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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
Simmons Foundation - PerkinsThompson

A Employer identification number
01-0277832

Number and street (or P O box number if mail is not delivered to street address) PO Box 426 One Canal Plaza
Room/suite

B Telephone number (see instructions)
(207) 774-2635

City or town, state or province, country, and ZIP or foreign postal code
Portland, ME 04112

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 2,731,107

J Accounting method ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	49,953	49,953		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	142,489			
	b	Gross sales price for all assets on line 6a 827,715				
	7	Capital gain net income (from Part IV, line 2)		95,271		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	192,442	145,224		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	2,300	1,150		1,150
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	4,552	2,276		2,276
	b	Accounting fees (attach schedule)	2,950	983		1,967
	c	Other professional fees (attach schedule)	21,449	21,449		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,487			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	547	273		274
	22	Printing and publications				
	23	Other expenses (attach schedule)	60	30		30
	24	**Total operating and administrative expenses.** Add lines 13 through 23	33,345	26,161		5,697
	25	Contributions, gifts, grants paid	164,481			164,481
	26	**Total expenses and disbursements.** Add lines 24 and 25	197,826	26,161		170,178
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-5,384			
	b	**Net investment income** (if negative, enter -0-)		119,063		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	22,613	15,722	15,722
2	Savings and temporary cash investments	89,892	61,135	61,135
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,919,693	1,915,943	1,915,943
c	Investments—corporate bonds (attach schedule)	704,873	720,031	720,031
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ 3,848			
	Less accumulated depreciation (attach schedule) ▶ 3,598	250	250	250
15	Other assets (describe ▶)	1,506	18,026	18,026
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,738,827	2,731,107	2,731,107
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	4,596	2,260	
23	**Total liabilities**(add lines 17 through 22)	4,596	2,260	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	2,734,231	2,728,847	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	2,734,231	2,728,847	
31	**Total liabilities and net assets/fund balances** (see instructions)	2,738,827	2,731,107	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,734,231
2	Enter amount from Part I, line 27a	2	-5,384
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,728,847
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,728,847

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	95,271
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-6,357

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	142,120	2,800,705	0 050744
2014	155,266	2,859,616	0 054296
2013	168,636	2,682,289	0 062870
2012	138,132	2,488,981	0 055497
2011	149,866	2,464,219	0 060817

2 **Total** of line 1, column (d)	2	0 284224
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 056845
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,684,323
5 Multiply line 4 by line 3	5	152,590
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,191
7 Add lines 5 and 6	7	153,781
8 Enter qualifying distributions from Part XII, line 4	8	170,178

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	1,191
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	1,191
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,191
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	17,368		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	6,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	23,368
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	22,177
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 22,177 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ME			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶PAUL RAUSCH CPA Telephone no ▶(207) 774-2635

Located at ▶PO Box 426 One Canal Plaza Portland ME ZIP+4 ▶04112

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?.	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000. ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,705,784
b	Average of monthly cash balances.	1b	19,167
c	Fair market value of all other assets (see instructions).	1c	250
d	**Total** (add lines 1a, b, and c).	1d	2,725,201
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,725,201
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	40,878
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,684,323
6	**Minimum investment return.** Enter 5% of line 5.	6	134,216

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	134,216
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,191		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	1,191
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	133,025
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	133,025
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	133,025

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	170,178
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	170,178
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,191
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	168,987

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					133,025
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.					
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2016					
a From 2011.					
b From 2012.	6,994				
c From 2013.	38,494				
d From 2014.	12,945				
e From 2015.	15,217				
f Total of lines 3a through e.		73,650			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 170,178					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2016 distributable amount.					133,025
e Remaining amount distributed out of corpus		37,153			
5 Excess distributions carryover applied to 2016					
(If an amount appears in column (d), the same amount must be shown in column (a))					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		110,803			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)					
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a		110,803			
10 Analysis of line 9					
a Excess from 2012.	6,994				
b Excess from 2013.	38,494				
c Excess from 2014.	12,945				
d Excess from 2015.	15,217				
e Excess from 2016.	37,153				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PAUL RAUSCH CO PERKINS THOMPSON
One Canal Plaza
Portland, ME 04101
(207) 774-2635

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED SCHEDULE

c Any submission deadlines

THERE ARE NO SUBMISSION DEADLINES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED SCHEDULE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				164,481
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	49,953	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	142,489	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				192,442	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 192,442

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-10-26	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JOHN J MARTIN CPA		2017-11-14		
Firm's name ▶ Martin Associates PA				Firm's EIN ▶
Firm's address ▶ 128 AUBURN ST PORTLAND, ME 04103				Phone no (207) 797-2746

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
California Res Corp	P	2015-07-16	2016-04-12
Fortis Inc	P	2016-10-17	2016-11-01
Goodyear Tire & Rubber Co	P	2015-07-16	2016-02-16
Harman Intl Inds Inc New	P	2016-02-16	2016-08-18
Kroger Corp	P	2016-02-16	2016-08-18
Nuveen Dividend Value	P	2016-02-16	2016-08-18
Oppenheimer Developing Markets	P	2015-07-22	2016-02-16
Pimco Funds Low Duration Fund	P	2015-07-22	2016-02-25
Pimco High Yield	P	2015-07-22	2016-02-25
Stericycle Inc	P	2015-07-22	2016-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17	0	4	13
24	0	23	1
2,704	0	2,763	-59
4,044	0	3,400	644
1,593	0	1,877	-284
29,572	0	25,225	4,347
27,188	0	34,627	-7,439
34,544	0	35,089	-545
5,746	0	6,580	-834
5,384	0	7,585	-2,201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	13
0	0	0	1
0	0	0	-59
0	0	0	644
0	0	0	-284
0	0	0	4,347
0	0	0	-7,439
0	0	0	-545
0	0	0	-834
0	0	0	-2,201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Abbott Labs	P	2013-01-15	2016-08-18
Chemours Co	P	2012-11-01	2016-02-16
Chubb Corporation	P	2012-11-01	2016-01-19
Cons Staples Select Sector	P	2012-06-27	2016-02-16
Costco Whsl Corp New	P	2012-04-27	2016-02-16
Dodge & Cox Income Fund	P	2014-11-12	2016-02-16
Dover Corp	P	2012-06-27	2016-02-16
Dupont E I DE Nemours & Co	P	2012-11-01	2016-02-16
Express Scripts Hldg Co	P	2012-04-27	2016-02-16
Fidelity Floating Rate High Income	P	2013-12-26	2016-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,418	0	1,061	357
152	0	465	-313
20,731	0	10,185	10,546
5,514	0	3,744	1,770
1,630	0	981	649
10,812	0	11,378	-566
9,470	0	6,869	2,601
936	0	689	247
11,774	0	9,831	1,943
19,191	0	21,438	-2,247

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	357
0	0	0	-313
0	0	0	10,546
0	0	0	1,770
0	0	0	649
0	0	0	-566
0	0	0	2,601
0	0	0	247
0	0	0	1,943
0	0	0	-2,247

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
General Mills Inc	P	2012-06-27	2016-08-18
Goodyear Tire & Rubber Co	P	2013-12-16	2016-02-16
Harman Intl Inds Inc New	P	2013-12-16	2016-08-18
Ishares US Home Construction	P	2012-09-24	2016-08-18
ITC Holdings Corp	P	2015-07-16	2016-10-17
JP Morgan Core Bond Select	P	2014-11-12	2016-02-16
Kroger Corp	P	2013-12-16	2016-08-18
Microsoft Corp	P	2013-01-15	2016-02-16
Motorola Solutions Inc	P	2011-10-26	2016-02-16
Nextera Energy Inc	P	2012-04-27	2016-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
996	0	525	471
3,715	0	2,854	861
10,756	0	12,501	-1,745
2,545	0	1,814	731
11,429	0	8,438	2,991
21,841	0	21,823	18
5,854	0	3,617	2,237
1,404	0	764	640
17,821	0	13,016	4,805
4,605	0	2,650	1,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	471
0	0	0	861
0	0	0	-1,745
0	0	0	731
0	0	0	2,991
0	0	0	18
0	0	0	2,237
0	0	0	640
0	0	0	4,805
0	0	0	1,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Nuveen Dividend Value	P	2012-04-27	2016-08-18
Oakmark International	P	2012-04-27	2016-08-18
Pimco Funds Low Duration Fund	P	2013-12-26	2016-02-16
Pimco High Yield	P	2013-12-26	2016-02-16
Pimco Investment Grade Corp Bd	P	2013-12-26	2016-02-16
Pimco Total Return Portfolio	P	2013-12-26	2016-02-16
Principal Midcap Instl	P	2013-12-26	2016-08-18
Qualcomm Inc	P	2013-12-26	2016-02-16
Stericycle Inc Com	P	2013-12-16	2016-05-03
United Parcel Service	P	2012-11-01	2016-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
126,146	0	133,228	-7,082
63,077	0	72,934	-9,857
35,195	0	36,957	-1,762
10,471	0	12,455	-1,984
14,656	0	15,947	-1,291
11,007	0	11,724	-717
26,850	0	27,417	-567
12,751	0	17,567	-4,816
2,361	0	2,891	-530
2,353	0	1,777	576

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	-7,082
0	0	0	-9,857
0	0	0	-1,762
0	0	0	-1,984
0	0	0	-1,291
0	0	0	-717
0	0	0	-567
0	0	0	-4,816
0	0	0	-530
0	0	0	576

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
United Technologies Corp	P	2012-06-27	2016-02-16
Vanguard FTSE Developed Markets	P	2012-06-27	2016-02-16
Vanguard GNMA Fd Admiral	P	2015-01-12	2016-02-16
Vanguard Mid-Cap	P	2012-04-27	2016-02-16
Vanguard REIT	P	2012-06-27	2016-02-16
Chubb Ltd	P	2016-01-19	2016-01-25
At&t Inc	P	2009-02-17	2016-02-16
Chemours Co	P	2009-12-22	2016-02-16
Conocophillips	P	2010-05-24	2016-02-16
Dupont E I DE Nemours & Co	P	2009-12-22	2016-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,195	0	8,813	1,382
58,749	0	48,736	10,013
5,610	0	5,610	0
16,535	0	12,569	3,966
4,952	0	3,768	1,184
99	0	99	0
1,926	0	1,231	695
167	0	368	-201
7,387	0	8,706	-1,319
13,167	0	6,993	6,174

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	1,382
0	0	0	10,013
0	0	0	0
0	0	0	3,966
0	0	0	1,184
0	0	0	0
0	0	0	695
0	0	0	-201
0	0	0	-1,319
0	0	0	6,174

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EMC Corp	P	2008-07-10	2016-08-18
Exxon Mobil Corp	P	2001-01-01	2016-02-16
Genuine Parts Co	P	2008-07-10	2016-02-16
Home Depot Inc	P	2002-08-09	2016-02-16
Intel Corp	P	2008-12-24	2016-02-16
McDonalds Corp	P	2009-02-17	2016-02-16
Microsoft Corp	P	2008-06-17	2016-02-16
Omnicon Group	P	2010-06-24	2016-02-16
State Street Corp	P	2009-02-17	2016-02-16
Vanguard Small Cap Value	P	2009-02-04	2016-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,887	0	9,317	10,570
3,702	0	147	3,555
3,619	0	1,556	2,063
6,395	0	1,403	4,992
1,224	0	611	613
10,327	0	4,910	5,417
2,206	0	1,277	929
19,172	0	9,471	9,701
12,586	0	5,212	7,374
23,173	0	7,784	15,389

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	10,570
0	0	0	3,555
0	0	0	2,063
0	0	0	4,992
0	0	0	613
0	0	0	5,417
0	0	0	929
0	0	0	9,701
0	0	0	7,374
0	0	0	15,389

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Vanguard Small-Cap Growth	P	2008-12-24	2016-08-18
Verizon Communications	P	2009-10-19	2016-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,363	0	8,037	18,326
1,997	0	1,113	884

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	18,326
0	0	0	884

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT WOODWARD 19 Caton Road Raymond, ME 04071	Pres 1 00	100	0	0
STEPHEN G WOODWARD 208 Lufkin Road North Yarmouth, ME 04097	1st VP 1 00	100	0	0
DOROTHY WENTWORTH 304 Gunpoint Road Harpswell, ME 04079	2nd VP 1 00	100	0	0
PAUL H RAUSCH 86 Valview Drive Auburn, ME 04210	Treasurer 2 00	1,300	0	0
DEANNA WOODWARD 19 Caton Road Raymond, ME 04071	Trustee 1 00	100	0	0
ROBERT ARANSON MD 20 Lookout Drive Freeport, ME 04032	Trustee 1 00	100	0	0
TINA JENNINGS 208 Lufkin Road North Yarmouth, ME 04097	Trustee 1 00	100	0	0
REV ANITA WHITE 15 Forest Ave Auburn, ME 04210	Trustee 1 00	100	0	0
SAMUEL WOODWARD 912 Roosevelt Trail Apt 8 Windham, ME 04062	Trustee 1 00	100	0	0
JOHN R OPPERMAN ESQ 25 Woodmont Street Portland, ME 04102	Trustee 1 00	100	0	0
ELIZABETH MORELAND 111 Pond Road Standish, ME 04084	Trustee 1 00	100	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Southern Maine Agency on Aging 136 US Route One Scarborough, ME 04074		Exempt	SupportFamily CaregiverProgram	5,000
Good Shepherd Food Bank PO Box 1807 Auburn, ME 04211		Exempt	Capacity BldgAgencyProgram	2,500
The Progress Center 35 Cottage Street Norway, ME 04268		Exempt	MealCommunityProgram	2,000
University of Southern Maine 96 Falmouth Street Portland, ME 04103		Exempt	ProgramScholarship	10,000
Gould Academy 39 Church Street Bethel, ME 04217		Exempt	ProgramScholarship	5,000
Total . . . 3a ▶				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Gulf of Maine Research Instit 350 Commercial Street Portland, ME 04101		Exempt	StewardsEnvironmentalProgram	2,000
Pine Tree Hospice 883 West Main Street DoverFoxcroft, ME 04426		Exempt	OperatingGeneralSupport	3,900
Bangor Humane Society 693 Mount Hope Avenue Bangor, ME 04401		Exempt	AnimalsShelteredProject	2,500
Bangor Symphony Orchestra PO Box 1441 Bangor, ME 04402		Exempt	OrchestraKnow YourProgram	3,000
Community Dental 366 Route One Falmouth, ME 04105		Exempt	ProgramAccess	5,000
Total . . . . ▶ **3a**				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
The Cromwell Center 97A Exchange Street Ste 205 Portland, ME 04101		Exempt	AwarenessDisablitiesProgram	1,000
Hebron Academy Route 19 Hebron, ME 04238		Exempt	Scholarship	5,000
Lake Region Senior Service Inc PO Box 816 Bridgton, ME 04009		Exempt	AccessHealthcareProgram	2,500
Maine College of Art 522 Congress Street Portland, ME 04101		Exempt	& CommunityMAT ProgramsOutreach	1,000
Maine Jewish Film Festival PO Box 7465 Portland, ME 04112		Exempt	ProgramSchoolflix	3,500
Total . . . . ▶ **3a**				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
The Summer Camp Inc 8 Church Street Bridgton, ME 04009		Exempt	ScholarshipsCamp	3,000
The Center for Wisdom's Women PO Box 1016 Lewiston, ME 04243		Exempt	ProgramArt Therapy	2,481
Volunteers of America Northern NE 14 Maine Street Ste 301 Brunswick, ME 04011		Exempt	POSTCARDCampProgram	4,000
Seeds of Independence 29 Burbank Street Brunswick, ME 04011		Exempt	LeadershipGritProgram	4,000
317 Main Community Music Ctr 317 Main Street Yarmouth, ME 04096		Exempt	EducationMusicProgram	3,000
Total . . . . ▶ **3a**				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Friends of the Daponte String Quartet PO Box 401 Damariscotta, ME 04543		Exempt	TourDowneast	2,000
Maine Humanities Council 674 Brighton Avenue Portland, ME 04102		Exempt	TeamCivil RightsProject	2,000
The Opportunity Alliance 50 Lydia Lane South Portland, ME 04106		Exempt	ServiceSummer FoodProgram	5,000
Milestone Foundation 65 India Street Portland, ME 04101		Exempt	HomeWelcomeProject	3,000
Kennebec Behavior Health 67 Eustis Parkway Waterville, ME 04901		Exempt	OutreachHomeless YouthProgram	2,500
Total . . . . ▶ 3a				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Preble Street Resource 38 Preble Street Portland, ME 04101		Exempt	FoodEmergencyPrograms	5,000
A Company of Girls PO Box 7527 Portland, ME 04112		Exempt	ArtAfter SchoolProgram	2,000
Tree Street Youth 144 Howe Street Lewiston, ME 04240		Exempt	Campaign forTechnology in theGrowth	2,000
Tri-County Literacy 34 Wing Farm Parkway Bath, ME 04530		Exempt	LiteracyFamilyProgram	2,500
American Red Cross of Maine 2401 Congress Street Portland, ME 04102		Exempt	CampaignNew Bloodmobile	4,000
Total . . . . ▶ **3a**				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Planned Parenthood of Northern NE 433 Congress Street 3rd Flr Portland, ME 04101		Exempt	CareMaine CharityProgram	3,000
Wayside Food Programs PO Box 1278 Portland, ME 04102		Exempt	Non-Food ItemsSupplemental	4,000
Rosscare 885 Union Street Bangor, ME 04401		Exempt	Emergency ResponseLiveSAFEService	1,000
The Ecology School 8 Morris Avenue Bldg 1 Saco, ME 04072		Exempt	ProgramAt-Risk Student	2,500
GEDAKINA PO Box 2478 Bangor, ME 04402		Exempt	ProgramOur Story	3,000
Total . . . . ▶ **3a**				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Maine Historical Society 489 Congress Street Portland, ME 04101		Exempt	VeteransMaine's VietnamProgram	2,500
Coastal Humane Society 190 Pleasant Street Brunswick, ME 04011		Exempt	CleanlinessHealth andInitiative Program	2,500
Island Connection 15 Eagle Lake Road Bar Harbor, ME 04609		Exempt	RewardsDriverProgram	2,000
Maine Youth Alliance 78A Main Street Belfast, ME 04915		Exempt	AmericaComng of Age inProgram	2,000
Androscoggin Home Care & Hospice 15 Strawberry Avenue Lewiston, ME 04240		Exempt	ServicesBereavementProgram	2,000
Total . . . . ▶ **3a**				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Coastal Kids Preschool 12 Jackies Trail Damariscotta, ME 04543		Exempt	EducationPreschoolProgram	2,000
Portland Museum of Art Seven Congress Square Portland, ME 04101		Exempt	ProgramAfter-school	3,000
MCH Inc 46 Summer Street Rockland, ME 04841		Exempt	ProgramMeals on Wheels	2,500
Habitat for Humanities 126 Main St Ste 1 Topsham, ME 04086		Exempt	and RepairWeatherizingProgram	5,000
Oasis Free Clinics 66 Baribeau Drive Box 1 Brunswick, ME 04011		Exempt	Population NeedsPatientAssessment	2,500
Total . . . . ▶ 3a				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Holbrook Community Foundation 984 Cundys Harbor Road Harpswell, ME 04079		Exempt	ProgramAging at Home	3,600
Maine Gun Safety Coalition PO Box 92 Portland, ME 04112		Exempt	ProjectTrigger Lock	2,000
Stevens Brook Elementary School 14 Frances Bell Drive Bridgton, ME 04009		Exempt	ProjectSBES Greenhouse	3,000
UCP of Maine 700 Mt Hope Avenue Ste 320 Bangor, ME 04401		Exempt	EducationEarly ChildhoodProgram	1,000
Greater Portland Health 180 Park Avenue Portland, ME 04102		Exempt	ProgramImmunizations	1,000
Total . . . . ▶ 3a				164,481

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Hope Acts 185 High Street Portland, ME 04101		Exempt	ProjectNew Home	3,000
The Center for Grieving Children 555 Forest Avenue Portland, ME 04101		Exempt	RecruitmentVolunteerProject	2,000
Portland Wheelers PO Box 11314 Portland, ME 04104		Exempt	ProgramYouth Served	3,000
Portland Education Foundation 353 Cumberland Ave Portland, ME 04101		Exempt	GrantTeacherProgram	3,000
Somali Bantu Community Assoc 145 Lison Street Ste 506 Lewiston, ME 04240		Exempt	ProjectGardening	3,000
Total . . . . ▶ **3a**				164,481

TY 2016 Accounting Fees Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Clark, Friel and Joyce Tax and financial stmt	2,950	983		1,967

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Investments		Purchased	2016-12		827,715	685,226			142,489	

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TY 2016 General Explanation Attachment

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

General Explanation Attachment

Identifier	Return Reference	Explanation	
1		Part XV Line 2	LINE 2B THERE ARE NO PRESCRIBED FORMS FOR THE SUBMISSION OF APPLICATIONS HOWEVER, THE FOLLOWING INFORMATION MUST BE PROVIDED 1) THE APPLICANT'S TAX EXEMPT NUMBER 2) A COPY OF THE ORGANIZATION'S HANDBOOK 3) A BRIEF OUTLINE OF THE HISTORY OF THE ORGANIZATION 4) THE CHARITABLE PURPOSE OF THE APPLICANT ORGANIZATION 5) DETAILS REGARDING THE SPECIFIC REQUEST MADE AS PART OF THE APPLICATION FOR FUNDS LINE 2D THE FOUNDATION DOES NOT MAKE AWARDS TO INDIVIDUALS OR ORGANIZATIONS WITHOUT VALID FEDERAL INCOME TAX EXEMPTIONS AWARDS ARE GENERALLY RESTRICTED TO ORGANIZATIONS OPERATING WITHIN MAINE.

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TY 2016 Investments Corporate Bonds Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Dodge & Cox Income 7083 shrs	96,258	96,258
Fidelity Floating Rate High Inc 3921 shrs	37,800	37,800
JPMorgan Core Bond Select 18433 shrs	211,614	211,614
Pimco Total Return 12234 shrs	122,711	122,711
Pimco Investment Grade Corp Bd 17566 shrs	179,351	179,351
Vanguard GNMA Admiral 6859 shrs	72,297	72,297

TY 2016 Investments Corporate Stock Schedule

Name: Simmons Foundation - PerkinsThompson
EIN: 01-0277832

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M Co. 92 shrs	16,428	16,428
Abbott Labs 348 shrs	13,367	13,367
Advansix Inc 6 shrs	133	133
Allergan PLC 40 shrs	8,400	8,400
Alphabet Inc CI A 13 shrs	10,302	10,302
Alphabet Inc CI C 17 shrs	13,121	13,121
Amgen Inc 63 shrs	9,211	9,211
Apple Inc 236 shrs	27,334	27,334
Berkshire Hathaway Inc Del 71 shrs	11,572	11,572
Blackrock Inc 44 shrs	16,744	16,744
Boeing Co 101 shrs	15,724	15,724
Capital One Finl Corp 234 shrs	20,414	20,414
Celgene Corp 69 shrs	7,987	7,987
Chubb Ltd 128 shrs	16,911	16,911
Cisco Systems Inc 296 shrs	8,945	8,945
Constellation Brands 65 shrs	9,965	9,965
Cummins Inc 92 shrs	12,574	12,574
Costco Whsl Corp 92 shrs	14,730	14,730
CVS/Health Corp 131 shrs	10,337	10,337
Danaher Corp 128 shrs	9,964	9,964
Disney (Walt) Company Holding Co 151 shrs	15,737	15,737
Ecolab Inc 90 shrs	10,550	10,550
EOG Resources Inc 78 shrs	7,886	7,886
Exxon Mobil Corp 226 shrs	20,399	20,399
Fidelity Small Cap Discovery 4744 shrs	150,105	150,105
Fortis Inc 185 shrs	5,713	5,713
Fortive Corp 47 shrs	2,521	2,521
General Mills Inc 206 shrs	12,725	12,725
Gilead Sciences Inc 154 shrs	11,028	11,028
Home Depot Inc. 146 shrs	19,576	19,576

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Honeywell Intl Inc 150 shrs	17,377	17,377
Intel Corp 381 shrs	13,819	13,819
Ishares US Home Construction 631 shrs	17,340	17,340
Johnson & Johnson Inc 83 shrs	9,562	9,562
JPMorgan Chase & Co 279 shrs	24,075	24,075
Keycorp New 1007 shrs	18,398	18,398
LAM Resh Corp 190 shrs	20,089	20,089
McDonalds Corp 103 shrs	12,537	12,537
Medtronic PLC 173 shrs	12,323	12,323
Metlife Inc 333 shrs	17,945	17,945
MFS Value I 3877 shrs	140,485	140,485
Microsoft Corp 317 shrs	19,698	19,698
Novartis ADR 189 shrs	13,767	13,767
Oakmark International 10755 shrs	244,144	244,144
Occidental Petroleum Corp 124 shrs	8,832	8,832
Oppenheimer Developing Markets 4559 shrs	145,708	145,708
Oracle Corporation 449 shrs	17,264	17,264
Pepsico Inc 91 shrs	9,521	9,521
Phillips 66 102 shrs	8,814	8,814
PPG Industries 135 shrs	12,793	12,793
Priceline Group Inc 13 shrs	19,059	19,059
Principal Midcap Instl 12490 shrs	280,909	280,909
Procter & Gamble Co 169 shrs	14,209	14,209
Schlumberger Limited 116 shrs	9,738	9,738
Starbucks Corp 220 shrs	12,214	12,214
Target Corp 73 shrs	5,273	5,273
Thermo Fisher Scientific Inc 134 shrs	18,907	18,907
TJX Cos Inc 164 shrs	12,321	12,321
Unitedhealth Group Inc 86 shrs	13,763	13,763
Vanguard REIT ETF 428 shrs	35,323	35,323

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Visa Inc Class A 200 shrs	15,604	15,604
Wells Fargo & Co New 333 shrs	18,352	18,352
Wells Fargo Premier Lg Co Growth 9640 shrs	126,001	126,001
Wisdomtree Europe Hedged Eq Fund 686 shrs	39,376	39,376

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TY 2016 Land, Etc. Schedule

Name: Sımmons Foundatıon - PerkınsThompson

EIN: 01-0277832

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Equipment	3,848	3,598	250	

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TY 2016 Legal Fees Schedule

Name: Sımmons Foundatıon - PerkınsThompson

EIN: 01-0277832

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Perkıns Thompson Legal	4,552	2,276		2,276

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TY 2016 Other Assets Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued investment income	1,506	1,849	1,849
Tax refund		16,177	16,177

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TY 2016 Other Assets Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued investment income	1,506	1,849	1,849
Tax refund		16,177	16,177

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TY 2016 Other Assets Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued investment income	1,506	1,849	1,849
Tax refund		16,177	16,177

TY 2016 Other Expenses Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Office expenses	60	30		30

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TY 2016 Other Liabilities Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred excise tax	2,022	2,260
Excise tax payable	2,574	

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TY 2016 Other Liabilities Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred excise tax	2,022	2,260
Excise tax payable	2,574	

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TY 2016 Other Professional Fees Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
People United Bank Investment fees	21,449	21,449		

TY 2016 Taxes Schedule

Name: Simmons Foundation - PerkinsThompson

EIN: 01-0277832

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise tax	1,487			