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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015Department of the Treasury
Internal Revenue Service▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2015 or tax year beginning 12/01/15, and ending 11/30/16

Name of foundation THE DAVID AND BARBARA MUNGENAST FOUNDATION, INC.		A Employer identification number 43-1766152
Number and street (or P O box number if mail is not delivered to street address) P O BOX 22003	Room/suite	B Telephone number (see instructions) 314-894-1330
City or town, state or province, country, and ZIP or foreign postal code ST. LOUIS MO 63126		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input checked="" type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 4,977,113 (Part I, column (d) must be on cash basis)	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	209,266			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	40,273	40,273		
4	Dividends and interest from securities	92,604	92,604		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-17,635			
b	Gross sales price for all assets on line 6a 744,780				
7	Capital gain net income (from Part IV, line 2)		0		
8	Net short-term capital gain		0		
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	324,508	132,877	0	
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT 1	3,950	3,950		
c	Other professional fees (attach schedule)				
17	Interest	6,562	6,262		
18	Taxes (attach schedule) (see instructions) STMT 2	7,008	826		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) STMT 3	843	300		
24	Total operating and administrative expenses. Add lines 13 through 23	18,363	11,338	0	0
25	Contributions, gifts, grants paid	264,491			264,491
26	Total expenses and disbursements. Add lines 24 and 25	282,854	11,338	0	264,491
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	41,654			
b	Net investment income (if negative, enter -0-)		121,539		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

SCANNED FEB 23 2017
Revenue

Operating and Administrative Expenses

RECEIVED
FEB 21 2017
CSC

STMT 1

STMT 2

STMT 3

990-PF

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	706,341	900,994	900,994
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		7,106	7,106
	10a Investments – U.S. and state government obligations (attach schedule) STMT 4	50,247	146,139	140,249
	b Investments – corporate stock (attach schedule) SEE STMT 5	3,343,720	2,651,673	3,070,441
	c Investments – corporate bonds (attach schedule) SEE STMT 6	689,555	713,999	710,323
	11 Investments – land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ SEE STATEMENT 7)	140,000	148,000	148,000
	16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	4,929,863	4,567,911	4,977,113
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	1,266,761	1,266,761	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	3,663,102	3,301,150	
	30 Total net assets or fund balances (see instructions)	4,929,863	4,567,911	
	31 Total liabilities and net assets/fund balances (see instructions)	4,929,863	4,567,911	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,929,863
2 Enter amount from Part I, line 27a	2	41,654
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	4,971,517
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	403,606
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,567,911

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE WORKSHEET			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	-17,635
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	18,059

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	163,101	4,486,410	0.036354
2013	163,241	4,413,196	0.036989
2012	216,666	4,049,223	0.053508
2011	189,195	3,622,803	0.052223
2010	200,319	3,455,993	0.057963

2 Total of line 1, column (d)	2	0.237037
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047407
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	4,572,147
5 Multiply line 4 by line 3	5	216,752
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,215
7 Add lines 5 and 6	7	217,967
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	264,491

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,215
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,215
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,215
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	8,321
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	8,321
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,106
11	Enter the amount of line 10 to be Credited to 2016 estimated tax 1,400 Refunded	11	5,706

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.MUNGENAST.COM	13	X	
14	The books are in care of ► BARBARA J. MUNGENAST 5939 SOUTH LINDBERGH Located at ► ST. LOUIS	Telephone no ► 314-894-1330 MO ZIP+4 ► 63123		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	N/A	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions.)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RAYMOND J. MUNGENAST 17611 MELROSE ROAD MO 63038	SECRETARY 1.00	0	0	0
DAVID F. MUNGENAST, JR. 10621 SUNSETVIEW ESTATES MO 63128	TREASURER 1.00	0	0	0
BARBARA J. MUNGENAST 10618 SUNSETVIEW ESTATES MO 63128	DIRECTOR 1.00	0	0	0
KURT A. MUNGENAST 29 HAWTHORNE ESTATES DRIVE MO 63131	DIRECTOR 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	3,890,328
b	Average of monthly cash balances	1b	751,446
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	4,641,774
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	4,641,774
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	69,627
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,572,147
6	Minimum investment return. Enter 5% of line 5	6	228,607

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	228,607
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,215
b	Income tax for 2015 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,215
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	227,392
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	227,392
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	227,392

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	264,491
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	264,491
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,215
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	263,276

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				227,392
2 Undistributed income, if any, as of the end of 2015:				
a Enter amount for 2014 only			143,613	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e				
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 264,491			143,613	
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2015 distributable amount				120,878
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				106,514
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 9				264,491
Total			► 3a	264,491
b Approved for future payment N/A				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	40,273	
4	Dividends and interest from securities			14	92,604	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			14	-17,635	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		115,242	0
13	Total. Add line 12, columns (b), (d), and (e)				13	115,242

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2015

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

**THE DAVID AND BARBARA MUGENAST
FOUNDATION, INC.**

Employer identification number

43-1766152

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

THE DAVID AND BARBARA MUNGENAST

Employer identification number

43-1766152**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DRK INVESTMENT CO., INC, 13720 MANCHESTER ROAD MANCHESTER MO 63011	\$ 54,015	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	DDR INVESTMENT CO, INC. 10697 BAPTIST CHURCH ROAD ST. LOUIS MO 63128	\$ 13,245	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	DFM INVESTMENT CO, INC. 5939 SOUTH LINDBERGH ST. LOUIS MO 63123	\$ 23,499	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
4	CAPCO SALES, INC. 13700 MANCHESTER ROAD MANCHESTER MO 63011	\$ 5,814	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
5	DAVE MUNGENAST MEMORIAL RIDE 5939 SOUTH LINDBERGH ST. LOUIS MO 63123	\$ 14,330	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
6	MUNGENAST GROUP DEALER SERVICES PO BOX 22003 ST. LOUIS MO 63126	\$ 12,509	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

THE DAVID AND BARBARA MUNGENAST

Employer identification number

43-1766152**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	BARBARA MUNGENAST 10618 SUNSETVIEW ESTATES DRIVE ST. LOUIS MO 63128	\$ 43,023	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2015
For calendar year 2015, or tax year beginning 12/01/15 , and ending 11/30/16		

Name THE DAVID AND BARBARA MUNGENAST FOUNDATION, INC.	Employer Identification Number 43-1766152
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 166 SHARES FOUR CORNERS PPPTY TRUST	P	03/02/16	05/18/16
(2) 5000 SHARES FREEPORT-MCMORAN INC	P	09/02/15	04/29/16
(3) 333.329 SHARES GOLDMAN SACHS STRATEG	P		05/18/16
(4) 25000 SHARES BANK OF AMERICA CORP 4.	P	10/03/11	05/15/16
(5) 50000 SHARES BANK OF AMERICA INTERNO	P	02/03/10	02/15/16
(6) 50000 SHARES BARCLAYS BANK PLC NTS 2	P	01/18/11	01/21/16
(7) 0.085 SHARES CALIFORNIA RESOURCES	P	09/29/10	03/24/16
(8) 148 SHARES CALIFORNIA RESOURCES	P	09/29/10	05/18/16
(9) 1000 SHARES CITIGROUP INC	P	12/20/13	05/18/16
(10) 2000 SHARES DEUTSCHE BANK CAP TR V 8	P	05/01/08	09/29/16
(11) 2000 SHARES ENCANA CORP CAD	P		05/25/16
(12) 333 SHARES FOUR CORNERS PPPTY TRUST	P		05/18/16
(13) 9677.514 SHARES GOLDMAN SACHS STRATE	P		05/18/16
(14) 50000 SHARES JPMORGAN CHASE 3.0%	P	03/19/13	06/22/16
(15) 2000 SHARES MORGAN STANLEY CAP TR 6.	P	07/01/11	08/03/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 3,046		2,696	350
(2) 68,134		50,348	17,786
(3) 3,166		3,243	-77
(4) 25,000		23,741	1,259
(5) 50,000		50,000	
(6) 50,000		50,000	
(7)		1	-1
(8) 219		831	-612
(9) 45,168		53,084	-7,916
(10) 46,208		50,000	-3,792
(11) 14,632		48,692	-34,060
(12) 6,110		4,829	1,281
(13) 91,931		102,626	-10,695
(14) 50,000		50,000	
(15) 50,000		49,346	654

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			350
(2)			17,786
(3)			-77
(4)			1,259
(5)			
(6)			
(7)			-1
(8)			-612
(9)			-7,916
(10)			-3,792
(11)			-34,060
(12)			1,281
(13)			-10,695
(14)			
(15)			654

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2015**

For calendar year 2015, or tax year beginning

12/01/15, and ending **11/30/16**

Name

**THE DAVID AND BARBARA MUNGENAST
FOUNDATION, INC.**

Employer Identification Number

43-1766152

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) 10000 SHARES REGIONS BANK	P	01/04/99	05/12/16
(2) 4500 SHARES REGIONS BANK	P	01/04/99	07/08/16
(3) 1000 SHARES TRANSOCEAN LTD CHF	P	06/18/13	05/25/16
(4) 300 SHARES UNITEDHEALTH GROUP INC	P	01/11/07	06/30/16
(5) 50000 SHARES US TREASURY NOTE 2.75%	P	06/25/10	11/30/16
(6) 2000 SHARES WEATHERFORD INTL PLC	P		07/08/16
(7) INVESTMENT INCOME - CAPITAL G			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 90,278		54,292	35,986
(2) 37,633		24,431	13,202
(3) 9,512		51,876	-42,364
(4) 41,492		16,219	25,273
(5) 50,000		50,000	
(6) 10,897		26,160	-15,263
(7) 1,354			1,354
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			35,986
(2)			13,202
(3)			-42,364
(4)			25,273
(5)			
(6)			-15,263
(7)			1,354
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Federal Statements**Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING	\$ 3,950	\$ 3,950	\$	\$
TOTAL	\$ 3,950	\$ 3,950	\$ 0	\$ 0

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES	\$ 826	\$ 826	\$	\$
FEDERAL TAXES	6,182			
TOTAL	\$ 7,008	\$ 826	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES	\$	\$	\$	\$
FEES	300	300		
OFFICE SUPPLIES & EXPENSE	218			
PROGRAM EXPENSES	160			
PENALTIES	165			
TOTAL	\$ 843	\$ 300	\$ 0	\$ 0

Federal Statements**Statement 4 - Form 990-PF, Part II, Line 10a - US and State Government Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
FFCB BOND - 100,000 SHARES			COST	
US TSY NOTE 2.750% - 50K	\$ 50,247	\$ 97,225	COST	\$ 93,337
US TSY NOTE 01.625%		48,914	COST	46,912
TOTAL	\$ 50,247	\$ 146,139		\$ 140,249

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ABB LTD - 1100 SHARES	\$ 20,001	\$ 20,001	COST	\$ 22,473
ABB LTD SPON ADR - 900 SHARES	21,104	21,104	COST	18,387
ABBOTT LABS - 500 SHARES	10,780	10,780	COST	19,035
ABBOTT LABS - 500 SHARES 1/29/13	16,611	16,611	COST	19,035
ABBVIE INC COM - 500 SHARES	18,958	18,958	COST	30,400
ABBVIE INC COM - 500 SHARES	11,690	11,690	COST	30,400
ACAP STRATEGIC - 7600.596SHS	107,740	107,982	COST	105,920
ALLSTATE CORPORATION 2000 SHARES	55,071	55,071	COST	52,460
ALPHABET INC CL A - 75 SHARES	21,914	21,914	COST	58,191
ALPHABET INC CL C - 75 SHARES	21,787	21,787	COST	56,853
AMEREN 500 SHARES	22,409	22,409	COST	24,560
AMERICAN FUNDS NEW WORLD F-1	25,629	25,629	COST	40,477
AT & T INC - 500 SHARES	11,937	11,937	COST	19,315
AT & T INC-500 SHARES	12,149	12,149	COST	19,315
BANK OF AMERICA 1,000 P SHARES	22,330	22,330	COST	25,120
BANK OF NOVA SCOTIA - 500 SHARES	22,906	22,906	COST	27,625
BB&T CORP SER G 5.2% - 2000 SHARES	50,359	50,359	COST	46,680
BP - 1000 SHARES	45,827	45,827	COST	35,010
BRISTOL MYERS SQUIBB - 1000 SHARES	12,708	12,708	COST	28,220
CALIFORNIA RESOURCES 120SHS	795		COST	
CAPITAL ONE FINANCIAL 2000 SHARES	50,453	50,453	COST	49,440
CELGENE CORP 500 SHARES		39,584	COST	47,404
CENTENE CORP 1,000SH		57,716	COST	57,630
CHEVRON TEXACO CORP - 600 SHARES	24,711	24,711	COST	66,936
CITIGROUP - 1000 SHARES	53,084		COST	

Federal Statements

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
COCA COLA-2000 SHARES	\$ 21,074	21,074	COST	\$ 40,350
COGNIZANT TECH 1,000SH		57,668	COST	55,080
COMCAST 500SHS	27,755	27,755	COST	34,755
DARDEN RESTAURANTS INC - 500 SHARES	19,477	19,510	COST	36,650
DARDEN RESTAURANTS INC - 500 SHARES	20,775	20,810	COST	36,650
DEUTSCHE BANK 2,000 PFD SHARES	50,000		COST	
DIAGEO PLC NEW - 400 SHARES	21,998	21,998	COST	40,496
DOW CHEMICAL CO, 1,000S	43,059	43,059	COST	55,720
EMERSON ELEC 1,000 SHARES	52,225	52,225	COST	56,440
ENCANA CORP - 2,000 SHARES	48,692		COST	
ENERGY CORP NEW - 300 SHARES	22,143	22,143	COST	20,619
FIRST EAGLE GLOBAL FUND - 2243.59 SH	91,005	91,005	COST	122,276
FOUR CORNERS PPTY TRUST REIT - 333SH	4,897		COST	
FREEMPORT-MCMORGAN - 5000SHS	50,348		COST	
GLDMN SCHS STRAT INC 9425.071S	103,664		COST	
GOV PROP INC T 05.200%		50,000	COST	47,140
HARTFORD FINCL 500 SHS	10,760	10,760	COST	23,560
HARTFORD FINCL SERVICE - 1,000 SHARE	17,534	17,534	COST	47,120
ILLINOIS TOOL WORKS - 500 SHARES	24,219	24,219	COST	62,590
JP MORGAN CHASE & CO - 500 SHARES	22,697	22,697	COST	40,085
JP MORGAN CHASE & CO - 500 SHARES	21,703	21,703	COST	40,085
LORD ABBETT FLOATING RATE FUND C - 5	50,005	50,005	COST	47,960
MCDONALDS CORP - 300 SHARES	22,547	22,547	COST	35,781
MERCK & CO - 500 SHARES	14,916	14,916	COST	30,595
MERCK - 500 SHARES	21,986	21,986	COST	30,595
MERRILL LYNCH CAP - 1,000 SHARES	21,993	21,993	COST	25,450
MERRILL LYNCH CAP, 6.45% - 4000 SHAR	96,066	96,066	COST	101,800
METLIFE - 1000 SHARES		40,123	COST	55,010
MORGAN STANLEY CAP - 2,000 SHARES	49,346		COST	
NESTLE - 500 SHARES	24,670	24,670	COST	33,650
NEWMONT MINING 500 SHARES	19,822	19,822	COST	16,220
NEWMONT MINING CORP - 500 SHARES	17,372	17,372	COST	16,220
OCCIDENTAL PETROLEUM CRP - 300 SHARE	22,025	21,988	COST	21,408
PEPSICO - 200 SHARES	9,617	9,617	COST	20,020
PEPSICO-500 SHARES	26,474	26,474	COST	50,050
PFIZER - 1000 SHARES	15,878	15,878	COST	32,140
PFIZER INC - 1,000 SHARES	37,056	37,056	COST	32,140

Federal Statements**Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
PROCTOR & GAMBLE - 1,400 SHARES	\$ 29,226	\$ 29,226	COST	\$ 82,460
PRUDENTIAL FINANCIAL INC - 2000 SHAR	50,000	50,000	COST	49,720
QUALCOMM INC - 500 SHARES	22,202	22,202	COST	34,065
QUALCOMM INC - 500 SHARES	25,696	25,696	COST	34,065
REGIONS BANK - 30,000 SHARES	1,001,157	518,827	COST	209,870
SARATOGA INVT CORP 3000 SHARES	47,756	47,756	COST	60,540
SCE TRUST II - 4000 SHARES	100,000	100,000	COST	93,320
STATE STREET CORP 6.00% 2000 SHARES	51,921	51,921	COST	50,940
THOMSON REUTERS 1,000 SHS	27,449	27,449	COST	43,210
TRANSOCEAN LTD CHF - 200 SHARE	10,230		COST	
TRANSOCEAN LTD CHF - 800 SHARES	41,646		COST	43,088
UNITED TECHNOLOGIES - 400 SHARES	20,865	20,865	COST	110,824
UNITEDHEALTH GROUP 1000 SHARES	54,064	37,845	COST	
WEATHERFORD INTL LTD - 1000 SHARES	12,241		COST	
WEATHERFORD INTL LTD CHF - 1000 SHAR	13,919		COST	
WELLS FARGO PREFERRED 1800SHS	50,597	50,597	COST	48,798
TOTAL	\$ 3,343,720	\$ 2,651,673		\$ 3,070,441

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ANHEUSER BUSCH 2.5% - 50K	\$ 48,481	\$ 48,481	COST	\$ 49,246
ANHEUSER BUSCH 7.75% - 18K	21,043	20,092	COST	20,109
ANHEUSER BUSCH 7.75% 32K	37,351	35,679	COST	35,748
APPLE INC 50000 SHARES	51,148	50,819	COST	50,508
AT&T NTS B/E 05.200%		54,986	COST	53,752
BANK OF AMER - 50,000 SHARES	50,000		COST	
BANK OF AMERICA 5.2% 50,000SHS		47,193	COST	47,782
BANK OF AMERICA B/E 06.100%		50,225	COST	50,000
BANK OF AMERICA CORP NOTE 25K	23,741		COST	
BARCLAYS BANK PLC NTS - 50K	50,000		COST	
EBAY INC 2.60% 50000.00 SHARES	48,244	48,244	COST	48,310
EMC CORP 2.65% 50K	50,308	50,243	COST	47,944

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Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
GOLDMAN SACHS 2.75% 100K	\$ 100,000	\$ 100,000	COST	\$ 100,400
JPMORGAN CHASE 3.00% - 500K	50,000		COST	
MORGAN STANLEY NTS B/E		50,520	COST	50,305
OCCIDENTAL PETRO 4.1% 50,000SHS	54,158	53,394	COST	53,117
TRANSCANADA PIPELINES 50000 SHARES	53,708	52,963	COST	52,229
UNITED HEALTH GROUP INC. 50000 SHARE	51,373	51,160	COST	50,873
TOTAL	\$ 689,555	\$ 713,999		\$ 710,323

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<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Fair Market Value</u>
ADVANCE TO OLD GUARD	\$ 140,000	\$ 148,000	\$ 148,000
TOTAL	\$ 140,000	\$ 148,000	\$ 148,000

Statement 8 - Form 990-PF, Part III, Line 5 - Other Decreases

<u>Description</u>	<u>Amount</u>
BOOK / TAX CAPITAL GAIN DIFFERENCE	\$ 403,606
TOTAL	\$ 403,606

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Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year

Name		Address		Relationship	Status	Purpose	Amount
Address							
ALS FOUNDATION		2258 WELDON PARKWAY	NONE		PC	FOR ALS RESERACH	4,000
ST. LOUIS MO 63146		2524 SOUTH ELEVENTH ST.	NONE		PC	TO HELP DISADVANTAGED YOUTH	54,260
BOYS CLUB OF ST. LOUIS		302 RIDGEFIELD COURT	NONE		PC	FOR PEDIATRIC BRAIN TUMOR RESEARCH	5,000
ST. LOUIS MO 63104		5287 HIGHWAY 67 NORTH	NONE		PC	TO FUND EMERGENCY RELIEF FOR POLICE	5,000
PEDIATRIC BRAIN TUMOR FOU		5959 ST LOUIS AVE.	NONE		PC	TO HELP IMPOVERISHED PEOPLE	42,131
ASHEVILLE NC 28806		10411 CLAYTON RD, STE A5	NONE		PC	TO FUND EMERGENCY RELIEF FOR POLICE	25,000
THE MASTERS - MSHF BENEVOLENT FUND		6969 S LINDBERGH BLVD	NONE		PC	FOR CRIME PREVENTION PROGRAMS	1,200
POPLAR BLUFF MO 63901		2705 MULLANPHY LANE	NONE		PC	TO HELP CHIDLREN IN CRISIS	3,800
ST. LOUIS AREA FOOD BANK		4970 OAKLAND AVE	NONE		PC	TO ASSIST WITH EDUCATION OF YOUTH	50,000
ST. LOUIS MO 63120		33 CHESTNUT ST	NONE		PC	TO ASSIST BUILDING OF MILITARY MONUM	65,000
BACKSTOPPERS		755 S NEW BALLAS RD	NONE		PC	TO PROVIDE HEALTHCARE TO CHILDREN	9,100
ST. LOUIS MO 63131							
STL COUNTY POLICE BUSINESS ASSOC.							
ST. LOUIS MO 63125							
MARYGROVE							
FLORISSANT MO 63031							
SAINT LOUIS UNIVERSITY HIGH SCHOOL							
ST. LOUIS MO 63110							
OLD GUARD MONUMENT FUND							
SHARON PA 16146							
WORLD PEDIATRIC PROJECT							
ST. LOUIS MO 63141							
TOTAL							264,491