Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning 12/01 , 2015, and ending 11/30, 2016

Name of foundation: JAMES E. & DIANE W. BURKE FOUNDATION INC
P28280009

A Employer identification number: 52-1632596

Number and street (or P O box number if mail is not delivered to street address) / Room/suite:
90 NASSAU STREET, 5TH FLOOR

B Telephone number (see instructions): 866-888-5157

City or town, state or province, country, and ZIP or foreign postal code:
PRINCETON, NJ 08542

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 91,443,842.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	29,065,840.			
	2	Check ▶ [ ] if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	1,911,017.	1,903,953.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-456,876.			
	b	Gross sales price for all assets on line 6a 41,057,032.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	230,748.			STMT 2
	12	**Total.** Add lines 1 through 11	30,750,729.	1,903,953.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	NONE	NONE		NONE
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule) STMT 3	22,925.	NONE	NONE	22,925.
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) STMT 4	340,763.	340,763.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 5	437,614.	43,041.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	1,168.	NONE	NONE	1,168.
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule) STMT 6	4,045.			4,045.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	806,515.	383,804.	NONE	28,138.
	25	Contributions, gifts, grants paid	2,443,958.			2,443,958.
	26	**Total expenses and disbursements** Add lines 24 and 25	3,250,473.	383,804.	NONE	2,472,096.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	27,500,256.			
	b	**Net investment income** (if negative, enter -0-)		1,520,149.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing		252,173.	252,173.
2	Savings and temporary cash investments	795,534.	2,846,451.	2,846,451.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	118,214.		
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)	37,596,194.	44,516,681.	46,288,123.
c	Investments - corporate bonds (attach schedule)	13,884,567.	19,212,329.	19,077,110.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)	17,568,139.	23,109,495.	22,979,985.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	69,962,648.	89,937,129.	91,443,842.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	***Foundations that do not follow SFAS 117,* check here and complete lines 27 through 31.** ▶ [X]			
27	Capital stock, trust principal, or current funds	69,962,648.	89,937,129.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	69,962,648.	89,937,129.	
31	**Total liabilities and net assets/fund balances** (see instructions)	69,962,648.	89,937,129.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	69,962,648.
2	Enter amount from Part I, line 27a	2	27,500,256.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	97,462,904.
5	Decreases not included in line 2 (itemize) ▶ TRANSFER OF SECURITIES COST TO FMV ADJ	5	7,525,775.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	89,937,129.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	41,057,032.		41,513,908.	-456,876.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				-456,876.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-456,876.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,187,589.	67,896,177.	0.017491
2013	864,137.	26,753,497.	0.032300
2012	110,685.	16,506,192.	0.006706
2011	333,136.	14,365,896.	0.023189
2010	257,615.	13,615,701.	0.018920

2	**Total** of line 1, column (d)	2	0.098606
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.019721
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	88,526,776.
5	Multiply line 4 by line 3	5	1,745,837.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	15,201.
7	Add lines 5 and 6	7	1,761,038.
8	Enter qualifying distributions from Part XII, line 4	8	2,472,096.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	15,201.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	15,201.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	15,201.
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 . . . . 6a 161,000.		
b	Exempt foreign organizations - tax withheld at source . . . . 6b NONE		
c	Tax paid with application for extension of time to file (Form 8868) . . . . 6c NONE		
d	Backup withholding erroneously withheld 6d		
7	Total credits and payments Add lines 6a through 6d	7	161,000.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	145,799.
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶ 56,000. **Refunded** ▶	11	89,799.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ JP MORGAN CHASE BANK, N.A. Telephone no ▶ (866)888-5157 Located at ▶ 90 NASSAU STREET, 5TH FLOOR, PRINCETON, NJ ZIP+4 ▶ 08542			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ ____ , ____ , ____ , ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____ , ____ , ____ , ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . . ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . . ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) . . . . . . ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . . ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here . . . . . . ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . . ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . . ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7				

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE "

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 . . . . . . ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
JPMORGAN CHASE BANK, NA , NEW YORK, NY 10017	INVESTMENT MANAGEMENT	340,763.
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	86,332,018.
b	Average of monthly cash balances	1b	3,542,881.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	89,874,899.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	89,874,899.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,348,123.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	88,526,776.
6	**Minimum investment return.** Enter 5% of line 5	6	4,426,339.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	4,426,339.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	15,201.		
b	Income tax for 2015. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	15,201.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	4,411,138.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	4,411,138.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	4,411,138.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	2,472,096.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,472,096.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	15,201.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,456,895.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				4,411,138.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			2,443,685.	
b Total for prior years 20 13 ,20 ,20		NONE		
3 Excess distributions carryover, if any, to 2015				
a From 2010 NONE				
b From 2011 NONE				
c From 2012 NONE				
d From 2013 NONE				
e From 2014 NONE				
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 2,472,096.				
a Applied to 2014, but not more than line 2a			2,443,685.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2015 distributable amount				28,411.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				4,382,727.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2011 NONE				
b Excess from 2012 NONE				
c Excess from 2013 NONE				
d Excess from 2014 NONE				
e Excess from 2015 NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 14				2,443,958.
Total ▶ 3a				2,443,958.
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,911,017.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-456,876.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b FEDERAL EXCISE TAX			14	230,748.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,684,889.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 1,684,889.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] 10/10/17 ▶ Trustee

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
KELLY NEUGEBAUER	[signature]	10/06/2017		P01285591
Firm's name ▶ DELOITTE TAX LLP			Firm's EIN ▶ 86-1065772	
Firm's address ▶ 127 PUBLIC SQUARE CLEVELAND, OH 44114			Phone no 312-486-9652	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*

OMB No 1545-0047

2015

Name of the organization	Employer identification number
JAMES E. & DIANE W. BURKE FOUNDATION INC	52-1632596

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	[ ] 501(c)( ) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[X] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

[ ] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ _______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: JAMES E. & DIANE W. BURKE FOUNDATION INC

Employer identification number: 52-1632596

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF JAMES BURKE JP MORGAN CHASE BANK, N.A. CHICAGO, ,	$ 7,100,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	ESTATE OF JAMES BURKE JP MORGAN CHASE BANK, N.A. CHICAGO, IL 60603	$ 21,965,840.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization: JAMES E. & DIANE W. BURKE FOUNDATION INC

Employer identification number: 52-1632596

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	VARIOUS CDs	$ 21,965,840.	01/13/2016
		$	
		$	
		$	
		$	
		$	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN DIVIDENDS	391,353.	391,353.
NONDIVIDEND DISTRIBUTIONS	7,064.	
DOMESTIC DIVIDENDS	419,083.	419,083.
CORPORATE INTEREST	7,866.	7,866.
U.S. GOVERNMENT INTEREST(FEDERAL TAXABLE	31,813.	31,813.
NONQUALIFIED FOREIGN DIVIDENDS	223,520.	223,520.
NONQUALIFIED DOMESTIC DIVIDENDS	830,318.	830,318.
TOTAL	1,911,017.	1,903,953.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
FEDERAL EXCISE TAX REFUND		230,748.
	TOTALS	230,748.

STATEMENT 2

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES - PRINCIPAL (ALLOCA	22,925.			22,925.
TOTALS	22,925.	NONE	NONE	22,925.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MGMT FEES-SUBJECT T	340,763.	340,763.
TOTALS	340,763.	340,763.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL TAX PAYMENT - PRIOR YE	233,573.	
FEDERAL ESTIMATES - INCOME	161,000.	
FOREIGN TAXES ON QUALIFIED FOR	34,339.	34,339.
FOREIGN TAXES ON NONQUALIFIED	8,702.	8,702.
TOTALS	437,614.	43,041.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
NJ DEPARTMENT OF STATE FILING	25.	25.
PAID CHUBB & SON	3,621.	3,621.
OTHER CHARITABLE EXPENSES	399.	399.
TOTALS	4,045.	4,045.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
JAMES C.E. BURKE
ADDRESS:
90 NASSAU STREET, 5TH FLOOR
PRINCETON, NJ 08542
TITLE:
CO-PRESIDENT/TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 10

OFFICER NAME:
CHARLES CARROLL
ADDRESS:
90 NASSAU STREET, 5TH FLOOR
PRINCETON, NJ 08542
TITLE:
TREASURER/TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:
PHILIP J. SIANA
ADDRESS:
90 NASSAU STREET, 5TH FLOOR
PRINCETON, NJ 08542
TITLE:
SECRETARY/TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:
DIANE W. BURKE
ADDRESS:
90 NASSAU STREET, 5TH FLOOR
PRINCETON, NJ 08542
TITLE:
CO-PRESIDENT/TRUSTEE EMERITUS
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
===

OFFICER NAME:
  CHRIS KUENNE
ADDRESS:
  90 NASSAU STREET, 5TH FLOOR
  PRINCETON, NJ 08542
TITLE:
  TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:
  QUENTIN SCHAFFER
ADDRESS:
  90 NASSAU STREET, 5TH FLOOR
  PRINCETON, NJ 08542
TITLE:
  TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:
  STEPHEN DNISTRIAN
ADDRESS:
  90 NASSAU STREET, 5TH FLOOR
  PRINCETON, NJ 08542
TITLE:
  TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
BIG APPLE CIRCUS, LTD
ADDRESS:
ONE METROTECH CENTER-NORTH, 3RD FLO
Brooklyn, NY 11201
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 200,000.

RECIPIENT NAME:
PARTNERSHIP FOR DRUG-FREE KIDS
ADDRESS:
352 PARK AVENUE SOUTH 9TH FLOOR
New York, NY 10010
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

RECIPIENT NAME:
THE BROTHERHOOD SISTER SOL, INC.
ADDRESS:
512 WEST 143 STREET
New York, NY 10031
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
PRINCETON UNIVERSITY ART MUSEUM
ADDRESS:
701 CARNEGIE CTR
PRINCETON, NJ 08540
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 250,000.

RECIPIENT NAME:
MCCARTER THEATRE CENTER
ADDRESS:
91 UNIVERSITY PLACE
Princeton, NJ 08540
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

RECIPIENT NAME:
PRINCETON HEALTHCARE SYSTEM FOUNDATION
ADDRESS:
1 PLAINSBORO RD
PLAINSBORO, NJ 08536
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
PRINCETON JUNIOR SCHOOL
ADDRESS:
PO BOX 672
PRINCETON, NJ 08542
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
MAKE-A-WISH
ADDRESS:
1347 PERRINEVILLE ROAD
Monroe Township, NJ 08831
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

RECIPIENT NAME:
LAKE DRIVE FOUNDATION
ADDRESS:
PO BOX 155
MOUNTAIN LKS, NJ 07046
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 126,958.

RECIPIENT NAME:
TRENTON COMMUNITY MUSIC SCHOOL
ADDRESS:
PO BOX 5206
Trenton, NJ 08638
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
YOUNG AUDIENCE NEW JERSEY &
EASTERN PENNSYLVANIA
ADDRESS:
200 FORRESTAL ROAD
PRINCETON, NJ 08540
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

RECIPIENT NAME:
NATIONAL PHILANTHROPIC TRUST
ADDRESS:
165 TOWNSHIP LINE RD SUITE 1200
Jenkintown, PA 19046
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 372,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
MINDPOP
ADDRESS:
5511 PARKCREST DR STE 207
Austin, TX 78731
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
BROADFUTURES
ADDRESS:
2013 H STREET NW 5TH FLOOR
Washington, DC 20006
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

RECIPIENT NAME:
TRENTON CIRCUS SQUAD
ADDRESS:
675 SOUTH CLINTON AVE
TRENTON, NJ 08611
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
JOHNS HOPKINS UNIVERSITY
ADDRESS:
3910 KESWICK ROAD SUITE N2100
BALTIMORE, MD 21218
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 600,000.

RECIPIENT NAME:
AMERICAN YOUTH CIRCUS
ADDRESS:
PO BOX 96
Temple, NH 03084
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 15,000.

TOTAL GRANTS PAID: 2,443,958.

JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

AGENT-JAMES E & DIANE W BURKE FDN ACCT. P28280009
For the Period 11/1/16 to 11/30/16

Fiduciary Account

J.P. Morgan Team		
Matthew Vamvakis	Banker	212/464-0020
Brian Flood	Banker	212/464-2167
James Largey	T & E Officer	212/464-1937
Wayne Ling	T & E Administrator	212/464-2592
Michael Garvey	Portfolio Manager	212/464-0230
Darren OHagan	Client Service Team	866/225-2185
James Conlan	Client Service Team	
Umer Awan	Client Service Team	
Online access	**www.jpmorganonline.com**	

Table of Contents

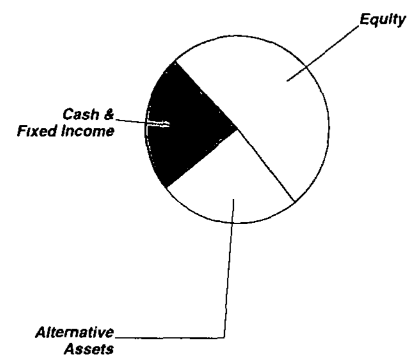
Please see disclosures located at the end of this statement package for important information relating to each J P Morgan account(s)

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	39,805,462 42	40,520,692 67	715,230 25	771,450 65	51%
Alternative Assets	19,209,191 78	19,782,667 53	573,475 75	172,973 13	25%
Cash & Fixed Income	19,756,680 01	18,825,528 31	(931,151 70)	639,410 79	24%
Market Value	**$78,771,334.21**	**$79,128,888.51**	**$357,554.30**	**$1,583,834.57**	**100%**

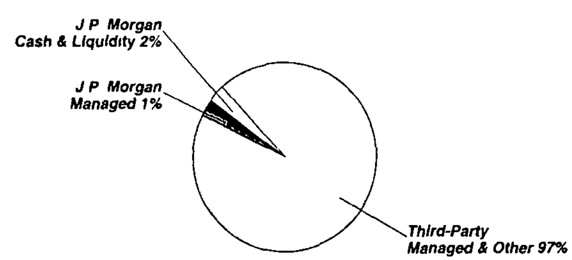
Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	121,801 11	213,331 21	91,530 10
Accruals	422 20	727 02	304 82
Market Value	**$122,223.31**	**$214,058.23**	**$91,834.92**

Manager Allocation *



* **J.P. Morgan Managed** includes mutual funds, other registered funds and hedge funds managed by J P Morgan and structured products issued by J P Morgan **Third-Party Managed & Other** includes mutual funds, exchange traded funds, hedge funds, and separately managed accounts managed by parties other than J P Morgan, separately managed accounts managed by J P Morgan where a party other than J P Morgan is the appointed investment advisor, structured products and exchange traded notes issued by parties other than J P Morgan, investment conduits investing in non-J P Morgan managed hedge funds, where J P Morgan is solely administrator to the conduit, and other investments not managed or issued by J P. Morgan **J.P. Morgan Cash & Liquidity Funds** includes cash, J P Morgan deposit sweeps and J P Morgan money market mutual funds See important information about investment principles and conflicts in the disclosures section

J.P.Morgan

Account Summary CONTINUED

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**78,771,334.21**	**57,284,668.01**	**121,801.11**	**287,542.93**
Additions	230,445 79	12,437,181 48	302 41	18,336 13
Withdrawals & Fees	(372,111 09)	(3,474,324 37)		(1,665,432 04)
Securities Transferred In		11,803,994 78	--	--
Net Additions/Withdrawals	**($141,665.30)**	**$20,766,851.89**	**$302.41**	**($1,647,095.91)**
Income			91,227 69	1,572,884.19
Change In Investment Value	499,219 60	1,077,368.61		
Ending Market Value	**$79,128,888.51**	**$79,128,888.51**	**$213,331.21**	**$213,331.21**
Accruals	--	--	727 02	727 02
Market Value with Accruals	--	--	**$214,058.23**	**$214,058.23**

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	90,805 49	1,570,179 71
Interest Income	422 20	2,704.48
Taxable Income	**$91,227.69**	**$1,572,884.19**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions	105,666.93	356,316 09
ST Realized Gain/Loss		(1,288,871 70)
LT Realized Gain/Loss		3,119,585 89
Realized Gain/Loss	**$105,666.93**	**$2,187,030.28**

	To-Date Value
Unrealized Gain/Loss	**$1,284,344.87**

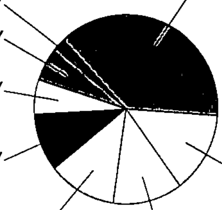
Account Summary CONTINUED

Cost Summary	Cost
Equity	39,084,836 20
Cash & Fixed Income	18,953,429 98
Total	**$58,038,266.18**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	15,489,552 28	16,004,009 94	514,457.66	20%
US Mid Cap Equity	4,728,036 12	5,081,064 20	353,028 08	6%
US Small Cap Equity	968,382 00	1,075,516 92	107,134 92	1%
EAFE Equity	5,497,617 90	5,429,092 72	(68,525 18)	7%
European Large Cap Equity	3,609,063 92	3,588,089 12	(20,974 80)	5%
Japanese Large Cap Equity	1,935,124 09	2,074,032 91	138,908 82	3%
Asia ex-Japan Equity	2,852,315 84	2,762,158 73	(90,157 11)	3%
Emerging Market Equity	4,725,370 27	4,506,728 13	(218,642 14)	6%
Total Value	**$39,805,462.42**	**$40,520,692.67**	**$715,230.25**	**51%**

Asset Categories



Equity as a percentage of your portfolio - 51 %

Market Value/Cost	Current Period Value
Market Value	40,520,692 67
Tax Cost	39,084,836 20
Unrealized Gain/Loss	1,435,856 47
Estimated Annual Income	771,450 65
Yield	1 90%

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
PARNASSUS CORE EQUITY FD-INS 701769-40-8 PRIL X	38 70	96,817.781	3,746,848 12	3,772,853 34	(26,005 22)	85,877 37	2 29%
PRIMECAP ODYSSEY STOCK FD 74160Q-30-1 POSK X	26 18	152,517 640	3,992,911 82	3,647,628 98	345,282 84	37,061 78	0 93%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	220 38	37,500 000	8,264,250 00	7,524,772 08	739,477 92	165,825 00	2 01%
Total US Large Cap Equity			**$16,004,009.94**	**$14,945,254.40**	**$1,058,755.54**	**$288,764.15**	**1.81%**
US Mid Cap Equity							
AMG MANAGERS FAIRPOINTE MID CAP FUND CLASS I 00171A-72-0 ABMI X	42 85	49,317 333	2,113,247 72	1,888,413 76	224,833 96	11,342 98	0 54%
VANGUARD MID-CAP ETF 922908-62-9 VO	131 36	22,593.000	2,967,816 48	2,768,733 68	199,082 80	42,203 72	1 42%
Total US Mid Cap Equity			**$5,081,064.20**	**$4,657,147.44**	**$423,916.76**	**$53,546.70**	**1.05%**
US Small Cap Equity							
ISHARES RUSSELL 2000 ETF 464287-65-5 IWM	131 61	8,172 000	1,075,516 92	953,791 16	121,725 76	14,603 36	1 36%

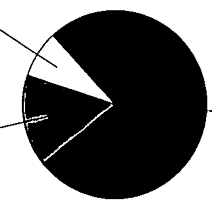
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
EAFE Equity							
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	56 79	47,010 000	2,669,697 90	2,884,109 54	(214,411 64)	79,117 83	2 96%
OAKMARK INTERNATIONAL-I 413838-20-2 OAKI X	21 96	125,655 502	2,759,394 82	2,949,846 03	(190,451 21)	62,325 12	2 26%
Total EAFE Equity			**$5,429,092.72**	**$5,833,955.57**	**($404,862.85)**	**$141,442.95**	**2.60%**
European Large Cap Equity							
DEUTSCHE X-TRACKERS MSCI EUR 233051-85-3 DBEU	25 66	139,832 000	3,588,089 12	3,519,632 83	68,456 29	116,480 05	3 25%
Japanese Large Cap Equity							
DEUTSCHE X-TRACKERS MSCI JAP 233051-50-7 DBJP	36 73	56,467 000	2,074,032 91	1,810,471 30	263,561 61	23,207 93	1 12%
Asia ex-Japan Equity							
ISHARES MSCI ALL COUNTRY ASI 464288-18-2 AAXJ	57 08	16,940 000	966,935 20	818,964 30	147,970 90	20,920 90	2 16%
MATTHEWS ASIA DIVIDEND-INST 577130-75-0 MIPI X	16 13	111,297 181	1,795,223.53	1,951,517 11	(156,293 58)	35,281 20	1 97%
Total Asia ex-Japan Equity			**$2,762,158.73**	**$2,770,481.41**	**($8,322.68)**	**$56,202.10**	**2.04%**

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
Emerging Market Equity							
ISHARES MSCI INDIA ETF 46429B-59-8 INDA	26 93	64,210 000	1,729,175 30	1,868,836 03	(139,660 73)	23,693 49	1 37%
NEUBERGER BER GR CHINA EQ-IS 64122Q-46-5 NCEI X	11 37	83,282 462	946,921 59	1,021,722 68	(74,801 09)	8,578 09	0.91%
VANGUARD FTSE EMERGING MARKE 922042-85-8 VWO	36 22	50,542 000	1,830,631 24	1,703,543 38	127,087 86	44,931 83	2 45%
Total Emerging Market Equity			**$4,506,728.13**	**$4,594,102.09**	**($87,373.96)**	**$77,203.41**	**1.71%**

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	15,447,431 97	15,227,769 27	(219,662 70)	19%
Real Estate & Infrastructure	2,066,062 17	3,000,902 76	934,840 59	4%
Hard Assets	1,695,697 64	1,553,995 50	(141,702 14)	2%
Total Value	**$19,209,191.78**	**$19,782,667.53**	**$573,475.75**	**25%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 25 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
AQR EQUITY MARKET NEUTRAL-R6 00191K-76-5 QMNR X	12 00	152,671 756	1,832,061 07	1,800,000 00

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
ARISTEIA INTERNATIONAL LIMITED CLASS H SHARES - NEW ISSUES INELIGIBLE 10-16 N/O Client 4U5207-91-5	1,030 49 10/31/16	1,481 860	1,527,041 91	1,500,000 00
ATLAS GLOBAL INVESTMENTS, LTD. CLASS D-NEW ISSUES INELIGIBLE 06-15 N/O Client HFBALA-XL-8	985 53 10/31/16	710 000	699,723 47	710,000 00
ATLAS GLOBAL INVESTMENTS, LTD. CLASS D - NEW ISSUES INELIGIBLE 07-15 N/O Client HFBALA-XW-4	984 63 10/31/16	355 000	349,542 73	355,000 00
BRIDGEWATER PRIVATE INVESTORS OFFSHORE, LTD CLASS A 08-15 N/O Client HFBRDA-RS-2	102 08 10/31/16	7,100 000	724,791 43	710,000 00
BRIDGEWATER PRIVATE INVESTORS OFFSHORE, LTD CLASS A 02-16 N/O Client HFBRDA-SB-8	109 60 10/31/16	5,200 000	569,905 96	520,000 00
COATUE OFFSHORE FUND, LTD. CLASS B SUB CLASS D-1 TRANCHE 6R - NEW ISSUES INELIGIBLE 02-16 N/O Client HFCTUB-ZU-2	101 01 10/31/16	11,250.000	1,136,332 68	1,125,000 00

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
COATUE OFFSHORE FUND, LTD. CLASS B SUB CLASS D-1 TRANCHE 6R - NEW ISSUES INELIGIBLE 10-16 N/O Client HFCTUC-JK-0	102 48 10/31/16	5,000 000	512,415 21	500,000 00
EQUINOX CAMPBELL STRATEGY-I 29446A-81-9 EBSI X	9 71	88,083 768	855,293 39	1,060,000 00
ETON PARK OVERSEAS FUND, LTD. CLASS E - NEW ISSUES INELIGIBLE 06-15 N/O Client HFEPMA-EJ-7	868 87 10/31/16	710 000	616,900 19	710,000 00
ETON PARK OVERSEAS FUND, LTD. CLASS E - NEW ISSUES INELIGIBLE 02-16 N/O Client HFEPMA-HS-4	923 17 10/31/16	175 000	161,555 41	175,000 00
GRUSS GLOBAL INVESTORS (ENHANCED), LTD CLASS A - NEW ISSUES INELIGIBLE 04-16 N/O Client HFGRUA-HB-6	1,008 79 10/31/16	900 000	907,911 90	900,000 00
GRUSS GLOBAL INVESTORS (ENHANCED), LTD CLASS A - NEW ISSUES INELIGIBLE 07-16 N/O Client HFGRUA-JG-3	989 16 10/31/16	400 000	395,665 60	400,000 00
JANA OFFSHORE PARTNERS, LTD. - 5% HOLDBACK N/O Client 584558-91-0	1 00 10/31/16	37,662 290	37,662 29	37,662 29

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
THIRD POINT OFFSHORE FUND, LTD. CLASS F - NEW ISSUES INELIGIBLE 03-16 N/O Client HFTPPB-CC-3	109 65 10/31/16	13,000 000	1,425,501 73	1,300,000 00
TPG-AXON PARTNERS (OFFSHORE), LTD. CLASS K - NEW ISSUES INELIGIBLE 06-15 N/O Client HFTPGA-SE-4	623 58 10/31/16	710 000	442,738 50	710,000 00
TRIAN PARTNERS, LTD. - 5% HOLDBACK N/O Client 810456-91-3	1 00 10/31/16	34,646 730	34,646 73	34,646 73
VARDE CREDIT PARTNERS (OFFSHORE), LTD CLASS J - NEW ISSUES INELIGIBLE 07-15 N/O Client HFVARA-AS-0	1,081.00 10/31/16	1,420 000	1,535,017 83	1,420,000 00
VARDE CREDIT PARTNERS (OFFSHORE), LTD CLASS J - NEW ISSUES INELIGIBLE 07-16 N/O Client HFVARA-DL-2	1,060 11 10/31/16	250 000	265,028 50	250,000 00
WINTON FUTURES FUND LTD. CLASS B - NEW ISSUES INELIGIBLE 06-15 N/O Client HFWNTA-WN-6	96 10 10/31/16	7,100 000	682,297 58	710,000 00

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
WINTON FUTURES FUND LTD. CLASS B - NEW ISSUES INELIGIBLE 07-15 N/O Client HFWNTA-WZ-9	98 60 10/31/16	3,550 000	350,029 31	355,000 00
WINTON FUTURES FUND LTD. CLASS B - NEW ISSUES INELIGIBLE 02-16 N/O Client HFWNTB-AE-8	94 69 10/31/16	1,750 000	165,705 85	175,000 00
Total Hedge Funds			**$15,227,769.27**	**$15,457,309 02**

	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est Annual Inc / Accrued Div	Yield
Real Estate & Infrastructure						
PRUDENTIAL GLOBAL REAL EST-Z 744336-50-4 PURZ X	37,515 80	930,000 00		856,485 67	18,345 22	2 14%
TORTOISE MLP & PIPELINE-INST 56166Y-40-4 TORI X	155,844 27	1,760,000 00		2,144,417 09	90,857 20	4 24%
Total Real Estate & Infrastructure		**$2,690,000.00**	**$0.00**	**$3,000,902.76**	**$109,202.42**	

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value" Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement For additional information, please contact your J P Morgan representative

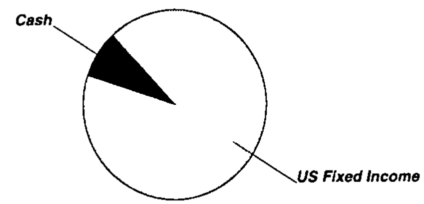
For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market" Most of these underlying funds also present their audited financial statements on a US GAAP basis (i e , "marked-to-market") However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund)

	Price	Quantity	Estimated Value	Cost	Est Annual Income / Accrued Income
Hard Assets					
SPDR GOLD SHARES 78463V-10-7 GLD	111 75	13,906 000	1,553,995 50	1,715,862 65	

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	2,713,420 86	1,776,101 24	(937,319 62)	2%
US Fixed Income	17,043,259 15	17,049,427 07	6,167 92	22%
Total Value	**$19,756,680.01**	**$18,825,528.31**	**($931,151.70)**	**24%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 24 %

Market Value/Cost	Current Period Value
Market Value	18,825,528 31
Tax Cost	18,953,429 98
Unrealized Gain/Loss	(127,901.67)
Estimated Annual Income	639,410 79
Accrued Interest	727 02
Yield	3 39%

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
0-6 months[1]	18,825,528 31	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
Cash	1,776,101 24	9%
Mutual Funds	17,049,427 07	91%
Total Value	**$18,825,528.31**	**100%**

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	1,776,101 24	1,776,101 24	1,776,101.24		5,328.30 727 02	0 30% [1]
US Fixed Income							
BLACKROCK HIGH YIELD PT-BLAC 091929-68-7	7 57	279,661 45	2,117,037 21	2,220,000 00	(102,962 79)	118,856 11	5.61%
DOUBLELINE TOTL RET BND-I 258620-10-3	10 65	336,626 10	3,585,067 91	3,684,360 62	(99,292 71)	134,313 81	3 75%
PIMCO FD PAC INV MGMT SERIES HIGH YIELD FD - INSTL 693390-84-1	8 70	496,629 17	4,320,673 79	4,155,000 00	165,673 79	237,885 37	5.51%
VANGUARD TOTAL BOND MARKET INDEX FUND-ADM 921937-60-3	10 65	410,753 11	4,374,520 66	4,415,000 00	(40,479 34)	109,671 08	2 51%
ISHARES BARCLAYS TIPS BOND FUND 464287-17-6	113 46	23,375 00	2,652,127 50	2,702,968.12	(50,840 62)	33,356 12	1 26%
Total US Fixed Income			**$17,049,427.07**	**$17,177,328.74**	**($127,901.67)**	**$634,082.49**	**3.72%**

Portfolio Activity Summary

Transactions	PRINCIPAL Current Period Value	PRINCIPAL Year-To-Date Value*	INCOME Current Period Value	INCOME Year-To-Date Value*
Beginning Cash Balance	**5,416,388.98**	--	**121,801.11**	--
INFLOWS				
Income			91,227 69	1,572,884 19
Contributions	230,445 79	12,437,181 48	302 41	18,336 13
Total Inflows	**$230,445.79**	**$12,437,181.48**	**$91,530.10**	**$1,591,220.32**
OUTFLOWS **				
Withdrawals	(372,111 09)	(9,852,767 69)		(1,634,432 04)
Fees & Commissions		(232,958 68)		
Tax Payments		(233,573 00)		(31,000.00)
Payments and Transfers		(25 00)		
Total Outflows	**($372,111.09)**	**($10,319,324.37)**	**$0.00**	**($1,665,432.04)**
TRADE ACTIVITY				
Settled Sales/Maturities/Redemptions	414,345 68	35,320,010 33		
Settled Securities Purchased	(3,912,968 12)	(35,855,516 92)		
Total Trade Activity	**($3,498,622.44)**	**($535,506.59)**	**$0.00**	**$0.00**
Ending Cash Balance	**$1,776,101.24**	--	**$213,331.21**	--

Securities Transferred In/Out	Current Period Value	Year-To-Date Value*
Securities Transferred In		11,803,994 78

Portfolio Activity Summary

* Year to date information is calculated on a fiscal year basis, beginning Dec 1, 2015
** *Your account's standing instructions use a HIGH COST method for relieving assets from your position*

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
11/1	Interest Income	DEPOSIT SWEEP INTEREST FOR 10/01/16 - 10/31/16 @ 30% RATE ON AVG COLLECTED BALANCE OF $1,660,883 22 AS OF 11/01/16				422 20
11/1	Free Delivery High Cost	TPG-AXON PARTNERS (OFFSHORE), LTD CLASS K - NEW ISSUES INELIGIBLE 06-15 09 30 2016 FULL REDEMPTION - 2ND PAYMENT JPMORGAN CHASE BANK TRADE DATE 11/01/16 (ID HFTPGA-SE-4)	(355 000) 355,000 00			
11/1	*Div Domest*	BLACKROCK HIGH YIELD PT-BLAC 10/31/16 INCOME DIVIDEND @ 0 038 PER SHARE AS OF 10/31/16 (ID 091929-68-7)	279,661 454	0 038		10,693 62
11/1	Div Domest	VANGUARD TOTAL BOND MARKET INDEX FUND-ADM 11/01/16 INCOME DIVIDEND @ 0 022 PER SHARE (ID 921937-60-3)	383,430 710	0.022		8,264 35
11/2	Free Delivery High Cost	MKP CREDIT OFFSHORE, LTD CLASS B - NEW ISSUES INELIGIBLE 06-15 09.30 2016 FULL REDEMPTION JPMORGAN CHASE BANK TRADE DATE 11/02/16 (ID HFMKPA-DJ-7)	(71 000) 71,000 00			
11/2	Div Domest	DOUBLELINE TOTL RET BND-I 10/31/16 INCOME DIVIDEND @ 0 032 PER SHARE AS OF 10/31/16 (ID· 258620-10-3)	336,626 095	0.032		10,859 14

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
11/2	Div Domest	PIMCO FD PAC INV MGMT SERIES HIGH YIELD FD - INSTL 10/31/16 INCOME DIVIDEND @ 0 038 PER SHARE AS OF 10/31/16 (ID 693390-84-1)	496,629 171	0 038		18,717 87
11/15	Misc Disbursement	PAID STEPHEN D DNISTRIAN REP REIMBURSEMENT FOR EXPENSES INCURRED ON BEHALF OF BURKE FOUNDATION TREASURERS CHECK NO 3837904			(111 09)	
11/18	Misc Receipt	CHECK NO 403498039413 DATED 11/8/16 FROM US TREASURY REP 11/2014 F990 PF REFUND			230,445 79	
11/18	Misc Receipt	CHECK NO 403498039413 DATED 11/8/16 FROM US TREASURY REP INTEREST PORTION OF 11/2014 F990PF REFUND				302 41
11/28	Grant Paid	TRANSFER FROM P28280009 TO NAT'L PHILANTHROPIC TR Q63423000 REF JAME E & DIANE W BURKE DAF ID #70544 REP CONTRIBUTION TO DONOR ADVISED FUND			(372,000 00)	
11/29	Subscription	TO REVERSE ENTRY OF 09/30/2016 ARISTEIA INTERNATIONAL LIMITED CLASS PENDING NAV H SHARES - NEW ISSUES INELIGIBLE - OCTOBER 2016 HEDGE FUND SUBSCRIPTION JPMORGAN CHASE BANK TRADE DATE 09/30/16 AS OF 09/30/16 (ID 987RSA-92-3)	(1,500,000 000) 1,500,000 00			
11/29	Subscription	ARISTEIA INTERNATIONAL LIMITED CLASS H SHARES - NEW ISSUES INELIGIBLE 10-16 OCTOBER 2016 HEDGE FUND SUBSCRIPTION JPMORGAN CHASE BANK TRADE DATE 09/30/16 AS OF 09/30/16 (ID 4U5207-91-5)	1,481 860 1,500,000 00			
11/30	Div Domest	OAKMARK INTERNATIONAL-I 11/29/16 INCOME DIVIDEND @ 0.336 PER SHARE AS OF 11/29/16 (ID 413838-20-2)	125,655 502	0 336		42,270 51
Total Inflows & Outflows					**($141,665.30)**	**$91,530.10**

TRADE ACTIVITY

Note * Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
11/1 11/1	Redemption	TPG-AXON PARTNERS (OFFSHORE), LTD CLASS K - NEW ISSUES INELIGIBLE 06-15 09 30 2016 FULL REDEMPTION - 1ST PAYMENT (ID HFTPGA-SE-4)			234,221 73		
11/2 11/2	Redemption	MKP CREDIT OFFSHORE, LTD CLASS B - NEW ISSUES INELIGIBLE 06-15 09 30 2016 FULL REDEMPTION - REMAINING PROCEEDS BASED ON FINAL 09 30 2016 NAV (ID HFMKPA-DJ-7)			74,457 02		
11/23 11/23	LT Capital Gain Distribution	PARNASSUS CORE EQUITY FD-INS 11/22/16 LONG TERM CAPITAL GAINS @ 1 091 PER SHARE AS OF 11/22/16 (ID 701769-40-8)	96,817 781	1 091	105,666 93		
Total Settled Sales/Maturities/Redemptions					**$414,345.68**	**$0.00**	**$0.00**

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
10/28 11/2	Purchase	ISHARES BARCLAYS TIPS BOND FUND @ 115 61 2,702,383 75 BROKERAGE 584 37 KNIGHT EQUITY MARKETS L P (ID 464287-17-6)	23,375 000	115 635	(2,702,968 12) *
11/4 11/7	Purchase	VANGUARD TOTAL BOND MARKET INDEX FUND-ADM (ID 921937-60-3)	27,322 404	10 98	(300,000 00)
11/23 11/25	Purchase	TORTOISE MLP & PIPELINE-INST (ID 56166Y-40-4)	66,181 818	13 75	(910,000 00)
Total Settled Securities Purchased					**($3,912,968.12)**

J.P. Morgan

JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

AGT-JAMES E & DIANE W BURKE FDN ACCT M59684007
For the Period 11/1/16 to 11/30/16

Asset Account

J.P. Morgan Team		
Matthew Vamvakis	Banker	212/464-0020
Brian Flood	Banker	212/464-2167
Michael Garvey	Investment Specialist	212/464-0230
Darren OHagan	Client Service Team	866/225-2185
James Conlan	Client Service Team	
Umer Awan	Client Service Team	
Online access	**www.jpmorganonline.com**	

Table of Contents Page

Please see disclosures located at the end of this statement package for important information relating to each J P Morgan account(s)

Account Summary

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Cash & Fixed Income	0 42	0 42	0 00		100%
Market Value	**$0.42**	**$0.42**	**$0.00**	**$0.00**	**100%**

Portfolio Activity	Current Period Value	Year-to-Date Value
Beginning Market Value	**0.42**	**24,460,008.14**
Withdrawals & Fees		(12,657,722 35)
Securities Transferred In		445,116 53
Securities Transferred Out		(11,803,994 78)
Net Contributions/Withdrawals	**$0.00**	**($24,016,600.60)**
Income & Distributions		18,034 14
Change In Investment Value		(461,441 26)
Ending Market Value	**$0.42**	**$0.42**

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions		14,228 50
Interest Income		3,805 64
Taxable Income		**$18,034.14**

	Current Period Value	Year-to-Date Value
ST Realized Gain/Loss		(3,374 40)
LT Realized Gain/Loss		(897,064.34)
Realized Gain/Loss		**($900,438.74)**

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR INCOME	1 00	0 42	0 42	0 42			0 30% [1]

Portfolio Activity Summary - U S DOLLAR

	PRINCIPAL		INCOME	
Transactions	Current Period Value	Year-To-Date Value*	Current Period Value	Year-To-Date Value*
Beginning Cash Balance	0.00	--	0.42	--
INFLOWS				
Income				18,034 14
Foreign Exchange - Inflows		495,378 42		
Total Inflows	$0.00	$495,378.42	$0.00	$18,034.14
OUTFLOWS **				
Withdrawals		(12,639,688 63)		(18,033 72)
Total Outflows	$0.00	($12,639,688.63)	$0.00	($18,033.72)
TRADE ACTIVITY				
Settled Sales/Maturities/Redemptions		5,044,310 21		
Total Trade Activity	$0.00	$5,044,310.21	$0.00	$0.00
Ending Cash Balance	$0.00	--	$0.42	--

Securities Transferred In/Out	Current Period Value	Year-To-Date Value*
Securities Transferred Out		(11,803,994 78)

Portfolio Activity Summary - U S DOLLAR

Cost Adjustments	Current Period Value	Year-To-Date Value*
Cost Adjustments		13,859,579 73
Total Cost Adjustments	**$0.00**	**$13,859,579.73**

* Year to date information is calculated on a calendar year basis
** Your account's standing instructions use a HIGH COST method for relieving assets from your position

Portfolio Activity Detail - U S DOLLAR

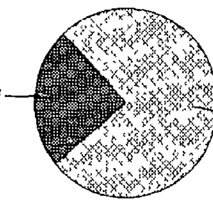
No Activity This Period

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	5,602,114 42	5,767,430 77	165,316 35	121,498 05	76%
Cash & Fixed Income	1,883,082 75	1,884,360 09	1,277 34	24,382 72	24%
Market Value	**$7,485,197.17**	**$7,651,790.86**	**$166,593.69**	**$145,880.77**	**100%**

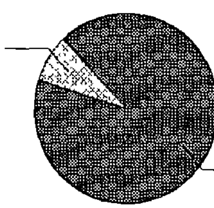
Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	11,556 32	13,536 25	1,979 93
Accruals	162 35	159 30	(3 05)
Market Value	**$11,718.67**	**$13,695.55**	**$1,976.88**

Manager Allocation *



* **Third-Party Managed & Other** includes mutual funds, exchange traded funds, hedge funds, and separately managed accounts managed by parties other than J P Morgan, separately managed accounts managed by J P Morgan where a party other than J P Morgan is the appointed investment advisor, structured products and exchange traded notes issued by parties other than J P Morgan, investment conduits investing in non-J P Morgan managed hedge funds, where J P. Morgan is solely administrator to the conduit, and other investments not managed or issued by J P Morgan **J.P. Morgan Cash & Liquidity Funds** includes cash, J P Morgan deposit sweeps and J P Morgan money market mutual funds See important information about investment principles and conflicts in the disclosures section

Account Summary CONTINUED

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**7,485,197.17**	**5,334,529.23**	**11,556.32**	**23,404.44**
Additions		1,884,765 35		
Withdrawals & Fees		(7,942 43)		(134,765 35)
Net Additions/Withdrawals	**$0.00**	**$1,876,822.92**	**$0.00**	**($134,765.35)**
Income			1,979 93	124,897 16
Change In Investment Value	166,593 69	440,438 71		
Ending Market Value	**$7,651,790.86**	**$7,651,790.86**	**$13,536.25**	**$13,536.25**
Accruals	--	--	159 30	159 30
Market Value with Accruals	--	--	**$13,695.55**	**$13,695.55**

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	1,817 58	124,099 34
Interest Income	162 35	797 82
Taxable Income	**$1,979.93**	**$124,897.16**

	Current Period Value	Year-to-Date Value
ST Realized Gain/Loss		(42,645 91)
Realized Gain/Loss		**($42,645.91)**

	To-Date Value
Unrealized Gain/Loss	**$331,102.68**

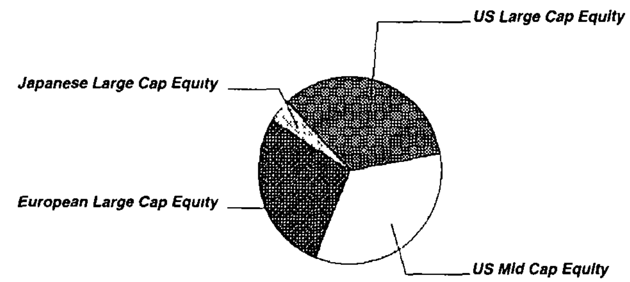
Account Summary CONTINUED

Cost Summary	Cost
Equity	5,431,845 00
Cash & Fixed Income	1,888,843 18
Total	**$7,320,688.18**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	1,887,231 45	1,956,754 02	69,522 57	26%
US Mid Cap Equity	1,940,017 14	2,031,088 32	91,071 18	26%
European Large Cap Equity	1,581,068 98	1,571,880 28	(9,188 70)	21%
Japanese Large Cap Equity	193,796 85	207,708 15	13,911 30	3%
Total Value	**$5,602,114.42**	**$5,767,430.77**	**$165,316.35**	**76%**

Asset Categories



Equity as a percentage of your portfolio - 76 %

Market Value/Cost	Current Period Value
Market Value	5,767,430 77
Tax Cost	5,431,845 00
Unrealized Gain/Loss	335,585 77
Estimated Annual Income	121,498 05
Yield	2 10%

Equity Detail

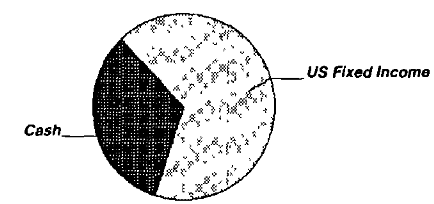
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	220 38	8,879 000	1,956,754 02	1,820,208 22	136,545 80	39,262 93	2 01%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Mid Cap Equity							
VANGUARD MID-CAP ETF 922908-62-9 VO	131 36	15,462 000	2,031,088 32	1,907,710 51	123,377 81	28,883 01	1 42%
European Large Cap Equity							
DEUTSCHE X-TRACKERS MSCI EUR 233051-85-3 DBEU	25 66	61,258 000	1,571,880 28	1,522,613 03	49,267 25	51,027 91	3 25%
Japanese Large Cap Equity							
DEUTSCHE X-TRACKERS MSCI JAP 233051-50-7 DBJP	36 73	5,655 000	207,708 15	181,313 24	26,394 91	2,324 20	1 12%

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	633,843 18	633,843 18	0 00	8%
US Fixed Income	1,249,239 57	1,250,516 91	1,277 34	16%
Total Value	**$1,883,082.75**	**$1,884,360.09**	**$1,277.34**	**24%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 24 %

Market Value/Cost	Current Period Value
Market Value	1,884,360 09
Tax Cost	1,888,843 18
Unrealized Gain/Loss	(4,483 09)
Estimated Annual Income	24,382 72
Accrued Interest	159 30
Yield	1 29%

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
0-6 months[1]	1,884,360 09	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
Cash	633,843 18	33%
Mutual Funds	1,250,516 91	67%
Total Value	**$1,884,360.09**	**100%**

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	633,843 18	633,843 18	633,843 18		1,901 52 159 30	0 30% [1]
US Fixed Income							
PIMCO SHORT-TERM FD-INSTL 693390-60-1	9 79	127,734 11	1,250,516 91	1,255,000 00	(4,483 09)	22,481 20	1.80%

Portfolio Activity Summary

Transactions	PRINCIPAL Current Period Value	PRINCIPAL Year-To-Date Value*	INCOME Current Period Value	INCOME Year-To-Date Value*
Beginning Cash Balance	633,843.18	--	11,556.32	--
INFLOWS				
Income			1,979 93	124,897 16
Contributions		1,884,765 35		
Total Inflows	$0.00	$1,884,765.35	$1,979.93	$124,897.16
OUTFLOWS **				
Withdrawals				(134,765 35)
Fees & Commissions		(7,942 43)		
Total Outflows	$0.00	($7,942.43)	$0.00	($134,765.35)
TRADE ACTIVITY				
Settled Sales/Maturities/Redemptions		182,354 09		
Settled Securities Purchased		(1,591,635 26)		
Total Trade Activity	$0.00	($1,409,281.17)	$0.00	$0.00
Ending Cash Balance	$633,843.18	--	$13,536.25	--

* Year to date information is calculated on a calendar year basis
** Your account's standing instructions use a HIGH COST method for relieving assets from your position

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
11/1	Interest Income	DEPOSIT SWEEP INTEREST FOR 10/01/16 - 10/31/16 @ 30% RATE ON AVG COLLECTED BALANCE OF $639,197 31 AS OF 11/01/16				162 35
11/2	Div Domest	PIMCO SHORT-TERM FD-INSTL 10/31/16 INCOME DIVIDEND @ 0 014 PER SHARE AS OF 10/31/16 (ID 693390-60-1)	127,734 107	0 014		1,817 58
Total Inflows & Outflows						**$1,979.93**

JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

AG-JAMES & DIANE BURKE FDN-RE PROXY ACCT P28280405
For the Period 11/1/16 to 11/30/16

Fiduciary Account

J.P. Morgan Team		
Matthew Vamvakis	Banker	212/464-0020
Brian Flood	Banker	212/464-2167
James Largey	T & E Officer	212/464-1937
Wayne Ling	T & E Administrator	212/464-2592
Michael Garvey	Portfolio Manager	212/464-0230
Darren OHagan	Client Service Team	866/225-2185
James Conlan	Client Service Team	
Umer Awan	Client Service Team	
Online access	**www.jpmorganonline.com**	

Table of Contents

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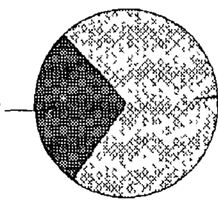
Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Alternative Assets	3,276,197 66	3,197,316 98	(78,880 68)	108,211 32	72%
Cash & Fixed Income	1,212,878 20	1,213,672 04	793 84	15,281 02	28%
Market Value	**$4,489,075.86**	**$4,410,989.02**	**($78,086.84)**	**$123,492.34**	**100%**

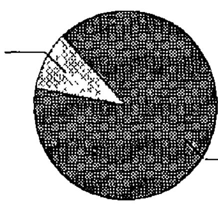
Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	24,058 84	25,305 37	1,246 53
Accruals	116 95	113 70	(3 25)
Market Value	**$24,175.79**	**$25,419.07**	**$1,243.28**

Manager Allocation *



* **Third-Party Managed & Other** includes mutual funds, exchange traded funds, hedge funds, and separately managed accounts managed by parties other than J P Morgan, separately managed accounts managed by J P. Morgan where a party other than J P Morgan is the appointed investment advisor, structured products and exchange traded notes issued by parties other than J P. Morgan, investment conduits investing in non-J P Morgan managed hedge funds, where J P. Morgan is solely administrator to the conduit, and other investments not managed or issued by J P Morgan **J.P. Morgan Cash & Liquidity Funds** includes cash, J P Morgan deposit sweeps and J P. Morgan money market mutual funds See important information about investment principles and conflicts in the disclosures section

Account Summary CONTINUED

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**4,489,075.86**	**3,671,594.14**	**24,058.84**	**24,732.59**
Additions		841,659 35		
Withdrawals & Fees		(4,573 72)		(91,659 35)
Net Additions/Withdrawals	**$0.00**	**$837,085.63**	**$0.00**	**($91,659.35)**
Income			1,246 53	92,232 13
Change In Investment Value	(78,086 84)	(97,690 75)		
Ending Market Value	**$4,410,989.02**	**$4,410,989.02**	**$25,305.37**	**$25,305.37**
Accruals	--	--	113 70	113 70
Market Value with Accruals	--	--	**$25,419.07**	**$25,419.07**

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	1,129 58	91,681 67
Interest Income	116 95	550 46
Taxable Income	**$1,246.53**	**$92,232.13**

	To-Date Value
Unrealized Gain/Loss	**($51,840.42)**

Cost Summary	Cost
Cash & Fixed Income	1,216,506 24
Total	**$1,216,506.24**

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Real Estate & Infrastructure	3,276,197 66	3,197,316 98	(78,880 68)	72%

Alternative Assets Detail

	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est Annual Inc Accrued Div	Yield
Real Estate & Infrastructure						
ISHARES COHEN & STEERS REALTY MAJORS INDEX FUND 464287-56-4 ICF	24,291 00	2,321,323 20		2,346,996 42	89,998 15	3 83%
PRUDENTIAL GLOBAL REAL EST-Z 744336-50-4 PURZ X	37,245 75	925,000 00		850,320 56	18,213 17	2 14%
Total Real Estate & Infrastructure		**$3,246,323.20**	**$0.00**	**$3,197,316.98**	**$108,211.32**	

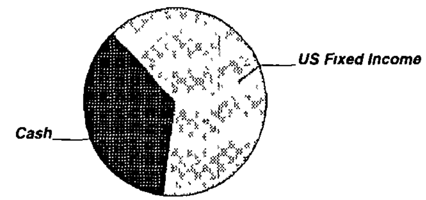
Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value" Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement For additional information, please contact your J P. Morgan representative.

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market". Most of these underlying funds also present their audited financial statements on a US GAAP basis (i e , "marked-to-market") However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund)

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	436,506 24	436,506 24	0 00	10%
US Fixed Income	776,371 96	777,165 80	793 84	18%
Total Value	**$1,212,878.20**	**$1,213,672.04**	**$793.84**	**28%**

Asset Categories



Market Value/Cost	Current Period Value
Market Value	1,213,672 04
Tax Cost	1,216,506 24
Unrealized Gain/Loss	(2,834 20)
Estimated Annual Income	15,281 02
Accrued Interest	113.70
Yield	1 25%

Cash & Fixed Income as a percentage of your portfolio - 28 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
0-6 months[1]	1,213,672 04	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
Cash	436,506 24	35%
Mutual Funds	777,165 80	65%
Total Value	**$1,213,672.04**	**100%**

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	436,506 24	436,506 24	436,506 24		1,309 51 113 70	0 30% [1]
US Fixed Income							
PIMCO SHORT-TERM FD-INSTL 693390-60-1	9 79	79,383 64	777,165 80	780,000 00	(2,834 20)	13,971 51	1 80%

Portfolio Activity Summary

Transactions	PRINCIPAL Current Period Value	PRINCIPAL Year-To-Date Value*	INCOME Current Period Value	INCOME Year-To-Date Value*
Beginning Cash Balance	**436,506.24**	--	**24,058.84**	--
INFLOWS				
Income			1,246 53	92,232 13
Contributions		841,659 35		
Total Inflows	**$0.00**	**$841,659.35**	**$1,246.53**	**$92,232.13**
OUTFLOWS **				
Withdrawals				(91,659 35)
Fees & Commissions		(4,573 72)		
Total Outflows	**$0.00**	**($4,573.72)**	**$0.00**	**($91,659.35)**
TRADE ACTIVITY				
Settled Securities Purchased		(575,287 23)		
Total Trade Activity	**$0.00**	**($575,287.23)**	**$0.00**	**$0.00**
Ending Cash Balance	**$436,506.24**	--	**$25,305.37**	--

* Year to date information is calculated on a calendar year basis
** Your account's standing instructions use a HIGH COST method for relieving assets from your position

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
11/1	Interest Income	DEPOSIT SWEEP INTEREST FOR 10/01/16 - 10/31/16 @ 30% RATE ON AVG COLLECTED BALANCE OF $459,807 97 AS OF 11/01/16				116 95
11/2	Div Domest	PIMCO SHORT-TERM FD-INSTL 10/31/16 INCOME DIVIDEND @ 0 014 PER SHARE AS OF 10/31/16 (ID 693390-60-1)	79,383 636	0 014		1,129 58
Total Inflows & Outflows						**$1,246.53**