

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2015 or tax year beginning 12/01/15, and ending 11/30/16

Name of foundation THE DAMMANN FUND INC.		A Employer identification number 13-6089896
Number and street (or P.O. box number if mail is not delivered to street address) 1700 BROADWAY 41ST FLOOR	Room/suite	B Telephone number (see instructions) 212-956-4118
City or town, state or province, country, and ZIP or foreign postal code NEW YORK NY 10019		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 7,662,177	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	19	19		
4	Dividends and interest from securities	168,894	168,894		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	2,052			
b	Gross sales price for all assets on line 6a	3,290,625			
7	Capital gain net income (from Part IV, line 2)		2,052		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) STMT 1	8,635	57		
12	Total. Add lines 1 through 11	179,600	171,022	0	
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) SEE STMT 2	55,838	27,919		27,919
b	Accounting fees (attach schedule) STMT 3	11,975	5,988		5,987
c	Other professional fees (attach schedule) STMT 4	60,739	30,369		30,370
17	Interest STMT 5	2,019	2,019		
18	Taxes (attach schedule) (see instructions)	8,393			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	3,267	1,633		1,634
22	Printing and publications				
23	Other expenses (attach schedule) STMT 6	8,675	4,338		4,337
24	Total operating and administrative expenses. Add lines 13 through 23	150,906	72,266	0	70,247
25	Contributions, gifts, grants paid	449,125			449,125
26	Total expenses and disbursements. Add lines 24 and 25	600,031	72,266	0	519,372
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-420,431			
b	Net investment income (if negative, enter -0-)		98,756		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

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DAA

Operating and Administrative Expenses CANNED APR 26 2017

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Form 990-PF (2015) **THE DAMMANN FUND INC.****13 - 6089896**Page **2****Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing	2,181	26,691	26,690
	2	Savings and temporary cash investments	219,313	152,960	152,960
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) SEE STMT 7	3,574,319	2,840,772	6,542,717
	c	Investments – corporate bonds (attach schedule) SEE STMT 8	608,069	963,028	939,810
	11	Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	4,403,882	3,983,451	7,662,177
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	4,403,882	3,983,451	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	4,403,882	3,983,451	
	31	Total liabilities and net assets/fund balances (see instructions)	4,403,882	3,983,451	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,403,882
2	Enter amount from Part I, line 27a	2	-420,431
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,983,451
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	3,983,451

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHMENT	P	VARIOUS	VARIOUS
b	SEE ATTACHMENT	P	VARIOUS	VARIOUS
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,204,801		2,340,724	-135,923
b 1,085,824		947,849	137,975
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-135,923
b			137,975
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	2,052
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	2,052

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	504,810	7,956,947	0.063443
2013	475,406	7,565,488	0.062839
2012	449,740	7,240,661	0.062113
2011	370,265	6,229,842	0.059434
2010	331,150	5,994,640	0.055241

2 Total of line 1, column (d)	2	0.303070
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.060614
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	7,837,417
5 Multiply line 4 by line 3	5	475,057
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	988
7 Add lines 5 and 6	7	476,045
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	519,372

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	988
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	988
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	988
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	7,360
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	7,360
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,372
11	Enter the amount of line 10 to be Credited to 2016 estimated tax 6,372 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.THEDAMMANNFUND.COM	13	X

14 The books are in care of ► **ENGEL & DAVIS, LLP** Telephone no ► **212-262-9154**
1700 BROADWAY 41ST FL
 Located at ► **NEW YORK** NY ZIP+4 ► **10019**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ► **15**

16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	N/A	1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ► 20 , 20 , 20 , 20	☐ Yes	☒ No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d) 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No N/A ☐ N/A ☐ Yes ☐ No ☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No N/A	5b 6b 7b	X
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PENELOPE JOHNSTON 87 INDIAN HEAD ROAD RIVERSIDE CT 06878	PRES. - DIR. 10.00	0	0	0
CHRISTOPHER M. KRAMER 1650 GARTH GATE LANE CHARLOTTESVILLE VA 22901	V.P. - DIR. 1.00	0	0	0
DANIEL KRAMER 294 WEST 4TH ST NEW YORK NY 10014	DIR 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2015) **THE DAMMANN FUND INC.****13-6089896**Page **7****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	7,769,547
b	Average of monthly cash balances	1b	187,222
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	7,956,769
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	7,956,769
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	119,352
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,837,417
6	Minimum investment return. Enter 5% of line 5	6	391,871

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	391,871
2a	Tax on investment income for 2015 from Part VI, line 5	2a	988
b	Income tax for 2015 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	988
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	390,883
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	390,883
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	390,883

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	519,372
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	519,372
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	988
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	518,384

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				390,883
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010				34,509
b From 2011				66,021
c From 2012				100,061
d From 2013				113,154
e From 2014				121,669
f Total of lines 3a through e	435,414			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 519,372				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2015 distributable amount				390,883
e Remaining amount distributed out of corpus	128,489			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	563,903			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	34,509			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	529,394			
10 Analysis of line 9				
a Excess from 2011				66,021
b Excess from 2012				100,061
c Excess from 2013				113,154
d Excess from 2014				121,669
e Excess from 2015				128,489

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling					
b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) N/A	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest N/A	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed N/A	
b The form in which applications should be submitted and information and materials they should include N/A	
c Any submission deadlines N/A	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors N/A	

Form 990-PF (2015) **THE DAMMANN FUND INC.****13-6089896**Page **11****Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT				449,125
Total			▶ 3a	449,125
b Approved for future payment N/A				
Total			▶ 3b	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
YATES	\$ 4	\$ 4	\$
MATRIX	53	53	
OTHER INCOME	8,578		
TOTAL	\$ 8,635	\$ 57	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LEGAL FEE	\$ 55,838	\$ 27,919	\$	\$ 27,919
TOTAL	\$ 55,838	\$ 27,919	\$ 0	\$ 27,919

Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING FEES	\$ 11,975	\$ 5,988	\$	\$ 5,987
TOTAL	\$ 11,975	\$ 5,988	\$ 0	\$ 5,987

Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT ADVISORY FEE	\$ 60,739	\$ 30,369	\$	\$ 30,370
TOTAL	\$ 60,739	\$ 30,369	\$ 0	\$ 30,370

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
NYS DEPT OF LAW	\$ 250			
FOREIGN TAX	1,470			
IRS - EXCISE TAX	6,673			
TOTAL	<u>\$ 8,393</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

Statement 6 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES				
OFFICE EXPENSE	2,101	1,051		1,050
CHRISTMAS EXPENSES	4,323	2,161		2,162
BANK CHARGE	101	51		50
DUES AND SUBSCRIPTIONS	2,150	1,075		1,075
TOTAL	<u>\$ 8,675</u>	<u>\$ 4,338</u>	<u>\$ 0</u>	<u>\$ 4,337</u>

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
COPORATE STOCK	\$ 3,574,319	\$ 2,840,772	COST	\$ 6,542,717
TOTAL	<u>\$ 3,574,319</u>	<u>\$ 2,840,772</u>		<u>\$ 6,542,717</u>

Federal Statements

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORP & TREASURY BONDS	\$ 608,069	\$ 963,028	COST	\$ 939,810
TOTAL	\$ 608,069	\$ 963,028		\$ 939,810

Capital Gains

12/1/2015 through 11/30/2016

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
Dammann Fund	Fidelity Government Money	3,060 370	10/15/2015	12/1/2015	3,060 37	3,060 37	0 00
Dammann Fund	KEYSIGHT TECHNOLOGIE	1,500 000	5/26/2015	12/7/2015	44,850 72	48,815 35	-3,964 63
Dammann Fund	UNION PACIFIC CORP	800 000	3/6/2015	12/8/2015	63,837 29	94,521 32	-30,684 03
Dammann Fund	UNION PACIFIC CORP	800 000	5/19/2015	12/8/2015	63,837 29	81,593 00	-17,755 71
Dammann Fund	Fidelity Government Money	67,544 040	10/15/2015	12/23/2015	67,544 04	67,544 04	0 00
Dammann Fund	Fidelity Government Money	450 000	10/19/2015	12/23/2015	450 00	450 00	0 00
Dammann Fund	Fidelity Government Money	825 000	10/28/2015	12/23/2015	825 00	825 00	0 00
Dammann Fund	Fidelity Government Money	505 000	10/30/2015	12/23/2015	505 00	505 00	0 00
Dammann Fund	Fidelity Government Money	5,607 630	11/2/2015	12/23/2015	5,607 63	5,607 63	0 00
Dammann Fund	American Express Co	1,000 000	2/12/2015	12/30/2015	69,922 21	84,974 10	-15,051 89
Dammann Fund	American Express Co	500 000	2/20/2015	12/30/2015	34,961 11	39,063 00	-4,101 89
Dammann Fund	American Express Co	500 000	4/22/2015	12/30/2015	34,961 11	38,703 45	-3,742 34
Dammann Fund	HUBBELL INCORPORATED	700 000	7/24/2015	12/30/2015	71,058 23	74,405 64	-3,347 41
Dammann Fund	Fidelity Government Money	24,310 190	11/2/2015	1/4/2016	24,310 19	24,310 19	0 00
Dammann Fund	GENERAL ELEC CAP CORP	75,000 000	12/23/2015	1/20/2016	75,615 00	74,865 00	750 00
Dammann Fund	Fidelity Government Money	24,303 680	11/2/2015	1/25/2016	24,303 68	24,303 68	0 00
Dammann Fund	Fidelity Government Money	580 000	11/10/2015	1/25/2016	580 00	580 00	0 00
Dammann Fund	Fidelity Government Money	1,092 000	11/12/2015	1/25/2016	1,092 00	1,092 00	0 00
Dammann Fund	Fidelity Government Money	2,986 160	11/16/2015	1/25/2016	2,986 16	2,986 16	0 00
Dammann Fund	Fidelity Government Money	2,600 000	11/17/2015	1/25/2016	2,600 00	2,600 00	0 00
Dammann Fund	Fidelity Government Money	43,438 160	11/24/2015	1/25/2016	43,438 16	43,438 16	0 00
Dammann Fund	Fidelity Government Money	12,390 620	11/24/2015	2/1/2016	12,390 62	12,390 62	0 00
Dammann Fund	Fidelity Government Money	39,327 500	11/24/2015	2/3/2016	39,327 50	39,327 50	0 00
Dammann Fund	Fidelity Government Money	15,207 360	11/24/2015	2/4/2016	15,207 36	15,207 36	0 00
Dammann Fund	Fidelity Government Money	35,284 470	11/24/2015	2/10/2016	35,284 47	35,284 47	0 00
Dammann Fund	Fidelity Government Money	150 000	11/25/2015	2/10/2016	150 00	150 00	0 00
Dammann Fund	Fidelity Government Money	533 600	11/27/2015	2/10/2016	533 60	533 60	0 00
Dammann Fund	Fidelity Government Money	1,095 000	12/2/2015	2/10/2016	1,095 00	1,095 00	0 00
Dammann Fund	Fidelity Government Money	474 000	12/7/2015	2/10/2016	474 00	474 00	0 00
Dammann Fund	Fidelity Government Money	11,758 330	12/7/2015	2/10/2016	11,758 33	11,758 33	0 00
Dammann Fund	Fidelity Government Money	33,092 390	12/7/2015	2/11/2016	33,092 39	33,092 39	0 00
Dammann Fund	Fidelity Government Money	1,125 000	12/8/2015	2/11/2016	1,125 00	1,125 00	0 00
Dammann Fund	Fidelity Government Money	15,754 370	12/8/2015	2/11/2016	15,754 37	15,754 37	0 00
Dammann Fund	Fidelity Government Money	2,498 910	12/10/2015	2/11/2016	2,498 91	2,498 91	0 00
Dammann Fund	Fidelity Government Money	1,025 000	12/14/2015	2/11/2016	1,025 00	1,025 00	0 00

Capital Gains

12/1/2015 through 11/30/2016

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
Dammann Fund	Fidelity Government Money	1,615 610	12/15/2015	2/11/2016	1,615 61	1,615 61	0 00
Dammann Fund	SHIRE PLC	500 000	10/14/2015	2/16/2016	78,017 89	101,193 05	-23,175 16
Dammann Fund	Fidelity Government Money	3,929 400	12/15/2015	3/1/2016	3,929 40	3,929 40	0 00
Dammann Fund	Fidelity Government Money	20 000	12/16/2015	3/1/2016	20 00	20 00	0 00
Dammann Fund	Fidelity Government Money	44 030	12/16/2015	3/1/2016	44 03	44 03	0 00
Dammann Fund	Fidelity Government Money	2,865 140	12/16/2015	3/2/2016	2,865 14	2,865 14	0 00
Dammann Fund	Fidelity Government Money	2,250 000	12/21/2015	3/2/2016	2,250 00	2,250 00	0 00
Dammann Fund	Fidelity Government Money	25,210 430	12/24/2015	3/2/2016	25,210 43	25,210 43	0 00
Dammann Fund	Fidelity Government Money	69,121 320	12/28/2015	3/2/2016	69,121 32	69,121 32	0 00
Dammann Fund	Fidelity Government Money	880 000	12/30/2015	3/2/2016	880 00	880 00	0 00
Dammann Fund	Fidelity Government Money	24,660 030	12/30/2015	3/2/2016	24,660 03	24,660 03	0 00
Dammann Fund	NASSAU CNTY NY GO BO	5,000 000	2/11/2016	3/14/2016	5,483 35	5,483 35	0 00
Dammann Fund	NASSAU CNTY NY GO BDS	45,000 000	2/11/2016	3/14/2016	49,350 15	49,350 15	0 00
Dammann Fund	Fidelity Government Money	18,295 220	12/30/2015	4/1/2016	18,295 22	18,295 22	0 00
Dammann Fund	Fidelity Government Money	11 250	12/30/2015	4/25/2016	11 25	11 25	0 00
Dammann Fund	Fidelity Government Money	9,657 540	12/30/2015	5/2/2016	9,657 54	9,657 54	0 00
Dammann Fund	NORDSTRAM INC	2,000 000	12/8/2015	5/18/2016	76,924 92	111,920 20	-34,995 28
Dammann Fund	Fidelity Government Money	46,617 610	12/30/2015	5/24/2016	46,617 61	46,617 61	0 00
Dammann Fund	CALIFORNIA RESOURCES	93 000	3/24/2016	5/25/2016	146 01	0 00	146 01
Dammann Fund	Fidelity Government Money	102,386 670	12/30/2015	6/21/2016	102,386 67	102,386 67	0 00
Dammann Fund	Fidelity Government Money	9,274 330	12/30/2015	6/23/2016	9,274 33	9,274 33	0 00
Dammann Fund	Fidelity Government Money	1,160 600	12/31/2015	6/23/2016	1,160 60	1,160 60	0 00
Dammann Fund	Fidelity Government Money	1 160	1/4/2016	6/23/2016	1 16	1 16	0 00
Dammann Fund	Fidelity Government Money	900 000	1/8/2016	6/23/2016	900 00	900 00	0 00
Dammann Fund	Fidelity Government Money	7,343 530	1/11/2016	6/23/2016	7,343 53	7,343 53	0 00
Dammann Fund	Fidelity Government Money	170 000	1/14/2016	6/23/2016	170 00	170 00	0 00
Dammann Fund	Fidelity Government Money	2,298 750	1/15/2016	6/23/2016	2,298 75	2,298 75	0 00
Dammann Fund	Fidelity Government Money	450 000	1/19/2016	6/23/2016	450 00	450 00	0 00
Dammann Fund	Fidelity Government Money	1,114 580	1/21/2016	6/23/2016	1,114 58	1,114 58	0 00
Dammann Fund	Fidelity Government Money	825 000	1/27/2016	6/23/2016	825 00	825 00	0 00
Dammann Fund	Fidelity Government Money	505 000	1/29/2016	6/23/2016	505 00	505 00	0 00
Dammann Fund	Fidelity Government Money	3 960	2/1/2016	6/23/2016	3 96	3 96	0 00
Dammann Fund	Fidelity Government Money	1,092 000	2/10/2016	6/23/2016	1,092 00	1,092 00	0 00
Dammann Fund	Fidelity Government Money	3,152 840	2/16/2016	6/23/2016	3,152 84	3,152 84	0 00
Dammann Fund	Fidelity Government Money	41,670 510	2/16/2016	6/23/2016	41,670 51	41,670 51	0 00
Dammann Fund	Fidelity Government Money	15,084 850	2/16/2016	6/30/2016	15,084 85	15,084 85	0 00
Dammann Fund	Fidelity Government Money	21,262 530	2/16/2016	7/11/2016	21,262 53	21,262 53	0 00

Capital Gains

12/1/2015 through 11/30/2016

3/24/2017

Page 3

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
Dammann Fund	Fidelity Government Money	398 500	2/17/2016	7/11/2016	398 50	398 50	0 00
Dammann Fund	Fidelity Government Money	407 750	2/22/2016	7/11/2016	407 75	407 75	0 00
Dammann Fund	Fidelity Government Money	533 600	2/26/2016	7/11/2016	533 60	533 60	0 00
Dammann Fund	Fidelity Government Money	2 590	3/1/2016	7/11/2016	2 59	2 59	0 00
Dammann Fund	Fidelity Government Money	1,500 000	3/2/2016	7/11/2016	1,500 00	1,500 00	0 00
Dammann Fund	Fidelity Government Money	1,725 000	3/8/2016	7/11/2016	1,725 00	1,725 00	0 00
Dammann Fund	Fidelity Government Money	1,170 000	3/9/2016	7/11/2016	1,170 00	1,170 00	0 00
Dammann Fund	Fidelity Government Money	2,498 910	3/10/2016	7/11/2016	2,498 91	2,498 91	0 00
Dammann Fund	Fidelity Government Money	1,110 000	3/14/2016	7/11/2016	1,110 00	1,110 00	0 00
Dammann Fund	Fidelity Government Money	6,629 810	3/15/2016	7/11/2016	6,629 81	6,629 81	0 00
Dammann Fund	Fidelity Government Money	23 200	3/16/2016	7/11/2016	23 20	23 20	0 00
Dammann Fund	Fidelity Government Money	740 000	3/22/2016	7/11/2016	740 00	740 00	0 00
Dammann Fund	Fidelity Government Money	924 000	3/31/2016	7/11/2016	924 00	924 00	0 00
Dammann Fund	Fidelity Government Money	1 870	4/1/2016	7/11/2016	1 87	1 87	0 00
Dammann Fund	Fidelity Government Money	640 000	4/4/2016	7/11/2016	640 00	640 00	0 00
Dammann Fund	Fidelity Government Money	0 630	4/7/2016	7/11/2016	0 63	0 63	0 00
Dammann Fund	Fidelity Government Money	900 000	4/8/2016	7/11/2016	900 00	900 00	0 00
Dammann Fund	Fidelity Government Money	1,270 030	4/12/2016	7/11/2016	1,270 03	1,270 03	0 00
Dammann Fund	Fidelity Government Money	170 000	4/14/2016	7/11/2016	170 00	170 00	0 00
Dammann Fund	Fidelity Government Money	5,203 750	4/15/2016	7/11/2016	5,203 75	5,203 75	0 00
Dammann Fund	Fidelity Government Money	450 000	4/18/2016	7/11/2016	450 00	450 00	0 00
Dammann Fund	Fidelity Government Money	221 630	4/18/2016	7/11/2016	221 63	221 63	0 00
Dammann Fund	Fidelity Government Money	6 630	4/18/2016	7/20/2016	6 63	6 63	0 00
Dammann Fund	Fidelity Government Money	587 120	4/18/2016	8/16/2016	587 12	587 12	0 00
Dammann Fund	Fidelity Government Money	412 500	4/27/2016	8/16/2016	412 50	412 50	0 00
Dammann Fund	Fidelity Government Money	450 000	4/28/2016	8/16/2016	450 00	450 00	0 00
Dammann Fund	Fidelity Government Money	567 500	4/29/2016	8/16/2016	567 50	567 50	0 00
Dammann Fund	Fidelity Government Money	1 710	5/2/2016	8/16/2016	1 71	1 71	0 00
Dammann Fund	Fidelity Government Money	1,197 300	5/12/2016	8/16/2016	1,197 30	1,197 30	0 00
Dammann Fund	Fidelity Government Money	57,317 270	5/13/2016	8/16/2016	57,317 27	57,317 27	0 00
Dammann Fund	Fidelity Government Money	15,068 510	5/13/2016	9/1/2016	15,068 51	15,068 51	0 00
Dammann Fund	Fidelity Government Money	2,442 620	5/13/2016	9/20/2016	2,442 62	2,442 62	0 00
Dammann Fund	Fidelity Government Money	2,600 000	5/16/2016	9/20/2016	2,600 00	2,600 00	0 00
Dammann Fund	Fidelity Government Money	600 300	5/17/2016	9/20/2016	600 30	600 30	0 00
Dammann Fund	Fidelity Government Money	74,946 030	5/18/2016	9/20/2016	74,946 03	74,946 03	0 00
Dammann Fund	Fidelity Government Money	1,978 890	5/18/2016	10/18/2016	1,978 89	1,978 89	0 00
Dammann Fund	Fidelity Government Money	146 010	5/25/2016	10/18/2016	146 01	146 01	0 00

Capital Gains

12/1/2015 through 11/30/2016

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
Dammann Fund	Fidelity Government Money	20,563 420	5/27/2016	10/18/2016	20,563 42	20,563 42	0 00
Dammann Fund	Fidelity Government Money	79,421 400	5/27/2016	10/31/2016	79,421 40	79,421 40	0 00
Dammann Fund	Fidelity Government Money	21,240 600	6/13/2016	10/31/2016	21,240 60	21,240 60	0 00
Dammann Fund	Fidelity Government Money	15,075 750	6/13/2016	11/1/2016	15,075 75	15,075 75	0 00
Dammann Fund	Fidelity Government Money	89,694 350	7/1/2016	11/1/2016	89,694 35	89,694 35	0 00
Dammann Fund	Fidelity Government Money	6,970 090	7/1/2016	11/2/2016	6,970 09	6,970 09	0 00
Dammann Fund	Fidelity Government Money	57,134 110	7/6/2016	11/2/2016	57,134 11	57,134 11	0 00
Dammann Fund	Fidelity Government Money	102,706 050	7/6/2016	11/15/2016	102,706 05	102,706 05	0 00
Dammann Fund	Fidelity Government Money	6 630	7/20/2016	11/15/2016	6 63	6 63	0 00
Dammann Fund	Fidelity Government Money	151,886 860	8/17/2016	11/15/2016	151,886 86	151,886 86	0 00
Dammann Fund	Fidelity Government Money	45,400 460	10/11/2016	11/15/2016	45,400 46	45,400 46	0 00
TOTAL SHORT TERM					2,204,801.33	2,340,723.66	-135,922.33
LONG TERM							
Dammann Fund	Allison Transmission Holding	1,000 000	11/29/2013	12/24/2015	25,210 43	27,192 06	-1,981 63
Dammann Fund	ITT Coporation	2,000 000	10/9/2014	12/28/2015	69,121 32	88,382 60	-19,261 28
Dammann Fund	Perrigo Company PLC	300 000	4/4/2014	5/13/2016	28,060 65	46,830 00	-18,769 35
Dammann Fund	Perrigo Company PLC	500 000	5/12/2014	5/13/2016	46,767 75	66,048 65	-19,280 90
Dammann Fund	WALT DISNEY CO HOLDIN	1,000 000	1/1/1970	5/27/2016	99,984 82	1,866 83	98,117 99
Dammann Fund	eBay Inc	1,500 000	5/28/2014	6/13/2016	36,316 35	77,791 82	-41,475 47
Dammann Fund	American Express Co Bond	100,000 000	11/12/2014	7/1/2016	96,664 44	101,100 00	-4,435 56
Dammann Fund	UNITED PARCEL SVC INC	1,000 000	6/25/2010	7/6/2016	106,560 11	61,853 01	44,707 10
Dammann Fund	UNITED PARCEL SVC INC	500 000	3/10/2011	7/6/2016	53,280 05	36,028 76	17,251 29
Dammann Fund	PRAXAIR INC	900 000	10/24/2008	8/17/2016	105,152 44	60,265 17	44,887 27
Dammann Fund	PRAXAIR INC	400 000	11/12/2008	8/17/2016	46,734 42	25,013 77	21,720 65
Dammann Fund	ALLERGAN PLC	294 000	3/17/2015	10/11/2016	69,838 24	89,670 00	-19,831 76
Dammann Fund	ALLERGAN PLC	300 000	4/7/2015	10/11/2016	71,263 51	88,339 15	-17,075 64
Dammann Fund	Coca-Cola Company	2,000 000	10/4/2013	10/18/2016	83,205 18	76,437 77	6,767 41
Dammann Fund	Novartis AG	1,000 000	2/13/2012	11/16/2016	71,904 06	56,692 58	15,211 48
Dammann Fund	Novartis AG	500 000	3/6/2012	11/16/2016	35,952 03	27,549 31	8,402 72
Dammann Fund	Oracle Corp	1,000 000	1/27/2009	11/23/2016	39,808 63	16,787 83	23,020 80
TOTAL LONG TERM					1,085,824.43	947,849.31	137,975.12
OVERALL TOTAL					3,290,625.76	3,288,572.97	2,052.79

The Dammann Fund, Inc.

FY '16 Gifts

December 1, 2015 - November 30, 2016

Fund	Grant Count	Grant Amount
Johnston/Swartchild Family	30	\$74,200.00
Joint Gifts - Mental Health	23	\$130,000.00
Joint Gifts - Teen Parenthood	18	\$124,300.00
Kramer, Christopher & Catherine	64	\$37,125.00
Kramer, Daniel	38	\$37,500.00
Legacy Gifts	8	\$46,000.00
Grand Total	<u>181</u>	<u>\$449,125.00</u>

The Dammann Fund, Inc.

FY '16 Gifts

December 1, 2015 - November 30, 2016
3/16/2017

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
<u>Johnston/Swartchild Family</u>			
Brain & Behavior Research Foundation New York, New York	\$1,500.00	Cash Grants \$1,500.00	11/29/2016
Atlantic Salmon Federation (U.S.) Calais, ME	\$500.00	Cash Grants \$500.00	11/29/2016
Boston Children's Chorus Boston, MA	\$500.00	Cash Grants \$500.00	11/29/2016
Brooklyn Animal Rescue Coalition (BARC Shelter) Brooklyn, NY	\$250.00	Cash Grants \$250.00	11/29/2016
Caramoor Center for Music & the Arts Inc. Katonah, NY	\$3,000.00	Cash Grants \$3,000.00	3/31/2016
Caramoor Center for Music & the Arts Inc. Katonah, NY	\$2,500.00	Cash Grants \$2,500.00	11/30/2016
City of Hope Duarte, CA <i>Tim Nesvig Fellowship Program</i>	\$1,500.00	Cash Grants \$1,500.00	11/30/2016
Columbia University Department of Neurological Surgery New York, NY	\$500.00	Cash Grants \$500.00	11/30/2016
Family Services of Westchester Port Chester, NY	\$1,000.00	Cash Grants \$1,000.00	11/30/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
The Food Bank of Lower Fairfield County Stamford, CT 06906 <i>[Katherine Penna]</i>	\$500.00	Cash Grants	11/30/2016
Greenwich Adult Day Center Cos Cob, CT	\$250.00	Cash Grants	11/30/2016
Georgetown University Washington, DC <i>Katherine Penna, '94</i>	\$1,000.00	Cash Grants	11/30/2016
Helen Keller International New York, NY	\$500.00	Cash Grants	11/30/2016
Lincoln Center Theater New York, NY	\$2,500.00	Cash Grants	11/21/2016
Mental Health News Education, Inc. Effort, PA	\$250.00	Cash Grants	11/30/2016
The Metropolitan Museum of Art New York, NY	\$250.00	Cash Grants	11/21/2016
Museum of Modern Art New York, NY	\$1,200.00	Cash Grants	11/30/2016
ONS Foundation for Clinical Research & Education, Inc. Greenwich, CT	\$1,000.00	Cash Grants	11/30/2016
Pathways, Inc. Greenwich, CT	\$500.00	Cash Grants	11/30/2016
The Performing Arts Center Purchase, NY	\$1,000.00	Cash Grants	11/30/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Planned Parenthood of Southern New England New Haven, CT	\$2,000.00	Cash Grants	11/30/2016
Posse Foundation, Inc. New York, NY	\$500.00	Cash Grants	11/30/2016
Royal Family Productions, Inc. New York, NY	\$7,500.00	Cash Grants	2/16/2016
Rye Country Day School Rye, NY <i>Penelope D. Johnston, '59</i>	\$250.00	Cash Grants	11/30/2016
Skidmore College Saratoga Springs, NY	\$40,000.00	Cash Grants	11/30/2016
Stamford Hospital Stamford, CT	\$1,000.00	Cash Grants	11/30/2016
Thirteen/WNET New York New York, NY	\$250.00	Cash Grants	11/30/2016
Whitby School Greenwich, CT	\$2,000.00	Cash Grants	11/30/2016
WNYC New York, NY	\$250.00	Cash Grants	11/30/2016
World Learning, Inc. Brattleboro, VT	\$250.00	Cash Grants	11/30/2016
<i>Total Johnston/Swartchild Family (30 items)</i>		\$74,200.00	

Joint Gifts - Mental Health

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
ANDRUS (Julia Dyckman Andrus Memorial, Inc. Yonkers, NY	\$5,000.00	Cash Grants	11/22/2016
Brooklyn Community Housing and Services, Inc. Brooklyn, NY	\$5,000.00	Cash Grants	11/22/2016
Camba Brooklyn, NY	\$7,500.00	Cash Grants	11/22/2016
Center for Urban Community Services (CUCS) New York, NY	\$5,000.00	Cash Grants	11/22/2016
Charlottesville Free Clinic Charlottesville, VA	\$5,000.00	Cash Grants	11/22/2016
Comunilife New York, NY	\$7,500.00	Cash Grants	11/22/2016
The Door New York, NY	\$7,500.00	Cash Grants	11/22/2016
Esperanza NY New York, NY	\$7,500.00	Cash Grants	11/22/2016
Fountain House New York, NY	\$5,000.00	Cash Grants	11/22/2016
Jewish Board of Family and Children's Services New York, NY	\$5,000.00	Cash Grants	11/22/2016
Lantern Community Services New York, NY	\$5,000.00	Cash Grants	11/22/2016
Laurel House, Inc. Stamford, CT	\$5,000.00	Cash Grants	11/22/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Lenox Hill Neighborhood House New York, NY	\$5,000.00	Cash Grants	11/22/2016
Liberation Programs, Inc. Norwalk, CT	\$5,000.00	Cash Grants	11/22/2016
Northside Center for Child Development, Inc. New York, NY	\$5,000.00	Cash Grants	11/22/2016
Partnership for the Homeless New York, NY	\$5,000.00	Cash Grants	11/22/2016
Providence House Brooklyn, NY	\$7,500.00	Cash Grants	11/22/2016
Ramapo for Children New York, NY	\$5,000.00	Cash Grants	11/22/2016
Region Ten Community Services Board Charlottesville, Virginia <i>Bridges Employment Program</i>	\$5,000.00	Cash Grants	11/30/2016
Service Program for Older People New York, NY	\$5,000.00	Cash Grants	11/22/2016
Start Treatment & Recovery Centers Brooklyn, NY	\$7,500.00	Cash Grants	11/22/2016
The Women's Initiative Charlottesville, VA <i>Mental Health Care to Low Income Women</i>	\$5,000.00	Cash Grants	11/30/2016
YMCA of Greater New York New York, NY	\$5,000.00	Cash Grants	11/22/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
<i>Total Joint Gifts - Mental Health (23 items)</i>			
	\$130,000.00	\$130,000.00	
<u>Joint Gifts - Teen Parenthood</u>			
Alex House Project Brooklyn, NY	\$10,000.00	Cash Grants	11/28/2016
Bronx Community College Foundation New York, NY	\$7,000.00	Cash Grants	11/28/2016
The Central Family Life Center Inc. Staten Island, NY	\$10,000.00	Cash Grants	11/28/2016
Children of Bellevue, Inc. New York, NY	\$5,000 00	Cash Grants	11/28/2016
Children's Health Fund New York, NY	\$7,500.00	Cash Grants	11/28/2016
The Children's Village Dobbs Ferry, New York	\$5,000.00	Cash Grants	11/28/2016
Full Circle Life Enrichment Center, Inc. Bronx, NY	\$10,000 00	Cash Grants	11/28/2016
GBAPP, Inc./ Greater Bridgeport Area Prevention Program Bridgeport, CT	\$10,000.00	Cash Grants	11/28/2016
Jefferson Area Chip Charlottesville, VA	\$5,000.00	Cash Grants	11/28/2016
Leake & Watts Services, Inc. Yonkers, NY	\$10,000 00	Cash Grants	11/28/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Mercy Learning Center Bridgeport, CT	\$5,000.00	Cash Grants	11/28/2016
The Mount Sinai Hospital New York, NY	\$5,000.00	Cash Grants	11/28/2016
The New York Society for the Prevention of Cruelty of Children New York, NY	\$5,000.00	Cash Grants	11/28/2016
Planned Parenthood of New York City, Inc. New York, NY	\$4,800.00	Cash Grants	11/28/2016
ReadyKids Charlottesville, VA	\$7,500.00	Cash Grants	11/28/2016
St. Barnabas Hospital, Inc. Bronx, NY	\$7,500.00	Cash Grants	11/28/2016
St. John's Bread & Life Brooklyn, New York	\$5,000.00	Cash Grants	11/28/2016
Westchester Jewish Community Services White Plains, NY	\$5,000.00	Cash Grants	11/28/2016
<i>Total Joint Gifts - Teen Parenthood (18 items)</i>		\$124,300.00	
<u>Kramer, Christopher & Catherine</u>			
American Diabetes Association Alexandria, VA	\$250.00	Cash Grants	6/6/2016
American Friends Service Committee Philadelphia, PA	\$250.00	Cash Grants	6/6/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Ash Lawn Opera Festival Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
Ashley Barrasso Cancer Research Fund Pittsburgh, PA	\$250.00	Cash Grants	6/6/2016
Ballet Tech Foundation, Inc. New York, NY	\$250.00	Cash Grants	6/6/2016
Bat Conservation International Austin, TX	\$250.00	Cash Grants	6/6/2016
Blue Ridge Area Food Bank Verona, VA	\$500.00	Cash Grants	6/6/2016
Brehm Preparatory School Carbondale, IL	\$2,500.00	Cash Grants	3/22/2016
Camp Holiday Trails Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
Charlottesville Chamber Music Festival Charlottesville, VA	\$1,000.00	Cash Grants	6/6/2016
Charlottesville City School Book Buddies Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
Charlottesville Free Clinic Charlottesville, VA	\$500.00	Cash Grants	6/6/2016
Charlottesville-Albemarle SPCA Charlottesville, VA	\$500.00	Cash Grants	6/6/2016
Chesapeake Bay Foundation Baltimore, MD	\$250.00	Cash Grants	6/6/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Computers4Kids Charlottesville, VA	\$250.00	Cash Grants \$250.00	6/6/2016
Doctors Without Borders Merrifield, VA	\$250.00	Cash Grants \$250.00	6/6/2016
Habitat for Humanity International Americus, GA	\$250.00	Cash Grants \$250.00	6/6/2016
Harvard College Cambridge, MA <i>Christopher M Kramer, '82</i>	\$2,500.00	Cash Grants \$2,500.00	3/22/2016
Hospice of the Piedmont Charlottesville, VA	\$250.00	Cash Grants \$250.00	6/6/2016
The Humane Society of the United States Washington, DC	\$250.00	Cash Grants \$250.00	6/6/2016
Legal Aid Justice Center Charlottesville, VA	\$250.00	Cash Grants \$250.00	6/6/2016
Live Arts Charlottesville, VA	\$250.00	Cash Grants \$250.00	6/6/2016
Mental Health America of Charlottesville-Albemarle Charlottesville, VA	\$250.00	Cash Grants \$250.00	6/6/2016
NAAACP Baltimore, MD	\$250.00	Cash Grants \$250.00	6/6/2016
National Parks Conservation Association Washington, DC	\$250.00	Cash Grants \$250.00	6/6/2016
National Wildlife Federation Merrifield, VA	\$250.00	Cash Grants \$250.00	6/6/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Natural Resources Defense Council New York, New York	\$250.00	Cash Grants	6/6/2016
The Nature Conservancy Arlington, VA	\$250.00	Cash Grants	6/6/2016
Oxfam America Boston, MA	\$250.00	Cash Grants	6/6/2016
Paramount Theater Charlottesville, VA	\$1,000.00	Cash Grants	6/6/2016
Piedmont CASHA, Inc. Charlottesville, VA 22902	\$250.00	Cash Grants	6/6/2016
Piedmont Council of the Arts Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
Planned Parenthood Federation of America, Inc. New York, NY	\$1,000.00	Cash Grants	6/6/2016
Planned Parenthood of Blue Ridge Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
Rails to Trails Conservancy Washington, DC	\$250.00	Cash Grants	6/6/2016
ReadyKids Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
Ronald McDonald House Charities of Charlottesville Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
Sexual Assault Resource Agency (SARA) Charlottesville, VA	\$250.00	Cash Grants	6/6/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Shenandoah Shakespeare's American Shakespeare Center Staunton, VA	\$250.00	Cash Grants	6/6/2016
Sidwell Friends School Washington, DC <i>Christopher M Kramer, '78</i>	\$2,500.00	Cash Grants	3/22/2016
Sierra Club San Francisco, CA	\$250.00	Cash Grants	6/6/2016
Smithsonian Institute Washington, DC	\$250.00	Cash Grants	6/6/2016
Southern Environmental Law Center Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
Southern Poverty Law Center Montgomery, AL	\$250.00	Cash Grants	6/6/2016
Special Olympics Schenectady, NY	\$250.00	Cash Grants	6/6/2016
TimeBanks USA Washington, DC	\$250.00	Cash Grants	6/6/2016
Tuesday Evening Concert Series (TECS) Charlottesville, VA	\$1,000.00	Cash Grants	6/6/2016
Tulane University New Orleans, LA <i>Kramer Law Scholarship Endowed Fund</i>	\$2,500.00	Cash Grants	3/22/2016
UC Davis Foundation Davis, CA	\$500.00	Cash Grants	3/22/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
United Jewish Fund of Charlottesville Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
United Negro College Fund Richmond, VA	\$250.00	Cash Grants	11/28/2016
United States Olympic Committee Albert Lea, MN	\$250.00	Cash Grants	6/6/2016
University of California at San Francisco San Francisco, CA <i>Christopher M Kramer, '86 & Catherine C Kramer, '86</i>	\$2,500.00	Cash Grants	3/22/2016
University of Pennsylvania Perelman School of Medicine Philadelphia, PA	\$2,500.00	Cash Grants	3/22/2016
University of Virginia Art Museum Charlottesville, VA	\$250.00	Cash Grants	6/6/2016
University of Virginia School of Medicine Charlottesville, VA	\$2,500.00	Cash Grants	3/22/2016
US Committee for Refugees Arlington, VA	\$250.00	Cash Grants	6/6/2016
Virginia Center for the Creative Arts Sweet Briar, VA	\$250.00	Cash Grants	6/6/2016
Virginia Museum of Natural History - UVA Martinsville, VA	\$250.00	Cash Grants	6/6/2016
WMRA Harrisonburg, VA	\$250.00	Cash Grants	6/6/2016
World Learning, Inc. Brattleboro, VT	\$1,000.00	Cash Grants	6/6/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
World Wildlife Fund Washington, DC	\$250.00	Cash Grants	6/6/2016
WYEP-FM Pittsburgh, PA	\$125.00	Cash Grants	6/6/2016
Yale Parents Annual Fund New Haven, Connecticut	\$1,000.00	Cash Grants	3/22/2016
<i>Total Kramer, Christopher & Catherine (64 items)</i>		\$37,125.00	
<u>Kramer, Daniel</u>			
The Astor Home for Children Rhinebeck, NY	\$500.00	Cash Grants	10/10/2016
Atlantic Theater Company New York, NY	\$1,500.00	Cash Grants	10/10/2016
Bank Street College of Education New York, NY	\$500.00	Cash Grants	10/10/2016
BeingKind, Inc. Guilford, NY	\$1,000.00	Cash Grants	10/10/2016
Berklee College of Music Boston, MA	\$500.00	Cash Grants	10/10/2016
Big Apple Circus Brooklyn, NY	\$500.00	Cash Grants	10/10/2016
Central Park Conservancy New York, NY	\$1,000.00	Cash Grants	10/10/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Children's Aid Society New York, NY	\$1,000.00	Cash Grants	10/10/2016
City Center of Music & Drama New York, NY	\$1,000.00	Cash Grants	10/10/2016
Coalition for the Homeless New York, NY	\$2,000.00	Cash Grants	10/10/2016
Colby College Waterville, ME <i>Daniel R. Kramer, '84</i>	\$1,000.00	Cash Grants	10/10/2016
Film Forum New York, NY	\$750.00	Cash Grants	10/10/2016
Food for Survival Food Bank Bronx, NY	\$500.00	Cash Grants	10/10/2016
Fresh Air Fund New York, NY	\$500.00	Cash Grants	10/10/2016
Georgetown University Washington, DC <i>Daniel R. Kramer, '85</i>	\$1,500.00	Cash Grants	10/10/2016
Grand Street Settlement New York, NY	\$2,000.00	Cash Grants	10/10/2016
Green Chimneys Brewster, NY	\$250.00	Cash Grants	10/10/2016
Greenwich House New York, NY	\$1,000.00	Cash Grants	10/10/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Guiding Eyes for the Blind, Inc. Yorktown Heights, NY	\$500.00	Cash Grants	10/10/2016
Henry Street Settlement New York, NY	\$1,500.00	Cash Grants	10/10/2016
Hospital Audiences, Inc. (HAI) Long Island City, NY	\$250.00	Cash Grants	10/10/2016
Meals on Wheels New York, NY	\$1,000.00	Cash Grants	10/10/2016
National Black Child Development Institute, Inc. Washington, D.C.	\$500.00	Cash Grants	10/10/2016
The New Group New York, New York	\$1,500.00	Cash Grants	10/10/2016
New York Public Library New York, NY	\$1,250.00	Cash Grants	10/10/2016
Project Renewal New York, NY	\$1,000.00	Cash Grants	10/10/2016
Quest Youth Organization, Inc. Brooklyn, NY	\$1,500.00	Cash Grants	10/10/2016
Salvation Army in Greater New York New York, NY	\$500.00	Cash Grants	10/10/2016
The Seeing Eye Morristown, NJ	\$1,000.00	Cash Grants	10/10/2016
Sidwell Friends School Washington, DC <i>Daniel R. Kramer, '80</i>	\$1,500.00	Cash Grants	10/10/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Special Olympics Schenectady, NY	\$500.00	Cash Grants	10/10/2016
St. John's Bread & Life Brooklyn, New York	\$500.00	Cash Grants	10/10/2016
Student/Sponsor Partnership, Inc. New York, NY	\$2,000.00	Cash Grants	10/10/2016
The Summer Camp Bridgton, ME	\$500.00	Cash Grants	10/10/2016
Thirteen/WNET New York New York, NY	\$500.00	Cash Grants	10/10/2016
Tulane University New Orleans, LA <i>Kramer Law Scholarship Endowed Fund</i>	\$2,500.00	Cash Grants	3/22/2016
U.S. Committee for Refugees Arlington, VA	\$500.00	Cash Grants	10/10/2016
Wildlife Conservation Society Bronx, NY	\$1,500.00	Cash Grants	10/10/2016
Total Kramer, Daniel (38 items)		\$37,500.00	
Legacy Gifts			
American Red Cross Fairfax, VA <i>Haiti Relief</i>	\$5,000.00	Cash Grants	10/17/2016
Family Services of Westchester Port Chester, NY	\$35,000.00	Cash Grants	11/28/2016

Organization Project Title	Grant Amount	Paid Type To Date Status	Grant Date
Fund for the Aged, Inc. New York, NY <i>The Lester Eisner, Jr. Center</i>	\$1,000.00	Cash Grants	11/21/2016
Harvard Law School Cambridge, MA <i>Richard W. Dammann, Class of '35</i>	\$1,000.00	Cash Grants	11/21/2016
Partnership for After School Programs (PASE) New York, NY <i>In Memory of Alistair D. Johnston</i>	\$1,000.00	Cash Grants	11/21/2016
Princeton University Princeton, NJ <i>Richard W. Dammann, Class of '32</i>	\$1,000.00	Cash Grants	11/21/2016
Radcliffe Institute for Advanced Study Cambridge, MA <i>Debora D. Kramer, Class of '60</i>	\$1,000.00	Cash Grants	11/21/2016
Smith College Alumnae Fund Boston, MA <i>Marjorie S. Dammann, Class of '34</i>	\$1,000.00	Cash Grants	11/21/2016
Total Legacy Gifts (8 items)	\$46,000.00		
Grand Total (181 items)	\$449,125.00		