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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2015**

Open to Public Inspection

For calendar year 2015 or tax year beginning **DEC 1, 2015**, and ending **NOV 30, 2016**

Name of foundation

THE CYRUS KATZEN FOUNDATION INC

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 1040

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

BAILEYS CROSSROADS, VA 22041

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ **22,112,181.** (Part I, column (d) must be on cash basis)

J Accounting method:

☒

Cash

☐

Accrual

Other (specify)

A Employer identification number

52-1756979

B Telephone number

703-578-4000C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received	15,100,000.		N/A	
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	4,952.	4,952.		STATEMENT 1
4 Dividends and interest from securities	216,446.	216,446.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-215,906.			
b Gross sales price for all assets on line 6a 11,925,645.				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	1,946.	1,946.		STATEMENT 3
12 Total. Add lines 1 through 11	15,107,438.	223,344.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 4	20,600.	0.		0.
c Other professional fees STMT 5	225.	0.		0.
17 Interest				
18 Taxes STMT 6	13,267.	1,232.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 7	114,901.	114,713.		0.
24 Total operating and administrative expenses. Add lines 13 through 23	148,993.	115,945.		0.
25 Contributions, gifts, grants paid	81,900.			81,900.
26 Total expenses and disbursements. Add lines 24 and 25	230,893.	115,945.		81,900.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	14,876,545.			
b Net investment income (if negative, enter -0-)		107,399.		
c Adjusted net income (if negative, enter -0-)			N/A	

678

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets	1	Cash - non-interest-bearing		71,008.	56,247.	56,247.
	2	Savings and temporary cash investments		1,881,602.	1,733,740.	1,733,740.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8		0.	950,030.	934,678.
	b	Investments - corporate stock STMT 9		1,440,143.	2,014,661.	2,334,412.
	c	Investments - corporate bonds STMT 10		329,760.	1,320,209.	1,284,308.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 11		3,080,931.	15,605,102.	15,768,796.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		6,803,444.	21,679,989.	22,112,181.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐				
		and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ ☒				
		and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds		6,803,444.	21,679,989.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		0.	0.		
30	Total net assets or fund balances		6,803,444.	21,679,989.		
31	Total liabilities and net assets/fund balances		6,803,444.	21,679,989.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,803,444.
2	Enter amount from Part I, line 27a	2	14,876,545.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	21,679,989.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	21,679,989.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BNY MELLON - SEE ATTACHED STATEMENT A	P		
b BNY MELLON - SEE ATTACHED STATEMENT A	P		
c U.S. TRUST - SEE ATTACHED STATEMENT B	P		
d U.S. TRUST - WASH SALES ADJUSTMENT	P		
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,932,729.		2,001,674.	-68,945.
b 655,377.		654,116.	1,261.
c 9,239,706.		9,485,761.	-246,055.
d 12,976.			12,976.
e 84,857.			84,857.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-68,945.
b			1,261.
c			-246,055.
d			12,976.
e			84,857.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-215,906.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	95,000.	6,769,226.	.014034
2013	152,900.	6,667,052.	.022934
2012	42,900.	3,225,311.	.013301
2011	1,523,079.	2,679,215.	.568480
2010	1,360,694.	2,490,200.	.546420

2 Total of line 1, column (d)	2	1.165169
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.233034
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	14,741,265.
5 Multiply line 4 by line 3	5	3,435,216.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,074.
7 Add lines 5 and 6	7	3,436,290.
8 Enter qualifying distributions from Part XII, line 4	8	81,900.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1 2,148.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 2,148.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 2,148.
6 Credits/Payments:		
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a 8,800.	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 8,800.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 6,652.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☐ 6,652. Refunded ☐	11 0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MD</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	

Website address ► **N/A**

14 The books are in care of ► **THE FOUNDATION** Telephone no. ► **703-578-4000**
 Located at ► **6031 LEESBURG PIKE, FALLS CHURCH, VA** ZIP+4 ► **22041**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year
 ► **15** **N/A**

16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
 See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►

	Yes	No
16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

(continued)

[illegible]

C

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

0

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,905,629.
b	Average of monthly cash balances	1b	3,060,122.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	14,965,751.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	14,965,751.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	224,486.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,741,265.
6	Minimum investment return. Enter 5% of line 5	6	737,063.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	737,063.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	2,148.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,148.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	734,915.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	734,915.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	734,915.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	81,900.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	81,900.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	81,900.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				734,915.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	1,237,396.			
b From 2011	1,390,394.			
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	2,627,790.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$	81,900.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				81,900.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	653,015.			653,015.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,974,775.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	584,381.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	1,390,394.			
10 Analysis of line 9:				
a Excess from 2011	1,390,394.			
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
DANA-FARBER CANCER INSTITUTE 220 SUNRISE AVENUE, SUITE 204 PALM BEACH, FL 33480		PC	SUPPORT FOR CANCER RESEARCH AND CARE	4,000.
GW HEART AND VASCULAR INSTITUTE 2300 EYE STREET, NW WASHINGTON, DC 20037		PC	CARDIO VASCULAR CLINICAL RESEARCH	25,000.
CHARLES E. SMITH LIFE COMMUNITIES 6121 MONTROSE ROAD ROCKVILLE, MD 20852		PC	CARE AND SERVICES FOR THE ELDERLY	7,500.
THE GEORGE WASHINGTON UNIVERSITY 2100 M STREET, SUITE 310 WASHINGTON, DC 20052		PC	SUPPORT FOR ADVANCEMENT OF MEDICAL AND HEALTH SCIENCES	5,000.
WASHINGTON LEGAL CLINIC FOR THE HOMELESS 1200 U STREET, NW WASHINGTON, DC 20009		PC	LEGAL SUPPORT AND ASSISTANCE FOR THE HOMELESS AND THE POOR	5,000.
Total	SEE CONTINUATION SHEET(S)			81,900.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | | | | | | | | | | | | | | | | | | |
|--|--|--|-----|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|----|--|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <tr> <td></td> <td>Yes</td> </tr> <tr> <td>1a(1)</td> <td></td> </tr> <tr> <td>1a(2)</td> <td></td> </tr> <tr> <td>1b(1)</td> <td></td> </tr> <tr> <td>1b(2)</td> <td></td> </tr> <tr> <td>1b(3)</td> <td></td> </tr> <tr> <td>1b(4)</td> <td></td> </tr> <tr> <td>1b(5)</td> <td></td> </tr> <tr> <td>1b(6)</td> <td></td> </tr> <tr> <td>1c</td> <td></td> </tr> </table> | | Yes | 1a(1) | | 1a(2) | | 1b(1) | | 1b(2) | | 1b(3) | | 1b(4) | | 1b(5) | | 1b(6) | | 1c | |
| | Yes | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | | | | | | | | | | | | | | | | | | | | |
| 1c | | | | | | | | | | | | | | | | | | | | | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule.**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature _____

Date _____

Check ☐ if self-employed

PTIN

DAVID FLINCHUM, CPA DAVID FLINCHUM, C

02/14/17

P00054429

Firm's name ► BERLIN RAMOS & COMPANY, P.A.

Firm's EIN ▶ 52-1367749

Firm's address ► 11200 ROCKVILLE PIKE, #400
ROCKVILLE, MD 20852

Phone no. 301-589-9000

Form 990-PF (2015)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BREAST CANCER RESEARCH FOUNDATION 60 EAST 56TH STREET NEW YORK, NY 10022		PC	RESEARCH FOR PREVENTION OF BREAST CANCER	550.
BRIGHAM AND WOMEN'S HOSPITAL 116 HUNTINGTON AVENUE BOSTON, MA 02116		PC	IMPROVING TREATMENT AND DIAGNOSIS OF OSTEOPOROSIS & BONE DEASEASE	1,500.
COMMUNITY COOKS ONE SUMMER STREET SOMERVILLE, MA 02143		PC	PROVIDE MEALS TO THE POOR AND HUNGRY	250.
AUTISM SPEAKS 152 WEST 57TH STREET NEW YORK, NY 10019		PC	PROMOTE GLOBAL AUTISM AWARENESS	1,500.
THE BUONICONTI FUND TO CURE PARALYSIS 1095 NW 14TH TERRACE MIAMI, FL 33136		PC	REASEARCH AND CURE FOR SPINAL CORD INJURY	1,000.
NATIONAL GALLERY OF ART - THE CIRCLE SIXTH STREET AND CONSTITUTION AVENUE, NW WASHINGTON , DC 20001		PC	SUPPORT FOR ART MUSEUM	5,000.
AMERICAN REPERTORY THEATER 64 BRATTLE STREET CAMBRIDGE, MA 02138		PC	SUPPORT FOR PERFORMING THEATER	1,000.
WXEL GARNET SOCIETY P O BOX6607 WEST PALM BEACH, FL 33405		PC	SUPPORT FOR PBS	350.
PHEO PARA ALLIANCE 9721 WHITLEY PARK PLACE BETHESDA, MD 20814		PC	TREATMENT AND CURE FOR PHEOCHROMOCYTOMA & PARAGANGLIOMA DISEASES	15,000.
JEWISH WOMEN'S FOUNDATION 101 NORTHPOINT PARKWAY WEST PALM BEACH, FL 33407		PC	SUPPORT FOR SOLUTIONS TO GENDER INEQUITIES	2,000.
Total from continuation sheets				35,400.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILD & FAMILY NETWORK CENTER 3700 WHEELER AVENUE ALEXANDRIA, VA 22304		PC	SUPPORT FOR SERVICES FOR PRESCHOOL PROGRAM	500.
BELLA'S ANGELS P O BOX6607 JUPITER, FL 33468		PC	SUPPORT FOR CHILDREN WITH LIFE-LONG PHYSICAL DISABILITIES	2,500.
HEAVENLY PRODUCTIONS FOUNDATION 11 WAMPUS CLOSE ARMONK, NY 10504		PC	SUPPORT FOR ANNUAL BACKPACK & SCHOOL SUPPLIES OUTREACH PROJECT	1,000.
ASH CENTER NINTH ANNUAL GALA 315 W 36TH STREET NEW YORK, NY 10018		PC	SUPPORT FOR MUSEUM OF JEWISH HERITAGE	750.
HOSPITAL FOR SPECIAL SURGERY 535 EAST 70TH STREET NEW YORK, NY 10021		PC	SUPPORT FOR RESEARCH AND CURE FOR MUSCULOSKELETAL DISEASE & INJURY	2,500.
Total from continuation sheets				

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

THE CYRUS KATZEN FOUNDATION INC

Employer identification number

52-1756979

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization

Employer identification number

THE CYRUS KATZEN FOUNDATION INC**52-1756979****Part I****Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CYRUS KATZEN REVOCABLE TRUST C/O CULMORE REALTY 6031 LEESBURG PIKE BAILEYS CROSSROADS, VA 22041	\$ 15,100,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE CYRUS KATZEN FOUNDATION INC**52-1756979****Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

THE CYRUS KATZEN FOUNDATION INC

52-1756979

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON	2,001.	2,001.	
U.S. TRUST	2,951.	2,951.	
TOTAL TO PART I, LINE 3	4,952.	4,952.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ACCRUED INTEREST					
PAID - BNY	-12,116.	0.	-12,116.	-12,116.	
BB & T BANK CORP	2,610.	0.	2,610.	2,610.	
BNY MELLON	163,236.	60,620.	102,616.	102,616.	
U.S. TRUST	147,573.	24,237.	123,336.	123,336.	
TO PART I, LINE 4	301,303.	84,857.	216,446.	216,446.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON NON-DIVIDEND DISTRIBUTION	1,238.	1,238.	
BNY MELLON - OTHER INCOME	435.	435.	
L/T CAPITAL GAIN FROM CLEARVIEW TOWER COMPANY	178.	178.	
US TRUST NON-DIVIDEND DISTRIBUTION	95.	95.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,946.	1,946.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	20,600.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	20,600.	0.		0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RESIDENT AGENT SERVICE FEE	225.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	225.	0.		0.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID ON DIVIDENDS	1,232.	1,232.		0.
FEDERAL EXCISE TAX PAID	11,848.	0.		0.
FEDERAL INCOME TAX WITHHELD ON DIVIDENDS	187.	0.		0.
TO FORM 990-PF, PG 1, LN 18	13,267.	1,232.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVERTISING	38.	0.		0.
INVESTMENT ADVISOR FEES	40,480.	40,480.		0.
EXPENSES FROM K-1	178.	178.		0.
SEMINARS	150.	0.		0.
INVESTMENT MANAGEMENT FEES	73,544.	73,544.		0.
OTHER INVESTMENT EXPENSES	511.	511.		0.
TO FORM 990-PF, PG 1, LN 23	114,901.	114,713.		0.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
FLORIDA ST BOARD OF ADMIN FIN CO 2.638% 7/1/2021		X	46,518.	45,346.
U.S. GOVERNMENT OBLIGATIONS	X		903,512.	889,332.
TOTAL U.S. GOVERNMENT OBLIGATIONS			903,512.	889,332.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			46,518.	45,346.
TOTAL TO FORM 990-PF, PART II, LINE 10A			950,030.	934,678.

FORM 990-PF

CORPORATE STOCK

STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BB & T CORP	38,396.	107,479.
STOCK HELD BY BNY MELLON	1,407,897.	1,631,787.
STOCK HELD BY U.S. TRUST	568,368.	595,146.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,014,661.	2,334,412.

FORM 990-PF

CORPORATE BONDS

STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BONDS HELD BY BNY MELLON - SEE ATTACHED STATEMENT C	1,234,617.	1,199,204.
FEDERAL HOME LOAN BANKS 1.375% 3/9/2018	55,569.	55,235.
FEDERAL HOME LOAN MTG CORP 1.125% 4/15/2019	30,023.	29,869.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,320,209.	1,284,308.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ADVANTAGE DYNAMIC TOTAL RETURN FUND	COST	150,000.	149,351.
AQR MANAGED FUTURES STRATEGY FUND	COST	0.	0.
ASG GLOBAL ALTERNATIVES FUND	COST	135,000.	129,311.
ASG MANAGED FUTURE STRATEGY FUND	COST	150,000.	133,238.
BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND	COST	123,185.	119,874.
BNY MELLON FOCUSED EQUITY OPPORTUNITIES FUND	COST	0.	0.
BNY MELLON INTL FUND	COST	327,000.	305,498.
BNY MELLON S/C MULTI-STRATEGY FUND	COST	300,000.	349,258.
BOSTON PARTNERS LONG/SHORT RES FUND	COST	124,700.	122,951.
CREDIT SUISSE COMMODITY-RETURN STRATEGY FUND	COST	371,484.	383,316.
DB X-TRACKERS MSCI EFFE HEDGED EQUITY FUND	COST	0.	0.
DF GLOBAL REAL RETURN FUND	COST	225,000.	213,393.
DFA COMMODITY STRATEGY FUND	COST	75,000.	76,418.
DFA EMERGING MKTS CORE EQUITY FUND	COST	145,000.	127,212.
DFA/NEWTON INTL EQUITY FUND	COST	160,500.	146,339.
DOUBLELINE TOTAL RETURN BOND FUND	COST	2,188,029.	2,137,196.
DREYFUS GLOBAL DYNAMIC BOND FUND	COST	250,000.	246,959.
DREYFUS INTL SMALL CAP FUND	COST	130,000.	126,856.
DREYFUS INTL STOCK FUND	COST	317,000.	315,015.
DREYFUS MIDCAP INDEX FUND	COST	0.	0.
DREYFUS SELECT MGR L/S EQUITY FUND	COST	400,000.	408,063.
DREYFUS SELECT MGR SMALL CAP GROWTH FUND	COST	0.	0.
DREYFUS SELECT MGR SMALL CAP VALUE FUND	COST	0.	0.
DREYFUS US EQUITY FUND	COST	400,000.	418,195.
DREYFUS YLD ENHANCEMENT STRATEGY FUND	COST	0.	0.
DREYFYF EMERGING MKTS DEBT LOCAL CURRENCY FUND	COST	0.	0.
EATON VANCE FLTG RATE FUND CL I	COST	533,160.	539,822.
FPA CRESCENT FUND SER I	COST	123,685.	123,870.
ISHARE CORE MSCI EMERGING MKTS ETF	COST	266,805.	252,480.
ISHARE CORE S&P MID CORE ETF	COST	691,892.	754,093.
ISHARE MSCI EAFE ETF	COST	359,918.	362,036.
ISHARE RUSSEL 200 EFT	COST	378,743.	378,379.
ISHARES CORE S&P 500 ETF	COST	4,516,698.	4,618,901.
METRO WEST T/R BOND FUND CL I	COST	728,703.	717,611.
PAMASSUS MID-CAP FUND	COST	600,000.	591,526.
PRINCIPAL MIDCAP BLEND FUND	COST	0.	0.
SELECT SECTOR SPDR TR FINANCIAL FUND	COST	306,949.	313,002.
TOUCHSTONE SMALL CAP GROWTH FUND	COST	0.	0.
UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND	COST	814,187.	895,336.
VITUS EMERGING MKTS OPPORTUNITY	COST	127,550.	119,449.
WINDOMTREE JAPAN HEDGED EQUITY FUND	COST	184,914.	193,848.

TOTAL TO FORM 990-PF, PART II, LINE 13

15,605,102.

15,768,796.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAY E. KATZEN POTOMAC, MD	DIRECTOR 5.00	0.	0.	0.
JOHN R. BRUNNER ARLINGTON, VA	DIRECTOR 1.00	0.	0.	0.
JULIE K. MUCHNICK BETHESDA, MD	DIRECTOR 1.00	0.	0.	0.
MARC R. FEINBERG BETHESDA, MD	DIRECTOR 1.00	0.	0.	0.
MILLARD S. BENNETT ROCKVILLE, MD	DIRECTOR 1.00	0.	0.	0.
STACY K. NIDUS NEW YORK, NY	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JAY E. KATZEN
6031 LEESBURG PIKE
FALLS CHURCH, VA 22041-2203

TELEPHONE NUMBER

703-578-4000

FORM AND CONTENT OF APPLICATIONS

LETTER STATING NAME OF ORGANIZATION AND SUBMITTING PROOF OF ITS TAX-EXEMPT
STATUS AND STATING PURPOSE FOR WHICH GRANT WILL BE USED.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

ATTACHMENT TO FORM 990-PF, PART IV - CAPITAL GAINS AND LOSSES

BNY MELLON CAPITAL GAINS (LOSSES) ON SALES OF SECURITIES

	GROSS SALES PROCEEDS (e)	COST/ BASIS (g)	GAIN (LOSS) (h)
SHORT-TERM SALES	1,932,729.35	2,001,674.46	(68,945.11)
LONG-TERM SALES	655,376.70	654,116.01	1,260.69
TOTAL	2,588,106.05	2,655,790.47	(67,684.42)



BNY MELLON
WEALTH MANAGEMENT

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FDN INC -MOD 31-SUB - 108437XW010

52 - 1756979

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
08/09/2016	Sell an Asset	674599105	-26 99	-70 17	-97 16
		OCCIDENTAL PETROLEUM CORP			
08/17/2016	Sell an Asset	20825C104	-145 18	0 00	-145 18
		CONOCOPHILLIPS			
09/14/2016	Sell an Asset	14149Y108	-423 25	0 00	-423 25
		CARDINAL HEALTH INC			
10/29/2016	Sell an Asset	25746U869	-69 02	0 00	-69 02
		DOMINION RESOURCES INC CONV PFD			
10/28/2016	Sell an Asset	26817R207	0 00	-1 783 30	-1,783 30
		DYNEGY INC			
11/17/2016	Sell an Asset	854502804	0 00	-308 59	-308 59
		STANLEY BLACK & DECKER I CONV PFD			
11/21/2016	Sell an Asset	093671105	-815 89	0 00	-815 89
		BLOCK H & R INC			
Total Losses			-16,986.06	-8,081.43	-25,067.49
Total Realized Gain/Loss Transactions	DR. CYRUS KATZEN FDN INC.-MOD 31-SUB - 108437XW010		-5,538 18	5,123.96	-414 22
Total Realized Gain/Loss Transactions	All Selected Accounts		-68,383.15 (A)	61,881 09 (B)	-6,502.06 (C)

	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
Total Realized Gain/loss Transactions - All Selected Accounts	(68,383.15) (A)	61,881.09 (B)	(6,502.06) (C)
Remove Short Term Capital Gain Distributions (Dividends)	(561.96)		(561.96)
Remove Long Term Capital Gain Distributions	(68,945.11)	(60,620.40)	(60,620.40)
Adjusted Realized Gain/Loss Transactions		1,260.69	(67,684.42)

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FOUNDATION INC.-IMA - 108437XW000

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
Gains					
	Capital Gains Distribution	712223106	0.00	32,564.83	32,564.83
	Capital Gains Distribution	05569M475	9.58	3,953.35	3,962.93
	Capital Gains Distribution	701765885	0.00	14,213.57	14,213.57
	Capital Gains Distribution	86271F529	0.00	5,424.26	5,424.26
	Capital Gains Distribution	86271F560	552.38	4,464.39	5,016.77
Distributions					
12/01/2015	Sell an Asset	17275R102	3.07	0.00	3.07
12/08/2015	Sell an Asset	91913Y100	0.00	786.83	786.83
12/09/2015	Sell an Asset	285512109	0.00	1,756.98	1,756.98
12/14/2015	Sell an Asset	037833100	0.00	1,021.69	1,021.69
01/05/2016	Sell an Asset	037833100	0.00	879.75	879.75
01/06/2016	Sell an Asset	03524A108	0.00	465.63	465.63
01/19/2016	Sell an Asset	693475105	0.00	291.00	291.00
01/20/2016	Sell an Asset	693475105	0.00	574.44	574.44
01/21/2016	Sell an Asset	20030N101	0.00	75.72	75.72
02/03/2016	Sell an Asset	285512109	0.00	467.47	467.47

Handwritten notes on the table:

- 95.75 (under Federal Short Term Gain/Loss for 86271F560)
- 60,620.40 (under Federal Long Term Gain/Loss for 86271F560)
- 11,182.36 (under Gain/Loss for 86271F560)

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FOUNDATION INC -IMA - 108437XW000

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
02/04/2016	Sell an Asset	285512109	0 00	1 992 38	1 992 38
		ELECTRONIC ARTS INC			
02/11/2016	Sell an Asset	718546104	0 00	67 44	67 44
		PHILLIPS 66			
03/17/2016	Sell an Asset	30161N101	116 96	0 00	116 96
		EXELON CORP			
03/17/2016	Sell an Asset	91913Y100	379 49	0 00	379 49
		VALERO ENERGY CORP NEW			
03/17/2016	Sell an Asset	81762P102	0 00	399 36	399 36
		SERVICENOW INC			
03/30/2016	Sell an Asset	81762P102	0 00	584 34	584 34
		SERVICENOW INC			
03/31/2016	Sell an Asset	81762P102	0 00	626 80	626 80
		SERVICENOW INC			
04/04/2016	Sell an Asset	03524A108	0 00	685 11	685 11
		ANHEUSER BUSCH INBEV SPN			
04/18/2016	Sell an Asset	163072101	0 00	59 48	59 48
		CHEESECAKE FACTORY INC/THE			
04/19/2016	Sell an Asset	163072101	0 00	134 14	134 14
		CHEESECAKE FACTORY INC/THE			
04/20/2016	Sell an Asset	163072101	0 00	125 46	125 46
		CHEESECAKE FACTORY INC/THE			
04/20/2016	Sell an Asset	G1151C101	0 00	1 191 78	1,191 78
		ACCENTURE PLC-CL A			
05/03/2016	Sell an Asset	037833AH3	0 00	60 00	60 00
		APPLE INC 0 45% 5/03/16			
05/05/2016	Sell an Asset	87234N765	568 48	0 00	568 48
		TCW EMERGING MARKETS INCOME FUND-I			
05/05/2016	Sell an Asset	261980460	336 62	0 00	336 62
		DREYFUS EMG MKT DEBT LOC C-Y			
05/19/2016	Sell an Asset	478160104	331 08	0 00	331 08
		JOHNSON & JOHNSON			

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR. CYRUS KATZEN FOUNDATION INC -IMA - 108437XW000

Trade Date	Transaction Type	Security ID		Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
06/08/2016	Sell an Asset	037833100	APPLE INC	-306 93	1 078 57	771 64
06/27/2016	Sell an Asset	3137EADZ9	FHLMC 1 125 04/15/19 1 125% 4/15/19	210 00	0 00	210 00
07/08/2016	Sell an Asset	031162100	AMGEN INC	104 96	0 00	104 96
07/12/2016	Sell an Asset	00724F101	ADOBE SYSTEMS INC	73 31	0 00	73 31
07/12/2016	Sell an Asset	79466L302	SALESFORCE COM INC	80 75	0 00	80 75
07/20/2016	Sell an Asset	416515104	HARTFORD FINL SVCS GROUP INC	0 00	573 55	573 55
07/20/2016	Sell an Asset	45866F104	INTERCONTINENTAL EXCHANGE INC	0 00	1,052 24	1,052 24
08/19/2016	Sell an Asset	20030N101	COMCAST CORP CL A	-30 26	147 04	116 78
08/23/2016	Sell an Asset	25746U109	DOMINION RES INC VA NEW	294 30	0 00	294 30
08/25/2016	Sell an Asset	723787107	PIONEER NATURAL RESOURCES CO	0 00	379 41	379 41
09/02/2016	Sell an Asset	20030N101	COMCAST CORP CL A	0 00	568 80	568 80
09/02/2016	Sell an Asset	594918104	MICROSOFT CORPORATION	974 23	0 00	974 23
09/02/2016	Sell an Asset	92343V104	VERIZON COMMUNICATIONS INC	0 00	256 32	256 32
09/08/2016	Sell an Asset	037833100	APPLE INC	0 00	951 77	951 77
09/14/2016	Sell an Asset	14149Y108	CARDINAL HEALTH INC	0 00	574 81	574 81
09/14/2016	Sell an Asset	717081103	PFIZER INC	0 00	126 74	126 74

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FOUNDATION INC -IMA - 108437XW000

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
09/14/2016	Sell an Asset	05565QCE6	16 75	0 00	16 75
		B P CAPITAL MARKETS PLC			
09/23/2016	Sell an Asset	874039100	276 05	0 00	276 05
		TAIWAN SEMICONDUCTOR MFG CO ADR			
09/27/2016	Sell an Asset	25179M103	2 608 64	0 00	2 608 64
		DEVON ENERGY CORPORATION NEW			
09/30/2016	Sell an Asset	00724F101	142 60	233 98	376 58
		ADOBE SYSTEMS INC			
09/30/2016	Sell an Asset	30303M102	0 00	901 77	901 77
		FACEBOOK INC-A			
10/03/2016	Sell an Asset	718172109	0 00	698 45	698 45
		PHILIP MORRIS INTERNAT			
10/06/2016	Sell an Asset	478160104	0 00	377 55	377 55
		JOHNSON & JOHNSON			
10/06/2016	Sell an Asset	717081103	0 00	116 52	116 52
		PFIZER INC			
10/06/2016	Sell an Asset	438516106	0 00	178 19	178 19
		HONEYWELL INTL INC			
10/06/2016	Sell an Asset	00846U101	59 03	0 00	59 03
		AGILENT TECHNOLOGIES INC			
10/06/2016	Sell an Asset	00773T101	0 00	111 67	111 67
		ADVANSIX INC			
10/07/2016	Sell an Asset	G1151C101	0 00	1 014 50	1 014 50
		ACCENTURE PLC-CL A			
10/13/2016	Sell an Asset	594918104	0 00	536 15	536 15
		MICROSOFT CORPORATION			
10/13/2016	Sell an Asset	N6596X109	669 38	0 00	669 38
		NXP SEMICONDUCTORS N V			
10/14/2016	Sell an Asset	79466L302	-18 49	478 09	459 60
		SALESFORCE COM INC			
10/18/2016	Sell an Asset	00846U101	291 51	0 00	291 51
		AGILENT TECHNOLOGIES INC			

Realized Gain Loss Transaction

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FOUNDATION INC -IMA - 108437XW000

Reported in U.S. Dollars

Trade Date	Transaction Type	Security ID		Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
10/18/2016	Sell an Asset	79466L302	SALESFORCE COM INC	0 00	833 00	833 00
10/19/2016	Sell an Asset	031162100	AMGEN INC	0 00	1 867 42	1,867 42
10/27/2016	Sell an Asset	N6596X109	NXP SEMICONDUCTORS N V	824 11	0 00	824 11
10/28/2016	Sell an Asset	91913Y100	VALERO ENERGY CORP NEW	16 36	0 00	16 36
10/28/2016	Sell an Asset	N6596X109	NXP SEMICONDUCTORS N V	1 417 34	0 00	1,417 34
11/02/2016	Sell an Asset	N6596X109	NXP SEMICONDUCTORS N V	1 400 17	0 00	1,400 17
11/03/2016	Sell an Asset	91913Y100	VALERO ENERGY CORP NEW	177 49	0 00	177 49
11/04/2016	Sell an Asset	00817Y108	AETNA INC	85 46	0 00	85 46
11/11/2016	Sell an Asset	05569M509	BNY MELLON M/C MULTI-STRATEGY FUND	27 734 69	0 00	27,734 69
11/11/2016	Sell an Asset	N6596X109	NXP SEMICONDUCTORS N V	2 017 83	0 00	2,017 83
11/14/2016	Sell an Asset	037833100	APPLE INC	0 00	1,059 29	1,059 29
11/14/2016	Sell an Asset	30303M102	FACEBOOK INC-A	0 00	1,093 13	1,093 13
11/15/2016	Sell an Asset	20030N101	COMCAST CORP CL A	0 00	804 25	804 25
11/16/2016	Sell an Asset	458140100	INTEL CORP	122 94	0 00	122 94
11/16/2016	Sell an Asset	30303M102	FACEBOOK INC-A	0 00	763 74	763 74
11/29/2016	Sell an Asset	00773T101	ADVANSIX INC	0 00	0 80	0 80

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FOUNDATION INC -IMA - 108437XW000

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
Total Gains			41,539.88	89,643.95	131,183.83
Losses					
12/04/2015	Sell an Asset	693656100	-845.25	-1,810.21	-2,655.46
12/08/2015	Sell an Asset	20030N101	-118.18	0.00	-118.18
12/08/2015	Sell an Asset	92343V104	0.00	-243.63	-243.63
12/08/2015	Sell an Asset	00206R102	0.00	-111.98	-111.98
01/06/2016	Sell an Asset	032654105	-744.44	0.00	-744.44
01/06/2016	Sell an Asset	816851109	-621.04	0.00	-621.04
01/07/2016	Sell an Asset	032654105	-1,538.00	0.00	-1,538.00
01/07/2016	Sell an Asset	20030N101	-405.02	0.00	-405.02
01/07/2016	Sell an Asset	412822108	0.00	-4,019.23	-4,019.23
01/07/2016	Sell an Asset	816851109	-432.27	-123.73	-556.00
01/08/2016	Sell an Asset	427866108	0.00	-583.02	-583.02
01/13/2016	Sell an Asset	20030N101	-77.89	54.78	-23.11
01/13/2016	Sell an Asset	00287Y109	0.00	-608.30	-608.30
01/20/2016	Sell an Asset	478160104	-184.09	0.00	-184.09

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FOUNDATION INC -IMA - 108437XW000

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
01/20/2016	Sell an Asset	09062X103	-329 17	-59 75	-388 92
01/20/2016	Sell an Asset	G0177J108	-322 43	276 63	-45 80
01/22/2016	Sell an Asset	907818108	-1,612 57	-2 191 94	-3 804 51
01/26/2016	Sell an Asset	427866108	0 00	-805 53	-805 53
02/03/2016	Sell an Asset	00507V109	-1 87	0 00	-1 87
02/04/2016	Sell an Asset	00507V109	-72 51	0 00	-72 51
02/11/2016	Sell an Asset	594918104	-124 35	0 00	-124 35
02/11/2016	Sell an Asset	G1151C101	-110 94	79 55	-31 39
03/03/2016	Sell an Asset	30231G102	0 00	-1 324 07	-1 324 07
03/17/2016	Sell an Asset	30231G102	0 00	-352 92	-352 92
03/22/2016	Sell an Asset	427866108	0 00	-808 38	-808 38
05/03/2016	Sell an Asset	723787107	0 00	-16 29	-16 29
05/03/2016	Sell an Asset	166764100	0 00	-662 47	-662 47
05/05/2016	Sell an Asset	26188X817	-2,933 99	0 00	-2,933 99
05/06/2016	Sell an Asset	594918104	-321 04	0 00	-321 04
05/09/2016	Sell an Asset	500255104	-1,385 16	0 00	-1,385 16

Realized Gain Loss Transaction

Reported in U S Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FOUNDATION INC -IMA - 108437XW000

Trade Date	Transaction Type	Security ID		Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
05/16/2016	Sell an Asset	712223106	DREYFUS MIDCAP INDEX FUND	-48,339 64	0 00	-48,339 64
05/16/2016	Sell an Asset	86271F529	DREYFUS SELECT MGER S/C GR-Y	-18,656 38	0 00	-18,656 38
05/16/2016	Sell an Asset	86271F560	DREYFUS SELECT MGER S/C VL-Y	-13,780 66	0 00	-13,780 66
05/26/2016	Sell an Asset	126650100	CVS HEALTH CORPORATION	-445 71	0 00	-445 71
05/26/2016	Sell an Asset	032420101	ANACOR PHARMACEUTICALS INC	-1,637 87	0 00	-1,637 87
05/31/2016	Sell an Asset	30161N101	EXELON CORP	-2 31	0 00	-2 31
06/16/2016	Sell an Asset	05569M475	BNY MELLON FOC EQ OPP-M	290 91	-5 814 70	-5,523 79
06/22/2016	Sell an Asset	406216101	HALLIBURTON CO	0 00	-1,100 05	-1,100 05
06/23/2016	Sell an Asset	594918104	MICROSOFT CORPORATION	-472 35	0 00	-472 35
06/27/2016	Sell an Asset	192446102	COGNIZANT TECHNOLOGY SOLUTIONS CORP	-954 39	0 00	-954 39
06/30/2016	Sell an Asset	192446102	COGNIZANT TECHNOLOGY SOLUTIONS CORP	-268 84	0 00	-268 84
07/08/2016	Sell an Asset	912828SM3	U S TREASURY NOTE 1 0% 3/31/17	-6 83	0 00	-6 83
07/12/2016	Sell an Asset	25243YAR0	DIAGEO CAPITAL PLC 1 5% 5/11/17	-40 75	0 00	-40 75
07/19/2016	Sell an Asset	64110L106	NETFLIX COM INC	-1 781 12	0 00	-1,781 12
07/20/2016	Sell an Asset	949746101	WELLS FARGO & COMPANY	-290 40	0 00	-290 40
07/21/2016	Sell an Asset	697435105	PALO ALTO NETWORKS INC	-509 60	-162 18	-671 78

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FOUNDATION INC -IMA - 108437XW000

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
07/27/2016	Sell an Asset	166764100	0 00	-788 89	-788 89
		CHEVRON CORPORATION			
08/02/2016	Sell an Asset	697435105	0 00	-572 93	-572 93
		PALO ALTO NETWORKS INC			
08/11/2016	Sell an Asset	126650100	-327 42	0 00	-327 42
		CVS HEALTH CORPORATION			
08/15/2016	Sell an Asset	084670BB3	0 00	-2 012 50	-2,012 50
		BERKSHIRE HATHAWAY INC 2 2% 8/15/16			
08/23/2016	Sell an Asset	806857108	0 00	-485 09	-485 09
		SCHLUMBERGER LTD			
09/08/2016	Sell an Asset	912828SM3	-43 16	0 00	-43 16
		U S TREASURY NOTE 1 0% 3/31/17			
09/08/2016	Sell an Asset	4488148U0	-49 75	0 00	-49 75
		HYDRO-QUEBEC 1 375% 6/19/17			
09/22/2016	Sell an Asset	06739FGF2	0 00	-5 151 50	-5,151 50
		BARCLAYS BANK PLC 5 0% 9/22/16			
10/04/2016	Sell an Asset	14040H105	0 00	-376 77	-376 77
		CAPITAL ONE FINANCIAL CORP			
10/05/2016	Sell an Asset	629491AB7	-119 50	0 00	-119 50
		NYSE EURONEXT 2 0% 10/05/17			
10/06/2016	Sell an Asset	14040H105	0 00	-8 20	-8 20
		CAPITAL ONE FINANCIAL CORP			
10/06/2016	Sell an Asset	438516106	0 00	-88 14	-88 14
		HONEYWELL INTL INC			
10/06/2016	Sell an Asset	00773T101	0 00	-97 88	-97 88
		ADVANSIX INC			
10/13/2016	Sell an Asset	79466L302	-332 79	0 00	-332 79
		SALESFORCE COM INC			
11/09/2016	Sell an Asset	46428R107	-4,141 06	0 00	-4,141 06
		ISHARES S&P GSCI COMMODITY-IND			
11/09/2016	Sell an Asset	912828UA6	-1 17	0 00	-1 17
		W I TREASURY SEC 0 625% 11/30/17			

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FOUNDATION INC -IMA - 108437XW000

Trade Date	Transaction Type	Security ID		Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
11/11/2016	Sell an Asset	458140100	INTEL CORP	-293.85	0.00	-293.85
11/18/2016	Sell an Asset	58013MEB6	MCDONALD'S CORP 5.8% 10/15/17	0.00	-2,917.50	-2,917.50
Total Losses				-104,384.85	-32,886.82	-137,271.67
Total Realized Gain/Loss Transactions : DR CYRUS KATZEN FOUNDATION INC.-IMA - 108437XW000				-62,844.97	56,757.13	-6,087.84

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FDN INC -MOD 31-SUB - 108437XW010

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
Gains					
09/24/2015	Sell an Asset	913017109	402 58	2 336 61	2,739 19
12/30/2015	Sell an Asset	65339F101	22 06	0 00	22 06
01/06/2016	Sell an Asset	478160104	33 82	0 00	33 82
01/06/2016	Sell an Asset	00287Y109	0 00	201 15	201 15
01/22/2016	Sell an Asset	031162100	13 25	0 00	13 25
03/03/2016	Sell an Asset	30231G102	55 58	0 00	55 58
03/17/2016	Sell an Asset	636274300	0 00	475 89	475 89
04/05/2016	Sell an Asset	91913Y100	74 04	0 00	74 04
04/05/2016	Sell an Asset	26441C204	44 45	0 00	44 45
04/06/2016	Sell an Asset	718172109	152 00	588 54	740 54
04/12/2016	Sell an Asset	494368103	675 29	79 69	754 98
04/12/2016	Sell an Asset	594918104	15 55	0 00	15 55
04/15/2016	Sell an Asset	713448108	0 00	401 38	401 38
05/31/2016	Sell an Asset	363576109	0 00	13 88	13 88
05/31/2016	Sell an Asset	369604103	0 00	23 35	23 35

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR. CYRUS KATZEN FDN INC -MOD 31-SUB - 108437XW010

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
05/31/2016	Sell an Asset	539830109	0 00	62 04	62 04
		LOCKHEED MARTIN CORP			
05/31/2016	Sell an Asset	816851109	5 41	0 00	5 41
		SEMPRA ENERGY			
05/31/2016	Sell an Asset	854502804	0 00	6 31	6 31
		STANLEY BLACK & DECKER I CONV PFD			
05/31/2016	Sell an Asset	64828T201	0 00	1 13	1 13
		NEW RESIDENTIAL INVESTMENT			
06/13/2016	Sell an Asset	233331107	616 38	0 00	616 38
		D T E ENERGY COMPANY			
06/13/2016	Sell an Asset	69351T106	0 00	1 534 99	1,534 99
		PPL CORP			
06/17/2016	Sell an Asset	25746U109	224 04	0 00	224 04
		DOMINION RES INC VA NEW			
06/22/2016	Sell an Asset	406216101	155 98	0 00	155 98
		HALLIBURTON CO			
06/23/2016	Sell an Asset	65339F101	1 642 13	0 00	1,642 13
		NEXTERA ENERGY INC			
06/27/2016	Sell an Asset	65339F101	765 09	0 00	765 09
		NEXTERA ENERGY INC			
07/06/2016	Sell an Asset	594918104	66 15	0 00	66 15
		MICROSOFT CORPORATION			
07/06/2016	Sell an Asset	65339F101	455 51	0 00	455 51
		NEXTERA ENERGY INC			
07/13/2016	Sell an Asset	25746U109	2 762 76	0 00	2,762 76
		DOMINION RES INC VA NEW			
07/19/2016	Sell an Asset	25746U109	8 33	0 00	8 33
		DOMINION RES INC VA NEW			
07/25/2016	Sell an Asset	494368103	168 34	1,349 43	1 517 77
		KIMBERLY CLARK CORP			
07/25/2016	Sell an Asset	580135101	356 63	0 00	356 63
		MC DONALD'S CORPORATION			

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR. CYRUS KATZEN FDN INC -MOD 31-SUB - 108437XW010

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
07/27/2016	Sell an Asset	032654105	806 13	0 00	806 13
					ANALOG DEVICES INC
07/27/2016	Sell an Asset	166764100	116 85	0 00	116 85
					CHEVRON CORPORATION
08/01/2016	Sell an Asset	882508104	234 71	0 00	234 71
					TEXAS INSTRUMENTS INC
08/01/2016	Sell an Asset	26441C204	0 00	136 90	136 90
					DUKE ENERGY CORP
08/09/2016	Sell an Asset	539830109	0 00	1 532 80	1,532 80
					LOCKHEED MARTIN CORP
08/09/2016	Sell an Asset	26441C204	0 00	593 73	593 73
					DUKE ENERGY CORP
08/17/2016	Sell an Asset	406216101	220 85	0 00	220 85
					HALLIBURTON CO
08/17/2016	Sell an Asset	494368103	0 00	396 61	396 61
					KIMBERLY CLARK CORP
08/18/2016	Sell an Asset	20030N101	0 00	286 42	286 42
					COMCAST CORP CL A
08/18/2016	Sell an Asset	595017104	225 06	0 00	225 06
					MICROCHIP TECHNOLOGY INC
08/30/2016	Sell an Asset	20030N101	0 00	206 14	206 14
					COMCAST CORP CL A
-08/30/2016	Sell an Asset	92343V104	0 00	29 16	29 16
					VERIZON COMMUNICATIONS INC
09/07/2016	Sell an Asset	595017104	285 43	0 00	285 43
					MICROCHIP TECHNOLOGY INC
09/16/2016	Sell an Asset	911312106	5 97	445 78	451 75
					UNITED PARCEL SERVICE CL B
09/30/2016	Sell an Asset	874039100	397 31	0 00	397 31
					TAIWAN SEMICONDUCTOR MFG CO ADR
10/06/2016	Sell an Asset	00206R102	-0 80	148 00	147 20
					AT&T INC

Realized Gain Loss Transaction

Reported in U. S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FDN INC -MOD 31-SUB - 108437XW010

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
10/07/2016	Sell an Asset	G1151C101	425 44	0 00	425 44
		ACCENTURE PLC-CL A			
10/20/2016	Sell an Asset	00206R102	0 00	186 11	186 11
		AT&T INC			
10/24/2016	Sell an Asset	363576109	0 00	54 30	54 30
		GALLAGHER ARTHUR J & CO			
10/24/2016	Sell an Asset	7591EP100	6 45	540 39	546 84
		REGIONS FINL CORP NEW			
10/28/2016	Sell an Asset	494368103	0 00	208 57	208 57
		KIMBERLY CLARK CORP			
10/28/2016	Sell an Asset	91913Y100	9 11	10 20	19 31
		VALERO ENERGY CORP NEW			
11/08/2016	Sell an Asset	539830109	0 00	1 355 89	1,355 89
		LOCKHEED MARTIN CORP			
Total Gains			11,447.88	13,205 39	24,653.27
Losses					
08/03/2015	Sell an Asset	913017117	-1,920 67	0 00	-1 920 67
		UNITED TECHNOLOGIES 7 5%			
09/01/2015	Sell an Asset	65339F887	0 00	-578 79	-578 79
		NEXTERA ENERGY INC CONV PFD			
09/24/2015	Sell an Asset	913017109	-831 58	0 00	-831 58
		UNITED TECHNOLOGIES CORP			
11/30/2015	Sell an Asset	26817R207	0 00	-1 016 72	-1 016 72
		DYNEGY INC			
11/30/2015	Sell an Asset	845467208	-336 48	0 00	-336 48
		SOUTHWESTERN ENERGY CO CONV PFD			
11/30/2015	Sell an Asset	40434L105	-230 20	0 00	-230 20
		H P INC			
12/01/2015	Sell an Asset	427866108	-838 73	0 00	-838 73
		HERSHEY CO			

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FDN INC -MOD 31-SUB - 108437XW010

Trade Date	Transaction Type	Security ID		Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
12/15/2015	Sell an Asset	845467208	SOUTHWESTERN ENERGY CO CONV PFD	-3,715.60	0.00	-3,715.60
12/17/2015	Sell an Asset	412822108	HARLEY DAVIDSON INC	0.00	-1,679.63	-1,679.63
12/23/2015	Sell an Asset	913017109	UNITED TECHNOLOGIES CORP	-0.89	0.00	-0.89
01/04/2016	Sell an Asset	037833100	APPLE INC	-251.03	0.00	-251.03
01/06/2016	Sell an Asset	717081103	PFIZER INC	-235.82	17.48	-218.34
01/06/2016	Sell an Asset	816851109	SEMPRA ENERGY	-141.08	0.00	-141.08
01/07/2016	Sell an Asset	816851109	SEMPRA ENERGY	-543.52	0.00	-543.52
01/07/2016	Sell an Asset	92343V104	VERIZON COMMUNICATIONS INC	-203.58	-85.05	-288.63
01/07/2016	Sell an Asset	988498101	YUM! BRANDS INC	-575.85	0.00	-575.85
01/13/2016	Sell an Asset	00206R102	AT&T INC	-113.27	0.00	-113.27
01/15/2016	Sell an Asset	458140100	INTEL CORP	-200.31	0.00	-200.31
01/20/2016	Sell an Asset	20825C104	CONOCOPHILLIPS	-3,008.58	0.00	-3,008.58
01/21/2016	Sell an Asset	458140100	INTEL CORP	-177.28	0.00	-177.28
01/22/2016	Sell an Asset	478160104	JOHNSON & JOHNSON	-19.31	0.00	-19.31
02/03/2016	Sell an Asset	263534109	DU PONT (E I) DE NEMOURS	0.00	-458.66	-458.66
02/04/2016	Sell an Asset	110122108	BRISTOL-MYERS SQUIBB CO	-233.84	0.00	-233.84

Realized Gain Loss Transaction

Reported in U.S. Dollars

Settled from 01 Dec 15 to 30 Nov 16

DR CYRUS KATZEN FDN INC -MOD 31-SUB - 108437XW010

Trade Date	Transaction Type	Security ID	Federal Short Term Gain/Loss	Federal Long Term Gain/Loss	Gain/Loss
02/04/2016	Sell an Asset	458140100	-7 54	0 00	-7 54
02/04/2016	Sell an Asset	532457108	-269 78	0 00	-269 78
02/08/2016	Sell an Asset	031162100	-213 72	0 00	-213 72
03/02/2016	Sell an Asset	636274300	0 00	-59 02	-59 02
04/05/2016	Sell an Asset	806857108	-180 87	-85 85	-266 72
04/05/2016	Sell an Asset	13057Q107	-1 48	-0 93	-2 41
04/12/2016	Sell an Asset	806857108	61 94	-294 62	-232 68
04/13/2016	Sell an Asset	13057Q107	0 00	-0 08	-0 08
05/03/2016	Sell an Asset	166764100	0 00	-252 44	-252 44
05/31/2016	Sell an Asset	04010L103	0 00	-27 96	-27 96
05/31/2016	Sell an Asset	85571B105	0 00	-54 25	-54 25
05/31/2016	Sell an Asset	03027X308	0 00	-2 77	-2 77
06/08/2016	Sell an Asset	674599105	0 00	-157 31	-157 31
06/08/2016	Sell an Asset	166764100	16 66	-306 24	-289 58
07/01/2016	Sell an Asset	25746U885	-814 55	-876 53	-1,691 08
08/03/2016	Sell an Asset	093671105	-518 77	0 00	-518 77

Settlement Date



Bank of America Private Wealth Management

Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
11/23/16	KRAFT HEINZ CORP UBS SECURITIES LLC 11/18 SALE 24 00 SHR @ 82.5426000 MISC 04 COMM 72 ACCOUNT 45-20-026-3432044		1,980 26	-2,070 12	-89 86	
11/23/16	SPDR GOLD TR GOLD SHS CREDIT SUISSE SEC (USA) INC 11/18 SALE 3.170 00 SHR @ 115.247100 MISC 7 97 COMM 63 40 ACCOUNT 45-20-026-3238730		365,261 94	-383,174 12	-17,912 18	
11/25/16	COCA COLA CO STIFEL NICOLAUS & CO. INC 11/21 SALE 70 00 SHR @ 40.9792000 MISC 06 COMM 2 10 ACCOUNT 45-20-026-3432044		2,866 38	-3,062 00	-195 62	
11/25/16	KRAFT HEINZ CORP STIFEL NICOLAUS & CO. INC 11/21 SALE 9 00 SHR @ 82.6714000 MISC 02 COMM 27 ACCOUNT 45-20-026-3432044		743 76	-776 30	-32 54	
11/29/16	PRIZER INC CREDIT SUISSE SEC (USA) INC 11/23 SALE 106 00 SHR @ 31.0607000 MISC 07 COMM 3 18 ACCOUNT 45-20-026-3432044		3,289 18	-3,896 29	-607 11	
11/29/16	PRIZER INC J.P. MORGAN SECURITIES INC 11/23 SALE 46 00 SHR @ 31.1168000 MISC 03 COMM 1 15 ACCOUNT 45-20-026-3432044		1,430 19	-1,690 84	-260 65	
Total Sales and Maturities		\$0.00	\$9,239,705.66	-\$9,485,761.05	-\$246,055.39	\$0.00

Settlement Date



Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN (COMBINED)

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
12/21/15	PRINCIPAL MIDCAP BLEND FUND INSTL CL		\$138,584 00	-\$144,789 14	-\$6,205 14	
	MUTUAL FUND AGENT					
	12/18 SALE 6,800 00 SHR @ 20.38000000					
	COMM/FEES 00 DEFER LOSS OF 10853 06					
	ACCOUNT 45-20-026-3297025					
12/22/15	PRINCIPAL MIDCAP BLEND FUND INSTL CL		49,015 82	-55,207 72	-6,191 90	
	SALE 2,405 094 SHR DUE TO WASH SALE					
	PURC 5 133 DEFER LOSS OF 13 24					
	ACCOUNT 45-20-026-3297025					
12/22/15	PRINCIPAL MIDCAP BLEND FUND INSTL CL		48,911 21	-55,101 69	-6,190 48	
	SALE 2,399 961 SHR DUE TO WASH SALE					
	PURC 549 DEFER LOSS OF 1 42					
	ACCOUNT 45-20-026-3297025					
12/24/15	ISHARES CORE S&P 500 ETF MORGAN STANLEY & CO, INC		448,403 66	-455,573 00	-7,169 34	
	12/21 SALE 2,200 00 SHR @ 203.848600					
	COMM/FEES 63 26 DEFER LOSS OF 8544 18					
	ACCOUNT 45-20-026-3297116					
12/30/15	PRINCIPAL MIDCAP BLEND FUND INSTL CL		8,925 30	-9,847 44	-922 14	
	MUTUAL FUND AGENT					
	PROCEEDS REDEMPTION 423 000 UNITS					
	@ 21 1000					
	ACCOUNT 45-20-026-3297025					

Settlement Date

U.S. TRUST

Bank of America Private Wealth Management

Activity Detail

Account: 15-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short term		Long-term	
					Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss
01/04/16	KINDER MORGAN INC DEL UBS SECURITIES LLC 12/29 SALE 220 00 SHR @ 15 0372000 MISC 06 COMM 6 60 ACCOUNT 45-20-026-3297116		3,301 52	-3,306 58			-5 06	
01/04/16	WYNDHAM WORLDWIDE CORP GOLDMAN, SACHS & CO 12/29 SALE 51 00 SHR @ 73 5671000 MISC 07 COMM 1 53 ACCOUNT 45-20-026-3297116		3,750 32	-3,649 31		101 01		
01/12/16	AIRGAS INC MERRILL LYNCH, PIERCE, FENNER & SM 01/07 SALE 5 00 SHR @ 137 931500 COMM/FEES 01 DEFER LOSS OF 0 90 ACCOUNT 45-20-026-3297025		689 64	-689 13		0 51		
01/12/16	DISCOVERY COMMUNICATIONS INC NEW SER C COM MERRILL LYNCH, PIERCE, FENNER & SM 01/07 SALE 17 00 SHR @ 24 3775000 COMM/FEES 01 DEFER LOSS OF 1 97 ACCOUNT 45-20-026-3297025		414 41	-422 55		-8 14		
01/12/16	HESS CORP MERRILL LYNCH, PIERCE, FENNER & SM 01/07 SALE 17 00 SHR @ 44 1667000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		750 82	-835 61		-84 79		
01/12/16	MARTIN MARIETTA MATLS INC MERRILL LYNCH, PIERCE, FENNER & SM 01/07 SALE 4 00 SHR @ 130 528000 COMM/FEES 01 DEFER LOSS OF 10 33 ACCOUNT 45-20-026-3297025		522 10	-553 01		-30 91		
01/12/16	VERISIGN INC MERRILL LYNCH, PIERCE, FENNER & SM 01/07 SALE 2 00 SHR @ 79 9966000 COMM/FEES 00 DEFER LOSS OF 17 83 ACCOUNT 45-20-026-3297025		159 99	-159 99				

Settlement Date



Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN TDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
01/15/16	AIRGAS INC MERRILL LYNCH PIERCE FENNER & SM 01/12 SALE 3.00 SHR @ 138.285400 COMM/FEE'S 01 DEFER LOSS OF 0.42 ACCOUNT 45-20-026-3297025		414.85	-413.88	0.97	
01/15/16	DISCOVERY COMMUNICATIONS INC NEW SER C.COM MERRILL LYNCH PIERCE FENNER & SM 01/12 SALE 17.00 SHR @ 25.3735000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		431.34	-425.61	5.73	
01/15/16	ECOLAB INC MERRILL LYNCH PIERCE FENNER & SM 01/12 SALE 2.00 SHR @ 104.951000 COMM/FEE'S 00 DEFER LOSS OF 11.48 ACCOUNT 45-20-026-3297025		209.90	-218.62	-8.72	
01/15/16	MARTIN MARIETTA MATLS INC MERRILL LYNCH PIERCE FENNER & SM 01/12 SALE 1.00 SHR @ 127.019600 COMM/FEE'S 00 DEFER LOSS OF 24.14 ACCOUNT 45-20-026-3297025		127.02	-127.02		
01/15/16	ROBERT HALF INTL INC MERRILL LYNCH PIERCE FENNER & SM 01/12 SALE 2.00 SHR @ 43.6378000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		87.27	-90.36	-3.09	
01/19/16	CREDIT SUISSE COMMODITY-RETURN STRATEGY FUND CLI MUTUAL FUND AGENT PROCEEDS REDEMPTION 13,157,291 UNITS @ 4.2300 ACCOUNT 45-20-026-3238730		55,655.34	-58,681.52	-3,026.18	

Settlement Date



Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN TDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
01/21/16	DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND		22,013.03	-24,970.55	-2,957.52	
	MORGAN STANLEY & CO., INC.					
	01/15 SALE 890.00 SHR @ 24.7592000					
	MISC 41 COMM 22.25					
	ACCOUNT 45-20-026-3238730					
01/21/16	WISDOMTREE JAPAN HEDGED EQUITY FUND		29,658.05	-36,850.98	-7,192.93	
	MORGAN STANLEY & CO., INC.					
	01/15 SALE 665.00 SHR @ 44.6244000					
	MISC 55 COMM 16.63					
	ACCOUNT 45-20-026-3238730					
01/25/16	GENERAL DYNAMICS CORP		8,305.03	-9,453.02	-1,147.99	
	RBC CAPITAL MARKETS LLC					
	01/20 SALE 68.00 SHR @ 122.165100					
	MISC 15 COMM 2.04					
	ACCOUNT 45-20-026-3297116					
01/26/16	WILLIS TOWERS WATSON PUB LTD CO IRELAND		103.67	-115.98	-12.31	
	CASH IN LIEU OF 948 FRACTIONAL SHARE @ 109.3538 PER SHARE					
	ACCOUNT 45-20-026-3297025					
01/29/16	AIR PRODS & CHEMS INC		357.66	-396.11	-38.45	
	MERRILL LYNCH, PIERCE, FENNER & SM					
	01/26 SALE 3.00 SHR @ 119.221700					
	MISC 01 COMM 00					
	ACCOUNT 45-20-026-3297025					
01/29/16	AIRGAS INC		415.61	-413.82	1.79	
	MERRILL LYNCH, PIERCE, FENNER & SM					
	01/26 SALE 3.00 SHR @ 138.540600					
	MISC 01 COMM 00					
	ACCOUNT 45-20-026-3297025					

Settlement Date



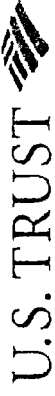
Activity Detail

Account: 45-20-026-3297082 DIR CYRUS KAIZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
01/29/16	MARTIN MARIETTA MATLS INC MERRILL LYNCH, PIERCE, FENNER & SM 01/26 SALE 3.00 SHR @ 118.019900 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		354.05	-446.62	-92.57	
01/29/16	PRINCIPAL MIDCAP BLEND FUND INSTL CL MUTUAL FUND AGENT PROCEEDS REDEMPTION 1.000 UNITS @ 18.8700 ACCOUNT 45-20-026-3297025		18.87	-23.28	-4.41	
01/29/16	VERISIGN INC MERRILL LYNCH, PIERCE, FENNER & SM 01/26 SALE 1.00 SHR @ 74.9710000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		74.97	96.16	-21.19	
02/01/16	AIRGAS INC MERRILL LYNCH, PIERCE, FENNER & SM 01/27 SALE 5.00 SHR @ 138.768200 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		693.83	-688.99	4.84	
02/01/16	MARTIN MARIETTA MATLS INC MERRILL LYNCH, PIERCE, FENNER & SM 01/27 SALE 2.00 SHR @ 121.341000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		242.68	-281.66	-38.98	
02/01/16	MOODYS CORP MERRILL LYNCH, PIERCE, FENNER & SM 01/27 SALE 10.00 SHR @ 89.1063000 MISC 02 COMM 00 ACCOUNT 45-20-026-3297025		891.05	-990.41	-99.36	
02/16/16	AIR PRODS & CHEMS INC MERRILL LYNCH, PIERCE, FENNER & SM 02/10 SALE 1.00 SHR @ 133.925500 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		133.92	-129.77	4.15	

Settlement Date



Bank of America Private Wealth Management

Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
02/16/16	MEAD JOHNSON NUTRITION CO MERRILL LYNCH, PIERCE, FENNER & SM 02/10 SALE 3.00 SHR @ 69.5814000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		208.74	-234.39	-25.65	
02/16/16	ROBERT HALF INTL INC MERRILL LYNCH, PIERCE, FENNER & SM 02/10 SALE 3.00 SHR @ 38.4658000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		115.39	-135.54	-20.15	
02/23/16	ISHARES CORE S&P 500 ETF CREDIT SUISSE SEC (USA) INC 02/18 SALE 90.00 SHR @ 194.200000 MISC 39 COMM 1.80 ACCOUNT 45-20-026-3238730		17,475.81	-19,512.56	-2,036.75	
02/23/16	LPL FINL HLDGS INC MERRILL LYNCH, PIERCE, FENNER & SM 02/18 SALE 13.00 SHR @ 19.8360000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		257.86	-542.56	-284.70	
02/23/16	MEAD JOHNSON NUTRITION CO MERRILL LYNCH, PIERCE, FENNER & SM 02/18 SALE 4.00 SHR @ 74.4160000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		297.66	-308.75	-11.09	
02/23/16	PLATFORM SPECIALTY PRODS CORP MERRILL LYNCH, PIERCE, FENNER & SM 02/18 SALE 61.00 SHR @ 6.87580000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		419.41	-752.15	-332.74	
03/01/16	BOEING CO ISI GROUP, INC 02/25 SALE 82.00 SHR @ 116.533300 MISC 21 COMM 2.46 ACCOUNT 45-20-026-3297116		9,553.06	-11,499.27	-1,946.21	

Settlement Date



Bank of America Private Wealth Management

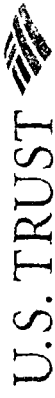
Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
03/01/16	AERCAP HOLDINGS NV ISIN NL000687663 NETHERLANDS MERRILL LYNCH PIERCE FENNER & SM 02/25 SALE 14 00 SHR @ 33.9658000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		475 51	-562 68	-87 17	
03/01/16	BOEING CO J.P. MORGAN SECURITIES INC. 02/25 SALE 10 00 SHR @ 116.835000 MISC 03 COMM 25 ACCOUNT 45-20-026-3297116		1,168 07	-1,402 35	-234 28	
03/01/16	LPL FINL HLDGS INC MERRILL LYNCH PIERCE FENNER & SM 02/25 SALE 15 00 SHR @ 18.9457000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		284 18	-626 03	-341 85	
03/01/16	LIBERTY TRIPADVISOR HOLDINGS INC MERRILL LYNCH PIERCE FENNER & SM 02/25 SALE 8 00 SHR @ 19.6847000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		157 47	-243 42	-85 95	
03/17/16	SIGNET JEWELERS LTD BERMUDA MERRILL LYNCH PIERCE FENNER & SM 03/14 SALE 9 00 SHR @ 112.053400 MISC 02 COMM 00 ACCOUNT 45-20-026-3297025		1,008 46	-1,065 85	-57 39	
03/17/16	FACTSET RESH SYS INC MERRILL LYNCH PIERCE FENNER & SM 03/14 SALE 1 00 SHR @ 155.193800 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		155 19	-157 29	-2 10	

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Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN (COMBINED)

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
03/17/16	LIBERTY TRIPADVISOR HOLDINGS INC MERRILL LYNCH, PIERCE, FENNER & SM 03/14 SALE 8 00 SHR @ 22 0351000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		176 28	-242 88	-66 60	
03/17/16	MEAD JOHNSON NUTRITION CO MERRILL LYNCH, PIERCE, FENNER & SM 03/14 SALE 3 00 SHR @ 75 5788000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		226 73	-231 56	-4 83	
03/28/16	DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND J.P. MORGAN SECURITIES INC 03/22 SALE 4,415 00 SHR @ 25 6746000 MISC 2 48 COMM 88 30 ACCOUNT 45-20-026-3238730		113,262 58	-123,870 78	-10,608 20	
03/28/16	WISDOMTREE JAPAN HEDGED EQUITY FUND UBS SECURITIES LLC 03/22 SALE 1,070 00 SHR @ 43 9891000 MISC 1 03 COMM 21 40 ACCOUNT 45-20-026-3238730		47,045 91	-57,623 03	-10,577 12	
03/31/16	SIGNET JEWELERS LTD BERMUDA MERRILL LYNCH, PIERCE, FENNER & SM 03/28 SALE 2 00 SHR @ 122 906300 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		245 81	-233 37	12 44	
03/31/16	BROOKFIELD ASSET MGMT INC CLACOM CANADA MERRILL LYNCH, PIERCE, FENNER & SM 03/28 SALE 13 00 SHR @ 33 8420000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		439 94	-416 63	23 31	

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Activity Detail

Account: 45-20-026-3297082 DIR CYRUS KATZEN FIDN COMBINED

Dec. 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
03/31/16	LIBERTY TRIPADVISOR HOLDINGS INC MERRILL LYNCH, PIERCE, FENNER & SM 03/28 SALE 17.00 SHR @ 20.4140000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		347.03	-516.12	-169.09	
04/11/16	OPPENHEIMER INTL GROWTH FUND CLY SHS MUTUAL FUND AGENT PROCEEDS REDEMPTION 5,357.743 UNITS @ 35.8300 ACCOUNT 45-20-026-3238730		191,967.93	-195,428.75	-3,460.82	
04/11/16	PRINCIPAL MIDCAP BLEND FUND INSTL CL MUTUAL FUND AGENT PROCEEDS REDEMPTION 622.529 UNITS @ 20.8399 ACCOUNT 45-20-026-3238730		12,973.50	-15,793.57	-2,820.07	
04/11/16	TOUCHSTONE SMALL CAP GROWTH FUND CLY MUTUAL FUND AGENT PROCEEDS REDEMPTION 7,141.923 UNITS @ 5.4900 ACCOUNT 45-20-026-3238730		39,209.16	-43,806.54	-4,597.38	
04/11/16	UNDISCOVERED MANAGERS FDS BEHAVIORAL VALUE FD INSTL CL MUTUAL FUND AGENT PROCEEDS REDEMPTION 445.290 UNITS @ 56.4799 ACCOUNT 45-20-026-3238730		25,149.97	-26,717.39	-1,567.42	
04/13/16	SIGNET JEWELERS LTD BERMUDA MERRILL LYNCH, PIERCE, FENNER & SM 04/08 SALE 4.00 SHR @ 113.868500 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		455.46	-466.75	-11.29	

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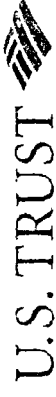
Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term		Long-term	
					Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss
04/13/16	ISHARES MSCI EAFE ETF J.P. MORGAN SECURITIES INC 04/08 SALE 1,145.00 SHR @ 56.3854000 COMM/FEES 24.31 DEFER LOSS OF 2801.97 ACCOUNT 45-20-026-3238730		64,536.97	-64,536.97				
04/13/16	ISHARES EDGE MSCI MIN VOL EAFE ETF BERNSTEIN, SANFORD C. & CO. INC 04/08 SALE 340.00 SHR @ 66.3259000 MISC 50 COMM 6.80 ACCOUNT 45-20-026-3238730		22,543.51	-22,435.82		107.69		
04/18/16	PRINCIPAL MIDCAP BLEND FUND INSTL CL MUTUAL FUND AGENT PROCEEDS REDEMPTION 3,353.522 UNITS @ 21.2100 ACCOUNT 45-20-026-3238730		71,128.20	-84,094.22		-12,966.02		
04/20/16	ISHARES MSCI EAFE ETF CREDIT SUISSE SEC (USA) INC 04/15 SALE 1,065.00 SHR @ 58.3888000 COMM/FEES 22.66 DEFER LOSS OF 663.89 ACCOUNT 45-20-026-3238730		62,161.41	-62,733.28		-571.87		
04/20/16	SELECT SECTOR SPDR TR FINANCIAL SHS BEN INT BERNSTEIN, SANFORD C. & CO. INC 04/15 SALE 1,250.00 SHR @ 22.9039000 MISC 63 COMM 25.00 ACCOUNT 45-20-026-3238730		28,604.25	-29,978.75		-1,374.50		
04/20/16	SELECT SECTOR SPDR TR TECHNOLOGY SHS BEN INT BERNSTEIN, SANFORD C. & CO. INC 04/15 SALE 680.00 SHR @ 44.3169000 MISC 66 COMM 13.60 ACCOUNT 45-20-026-3238730		30,121.24	-29,615.97		505.27		

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Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN (COMBINED)

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
05/09/16	AERCAP HOLDINGS NV ISIN NL000687663 NETHERLANDS MERRILL LYNCH PIERCE FENNER & SM 05/04 SALE 4.00 SHR @ 39.5958000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		158.38	-159.74	-1.36	
05/09/16	ARMSTRONG FLOORING INC MERRILL LYNCH PIERCE FENNER & SM 04/27 SALE 4.00 SHR @ 15.0594000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		60.24	-46.82	13.42	
05/09/16	ARMSTRONG FLOORING INC MERRILL LYNCH PIERCE FENNER & SM 04/28 SALE 3.00 SHR @ 14.9128000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		44.74	-35.11	9.63	
05/12/16	TOUCHSTONE SMALL CAP GROWTH FUND CLY MUTUAL FUND AGENT PROCEEDS REDEMPTION 3,289,572 UNITS @ 5.3699 ACCOUNT 45-20-026-3238730		17,665.00	-20,132.18	-2,467.18	
05/16/16	ISHARES CORE S&P 500 ETF J.P. MORGAN SECURITIES INC 05/11 SALE 1,170.00 SHR @ 207.649900 MISC 5.30 COMM 23.40 ACCOUNT 45-20-026-3238730		242,921.68	-251,531.46	-8,609.78	
05/16/16	LIBERTY MEDIA CORP DEL BRAVES SER A COM MERRILL LYNCH PIERCE FENNER & SM 05/11 SALE 2.00 SHR @ 16.3811000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		32.76		32.76	

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Activity Detail

Account: 45-20-026-3297025 DR CYRUS KATZEN FIDN (COMBINED)

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
05/17/16	LIBERTY MEDIA CORP DEL BRAVES SER A COM MERRILL LYNCH, PIERCE, FENNER & SM REVERSE ENTRY OF 05/16/16 ACCOUNT 45-20-026-3297025		-32.76			-32.76
05/17/16	AERCAP HOLDINGS NV ISIN NL0000687663 NETHERLANDS MERRILL LYNCH, PIERCE, FENNER & SM 05/12 SALE 12 00 SHR @ 36.7054000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		440.46	-479.24		-38.78
05/17/16	TJX COS INC NEW MERRILL LYNCH, PIERCE, FENNER & SM 05/12 SALE 13 00 SHR @ 74.4748000 MISC 02 COMM 00 ACCOUNT 45-20-026-3297025		968.15	-906.49		61.66
05/19/16	AERCAP HOLDINGS NV ISIN NL0000687663 NETHERLANDS MERRILL LYNCH, PIERCE, FENNER & SM 05/16 SALE 4 00 SHR @ 37.2403000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		148.96	-159.74		-10.78
05/19/16	LIBERTY MEDIA CORP DEL BRAVES SER A COM MERRILL LYNCH, PIERCE, FENNER & SM 05/11 SALE 2 00 SHR @ 16.3811000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		32.76	-53.93		-21.17
05/20/16	LIBERTY MEDIA CORP DEL BRAVES SER C COM MERRILL LYNCH, PIERCE, FENNER & SM 05/11 SALE 5 00 SHR @ 16.0902000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		80.45	-108.13		-27.68

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Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
05/24/16	ISHARES MSCI EAFE ETF CREDIT SUISSE SEC (USA) INC 05/19 SALE 1,025 00 SHR @ 56 8822000 MISC 1 28 COMM 20 50 ACCOUNT 45-20-026-3238730		58,282 48	-60,031 45	1,748 97	
05/31/16	BECTON DICKINSON & CO MERRILL LYNCH, PIERCE, FENNER & SM 05/25 SALE 1 00 SHR @ 166 693700 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		166 69	-156 60	10 09	
05/31/16	CROWN CASTLE INTL CORP REITS MERRILL LYNCH, PIERCE, FENNER & SM 05/25 SALE 6 00 SHR @ 90 9968000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		545 97	-515 80	30 17	
05/31/16	TJX COS INC NEW MERRILL LYNCH, PIERCE, FENNER & SM 05/25 SALE 7 00 SHR @ 75 3812000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		527 66	-486 89	40 77	
06/01/16	LIBERTY MEDIA CORP DEL BRAVES SER C COM CASH IN LIEU OF 700 FRACTIONAL SHARE @ 15 1522 PER SHARE ACCOUNT 45-20-026-3297025		10 61	-15 12	-4 51	
06/02/16	LIBERTY MEDIA CORP DEL BRAVES SER A COM CASH IN LIEU OF 400 FRACTIONAL SHARE @ 15 6439 PER SHARE ACCOUNT 45-20-026-3297025		6 26	-10 77	-4 51	
06/08/16	LIBERTY MEDIA CORP DEL MEDIA GROUP SER C COM 06/08 SALE 25 SHR @ 19 6281400 COMM/FEES 00 DEFER LOSS OF 0 71 ACCOUNT 45-20-026-3297025		4 91	-4 91		

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Activity Detail

Account: 45-20-026-3297082 DIR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Short-term		Long-term	
				Tax Cost	Realized Gain/Loss	Tax Cost	Realized Gain/Loss
06/10/16	LIBERTY MEDIA CORP DEL RT EXPIRES 06/16/16 SUBSCRIPTION RT PUR COM SER C MERRILL LYNCH, PIERCE, FENNER & SM 06/07 SALE 2.00 SHR @ 1.98330000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		397				397
06/13/16	BECTON DICKINSON & CO MERRILL LYNCH, PIERCE, FENNER & SM 06/08 SALE 4.00 SHR @ 169.561700 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		678.23	-617.08			61.15
06/13/16	CROWN CASTLE INTL CORP REITS MERRILL LYNCH, PIERCE, FENNER & SM 06/08 SALE 2.00 SHR @ 93.8827000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		187.76	-171.14			16.62
06/20/16	BECTON DICKINSON & CO MERRILL LYNCH, PIERCE, FENNER & SM 06/15 SALE 1.00 SHR @ 166.646800 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		166.64	-154.27			12.37
06/20/16	CROWN CASTLE INTL CORP REITS MERRILL LYNCH, PIERCE, FENNER & SM 06/15 SALE 3.00 SHR @ 92.9832000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		278.94	-256.71			22.23
06/28/16	EATON VANCE FLTG RATE FUND CLI MUTUAL FUND AGENT PROCEEDS REDEMPTION 47,687.639 UNITS @ 8.6300 ACCOUNT 45-20-026-3238730		411,544.32	-413,436.96			-1,892.64

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Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN HDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
06/30/16	BROOKFIELD BUSINESS PARTNERS LP MERRILL LYNCH, PIERCE, FENNER & SM 06/27 SALE 4.00 SHS @ 18.3701 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		73.48			73.48
07/01/16	EATON VANCE FLTG RATE FUND CL I SALE 28,901.734 SHR DUE TO WASH SALE PURC 138.41 DEFER LOSS OF 2.77 ACCOUNT 45-20-026-3238730		249,421.96	-249,997.23	-575.27	
07/05/16	BECTON DICKINSON & CO MERRILL LYNCH, PIERCE, FENNER & SM 06/29 SALE 2.00 SHR @ 167.287400 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		334.57	-308.54		26.03
07/05/16	CROWN CASTLE INTL CORP REITS MERRILL LYNCH, PIERCE, FENNER & SM 06/29 SALE 2.00 SHR @ 99.6119000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		199.22	-171.14		28.08
07/07/16	EATON VANCE FLTG RATE FUND CL I MUTUAL FUND AGENT PROCEEDS REDEMPTION 138.410 UNITS @ 8.6600 ACCOUNT 45-20-026-3238730		1,198.63	-1,200.02		1.39
07/07/16	CHARTER COMMUNICATIONS INC NEW MERRILL LYNCH, PIERCE, FENNER & SM 07/01 SALE 1.00 SHR @ 232.510000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		232.50	-197.32		35.18 ✓

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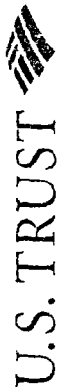
Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZLN FDN COMBINED

Dec. 04, 2015 through Nov. 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
07/13/16	TOUCHSTONE SMALL CAP GROWTH FUND CLY MUTUAL FUND AGENT PROCEEDS REDEMPTION 9,396 827 UNITS @ 5.7500 ACCOUNT 45-20-026-3238730		54,031.76	-57,452.84	-3,421.08	
07/14/16	BROOKFIELD BUSINESS PARTNERS LP MERRILL LYNCH, PIERCE, FENNER & SM REVERSE ENTRY OF 06/30/16 ACCOUNT 45-20-026-3297025		-73.48		-73.48	
07/18/16	CHARTER COMMUNICATIONS INC NEW CASH IN LIEU OF 808 FRACTIONAL SHARE @ 237.6053 PER SHARE ACCOUNT 45-20-026-3297025		191.99	-159.43	32.56	
07/22/16	BROOKFIELD BUSINESS PARTNERS LP MERRILL LYNCH, PIERCE, FENNER & SM 06/27 SALE 4.00 SHS @ 18.3701 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		73.48	-72.71	0.77	
08/01/16	WILLIS TOWERS WATSON PUB LTD CO IRELAND MERRILL LYNCH, PIERCE, FENNER & SM 07/27 SALE 2.00 SHR @ 124.191700 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		248.38	-247.90	0.48	
08/01/16	BROWN & BROWN INC MERRILL LYNCH, PIERCE, FENNER & SM 07/27 SALE 9.00 SHR @ 36.8663000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		331.79	-285.78	46.01	

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Activity Detail

Account: 45-20-026-3297082 DIR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long term Realized Gain/Loss
08/01/16	CROWN CASTLE INTL CORP REITS		961 38	-851 80	109 58	
	MERRILL LYNCH, PIERCE, FENNER & SM					
	07/27 SALE 10 00 SHR @ 96 1396000					
	MISC 02 COMM 00					
	ACCOUNT 45-20-026-3297025					
08/01/16	EATON VANCE		1,198 63	-1,200 02	-1 39	
	FLTG RATE FUND CLI					
	SALE 138 41 SHR DUE TO WASH SALE					
	PURC 09% DEFER LOSS OF 0 00					
	ACCOUNT 45-20-026-3238730					
08/02/16	BECTON DICKINSON & CO		696 04	-617 08	78 96	
	MERRILL LYNCH, PIERCE, FENNER & SM					
	07/28 SALE 4 00 SHR @ 174 015000					
	MISC 02 COMM 00					
	ACCOUNT 45-20-026-3297025					
08/03/16	BROOKFIELD BUSINESS PARTNERS LP		-73 48	72 71	-0 77	
	MERRILL LYNCH, PIERCE, FENNER & SM					
	REVERSE ENTRY OF 07/22/16					
	ACCOUNT 45-20-026-3297025					
08/03/16	ALLERGAN PLC		2,804 88	-3,396 42	-591 54	
	IRELAND					
	GOLDMAN, SACHS & CO					
	07/29 SALE 11 00 SHR @ 255 020000					
	MISC 06 COMM 28					
	ACCOUNT 45-20-026-3432044					
08/03/16	MEDTRONIC PLC		784 19	-692 24	91 95	
	IRELAND					
	UBS SECURITIES LLC					
	07/29 SALE 9 00 SHR @ 87 1600000					
	MISC 02 COMM 23					
	ACCOUNT 45-20-026-3432044					

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Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN (COMBINED)

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
08/03/16	LYONDELLBASELL INDUSTRIES NV CLACOM NETHERLANDS J P MORGAN SECURITIES INC 07/29 SALE 125 00 SHR @ 75 1557000 MISC 21 COMM 3 13 ACCOUNT 45-20-026-3432044		9,391 13	-10,999 38	-1,608 25	
08/03/16	NXP SEMICONDUCTORS NV NETHERLANDS GOLDMAN SACHS & CO 07/29 SALE 142 00 SHR @ 84 5224000 MISC 27 COMM 3 55 ACCOUNT 45-20-026-3432044		11,998 36	-12,109 05	-110 69	
08/03/16	ROYAL CARIBBEAN CRUISES LTD LIBERIA GOLDMAN SACHS & CO 07/29 SALE 100 00 SHR @ 73 0935000 MISC 16 COMM 2 50 ACCOUNT 45-20-026-3432044		7,306 68	-9,666 50	-2,359 82	
08/03/16	ALPHABET INC CLACOM UBS SECURITIES LLC 07/29 SALE 11 00 SHR @ 797 720000 MISC 19 COMM 28 ACCOUNT 45-20-026-3432044		8,774 45	-8,393 83	380 62	
08/03/16	APPLE INC UBS SECURITIES LLC 07/29 SALE 81 00 SHR @ 104 190000 MISC 19 COMM 2 03 ACCOUNT 45-20-026-3432044		8,437 17	-8,693 33	-256 16	
08/03/16	BOSTON BEER INC CLACOM GOLDMAN SACHS & CO 07/29 SALE 31 00 SHR @ 179 690000 MISC 12 COMM 78 ACCOUNT 45-20-026-3432044		5,569 49	-6,441 96	-872 47	

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Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN HDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term		Long-term	
					Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss
08/03/16	CAPITAL ONE FINL CORP GOLDMAN, SACHS & CO 07/29 SALE 116 00 SHR @ 67 2685000 MISC 17 COMM 2 90 ACCOUNT 45-20-026-3432044		7,800 07	-8,506 11		-706 04		
08/03/16	DISNEY WALT CO COM DISNEY UBS SECURITIES LLC 07/29 SALE 160 00 SHR @ 96 0000000 MISC 34 COMM 4 00 ACCOUNT 45-20-026-3432044		15,355 66	-17,354 40		-1,998 74		
08/03/16	EOG RES INC UBS SECURITIES LLC 07/29 SALE 60 00 SHR @ 78 9600000 MISC 11 COMM 1 50 ACCOUNT 45-20-026-3432044		4,735 99	-4,297 50		438 49		
08/03/16	FACEBOOK INC CLA COM J.P. MORGAN SECURITIES INC 07/29 SALE 180 00 SHR @ 124,424300 MISC 49 COMM 4 50 ACCOUNT 45-20-026-3432044		22,391 38	-18,884 70		3,506 68		
08/03/16	FIREEYE INC GOLDMAN, SACHS & CO 07/29 SALE 213 00 SHR @ 17 6625000 MISC 09 COMM 5 33 ACCOUNT 45-20-026-3432044		3,756 70	-4,603 26		-846 56		
08/03/16	HOME DEPOT INC J.P. MORGAN SECURITIES INC 07/29 SALE 14 00 SHR @ 137 9900000 MISC 04 COMM 35 ACCOUNT 45-20-026-3432044		1,931 47	-1,835 33		96 14		

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Account: 45-20-026-3297082 DR CYRUS KATZEN FDN (COMBINED)

Activity Detail

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short term Realized Gain/Loss	Long-term Realized Gain/Loss
08/03/16	HONEYWELL INTL INC GOLDMAN, SACHS & CO 07/29 SALE 1300 SHR @ 115 600000 MISC 04 COMM 33 ACCOUNT 45-20-026-3432044		1,502 44	-1,335 69	166 75	
08/03/16	ISHARES NASDAQ BIOTECHNOLOGY ETF UBS SECURITIES LLC 07/29 SALE 58 00 SHR @ 287 670000 MISC 37 COMM 1 16 ACCOUNT 45-20-026-3432044		16,683 33	-19,231 73	-2,554 40	
08/03/16	LAS VEGAS SANDS CORP J.P. MORGAN SECURITIES INC 07/29 SALE 189 00 SHR @ 49 6356000 MISC 21 COMM 4 73 ACCOUNT 45-20-026-3432044		9,376 19	-7,910 60	1,465 59	
08/03/16	MONSANTO CO NEW COM GOLDMAN, SACHS & CO 07/29 SALE 78 00 SHR @ 105 941500 MISC 18 COMM 1 95 ACCOUNT 45-20-026-3432044		8,261 30	-7,429 89	831 41	
08/03/16	NIKE INC CLB J.P. MORGAN SECURITIES INC 07/29 SALE 274 00 SHR @ 55 3306000 MISC 34 COMM 6 85 ACCOUNT 45-20-026-3432044		15,153 40	-17,785 89	-2,632 49	
08/03/16	NORTHROP GRUMMAN CORP J.P. MORGAN SECURITIES INC 07/29 SALE 56 00 SHR @ 217 489000 MISC 27 COMM 1 40 ACCOUNT 45-20-026-3432044		12,177 72	-10,502 52	1,675 20	

Settlement Date

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Bank of America Private Wealth Management

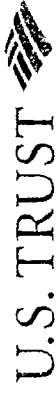
Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN HDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term		Long-term	
					Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss
08/03/16	PNC FINL SVCS GROUP INC J.P. MORGAN SECURITIES INC 07/29 SALE 44 00 SHR @ 82 6500000 MISC 08 COMM 1 10 ACCOUNT 45-20-026-3432044		3,635 42	-4,157 78		-522 36		
08/03/16	PALO ALTO NETWORKS INC UBS SECURITIES LLC 07/29 SALE 72 00 SHR @ 130 500000 MISC 21 COMM 1 80 ACCOUNT 45-20-026-3432044		9,393 99	-12,275 51		-2,881 52		
08/03/16	PEPSICO INC J.P. MORGAN SECURITIES INC 07/29 SALE 108 00 SHR @ 108 263000 MISC 26 COMM 2 70 ACCOUNT 45-20-026-3432044		11,689 45	-10,628 82		1,060 63		
08/03/16	SPDR S&P HOMEBUILDERS ETF J.P. MORGAN SECURITIES INC 07/29 SALE 353 00 SHR @ 36 3827000 MISC 28 COMM 7 06 ACCOUNT 45-20-026-3432044		12,835 75	-11,991 02		844 73		
08/03/16	SCHLUMBERGER LTD CURACAO UBS SECURITIES LLC 07/29 SALE 6 00 SHR @ 78 1700000 MISC 01 COMM 1 5 ACCOUNT 45-20-026-3432044		468 86	-405 75		63 11		
08/03/16	SELECT SECTOR SPDR TR FINANCIAL SHS BEN INT J.P. MORGAN SECURITIES INC 07/29 SALE 560 00 SHR @ 23 6079000 MISC 29 COMM 11 20 ACCOUNT 45-20-026-3432044		13,208 93	-13,168 40		40 53		

Settlement Date



Bank of America Private Wealth Management

Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
08/03/16	THERMO FISHER SCIENTIFIC CORP J.P. MORGAN SECURITIES INC 07/29 SALE 84.00 SHR @ 158.770500 MISC 29 COMM 2.10 ACCOUNT 45-20-026-3432044		13,334.33	-11,405.94	1,928.39	
08/03/16	UNION PAC CORP UBS SECURITIES LLC 07/29 SALE 128.00 SHR @ 92.55000000 MISC 26 COMM 3.20 ACCOUNT 45-20-026-3432044		11,842.94	-9,729.92	2,113.02	
08/03/16	WELLS FARGO & CO NEW COM UBS SECURITIES LLC 07/29 SALE 185.00 SHR @ 48.00000000 MISC 20 COMM 4.63 ACCOUNT 45-20-026-3432044		8,875.18	-10,072.33	-1,197.15	
08/04/16	BROOKFIELD BUSINESS PARTNERS LP MERRILL LYNCH PIERCE, FENNER & SM 06/27 SALE 4.00 SHS @ 18.3701 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		73.48	-85.55	-12.07	
08/11/16	LIBERTY LILAC GROUP CLACOM UNITED KINGDOM CORPORATE ACTIONS CASH IN LIEU OF 866 FRACTIONAL SHARE @ 29.4680 PER SHARE ACCOUNT 45-20-026-3297025		25.52	-34.82	-9.30	
08/11/16	LIBERTY LILAC GROUP CLCCOM UNITED KINGDOM CASH IN LIEU OF 617 FRACTIONAL SHARE @ 29.7300 PER SHARE ACCOUNT 45-20-026-3297025		18.34	-24.44	-6.10	

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Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZ FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
08/16/16	BROWN & BROWN INC MERRILL LYNCH, PIERCE, FENNER & SM 08/11 SALE 4 00 SHR @ 36.7772000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		147.11	-125.64	21.47	
08/16/16	ECHOSTAR CORP CLA MERRILL LYNCH, PIERCE, FENNER & SM 08/11 SALE 4 00 SHR @ 37.2027000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		148.81	-148.24	0.57	
08/16/16	LEUCADIA NATL CORP MERRILL LYNCH, PIERCE, FENNER & SM 08/11 SALE 9 00 SHR @ 18.8078000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		169.27	-151.90	17.37	
08/16/16	LIBERTY INTERACTIVE CORPORATION QVC GROUP SER A MERRILL LYNCH, PIERCE, FENNER & SM 08/11 SALE 12 00 SHR @ 21.3048000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		255.65	-318.64	-62.99	
08/17/16	BROOKFIELD BUSINESS PARTNERS LP CASH IN LIEU OF 320 FRACTIONAL SHARE @ 22.1788 PER SHARE ACCOUNT 45-20-026-3297025		7.10	-6.84	0.26	
08/18/16	COMMERCEHUB INC SER A COM CASH IN LIEU OF 500 FRACTIONAL SHARE @ 12.9263 PER SHARE ACCOUNT 45-20-026-3297025		6.46	-6.72	-0.26	

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Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
08/23/16	DOUBLELINE CORE FIXED INCOME FUND CLI MUTUAL FUND AGENT PROCEEDS REDEMPTION 37,337,353 UNITS @ 11.1700		417,058.23	-414,076.77	2,981.46	
09/06/16	ACCOUNT 45-20-026-3238730 BROWN & BROWN INC MERRILL LYNCH, PIERCE, FENNER & SM 08/31 SALE 5.00 SHR @ 37.3559000 MISC 00 COMM 00		186.78	-157.05	29.73	
09/06/16	ACCOUNT 45-20-026-3297025 ECHO STAR CORP CLA MERRILL LYNCH, PIERCE, FENNER & SM 08/31 SALE 4.00 SHR @ 38.7178000 MISC 00 COMM 00		154.87	-146.20	8.67	
09/06/16	ACCOUNT 45-20-026-3297025 LEUCADIA NATL CORP MERRILL LYNCH, PIERCE, FENNER & SM 08/31 SALE 9.00 SHR @ 19.0560000 MISC 00 COMM 00		171.50	-148.50	23.00	
09/06/16	ACCOUNT 45-20-026-3297025 LOEWS CORP MERRILL LYNCH, PIERCE, FENNER & SM 08/31 SALE 6.00 SHR @ 41.5601000 MISC 01 COMM 00		249.36	-225.74	23.62	
09/15/16	ACCOUNT 45-20-026-3297025 AQR MANAGED FUTURES STRATEGY FUND CLI MUTUAL FUND AGENT PROCEEDS REDEMPTION 8,796,683 UNITS @ 10.0000		87,966.83	-95,667.52	-7,700.69	
	ACCOUNT 45-20-026-3238730					

Settlement Date



Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZLEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
09/15/16	DOUBLELINE TOTAL RETURN BD FUND CLI		130,911 11	-130,911 11		
	MUTUAL FUND AGENT					
	09/14 SALE 11,999 185 SHR @ 10 9100001					
	COMM/FEES 00 DEFER LOSS OF 479 97					
	ACCOUNT 45-20-026-3238730					
09/20/16	FACTSET RESH SYS INC		176 97	-157 29	19 68	
	MERRILL LYNCH, PIERCE, FENNER & SM					
	09/15 SALE 1 00 SHR @ 176 978700					
	MISC 00 COMM 00					
	ACCOUNT 45-20-026-3297025					
09/20/16	LIBERTY INTERACTIVE CORPORATION		763 16	-1,050 20	-287 04	
	QVC GROUP SER A					
	MERRILL LYNCH, PIERCE, FENNER & SM					
	09/15 SALE 40 00 SHR @ 19 0794000					
	MISC 02 COMM 00					
	ACCOUNT 45-20-026-3297025					
09/20/16	MSCI INC		255 68	-215 44	40 24	
	COM CLA					
	MERRILL LYNCH, PIERCE, FENNER & SM					
	09/15 SALE 3 00 SHR @ 85 2291000					
	MISC 01 COMM 00					
	ACCOUNT 45-20-026-3297025					
09/20/16	MOODYS CORP		215 42	-211 61	3 81	
	MERRILL LYNCH, PIERCE, FENNER & SM					
	09/15 SALE 2 00 SHR @ 107 713800					
	MISC 00 COMM 00					
	ACCOUNT 45-20-026-3297025					
09/30/16	BLACKROCK INC		3,249 56	-3,195 95	53 61	
	CLA					
	KEYBANK CAPITAL MARKETS INC					
	09/27 SALE 9 00 SHR @ 361 099700					
	MISC 07 COMM 27					
	ACCOUNT 45-20-026-3432044					

Settlement Date



Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN (COMBINED)

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
09/30/16	DISNEY WALT CO COM DISNEY CREDIT SUISSE SEC (USA) INC 09/27 SALE 43 00 SHR @ 91 6500000 MISC 09 COMM 1 29 ACCOUNT 45-20-026-3432044		3,939.57	-4,664.00	-724.43	
09/30/16	DISNEY WALT CO COM DISNEY CREDIT SUISSE SEC (USA) INC 09/27 SALE 16 00 SHR @ 91 6750000 MISC 03 COMM 48 ACCOUNT 45-20-026-3432044		1,466.29	-1,735.44	-269.15	
10/04/16	FACTSET RESH SYS INC MERRILL LYNCH, PIERCE, FENNER & SM 09/29 SALE 1 00 SHR @ 160 051400 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		160.05	-157.30	2.75	
10/04/16	LIBERTY MEDIA CORP DEL SIRIUSXM SER C COM MERRILL LYNCH, PIERCE, FENNER & SM 09/29 SALE 6 00 SHR @ 32 9491000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		197.69	-177.45	20.24	
10/04/16	MSCI INC COM CLA MERRILL LYNCH, PIERCE, FENNER & SM 09/29 SALE 2 00 SHR @ 83 5794000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		167.16	-142.58	24.58	
10/04/16	PAYPAL HOLDINGS INC MERRILL LYNCH, PIERCE, FENNER & SM 09/29 SALE 19 00 SHR @ 40 4202000 MISC 02 COMM 00 ACCOUNT 45-20-026-3297025		767.97	-680.47	87.50	

Settlement Date



Activity Detail

Account: 45-20-026-3297082 DR CYRUS KAIZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term		Long-term	
					Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss	Realized Gain/Loss
10/05/16	MSCI INC COM CLA MERRILL LYNCH, PIERCE, FENNER & SM 09/30 SALE 1 00 SHR @ 83 9965000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		83 99	-71 29		12 70		
10/05/16	WELLS FARGO & CO NEW COM J P MORGAN SECURITIES INC 09/30 SALE 30 00 SHR @ 44 2464000 MISC 03 COMM 30 ACCOUNT 45-20-026-3432044		1,326 46	-1,633 35		-306 89		
10/05/16	WELLS FARGO & CO NEW COM J P MORGAN SECURITIES INC 09/30 SALE 204 00 SHR @ 44 3009000 MISC 20 COMM 6 12 ACCOUNT 45-20-026-3432044		9,031 07	-11,106 78		-2,075 71		
10/18/16	BROOKFIELD ASSET MGMT INC CLA COM CANADA MERRILL LYNCH, PIERCE, FENNER & SM 10/13 SALE 7 00 SHR @ 35 6828000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		249 77	-231 15		18 62		
10/18/16	FACTSET RESH SYS INC MERRILL LYNCH, PIERCE, FENNER & SM 10/13 SALE 2 00 SHR @ 155 576100 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		311 15	-314 59		-3 44		
10/18/16	LIBERTY INTERACTIVE CORPORATION QVC GROUP SER A MERRILL LYNCH, PIERCE, FENNER & SM 10/13 SALE 20 00 SHR @ 18 7940000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		375 87	-525 10		-149 23		

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Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
10/18/16	MICROCHIP TECHNOLOGY INC MERRILL LYNCH, PIERCE, FENNER & SM 10/13 SALE 3.00 SHR @ 58.1117000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		174.33	-161.46	12.87	
10/18/16	PAYPAL HOLDINGS INC MERRILL LYNCH, PIERCE, FENNER & SM 10/13 SALE 20.00 SHR @ 39.0278000 MISC 02 COMM 00 ACCOUNT 45-20-026-3297025		780.54	-670.76	109.78	
10/19/16	ADVANSIX INC UBS SECURITIES LLC 10/04 SALE 4.00 SHR @ 15.4170000 MISC 00 COMM 12 ACCOUNT 45-20-026-3432044		61.55	-54.39	7.16	
10/24/16	FACTSET RESH SYS INC MERRILL LYNCH, PIERCE, FENNER & SM 10/19 SALE 2.00 SHR @ 155.845100 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		311.68	-309.15	2.53	
10/25/16	ISHARES SELECT DIVIDEND ETF ISI GROUP, INC 10/20 SALE 12.315 00 SHR @ 83.7891000 MISC 22 50 COMM 307.87 ACCOUNT 45-20-026-3238730		1,031,532.40	-1,037,810.64	-6,278.24	
10/25/16	SPDR GOLD TR GOLD SHS BERNSTEIN, SANFORD C. & CO., INC. 10/20 SALE 3.110 00 SHR @ 120.741600 MISC 8 18 COMM 62.20 ACCOUNT 45-20-026-3238730		375,435.99	-394,021.45	-18,585.46	
10/28/16	ADVANSIX INC CORPORATE ACTIONS CASH IN LIEU OF 360 FRACTIONAL SHARE @ 16.6124 PER SHARE ACCOUNT 45-20-026-3432044		5.98	-4.89	1.09	

Settlement Date



Bank of America Private Wealth Management

Activity Detail

Dec 04, 2015 through Nov 30, 2016

Account: 45-20-026-3297082 DR CYRUS KATZEN FIDN COMBINED

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
10/31/16	LIBERTY LILAC GROUP CL C COM UNITED KINGDOM MERRILL LYNCH, PIERCE, FENNER & SM 10/26 SALE 6.00 SHR @ 27.1079000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		162.64	-235.47	-72.83	
10/31/16	LIBERTY INTERACTIVE CORPORATION QVC GROUP SER A MERRILL LYNCH, PIERCE, FENNER & SM 10/26 SALE 12.00 SHR @ 18.8028000 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		225.63	-315.06	-89.43	
10/31/16	MSCI INC COM CLA MERRILL LYNCH, PIERCE, FENNER & SM 10/26 SALE 3.00 SHR @ 82.9060000 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		248.71	-213.87	34.84	
11/09/16	VERSUM MATLS INC CASH IN LIEU OF .500 FRACTIONAL SHARE @ 22.7859 PER SHARE ACCOUNT 45-20-026-3297025		11.39	-12.94	-1.55	
11/15/16	LIBERTY LILAC GROUP CL C COM UNITED KINGDOM MERRILL LYNCH, PIERCE, FENNER & SM 11/09 SALE 6.00 SHR @ 20.1874460 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		121.12	-235.25	-114.13	
11/15/16	ARMSTRONG WORLD INDS INC NEW COM MERRILL LYNCH, PIERCE, FENNER & SM 11/09 SALE 7.00 SHR @ 38.4765660 MISC 01 COMM 00 ACCOUNT 45-20-026-3297025		269.33	-284.14	-14.81	

Settlement Date



Activity Detail

Dec 04, 2015 through Nov 30, 2016

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long-term Realized Gain/Loss
11/15/16	LIBERTY MEDIA CORP DEL SIRIUSXM SER C COM MERRILL LYNCH PIERCE FENNER & SM 11/09 SALE 4.00 SHR @ 33.5714970 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		134.28	-117.02	17.26	
11/15/16	VERSUM MATLS INC MERRILL LYNCH PIERCE FENNER & SM 11/09 SALE 10.00 SHR @ 22.8128740 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		228.12	-225.80	2.32	
11/16/16	LIBERTY INTERACTIVE CORPORATION QVC GROUP SER A MERRILL LYNCH PIERCE FENNER & SM 11/10 SALE 5.00 SHR @ 20.0004750 MISC 00 COMM 00 ACCOUNT 45-20-026-3297025		100.00	-131.28	-31.28	
11/16/16	ALLERGAN PLC IRELAND WEEDEN AND CO 11/10 SALE 12.00 SHR @ 217.209900 MISC 24 COMM 36 ACCOUNT 45-20-026-3432044		2,605.84	-3,705.18	-1,099.34	
11/16/16	ISHARES EDGE MSCI MIN VOL USA ETF J.P. MORGAN SECURITIES INC 11/10 SALE 33.465 00 SHR @ 44.1400000 COMM/FEES 868.83 DEFER LOSS OF 1033.95 ACCOUNT 45-20-026-3238730		1,476,276.27	-1,495,518.42	-19,242.15	
11/17/16	ISHARES CORE MSCI EMERGING MKTS ETF J.P. MORGAN SECURITIES INC 11/14 SALE 7.645 00 SHR @ 41.9100000 MISC 6.99 COMM 191.13 ACCOUNT 45-20-026-3238730		320,203.83	-349,567.63	-29,363.80	

Settlement Date



Risk of America Private Wealth Management

Activity Detail

Account: 45-20-026-3297082 DR CYRUS KATZEN FDN COMBINED

Dec. 04, 2015 through Nov 30, 2016

Date	Description	Income Cash	Principal Cash	Tax Cost	Short-term Realized Gain/Loss	Long term Realized Gain/Loss
11/18/16	ISHARES EDGE MSCI MIN VOL USA ETF		1,221,187.15	-1,200,330.59		20,856.56
	NEEDHAM & COMPANY LLC					
	11/15 SALE 27,695.00 SHR @ 44.1201000					
	MISC 26.64 COMM 692.38					
	ACCOUNT 45-20-026-3238730					
11/18/16	SPDR GOLD TR		226,395.09	-243,174.79		-16,779.70
	GOLD SHS					
	UBS SECURITIES LLC					
	11/15 SALE 1,935.00 SHR @ 117.022600					
	MISC 4.94 COMM 38.70					
	ACCOUNT 45-20-026-3238730					
11/21/16	ISHARES EDGE MSCI MIN VOL EAFE ETF		350,857.47	373,246.90		-22,389.43
	JONESTRADING INST SVCS INC					
	11/16 SALE 5,685.00 SHR @ 61.7427000					
	MISC 7.65 COMM 142.13					
	ACCOUNT 45-20-026-3238730					
11/23/16	BECTON DICKINSON & CO		2,234.65	-2,292.64		-57.99
	JONESTRADING INST SVCS INC					
	11/18 SALE 13.00 SHR @ 171.930100					
	MISC 05 COMM 39					
	ACCOUNT 45-20-026-3432044					
11/23/16	DOUBLELINE TOTAL RETURN BD FUND CLI		317,557.22	-325,134.84		-7,577.62
	MUTUAL FUND AGENT					
	11/22 SALE 29,678.245 SHR @ 10.6999999					
	COMM/FEES 00 DEFER LOSS OF 188.75					
	ACCOUNT 45-20-026-3238730					
11/23/16	COCA COLA CO		7,485.91	-8,004.96		-519.05
	UBS SECURITIES LLC					
	11/18 SALE 183.00 SHR @ 40.9375000					
	MISC 16 COMM 5.49					
	ACCOUNT 45-20-026-3432044					

ATTACHMENT TO FORM 990-PF, PART II, LINE 10c

	REDEMPTION DATE	FACE VALUE 11-30-16	COST/ BASIS 11-30-16	MARKET VALUE 11-30-16	UNREALIZED GAIN (LOSS) 11-30-16
APPLE INC - 2.25%	02-23-2021	25,000.00	25,580.00	25,013.25	(566.75)
BEAR STEARNS COS 6.4%	10-02-2017	50,000.00	58,312.00	52,025.50	(6,286.50)
MCDONALD'S CORP 5.8%	10-15-2017	50,000.00	28,895.00	25,926.50	(2,968.50)
AT & T INC 5.5%	02-01-2018	50,000.00	56,553.50	52,049.50	(4,504.00)
BANK OF AMERICA 2.6%	01-15-2019	25,000.00	25,508.50	25,220.25	(288.25)
EXXON MOBIL 1.708%	03-01-2019	25,000.00	25,280.00	24,966.75	(313.25)
AMERICAN EXPRESS 2.125%	03-18-2019	25,000.00	25,414.50	25,094.75	(319.75)
NEW BRUNSWICK 2.75%	06-15-2018	25,000.00	25,825.50	25,488.50	(337.00)
MORGAN STANLEY 2.5%	01-24-2019	25,000.00	25,489.00	25,218.25	(270.75)
VERIZON COM 2.625%	02-21-2020	25,000.00	25,766.75	25,188.75	(578.00)
GOLDMAN SACHS 2.625%	01-31-2019	25,000.00	25,587.25	25,264.50	(322.75)
COMCAST CORP 5.7%	05-15-2018	25,000.00	27,289.00	26,481.25	(807.75)
WALL-MART STORES 3.625%	07-08-2020	25,000.00	27,296.75	26,416.25	(880.50)
AMAZON COM 2.6%	12-05-2019	25,000.00	26,037.75	25,501.25	(536.50)
SIMON PROPERTY GRP 5.65%	12-05-2019	25,000.00	28,354.50	27,459.25	(895.25)
WACHOVIA CORP 5.75%	02-01-2018	25,000.00	26,864.25	26,136.50	(727.75)
CITIGROUP INC - 2.5%	07-29-2019	25,000.00	25,522.00	25,169.75	(352.25)
BLACKROCK INC 5.0%	12-10-2019	25,000.00	28,060.00	27,207.75	(852.25)
TRONTO-DOMINION BK 2.125%	04-07-2021	25,000.00	25,108.50	24,693.75	(414.75)
CELGENE CORP - 2.875%	08-15-2020	25,000.00	25,849.25	25,281.75	(567.50)
ASTRZENECA PLC 5.9%	09-15-2017	25,000.00	26,575.00	25,894.50	(680.50)
BANK OF MONTREAL - 1.4%	04-10-2018	25,000.00	25,050.75	24,942.00	(108.75)
CISCO SYSTEMS 2.125%	03-01-2019	25,000.00	25,606.00	25,236.25	(369.75)
Intercontinentalexcha 2.75%	01-01-2020	25,000.00	25,921.75	25,162.50	(759.25)
INTEL CORP 1.35%	12-15-2017	25,000.00	25,152.00	25,050.00	(102.00)
AMGEN INC - 5.7%	02-01-2019	25,000.00	27,741.50	26,950.25	(791.25)
GLAXOSMITHKLINE CAP 5.65%	05-18-2018	30,000.00	32,555.10	31,700.10	(855.00)
DOW CHEMICAL CO - 4.25%	11-15-2020	30,000.00	32,608.50	31,765.20	(843.30)
RABOBANK NEDERLAND - 2.5%	01-19-2021	30,000.00	30,387.90	30,026.40	(361.50)
MET LIFE - 7.717%	02-15-2019	30,000.00	34,619.40	33,696.90	(922.50)
ORACLE CORP - 5.75%	04-15-2018	30,000.00	32,509.50	31,740.00	(769.50)
LLOYDS BANK PLC - 2.3%	11-27-2018	30,000.00	30,285.60	30,219.30	(66.30)
TOYOTA MTR CREDIT CORP - 2.1%	01-17-2019	25,000.00	25,414.75	25,136.75	(278.00)
FORD MOTOR CREDIT 3.2%	01-15-2021	25,000.00	25,638.25	24,959.50	(678.75)
MICROSOFT CORP 2.0%	11-03-2020	25,000.00	25,527.25	24,975.00	(552.25)
ONTARIO (PROVINCE OF) 4.0%	10-07-2019	25,000.00	27,129.50	26,507.75	(621.75)
TELEFONICA EMISSIONS 5.134%	04-27-2020	25,000.00	27,831.75	26,737.50	(1,094.25)
TIME WARNER INC 2.1%	06-01-2019	30,000.00	30,569.10	29,932.20	(636.90)
PROVIDENCE OF QUEBEC 2.75%	08-25-2021	30,000.00	31,676.40	30,720.00	(956.40)
BP CAPITAL MKT 2.112%	09-16-2021	25,000.00	25,000.00	24,459.75	(540.25)
SOCIETE GENERAL 2.625%	10-01-2018	25,000.00	25,502.50	25,316.25	(186.25)
PNC BANK 2.4%	10-18-2019	25,000.00	25,588.00	25,277.50	(310.50)
HSBC HLDNGS 5.1%	04-05-2021	25,000.00	27,132.75	26,994.00	(138.75)
TOTAL			1,234,617.25	1,199,203.60	(35,413.65)