



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning December 01, 2015, and ending November 30, 2016

Name of foundation NEW BALANCE FOUNDATION		A Employer identification number 04-6470644
Number and street (or P O box number if mail is not delivered to street address) 100 GUEST STREET	Room/suite	B Telephone number (see instructions) 617-783-4000
City or town, state or province, country, and ZIP or foreign postal code BOSTON, MASSACHUSETTS 02135-2088		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 122,750,921	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,702,496			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	43	43	NONE	
	4 Dividends and interest from securities	2,977,962	2,977,962		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,500,005			
	b Gross sales price for all assets on line 6a	63,563,786			
	7 Capital gain net income (from Part IV, line 2)		1,500,005		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		7,180,506	4,478,010	NONE	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	447,715	447,715		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	172,684	125		500
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	620,399	447,840		500
	25 Contributions, gifts, grants paid	6,555,817			6,555,817
26 Total expenses and disbursements. Add lines 24 and 25	7,176,216	447,840	NONE	6,556,317	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	4,289				
b Net investment income (if negative, enter -0-)		4,030,170			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form **990-PF** (2015)

2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	12,751	6,644	6,644
	2	Savings and temporary cash investments	921,101	3,065,306	3,065,306
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	112,647,701	111,271,797	117,744,435
	c	Investments—corporate bonds (attach schedule)			
	STMT 7	11	Investments—land, buildings, and equipment: basis ▶		
		Less: accumulated depreciation (attach schedule) ▶			
12		Investments—mortgage loans			
13		Investments—other (attach schedule)	551,829	906,548	919,229
14		Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
STMT 8	15	Other assets (describe ▶ ACCRUED DIVIDENDS & INTEREST)	2,087,310	974,686	974,686
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	116,220,692	116,224,981	122,710,300
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	NONE	NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	116,220,692	116,224,981	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	116,220,692	116,224,981	
	31	Total liabilities and net assets/fund balances (see instructions)	116,220,692	116,224,981	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	116,220,692
2	Enter amount from Part I, line 27a	2	4,289
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	116,224,981
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	116,224,981

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,500,005	STMT 3
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	6,553,138	126,419,445	0.0518364718
2013	7,032,716	125,699,004	0.0559488602
2012	6,318,579	118,350,215	0.0533888257
2011	6,235,144	113,359,165	0.0550034397
2010	6,514,910	118,262,397	0.0550886010

2	Total of line 1, column (d)	2	0.2712661984
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0542532397
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	119,795,229
5	Multiply line 4 by line 3	5	6,499,279
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	40,302
7	Add lines 5 and 6	7	6,539,581
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	6,556,317

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	40,302	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	40,302	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	40,302	
6	Credits/Payments			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	127,000	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	127,000	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	86,698	
11	Enter the amount of line 10 to be: Credited to 2016 estimated tax ▶ 40,302 Refunded ▶	11	46,396	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		✓
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	✓	
b If "Yes," has it filed a tax return on Form 990-T for this year?	✓	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MASSACHUSETTS, CALIFORNIA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	✓
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NEWBALANCEFOUNDATION.ORG	13	✓
14 The books are in care of ► WILLIAM R. STANDLEY Telephone no. ► 617-746-2212 Located at ► NEW BALANCE ATHLETICS, INC. 100 GUEST STREET, BOSTON, MA ZIP+4 ► 02135-2088		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year	15	
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	✓
Organizations relying on a current notice regarding disaster assistance check here ► ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	✓

N/A

N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *N/A* ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10	TRUSTEE P.T.	NONE	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000				NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
UBS FINANCIAL SERVICES 100 POST OFFICE SQUARE, BOSTON, MA 02109-2106	INVESTMENT ADVISORS	447,715

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1		
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	
2	
3	

All other program-related investments. See instructions

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	117,460,012
b	Average of monthly cash balances	1b	3,184,824
c	Fair market value of all other assets (see instructions)	1c	974,686
d	Total (add lines 1a, b, and c)	1d	121,619,522
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	121,619,522
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	1,824,293
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	119,795,229
6	Minimum investment return. Enter 5% of line 5	6	5,989,761

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,989,761
2a	Tax on investment income for 2015 from Part VI, line 5	2a	40,302
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	65
c	Add lines 2a and 2b	2c	40,367
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,949,394
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	5,949,394
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,949,394

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	6,556,317
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,556,317
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	40,302
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,516,015

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				5,949,394
2 Undistributed income, if any, as of the end of 2015:				
a Enter amount for 2014 only			NONE	
b Total for prior years: 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	746,286			
b From 2011	660,720			
c From 2012	509,266			
d From 2013	894,200			
e From 2014	353,377			
f Total of lines 3a through e	3,163,849			
4 Qualifying distributions for 2015 from Part XII, line 4. ► \$ 6,556,317				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2015 distributable amount				5,949,394
e Remaining amount distributed out of corpus	606,923			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,770,772			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		NONE		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	746,286			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	3,024,486			
10 Analysis of line 9:				
a Excess from 2011	660,720			
b Excess from 2012	509,266			
c Excess from 2013	894,200			
d Excess from 2014	353,377			
e Excess from 2015	606,923			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter.					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT 6	N/A	PC	GENERAL SUPPORT	6,555,817
Total			3a	6,555,817
b <i>Approved for future payment</i> TUFTS UNIVERSITY FRIEDMAN SCHOOL OF NUTRITION, SCIENCE & POLICY	N/A	PC	GENERAL SUPPORT	850,000
WEST END BOYS & GIRLS CLUB	N/A	PC	GENERAL SUPPORT	690,000
TWO TEN FOOTWEAR FOUNDATION	N/A	PC	GENERAL SUPPORT	225,000
CAMP SUNSHINE	N/A	PC	GENERAL SUPPORT	50,000
Total			3b	1,815,000

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	43	
4	Dividends and interest from securities			14	2,977,962	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	1,500,005	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e)				13	4,478,010

(See worksheet in line 13 instructions to verify calculations.)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	

[illegible]

- | | | | | |
|---|--|-------|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| | | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | ✓ |
| | (2) Other assets | 1a(2) | | ✓ |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | ✓ |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

3/5/17
Date

TRUSTEE
Title _____

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name _____

ROBERT J. BUTLER, JR.

Preparer's signature _____

Robert

Date	
------	--

3-10-17

Check ☐ if self-employed

PTIN

P00037953

Firm's name ▶ GRANT THORNTON LLP

Firm's EIN ► 36-6055558

Firm's address ► 75 STATE STREET, BOSTON, MA

Phone no	613-723-7900
----------	--------------

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

NEW BALANCE FOUNDATION

Employer identification number

04-6470644

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NEW BALANCE ATHLETICS, INC. 100 GUEST STREET BOSTON, MA 02135	\$ 2,500,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	AMERICAN EXPRESS MEMBERS GIVE POWERED BY JUSTGIVE PO BOX 300 SAN FRANCISCO, CA 94101	\$ 82,500	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
3	JOHN LARSEN 1150 REEF ROAD, APT 1 VERO BEACH, FL 32963	\$ 119,874	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----

Name of organization	Employer identification number
----------------------	--------------------------------

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/15- 11/30/16

TIN: 04-6470644

STATEMENT 1

PART I, LINE 3

INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST	43	43	NONE

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/15- 11/30/16

TIN: 04-6470644

STATEMENT 2

PART I, LINE 4

DIVIDENDS & INTEREST FROM SECURITIES

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
DIVIDENDS & INTEREST	2,978,242	2,978,242	NONE

NEW BALANCE FOUNDATION

FORM 990-PF

TAX YEAR 12/01/15- 11/30/16

TIN: 04-6470644

STATEMENT 3

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)									
12/01/2015 to 11/30/2016									
DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/(loss) (\$)	Term	
ACCENTURE PLC IRELAND CL A	G1151C101	179	11/17/2015	18,955.85	11/23/2016	21,404.35	2,448.50 L		
ACCENTURE PLC IRELAND CL A	G1151C101	45	11/17/2015	4,765.44	12/18/2015	4,615.57	-149.87 S		
ADOBE SYSTEMS INC (DELAWARE)	00724F101	153	2/16/2016	11,994.33	11/23/2016	15,949.90	3,955.57 S		
ADOBE SYSTEMS INC (DELAWARE)	00724F101	188	2/16/2016	14,738.13	8/30/2016	19,119.39	4,381.26 S		
ADVANSIX INC	00773T101	0.88	9/14/2015	11.55	10/3/2016	13.09	1.54 L		
ADVANSIX INC	00773T101	78.84	9/14/2015	1,035.01	10/6/2016	1,128.68	93.67 L		
ADVANSIX INC	00773T101	3.4	10/13/2015	45.23	10/6/2016	48.67	3.44 S		
ADVANSIX INC	00773T101	19	1/27/2016	244.42	10/6/2016	272.01	27.59 S		
ADVANSIX INC	00773T101	32	3/14/2016	461.62	10/4/2016	489.73	28.11 S		
ADVANSIX INC	00773T101	2.6	3/30/2016	38.61	10/4/2016	39.79	1.18 S		
ADVANSIX INC	00773T101	5.4	3/31/2016	80.25	10/4/2016	82.64	2.39 S		
ADVANSIX INC	00773T101	9.2	3/31/2016	136.78	10/6/2016	131.71	-5.07 S		
ADVANSIX INC	00773T101	9.6	4/1/2016	142.64	10/6/2016	137.43	-5.21 S		
ADVANSIX INC	00773T101	3.76	4/4/2016	55.96	10/6/2016	53.83	-2.13 S		
ADVANSIX INC	00773T101	1.2	4/5/2016	17.77	10/6/2016	17.18	-0.59 S		
ADVANSIX INC	00773T101	40	4/22/2016	600.78	10/4/2016	612.17	11.39 S		
ALCOA CORP	013872106	29.89	10/31/2013	732.69	11/10/2016	852.61	119.92 L		
ALCOA CORP	013872106	0.33	10/31/2013	8.17	11/1/2016	7.69	-0.48 L		
ALCOA CORP	013872106	176.11	11/25/2013	4,389.19	11/10/2016	5,023.74	634.55 L		
ALCOA INC **NAME CHANGE	11/2016 013817507	0.33	10/31/2013	9.41	10/6/2016	10.58	1.17 L		
ALCOA INC *REVERSE SPLIT	EFF 10/201 013817101	1,455.00	9/17/2013	12,008.55	8/5/2016	15,165.72	3,157.17 L		

NEW BALANCE FOUNDATION

TIN: 04-6470644

ALCOA INC *REVERSE SPLIT	EFF 10/20/01	013817101	298	9/18/2013	2,466.69	8/11/2016	3,099.85	633.16 L
ALCOA INC *REVERSE SPLIT	EFF 10/20/01	013817101	32	9/18/2013	264.88	8/5/2016	333.54	68.66 L
ALCOA INC *REVERSE SPLIT	EFF 10/20/01	013817101	760	10/31/2013	7,151.60	8/11/2016	7,905.65	754.05 L
ALCOA INC *REVERSE SPLIT	EFF 10/20/01	013817101	287	10/31/2013	2,700.67	8/18/2016	2,979.95	279.28 L
ALPHABET INC CL A		02079K305	16	3/13/2015	8,981.92	2/16/2016	11,435.75	2,453.83 S
ALPHABET INC CL A		02079K305	6	3/13/2015	3,368.22	8/30/2016	4,745.18	1,376.96 L
ALPHABET INC CL A		02079K305	25	3/13/2015	14,034.25	12/18/2015	19,259.53	5,225.28 S
AMDOCS LTD GBP		G02602103	15	12/17/2013	608.83	8/5/2016	887.88	279.05 L
AMDOCS LTD GBP		G02602103	175	12/18/2013	7,114.68	8/5/2016	10,358.65	3,243.97 L
AMDOCS LTD GBP		G02602103	115	12/19/2013	4,709.25	8/5/2016	6,807.11	2,097.86 L
AMDOCS LTD GBP		G02602103	10	12/20/2013	409.64	8/5/2016	591.92	182.28 L
AMDOCS LTD GBP		G02602103	84	12/20/2013	3,440.98	8/18/2016	4,900.46	1,459.48 L
AMER FUNDS AMCAP	FUND CLASS	023375827	15,328.02	1/10/2013	342,987.12	1/26/2016	370,938.20	27,951.08 L
AMER FUNDS AMCAP	FUND CLASS	023375827	46,655.45	1/10/2013	1,043,984.30	1/26/2016	1,129,061.80	85,077.50 L
AMER FUNDS AMCAP	FUND CLASS	023375827	9,359.79	1/10/2013	209,439.08	6/16/2016	250,000.00	40,560.92 L
AMERIPRISE FINANCIAL INC		03076C106	502	4/16/2015	65,199.76	11/23/2016	57,008.39	-8,191.37 L
AMERIPRISE FINANCIAL INC		03076C106	217	4/16/2015	28,183.96	8/30/2016	21,670.89	-6,513.07 L
AMGEN INC		031162100	365	1/4/2016	57,471.44	10/11/2016	60,437.21	2,965.77 S
ANADARKO PETROLEUM CORP		032511107	336	3/11/2016	15,687.07	8/5/2016	17,444.74	1,757.67 S
ANADARKO PETROLEUM CORP		032511107	112	4/13/2016	5,569.59	8/18/2016	6,188.30	618.71 S
ANADARKO PETROLEUM CORP		032511107	56	4/13/2016	2,784.80	8/5/2016	2,907.46	122.66 S
ANALOG DEVICES INC		032654105	115	8/4/2009	3,161.98	1/21/2016	5,853.60	2,691.62 L
ANALOG DEVICES INC		032654105	140	8/6/2009	3,884.65	1/21/2016	7,126.12	3,241.47 L
ANALOG DEVICES INC		032654105	135	8/6/2009	3,745.91	8/5/2016	8,587.30	4,841.39 L
ANALOG DEVICES INC		032654105	95	10/15/2009	2,672.09	8/5/2016	6,042.91	3,370.82 L
ANALOG DEVICES INC		032654105	47	10/15/2009	1,321.98	8/18/2016	3,005.11	1,683.13 L
ANTERO MIDSTREAM	PARTNERS LP	036731103	775	2/18/2016	15,163.11	4/28/2016	19,630.25	4,467.14 S
ANTERO MIDSTREAM	PARTNERS LP	036731103	700	3/31/2016	15,389.50	4/28/2016	17,730.54	2,341.04 S
APACHE CORP		037411105	321	1/22/2016	12,426.01	8/5/2016	15,696.62	3,270.61 S
APACHE CORP		037411105	135	1/22/2016	5,225.89	8/18/2016	7,210.33	1,984.44 S
APPLE INC		037833100	985	3/13/2015	122,702.67	2/16/2016	94,424.97	-28,277.70 S
APPLE INC		037833100	374	3/31/2015	47,030.47	2/16/2016	35,852.73	-11,177.74 S
APPLE INC		037833100	411	4/1/2015	50,959.31	2/16/2016	39,399.65	-11,559.66 S
APPLE INC		037833100	20	5/19/2015	2,601.60	2/16/2016	1,917.26	-684.34 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

APPLE INC	037833100	365	8/14/2015	41,993.25	2/16/2016	34,989.96	-7,003.29 S
APPLE INC	037833100	170	10/13/2015	19,044.25	2/16/2016	16,296.70	-2,747.55 S
APPLE INC	037833100	12	12/18/2015	1,298.22	2/16/2016	1,150.35	-147.87 S
APPLE INC	037833100	693	1/27/2016	65,741.61	2/16/2016	66,433.00	691.39 S
APPLE INC	037833100	75	3/30/2016	8,221.43	10/11/2016	8,760.83	539.4 S
APPLE INC	037833100	100	3/31/2016	10,922.63	10/11/2016	11,681.10	758.47 S
APPLE INC	037833100	225	5/4/2016	21,374.99	10/11/2016	26,282.49	4,907.50 S
APPLIED MATERIALS INC	038222105	1,225.00	6/10/2013	18,944.87	12/1/2015	23,441.29	4,496.42 L
APPLIED MATERIALS INC	038222105	570	6/10/2013	8,815.16	1/27/2016	9,894.05	1,078.89 L
APPLIED MATERIALS INC	038222105	260	10/13/2015	3,956.06	1/27/2016	4,513.07	557.01 S
AT&T INC	00206R102	1,000.00	10/9/2012	37,316.70	10/11/2016	39,201.75	1,885.05 L
AT&T INC	00206R102	175	4/26/2013	6,498.96	10/11/2016	6,860.30	361.34 L
AT&T INC	00206R102	100	5/1/2013	3,779.58	10/11/2016	3,920.18	140.6 L
AT&T INC	00206R102	130	3/30/2016	5,117.74	10/11/2016	5,096.23	-21.51 S
AT&T INC	00206R102	250	3/30/2016	9,841.80	9/8/2016	10,310.21	468.41 S
AT&T INC	00206R102	695	3/31/2016	27,172.62	10/11/2016	27,245.21	72.59 S
AVERY DENNISON CORP	053611109	101	2/2/2011	4,163.87	12/1/2015	6,692.67	2,528.80 L
AVERY DENNISON CORP	053611109	202	2/2/2011	8,327.73	12/3/2015	12,965.96	4,638.23 L
AVERY DENNISON CORP	053611109	112	2/2/2011	4,617.36	8/5/2016	8,776.69	4,159.33 L
AVERY DENNISON CORP	053611109	91	3/7/2011	3,767.16	8/5/2016	7,131.06	3,363.90 L
AVERY DENNISON CORP	053611109	32	3/7/2011	1,324.72	8/18/2016	2,464.30	1,139.58 L
BANK OF AMER CORP	060505104	5,550.00	6/8/2015	95,181.16	3/31/2016	74,970.23	-20,210.93 S
BAXTER INTL INC	071813109	558	11/20/2015	21,455.83	8/5/2016	26,862.09	5,406.26 S
BAXTER INTL INC	071813109	86	11/20/2015	3,306.81	8/18/2016	4,126.28	819.47 S
BECTON DICKINSON & CO	075887109	242	12/18/2015	37,326.41	8/30/2016	42,821.21	5,494.80 S
BECTON DICKINSON & CO	075887109	63	12/18/2015	9,717.20	2/16/2016	8,936.78	-780.42 S
BOEING COMPANY	097023105	865	3/13/2015	131,514.60	9/12/2016	111,614.07	-19,900.53 L
BOEING COMPANY	097023105	36	3/13/2015	5,473.44	12/18/2015	5,084.49	-388.95 S
BOEING COMPANY	097023105	16	3/13/2015	2,432.64	2/16/2016	1,787.24	-645.4 S
BOEING COMPANY	097023105	310	3/31/2015	46,978.39	9/12/2016	40,000.42	-6,977.97 L
BOEING COMPANY	097023105	343	4/1/2015	50,846.01	9/12/2016	44,258.52	-6,587.49 L
BOEING COMPANY	097023105	18	5/19/2015	2,653.32	9/12/2016	2,322.61	-330.71 L
BOEING COMPANY	097023105	230	8/14/2015	33,225.00	9/12/2016	29,677.73	-3,547.27 L
BOEING COMPANY	097023105	10	10/13/2015	1,401.10	9/12/2016	1,290.33	-110.77 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

BOEING COMPANY	097023105	680	1/27/2016	78,734.31	9/12/2016	87,742.85	9,008.54 S
BOEING COMPANY	097023105	55	3/31/2016	6,946.49	9/12/2016	7,096.85	150.36 S
BOEING COMPANY	097023105	205	4/1/2016	25,970.32	9/12/2016	26,451.89	481.57 S
BOEING COMPANY	097023105	83	4/4/2016	10,556.02	9/12/2016	10,709.79	153.77 S
BOEING COMPANY	097023105	11	4/5/2016	1,399.68	9/12/2016	1,419.37	19.69 S
BOSTON SCIENTIFIC CORP	101137107	948	3/7/2011	7,086.68	8/5/2016	22,853.04	15,766.36 L
BOSTON SCIENTIFIC CORP	101137107	234	3/7/2011	1,749.24	8/18/2016	5,623.77	3,874.53 L
BROADRIDGE FINANCIAL SOLUTIONS	11133T103	290	8/6/2009	5,075.29	8/5/2016	20,005.22	14,929.93 L
BROADRIDGE FINANCIAL SOLUTIONS	11133T103	109	9/25/2009	2,208.24	8/5/2016	7,519.20	5,310.96 L
BROADRIDGE FINANCIAL SOLUTIONS	11133T103	97	9/25/2009	1,965.14	8/18/2016	6,494.11	4,528.97 L
BUCKEYE PARTNERS L P	UNIT LTD PAI 118230101	325	2/18/2016	19,498.44	4/28/2016	22,953.28	3,454.84 S
BUCKEYE PARTNERS L P	UNIT LTD PAI 118230101	325	3/31/2016	21,683.45	4/28/2016	22,953.27	1,269.82 S
BWX TECHNOLOGIES INC	05605H100	230	9/13/2010	3,685.17	8/5/2016	8,777.46	5,092.29 L
BWX TECHNOLOGIES INC	05605H100	133	9/13/2010	2,130.98	8/18/2016	5,225.59	3,094.61 L
CALL AMGEN INC	DUE 01/20/17	-4	10/11/2016	908	3/3/2016	1,399.97	491.97 S
CALL AMGEN INC	DUE 01/20/17	-8	10/21/2016	790.88	3/3/2016	2,799.94	2,009.06 S
CALL AMGEN INC	DUE 01/20/17	-3	10/21/2016	296.58	4/7/2016	1,499.97	1,203.39 S
CALL AMGEN INC	DUE 06/16/17	-11	10/28/2016	1,650.00	10/27/2016	3,959.91	2,309.91 S
CALL APPLE INC	DUE 01/20/17	-2	4/27/2016	58	1/7/2016	283.31	225.31 S
CALL APPLE INC	DUE 01/20/17	-6	5/3/2016	252	1/7/2016	849.93	597.93 S
CALL APPLE INC	DUE 01/20/17	-2	5/3/2016	84	4/13/2016	355.99	271.99 S
CALL APPLE INC	DUE 04/15/16	-8	12/16/2015	287.38	9/2/2015	2,079.96	1,792.58 S
CALL APPLE INC	DUE 06/16/17	-4	10/11/2016	2,126.00	7/27/2016	720.67	-1,405.33 S
CALL BOEING COMPANY	DUE 01/20/17	-1	4/1/2016	85	1/20/2016	150	65 S
CALL BOEING COMPANY	DUE 01/20/17	-20	5/31/2016	898.62	1/20/2016	2,999.94	2,101.32 S
CALL CATERPILLAR INC	DUE 01/20/17	-17	6/3/2016	645.78	3/8/2016	1,784.96	1,139.18 S
CALL CATERPILLAR INC	DUE 01/20/17	-7	6/21/2016	451.54	3/8/2016	734.99	283.45 S
CALL CATERPILLAR INC	DUE 01/20/17	-6	6/21/2016	387.04	4/13/2016	719.98	332.94 S
CALL CATERPILLAR INC	DUE 02/17/17	-8	10/11/2016	2,832.00	6/21/2016	902.3	-1,929.70 S
CALL CLOROX CO	DUE 01/20/17	-1	4/22/2016	50	2/4/2016	200	150 S
CALL CLOROX CO	DUE 01/20/17	-1	4/13/2016	75	2/4/2016	200	125 S
CALL CLOROX CO	DUE 01/20/17	-2	4/13/2016	150	2/4/2016	399.99	249.99 S
CALL CLOROX CO	DUE 01/20/17	-3	4/21/2016	150	2/4/2016	599.99	449.99 S
CALL CLOROX CO	DUE 01/20/17	-3	4/21/2016	150	2/4/2016	599.99	449.99 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

CALL CLOROX CO	DUE 01/20/17	-1	4/21/2016	50	2/4/2016	199 99	149 99 S
CALL CLOROX CO	DUE 01/20/17	-2	8/5/2016	150	2/4/2016	399 99	249 99 S
CALL COCA COLA CO COM	DUE 01/20,	-26	7/27/2016	951.92	11/24/2015	2,734 09	1,782.17 S
CALL COCA COLA CO COM	DUE 01/20,	-1	7/27/2016	36 61	11/24/2015	104 99	68 38 S
CALL COCA COLA CO COM	DUE 01/20,	-4	7/27/2016	146 45	11/24/2015	419 99	273.54 S
CALL COCA COLA CO COM	DUE 01/20,	-20	7/27/2016	732.24	11/25/2015	2,199 96	1,467.72 S
CALL COCA COLA CO COM	DUE 01/20,	-21	7/27/2016	768.85	12/4/2015	2,519 95	1,751 10 S
CALL COCA COLA CO COM	DUE 01/20,	-16	7/27/2016	585.8	12/15/2015	1,936 06	1,350.26 S
CALL COCA COLA CO COM	DUE 01/20,	-6	7/27/2016	219 67	12/16/2015	782 35	562.68 S
CALL COLGATE PALMOLIVE C	DUE 01/1'	-52	11/30/2016	3,571 41	11/3/2016	7,889 54	4,318.13 S
CALL COLGATE PALMOLIVE C	DUE 01/21	-6	10/11/2016	636	1/13/2016	599 99	-36 01 S
CALL COLGATE PALMOLIVE C	DUE 01/21	-10	10/11/2016	1,060 00	1/14/2016	999 98	-60 02 S
CALL COLGATE PALMOLIVE C	DUE 01/21	-3	10/11/2016	318	1/19/2016	309 61	-8 39 S
CALL COLGATE PALMOLIVE C	DUE 01/21	-40	11/2/2016	2,381.68	1/19/2016	4,128.18	1,746.50 S
CALL COLGATE PALMOLIVE C	DUE 01/21	-12	11/2/2016	714.51	4/7/2016	2,195 95	1,481.44 S
CALL DEERE AND CO	DUE 01/20/17	-9	4/7/2016	441	3/2/2016	1,142 98	701 98 S
CALL DEERE AND CO	DUE 01/20/17	-14	4/8/2016	686	3/2/2016	1,777 96	1,091 96 S
CALL DEERE AND CO	DUE 01/20/17	-28	5/20/2016	1,372 00	5/4/2016	3,261 82	1,889.82 S
CALL DEERE AND CO	DUE 01/20/17	-10	8/2/2016	150	6/3/2016	1,249 97	1,099 97 S
CALL DEERE AND CO	DUE 01/20/17	-18	7/25/2016	270	6/3/2016	2,249 95	1,979 95 S
CALL DEERE AND CO	DUE 03/17/17	-6	10/11/2016	696	8/19/2016	599 99	-96 01 S
CALL DISCOVER FINANCIAL	DUE 01/20/	-36	2/25/2016	1,800 00	1/5/2016	4,499 92	2,699 92 S
CALL DR PEPPER SNAPPLE G	DUE 02/17	-9	10/11/2016	387	9/9/2016	1,079 98	692.98 S
CALL DR PEPPER SNAPPLE G	DUE 02/17	-21	10/27/2016	812.96	9/9/2016	2,519 94	1,706 98 S
CALL DR PEPPER SNAPPLE G	DUE 02/19	-15	2/17/2016	1,500 00	8/11/2015	1,874 97	374 97 S
CALL DR PEPPER SNAPPLE G	DUE 02/19	-11	2/17/2016	1,100.00	8/12/2015	1,484 97	384.97 S
CALL DR PEPPER SNAPPLE G	DUE 08/19	-26	8/2/2016	1,040 00	2/17/2016	4,549 90	3,509 90 S
CALL DR PEPPER SNAPPLE G	DUE 08/19	-4	8/2/2016	160	4/7/2016	367 99	207 99 S
CALL DU PONT DE NEMOURS	DUE 07/1	-28	1/20/2016	280	12/10/2015	3,928 53	3,648 53 S
CALL EVERSOURCE ENERGY C	DUE 01/1	-12	1/19/2016	0 01	8/20/2015	959 98	959 97 S
CALL EVERSOURCE ENERGY C	DUE 01/1	-18	1/19/2016	0 01	8/21/2015	1,349 98	1,349 97 S
CALL EVERSOURCE ENERGY C	DUE 01/2	-14	8/26/2016	1,048.18	8/10/2016	1,539 97	491.79 S
CALL EVERSOURCE ENERGY C	DUE 01/2	-10	8/26/2016	748.7	8/12/2016	1,099 98	351.28 S
CALL EVERSOURCE ENERGY C	DUE 01/2	-1	8/26/2016	74.87	8/12/2016	109 99	35.12 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

CALL EVERSOURCE ENERGY C	DUE 01/2	-9	8/26/2016	673.83	8/12/2016	989.98	316.15 S
CALL EVERSOURCE ENERGY C	DUE 04/2	-11	10/11/2016	501	9/23/2016	1,099.98	598.98 S
CALL EVERSOURCE ENERGY C	DUE 04/2	-36	11/7/2016	1,800.00	9/23/2016	3,599.92	1,799.92 S
CALL EVERSOURCE ENERGY C	DUE 07/1	-40	7/7/2016	18,381.47	1/19/2016	6,026.77	-12,354.70 S
CALL GENL MILLS INC	DUE 01/15/16	-6	12/23/2015	208.57	6/3/2015	599.99	391.42 S
CALL GENL MILLS INC	DUE 01/15/16	-18	12/23/2015	625.73	6/3/2015	1,799.97	1,174.24 S
CALL GENL MILLS INC	DUE 01/15/16	-1	12/24/2015	35	6/3/2015	99.99	64.99 S
CALL GENL MILLS INC	DUE 01/15/16	-7	12/29/2015	209.99	6/3/2015	699.99	490 S
CALL GENL MILLS INC	DUE 01/15/16	-21	12/29/2015	629.97	6/19/2015	2,105.00	1,475.03 S
CALL GENL MILLS INC	DUE 01/15/16	-6	12/29/2015	179.99	6/24/2015	629.99	450 S
CALL GENL MILLS INC	DUE 01/15/16	-7	12/29/2015	209.99	6/24/2015	734.99	525 S
CALL GENL MILLS INC	DUE 01/15/16	-4	12/29/2015	120	6/26/2015	419.99	299.99 S
CALL GENL MILLS INC	DUE 01/15/16	-3	12/29/2015	89.99	6/26/2015	314.99	225 S
CALL GENL MILLS INC	DUE 01/15/16	-1	12/29/2015	30	7/2/2015	109.99	79.99 S
CALL GENL MILLS INC	DUE 01/20/17	-82	7/6/2016	42,540.71	5/24/2016	8,199.82	-34,340.89 S
CALL GENL MILLS INC	DUE 01/20/17	-5	7/6/2016	2,593.95	6/3/2016	504.99	-2,088.96 S
CALL GENL MILLS INC	DUE 06/17/16	-74	4/6/2016	23,120.49	12/30/2015	8,360.95	-14,759.54 S
CALL GILEAD SCIENCES INC	DUE 08/19/	-8	2/17/2016	320	1/8/2016	1,289.90	969.9 S
CALL HONEYWELL INTL INC	DUE 01/20,	-19	8/18/2016	2,508.00	6/9/2016	5,035.12	2,527.12 S
CALL HONEYWELL INTL INC	DUE 01/20,	-1	8/10/2016	132	6/9/2016	265.01	133.01 S
CALL HONEYWELL INTL INC	DUE 06/16,	-2	10/11/2016	100	9/22/2016	349.99	249.99 S
CALL HONEYWELL INTL INC	DUE 06/16,	-18	10/11/2016	900	9/22/2016	2,879.94	1,979.94 S
CALL INTEL CORP	DUE 04/21/17	-48	10/19/2016	2,355.64	9/27/2016	4,809.75	2,454.11 S
CALL INTEL CORP	DUE 04/21/17	-24	10/11/2016	2,712.00	9/27/2016	2,404.88	-307.12 S
CALL INTEL CORP	DUE 04/21/17	-2	10/20/2016	96.96	9/27/2016	200.41	103.45 S
CALL INTEL CORP	DUE 07/15/16	-24	1/15/2016	480	10/19/2015	1,878.52	1,398.52 S
CALL INTEL CORP	DUE 07/15/16	-22	1/15/2016	440	10/20/2015	1,957.96	1,517.96 S
CALL INTEL CORP	DUE 07/15/16	-15	1/15/2016	300	10/21/2015	1,334.98	1,034.98 S
CALL JOHNSON & JOHNSON C	DUE 01/2	-24	8/16/2016	19,604.31	2/8/2016	3,959.93	-15,644.38 S
CALL JOHNSON & JOHNSON C	DUE 01/2	-16	8/16/2016	13,069.53	2/8/2016	2,641.04	-10,428.49 S
CALL JOHNSON & JOHNSON C	DUE 01/2	-8	8/16/2016	6,534.77	4/7/2016	2,263.95	-4,270.82 S
CALL JPMORGAN CHASE & CO	DUE 01/2	-26	4/1/2016	1,130.63	1/11/2016	3,252.30	2,121.67 S
CALL JPMORGAN CHASE & CO	DUE 01/2	-8	3/23/2016	392	1/11/2016	1,000.71	608.71 S
CALL JPMORGAN CHASE & CO	DUE 03/2	-20	9/6/2016	2,700.00	6/2/2016	3,419.93	719.93 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

CALL JPMORGAN CHASE & CO	DUE 03/	-11	9/8/2016	1,485.00	6/2/2016	1,880.96	395.96 S
CALL JPMORGAN CHASE & CO	DUE 03/	-10	9/9/2016	1,440.00	6/2/2016	1,709.96	269.96 S
CALL KRAFT HEINZ CO/THE	DUE 01/20/	-5	10/11/2016	2,516.67	1/20/2016	499.99	-2,016.68 S
CALL KRAFT HEINZ CO/THE	DUE 01/20/	-1	10/11/2016	503.33	1/21/2016	145	-358.33 S
CALL KRAFT HEINZ CO/THE	DUE 01/20/	-17	11/14/2016	2,007.87	1/21/2016	2,464.95	457.08 S
CALL KRAFT HEINZ CO/THE	DUE 01/20/	-5	11/14/2016	590.55	4/7/2016	1,074.98	484.43 S
CALL MCDONALDS CORP	DUE 03/18/	-21	2/24/2016	13,503.23	8/19/2015	3,569.93	-9,933.30 S
CALL MERCK & CO INC NEW	DUE 06/16/	-12	10/11/2016	1,284.00	8/9/2016	1,199.97	-84.03 S
CALL MERCK & CO INC NEW	DUE 06/16/	-14	10/11/2016	1,498.00	8/9/2016	1,399.97	-98.03 S
CALL PEPSICO INC	DUE 04/15/16	-8	2/24/2016	239.17	11/12/2015	1,007.98	768.81 S
CALL PEPSICO INC	DUE 04/15/16	-8	2/16/2016	296	11/12/2015	1,007.98	711.98 S
CALL PEPSICO INC	DUE 04/15/16	-1	2/16/2016	37	11/12/2015	126	89 S
CALL PEPSICO INC	DUE 04/15/16	-12	2/26/2016	420	11/12/2015	1,538.26	1,118.26 S
CALL PEPSICO INC	DUE 04/15/16	-3	2/26/2016	105	11/12/2015	377.99	272.99 S
CALL PEPSICO INC	DUE 04/15/16	-9	2/26/2016	315	11/16/2015	1,259.98	944.98 S
CALL PEPSICO INC	DUE 04/21/17	-13	10/11/2016	1,092.00	9/14/2016	1,624.97	532.97 S
CALL PEPSICO INC	DUE 04/21/17	-2	11/15/2016	57.25	9/14/2016	251.47	194.22 S
CALL PEPSICO INC	DUE 04/21/17	-3	11/15/2016	85.87	9/14/2016	374.99	289.12 S
CALL PEPSICO INC	DUE 04/21/17	-31	11/15/2016	887.34	9/15/2016	3,895.89	3,008.55 S
CALL PROCTER & GAMBLE CO	DUE 01/2	-9	3/16/2016	423	2/5/2016	944.98	521.98 S
CALL PROCTER & GAMBLE CO	DUE 01/2	-6	3/16/2016	282	2/8/2016	629.99	347.99 S
CALL PROCTER & GAMBLE CO	DUE 01/2	-22	3/16/2016	1,034.00	2/8/2016	2,309.96	1,275.96 S
CALL PROCTER & GAMBLE CO	DUE 01/2	-12	3/16/2016	564	2/8/2016	1,259.98	695.98 S
CALL PROCTER & GAMBLE CO	DUE 06/1	-17	10/11/2016	2,635.00	9/21/2016	2,719.94	84.94 S
CALL PROCTER & GAMBLE CO	DUE 06/1	-42	11/10/2016	2,100.00	9/21/2016	6,719.85	4,619.85 S
CALL STARBUCKS CORP	DUE 01/15/1	-22	12/22/2015	176	8/3/2015	1,917.87	1,741.87 S
CALL STARBUCKS CORP	DUE 07/15/1	-22	3/7/2016	853.36	1/4/2016	2,331.96	1,478.60 S
CALL STARBUCKS CORP	DUE 10/21/1	-25	4/22/2016	1,250.00	4/4/2016	2,647.03	1,397.03 S
CALL TIFFANY & CO NEW	DUE 02/17/	-4	10/11/2016	1,688.00	8/11/2016	740.04	-947.96 S
CALL UNION PACIFIC CORP	DUE 02/17/	-11	10/12/2016	1,759.64	8/18/2016	1,924.96	165.32 S
CALL UNITED PARCEL SERVI	DUE 01/20/	-1	10/11/2016	260	2/5/2016	188.21	-71.79 S
CALL UNITED PARCEL SERVI	DUE 04/15/	-19	2/2/2016	228	9/24/2015	2,279.96	2,051.96 S
CALL VERIZON COMMUNICATI	DUE 04/	-47	8/18/2016	1,326.45	6/21/2016	3,477.92	2,151.47 S
CALL WAL MART STORES INC	DUE 01/21	-31	10/7/2016	558	5/20/2016	3,223.93	2,665.93 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

CALL WELLS FARGO & CO NE	DUE 01/21	-23	9/6/2016	2,400.93	8/30/2016	2,531.33	130.4 S
CALL WELLS FARGO & CO NE	DUE 04/11	-38	2/2/2016	190	9/2/2015	2,925.95	2,735.95 S
CALL WHOLE FOODS MARKET	DUE 02/	-25	8/23/2016	2,179.00	6/24/2016	2,813.02	634.02 S
CALL WHOLE FOODS MARKET	DUE 08/	-18	6/15/2016	1,029.28	2/10/2016	2,253.45	1,224.17 S
CALL WHOLE FOODS MARKET	DUE 08/	-7	6/15/2016	400.28	4/19/2016	391.99	-8.29 S
CAMECO CORP CANADA CAD		655	7/18/2014	13,335.34	7/8/2016	6,934.60	-6,400.74 L
CAMECO CORP CANADA CAD		1,575.00	7/18/2014	32,065.90	7/28/2016	15,602.24	-16,463.66 L
CAPITAL ONE FINCL CORP		300	1/30/2014	21,149.87	10/11/2016	21,671.56	521.69 L
CAPITAL ONE FINCL CORP		300	7/22/2014	24,658.95	10/11/2016	21,671.56	-2,987.39 L
CATERPILLAR INC		200	10/22/2015	13,994.57	10/11/2016	17,598.34	3,603.77 S
CATERPILLAR INC		200	3/30/2016	15,297.26	10/11/2016	17,598.33	2,301.07 S
CATERPILLAR INC		400	3/31/2016	30,666.72	10/11/2016	35,196.68	4,529.96 S
CINEMARK HOLDINGS INC		270	1/3/2013	7,311.98	8/5/2016	10,074.23	2,762.25 L
CINEMARK HOLDINGS INC		177	1/30/2013	5,010.44	8/5/2016	6,604.22	1,593.78 L
CINEMARK HOLDINGS INC		130	1/30/2013	3,679.99	8/18/2016	4,960.77	1,280.78 L
CLOXOX CO		92	10/28/2009	5,335.07	8/5/2016	12,191.66	6,856.59 L
CLOXOX CO		13	10/28/2009	753.87	8/18/2016	1,709.07	955.2 L
CLOXOX CO		7	11/17/2009	418.17	8/18/2016	920.27	502.1 L
CLOXOX CO		25	3/30/2016	3,199.69	10/11/2016	3,027.68	-172.01 S
COCA COLA CO COM		400	5/1/2013	16,926.84	10/11/2016	16,604.96	-321.88 L
COCA COLA CO COM		640	3/30/2016	29,820.80	10/11/2016	26,567.93	-3,252.87 S
COCA COLA CO COM		960	3/31/2016	44,534.30	10/11/2016	39,851.90	-4,682.40 S
COGNIZANT TECH SOLUTIONS	CRP	2,471.00	3/13/2015	152,043.42	8/15/2016	143,047.45	-8,995.97 L
COGNIZANT TECH SOLUTIONS	CRP	750	3/31/2015	46,927.43	8/15/2016	43,417.88	-3,509.55 L
COGNIZANT TECH SOLUTIONS	CRP	821	4/1/2015	50,869.88	8/15/2016	47,528.11	-3,341.77 L
COGNIZANT TECH SOLUTIONS	CRP	41	5/19/2015	2,659.08	8/15/2016	2,373.51	-285.57 L
COGNIZANT TECH SOLUTIONS	CRP	55	11/17/2015	3,547.49	8/15/2016	3,183.98	-363.51 S
COGNIZANT TECH SOLUTIONS	CRP	116	12/18/2015	6,900.36	8/15/2016	6,715.30	-185.06 S
COGNIZANT TECH SOLUTIONS	CRP	360	1/27/2016	22,444.20	8/15/2016	20,840.58	-1,603.62 S
COGNIZANT TECH SOLUTIONS	CRP	366	2/16/2016	19,982.25	8/15/2016	21,187.93	1,205.68 S
COGNIZANT TECH SOLUTIONS	CRP	72	3/31/2016	4,520.09	8/15/2016	4,168.11	-351.98 S
COGNIZANT TECH SOLUTIONS	CRP	422	4/1/2016	26,544.90	8/15/2016	24,429.80	-2,115.10 S
COGNIZANT TECH SOLUTIONS	CRP	171	4/4/2016	10,620.25	8/15/2016	9,899.28	-720.97 S
COGNIZANT TECH SOLUTIONS	CRP	204	4/5/2016	12,441.21	8/15/2016	11,809.66	-631.55 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

COLGATE PALMOLIVE CO	194162103	700	7/22/2014	48,059.83	10/11/2016	50,597.42	2,537.59 L
COLGATE PALMOLIVE CO	194162103	106	3/13/2015	7,254.94	8/30/2016	7,918.43	663.49 L
COLGATE PALMOLIVE CO	194162103	240	3/13/2015	16,426.30	12/18/2015	15,875.73	-550.57 S
COLGATE PALMOLIVE CO	194162103	330	3/13/2015	22,586.16	2/16/2016	21,753.14	-833.02 S
COLGATE PALMOLIVE CO	194162103	420	3/30/2016	29,719.16	10/11/2016	30,358.45	639.29 S
COLGATE PALMOLIVE CO	194162103	780	3/31/2016	55,129.26	10/11/2016	56,379.98	1,250.72 S
COLUMBIA CONTRARIAN CORE	FUND C 19765P406	11,687.70	5/24/2013	223,560.43	6/16/2016	250,000.00	26,439.57 L
COLUMBIA CONTRARIAN CORE	FUND C 19765P406	101,936.80	5/24/2013	1,949,829.70	1/26/2016	2,000,000.00	50,170.30 L
COMCAST CORP NEW CL A	20030N101	482	3/13/2015	28,399.44	2/16/2016	27,792.22	-607.22 S
COMCAST CORP NEW CL A	20030N101	433	3/13/2015	25,512.36	11/23/2016	30,111.81	4,599.45 L
COMCAST CORP NEW CL A	20030N101	229	3/13/2015	13,492.68	8/30/2016	14,956.53	1,463.85 L
CORRECTIONS CORP OF AMER	NEW*NJ 2202SY407	544	9/10/2015	15,967.90	8/5/2016	15,715.82	-252.08 S
CVS HEALTH CORP	126650100	222	3/13/2015	22,973.80	2/16/2016	21,558.44	-1,415.36 S
CVS HEALTH CORP	126650100	154	3/13/2015	15,936.78	12/18/2015	14,536.74	-1,400.04 S
DANAHER CORP	235851102	32	3/13/2015	2,724.59	12/18/2015	2,936.91	212.32 S
DANAHER CORP	235851102	266	3/13/2015	22,648.20	2/16/2016	23,032.39	384.19 S
DEERE AND CO	244199105	375	4/14/2014	34,730.08	10/11/2016	32,589.26	-2,140.82 L
DEERE AND CO	244199105	225	3/5/2015	20,422.79	10/11/2016	19,553.56	-869.23 L
DEUTSCHE BANK AG	CAR AOS AAPJ 25190H174	100,000.00	5/27/2015	1,000,000.00	6/10/2016	741,560.00	-258,440.00 L
DEUTSCHE BANK AG	TRIGGER PS S: 25155L517	100,000.00	9/26/2013	1,000,000.00	8/9/2016	1,014,494.75	14,494.75 L
DEUTSCHE BANK AG	TRIGGER PS S: 25155L517	100,000.00	9/26/2013	1,000,000.00	9/30/2016	987,594.75	-12,405.25 L
DEVON ENERGY CORP NEW	25179M103	579	1/22/2016	14,766.82	2/17/2016	11,481.15	-3,285.67 S
DISCOVER FINANCIAL	SERVICES 254709108	120	7/23/2013	6,057.28	10/11/2016	6,663.47	606.19 L
DISCOVER FINANCIAL	SERVICES 254709108	400	7/22/2014	25,542.60	10/11/2016	22,211.55	-3,331.05 L
DISCOVER FINANCIAL	SERVICES 254709108	505	3/31/2016	25,785.25	10/11/2016	28,042.09	2,256.84 S
DISCOVERY COMMUNICATIONS	INC SEF 25470F302	412	7/2/2015	12,804.14	8/5/2016	10,482.37	-2,321.77 L
DISCOVERY COMMUNICATIONS	INC SEF 25470F302	182	7/2/2015	5,656.19	8/18/2016	4,692.55	-963.64 L
DOW CHEMICAL	260543103	1,200.00	2/29/2016	58,744.41	10/11/2016	63,766.61	5,022.20 S
DOW CHEMICAL	260543103	580	3/30/2016	29,861.30	10/11/2016	30,820.53	959.23 S
DOW CHEMICAL	260543103	1,120.00	3/31/2016	56,970.26	10/11/2016	59,515.50	2,545.24 S
DR PEPPER SNAPPLE GROUP	INC 26138E109	500	12/3/2014	36,225.64	10/11/2016	43,524.35	7,298.71 L
DR PEPPER SNAPPLE GROUP	INC 26138E109	165	3/30/2016	14,834.54	10/11/2016	14,363.04	-471.5 S
DR PEPPER SNAPPLE GROUP	INC 26138E109	235	3/31/2016	21,010.18	10/11/2016	20,456.44	-553.74 S
DU PONT DE NEMOURS	263534109	500	2/21/2012	24,609.95	2/24/2016	29,505.05	4,895.10 L

NEW BALANCE FOUNDATION

TIN: 04-6470644

DU PONT DE NEMOURS	263534109	700	7/20/2012	32,420.66	2/24/2016	41,307.08	8,886.42 L
DU PONT DE NEMOURS	263534109	1,000.00	10/9/2012	47,150.47	2/24/2016	59,010.10	11,859.63 L
DU PONT DE NEMOURS	263534109	1,500.00	10/12/2012	69,733.76	2/24/2016	88,515.16	18,781.40 L
DU PONT DE NEMOURS	263534109	1,000.00	5/1/2013	51,137.60	2/24/2016	59,010.10	7,872.50 L
DU PONT DE NEMOURS	263534109	1,000.00	7/22/2014	61,796.00	2/24/2016	59,010.11	-2,785.89 L
DUNKIN BRANDS GROUP INC	265504100	238	11/6/2013	11,542.99	12/8/2015	10,129.00	-1,413.99 L
DUNKIN BRANDS GROUP INC	265504100	1,212.00	11/7/2013	58,410.32	12/8/2015	51,581.29	-6,829.03 L
DUNKIN BRANDS GROUP INC	265504100	700	7/22/2014	30,552.11	12/8/2015	29,791.17	-760.94 L
DUNKIN BRANDS GROUP INC	265504100	300	1/14/2016	11,413.24	10/11/2016	15,348.10	3,934.86 S
DUNKIN BRANDS GROUP INC	265504100	155	3/30/2016	7,286.53	10/11/2016	7,929.86	643.33 S
DUNKIN BRANDS GROUP INC	265504100	245	3/31/2016	11,547.54	10/11/2016	12,534.28	986.74 S
EATON VANCE SHORT	DURATION G 277923561	250,975.47	7/31/2014	2,148,121.20	1/26/2016	2,083,096.39	-65,024.81 L
EATON VANCE SHORT	DURATION G 277923561	297,893.95	7/31/2014	2,549,698.06	1/26/2016	2,472,519.80	-77,178.26 L
EATON VANCE SHORT	DURATION G 277923561	1,487.03	8/1/2014	12,727.60	1/26/2016	12,342.34	-385.26 L
EATON VANCE SHORT	DURATION G 277923561	1,396.87	8/29/2014	11,956.34	1/26/2016	11,594.01	-362.33 L
EATON VANCE SHORT	DURATION G 277923561	355.29	9/30/2014	3,034.06	1/26/2016	2,948.89	-85.17 L
EATON VANCE SHORT	DURATION G 277923561	23.96	9/30/2014	204.6	1/26/2016	198.86	-5.74 L
EATON VANCE SHORT	DURATION G 277923561	1,384.59	10/31/2014	11,852.12	1/26/2016	11,492.12	-360 L
EATON VANCE SHORT	DURATION G 277923561	1,547.87	11/28/2014	13,234.28	1/26/2016	12,847.32	-386.96 L
EATON VANCE SHORT	DURATION G 277923561	1,456.86	12/31/2014	12,412.41	1/26/2016	12,091.90	-320.51 L
EATON VANCE SHORT	DURATION G 277923561	1,560.64	1/30/2015	13,203.05	1/26/2016	12,953.35	-249.7 S
EATON VANCE SHORT	DURATION G 277923561	1,680.42	2/27/2015	14,266.72	1/26/2016	13,947.44	-319.28 S
EATON VANCE SHORT	DURATION G 277923561	1,674.42	3/31/2015	14,215.78	1/26/2016	13,897.64	-318.14 S
EATON VANCE SHORT	DURATION G 277923561	1,744.89	4/30/2015	14,814.14	1/26/2016	14,482.62	-331.52 S
EATON VANCE SHORT	DURATION G 277923561	1,687.95	5/29/2015	14,313.78	1/26/2016	14,009.95	-303.83 S
EATON VANCE SHORT	DURATION G 277923561	1,574.80	6/30/2015	13,354.29	1/26/2016	13,070.82	-283.47 S
EATON VANCE SHORT	DURATION G 277923561	1,660.82	7/31/2015	14,033.97	1/26/2016	13,784.85	-249.12 S
EATON VANCE SHORT	DURATION G 277923561	1,590.26	8/31/2015	13,421.82	1/26/2016	13,199.18	-222.64 S
EATON VANCE SHORT	DURATION G 277923561	1,533.42	9/30/2015	12,880.69	1/26/2016	12,727.35	-153.34 S
EATON VANCE SHORT	DURATION G 277923561	1,260.34	10/30/2015	10,574.26	1/26/2016	10,460.83	-113.43 S
EATON VANCE SHORT	DURATION G 277923561	1,283.34	11/30/2015	10,754.41	1/26/2016	10,651.74	-102.67 S
EATON VANCE SHORT	DURATION G 277923561	1,295.27	12/31/2015	10,828.49	1/26/2016	10,750.77	-77.72 S
ECOLAB INC	278865100	231	3/13/2015	26,599.26	8/30/2016	28,667.50	2,068.24 L
ECOLAB INC	278865100	45	3/13/2015	5,181.68	12/18/2015	5,125.41	-56.27 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

ECOLAB INC	278865100	232	3/13/2015	26,714.40	2/16/2016	25,062.92	-1,651.48 S
ENDURANCE SPECIALTY	HOLDINGS L G30397106	55	7/30/2009	1,796.30	8/5/2016	3,676.91	1,880.61 L
ENDURANCE SPECIALTY	HOLDINGS L G30397106	235	8/4/2009	7,613.30	8/5/2016	15,710.43	8,097.13 L
ENDURANCE SPECIALTY	HOLDINGS L G30397106	55	8/6/2009	1,779.25	8/5/2016	3,676.91	1,897.66 L
ENDURANCE SPECIALTY	HOLDINGS L G30397106	68	8/6/2009	2,199.80	8/18/2016	4,419.23	2,219.43 L
ENDURANCE SPECIALTY	HOLDINGS L G30397106	117	8/6/2009	3,784.95	10/24/2016	10,752.23	6,967.28 L
ENDURANCE SPECIALTY	HOLDINGS L G30397106	175	8/19/2009	5,976.09	10/24/2016	16,082.39	10,106.30 L
ENDURANCE SPECIALTY	HOLDINGS L G30397106	110	10/15/2009	4,197.35	10/24/2016	10,108.94	5,911.59 L
ENDURANCE SPECIALTY	HOLDINGS L G30397106	107	4/1/2015	6,565.28	10/24/2016	9,833.23	3,267.95 L
ENDURANCE SPECIALTY	HOLDINGS L G30397106	73	4/1/2015	4,479.12	10/25/2016	6,708.58	2,229.46 L
ENDURANCE SPECIALTY	HOLDINGS L G30397106	15	4/21/2015	920.1	10/25/2016	1,378.47	458.37 L
ENERGY TRANSFER EQUITY	LP UNITS L 29273V100	2,650.00	2/18/2016	17,516.24	4/28/2016	34,197.51	16,681.27 S
ENERGY TRANSFER EQUITY	LP UNITS L 29273V100	3,075.00	3/31/2016	20,509.94	4/28/2016	39,682.01	19,172.07 S
ENLINK MIDSTREAM	PARTNERS LP 29336U107	625	2/18/2016	4,935.69	4/28/2016	8,432.69	3,497.00 S
ENLINK MIDSTREAM	PARTNERS LP 29336U107	325	3/31/2016	3,761.10	4/28/2016	4,385.00	623.9 S
ENLINK MIDSTREAM LLC	29336T100	4,150.00	2/18/2016	30,346.46	4/28/2016	60,559.22	30,212.76 S
ENLINK MIDSTREAM LLC	29336T100	1,825.00	3/31/2016	19,898.16	4/28/2016	26,631.46	6,733.30 S
ENERGY CORP NEW	29364G103	115	7/30/2009	9,220.70	8/5/2016	9,190.62	-30.08 L
ENERGY CORP NEW	29364G103	67	8/4/2009	5,336.01	8/5/2016	5,354.54	18.53 L
ENERGY CORP NEW	29364G103	32	8/4/2009	2,548.55	8/18/2016	2,513.58	-34.97 L
ENTERPRISE PRODUCTS	PARTNER LP 293792107	1,725.00	2/18/2016	39,549.77	4/28/2016	46,923.63	7,373.86 S
ENTERPRISE PRODUCTS	PARTNER LP 293792107	1,850.00	3/31/2016	45,098.19	4/28/2016	50,323.90	5,225.71 S
EQT GP HLDGS LP MLP	26885J103	450	2/18/2016	10,204.16	4/28/2016	12,100.24	1,896.08 S
EQT GP HLDGS LP MLP	26885J103	425	3/31/2016	10,711.70	4/28/2016	11,428.00	716.3 S
EQT MIDSTREAM PARTNERS L	P MLP 26885B100	200	2/18/2016	14,081.00	4/28/2016	15,730.90	1,649.90 S
EQT MIDSTREAM PARTNERS L	P MLP 26885B100	250	3/31/2016	18,382.80	4/28/2016	19,663.62	1,280.82 S
ESTERLINE TECHNOLOGIES	CORP 297425100	133	6/24/2015	12,723.78	8/5/2016	9,212.76	-3,511.02 L
ESTERLINE TECHNOLOGIES	CORP 297425100	52	6/24/2015	4,974.71	8/18/2016	3,896.80	-1,077.91 L
ESTERLINE TECHNOLOGIES	CORP 297425100	39	6/25/2015	3,731.37	8/18/2016	2,922.60	-808.77 L
EVERSOURCE ENERGY COM	30040W108	400	7/22/2014	18,231.96	10/11/2016	20,917.00	2,685.04 L
EVERSOURCE ENERGY COM	30040W108	255	3/30/2016	14,899.65	10/11/2016	13,334.59	-1,565.06 S
EVERSOURCE ENERGY COM	30040W108	445	3/31/2016	25,973.23	10/11/2016	23,270.16	-2,703.07 S
EXPEDITORS INTL WASH INC	302130109	54	12/11/2013	2,294.97	8/18/2016	2,738.87	443.9 L
EXPEDITORS INTL WASH INC	302130109	189	12/11/2013	8,032.41	8/5/2016	9,643.17	1,610.76 L

NEW BALANCE FOUNDATION

TIM: 04-6470644

EXXON MOBIL CORP	30231G102	675	2/2/2012	56,454.64	12/9/2015	51,714.81	-4,739.83 L
EXXON MOBIL CORP	30231G102	160	2/7/2012	13,633.22	12/9/2015	12,177.07	-1,456.15 L
EXXON MOBIL CORP	30231G102	40	2/7/2012	3,408.30	12/9/2015	3,064.58	-343.72 L
EXXON MOBIL CORP	30231G102	300	2/21/2012	25,953.00	12/9/2015	22,832.01	-3,120.99 L
EXXON MOBIL CORP	30231G102	200	7/20/2012	17,099.30	12/9/2015	15,221.34	-1,877.96 L
EXXON MOBIL CORP	30231G102	400	10/9/2012	37,147.00	12/9/2015	30,442.68	-6,704.32 L
EXXON MOBIL CORP	30231G102	600	10/12/2012	54,796.50	12/9/2015	45,664.02	-9,132.48 L
EXXON MOBIL CORP	30231G102	300	10/15/2012	27,251.10	12/9/2015	22,832.01	-4,419.09 L
EXXON MOBIL CORP	30231G102	1,000.00	4/26/2013	87,986.50	12/9/2015	76,106.70	-11,879.80 L
EXXON MOBIL CORP	30231G102	1,000.00	7/22/2014	103,664.02	12/9/2015	76,106.69	-27,557.33 L
EXXON MOBIL CORP	30231G102	125	3/12/2015	10,539.99	12/9/2015	9,513.34	-1,026.65 S
EXXON MOBIL CORP	30231G102	355	3/30/2016	29,960.79	10/11/2016	31,257.07	1,296.28 S
EXXON MOBIL CORP	30231G102	545	3/31/2016	45,723.70	10/11/2016	47,986.20	2,262.50 S
FACEBOOK INC CL A	30303M102	244	5/18/2015	19,654.44	8/30/2016	30,685.70	11,031.26 L
FACEBOOK INC CL A	30303M102	160	5/18/2015	12,888.16	12/18/2015	17,005.10	4,116.94 S
FACEBOOK INC CL A	30303M102	319	5/18/2015	25,695.77	2/16/2016	32,196.96	6,501.19 S
FASTENAL CO	311900104	59	7/9/2015	2,476.40	1/21/2016	2,178.56	-297.84 S
FASTENAL CO	311900104	138	7/14/2015	5,790.17	8/5/2016	5,883.46	93.29 L
FASTENAL CO	311900104	361	7/14/2015	15,146.77	1/21/2016	13,329.82	-1,816.95 S
FASTENAL CO	311900104	39	7/14/2015	1,636.36	8/18/2016	1,705.86	69.5 L
FIRST AMERN FINL CORP	31847R102	70	1/13/2011	1,043.85	8/5/2016	2,842.79	1,798.94 L
FIRST AMERN FINL CORP	31847R102	65	1/14/2011	970.91	8/5/2016	2,639.74	1,668.83 L
FIRST AMERN FINL CORP	31847R102	50	1/18/2011	745.68	8/5/2016	2,030.57	1,284.89 L
FIRST AMERN FINL CORP	31847R102	376	2/11/2011	5,841.73	8/5/2016	15,269.86	9,428.13 L
FIRST AMERN FINL CORP	31847R102	19	2/11/2011	295.19	8/18/2016	804.59	509.4 L
FIRST AMERN FINL CORP	31847R102	205	2/14/2011	3,174.94	8/18/2016	8,681.06	5,506.12 L
FIRST EAGLE FUND OF	32008F663	27,709.01	12/19/2013	1,000,005.25	3/30/2016	957,069.14	-42,936.11 L
FIRST EAGLE FUND OF	32008F663	58,095.48	11/11/2014	2,300,000.00	3/30/2016	2,006,617.84	-293,382.16 L
FIRST EAGLE FUND OF	32008F663	512.02	12/16/2014	18,447.97	3/30/2016	17,685.07	-762.9 L
FIRST EAGLE FUND OF	32008F663	6,449.03	12/16/2014	232,358.55	3/30/2016	222,749.49	-9,609.06 L
FIRST EAGLE FUND OF	32008F663	271.6	12/17/2015	9,534.85	3/30/2016	9,381.03	-173.82 S
FIRST EAGLE FUND OF	32008F663	2,634.25	12/17/2015	92,672.77	3/30/2016	90,986.86	-1,685.91 S
FIRST EAGLE OVERSEAS	32008F200	966.4	12/14/2011	19,954.64	3/30/2016	22,449.50	2,494.86 L
FIRST EAGLE OVERSEAS	32008F200	94,534.84	11/30/2012	2,160,005.25	3/30/2016	2,196,044.37	36,039.12 L

NEW BALANCE FOUNDATION

TIN: 04-6470644

FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	3,725.66	12/13/2012	82,204.29	3/30/2016	86,547.13	4,342.84 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	1,473.27	12/13/2012	32,506.64	3/30/2016	34,223.96	1,717.32 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	9,661.22	12/13/2012	213,168.57	3/30/2016	224,430.24	11,261.67 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	1,671.59	12/13/2012	36,882.54	3/30/2016	38,831.04	1,948.50 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	5,132.41	12/17/2013	117,739.56	3/30/2016	119,225.91	1,486.35 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	2,322.86	12/17/2013	53,287.31	3/30/2016	53,960.02	672.71 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	8,262.74	12/17/2013	189,550.56	3/30/2016	191,943.47	2,392.91 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	1,469.55	12/16/2014	32,065.49	3/30/2016	34,137.55	2,072.06 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	702.57	12/16/2014	15,330.12	3/30/2016	16,320.75	990.63 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	4,355.95	12/16/2014	95,046.74	3/30/2016	101,188.62	6,141.88 L
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	643.76	12/17/2015	14,233.58	3/30/2016	14,954.60	721.02 S
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	388.69	12/17/2015	8,593.86	3/30/2016	9,029.19	435.33 S
FIRST EAGLE OVERSEAS	FUND CLASS	32008F200	1,111.40	12/17/2015	24,573.07	3/30/2016	25,817.85	1,244.78 S
FORTIVE CORP		34959J108	602.5	3/13/2015	25,203.33	7/6/2016	28,792.86	3,589.53 L
FORTIVE CORP		34959J108	275.5	3/31/2015	11,518.24	7/6/2016	13,165.86	1,647.62 L
FORTIVE CORP		34959J108	303	4/1/2015	12,501.42	7/6/2016	14,480.06	1,978.64 L
FORTIVE CORP		34959J108	15.5	5/19/2015	661.46	7/6/2016	740.73	79.27 L
FORTIVE CORP		34959J108	27.5	8/14/2015	1,224.75	7/6/2016	1,314.19	89.44 S
FORTIVE CORP		34959J108	20	10/13/2015	879.63	7/6/2016	955.78	76.15 S
FORTIVE CORP		34959J108	329	1/27/2016	13,571.86	7/6/2016	15,722.57	2,150.71 S
FORTIVE CORP		34959J108	96	3/31/2016	4,480.18	7/6/2016	4,587.74	107.56 S
FORTIVE CORP		34959J108	144	4/1/2016	6,716.07	7/6/2016	6,881.62	165.55 S
FORTIVE CORP		34959J108	56.5	4/4/2016	2,604.48	7/6/2016	2,700.07	95.59 S
FORTIVE CORP		34959J108	47.5	4/5/2016	2,172.40	7/6/2016	2,269.98	97.58 S
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	27,824.14	3/17/2014	685,775.77	3/30/2016	511,129.52	-174,646.25 L
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	96,262.67	5/23/2014	2,415,005.25	3/30/2016	1,768,345.24	-646,660.01 L
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	238.9	9/5/2014	5,883.17	3/30/2016	4,388.57	-1,494.60 L
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	3,877.69	12/19/2014	80,500.91	3/30/2016	71,233.22	-9,267.69 L
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	13,325.49	12/19/2014	276,637.14	3/30/2016	244,789.21	-31,847.93 L
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	286.25	9/4/2015	5,830.99	3/30/2016	5,258.49	-572.5 S
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	677.42	9/4/2015	13,799.07	3/30/2016	12,444.22	-1,354.85 S
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	2,624.92	9/4/2015	53,469.62	3/30/2016	48,219.78	-5,249.84 S
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	3,144.21	12/18/2015	60,180.22	3/30/2016	57,759.17	-2,421.05 S
FRANKLIN MUTUAL EUROPEAN	FUND C	628380503	4,536.25	12/18/2015	86,823.79	3/30/2016	83,330.88	-3,492.91 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

FRANKLIN RESOURCES INC	354613101	409	7/7/2016	13,295.69	8/5/2016	14,850.55	1,554.86 S
FRANKLIN RESOURCES INC	354613101	80	7/7/2016	2,600.62	8/18/2016	2,911.14	310.52 S
GENESIS ENERGY L P	UNIT LTD PART 371927104	1,175.00	2/18/2016	29,893.65	4/28/2016	38,520.13	8,626.48 S
GENESIS ENERGY L P	UNIT LTD PART 371927104	950	3/31/2016	29,091.95	4/28/2016	31,143.93	2,051.98 S
GENL ELECTRIC CO	369604103	1,000.00	7/22/2014	25,987.40	10/11/2016	28,931.67	2,944.27 L
GENL ELECTRIC CO	369604103	1,000.00	3/30/2016	31,845.00	10/11/2016	28,931.67	-2,913.33 S
GENL ELECTRIC CO	369604103	3,000.00	3/31/2016	95,690.67	10/11/2016	86,795.01	-8,895.66 S
GENL MILLS INC	370334104	300	10/9/2012	11,897.10	10/11/2016	18,620.89	6,723.79 L
GENL MILLS INC	370334104	1,100.00	7/22/2014	58,252.59	10/11/2016	68,276.62	10,024.03 L
GENL MILLS INC	370334104	470	3/30/2016	29,754.01	10/11/2016	29,172.73	-581.28 S
GENL MILLS INC	370334104	830	3/31/2016	52,618.93	10/11/2016	51,517.81	-1,101.12 S
GENUINE PARTS CO	372460105	100	7/30/2009	3,574.00	8/5/2016	10,137.78	6,563.78 L
GENUINE PARTS CO	372460105	145	8/4/2009	5,154.90	8/5/2016	14,699.77	9,544.87 L
GENUINE PARTS CO	372460105	56	8/6/2009	1,972.46	8/5/2016	5,677.16	3,704.70 L
GENUINE PARTS CO	372460105	61	8/6/2009	2,148.57	8/18/2016	6,267.01	4,118.44 L
GILEAD SCIENCES INC	375558103	855	10/2/2015	83,353.99	3/14/2016	76,924.81	-6,429.18 S
GRACE (W.R.) & CO NEW	38388F108	50	12/14/2011	2,046.78	1/12/2016	4,650.13	2,603.35 L
GRACE (W R) & CO NEW	38388F108	15	12/14/2011	614.04	1/13/2016	1,394.98	780.94 L
GRACE (W R) & CO NEW	38388F108	45	12/28/2011	1,993.86	1/14/2016	4,184.94	2,191.08 L
GRACE (W.R.) & CO NEW	38388F108	85	12/28/2011	3,766.17	1/13/2016	7,904.88	4,138.71 L
GRACE (W R) & CO NEW	38388F108	100	4/19/2012	5,597.80	1/19/2016	9,304.91	3,707.11 L
GRACE (W.R.) & CO NEW	38388F108	20	4/19/2012	1,119.56	1/14/2016	1,859.98	740.42 L
HAEMONETICS CORP MASS	405024100	40	3/15/2011	1,263.49	8/5/2016	1,413.39	149.9 L
HAEMONETICS CORP MASS	405024100	465	3/16/2011	14,670.91	8/5/2016	16,430.60	1,759.69 L
HAEMONETICS CORP MASS	405024100	85	3/16/2011	2,681.78	8/18/2016	3,003.06	321.28 L
HAEMONETICS CORP MASS	405024100	39	6/3/2011	1,289.90	8/18/2016	1,377.88	87.98 L
HAEMONETICS CORP MASS	405024100	293	6/3/2011	9,690.81	11/7/2016	10,792.44	1,101.63 L
HARTFORD FINCL SERVICES	GROUP INC 416515104	181	1/29/2014	6,007.46	8/5/2016	7,331.01	1,323.55 L
HARTFORD FINCL SERVICES	GROUP INC 416515104	97	1/29/2014	3,219.47	8/18/2016	3,887.78	668.31 L
HASBRO INC	418056107	50	8/4/2009	1,310.95	8/5/2016	4,079.28	2,768.33 L
HASBRO INC	418056107	7	8/6/2009	183.43	8/18/2016	564.54	381.11 L
HASBRO INC	418056107	183	8/6/2009	4,795.27	8/5/2016	14,930.17	10,134.90 L
HASBRO INC	418056107	80	10/15/2009	2,287.00	8/18/2016	6,451.87	4,164.87 L
HASBRO INC	418056107	9	12/2/2009	275.37	8/18/2016	725.83	450.46 L

NEW BALANCE FOUNDATION

TIN: 04-6470644

HCP INC	40414L109	265	8/4/2009	6,411.50	2/12/2016	6,948.20	536.7 L
HCP INC	40414L109	255	8/6/2009	6,552.83	2/12/2016	6,686.00	133.17 L
HCP INC	40414L109	30	8/6/2009	770.92	5/5/2016	1,034.06	263.14 L
HCP INC	40414L109	55	10/15/2009	1,619.07	5/5/2016	1,895.78	276.71 L
HCP INC	40414L109	225	10/21/2011	8,245.29	5/5/2016	7,755.44	-489.85 L
HCP INC	40414L109	715	6/17/2015	26,822.44	5/5/2016	24,645.09	-2,177.35 S
HCP INC	40414L109	65	6/19/2015	2,441.51	5/5/2016	2,240.46	-201.05 S
HERSHEY CO	427866108	166	11/25/2015	14,442.00	8/5/2016	18,488.08	4,046.08 S
HERSHEY CO	427866108	28	11/25/2015	2,436.00	8/18/2016	3,153.88	717.88 S
HESS CORP	42809H107	305	1/29/2016	12,706.97	8/5/2016	16,498.07	3,791.10 S
HESS CORP	42809H107	109	1/29/2016	4,541.18	8/18/2016	6,266.68	1,725.50 S
HILTON WORLDWIDE	HOLDINGS IN 43300A104	932	3/13/2015	26,296.87	11/23/2016	23,215.61	-3,081.26 L
HILTON WORLDWIDE	HOLDINGS IN 43300A104	789	3/13/2015	22,262.06	8/30/2016	18,812.19	-3,449.87 L
HILTON WORLDWIDE	HOLDINGS IN 43300A104	1,219.00	3/13/2015	34,394.73	2/16/2016	23,018.28	-11,376.45 S
HOME DEPOT INC	437076102	192	3/13/2015	22,151.27	12/18/2015	25,153.86	3,002.59 S
HOME DEPOT INC	437076102	39	3/13/2015	4,499.47	2/16/2016	4,638.17	138.7 S
HOME DEPOT INC	437076102	55	3/30/2016	7,341.35	10/11/2016	6,951.90	-389.45 S
HOME DEPOT INC	437076102	95	3/31/2016	12,697.90	10/11/2016	12,007.84	-690.06 S
HONEYWELL INTL INC	438516106	43	9/14/2015	4,265.19	8/30/2016	5,026.20	761.01 S
HONEYWELL INTL INC	438516106	113	9/14/2015	11,208.52	12/18/2015	11,593.60	385.08 S
HONEYWELL INTL INC	438516106	347	9/14/2015	34,419.08	2/16/2016	36,520.67	2,101.59 S
HONEYWELL INTL INC	438516106	300	4/22/2016	33,864.66	10/11/2016	31,661.34	-2,203.32 S
HUNTINGTON BANCSHARES	446150104	2,303.00	3/9/2016	21,530.29	8/5/2016	22,373.16	842.87 S
HUNTINGTON BANCSHARES	446150104	379	3/9/2016	3,543.19	8/18/2016	3,620.77	77.58 S
ILLINOIS TOOL WORKS INC	452308109	182	3/13/2015	17,768.22	12/18/2015	16,740.07	-1,028.15 S
ILLINOIS TOOL WORKS INC	452308109	401	3/13/2015	39,148.67	2/16/2016	37,276.72	-1,871.95 S
ILLINOIS TOOL WORKS INC	452308109	822	3/13/2015	80,249.88	6/14/2016	87,262.74	7,012.86 L
ILLINOIS TOOL WORKS INC	452308109	482	3/31/2015	46,792.46	6/14/2016	51,168.66	4,376.20 L
ILLINOIS TOOL WORKS INC	452308109	524	4/1/2015	50,759.88	6/14/2016	55,627.34	4,867.46 L
ILLINOIS TOOL WORKS INC	452308109	27	5/19/2015	2,616.57	6/14/2016	2,866.29	249.72 L
ILLINOIS TOOL WORKS INC	452308109	490	8/14/2015	43,801.10	6/14/2016	52,017.94	8,216.84 S
ILLINOIS TOOL WORKS INC	452308109	596	1/27/2016	50,956.62	6/14/2016	63,270.79	12,314.17 S
ILLINOIS TOOL WORKS INC	452308109	154	3/31/2016	15,774.62	6/14/2016	16,348.49	573.87 S
ILLINOIS TOOL WORKS INC	452308109	269	4/1/2016	27,529.46	6/14/2016	28,556.78	1,027.32 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

ILLINOIS TOOL WORKS INC	452308109	103	4/4/2016	10,595.69	6/14/2016	10,934.39	338.7 S
ILLINOIS TOOL WORKS INC	452308109	49	4/5/2016	4,973.45	6/14/2016	5,201.79	228.34 S
INTEL CORP	458140100	150	4/30/2013	3,559.45	10/11/2016	5,591.89	2,032.44 L
INTEL CORP	458140100	100	5/1/2013	2,404.58	10/11/2016	3,727.93	1,323.35 L
INTEL CORP	458140100	800	7/22/2014	27,862.00	10/11/2016	29,823.43	1,961.43 L
INTEL CORP	458140100	455	3/30/2016	14,890.55	10/11/2016	16,962.08	2,071.53 S
INTEL CORP	458140100	845	3/31/2016	27,392.20	10/11/2016	31,501.00	4,108.80 S
INTL BUSINESS MACH	459200101	600	2/4/2015	94,724.30	10/11/2016	93,154.57	-1,569.73 L
INVECO LTD	G491BT108	440	1/14/2011	10,975.32	1/27/2016	12,905.40	1,930.08 L
INVECO LTD	G491BT108	5	1/14/2011	124.72	2/3/2016	136.66	11.94 L
INVECO LTD	G491BT108	389	1/18/2011	9,713.99	2/3/2016	10,632.07	918.08 L
INVECO LTD	G491BT108	281	1/18/2011	7,017.05	8/5/2016	8,323.79	1,306.74 L
INVECO LTD	G491BT108	228	2/3/2011	5,630.81	8/5/2016	6,753.83	1,123.02 L
INVECO LTD	G491BT108	102	2/3/2011	2,519.04	8/18/2016	3,052.81	533.77 L
INVECO LTD	G491BT108	15	2/17/2011	408.75	8/18/2016	448.95	40.2 L
INVECO LTD	G491BT108	38	5/6/2013	1,238.38	8/18/2016	1,137.32	-101.06 L
INVECO LTD	G491BT108	361	3/13/2015	14,518.31	11/23/2016	11,409.16	-3,109.15 L
IPG PHOTONICS CORP	44980X109	41	3/18/2016	3,876.99	8/5/2016	3,512.02	-364.97 S
IPG PHOTONICS CORP	44980X109	15	3/18/2016	1,418.41	8/18/2016	1,267.19	-151.22 S
ISHARES MSCI EUROZONE ETF	464286608	25,000.00	3/27/2015	974,998.08	3/30/2016	873,242.46	-101,755.62 L
ITC HOLDINGS CORP	*MERGER 10/ 465685105	398	11/17/2015	12,504.64	2/12/2016	15,428.93	2,924.29 S
ITC HOLDINGS CORP	*MERGER 10/ 465685105	201	11/18/2015	6,320.06	2/12/2016	7,791.99	1,471.93 S
ITC HOLDINGS CORP	*MERGER 10/ 465685105	100	11/19/2015	3,210.00	2/12/2016	3,876.62	666.62 S
ITC HOLDINGS CORP	*MERGER 10/ 465685105	280	11/20/2015	9,133.74	2/12/2016	10,854.52	1,720.78 S
JANUS CAPITAL GROUP INC	47102X105	1,078.00	7/25/2013	9,926.01	8/5/2016	16,131.92	6,205.91 L
JANUS CAPITAL GROUP INC	47102X105	247	7/25/2013	2,274.32	8/18/2016	3,619.41	1,345.09 L
JANUS CAPITAL GROUP INC	47102X105	510	7/25/2013	4,695.98	10/26/2016	6,632.10	1,936.12 L
JOHNSON & JOHNSON COM	478160104	200	7/20/2012	13,707.36	10/11/2016	23,582.49	9,875.13 L
JOHNSON & JOHNSON COM	478160104	100	10/12/2012	6,837.67	10/11/2016	11,791.24	4,953.57 L
JOHNSON & JOHNSON COM	478160104	500	7/22/2014	51,233.45	10/11/2016	58,956.21	7,722.76 L
JOHNSON & JOHNSON COM	478160104	270	3/30/2016	29,453.30	9/8/2016	32,245.40	2,792.10 S
JOHNSON & JOHNSON COM	478160104	30	3/31/2016	3,247.99	9/8/2016	3,582.82	334.83 S
JOHNSON & JOHNSON COM	478160104	500	3/31/2016	54,133.15	10/11/2016	58,956.22	4,823.07 S
JPMORGAN CHASE & CO	46625H100	650	7/22/2014	38,152.94	10/11/2016	44,305.11	6,152.17 L

NEW BALANCE FOUNDATION

TIN: 04-6470644

JPMORGAN CHASE & CO	46625H100	250	3/30/2016	14,939.15	10/11/2016	17,040.43	2,101.28 S
JPMORGAN CHASE & CO	46625H100	450	3/31/2016	26,697.02	10/11/2016	30,672.77	3,975.75 S
KOHL'S CORP	500255104	310	2/6/2013	14,151.81	2/4/2016	13,022.86	-1,128.95 L
KOHL'S CORP	500255104	265	2/6/2013	12,097.52	5/12/2016	9,507.12	-2,590.40 L
KOHL'S CORP	500255104	100	2/13/2013	4,635.88	5/12/2016	3,587.59	-1,038.29 L
KOHL'S CORP	500255104	180	2/13/2013	8,326.58	8/5/2016	7,087.02	-1,239.56 L
KOHL'S CORP	500255104	87	10/2/2013	4,473.74	8/5/2016	3,425.39	-1,048.35 L
KOHL'S CORP	500255104	198	10/2/2013	10,181.62	8/17/2016	8,937.50	-1,244.12 L
KOHL'S CORP	500255104	225	10/13/2015	10,292.96	8/17/2016	10,156.26	-136.7 S
KRAFT HEINZ CO/THE	500754106	100	7/22/2014	5,979.69	10/11/2016	8,763.59	2,783.90 L
KRAFT HEINZ CO/THE	500754106	200	3/30/2016	15,788.08	10/11/2016	17,527.17	1,739.09 S
KRAFT HEINZ CO/THE	500754106	300	3/31/2016	23,563.89	10/11/2016	26,290.77	2,726.88 S
LEIDOS HLDGS INC	525327102	399.25	1/29/2013	13,512.81	1/27/2016	18,380.65	4,867.84 L
LEIDOS HLDGS INC	525327102	293.75	2/14/2013	9,914.38	1/27/2016	13,523.65	3,609.27 L
LIBERTY BRAVES GROUP	531229888	67	9/19/2014	1,437.04	8/1/2016	1,072.23	-364.81 L
LIBERTY BRAVES GROUP	531229888	0.5	9/19/2014	10.72	4/18/2016	8.72	-2 L
LIBERTY BRAVES GROUP	531229888	42	12/2/2014	890.14	8/1/2016	672.14	-218 L
LIBERTY BRAVES GROUP	531229888	57	6/29/2015	1,202.94	8/1/2016	912.2	-290.74 L
LIBERTY BRAVES GROUP	531229888	79	6/16/2016	1,011.21	8/1/2016	1,264.27	253.06 S
LIBERTY BROADBAND CORP	530307305	161	9/19/2014	8,066.01	8/5/2016	10,120.40	2,054.39 L
LIBERTY BROADBAND CORP	530307305	147	12/5/2014	7,900.38	8/5/2016	9,240.37	1,339.99 L
LIBERTY BROADBAND CORP	530307305	38	12/5/2014	2,042.28	8/18/2016	2,562.67	520.39 L
LIBERTY BROADBAND CORP	530307305	64	12/8/2014	3,439.20	8/18/2016	4,316.07	876.87 L
LIBERTY MEDIA GROUP	531229854	0.25	9/19/2014	5.4	4/18/2016	4.45	-0.95 L
LIBERTY MEDIA GROUP	531229854	168.5	9/19/2014	3,637.72	5/26/2016	3,200.28	-437.44 L
LIBERTY MEDIA GROUP	531229854	41.5	12/2/2014	885.31	5/26/2016	788.2	-97.11 L
LIBERTY MEDIA GROUP	531229854	63.5	12/2/2014	1,354.64	5/27/2016	1,208.26	-146.38 L
LIBERTY MEDIA GROUP	531229854	142.5	6/29/2015	3,027.05	5/27/2016	2,711.44	-315.61 S
LIBERTY SIRIUS GROUP	531229607	675	9/19/2014	19,615.58	8/5/2016	22,619.30	3,003.72 L
LIBERTY SIRIUS GROUP	531229607	140	12/2/2014	4,020.18	8/18/2016	4,589.24	569.06 L
LIBERTY SIRIUS GROUP	531229607	138	12/2/2014	3,962.75	8/5/2016	4,624.39	661.64 L
LOCKHEED MARTIN CORP	539830109	65	3/30/2016	14,488.50	10/11/2016	15,139.14	650.64 S
LOCKHEED MARTIN CORP	539830109	35	3/31/2016	7,756.62	10/11/2016	8,151.84	395.22 S
M & T BANK CORP	55261F104	76	10/13/2011	5,648.63	8/5/2016	8,912.71	3,264.08 L

NEW BALANCE FOUNDATION

TIM: 04-6470644

M & T BANK CORP	55261F104	33	10/17/2011	2,466.57	8/5/2016	3,869.99	1,403.42 L
M & T BANK CORP	55261F104	37	10/17/2011	2,765.55	8/18/2016	4,338.53	1,572.98 L
MAGELLAN MIDSTREAM PARTNERS	559080106	550	2/18/2016	35,741.75	4/28/2016	38,606.41	2,864.66 S
MAGELLAN MIDSTREAM PARTNERS	559080106	575	3/31/2016	38,888.52	4/28/2016	40,361.25	1,472.73 S
MATTEL INC	577081102	15	8/4/2009	201.99	8/5/2016	508.86	306.87 L
MATTEL INC	577081102	300	8/6/2009	3,971.40	8/5/2016	10,177.28	6,205.88 L
MATTEL INC	577081102	100	10/15/2009	1,522.73	8/5/2016	3,392.43	1,869.70 L
MATTEL INC	577081102	340	3/10/2010	6,359.90	8/5/2016	11,534.25	5,174.35 L
MATTEL INC	577081102	109	4/21/2010	2,116.42	8/18/2016	3,615.86	1,499.44 L
MATTEL INC	577081102	346	4/21/2010	6,718.18	8/5/2016	11,737.80	5,019.62 L
MCDONALDS CORP	580135101	387	11/7/2012	33,732.42	4/21/2016	48,782.88	15,050.46 L
MCDONALDS CORP	580135101	13	11/7/2012	1,133.13	4/21/2016	1,664.03	530.9 L
MCDONALDS CORP	580135101	500	5/1/2013	50,834.30	4/21/2016	63,026.99	12,192.69 L
MCDONALDS CORP	580135101	1,200.00	7/22/2014	115,458.00	4/21/2016	151,264.75	35,806.75 L
MCDONALDS CORP	580135101	115	3/30/2016	14,474.99	4/21/2016	14,496.21	21.22 S
MCDONALDS CORP	580135101	210	3/31/2016	26,431.92	4/21/2016	26,471.33	39.41 S
MCKESSON CORP	58155Q103	27	4/7/2010	1,782.47	1/13/2016	4,451.41	2,668.94 L
MCKESSON CORP	58155Q103	125	4/7/2010	8,252.16	8/5/2016	24,334.60	16,082.44 L
MCKESSON CORP	58155Q103	50	5/17/2010	3,409.84	8/5/2016	9,733.84	6,324.00 L
MCKESSON CORP	58155Q103	37	5/17/2010	2,523.28	8/18/2016	7,219.28	4,696.00 L
MCKESSON CORP	58155Q103	290	3/13/2015	65,394.97	11/14/2016	41,780.29	-23,614.68 L
MCKESSON CORP	58155Q103	275	3/13/2015	62,012.47	8/30/2016	50,181.13	-11,831.34 L
MCKESSON CORP	58155Q103	127	3/13/2015	28,638.49	12/18/2015	24,306.09	-4,332.40 S
MCKESSON CORP	58155Q103	205	3/31/2015	46,787.13	11/14/2016	29,534.34	-17,252.79 L
MCKESSON CORP	58155Q103	228	4/1/2015	50,613.63	11/14/2016	32,847.95	-17,765.68 L
MCKESSON CORP	58155Q103	11	5/19/2015	2,666.62	11/14/2016	1,584.77	-1,081.85 L
MCKESSON CORP	58155Q103	160	8/14/2015	34,409.60	11/14/2016	23,051.19	-11,358.41 L
MCKESSON CORP	58155Q103	75	10/13/2015	14,505.00	11/14/2016	10,805.25	-3,699.75 L
MCKESSON CORP	58155Q103	69	11/17/2015	12,739.35	11/14/2016	9,940.83	-2,798.52 S
MCKESSON CORP	58155Q103	373	1/27/2016	63,411.87	11/14/2016	53,738.09	-9,673.78 S
MCKESSON CORP	58155Q103	99	2/16/2016	15,121.98	11/14/2016	14,262.93	-859.05 S
MCKESSON CORP	58155Q103	215	3/31/2016	34,119.36	11/14/2016	30,975.04	-3,144.32 S
MCKESSON CORP	58155Q103	196	4/1/2016	30,742.46	11/14/2016	28,237.71	-2,504.75 S
MCKESSON CORP	58155Q103	66	4/4/2016	10,545.41	11/14/2016	9,508.62	-1,036.79 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

MCKESSON CORP	58155Q103	23	4/5/2016	3,597.20	11/14/2016	3,313.61	-283.59 S
MEDTRONIC PLC	G5960L103	526	3/13/2015	39,718.59	8/30/2016	45,682.10	5,963.51 L
MEDTRONIC PLC	G5960L103	202	3/13/2015	15,253.14	12/18/2015	15,545.02	291.88 S
MEDTRONIC PLC	G5960L103	127	3/13/2015	9,589.85	2/16/2016	9,490.61	-99.24 S
MERCK & CO INC NEW COM	58933Y105	1,000.00	7/22/2014	58,547.50	10/11/2016	62,440.54	3,893.04 L
MERCK & CO INC NEW COM	58933Y105	560	3/30/2016	29,818.26	10/11/2016	34,966.70	5,148.44 S
MERCK & CO INC NEW COM	58933Y105	1,015.00	3/31/2016	53,801.80	10/11/2016	63,377.14	9,575.34 S
MPLX LP MLP	55336V100	450	2/18/2016	10,077.66	4/28/2016	14,510.34	4,432.68 S
MPLX LP MLP	55336V100	325	3/31/2016	9,243.94	4/28/2016	10,479.69	1,235.75 S
MYLAN N V EUR	N59465109	498	8/10/2015	28,754.64	12/18/2015	26,311.35	-2,443.29 S
NATL FUEL GAS CO	636180101	90	12/7/2012	4,731.76	8/5/2016	5,078.67	346.91 L
NATL FUEL GAS CO	636180101	220	12/10/2012	11,714.54	8/5/2016	12,414.51	699.97 L
NATL FUEL GAS CO	636180101	85	12/11/2012	4,522.68	8/5/2016	4,796.52	273.84 L
NATL FUEL GAS CO	636180101	70	12/13/2012	3,726.06	8/5/2016	3,950.07	224.01 L
NATL FUEL GAS CO	636180101	131	2/13/2013	7,624.46	8/5/2016	7,392.28	-232.18 L
NATL FUEL GAS CO	636180101	84	2/13/2013	4,888.97	8/18/2016	4,871.90	-17.07 L
NATL FUEL GAS CO	636180101	4	5/17/2013	255.2	8/18/2016	231.99	-23.21 L
NEW YORK CMINTY BANCORP	649445103	303	12/2/2009	3,557.04	8/5/2016	4,405.82	848.78 L
NEW YORK CMINTY BANCORP	649445103	363	12/2/2009	4,261.40	8/18/2016	5,221.17	959.77 L
NISOURCE INC	65473P105	185	8/6/2009	860.64	8/5/2016	4,636.92	3,776.28 L
NISOURCE INC	65473P105	310	10/15/2009	1,530.72	8/5/2016	7,769.98	6,239.26 L
NISOURCE INC	65473P105	253	8/26/2015	4,124.38	8/5/2016	6,341.31	2,216.93 S
NISOURCE INC	65473P105	110	8/26/2015	1,793.21	8/18/2016	2,741.25	948.04 S
O REILLY AUTOMOTIVE INC	67103H107	13	3/13/2015	2,722.59	11/23/2016	3,579.21	856.62 L
O REILLY AUTOMOTIVE INC	67103H107	79	3/13/2015	16,544.97	2/16/2016	20,256.49	3,711.52 S
OLD REPUBLIC INTL CORP	680223104	280	11/16/2012	2,762.14	2/19/2016	5,041.91	2,279.77 L
OLD REPUBLIC INTL CORP	680223104	100	12/5/2012	1,062.00	2/19/2016	1,800.68	738.68 L
OLD REPUBLIC INTL CORP	680223104	685	12/13/2012	7,274.15	2/19/2016	12,334.66	5,060.51 L
OLD REPUBLIC INTL CORP	680223104	120	12/14/2012	1,274.40	2/19/2016	2,160.82	886.42 L
OLD REPUBLIC INTL CORP	680223104	450	5/6/2013	6,174.14	2/19/2016	8,103.06	1,928.92 L
OLD REPUBLIC INTL CORP	680223104	130	5/8/2013	1,815.94	2/19/2016	2,340.89	524.95 L
OMNICOM GROUP INC	681919106	125	5/17/2010	5,083.16	8/5/2016	10,268.08	5,184.92 L
OMNICOM GROUP INC	681919106	81	8/25/2011	3,138.32	8/18/2016	6,811.22	3,672.90 L
OMNICOM GROUP INC	681919106	214	8/25/2011	8,291.39	8/5/2016	17,578.94	9,287.55 L

NEW BALANCE FOUNDATION

TIN: 04-6470644

ORBITAL ATK INC COM	68557N103	125	3/18/2016	10,254.11	8/5/2016	10,921.11	667 S
ORBITAL ATK INC COM	68557N103	68	3/18/2016	5,578.24	8/18/2016	4,903.38	-674.86 S
PAREXEL INTL CORP	699462107	64	8/18/2015	4,743.68	12/18/2015	4,151.65	-592.03 S
PAREXEL INTL CORP	699462107	413	8/18/2015	30,611.56	8/30/2016	29,168.93	-1,442.63 L
PBF ENERGY INC CL A	69318G106	424	6/30/2016	9,886.83	8/5/2016	9,655.84	-230.99 S
PBF ENERGY INC CL A	69318G106	142	6/30/2016	3,311.16	8/18/2016	3,307.65	-3.51 S
PEPSICO INC	713448108	520	7/9/2015	49,456.35	10/11/2016	54,851.00	5,394.65 L
PEPSICO INC	713448108	290	3/30/2016	29,796.46	10/11/2016	30,589.99	793.53 S
PEPSICO INC	713448108	490	3/31/2016	50,259.30	10/11/2016	51,686.52	1,427.22 S
PFIZER INC	717081103	5,050.00	5/30/2012	111,604.47	1/4/2016	160,262.67	48,658.20 L
PFIZER INC	717081103	800	7/20/2012	19,038.88	1/4/2016	25,388.14	6,349.26 L
PFIZER INC	717081103	32	10/9/2012	809.84	1/4/2016	1,015.53	205.69 L
PFIZER INC	717081103	1,668.00	10/9/2012	42,212.91	1/6/2016	52,965.96	10,753.05 L
PFIZER INC	717081103	1,000.00	10/12/2012	25,147.50	1/6/2016	31,754.17	6,606.67 L
PFIZER INC	717081103	500	10/15/2012	12,668.50	1/6/2016	15,877.09	3,208.59 L
PFIZER INC	717081103	500	4/30/2013	14,850.00	1/6/2016	15,877.08	1,027.08 L
PFIZER INC	717081103	300	5/1/2013	8,760.00	1/6/2016	9,526.25	766.25 L
PFIZER INC	717081103	1,900.00	7/22/2014	57,945.06	1/6/2016	60,332.93	2,387.87 L
PHILLIPS 66 PARTNERS LP	718549207	275	2/18/2016	15,569.70	4/28/2016	16,717.87	1,148.17 S
PHILLIPS 66 PARTNERS LP	718549207	250	3/31/2016	15,600.00	4/28/2016	15,198.07	-401.93 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	50,000.00	1/28/2013	1,250,000.00	6/8/2016	960,165.37	-289,834.63 L
PIMCO DYNAMIC CREDIT & MORTG	72202D106	378	1/2/2015	7,803.97	6/8/2016	7,258.85	-545.12 L
PIMCO DYNAMIC CREDIT & MORTG	72202D106	1,464.00	1/16/2015	29,944.65	6/8/2016	28,113.65	-1,831.00 L
PIMCO DYNAMIC CREDIT & MORTG	72202D106	384	2/2/2015	7,870.90	6/8/2016	7,374.07	-496.83 L
PIMCO DYNAMIC CREDIT & MORTG	72202D106	394	3/2/2015	8,147.73	6/8/2016	7,566.10	-581.63 L
PIMCO DYNAMIC CREDIT & MORTG	72202D106	401	4/1/2015	8,209.37	6/8/2016	7,700.53	-508.84 L
PIMCO DYNAMIC CREDIT & MORTG	72202D106	390	5/1/2015	8,267.61	6/8/2016	7,489.28	-778.33 L
PIMCO DYNAMIC CREDIT & MORTG	72202D106	401	6/1/2015	8,333.02	6/8/2016	7,700.53	-632.49 L
PIMCO DYNAMIC CREDIT & MORTG	72202D106	414	7/1/2015	8,390.49	6/8/2016	7,950.17	-440.32 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	430	8/3/2015	8,456.39	6/8/2016	8,257.42	-198.97 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	454	9/1/2015	8,522.02	6/8/2016	8,718.30	196.28 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	495	10/1/2015	9,034.65	6/8/2016	9,505.64	470.99 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	484	11/2/2015	9,111.04	6/8/2016	9,294.40	183.36 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	500	12/1/2015	9,186.39	6/8/2016	9,601.66	415.27 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

PIMCO DYNAMIC CREDIT & MORTG	72202D106	517	1/4/2016	9,283.90	6/8/2016	9,928.11	644.21 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	536	2/1/2016	9,365.00	6/8/2016	10,292.97	927.97 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	552	3/1/2016	9,452.45	6/8/2016	10,600.22	1,147.77 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	548	4/1/2016	9,535.73	6/8/2016	10,523.42	987.69 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	524	5/2/2016	9,628.07	6/8/2016	10,062.53	434.46 S
PIMCO DYNAMIC CREDIT & MORTG	72202D106	523	6/1/2016	9,721.11	6/8/2016	10,043.33	322.22 S
PIMCO HIGH YIELD FUND	CLASS P	245,398.77	2/29/2016	2,000,000.00	6/8/2016	2,107,975.46	107,975.46 S
PIMCO HIGH YIELD FUND	CLASS P	1,064.19	3/31/2016	8,907.29	6/8/2016	9,141.41	234.12 S
PIMCO HIGH YIELD FUND	CLASS P	1,093.74	4/29/2016	9,340.55	6/8/2016	9,395.24	54.69 S
PIMCO HIGH YIELD FUND	CLASS P	1,107.34	5/31/2016	9,434.53	6/8/2016	9,512.04	77.51 S
PLAINS ALL AMER PIPELINE	LP UNIT LTC	1,000.00	2/18/2016	20,107.60	4/28/2016	22,742.20	2,634.60 S
PLAINS ALL AMER PIPELINE	LP UNIT LTC	1,100.00	3/31/2016	22,931.48	4/28/2016	25,016.43	2,084.95 S
PLAINS GP HOLDINGS LP	*REVERSE SF	3,400.00	2/18/2016	24,437.16	4/28/2016	35,058.34	10,621.18 S
PLAINS GP HOLDINGS LP	*REVERSE SF	200	3/7/2016	1,622.26	4/28/2016	2,062.25	439.99 S
PLAINS GP HOLDINGS LP	*REVERSE SF	2,625.00	3/31/2016	22,069.43	4/28/2016	27,067.10	4,997.67 S
PLAINS GP HOLDINGS LP	*REVERSE SF	275	3/31/2016	2,367.28	4/28/2016	2,835.60	468.32 S
PROCTER & GAMBLE CO	742718109	650	7/22/2014	52,069.49	10/11/2016	57,551.83	5,482.34 L
PROCTER & GAMBLE CO	742718109	360	3/30/2016	29,774.38	10/11/2016	31,874.86	2,100.48 S
PROCTER & GAMBLE CO	742718109	640	3/31/2016	52,733.89	10/11/2016	56,666.41	3,932.52 S
PUTNAM CAPITAL SPECTRUM	FUND CL	213,917.36	11/22/2011	4,722,434.34	3/30/2016	6,761,927.60	2,039,493.26 L
PUTNAM CAPITAL SPECTRUM	FUND CL	2,805.44	12/8/2011	63,371.26	3/30/2016	88,680.12	25,308.86 L
PUTNAM CAPITAL SPECTRUM	FUND CL	4,354.72	12/8/2011	98,367.32	3/30/2016	137,652.69	39,285.37 L
PUTNAM CAPITAL SPECTRUM	FUND CL	4,773.44	12/8/2011	107,825.73	3/30/2016	150,888.54	43,062.81 L
PUTNAM CAPITAL SPECTRUM	FUND CL	49,453.20	7/20/2012	1,243,857.88	3/30/2016	1,563,215.52	319,357.64 L
PUTNAM CAPITAL SPECTRUM	FUND CL	10,183.89	7/20/2012	256,147.37	2/29/2016	317,329.86	61,182.49 L
PUTNAM CAPITAL SPECTRUM	FUND CL	3,280.42	12/6/2012	87,126.42	2/29/2016	102,217.90	15,091.48 L
PUTNAM CAPITAL SPECTRUM	FUND CL	8,310.40	12/6/2012	220,720.29	2/29/2016	258,952.03	38,231.74 L
PUTNAM CAPITAL SPECTRUM	FUND CL	1,137.21	12/6/2012	30,203.83	2/29/2016	35,435.54	5,231.71 L
PUTNAM CAPITAL SPECTRUM	FUND CL	820.93	12/6/2013	28,801.71	2/29/2016	25,580.13	-3,221.58 L
PUTNAM CAPITAL SPECTRUM	FUND CL	4,059.04	12/6/2013	142,408.49	2/29/2016	126,479.54	-15,928.95 L
PUTNAM CAPITAL SPECTRUM	FUND CL	3,089.88	12/6/2013	108,406.46	2/29/2016	96,280.77	-12,125.69 L
PUTNAM CAPITAL SPECTRUM	FUND CL	7,636.84	12/5/2014	297,684.14	2/29/2016	237,964.03	-59,720.11 L
PUTNAM CAPITAL SPECTRUM	FUND CL	6,425.09	12/5/2014	250,450.12	2/29/2016	200,205.90	-50,244.22 L
PUTNAM CAPITAL SPECTRUM	FUND CL	1,013.68	12/7/2015	34,586.76	2/29/2016	31,586.26	-3,000.50 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

PUTNAM CAPITAL SPECTRUM	FUND CL	74676P151	3,378.93	12/7/2015	115,289.23	2/29/2016	105,287.59	-10,001.64 S
PUTNAM CAPITAL SPECTRUM	FUND CL	74676P151	14,848.54	12/7/2015	506,632.11	2/29/2016	462,680.45	-43,951.66 S
PUTNAM SHORT DURATION	INCOME	74676P698	60,058.87	4/12/2016	602,390.44	8/26/2016	603,591.61	1,201.17 S
PUTNAM SHORT DURATION	INCOME	74676P698	99,601.59	4/12/2016	999,003.99	6/8/2016	1,000,000.00	996.01 S
PUTNAM SHORT DURATION	INCOME	74676P698	99,601.59	4/12/2016	999,003.99	6/14/2016	1,000,000.00	996.01 S
PUTNAM SHORT DURATION	INCOME	74676P698	39,840.64	4/12/2016	399,601.58	6/20/2016	400,000.00	398.42 S
PUTNAM SHORT DURATION	INCOME	74676P698	134.95	4/29/2016	1,354.87	8/26/2016	1,356.22	1.35 S
PUTNAM SHORT DURATION	INCOME	74676P698	213.68	5/31/2016	2,145.30	8/26/2016	2,147.43	2.13 S
PUTNAM SHORT DURATION	INCOME	74676P698	106.22	6/30/2016	1,066.46	8/26/2016	1,067.52	1.06 S
PUTNAM SHORT DURATION	INCOME	74676P698	45.42	7/29/2016	456.42	8/26/2016	456.43	0.01 S
PUTNAM SHORT DURATION	INCOME	74676P698	68,794.11	8/17/2016	691,380.80	8/26/2016	691,380.79	-0.01 S
PUTNAM SHORT DURATION	INCOME	74676P698	92,039.80	8/17/2016	925,000.00	9/27/2016	925,000.00	0 S
PUTNAM SHORT DURATION	INCOME	74676P698	9,950.25	8/17/2016	100,000.00	9/9/2016	100,000.00	0 S
QUEST DIAGNOSTICS INC		74834L100	145	2/25/2014	7,718.81	6/17/2016	11,043.86	3,325.05 L
QUEST DIAGNOSTICS INC		74834L100	64	2/25/2014	3,406.93	6/20/2016	4,993.58	1,586.65 L
QUEST DIAGNOSTICS INC		74834L100	200	3/28/2014	11,473.68	6/20/2016	15,604.92	4,131.24 L
QUEST DIAGNOSTICS INC		74834L100	50	10/13/2015	3,150.00	6/20/2016	3,901.23	751.23 S
RED HAT INC		756577102	409	11/17/2015	32,740.61	11/23/2016	32,122.12	-618.49 L
RED HAT INC		756577102	194	11/17/2015	15,529.78	12/18/2015	15,714.31	184.53 S
REGAL ENTERTAINMENT	GROUP CL /	758766109	470	12/26/2012	6,187.63	8/5/2016	10,191.07	4,003.44 L
REGAL ENTERTAINMENT	GROUP CL /	758766109	243	12/27/2012	3,168.01	8/18/2016	5,208.28	2,040.27 L
REGAL ENTERTAINMENT	GROUP CL /	758766109	664	12/27/2012	8,656.62	8/5/2016	14,397.59	5,740.97 L
ROCKWELL AUTOMATION INC	NEW	773903109	399	3/13/2015	44,727.90	11/23/2016	52,646.66	7,918.76 L
ROCKWELL AUTOMATION INC	NEW	773903109	90	3/13/2015	10,089.00	8/30/2016	10,544.71	455.71 L
ROCKWELL AUTOMATION INC	NEW	773903109	24	3/13/2015	2,690.40	12/18/2015	2,411.74	-278.66 S
ROCKWELL AUTOMATION INC	NEW	773903109	285	3/13/2015	31,948.50	2/16/2016	28,385.89	-3,562.61 S
ROCKWELL COLLINS INC		774341101	50	7/30/2009	2,109.00	2/3/2016	3,926.45	1,817.45 L
ROCKWELL COLLINS INC		774341101	105	8/4/2009	4,508.60	2/3/2016	8,245.55	3,736.95 L
ROCKWELL COLLINS INC		774341101	13	8/6/2009	570.04	2/3/2016	1,020.88	450.84 L
ROCKWELL COLLINS INC		774341101	102	8/6/2009	4,472.60	8/5/2016	8,697.45	4,224.85 L
ROCKWELL COLLINS INC		774341101	15	10/15/2009	779.95	8/5/2016	1,279.04	499.09 L
ROCKWELL COLLINS INC		774341101	48	2/4/2011	3,156.00	8/18/2016	4,072.23	916.23 L
ROCKWELL COLLINS INC		774341101	59	2/4/2011	3,879.25	8/5/2016	5,030.88	1,151.63 L
ROCKWELL COLLINS INC		774341101	362	3/13/2015	33,582.21	11/23/2016	32,658.71	-923.5 L

NEW BALANCE FOUNDATION

TIN: 04-6470644

ROCKWELL COLLINS INC	774341101	185	3/13/2015	17,162.18	12/18/2015	16,579.41	-582.77 S
ROCKWELL COLLINS INC	774341101	202	3/13/2015	18,739.24	2/16/2016	16,527.28	-2,211.96 S
ROYAL BANK OF CANADA	TRIGGER R 780082806	200,000.00	4/15/2015	2,000,000.00	4/26/2016	1,811,594.75	-188,405.25 L
SEMGROUP CORP CL A	81663A105	1,150.00	2/18/2016	19,706.52	4/28/2016	36,529.65	16,823.13 S
SEMGROUP CORP CL A	81663A105	850	3/31/2016	18,579.47	4/28/2016	27,000.17	8,420.70 S
SHELL MIDSTREAM PARTNERS	L P MLP 822634101	975	2/18/2016	34,572.33	4/28/2016	35,969.50	1,397.17 S
SHELL MIDSTREAM PARTNERS	L P MLP 822634101	1,150.00	3/31/2016	41,348.48	4/28/2016	42,425.57	1,077.09 S
SONOCO PRODUCTS CO	835495102	275	9/22/2011	8,264.19	8/5/2016	14,173.47	5,909.28 L
SONOCO PRODUCTS CO	835495102	53	6/4/2012	1,569.72	8/5/2016	2,731.61	1,161.89 L
SONOCO PRODUCTS CO	835495102	55	6/4/2012	1,628.95	8/18/2016	2,829.70	1,200.75 L
SOUTHERN CO	842587107	217	2/21/2014	9,255.05	11/1/2016	11,079.10	1,824.05 L
SOUTHERN CO	842587107	210	2/21/2014	8,956.50	10/11/2016	10,410.52	1,454.02 L
SOUTHERN CO	842587107	2,673.00	2/21/2014	114,003.40	11/2/2016	133,747.02	19,743.62 L
SOUTHERN CO	842587107	900	2/25/2014	38,012.81	11/2/2016	45,032.66	7,019.85 L
SOUTHERN CO	842587107	600	7/22/2014	26,921.94	10/11/2016	29,744.36	2,822.42 L
SOUTHERN CO	842587107	300	3/30/2016	15,364.50	10/11/2016	14,872.17	-492.33 S
SOUTHERN CO	842587107	525	3/31/2016	27,109.06	10/11/2016	26,026.31	-1,082.75 S
ST JUDE MEDICAL INC	790849103	120	7/30/2009	4,743.60	5/11/2016	9,065.87	4,322.27 L
ST JUDE MEDICAL INC	790849103	270	8/4/2009	10,350.45	5/11/2016	20,398.22	10,047.77 L
ST JUDE MEDICAL INC	790849103	270	8/6/2009	10,268.78	5/11/2016	20,398.22	10,129.44 L
ST JUDE MEDICAL INC	790849103	325	10/15/2009	10,851.26	5/11/2016	24,553.40	13,702.14 L
ST JUDE MEDICAL INC	790849103	185	2/8/2011	8,214.00	5/11/2016	13,976.56	5,762.56 L
STAPLES INC	855030102	1,445.00	5/7/2013	20,117.00	12/8/2015	14,400.90	-5,716.10 L
STAPLES INC	855030102	625	6/12/2013	9,749.88	12/8/2015	6,228.76	-3,521.12 L
STAPLES INC	855030102	305	9/6/2013	4,322.40	12/8/2015	3,039.64	-1,282.76 L
STAPLES INC	855030102	215	10/13/2015	2,587.96	12/8/2015	2,142.69	-445.27 S
STARBUCKS CORP	855244109	200	2/6/2014	7,245.05	10/11/2016	10,598.77	3,353.72 L
STARBUCKS CORP	855244109	200	7/22/2014	7,871.50	10/11/2016	10,598.77	2,727.27 L
STARBUCKS CORP	855244109	62	3/13/2015	2,895.80	12/18/2015	3,638.33	742.53 S
STARBUCKS CORP	855244109	248	3/13/2015	11,583.19	11/23/2016	14,224.99	2,641.80 L
STARBUCKS CORP	855244109	82	3/13/2015	3,829.93	2/16/2016	4,637.02	807.09 S
STARBUCKS CORP	855244109	125	3/30/2016	7,509.54	10/11/2016	6,624.23	-885.31 S
STARBUCKS CORP	855244109	225	3/31/2016	13,467.67	10/11/2016	11,923.61	-1,544.06 S
SUN COMMUNITIES INC	866674104	245	1/11/2012	7,848.48	8/5/2016	18,803.34	10,954.86 L

NEW BALANCE FOUNDATION

TIN: 04-6470644

SUN COMMUNITIES INC	866674104	35	1/12/2012	1,113.68	8/5/2016	2,686.19	1,572.51 L
SUN COMMUNITIES INC	866674104	61	2/24/2012	2,195.80	8/5/2016	4,681.65	2,485.85 L
SUN COMMUNITIES INC	866674104	34	2/24/2012	1,223.89	8/18/2016	2,612.50	1,388.61 L
SUN COMMUNITIES INC	866674104	40	3/20/2012	1,538.96	8/18/2016	3,073.54	1,534.58 L
SUNOCO LOGISTICS	PARTNERS L P	1,325.00	2/18/2016	27,637.12	4/28/2016	37,635.81	9,998.69 S
SUNOCO LOGISTICS	PARTNERS L.P	1,100.00	3/31/2016	27,061.98	4/28/2016	31,244.82	4,182.84 S
SUNTRUST BANKS INC	867914103	125	10/19/2011	2,364.43	8/18/2016	5,343.76	2,979.33 L
SUNTRUST BANKS INC	867914103	553	10/19/2011	10,460.22	8/5/2016	23,840.80	13,380.58 L
SYNCHRONY FINL	871658103	606	9/2/2014	15,542.15	8/5/2016	17,162.16	1,620.01 L
SYNCHRONY FINL	871658103	142	9/2/2014	3,641.89	8/18/2016	3,860.02	218.13 L
SYNCHRONY FINL	871658103	227	9/2/2014	5,821.89	11/16/2016	7,406.19	1,584.30 L
SYNCHRONY FINL	871658103	283	3/23/2016	8,059.78	11/16/2016	9,233.27	1,173.49 S
SYSO CORP	871829107	651	9/27/2012	20,220.39	8/5/2016	33,514.96	13,294.57 L
SYSO CORP	871829107	69	9/27/2012	2,143.17	8/18/2016	3,619.04	1,475.87 L
SYSO CORP	871829107	55	10/26/2012	1,695.48	8/18/2016	2,884.74	1,189.26 L
TARGA RESOURCES	INVESTMENTS	1,600.00	2/18/2016	34,469.12	4/28/2016	64,718.91	30,249.79 S
TARGA RESOURCES	INVESTMENTS	950	3/31/2016	28,035.26	4/28/2016	38,426.85	10,391.59 S
TARGET CORP	87612E106	715	4/21/2016	59,238.22	5/12/2016	54,317.57	-4,920.65 S
TARGET CORP	87612E106	785	4/21/2016	65,037.76	5/13/2016	58,756.78	-6,280.98 S
TEMPLETON GLOBAL TOTAL	RETURN C	159,778.54	7/17/2014	2,165,039.91	2/16/2016	1,720,814.90	-444,225.01 L
TEMPLETON GLOBAL TOTAL	RETURN C	718.54	8/15/2014	9,707.61	2/16/2016	7,738.67	-1,968.94 L
TEMPLETON GLOBAL TOTAL	RETURN C	706.57	9/15/2014	9,538.85	2/16/2016	7,609.78	-1,929.07 L
TEMPLETON GLOBAL TOTAL	RETURN C	1,104.24	10/15/2014	14,587.08	2/16/2016	11,892.72	-2,694.36 L
TEMPLETON GLOBAL TOTAL	RETURN C	881.47	11/17/2014	11,776.41	2/16/2016	9,493.41	-2,283.00 L
TEMPLETON GLOBAL TOTAL	RETURN C	9,914.14	12/15/2014	123,034.46	2/16/2016	106,775.28	-16,259.18 L
TEMPLETON GLOBAL TOTAL	RETURN C	447.09	12/15/2014	5,548.37	2/16/2016	4,815.15	-733.22 L
TEMPLETON GLOBAL TOTAL	RETURN C	230.56	12/15/2014	2,861.27	2/16/2016	2,483.15	-378.12 L
TEMPLETON GLOBAL TOTAL	RETURN C	934.92	1/15/2015	11,621.01	2/16/2016	10,069.05	-1,551.96 L
TEMPLETON GLOBAL TOTAL	RETURN C	988.18	2/17/2015	12,391.83	2/16/2016	10,642.74	-1,749.09 S
TEMPLETON GLOBAL TOTAL	RETURN C	1,110.04	3/16/2015	13,797.74	2/16/2016	11,955.07	-1,842.67 S
TEMPLETON GLOBAL TOTAL	RETURN C	1,029.18	4/15/2015	12,885.35	2/16/2016	11,084.28	-1,801.07 S
TEMPLETON GLOBAL TOTAL	RETURN C	945	5/15/2015	11,803.00	2/16/2016	10,177.61	-1,625.39 S
TEMPLETON GLOBAL TOTAL	RETURN C	796.01	6/15/2015	9,782.98	2/16/2016	8,573.04	-1,209.94 S
TEMPLETON GLOBAL TOTAL	RETURN C	854.66	7/15/2015	10,555.00	2/16/2016	9,204.64	-1,350.36 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

TEMPLETON GLOBAL TOTAL	RETURN C	880208855	853	8/17/2015	10,056.91	2/16/2016	9,186.85	-870.06 S
TEMPLETON GLOBAL TOTAL	RETURN C	880208855	904 38	9/15/2015	10,409.43	2/16/2016	9,740.18	-669.25 S
TEMPLETON GLOBAL TOTAL	RETURN C	880208855	823 69	10/15/2015	9,587.71	2/16/2016	8,871.10	-716.61 S
TEMPLETON GLOBAL TOTAL	RETURN C	880208855	614 22	11/16/2015	7,211.00	2/16/2016	6,615.20	-595.8 S
TEMPLETON GLOBAL TOTAL	RETURN C	880208855	441 11	12/15/2015	5,068.31	2/16/2016	4,750.71	-317.6 S
TEMPLETON GLOBAL TOTAL	RETURN C	880208855	487 06	1/15/2016	5,338.19	2/16/2016	5,245.65	-92.54 S
THERMO FISHER SCIENTIFIC	INC	883556102	97	3/16/2015	12,771.94	8/30/2016	14,780.15	2,008.21 L
THERMO FISHER SCIENTIFIC	INC	883556102	104	3/16/2015	13,693.62	12/18/2015	14,200.09	506.47 S
THERMO FISHER SCIENTIFIC	INC	883556102	22	3/16/2015	2,896.72	2/16/2016	2,807.10	-89.62 S
TIFFANY & CO NEW		886547108	425	5/12/2016	28,138.34	10/11/2016	31,421.64	3,283.30 S
TIME WARNER INC NEW		887317303	763	10/13/2015	55,828.71	11/23/2016	70,564.52	14,735.81 L
TIME WARNER INC NEW		887317303	239	10/13/2015	17,487.63	8/30/2016	18,837.59	1,349.96 S
TJX COS INC NEW		872540109	164	3/13/2015	11,208.24	11/23/2016	13,025.42	1,817.18 L
TJX COS INC NEW		872540109	284	3/13/2015	19,409.38	2/16/2016	20,265.83	856.45 S
TJX COS INC NEW		872540109	290	3/13/2015	19,819.44	12/18/2015	20,172.06	352.62 S
UBS AG	CAR AOS CELG	90275G525	100,000.00	10/16/2015	1,000,000.00	10/24/2016	1,151,170.00	151,170.00 L
UBS AG	TRIGGER PAOS AAPL	90274F577	100,000.00	1/9/2015	1,000,000.00	10/12/2016	1,000,000.00	0 L
UNION PACIFIC CORP		907818108	170	3/31/2016	13,529.67	10/12/2016	16,279.61	2,749.94 S
UNION PACIFIC CORP		907818108	930	3/31/2016	74,345.58	10/12/2016	89,059.03	14,713.45 S
UNITED PARCEL SERVICE	INC CL B	911312106	100	8/27/2015	9,798.95	10/11/2016	10,877.36	1,078.41 L
UNITED PARCEL SERVICE	INC CL B	911312106	140	3/30/2016	14,801.70	10/11/2016	15,228.31	426.61 S
UNITED PARCEL SERVICE	INC CL B	911312106	760	3/31/2016	80,306.39	10/11/2016	82,667.96	2,361.57 S
UNTD NAT FOODS INC		911163103	321	3/21/2016	13,601.09	8/5/2016	15,728.66	2,127.57 S
UNTD NAT FOODS INC		911163103	42	3/21/2016	1,779.59	8/18/2016	1,975.26	195.67 S
UNTD TECHNOLOGIES CORP		913017109	83	3/13/2015	10,000.86	11/23/2016	8,975.63	-1,025.23 L
UNTD TECHNOLOGIES CORP		913017109	36	3/13/2015	4,337.72	12/18/2015	3,386.10	-951.62 S
UNTD TECHNOLOGIES CORP		913017109	168	3/13/2015	20,242.70	8/30/2016	18,044.49	-2,198.21 L
UNTD TECHNOLOGIES CORP		913017109	177	3/13/2015	21,327.14	2/16/2016	15,247.76	-6,079.38 S
VALERO ENERGY PARTNERS	LP MLP	919141102	225	2/18/2016	9,933.03	4/28/2016	10,557.04	624.01 S
VALERO ENERGY PARTNERS	LP MLP	919141102	250	3/31/2016	11,592.50	4/28/2016	11,730.04	137.54 S
VANGUARD ENERGY ETF		92204A306	3,059.00	12/9/2015	263,139.14	1/12/2016	230,585.04	-32,554.10 S
VANGUARD ENERGY ETF		92204A306	1,148.00	12/9/2015	99,698.84	1/12/2016	86,535.35	-13,163.49 S
VANGUARD INDEX FUNDS	VANGUAR	922908751	4,300.00	11/20/2014	499,729.86	4/1/2016	477,665.90	-22,063.96 L
VANGUARD INDEX FUNDS	VANGUAR	922908751	60	12/29/2014	7,098.53	4/1/2016	6,665.10	-433.43 L

NEW BALANCE FOUNDATION

TIN: 04-6470644

VANGUARD INDEX FUNDS	VANGUAR	922908751	4,140.00	3/27/2015	499,246.20	4/1/2016	459,892.29	-39,353.91 L
VANGUARD INDEX FUNDS	VANGUAR	922908751	1	3/31/2015	122.35	4/1/2016	111.08	-11.27 L
VANGUARD INDEX FUNDS	VANGUAR	922908751	75	10/1/2015	8,054.63	4/1/2016	8,331.38	276.75 S
VANGUARD INDEX FUNDS	VANGUAR	922908751	47	12/30/2015	5,290.51	4/1/2016	5,221.00	-69.51 S
VANGUARD INDEX FUNDS	VANGUAR	922908751	23	3/21/2016	2,540.19	4/1/2016	2,554.96	14.77 S
VANGUARD SECTOR INDEX FD	VANGUA	92204A108	723	12/8/2015	91,077.65	1/14/2016	82,326.21	-8,751.44 S
VANGUARD SMALL CAP INDEX	FUND AI	922908686	46,930.73	3/30/2016	2,500,000.00	8/1/2016	2,727,144.72	227,144.72 S
VANGUARD SMALL CAP INDEX	FUND AI	922908686	23,333.96	4/1/2016	1,250,000.00	8/1/2016	1,355,936.18	105,936.18 S
VANGUARD SMALL CAP INDEX	FUND AI	922908686	185.45	6/13/2016	10,188.40	8/1/2016	10,776.27	587.87 S
VARIAN MEDICAL SYSTEMS	INC	92220P105	153	12/21/2015	12,090.15	8/5/2016	14,555.14	2,464.99 S
VARIAN MEDICAL SYSTEMS	INC	92220P105	27	12/21/2015	2,133.56	8/18/2016	2,524.98	391.42 S
VERIFONE SYSTEMS INC		92342Y109	140	4/9/2013	2,870.10	6/16/2016	2,638.13	-231.97 L
VERIFONE SYSTEMS INC		92342Y109	805	4/10/2013	17,021.40	6/16/2016	15,169.25	-1,852.15 L
VERIFONE SYSTEMS INC		92342Y109	45	11/19/2014	1,649.08	6/16/2016	847.97	-801.11 L
VERIFONE SYSTEMS INC		92342Y109	50	10/13/2015	1,499.00	6/16/2016	942.19	-556.81 S
VERIZON COMMUNICATIONS	INC	92343V104	275	2/7/2012	10,369.29	8/18/2016	14,512.06	4,142.77 L
VERIZON COMMUNICATIONS	INC	92343V104	200	3/30/2016	10,807.88	10/11/2016	10,014.44	-793.44 S
VERIZON COMMUNICATIONS	INC	92343V104	500	3/31/2016	27,048.15	10/11/2016	25,036.11	-2,012.04 S
VTTI ENERGY PARTNERS LP	MLP	Y9384M101	300	2/18/2016	4,984.50	4/28/2016	6,104.26	1,119.76 S
VTTI ENERGY PARTNERS LP	MLP	Y9384M101	275	3/31/2016	5,224.51	4/28/2016	5,595.58	371.07 S
WAL MART STORES INC		931142103	100	4/26/2013	7,896.11	10/11/2016	6,752.22	-1,143.89 L
WALT DISNEY CO (HOLDING	CO) DISNE	254687106	172	6/14/2016	16,871.39	11/23/2016	16,806.00	-65.39 S
WELLS FARGO & CO NEW		949746101	2,600.00	1/30/2013	90,485.36	9/9/2016	128,799.19	38,313.83 L
WELLS FARGO & CO NEW		949746101	200	4/30/2013	7,559.16	9/9/2016	9,907.63	2,348.47 L
WELLS FARGO & CO NEW		949746101	600	5/1/2013	22,523.16	9/9/2016	29,722.89	7,199.73 L
WELLS FARGO & CO NEW		949746101	400	7/22/2014	20,546.76	9/9/2016	19,815.26	-731.5 L
WELLS FARGO & CO NEW		949746101	305	3/30/2016	14,836.73	9/9/2016	15,109.14	272.41 S
WELLS FARGO & CO NEW		949746101	555	3/31/2016	26,853.68	9/9/2016	27,493.67	639.99 S
WELLTOWER INC REIT		95040Q104	83	8/4/2009	3,011.75	8/5/2016	6,333.86	3,322.11 L
WELLTOWER INC REIT		95040Q104	159	8/6/2009	6,355.77	8/5/2016	12,133.53	5,777.76 L
WELLTOWER INC REIT		95040Q104	36	8/6/2009	1,439.04	8/18/2016	2,753.23	1,314.19 L
WELLTOWER INC REIT		95040Q104	20	10/15/2009	804.76	8/18/2016	1,529.57	724.81 L
WESTERN GAS EQUITY	PARTNERS LF	95825R103	1,125.00	2/18/2016	24,102.45	4/28/2016	45,551.39	21,448.94 S
WESTERN GAS EQUITY	PARTNERS LF	95825R103	375	3/31/2016	13,152.34	4/28/2016	15,183.79	2,031.45 S

NEW BALANCE FOUNDATION

TIN: 04-6470644

WESTERN GAS PARTNERS LP	(WES) ML	958254104	450	2/18/2016	14,713.20	4/28/2016	21,671.53	6,958.33 S
WESTERN GAS PARTNERS LP	(WES) ML	958254104	300	3/31/2016	12,840.00	4/28/2016	14,447.68	1,607.68 S
WESTERN UNION CO		959802109	1,187.00	8/7/2014	19,999.28	8/5/2016	24,856.43	4,857.15 L
WESTERN UNION CO		959802109	258	8/7/2014	4,346.94	8/18/2016	5,424.34	1,077.40 L
WESTERN UNION CO		959802109	25	8/28/2014	437.23	8/18/2016	525.61	88.38 L
WHOLE FOODS MARKET INC		966837106	1,210.00	10/1/2015	37,462.53	8/23/2016	37,722.14	259.61 S
WHOLE FOODS MARKET INC		966837106	534	10/2/2015	16,544.56	8/23/2016	16,647.62	103.06 S
WHOLE FOODS MARKET INC		966837106	119	10/2/2015	3,686.90	8/24/2016	3,688.92	2.02 S
WHOLE FOODS MARKET INC		966837106	237	3/30/2016	7,554.71	8/24/2016	7,346.85	-207.86 S
WHOLE FOODS MARKET INC		966837106	430	3/31/2016	13,406.33	8/24/2016	13,329.73	-76.6 S
WILLIAMS COS INC (DEL)		969457100	1,150.00	2/18/2016	17,565.56	4/28/2016	23,143.25	5,577.69 S
WILLIAMS COS INC (DEL)		969457100	1,325.00	3/31/2016	20,522.93	4/28/2016	26,665.04	6,142.11 S
WOODWARD INC		980745103	216	5/17/2016	11,857.23	8/5/2016	12,692.33	835.1 S
WOODWARD INC		980745103	61	5/17/2016	3,348.57	8/18/2016	3,718.49	369.92 S
WORTHINGTON INDUST INC		981811102	587	1/27/2015	17,403.15	3/30/2016	21,122.38	3,719.23 L
WORTHINGTON INDUST INC		981811102	448	1/27/2015	13,282.12	5/25/2016	16,470.23	3,188.11 L
XCEL ENERGY INC		983898100	195	8/4/2009	3,835.16	8/5/2016	8,354.34	4,519.18 L
XCEL ENERGY INC		983898100	156	8/6/2009	3,043.17	8/5/2016	6,683.47	3,640.30 L
XCEL ENERGY INC		983898100	66	8/6/2009	1,287.49	8/18/2016	2,820.19	1,532.70 L
ZIMMER BIOMET HOLDINGS INC	INC	98956P102	95	7/30/2009	4,442.20	8/5/2016	12,446.72	8,004.52 L
ZIMMER BIOMET HOLDINGS INC	INC	98956P102	110	8/4/2009	5,101.80	8/5/2016	14,412.00	9,310.20 L
ZIMMER BIOMET HOLDINGS INC	INC	98956P102	4	8/6/2009	185.03	8/18/2016	512.59	327.56 L
ZIMMER BIOMET HOLDINGS INC	INC	98956P102	106	8/6/2009	4,903.35	8/5/2016	13,887.92	8,984.57 L
ZIMMER BIOMET HOLDINGS INC	INC	98956P102	32	10/15/2009	1,664.00	8/18/2016	4,100.71	2,436.71 L
3M CO		88579Y101	175	3/30/2016	29,195.25	10/11/2016	29,723.35	528.1 S
3M CO		88579Y101	25	3/31/2016	4,170.32	10/11/2016	4,246.19	75.87 S

TOTAL REALIZED GAIN/(LOSS).

62,063,780.71

63,563,785.91

1,500,005.20

TOTAL GAINS

5,181,948.89

TOTAL LOSSES

-3,681,943.69

SHORT TERM - TOTAL REALIZED GAIN/(LOSS)

616,740.71

LONG TERM - TOTAL REALIZED GAIN/(LOSS)

883,264.49

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/15- 11/30/16

TIN: 04-6470644

STATEMENT 4

PART I, LINE 16C - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME
UBS FEES	<u>447,715</u>	<u>447,715</u>
	447,715	447,715

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/15- 11/30/16

TIN: 04-6470644

STATEMENT 5

PART I, LINE 18 - TAXES

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	CHARITABLE PURPOSES
EXCISE TAX-DEPOSITS FOR CURRENT YEAR	127,000	
EXCISE TAX DUE FOR PRIOR YEAR	45,059	
FOREIGN TAX WITHHELD-CAMECO CORP CANADA	125	
MASS ANNUAL REPORT FEE	500	500
	<u>172,684</u>	<u>500</u>

**NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/15- 11/30/16**

TIN: 04-6470644

STATEMENT 6

PART XV - GRANTS AND CONTRIBUTIONS PAID

	<u>AMOUNT</u>
Alfond Youth Center	76,000.00
Allston Brighton Food Pantry	886.00
Alzheimer's Association-Mass Chapter	25,000 00
American Prairie Reserve	1,000.00
American Red Cross	250,000.00
Berklee College of Music	14,000.00
Beyond Soccer	40,000.00
Boston Symphony Orchestra	11,030.00
Boys & Girls Club of Dorchester	15,000.00
Camp Harbor View	25,000.00
Camp Sunshine	50,000.00
Canaan Elementary School	78,900.00
Casa Myrna Vasquez	20,000.00
Charles River Community Health	10,000.00
Children's Hospital Boston	426,850.00
Class, Inc.	30,000.00
Community Rowing, Inc.	45,000.00
Concussion Legacy Foundation	7,336.00
Cradles To Crayons	20,000 00
Dana Farber Cancer Institute	25,000.00
East Boston Neighborhood Health Center	100,000.00
Essex Art Center	15,000.00
Family Nurturing Center of Massachusetts	40,000 00
Family Services	15,000 00
FoodCorps, Inc	50,000 00
Franciscan Hospital for Children	97,720 00
Good Shepherd Food Bank	25,000 00
Greater Lawrence Community Boating	12,600.00
Greater Lawrence Family Health Center	100,000.00
Groundwork Lawrence	109,300.00
Haley House	25,000.00
Isabella Gardner Stewart Museum	5,000.00
Kelly Brush Foundation	4,500.00
Lawrence Boys & Girls Club, Inc.	200,000.00
Lawrence Boys & Girls Club, Inc.	600 00
Lawrence Boys and Girls Club	1,100.00
Lawrence Community Works	30,400.00
Lawrence Family Development and Education Fund, Inc	25,000 00
Lazarus House	25,000 00
Maine General Health	10,000 00
Mathews-Dickey Boys & Girls Club	500 00
Merrimack Valley YMCA	25,000 00
Metrolacrosse	225,000 00
Museum of Fine Arts	17,580 00
New England Aquarium Corporation	25,000 00
New York Road Runners	100,000 00
New York Road Runners	100,000 00
Pine Street Inn	10,000 00

NEW BALANCE FOUNDATION

TIN: 04-6470644

FORM 990-PF

TAX YEAR 12/01/15- 11/30/16

STATEMENT 6

PART XV - GRANTS AND CONTRIBUTIONS PAID

	<u>AMOUNT</u>
Playworks Education Energized	1,000.00
Playworks Education Energized	500.00
Playworks Education Energized	660,000.00
Redington-Fairview General Hospital	250,000.00
Rosie's Place	44,640.00
Share Our Strength	25,000.00
Soccer Without Borders	35,000.00
South Lawrence East Little League	3,000.00
Special Olympics Massachusetts	35,000.00
SquashBusters	90,000.00
St. Columbkille partnership School	5,000.00
St. Francis House	10,000.00
Stop Payment of check #2433	(100,000.00)
Tenacity, Inc.	225,000.00
The Barbara Bush Children's Hospital	1,000.00
The Barbara Bush Children's Hospital	75,000.00
The Barbara Bush Children's Hospital	500 00
The Children's Museum Boston	250,000.00
The Children's Museum Boston	13,400 00
The Elemental Awareness Foundation	10,000.00
The Fishing Academy, Inc.	5,000.00
The Food Project	17,500.00
The Gerald J & Dorothy R Friedman School of Nutrition, Science & Policy at Tufts University	425,000 00
The Greater Boston Food Bank	25,000.00
The Home For Little Wanderers	10,000.00
The Maine Children's Home for Little wanderers	30,000.00
The Town of Norway	19,726.00
Thompson Island Outward Bound	15,000.00
Travis Mills Foundation	125,000 00
Two/Ten International Footwear Foundation	175,000.00
Two/Ten International Footwear Foundation	50,000.00
West End Boys & Girls Club	1,000,000.00
West End Boys and Girls Club	500 00
West End House Boys & Girls Club	1,500.00
West End House Boys & Girls Club	310,000.00
Western Maine Health	72,249.00
Where Angels Play	10,000.00
YMCA of Greater Boston-Oak Square Branch	55,000.00
Youth Enrichment Services	10,000.00
	6,555,817 00

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/15- 11/30/16

TIN: 04-6470644

STATEMENT 7

PART II, LINE 10b - INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FAIR MARKET VALUE
UBS:		
Foundation Main	22,250,000	23,722,627
PMP -Bradshaw	67,992,532	70,356,859
Anchor Mid Cap	1,368,683	1,828,917
Bradshaw-Q-GARP	11,010,008	11,548,475
NBF-Lazard-BSA	1,001,625	1,001,619
Equity Income	<u>7,648,950</u>	<u>9,285,937</u>
	111,271,797	117,744,435

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/15- 11/30/16

TIN: 04-6470644

STATEMENT 8

PART II, LINE 13 - INVESTMENTS - OTHER

DESCRIPTION	ENDING BOOK VALUE	ENDING FAIR MARKET VALUE
TAPE IV Fund	192,450	186,850
Park Street Capital XI	<u>714,379</u>	<u>773,000</u>
	906,829	959,850

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/15- 11/30/16

TIN: 04-6470644

STATEMENT 9

PART II, LINE 15 - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FAIR MARKET VALUE
ACCRUED INTEREST- BOSTON PRIVATE BANK	1	1
ACCRUED DIVIDENDS & INTEREST -UBS	<u>974,685</u>	<u>974,685</u>
	974,686	974,686

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/15- 11/30/16

TIN: 04-6470644

STATEMENT 10

PART VIII, LIST OF DIRECTORS & OFFICERS

NAME & ADDRESS	TITLE	TIME DEVOTED	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCOUNT & OTHER ALLOWANCES
ANNE M. DAVIS 100 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 3.0 hours per week	NONE	NONE	NONE
JAMES S. DAVIS 100 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 0.25 hours per week	NONE	NONE	NONE
PAUL R. GAURON 100 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 0.25 hours per week	NONE	NONE	NONE
KASSIA A. DAVIS 100 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 0.25 hours per week	NONE	NONE	NONE
CHRISTOPHER C. DAVIS 100 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 0.25 hours per week	NONE	NONE	NONE