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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 12-01-2015

, and ending 11-30-2016

Name of foundation THE LADD FOUNDATION		<b>A Employer identification number</b> 84-1250646	
Number and street (or P O box number if mail is not delivered to street address) 1520 W CANAL CT STE 220		<b>B</b> Telephone number (see instructions) (303) 297-2142	
City or town, state or province, country, and ZIP or foreign postal code LITTLETON, CO 80120		<b>C</b> If exemption application is pending, check here ▶ <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here ▶ <input type="checkbox"/>  <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 5,937,819		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		Revenue and expenses per books <b>(a)</b>	Net investment income <b>(b)</b>	Adjusted net income <b>(c)</b>	Disbursements for charitable purposes <b>(d)</b> (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule) . . . . .				
	<b>2</b> Check ▶ <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	<b>3</b> Interest on savings and temporary cash investments . . . . .	29	29		
	<b>4</b> Dividends and interest from securities . . . . .	51,802	51,802		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10 _____	-29,045			
	<b>b</b> Gross sales price for all assets on line 6a _____ 438,905				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .				
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances _____				
Operating and Administrative Expenses	<b>b</b> Less Cost of goods sold . . . . .				
	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . .				
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	22,786	51,831		
	<b>13</b> Compensation of officers, directors, trustees, etc . . . . .				
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule). . . . .				
	<b>b</b> Accounting fees (attach schedule). . . . .	14,035			14,035
	<b>c</b> Other professional fees (attach schedule) . . . . .	41,541	41,541		
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) . . . . .	209	209		
	<b>19</b> Depreciation (attach schedule) and depletion . . . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule). . . . .	299			19
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	56,084	41,750		14,054
	<b>25</b> Contributions, gifts, grants paid . . . . .	304,000			304,000
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	360,084	41,750		318,054
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	-337,298			
	<b>b Net investment income</b> (if negative, enter -0-)		10,081		
	<b>c Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	67,539	99,548	99,548
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .			
	c	Investments—corporate bonds (attach schedule) . . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	5,009,005	4,641,645	5,838,271
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	5,076,544	4,741,193	5,937,819	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted . . . . .	5,076,544	4,741,193	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions) . . . . .	5,076,544	4,741,193	
	31	Total liabilities and net assets/fund balances(see instructions) . .	5,076,544	4,741,193	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1 5,076,544
2	Enter amount from Part I, line 27a . . . . .	2 -337,298
3	Other increases not included in line 2 (itemize) ▶ _____	3 1,947
4	Add lines 1, 2, and 3 . . . . .	4 4,741,193
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 4,741,193

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )		How acquired P—Purchase <b>(b)</b> D—Donation	Date acquired <b>(c)</b> (mo , day, yr )	Date sold <b>(d)</b> (mo , day, yr )
<b>1a</b>	See Additional Data Table			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

<b>(e)</b> Gross sales price	Depreciation allowed <b>(f)</b> (or allowable)	Cost or other basis <b>(g)</b> plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
<b>a</b>	See Additional Data Table		
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0- ) or <b>(l)</b> Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	Adjusted basis <b>(j)</b> as of 12/31/69	Excess of col (i) <b>(k)</b> over col (j), if any	
<b>a</b>	See Additional Data Table		
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	-29,045
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		<b>3</b>	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2014	293,602	6,148,314	0 04775
2013	318,203	6,273,944	0 05072
2012	252,500	6,007,800	0 04203
2011	279,749	5,740,014	0 04874
2010	285,724	5,760,237	0 04960

<b>2</b>	Total of line 1, column (d). . . . .	<b>2</b>	0 238840
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 047768
<b>4</b>	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. . . . .	<b>4</b>	5,807,023
<b>5</b>	Multiply line 4 by line 3. . . . .	<b>5</b>	277,390
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	<b>6</b>	101
<b>7</b>	Add lines 5 and 6. . . . .	<b>7</b>	277,491
<b>8</b>	Enter qualifying distributions from Part XII, line 4. . . . .	<b>8</b>	318,054

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	101
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2. . . . .	3	101
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	5	101
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	9,262
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868). . . . .	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments. Add lines 6a through 6d. . . . .	7	9,262
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	9,161
11	Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> <input type="checkbox"/> 9,161 <b>Refunded</b> <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			No
		1b		No
c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CO _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	10		No

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	<b>11</b>		<b>No</b>
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	<b>12</b>		<b>No</b>
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b> The books are in care of ► <u>SPRINKLE &amp; ASSOCIATES LLC</u> Telephone no ► <u>(303) 297-2121</u> Located at ► <u>1520 W CANAL CT 220 LITTLETON CO</u> ZIP+4 ► <u>80120</u>			
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ►	<b>15</b>		
<b>16</b> At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	<b>16</b>	<b>Yes</b>	<b>No</b>
			<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly) <b>(1)</b> Engage in the sale or exchange, or leasing of property with a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(2)</b> Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(3)</b> Furnish goods, services, or facilities to (or accept them from) a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(4)</b> Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(5)</b> Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(6)</b> Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>			
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b> At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	<b>2b</b>		<b>No</b>
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>			
<b>b</b> If "Yes," did it have excess business holdings in 2015 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969, <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i> ). . . . .	<b>3b</b>		<b>No</b>
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

<b>5a</b> During the year did the foundation pay or incur any amount to				
<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		<b>5b</b>		<b>No</b>
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>			
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<b>6b</b>		<b>No</b>
If "Yes" to 6b, file Form 8870				
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>		<b>No</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JERRY D LADD 1520 W CANAL CT STE 230 LITTLETON, CO 80120	VP/TREAS/DIR 1 00	0		
J B LADD 1520 W CANAL CT STE 230 LITTLETON, CO 80120	Pres/Dir 1 00	0		
JACK M STERN 6900 E BELLEVIEW AVE STE 205 GREENWOOD VILLAGE, CO 80111	Secretary 1 00	0		
STAN SPRINKLE 1520 W CANAL CT STE 220 LITTLETON, CO 80120	Director 1 00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

**Total** number of other employees paid over \$50,000. ☐

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$ 50,000	(b) Type of service	(c) Compensation
NONE		

Total

number of others receiving over \$50,000 for professional services. . . . . ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . . ▶	



Part X

Minimum Investment Return  
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	5,750,881
b	Average of monthly cash balances. . . . .	1b	144,574
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	5,895,455
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	5,895,455
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	88,432
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,807,023
6	Minimum investment return.Enter 5% of line 5. . . . .	6	290,351

Part XI

Distributable Amount  
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	290,351
2a	Tax on investment income for 2015 from Part VI, line 5. . . . .	2a	101
b	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	101
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	290,250
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	290,250
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . .	7	290,250

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	318,054
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	318,054
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	101
6	Adjusted qualifying distributions.Subtract line 5 from line 4. . . . .	6	317,953

**Note:**The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				290,250
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only. . . . .			300,228	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010. . . . .				
b From 2011. . . . .				
c From 2012. . . . .				
d From 2013. . . . .				
e From 2014. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 318,054				
a Applied to 2014, but not more than line 2a			300,228	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2015 distributable amount. . . . .				17,826
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015. . . . .				272,424
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a. . . . .				
10 Analysis of line 9				
a Excess from 2011. . . . .				
b Excess from 2012. . . . .				
c Excess from 2013. . . . .				
d Excess from 2014. . . . .				
e Excess from 2015. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	

<b>b</b> 85% of line 2a . . . . .					
-----------------------------------	--	--	--	--	--

<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .									
---	--	--	--	--	--	--	--	--	--

<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
--	--	--	--	--	--

e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
---	---	--	--	--	--	--

3	Complete 3a, b, or c for the alternative test relied upon					

a	"Assets" alternative test—enter					
---	---------------------------------	--	--	--	--	--

(1) Value of all assets . . . . .					
-----------------------------------	--	--	--	--	--

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
---	--	--	--	--	--

<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
---	--	--	--	--	--

c "Support" alternative test—enter					
------------------------------------	--	--	--	--	--

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
---	--	--	--	--	--

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .						
---	--	--	--	--	--	--

(3) Largest amount of support from an exempt organization					
---	--	--	--	--	--

(4) Gross investment income					
-----------------------------	--	--	--	--	--

**Part XV** **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

J B LADD

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<div>a Paid during the year</div> <div>See Additional Data TableSee Additional Data Table</div>				
<div>Total . . . . .</div>			<div>▶ 3a</div>	304,000
<div>b Approved for future payment</div>				
<div>Total . . . . .</div>			<div>▶ 3b</div>	

## Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	29	
<b>4</b> Dividends and interest from securities. . . . .			14	51,802	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property . . . . .					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	-29,045	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory . . . . .					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .				22,786	
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations )			<b>13</b>		22,786

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of				
<b>(1)</b> Cash. . . . .		<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .		<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions				
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .		<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .		<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .		<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .		<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .		<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .		<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .		<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes  
☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	<div style="text-align: center;">*****</div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> Signature of officer or trustee	<div style="text-align: center;">2017-10-16</div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> Date	<div style="text-align: center;">*****</div> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> Title

May the IRS discuss this return with the preparer shown below  
 (see instr.)? ☐ Yes ☒ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name Stan G Sprinkle	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00279589
	Firm's name <input type="checkbox"/> Sprinkle & Associates LLC			Firm's EIN <input type="checkbox"/>	
	Firm's address <input type="checkbox"/> 1520 West Canal Court Suite 220 Littleton, CO 80120			Phone no. <input type="checkbox"/>	(303) 297-2121

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
CAPITAL GAIN DISTRIBUTIONS	P	2010-01-01	2016-11-30
3000 ABSOLUTE CREDIT OPPTY FD	P	2010-06-18	2015-12-31
32 534 ABSOLUTE CREDIT OPPTY FD	P	2010-12-09	2015-12-31
11 377 ABSOLUTE CREDIT OPPTY FD	P	2010-12-09	2015-12-31
93 482 ABSOLUTE CREDIT OPPTY FD	P	2011-12-13	2015-12-31
2 089 ABSOLUTE CREDIT OPPTY FD	P	2011-12-13	2015-12-31
500 523 ABSOLUTE CREDIT OPPTY FD	P	2014-12-12	2015-12-31
4 544 ABSOLUTE CREDIT OPPTY FD	P	2015-06-30	2015-12-31
3402 266 ABSOLUTE STRATEGIES INST	P	2010-06-18	2015-12-31
4 76 ABSOLUTE STRATEGIES INST	P	2010-06-30	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
68,954			68,954
29,220		35,133	-5,913
317		381	-64
111		133	-22
911		1,095	-184
20		24	-4
4,875		5,862	-987
44		53	-9
34,329		35,935	-1,606
48		50	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			68,954
			-5,913
			-64
			-22
			-184
			-4
			-987
			-9
			-1,606
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
9 379 ABSOLUTE STRATEGIES INST	P	2010-12-31	2015-12-31
5 826 ABSOLUTE STRATEGIES INST	P	2011-06-30	2015-12-31
15 608 ABSOLUTE STRATEGIES INST	P	2011-12-13	2015-12-31
0 983 ABSOLUTE STRATEGIES INST	P	2011-12-30	2015-12-31
230 826 ABSOLUTE STRATEGIES INST	P	2015-12-15	2015-12-31
55 497 ABSOLUTE STRATEGIES INST	P	2015-12-15	2015-12-31
784 92 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2009-06-19	2016-01-06
28 383 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2009-12-17	2016-01-06
17 522 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2010-12-16	2016-01-06
30 567 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2011-12-15	2016-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
95		99	-4
59		62	-3
157		165	-8
10		10	
2,329		2,438	-109
560		586	-26
16,733		18,658	-1,925
605		675	-70
374		417	-43
652		727	-75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-3
			-8
			-109
			-26
			-1,925
			-70
			-43
			-75



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
20 292 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2011-12-22	2016-01-06
59 268 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2012-12-13	2016-01-06
17 112 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2012-12-20	2016-01-06
99 125 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2013-12-12	2016-01-06
5 164 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2013-12-12	2016-01-06
14 712 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2013-12-19	2016-01-06
254 1 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2014-12-11	2016-01-06
19 897 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2014-12-11	2016-01-06
38 408 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2014-12-18	2016-01-06
199 942 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2015-12-10	2016-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
433		482	-49
1,263		1,409	-146
365		407	-42
2,113		2,356	-243
110		123	-13
314		350	-36
5,417		6,040	-623
424		473	-49
819		913	-94
4,262		4,753	-491

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-49
			-146
			-42
			-243
			-13
			-36
			-623
			-49
			-94
			-491

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
32 892 ALLIANZ GI NFJ SMALL CAP VALUE FD INST	P	2015-12-17	2016-01-06
842 365 ARTISAN SMALL CAP VALUE FD INV	P	2008-10-15	2015-12-31
65 984 ARTISAN SMALL CAP VALUE FD INV	P	2008-12-17	2015-12-31
757 168 ARTISAN SMALL CAP VALUE FD INV	P	2009-06-19	2015-12-31
3 255 ARTISAN SMALL CAP VALUE FD INV	P	2009-12-17	2015-12-31
1 949 ARTISAN SMALL CAP VALUE FD INV	P	2010-12-16	2015-12-31
146 41 ARTISAN SMALL CAP VALUE FD INV	P	2011-12-15	2015-12-31
39 866 ARTISAN SMALL CAP VALUE FD INV	P	2011-12-15	2015-12-31
0 843 ARTISAN SMALL CAP VALUE FD INV	P	2011-12-15	2015-12-31
33 143 ARTISAN SMALL CAP VALUE FD INV	P	2012-12-19	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
701		782	-81
8,516		10,630	-2,114
667		833	-166
7,655		9,556	-1,901
33		41	-8
20		25	-5
1,480		1,848	-368
403		503	-100
9		11	-2
335		418	-83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-81
			-2,114
			-166
			-1,901
			-8
			-5
			-368
			-100
			-2
			-83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
17 776 ARTISAN SMALL CAP VALUE FD INV	P	2012-12-19	2015-12-31
17 96 ARTISAN SMALL CAP VALUE FD INV	P	2012-12-19	2015-12-31
5 064 ARTISAN SMALL CAP VALUE FD INV	P	2013-11-21	2015-12-31
59 146 ARTISAN SMALL CAP VALUE FD INV	P	2013-11-21	2015-12-31
16 527 ARTISAN SMALL CAP VALUE FD INV	P	2013-11-21	2015-12-31
420 965 ARTISAN SMALL CAP VALUE FD INV	P	2014-11-19	2015-12-31
40 593 ARTISAN SMALL CAP VALUE FD INV	P	2014-11-19	2015-12-31
35 302 ARTISAN SMALL CAP VALUE FD INV	P	2015-11-19	2015-12-31
0 861 ARTISAN SMALL CAP VALUE FD INV	P	2015-11-19	2015-12-31
516 492 ARTISAN SMALL CAP VALUE FD INV	P	2015-11-19	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
180		224	-44
182		227	-45
51		64	-13
598		746	-148
167		209	-42
4,256		5,313	-1,057
410		512	-102
357		446	-89
9		11	-2
5,222		6,518	-1,296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-44
			-45
			-13
			-148
			-42
			-1,057
			-102
			-89
			-2
			-1,296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
207 867 DIAMOND HILL LONG SHORT FUND CL I	P	2008-05-09	2016-08-02
1576 923 FAIRHOLME FUND	P	2010-06-18	2015-12-31
78 306 FAIRHOLME FUND	P	2010-12-16	2015-12-31
14 506 FAIRHOLME FUND	P	2010-12-16	2015-12-31
3 533 FAIRHOLME FUND	P	2010-12-31	2015-12-31
64 733 FAIRHOLME FUND	P	2011-12-16	2015-12-31
151 312 FAIRHOLME FUND	P	2013-12-27	2015-12-31
1 902 FAIRHOLME FUND	P	2014-12-29	2015-12-31
162 548 FAIRHOLME FUND	P	2014-12-29	2015-12-31
64 771 FAIRHOLME FUND	P	2015-12-11	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,000		3,648	1,352
29,173		42,608	-13,435
1,449		2,116	-667
268		392	-124
65		95	-30
1,198		1,749	-551
2,799		4,088	-1,289
35		51	-16
3,007		4,392	-1,385
1,198		1,750	-552

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,352
			-13,435
			-667
			-124
			-30
			-551
			-1,289
			-16
			-1,385
			-552

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
1328 697 FAIRHOLME FUND	P	2015-12-11	2015-12-31
432 879 LONGLEAF PARTNERS FUND	P	2008-05-09	2015-12-31
1969 812 LONGLEAF PARTNERS FUND	P	2008-10-15	2015-12-31
145 878 LONGLEAF PARTNERS FUND	P	2008-11-06	2015-12-31
7 044 LONGLEAF PARTNERS FUND	P	2008-12-30	2015-12-31
1 391 LONGLEAF PARTNERS FUND	P	2009-12-16	2015-12-31
12 632 LONGLEAF PARTNERS FUND	P	2010-12-29	2015-12-31
64 995 LONGLEAF PARTNERS FUND	P	2011-11-08	2015-12-31
12 597 LONGLEAF PARTNERS FUND	P	2011-12-29	2015-12-31
404 737 LONGLEAF PARTNERS FUND	P	2012-11-08	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,581		35,901	-11,320
9,285		10,600	-1,315
42,252		48,236	-5,984
3,129		3,572	-443
151		172	-21
30		34	-4
271		309	-38
1,394		1,592	-198
270		308	-38
8,682		9,911	-1,229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11,320
			-1,315
			-5,984
			-443
			-21
			-4
			-38
			-198
			-38
			-1,229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
31 331 LONGLEAF PARTNERS FUND	P	2012-11-08	2015-12-31
31 933 LONGLEAF PARTNERS FUND	P	2012-12-28	2015-12-31
95 414 LONGLEAF PARTNERS FUND	P	2013-11-07	2015-12-31
7 638 LONGLEAF PARTNERS FUND	P	2013-12-30	2015-12-31
406 955 LONGLEAF PARTNERS FUND	P	2014-11-12	2015-12-31
22 557 LONGLEAF PARTNERS FUND	P	2014-12-30	2015-12-31
606 653 LONGLEAF PARTNERS FUND	P	2015-11-12	2015-12-31
1 148 LONGLEAF PARTNERS FUND	P	2015-11-12	2015-12-31
58 111 LONGLEAF PARTNERS FUND	P	2015-12-29	2015-12-31
3395 853 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2010-06-18	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
672		767	-95
685		782	-97
2,047		2,336	-289
164		187	-23
8,729		9,965	-1,236
484		552	-68
13,013		14,855	-1,842
25		28	-3
1,246		1,423	-177
25,978		35,324	-9,346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-95
			-97
			-289
			-23
			-1,236
			-68
			-1,842
			-3
			-177
			-9,346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
33 04 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2010-09-16	2015-12-31
8 923 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2010-12-08	2015-12-31
143 5 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2010-12-31	2015-12-31
23 972 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2011-03-17	2015-12-31
38 381 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2011-06-16	2015-12-31
41 775 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2011-09-15	2015-12-31
202 16 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2011-12-28	2015-12-31
35 594 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2012-03-22	2015-12-31
21 543 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2012-06-21	2015-12-31
34 398 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2012-09-20	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
253		344	-91
68		93	-25
1,098		1,493	-395
183		249	-66
294		399	-105
320		435	-115
1,547		2,103	-556
272		370	-98
165		224	-59
263		358	-95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-91
			-25
			-395
			-66
			-105
			-115
			-556
			-98
			-59
			-95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
124 391 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2012-12-27	2015-12-31
33 583 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2012-12-31	2015-12-31
37 068 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2013-03-21	2015-12-31
33 41 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2013-06-20	2015-12-31
35 289 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2013-09-19	2015-12-31
137 982 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2013-12-30	2015-12-31
27 104 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2014-03-20	2015-12-31
33 783 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2014-06-19	2015-12-31
35 879 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2014-09-18	2015-12-31
161 2 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2014-12-30	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
952		1,294	-342
257		349	-92
284		386	-102
256		348	-92
270		367	-97
1,056		1,435	-379
207		282	-75
258		351	-93
274		373	-99
1,233		1,677	-444

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-342
			-92
			-102
			-92
			-97
			-379
			-75
			-93
			-99
			-444



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
15 644 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2015-03-19	2015-12-31
36 461 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2015-06-18	2015-12-31
58 227 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2015-09-17	2015-12-31
137 508 PIMCO ALL ASSET ALL AUTHORITY CL INST	P	2015-12-30	2015-12-31
893 543 PIMCO COMMODITY REAL RETURN STRAT INST	P	2010-06-18	2015-12-31
32 38 PIMCO COMMODITY REAL RETURN STRAT INST	P	2010-09-16	2015-12-31
19 97 PIMCO COMMODITY REAL RETURN STRAT INST	P	2010-12-31	2015-12-31
25 858 PIMCO COMMODITY REAL RETURN STRAT INST	P	2011-03-17	2015-12-31
51 803 PIMCO COMMODITY REAL RETURN STRAT INST	P	2011-06-16	2015-12-31
51 502 PIMCO COMMODITY REAL RETURN STRAT INST	P	2011-09-15	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
120		163	-43
279		379	-100
445		606	-161
1,052		1,430	-378
5,638		12,996	-7,358
204		471	-267
126		290	-164
163		376	-213
327		753	-426
325		749	-424

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43
			-100
			-161
			-378
			-7,358
			-267
			-164
			-213
			-426
			-424

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
4 91 PIMCO COMMODITY REAL RETURN STRAT INST	P	2011-12-07	2015-12-31
20 638 PIMCO COMMODITY REAL RETURN STRAT INST	P	2011-12-07	2015-12-31
141 425 PIMCO COMMODITY REAL RETURN STRAT INST	P	2011-12-28	2015-12-31
10 908 PIMCO COMMODITY REAL RETURN STRAT INST	P	2012-03-22	2015-12-31
8 567 PIMCO COMMODITY REAL RETURN STRAT INST	P	2012-06-21	2015-12-31
10 05 PIMCO COMMODITY REAL RETURN STRAT INST	P	2012-09-20	2015-12-31
3 756 PIMCO COMMODITY REAL RETURN STRAT INST	P	2012-12-12	2015-12-31
9 254 PIMCO COMMODITY REAL RETURN STRAT INST	P	2012-12-12	2015-12-31
3 773 PIMCO COMMODITY REAL RETURN STRAT INST	P	2012-12-27	2015-12-31
6 168 PIMCO COMMODITY REAL RETURN STRAT INST	P	2013-03-21	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31		71	-40
130		300	-170
892		2,057	-1,165
69		159	-90
54		124	-70
63		146	-83
24		55	-31
58		135	-77
24		55	-31
39		90	-51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-40
			-170
			-1,165
			-90
			-70
			-83
			-31
			-77
			-31
			-51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
8 924 PIMCO COMMODITY REAL RETURN STRAT INST	P	2013-06-20	2015-12-31
2 685 PIMCO COMMODITY REAL RETURN STRAT INST	P	2013-09-19	2015-12-31
21 333 PIMCO COMMODITY REAL RETURN STRAT INST	P	2013-12-11	2015-12-31
5 512 PIMCO COMMODITY REAL RETURN STRAT INST	P	2014-09-18	2015-12-31
18 914 PIMCO COMMODITY REAL RETURN STRAT INST	P	2015-06-18	2015-12-31
26 327 PIMCO COMMODITY REAL RETURN STRAT INST	P	2015-09-17	2015-12-31
28 09 PIMCO COMMODITY REAL RETURN STRAT INST	P	2015-12-29	2015-12-31
7 392 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-12-31	2016-02-16
2355 741 PIMCO EMRG LOCAL BD FD INSTL CL	P	2010-06-18	2015-12-31
3 095 PIMCO EMRG LOCAL BD FD INSTL CL	P	2010-06-30	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
56		130	-74
17		39	-22
135		310	-175
35		80	-45
119		275	-156
166		383	-217
177		409	-232
49		49	
15,642		23,793	-8,151
21		31	-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-74
			-22
			-175
			-45
			-156
			-217
			-232
			-8,151
			-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
10 73 PIMCO EMRG LOCAL BD FD INSTL CL	P	2010-07-30	2015-12-31
10 406 PIMCO EMRG LOCAL BD FD INSTL CL	P	2010-08-31	2015-12-31
9 773 PIMCO EMRG LOCAL BD FD INSTL CL	P	2010-09-30	2015-12-31
11 323 PIMCO EMRG LOCAL BD FD INSTL CL	P	2010-10-29	2015-12-31
10 905 PIMCO EMRG LOCAL BD FD INSTL CL	P	2010-11-30	2015-12-31
51 274 PIMCO EMRG LOCAL BD FD INSTL CL	P	2010-12-31	2015-12-31
11 1 PIMCO EMRG LOCAL BD FD INSTL CL	P	2010-12-31	2015-12-31
10 967 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-01-31	2015-12-31
9 49 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-02-28	2015-12-31
10 165 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-03-31	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
71		108	-37
69		105	-36
65		99	-34
75		114	-39
72		110	-38
341		518	-177
74		112	-38
73		111	-38
63		96	-33
68		103	-35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0- ) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-37
			-36
			-34
			-39
			-38
			-177
			-38
			-38
			-33
			-35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
11 333 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-04-29	2015-12-31
11 189 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-05-31	2015-12-31
10 997 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-06-30	2015-12-31
10 876 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-07-29	2015-12-31
9 476 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-08-31	2015-12-31
10 965 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-09-30	2015-12-31
8 204 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-10-31	2015-12-31
11 042 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-11-30	2015-12-31
2 849 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-12-28	2015-12-31
9 561 PIMCO EMRG LOCAL BD FD INSTL CL	P	2011-12-30	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
75		114	-39
74		113	-39
73		111	-38
72		110	-38
63		96	-33
73		111	-38
54		83	-29
73		112	-39
19		29	-10
63		97	-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-39
			-39
			-38
			-38
			-33
			-38
			-29
			-39
			-10
			-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
7 53 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-01-31	2015-12-31
9 294 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-02-29	2015-12-31
11 36 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-03-30	2015-12-31
9 705 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-04-30	2015-12-31
11 634 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-05-31	2015-12-31
9 604 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-06-29	2015-12-31
8 461 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-07-31	2015-12-31
11 175 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-08-31	2015-12-31
8 2 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-09-28	2015-12-31
10 732 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-10-31	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50		76	-26
62		94	-32
75		115	-40
64		98	-34
77		118	-41
64		97	-33
56		85	-29
74		113	-39
54		83	-29
71		108	-37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-26
			-32
			-40
			-34
			-41
			-33
			-29
			-39
			-29
			-37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
10 372 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-11-30	2015-12-31
36 692 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-12-27	2015-12-31
11 055 PIMCO EMRG LOCAL BD FD INSTL CL	P	2012-12-31	2015-12-31
10 424 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-01-31	2015-12-31
9 038 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-02-28	2015-12-31
11 327 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-03-28	2015-12-31
9 721 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-04-30	2015-12-31
12 348 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-05-31	2015-12-31
11 28 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-06-28	2015-12-31
11 065 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-07-31	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69		105	-36
244		371	-127
73		112	-39
69		105	-36
60		91	-31
75		114	-39
65		98	-33
82		125	-43
75		114	-39
73		112	-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-36
			-127
			-39
			-36
			-31
			-39
			-33
			-43
			-39
			-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
13 003 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-08-30	2015-12-31
9 684 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-09-30	2015-12-31
10 034 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-10-31	2015-12-31
12 283 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-11-29	2015-12-31
12 175 PIMCO EMRG LOCAL BD FD INSTL CL	P	2013-12-31	2015-12-31
11 979 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-01-31	2015-12-31
10 108 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-02-28	2015-12-31
10 896 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-03-31	2015-12-31
11 768 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-04-30	2015-12-31
13 417 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-05-30	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
86		131	-45
64		98	-34
67		101	-34
82		124	-42
81		123	-42
80		121	-41
67		102	-35
72		110	-38
78		119	-41
89		136	-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-45
			-34
			-34
			-42
			-42
			-41
			-35
			-38
			-41
			-47



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
12 406 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-06-30	2015-12-31
12 461 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-07-31	2015-12-31
12 666 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-08-29	2015-12-31
12 118 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-09-30	2015-12-31
12 959 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-10-31	2015-12-31
10 942 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-11-28	2015-12-31
16 032 PIMCO EMRG LOCAL BD FD INSTL CL	P	2014-12-31	2015-12-31
11 134 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-01-30	2015-12-31
9 836 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-02-27	2015-12-31
12 638 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-03-31	2015-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
82		125	-43
83		126	-43
84		128	-44
80		122	-42
86		131	-45
73		111	-38
107		162	-55
74		112	-38
65		99	-34
84		128	-44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43
			-43
			-44
			-42
			-45
			-38
			-55
			-38
			-34
			-44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	(d) Date sold (mo , day, yr )
12 43 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-04-30	2015-12-31
13 706 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-05-29	2015-12-31
13 221 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-06-30	2015-12-31
13 407 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-07-31	2015-12-31
12 128 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-08-31	2015-12-31
13 391 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-09-30	2015-12-31
12 083 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-10-30	2015-12-31
12 337 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-11-30	2015-12-31
7 95 PIMCO EMRG LOCAL BD FD INSTL CL	P	2015-12-31	2016-02-16
0 05 PIMCO EMRG LOCAL BD FD INSTL CL	P	2016-01-29	2016-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
83		126	-43
91		138	-47
88		134	-46
89		135	-46
81		123	-42
89		135	-46
80		122	-42
82		125	-43
52		53	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-43
			-47
			-46
			-46
			-42
			-46
			-42
			-43
			-1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
305 359 PIMCO UNCONSTRAINED BD FD INST CL	P	2010-06-18	2016-02-16

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	Depreciation allowed <b>(f)</b> (or allowable)	Cost or other basis <b>(g)</b> plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
3,000		3,361	-361

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	Adjusted basis <b>(j)</b> as of 12/31/69	Excess of col (i) <b>(k)</b> over col (j), if any	
			-361

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
TENNYSON CTR FOR CHILDREN 2950 TENNYSON ST DENVER,CO 80212	None	501C 3	PUBLIC CHARITY	5,000
CYSTIC FIBROSIS RESEARCH 1731 EMBARCADERO RD STE 210 PALO ALTO,CA 94303	None	501C 3	PUBLIC CHARITY	2,000
DENVER BOTANIC GARDENS 909 YORK ST DENVER,CO 80206	None	501C 3	PUBLIC CHARITY	25,000
UCLA FOUNDATION BOX 951738 LOS ANGELES,CA 90095	None	501C 3	PUBLIC CHARITY	100,000
HABITAT FOR HUMANITY DENVER 3245 ELIOT ST DENVER,CO 80211	None	501C 3	PUBLIC CHARITY	25,000
<b>Total . . . . . ▶ 3a</b>				304,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SAFE HARBOR LAB RESCUE 601 16TH ST C-322 GOLDEN,CO 80401	None	501C 3	PUBLIC CHARITY	2,500
WE DON'T WASTE 3560 WALNUT ST UNIT B DENVER,CO 80205	None	501C 3	PUBLIC CHARITY	7,500
DUMB FRIENDS LEAGUE 2080 S QUEBEC ST DENVER,CO 80231	None	501C 3	PUBLIC CHARITY	2,500
COTTONWOOD INSTITUTE P O BOX 7067 DENVER,CO 80207	None	501C 3	PUBLIC CHARITY	35,000
DESERT COMMUNITY FOUNDATION 75-105 MERLE DR STE 300 PALM DESERT,CA 92211	None	501C 3	PUBLIC CHARITY	3,500
<b>Total . . . . . ▶ 3a</b>				304,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
EISENHOWER MEDICAL CTR FDN 39000 BOB HOPE DR RANCHO MIRAGE,CA 92270	None	501C 3	PUBLIC CHARITY	12,000
GRALAND COUNTRY DAY SCHOOL 55 CLERMONT ST DENVER,CO 80220	None	501C 3	PUBLIC CHARITY	10,000
METRO CARING P O BOX 300459 DENVER,CO 80203	None	501C 3	PUBLIC CHARITY	10,000
MILE HIGH MINISTRIES 913 N WYANDOT ST DENVER,CO 80204	None	501C 3	PUBLIC CHARITY	15,000
STAN MCKEE READING FDN 11166 HURON ST NORTHGLENN,CO 80234	None	501C 3	PUBLIC CHARITY	20,000
<b>Total . . . . .</b>			<b>▶ 3a</b>	304,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
WALDORF EDUC ASSN OF NEW ORLEANS 517 SORAPARU ST STE 104 NEW ORLEANS, LA 70130	None	501C 3	PUBLIC CHARITY	5,000
CHILDREN'S HOSPITAL COLORADO FOUNDA 13123 E 16TH AVE B045 AURORA, CO 80045	None	501C 3	PUBLIC CHARITY	10,000
JOSHUA INC 2303 E DARTMOUTH AVE ENGLEWOOD, CO 80113	None	501C 3	PUBLIC CHARITY	5,000
JUNIOR LEAGUE OF LONG BEACH 3515 LINDEN AVE LONG BEACH, CA 90807	None	501C 3	PUBLIC CHARITY	1,500
MICHAEL J FOX FOUNDATION P O BOX 5014 HAGERSTOWN, MD 21741	None	501C 3	PUBLIC CHARITY	6,000
<b>Total . . . . . ▶ 3a</b>				304,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SOUL DOG RESCUE 4844 S KALAMATH ST ENGLEWOOD, CO 80110	None	501C 3	PUBLIC CHARITY	1,500
<b>Total . . . . . ▶ 3a</b>				304,000



**TY 2015 Accounting Fees Schedule****Name:** THE LADD FOUNDATION**EIN:** 84-1250646**Software ID:** 15000324**Software Version:** 2015v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Sprinkle & Associates	14,035	0	0	14,035

**TY 2015 Other Expenses Schedule****Name:** THE LADD FOUNDATION**EIN:** 84-1250646**Software ID:** 15000324**Software Version:** 2015v3.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	280			
POSTAGE	19			19

**TY 2015 Other Increases Schedule****Name:** THE LADD FOUNDATION**EIN:** 84-1250646**Software ID:** 15000324**Software Version:** 2015v3.0

Description	Amount
Mutual Fund Non-taxable return of capital reinvested	1,947

**TY 2015 Other Professional Fees Schedule****Name:** THE LADD FOUNDATION**EIN:** 84-1250646**Software ID:** 15000324**Software Version:** 2015v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	41,541	41,541	0	0

**TY 2015 Taxes Schedule****Name:** THE LADD FOUNDATION**EIN:** 84-1250646**Software ID:** 15000324**Software Version:** 2015v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID ON INVESTMENTS	209	209		