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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 12-01-2015, and ending 11-30-2016

Name of foundation John C GnswoId Foundation		A Employer identification number 13-2978937	
% Foundation Source		B Telephone number (see instructions) (800) 839-1754	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here	
G Check all that apply		D 1. Foreign organizations, check here	
☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization		E If private foundation status was terminated under section 507(b)(1)(A), check here	
☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 13,880,634		J Accounting method	
		☒ Cash ☐ Accrual ☐ Other (specify)	
		(Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses		Revenue and expenses per books	Net investment income	Adjusted net income	Disbursements for charitable purposes
		(a)	(b)	(c)	(d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	418	418		
	4 Dividends and interest from securities	325,542	325,542		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	138,710			
	b Gross sales price for all assets on line 6a				
	3,661,818				
	7 Capital gain net income (from Part IV, line 2)		138,710		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total.Add lines 1 through 11	464,670	464,670		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	18,000	0	0	18,000
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)	129,872	129,872		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,926	626		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	27,636			27,636
	22 Printing and publications				
	23 Other expenses (attach schedule).	55,146			55,146
	24 Total operating and administrative expenses. Add lines 13 through 23	234,580	130,498	0	100,782
	25 Contributions, gifts, grants paid	856,500			856,500
	26 Total expenses and disbursements.Add lines 24 and 25	1,091,080	130,498	0	957,282
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-626,410			
	b Net investment income (if negative, enter -0-)		334,172		
	c Adjusted net income(if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	548,249	311,145	311,145
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	593,086	494,033	498,922
	b	Investments—corporate stock (attach schedule)	8,001,273	7,587,712	10,732,798
	c	Investments—corporate bonds (attach schedule)	2,242,965	2,366,273	2,337,769
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	11,385,573	10,759,163	13,880,634	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	11,385,573	10,759,163	
	30	Total net assets or fund balances(see instructions)	11,385,573	10,759,163	
31	Total liabilities and net assets/fund balances(see instructions) . .	11,385,573	10,759,163		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	11,385,573
2	Enter amount from Part I, line 27a	2	-626,410
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	10,759,163
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	10,759,163

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase D—Donation (b)	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	Publicly-traded Securities			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 3,661,818		3,523,108	138,710
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			138,710
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	138,710
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	904,914	14,680,392	0 061641
2013	835,392	14,819,530	0 056371
2012	772,356	13,676,877	0 056472
2011	746,181	12,691,206	0 058795
2010	742,660	12,955,754	0 057323

2	Total of line 1, column (d).	2	0 290602
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 05812
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	13,531,222
5	Multiply line 4 by line 3.	5	786,435
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,342
7	Add lines 5 and 6.	7	789,777
8	Enter qualifying distributions from Part XII, line 4.	8	957,282

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	3,342
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	3,342
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,342
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	9,304
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868).	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	9,304
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,962
11	Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ 3,400 Refunded ☐	11	2,562

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			No
		1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No				
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No				
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13	Yes				
14 The books are in care of ► <u>Foundation Source</u> Telephone no ► <u>(800) 839-1754</u> Located at ► <u>501 Silverside Road Suite 123 Wilmington DE</u> ZIP+4 ► <u>198091377</u>						
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year	15	☐				
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ► _____	16	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: center;">Yes</td> <td style="width:50%; text-align: center;">No</td> </tr> <tr> <td style="height: 40px;"></td> <td style="height: 40px;"></td> </tr> </table>	Yes	No		
Yes	No					

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a During the year did the foundation (either directly or indirectly)				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No				
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No				
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No				
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No				
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No				
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No				
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b			No
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c			No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____				
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b			
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No				
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i>).	3b			
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a			No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

☒

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

☒

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

☒

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☒

Yes

☐

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

☒

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☒ Yes

☐ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week devoted to position (b)	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week devoted to position (b)	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
UBS Financial Services 8044 MONTGOMERY RD CINCINNATI, OH 452362926	Investment Mgmt	129,872
Foundation Source 55 Walls Drive 3rd floor Fairfield, CT 06824	Administrative	54,396

Total

number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	

Total.

Add lines 1 through 3 ▶

Part X

Minimum Investment Return
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	13,201,730
b	Average of monthly cash balances.	1b	535,551
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	13,737,281
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	13,737,281
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	206,059
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,531,222
6	Minimum investment return. Enter 5% of line 5.	6	676,561

Part XI

Distributable Amount
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	676,561
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	3,342
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,342
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	673,219
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	673,219
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . .	7	673,219

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	957,282
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	957,282
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	3,342
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	953,940

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				673,219
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 2013 , 2012 , 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.			112,902	
b From 2011.			124,972	
c From 2012.			108,741	
d From 2013.			122,988	
e From 2014.			179,886	
f Total of lines 3a through e.	649,489			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ 957,282				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				673,219
e Remaining amount distributed out of corpus	284,063			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	933,552			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .	112,902			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	820,650			
10 Analysis of line 9				
a Excess from 2011. . . .			124,972	
b Excess from 2012. . . .			108,741	
c Excess from 2013. . . .			122,988	
d Excess from 2014. . . .			179,886	
e Excess from 2015. . . .			284,063	

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3	Complete 3a, b, or c for the alternative test relied upon					

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942 (1) (3) (B) (1)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONF

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	856,500
b Approved for future payment				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	418	
4 Dividends and interest from securities.			14	325,542	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	138,710	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e).				464,670	
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13		464,670

[illegible]

Part VII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☒No

☐Yes

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2017-08-18

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒Yes

☐No

Paid Preparer Use Only

Print/Type preparer's name Jeffrey D Haskell	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01345770
Firm's name ☐ Foundation Source			Firm's EIN ☐	
Firm's address ☐ One Hollow Ln Ste 212 Lake Success, NY 11042			Phone no (800) 839-1754	

Form 990-PF (2015)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Allyson Gnsword Dayak 	Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
David Earls 	Pres* / Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Jeffrey W Earls 	Pres / VP* / Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
John G Earls 	Treas 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
John V Earls 	Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Kit Earls 	Sec / Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Michael G Earls 	VP / Sec* / Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Mark Gnsword 	Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
D Ross Gnsword Jr 	VP / Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AIDS SERVICE FOUNDATION OF GREATER KANSAS CITY PO BOX 32192 KANSAS CITY,MO 64171	N/A	PC	General & Unrestricted	10,000
BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BEACH,CA 92649	N/A	PC	General & Unrestricted	65,000
BUYAMBA INC 3625 E THOUSAND OAKS BLVD STE 265 WESTLAKE VILLAGE,CA 91362	N/A	PC	General & Unrestricted	10,000
CARDEN CONSERVATORY PARENT SUPPORT GROUP INC 5702 CLARK DR HUNTINGTON BEACH,CA 92649	N/A	PC	General & Unrestricted	5,000
CHORUS AMERICA ASSOCIATION 1156 - 15TH ST NW WASHINGTON,DC 20005	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	6,000
CINCINNATI PLAYHOUSE IN THE PARK 962 MOUNT ADAMS CIR CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	3,000
DIRECT CONNECTIONS TO AFRICA INC 1278 GLENNEYRE STE 47 LAGUNA BEACH, CA 92651	N/A	PC	General & Unrestricted	37,250
DRAGONFLY DEVELOPMENT RESOURCES INC 51 FRONT ST APT 327 CUMBERLAND, RI 02864	N/A	PF	Expenditure Responsibility Grant	50,000
FAMILY REENTRY INC 75 WASHINGTON AVE BRIDGEPORT, CT 06604	N/A	PC	General & Unrestricted	50,000
Total ▶ 3a				856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS AND FOUNDATION ST HELENA PUBLIC LIBRARY 1492 LIBRARY LN SAINT HELENA,CA 94574	N/A	PC	General & Unrestricted	1,500
HOPE COALITION 100 S MARKET ST HOLDEN,MO 64040	N/A	PC	General & Unrestricted	2,500
IF I NEED HELP PO BOX 803356 SANTA CLARITA,CA 91380	N/A	PC	General & Unrestricted	5,000
INSPIREINC 25 FLEMING LN FAIRFIELD,CT 06824	N/A	PC	General & Unrestricted	4,500
INSPIREINC 25 FLEMING LN FAIRFIELD,CT 06824	N/A	PC	Charitable Event	6,500
Total			▶ 3a	856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JOHNSON COUNTY BOARD OF SERVICES FOUNDATION 200 N DEVASHER RD WARRENSBURG,MO 64093	N/A	PC	Cave Hollow Inclusive Park Project	5,000
KANSAS CITY ACTORS THEATRE INC PO BOX 414260 KANSAS CITY,MO 64141	N/A	PC	General & Unrestricted	10,000
KANSAS CITY COMMUNITY GARDENS INC 6917 KENSINGTON AVE KANSAS CITY,MO 64132	N/A	PC	General & Unrestricted	5,000
KLEIN MEMORIAL AUDITORIUM FOUNDATION INC 910 FAIRFIELD AVE BRIDGEPORT,CT 06605	N/A	PC	ASK Program - Busses	4,600
LINTON INCORPORATED 1241 ELM ST CINCINNATI MUSIC HALL CINCINNATI,OH 45202	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LONG BEACH CINEMATHEQUE 375 REDONDO AVE STE 121 LONG BEACH,CA 90814	N/A	PC	General & Unrestricted	3,000
LONG BEACH MUSEUM OF ART FOUNDATION 2300 E OCEAN BLVD LONG BEACH,CA 90803	N/A	PC	General & Unrestricted	10,000
MILLIKIN UNIVERSITY 1184 W MAIN ST DECATUR,IL 62522	N/A	PC	Center for Theatre & Dance Project	40,000
MILLIKIN UNIVERSITY 1184 W MAIN ST DECATUR,IL 62522	N/A	PC	Lynn Louise Griswold Endowment Scholarship Fund	5,750
NATIONAL MULTIPLE SCLEROSIS SOCIETY 4440 LAKE FOREST DR STE 120 CINCINNATI,OH 45242	N/A	PC	General & Unrestricted	2,500
Total ▶ 3a				856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NIMBUS ARTS 649 MAIN ST SAINT HELENA,CA 94574	N/A	PC	General & Unrestricted	10,000
NORTHERN NEW MEXICO RADIO FOUNDATION 6401 S RICHARDS AVE SANTA FE,NM 87508	N/A	PC	General & Unrestricted	5,400
OCEAN INSTITUTE 24200 DANA POINT HARBOR DR DANA POINT,CA 92629	N/A	PC	Student Aid Fund	5,000
PIVOT MINISTRIES INC 485 JANE ST BRIDGEPORT,CT 06608	N/A	PC	General & Unrestricted	1,000
POWELL GARDENS INC 1609 NW US HWY 50 KINGSVILLE,MO 64061	N/A	PC	General & Unrestricted	17,500
Total ▶ 3a				856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PRESBYTERY OF SANTA BARBARA 6067 SHIRRELL WAY GOLETA, CA 93117	N/A	PC	Front Porch Project	5,000
PROGRESSIVE PRISON MINISTRIES INC PO BOX 1232 WESTON, CT 06883	N/A	PC	General & Unrestricted	10,000
PROSPECT HOUSE INC 682 HAWTHORNE AVE CINCINNATI, OH 45205	N/A	PC	General & Unrestricted	49,000
RESTART INC 918 E 9TH ST KANSAS CITY, MO 64106	N/A	PC	General & Unrestricted	10,000
SOUTHPORT CONGREGATIONAL CHURCH - UNITED CHURCH OF PO BOX 366 SOUTHPORT, CT 06890	N/A	PC	General & Unrestricted	10,000
Total ▶ 3a				856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST HELENA MONTESSORI SCHOOL INC 880 COLLEGE AVE SAINT HELENA,CA 94574	N/A	PC	General & Unrestricted	42,000
ST MARY'S CHURCH 2853 ERIE AVE CINCINNATI,OH 45208	N/A	PC	General & Unrestricted	5,000
THE HEART OF AMERICA SHAKESPEARE FESTIVAL 3619 BROADWAY KANSAS CITY,MO 64111	N/A	PC	General & Unrestricted	25,000
TUSTIN HIGH SCHOOL SUPER BOOSTERS CLUB 1171 EL CAMINO REAL TUSTIN,CA 92780	N/A	PC	General & Unrestricted	1,000
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 100 THEORY STE 250 IRVINE,CA 92617	N/A	PC	Orthopaedic Biomechanics Lab in the Department of Orthopaedice Surgery	15,000
Total ▶ 3a				856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF CINCINNATI FOUNDATION PO BOX 19970 CINCINNATI, OH 45219	N/A	PC	The Waddell Center for Multiple Schlerosis	25,000
UNIVERSITY OF SAN DIEGO 5998 ALCALA PARK SAN DIEGO, CA 92110	N/A	PC	Daniel Burkett Memorial Scholarship Fund	5,000
UNIVERSITY OF UTAH 201 PRESIDENTS CIR RM 203 SALT LAKE CTY, UT 84112	N/A	PC	John C Griswold Scholarship Fund	18,000
VOCAL ARTS ENSEMBLE OF CINCINNATI 1241 ELM ST CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	90,000
VOLUNTEER CENTER OF GREATER ORANGE COUNTY - DBA ON 1901 E 4TH ST STE 100 SANTA ANA, CA 92705	N/A	PC	IsoPod, WSPL Program	15,000
Total  3a				856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WALNUT HILLS REDEVELOPMENT FOUNDATION INC PO BOX 6363 CINCINNATI, OH 45206	N/A	PC	General & Unrestricted	100,000
WETLANDS AND WILDLIFE CARE CENTER 21900 PACIFIC COAST HWY HUNTINGTN BCH, CA 92646	N/A	PC	General & Unrestricted	4,000
WETLANDS AND WILDLIFE CARE CENTER 21900 PACIFIC COAST HWY HUNTINGTN BCH, CA 92646	N/A	PC	Focused Projects	20,000
WETLANDS AND WILDLIFE CARE CENTER 21900 PACIFIC COAST HWY HUNTINGTN BCH, CA 92646	N/A	PC	Surgical Tools Fund	4,500
WOMANS ART CLUB OF CINCINNATI FOUNDATION 6980 CAMBRIDGE AVE CINCINNATI, OH 45227	N/A	PC	General & Unrestricted	2,000
Total ▶ 3a				856,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUNG LIFE PO BOX 70065 PRESCOTT,AZ 86304	N/A	PC	San Clemente Young Life Division	5,000
YOUNG LIFE PO BOX 70065 PRESCOTT,AZ 86304	N/A	PC	San Luis Obispo, CA Program	5,000
Total ▶ 3a				856,500

TY 2015 Compensation Explanation

Name: John C Griswold Foundation

EIN: 13-2978937

Person Name	Explanation
David Earls	*Removed from position in 2016
Jeffrey W Earls	*Removed from position in 2016
Michael G Earls	*Removed from position in 2016

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Expenditure Responsibility Statement

Name: John C Griswold Foundation

EIN: 13-2978937

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2014-12-16	5,000	TO HELP FUND PURPLE CRAYON PROJECT	5,000	NO	7/13/15 & 5/20/16		none necessary
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2015-11-04	40,000	TO HELP FUND THE PURPLE CRAYON PROJECT	21,814	NO	5/20/16		none necessary
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2016-04-01	50,000	to help fund the purple crayon project		NO	expected in 2017		none necessary

TY 2015 Investments Corporate Bonds Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AT&T INC GLBL NT - 3.000% - 02	149,646	148,751
BANK OF AMERICA - 2.600% - 01/	100,827	100,881
BERKSHIRE HATHAWAY FIN CORP -	53,564	52,736
BLACKROCK INC FR - 3.375% - 06	159,686	156,493
CITIGROUP INC - 2.500% - 07/29	50,384	50,318
CITIGROUP INC - 4.450% - 01/10	79,539	75,226
COMCAST CORP BOND - 2.850% - 0	149,684	149,961
CONOCOPHILLIPS - 0.034% - 05/1	42,049	49,090
CONOCOPHILLIPS - 3.350% - 11/1	98,472	98,299
DEERE JOHN CAP CORP - 2.800% -	95,850	99,637
GENERAL ELECTRIC CO - 5.250% -	190,806	181,991
GOLDMAN SACHS GROUP INC - 2.37	100,683	100,637
IBM CORP NOTES - 5.700% - 09/1	139,864	129,403
JPMORGAN CHASE & CO - 4.350% -	108,388	106,823
MORGAN STANLEY - 2.125% - 04/2	24,882	25,080
MORGAN STANLEY - 2.500% - 01/2	50,736	51,000
MORGAN STANLEY BD - 5.500% - 0	86,186	83,428
ORACLE CORP - 3.400% - 07/08/2	131,613	127,429
PEPSICO INC NOTES - 4.500% - 0	156,801	161,243
PFIZER INC NT - 3.400% - 05/15	52,866	51,591

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UNITED PARCEL SRVC NT - 5.500%	105,142	104,552
VERIZON COMMUNICATIONS - 3.650	104,675	103,116
WELLS FARGO - 5.625% - 12/11/2	133,930	130,084

TY 2015 Investments Corporate Stock Schedule

Name: John C Griswold Foundation
EIN: 13-2978937

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACADIA HEALTHCARE CO INC	40,325	30,902
AETNA INC	51,293	153,737
ALKERMES	14,538	19,606
ALLERGAN PLC	138,504	98,899
ALLIANCE DATA SYSTEM CORP	36,797	91,054
ALLY FINANCIAL INC	74,517	63,309
ALPHABET INC CL A	17,099	50,432
ALPHABET INC CL C	115,923	186,478
AMAZON COM	101,972	206,407
AMERICAN EXPRESS CO	86,938	83,927
AMERICAN TOWER REIT INC	93,325	85,600
AMERIPRISE FINANCIAL INC	12,209	27,182
AMERISOURCEBERGEN CORP	16,905	29,012
AMETEK INC	7,046	20,503
AMPHENOL CORPORATION	9,806	24,301
AMSURG CORP	16,951	17,779
ANADARKO PETROLEUM CORP	100,091	103,103
ANSYS INC	6,267	19,276
APPLE INC	5,112	102,452
ASHLAND GLOBAL HOLDINGS	13,307	14,538
ATLAS AIR WORLDWIDE HOLDINGS	53,596	67,925
AUTOMATIC DATA PROCESSING INC	84,442	158,913
AUTONATION INC	11,673	14,157
AUTOZONE INC	6,950	62,654
AVNET INC	66,150	98,434
BANK OF AMERICA CORP	88,455	108,240
BARCLAYS ETN PLUS SELECT MLP	286,133	350,764
BE AEROSPACE, INC	19,276	24,556
BERRY PLASTICS GROUP INC	10,523	30,808
BIG LOTS INC	47,400	63,516

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BIOGEN INC	55,458	66,166
BLACKROCK INC	58,534	88,619
BOEING CO	42,761	86,572
BORG WARNER INC	9,181	9,398
BROWN FORMAN CORP CL B	8,347	21,042
CAPITAL ONE FINANCIAL CORP	52,263	72,022
CARDINAL HEALTH INC	17,854	15,764
CARDTRONICS INC	18,053	29,237
CARTER'S INC	26,511	25,926
CHECK POINT SOFTWARE TECHNOLOG	12,592	21,653
CHEVRON CORP	58,100	83,670
CHURCH & DWIGHT	8,501	24,960
CIGNA	56,657	55,243
CISCO SYSTEMS INC	25,946	95,722
CITIGROUP INC	57,855	74,435
CITRIX SYSTEMS INC	9,281	13,703
COGNIZANT TECHNOLOGY SOLUTIONS	11,067	50,619
COLGATE-PALMOLIVE COMPANY	79,244	79,124
COMCAST CORP	76,296	141,105
CONCHO RESOURCES INC	7,158	21,167
CRANE CO	54,885	68,346
CROWN HOLDINGS INC	11,642	18,547
CVS CAREMARK CORP	36,997	69,201
CYPRESS SEMICONDUCTR	35,225	45,619
DANAHER CORP	39,497	94,586
DELL TECHNOLOGIES INC	18,172	20,621
DELPHI AUTOMOTIVE PLC	18,498	17,024
DICK'S SPORTING GDS	5,409	15,949
DISCOVERY COMMUNICATIONS, INC	8,657	13,934
DOLLAR GENERAL CORP	53,955	87,758

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DOLLAR TREE INC	6,043	44,697
EDWARDS LIFESCIENCES	13,755	15,079
EOG RESOURCES INC	45,410	55,361
EPAM SYSTEMS INC	15,033	29,260
EQT CORPORATION	16,450	13,666
EQUINIX, INC	8,955	30,827
EURONET WORLDWIDE INC	29,986	29,190
EXPRESS SCRIPTS HOLDING CO	61,626	93,484
F M C CP	56,392	81,823
FIDELITY NATIONAL INFORMATION	50,087	104,978
FISERV INC	44,703	150,548
FOOT LOCKER INC N.Y. COM	11,934	27,235
FORTIVE CORPORATION	12,862	33,269
GAMESTOP CORP	8,232	8,987
GENERAL ELECTRIC CO	39,904	123,040
GLOBAL PAYMENTS INC	16,928	22,210
HANESBRANDS INC	21,523	18,584
HARTFORD FINANCIALSERVICES GRO	25,487	39,816
HCA INC	24,712	26,300
HD SUPPLY HLDGS INC	19,938	27,233
HEALTHSOUTH CP	17,820	29,502
HOME DEPOT INC	57,651	96,403
HUBBELL CLASS B	14,200	14,709
HUMANA INC	4,500	22,965
IHS MARKIT LTD	13,777	15,059
INT'L FLAVORS & FRAGRANCES INC	15,930	21,426
INTERCONTINENTAL EXCHANGE, INC	9,051	25,207
INTERNATIONAL PAPER CO	47,940	92,324
ISHARES MSCI PACIFIC EX-JAPAN	317,495	389,974
JOHNSON & JOHNSON	72,794	105,179

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JONES LANG LASALLE	9,835	14,584
JP MORGAN CHASE	148,057	246,121
KANSAS CITY SOUTHERN	12,633	20,403
KEYCORP	72,516	128,527
KEYSIGHT TECHNOLOGIES INC	88,977	121,907
KINDER MORGAN INC	146,605	160,972
LANNETT COMPANY INC	79,708	65,151
LIGAND PHARMACEUTICALS INCORPO	9,974	9,187
LKQ CORPORATION	21,630	24,819
MACY'S INC	68,822	55,071
MARRIOTT INTERNATIONAL INC. CL	9,568	22,846
MCKESSON CORP	61,188	46,738
MEDNAX, INC	9,048	18,266
MEDTRONIC PLC	107,268	101,776
MERCK & CO INC	89,444	131,559
METLIFE INC	60,728	108,920
MICROCHIP TECHNOLOGY INC	14,377	38,583
MICROSOFT CORP	86,299	171,741
MOHAWK INDS INC	9,180	24,285
MONSTER BEVERAGE CORP	4,488	43,363
NEXTERA ENERGY, INC	59,172	63,398
NORWEGIAN CRUISE LINE HOLDINGS	52,868	55,933
NXP SEMICONDUCTORS	16,925	43,923
O'REILLY AUTOMOTIVE INC	22,396	24,980
OMNICOM GROUP	48,375	59,554
OPEN TEXT CORP	66,508	89,620
ORACLE CORP	68,998	110,723
PBF ENERGY INC	89,563	86,964
PENTAIR INC. COM	24,640	20,628
PEPSICO INC	64,345	91,291

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PIONEER NAT RES CO	25,397	28,083
PRAXAIR INC	62,870	83,007
PRUDENTIAL FINCL INC	115,513	132,792
PTC INC	20,147	23,186
QUALCOMM INC	162,365	172,710
REXNORD CORP	21,521	19,800
ROPER INDUSTRIES	12,333	26,985
ROSS STORES, INC	18,207	39,270
SABRE CORPORATION	22,614	22,412
SBA COMMUNICATIONS	14,706	25,730
SIMON PPTY GROUP INC	47,625	57,488
SKYWORKS SOLUTIONS, INC	68,442	95,140
SOUTHWESTERN ENERGY CO	80,669	77,123
SPROUTS FARMERS MARKET INC	22,221	20,050
STERICYCLE INC	9,604	14,740
STRYKER CORPORATION	37,067	92,633
SYMANTEC CORP	54,854	64,634
T. ROWE PRICE ASSOCIATES	10,648	16,219
TD AMERITRADE HOLDING CORP	17,388	22,186
TE CONNECTIVITY LTD	20,840	23,809
TEAM HEALTH HOLDINGSINC COM	10,194	11,999
TEVA PHARMACEUTICAL INDUSTRIES	35,321	35,627
TEXTRON INC	66,458	102,877
THE MOSAIC CO	87,840	74,550
THERMO FISHER SCIENTIFIC INC	62,069	65,151
TJX COMPANIES INC	32,061	48,884
TRACTOR SUPPLY CO COM	11,055	19,293
TRIUMPH GROUP INC	153,580	79,786
ULTA SALON, COSMETICS & FRAGRA	10,566	17,646
UNION PACIFIC	55,041	75,491

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNITED TECHNOLOGIES CORP	42,684	67,864
UNITEDHEALTH GROUP INC	39,731	139,322
UNIVERSAL HLTH SVC B	21,405	28,787
US BANCORP	72,554	98,496
V F CORP	25,927	49,059
VANTIV INC - CLASS A	17,250	42,492
VERIFONE SYSTEMS INC	36,045	34,625
VISA INC	93,161	118,995
VOYA FINANCIAL INC	98,780	106,698
WABTEC	12,233	12,616
WAL-MART STORES INC	62,530	69,726
WALGREENS BOOTS ALLIANCE	74,142	75,410
WELLS FARGO & CO	78,731	124,944
WESCO AIRCRAFT HOLDINGS, INC	45,253	46,315
WEX, INC	12,590	19,781
WHIRLPOOL CORP	19,468	26,803
WHITING PETROLEUM CORP	32,720	46,314
ZEBRA TECHNOLOGIES CORP	58,936	72,726
ZIMMER BIOMET HOLDINGS	40,984	36,160

TY 2015 Investments Government Obligations Schedule**Name:** John C Griswold Foundation**EIN:** 13-2978937**US Government Securities - End of
Year Book Value:** 494,033**US Government Securities - End of
Year Fair Market Value:** 498,922**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2015 Legal Fees Schedule**Name:** John C Griswold Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
General Consultations	18,000			18,000

TY 2015 Other Expenses Schedule**Name:** John C Griswold Foundation**EIN:** 13-2978937

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	54,396			54,396
State or Local Filing Fees	750			750

TY 2015 Other Professional Fees Schedule**Name:** John C Griswold Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	129,872	129,872		

TY 2015 Taxes Schedule**Name:** John C Griswold Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2016	3,300			
Foreign Tax Paid	626	626		