

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year 2015 or tax year beginning 12/01, 2015, and ending 11/30, 2016

Name of foundation
E & S VAN HOUTEN MEMORIAL FUND

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
1525 W W.T. HARRIS BLVD. D1114-044

City or town, state or province, country, and ZIP or foreign postal code
CHARLOTTE, NC 28262

A Employer identification number
22-6311438

B Telephone number (see instructions)
336-747-8203

G Check all that apply: Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change ☐

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ **18,037,483.**

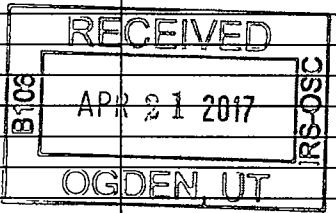
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	359,697.	347,158.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	493,717.			
	b Gross sales price for all assets on line 6a	5,634,597			
	7 Capital gain net income (from Part IV, line 2)		493,717.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	3,610.			STMT 2
	12 Total. Add lines 1 through 11	857,024.	840,875.		
	13 Compensation of officers, directors, trustees, etc.	151,214.	99,708.	NONE	51,506.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 3	1,000.	NONE	NONE	1,000.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 4	4,042.	4,042.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23.	156,256.	103,750.	NONE	52,506.
	25 Contributions, gifts, grants paid	993,500.			993,500.
	26 Total expenses and disbursements. Add lines 24 and 25	1,149,756.	103,750.	NONE	1,046,006.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-292,732.			
	b Net investment income (if negative, enter -0-)		737,125.		
	c Adjusted net income (if negative, enter -0-)				



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	2,571.	5,161.	5,161.
	2	Savings and temporary cash investments	1,323,512.	445,163.	445,163.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) . STMT 5	10,247,767.	9,932,691.	11,234,199.
	c	Investments - corporate bonds (attach schedule) . STMT 6	5,456,217.	6,342,575.	6,347,799.
	Liabilities	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶		
12		Investments - mortgage loans			5,161.
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	17,030,067.	16,725,590.	18,037,483.
17		Accounts payable and accrued expenses			
18		Grants payable			
19		Deferred revenue			
20		Loans from officers, directors, trustees, and other disqualified persons			
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)		NONE	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	17,030,067.	16,725,590.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	17,030,067.	16,725,590.		
31	Total liabilities and net assets/fund balances (see instructions)	17,030,067.	16,725,590.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,030,067.
2	Enter amount from Part I, line 27a	2	-292,732.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	5,324.
4	Add lines 1, 2, and 3	4	16,742,659.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	17,069.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,725,590.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 5,634,597.		5,140,880.	493,717.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a			493,717.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	493,717.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,085,538.	19,021,144.	0.057070
2013	943,148.	19,831,126.	0.047559
2012	640,291.	18,962,972.	0.033765
2011	891,253.	18,159,325.	0.049080
2010	992,487.	18,811,333.	0.052760
2 Total of line 1, column (d)			2 0.240234
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.048047
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 17,951,719.
5 Multiply line 4 by line 3			5 862,526.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 7,371.
7 Add lines 5 and 6			7 869,897.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 1,046,006.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	7,371.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	7,371.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,371.
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	5,936.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,936.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,435.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> NONE Refunded <input checked="" type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ _____ (2) On foundation managers. <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WELLS FARGO BANK NA Telephone no. ► (336) 747-8203 Located at ► 1 WEST 4TH ST 2ND FLOOR, WINSTON SALEM, NC ZIP+4 ► 27101-3818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ►	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

6b

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		151,214.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions.	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	17,388,080.
b	Average of monthly cash balances	1b	837,015.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	18,225,095.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	18,225,095.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	273,376.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17,951,719.
6	Minimum investment return. Enter 5% of line 5	6	897,586.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	897,586.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	7,371.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,371.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	890,215.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	890,215.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	890,215.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,046,006.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,046,006.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	7,371.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,038,635.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				890,215.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			452,732.	
b Total for prior years 20 <u>13</u> , 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2015				
a From 2010	NONE			
b From 2011	NONE			
c From 2012	NONE			
d From 2013	NONE			
e From 2014	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>1,046,006.</u>				
a Applied to 2014, but not more than line 2a . . .			452,732.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2015 distributable amount				593,274.
e Remaining amount distributed out of corpus. . .	NONE			
5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016.				296,941.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .	NONE			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2011 . . .	NONE			
b Excess from 2012 . . .	NONE			
c Excess from 2013 . . .	NONE			
d Excess from 2014 . . .	NONE			
e Excess from 2015 . . .	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include.

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 20				993,500.
Total			▶ 3a	993,500.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions.)
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments .					
4	Dividends and interest from securities			14	359,697.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property. .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	493,717.	
9	Net income or (loss) from special events . . .					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a					
b	EXCISE TAX REFUND			10	3,610.	
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				857,024.	
13	Total. Add line 12, columns (b), (d), and (e)					857,024.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Wells Fargo Bank, N.A.

03/20/2017

► Trustee

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No


**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check <input type="checkbox"/> if self-employed	PTIN
---	------

Firm's name 

Firm's EIN ▶

Firm's address

Phone no.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
AQR MANAGED FUTURES STR-I #15213	8,642.	8,642.
ARTISAN INTERNATIONAL FD INS #662	6,348.	6,348.
BLACKROCK GL L/S CREDIT-INS #1833	21,974.	21,974.
DODGE & COX INT'L STOCK FD #1048	18,738.	18,738.
DODGE & COX INCOME FD COM #147	47,046.	47,046.
EATON VANCE GLOBAL MACRO - I #0088	14,209.	10,114.
FID ADV EMER MKTS INC- CL I #607	43,009.	43,009.
JP MORGAN MID CAP VALUE-I #758	7,675.	7,675.
HARBOR CAPITAL APRCTION-INST #2012	1,382.	1,382.
JPMORGAN HIGH YIELD FUND SS #3580	34,087.	34,087.
MFS VALUE FUND-CLASS I #893	35,336.	35,336.
MERGER FUND-INST #301	2,429.	2,429.
MET WEST TOTAL RETURN BOND CL I #512	45,625.	45,625.
NEUBERGER BERMAN LONG SH-INS #1830	91.	91.
OPPENHEIMER DEVELOPING MKT-I #799	9,072.	9,072.
T ROWE PRICE SHORT TERM BD FD #55	2,905.	2,181.
T ROWE PRICE INST FLOAT RATE #170	10,259.	10,259.
T ROWE PRICE REAL ESTATE FD #122	8,242.	8,242.
SPDR DJ WILSHIRE INTERNATIONAL REAL	15,604.	15,604.
STERLING CAPITAL STRATTON SMALL CAP	474.	474.
VANGUARD INFLAT-PROT SECS-ADM #5119	21.	21.
VANGUARD REIT VIPER	25,037.	17,317.
FEDERATED TREAS OBL FD 68	1,492.	1,492.
	-----	-----
TOTAL	359,697.	347,158.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
EXCISE TAX REFUND	3,610.

TOTALS	3,610.
	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	1,000.			1,000.
TOTALS	1,000.	NONE	NONE	1,000.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES ON QUALIFIED FOR	3,089.	3,089.
FOREIGN TAXES ON NONQUALIFIED	953.	953.
	-----	-----
TOTALS	4,042.	4,042.
	=====	=====

E & S VAN HOUTEN MEMORIAL FUND

22-6311438

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
04314H600 ARTISAN MID CAP FUND	642,235.	672,466.	675,069.
22544R305 CREDIT SUISSE COMM R	528,916.	222,133.	186,499.
256206103 DODGE & COX INT'L ST	541,885.	359,837.	471,965.
339128100 JP MORGAN MID CAP VA	607,321.	533,073.	775,323.
411511504 HARBOR CAPITAL APRCT	1,276,784.	1,578,735.	2,030,579.
552983694 MFS VALUE FUND-CLASS	1,509,456.	1,638,384.	2,079,968.
78463X863 SPDR DJ WILSHIRE INT	685,585.	232,478.	255,579.
922908553 VANGUARD REIT VIPER	544,771.	54,917.	85,535.
277923728 EATON VANCE GLOBAL M	183,006.	562,683.	555,386.
63872T885 ASG GLOBAL ALTERNATI	322,706.	365,017.	327,145.
00203H859 AQR MANAGED FUTURES	174,277.	562,979.	512,055.
589509207 MERGER FUND-INST #30	154,283.	329,914.	330,120.
64128R608 NEUBERGER BERMAN LON	653,207.	470,817.	467,540.
683974604 OPPENHEIMER DEVELOPI	902,130.	548,888.	542,939.
025083320 AMER CENT SMALL CAP	484,114.	385,805.	390,969.
04314H402 ARTISAN INTERNATIONAL	562,799.	338,364.	422,674.
85917K546 STERLING CAPITAL STR	474,292.	367,348.	408,609.
00888Y508 INV BALANCE RISK COM		186,872.	189,313.
779919109 T ROWE PRICE REAL ES		521,981.	526,932.
	-----	-----	-----
TOTALS	10,247,767.	9,932,691.	11,234,199.
	=====	=====	=====

E & S VAN HOUTEN MEMORIAL FUND

22-63111438

FORM 990PF, PART II - CORPORATE BONDS
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ----
256210105 DODGE & COX INCOME F	1,516,318.	1,232,810.	1,309,235.
261980494 DREYFUS EMG MKT DEBT	224,473.		
315920702 FID ADV EMER MKTS IN	570,426.	883,227.	885,645.
77957P105 T ROWE PRICE SHORT T	517,737.		
4812C0803 JPMORGAN HIGH YIELD	409,274.	797,271.	782,348.
77958B402 T ROWE PRICE INST FL	154,154.	330,096.	327,675.
091936732 BLACKROCK GL L/S CRE	457,506.	673,359.	660,431.
592905509 MET WEST TOTAL RETUR.	1,606,329.	2,256,778.	2,213,695.
922031737 VANGUARD INFLAT-PROT		169,034.	168,770.
	-----	-----	-----
TOTALS	5,456,217.	6,342,575.	6,347,799.
	=====	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE
ROUNDING

5,320.

4.

TOTAL

5,324.

=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
MUTUAL FUND TAX EFFECTIVE DATE AFTER TYE	8,423.
RETURN OF CAPITAL ADJUSTMENT	8,646.

TOTAL	17,069.
	=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

WELLS FARGO BANK NA

ADDRESS:

1525 WEST WT HARRIS BLVD
CHARLOTTE, NC 28288-1114

TITLE:

TRUSTEE

COMPENSATION 151,214.

COMPENSATION EXPLANATION:

Trustee Admin and Grant Fees

TOTAL COMPENSATION:

151,214.

=====

RECIPIENT NAME:

WELLS FARGO PHILANTHROPIC SERVICES

ADDRESS:

1 West 4th Street

WINSTON-SALEM, NC 27101-3818

RECIPIENT'S PHONE NUMBER: 888-234-1999

FORM, INFORMATION AND MATERIALS:

APPLY ONLINE AT WWW.WELLSFARGO.COM/PRIVATEFOUNDATIONGRANTS/VANHOUTEN

SUBMISSION DEADLINES:

JANUARY 31 AND AUGUST 1 ANNUALLY

RESTRICTIONS OR LIMITATIONS ON AWARDS:

FUNDS SCHOLARSHIPS IN ACCREDITED MEDICAL SCHOOLS, INCLUDING NURSING SCHOOLS. ALSO BENEFITS ORGANIZATIONS IN PASSAIC COUNTY AND BERGEN COUNTY, NEW JERSEY WITH FOCUS ON CHILDREN, AGED, AND DISABLED PERSONS.

RECIPIENT NAME:
CHILDREN'S SPECIALIZED HOSPITAL FDN
ADDRESS:
150 NEW PROVIDENCE ROAD
MOUNTAINSIDE, NJ 07092
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
TELEPRESENCE INITIATIVE
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 6,000.

RECIPIENT NAME:
CHILDREN'S AID AND FAMILY SERVICES
ADDRESS:
200 ROBIN ROAD
PARAMOUS, NJ 07652
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
MEDICAL SERVICES AND ADVOCACY CENTER
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:
BERGEN FAMILY CENTER
ADDRESS:
44 ARMORY ST
ENGLEWOOD, NJ 07631
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ACT AGAINST HIV/AIDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 17,500.

=====

RECIPIENT NAME:

CHILDREN'S DAY NURSERY & FAM

ADDRESS:

104 JEFFERSON ST

PASSAIC, NJ 07055

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

TEACHER SKILLS ENHANCEMENT/CLASSROOM EQUI

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

BLOOMFIELD COLLEGE

ADDRESS:

467 FRANKLIN ST

BLOOMFIELD, NJ 07003

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS AND PEER MENTOR PROGRAM

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

FAIRLEIGH DICKINSON UNIV

ADDRESS:

285 MADISON AVE

MADISON, NJ 07940

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

VAN HOUTEN NURSING SCHOLARS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:
CHILDRENS INSTITUTE
ADDRESS:
1 SUNSET AVE
VERONA, NJ 07044
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
THE CENTER FOR INDEPENDENCE
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:
SETON HALL UNIVERSITY
COLLEGE OF NURSING
ADDRESS:
400 SOUTH ORANGE AVENUE
SOUTH ORANGE, NJ 07079
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
BSN SENIOR SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 34,000.

RECIPIENT NAME:
SAINT PETER'S UNIVERSITY
ADDRESS:
2641 KENNEDY BLVD
JERSEY CITY, NJ 07306
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
VAN HOUTEN PROFESSIONAL NURSING SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 20,000.

=====

RECIPIENT NAME:

SEEING EYE

ADDRESS:

PO BOX 375

MORRISTOWN, NJ 07963

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SEEING EYE ORIENTATION AND MOBILITY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

GREATER BERGEN COMMUNITY

ACTION INC

ADDRESS:

241 MOORE STREET

HACKENSACK, NJ 07601

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EARLY HEAD START EXPANSION

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

NEWARK SCHOOL OF THE ARTS

ADDRESS:

89 LINCOLN PARK

NEWARK, NJ 07102

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ARTS EDUCATION FINANCIAL ASSISTANCE

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:
FELICIAN COLLEGE
ADDRESS:
ONE FELICIAN WAY
RUTHERFORD, NJ 07070
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RN AND BSN FAST TRACK SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:
VALLEY HOSPITAL FOUNDATION
ADDRESS:
223 N VAN DIEN AVENUE
RIDGEWOOD, NJ 07450
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
MOTHER/BABY UNIT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 378,000.

RECIPIENT NAME:
TRINITAS HEALTH FOUNDATION
ADDRESS:
P O BOX 259
ELIZABETH, NJ 07207
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXPANDED TRINITAS REGIONAL MEDICAL CENTER
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 100,000.

RECIPIENT NAME:
TRI-COUNTY SCHOLARSHIP FUND
ADDRESS:
4 CENTURY DRIVE
PARSIPPANY, NJ 07054
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:
ROBERT WOOD JOHNSON UNIVERSITY
HOSPITAL AT RAHWAY FOUNDATION
ADDRESS:
ONE ROBERT WOOD JOHNSON PLACE
NEW BRUNSWICK, NJ 08903
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EDUCATION AND SCHOLARSHIPS FOR NURSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 30,000.

RECIPIENT NAME:
EVA'S VILLAGE
ADDRESS:
393 MAIN ST
PATERSON, NJ 07501
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
HEALTHCARE CLINIC
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:
MENTAL HEALTH ASSOCIATION IN
PASSAIC COUNTY
ADDRESS:
404 CLIFTON AVE
CLIFTON, NJ 07011
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CONSUMER PARENT SUPPORT NETWORK
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:
GREATER NEWARK CONSERVANCY
ADDRESS:
32 PRINCE ST
NEWARK, NJ 07103
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ENVIRONMENTAL PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:
ESSEX COUNTY COURT APPOINTED
SPECIAL ADVOCATE
ADDRESS:
212 WASHINGTON STREET ROOM 912
NEWARK, NJ 07102
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
AVOCACY FOR FOSTER CHILDREN WITH SPECIAL NEED
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 3,500.

=====

RECIPIENT NAME:

SAINT PHILIP'S ACADEMY

ADDRESS:

342 CENTRAL AVE

NEWARK, NJ 07103

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PHILIPS EDUCATION PARTNERS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:

THE WILLIAM PATERSON UNIV

OF NEW JERSEY FDN

ADDRESS:

300 POMPTON ROAD

WAYNE, NJ 07470

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

VAN HOUTEN MEMORIAL FUND SCHOLARSHIP PROGRAM

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

LAKE DRIVE EDUCATIONAL FDN FOR

DEAF HARD HEARING CHILDREN

ADDRESS:

PO BOX 155

MOUNTAIN LAKES, NJ 07046

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

THE SOUND START BABIES INTERVENTION PROGRAM

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:
RUTGERS UNIVERSITY FOUNDATION
ADDRESS:
7 COLLEGE AVE
NEW BRUNSWICK, NJ 08901
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CLINICAL RESEARCH EXPERIENCE FOR STUDENTS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:
GREATER LIFE INC
ADDRESS:
187 WAINWRIGHT STREET
NEWARK, NJ 07108
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
COMMUNITY OUTREACH PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:
ROLLINS COLLEGE
ADDRESS:
1000 HOLT AVENUE
WINTER PARK, FL 32789
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
STUDENT-FACULTY COLLABORATIVE RESEARCH
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 94,500.

E & S VAN HOUTEN MEMORIAL FUND

22-6311438

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

CREUTZFELDT-JAKOB DISEASE FDN

ADDRESS:

341 W 38TH ST

NEW YORK, NY 10018

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

INCREASING AWARENES PROJECT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

TOTAL GRANTS PAID:

993,500.

=====

FEDERAL FOOTNOTES

=====

THE COMPENSATION REPORTED IN COLUMN (B) IS CALCULATED BASED ON PERIODIC MARKET VALUES AND/OR THE APPLICABLE FEE AGREEMENT. IT IS NOT DETERMINED SOLELY ON AN HOURLY BASIS. CORPORATE TRUSTEE SERVICES INCLUDE, BUT ARE NOT LIMITED TO, ADMINISTRATIVE SERVICES SUCH AS FIDUCIARY ACCOUNTING, CUSTODY OF ASSETS, COMPLYING WITH TAX FILING REQUIREMENTS, COMPLYING WITH DISTRIBUTION PROVISIONS, AND COMPLYING WITH FEDERAL AND STATE LAWS APPLICABLE TO PRIVATE FOUNDATIONS, PLUS ASSET MANAGEMENT SERVICES SUCH AS CREATING ASSET ALLOCATION STRATEGIES, INVESTMENT REPORTING AND REALLOCATING AND REBALANCING OF PORTFOLIOS AS NECESSARY.