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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning DEC 1, 2015, and ending NOV 30, 2016

Name of foundation THE DONALD AND BARBARA ZUCKER FAMILY FOUNDATION		A Employer identification number 20-3932106
Number and street (or P.O. box number if mail is not delivered to street address) 103 WEST 55TH STREET	Room/suite	B Telephone number 212-977-4800
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10019		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 34,210,971.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	9,000,000.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	925.	925.		STATEMENT 1
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	9,000,925.	925.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 2	8.	8.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 3	2,232.	0.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	2,240.	8.		0.
25 Contributions, gifts, grants paid	9,165,477.			9,165,477.	
26 Total expenses and disbursements. Add lines 24 and 25	9,167,717.	8.		9,165,477.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-166,792.				
b Net investment income (if negative, enter -0-)		917.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	22,577,544.	22,414,718.	22,414,718.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		992.	992.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶ 11,794,877.				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ LOANS AND EXCHANGE)		5,342.	384.	384.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		34,377,763.	34,210,971.	34,210,971.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	34,377,763.	34,210,971.	
30 Total net assets or fund balances	34,377,763.	34,210,971.		
31 Total liabilities and net assets/fund balances	34,377,763.	34,210,971.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	34,377,763.
2 Enter amount from Part I, line 27a	-166,792.
3 Other increases not included in line 2 (itemize) ▶	0.
4 Add lines 1, 2, and 3	34,210,971.
5 Decreases not included in line 2 (itemize) ▶	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	34,210,971.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b	NONE		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	4,558,417.	23,180,870.	.196646
2014	8,394,820.	18,993,443.	.441985
2013	6,529,486.	16,700,839.	.390968
2012	3,884,236.	12,655,407.	.306923
2011	4,740,962.	8,502,157.	.557619

2 Total of line 1, column (d)	2	1.894141
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.378828
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	24,372,396.
5 Multiply line 4 by line 3	5	9,232,946.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9.
7 Add lines 5 and 6	7	9,232,955.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	9,165,477.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	18.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	18.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	18.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	992.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	992.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	974.
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ 974. Refunded ☐ 0.	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A		X
14 The books are in care of DONALD ZUCKER Telephone no 212-977-4800 Located at C/O MSMC 103 WEST 55TH STREET, NEW YORK, NY ZIP+4 10019		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A	
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DONALD ZUCKER	PRESIDENT			
101 WEST 55TH STREET				
NEW YORK, NY 10019	0.00	0.	0.	0.
BARBARA HRBEK ZUCKER	SECRETARY/TREASURER			
101 WEST 55TH STREET				
NEW YORK, NY 10019	0.00	0.	0.	0.
LAURIE ZUCKER LEDERMAN	VICE PRESIDENT			
101 WEST 55TH STREET				
NEW YORK, NY 10019	0.00	0.	0.	0.
BARBARA ZUCKER ALBINDER	VICE PRESIDENT			
101 WEST 55TH STREET				
NEW YORK, NY 10019	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		
All other program-related investments See instructions		
3		

Total. Add lines 1 through 3Form **990-PF** (2016)

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	591,233.
b	Average of monthly cash balances	1b	24,152,316.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	24,743,549.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	24,743,549.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	371,153.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	24,372,396.
6	Minimum investment return. Enter 5% of line 5	6	1,218,620.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,218,620.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	18.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	18.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,218,602.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,218,602.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,218,602.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	9,165,477.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	9,165,477.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	9,165,477.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,218,602.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016.				
a From 2011	4,740,962.			
b From 2012	3,884,236.			
c From 2013	5,694,444.			
d From 2014	7,445,154.			
e From 2015	3,399,381.			
f Total of lines 3a through e	25,164,177.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$	9,165,477.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				1,218,602.
e Remaining amount distributed out of corpus	7,946,875.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	33,111,052.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	4,740,962.			
9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a	28,370,090.			
10 Analysis of line 9				
a Excess from 2012	3,884,236.			
b Excess from 2013	5,694,444.			
c Excess from 2014	7,445,154.			
d Excess from 2015	3,399,381.			
e Excess from 2016	7,946,875.			

**THE DONALD AND BARBARA ZUCKER
FAMILY FOUNDATION**

Form 990-PF (2016)

20-3932106 Page 10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Prior 3 years				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

DONALD ZUCKER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE DONALD AND BARBARA ZUCKER

Form 990-PF (2016)

FAMILY FOUNDATION

20-3932106

Page 11

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT				9,165,477.
Total			▶ 3a	9,165,477.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form 990-PF (2016)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

THE DONALD AND BARBARA ZUCKER
FAMILY FOUNDATION

Employer identification number

20-3932106

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐

4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

THE DONALD AND BARBARA ZUCKER
FAMILY FOUNDATION

Employer identification number

20-3932106

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DONALD ZUCKER 101 WEST 55TH STREET NEW YORK, NY 10019	\$ 9,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

THE DONALD AND BARBARA ZUCKER
FAMILY FOUNDATION

Employer identification number

20-3932106

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

THE DONALD AND BARBARA ZUCKER
FAMILY FOUNDATION

Employer identification number

20-3932106

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info. once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	925.	925.	
TOTAL TO PART I, LINE 3	925.	925.	

FORM 990-PF TAXES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	8.	8.		0.
TO FORM 990-PF, PG 1, LN 18	8.	8.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	1,426.	0.		0.
BANK CHARGES	806.	0.		0.
TO FORM 990-PF, PG 1, LN 23	2,232.	0.		0.

SUPPORTING STATEMENT		
DONALD AND BARBARA ZUCKER FAMILY FOUNDATION		EIN 20-3932106
FORM 990-PF, PART I - LINE 25, PART XV 3(a)		
CONTRIBUTIONS, GIFTS, GRANTS PAID		
RECIPIENT	DATE	AMOUNT
2nd Stage Theatre	10/18/16	3,500.00
92nd Street YMCA	03/10/16	10,000.00
Alzheimer's Disease Resource Center	08/02/16	1,000.00
American Ballet Theater	03/10/16	3,600.00
American Cancer Society	04/20/16	100.00
American Foundation for Suicide Prevention	08/24/16	25,000.00
American Friends of Meir Panim	11/09/16	10,000.00
American Indian College Fund	04/14/16	100.00
American Indian College Fund	11/29/16	100.00
American Museum of Natural History	04/06/16	5,000.00
American Museum of Natural History	07/25/16	10,000.00
Animal Rescue Fund of the Hamptons	02/10/16	2,000.00
Animal Rescue Fund of the Hamptons	03/16/16	27,000.00
Animal Rescue Fund of the Hamptons	09/20/16	20,000.00
Anti Defamation League	12/23/15	100,000.00
Asphalt Green	04/25/16	5,000.00
Bay Street Theatre	06/23/16	15,000.00
Boys & Girls Clubs	04/27/16	26,000.00
Brooklyn Botanical Gardens	07/19/16	1,500.00
Brooklyn Museum	04/20/16	1,000.00
Central Park Conservancy	03/22/16	25,000.00
Chamah	11/09/16	10,000.00
Citizens Committee For New York City	02/10/16	5,000.00
City Harvest Inc	12/07/15	1,000.00
City Harvest Inc	11/01/16	1,000.00
Coast Guard Foundation	10/19/16	1,000.00
Columbia University	12/10/15	300,000.00
Dancing Dreams	12/28/15	2,500.00
Dancing Dreams	08/09/16	2,500.00
Dartmouth Hitchcock Annual	04/28/16	500.00
Dominican Sisters of Amityville New York	10/14/16	5,000.00
DWC Scholarship Fund	04/25/16	1,000.00
East Hampton Food Pantry	12/10/15	500.00
East Hampton Library	02/22/16	1,000.00
East Hampton Meals on Wheels	08/24/16	5,000.00
East Hampton Village PBA	11/01/16	500.00
Ellen's Run	12/14/15	500.00
Food Bank for NYC	11/22/16	100.00
Fort Greene Strategic NBH	08/02/16	30,000.00
Fountain House	11/08/16	25,000.00
Friends of the High Line	12/07/15	1,000.00
Friends of the High Line	11/29/16	1,000.00

SUPPORTING STATEMENT		
DONALD AND BARBARA ZUCKER FAMILY FOUNDATION		EIN 20-3932106
FORM 990-PF, PART I - LINE 25, PART XV 3(a)		
CONTRIBUTIONS, GIFTS, GRANTS PAID		
RECIPIENT	DATE	AMOUNT
Friends of Karen	09/08/16	1,000.00
Friends of Mount Vernon Basketball	08/10/16	6,000.00
Friends of the Port Washington Library	02/23/16	1,500.00
Friends of the Smithsonian	02/10/16	2,500.00
Friends of the Jewish Charities	01/13/16	100.00
Girl Scouts of Greater NY	12/17/15	10,000.00
Girl Scouts of Greater NY	05/02/16	121,600.00
Girl Scouts of Greater NY	09/28/16	5,000.00
Guild Hall	05/04/16	2,000.00
Guild Hall	11/08/16	10,000.00
Harlem River Academies	04/12/16	1,000.00
Island Press	12/17/15	100.00
Jackson Hole Conservation Alliance	03/10/16	100.00
Jane Goodall Institute	03/22/16	500.00
Jewish Center of the Hamptons	03/10/16	500.00
Jewish Center of the Hamptons	03/16/16	500.00
Jewish Center of the Hamptons	05/25/16	5,237.00
Jewish Center of the Hamptons	07/07/16	5,000.00
Jewish Center of the Hamptons	09/06/16	500.00
Jewish Federation of Palm Beach	12/17/15	5,000.00
Jewish Federation of Palm Beach	07/11/16	5,000.00
Koch Alumni Association, Inc	11/08/16	160.00
Laguardia Community College	02/09/16	100,000.00
LaSalle Academy	08/30/16	500.00
Lincoln Center for the Arts	12/17/15	10,000.00
Lincoln Center for the Arts	04/04/16	25,000.00
Long Island Children's Museum	04/27/16	5,000.00
Make a Wish Foundation	08/09/16	1,500.00
Massachusetts Museum of Contemporary Art	07/25/16	1,000.00
MDA	08/09/16	100.00
Metropolitan Opera	08/15/16	15,000.00
Migraine Research Foundation	11/29/16	500.00
Mint Theater	06/15/16	500.00
Museum of Arts & Design	07/11/16	10,000.00
Museum of Arts & Design	09/28/16	2,000.00
Museum of the City of New York	04/20/16	2,500.00
Nassau County Museum of Art	11/15/16	1,250.00
Nassau County Police Activity League	06/07/16	100.00
National 911 Foundation	02/09/16	2,000.00
National Gallery of Art	10/18/16	10,000.00
National Museum of the American Indian	08/02/16	1,000.00
National Museum of Wildlife	01/19/16	3,000.00

SUPPORTING STATEMENT		
DONALD AND BARBARA ZUCKER FAMILY FOUNDATION		EIN 20-3932106
FORM 990-PF, PART I - LINE 25, PART XV 3(a)		
CONTRIBUTIONS, GIFTS, GRANTS PAID		
RECIPIENT	DATE	AMOUNT
National September 11 Memorial and Museum	09/08/16	2,000.00
New Museum of Contemporary Art	04/28/16	110.00
New York City Police Foundation	02/02/16	5,000.00
New York Common Pantry	02/09/16	10,000.00
New York Common Pantry	05/25/16	5,000.00
New York Historical Society	06/23/16	1,000 00
New York Memory Center	02/23/16	23,000.00
New York Memory Center	07/25/16	27,000.00
New York Police Chiefs	06/09/16	10.00
New York Veteran Police	08/02/16	10.00
New York Yacht Club	06/06/16	500.00
New York Yacht Club	11/08/16	15,435.20
Norman Williams Public Library	04/07/16	100.00
North Carolina Museum of Art	07/11/16	306.76
Northside Center	06/15/16	1,000 00
North Shore LIJ Health	12/17/15	51,000 00
North Shore Child & Family Guidance Center	02/09/16	10,000 00
Northwell Health Foundation	02/25/16	600,000 00
Old Westbury Gardens	11/08/16	2,500.00
Ottawaquechee Health	10/10/16	1,000.00
Palm Beach Country Club	12/17/15	1,000.00
Palm Beach United Way	12/17/15	1,500.00
Palm Beach United Way	07/11/16	1,500.00
Parrish Art Museum	06/21/16	25,000 00
Pentangle Arts Council	04/11/16	500.00
Planned Parenthood of Nassau County	12/07/15	5,000 00
Planned Parenthood of Hudson County	05/04/16	5,000.00
Port Washington Fire Department	11/22/16	500.00
Port Washington Parent Resource Center	09/20/16	10,000.00
Port Washington School District	11/15/16	10,000.00
Port Washington Yacht Club - Make a Wish Foundation	07/25/16	1,500.00
Prep for Prep	05/12/16	10,000.00
Primary Stages	03/23/16	2,500.00
Project Most	08/24/16	5,000.00
Prospect Park Alliance	08/23/16	25,000.00
Realty Foundation	12/28/15	100,000.00
Retreat	02/25/16	25,000 00
Robin Hood	03/16/16	10,000.00
Ronald McDonald House	12/16/15	1,000 00
Roundabout Theatre Company	06/01/16	25,000.00
Sag Harbor Volunteer Fire Department	07/25/16	100 00
Sag Harbor Volunteer Fire Department	08/29/16	500.00

SUPPORTING STATEMENT		
DONALD AND BARBARA ZUCKER FAMILY FOUNDATION		EIN 20-3932106
FORM 990-PF, PART I - LINE 25, PART XV 3(a)		
CONTRIBUTIONS, GIFTS, GRANTS PAID		
RECIPIENT	DATE	AMOUNT
Sailing Heals	10/18/16	10,000.00
Sands Point Civic Association	03/10/16	55.00
Sands Point Golf Club	09/20/16	1,000.00
Sands Point Preserve	07/07/16	1,000.00
Settlement Housing Fund	04/25/16	1,000.00
Solomon Guggenheim Museum	01/06/16	10,000.00
Sioux Nation Relief Fund	04/20/16	100.00
Sioux Nation Relief Fund	11/29/16	100.00
South Fork Natural History	06/23/16	25,000.00
South Hampton Village PBA	07/11/16	500.00
St Agnes High School	12/07/15	34,000.00
St. Joseph's Indian School	01/20/16	5,000.00
Storm King Art Center	02/22/16	500.00
The Actor's Fund	08/15/16	5,000.00
The Center for Discovery	12/17/15	5,000.00
The Children's Aid Society	04/27/16	13,000.00
The Clark	12/16/15	5,000.00
The Doe Fund	12/10/15	500.00
The Doe Fund	04/25/16	500.00
The Feinstein Institute	02/16/16	8,480.00
The Feinstein Institute	06/13/16	13,600.00
The Feinstein Institute	07/11/16	23,320.15
The Feinstein Institute	07/25/16	150,000.00
The Help USA Fund	02/22/16	1,000.00
The Inn	04/11/16	10,000.00
The Irish Repertory Theatre	06/09/16	25,000.00
The Jewish Foundation for the Righteous	10/18/16	10,000.00
The Jewish Museum	03/01/16	30,000.00
The Metropolitan Museum	04/25/16	1,000,000.00
The Metropolitan Museum	09/20/16	50,000.00
The Morgan Library & Museum	09/21/16	1,000.00
The Museum of Modern Art	03/10/16	25,000.00
The Nature Conservancy	06/21/16	25,000.00
The Partnership for the Homeless	04/07/16	10,000.00
The Phillip's Connection	06/23/16	1,000.00
The Trust for the Public Land	12/28/15	1,000.00
The Woodstock Area Council	10/18/16	1,000.00
Tomorrow's Hope Foundation	06/01/16	10,000.00
United Jewish Federation of New York	12/17/15	200,000.00
United Neighborhood House	09/28/16	10,000.00
United States Holocaust	02/22/16	25,000.00
USO	07/25/16	500.00

SUPPORTING STATEMENT		
DONALD AND BARBARA ZUCKER FAMILY FOUNDATION		
		EIN 20-3932106
FORM 990-PF, PART I - LINE 25, PART XV 3(a)		
CONTRIBUTIONS, GIFTS, GRANTS PAID		
RECIPIENT	DATE	AMOUNT
Western Antique Aeroplane	01/06/16	50.00
Whitney Museum of America	12/16/15	100,000.00
Whitney Museum of America	02/02/16	100,000.00
Whitney Museum of America	03/01/16	100,000.00
Whitney Museum of America	04/01/16	100,000.00
Whitney Museum of America	05/01/16	100,000.00
Whitney Museum of America	06/01/16	100,000.00
Whitney Museum of America	07/01/16	100,000.00
Whitney Museum of America	08/01/16	100,000.00
Whitney Museum of America	09/01/16	100,000.00
Whitney Museum of America	10/01/16	100,000.00
Wildlife Conservation Society	12/17/15	2,716,085.00
Wildlife Conservation Society	12/28/15	25,000.00
Wildlife Conservation Society	02/09/16	295.00
Wildlife Conservation Society	02/29/16	295.00
Wildlife Conservation Society	06/15/16	100,000.00
Wildlife Conservation Society	06/07/16	1,283,915.00
Wildlife Conservation Society	07/11/16	6,000.00
Wildlife Conservation Society	07/25/16	101,000.00
Wildlife Conservation Society	09/12/16	1,863.00
WNET	02/22/16	100,000.00
Woodstock Recreation Center	03/10/16	1,000.00
WYNC Radio	02/09/16	1,000.00
Yellowstone Park Association	12/07/15	1,000.00
Total		9,165,477.11