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Form

990-PF

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2015Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2015 or tax year beginning **DECEMBER 1**, 2015, and ending **NOVEMBER 30**, 20 **16**

Name of foundation THEODORE F & CLAIRE M HUBBARD FAMILY FOUNDATION		A Employer identification number 47-6205113
Number and street (or P O box number if mail is not delivered to street address) 32015 E LAKE PARK DRIVE	Room/suite	B Telephone number (see instructions) 402-930-1040
City or town, state or province, country, and ZIP or foreign postal code SOUTH BEND, NE 68058		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 21,276,386	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1149344			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	296	24482		
	4 Dividends and interest from securities	598210	864478		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	50718			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		50718		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1798568	939678			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	60000	60000		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	28318	28318		
	16a Legal fees (attach schedule)	14841	14841		
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	151149	151149		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	41941	6019		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	296249	260327		
	25 Contributions, gifts, grants paid	5319116			5319116
26 Total expenses and disbursements. Add lines 24 and 25	5615365	260327		5319116	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-3816797				
b Net investment income (if negative, enter -0-)		679351			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2015)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2153596	1497675	1497675
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges		21207	21207
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	18086432	16444400	19757504
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
Liabilities		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	20240028	17963282	21276386
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	20240028	17963282	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	20240028	17963282	
	31	Total liabilities and net assets/fund balances (see instructions)	20240028	17963282	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20240028
2	Enter amount from Part I, line 27a	2	-3816797
3	Other increases not included in line 2 (itemize) ▶ <u>UNRECOGNIZED GAIN ON CONTRIBUTIONS</u>	3	1540051
4	Add lines 1, 2, and 3	4	17963282
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	17963282

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	50718
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	474225	23984592	0197
2013	1655425	23429684	0706
2012	3334493	25750078	1295
2011	851771	19713591	.0432
2010	308639	1958609	0157
2	Total of line 1, column (d)		2 .2787
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 .0557
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5		4 21840879
5	Multiply line 4 by line 3		5 1217410
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 6793
7	Add lines 5 and 6		7 1224203
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8 5319116

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	6793	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	6793	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6793	
6	Credits/Payments:			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	28000	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	28000	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	21207	
11	Enter the amount of line 10 to be: Credited to 2016 estimated tax 21207 Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		☒
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		☒
c Did the foundation file Form 1120-POL for this year?		☒
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		☒
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		☒
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		☒
b If "Yes," has it filed a tax return on Form 990-T for this year?		☒
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		☒
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	☒	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	☒	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NEBRASKA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	☒	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		☒
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		☒

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		☒
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		☒
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	☒	
14 The books are in care of ▶ THEODORE F HUBBARD JR Telephone no. ▶ 402-944-4214 Located at ▶ 32018 E LAKE PARK DRIVE SOUTH BEND NE ZIP+4 ▶ 68058		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		☐
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	☐	☒

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☐ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	☒
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	☒
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☐ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	☒
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	☒

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THEODORE F HUBBARD JR 32018 E LAKE PARK DR SOUTH BEND NE 68058	PRES/DIR 20 HRS	60000	28318	0
COLLEEN HUBBARD 32018 E LAKE PARK DR SOUTH BEND NE 68058	VP/DIR	0	0	0
T GEOFFREY LIEBEN 2027 DODGE ST OMAHA NE 68102	SEC'Y/DIR	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MERRILL LYNCH 13321 CALIFORNIA ST OMAHA NE 68154	FINANCIAL ADMIN AND ADVICE	151149
Total number of others receiving over \$50,000 for professional services		151149

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	21831787
b	Average of monthly cash balances	1b	341694
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	22173481
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	22173481
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	332602
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	218040879
6	Minimum investment return. Enter 5% of line 5	6	1092044

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1092044
2a	Tax on investment income for 2015 from Part VI, line 5	2a	6793
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6793
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1085251
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1085251
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1085251

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	5319116
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5319116
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	6793
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5312323

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1085251
2 Undistributed income, if any, as of the end of 2015:				
a Enter amount for 2014 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011	605381			
c From 2012	3664014			
d From 2013	2986736474225			
e From 2014				
f Total of lines 3a through e	7730356			
4 Qualifying distributions for 2015 from Part XII, line 4. ► \$ 5319116				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2015 distributable amount				
e Remaining amount distributed out of corpus	5319116			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	1085251			1085251
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	11964221			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	11964221			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012	3184144			
c Excess from 2013	2986736			
d Excess from 2014	474225			
e Excess from 2015	5319116			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
- b** The form in which applications should be submitted and information and materials they should include:
- c** Any submission deadlines:
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
UNIVERSITY OF NEBRASKA FOUNDATION OMAHA NE		P	EDUCATION	559296
OMAHA ZOO FOUNDATION OMAHA, NE		P	EDUCATION	1096963
OMAHA CHILDREN'S HOSPITAL & MED CNTR FDN OMAHA NE		P	HEALTH	3468357
PATRIOTIC PRODUCTIONS OMAHA NE		P	SUPPORT OF VETERANS	150000
NWRI OMAHA NE		P	ENVIRONMENT	20000
ST AUGUSTINE INDIAN MISSION WINNEBAGO, NE		P	EDUCATION	7500
MAKE A WISH FOUNDATION		P	SUPPORT OF CHILDREN	17000
Total			3a	5319116
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e)					

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|--|-------|----|
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | ✓ |
| | (2) Other assets | 1a(2) | ✓ |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | ✓ |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
CLAIRE M HUBBARD FOUNDATION	PRIVATE FOUNDATION	COMMON DIRECTOR

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

3-12-17
Date / /

PRESIDENT
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

T G LIEBEN

Preparer's signature

Date 2-9-17

Check ☐ if self-employed

PTIN

Firm's name ► SLOWIACZEK ALBERS & ASTLEY

Firm's EIN ▶	46-3900785
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Firm's address ► 2027 DODGE ST OMAHA NE 68102

Phone no.	402-930-1040
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Schedule of Contributors

OMB No. 1545-0047

2015

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

THEODORE F & CLAIRE M HUBBARD FAMILY FOUNDATION

Employer identification number

47-6205113

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

THEODORE F & CLAIRE M HUBBARD FAMILY FOUNDATION

Employer identification number

47-6205113

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CLAIRE M HUBBARD CHARITABLE LEAD TRUST #4 32016 EAST LAKE PARK DRIVE SOUTH BEND NE 68058	\$ 1149344	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

2015 990-PF

PART I

Line 1 CONTRIBUTION FROM CLAIRE M HUBBARD CHAR LEAD TR #5

LINE 16A
LEGAL FEES SLOWIACZEK ALBERS

LINE 16C
OTHER FEES MERRILL LYNCH

LINE 18(A)
TAXES FEDERAL EXCISE
FOREIGN TAXES

LINE 18(B) FOREIGN TAXES

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 11/30/16
Mutual Funds/Closed End Funds/UIT				
11,313	ISHARES MORNINGSTAR LARGE-CAP GROWTH ETF	11/04/10	842,184.92	1,361,067.03
1,916	VANGUARD FTSE EMERGING MARKETS ETF	07/01/16	68,056.32	69,397.52
15,996	SPDR INDEX SHS FDS	02/22/13	554,912.82	501,954.48
13,933	POWERSHARES FTSE RAFI EMRGIN	07/01/16	248,766.79	252,465.96
9,328	VANGUARD MEGA CAP ETF	07/30/14	634,397.07	701,092.48
20,452	VANGUARD MEGA CAP 300 GROWTH	07/30/09	1,017,889.21	1,766,439.24
18,844	VANGUARD MEGA CAP 300 VALUE	05/08/14	1,112,013.31	1,236,354.84
9,869	ISHARES S&P 500 GROWTH	06/15/12	766,438.62	1,189,707.95
2,422	ISHARES S&P MID-CAP 400 VALUE ETF	07/12/16	320,551.70	346,854.62
2,421	ISHARES S&P SMALLCAP 600 VALUE	07/11/16	291,078.77	329,667.57
10,838	SCHWAB EMERGING MARKETS EQUITY ETF	07/01/16	249,588.77	239,628.18
2,848	VANGUARD S AND P SMALL CAP 600 ETF	07/06/16	298,527.36	346,402.24
13,362	VANGUARD S&P 500 GROWTH ETF	08/03/11	1,016,729.52	1,445,768.40
28,881	VANGUARD S AND P 500 VALUE ETF	04/11/12	1,916,022.12	2,557,373.85
4,434	VANECK VECTORS CHINAAMC SME-CHINEXT ETF	12/14/15	157,411.55	141,976.88
1,613	ISHARES INC CORE MSCI EMERGING MKTS ETF	07/01/16	68,040.85	69,794.51
10,807	ISHARES EDGE MSCI USA VALUE FACTOR ETF	02/12/16	589,954.13	746,439.49
9,864	ISHARES CORE MSCI EUROPE	02/12/16	369,801.36	386,274.24
38,009	SPDR DOUBLELINE TR TACT	06/18/15	1,784,245.95	1,757,959.38
Total Mutual Funds/Closed End Funds/UIT			12,306,611.14	15,448,618.66

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 11/30/16
Mutual Funds/Closed End Funds/UIT				
4,972	ISHARES 1-3 YEAR CREDIT BOND ETF	09/10/13	521,925.24	521,861.12
235	ISHARES INTERMEDIATE CREDIT BOND ETF	12/04/14	25,752.83	25,434.05
8,709	ISHARES U.S. PREFERRED STOCK ETF	09/10/13	329,428.03	326,413.32
932	ISHARES 10+ YR CRD BOND BLACKROCK	07/20/15	53,282.44	53,953.48
3,685	ISHARES 0-5 YEAR HIGH CORPORATE BOND ETF	09/12/16	172,788.91	172,973.90
79,030	BLACKROCK FLOATING RATE INCOME PORTFOLIO CL INST	09/10/13	798,848.50	802,944.80
28,932	BLACKROCK STRATEGIC INCOME OPPRTNTS PTF INST	12/17/14	290,829.87	282,954.96
48,292	BLACKROCK DYNAMIC HIGH INCOME PORT FD INSTL CL	03/04/15	483,156.37	442,354.72
81,858	BLACKROCK HI YLD BD PORTFOLIO INST CL	09/10/13	665,636.38	618,846.48
Total Mutual Funds/Closed End Funds/UIT			3,341,648.57	3,247,736.83

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 11/30/16
Equities				
252	ALTRIA GROUP INC	09/10/13	8,771.24	16,110.36
128	ALTRIA GROUP INC	06/30/15	6,279.65	8,183.04
48	ALTRIA GROUP INC	08/08/16	3,187.74	3,068.64
10	ASTRAZENECA PLC SPND ADR	08/06/14	353.65	261.40
68	ASTRAZENECA PLC SPND ADR	08/21/14	2,487.70	1,777.52
146	ASTRAZENECA PLC SPND ADR	04/01/15	5,023.14	3,816.44
120	ASTRAZENECA PLC SPND ADR	05/14/15	4,191.48	3,136.80
152	ASTRAZENECA PLC SPND ADR	06/03/15	5,143.39	3,973.28
114	ASTRAZENECA PLC SPND ADR	10/19/15	3,677.40	2,979.96
37	ASTRAZENECA PLC SPND ADR	12/24/15	1,263.62	967.18
72	ASTRAZENECA PLC SPND ADR	12/28/15	2,451.75	1,882.08
104	ASTRAZENECA PLC SPND ADR	01/13/16	3,338.63	2,718.56
77	ASTRAZENECA PLC SPND ADR	02/10/16	2,259.86	2,012.78
133	ASTRAZENECA PLC SPND ADR	04/27/16	3,920.56	3,476.62
74	ANHEUSER-BUSCH INBEV ADR	09/10/13	7,110.47	7,641.98
113	ABBVIE INC SHS	10/24/14	6,772.63	6,870.40
71	ABBVIE INC SHS	04/01/15	4,044.34	4,316.80
4	ANSELL LTD-SPON ADR	11/30/15	251.91	268.36
4	ANSELL LTD-SPON ADR	12/01/15	256.30	268.36
3	ANSELL LTD-SPON ADR	12/02/15	191.87	201.27
3	ANSELL LTD-SPON ADR	12/03/15	190.31	201.27
6	ANSELL LTD-SPON ADR	12/04/15	374.33	402.54
16	ANSELL LTD-SPON ADR	12/07/15	1,018.48	1,073.44
19	ANSELL LTD-SPON ADR	12/08/15	1,182.30	1,274.71
7	ANSELL LTD-SPON ADR	12/29/15	433.51	469.63
22	ANSELL LTD-SPON ADR	12/30/15	1,385.49	1,475.98

13	ANSELL LTD-SPON	ADR	12/31/15	823.04	872.17
28	ANSELL LTD-SPON	ADR	01/04/16	1,740.35	1,878.52
21	ANSELL LTD-SPON	ADR	01/05/16	1,268.57	1,408.89
15	ANSELL LTD-SPON	ADR	01/22/16	818.40	1,006.35
11	ANSELL LTD-SPON	ADR	01/25/16	608.05	737.99
18	ANSELL LTD-SPON	ADR	01/27/16	1,001.10	1,207.62
20	ANSELL LTD-SPON	ADR	01/28/16	1,113.21	1,341.80
13	ANSELL LTD-SPON	ADR	01/29/16	735.39	872.17
132	BRITISH AMN TOBACO SPADR		09/10/13	13,842.74	14,393.28
35	BRITISH AMN TOBACO SPADR		10/21/14	3,908.58	3,816.40
29	BRITISH AMN TOBACO SPADR		10/19/15	3,422.66	3,162.16
17	BRITISH AMN TOBACO SPADR		04/19/16	2,092.01	1,853.68
29	BRITISH AMN TOBACO SPADR		04/20/16	3,553.28	3,162.16
24	BRITISH AMN TOBACO SPADR		08/11/16	3,092.42	2,616.96
31	BRITISH AMN TOBACO SPADR		11/10/16	3,284.38	3,380.24
24	BRITISH AMN TOBACO SPADR		11/11/16	2,596.49	2,616.96
47	BLOCK H&R INC		01/26/15	1,617.19	1,041.52
63	BLOCK H&R INC		01/27/15	2,176.47	1,396.08
44	BLOCK H&R INC		01/28/15	1,531.69	975.04
48	BLOCK H&R INC		02/07/15	1,737.37	1,063.68
17	BLOCK H&R INC		02/08/15	623.49	376.72
125	BLOCK H&R INC		03/25/15	4,059.81	2,770.00
130	BLOCK H&R INC		05/01/15	4,071.82	2,880.80
110	BLOCK H&R INC		09/04/15	3,884.46	2,437.60
191	BLOCK H&R INC		03/29/16	5,084.84	4,232.56
21	CITIZENS FINL GROUP INC SHS		06/03/15	579.76	703.71
116	CITIZENS FINL GROUP INC SHS		07/20/15	3,256.49	3,887.16
129	CITIZENS FINL GROUP INC SHS		08/06/15	3,432.63	4,322.79
139	CITIZENS FINL GROUP INC SHS		08/05/16	3,244.12	4,657.89
108	CISCO SYSTEMS INC	COM	12/28/15	2,935.90	3,220.56
384	CISCO SYSTEMS INC	COM	12/29/15	10,084.66	10,854.48
114	CISCO SYSTEMS INC	COM	12/30/15	3,156.79	3,399.48

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 11/30/16
Equities				
167	CISCO SYSTEMS INC COM	04/19/16	4,734.12	4,979.94
63	COCA COLA COM	09/10/13	2,426.60	2,542.05
182	COCA COLA COM	11/13/13	7,287.01	7,343.70
96	COCA COLA COM	06/06/14	3,934.10	3,873.60
95	COCA COLA COM	04/20/15	3,870.52	3,833.25
69	COCA COLA COM	08/05/16	3,015.33	2,784.15
128	DBS GROUP HLDGS SPN ADR	09/10/13	6,713.60	6,248.96
1	DBS GROUP HLDGS SPN ADR	07/02/14	54.14	48.82
94	DBS GROUP HLDGS SPN ADR	07/22/14	5,340.91	4,589.08
28	DIAGEO PLC SPSD ADR NEW	09/10/13	3,496.87	2,834.72
21	DIAGEO PLC SPSD ADR NEW	09/10/13	2,638.51	2,126.04
18	DIAGEO PLC SPSD ADR NEW	03/25/14	2,209.35	1,822.32
23	DIAGEO PLC SPSD ADR NEW	08/21/14	2,743.84	2,328.52
33	DIAGEO PLC SPSD ADR NEW	10/21/14	3,746.73	3,340.92
229	DEUTSCHE POST AG SHS SP ADR	09/10/13	7,055.72	7,149.38
139	DEUTSCHE POST AG SHS SP ADR	07/22/14	4,738.90	4,339.58
112	DEUTSCHE POST AG SHS SP ADR	08/22/14	3,641.23	3,496.64
123	DEUTSCHE POST AG SHS SP ADR	03/07/16	3,040.62	3,840.06
99	DEUTSCHE POST AG SHS SP ADR	04/28/16	2,934.64	3,090.78
61	DEUTSCHE POST AG SHS SP ADR	08/09/16	1,889.85	1,904.42
122	GLAXOSMITHKLINE PLC ADR	12/09/13	6,389.77	4,610.38
75	GLAXOSMITHKLINE PLC ADR	06/06/14	4,029.35	2,834.25
148	GENERAL ELECTRIC	04/09/15	3,745.86	4,552.48
152	GIVAUDAN SA UNSP ADR	09/10/13	4,275.76	5,404.36
163	GIVAUDAN SA UNSP ADR	08/22/14	5,442.42	5,795.47
24	GENUINE PARTS CO	09/10/13	1,940.24	2,309.52
29	GENUINE PARTS CO	08/21/14	2,542.25	2,790.67
39	GENUINE PARTS CO	08/22/14	3,421.02	3,752.97
49	GENUINE PARTS CO	05/01/15	4,477.51	4,715.27
41	GENUINE PARTS CO	01/20/16	3,241.62	3,945.43
117	INTL PAPER CO	05/14/15	6,278.40	5,700.24

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 11/30/16
Equities				
120	INTL PAPER CO	02/05/16	4,201.81	5,846.40
139	INTL PAPER CO	03/04/16	5,405.78	6,772.08
54	INTL PAPER CO	04/19/16	2,320.98	2,630.88
414	IMPERIAL BRANDS PLC	09/10/13	14,829.48	17,710.92
86	IMPERIAL BRANDS PLC	04/21/16	4,521.76	3,679.08
40	IMPERIAL BRANDS PLC	08/09/16	2,098.64	1,711.20
129	IMPERIAL BRANDS PLC	11/11/16	5,598.34	5,518.62
27	IMPERIAL BRANDS PLC	11/14/16	1,167.34	1,155.06
70	JOHNSON AND JOHNSON COM	09/10/13	6,169.46	7,791.00
86	JOHNSON AND JOHNSON COM	08/21/14	8,973.45	9,571.80
82	JOHNSON AND JOHNSON COM	04/09/15	8,274.56	9,126.60
308	LLOYDS BANKING GROUP PLC ADR	08/06/15	1,601.18	893.52
510	LLOYDS BANKING GROUP PLC ADR	08/07/15	2,642.87	1,489.20
702	LLOYDS BANKING GROUP PLC ADR	03/04/16	2,966.79	2,049.84
1,030	LLOYDS BANKING GROUP PLC ADR	08/05/16	2,958.78	3,007.60
28	M&T BANK CORPORATION	01/13/15	3,321.16	4,030.32
24	M&T BANK CORPORATION	02/13/15	2,973.22	3,454.56
20	M&T BANK CORPORATION	04/20/15	2,398.58	2,878.80
20	M&T BANK CORPORATION	08/09/16	2,360.32	2,878.80
54	MATTEL INC COM	07/21/14	1,830.03	1,704.78
150	MATTEL INC COM	12/11/14	4,480.47	4,735.50
155	MATTEL INC COM	05/08/15	4,035.27	4,893.35
66	MATTEL INC COM	07/06/15	1,651.68	2,083.62
107	MATTEL INC COM	07/07/15	2,677.85	3,377.99
48	MATTEL INC COM	07/08/15	1,214.85	1,515.36
107	MATTEL INC COM	10/15/15	2,351.49	3,377.99
25	MATTEL INC COM	11/22/16	786.00	789.25
74	MATTEL INC COM	11/23/16	2,340.30	2,336.18
41	MCDONALDS CORP COM	09/10/13	3,982.92	4,890.07
17	MCDONALDS CORP COM	11/15/13	1,645.08	2,027.59
29	MCDONALDS CORP COM	08/21/14	2,741.25	3,458.83
275	MICROSOFT CORP	09/10/13	8,876.04	16,571.50

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 11/30/16
Equities				
218	NOVARTIS ADR	09/10/13	16,605.72	14,989.68
36	NOVARTIS ADR	01/20/16	2,889.88	2,475.36
24	NOVARTIS ADR	02/10/16	1,762.51	1,650.24
37	NOVARTIS ADR	11/22/16	2,518.08	2,544.12
40	NESTLE S A REP RG SH ADR	09/10/13	2,637.60	2,692.00
19	NESTLE S A REP RG SH ADR	11/13/13	1,381.56	1,278.70
115	NESTLE S A REP RG SH ADR	11/14/13	8,423.00	7,739.50
55	NESTLE S A REP RG SH ADR	02/13/15	4,144.25	3,701.50
56	NESTLE S A REP RG SH ADR	01/13/16	4,044.32	3,768.80
55	NESTLE S A REP RG SH ADR	11/22/16	3,684.62	3,701.50
63	NOVO NORDISK A S ADR	06/06/14	2,741.78	2,116.80
65	NOVO NORDISK A S ADR	07/21/14	2,934.20	2,184.00
75	NOVO NORDISK A S ADR	07/11/16	4,162.18	2,520.00
66	PHILIP MORRIS INTL INC	09/10/13	5,569.07	5,826.48
30	PHILIP MORRIS INTL INC	10/09/13	2,613.18	2,648.40
78	PHILIP MORRIS INTL INC	12/09/13	6,722.96	6,885.84
21	PHILIP MORRIS INTL INC	05/02/14	1,789.29	1,853.88
36	PHILIP MORRIS INTL INC	08/05/16	3,568.35	3,178.08
126	PEPSICO INC	09/10/13	9,986.26	12,612.60
314	PFIZER INC	09/10/13	8,913.14	10,091.96
133	PFIZER INC	01/23/14	4,133.60	4,274.62
159	PFIZER INC	01/12/16	4,917.09	5,110.26
78	PFIZER INC	02/10/16	2,319.96	2,506.92
81	REYNOLDS AMERICAN INC	09/10/13	1,927.23	4,382.10
80	REYNOLDS AMERICAN INC	10/20/14	2,370.61	4,328.00
10	REYNOLDS AMERICAN INC	09/09/15	426.39	541.00
58	REYNOLDS AMERICAN INC	08/08/16	2,853.15	3,137.80
331	ROCHE HLDG LTD SPN ADR	09/10/13	10,505.94	9,188.56
307	ROGERS COMMUNICATIONS B	09/10/13	12,897.07	11,850.20
123	ROGERS COMMUNICATIONS B	12/09/13	5,586.73	4,747.80
100	ROGERS COMMUNICATIONS B	03/25/15	3,484.74	3,860.00
250	SGS SA ADR	05/15/15	4,980.75	5,010.00
71	SGS SA ADR	05/18/15	1,413.11	1,422.84
128	SGS SA ADR	04/28/16	2,828.33	2,565.12

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 11/30/16
Equities				
25	SYNGENTA AG ADR	09/28/15	1,550.63	1,910.50
26	SYNGENTA AG ADR	10/15/15	1,660.65	1,986.92
40	SYNGENTA AG ADR	10/16/15	2,566.38	3,056.80
242	SANOFI ADR	09/10/13	11,862.31	9,728.40
87	SANOFI ADR	05/02/14	4,718.12	3,497.40
39	SANOFI ADR	04/19/16	1,725.18	1,567.80
89	SANOFI ADR	04/20/16	3,933.19	3,577.80
38	SANOFI ADR	05/20/16	1,521.37	1,527.60
24	SANOFI ADR	05/23/16	951.47	964.80
65	SANOFI ADR	08/12/16	2,614.96	2,613.00
283	SVENSKA HANDELSBANKEN AB SHS	09/10/13	2,084.76	1,949.87
450	SVENSKA HANDELSBANKEN AB SHS	07/22/14	3,593.96	3,100.50
506	SVENSKA HANDELSBANKEN AB SHS	03/07/16	3,196.71	3,486.34
426	SVENSKA HANDELSBANKEN AB SHS	05/23/16	2,614.96	2,935.14
338	SVENSKA HANDELSBANKEN AB SHS	08/11/16	2,153.84	2,328.82
33	SONIC HEALTHCARE-UNSP	12/01/15	492.08	530.97
40	SONIC HEALTHCARE-UNSP	12/02/15	599.64	643.60
38	SONIC HEALTHCARE-UNSP	12/03/15	562.18	611.42
38	SONIC HEALTHCARE-UNSP	12/04/15	555.36	611.42
45	SONIC HEALTHCARE-UNSP	12/07/15	663.26	724.05
57	SONIC HEALTHCARE-UNSP	12/29/15	730.22	917.13
114	SONIC HEALTHCARE-UNSP	12/30/15	1,495.41	1,834.26
22	SONIC HEALTHCARE-UNSP	12/31/15	289.38	353.98
58	SONIC HEALTHCARE-UNSP	03/30/16	817.00	933.22
68	SONIC HEALTHCARE-UNSP	03/31/16	973.96	1,094.12
80	SONIC HEALTHCARE-UNSP	04/01/16	1,119.05	1,287.20
50	SONIC HEALTHCARE-UNSP	04/04/16	702.15	804.50
56	SANDS CHINA LTD UNSP ADR	12/12/14	2,963.87	2,769.20
74	SANDS CHINA LTD UNSP ADR	05/26/15	3,079.61	3,659.30
103	SANDS CHINA LTD UNSP ADR	08/08/16	4,071.36	5,093.35

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 11/30/16
Equities				
335	TAIWAN S MANUFCTRING ADR	04/14/16	8,467.97	9,946.15
89	TAIWAN S MANUFCTRING ADR	04/19/16	2,254.69	2,642.41
123	TAIWAN S MANUFCTRING ADR	05/20/16	2,940.01	3,651.87
53	TAIWAN S MANUFCTRING ADR	05/23/16	1,302.00	1,573.57
19	TELUS CORP COM	07/07/15	654.47	593.37
61	TELUS CORP COM	07/08/15	2,101.96	1,905.03
125	TELUS CORP COM	07/15/15	4,336.64	3,903.75
21	TELUS CORP COM	08/06/15	719.20	655.83
136	TELUS CORP COM	08/07/15	4,641.07	4,247.28
106	TELUS CORP COM	09/28/15	3,394.46	3,310.38
101	TELUS CORP COM	10/15/15	3,342.77	3,154.23
188	TELUS CORP COM	01/21/16	4,839.21	5,871.24
47	TELUS CORP COM	08/12/16	1,587.49	1,467.81
82	3M COMPANY	01/15/16	11,400.71	14,082.68
11	3M COMPANY	08/09/16	1,964.76	1,889.14
159	UNILEVER NV NY REG SHS	12/09/13	6,327.26	6,332.97
28	UNILEVER NV NY REG SHS	12/10/13	1,109.35	1,115.24
97	UNILEVER NV NY REG SHS	10/21/14	3,731.69	3,863.51
138	UNILEVER NV NY REG SHS	01/13/16	5,740.43	5,496.54
90	UNITED PARCEL SVC CL B	09/10/13	7,928.78	10,432.80
237	US BANCORP (NEW)	09/10/13	8,718.64	11,759.94
65	US BANCORP (NEW)	03/04/16	2,661.07	3,225.30
43	UNITED TECHS CORP COM	09/10/13	4,572.30	4,631.96
29	UNITED TECHS CORP COM	02/13/15	3,507.20	3,123.88
25	UNITED TECHS CORP COM	08/12/15	2,431.48	2,693.00
128	VERIZON COMMUNICATNS COM	09/10/13	5,861.89	6,387.20
124	VERIZON COMMUNICATNS COM	02/28/14	5,966.26	6,187.60
55	VERIZON COMMUNICATNS COM	01/15/15	2,588.44	2,744.50
76	VERIZON COMMUNICATNS COM	09/11/15	3,459.16	3,792.40
179	KONE OYJ EUR PAR ORDINARY	09/10/13	7,786.50	7,891.54
31	KONE OYJ EUR PAR ORDINARY	09/10/13	1,348.50	1,366.69
113	KONE OYJ EUR PAR ORDINARY	07/12/16	5,338.44	4,981.81

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 11/30/16
Equities				
4	JAPAN TOBACCO INC 2914 JPY PAR ORDINARY	09/10/13	140.47	138.52
97	JAPAN TOBACCO INC 2914 JPY PAR ORDINARY	09/16/13	3,478.56	3,359.19
5	JAPAN TOBACCO INC 2914 JPY PAR ORDINARY	12/10/13	170.32	173.15
95	JAPAN TOBACCO INC 2914 JPY PAR ORDINARY	03/26/14	2,959.07	3,289.93
53	JAPAN TOBACCO INC 2914 JPY PAR ORDINARY	10/21/14	1,696.66	1,835.43
97	JAPAN TOBACCO INC 2914 JPY PAR ORDINARY	08/08/16	3,831.82	3,359.19
45	WELLS FARGO & CO NEW DEL	04/01/15	2,435.22	2,381.40
55	WELLS FARGO & CO NEW DEL	05/22/15	3,088.08	2,910.60
57	WELLS FARGO & CO NEW DEL	08/06/15	3,282.64	3,016.44
57	WELLS FARGO & CO NEW DEL	05/20/16	2,799.28	3,016.44
Total Equities			796,141.02	826,149.06

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PART IV

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1,213.0000	ISHARES CORE S&P MID-CAP	06/06/13	01/15/16	152,383.47	141,747.91	10,635.56 LT
86.0000	ISHARES CORE S&P MID-CAP	06/06/13	01/15/16	10,803.77	10,049.73	754.04 LT
142.0000	ISHARES CORE S&P MID-CAP	01/13/14	01/15/16	17,838.79	18,827.78	(988.99) LT
16.0000	ISHARES CORE S&P MID-CAP	05/01/15	01/15/16	2,010.00	2,416.59	(406.59) ST
41.0000	ISHARES CORE S&P MID-CAP	01/04/16	01/15/16	5,150.65	5,610.44	(459.79) ST
2,886.0000	ISHARES S&P SMALLCAP 600	09/28/15	01/15/16	279,640.74	300,651.65	(21,010.91) ST
910.0000	ISHARES S&P SMALLCAP 600	01/04/16	01/15/16	88,175.02	96,404.40	(8,229.38) ST
8,770.0000	ISHARES RUSSELL TOP 200	07/26/12	01/15/16	378,770.21	277,125.85	101,644.36 LT
6,748.0000	SPDR INDEX SHS FDS	07/16/12	02/12/16	203,110.37	183,577.99	19,532.38 LT
1,749.0000	SPDR INDEX SHS FDS	07/16/12	02/12/16	52,643.75	47,581.19	5,062.56 LT
2,938.0000	SPDR INDEX SHS FDS	07/26/12	02/12/16	88,371.68	79,739.12	8,632.56 LT
7,345.0000	VANGUARD MEGA CAP 300	08/21/13	02/12/16	399,485.84	360,051.90	39,433.94 LT
4,783.0000	WISDOMTREE TRUST JAPAN	05/23/13	05/05/16	198,730.27	233,760.51	(35,030.24) LT
827.0000	WISDOMTREE TRUST JAPAN	05/23/13	05/05/16	34,361.26	40,418.14	(6,056.88) LT
3,698.0000	WISDOMTREE TRUST JAPAN	06/07/13	05/05/16	153,566.20	162,101.76	(8,535.56) LT
12,826.0000	WISDOMTREE DYNAMIC	01/19/16	05/05/16	309,997.65	340,530.30	(30,532.65) ST
15,487.0000	WISDOMTREE DYNAMIC	02/18/16	05/05/16	374,312.64	402,967.09	(28,654.45) ST
11,694.0000	ISHARES 7-10 YEAR	05/05/16	07/06/16	1,329,228.00	1,293,112.00	36,116.00 ST
4,619.0000	WISDOMTREE TRUST JAPAN	06/07/13	07/01/16	177,273.35	202,583.33	(25,309.98) LT
456.0000	WISDOMTREE TRUST JAPAN	01/13/14	07/01/16	17,500.89	22,310.85	(4,809.96) LT
2,767.0000	WISDOMTREE TRUST JAPAN	02/24/15	07/01/16	106,195.15	147,584.86	(41,389.71) LT
54.0000	WISDOMTREE TRUST JAPAN	04/09/15	07/01/16	2,072.47	3,070.96	(998.49) LT
951.0000	WISDOMTREE TRUST JAPAN	12/14/15	07/01/16	36,498.60	50,058.93	(13,560.33) ST
1,787.0000	WISDOMTREE TRUST JAPAN	12/14/15	08/16/16	73,354.75	94,064.46	(20,709.71) ST
2,565.0000	WISDOMTREE TRUST JAPAN	01/04/16	08/16/16	105,290.96	125,087.87	(19,796.91) ST
4,943.0000	ISHARES EDGE MSCI USA	10/21/14	08/16/16	383,914.44	314,275.94	69,638.50 LT
343.0000	ISHARES EDGE MSCI USA	10/21/14	08/16/16	26,640.22	21,807.94	4,832.28 LT
362.0000	ISHARES EDGE MSCI USA	04/09/15	08/16/16	28,115.93	25,605.09	2,510.84 LT
35.0000	ISHARES EDGE MSCI USA	05/01/15	08/16/16	2,718.39	2,472.05	246.34 LT
194.0000	ISHARES EDGE MSCI USA	01/04/16	08/16/16	15,067.66	13,832.84	1,234.82 ST
742.0000	ISHARES RUSSELL TOP 200	07/26/12	09/21/16	36,513.02	23,446.68	13,066.34 LT
1,264.0000	ISHARES RUSSELL TOP 200	07/26/12	09/21/16	62,200.08	39,941.51	22,258.57 LT
2,584.0000	ISHARES RUSSELL TOP 200	07/23/13	09/21/16	127,155.87	99,384.52	27,771.35 LT
522.0000	ISHARES RUSSELL TOP 200	01/04/16	09/21/16	25,687.07	23,866.88	1,820.19 ST

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
25.0000	ALTRIA GROUP INC	09/10/13	12/24/15	1,459.10	870.16	588.94 LT
23.0000	ALTRIA GROUP INC	09/10/13	12/28/15	1,332.92	800.55	532.37 LT
332.0000	EUTELSAT COMMUNICATIONS	11/16/15	12/11/15	N/A	N/A	N/C
21.0000	CHEVRON CORP	09/10/13	12/24/15	1,945.29	2,557.80	(612.51) LT
24.0000	CHEVRON CORP	09/10/13	12/24/15	2,223.20	2,909.27	(686.07) LT
87.0000	COCA COLA COM	09/10/13	12/24/15	3,792.70	3,351.02	441.68 LT
218.0000	ISHARES 10+ YR CRD	04/03/14	12/14/15	12,192.51	12,633.06	(440.55) LT
1,143.0000	ISHARES 10+ YR CRD	05/15/14	12/14/15	63,926.82	69,082.92	(5,156.10) LT
865.0000	ISHARES 10+ YR CRD	05/15/14	12/21/15	48,793.75	52,280.60	(3,486.85) LT
581.0000	ISHARES 10+ YR CRD	07/20/15	12/21/15	32,773.61	33,215.77	(442.16) ST
136.0000	GENERAL ELECTRIC	03/23/15	12/24/15	4,181.93	3,479.01	702.92 ST
59.0000	MICROSOFT CORP	09/10/13	11/27/15	3,183.27	1,904.31	1,278.96 LT
84.0000	REYNOLDS AMERICAN INC	09/10/13	11/27/15	3,932.09	1,998.61	1,933.48 LT
47.0000	REYNOLDS AMERICAN INC	09/10/13	12/24/15	2,184.60	1,118.27	1,066.33 LT
41.0000	REYNOLDS AMERICAN INC	09/10/13	12/28/15	1,888.15	975.51	912.64 LT
276.0000	ATLAS COPCO A ADR NEW	09/10/13	01/13/16	6,288.21	7,824.60	(1,536.39) LT
33.0000	ANHEUSER-BUSCH INBEV ADR	09/10/13	01/20/16	3,745.55	3,170.89	574.66 LT
16.0000	CHEVRON CORP	04/02/14	01/12/16	1,286.09	1,904.60	(618.51) LT
31.0000	CHEVRON CORP	10/20/14	01/12/16	2,491.82	3,447.20	(955.38) LT
1,882.0000	ISHARES U.S. PREFERRED	09/10/13	01/19/16	63,559.69	62,674.52	885.17 LT
920.0000	HENNES AND MAURITZ AB-	09/10/13	01/13/16	6,025.61	6,983.91	(958.30) LT
39.0000	IMPERIAL TOB GRP SPS ADR	09/10/13	12/29/15	4,138.16	2,793.96	1,344.20 LT
30.0000	MATTEL INC COM	04/30/14	01/15/16	767.14	1,211.34	(444.20) LT
22.0000	MATTEL INC COM	04/30/14	01/15/16	562.56	910.34	(347.78) LT
37.0000	MATTEL INC COM	05/01/14	01/15/16	946.15	1,506.28	(560.13) LT
23.0000	MCDONALDS CORP COM	09/10/13	01/20/16	2,634.84	2,224.95	409.89 LT
75.0000	MICROSOFT CORP	09/10/13	01/20/16	3,729.27	2,420.74	1,308.53 LT
71.0000	NATIONAL BANK CANADA	09/10/13	01/12/16	1,901.85	2,872.30	(970.45) LT
81.0000	NATIONAL BANK CANADA	09/10/13	01/13/16	2,419.39	3,681.41	(1,262.02) LT
92.0000	ROYAL DUTCH SHEL PLC	09/10/13	01/12/16	3,612.64	6,214.08	(2,601.44) LT

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
61.0000	ROYAL DUTCH SHELL PLC	04/02/14	01/12/16	2,395.35	4,741.78	(2,346.43) LT
226.0000	SINGAPORE TELECOMM LT AD	09/10/13	01/19/16	5,478.09	6,450.04	(971.95) LT
23.0000	UNITED PARCEL SVC CL B	09/10/13	01/20/16	2,012.44	2,026.24	(13.80) LT
49.0000	US BANCORP (NEW)	09/10/13	01/15/16	1,906.40	1,802.59	103.81 LT
48.0000	ALTRIA GROUP INC	09/10/13	02/10/16	2,882.22	1,670.71	1,211.51 LT
12.0000	IMPERIAL BRANDS PLC	09/10/13	02/12/16	1,227.12	859.68	367.44 LT
30.0000	MATTEL INC COM CXL	04/30/14	01/15/16	767.14	1,211.34	444.20 LT
30.0000	MATTEL INC COM	04/30/14	01/15/16	767.14	1,168.02	(400.88) LT
22.0000	MATTEL INC COM CXL	04/30/14	01/15/16	562.56	910.34	347.78 LT
22.0000	MATTEL INC COM	04/30/14	01/15/16	562.56	878.58	(316.02) LT
37.0000	MATTEL INC COM CXL	05/01/14	01/15/16	946.15	1,506.28	560.13 LT
11.0000	MATTEL INC COM	05/01/14	02/05/16	350.90	423.99	(73.09) LT
37.0000	MATTEL INC COM	05/01/14	01/15/16	946.15	1,452.88	(506.73) LT
16.0000	MATTEL INC COM	05/01/14	02/05/16	510.41	610.99	(100.58) LT
35.0000	MATTEL INC COM	05/02/14	02/05/16	1,116.52	1,300.93	(184.41) LT
44.0000	MATTEL INC COM	05/02/14	02/05/16	1,403.63	1,680.25	(276.62) LT
26.0000	MATTEL INC COM	07/21/14	02/05/16	829.42	881.12	(51.70) LT
33.0000	REYNOLDS AMERICAN INC	09/10/13	02/10/16	1,565.90	785.17	780.73 LT
20.0000	JAPAN TOBACCO INC 2914	09/10/13	02/10/16	765.98	707.40	58.58 LT
141.0000	COCA COLA COM	09/10/13	03/04/16	6,208.54	5,430.97	777.57 LT
8.0000	SYNGENTA AG ADR	07/21/14	03/04/16	652.18	585.91	66.27 LT
38.0000	SYNGENTA AG ADR	07/22/14	03/04/16	3,097.89	2,773.79	324.10 LT
47.0000	SYNGENTA AG ADR	02/09/15	03/04/16	3,831.62	3,226.98	604.64 LT
30.0000	UNITED TECHS CORP COM	09/10/13	03/04/16	2,905.02	3,189.97	(284.95) LT
37.0000	GENUINE PARTS CO	09/10/13	04/19/16	3,580.31	2,991.20	589.11 LT
1,525.0000	ISHARES EMERGING MARKETS	07/16/14	03/30/16	50,247.65	75,727.38	(25,479.73) LT
34.0000	MCDONALDS CORP COM	09/10/13	04/19/16	4,382.83	3,289.05	1,093.58 LT
60.0000	MICROSOFT CORP	09/10/13	04/19/16	3,380.28	1,936.59	1,443.69 LT
25.0000	PHILIP MORRIS INTL INC	09/10/13	04/19/16	2,482.40	2,109.50	372.90 LT
450.0000	ISHARES IBOX\$ HIGH YIELD	02/11/15	05/04/16	37,198.70	40,881.96	(3,883.26) LT
780.0000	ISHARES IBOX\$ HIGH YIELD	07/20/15	05/04/16	64,477.77	68,916.74	(4,438.97) ST
31.0000	GENUINE PARTS CO	09/10/13	04/27/16	3,046.55	2,506.15	540.40 LT
153.0000	HSBC HLDG PLC SP ADR	09/10/13	05/20/16	4,758.16	8,499.15	(3,740.99) LT
42.0000	HSBC HLDG PLC SP ADR	09/16/13	05/20/16	1,306.17	2,285.59	(979.42) LT
3.0000	HSBC HLDG PLC SP ADR	09/16/13	05/23/16	93.31	163.26	(69.95) LT
16.0000	HSBC HLDG PLC SP ADR	12/09/13	05/23/16	497.71	866.28	(368.57) LT
16.0000	JOHNSON AND JOHNSON COM	09/10/13	05/20/16	1,802.19	1,410.16	392.03 LT
5.0000	MCDONALDS CORP COM	09/10/13	04/27/16	642.35	483.68	158.67 LT
16.0000	MCDONALDS CORP COM	09/10/13	04/27/16	2,055.52	1,554.31	501.21 LT
35.0000	TELUS CORP COM	06/30/15	05/20/16	1,083.47	1,216.32	(132.85) ST

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
7.0000	TELUS CORP	07/01/15	05/20/16	216.69	244.41	(27.72) ST
1.0000	TELUS CORP	07/01/15	05/20/16	30.95	34.91	(3.96) ST
30.0000	TELUS CORP	07/02/15	05/20/16	928.70	1,048.70	(120.00) ST
13.0000	TELUS CORP	07/06/15	05/20/16	402.44	451.15	(48.71) ST
17.0000	TELUS CORP	07/07/15	05/20/16	526.27	585.58	(59.31) ST
30.0000	EUTELSAT COMMUNICATIONS,	09/10/13	05/23/16	621.27	928.55	(307.28) LT
31.0000	EUTELSAT COMMUNICATIONS,	09/10/13	05/24/16	629.47	959.51	(330.04) LT
33.0000	EUTELSAT COMMUNICATIONS,	09/10/13	05/25/16	673.12	1,021.41	(348.29) LT
88.0000	JAPAN TOBACCO INC 2914	09/10/13	04/28/16	3,670.85	3,112.56	558.29 LT
72.0000	JAPAN TOBACCO INC 2914	09/10/13	04/28/16	3,003.43	2,528.55	474.88 LT
583.0000	ISHARES 1-3 YEAR	09/10/13	06/08/16	61,551.80	61,048.84	502.96 LT
25.0000	EUTELSAT COMMUNICATIONS,	09/10/13	05/26/16	509.78	773.79	(264.03) LT
53.0000	EUTELSAT COMMUNICATIONS,	09/10/13	05/27/16	1,051.27	1,640.44	(589.17) LT
82.0000	EUTELSAT COMMUNICATIONS,	09/10/13	05/31/16	1,634.27	2,538.05	(903.78) LT
60.0000	EUTELSAT COMMUNICATIONS,	09/10/13	06/01/16	1,152.02	1,857.11	(705.09) LT
18.0000	EUTELSAT COMMUNICATIONS,	09/10/13	06/02/16	340.79	557.13	(216.34) LT
55.0000	DIAGEO PLC SPND ADR NEW	09/10/13	07/11/16	6,252.80	6,868.86	(616.06) LT
140.0000	ASTRAZENECA PLC SPND ADR	08/06/14	08/05/16	4,814.35	4,951.16	(136.81) LT
88.0000	LINEAR TECHNOLOGY CORP	07/20/15	07/27/16	5,286.01	3,792.64	1,493.37 LT
68.0000	LINEAR TECHNOLOGY CORP	07/21/15	08/05/16	4,084.65	2,936.37	1,148.28 LT
40.0000	LINEAR TECHNOLOGY CORP	07/21/15	08/05/16	2,357.39	1,727.28	630.11 LT
13.0000	LINEAR TECHNOLOGY CORP	08/06/15	08/08/16	766.16	541.39	224.77 ST
47.0000	LINEAR TECHNOLOGY CORP	08/06/15	08/08/16	2,771.71	1,957.32	814.39 LT
38.0000	LINEAR TECHNOLOGY CORP	08/06/15	08/09/16	2,249.36	1,582.51	666.85 LT
31.0000	LINEAR TECHNOLOGY CORP	08/06/15	08/10/16	1,823.06	1,291.00	532.06 LT
14.0000	LINEAR TECHNOLOGY CORP	09/11/15	08/10/16	823.32	561.39	261.93 ST
54.0000	LINEAR TECHNOLOGY CORP	09/11/15	08/11/16	3,178.95	2,165.35	1,013.60 ST
60.0000	LINEAR TECHNOLOGY CORP	01/20/16	08/11/16	3,532.17	2,461.51	1,070.66 ST
10.0000	SYNGENTA AG ADR	02/09/15	08/22/16	877.56	686.59	190.97 LT
20.0000	SYNGENTA AG ADR	09/28/15	08/22/16	1,755.15	1,240.51	514.64 ST
24.0000	ALTRIA GROUP INC	09/10/13	09/12/16	1,548.81	835.36	713.45 LT
74.0000	ASTRAZENECA PLC SPND ADR	08/06/14	09/12/16	2,459.80	2,617.05	(157.25) LT
5.0000	ANHEUSER-BUSCH INBEV ADR	09/10/13	09/12/16	622.19	480.44	141.75 LT
21.0000	BRITISH AMN TOBACO SPADR	09/10/13	09/12/16	2,648.31	2,202.25	446.06 LT
45.0000	BLOCK H&R INC	01/23/15	09/12/16	994.17	1,540.83	(546.66) LT
3.0000	BLOCK H&R INC	01/26/15	09/12/16	66.28	103.23	(36.95) LT
24.0000	CITIZENS FINL GROUP INC	06/03/15	09/12/16	598.57	662.58	(64.01) LT
32.0000	CISCO SYSTEMS INC COM	12/28/15	09/12/16	1,003.82	869.90	133.92 ST
30.0000	COCA COLA COM	09/10/13	09/12/16	1,292.52	1,155.53	136.99 LT
7.0000	DIAGEO PLC SPND ADR NEW	09/10/13	09/12/16	786.96	874.22	(87.26) LT

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
36.0000	DEUTSCHE POST AG SHS	09/10/13	09/12/16	1,126.94	1,109.20	17.74 LT
9.0000	GENUINE PARTS CO	09/10/13	09/12/16	884.23	727.59	156.64 LT
26.0000	IMPERIAL BRANDS PLC	09/10/13	09/12/16	1,360.39	931.32	429.07 LT
7.0000	JOHNSON AND JOHNSON COM	09/10/13	09/12/16	831.18	616.95	214.23 LT
4.0000	M&T BANK CORPORATION	01/13/15	09/12/16	469.12	474.45	(5.33) LT
29.0000	MATTEL INC COM	07/21/14	09/12/16	924.39	982.79	(58.40) LT
5.0000	MCDONALDS CORP COM	09/10/13	09/12/16	579.53	485.72	93.81 LT
14.0000	MICROSOFT CORP	09/10/13	09/12/16	798.12	451.87	346.25 LT
10.0000	NOVARTIS ADR	09/10/13	09/12/16	801.19	761.73	39.46 LT
15.0000	NOVO NORDISK A S ADR	06/06/14	09/12/16	692.74	652.81	39.93 LT
15.0000	PHILIP MORRIS INTL INC	09/10/13	09/12/16	1,508.99	1,265.70	243.29 LT
5.0000	SYNGENTA AG ADR	09/28/15	09/12/16	443.28	310.13	133.15 ST
34.0000	SANOFI ADR	09/10/13	09/12/16	1,350.51	1,666.61	(316.10) LT
100.0000	SVENSKA HANDELSBANKEN AB	09/10/13	09/12/16	679.99	736.67	(56.68) LT
36.0000	SONIC HEALTHCARE-UNSP	11/30/15	09/12/16	564.47	526.33	38.14 ST
7.0000	SONIC HEALTHCARE-UNSP	12/01/15	09/12/16	109.76	104.38	5.38 ST
10.0000	SANDS CHINA LTD UNSP ADR	12/12/14	09/12/16	444.09	529.26	(85.17) LT
19.0000	TAIWAN S MANUFACTURING ADR	04/14/16	09/12/16	550.84	480.27	70.57 ST
30.0000	TELUS CORP COM	07/07/15	09/12/16	971.36	1,033.37	(62.01) LT
5.0000	3M COMPANY	01/15/16	09/12/16	888.70	695.16	193.54 ST
4.0000	UNITED PARCEL SVC CL B	09/10/13	09/12/16	434.66	352.39	82.27 LT
11.0000	US BANCORP (NEW)	09/10/13	09/12/16	478.97	404.66	74.31 LT
16.0000	KONE OYJ	09/10/13	09/12/16	800.78	696.00	104.78 LT
18.0000	JAPAN TOBACCO INC 2914	09/10/13	09/12/16	621.11	561.90	59.21 LT
11,291.0000	BLACKROCK MULTI ASSET	01/07/14	09/12/16	121,265.33	127,362.48	(6,097.15) LT
10,157.0000	BLACKROCK MULTI ASSET	10/17/14	09/12/16	109,086.19	113,148.98	(4,062.79) LT
495.0000	ISHARES 20+ YEAR	06/08/16	10/10/16	65,667.10	65,889.45	(222.35) ST
135.0000	GENERAL ELECTRIC	03/23/15	10/14/16	3,900.38	3,453.42	446.96 LT
24.0000	GENERAL ELECTRIC	03/25/15	10/14/16	693.41	601.60	91.81 LT
918.0000	LLOYDS BANKING GROUP PLC	06/03/15	09/30/16	2,614.13	5,052.76	(2,438.63) LT
616.0000	LLOYDS BANKING GROUP PLC	07/20/15	09/30/16	1,754.14	3,404.76	(1,650.62) LT
98.0000	LLOYDS BANKING GROUP PLC	08/06/15	09/30/16	273.38	502.33	(228.95) LT
38.0000	WELLS FARGO & CO NEW DEL	03/25/15	09/29/16	1,688.86	2,082.00	(393.14) LT
26.0000	WELLS FARGO & CO NEW DEL	04/01/15	09/29/16	1,155.55	1,407.01	(251.46) LT
89.0000	CITIZENS FINL GROUP INC	08/03/15	11/22/16	2,838.21	2,457.08	381.13 LT
51.0000	CITIZENS FINL GROUP INC	08/03/15	11/23/16	1,647.08	1,407.99	239.09 LT
131.0000	GENERAL ELECTRIC	03/25/15	11/02/16	3,735.62	3,283.72	451.90 LT
16.0000	GENERAL ELECTRIC	04/09/15	11/02/16	456.26	404.96	51.30 LT
26.0000	M&T BANK CORPORATION	01/13/15	11/22/16	3,696.94	3,083.93	613.01 LT