

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 12-01-2015, and ending 11-30-2016

Name of foundation ALLEN I GROSS CHARITABLE FOUNDATION		A Employer identification number 11-2906887
Number and street (or P O box number if mail is not delivered to street address) 140 BROADWAY 41ST FLOOR	Room/suite	B Telephone number (see instructions) (212) 837-4602
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10005		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 11,516,198	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify _____) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	600,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	233,405	233,405		
	4 Dividends and interest from securities	153,441	153,441		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	-20,591			
	b Gross sales price for all assets on line 6a 2,423,881				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	68,087	68,087	0	
	12 Total. Add lines 1 through 11	1,034,342	454,933	0	
	13 Compensation of officers, directors, trustees, etc	0	0	0	0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	6,600	5,280	0	1,320
	c Other professional fees (attach schedule)	51,115	51,115	0	0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,250	0	0	0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).				
	24 Total operating and administrative expenses. Add lines 13 through 23	61,965	56,395	0	1,320
	25 Contributions, gifts, grants paid	533,686			533,686
	26 Total expenses and disbursements. Add lines 24 and 25	595,651	56,395	0	535,006
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	438,691			
	b Net investment income (if negative, enter -0-)		398,538		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	512,947	657,892	657,892
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	10,806,804	10,858,306	10,858,306
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	1,923,964	1,947,969	0	
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	13,243,715	13,464,167	11,516,198	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable	100,000		
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)	100,000	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	13,143,715	13,464,167	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions)	13,143,715	13,464,167	
	31	Total liabilities and net assets/fund balances(see instructions) . .	13,243,715	13,464,167	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 13,143,715
2	Enter amount from Part I, line 27a	2 438,691
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 13,582,406
5	Decreases not included in line 2 (itemize) ▶ _____	5 118,239
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 13,464,167

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-20,591
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	825,307	13,560,817	0 060860
2013	597,572	11,929,967	0 050090
2012	412,746	11,428,942	0 036114
2011	516,367	8,032,888	0 064282
2010	341,838	10,622,635	0 032180

2	Total of line 1, column (d).	2	0 243526
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048705
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	11,137,900
5	Multiply line 4 by line 3.	5	542,471
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,985
7	Add lines 5 and 6.	7	546,456
8	Enter qualifying distributions from Part XII, line 4.	8	535,006

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	7,971
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	7,971
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	7,971
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	6,439
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868).	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	6,439
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,532
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			No
		1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ 0 (2) On foundation managers ☐ \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶THE ORGANIZATION Telephone no ▶(212) 837-4602 Located at ▶C/O GFI 140 BROADWAY 41ST FLR NEW YORK NY ZIP+4 ▶10005			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did anyof the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?. ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to allyears listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes " to 6b, file Form 8870

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Form **990-PF** (2015)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$ 50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	10,686,189
b	Average of monthly cash balances.	1b	621,324
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	11,307,513
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	11,307,513
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	169,613
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,137,900
6	Minimum investment return.Enter 5% of line 5.	6	556,895

Part XI

Distributable Amount
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	556,895
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	7,971
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	1,619
c	Add lines 2a and 2b.	2c	9,590
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	547,305
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	547,305
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	547,305

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	535,006
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	535,006
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions.Subtract line 5 from line 4.	6	535,006

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				547,305
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			413,344	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 535,006				
a Applied to 2014, but not more than line 2a			413,344	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				121,662
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				425,643
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				
c Excess from 2013.				
d Excess from 2014.				
e Excess from 2015.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	

b 85% of line 2a					
-----------------------------------	--	--	--	--	--

c Qualifying distributions from Part XII, line 4 for each year listed						
---	--	--	--	--	--	--

d Amounts included in line 2c not used directly for active conduct of exempt activities					
--	--	--	--	--	--

e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
---	---	--	--	--	--

3	Complete 3a, b, or c for the alternative test relied upon					

a	"Assets" alternative test—enter					
---	---------------------------------	--	--	--	--	--

(1) Value of all assets					
-----------------------------------	--	--	--	--	--

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
---	--	--	--	--	--

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
---	--	--	--	--	--

c "Support" alternative test—enter					
------------------------------------	--	--	--	--	--

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
---	--	--	--	--	--

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
---	--	--	--	--	--

(3) Largest amount of support from an exempt organization				
---	--	--	--	--

(4) Gross investment income					
-----------------------------	--	--	--	--	--

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	533,686
b Approved for future payment				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments			14	233,405		
4 Dividends and interest from securities.			14	153,441		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						68,087
8 Gain or (loss) from sales of assets other than inventory						-20,591
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e).		0		386,846		47,496
13 Total Add line 12, columns (b), (d), and (e).						434,342

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of				
(1) Cash.	1a(1)			No
(2) Other assets.	1a(2)			No
b Other transactions				
(1) Sales of assets to a noncharitable exempt organization.	1b(1)			No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)			No
(3) Rental of facilities, equipment, or other assets.	1b(3)			No
(4) Reimbursement arrangements.	1b(4)			No
(5) Loans or loan guarantees.	1b(5)			No
(6) Performance of services or membership or fundraising solicitations.	1b(6)			No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c			No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes
☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

2017-09-26

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below

(see instr) ? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name ALAN MARK BOTWINICK	Preparer's Signature	Date 2017-09-25	Check if self-employed ☐	PTIN P00841433
	Firm's name ▶ ROTH & COMPANY LLP			Firm's EIN ▶ 11-3360065	
	Firm's address ▶ 1428 36TH STREET SUITE 200 BROOKLYN, NY 11218			Phone no (718) 236-1600	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
ACTAVIS FUNDING SCS NTS 03 000% 031220 DTD031215 FC091215 CALL@MW	P	2015-04-17	2016-03-24
ADIDAS AG SPON ADR	P	2016-01-19	2016-08-10
ADIDAS AG SPON ADR	P	2016-01-19	2016-07-07
ADIDAS AG SPON ADR	P	2016-01-21	2016-08-12
ADIDAS AG SPON ADR	P	2016-01-21	2016-08-10
ADIDAS AG SPON ADR	P	2016-01-21	2016-08-31
ADIENT PLC	P	2016-10-31	2016-11-01
ADIENT PLC	P	2016-10-31	2016-10-31
ADIENT PLC	P	2016-10-31	2016-11-01
ADIENT PLC	P	2016-10-31	2016-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,022		1,023	-1
255		150	105
70		50	20
519		291	228
340		194	146
664		388	276
122		126	-4
14		14	0
173		178	-5
108		111	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			105
			20
			228
			146
			276
			-4
			0
			-5
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
ADIENT PLC	P	2016-10-31	2016-11-01
ADIENT PLC	P	2016-10-31	2016-11-01
ADIENT PLC	P	2016-10-31	2016-11-01
ADIENT PLC	P	2016-10-31	2016-11-01
ADIENT PLC	P	2016-10-31	2016-11-01
ADT CORP COM **MERGER 05/2016**	P	2011-03-16	2016-02-16
ADT CORP COM **MERGER 05/2016**	P	2011-04-20	2016-02-16
ADT CORP COM **MERGER 05/2016**	P	2013-08-08	2016-02-16
ADT CORP COM **MERGER 05/2016**	P	2013-09-11	2016-02-16
ALLIANZ FIXED INCOME SHARES SERIES C	P	2012-05-10	2016-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4		4	0
294		303	-9
143		147	-4
160		165	-5
173		178	-5
1,103		770	333
902		711	191
2,085		2,126	-41
1,083		1,083	0
2,897		3,863	-966

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-9
			-4
			-5
			-5
			333
			191
			-41
			0
			-966

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALLIANZ FIXED INCOME SHARES SERIES M	P	2012-05-10	2016-07-06
AMERICAN EXPRESS CRD 02 375% 032417 DTD032612 FC092412 CORP NT	P	2012-05-11	2016-08-11
AMERICAN EXPRESS CRD 02 375% 032417 DTD032612 FC092412 CORP NT	P	2013-02-06	2016-08-11
ANGLOGOLD HOLDINGS PLC 08 500% 073020 DTD073013 FC011514 NTS B/E	P	2015-12-29	2016-08-01
ANSYS INC	P	2013-08-13	2016-09-23
ANSYS INC	P	2013-09-10	2016-09-23
ANSYS INC	P	2013-09-11	2016-09-23
ANSYS INC	P	2013-10-03	2016-09-23
ARCELORMITTAL SA NY REG	P	2014-11-19	2016-01-12
ARCELORMITTAL SA NY REG	P	2015-02-13	2016-01-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,156		2,168	-12
10,087		10,036	51
11,096		11,067	29
79,781		77,803	1,978
555		533	22
1,757		1,670	87
1,572		1,496	76
277		256	21
302		302	0
196		196	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-12
			51
			29
			1,978
			22
			87
			76
			21
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ARCELORMITTAL SA NY REG	P	2015-04-30	2016-01-12
ARCELORMITTAL SA NY REG	P	2015-05-15	2016-01-12
ARCELORMITTAL SA NY REG	P	2015-10-14	2016-01-12
ARCELORMITTAL SA NY REG	P	2016-02-05	2016-08-10
ARCELORMITTAL SA NY REG	P	2016-02-05	2016-05-17
ARCELORMITTAL SA NY REG	P	2016-02-05	2016-05-04
ARCELORMITTAL SA NY REG	P	2016-03-14	2016-08-10
ARCELORMITTAL SA NY REG	P	2016-03-14	2016-11-25
ASTRAZENECA PLC SPON ADR	P	2014-10-21	2016-02-12
ASTRAZENECA PLC SPON ADR	P	2014-11-04	2016-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
220		548	-328
162		557	-395
120		227	-107
241		241	0
361		704	-343
278		278	0
108		73	35
290		163	127
117		137	-20
330		404	-74

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-328
			-395
			-107
			0
			-343
			0
			35
			127
			-20
			-74

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ASTRAZENECA PLC SPON ADR	P	2014-11-04	2016-02-12
ASTRAZENECA PLC SPON ADR	P	2015-08-04	2016-02-16
ASTRAZENECA PLC SPON ADR	P	2015-08-04	2016-03-17
ASTRAZENECA PLC SPON ADR	P	2015-08-06	2016-03-17
ASTRAZENECA PLC SPON ADR	P	2015-08-19	2016-03-17
ASTRAZENECA PLC SPON ADR	P	2015-09-22	2016-03-17
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSRD ADR AUSTRALIA ADR	P	2013-08-09	2016-12-09
AVIVA PLC SPON ADR	P	2015-01-14	2016-06-14
AVIVA PLC SPON ADR	P	2015-02-17	2016-06-14
AVIVA PLC SPON ADR	P	2015-03-25	2016-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
380		478	-98
30		34	-4
516		620	-104
430		516	-86
458		534	-76
516		588	-72
44		53	-9
196		254	-58
426		620	-194
184		268	-84

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-98
			-4
			-104
			-86
			-76
			-72
			-9
			-58
			-194
			-84

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
AVIVA PLC SPON ADR	P	2015-03-26	2016-06-14
AVIVA PLC SPON ADR	P	2015-07-23	2016-06-14
BANCO SANTANDER S A SPON ADR	P	2016-02-16	2016-06-10
BANCO SANTANDER S A SPON ADR	P	2016-02-16	2016-07-19
BANCO SANTANDER S A SPON ADR	P	2016-03-04	2016-07-19
BANK OF HAWAII CORP	P	2014-04-02	2016-04-22
BANK OF HAWAII CORP	P	2015-03-27	2016-04-22
BARCLAYS BANK PLC L/O TPAOS RTY SPX 03/29/2019	P	2016-03-29	2016-09-28
BARCLAYS BANK PLC TRIGGER PAOS XLE 03/29/2018	P	2016-03-29	2016-06-30
BARCLAYS BK DE US RT 00 5500% MAT 05/06/16 FIXED RATE CD	P	2014-04-29	2016-05-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
207		297	-90
461		659	-198
779		720	59
830		808	22
522		574	-52
7,424		6,695	729
4,359		3,889	470
50,000		50,000	0
50,000		50,000	0
150,000		150,000	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-90
			-198
			59
			22
			-52
			729
			470
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
BARCLAYS PLC ADR	P	2013-08-30	2016-01-19
BARCLAYS PLC ADR	P	2013-09-11	2016-01-19
BARCLAYS PLC ADR	P	2013-10-02	2016-01-19
BARCLAYS PLC ADR	P	2013-11-20	2016-01-19
BARCLAYS PLC ADR	P	2013-11-20	2016-02-24
BARCLAYS PLC ADR	P	2014-04-23	2016-02-24
BARCLAYS PLC ADR	P	2014-05-09	2016-02-24
BARCLAYS PLC ADR	P	2014-05-09	2016-06-24
BARCLAYS PLC ADR	P	2014-10-23	2016-06-24
BARCLAYS PLC ADR	P	2015-12-01	2016-06-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
65		105	-40
421		752	-331
248		406	-158
205		311	-106
18		33	-15
237		452	-215
79		158	-79
399		805	-406
382		641	-259
251		535	-284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-40
			-331
			-158
			-106
			-15
			-215
			-79
			-406
			-259
			-284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
BARCLAYS PLC ADR	P	2016-04-14	2016-06-24
BAXALTA INC *MERGER EFF 06/2016**	P	2012-05-08	2016-05-09
BAXALTA INC *MERGER EFF 06/2016**	P	2012-05-08	2016-05-25
BAXALTA INC *MERGER EFF 06/2016**	P	2012-05-08	2016-06-03
BAXALTA INC *MERGER EFF 06/2016**	P	2012-05-09	2016-05-09
BAXALTA INC *MERGER EFF 06/2016**	P	2012-06-06	2016-05-09
BAXALTA INC *MERGER EFF 06/2016**	P	2013-08-09	2016-05-09
BAXALTA INC *MERGER EFF 06/2016**	P	2013-08-09	2016-06-03
BAXALTA INC *MERGER EFF 06/2016**	P	2013-09-11	2016-06-03
BAXALTA INC *MERGER EFF 06/2016**	P	2013-10-02	2016-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
624		688	-64
1,916		1,098	818
12		7	5
418		234	184
1,703		976	727
426		225	201
213		165	48
1,161		824	337
929		644	285
1,393		850	543

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-64
			818
			5
			184
			727
			201
			48
			337
			285
			543

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
BAXALTA INC *MERGER EFF 06/2016**	P	2015-04-02	2016-06-03
BAXTER INTL INC	P	2012-05-08	2016-10-28
BAXTER INTL INC	P	2012-05-09	2016-10-28
BAXTER INTL INC	P	2012-06-06	2016-10-28
BAXTER INTL INC	P	2013-08-09	2016-10-28
BAXTER INTL INC	P	2013-09-11	2016-10-28
BAXTER INTL INC	P	2013-10-02	2016-10-28
BAXTER INTL INC	P	2015-04-02	2016-10-28
BAYER A G SPON ADR	P	2013-08-09	2016-05-23
BAYER A G SPON ADR	P	2013-08-30	2016-05-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,626		1,065	561
1,750		1,114	636
1,892		1,204	688
473		277	196
1,419		1,220	199
946		794	152
1,419		1,049	370
1,656		1,314	342
95		117	-22
381		444	-63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			561
			636
			688
			196
			199
			152
			370
			342
			-22
			-63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BAYER A G SPON ADR	P	2013-09-11	2016-05-23
BAYER A G SPON ADR	P	2013-10-02	2016-11-09
BAYERISCHE MOTOREN WERKE A G **EXCHANGE EFF 07/2016** AD	P	2015-11-30	2016-01-19
BAYERISCHE MOTOREN WERKE A G **EXCHANGE EFF 07/2016** AD	P	2015-11-30	2016-01-21
BAYERISCHE MOTOREN WERKE A G **EXCHANGE EFF 07/2016** AD	P	2015-11-30	2016-02-09
BAYERISCHE MOTOREN WERKE A G **EXCHANGE EFF 07/2016** AD	P	2015-12-10	2016-02-09
BAYERISCHE MOTOREN WERKE A G **EXCHANGE EFF 07/2016** AD	P	2015-12-23	2016-02-09
BED BATH & BEYOND INC	P	2014-03-04	2016-06-27
BG GROUP PLC SPON ADR	P	2014-01-31	2016-01-26
BG GROUP PLC SPON ADR	P	2014-07-18	2016-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
572		675	-103
404		471	-67
536		593	-57
424		546	-122
303		437	-134
329		462	-133
303		529	-226
5,872		9,557	-3,685
666		793	-127
411		587	-176

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-103
			-67
			-57
			-122
			-134
			-133
			-226
			-3,685
			-127
			-176

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BG GROUP PLC SPON ADR	P	2015-01-21	2016-01-26
BG GROUP PLC SPON ADR	P	2015-01-21	2016-03-07
BG GROUP PLC SPON ADR	P	2015-02-05	2016-03-07
BG GROUP PLC SPON ADR	P	2015-08-06	2016-03-07
BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER C	P	2012-05-09	2016-03-24
BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER M	P	2012-05-09	2016-03-24
BNP PARIBAS SA ADR	P	2012-08-22	2016-01-15
BNP PARIBAS SA ADR	P	2012-08-23	2016-01-15
BNP PARIBAS SA ADR	P	2013-08-09	2016-01-15
BRITISH AMER TOBACCO PLC GB SPON ADR	P	2014-05-19	2016-08-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42		40	2
638		566	72
562		547	15
501		570	-69
4,048		4,197	-149
842		903	-61
123		109	14
123		112	11
49		67	-18
743		713	30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			72
			15
			-69
			-149
			-61
			14
			11
			-18
			30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BRITISH AMER TOBACCO PLC GB SPON ADR	P	2014-05-19	2016-07-12
BRITISH AMER TOBACCO PLC GB SPON ADR	P	2014-05-30	2016-09-21
BRITISH AMER TOBACCO PLC GB SPON ADR	P	2014-05-30	2016-10-18
BROADCOM LTD	P	2013-08-08	2016-02-02
CHEVRON CORP	P	2012-05-17	2016-11-14
CHEVRON CORP	P	2013-10-02	2016-11-14
CHEVRON CORP	P	2014-06-11	2016-11-14
CHEVRON CORP	P	2015-08-28	2016-11-14
CNOOC LTD SPON ADR	P	2015-08-28	2016-05-04
COMMERCEHUB INC SER A	P	2011-03-16	2016-07-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
384		356	28
498		483	15
356		362	-6
10		4	6
106		101	5
1,597		1,816	-219
5,324		6,255	-931
4,791		3,618	1,173
231		242	-11
11		4	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			28
			15
			-6
			6
			5
			-219
			-931
			1,173
			-11
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
COMMERCEHUB INC SER A	P	2011-04-20	2016-07-26
COMMERCEHUB INC SER A	P	2013-01-11	2016-07-26
COMMERCEHUB INC SER A	P	2013-08-08	2016-07-26
COMMERCEHUB INC SER A	P	2013-09-11	2016-07-26
COMMERCEHUB INC SER C	P	2011-03-16	2016-07-26
COMMERCEHUB INC SER C	P	2011-04-20	2016-07-26
COMMERCEHUB INC SER C	P	2013-01-11	2016-07-26
COMMERCEHUB INC SER C	P	2013-08-08	2016-07-26
COMMERCEHUB INC SER C	P	2013-09-11	2016-07-26
CREDIT SUISSE AG L/O TA-CYN SPX RTY 04/12/2019	P	2016-04-08	2016-10-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11		4	7
2		1	1
19		11	8
9		5	4
22		7	15
22		8	14
4		2	2
38		22	16
17		10	7
75,000		75,000	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7
			1
			8
			4
			15
			14
			2
			16
			7
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
CREDIT SUISSE AG TRIGGER PAOS KBE 03/09/2018	P	2016-03-04	2016-06-08
CREDIT SUISSE GROUP SPON ADR	P	2015-03-10	2016-04-01
CREDIT SUISSE GROUP SPON ADR	P	2015-05-21	2016-04-01
CREDIT SUISSE GROUP SPON ADR	P	2015-05-21	2016-05-06
CREDIT SUISSE GROUP SPON ADR	P	2015-05-28	2016-05-06
CREDIT SUISSE GROUP SPON ADR	P	2015-06-08	2016-05-06
CREDIT SUISSE GROUP SPON ADR	P	2016-01-19	2016-05-06
DENBURY RESOURCES INC 04 625% 071523 DTD020513 FC071513 CALL@MW	P	2014-04-28	2016-02-10
DENTSPLY SIRONA INC	P	2013-08-13	2016-03-01
DEUTSCHE BANK AG REG SHS ORD EUR	P	2016-05-06	2016-09-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
75,000		75,000	0
378		678	-300
196		375	-179
236		456	-220
250		479	-229
167		333	-166
681		910	-229
4,218		24,251	-20,033
31		20	11
782		1,113	-331

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-300
			-179
			-220
			-229
			-166
			-229
			-20,033
			11
			-331

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
E ON SE SPON ADR	P	2016-01-26	2016-08-18
E ON SE SPON ADR	P	2016-01-26	2016-08-17
EUROPEAN AERONAUT UNSPONSORED ADR	P	2014-10-21	2016-04-26
EUROPEAN AERONAUT UNSPONSORED ADR	P	2014-12-11	2016-06-02
EUROPEAN AERONAUT UNSPONSORED ADR	P	2014-12-11	2016-04-26
EXPRESS SCRIPTS HLDG CO	P	2013-06-21	2016-11-30
EXPRESS SCRIPTS HLDG CO	P	2013-09-11	2016-11-30
EXPRESS SCRIPTS HLDG CO	P	2014-06-19	2016-11-30
FHLMC BOND 01 000 % DUE 072817 DTD 062512 FC 01	P	2013-11-20	2016-11-16
FHLMC BOND 01 000 % DUE 072817 DTD 062512 FC 01	P	2013-11-20	2016-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
410		453	-43
902		1,009	-107
475		413	62
522		438	84
82		64	18
3,229		2,599	630
1,538		1,316	222
1,000		887	113
32,057		32,007	50
1,002		1,000	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43
			-107
			62
			84
			18
			630
			222
			113
			50
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST CASH FINCL SERVICES **NAME CHANGE EFF 09/2016**	P	2014-02-19	2016-03-02
FIRST CASH FINCL SERVICES **NAME CHANGE EFF 09/2016**	P	2014-04-21	2016-03-02
FNMA NTS 01 250 % DUE 013017 DTD 010912 FC 01	P	2012-02-01	2016-11-02
FNMA NTS 01 250 % DUE 013017 DTD 010912 FC 01	P	2012-05-17	2016-11-02
FNMA NTS 01 250 % DUE 013017 DTD 010912 FC 01	P	2012-05-18	2016-11-02
GLAXO SMITHKLINE PLC ADR	P	2015-10-13	2016-10-18
GLAXO SMITHKLINE PLC ADR	P	2015-10-13	2016-09-21
GLAXO SMITHKLINE PLC ADR	P	2015-10-13	2016-11-09
GLAXO SMITHKLINE PLC ADR	P	2015-10-13	2016-11-16
GLAXO SMITHKLINE PLC ADR	P	2015-10-13	2016-04-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,638		4,386	-748
4,315		4,832	-517
27,057		27,018	39
3,006		3,002	4
1,002		1,001	1
542		526	16
556		526	30
556		567	-11
346		364	-18
426		405	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-748
			-517
			39
			4
			1
			16
			30
			-11
			-18
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GLAXO SMITHKLINE PLC ADR	P	2015-10-23	2016-11-16
GLAXO SMITHKLINE PLC ADR	P	2015-12-09	2016-11-16
GLAXO SMITHKLINE PLC ADR	P	2016-01-05	2016-11-16
GLAXO SMITHKLINE PLC ADR	P	2016-01-05	2016-11-22
GLAXO SMITHKLINE PLC ADR	P	2016-01-05	2016-11-29
GLAXO SMITHKLINE PLC ADR	P	2016-01-28	2016-11-29
GLAXO SMITHKLINE PLC ADR	P	2016-03-17	2016-11-29
GOTHAM ABSOLUTE RETURN INSTITUTIONAL	P	2014-01-22	2016-12-08
GOTHAM ABSOLUTE RETURN INSTITUTIONAL	P	2014-12-18	2016-12-08
GOTHAM ABSOLUTE RETURN INSTITUTIONAL	P	2014-12-18	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
423		463	-40
423		441	-18
308		324	-16
417		446	-29
77		81	-4
689		727	-38
1,071		1,136	-65
15,737		15,313	424
5,448		5,631	-183
1,019		1,053	-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-40
			-18
			-16
			-29
			-4
			-38
			-65
			424
			-183
			-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GOTHAM ABSOLUTE RETURN INSTITUTIONAL	P	2015-02-09	2016-12-08
GOTHAM NEUTRAL FUND CLASS I	P	2014-09-03	2016-12-08
GS FINANCE CORP TRIGGER PAOS SPY 02/09/2018	P	2016-02-05	2016-05-09
HENRY JACK & ASSOC INC	P	2013-08-13	2016-01-22
HONDA MOTOR CO ADR JAPAN ADR	P	2016-02-29	2016-11-09
HSBC USA INC L/O TA-CYN SPX RTY 04/30/2019	P	2016-04-27	2016-10-26
INDUSTRIAL & COML BK CHINA ADR	P	2014-07-29	2016-02-02
INDUSTRIAL & COML BK CHINA ADR	P	2014-11-24	2016-02-02
INDUSTRIAL & COML BK CHINA ADR	P	2015-10-09	2016-02-02
INDUSTRIAL & COML BK CHINA ADR	P	2015-12-10	2016-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48,797		50,000	-1,203
21,000		22,898	-1,898
75,000		75,000	0
2,965		1,927	1,038
194		180	14
50,000		50,000	0
380		534	-154
449		600	-151
254		335	-81
293		350	-57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,203
			-1,898
			0
			1,038
			14
			0
			-154
			-151
			-81
			-57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
INFINEON TECHNOLOGIES ADR	P	2015-03-12	2016-11-02
INFINEON TECHNOLOGIES ADR	P	2015-03-12	2016-07-27
INFINEON TECHNOLOGIES ADR	P	2015-03-12	2016-08-31
INFINEON TECHNOLOGIES ADR	P	2015-03-12	2016-11-28
INTERCONTINENTAL HOTELS GROUP PLC NEW SPON ADR	P	2013-08-30	2016-08-31
INTERCONTINENTAL HOTELS GROUP PLC NEW SPON ADR	P	2013-08-30	2016-05-09
INTERCONTINENTAL HOTELS GROUP PLC NEW SPON ADR	P	2013-09-11	2016-08-31
INTERCONTINENTAL HOTELS GROUP PLC NEW SPON ADR	P	2013-09-11	2016-09-28
INTERCONTINENTAL HOTELS GROUP PLC NEW SPON ADR	P	2013-10-02	2016-09-28
INTERCONTINENTAL HOTELS GROUP PLC NEW SPON ADR	P	2013-12-26	2016-09-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
156		105	51
180		129	51
402		281	121
472		328	144
119		101	18
20		18	2
311		284	27
82		79	3
158		147	11
129		136	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			51
			51
			121
			144
			18
			2
			27
			3
			11
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
INTESA SANPAOLO SPON ADR	P	2014-11-05	2016-07-07
INTESA SANPAOLO SPON ADR	P	2014-11-05	2016-06-27
INTL PAPER CO B/E 07 500% 081521 DTD081009 FC021510 M\W +50	P	2012-05-10	2016-03-24
INTL PAPER CO B/E 07 500% 081521 DTD081009 FC021510 M\W +50	P	2012-05-10	2016-08-11
INTL PAPER CO B/E 07 500% 081521 DTD081009 FC021510 M\W +50	P	2012-05-18	2016-08-11
ITAU UNIBANCO HLDG SA ADR	P	2015-04-24	2016-03-14
ITAU UNIBANCO HLDG SA ADR	P	2015-04-24	2016-03-29
ITAU UNIBANCO HLDG SA ADR	P	2015-05-01	2016-03-29
ITAU UNIBANCO HLDG SA ADR	P	2015-08-27	2016-03-29
IVY ASSET STRATEGY FUND CLASS C	P	2013-09-10	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
263		423	-160
457		761	-304
1,200		1,175	25
8,606		8,147	459
1,229		1,157	72
590		783	-193
366		509	-143
448		600	-152
450		406	44
24,263		35,005	-10,742

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-160
			-304
			25
			459
			72
			-193
			-143
			-152
			44
			-10,742

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
IVY ASSET STRATEGY FUND CLASS C	P	2013-10-01	2016-12-08
IVY ASSET STRATEGY FUND CLASS C	P	2013-11-19	2016-12-08
JEFFERIES GROUP INC 05 125% 012023 DTD011813 FC072013 CALL@MW	P	2015-11-10	2016-05-11
JEFFERIES GROUP INC 05 125% 012023 DTD011813 FC072013 CALL@MW	P	2015-12-29	2016-05-11
JOHNSON CTLS INTL PLC	P	2011-04-20	2016-09-06
JP MORGAN INTERNATIONAL VALUE SMA FUND	P	2013-08-12	2016-01-19
JP MORGAN INTERNATIONAL VALUE SMA FUND	P	2013-08-12	2016-10-12
JPMORGAN CHASE & CO NTS 02 200% 102219 DTD102214 FC042215 B/E	P	2014-10-17	2016-02-24
KBC GROUP NV ADR	P	2015-12-03	2016-09-16
KBC GROUP NV ADR	P	2015-12-03	2016-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,127		35,005	-10,878
1,609		2,429	-820
62,234		61,078	1,156
41,490		40,698	792
28		15	13
2,986		3,266	-280
2,024		2,240	-216
13,988		13,916	72
672		706	-34
327		368	-41

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-10,878
			-820
			1,156
			792
			13
			-280
			-216
			72
			-34
			-41

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KONINKLIJKE PHILIPS NV SPON ADR	P	2015-10-19	2016-05-12
KONINKLIJKE PHILIPS NV SPON ADR	P	2015-10-23	2016-05-12
KONINKLIJKE PHILIPS NV SPON ADR	P	2015-10-23	2016-05-13
KONINKLIJKE PHILIPS NV SPON ADR	P	2016-03-04	2016-05-13
KONINKLIJKE PHILIPS NV SPON ADR	P	2016-06-15	2016-06-23
LAFARGEHOLCIM LTD UNSPONSORED ADR	P	2016-04-08	2016-06-24
LIBERTY BRAVES GROUP	P	2011-03-16	2016-04-18
LIBERTY BRAVES GROUP SER C	P	2011-03-16	2016-04-18
LIBERTY EXPEDIA HOLDINGS INC SER A	P	2011-03-16	2016-11-08
LIBERTY EXPEDIA HOLDINGS INC SER A	P	2011-04-20	2016-11-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,261		1,227	34
105		105	0
1,053		1,100	-47
627		667	-40
53		49	4
385		444	-59
16		10	6
14		7	7
149		44	105
148		46	102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			34
			0
			-47
			-40
			4
			-59
			6
			7
			105
			102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LIBERTY EXPEDIA HOLDINGS INC SER A	P	2013-01-11	2016-11-08
LIBERTY EXPEDIA HOLDINGS INC SER A	P	2013-08-08	2016-11-08
LIBERTY EXPEDIA HOLDINGS INC SER A	P	2013-09-11	2016-11-08
LIBERTY MEDIA CORP-LIBERTY FORMULA ONE SER C	P	2011-03-16	2016-04-18
LIBERTY MEDIA CORP - LIBERTY FORMULA ONE SER A	P	2011-03-16	2016-04-18
LIONS GATE ENTERTAINMENT CORP CL B	P	2016-12-09	2016-12-09
LIVANOVA PLC GBP	P	2015-10-19	2016-08-15
LLOYDS BANKING GROUP PLC SPON ADR	P	2015-05-05	2016-06-27
LLOYDS BANKING GROUP PLC SPON ADR	P	2015-05-05	2016-07-06
LLOYDS BANKING GROUP PLC SPON ADR	P	2015-05-05	2016-03-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25		11	14
257		131	126
116		61	55
9		5	4
14		7	7
20		20	0
6,449		7,147	-698
352		641	-289
639		1,302	-663
722		910	-188

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14
			126
			55
			4
			7
			0
			-698
			-289
			-663
			-188

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
LLOYDS BANKING GROUP PLC SPON ADR	P	2015-05-12	2016-07-06
LLOYDS BANKING GROUP PLC SPON ADR	P	2015-08-14	2016-07-06
LLOYDS BANKING GROUP PLC SPON ADR	P	2015-08-14	2016-12-09
LLOYDS BANKING GROUP PLC SPON ADR	P	2015-09-29	2016-12-09
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2012-07-27	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2012-08-22	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2012-09-04	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2012-09-21	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2012-10-22	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2012-11-02	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
245		538	-293
140		283	-143
113		182	-69
266		382	-116
24,630		25,005	-375
79		82	-3
4,845		5,005	-160
76		80	-4
80		84	-4
9,519		10,005	-486

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-293
			-143
			-69
			-116
			-375
			-3
			-160
			-4
			-4
			-486

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2012-11-21	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2012-12-20	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-01-28	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-02-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-03-26	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-04-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-05-28	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-06-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-07-26	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-08-27	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
142		147	-5
385		409	-24
97		105	-8
122		130	-8
116		126	-10
105		117	-12
134		151	-17
116		123	-7
116		126	-10
133		142	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			-24
			-8
			-8
			-10
			-12
			-17
			-7
			-10
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-09-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-10-28	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-11-26	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-12-16	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-12-16	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2013-12-16	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-01-28	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-02-24	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-03-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-04-24	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
101		110	-9
108		122	-14
140		157	-17
202		224	-22
105		117	-12
4		5	-1
78		87	-9
89		101	-12
88		100	-12
83		96	-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9
			-14
			-17
			-22
			-12
			-1
			-9
			-12
			-12
			-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-05-23	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-06-23	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-07-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-08-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-09-24	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-10-24	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-11-24	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-12-16	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-12-16	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2014-12-16	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
113		131	-18
92		108	-16
70		83	-13
85		100	-15
74		86	-12
88		101	-13
127		148	-21
202		222	-20
905		993	-88
88		97	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-18
			-16
			-13
			-15
			-12
			-13
			-21
			-20
			-88
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-01-28	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-02-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-03-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-04-23	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-05-22	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-06-23	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-07-24	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-08-24	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-09-24	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-10-26	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
93		104	-11
110		124	-14
59		66	-7
75		84	-9
103		115	-12
90		98	-8
81		86	-5
104		106	-2
61		62	-1
54		57	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11
			-14
			-7
			-9
			-12
			-8
			-5
			-2
			-1
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-11-24	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-12-17	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-12-17	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2015-12-17	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-01-28	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-02-26	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-03-28	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-04-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-05-24	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-06-23	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
93		96	-3
58		54	4
2,600		2,441	159
399		375	24
11		10	1
49		45	4
47		44	3
25		24	1
59		57	2
22		22	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			4
			159
			24
			1
			4
			3
			1
			2
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-07-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-08-23	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-09-23	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-10-25	2016-12-08
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	P	2016-11-28	2016-12-08
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR	P	2013-08-09	2016-12-09
MORGAN STANLEY TRIGGER PAOS IBB 10/06/2017	P	2015-10-02	2016-01-06
MORGAN STANLEY TRIGGER PS XLE 08/31/2020	P	2015-08-26	2016-11-30
NATIONAL GRID PLC NEW SPON ADR	P	2015-03-18	2016-07-15
NATIONAL GRID PLC NEW SPON ADR	P	2015-03-18	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27		27	0
18		19	-1
8		8	0
102		102	0
90		89	1
233		220	13
100,000		100,000	0
65,705		50,000	15,705
725		647	78
689		647	42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-1
			0
			0
			1
			13
			0
			15,705
			78
			42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NATIONAL GRID PLC NEW SPON ADR	P	2015-03-18	2016-11-11
NAVIENT CORP NTS B/E 05 000% 102620 DTD110614 CALL@MW+50BP	P	2014-11-10	2016-09-01
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	P	2014-08-18	2016-02-23
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	P	2014-08-18	2016-03-03
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	P	2015-07-06	2016-03-03
NIPPON TELEG & TEL CORP SPON ADR	P	2014-08-01	2016-04-13
NIPPON TELEG & TEL CORP SPON ADR	P	2014-08-06	2016-04-13
NIPPON TELEG & TEL CORP SPON ADR	P	2014-08-21	2016-04-13
NIPPON TELEG & TEL CORP SPON ADR	P	2014-08-21	2016-05-17
NIPPON TELEG & TEL CORP SPON ADR	P	2014-08-21	2016-08-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
425		453	-28
60,034		60,788	-754
495		540	-45
1,570		1,698	-128
143		146	-3
89		67	22
1,161		845	316
179		135	44
761		572	189
480		336	144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-28
			-754
			-45
			-128
			-3
			22
			316
			44
			189
			144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NIPPON TELEG & TEL CORP SPON ADR	P	2014-10-10	2016-08-01
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2015-06-22	2016-02-17
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2015-06-24	2016-02-29
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2015-06-24	2016-02-17
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2015-07-06	2016-02-29
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2015-11-03	2016-02-29
NOKIA CORP SPONS ADR FINLAND ADR	P	2015-09-01	2016-02-05
NOKIA CORP SPONS ADR FINLAND ADR	P	2015-09-01	2016-04-01
NOKIA CORP SPONS ADR FINLAND ADR	P	2015-09-09	2016-05-23
NOKIA CORP SPONS ADR FINLAND ADR	P	2015-09-09	2016-04-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
96		58	38
1,081		1,257	-176
1,014		1,151	-137
157		185	-28
163		193	-30
435		514	-79
397		396	1
975		1,024	-49
699		869	-170
250		279	-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			38
			-176
			-137
			-28
			-30
			-79
			1
			-49
			-170
			-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NOKIA CORP SPONS ADR FINLAND ADR	P	2015-09-23	2016-05-23
NOKIA CORP SPONS ADR FINLAND ADR	P	2015-10-14	2016-05-23
NORDEA BANK SWED ADR	P	2015-11-20	2016-11-01
NORSK HYDRO A S NEW NORWAY SPON ADR	P	2014-04-23	2016-03-14
OPPENHEIMER GOVERNMENT CASH RESERVES FUND CLASS C	P	2015-12-29	2016-03-02
PEPSICO INC	P	2012-05-08	2016-02-17
PEPSICO INC	P	2012-05-09	2016-02-17
PNC FINANCIAL SERVICES GROUP	P	2012-05-08	2016-11-22
PRIMERICA INC	P	2015-04-13	2016-11-25
PRUDENTIAL PLC ADR UNITED KINGDOM	P	2012-06-25	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
423		539	-116
464		614	-150
376		395	-19
425		540	-115
222,828		222,828	0
1,490		994	496
497		330	167
2,208		1,316	892
5,621		3,786	1,835
492		265	227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-116
			-150
			-19
			-115
			0
			496
			167
			892
			1,835
			227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
RENTRAK CORP **MERGER EFF 02/2016**	P	2015-02-13	2016-01-26
RENTRAK CORP **MERGER EFF 02/2016**	P	2015-04-15	2016-01-26
RIO TINTO PLC SPON ADR	P	2015-09-11	2016-05-04
RIO TINTO PLC SPON ADR	P	2015-09-11	2016-11-25
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2012-09-26	2016-01-28
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2012-12-14	2016-01-28
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2013-08-30	2016-01-28
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2013-09-11	2016-04-21
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2013-09-11	2016-01-28
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2013-10-02	2016-04-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,689		4,382	-693
2,066		2,211	-145
610		744	-134
155		149	6
605		448	157
255		204	51
255		250	5
260		258	2
64		65	-1
195		201	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-693
			-145
			-134
			6
			157
			51
			5
			2
			-1
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2013-10-28	2016-04-21
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2013-10-28	2016-05-04
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2013-12-19	2016-05-04
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2014-01-31	2016-05-04
ROSS STORES INC	P	2013-09-10	2016-01-20
ROSS STORES INC	P	2013-09-11	2016-01-20
ROSS STORES INC	P	2013-10-03	2016-01-20
ROSS STORES INC	P	2013-10-03	2016-09-29
ROSS STORES INC	P	2013-11-20	2016-09-29
ROSS STORES INC	P	2014-07-30	2016-09-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
260		278	-18
186		209	-23
371		406	-35
93		103	-10
1,835		1,249	586
2,039		1,412	627
713		512	201
511		293	218
767		487	280
2,236		1,134	1,102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-18
			-23
			-35
			-10
			586
			627
			201
			218
			280
			1,102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
ROSS STORES INC	P	2014-07-30	2016-11-22
ROYAL DUTCH SHELL PLC CL A SPON ADR	P	2015-11-04	2016-09-02
ROYAL DUTCH SHELL PLC CL A SPON ADR	P	2015-11-04	2016-09-28
ROYAL KPN N V SPON ADR	P	2014-01-29	2016-02-17
ROYAL KPN N V SPON ADR	P	2014-01-30	2016-02-17
ROYAL KPN N V SPON ADR	P	2014-01-30	2016-04-13
ROYAL KPN N V SPON ADR	P	2014-01-30	2016-02-17
ROYAL KPN N V SPON ADR	P	2014-02-06	2016-04-26
ROYAL KPN N V SPON ADR	P	2014-02-06	2016-04-13
RSA INS GROUP PLC SPON ADR	P	2016-01-22	2016-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,314		2,495	2,819
484		542	-58
709		814	-105
177		178	-1
11		11	0
511		505	6
962		999	-37
1,080		1,070	10
198		191	7
143		124	19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,819
			-58
			-105
			-1
			0
			6
			-37
			10
			7
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
RSA INS GROUP PLC SPON ADR	P	2016-01-25	2016-06-02
RSA INS GROUP PLC SPON ADR	P	2016-01-25	2016-04-12
RTS LIBERTY MEDIA CORP DEL EXP 06/16/16	P	2011-03-16	2016-06-07
RTS LIBERTY MEDIA CORP DEL EXP 06/16/16	P	2011-04-20	2016-06-07
RTS LIBERTY MEDIA CORP DEL EXP 06/16/16	P	2013-09-04	2016-06-07
RTS LIBERTY MEDIA CORP DEL EXP 06/16/16	P	2013-10-02	2016-06-07
RTS LIBERTY MEDIA CORP DEL EXP 06/16/16	P	2016-05-19	2016-06-07
RTS LIBERTY MEDIA CORP DEL EXP 06/16/16	P	2016-05-19	2016-06-07
RTS LIBERTY MEDIA CORP DEL EXP 06/16/16	P	2016-05-19	2016-06-07
RYANAIR HOLDINGS PLC SPON ADR	P	2014-02-28	2016-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,328		1,132	196
299		261	38
			0
1			1
			0
			0
2			2
2			2
6			6
293		208	85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			196
			38
			0
			1
			0
			0
			2
			2
			6
			85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
RYANAIR HOLDINGS PLC SPON ADR	P	2014-03-03	2016-01-26
RYANAIR HOLDINGS PLC SPON ADR	P	2014-03-03	2016-04-14
SANDISK CORP **MERGER 05/2016**	P	2012-11-28	2016-05-13
SANDISK CORP **MERGER 05/2016**	P	2013-07-30	2016-05-13
SANDISK CORP **MERGER 05/2016**	P	2013-08-08	2016-05-13
SANDISK CORP **MERGER 05/2016**	P	2013-09-11	2016-05-13
SANDISK CORP **MERGER 05/2016**	P	2015-01-14	2016-05-13
SANDISK CORP **MERGER 05/2016**	P	2015-04-16	2016-05-13
SANDISK CORP **MERGER 05/2016**	P	2015-08-18	2016-05-13
SCHWAB CHARLES CORP NEW	P	2016-02-05	2016-11-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
199		139	60
492		345	147
1,525		813	712
1,144		814	330
2,517		1,921	596
2,288		1,803	485
2,669		2,794	-125
2,441		2,144	297
2,212		1,651	561
6,459		4,175	2,284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			60
			147
			712
			330
			596
			485
			-125
			297
			561
			2,284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
SEVEN & I HLDGS CO LTD ADR	P	2013-08-30	2016-01-19
SEVEN & I HLDGS CO LTD ADR	P	2013-09-11	2016-01-20
SEVEN & I HLDGS CO LTD ADR	P	2013-09-11	2016-02-24
SEVEN & I HLDGS CO LTD ADR	P	2013-09-11	2016-01-19
SEVEN & I HLDGS CO LTD ADR	P	2013-10-02	2016-02-24
SEVEN & I HLDGS CO LTD ADR	P	2013-12-26	2016-02-24
SEVEN & I HLDGS CO LTD ADR	P	2015-03-11	2016-02-24
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-12
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-12
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
237		189	48
104		91	13
215		199	16
86		72	14
468		432	36
468		470	-2
429		423	6
584		576	8
231		228	3
158		156	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			48
			13
			16
			14
			36
			-2
			6
			8
			3
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-12
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-12
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-12
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-12
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-12
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-12
SHIRE PLC SPON ADR	P	2016-06-03	2016-06-03
SHIRE PLC SPON ADR	P	2016-06-03	2016-07-28
SNAP ON INC	P	2013-10-03	2016-01-20
SOCIETE GENERALE FRANCE SPONS ADR ADR	P	2015-02-26	2016-01-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
707		697	10
71		70	1
505		498	7
273		269	4
448		442	6
136		134	2
122		122	0
191		192	-1
4,345		2,880	1,465
231		278	-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			10
			1
			7
			4
			6
			2
			0
			-1
			1,465
			-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SOCIETE GENERALE FRANCE SPONS ADR ADR	P	2015-03-02	2016-01-22
SOCIETE GENERALE FRANCE SPONS ADR ADR	P	2015-03-03	2016-01-22
SOCIETE GENERALE FRANCE SPONS ADR ADR	P	2015-06-30	2016-01-22
SOCIETE GENERALE FRANCE SPONS ADR ADR	P	2015-10-23	2016-01-22
SONY CORP ADR NEW JAPAN ADR	P	2014-09-15	2016-04-14
SONY CORP ADR NEW JAPAN ADR	P	2014-09-15	2016-01-21
SONY CORP ADR NEW JAPAN ADR	P	2014-09-15	2016-06-14
SONY CORP ADR NEW JAPAN ADR	P	2014-09-15	2016-08-31
SONY CORP ADR NEW JAPAN ADR	P	2014-09-30	2016-08-31
SOUTHWESTERN ENERGY CO	P	2014-10-22	2016-01-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
216		262	-46
378		451	-73
247		302	-55
509		633	-124
471		336	135
761		712	49
385		277	108
416		257	159
160		90	70
2,794		10,104	-7,310

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-46
			-73
			-55
			-124
			135
			49
			108
			159
			70
			-7,310

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SOUTHWESTERN ENERGY CO	P	2015-01-02	2016-01-27
SOUTHWESTERN ENERGY CO	P	2015-06-24	2016-01-27
SPRINT NEXTEL CORP B/E 06 000% 111522 DTD111412 FC051513 CALL@MW	P	2014-09-05	2016-12-13
STARZ *MERGER EFF 12/2016* SER A	P	2011-03-16	2016-12-09
STARZ *MERGER EFF 12/2016* SER A	P	2011-04-20	2016-12-09
STARZ *MERGER EFF 12/2016* SER A	P	2013-09-11	2016-12-09
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2013-08-09	2016-01-19
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2013-08-09	2016-01-29
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2013-08-30	2016-01-29
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2013-09-11	2016-01-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,282		3,799	-2,517
641		1,676	-1,035
39,621		40,004	-383
537		110	427
358		79	279
1,468		1,067	401
571		777	-206
52		75	-23
353		478	-125
497		732	-235

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,517
			-1,035
			-383
			427
			279
			401
			-206
			-23
			-125
			-235

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2013-10-02	2016-01-29
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2014-11-03	2016-01-29
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	P	2013-08-22	2016-09-12
TECHNIP SA NEW *MERGER EFF 01/2017* SPON ADR	P	2016-08-17	2016-12-01
TECHNIP SA NEW *MERGER EFF 01/2017* SPON ADR	P	2016-08-17	2016-11-22
TECHNIP SA NEW *MERGER EFF 01/2017* SPON ADR	P	2016-08-18	2016-12-01
TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR	P	2014-09-24	2016-04-26
TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR	P	2014-12-04	2016-04-26
TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR	P	2015-01-21	2016-04-26
TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR	P	2015-01-21	2016-06-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
392		580	-188
301		384	-83
6,035		3,376	2,659
296		244	52
191		158	33
261		222	39
419		502	-83
185		219	-34
88		99	-11
211		298	-87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-188
			-83
			2,659
			52
			33
			39
			-83
			-34
			-11
			-87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR	P	2015-01-21	2016-06-24
TOTAL CPTL CANADA LTD 01 450% 011518 DTD011713 FC071513 CALL@MW	P	2013-02-06	2016-03-24
TOWERS WATSON & CO **MERGER EFF 01/2016** CL A	P	2014-07-30	2016-01-04
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2013-09-11	2016-02-25
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2013-10-02	2016-02-25
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2013-10-02	2016-03-01
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2014-05-28	2016-03-01
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2014-05-28	2016-03-14
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2014-06-02	2016-03-14
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2014-06-02	2016-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
102		144	-42
1,000		1,001	-1
4,476		3,986	490
105		128	-23
210		255	-45
320		382	-62
853		879	-26
327		330	-3
546		568	-22
215		227	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-42
			-1
			490
			-23
			-45
			-62
			-26
			-3
			-22
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2014-06-19	2016-03-29
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2014-06-19	2016-04-07
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2014-10-08	2016-05-31
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2015-01-20	2016-05-31
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2015-08-24	2016-05-31
TRANSCANADA CORP (HOLDING CO) CAD	P	2016-04-26	2016-10-18
U S TREAS INFL NT BILL DUE 07/15/25 FACTOR 1 0177600	P	2015-11-06	2016-11-22
U S TREAS INFL NT BILL DUE 07/15/25 FACTOR 1 0177600	P	2015-11-06	2016-11-18
U S TREAS INFL NT BILL DUE 07/15/25 FACTOR 1 0177600	P	2015-11-06	2016-10-11
U S TREAS INFL NT BILL DUE 07/15/25 FACTOR 1 0177600	P	2015-11-06	2016-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
215		236	-21
1,079		1,297	-218
514		577	-63
617		776	-159
926		1,039	-113
331		286	45
4,075		3,934	141
4,062		3,933	129
5,193		4,908	285
4,069		3,934	135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21
			-218
			-63
			-159
			-113
			45
			141
			129
			285
			135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UBS AG L/O TA-CYN RTY 06/14/2019	P	2016-06-10	2016-12-14
UBS AG L/O TA-CYN RTY NDX 05/17/2019	P	2016-05-13	2016-11-16
UBS AG L/O TPAOS SPX RTY 09/28/2018	P	2015-09-28	2016-03-31
UBS AG TRIGGER PS XLE 09/30/2020	P	2015-09-25	2016-12-27
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2013-10-21	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2013-11-19	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2013-12-20	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2013-12-20	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2014-01-08	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2014-03-24	2016-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50,000		50,000	0
75,000		75,000	0
75,000		75,000	0
65,210		50,000	15,210
16,170		18,329	-2,159
22,167		24,996	-2,829
8,784		10,002	-1,218
27		30	-3
13,014		15,000	-1,986
22		25	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			15,210
			-2,159
			-2,829
			-1,218
			-3
			-1,986
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2014-06-23	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2014-09-23	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2014-12-22	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2015-03-23	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2015-06-22	2016-06-15
UBS FIXED INCOME OPPORTUNITIES FUND CLASS C	P	2015-09-22	2016-06-15
UNITED HEALTH GROUP INC 02 875% 121521 DTD120814 FC061515 CALL@MW	P	2014-12-17	2016-03-24
UNITED STATES TREAS BOND 02 500 % DUE 05/15/46 DTD 05/15/16 FC	P	2016-05-31	2016-11-30
UNITED STATES TREAS BOND 02 500 % DUE 05/15/46 DTD 05/15/16 FC	P	2016-06-30	2016-11-30
UNITED STATES TREAS BOND 03 625 % DUE 02/15/44 DTD 02/15/14 FC	P	2014-04-30	2016-07-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9		10	-1
10		11	-1
1,367		1,474	-107
3		3	0
11		12	-1
21		23	-2
1,030		1,012	18
8,945		9,714	-769
894		1,041	-147
11,784		9,254	2,530

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-1
			-107
			0
			-1
			-2
			18
			-769
			-147
			2,530

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
UNITED STATES TREAS BOND 04 625 % DUE 02/15/40 DTD 02/15/10 FC	P	2012-05-09	2016-03-24
US TSY INFL PROT NOTE 00 125 % DUE 07/15/22 FACTOR 1 0495400	P	2012-12-07	2016-10-18
US TSY INFL PROT NOTE 00 125 % DUE 07/15/22 FACTOR 1 0495400	P	2012-12-07	2016-10-13
US TSY INFL PROT NOTE 00 125 % DUE 07/15/22 FACTOR 1 0495400	P	2012-12-07	2016-10-17
US TSY INFL PROT NOTE 00 125 % DUE 07/15/22 FACTOR 1 0495400	P	2013-06-25	2016-10-18
US TSY INFL PROT NOTE 00 125 % DUE 07/15/22 FACTOR 1 0495400	P	2013-06-25	2016-10-25
US TSY INFL PROT NOTE 00 125 % DUE 07/15/22 FACTOR 1 0495400	P	2015-02-10	2016-10-25
US TSY NOTE 00 125 % DUE 04/15/16 FACTOR 1 0737400	P	2015-01-12	2016-04-15
US TSY NOTE 00 750 % DUE 08/31/18 DTD 08/31/16 FC	P	2016-09-12	2016-12-12
US TSY NOTE 00 750 % DUE 10/31/17 DTD 10/31/12 FC	P	2014-10-21	2016-09-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,387		1,291	96
1,072		1,140	-68
4,268		4,560	-292
4,285		4,560	-275
3,217		3,032	185
3,214		3,033	181
1,071		1,048	23
7,516		7,495	21
14,908		14,986	-78
12,999		12,972	27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			96
			-68
			-292
			-275
			185
			181
			23
			21
			-78
			27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
US TSY NOTE 00 875 % DUE 11/30/17 DTD 11/30/15 FC	P	2015-12-08	2016-11-16
US TSY NOTE 01 000 % DUE 08/31/16 DTD 08/31/11 FC	P	2015-10-19	2016-08-31
US TSY NOTE 01 000 % DUE 08/31/16 DTD 08/31/11 FC	P	2015-10-19	2016-07-21
US TSY NOTE 01 000 % DUE 10/31/16 DTD 10/31/11 FC	P	2012-05-09	2016-09-12
US TSY NOTE 01 000 % DUE 10/31/16 DTD 10/31/11 FC	P	2012-05-17	2016-09-12
US TSY NOTE 01 000 % DUE 10/31/16 DTD 10/31/11 FC	P	2013-10-03	2016-10-31
US TSY NOTE 01 000 % DUE 10/31/16 DTD 10/31/11 FC	P	2013-10-03	2016-09-12
US TSY NOTE 01 375 % DUE 09/30/23 DTD 09/30/16 FC	P	2016-10-12	2016-12-09
US TSY NOTE 01 625 % DUE 10/31/23 DTD 10/31/16 FC	P	2016-11-21	2016-12-09
US TSY NOTE 01 625 % DUE 10/31/23 DTD 10/31/16 FC	P	2016-11-22	2016-12-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,007		13,981	26
20,000		20,000	0
14,010		14,011	-1
6,005		6,003	2
3,002		3,001	1
32,000		32,000	0
3,002		3,001	1
6,618		6,897	-279
9,607		9,687	-80
3,843		3,876	-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			26
			0
			-1
			2
			1
			0
			1
			-279
			-80
			-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
US TSY NOTE 01 625 % DUE 10/31/23 DTD 10/31/16 FC	P	2016-11-23	2016-12-09
US TSY NOTE 01 750 % DUE 05/31/16 DTD 05/31/11 FC	P	2014-10-31	2016-02-29
US TSY NOTE 01 750 % DUE 05/31/16 DTD 05/31/11 FC	P	2014-10-31	2016-05-31
US TSY NOTE 01 750 % DUE 10/31/18 DTD 10/31/11 FC	P	2012-05-09	2016-03-24
US TSY NOTE 02 000 % DUE 08/15/25 DTD 08/15/15 FC	P	2015-11-05	2016-09-12
US TSY NOTE 02 000 % DUE 08/15/25 DTD 08/15/15 FC	P	2015-11-05	2016-12-12
US TSY NOTE 02 000 % DUE 08/15/25 DTD 08/15/15 FC	P	2016-02-24	2016-12-12
US TSY NOTE 02 000 % DUE 11/30/22 DTD 11/30/15 FC	P	2015-12-08	2016-12-12
VODAFONE GROUP PLC SPON ADR	P	2013-08-09	2016-09-01
VODAFONE GROUP PLC SPON ADR	P	2013-08-09	2016-04-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,804		4,826	-22
9,031		9,031	0
46,000		46,000	0
1,020		1,017	3
11,299		10,756	543
4,819		4,889	-70
12,530		13,298	-768
29,762		29,996	-234
8		15	-7
389		673	-284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-22
			0
			0
			3
			543
			-70
			-768
			-234
			-7
			-284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
VODAFONE GROUP PLC SPON ADR	P	2013-08-30	2016-09-01
VODAFONE GROUP PLC SPON ADR	P	2013-09-11	2016-09-01
VODAFONE GROUP PLC SPON ADR	P	2013-09-11	2016-11-16
VODAFONE GROUP PLC SPON ADR	P	2013-09-11	2016-10-05
VODAFONE GROUP PLC SPON ADR	P	2013-10-02	2016-11-16
VODAFONE GROUP PLC SPON ADR	P	2013-11-18	2016-11-16
VODAFONE GROUP PLC SPON ADR	P	2013-11-20	2016-11-16
WAL MART STORES INC	P	2012-05-09	2016-02-17
WHOLE FOODS MARKET INC	P	2015-08-21	2016-01-29
WOLSELEY PLC SPON ADR	P	2014-03-21	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
132		255	-123
403		812	-409
79		188	-109
379		790	-411
267		679	-412
224		602	-378
227		588	-361
1,325		1,184	141
3,090		3,423	-333
452		457	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-123
			-409
			-109
			-411
			-412
			-378
			-361
			141
			-333
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
WOLSELEY PLC SPON ADR	P	2014-03-21	2016-07-28
WOLSELEY PLC SPON ADR	P	2014-04-23	2016-10-06
WOLSELEY PLC SPON ADR	P	2014-04-23	2016-07-28
WOLSELEY PLC SPON ADR	P	2014-12-03	2016-10-06
WSTN DIGITAL CORP	P	2015-11-03	2016-05-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
11		11	0
376		386	-10
260		277	-17
51		52	-1
11		11	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			0
			-10
			-17
			-1
			0

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ALLEN I GROSS	PRESIDENT 5 00	0	0	0
1345 EAST 27TH ST BROOKLYN,NY 11210				
EDITH GROSS	TRUSTEE 5 00	0	0	0
1345 EAST 27TH ST BROOKLYN,NY 11210				
BRIAN GROSS	TRUSTEE 5 00	0	0	0
2822 AVE L BROOKLYN,NY 11210				
JONATHAN GROSS	TRUSTEE 5 00	0	0	0
1224 EAST 24TH ST BROOKLYN,NY 11210				
CAROLYN WEISER	TRUSTEE 5 00	0	0	0
1368 EAST 26TH STREET BROOKLYN,NY 11210				
ZAHAVA HURWITZ	TRUSTEE 5 00	0	0	0
1360 EAST 28TH STREET BROOKLYN,NY 11210				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A TIME 1310 48 STREET BROOKLYN,NY 11219			CHARITY	100
AGUDATH ISRAEL BAIS BINYOMIN 2913-15 AVENUE L BROOKLYN,NY 11210			CHARITY	11,325
AGUDATH ISRAEL BAIS BINYOMIN 2913-15 AVENUE L BROOKLYN,NY 11210			CHARITY	24,500
AGUDATH ISRAEL OF AMERICA 42 BROADWAY NEW YORK,NY 10004			CHARITY	15,054
AGUDAS YISROEL BIRCAS YAAKOV 262 TERHUNE AVENUE PASSAIC,NJ 07055			CHARITY	250
Total			3a	533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AGUDIST BENEVOLENT SOCIETY 1769 46TH STREET BROOKLYN,NY 11201			CHARITY	105
AHAVAS CHESED 720 LEFFERTS AVENUE BROOKLYN,NY 11203			CHARITY	250
AISH HATTORAH 313 W 83RD STREET NEW YORK,NY 10024			CHARITY	36
AIM HABONIM 401 BURY NEW RD SALFORD M7 2BT UK			CHARITY	180
ALZHEIMERS ASSOCIATION 225 N MICHIGAN AVENUE CHICAGO,IL 60601			CHARITY	25
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN FRIENDS OF EMUNAH V'CHESED 60 E 42ND STREET SUITE 2240 NEW YORK, NY 10165			CHARITY	1,000
AMERICAN FRIENDS OS MIGDAL OHR 1560 BROADWAY NEW YORK, NY 10036			CHARITY	375
BAIS MEDRASH MAYAN HATORAH 101 MILTON STREET LAKEWOOD, NJ 08701			CHARITY	100
BAIS YAAKOV D'RAV MEIR 85 PARKVILLE AVENUE BROOKLYN, NY 11230			CHARITY	33,585
BAIS YAAKOV HIGH SCHOOL 4420 15TH AVENUE BROOKLYN, NY 11219			CHARITY	1,500
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BE'ER HAGOLAH 671 LOUISIANA AVENUE BROOKLYN,NY 11239			CHARITY	2,800
BETH ELIYAHU 5012 CENTRAL AVENUE BONITA,CA 91902			CHARITY	180
BIKUR CHOLIM 5612 11TH AVENUE BROOKLYN,NY 11219			CHARITY	1,250
BIKUR CHOLIM NEFESH CHAYA 3018 BEDFORD AVENUE BROOKLYN,NY 11210			CHARITY	100
BINA 2511 AVENUE I BROOKLYN,NY 11210			CHARITY	180
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BOBBIE'S PLACE 1401 LOCUST AVENUE BROOKLYN,NY 11230			CHARITY	50
BONEI OLAM 1755 46TH STREET BROOKLYN,NY 11204			CHARITY	12,500
CHABAD OF PARKLAND 7170 LOXAHATCHEE RD PARKLAND,FL 33067			CHARITY	180
CHAI LIFE LINE 151 WEST 30TH STREET NEW YORK,NY 10001			CHARITY	1,000
CHAI4EVER 1221 MADISN AVE LAKEWOOD,NJ 08701			CHARITY	540
Total			▶ 3a	533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHAIM MEIR CAMP FUND 132 NASSAU ST NEW YORK, NY 10038			CHARITY	50
CHASDEI LEV POB 297257 BROOKLYN, NY 11229			CHARITY	10,000
CHESED 247 286 N MAIN STREET SUITE 210 SPRING VALLEY, NY 10977			CHARITY	100
CHILD LIFE SOCIETY 1347 43RD STREET BROOKLYN, NY 11218			CHARITY	1,360
CHOFETZ CHAIM HERITAGE FUND 361 SPOOK ROCK RD SUFFERN, NY 10901			CHARITY	540
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CMBM 5225 CONNECTICUT AVENUE NW WASHINGTON,DC 20015			CHARITY	18
CONGREGATION AHAVAS ACHIM 216 FIRST AVENUE HIGHLAND PARK,NJ 08904			CHARITY	50
CONGREGATION TOV VCHESED 15 HOWARD DR SPRING VALLEY,NY 10977			CHARITY	36
CONGREGATION TORAH OHAVEI 139 CAROL STREET LAKEWOOD,NJ 08701			CHARITY	180
CONGREGATION WEST PARK 458 RIVERSIDE DRIVE FALLSBURG,NY 12733			CHARITY	5,200
Total			▶ 3a	533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CONGREGATION YECHEZKEL SHRAGA 5005 COLLINS AVENUE MIAMI BEACH,FL 33140			CHARITY	180
DAVIS MEMORIAL FUND 25 LAWRENCE AVENUE LAWRENCE,NY 11559			CHARITY	50
DERECH HATORA - KIRYAT 2810 NOSTRAND AVENUE BROOKLYN,NY 11229			CHARITY	180
ECHO WOMEN 295 WEST RTE 59 MONSEY,NY 10952			CHARITY	180
EDUCATIONAL INSTITUTE OHOLEI TORAH 667 EASTERN PKWY BROOKLYN,NY 11213			CHARITY	180
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
EZRAS CHAIM 531 FLUSHING AVE 4TH FLOOR BROOKLYN,NY 11205			CHARITY	18
FRIENDS OF YAD YITZCHOK 1335 E 5TH STREET BROOKLYN,NY 11230			CHARITY	250
HASC 5902 14TH AVENUE BROOKLYN,NY 11219			CHARITY	280
HOROWITZ FUND 439 WKENNETH RD GLENDALE,CA 91202			CHARITY	36,000
JEP CAMP SCHOLORSHIP FUND 110 ROCKAWAY TURNPIKE LAWRENCE,NY 11559			CHARITY	180
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JEWISH CENTER FOR SPECIAL ED 1760 53RD STREET BROOKLYN,NY 11204			CHARITY	750
KEHAL SUSREGEN 1279 EAST 24TH STREET BROOKLYN,NY 11210			CHARITY	100
KEREN AHAVAS CHESED 720 LEFFERTS AVENUE BROOKLYN,NY 11203			CHARITY	250
KEREN HASHIVIS 4714 16TH AVENUE BROOKLYN,NY 11204			CHARITY	180
KEREN YAAKOV ZEV 132 NASSAU STREET RM 300 NEW YORK,NY 10038			CHARITY	100
Total			▶ 3a	533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
KIMPITORIN AID 1324 50TH STREET BROOKLYN,NY 11219			CHARITY	180
KOLLEL SHOMRE HACHOMOS 18 HEYWARD STREET BROOKLYN,NY 11249			CHARITY	360
LEV LACHIM 1034 EAST 12TH STREET BROOKLYN,NY 11230			CHARITY	636
MASORES BAIS YAAKOV 1451 52ND ST A1 BROOKLYN,NY 11219			CHARITY	180
MATAN BSETAR BAMBI 1667 54TH STREET BROOKLYN,NY 11204			CHARITY	100
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MAYAN YISROEL 3315 AVENUE N BROOKLYN,NY 11234			CHARITY	500
MAZEL DAY SCHOOL 2901 BRIGHTON 6TH STREET BROOKLYN,NY 11235			CHARITY	500
MERKAZ HATORAH 3495 RICHMOND ROAD STATEN ISLAND,NY 10309			CHARITY	100
MESIVTA TIFERES JERUSALEM 145 E BROADWAY NEW YORK,NY 10002			CHARITY	36
MESORES BAIS YAAKOV 1395 OCEAN AVENUE BROOKLYN,NY 11230			CHARITY	20,360
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MIRRER YESHIVA 1791 OCEAN PARKWAY BROOKLYN, NY 11223			CHARITY	10,000
N'SHAI AHAVAS CHESED 1549 46TH STREET BROOKLYN, NY 11219			CHARITY	36
NATIONAL COMMITTEE FOR THE FURTHERANCE OF EDUCATION 824 EASTERN PKWY BROOKLYN, NY 11213			CHARITY	10,000
NCFJED MINEOLA 824 EASTERN PKWY BROOKLYN, NY 11213			CHARITY	5,000
OHALEI TORAH 667 EASTERN PKWY BROOKLYN, NY 11213			CHARITY	1,000
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OHEL 4510 16TH AVENUE BROOKLYN,NY 11204			CHARITY	5,050
ORTHODOX UNION 11 BROADWAY SUITE 1301 NEW YORK,NY 10004			CHARITY	180
PARTNERS IN TORAH 228 AYCRIGG AVENUE PASSAIC,NJ 07055			CHARITY	180
PROJECT INSPIRE 1407 BROADWAY SUITE 1703A NEW YORK,NY 10018			CHARITY	200,000
PROJECT RANANA 1179 EAST 13TH STREET BROOKLYN,NY 11230			CHARITY	2,500
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PROJECT WITNESS 201 FOSTER AVENUE BROOKLYN,NY 11230			CHARITY	5,000
PROSPECT PARK YESHIVA 1784 EAST 17TH STREET BROOKLYN,NY 11229			CHARITY	9,725
RAJE 2915 OCEAN PARKWAY BROOKLYN,NY 11235			CHARITY	1,000
RIVKAH LAUFER BIKUR CHOLIM 1406 57TH STREET BROOKLYN,NY 11219			CHARITY	360
SAMCHENU 812 HYDE COURT SILVER SPRING,MD 20902			CHARITY	860
Total			3a	533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SATMAR BIKUR CHOLOM 545 BEDFORD AVENUE BROOKLYN, NY 11211			CHARITY	380
SHOR YOSHUV 1 CEDARLAWN AVENUE LAWRENCE, NY 11559			CHARITY	1,036
SINAI ACADEMY 2025 79TH STREET BROOKLYN, NY 11214			CHARITY	4,200
TALMUD TORAH TZFAS 1321 44TH STREET BROOKLYN, NY 11219			CHARITY	18
TORAH COMMUNITY FUND C/O JOSH WINTER 4 KATHERINE AVENUE PASSAIC, NJ 07055			CHARITY	500
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TORAH COMMUNITCATIONS NETWORK 2549 LAFAYETTE DRIVE CLEVELAND, OH 44118			CHARITY	96
TORAH UMSORAH 620 FOSTER AVENUE BROOKLYN, NY 11230			CHARITY	960
TZEDAKAH V'CHESED 17925 NE 9TH PL NORTH MIAMI BEACH, FL 33162			CHARITY	100
WHITE LAKE TORAH 36 LAKE STREET WHITE LAKE, NY 12786			CHARITY	100
YACHAD 11 BROADWAY NEW YORK, NY 10004			CHARITY	50
Total			3a	533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YAD AVROM 1271 AVENUE OF AMERICAS SUITE 48 NEW YORK, NY 10020			CHARITY	10
YAD BATYAH L'KALLAH 1256 EAST 26TH STREET BROOKLYN, NY 11210			CHARITY	700
YAD ELIEZER 1102 EAST 26TH STREET BROOKLYN, NY 11210			CHARITY	50
YAD LACHIM 4018 18TH AVENUE BROOKLYN, NY 11218			CHARITY	36
YAD YEHUDA 812 HYDE COURT SILVER SPRING, MD 20902			CHARITY	10
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
YAD YISROEL 1070 38TH STREET BROOKLYN,NY 11219			CHARITY	100
YESHIVA BAIS YOSEF 1502 AVENUE N BROOKLYN,NY 11230			CHARITY	100
YESHIVA BETH MOSHE 930 HICKORY STREET SCRANTON,PA 18505			CHARITY	54
YESHIVA BETH MOSHE (SCRANTON) 930 HICKORY STREET SCRANTON,PA 18505			CHARITY	100
YESHIVA CHASAN SOFER 1705 49TH STREET BROOKLYN,NY 11204			CHARITY	2,160
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YESHIVA DARCHEI TORAH 257 BEACH 17TH ST FAR ROCKAWAY, NY 11691			CHARITY	100
YESHIVA EMEK HATORAH 395 KENT ROAD HOWELL, NJ 07731			CHARITY	180
YESHIVA GEDOLAH OHR YISROEL 2899 NOSTRAND AVENUE BROOKLYN, NY 11219			CHARITY	200
YESHIVA KARLIN STOLIN 1818 54TH STREET BROOKLYN, NY 11204			CHARITY	500
YESHIVA MERCAZ HATORAH 505 BEACH 129TH STREET ROCKAWAY PARKWAY, NY 11694			CHARITY	100
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YESHIVA MIKOR CHAIM 1600 55TH STREET BROOKLYN,NY 11204			CHARITY	360
YESHIVA MORESHES YEHOShUA 817 CENTRAL AVENUE LAKEWOOD,NJ 08701			CHARITY	2,500
YESHIVA OF BROOKLYN 1470 OCEAN PARKWAY BROOKLYN,NY 11230			CHARITY	500
YESHIVA OF STATEN ISLAND 1870 DRUMGOOLE ROAD EAST STATEN ISLAND,NY 10309			CHARITY	180
YESHIVA OF WATERBURY 47 BUCKINGHAM STREET WATERBURY,CT 06710			CHARITY	180
Total			3a	533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YESHIVA OHR REUVEN 259 GRANDVIEW AVENUE SUFFERN,NY 10901			CHARITY	500
YESHIVA PRESCHOOL OF SILICON VALLEY 706 W JULIAN STREET SAN JOSE,CA 95126			CHARITY	1,000
YESHIVA TIFERES YISROEL 1271 EAST 35TH STREET BROOKLYN,NY 11210			CHARITY	46,000
YESHIVA TORAH TEMIMAH 555 OCEAN PKWY BROOKLYN,NY 11218			CHARITY	50
YESHIVA TORAH VODAATH 425 EAST 9TH STREET BROOKLYN,NY 11218			CHARITY	18,600
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ZICHRON DEVORAH 7056 136TH STREET FLUSHING,NY 11367			CHARITY	3,600
ZICHRON SHLOMO REFUAH FUND 1319 51ST STREET BROOKLYN,NY 11219			CHARITY	50
ZICHRON SHMUEL 3478 BEDFORD AVENUE BROOKLYN,NY 11204			CHARITY	1,884
ZICHRON SHRAGA 98 WEST END AVENUE BROOKLYN,NY 11235			CHARITY	1,800
ZICHRON YAAKOV 720 UNION RD SPRING VALLEY,NY 10977			CHARITY	250
Total ▶ 3a				533,686

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VARIOUS AMOUNTS 1000 1428 36TH STREET BROOKLYN,NY 11218			CHARITY	6,972
Total ▶ 3a				533,686

TY 2015 Accounting Fees Schedule

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROTH & COMPANY LLP	6,600	5,280	0	1,320

TY 2015 Investments Corporate Stock Schedule**Name:** ALLEN I GROSS CHARITABLE FOUNDATION**EIN:** 11-2906887

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESTMENT IN NOTE	23,021	23,021
BROKERAGE ACCOUNTS	10,835,285	10,835,285

TY 2015 Other Assets Schedule**Name:** ALLEN I GROSS CHARITABLE FOUNDATION**EIN:** 11-2906887

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
INVESTMENTS	199,160	136,925	
DUE FROM SLUICE	1,724,804	1,811,044	

TY 2015 Other Decreases Schedule**Name:** ALLEN I GROSS CHARITABLE FOUNDATION**EIN:** 11-2906887

Description	Amount
UNREALIZED GAIN ON SECURITIES	118,239

TY 2015 Other Income Schedule**Name:** ALLEN I GROSS CHARITABLE FOUNDATION**EIN:** 11-2906887

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CAVENDISH K-1	-205	-205	
SQUARE MILE K-1	68,292	68,292	

TY 2015 Other Professional Fees Schedule**Name:** ALLEN I GROSS CHARITABLE FOUNDATION**EIN:** 11-2906887

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT SERVICE CHARGES	51,115	51,115	0	0

TY 2015 Taxes Schedule**Name:** ALLEN I GROSS CHARITABLE FOUNDATION**EIN:** 11-2906887

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE TAXES	250	0	0	0
DEPARTMENT OF TREASURY	4,000	0	0	0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990	OMB No 1545-0047 2015
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Name of the organization ALLEN I GROSS CHARITABLE FOUNDATION	Employer identification number 11-2906887
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Organization type (check one)

Filers of: Form 990 or 990-EZ Form 990-PF	Section: ☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization ☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation
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Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EDITH GROSS 140 BROADWAY 41ST FLOOR NEW YORK, NY 10005	\$ 600,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	

Name of organization ALLEN I GROSS CHARITABLE FOUNDATION	Employer identification number 11-2906887
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization ALLEN I GROSS CHARITABLE FOUNDATION	Employer identification number 11-2906887
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Part III *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.)* ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	--		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	--		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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