financial assistance to vulnerable families in Washington County.	\$195,000
Tedford Housing P.O. Box 958 Brunswick, ME 04011	N/A	PC	To provide mental health services for clients at the Cumberland Street Emergency Housing Shelter.	\$15,000
The Opportunity Alliance 50 Lydia Lane South Portland, ME 04106	N/A	PC	\$15,000-To provide support for Morrison Place, which provides transitional housing and treatment for homeless individuals diagnosed with co-occurring major mental illness and substance use disorders. \$100,000-To provide support for business intelligence through the development of a data analytic infrastructure.	\$115,000
Tides Foundation P.O. Box 29903 San Francisco, CA 94129	N/A	PC	Sponsorship of the Rural Dinner at the 2016 Funder-to-Funder Huddle of the Grade-Level Reading Collective Action Fund.	\$10,000
Tree Street Youth 144 Howe Street Lewiston, ME 04240	N/A	PC	\$50,000-For capital funding support to expand the existing facility. \$6,000-For general operating support.	\$56,000
Unitarian Universalist Association 24 Farnsworth Street Boston, MA 02210	N/A	PC	To purchase non-food items for Sanford's Corner Cupboard, such as batteries for smoke detectors, trash bags and personal hygiene products.	\$7,000

JOHN T. GORMAN FOUNDATION

EIN: 01-0498551

**Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016**

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
United Way of Greater Portland P.O. Box 15200 Portland, ME 04112	N/A	PC	\$175,000-For Starting Strong, Portland's Campaign for Grade-Level Reading initiative, which works to ensure Portland students are reading at grade level by the end of third grade. \$250,000-Matching grant for the 2016 Annual Campaign. \$5,000-Sponsorship of the Women's Leadership Council. \$75,000-For Portland ConnectEd, a cross-sector partnership committed to building a citywide cradle-to-career infrastructure and culture to support high quality education.	\$517,500
United Way of Mid Coast Maine 34 Wing Farm Parkway Bath, ME 04530	N/A	PC	\$12,500-To support the 2016 Annual Campaign To develop an integrated and collaborative system of home visiting programs in Brunswick, Harpswell and all towns in Sagadahoc and Lincoln Counties.	\$50,000
University of Maine School of Law 246 Deering Avenue Portland, ME 04102	N/A	PC	For the Juvenile Justice Reform Project (JJRP), through which law students and faculty will research, develop, and advocate for several key reforms needed to ensure that children involved in the Maine juvenile justice system have a successful transition away from that system and into adulthood.	\$31,000
University of Maine Senior Companion Program 5717 Corbett Hall, Room 301 Orono, ME 04469	N/A	PC	To expand the capacity of staff to identify and accommodate the needs of the disabled aging population in the region.	\$30,000
University of Maine System 16 Central Street Bangor, ME 04401	N/A	PC	For a Western Maine pilot called Healthcare Career Pathways for Opportunity Youth.	\$5,000
University of New England 716 Stevens Avenue Portland, ME 04101	N/A	PC	To provide support to develop a comprehensive, state-wide plan to address the impacts of trauma in children and families.	\$93,500
University of New Hampshire Elliott Alumni Center, 9 Ridgewood Road Durham, NH 03824	N/A	PC	For the Gorman Family Fund, which provides need-based scholarship support for students from Maine to attend the University of New Hampshire	\$40,000
Upper Valley Economic Council 36 School Street, #4 Sherman, ME 04776	N/A	PC	To provide support for the Upper Valley Economic Council's Food Pantry, serving food insecure families in Aroostook County.	\$5,000

JOHN T. GORMAN FOUNDATION

EIN: 01-0498551

**Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016**

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
University of Southern Maine Muskie School of Public Service P.O. Box 9300 Portland, ME 04104	N/A	PC	\$50,000-To develop and implement an employer engagement strategy aimed at elevating youth workforce development in Maine. \$75,000-To continue the implementation of the Aspen Opportunity Youth Incentive Fund work in Southern Maine as part of the Southern Maine Youth Transition Network. \$44,500-To support the Southern Maine Youth Transition Network's implementation plan to provide comprehensive, coordinated supports and opportunities to vulnerable older youth. \$41,000-For the Maine Juvenile Justice Collateral Consequences Report. \$50,000-To develop and implement an employer engagement strategy to address youth workforce development in Maine. \$65,000-To support an enhanced level of coordination and organization among all service providers who work with youth in foster care. \$40,000-For the Data Innovation Project to provide technical assistance to Foundation-supported projects, including research and data support for Portland Connected.	\$365,500
Waterville Senior High School 1 Brooklyn Avenue Waterville, ME 04901	N/A	GOV	To sponsor the Adverse Childhood Experience Committee to attend trainings from the American Academy of Pediatrics.	\$4,485
Westbrook Community Services 426 Bridge Street Westbrook, ME 04092	N/A	PC	To provide support for families who need help with basic needs and services to navigate through the initial months of relocation such as school transitions and medical care.	\$20,000
Western Maine Community Action P.O. Box 200 East Wilton, ME 04234	N/A	PC	\$10,000-To support organizing community members around the improvement of housing in downtown Lewiston and Auburn. \$35,400-For the Healthy Neighborhoods Planning Council, which organizes community members trying to improve housing in downtown Lewiston and Auburn.	\$45,400

JOHN T. GORMAN FOUNDATION

EIN: 01-0498551

Part XV, Line 3a: Grants and Contributions Paid During the Year
Fiscal Year Ending December 31, 2016

Recipient Name and Address	Relationship (if individual)	Foundation Status	Purpose of Grant or Contribution	Amount
Yarmouth Senior Housing Bartlett Circle Yarmouth, ME 04096	N/A	PC	For minor safety improvements to senior housing.	\$7,000
York County Community Action Corporation P O. Box 72 Sanford, ME 04073	N/A	PC	\$60,000-For a feasibility study on establishing an intergenerational day care center that would combine childcare and adult day services at one location. \$20,000-To provide mental health services such as assessments, behavior and treatment planning, and whole-family interventions for children in Head Start.	\$80,000
Youth and Family Outreach 331 Cumberland Avenue Portland, ME 04101	N/A	PC	For general operating support.	\$3,000
				\$7,043,410

JOHN T. GORMAN FOUNDATION

FORM 990-PF: SUPPLEMENTAL STATEMENT 24

Year Ended December 31, 2016

01-0498551

Part VII-B, Line 5c: Statement Required by Regs. Section 53-4945-5(d)

1. Name and address of grantee:

Portland Regional Chamber
443 Congress Street
Portland, Maine 04101

2. Date and amount of the grant:

Grant #: 2015-048-ER
Approval Date: July 9, 2015
Grant Amount: \$10,000
Grant Period: July 6, 2015 – July 5, 2016

3. Purpose of the grant:

The John T. Gorman Foundation grant provided funds to the Portland Regional Chamber for the support of the Growing Portland initiative. The Growing Portland initiative seeks to create jobs, generate investments, and attract young people with a focus on the marine economy, health informatics, and workforce development.

4. Amounts expended by the grantee (based on the most recent report):

The John T. Gorman Foundation grant funds to the Portland Regional Chamber will be used for supporting the manager of Growing Portland initiative over the next year for the purpose of supporting meaningful jobs for populations facing barriers to employment with a particular focus on marine economy and health informatics in and around the City of Portland.

5. Statement regarding fund diversion:

To the best of John T. Gorman Foundation's knowledge, the grantee has not diverted any portion of the fund from the purpose of the grant.

6. Dates of any reports received from the grantee:

Narrative and financial reports were received by August 8, 2016.

7. Dates and results of verification of grantee's reports:

Narrative and financial reports were received by August 8, 2016.

JOHN T. GORMAN FOUNDATION

FORM 990-PF: SUPPLEMENTAL STATEMENT 24

Year Ended December 31, 2016

01-0498551

Part VII-B, Line 5c: Statement Required by Regs. Section 53-4945-5(d)

1. Name and address of grantee:

Portland Regional Chamber
443 Congress Street
Portland, Maine 04101

2. Date and amount of the grant:

Grant #: 2016-018-ER
Approval Date: March 22, 2016
Grant Amount: \$82,320
Grant Period: March 18, 2016 – March 17, 2017

3. Purpose of the grant:

The John T. Gorman Foundation grant provided funds to the Portland Regional Chamber for the support of the Portland Workforce Pipeline Initiative. The Portland Workforce Pipeline Initiative's goal is to create a continuum of education, training and services that lead marginalized, unemployed populations directly into employment opportunities in Portland.

4. Amounts expended by the grantee (based on the most recent report):

The John T. Gorman Foundation grant funds to the Portland Regional Chamber will be used for hiring and oversight of a coordinator over the next year for the purpose of supporting the further development and implementation of a jobs pipeline in the City of Portland.

5. Statement regarding fund diversion:

To the best of John T. Gorman Foundation's knowledge, the grantee has not diverted any portion of the fund from the purpose of the grant.

6. Dates of any reports received from the grantee:

Narrative and financial reports were due on April 28, 2017.

7. Dates and results of verification of grantee's reports:

Narrative and financial reports were received and approved by May 9, 2017.

JOHN T. GORMAN FOUNDATION

FORM 990-PF: SUPPLEMENTAL STATEMENT 24

Year Ended December 31, 2016

01-0498551

Part VII-B, Line 5c: Statement Required by Regs. Section 53-4945-5(d)

1. Name and address of grantee:

Portland Regional Chamber
443 Congress Street
Portland, Maine 04101

2. Date and amount of the grant:

Grant #: 2014-127-ER

Approval Date: December 1, 2014

Grant Amount: \$82,320

Grant Period: December 1, 2014 – November 30, 2015 (extended to March 21, 2016)

3. Purpose of the grant:

The John T. Gorman Foundation grant provided funds to the Portland Regional Chamber for the support of the Portland Workforce Pipeline Initiative. The Portland Workforce Pipeline Initiative's goal is to create a continuum of education, training and services that lead marginalized, unemployed populations directly into employment opportunities in Portland.

4. Amounts expended by the grantee (based on the most recent report):

The John T. Gorman Foundation grant funds to the Portland Regional Chamber will be used for hiring and oversight of a coordinator over the next year for the purpose of supporting the further development and implementation of a jobs pipeline in the City of Portland.

5. Statement regarding fund diversion:

To the best of John T. Gorman Foundation's knowledge, the grantee has not diverted any portion of the fund from the purpose of the grant.

6. Dates of any reports received from the grantee:

Narrative and financial reports were received and approved by May 16, 2016.

7. Dates and results of verification of grantee's reports:

Narrative and financial reports were received and approved by May 16, 2016.