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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 12-01-2015, and ending 11-30-2016

Name of foundation FAY SHWAYDER FOUNDATION		A Employer identification number 84-6041358	
Number and street (or P O box number if mail is not delivered to street address) 24 SHAMAN		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code SANTA FE, NM 87506		B Telephone number (see instructions) (505) 983-0084	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 5,759,650		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify _____) (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	31,506	31,506		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	1,172			
	b Gross sales price for all assets on line 6a 379,397				
	7 Capital gain net income (from Part IV, line 2)		1,172		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	32,678	32,678		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	15,852	3,040		12,812
	b Accounting fees (attach schedule).	10,271	1,003		9,268
	c Other professional fees (attach schedule)	9,076	9,076		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).				
	24 Total operating and administrative expenses. Add lines 13 through 23	35,199	13,119		22,080
	25 Contributions, gifts, grants paid	6,650			6,650
	26 Total expenses and disbursements. Add lines 24 and 25	41,849	13,119		28,730
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-9,171			
	b Net investment income (if negative, enter -0-)		19,559		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	42,811	41,603	41,603
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,022,848	1,014,885	1,168,047
Liabilities	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)	1,722,500	1,722,500	4,550,000
	16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	2,788,159	2,778,988	5,759,650
	17	Accounts payable and accrued expenses	501	501	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)	501	501	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,787,658	2,778,487	
	30	Total net assets or fund balances(see instructions)	2,787,658	2,778,487	
	31	Total liabilities and net assets/fund balances(see instructions) . .	2,788,159	2,778,988	

Part III Analysis of Changes in Net Assets or Fund Balances				
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,787,658	
2	Enter amount from Part I, line 27a	2	-9,171	
3	Other increases not included in line 2 (itemize) ▶ _____	3		
4	Add lines 1, 2, and 3	4	2,778,487	
5	Decreases not included in line 2 (itemize) ▶ _____	5		
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,778,487	

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase D—Donation (b)	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	SEE ATTACHED	P		
b	SEE ATTACHED	P		
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 36,278		39,323	-3,045
b 343,119		338,902	4,217
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			-3,045
b			4,217
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,172
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	72,409	1,281,613	0 056498
2013	66,030	1,314,479	0 050233
2012	8,129	1,221,662	0 006654
2011	31,249	1,119,679	0 027909
2010	36,505	1,108,709	0 032926

2	Total of line 1, column (d).	2	0 174220
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 034844
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	1,186,560
5	Multiply line 4 by line 3.	5	41,344
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	196
7	Add lines 5 and 6.	7	41,540
8	Enter qualifying distributions from Part XII, line 4.	8	28,730

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	391
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	391
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	391
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	2,227
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868).	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,227
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,836
11	Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ 1,836 Refunded ☐	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			No
		1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CO _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ SUSAN DEJONG Telephone no ▶ (505) 983-0084 Located at ▶ 24 SHAMAN SANTE FE NM ZIP +4 ▶ 87506			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
SUSAN DEJONG 24 SHAMAN SANTA FE, NM 87506	PRESIDENT 1 00	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4 N/A	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X

Minimum Investment Return
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,161,242
b	Average of monthly cash balances.	1b	43,387
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,204,629
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,204,629
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	18,069
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,186,560
6	Minimum investment return. Enter 5% of line 5.	6	59,328

Part XI

Distributable Amount
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	59,328
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	391
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	391
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	58,937
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	58,937
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . .	7	58,937

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	28,730
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	28,730
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	28,730

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				58,937
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.			2,918	
e From 2014.			9,306	
f Total of lines 3a through e.	12,224			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ 28,730				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				28,730
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	12,224			12,224
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				17,983
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				
c Excess from 2013.				
d Excess from 2014.				
e Excess from 2015.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.					
b 85% of line 2a.					
c Qualifying distributions from Part XII, line 4 for each year listed.					
d Amounts included in line 2c not used directly for active conduct of exempt activities.					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SUSAN DEJONG
24 SHAMAN
SANTE FE, NM 87501
(505) 983-0084
SUEDEJONG@AOL.COM

b The form in which applications should be submitted and information and materials they should include

NONE SPECIFIED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SANTA FE CHAMBER MUSIC FE PO BOX 2227 SANTA FE,NM 87504			SUPPORT CHAMBER MUSIC IN SANTA FE	1,500
CANCER FOUNDATION OF NM 3005 SOUTH ST FRANCIS DR SUITE 3-B SANTA FE,NM 87505			SUPPORT CANCER FOUNDATION OF NM	450
UNIVERSALIST SANTA FE 107 W BARCELONA SANTA FE,NM 87505			SUPPORT CHURCH MEMORIAL FUND	200
SANTA FE COMMUNITY FOUNDATION 501 HALONA STREET SANTA FE,NM 87505			SUPPORT SANTA FE COMMUNITY FOUNDATIO	4,500
Total			3a	6,650
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	31,506	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,172	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e).				32,678	
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13		32,678

[illegible]

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

(1) Cash.

(2) Other assets.

b Other transactions

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization.

(3) Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements.

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes
☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

2017-07-06

* * * * *

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use
Only**

Print/Type preparer's name
PEGGY E STRICKLIN
CPA

Preparer's Signature

Date	2017-07-14
------	------------

Check if self-employed ☐

PTIN	P00294953
------	-----------

Firm's name ► HARPER HOFER & ASSOCIATES LLC

Firm's EIN ▶ 20-1605886

Firm's address ►
1580 LINCOLN ST STE 1100 DENVER, CO
802031530

Phone no (303) 486-0000

TY 2015 Accounting Fees Schedule**Name:** FAY SHWAYDER FOUNDATION**EIN:** 84-6041358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	10,271	1,003		9,268

TY 2015 Investments - Other Schedule

Name: FAY SHWAYDER FOUNDATION

EIN: 84-6041358

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
STOCK AND BOND PORTFOLIO	FMV	1,014,885	1,168,047

TY 2015 Legal Fees Schedule**Name:** FAY SHWAYDER FOUNDATION**EIN:** 84-6041358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT LEGAL FEES	15,852	3,040		12,812

TY 2015 Other Assets Schedule**Name:** FAY SHWAYDER FOUNDATION**EIN:** 84-6041358

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
MUSICAL INSTRUMENTS LOANED TO UNIVER	1,722,500	1,722,500	4,550,000

TY 2015 Other Professional Fees Schedule**Name:** FAY SHWAYDER FOUNDATION**EIN:** 84-6041358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	9,076	9,076		

Essential Investment Partners, LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951

From 12-01-15 Through 11-30-16

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-31-14	12-01-15	22	Johnson & Johnson	2,319		2,242	-77	
07-27-07	12-01-15	48	Oracle Corporation	956		1,873		917
08-06-14	12-01-15	49	Cognizant Technology Solutions Corp-Cl A	2,138		3,182		1,044
07-02-14	12-01-15	36	The TJX Companies, Inc	1,940		2,540		600
07-25-14	12-01-15	35	Gilead Sciences Inc	3,138		3,694		555
10-24-14	12-01-15	5	Alphabet Inc Class A	2,747		3,915		1,168
09-17-14	12-01-15	33	Mastercard Inc	2,518		3,217		700
07-27-05	12-01-15	17	Ecolab Inc	575		2,025		1,450
06-03-15	01-27-16	5 854	Columbia Acorn Intl Fund	262		212	-50	
12-09-15	01-27-16	9 110	Columbia Acorn Intl Fund	356		330	-26	
12-09-15	01-27-16	20 906	Columbia Acorn Intl Fund	818		757	-61	
06-04-14	01-27-16	6 822	Columbia Acorn Intl Fund	327		247		-80
06-04-14	01-27-16	0 132	Columbia Acorn Intl Fund	6		5		-2
12-11-13	01-27-16	25 762	Columbia Acorn Intl Fund	1,160		933		-227
12-11-13	01-27-16	9 551	Columbia Acorn Intl Fund	430		346		-84
06-05-13	01-27-16	6 532	Columbia Acorn Intl Fund	284		237		-48
12-09-14	01-27-16	27 770	Columbia Acorn Intl Fund	1,160		1,006		-155
12-09-14	01-27-16	10 375	Columbia Acorn Intl Fund	433		376		-58
12-27-12	01-27-16	0 380	Columbia Acorn Intl Fund	15		14		-2
12-06-12	01-27-16	9 002	Columbia Acorn Intl Fund	361		326		-35
03-05-12	01-27-16	143 971	Columbia Acorn Intl Fund	5,588		5,213		-374
12-17-15	01-27-16	40 911	Oakmark Int'l	869		789	-80	
12-17-15	01-27-16	48 130	Oakmark Int'l	1,022		928	-94	
03-27-14	01-27-16	274 030	Oakmark Int'l	7,174		5,283	-17	-1,891
06-03-15	01-27-16	1 964	Columbia Acorn Intl Fund CL R5	88		71		
12-09-15	01-27-16	7 017	Columbia Acorn Intl Fund CL R5	274		253	-21	
12-09-15	01-27-16	3 159	Columbia Acorn Intl Fund CL R5	123		114	-9	
06-04-14	01-27-16	0 044	Columbia Acorn Intl Fund CL R5	2		2		-1
06-04-14	01-27-16	2 289	Columbia Acorn Intl Fund CL R5	110		83		-27

Essential Investment Partners, LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951
 From 12-01-15 Through 11-30-16

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-11-13	01-27-16	8 642	Columbia Acorn Intl Fund CL R5	389		312		-77
12-11-13	01-27-16	3 224	Columbia Acorn Intl Fund CL R5	145		116		-29
07-25-13	01-27-16	201 867	Columbia Acorn Intl Fund CL R5	9,000		7,285		-1,715
12-09-14	01-27-16	3 554	Columbia Acorn Intl Fund CL R5	148		128		-20
12-09-14	01-27-16	9 320	Columbia Acorn Intl Fund CL R5	389		336		-53
04-15-15	01-27-16	15 086	Templeton Global Bond Fund	188		168	-20	
02-17-15	01-27-16	14 975	Templeton Global Bond Fund	186		166	-19	
05-15-15	01-27-16	15 186	Templeton Global Bond Fund	188		169	-20	
03-16-15	01-27-16	15 230	Templeton Global Bond Fund	187		169	-18	
07-15-15	01-27-16	15 454	Templeton Global Bond Fund	189		172	-18	
06-15-15	01-27-16	15 416	Templeton Global Bond Fund	188		171	-17	
11-16-15	01-27-16	16 162	Templeton Global Bond Fund	190		180	-11	
08-17-15	01-27-16	16 154	Templeton Global Bond Fund	190		180	-10	
10-15-15	01-27-16	16 292	Templeton Global Bond Fund	190		181	-9	
12-15-15	01-27-16	16 581	Templeton Global Bond Fund	191		184	-7	
09-15-15	01-27-16	16 371	Templeton Global Bond Fund	189		182	-7	
04-28-11	01-27-16	724 759	Templeton Global Bond Fund	10,158		8,054		-2,104
04-29-15	02-09-16	6 714	RiverNorth Double Line Strategic Inc Fd	73		67	-6	
03-30-15	02-09-16	6 225	RiverNorth Double Line Strategic Inc Fd	68		62	-5	
02-26-15	02-09-16	6 540	RiverNorth Double Line Strategic Inc Fd	71		65	-6	
05-28-15	02-09-16	9 201	RiverNorth Double Line Strategic Inc Fd	100		92	-8	
06-29-15	02-09-16	9 366	RiverNorth Double Line Strategic Inc Fd	100		94	-6	
07-30-15	02-09-16	10 379	RiverNorth Double Line Strategic Inc Fd	110		104	-6	
08-28-15	02-09-16	10 588	RiverNorth Double Line Strategic Inc Fd	111		106	-5	

Essential Investment Partners, LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951

From 12-01-15 Through 11-30-16

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-29-15	02-09-16	11 482	RiverNorth Double Line Strategic Inc Fd	120		115	-5	
11-27-15	02-09-16	12 130	RiverNorth Double Line Strategic Inc Fd	126		121	-4	
09-29-15	02-09-16	11 495	RiverNorth Double Line Strategic Inc Fd	119		115	-4	
12-30-15	02-09-16	32 205	RiverNorth Double Line Strategic Inc Fd	328		323	-6	
01-28-16	02-09-16	12 696	RiverNorth Double Line Strategic Inc Fd	128		127	-1	
05-29-14	02-09-16	7 869	RiverNorth Double Line Strategic Inc Fd	87		79	-8	
06-27-14	02-09-16	7 744	RiverNorth Double Line Strategic Inc Fd	85		78	-8	
06-22-12	02-09-16	14 071	RiverNorth Double Line Strategic Inc Fd	155		141	-14	
08-28-14	02-09-16	5 649	RiverNorth Double Line Strategic Inc Fd	62		57	-6	
07-30-14	02-09-16	5 646	RiverNorth Double Line Strategic Inc Fd	62		57	-5	
04-29-14	02-09-16	8 602	RiverNorth Double Line Strategic Inc Fd	94		86	-8	
01-27-15	02-09-16	807 414	RiverNorth Double Line Strategic Inc Fd	8,808		8,086	-722	
06-19-15	02-09-16	150 716	FMI International Fund	4,475		4,000	-475	
12-16-15	02-09-16	92 439	IVA Intl Fd Cl A	1,439		1,381	-58	
12-16-15	02-09-16	60 404	IVA Intl Fd Cl A	940		902	-38	
12-16-15	02-09-16	1 237	IVA Intl Fd Cl A	19		18	-1	
12-17-13	02-09-16	43 038	IVA Intl Fd Cl A	729		643	-86	
12-17-13	02-09-16	55 511	IVA Intl Fd Cl A	940		829	-111	
07-25-13	02-09-16	313 239	IVA Intl Fd Cl A	5,300		4,680	-620	
12-18-14	02-09-16	103 476	IVA Intl Fd Cl A	1,699		1,546	-153	
03-05-12	02-29-16	139 461	Columbia Acorn Intl Fund	5,412		5,113	-300	
01-26-12	02-29-16	299 483	Columbia Acorn Intl Fund	11,000		10,979	-21	
06-06-12	02-29-16	3 179	Columbia Acorn Intl Fund	114		117	2	
01-24-13	03-29-16	38	United Health Group	2,138		4,922	2,784	

Essential Investment Partners, LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951
 From 12-01-15 Through 11-30-16

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-08-13	04-01-16	37	Stryker Corporation	2,516		4,012		1,496
07-16-15	05-02-16	865 801	William Blair Macro Alloc Fd Cl I	11,106		9,975	-1,131	
12-11-14	05-02-16	3 797	Matthews Asia Small Companies	82		73		-9
12-18-14	05-02-16	412 653	Matthews Asia Small Companies	8,777		7,927		-850
07-27-05	05-02-16	20	PepsiCo Inc	1,096		2,058		963
11-26-14	05-02-16	2	Priceline Group Inc	2,331		2,701		369
05-28-15	05-02-16	20	United Technologies Corporation	2,361		2,079	-281	
08-11-15	05-02-16	30	Wal-Mart Stores Inc	2,156		2,017	-138	
07-02-14	05-02-16	25	The TJX Companies, Inc	1,347		1,937		590
12-31-14	05-13-16	25	Johnson & Johnson	2,635		2,836		201
02-12-15	05-13-16	23	Johnson & Johnson	2,274		2,609		336
12-10-08	05-13-16	139	AMETEK Inc	1,879		6,425		4,546
08-11-15	05-16-16	57	Wal-Mart Stores Inc	4,096		3,740	-356	
03-16-11	06-22-16	16	Qualcomm Inc	814		866		51
01-12-10	06-22-16	29	Qualcomm Inc	1,408		1,569		161
01-29-14	06-22-16	32	Apple Inc	2,295		3,057		762
11-12-14	06-22-16	50	Starbucks	1,951		2,776		825
12-10-08	07-20-16	75	AMETEK Inc	1,021		3,610		2,589
10-29-08	07-20-16	81	AMETEK Inc	1,074		3,873		2,799
07-27-05	07-20-16	13	AMETEK Inc	163		645		482
01-24-13	07-20-16	41	United Health Group	2,306		5,885		3,578
08-24-15	07-27-16	12 833	Lazard Fundamental Long Short Inst Shs	146		141	-5	
07-22-15	07-27-16	748 082	Lazard Fundamental Long Short Inst Shs	8,800		8,224		-576
03-10-15	07-27-16	2,867 391	Lazard Fundamental Long Short Inst Shs	33,000		31,522		-1,478
10-26-15	08-19-16	1 210	Loomis Sayles Bond Fund Cl I	17		17	0	
10-26-15	08-19-16	2 029	Loomis Sayles Bond Fund Cl I	28		28	0	

Essential Investment Partners, LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951
 From 12-01-15 Through 11-30-16

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-25-14	08-19-16	3 921	Loomis Sayles Bond Fund Cl	62		55		-7
07-25-14	08-19-16	2 339	Loomis Sayles Bond Fund Cl	37		33		-4
08-25-14	08-19-16	2 269	Loomis Sayles Bond Fund Cl	36		32		-4
08-25-14	08-19-16	3 805	Loomis Sayles Bond Fund Cl	60		53		-7
06-23-14	08-19-16	2 188	Loomis Sayles Bond Fund Cl	35		31		-4
06-23-14	08-19-16	3 668	Loomis Sayles Bond Fund Cl	58		51		-7
05-23-14	08-19-16	4 131	Loomis Sayles Bond Fund Cl	65		58		-7
05-23-14	08-19-16	2 464	Loomis Sayles Bond Fund Cl	39		35		-4
09-24-14	08-19-16	3 544	Loomis Sayles Bond Fund Cl	55		50		-6
09-24-14	08-19-16	2 114	Loomis Sayles Bond Fund Cl	33		30		-3
11-24-14	08-19-16	4 228	Loomis Sayles Bond Fund Cl	66		59		-6
11-24-14	08-19-16	2 523	Loomis Sayles Bond Fund Cl	39		35		-4
04-24-14	08-19-16	3 604	Loomis Sayles Bond Fund Cl	56		51		-5
04-24-14	08-19-16	2 150	Loomis Sayles Bond Fund Cl	33		30		-3
10-24-14	08-19-16	3 853	Loomis Sayles Bond Fund Cl	60		54		-6
10-24-14	08-19-16	2 298	Loomis Sayles Bond Fund Cl	36		32		-3
03-25-14	08-19-16	3 948	Loomis Sayles Bond Fund Cl	61		55		-5
03-25-14	08-19-16	2 355	Loomis Sayles Bond Fund Cl	36		33		-3

Essential Investment Partners, LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951
 From 12-01-15 Through 11-30-16

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-28-13	08-19-16	4 555	Loomis Sayles Bond Fund Cl	70		64		-6
10-28-13	08-19-16	2 717	Loomis Sayles Bond Fund Cl	42		38		-4
02-24-14	08-19-16	3 702	Loomis Sayles Bond Fund Cl	57		52		-5
02-24-14	08-19-16	2 208	Loomis Sayles Bond Fund Cl	34		31		-3
11-26-13	08-19-16	4 449	Loomis Sayles Bond Fund Cl	68		62		-5
11-26-13	08-19-16	2 654	Loomis Sayles Bond Fund Cl	40		37		-3
01-28-14	08-19-16	2 250	Loomis Sayles Bond Fund Cl	34		32		-3
01-28-14	08-19-16	3 773	Loomis Sayles Bond Fund Cl	57		53		-4
09-25-13	08-19-16	4 065	Loomis Sayles Bond Fund Cl	61		57		-4
09-25-13	08-19-16	2 425	Loomis Sayles Bond Fund Cl	37		34		-3
12-16-13	08-19-16	8 879	Loomis Sayles Bond Fund Cl	134		124		-9
12-16-13	08-19-16	5 296	Loomis Sayles Bond Fund Cl	80		74		-6
12-16-13	08-19-16	5 239	Loomis Sayles Bond Fund Cl	79		73		-6
12-16-13	08-19-16	3 125	Loomis Sayles Bond Fund Cl	47		44		-3
12-17-12	08-19-16	42 561	Loomis Sayles Bond Fund Cl	641		597		-45
07-26-13	08-19-16	4 603	Loomis Sayles Bond Fund Cl	69		65		-5
12-17-12	08-19-16	8 893	Loomis Sayles Bond Fund Cl	134		125		-9
07-26-13	08-19-16	2 746	Loomis Sayles Bond Fund Cl	41		38		-3

Essential Investment Partners, LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951
 From 12-01-15 Through 11-30-16

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
11-20-12	08-19-16	12 624	Loomis Sayles Bond Fund Cl	189		177		-12
11-20-12	08-19-16	2 638	Loomis Sayles Bond Fund Cl	39		37		-2
02-25-15	08-19-16	3 938	Loomis Sayles Bond Fund Cl	58		55		-3
02-25-15	08-19-16	2 349	Loomis Sayles Bond Fund Cl	35		33		-2
08-27-13	08-19-16	5 053	Loomis Sayles Bond Fund Cl	75		71		-4
08-27-13	08-19-16	3 014	Loomis Sayles Bond Fund Cl	45		42		-2
06-25-13	08-19-16	12 496	Loomis Sayles Bond Fund Cl	184		175		-9
06-25-13	08-19-16	2 611	Loomis Sayles Bond Fund Cl	39		37		-2
08-23-12	08-19-16	14 243	Loomis Sayles Bond Fund Cl	210		200		-10
08-23-12	08-19-16	6 248	Loomis Sayles Bond Fund Cl	92		88		-4
04-23-15	08-19-16	2 610	Loomis Sayles Bond Fund Cl	38		37		-2
04-23-15	08-19-16	1 557	Loomis Sayles Bond Fund Cl	23		22		-1
01-28-15	08-19-16	4 172	Loomis Sayles Bond Fund Cl	61		58		-3
01-28-15	08-19-16	2 489	Loomis Sayles Bond Fund Cl	37		35		-2
12-16-14	08-19-16	0 045	Loomis Sayles Bond Fund Cl	1		1		0
12-16-14	08-19-16	33 789	Loomis Sayles Bond Fund Cl	495		474		-22
12-16-14	08-19-16	8 641	Loomis Sayles Bond Fund Cl	127		121		-6
12-16-14	08-19-16	20 154	Loomis Sayles Bond Fund Cl	295		283		-13

Essential Investment Partners, LLC
REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-16-14	08-19-16	5 154	Loomis Sayles Bond Fund Cl	76		72		-3
05-22-15	08-19-16	1 689	Loomis Sayles Bond Fund Cl	25		24		-1
05-22-15	08-19-16	2 832	Loomis Sayles Bond Fund Cl	41		40		-2
03-25-15	08-19-16	2 212	Loomis Sayles Bond Fund Cl	32		31		-1
12-16-14	08-19-16	0 076	Loomis Sayles Bond Fund Cl	1		1		0
03-25-15	08-19-16	1 320	Loomis Sayles Bond Fund Cl	19		19		-1
06-23-15	08-19-16	2 633	Loomis Sayles Bond Fund Cl	38		37		-1
06-23-15	08-19-16	1 571	Loomis Sayles Bond Fund Cl	23		22		-1
07-24-15	08-19-16	2 825	Loomis Sayles Bond Fund Cl	40		40		0
07-24-15	08-19-16	1 685	Loomis Sayles Bond Fund Cl	24		24		0
08-12-08	08-19-16	747 613	Loomis Sayles Bond Fund Cl	10,100		10,482		381
01-26-10	08-19-16	26 799	Loomis Sayles Bond Fund Cl	360		376		16
02-23-10	08-19-16	24 858	Loomis Sayles Bond Fund Cl	333		349		15
08-26-08	08-19-16	47 557	Loomis Sayles Bond Fund Cl	637		667		29
05-26-10	08-19-16	25 498	Loomis Sayles Bond Fund Cl	341		357		16
12-11-09	08-19-16	24 828	Loomis Sayles Bond Fund Cl	328		348		20
11-24-09	08-19-16	25 771	Loomis Sayles Bond Fund Cl	340		361		21
10-27-09	08-19-16	26 393	Loomis Sayles Bond Fund Cl	345		370		25

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
09-22-09	08-19-16	24 689	Loomis Sayles Bond Fund Cl	318		346		28
09-23-08	08-19-16	52 796	Loomis Sayles Bond Fund Cl	658		740		82
08-25-09	08-19-16	24 328	Loomis Sayles Bond Fund Cl	303		341		38
08-25-09	08-19-16	24 366	Loomis Sayles Bond Fund Cl	304		341		37
07-28-09	08-19-16	46 859	Loomis Sayles Bond Fund Cl	566		656		89
06-23-09	08-19-16	44 748	Loomis Sayles Bond Fund Cl	521		626		105
05-27-09	08-19-16	46 334	Loomis Sayles Bond Fund Cl	518		648		130
04-28-09	08-19-16	46 210	Loomis Sayles Bond Fund Cl	488		646		158
01-27-09	08-19-16	51 930	Loomis Sayles Bond Fund Cl	546		726		181
02-24-09	08-19-16	49 145	Loomis Sayles Bond Fund Cl	507		688		180
03-24-09	08-19-16	53 349	Loomis Sayles Bond Fund Cl	543		746		204
10-28-08	08-19-16	63 231	Loomis Sayles Bond Fund Cl	630		885		255
11-25-08	08-19-16	66 469	Loomis Sayles Bond Fund Cl	647		930		283
12-12-08	08-19-16	113 341	Loomis Sayles Bond Fund Cl	1,087		1,586		499
12-12-08	08-19-16	86 506	Loomis Sayles Bond Fund Cl	830		1,210		381
12-12-08	08-19-16	2 917	Loomis Sayles Bond Fund Cl	28		41		13
07-25-16	08-19-16	0 951	Loomis Sayles Bond Fund Cl	13		13	0	
08-24-15	08-19-16	3 094	Loomis Sayles Bond Fund Cl	43		43	1	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-24-15	08-19-16	1 846	Loomis Sayles Bond Fund Cl	25		26	0	
07-25-16	08-19-16	1 595	Loomis Sayles Bond Fund Cl	22		22	0	
11-24-15	08-19-16	1 892	Loomis Sayles Bond Fund Cl	26		26	0	
11-24-15	08-19-16	1 128	Loomis Sayles Bond Fund Cl	16		16	0	
09-24-15	08-19-16	1 106	Loomis Sayles Bond Fund Cl	15		15	0	
09-24-15	08-19-16	1 855	Loomis Sayles Bond Fund Cl	25		26	1	
06-23-16	08-19-16	0 915	Loomis Sayles Bond Fund Cl	13		13	0	
06-23-16	08-19-16	1 535	Loomis Sayles Bond Fund Cl	21		21	0	
04-25-16	08-19-16	1 501	Loomis Sayles Bond Fund Cl	20		21	1	
04-25-16	08-19-16	0 896	Loomis Sayles Bond Fund Cl	12		13	1	
05-24-16	08-19-16	2 290	Loomis Sayles Bond Fund Cl	30		32	2	
05-24-16	08-19-16	1 366	Loomis Sayles Bond Fund Cl	18		19	1	
03-28-16	08-19-16	1 300	Loomis Sayles Bond Fund Cl	17		18	1	
03-28-16	08-19-16	2 180	Loomis Sayles Bond Fund Cl	28		30	2	
12-17-15	08-19-16	0 419	Loomis Sayles Bond Fund Cl	5		6	0	
12-17-15	08-19-16	12 160	Loomis Sayles Bond Fund Cl	156		170	14	
12-17-15	08-19-16	0 703	Loomis Sayles Bond Fund Cl	9		10	1	
12-17-15	08-19-16	49 740	Loomis Sayles Bond Fund Cl	640		696	56	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-17-15	08-19-16	7 253	Loomis Sayles Bond Fund Cl	93		101	8	
12-17-15	08-19-16	29 669	Loomis Sayles Bond Fund Cl	382		415	34	
02-26-16	08-19-16	0 869	Loomis Sayles Bond Fund Cl	11		12	1	
02-26-16	08-19-16	1 457	Loomis Sayles Bond Fund Cl	18		20	2	
01-28-16	08-19-16	1 024	Loomis Sayles Bond Fund Cl	13		14	2	
01-28-16	08-19-16	1 717	Loomis Sayles Bond Fund Cl	21		24	3	
08-22-14	08-19-16	368 586	Westcore Flexible Inc Inst	3,279		3,244	-35	
06-25-14	08-19-16	18 175	Westcore Flexible Inc Inst	161		160	-1	
05-28-14	08-19-16	17 151	Westcore Flexible Inc Inst	152		151	-1	
10-29-14	08-19-16	25 854	Westcore Flexible Inc Inst	229		228	-1	
02-25-15	08-19-16	18 948	Westcore Flexible Inc Inst	167		167	-1	
02-26-14	08-19-16	17 091	Westcore Flexible Inc Inst	151		150	0	
03-26-14	08-19-16	18 203	Westcore Flexible Inc Inst	161		160	0	
04-23-14	08-19-16	16 889	Westcore Flexible Inc Inst	149		149	0	
07-23-14	08-19-16	17 210	Westcore Flexible Inc Inst	152		151	0	
08-27-14	08-19-16	36 178	Westcore Flexible Inc Inst	319		318	-1	
04-22-15	08-19-16	18 980	Westcore Flexible Inc Inst	167		167	0	
05-27-15	08-19-16	18 524	Westcore Flexible Inc Inst	163		163	0	
11-25-14	08-19-16	22 557	Westcore Flexible Inc Inst	198		199	0	
03-25-15	08-19-16	26 560	Westcore Flexible Inc Inst	233		234	1	
01-29-14	08-19-16	16 606	Westcore Flexible Inc Inst	145		146	1	
11-26-13	08-19-16	17 915	Westcore Flexible Inc Inst	156		158	1	
01-28-15	08-19-16	18 854	Westcore Flexible Inc Inst	164		166	2	
09-24-14	08-19-16	22 811	Westcore Flexible Inc Inst	198		201	2	
07-25-13	08-19-16	2,007 085	Westcore Flexible Inc Inst	17,454		17,664	210	
07-16-15	08-19-16	865 801	William Blair Macro Alloc Fd Cl	11,106		9,975	-1,131	
07-15-16	08-19-16	9 945	Templeton Global Bond Fund	114		111	-3	
08-15-16	08-19-16	10 034	Templeton Global Bond Fund	114		112	-2	
04-15-16	08-19-16	14 368	Templeton Global Bond Fund	163		161	-2	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
05-16-16	08-19-16	10 063	Templeton Global Bond Fund	114		113	-1	
03-15-16	08-19-16	14 498	Templeton Global Bond Fund	163		162	-1	
04-28-11	08-19-16	327 633	Templeton Global Bond Fund	4,592		3,668		-924
05-31-11	08-19-16	785 612	Templeton Global Bond Fund	11,000		8,796		-2,204
04-15-11	08-19-16	6 635	Templeton Global Bond Fund	92		74		-18
07-15-11	08-19-16	16 095	Templeton Global Bond Fund	223		180		-43
06-15-11	08-19-16	16 061	Templeton Global Bond Fund	221		180		-42
10-15-10	08-19-16	6 463	Templeton Global Bond Fund	89		72		-17
05-16-11	08-19-16	13 059	Templeton Global Bond Fund	180		146		-33
08-15-11	08-19-16	16 302	Templeton Global Bond Fund	223		183		-41
05-15-13	08-19-16	15 091	Templeton Global Bond Fund	206		169		-37
11-15-10	08-19-16	6 585	Templeton Global Bond Fund	89		74		-15
04-15-10	08-19-16	5 810	Templeton Global Bond Fund	79		65		-14
04-15-13	08-19-16	15 141	Templeton Global Bond Fund	205		170		-35
01-18-11	08-19-16	6 735	Templeton Global Bond Fund	91		75		-16
09-15-10	08-19-16	6 571	Templeton Global Bond Fund	89		74		-15
02-15-11	08-19-16	6 728	Templeton Global Bond Fund	91		75		-15
02-15-13	08-19-16	15 075	Templeton Global Bond Fund	203		169		-34
03-15-13	08-19-16	15 230	Templeton Global Bond Fund	205		171		-34
01-15-13	08-19-16	15 121	Templeton Global Bond Fund	203		169		-34
10-15-12	08-19-16	14 597	Templeton Global Bond Fund	196		163		-32
11-15-12	08-19-16	14 631	Templeton Global Bond Fund	196		164		-32
12-15-10	08-19-16	23 432	Templeton Global Bond Fund	314		262		-51
03-15-11	08-19-16	6 859	Templeton Global Bond Fund	92		77		-15
08-18-10	08-19-16	6 606	Templeton Global Bond Fund	88		74		-14
09-17-12	08-19-16	14 569	Templeton Global Bond Fund	194		163		-31
07-15-14	08-19-16	13 435	Templeton Global Bond Fund	179		150		-28
06-16-14	08-19-16	13 340	Templeton Global Bond Fund	177		149		-28
09-15-11	08-19-16	16 852	Templeton Global Bond Fund	224		189		-35
08-15-14	08-19-16	13 335	Templeton Global Bond Fund	177		149		-28
09-15-14	08-19-16	13 542	Templeton Global Bond Fund	180		152		-28
05-17-10	08-19-16	6 599	Templeton Global Bond Fund	87		74		-13
12-17-12	08-19-16	89 439	Templeton Global Bond Fund	1,181		1,001		-179
12-17-12	08-19-16	59 104	Templeton Global Bond Fund	780		662		-118
12-17-12	08-19-16	0 592	Templeton Global Bond Fund	8		7		-1
03-15-10	08-19-16	5 942	Templeton Global Bond Fund	78		67		-12

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-15-12	08-19-16	17 793	Templeton Global Bond Fund	234		199		-35
08-15-12	08-19-16	18 175	Templeton Global Bond Fund	239		203		-36
05-15-14	08-19-16	14 903	Templeton Global Bond Fund	196		167		-29
11-17-14	08-19-16	13 598	Templeton Global Bond Fund	179		152		-27
02-15-12	08-19-16	17 722	Templeton Global Bond Fund	233		198		-34
10-15-13	08-19-16	19 004	Templeton Global Bond Fund	249		213		-36
10-15-14	08-19-16	13 669	Templeton Global Bond Fund	178		153		-25
06-17-13	08-19-16	1 528	Templeton Global Bond Fund	20		17		-3
02-23-15	09-01-16	32	Baidu Inc ADR	6,610		5,590		-1,020
05-07-15	09-01-16	28	Baidu Inc ADR	5,442		4,892		-551
10-03-11	09-21-16	2	Alphabet Inc Class C	497		1,530		1,032
06-09-15	09-21-16	28	AbbVie Inc	1,905		1,763		-141
12-21-15	11-30-16	40 977	Lazard Intl Strategic Eq Instl	540		511	-29	
12-21-15	11-30-16	4 039	Lazard Intl Strategic Eq Instl	53		50	-3	
08-11-14	11-30-16	33 840	Lazard Intl Strategic Eq Instl	491		422		-69
03-24-14	11-30-16	3,699 087	Lazard Intl Strategic Eq Instl	52,700		46,104		-6,596
12-22-14	11-30-16	66 310	Lazard Intl Strategic Eq Instl	925		826		-99
12-22-14	11-30-16	41 482	Lazard Intl Strategic Eq Instl	579		517		-62
03-27-14	11-30-16	377 960	Oakmark Int'l	9,895		8,300		-1,595
06-17-13	11-30-16	14 256	Templeton Global Bond Fund	186		165		-21
01-15-14	11-30-16	19 384	Templeton Global Bond Fund	253		224		-28
07-15-10	11-30-16	6 747	Templeton Global Bond Fund	88		78		-10
11-15-13	11-30-16	19 153	Templeton Global Bond Fund	249		221		-28
04-15-14	11-30-16	14 969	Templeton Global Bond Fund	195		173		-22
07-15-13	11-30-16	18 932	Templeton Global Bond Fund	246		219		-27
12-16-13	11-30-16	40 699	Templeton Global Bond Fund	529		471		-59
12-16-13	11-30-16	1 397	Templeton Global Bond Fund	18		16		-2
06-15-10	11-30-16	6 709	Templeton Global Bond Fund	87		78		-10
09-16-13	11-30-16	19 145	Templeton Global Bond Fund	248		221		-26
01-15-10	11-30-16	6 009	Templeton Global Bond Fund	78		69		-8
10-17-11	11-30-16	17 317	Templeton Global Bond Fund	224		200		-24
04-16-12	11-30-16	18 161	Templeton Global Bond Fund	235		210		-25

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
06-27-13	11-30-16	659 935	Templeton Global Bond Fund	8,504		7,630		-875
TOTAL GAINS							131	33,408
TOTAL LOSSES							-3,176	-29,190
TOTAL REALIZED GAIN/LOSS							1,172	