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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No. 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

12/01, 2015, and ending

11/30, 2016

Name of foundation 31-C7B007575005 THE RICHARD AND SUSAN
BRADDOCK FAMILY FOUNDATION, INC.A Employer identification number
06-1565971

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

10 GRACIE SQUARE APT. 9F

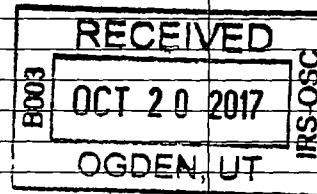
City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10028

G Check all that apply:

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name changeH Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col. (c), line
16) ▶ \$ 5,141,696.J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	43,248.	42,936.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	154,855.			
b Gross sales price for all assets on line 6a 1,210,562.				
7 Capital gain net income (from Part IV, line 2)		157,324.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	671,414.	23,516.		STMT 2
12 Total. Add lines 1 through 11	869,517.	223,776.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	35,000.			35,000.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) STMT 3	34,613.	34,613.		
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 4	2,094.	1,724.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 5	994.	24,110.		400.
24 Total operating and administrative expenses. Add lines 13 through 23.	72,701.	60,447.	NONE	35,400.
25 Contributions, gifts, grants paid	1,657,000.			1,657,000.
26 Total expenses and disbursements. Add lines 24 and 25	1,729,701.	60,447.	NONE	1,692,400.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-860,184.			
b Net investment income (if negative, enter -0-)		163,329.		
c Adjusted net income (if negative, enter -0-)				



JSA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	870,803.	527,643.	527,643.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis ▶		
		Less accumulated depreciation ▶ (attach schedule)			
12		Investments - mortgage loans			
13		Investments - other (attach schedule) STMT .6.	4,082,659.	2,920,903.	4,614,053.
14		Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶ (attach schedule)			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,953,462.	3,448,546.	5,141,696.
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds	4,874,183.	3,386,742.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	79,279.	61,804.	
	30	Total net assets or fund balances (see instructions)	4,953,462.	3,448,546.	
	31	Total liabilities and net assets/fund balances (see instructions)	4,953,462.	3,448,546.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,953,462.
2	Enter amount from Part I, line 27a	2	-860,184.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	23,358.
4	Add lines 1, 2, and 3	4	4,116,636.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	668,090.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,448,546.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,210,474.		1,053,150.	157,324.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			157,324.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	157,324.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,977,438.	6,516,812.	0.303436
2013	1,497,183.	7,297,098.	0.205175
2012	1,331,485.	7,970,850.	0.167044
2011	1,767,588.	8,645,598.	0.204449
2010	776,000.	10,073,200.	0.077036
2 Total of line 1, column (d)			2 0.957140
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.191428
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 5,351,340.
5 Multiply line 4 by line 3			5 1,024,396.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,633.
7 Add lines 5 and 6			7 1,026,029.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 1,692,400.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter. (attach copy of letter if necessary - see instructions)	1	1,633.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	1,633.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,633.
6 Credits/Payments		
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	6,060.
b Exempt foreign organizations - tax withheld at source	6b	NONE
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	6,060.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,427.
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☒ NONE Refunded ☐	11	4,427.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ☐ \$ (2) On foundation managers ☐ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► SEE STATEMENT 9 Telephone no. ► Located at ► ZIP+4 ►		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) - a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► - b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) - c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	X
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?. ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?. ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUSAN BRADDOCK	DIRECTOR			
ONE COURT SQUARE 19TH FLOOR, LONG ISLAND CITY, NY 111	2	-0-	-0-	-0-
JENNIFER BRADDOCK GERBER	PRESIDENT			
ONE COURT SQUARE 19TH FLOOR, LONG ISLAND CITY, NY 111	20	35,000.	-0-	-0-
RICHARD BRADDOCK	DIRECTOR			
ONE COURT SQUARE 19TH FLOOR, LONG ISLAND CITY, NY 111	2	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions.	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,234,871.
b	Average of monthly cash balances	1b	197,961.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	5,432,832.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	5,432,832.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	81,492.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,351,340.
6	Minimum investment return. Enter 5% of line 5	6	267,567.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	267,567.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,633.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,633.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	265,934.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	265,934.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	265,934.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,692,400.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,692,400.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,633.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,690,767.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				265,934.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			NONE	
b Total for prior years 20 <u>13</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2015.				
a From 2010	289,832.			
b From 2011	1,338,632.			
c From 2012	942,839.			
d From 2013	1,142,932.			
e From 2014	1,663,711.			
f Total of lines 3a through e	5,377,946.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ <u>1,692,400.</u>				
a Applied to 2014, but not more than line 2a . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2015 distributable amount				265,934.
e Remaining amount distributed out of corpus. . .	1,426,466.			
5 Excess distributions carryover applied to 2015. (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	6,804,412.			
b Prior years' undistributed income. Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .	289,832.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	6,514,580.			
10 Analysis of line 9				
a Excess from 2011 . . .	1,338,632.			
b Excess from 2012 . . .	942,839.			
c Excess from 2013 . . .	1,142,932.			
d Excess from 2014 . . .	1,663,711.			
e Excess from 2015 . . .	1,426,466.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i).

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization.

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED STATEMENT	NONE	N/A	UNRESTRICTED GIFT	1,657,000.
Total			▶ 3a	1,657,000.
b <i>Approved for future payment</i>				
Total			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|--------------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

CHRISTOPHER L. GILLAM

Preparer's signature

Christopher L. Dillon

Date	
------	--

10/11/2017

7	Check ☐ if self-employed
---	---

PTIN

P01212487

Firm's name ► KPMG LLP

Firm's EIN ► 13-5565207

Firm's address ► ONE FINANCIAL PLAZA ; SUITE 2300

PROVIDENCE, RI

02903

Phone no 800-770-8444

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDENDS AND INTEREST	43,248.	42,936.
	-----	-----
TOTAL	43,248.	42,936.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
PARTNERSHIP INCOME	671,414.	23,516.
	-----	-----
TOTALS	671,414.	23,516.
	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
OTHER PROFESSIONAL FEES	34,613.	34,613.
	-----	-----
TOTALS	34,613.	34,613.
	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	1,694.	1,724.
990T BALANCE DUE	400.	
	-----	-----
TOTALS	2,094.	1,724.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
ADR FOREIGN FEES	381.	381.	
NY LAW JOURNAL	150.		150.
NY AG FILING FEE	250.		250.
PARTNERSHIP EXPENSES		23,516.	
INTEREST INVESTMENT EXPENSE	213.	213.	
TOTALS	994.	24,110.	400.

31-C7B007575005 THE RICHARD AND SUSAN

06-1565971

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION -----	COST/ FMV C OR F -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
GMAC G STAR	C	750,000.	750,000.	
SEE ATTACHED SCH-CITI	C	2,322,649.	1,160,893.	3,364,048.
SEE ATTACHED SCH-CREDIT SUISSE	C	1,010,010.	510,005.	750,000.
BNY	C		500,005.	500,005.
		-----	-----	-----
TOTALS		4,082,659.	2,920,903.	4,614,053.
		=====	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
LAMB WESTON TAX COST ADJUSTMENT	451.
ADIANT PLC TAX COST ADJUSTMENT	331.
RECEIPTS FROM ALTERNATIVE INVESTMENTS	19,679.
ADR IBERDROLA TAX COST ADJUSTMENT	60.
EQUINIX TAX COST ADJUSTMENT	274.
WASH SALE ADJUSTMENT	2,563.

TOTAL	23,358.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
AMORTIZATION	223.
DISTRIBUTION OF SKYBRIDGE	336,232.
PURCHASE OF ALTERNATIVE INVESTMENTS	4,883.
FNMA ADJUSTMENT	61.
JOHNSON CTLS TAX COST ADJUSTMENT	498.
OID/ACCRETION	117.
DISTRIBUTION OF ACL ALTERNATIVE	300,740.
ADJUSTMENT FOR BEGINNING OF BTO OFFSHORE	25,336.

TOTAL	668,090.
	=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: CITIBANK, N.A.

ADDRESS: ONE COURT SQUARE, 19TH FLOOR
LONG ISLAND CITY, NY 11120

TELEPHONE NUMBER: (800)770-8444

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

=====

RICHARD S. BRADDOCK
SUSAN BRADDOCK
JENNIFER BRADDOCK GERBER

Consolidated Statement of Accounts
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INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

CASH 12.16% SORTED BY LIQUIDITY									
Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	0.00	—	0.00	0.00	0.00	—	0.00
USD	(545.45)	—	(545.45)	—	(545.45)	0.00	(545.45)	—	0.00
USD	(29.8)	—	(29.80)	—	(29.80)	0.00	(29.80)	—	0.00
WESTERN ASSET INST GOVT-INST Rate 0002% USIP 52470G791 Yield 31%	96.13	100	96.13	1	96.13	0.00	96.13	0.00	0.30
WESTERN ASSET INST GOVT-INST Rate 0002% USIP 52470G791 Yield 31%	0.22	100	0.22	1	0.22	0.00	0.22	0.00	0.00
WESTERN ASSET INST GOVT-INST Rate 0002% USIP 52470G791 Yield 31%	72.01	100	72.01	1	72.01	0.00	72.01	0.00	0.22
WESTERN ASSET INST GOVT-INST Rate 0002% USIP 52470G791 Yield 31%	352,599.5	100	352,599.50	1	352,599.50	82.54	352,682.04	0.00	1,093.06
WESTERN ASSET INST GOVT-INST Rate 0002% USIP 52470G791 Yield 31%	158.81	100	158.81	1	158.81	0.01	158.82	0.00	0.49
WESTERN ASSET INST GOVT-INST Rate 0002% USIP 52470G791 Yield 31%	1,388.17	100	1,388.17	1	1,388.17	0.96	1,389.13	0.00	4.30



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INVESTMENT POSITIONS — PRINCIPAL

Reference Currency USD

CASH CONTINUED

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD) CONTINUED									
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	2,476 63	100	2,476 63	1	2,476 63	0 40	2,477 03	0 00	7 68
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	12,927 17	100	12,927.17	1	12,927 17	2 65	12,929 82	0 00	40 07
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	15,030 46	100	15,030 46	1	15,030 46	3 64	15,034 10	0 00	46 59
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	81,665 24	100	81,665 24	1	81,665 24	21 40	81,686 64	0 00	253 16
Total Cash			465,839.09		465,839.09	111.60	465,950.69	0.00	1,445.88

EQUITIES 64.88% SORTED ALPHABETICALLY

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US Large Cap Equities (USD)									
ACCENTURE PLC Ticker/CUSIP ACN/G1151C101 Yield 2 03% Sector Information Technology	106 02APR13	76.7679	8,137 40	119.43 30NOV16	12,659 58	0 00	12,659.58	4,522 18	256 52
ADOBE SYSTEMS INC Ticker/CUSIP ADBE/00724F101 Sector Information Technology	111 Multiple	79 2582	8,797 66	102 81 30NOV16	11,411 91	0 00	11,411 91	2,614 25	0 00

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INVESTMENT POSITIONS — PRINCIPAL

EQUITIES CONTINUED

Reference Currency: USD

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
JS Large Cap Equities (USD) CONTINUED									
ALPHABET INC CLASS A Ticker/CUSIP: GOOGL/02079K305 Sector: Information Technology	14 20MAY11	264,727.9	3,706.19	775.88 30NOV16	10,862.32	0.00	10,862.32	7,156.13	0.00
ALPHABET INC CLASS C Ticker/CUSIP: GOOG/02079K107 Sector: Information Technology	20 Multiple	289,159.5	5,783.19	758.04 30NOV16	15,160.80	0.00	15,160.80	9,377.61	0.00
ANTHEM INC Ticker/CUSIP: ANTM/036752103 Field 1: 82% Sector: Health Care	20 Multiple	77,281	1,545.62	142.53 30NOV16	2,850.60	0.00	2,850.60	1,304.98	52.00
APPLIED MATERIALS INC DELAWARE Ticker/CUSIP: AMAT/038222105 Field 1: 24% Sector: Information Technology	181 Multiple	12,131.2	2,195.74	32.2 30NOV16	5,828.20	18.10	5,846.30	3,632.46	72.40
AT&T INC Ticker/CUSIP: T/00206R102 Field 5: 07% Sector: Telecommunication Services	81 20MAY11	31,356.3	2,539.86	38.63 30NOV16	3,129.03	0.00	3,129.03	589.17	158.76
AUTOMATIC DATA PROCESSING INC Ticker/CUSIP: ADP/053015103 Field 2: 37% Sector: Consumer Discretionary	126 Multiple	66,930.6	8,433.25	96.02 30NOV16	12,098.52	0.00	12,098.52	3,665.27	287.28
BAKER HUGHES INC Ticker/CUSIP: BHI/057224107 Field 1: 06% Sector: Energy	120 Multiple	45,177.3	5,421.28	64.33 30NOV16	7,719.60	0.00	7,719.60	2,298.32	81.60
CARDINAL HEALTH INC Ticker/CUSIP: CAH/14149Y108 Field 2: 53% Sector: Health Care	33 Multiple	76,813.3	2,534.84	71.01 30NOV16	2,343.33	0.00	2,343.33	(191.51)	59.25
CELGENE CORP Ticker/CUSIP: CELG/151020104 Sector: Health Care	96 Multiple	109,064.7	10,470.21	118.51 30NOV16	11,376.96	0.00	11,376.96	906.75	0.00



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INVESTMENT POSITIONS — PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US Large Cap Equities (USD) CONTINUED									
CHEVRON CORP Ticker/CUSIP : CVX/166764100 Yield 3.87% Sector Energy	63 Multiple	99.5817	6,273.65	111.56 30NOV16	7,028.28	68.04	7,096.32	754.63	272.16
CIGNA CORP Ticker/CUSIP : CI/125509109 Yield 0.3% Sector Health Care	19 01AUG12	40.47	768.93	134.74 30NOV16	2,560.06	0.00	2,560.06	1,791.13	0.76
CISCO SYS INC Ticker/CUSIP : CSCO/17275R102 Yield 3.49% Sector Information Technology	231 Multiple	17.276	3,990.75	29.82 30NOV16	6,888.42	0.00	6,888.42	2,897.67	240.24
COACH INC Ticker/CUSIP : COH/189754104 Yield 3.71% Sector Consumer Discretionary	82 Multiple	38.0384	3,119.15	36.39 30NOV16	2,983.98	0.00	2,983.98	(135.17)	110.70
COMCAST CORP CL A Ticker/CUSIP : CMCSA/20030N101 Yield 1.58% Sector Consumer Discretionary	130 Multiple	24.7188	3,213.44	69.51 30NOV16	9,036.30	0.00	9,036.30	5,822.86	143.00
CONAGRA BRANDS INC Ticker/CUSIP : CAG/205887102 Yield 2.73% Sector Consumer Staples	63 Multiple	41.1659	2,593.45	36.69 30NOV16	2,311.47	0.00	2,311.47	(281.98)	63.00
CORNING INC Ticker/CUSIP : GLW/219350105 Yield 2.25% Sector Industrials	222 Multiple	16.4557	3,653.17	24.03 30NOV16	5,334.66	29.97	5,364.63	1,681.49	119.88
DOLLAR GENERAL CORP Ticker/CUSIP : DG/256677105 Yield 1.29% Sector Consumer Discretionary	103 Multiple	80.9523	8,338.09	77.32 30NOV16	7,963.96	0.00	7,963.96	(374.13)	103.00
DOW CHEMICAL CO/THE Ticker/CUSIP : DOW/260543103 Yield 3.3% Sector Materials	65 Multiple	50.1491	3,259.69	55.72 30NOV16	3,621.80	0.00	3,621.80	362.11	119.60

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INVESTMENT POSITIONS — PRINCIPAL

EQUITIES CONTINUED

Reference Currency: USD

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
IS Large Cap Equities (USD) CONTINUED									
ACEBOOK INC - A Ticker/CUSIP FB/30303M102 Sector Information Technology	129 Multiple	91.9301	11,858.98	118.42 30NOV16	15,276.18	0.00	15,276.18	3,417.20	0.00
REEPORT-MCMORAN INC Ticker/CUSIP FCX/35671D857 Sector Materials	190 Multiple	13.7922	2,620.51	15.35 30NOV16	2,916.50	0.00	2,916.50	295.99	0.00
GENERAL ELECTRIC CO Ticker/CUSIP GE/369604103 Field 2 99% Sector Industrials	278 Multiple	20.0713	5,579.82	30.76 30NOV16	8,551.28	0.00	8,551.28	2,971.46	255.76
ILEAD SCIENCES INC Ticker/CUSIP GILD/375558103 Field 2 55% Sector Health Care	73 Multiple	92.0223	6,717.63	73.7 30NOV16	5,380.10	0.00	5,380.10	(1,337.53)	137.24
IARTFORD FINL SVCS GROUP INC Ticker/CUSIP HIG/416515104 Field 1 95% Sector Financials	126 Multiple	29.7594	3,749.69	47.12 30NOV16	5,937.12	28.98	5,966.10	2,187.43	115.92
IOME DEPOT INC Ticker/CUSIP HD/437076102 Field 2 13% Sector Consumer Discretionary	26 Multiple	35.4427	921.51	129.4 30NOV16	3,364.40	17.94	3,382.34	2,442.89	71.76
ITEL CORP Ticker/CUSIP INTC/458140100 Field 3% Sector Information Technology	103 Multiple	23.4285	2,413.14	34.7 30NOV16	3,574.10	26.78	3,600.88	1,160.96	107.12
VTERCONTINENTAL EXCHANGE INC Ticker/CUSIP ICE/45866F104 Field 1 23% Sector Financials	92 Multiple	46.6529	4,292.07	55.4 30NOV16	5,096.80	0.00	5,096.80	804.73	62.56
JOHNSON & JOHNSON Ticker/CUSIP JNJ/478160104 Field 2 88% Sector Health Care	0 08AUG11	0	0.00	111.3 30NOV16	0.00	8.00	8.00	0.00	0.00

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INVESTMENT POSITIONS — PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US Large Cap Equities (USD) CONTINUED									
JOHNSON CONTROLS INTERNATI Ticker/CUSIP : JCI/G51502105 Yield 1 91% Sector Industrials	107 Multiple	28.8246	3,084.23	44.98 30NOV16	4,812.86	0.00	4,812.86	1,728.63	91.87
JPMORGAN CHASE & CO Ticker/CUSIP JPM/46625H100 Yield 2 39% Sector Financials	110 Multiple	41.1755	4,529.30	80.17 30NOV16	8,818.70	0.00	8,818.70	4,289.40	211.20
MASTERCARD INCORPORATED Ticker/CUSIP MA/57636Q104 Yield 74% Sector Financials	48 03SEP13	62.23	2,987.04	102.2 30NOV16	4,905.60	0.00	4,905.60	1,918.56	36.48
MEDTRONIC PLC Ticker/CUSIP MDT/G596Q1103 Yield 2 36% Sector Health Care	8 Multiple	81.2138	649.71	73.01 30NOV16	584.08	0.00	584.08	(65.63)	13.76
MERCK & CO INC NEW Ticker/CUSIP MRK/58933Y105 Yield 3 07% Sector Health Care	98 Multiple	54.2264	5,314.19	61.19 30NOV16	5,996.62	0.00	5,996.62	682.43	184.24
MICRON TECHNOLOGY INC Ticker/CUSIP MU/595112103 Sector Information Technology	151 Multiple	11.9353	1,802.23	19.53 30NOV16	2,949.03	0.00	2,949.03	1,146.80	0.00
MICROSOFT CORP Ticker/CUSIP MSFT/594918104 Yield 2 59% Sector Information Technology	121 Multiple	35.8599	4,339.05	*60.26 30NOV16	7,291.46	47.19	7,338.65	2,952.41	188.76
NIKE INC CL B Ticker/CUSIP NKE/654106103 Yield 1 44% Sector Consumer Discretionary	272 02APR13	29.5143	8,027.88	50.07 30NOV16	13,619.04	0.00	13,619.04	5,591.16	195.84
O'REILLY AUTOMOTIVE INC Ticker/CUSIP ORLY/67103H107 Sector Consumer Discretionary	36 Multiple	150.4408	5,415.87	274.5 30NOV16	9,882.00	0.00	9,882.00	4,466.13	0.00

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Citibank, N.A. Agent For The Richard & Susan Braddock Foundation Dated 12/8/1999 Alternatives

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INVESTMENT POSITIONS — PRINCIPAL

EQUITIES CONTINUED

Reference Currency: USD

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
JS Large Cap Equities (USD) CONTINUED									
ORACLE CORP Ticker/CUSIP : ORCL/68389X105 held 1 49% Sector Information Technology	283 02APR13	32 6585	9,242 36	40 19 30NOV16	11,373 77	0 00	11,373 77	2,131 41	169 80
PEPSICO INC Ticker/CUSIP : PEP/713448108 held 3 01% Sector Consumer Staples	49 Multiple	66 3714	3,252 20	100 1 30NOV16	4,904 90	36 87	4,941 77	1,652 70	147 49
PFIZER INC Ticker/CUSIP : PFE/717081103 held 3 73% Sector Health Care	117 Multiple	20 0165	2,341 93	32 14 30NOV16	3,760 38	35 10	3,795 48	1,418 45	140 40
PRICELINE GRP INC Ticker/CUSIP : PCLN/741503403 Sector Information Technology	10 Multiple	1,215 007	12,150 07	1,503 68 30NOV16	15,036 80	0 00	15,036 80	2,886 73	0 00
PRICELINE GRP INC Ticker/CUSIP : PCLN/741503403 Sector Information Technology	1,362 01MAR99	4 8	6,537 60	1,503 68 30NOV16	2,048,012 16	0 00	2,048,012 16	2,041,474 56	0 00
REGENERON PHARMACEUTICALS INC Ticker/CUSIP : REGN/75886F107 Sector Health Care	22 Multiple	312 7241	6,879 93	379 24 30NOV16	8,343 28	0 00	8,343 28	1,463 35	0 00
STARBUCKS CORP Ticker/CUSIP : SBUX/855244109 held 1 73% Sector Consumer Discretionary	249 Multiple	19 3494	4,818 01	57 97 30NOV16	14,434 53	62 25	14,496 78	9,616 52	249 00
STATE STREET CORP Ticker/CUSIP : STT/857477103 held 1 93% Sector Financials	63 Multiple	38 4197	2,420 44	78 8 30NOV16	4,964 40	0 00	4,964 40	2,543 96	95 76
SYNCHRONY FINANCIAL Ticker/CUSIP : SYF/87165B103 held 1 5% Sector Financials	91 Multiple	25 9459	2,361 08	34 56 30NOV16	3,144 96	0 00	3,144 96	783 88	47 32



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EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est Annual Income
US Large Cap Equities (USD) CONTINUED									
SYSCO CORP Ticker/CUSIP SYW/871829107 Yield 2.48% Sector Consumer Staples	59 Multiple	37 1708	2,193 08	53 25 30NOV16	3,141 75	0 00	3,141 75	948 67	77 88
TEXTRON INC Ticker/CUSIP TXT/883203101 Yield 17% Sector Industrials	174 Multiple	23 5135	4,091 35	46 03 30NOV16	8,009 22	0 00	8,009 22	3,917 87	13 92
TJX COMPANIES INC NEW Ticker/CUSIP TJX/872540109 Yield 1.33% Sector Consumer Discretionary	159 Multiple	59 8521	9,516 48	78 34 30NOV16	12,456 06	41 34	12,497 40	2,939 58	165 36
VISA INC COM CL A Ticker/CUSIP V/92826C839 Yield 85% Sector Financials	231 02APR13	41 9675	9,694 49	77 32 30NOV16	17,860 92	38 12	17,899 04	8,166 43	152 46
WESTERN DIGITAL CORP Ticker/CUSIP WDC/958102105 Yield 3.14% Sector Information Technology	82 Multiple	40 35	3,308 70	63 66 30NOV16	5,220 12	0 00	5,220 12	1,911 42	164 00
WESTROCK CO Ticker/CUSIP WPK/96145D105 Yield 3.13% Sector Industrials	44 Multiple	43 5055	1,914 24	51 2 30NOV16	2,252 80	0 00	2,252 80	338 56	70 40
US SMID Cap Equities (USD)									
AES CORP Ticker/CUSIP AES/00130H105 Yield 3.84% Sector Utilities	357 Multiple	12 1086	4,322 76	11 45 30NOV16	4,087 65	0 00	4,087 65	(235 11)	157 08
ALIGN TECHNOLOGY INC Ticker/CUSIP ALGN/016255101 Sector Health Care	48 Multiple	70 095	3,364 56	93 05 30NOV16	4,466 40	0 00	4,466 40	1,101 84	0 00

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EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
IS SMID Cap Equities (USD) CONTINUED									
XPRESS SEMICONDUCTOR CORP-DEL icker/CUSIP CY232806109 ield 3.91% Sector Information Technology	342 Multiple	9.3322	3,191.60	11.25 30NOV16	3,847.50	0.00	3,847.50	655.90	150.48
JANA INC icker/CUSIP DAN/235825205 ield 1.42% Sector Consumer Discretionary	208 Multiple	13.5672	2,821.98	16.89 30NOV16	3,513.12	12.48	3,525.60	691.14	49.92
ARTNER INC NEW icker/CUSIP IT/366651107 ield 1.42% Sector Consumer Discretionary	87 Multiple	54.71	4,759.77	102.82 30NOV16	8,945.34	0.00	8,945.34	4,185.57	0.00
C PENNEY CO INC icker/CUSIP JCP/708160106 ield 1.42% Sector Consumer Discretionary	622 Multiple	8.5796	5,336.48	9.47 30NOV16	5,890.34	0.00	5,890.34	553.86	0.00
ONES LANG LASALLE INC icker/CUSIP JLL/480200107 ield 65% Sector Financials	34 Multiple	112.7768	3,834.41	101.28 30NOV16	3,443.52	10.56	3,454.08	(390.89)	22.44
ENMAR CORP icker/CUSIP LEV/526057104 ield 3.8% Sector Consumer Discretionary	123 Multiple	22.7328	2,796.14	42.54 30NOV16	5,232.42	0.00	5,232.42	2,436.28	19.68
IABOPS INDUSTRIES LTD-USD icker/CUSIP NBR/66359F103 ield 1.49% Sector Energy	194 Multiple	17.1868	3,334.23	16.1 30NOV16	3,123.40	0.00	3,123.40	(210.83)	46.56
LEWFIELD EXPLORATION COMPANY icker/CUSIP NFX/651290108 ield 1.49% Sector Energy	67 Multiple	33.4104	2,238.50	45.22 30NOV16	3,029.74	0.00	3,029.74	791.24	0.00
ALPHA LAUREN CORP CL A icker/CUSIP RL/751212101 ield 1.91% Sector Consumer Discretionary	6 30NOV16	107.5283	645.17	104.61 30NOV16	627.66	0.00	627.66	(17.51)	12.00



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EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US SMID Cap Equities (USD) CONTINUED									
SEALED AIR CORP -NEW- Ticker/CUSIP SEE/81211K100 Yield 1 4% Sector Industrials	78 Multiple	16 8795	1,316 60	45 6 30NOV16	3,556 80	12 48	3,569 28	2,240 20	49 92
TEREX CORP NEW Ticker/CUSIP TEX/880779103 Yield 92% Sector Industrials	143 Multiple	24 1066	3,447 25	30 52 30NOV16	4,364 36	10 01	4,374 37	917 11	40 04
ZIONS BANCORP Ticker/CUSIP ZION/989701107 Yield 8% Sector Financials	116 Multiple	28 5975	3,317 31	39 79 30NOV16	4,615 64	0 00	4,615 64	1,298 33	37 12
Developed Equities (ex-US) (USD)									
NESTLE S A SPONSORED ADR Ticker/CUSIP NSRGY/641069406 Yield 2 9% Sector Consumer Staples Conv. Ratio 1 1	137 Multiple	77 8159	10,660 78	67 1477 30NOV16	9,199 23	0 00	9,199 23	(1,461 55)	266 71
PENTAIR PLC Ticker/CUSIP PNR/G7S00T104 Yield 2 37% Sector Industrials	52 Multiple	49 0613	2,551 19	57 46 30NOV16	2,987 92	0 00	2,987 92	436 73	70 72
Total Equities			307,739.10		2,485,972.74	504.21	2,486,476.95	2,178,233.64	6,229.13

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FIXED INCOME **11.21%** SORTED BY CALL DATE/MATURITY DATE

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
IS Investment Grade Bonds (USD)									
IS TREASURY NOTES SER F-2021	23,000	100.8674	23,199.51	100.5156	23,118.59	19.06	23,137.65	(80.92)	460.00
AAT 15NOV21 Rate 2%	Multiple		23,235.44	30NOV16					
Pay Frqncy Semi Annual									
&P N/A Moodys Aaa									
USIP 912828RR3 Yield 1.99%									
IS TREASURY NOTE 7YR	4,000	98.5235	3,940.94	94.9844	3,799.38	9.22	3,808.60	(141.56)	55.00
AAT 30SEP23 Rate 1.375%	12OCT16		3,940.94	30NOV16					
Pay Frqncy Semi Annual									
USIP 912828T26 Yield 1.45%									
IS TREASURY NOTE 7YR	8,000	96.9024	7,752.19	96.5156	7,721.25	10.77	7,732.02	(30.94)	130.00
AAT 31OCT23 Rate 1.625%	22NOV16		7,752.19	30NOV16					
Pay Frqncy Semi Annual									
&P N/A Moodys Aaa									
USIP 912828T91 Yield 1.68%									
0YR US TREASURY NOTE	8,000	97.047	7,763.76	99.7752	8,125.61	11.45	8,137.06	361.85	30.54
AAT 15JUL25 Rate 0%	06NOV15		7,763.76	30NOV16					
Pay Frqncy Discount									
&P N/A Moodys Aaa									
USIP 912828XL9 Yield 38%									
IS TSY INFLATION INDEX BDS CPI	7,000	143.7937	10,065.56	121.5178	9,564.42	73.79	9,638.21	(501.14)	196.77
AAT 15JAN29 Rate 2.5%	Multiple		10,514.98	30NOV16					
Pay Frqncy Semi Annual									
&P NR Moodys Aaa									
USIP 912810PZ5 Yield 2.06%									
10 YEAR U.S. TREASURY BOND	7,000	101.9631	7,137.42	102.1055	7,147.39	63.60	7,210.99	9.97	218.75
AAT 15AUG44 Rate 3.125%	Multiple		7,139.92	30NOV16					
Pay Frqncy Semi Annual									
&P N/A Moodys Aaa									
USIP 912810RH3 Yield 3.06%									



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INVESTMENT POSITIONS — PRINCIPAL

FIXED INCOME CONTINUED

Description	Quantity	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US Investment Grade Bonds (USD) CONTINUED									
FHLMC PL#Q31605 DTD 02/01/2015	3,277 7126	106 8748	3,503 05	105 2172	3,448 72	5 46	3,454 18	(54 33)	131 11
MAT 01FEB45 Rate 4%	08APR16		0 00	30NOV16					
Pay Frqncy Monthly									
S&P N/A Moodys N/A (Agency)									
CUSIP 3132QMYB1 Yield 3 8%									
FNMA PL#AY1670 DTD 02/01/2015	4,771 2275	105 0331	5,011 37	102 7362	4,901 78	2 32	4,904 10	(109 59)	166 99
MAT 01FEB45 Rate 3 5%	08APR16		0 00	30NOV16					
Pay Frqncy Monthly									
S&P N/A Moodys N/A (Agency)									
CUSIP 3138YE2C0 Yield 3 41%									
FNMA PL#ASS696 DTD 07/01/2015	5,697 6743	105 0939	5,987 91	102 7362	5,853 57	2 77	5,856 34	(134 34)	199 42
MAT 01AUG45 Rate 3 5%	08JUN16		0 00	30NOV16					
Pay Frqncy Monthly									
S&P N/A Moodys N/A (Agency)									
CUSIP 3138WFKJ4 Yield 3 41%									
FNMA PL#MA2371 DTD 07/01/2015	784 5155	107 126	840 42	105 3651	826 61	0 44	827 05	(13 81)	31 38
MAT 01AUG45 Rate 4%	08JUN16		0 00	30NOV16					
Pay Frqncy Monthly									
S&P N/A Moodys N/A (Agency)									
CUSIP 31418BT55 Yield 3 8%									
FHLMC PL#G08682 DTD 12/01/2015	3,347 3906	107 0742	3,584 19	105 2297	3,522 45	5 58	3,528 03	(61 74)	133 90
MAT 01DEC45 Rate 4%	14OCT16		0 00	30NOV16					
Pay Frqncy Monthly									
S&P N/A Moodys N/A (Agency)									
CUSIP 3128MJXL9 Yield 3 8%									
FNMA PL#AS6520 DTD 12/01/2015	893 6719	105 2814	940 87	102 7422	918 18	0 43	918 61	(22 69)	31 28
MAT 01JAN46 Rate 3 5%	14OCT16		0 00	30NOV16					
Pay Frqncy Monthly									
S&P N/A Moodys N/A (Agency)									
CUSIP 3138WGG65 Yield 3 41%									

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FIXED INCOME CONTINUED

Reference Currency USD

Description	Quantity	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est Annual Income
US Investment Grade Bonds (USD) CONTINUED									
NMA PL#BA0015 DTD 12/01/2015	1,707.4883	105.719	1,805.14	102.7375	1,754.23	0.83	1,755.06	(50.91)	59.76
AAT 01JAN46 Rate 3.5%	10AUG16		0.00	30NOV16					
Pay Francy Monthly									
X&P N/A Moodys N/A (Agency)									
XUSIP 3140E4AP8 Yield 3.41%									
HLMC PL#G08694 DTD 02/01/2016	858.3423	107.0377	918.75	105.2294	903.23	1.43	904.66	(15.52)	34.33
AAT 01FEB46 Rate 4%	15JUL16		0.00	30NOV16					
Pay Francy Monthly									
X&P N/A Moodys N/A (Agency)									
XUSIP 3128MJY1 Yield 3.8%									
NMA PL#BC2849 DTD 09/01/2016	6,945.8	103.6328	7,198.13	99.7008	6,925.02	2.89	6,927.91	(273.11)	208.37
AAT 01SEP46 Rate 3%	14SEP16		0.00	30NOV16					
Pay Francy Monthly									
X&P N/A Moodys N/A (Agency)									
XUSIP 3140EXEX7 Yield 3.01%									
Developed Investment Grade Bonds (ex-US) (USD)									
IXED INCOME SHARES FD	5,660.582	10.578	59,877.67	10.24	57,964.36	227.54	58,191.90	(1,913.31)	2,712.66
Rate 479%	Multiple		—	30NOV16					
XUSIP 01882B304 Yield 4.68%									
High Yield Bonds (USD)									
NMA PL#AW7396 DTD 09/01/2014	572.557	106.2497	608.34	104.1919	596.56	0.28	596.84	(11.78)	20.04
AAT 01JAN27 Rate 3.5%	24APR15		0.00	30NOV16					
Pay Francy Monthly									
XUSIP 3138XXGEO Yield 3.36%									
NMA PL#AS0907 DTD 10/01/2013	2,151.2593	106.156	2,283.69	104.2451	2,242.58	1.05	2,243.63	(41.11)	75.29
AAT 01NOV28 Rate 3.5%	06FEB15		0.00	30NOV16					
Pay Francy Monthly									
XUSIP 3138WAAHO Yield 3.36%									



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FIXED INCOME CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est Annual Income
High Yield Bonds (USD) CONTINUED									
FNMA PL#310104 DTD 12/01/2011	1,382.7345	113.0622	1,563.35	112.4144	1,554.39	1.06	1,555.45	(8.96)	76.05
MAT 01AUG37 Rate 5.5%	30JAN15		0.00	30NOV16					
Pay Francy Monthly									
CUSIP 31374CNV4 Yield 4.89%									
FNMA PL#AA5224 DTD 03/01/2009	6,839.3967	104.2972	7,133.30	107.845	7,375.94	4.27	7,380.21	242.64	307.77
MAT 01MAR39 Rate 4.5%	09JUN11		10,673.33	30NOV16					
Pay Francy Monthly									
CUSIP 31416NYW6 Yield 4.17%									
FNMA PL#AS4168 DTD 12/01/2014	664.1479	107.199	711.96	105.3275	699.53	0.37	699.90	(12.43)	26.57
MAT 01DEC44 Rate 4%	16JAN15		0.00	30NOV16					
Pay Francy Monthly									
CUSIP 3138WDT27 Yield 3.8%									
FHLMC PL#G08623 DTD 01/01/2015	3,543.6345	105.2109	3,728.29	102.6819	3,638.67	5.17	3,643.84	(89.62)	124.03
MAT 01JAN45 Rate 3.5%	04FEB15		0.00	30NOV16					
Pay Francy Monthly									
CUSIP 3128MJVR8 Yield 3.41%									
FHLMC PL#G08624 DTD 01/01/2015	3,314.02	107.0896	3,548.97	105.2095	3,486.66	5.52	3,492.18	(62.31)	132.56
MAT 01JAN45 Rate 4%	14JAN15		0.00	30NOV16					
Pay Francy Monthly									
CUSIP 3128MJVS6 Yield 3.8%									
FHLMC PL#C09071 DTD 02/01/2015	3,922.72	107.219	4,205.90	105.2314	4,127.93	6.54	4,134.47	(77.97)	156.91
MAT 01FEB45 Rate 4%	06FEB15		0.00	30NOV16					
Pay Francy Monthly									
CUSIP 31292SCG3 Yield 3.8%									

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FIXED INCOME CONTINUED

Reference Currency, USD

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est Annual Income
Other Fixed Income (USD)									
LEGON N V CAP SECS 6.375% Rate 1.593% Pay Frqncy Quarterly Call 03JAN17 @ 25 Moody's Baa1 USIP 007924301 Yield 6.4%	44 Multiple	22 4852	989 35 —	24 92 30NOV16	1,096 48	17 53	1,114 01	107 13	70 13
ISBC HOLDINGS PLC 6.20% Rate 1.55% Pay Frqncy Quarterly Call 03JAN17 @ 25 Moody's Baa1 USIP 404280604 Yield 6.19%	31 26AUG11	23 6855	734 25 —	25 06 30NOV16	776 86	12 01	788 87	-42 61	48 05
MORGAN STANLEY FRN PERP PFD Rate 1.022% Pay Frqncy Quarterly Call 03JAN17 @ 25 Moody's Baa1 USIP 617475504 Yield 4.46%	40 30MAY13	23 24	929 60 —	22 9 30NOV16	916 00	0 00	916 00	(13 60)	40 89
ROYAL BK SCOTLAND GROUP MAT 31DEC49 Rate 1.438% Pay Frqncy Quarterly Call 03JAN17 @ 25 Moody's B1 USIP 780097788 Yield 5.86%	157 Multiple	15 2944	2,401 22 —	24 54 30NOV16	3,852 78	0 00	3,852 78	1,451 56	225 69
ROYAL BK SCOTLAND GROUP PL Rate 1.65% Pay Frqncy Quarterly Call 03JAN17 @ 25 Moody's B1 USIP 780097739 Yield 6.56%	31 19JUL12	20 25	627 75 —	25 14 30NOV16	779 34	0 00	779 34	151 59	51 15



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FIXED INCOME CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Other Fixed Income (USD) CONTINUED									
US BANCORP FLOATING RATE Rate 1 39% Pay Frqncy Quarterly Call 03JAN17 @ 25 Moody's A3 CUSIP 902973155 Yield 4 09%	16 20MAY11	22 85	365 60 —	21 876 30NOV16	350 02	0 00	350 02	(15 58)	14 31
PUBLIC STORAGE PREFERRED 5 90% Rate 1 475% Pay Frqncy Quarterly Call 12JAN17 @ 25 Moody's A3 CUSIP 74460W206 Yield 5 91%	49 06JAN12	24 87	1,218 63 —	24 95 30NOV16	1,222 55	0 00	1,222 55	3 92	72 28
HOSPITALITY PROPERTIES TRUST MAT 31DEC99 Rate 1 781% Pay Frqncy Quarterly Call 15JAN17 @ 25 Moody's Baa3 CUSIP 44106M607 Yield 7 07%	54 Multiple	25.0094	1,350 51 —	25 19 30NOV16	1,360 26	0 00	1,360 26	9 75	96 19
ING GROEP NV 6 125% PFD Rate 1 531% Pay Frqncy Quarterly Call 15JAN17 @ 25 Moody's Baa3 CUSIP 456837509 Yield 6 11%	40 Multiple	22 0768	883 07 —	25.08 30NOV16	1,003 20	0 00	1,003 20	120 13	61 25
ING GROEP NV Rate 1 55% Pay Frqncy Quarterly Call 15JAN17 @ 25 Moody's Baa3 CUSIP 456837400 Yield 6 18%	25 10APR12	20 9976	524.94 —	25 07 30NOV16	626 75	0 00	626 75	101 81	38 75

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INVESTMENT POSITIONS — PRINCIPAL

FIXED INCOME CONTINUED

Description	Quantity	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Other Fixed Income (USD) CONTINUED									
US BUSINESS PARKS INC	33	24.9136	822.15	25.29	834.57	0.00	834.57	12.42	53.21
MAT 31DEC99 Rate 1.612%	10JAN12		—	30NOV16					
Pay Francy Quarterly									
Call 18JAN17 @ 25									
Moodys Baa2									
USIP 69360J719 Yield 6.38%									
REALTY INCOME CORP 6.625%	71	24.7596	1,757.93	25.26	1,793.46	9.80	1,803.26	35.53	117.59
Rate 1.656%	Multiple		—	30NOV16					
Pay Francy Monthly									
Call 15FEB17 @ 25									
Moodys Baa2									
USIP 756109807 Yield 6.56%									
AGENCY CENTERS CORP 6.625%	34	24.9974	849.91	25.33	861.22	0.00	861.22	11.31	56.31
Rate 1.656%	08FEB12		—	30NOV16					
Pay Francy Quarterly									
Call 16FEB17 @ 25									
Moodys Baa2									
USIP 758849707 Yield 6.54%									
NATIONAL RETAIL PROP INC	52	25.1202	1,306.25	25.01	1,300.52	21.53	1,322.05	(5.73)	86.13
Rate 1.656%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 23FEB17 @ 25									
Moodys Baa2									
USIP 637417601 Yield 6.62%									
JEXTERA ENERGY CAP HLDGS 5.70%	17	24.9176	423.60	24.11	409.87	6.06	415.93	(13.73)	24.23
MAT 01MAR72 Rate 1.425%	21MAR12		—	30NOV16					
Pay Francy Quarterly									
Call 01MAR17 @ 25									
Moodys Baa2									
USIP 65339K605 Yield 5.91%									



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FIXED INCOME CONTINUED

Description	Quantity	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Other Fixed Income (USD) CONTINUED									
WELTOWER INC	34	25 2779	859 45	25 25	858 50	0 00	858 50	(0 95)	55 25
MAT 31DEC49 Rate 1.625%	01MAR12		—	30NOV16					
Pay Frqncy Quarterly									
Call 07MAR17 @ 25									
Moody's Baa2									
CUSIP 95040Q302 Yield 6 44%									
PUBLIC STORAGE PREFERRED 5 75%	59	24 8641	1,466.98	24.26	1,431.34	0 00	1,431.34	(35.64)	84 81
Rate 1 437%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 13MAR17 @ 25									
Moody's A3									
CUSIP 74460W404 Yield 5 93%									
RAYMOND JAMES FIN INC 6 90%	20	25 4605	509 21	25.78	515.60	0 00	515 60	6 39	34 50
MAT 15MAR42 Rate 1 725%	01MAR12		—	30NOV16					
Pay Frqncy Quarterly									
Call 15MAR17 @ 25									
Moody's Baa2									
CUSIP 754730208 Yield 6 69%									
AEGON NV	45	23.3978	1,052 90	22 94	1,032 30	11 38	1,043 68	(20 60)	45 50
Rate 1 011%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 15MAR17 @ 25									
Moody's Baa1									
CUSIP 007924509 Yield 4.41%									
ALEXANDRIA REAL ESTATE 6 45%	18	24 4083	439 35	25 26	454 68	0 00	454 68	15 33	29 03
Rate 1 612%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 15MAR17 @ 25									
Moody's Baa3									
CUSIP 015271703 Yield 6 38%									

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Other Fixed Income (USD) CONTINUED									
NG GROEP NV 6 375% PFD Rate 1 593% Pay Francy Quarterly Call 15MAR17 @ 25 Moody's Baa3 USIP 456837608 Yield 6 36%	91 Multiple	20 8475	1,897 12 —	25 06 30NOV16	2,280 46	36 26	2,316 72	383 34	145 03
IMCO REALTY CORPORATION 6 00% Rate 1 5% Pay Francy Quarterly Call 20MAR17 @ 25 Moody's Baa2 USIP 49446R794 Yield 6%	52 Multiple	24 7431	1,286 64 —	25 30NOV16	1,300 00	0 00	1,300 00	13 36	78 00
WEST CORPORATION 7 00% MAT 01APR52 Rate 1 75% Pay Francy Quarterly Call 01APR17 @ 25 Moody's Ba1 USIP 74913G402 Yield 6 98%	25 22MAR12	24 9684	624 21 —	25 07 30NOV16	626 75	0 00	626 75	2 54	43 75
ICGL 6 3/4 SERIES C Rate 1 687% Pay Francy Quarterly Call 02APR17 @ 25 Moody's Baa2 USIP G0450A204 Yield 6 62%	69 Multiple	25 2639	1,743 21 —	25 48 30NOV16	1,758 12	0 00	1,758 12	14 91	116 44
DIGITAL REALTY TRUST 6 625% Rate 1 656% Pay Francy Quarterly Call 05APR17 @ 25 Moody's Baa3 USIP 253868806 Yield 6 49%	17 04APR12	24 89	423 13 —	25 53 30NOV16	434 01	0 00	434 01	10 88	28 16



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Other Fixed Income (USD) CONTINUED									
AXIS CAPITAL HLDGS Rate 1 719% Pay Frqncy Quarterly Call 15APR17 @ 25 Moody's Baa3 CUSIP 06692U307 Yield 6 75%	69 Multiple	25 1914	1,738 21 —	25 46 30NOV16	1,756 74	0 00	1,756 74	18 53	118 59
US BANCORP 6 00% Rate 1 5% Pay Frqncy Quarterly Call 15APR17 @ 25 Moody's A3 CUSIP 902973817 Yield 5 86%	24 19APR12	25 3904	609 37 —	25 61 30NOV16	614 64	0 00	614 64	5 27	36 00
BB&T CORP PREF 5 85% Rate 1 462% Pay Frqncy Quarterly Call 01MAY17 @ 25 Moody's Baa1 CUSIP 064937206 Yield 5 95%	55 Multiple	24 7456	1,361 01 —	24 59 30NOV16	1,352 45	20 11	1,372 56	(8 56)	80 44
PS BUSINESS PARKS 6 00% Rate 1 5% Pay Frqncy Quarterly Call 14MAY17 @ 25 Moody's Baa2 CUSIP 69360J685 Yield 6 09%	65 Multiple	24 6277	1,600 80 —	24 65 30NOV16	1,602 25	0 00	1,602 25	1 45	97 50
PROTECTIVE LIFE CORP 6 25% MAT 15MAY42 Rate 1 562% Pay Frqncy Quarterly Call 15MAY17 @ 25 Moody's Baa2 CUSIP 743674608 Yield 6 2%	40 Multiple	24 371	974 84 —	25 2178 30NOV16	1,008 71	0 00	1,008 71	33 87	62 50



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Other Fixed Income (USD) CONTINUED									
AMERICAN FINANCIAL GROUP PFD	17	24 78	421 26	25 4	431 80	6 77	438 57	10 54	27 09
AAT 12JUN42 Rate 1 594%	11JUN12		—	30NOV16					
Pay Frqncy Quarterly									
Call 12JUN17 @ 25									
Foodys Baa1									
XUSIP 025932401 Yield 6 27%									
JEXTERA ENERGY CAP HLDG 5 625%	26	25 03	650 78	24 67	641 42	0 00	641 42	(9 36)	36 56
AAT 15JUN72 Rate 1 406%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 15JUN17 @ 25									
Foodys Baa2									
XUSIP 65339K704 Yield 5 7%									
PUBLIC STORAGE 5 625%	18	24 7833	446 10	24 19	435 42	0 00	435 42	(10 68)	25 31
Rate 1 406%	07JUN12		—	30NOV16					
Pay Frqncy Quarterly									
Call 15JUN17 @ 25									
Foodys A3									
XUSIP 74460W602 Yield 5 81%									
ICE TRUST I 5 625%	21	25 311	531 53	24 21	508 41	0 00	508 41	(23 12)	29 53
Rate 1 406%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 15JUN17 @ 25									
Foodys Baa1									
XUSIP 784D6T201 Yield 5 81%									
ICE FINANCIAL CORP 7 50%	41	26 2446	1,076 03	25 57	1,048 37	19 22	1,067 59	(27 66)	76 88
Rate 1 875%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 25JUN17 @ 25									
XUSIP 87227T207 Yield 7 33%									



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Other Fixed Income (USD) CONTINUED									
ENERGY LOUISIANA LLC 5.25% MAT 01JUL52 Rate 1.312% Pay Francy Quarterly Call 01JUL17 @ 25 Moody's A2 CUSIP 29364W504 Yield 5.42%	17 13SEP16	25.4565	432.76 —	24.2 30NOV16	411.40	0.00	411.40	(21.36)	22.31
QWEST CORP 7.00% MAT 01JUL52 Rate 1.75% Pay Francy Quarterly Call 01JUL17 @ 25 Moody's Baa1 CUSIP 74913G501 Yield 6.97%	18 15JUN12	24.9639	449.35 —	25.09 30NOV16	451.62	0.00	451.62	2.27	31.50
VORNADO REALTY TRUST Rate 1.425% Pay Francy Quarterly Call 18JUL17 @ 25 Moody's Baa3 CUSIP 929042851 Yield 5.92%	26 12JUL12	25.1385	653.60 —	24.07 30NOV16	625.82	0.00	625.82	(27.78)	37.05
STANLEY BLACK & DECKER 5.75% MAT 25JUL52 Rate 1.437% Pay Francy Quarterly Call 25JUL17 @ 25 Moody's Baa2 CUSIP 854502705 Yield 5.79%	95 Multiple	24.8401	2,359.81 —	24.82 30NOV16	2,357.90	0.00	2,357.90	(1.91)	136.56
KIMCO REALTY CORPORATION 5.50% MAT 31DEC49 Rate 1.375% Pay Francy Quarterly Call 25JUL17 @ 25 Moody's Baa2 CUSIP 49446R778 Yield 5.74%	18 20AUG12	24.3728	438.71 —	23.95 30NOV16	431.10	0.00	431.10	(7.61)	24.75

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Other Fixed Income (USD) CONTINUED									
SENIOR HOUSING PROPERTIES TRUS	48	23 9731	1,150 71	23 99	1,151 52	0 00	1,151 52	0 81	67 50
WAT 01AUG42 Rate 1 406%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 01AUG17 @ 25									
Woody's Baa3									
CUSIP 81721M208 Yield 5 86%									
3B&T CORPORATION 5 625% PFD	141	24 2627	3,421 04	24 08	3,395 28	49 57	3,444 85	(25 76)	198 28
WAT 31DEC49 Rate 1 406%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 01AUG17 @ 25									
Woody's Baa1									
CUSIP 054937404 Yield 5 84%									
AEGON N V 8 00%	50	24 87	1,243 50	26 23	1,311 50	0 00	1,311 50	68 00	100 00
WAT 15FEB42 Rate 2%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 15AUG17 @ 25									
Woody's Baa1									
CUSIP 007924608 Yield 7 62%									
AFFILIATED MANAGERS GROUP	15	25 6293	384 44	25 27	379 05	0 00	379 05	(5 39)	23 91
WAT 15AUG42 Rate 1 594%	12SEP12		—	30NOV16					
Pay Francy Quarterly									
Call 15AUG17 @ 25									
CUSIP 008252876 Yield 6 31%									
REGENCY CENTERS CORP 6% SER 7	11	24 8	272 80	24 8372	273 21	0 00	273 21	0 41	16 50
Rate 1 5%	16AUG12		—	30NOV16					
Pay Francy Quarterly									
Call 23AUG17 @ 25									
Woody's Baa2									
CUSIP 758849806 Yield 6 04%									



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Other Fixed Income (USD) CONTINUED									
AMERICAN FINANCIAL GROUP MAT 25AUG42 Rate 1 438% Pay Frqncy Quarterly Call 25AUG17 @ 25 Moody's Baa1 CUSIP 025932500 Yield 5 74%	21 Multiple	25 5176	535 87 —	25 04 30NOV16	525 84	0 00	525 84	(10 03)	30 19
CAPITAL ONE FINANCIAL CORP Rate 1 5% Pay Frqncy Quarterly Call 01SEP17 @ 25 Moody's Baa3 CUSIP 14040H402 Yield 6 07%	143 Multiple	24 5715	3,513 73 —	24 72 30NOV16	3,534 96	53 63	3,588 59	21 23	214 50
CHARLES SCHWAB CORP 6 0% Rate 1 5% Pay Frqncy Quarterly Call 01SEP17 @ 25 Moody's Baa2 CUSIP 808513204 Yield 5 94%	52 31MAY12	24 68	1,283 36 —	25 2497 30NOV16	1,312 98	19 50	1,332 48	29 62	78 00
JPMORGAN CHASE & CO 5 5% Rate 1 375% Pay Frqncy Quarterly Call 01SEP17 @ 25 Moody's Baa3 CUSIP 48126F750 Yield 5 56%	133 Multiple	24 258	3,226 31 —	24 73 30NOV16	3,289 09	45 72	3,334 81	62 78	182 88
PS BUSINESS PARKS INC DEP Rate 1 438% Pay Frqncy Quarterly Call 14SEP17 @ 25 Moody's Baa2 CUSIP 69360J669 Yield 5 98%	11 06SEP12	24 9809	274 79 —	24 0512 30NOV16	264 56	0 00	264 56	(10 23)	15 81

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Other Fixed Income (USD) CONTINUED									
STATE STREET CORP MAT 31DEC49 Rate 1 312% Pay Francy Quarterly Call 15SEP17 @ 25 Woody's Baa1 JUSIP 857477509 Yield 5.58%	66 Multiple	24 5203	1,618 34 —	23 5 30NOV16	1,551 00	21 65	1,572 65	(67 34)	86 62
BANK OF NEW YORK MELLON 5 20% Rate 1 3% Pay Francy Quarterly Call 20SEP17 @ 25 Woody's Baa1 JUSIP 064058209 Yield 5.26%	140 Multiple	24 6318	3,448 45 —	24 73 30NOV16	3,462 20	45 50	3,507 70	13 75	182 00
PUBLIC STORAGE 5 375 CUM PFD MAT 31DEC49 Rate 1 344% Pay Francy Quarterly Call 20SEP17 @ 25 Woody's A3 JUSIP 74460W800 Yield 5 78%	19 12SEP12	24 7147	469 58 —	23 24 30NOV16	441 56	0 00	441 56	(28 02)	25 53
AFLAC INC PFD 5 5% MAT 15SEP52 Rate 1 375% Pay Francy Quarterly Call 26SEP17 @ 25 Woody's Baa1 JUSIP 001055300 Yield 5 57%	82 Multiple	23 8635	1,956 81 —	24 69 30NOV16	2,024 58	28 19	2,052 77	67 77	112 75
BANK AMER CORP PFD 6 625% Rate 1 656% Pay Francy Quarterly Call 01OCT17 @ 25 Woody's Baa2 JUSIP 060505740 Yield 6 42%	43 Multiple	24 9893	1,074 54 —	25 78 30NOV16	1,108 54	0 00	1,108 54	34 00	71 22



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Other Fixed Income (USD) CONTINUED									
GENERAL ELEC CAP CORP 4.875% MAT 15OCT52 Rate 1.218% Pay Frqncy Quarterly Call 15OCT17 @ 25 Moody's A1 CUSIP 369622428 Yield 4.92%	97 Multiple	24.7909	2,404.72 —	24.79 30NOV16	2,404.63	0.00	2,404.63	(0.09)	118.22
BB&T CORPORATION 5.2% PFD Rate 1.3% Pay Frqncy Quarterly Call 01NOV17 @ 25 Moody's Baa1 CUSIP 054937602 Yield 5.54%	22 19JUL13	23.1677	509.69 —	23.46 30NOV16	516.12	7.15	523.27	6.43	28.60
GOLDMAN SACHS GROUP INC 5.95% Rate 1.487% Pay Frqncy Quarterly Call 10NOV17 @ 25 Moody's Baa1 CUSIP 38145G209 Yield 5.92%	37 Multiple	25.2065	932.64 —	25.13 30NOV16	929.81	0.00	929.81	(2.83)	55.04
NEXTERA ENERGY CAPITAL PFD MAT 15NOV72 Rate 1.281% Pay Frqncy Quarterly Call 15NOV17 @ 25 Moody's Baa2 CUSIP 65339K803 Yield 5.71%	38 16NOV12	24.6629	937.19 —	22.43 30NOV16	852.34	0.00	852.34	(84.85)	48.69
ENTERGY ARKANSAS INC MAT 01DEC52 Rate 1.225% Pay Frqncy Quarterly Call 01DEC17 @ 25 Moody's A2 CUSIP 29364D761 Yield 5.26%	11 28FEB13	25.1773	276.95 —	23.2856 30NOV16	256.14	3.37	259.51	(20.81)	13.48

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Other Fixed Income (USD) CONTINUED									
DTE ENERGY CO 5.25%	11	24.9882	274.87	23.4	257.40	3.61	261.01	(17.47)	14.44
MAT 01DEC62 Rate 1.312%	26SEP12		—	30NOV16					
Pay Frqncy Quarterly									
Call 01DEC17 @ 25									
Moodys Baa2									
CUSIP 233331701 Yield 5.61%									
PRUDENTIAL FINANCIAL INC	38	24.6987	938.55	24.86	944.68	13.66	958.34	6.13	54.63
VAT 15DEC52 Rate 1.438%	29NOV12		—	30NOV16					
Pay Frqncy Quarterly									
Call 04DEC17 @ 25									
Woody's Baa2									
CUSIP 744320607 Yield 5.78%									
LMCO REALTY CORP 5.625%	36	24.9678	898.84	23.85	858.60	0.00	858.60	(40.24)	50.62
Rate 1.406%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 07DEC17 @ 25									
Woody's Baa2									
CUSIP 49446R745 Yield 5.9%									
TORCHMARK CORP JR SUB DEB	23	25.4078	584.38	24.54	564.42	8.45	572.87	(19.96)	33.78
VAT 15DEC52 Rate 1.469%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 15DEC17 @ 25									
Woody's Baa2									
CUSIP 891027302 Yield 5.99%									
COMCAST CORP	37	25.4011	939.84	24.81	917.97	11.56	929.53	(21.87)	46.25
VAT 15DEC61 Rate 1.25%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 15DEC17 @ 25									
Woody's A3									
CUSIP 20030N606 Yield 5.04%									



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Other Fixed Income (USD) CONTINUED									
WELLS FARGO & CO 8.00% PFD Rate 2% Pay Frqncy Quarterly Call 15DEC17 @ 25 Moody's Baa2 CUSIP 949746879 Yield 7.58%	38 Multiple	29.2161	1,110.21 —	26.37 30NOV16	1,002.06	19.00	1,021.06	(108.15)	76.00
DUKE ENERGY CORP 5.125% MAT 15JAN73 Rate 1.281% Pay Frqncy Quarterly Call 15JAN18 @ 25 Moody's Baa2 CUSIP 26441C303 Yield 5.24%	31 05FEB13	24.89	771.59 —	24.45 30NOV16	757.95	0.00	757.95	(13.64)	39.72
NEXTERA ENERGY CAPITAL 5% MAT 15JAN73 Rate 1.25% Pay Frqncy Quarterly Call 15JAN18 @ 25 Moody's Baa2 CUSIP 65339K886 Yield 5.54%	16 16JAN13	24.7219	395.55 —	22.55 30NOV16	360.80	0.00	360.80	(34.75)	20.00
VORNADO REALTY TRUST 5.40% Rate 1.35% Pay Frqncy Quarterly Call 25JAN18 @ 25 Moody's Baa3 CUSIP 929042844 Yield 5.7%	36 Multiple	24.3889	878.00 —	23.69 30NOV16	852.84	0.00	852.84	(25.16)	48.60
GENERAL ELECTRIC CAP CORP MAT 29JAN53 Rate 1.218% Pay Frqncy Quarterly Call 29JAN18 @ 25 Moody's A1 CUSIP 369622410 Yield 4.93%	85 Multiple	25.1359	2,136.55 —	24.74 30NOV16	2,102.90	0.00	2,102.90	(33.65)	103.59

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Other Fixed Income (USD) CONTINUED									
JP MORGAN CHASE & CO 5.45%	95	24 3733	2,315 46	24 77	2,353 15	32 36	2,385 51	37 69	129 44
MAT 31DEC49 Rate 1 362%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 01MAR18 @ 25									
Modys Baa3									
USIP 46637G124 Yield 5 5%									
VENTAS REALTY LP 5 45%	35	25 3983	888 94	25	875 00	11 92	886 92	(13 94)	47 69
MAT 15MAR43 Rate 1 362%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 07MAR18 @ 25									
Modys Baa1									
USIP 92276M204 Yield 5 45%									
PUBLIC STORAGE	11	24 9045	273 95	23 78	261 58	0 00	261 58	(12 37)	14 30
MAT 31DEC49 Rate 1 3%	06MAR13		—	30NOV16					
Pay Francy Quarterly									
Call 13MAR18 @ 25									
Modys A3									
USIP 74460W107 Yield 5 47%									
PRUDENTIAL FINANCIAL INC	65	24 9158	1,619 53	24 89	1,617 85	23 16	1,641 01	(1 68)	92 63
MAT 15MAR53 Rate 1 425%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 15MAR18 @ 25									
Modys Baa2									
USIP 744320706 Yield 5 73%									
ICE TRUST II	27	25 35	684 45	23 33	629 91	0 00	629 91	(54 54)	34 43
Rate 1 275%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 15MAR18 @ 25									
Modys Baa1									
USIP 78407R204 Yield 5 47%									



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Other Fixed Income (USD) CONTINUED									
SUNTRUST BKS INC DEPOSITORY Rate 1 468% Pay Frqncy Quarterly Call 15MAR18 @ 25 Moody's Baa3 CUSIP 867914889 Yield 5 99%	17 19JUL13	23 65	402 05 —	24 52 30NOV16	416 84	6 24	423 08	14 79	24 97
DIGITAL REALTY TRUST INC MAT 31DEC49 Rate 1 468% Pay Frqncy Quarterly Call 09APR18 @ 25 Moody's Baa3 CUSIP 253868889 Yield 6 02%	19 04APR13	24 7421	470 10 —	24 38 30NOV16	463 22	0 00	463 22	(6 88)	27 91
PPL CAPITAL FUNDING 5 90% MAT 30APR73 Rate 1 475% Pay Frqncy Quarterly Call 30APR18 @ 25 Moody's Baa3 CUSIP 69352P202 Yield 5 93%	21 Multiple	25 1471	528 09 —	24 89 30NOV16	522 69	0 00	522 69	(5 40)	30 98
WR BERKLEY CORP 5 625 SUB DEB MAT 30APR53 Rate 1 406% Pay Frqncy Quarterly Call 02MAY18 @ 25 Moody's Baa3 CUSIP 084423409 Yield 5 98%	53 Multiple	23 8849	1 265 90 —	23 5113 30NOV16	1 246 10	0 00	1 246 10	(19 80)	74 53
GEN ELEC CAP CRP MAT 16MAY53 Rate 1 175% Pay Frqncy Quarterly Call 16MAY18 @ 25 Moody's A1 CUSIP 369622394 Yield 4 76%	86 Multiple	25 0463	2 153 98 —	24 67 30NOV16	2 121 62	0 00	2 121 62	(32 36)	101 05

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Other Fixed Income (USD) CONTINUED									
NATIONAL RETAIL PROPERTIES Rate 1.425% Pay Francy Quarterly Call 30MAY18 @ 25 Woody's Baa2 CUSIP 637417809 Yield 6.12%	39 23MAY13	24.8887	970.66 —	23.3 30NOV16	908.70	13.89	922.59	(61.96)	55.58
ENTERGY ARKANSAS WAT 01JUN63 Rate 1.188% Pay Francy Quarterly Call 01JUN18 @ 25 Woody's A2 CUSIP 293640753 Yield 5.24%	12 29MAY13	24.7	296.40 —	22.6828 30NOV16	272.19	3.56	275.75	(24.21)	14.25
XYS CAPITAL HLDGS LTD Rate 1.375% Pay Francy Quarterly Call 01JUN18 @ 25 Woody's Baa3 CUSIP G0692U117 Yield 5.87%	62 Multiple	23.2095	1,438.99 —	23.43 30NOV16	1,452.86	21.31	1,473.97	13.67	85.25
3B&T CORPORATION WAT 21DEC49 Rate 1.3% Pay Francy Quarterly Call 01JUN18 @ 25 Woody's Baa1 CUSIP 054937800 Yield 5.57%	65 Multiple	24.4549	1,589.57 —	23.34 30NOV16	1,517.10	21.13	1,538.23	(72.47)	84.50
RENAISSANCE HOLDINGS Rate 1.343% Pay Francy Quarterly Call 01JUN18 @ 25 Woody's Baa2 CUSIP G7498P119 Yield 5.91%	49 Multiple	24.9157	1,220.87 —	22.73 30NOV16	1,113.77	16.46	1,130.23	(107.10)	65.84



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FIXED INCOME CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Other Fixed Income (USD) CONTINUED									
ALLSTATE CORP Rate 1 406% Pay Frqncy Quarterly Call 15JUN18 @ 25 Moody's Baa3 CUSIP 020002408 Yield 5 58%	19 20JUN13	24 4989	465 48 —	25 18 30NOV16	478 42	0 00	478 42	12 94	26 72
U S BANCORP Rate 1 288% Pay Frqncy Quarterly Call 15JUL18 @ 25 Moody's A3 CUSIP 9029373791 Yield 5 21%	52 Multiple	24 8563	1,292 53 —	24 7 30NOV16	1,284 40	0 00	1,284 40	(8 13)	66 95
THE ALLSTATE CORPORATION MAT 31DEC49 Rate 1 688% Pay Frqncy Quarterly Call 15OCT18 @ 25 Moody's Baa3 CUSIP 0200002606 Yield 6 43%	38 Multiple	25 3287	962 49 —	26 23 30NOV16	996 74	0 00	996 74	34 25	64 13
VERIZON COMMUNICATIONS INC MAT 15FEB54 Rate 1 475% Pay Frqncy Quarterly Call 15FEB19 @ 25 Moody's Baa1 CUSIP 92343V302 Yield 5 72%	87 Multiple	25 118	2,185 27 —	25 79 30NOV16	2,243 73	0 00	2,243 73	58 46	128 33
JP MORGAN CHASE & CO 6 7% MAT 31DEC49 Rate 1 675% Pay Frqncy Quarterly Call 01MAR19 @ 25 Moody's Baa3 CUSIP 48127A161 Yield 6 19%	65 Multiple	24 8798	1,617 19 —	27 07 30NOV16	1,759 55	27 22	1,786 77	142 36	108 88



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Description	Quantity	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Other Fixed Income (USD) CONTINUED									
PUBLIC STORAGE	23	24 8626	571 84	26 54	610 42	0 00	610 42	38 58	36 66
MAT 31DEC49 Rate 1 594%	13MAR14		—	30NOV16					
Pay Francy Quarterly									
Call 17MAR19 @ 25									
Moody's A3									
USIP 74460W842 Yield 6 01%									
DIGITAL REALTY TRUST INC	24	25 2025	604 86	26 48	635 52	0 00	635 52	30 66	44 25
MAT 31DEC49 Rate 1 843%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 26MAR19 @ 25									
Moody's Baa3									
USIP 253868871 Yield 6 96%									
ALLSTATE CORP/THE	82	25 9885	2 131 06	26 13	2 142 66	0 00	2 142 66	11 60	135 81
MAT 31DEC49 Rate 1 656%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 15APR19 @ 25									
Moody's Baa3									
USIP 020002879 Yield 6 34%									
PUBLIC STORAGE	32	24 8	793 60	25 49	815 68	0 00	815 68	22 08	48 00
MAT 31DEC49 Rate 1 5%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 04JUN19 @ 25									
Moody's A3									
USIP 74460W826 Yield 5 88%									
MORGAN STANLEY	126	25 2774	3 184 95	26 2	3 301 20	0 00	3 301 20	116 25	208 69
MAT 31DEC49 Rate 1 656%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 15JUL19 @ 25									
Moody's Baa1									
USIP 61762V507 Yield 6 32%									



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Other Fixed Income (USD) CONTINUED									
CAPITAL ONE FINANCIAL CORP Rate 1 563% Pay Frqncy Quarterly Call 01SEP19 @ 25 Moody's Baa3 CUSIP 14040H600 Yield 6 22%	66 Multiple	24 7091	1,630 80 —	25 12 30NOV16	1,657 92	25 78	1,683 70	27 12	103 13
JPMORGAN CHASE & CO 6 30% Rate 1 575% Pay Frqncy Quarterly Call 01SEP19 @ 25 Moody's Baa3 CUSIP 481246700 Yield 6 02%	43 Multiple	24 8581	1,068 90 —	26 16 30NOV16	1,124 88	16 93	1,141 81	55 98	67 73
BANK OF AMERICA CORP MAT 31DEC49 Rate 1 656% Pay Frqncy Quarterly Call 09SEP19 @ 25 Moody's Ba2 CUSIP 060505344 Yield 6 46%	136 Multiple	25 1705	3,423 19 —	25 63 30NOV16	3,485 68	56 31	3,541 99	62 49	225 25
AMERICAN FINL GROUP INC OHIO MAT 30SEP54 Rate 1 563% Pay Frqncy Quarterly Call 30SEP19 @ 25 Moody's Baa2 CUSIP 025932609 Yield 6 2%	42 Multiple	25 849	1,085 66 —	25 22 30NOV16	1,059 24	0 00	1,059 24	(26 42)	65 63
ALLSTATE CORP Rate 1 563% Pay Frqncy Quarterly Call 15OCT19 @ 25 Moody's Baa3 CUSIP 020002853 Yield 6 09%	20 17JUN14	25 028	500 56 —	25 66 30NOV16	513 20	0 00	513 20	12 64	31 25

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Other Fixed Income (USD) CONTINUED									
CAPITAL ONE FINANCIAL CORP Rate 1 675% Pay Frqncy Quarterly Call 01DEC19 @ 25 Moody's Baa3 CUSIP 14040H709 Yield 6 45%	105 Multiple	26 0004	2 730 04 —	25 98 30NOV16	2 727 90	43 97	2 771 87	(2 14)	175 88
PUBLIC STORAGE Ticker/CUSIP PSAP74460W792 Sector Financials Call 02DEC19 @ 25	13 21NOV14	24 7662	321 96 —	24 94 30NOV16	324 22	0 00	324 22	2 26	0 00
STATE STREET CORP MAT 31DEC49 Rate 1 5% Pay Frqncy Quarterly Call 15DEC19 @ 25 Moody's Baa1 CUSIP 857477889 Yield 5 89%	125 Multiple	25 0922	3 136 52 —	25 47 30NOV16	3 183 75	46 88	3 230 63	47 23	187 50
BANK OF AMERICA CORP Rate 1 625% Pay Frqncy Quarterly Call 27JAN20 @ 25 Moody's Baa2 CUSIP 060505310 Yield 6 34%	142 Multiple	25 1698	3 574 11 —	25 65 30NOV16	3 642 30	0 00	3 642 30	68 19	230 75
JP MORGAN CHASE & CO Rate 1 531% Pay Frqncy Quarterly Call 01MAR20 @ 25 Moody's Baa3 CUSIP 48127R461 Yield 5 97%	64 Multiple	24 9897	1 599 34 —	25 66 30NOV16	1 642 24	24 50	1 666 74	42 90	98 00



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Other Fixed Income (USD) CONTINUED									
DIGITAL REALTY TRUST INC	22	25 4341	559 55	25 06	551 32	0 00	551 32	(8 23)	34 93
MAT 31DEC99 Rate 1.587%	10NOV16		—	30NOV16					
Pay Frqncy Quarterly									
Call 24AUG20 @ 25									
Moody's Baa3									
CUSIP 253868863 Yield 6 33%									
JPMORGAN CHASE & CO 6.10%	26	24 7169	642 64	25 36	659 36	9 91	669 27	16 72	39 65
MAT 31DEC49 Rate 1 525%	26AUG15		—	30NOV16					
Pay Frqncy Quarterly									
Call 01SEP20 @ 25									
Moody's Baa3									
CUSIP 48127X542 Yield 6 01%									
SOUTHERN CO/THE	17	25 42	432 14	25 63	435 71	0 00	435 71	3 57	26 56
MAT 15OCT75 Rate 1 562%	14OCT15		—	30NOV16					
Pay Frqncy Quarterly									
Call 15OCT20 @ 25									
Moody's Baa3									
CUSIP 842587206 Yield 6 1%									
AMERICAN FINANCIAL GROUP INC/O	13	25 95	337 35	24 59	319 67	0 00	319 67	(17 68)	19 50
MAT 15NOV55 Rate 1 5%	22APR16		—	30NOV16					
Pay Frqncy Quarterly									
Call 15NOV20 @ 25									
Moody's Baa2									
CUSIP 025932708 Yield 6 1%									
CAPITAL ONE FINANCIAL CORP	24	24 95	598 80	25 03	600 72	9 30	610 02	1 92	37 20
Rate 1 55%	20AUG15		—	30NOV16					
Pay Frqncy Quarterly									
Call 01DEC20 @ 25									
Moody's Baa3									
CUSIP 14040H881 Yield 6 19%									

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Other Fixed Income (USD) CONTINUED									
CHARLES SCHWAB CORP/THE	192	25 4734	4,890 90	25 67	4,928 64	72 00	5,000 64	37 74	288 00
Rate 1 5%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 01DEC20 @ 25									
Woodyds Baa2									
JUSIP 808513402 Yield 5 84%									
PUBLIC STORAGE	13	25 2862	328 72	23 27	302 51	0 00	302 51	(26 21)	17 55
WAT 30DEC99 Rate 1 35%	29FEB16		—	30NOV16					
Pay Frqncy Quarterly									
Call 20JAN21 @ 25									
Woodyds A3									
JUSIP 74460W776 Yield 5 8%									
BANK OF AMERICA CORP	31	25 831	800 76	25 01	775 31	0 00	775 31	(25 45)	48 05
WAT 31DEC99 Rate 1 55%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 29JAN21 @ 25									
Woodyds Baa2									
JUSIP 060505286 Yield 6 2%									
SENIOR HOUSING PROPERTIES	35	25 0083	875 29	24 75	866 25	13 67	879 92	(9 04)	54 69
WAT 01FEB46 Rate 1 563%	Multiple		—	30NOV16					
Pay Frqncy Quarterly									
Call 18FEB21 @ 25									
Woodyds Baa3									
JUSIP 81721M307 Yield 6 31%									
MR BERKLEY CORP	13	25 18	327 34	23 56	306 28	4 79	311 07	(21 06)	19 18
WAT 01MAR56 Rate 1 475%	05APR16		—	30NOV16					
Pay Frqncy Quarterly									
Call 01MAR21 @ 25									
Woodyds Baa3									
JUSIP 084423508 Yield 6 26%									

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Other Fixed Income (USD) CONTINUED									
ENERGY NEW ORLEANS INC	22	25.3586	557 89	24 1209	530 66	0 00	530 66	(27 23)	30 25
MAT 01APR66 Rate 1.375%	16MAR16		—	30NOV16					
Pay Francy Quarterly									
Call 01APR21 @ 25									
Moody's Baa2									
CUSIP 29364P103 Yield 5 7%									
BANK OF AMERICA CORP	67	25 1928	1,687 92	24 94	1,670 98	0 00	1,670 98	(16 94)	100 50
MAT 31DEC49 Rate 1.5%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 25APR21 @ 25									
Moody's Ba2									
CUSIP 060505260 Yield 6 01%									
GOLDMAN SACHS GROUP INC/THE	83	26.3311	2,185 48	25 66	2,129 78	0 00	2,129 78	(55 70)	130 73
MAT 31DEC99 Rate 1 575%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 10MAY21 @ 25									
Moody's Ba1									
CUSIP 38148B504 Yield 6 14%									
PUBLIC STORAGE	14	24 9836	349 77	21 92	306 88	0 00	306 88	(42 89)	17 94
MAT 31DEC99 Rate 1 281%	01JUN16		—	30NOV16					
Pay Francy Quarterly									
Call 17MAY21 @ 25									
Moody's A3									
CUSIP 74460W750 Yield 5 85%									
GATX CORPORATION	46	24 8796	1,144 46	23 85	1,097 10	0 00	1,097 10	(47 36)	69 72
MAT 30MAY66 Rate 1 516%	Multiple		—	30NOV16					
Pay Francy Quarterly									
Call 30MAY21 @ 25									
Moody's Baa2									
CUSIP 361448608 Yield 6 35%									

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Other Fixed Income (USD) CONTINUED									
AMP BERKLEY CORP WAT 01JUN56 Rate 1 438% Pay Francy Quarterly Call 01JUN21 @ 25 Moody's Baa3 CUSIP 084423607 Yield 6 2%	89 Multiple	24 4081	2,172 32 —	23 18 30NOV16	2,063 02	24 80	2,087 82	(109 30)	127 94
JTE ENERGY CO WAT 01JUN76 Rate 1 403% Pay Francy Quarterly Call 01JUN21 @ 25 Moody's Baa2 CUSIP 233331800 Yield 6 3%	53 Multiple	25 3785	1,345 06 —	22 28 30NOV16	1,180 84	17 80	1,198 64	(164 22)	74 38
VEXTERA ENERGY CAPITAL HOLDING WAT 01JUN76 Rate 1 313% Pay Francy Quarterly Call 01JUN21 @ 25 Moody's Baa2 CUSIP 65339K100 Yield 5 96%	89 Multiple	25 128	2,236 39 —	22 03 30NOV16	1,960 67	29 20	1,989 87	(275 72)	116 81
3B&T CORPORATION WAT 31DEC49 Rate 1 406% Pay Francy Quarterly Call 01JUN21 @ 25 Moody's Baa1 CUSIP 054937875 Yield 5 83%	65 Multiple	25 3498	1,647 74 —	24 13 30NOV16	1,568 45	15 47	1,583 92	(79 29)	91 41
CHARLES SCHWAB CORP/THE WAT 31DEC99 Rate 1 487% Pay Francy Quarterly Call 01JUN21 @ 25 Moody's Baa2 CUSIP 808513600 Yield 5 95%	43 Multiple	25 4272	1,093 37 —	25 30NOV16	1,075 00	16 00	1,091 00	(18 37)	63 96



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FIXED INCOME CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est Annual Income
Other Fixed Income (USD) CONTINUED									
DOMINION RESOURCES INC/VA MAT 30JUL76 Rate 1 313% Pay Frqncy Quarterly Call 30JUL21 @ 25 Moody's Baa3 CUSIP 25746U844 Yield 6 14%	203 Multiple	25 1097	5,097 27 —	21 36 30NOV16	4,336 08	0 00	4,336 08	(761 19)	266 44
QWEST CORP MAT 01SEP56 Rate 1 625% Pay Frqncy Quarterly Call 01SEP21 @ 25 Moody's Ba1 CUSIP 74913G881 Yield 6 85%	22 31AUG16	25 8923	569 63 —	23 73 30NOV16	522 06	9 83	531 89	(47 57)	35 75
ENTERGY ARKANSAS INC MAT 01SEP66 Rate 1 219% Pay Frqncy Quarterly Call 01SEP21 @ 25 Moody's A2 CUSIP 29364D100 Yield 5 74%	46 Multiple	24 898	1,145 31 —	21 25 30NOV16	977 50	16 35	993 85	(167 81)	56 06
ENTERGY LOUISIANA LLC MAT 01SEP66 Rate 1 219% Pay Frqncy Quarterly Call 01SEP21 @ 25 Moody's A2 CUSIP 29364W108 Yield 5 72%	46 Multiple	24 7989	1,140 75 —	21 3 30NOV16	979 80	16 20	996 00	(160 95)	56 06
WELLS FARGO & COMPANY MAT 31DEC99 Rate 1 375% Pay Frqncy Quarterly Call 15SEP21 @ 25 Moody's Baa2 CUSIP 94988U672 Yield 5 91%	88 Multiple	25 4099	2,236 07 —	23 27 30NOV16	2,047 76	30 25	2,078 01	(188 31)	121 00



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Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost Original Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Other Fixed Income (USD) CONTINUED									
ARCH CAPITAL GROUP LTD	68	24 9415	1,696 02	21 6	1,468 80	0 00	1,468 80	(227 22)	0 00
MAT 31DEC99 Rate 1 313%									
Pay Francy Quarterly									
Call 29SEP21 @ 25									
Moody's Baa2									
CUSIP 03939A206									
ENTERGY MISSISSIPPI INC									
MAT 01OCT66 Rate 1 225%									
Pay Francy Quarterly									
Call 01OCT21 @ 25									
Moody's A3									
CUSIP 29364N108 Yield 5 72%									
SOUTHERN CO/THE									
MAT 01OCT76 Rate 1 313%									
Pay Francy Quarterly									
Call 01OCT21 @ 25									
Moody's Baa3									
CUSIP 842587305 Yield 5 99%									
NATIONAL RETAIL PROPERTIES INC									
MAT 31DEC99 Rate 1 3%									
Pay Francy Quarterly									
Call 11OCT21 @ 25									
Moody's Baa2									
CUSIP 637417874 Yield 6 09%									
VIX CAPITAL HOLDINGS LTD									
MAT 31DEC99 Rate 1 375%									
Pay Francy Quarterly									
Call 07NOV21 @ 25									
Moody's Baa3									
CUSIP 05461T305									



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Other Fixed Income (USD) CONTINUED									
DTE ENERGY CO MAT 15DEC76 Rate 0% Pay Frqncy Quarterly Call 15DEC21 @ 25 Moody's Baa2 CUSIP 233331867	22 29NOV16	24.7932	545 45 —	24.57 30NOV16	540 54	0 00	540 54	(4 91)	0 00
U S BANCORP PREF 6 50% Rate 1 625% Pay Frqncy Quarterly Call 15JAN22 @ 25 Moody's A3 CUSIP 902973833 Yield 5 74%	84 Multiple	27.5967	2,318.12 —	28 33 30NOV16	2,379.72	0 00	2,379 72	61 60	136 50
HARTFORD FINL SVCS GRP 7 875 MAT 15APR42 Rate 1 968% Pay Frqncy Quarterly Call 15APR22 @ 25 Moody's Baa3 CUSIP 416518504 Yield 6 84%	54 Multiple	27 3276	1,475 69 —	28 79 30NOV16	1,554 66	0 00	1,554 66	78.97	106 31
PNC FINANCIAL SVCS GRP 6 125% MAT 31DEC49 Rate 1 531% Pay Frqncy Quarterly Call 01MAY22 @ 25 Moody's Baa2 CUSIP 693475857 Yield 5 64%	196 Multiple	25 2408	4,947 20 —	27 17 30NOV16	5,325 32	0 00	5,325 32	378 12	300 13
ALLSTATE CORP MAT 15JAN53 Rate 1 275% Pay Frqncy Quarterly Call 15JAN23 @ 25 Moody's Baa1 CUSIP 020002309 Yield 4 95%	22 Multiple	25 7932	567 45 —	25 77 30NOV16	566.94	0 00	566 94	(0 51)	28 05

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Other Fixed Income (USD) CONTINUED									
THE GOLDMAN SACHS GROUP, INC. Rate 1.375% Pay Frqncy Quarterly Call 10MAY23 @ 25 Moody's Baa1 CUSIP 38145G308 Yield 5.47%	203 Multiple	24 5318	4,979.96 —	25.13 30NOV16	5,101.39	0.00	5,101.39	121.43	279.13
WELLS FARGO & COMPANY 5.85% MAT 31DEC49 Rate 1.462% Pay Frqncy Quarterly Call 15SEP23 @ 25 Moody's Baa2 CUSIP 949746556 Yield 5.85%	117 Multiple	24 5174	2,868.53 —	25 30NOV16	2,925.00	42.78	2,967.78	56.47	171.11
MORGAN STANLEY MAT 31DEC99 Rate 1.781% Pay Frqncy Quarterly Call 15OCT23 @ 25 Moody's Baa1 CUSIP 61762Y200 Yield 6.44%	122 Multiple	27 0499	3,300.09 —	27.64 30NOV16	3,372.08	0.00	3,372.08	71.99	217.31
MORGAN STANLEY PFD MAT 31DEC49 Rate 1.719% Pay Frqncy Quarterly Call 15JAN24 @ 25 Moody's Baa1 CUSIP 61763E207 Yield 6.25%	45 17JUL14	26.7	1,201.50 —	27.48 30NOV16	1,236.60	0.00	1,236.60	35.10	77.34
STATE STREET CORP FIXED TO FLT MAT 31DEC49 Rate 1.475% Pay Frqncy Quarterly Call 15MAR24 @ 25 Moody's Baa1 CUSIP 857477608 Yield 5.79%	60 Multiple	25 6563	1,539.38 —	25.49 30NOV16	1,529.40	22.12	1,551.52	(9.98)	88.49



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Other Fixed Income (USD) CONTINUED									
WELLS FARGO & COMPANY 6 625% MAT 31DEC99 Rate 1 656% Pay Frqncy Quarterly Call 15MAR24 @ 25 Moody's Baa2 CUSIP 949746465 Yield 6 11%	127 Multiple	27 2213	3,457 11 —	27.11 30NOV16	3,442 97	52 59	3,495 56	(14 14)	210 34
GOLDMAN SACHS GROUP INC Rate 1 593% Pay Frqncy Quarterly Call 10MAY24 @ 25 Moody's Ba1 CUSIP 381488108 Yield 5 94%	74 Multiple	25 2678	1,869 82 —	26 84 30NOV16	1,986 16	0 00	1,986 16	116 34	117 94
REGIONS FINANCIAL CORP MAT 31DEC49 Rate 1 594% Pay Frqncy Quarterly Call 15SEP24 @ 25 Moody's Ba1 CUSIP 7591EP506 Yield 5 87%	53 Multiple	24 9304	1,321 31 —	27.15 30NOV16	1,438 95	21 12	1,460 07	117 64	84 47
SCE TRUST V MAT 31DEC99 Rate 1 362% Pay Frqncy Quarterly Call 15MAR26 @ 25 Moody's Baa1 CUSIP 78409W201 Yield 5 23%	13 02MAR16	25 2838	328 69 —	26.05 30NOV16	338 65	0 00	338 65	9 96	17 71
REINSURANCE GROUP OF AMERICA MAT 15JUN56 Rate 1 549% Pay Frqncy Quarterly Call 15JUN26 @ 25 Moody's Baa2 CUSIP 759351802 Yield 5 97%	12 18OCT16	28 455	341 46 —	25 96 30NOV16	311 52	4 31	315 83	(29 94)	18 59

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Other Fixed Income (USD) CONTINUED									
PEOPLE'S UNITED FINANCIAL INC MAT 31DEC99 Rate 1.406% Pay Frequency Quarterly Call 15DEC26 @ 25 Woody's Bat CUSIP 712704204 Yield 5.6%	32 25OCT16	26.015	832.48 —	25.1099 30NOV16	803.52	0.00	803.52	(28.96)	45.00
FIXED INCOME SHARES FD SERIES Rate .469% CUSIP 01882B205 Yield 4.7%	5,829.881 Multiple	12.4843	72,781.85 —	9.98 30NOV16	58,182.21	229.50	58,411.71	(14,599.64)	2,732.00
Total Fixed Income			444,685.00		427,429.13	2,013.08	429,442.21	(17,255.87)	20,149.59

HEDGE FUNDS 5.78% SORTED ALPHABETICALLY

Description	Quantity	Investment	NAV ¹	Estimated Asset Value ¹ As of Valuation Date	Valuation Date ¹	Post Valuation Capital Activity ²	Est. Asset Val + Post Valuation ³ Capital Activity ³
Event-Driven/Credit							
HedgeForum Third Point LLC 1A CUSIP 143996395 Shares Held Elsewhere	103.262	139,950.00	1,924.7766	198,756.28	31 Oct 2016	0.00	198,756.28
Multi-Strategy and Relative Value							
Diversified Inflation Strategies Ltd receivable CUSIP 263990228 Shares Held Elsewhere	—	6,265.08	—	4,134.32 ⁸	30 Sep 2015	0.00	4,134.32



Consolidated Statement of Accounts

Statement Period 01 Nov 2016 — 30 Nov 2016

INVESTMENT POSITIONS — PRINCIPAL

HEDGE FUNDS CONTINUED

Reference Currency USD

Description	Quantity	Investment	NAV ³	Estimated Asset Value ¹ As of Valuation Date	Valuation Date ¹	Post Valuation Capital Activity ²	Est. Asset Val. + Post Valuation Capital Activity ³
Fund of Funds							
SkyBridge Multi-Adviser Hedge Fund Portfolios LLC G CUSIP 223992769 Shares Held Elsewhere	—	17,696 43	—	18,557 87	30 Sep 2016	0 00	18,557 87
Total Hedge Funds Estimated Asset Value (USD)^{1,4}				221,448.47			
Total Hedge Funds Post Valuation Capital Activity (USD)²				0.00			
Total Hedge Funds Estimated Asset Value + Post Valuation Capital Activity (USD)²				221,448.47			

PRIVATE EQUITY 5.98% SORTED ALPHABETICALLY

Description	Capital Commitment	Capital Contribution (Inside Commit.)	Cap Contribution Fund Expenses (Outside Commit.) ⁵	Recallable Distributions ⁷	Outstanding Commitment ⁸	Distributions ⁷ As of Valuation Date	Estimated Asset Value ¹ As of Valuation Date	Est. Asset Val. + Post Val. Cap Act. ^{2,3}	Est. Asset Val. + Post Val Cap Act. + Distributions ^{1,2,3}
Other Private Equity									
BTO Offshore Feeder Fund, L P Series An CUSIP 867992844 Shares Held Elsewhere Amount From 03/25/13 ("Inception") to 06/30/16 ("Valuation Date") ¹	250,000 00	244,556 94	6,152 94	31,997 09	37,440 15	46,332 71	229,198 00	229,198.00	275,530 71
Total Private Equity Estimated Asset Value (USD)^{1,4}							229,198.00		
Total Private Equity Post Valuation Capital Activity (USD)²							0.00		
Total Private Equity Estimated Asset Value + Post Valuation Capital Activity (USD)²							229,198.00		

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Consolidated Statement of Accounts

Statement Period 01 Nov 2016 — 30 Nov 2016



INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

¹ Estimated Asset Values are based on valuations received directly from the funds and/or their agents and are subject to change. Refer to the "Disclosure Information" section at the end of the report. The Valuation Date is the date of the most recent valuation information or report received from the funds and / or their agents and such date is as noted as in the "Description" section as the "Valuation Date"

² Post Valuation Capital Activity reflects investor contributions minus fund distributions since the Valuation Date

³ Est. Asset Val. + Post Valuation Capital Activity" and/or "Est. Asset Val. + Post Val. Cap. Act. + Distributions" represent aggregations of the noted columns and do not reflect a current valuation of the related investment. As a result, "Est. Asset Val. + Post Valuation Capital Activity" and/or "Est. Asset Val. + Post Val. Cap. Act. + Distributions" do not accurately reflect any profits, losses, expenses, fees (management or otherwise) and/or earned interest payments that were incurred between the Valuation Date and the date of your statement. Therefore, you should not assume that "Est. Asset Val. + Post Valuation Capital Activity" and/or "Est. Asset Val. + Post Val. Cap. Act. + Distributions" are an accurate reflection of the value of your investment or your net worth. The actual value of your investment may differ significantly from the amounts shown in your statement and therefore, you should not rely on such amounts. Citi Private Bank will not be responsible for the accuracy or completeness of "Est. Asset Val. + Post Valuation Capital Activity" and/or "Est. Asset Val. + Post Val. Cap. Act. + Distributions" contained in your statement as the valuation information reflected in these amounts will continue to be solely and exclusively based on valuation information received from the funds and/or their agents as of the Valuation Date

⁴ The aggregation of individual figures to create totals involves adding together values that are computed based on different accounting valuation methodologies, valuation dates, and currencies. Therefore, the aggregation of individual figures do not reflect a current valuation of the related investment and you should not assume that they are an accurate reflection of the total value of your investment or your net worth. The actual value of your investment may differ significantly from the amounts shown in your statement and therefore, you should not rely on such amounts. Citi Private Bank will not be responsible for the accuracy or completeness of these figures

⁵ This contribution amount goes towards funding various fund related expenses, which are considered outside of the investor's capital commitment to the feeder fund, and does not reduce the outstanding commitment

⁶ Actual outstanding commitments to the related funds are determined according to terms of the relevant fund's offering and constituent documents. Outstanding commitment is the Capital Commitment minus Capital Contribution (Inside Commitment), plus Recallable Distributions

⁷ Distributions include recallable distributions

⁸ This receivable from Hedge Fund is subject to revaluation based on information provided by the fund Administrator

	Adjusted Cost	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
	1,218,263.19	3,829,887.43	2,628.89	3,832,516.32	2,160,977.77	27,824.60

TOTAL INVESTMENT POSITIONS — PRINCIPAL



Consolidated Statement of Accounts

Statement Period 01 Nov 2016 — 30 Nov 2016

INVESTMENT POSITIONS — INCOME

Reference Currency USD

CASH 100% SORTED BY LIQUIDITY

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	0.00	—	0.00	0.00	0.00	—	0.00
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	531.04	100	531.04	1	531.04	0.06	531.10	0.00	1.65
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	23,891.3	100	23,891.30	1	23,891.30	6.17	23,897.47	0.00	74.06
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	0.11	100	0.11	1	0.11	0.00	0.11	0.00	0.00
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	14.05	100	14.05	1	14.05	0.00	14.05	0.00	0.04
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	115.25	100	115.25	1	115.25	0.00	115.25	0.00	0.36
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	462.93	100	462.93	1	462.93	0.06	462.99	0.00	1.44
WESTERN ASSET INST GOVT-INST Rate 0002% CUSIP 52470G791 Yield 31%	1,815.84	100	1,815.84	1	1,815.84	0.44	1,816.28	0.00	5.63

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Consolidated Statement of Accounts

Statement Period 01 Nov 2016 — 30 Nov 2016

INVESTMENT POSITIONS — INCOME

CASH CONTINUED

Reference Currency USD

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD) CONTINUED									
WESTERN ASSET INST GOVT-INST Rate 0002%	8,927.67	100	8,927.67	1	8,927.67	2.29	8,929.96	0.00	27.68
CUSIP 52470G791 Yield .31%				—					
WESTERN ASSET INST GOVT-INST Rate 0002%	11,656.93	100	11,656.93	1	11,656.93	2.96	11,659.89	0.00	36.14
CUSIP 52470G791 Yield .31%				—					
WESTERN ASSET INST GOVT-INST Rate 0002%	14,388.39	100	14,388.39	1	14,388.39	3.70	14,392.09	0.00	44.60
CUSIP 52470G791 Yield .31%				—					
Total Cash			61,803.51		61,803.51	15.68	61,819.19	0.00	191.59

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
TOTAL INVESTMENT POSITIONS — INCOME									
			61,803.51		61,803.51	15.68	61,819.19	0.00	191.59
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			1,280,066.70		3,891,650.94	2,644.57	3,894,335.51	2,160,977.77	28,016.19

"Multiple" in reference to an Acquisition Date, indicates that there is more than one acquisition date for a position

"Original Cost" is the amount paid for an investment excluding any accrued interest. Original Cost represents positions' par amount times the acquisition price. It remains constant over the life of an investment

"Adjusted Cost" is the Original Cost of acquisition plus or minus any accretion or amortization amounts. Accretion and amortization calculations adjust the Original Cost basis over the life of the investment to the expected redemption amount or face value



THE RICHARD AND SUSAN BRADDOCK FAMILY FOUNDATION, INC
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

DATE	AMOUNT	RECIPIENT NAME	RELATIONSHIP	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT
12/11/2015	(5000 00)	INTERNATIONAL RESCUE COMMITTEE	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	AMERICAN MUSEUM OF NATURAL HISTORY	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	ANIMAL MEDICAL CENTER	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	CATO INSTITUTE	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	CLASSROOM, INC	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	HIGHER GROUND SUN VALLEY	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(25000 00)	METROPOLITAN OPERA ASSOCIATION	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(4500 00)	METROPOLITAN MUSEUM OF ART	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	MANHATTAN INSTITUTE FOR POLICY RESEARCH INC	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	PHILHARMONIC-SYMPHONY SOCIETY OF NY	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	PER SCHOLAS	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	PUPPIES BEHIND BARS	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	SALVATION ARMY	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	SEMPER FI FUND	NONE	N/A	UNRESTRICTED GIFT
12/11/2015	(5000 00)	THE BOWERY MISSION	NONE	N/A	UNRESTRICTED GIFT
12/14/2015	(100000 00)	CRISTO REY NEW YORK	NONE	N/A	UNRESTRICTED GIFT
12/14/2015	(10000 00)	AMERICAN ENTERPRISE INSTITUTE	NONE	N/A	UNRESTRICTED GIFT
12/14/2015	(5000 00)	ASPEN MUSIC	NONE	N/A	UNRESTRICTED GIFT
12/15/2015	(75000 00)	CRISTO REY NETWORK	NONE	N/A	UNRESTRICTED GIFT
12/15/2015	(100000 00)	LINCOLN CENTER	NONE	N/A	UNRESTRICTED GIFT
12/15/2015	(50000 00)	LINCOLN CENTER	NONE	N/A	UNRESTRICTED GIFT
12/15/2015	(125000 00)	PARTNERSHIP FOR INNER-CITY EDUCATION	NONE	N/A	UNRESTRICTED GIFT
12/15/2015	(250000 00)	METROPOLITAN OPERA ASSOCIATION	NONE	N/A	UNRESTRICTED GIFT
12/15/2015	(1000 00)	CHURCH OF ST IGNATIUS LOYOLA	NONE	N/A	UNRESTRICTED GIFT
12/15/2015	(5000 00)	CONTEMPLATIVE OUTREACH, LTD	NONE	N/A	UNRESTRICTED GIFT
12/15/2015	(5000 00)	ST BENEDICT'S MONASTERY	NONE	N/A	UNRESTRICTED GIFT
12/30/2015	(500 00)	METROPOLITAN MUSEUM OF ART	NONE	N/A	UNRESTRICTED GIFT
1/20/2016	(11000 00)	ASPEN MUSIC	NONE	N/A	UNRESTRICTED GIFT
06/20/2016	(5000 00)	PER SCHOLAS	NONE	N/A	UNRESTRICTED GIFT
06/20/2016	(25000 00)	NUSEA	NONE	N/A	UNRESTRICTED GIFT
06/20/2016	(100000 00)	CRISTO REY NEW YORK HIGH SCHOOL	NONE	N/A	UNRESTRICTED GIFT
06/20/2016	(10000 00)	MAYO CLINIC	NONE	N/A	UNRESTRICTED GIFT
06/24/2016	(25000 00)	WQXR	NONE	N/A	UNRESTRICTED GIFT
06/24/2016	(5000 00)	JOYFUL HEART FOUNDATION	NONE	N/A	UNRESTRICTED GIFT
06/24/2016	(5000 00)	FRIENDS FOR COVENT GARDEN	NONE	N/A	UNRESTRICTED GIFT
06/24/2016	(10000 00)	AMERICAN MAGAZINE	NONE	N/A	UNRESTRICTED GIFT
06/24/2016	(20000 00)	DARTMOUTH COLLEGE	NONE	N/A	UNRESTRICTED GIFT
06/24/2016	(10000 00)	HS SQUARED	NONE	N/A	UNRESTRICTED GIFT
06/24/2016	(5000 00)	LINCOLN CENTER THEATER	NONE	N/A	UNRESTRICTED GIFT
06/24/2016	(100000 00)	LINCOLN CENTER FOR THE PERFORMING ARTS	NONE	N/A	UNRESTRICTED GIFT
8/17/2016	(100000 00)	METROPOLITAN OPERA ASSOCIATION	NONE	N/A	UNRESTRICTED GIFT
09/23/2016	(400000 00)	ASPEN INSTITUTE	NONE	N/A	UNRESTRICTED GIFT
11/07/2016	(5000 00)	ROADS	NONE	N/A	UNRESTRICTED GIFT

-\$1,657,000 00