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**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015**Open to Public Inspection**

For calendar year 2015, or tax year beginning 11/01, 2015, and ending 10/31, 2016

Golden Rule Foundation
PO Box 845
Rockport, ME 04856

A Employer identification number
59-9207701

B Telephone number (see instructions)

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
► \$ 3,382,001.

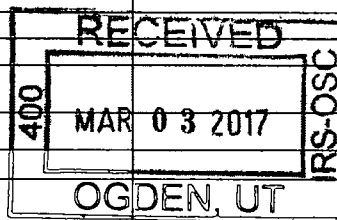
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)			
2 Ck ► ☒ if the foundation is not required to attach Sch B			
3 Interest on savings and temporary cash investments	98.	98.	98.
4 Dividends and interest from securities	68,397.	68,397.	68,397.
5a Gross rents			
b Net rental income or (loss)			
6a Net gain or (loss) from sale of assets not on line 10	-34,227.		
b Gross sales price for all assets on line 6a	1,169,429.		
7 Capital gain net income (from Part IV, line 2)		0.	
8 Net short-term capital gain		0.	
9 Income modifications			
10a Gross sales less returns and allowances			
b Less Cost of goods sold			
c Gross profit or (loss) (attach schedule)			
11 Other income (attach schedule)			
12 Total Add lines 1 through 11	34,268.	68,495.	68,495.
13 Compensation of officers, directors, trustees, etc	24,000.		
14 Other employee salaries and wages			
15 Pension plans, employee benefits			
16a Legal fees (attach schedule) See St 1	2,730.	1,365.	1,365.
b Accounting fees (attach sch) See St 2	12,150.	6,075.	6,075.
c Other prof fees (attach sch) See St 3	3,875.	1,937.	1,938.
17 Interest			
18 Taxes (attach schedule)(see instrs) See Stm 4	5,691.	5,691.	
19 Depreciation (attach schedule) and depletion			
20 Occupancy			
21 Travel, conferences, and meetings	14,484.		14,484.
22 Printing and publications			
23 Other expenses (attach schedule) See Statement 5	41,331.	36,341.	4,990.
24 Total operating and administrative expenses. Add lines 13 through 23	104,261.	51,409.	28,852.
25 Contributions, gifts, grants paid Part XV	300,000.		300,000.
26 Total expenses and disbursements. Add lines 24 and 25	404,261.	51,409.	328,852.
27 Subtract line 26 from line 12:			
a Excess of revenue over expenses and disbursements	-369,993.		
b Net investment income (if negative, enter -0-)		17,086.	
c Adjusted net income (if negative, enter 0)		68,495.	

REVENUE

ADMINISTRATIVE
AND
OPERATING
EXPENSES

5

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	225,336.	267,654.	267,654.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less. allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) Statement 6	3,210,658.	2,763,946.	3,114,347.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
LIABILITIES	Less accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis 9,130.			
	Less accumulated depreciation (attach schedule) See Stmt 7	6,199.	9,130.	
	15 Other assets (describe See Statement 8)	2.	645.	
	16 Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)	3,442,195.	3,041,375.	3,382,001.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
FUNDS	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)	30,827.		
	23 Total liabilities (add lines 17 through 22)	30,827.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. X			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	3,411,368.	3,041,375.	
	30 Total net assets or fund balances (see instructions)	3,411,368.	3,041,375.	
	31 Total liabilities and net assets/fund balances (see instructions)	3,442,195.	3,041,375.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,411,368.
2	Enter amount from Part I, line 27a	2	-369,993.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	3,041,375.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	3,041,375.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Short-Term Gain/Loss	P	Various	Various
b Long-Term Gain/Loss	P	Various	Various
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 866,992.		913,658.	-46,666.
b 302,437.		289,998.	12,439.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-46,666.
b			12,439.
c			
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-34,227.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 	3	-46,666.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	344,188.	3,571,636.	0.096367
2013	340,132.	3,732,040.	0.091138
2012	282,066.	3,837,845.	0.073496
2011	297,920.	3,723,649.	0.080008
2010	279,784.	3,871,109.	0.072275

2 Total of line 1, column (d)	2	0.413284
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.082657
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	3,281,805.
5 Multiply line 4 by line 3	5	271,264.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	171.
7 Add lines 5 and 6	7	271,435.
8 Enter qualifying distributions from Part XII, line 4	8	328,852.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☒ and enter 1% of Part I, line 27b		1	171.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	171.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	171.
6 Credits/Payments			
a 2015 estimated tax pmts and 2014 overpayment credited to 2015	6 a	2,840.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	2,840.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,669.	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax ☒ 2,669. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ DC		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i> See Statement 9		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.goldrule.org</u>	13	X	
14	The books are in care of <u>Laurel Frye</u> Telephone no <u>207-236-4104</u> Located at <u>PO Box 658 Camden ME</u> ZIP + 4 <u>04843</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A	☐	N/A
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 10		24,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	3,085,287.
b	Average of monthly cash balances	1 b	246,495.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	3,331,782.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,331,782.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	49,977.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,281,805.
6	Minimum investment return. Enter 5% of line 5	6	164,090.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	164,090.
2 a	Tax on investment income for 2015 from Part VI, line 5	2 a	171.
b	Income tax for 2015 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	171.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	163,919.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	163,919.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	163,919.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	328,852.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	328,852.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	171.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	328,681.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				163,919.
2 Undistributed income, if any, as of the end of 2015			0.	
a Enter amount for 2014 only		0.		
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2015				
a From 2010	91,805.			
b From 2011	114,726.			
c From 2012	98,360.			
d From 2013	157,032.			
e From 2014	171,214.			
f Total of lines 3a through e	633,137.			
4 Qualifying distributions for 2015 from Part XII, line 4: \$ 328,852.			0.	
a Applied to 2014, but not more than line 2a		0.		
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			163,919.
d Applied to 2015 distributable amount	164,933.			
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	798,070.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	91,805.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	706,265.			
10 Analysis of line 9				
a Excess from 2011	114,726.			
b Excess from 2012	98,360.			
c Excess from 2013	157,032.			
d Excess from 2014	171,214.			
e Excess from 2015	164,933.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> See Attached List			See Attached	300,000.
Total				3 a 300,000.
<i>b Approved for future payment</i>				
Total				3 b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	98.	
4	Dividends and interest from securities			14	68,397.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-34,227.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				34,268.	
13	Total. Add line 12, columns (b), (d), and (e)				13	34,268.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Statement 1
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
	\$ 2,730.	\$ 1,365.		\$ 1,365.
Total	<u>\$ 2,730.</u>	<u>\$ 1,365.</u>	<u>\$ 0.</u>	<u>\$ 1,365.</u>

Statement 2
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
	\$ 12,150.	\$ 6,075.		\$ 6,075.
Total	<u>\$ 12,150.</u>	<u>\$ 6,075.</u>	<u>\$ 0.</u>	<u>\$ 6,075.</u>

Statement 3
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Administrative Cost	\$ 3,875.	\$ 1,937.		\$ 1,938.
Total	<u>\$ 3,875.</u>	<u>\$ 1,937.</u>	<u>\$ 0.</u>	<u>\$ 1,938.</u>

Statement 4
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Federal Income tax	\$ 3,884.	\$ 3,884.		
Foreign Taxes	1,807.	1,807.		
Total	<u>\$ 5,691.</u>	<u>\$ 5,691.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Business Entertainment	\$ 41.			\$ 41.
Consultants	1,919.			1,919.
Dues	685.			685.
Insurance	1,294.			1,294.
Investment Advisory Fees	36,341.	\$ 36,341.		
Office Supply	871.			871.
Postage	127.			127.
Website	53.			53.
Total	<u>\$ 41,331.</u>	<u>\$ 36,341.</u>	<u>\$ 0.</u>	<u>\$ 4,990.</u>

Statement 6
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
See Attached	Cost	\$ 2,763,946.	\$ 3,114,347.
	Total	<u>\$ 2,763,946.</u>	<u>\$ 3,114,347.</u>

Statement 7
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Machinery and Equipment	\$ 9,130.	\$ 0.	\$ 9,130.	\$ 0.
Total	<u>\$ 9,130.</u>	<u>\$ 0.</u>	<u>\$ 9,130.</u>	<u>\$ 0.</u>

Statement 8
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Market Value
Rounding	\$ 2.	
Unsettled Trades	643.	
Total	<u>\$ 645.</u>	<u>\$ 0.</u>

Client GOLDEN

Golden Rule Foundation

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Statement 9
Form 990-PF, Part VII-A, Line 8b
Copies of Form 990-PF to State Officials

District of Columbia does not require a copy of Form 990-PF.

Statement 10
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
William Brann 2222 Manning Street Philadelphia, PA 19103	Treasurer 1.00	\$ 0.	\$ 0.	\$ 0.
Gareth Evans 2222 Manning Street Philadelphia, PA 19103	Director 1.00	6,000.	0.	0.
Tegan Stephen 8219 Whittington Drive Richmond, VA 23235	Sec. and Treas. 1.00	6,000.	0.	0.
Trevor Evans 192 Washington Park, Apt 1 Brooklyn, NY 11205	Board Member 1.00	6,000.	0.	0.
Tesni Stephen 8219 Whittington Drive Richmond, VA 23235	Director 0	0.	0.	0.
Sian Evans 1295 State Street Veazie, ME 04401	President 4.00	6,000.	0.	0.
Aidan Stephen 13118 Hadley St Whittier, CA 90601	Director 0	0.	0.	0.
Axelle Evans 30 Mayo street Belfast, ME 04915	Director 0	0.	0.	0.
Lucie Evans 30 Mayo Street Belfast, ME 04915	Director 0	0.	0.	0.
Total		\$ 24,000.	\$ 0.	\$ 0.

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Name	Book Value 10/31/2015	Change	Book Value 10/31/2016
Common Stock			
Abbott Laboratories	-	442 67	442 67
Accenture PLC	-	17,147 06	17,147 06
Actelion Ltd	11,984 00	2,916 27	14,900 27
Advance Auto Parts	11,764 62	(11,764 62)	-
AIA Group LTD	-	9,222 30	9,222 30
Allstate	29,255 07	(746 65)	28,508 42
Alphabet Cl A	21,163 70	(2,521 01)	18,642 69
Alphabet Cl C	18,516 47	(6,613 03)	11,903 44
Alphabet Cl C	13,352 95	(2,136 48)	11,216 47
Amazon Inc	5,763 35	-	5,763 35
Anheuser Busch	27,185 02	(3,175 82)	24,009 20
Aon Plc Shs	9,154 25	(1,176 98)	7,977 27
Apache Corp	-	-	-
Apple Inc	16,147 02	-	16,147 02
Apple Inc	25,739 81	(1,361 21)	24,378 60
Applied Materials Inc	8,331 55	198 05	8,529 60
Archer Daniels	29,634 04	-	29,634 04
Assa Abloy	20,226 88	(2,251 84)	17,975 04
AT&T Inc	29,226 65	-	29,226 65
Autozone Inc	11,509 94	(677 05)	10,832 89
Baidu Inc	9,441 50	(9,441 50)	-
Bank of Ireland	6,478 38	(6,478 38)	-
Bank of NY Mellon	29,611 45	-	29,611 45
Baxalta Inc	29,642 01	(29,642 01)	-
BB&T	29,880 43	-	29,880 43
Berkshire Hathaway	20,081 30	(1,181 25)	18,900 05
Bristol Myers Squibb	16,507 68	(16,507 68)	-
British Amer Tob	19,986 86	(2,268 78)	17,718 08
C R Bard	14,963 91	(14,963 91)	-
CA Inc	30,520 99	(2,043 99)	28,477 00
Canadian Natural Res	-	11,080 09	11,080 09
Cardinal Health Inc	30,533 69	(2,426 04)	28,107 65
Carlsberg As	-	10,383 06	10,383 06
Charles Schwab	9,166 48	1,464 26	10,630 74
Chevron Corp	29,740 28	(3,720 98)	26,019 30
Cisco	29,575 60	(1,848 47)	27,727 13
Cisco	12,663 11	(613 77)	12,049 34
Compass Group	15,162 75	(400 17)	14,762 58
Conocophillips	31,730 08	-	31,730 08
Conti Ag Spons	17,844 96	(3,039 95)	14,805 01
Costco Wholesale	9,363 01	(337 67)	9,025 34
Credit Suisse Group	10,271 63	(10,271 63)	-
CSX Corp	14,073 09	(14,073 09)	-
Cummins Inc	14,906 76	(14,906 76)	-
CVS Health Corp Com	13,941 28	(5,818 85)	8,122 43
CVS Health Corp Com	37,757 15	-	37,757 15
Daiwa House	20,824 90	(5,910 78)	14,914 12
Danaher Corp	10,097 15	2,270 96	12,368 11
Davide Campari-Milano	11,390 84	(11,390 84)	-
Diageo PLC	-	13,695 01	13,695 01
Du Pont	30,593 23	(5,948 73)	24,644 50
Eaton Corp	-	8,360 62	8,360 62
Ebay Inc	-	4,519 09	4,519 09
Edison International	29,692 26	(6,358 46)	23,333 80
Eli Lilly	4,731 62	(4,731 62)	-
EOG Resources	9,324 46	(687 87)	8,636 59
Express Scripts Hldg	37,420 44	(10,051 54)	27,368 90
Exxon Mobil	14,896 95	(14,896 95)	-
Fidelity Natl Info	-	8,705 20	8,705 20
Fleetcor Technologies	16,695 02	(16,695 02)	-
Franco-Nevada Corp	-	15,288 90	15,288 90
General Dynamics	15,535 64	(15,535 64)	-

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Name	Book Value 10/31/2015	Change	Book Value 10/31/2016
General Electric	-	14,592 87	14,592 87
Halliburton Co	14,751 18	(14,751 18)	-
Halliburton Co	29,701 80	(1,024 20)	28,677 60
Hartford Fin Sers	9,778 03	(9,778 03)	-
Home Depot	16,440 87	(3,241 86)	13,199 01
Honeywell	31,340 61	(13,824 32)	17,516 29
Hubbell Inc	16,296 24	-	16,296 24
Intercontinental Exchange	14,682 78	(1,864 48)	12,818 30
Intel Corp	29,546 48	(5,377 40)	24,169 08
Intuitive Surgical Inc	12,015 77	3,379 59	15,395 36
Johnson & Johnson	52,479 78	(11,129 97)	41,349 81
Johnson Controls	31,309 67	(31,309 67)	-
Johnson Controls	-	12,363 26	12,363 26
Kasikornbank Pub	7,660 42	(7,660 42)	-
KDDI Corp	17,487 50	(2,287 50)	15,200 00
Kellogg	9,541 12	(2,767 32)	6,773 80
Kraft Heinz Co	30,081 64	-	30,081 64
Lowe's Companies	29,614 00	-	29,614 00
Manitowoc Food	-	7,143 69	7,143 69
Marathon Oil Co	31,655 66	7,960 55	39,616 21
Marsh & McLennan Cos	29,596 69	-	29,596 69
Mastercard Inc	13,752 70	(13,752 70)	-
Mastercard Inc	6,343 66	-	6,343 66
McGraw Hill Financial	10,525 68	(10,525 68)	-
McKesson Corp	15,082 20	(15,082 20)	-
Mead Johnson	7,518 64	(1,094 95)	6,423 69
Merck	38,471 82	-	38,471 82
Metro AG	14,382 00	(14,382 00)	-
Microsoft	-	18,598 31	18,598 31
Molson	6,768 59	5,015 12	11,783 71
Mondelez Intl Inc	29,499 29	-	29,499 29
Monsanto	12,006 87	(947 91)	11,058 96
Nestle Spon Adr	-	14,909 42	14,909 42
Northrop Grumman	35,440 36	(1,918 95)	33,521 41
Novartis	27,885 27	(27,885 27)	-
Novo Nordisk	13,022 02	(875 92)	12,146 10
NXP Semiconductors	10,018 43	(2,375 22)	7,643 21
Occidental Petroleum	29,971 80	(49 67)	29,922 13
Pfizer	29,211 97	-	29,211 97
Procter & Gamble	14,495 67	(3,520 38)	10,975 29
Provident Finl	15,679 65	(15,679 65)	-
Prudential PLC	18,961 86	(2,376 30)	16,585 56
Qualcomm Inc	12,665 13	(12,665 13)	-
Quest Diagnostics	29,673 40	(1,534 83)	28,138 57
Quintiles Transnational	16,299 50	6,483 18	22,782 68
Raytheon Co	40,512 30	(5,117 12)	35,395 18
Relx NV Sponsored	19,523 27	(2,215 97)	17,307 30
Reynolds American	19,206 93	(5,602 48)	13,604 45
Rio Tinto Plc	-	15,152 41	15,152 41
Rockwell Automation	10,247 84	3,575 03	13,822 87
Ryohin Keikau	10,287 22	4,402 65	14,689 87
S&P Global Inc	-	12,557 34	12,557 34
Sampo Oyj	23,911 64	(2,602 08)	21,309 56
Sanlam Ltd	13,041 48	(1,889 08)	11,152 40
Schlumberger	15,952 16	6,909 93	22,862 09
Servicemaster Global	10,240 80	(10,240 80)	-
Shire PLC	29,310 80	23 57	29,334 37
Snap-On	-	9,596 63	9,596 63
Springleaf	10,174 85	(10,174 85)	-
Symrise	16,536 08	(2,006 40)	14,529 68
Taiwan Semi	10,016 32	(1,551 20)	8,465 12
Tata Motors Ltd	6,024 00	(6,024 00)	-
Telenor Asa	17,509 86	(17,509 86)	-

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Name	Book Value 10/31/2015	Change	Book Value 10/31/2016
Tencent Hldgs	-	12,552 04	12,552 04
The J M Smucker Co	9,551 48	(9,551 48)	-
Thermo Fisher Scientific	10,888 02	2,135 59	13,023 61
Twitter	5,170 10	(5,170 10)	-
Tyco Intl Plc	11,597 19	(11,597 19)	-
Unilever	13,816 53	(4,471 37)	9,345 16
Union Pacific Corp	7,668 46	(7,668 46)	-
United Technologies	9,333 40	9,079 31	18,412 71
US Bankcorp New	15,373 47	(15,373 47)	-
Vantiv Inc	-	12,860 95	12,860 95
Verizon Communications	29,896 90	-	29,896 90
Visa Inc	17,090 78	(2,297 92)	14,792 86
Visa Inc	5,930 08	5,784 19	11,714 27
Voya Finl Inc	11,693 50	(11,693 50)	-
W W Grainger Inc	14,640 24	(14,640 24)	-
Walt Disney Co	12,591 63	(12,591 63)	-
Waste Mgmt Inc	29,157 06	(8,552 74)	20,604 32
Wells Fargo	15,141 07	(15,141 07)	-
Wolters Kluwer	10,560 48	4,529 77	15,090 25
Xerox Corp	29,106 32	-	29,106 32
Zoetis Inc	14,531 18	5,533 30	20,064 48
Total Common Stock	2,321,892.40	(377,150.90)	1,944,741.50
Ex-Traded Funds			
Ishares 7-10 yr Treasury	40,275 88	34,391 83	74,667 71
Ishares Barclay 1-3Yr	160,671 45	(120,811 17)	39,860 28
Ishares Core US Treas	56,337 38	(56,337 38)	-
Ishares MBS ETF	345,930 50	(51,300 88)	294,629 62
Ishares Silver Shares	-	15,419 09	15,419 09
Ishares Tips Bond Etf	-	37,143 85	37,143 85
Ishares US Treasury	-	110,948 06	110,948 06
Spdr Barclays Capital Short	285,550 46	(39,014 11)	246,536 35
Total Ex-Traded Funds	888,765.67	(69,560.71)	819,204.96
Grand Total	3,210,658.07	(446,711.61)	2,763,946.46

Quantity	Name	Date Acquired	Date Sold	Proceeds(\$)	Total Cost(\$)	Realized Gain/Loss(\$)	Covered Indicator
13,000	ACTELION LTD UNSPON ADR	7/22/15	11/11/15	448	487	-39	C
3 000	ADVANCE AUTO PARTS	7/22/15	11/11/15	585	504	80	C
1 000	ALPHABET INC CL A	1/14/15	11/11/15	766	504	261	C
1 000	ALPHABET INC CL C	7/22/15	11/11/15	736	661	75	C
9 000	ANHEUSER BUSCH INBEV SA SPON	7/22/15	11/11/15	1,078	1,143	-65	C
8 000	APPLE INC	7/22/15	11/11/15	926	1,000	-73	C
20 000	APPLIED MATERIALS INC	8/14/15	11/11/15	335	329	6	C
82 000	ASSA ABLOY AB UNSP ADR	7/22/15	11/11/15	824	813	10	C
1 000	AUTOZONE INC	7/22/15	11/11/15	788	677	111	C
2 000	BAIDU INC ADS	7/22/15	11/11/15	394	411	-16	C
16 000	BANK OF IRELAND SPONS ADR NEW	7/22/15	11/11/15	222	268	-46	C
10 000	BRISTOL MYERS SQUIBB CO	7/22/15	11/11/15	650	694	-44	C
7 000	BRITISH AMER TOB SPON ADR	9/24/15	11/11/15	802	756	46	C
10 000	CHARLES SCHWAB NEW	7/22/15	11/11/15	335	353	-17	C
2 000	CHECK POINT SOFTWARE TECH LTD	11/6/15	11/11/15	164	163	1	C
35 000	COMPASS GROUP PLC	7/22/15	11/11/15	564	604	-40	C
15 000	CONTL AG SPONS ADR	7/22/15	11/11/15	696	712	-16	C
16 000	CREDIT SUISSE GROUP	10/30/15	11/11/15	382	402	-20	C
34 000	DAIWA HOUSE IND LTD ADR	7/22/15	11/11/15	937	848	89	C
116 000	DAVIDE CAMPARI-MILANO ADR	7/22/15	11/11/15	492	459	33	C
5 000	EOG RESOURCES INC	7/22/15	11/11/15	430	382	48	C
6 000	FIDELITY NATL INFORMATION SE	11/10/15	11/11/15	400	399	2	C
14 000	HALLIBURTON CO	7/22/15	11/11/15	537	583	-46	C
8 000	HARTFORD FIN SERS GRP INC	7/22/15	11/11/15	377	371	6	C
12 000	HONEYWELL INTERNATIONAL INC	7/22/15	11/11/15	1,239	1,258	-19	C
3 000	INTERCONTINENTALEXCHANGE GROUP	7/22/15	11/11/15	795	699	96	C
128 000	ISHARES MBS ETF	7/22/15	11/11/15	13,894	13,953	-59	C
14 000	KASIKORNBANK PUB CO LTD UNSPON	7/22/15	11/11/15	267	297	-30	C
56 000	KDDI CORP UNSPON ADR	7/22/15	11/11/15	698	700	-2	C
6 000	KELLOGG CO	7/22/15	11/11/15	405	386	19	C
6 000	MASTERCARD INC CL A	7/22/15	11/11/15	605	581	24	C
4 000	MCGRAW HILL FINANCIAL INC COM	7/22/15	11/11/15	385	425	-40	C
3 000	MCKESSON CORP	7/22/15	11/11/15	556	704	-148	C
4 000	MEAD JOHNSON NUTRITION COMPANY	10/7/15	11/11/15	330	292	38	C
4 000	MOLSON COORS BREWING CO CL B	7/22/15	11/11/15	350	285	65	C
5 000	MONSANTO CO/NEW	7/22/15	11/11/15	464	527	-63	C
11 000	NOVARTIS AG ADR	7/22/15	11/11/15	976	1,111	-135	C
9 000	NOVO NORDISK A/S ADR	7/22/15	11/11/15	488	526	-38	C
4 000	NXP SEMICONDUCTORS NV	7/22/15	11/11/15	325	361	-36	C
8 000	PROCTER & GAMBLE	9/4/15	11/11/15	608	552	56	C
13 000	PROVIDENT FINL PLC SPON ADR	7/22/15	11/11/15	719	623	95	C
16 000	PRUDENTIAL PLC ADR	7/22/15	11/11/15	731	776	-45	C
8 000	QUALCOMM INC	7/22/15	11/11/15	422	514	-92	C
9 000	QUINTILES TRANSNATIONAL HLDGS	7/22/15	11/11/15	612	685	-74	C
49 000	RELX NV SPONSORED ADR	9/29/15	11/11/15	832	781	51	C
19 000	REYNOLDS AMERICAN INC	7/22/15	11/11/15	855	763	91	C
3 000	ROCKWELL AUTOMATION INC	7/22/15	11/11/15	311	362	-51	C
10 000	RYOHIN KEIKAKU CO LTD ADR	10/6/15	11/11/15	421	432	-12	C
39 000	SAMPO OYJ UNSPON ADR	7/22/15	11/11/15	947	976	-29	C
46 000	SANLAM LTD ADR	7/22/15	11/11/15	369	523	-154	C
7 000	SCHLUMBERGER LTD	7/22/15	11/11/15	544	600	-56	C
11 000	SERVICEMASTER GLOBAL HLDGS INC	7/22/15	11/11/15	399	414	-15	C
5 000	SHIRE PLC ADR	7/22/15	11/11/15	1,078	1,291	-212	C
376 000	SPDR BARCLAYS CAPITAL SHORT	7/22/15	11/11/15	11,468	11,483	-15	C
8 000	SPRINGLEAF HLDGS INC	7/22/15	11/11/15	366	415	-49	C
40 000	SYMRISE AG UNSPONS ADR	7/22/15	11/11/15	666	669	-3	C
18 000	TAIWAN SMCNDCR MFG CO LTD ADR	7/22/15	11/11/15	405	399	6	C
8 000	TATA MOTORS LTD	7/22/15	11/11/15	247	241	6	C
11 000	TELENOR ASA ADS	7/22/15	11/11/15	596	721	-126	C
4 000	THE J M SMUCKER COMPANY	7/22/15	11/11/15	460	429	30	C

Quantity	Name	Date Acquired	Date Sold	Proceeds(\$)	Total Cost(\$)	Realized Gain/Loss(\$)	Covered Indicator
3 000	THERMO FISHER SCIENTIFIC	7/22/15	11/11/15	410	419	-9	C
6 000	TWITTER INC	7/23/15	11/11/15	159	218	-59	C
12 000	TYCO INTL PLC SHS	7/22/15	11/11/15	450	447	2	C
12 000	UNILEVER PLC (NEW) ADS	7/22/15	11/11/15	520	537	-16	C
4 000	UNITED TECHNOLOGIES CORP	7/22/15	11/11/15	396	406	-10	C
10 000	VISA INC CL A	7/22/15	11/11/15	797	718	79	C
10 000	VOYA FINL INC	7/22/15	11/11/15	419	472	-52	C
13 000	WOLTERS KLUWER NV SPON ADR	7/22/15	11/11/15	455	409	46	C
12 000	ZOETIS INC CLASS-A	7/22/15	11/11/15	550	599	-49	C
67 000	ADVANCE AUTO PARTS	7/22/15	11/25/15	11,051	11,260	-210	C
261 000	SERVICEMASTER GLOBAL HLDGS INC	7/22/15	12/4/15	10,186	9,827	360	C
192 000	TATA MOTORS LTD	7/22/15	12/8/15	5,716	5,783	-67	C
238 000	VOYA FINL INC	7/22/15	12/8/15	9,312	11,222	-1,910	C
189 000	QUALCOMM INC	7/22/15	12/10/15	9,274	12,151	-2,877	C
28 000	QUALCOMM INC	12/3/15	12/10/15	1,374	1,418	-44	C
0 000	CREDIT SUISSE GROUP	12/21/15	12/21/15	187	0	187	
136 000	TWITTER INC	7/23/15	1/19/16	2,314	4,952	-2,637	C
90 000	CONTL AG SPONS ADR	7/22/15	1/21/16	3,754	4,271	-517	C
91 000	PROVIDENT FINL PLC SPON ADR	7/22/15	1/21/16	3,679	4,363	-685	C
15 000	ISHARES MBS ETF	7/22/15	1/27/16	1,633	1,635	-2	C
7 000	ALPHABET INC CL C	7/22/15	2/1/16	5,277	4,629	648	C
188 000	ONEMAIN HLDGS INC	7/22/15	2/3/16	4,708	9,760	-5,052	C
43 000	ONEMAIN HLDGS INC	12/3/15	2/3/16	1,077	2,041	-964	C
393 000	CREDIT SUISSE GROUP	10/30/15	2/9/16	5,293	9,870	-4,577	C
203 000	HARTFORD FIN SERS GRP INC	7/22/15	2/9/16	8,067	9,407	-1,340	C
256 000	TELENOR ASA ADS	7/22/15	2/12/16	10,597	16,788	-6,191	C
371 000	BANK OF IRELAND SPONS ADR NEW	7/22/15	2/17/16	4,308	6,211	-1,903	C
265 000	NOVARTIS AG ADR	7/22/15	3/14/16	19,577	26,774	-7,197	C
13 000	NOVARTIS AG ADR	1/27/16	3/14/16	960	1,011	-50	C
340 000	HALLIBURTON CO	7/22/15	3/29/16	11,903	14,168	-2,265	C
64 000	KELLOGG CO	7/22/15	3/29/16	4,901	4,116	786	C
25 000	PROCTER & GAMBLE	9/4/15	3/29/16	2,070	1,726	344	C
82 000	UNILEVER PLC (NEW) ADS	7/22/15	3/29/16	3,725	3,667	59	C
85 000	THE J M SMUCKER COMPANY	7/22/15	3/30/16	10,971	9,122	1,849	C
105 000	HONEYWELL INTERNATIONAL INC	7/22/15	4/20/16	12,108	11,006	1,102	C
79 000	RAYTHEON CO (NEW)	12/10/15	4/20/16	9,986	10,065	-79	C
130 000	REYNOLDS AMERICAN INC	7/22/15	4/20/16	6,407	5,224	1,184	C
1 000	ACCENTURE PLC IRELAND CL A	2/10/16	6/15/16	118	95	23	C
35 000	ACTELION LTD UNSPON ADR	7/22/15	6/15/16	1,385	1,311	75	C
6 000	AIA GROUP LTD SPON ADR	4/21/16	6/15/16	139	142	-3	C
13 000	ANHEUSER BUSCH INBEV SA SPON	7/22/15	6/15/16	1,625	1,651	-27	C
22 000	APPLE INC	7/22/15	6/15/16	2,151	2,749	-598	C
7 000	APPLIED MATERIALS INC	8/14/15	6/15/16	167	115	52	C
118 000	ASSA ABLOY AB UNSP ADR	7/22/15	6/15/16	1,162	1,171	-9	C
4 000	AUTOHOME INC SP ADR RP CL A	12/17/15	6/15/16	107	138	-31	C
1 000	BAIDU INC ADS	7/22/15	6/15/16	161	205	-44	C
3 000	BRISTOL MYERS SQUIBB CO	7/22/15	6/15/16	219	208	11	C
12 000	BRITISH AMER TOB SPON ADR	9/24/15	6/15/16	1,406	1,296	110	C
8 000	CARLSBERG AS	2/10/16	6/15/16	148	137	11	C
35 000	CHARLES SCHWAB NEW	7/22/15	6/15/16	1,001	1,234	-233	C
1 000	CHECK POINT SOFTWARE TECH LTD	11/6/15	6/15/16	85	82	3	C
64 000	COMPASS GROUP PLC	7/22/15	6/15/16	1,155	1,104	51	C
4 000	CONTL AG SPONS ADR	7/22/15	6/15/16	163	190	-27	C
40 000	DAIWA HOUSE IND LTD ADR	7/22/15	6/15/16	1,134	998	136	C
40 000	DAVIDE CAMPARI-MILANO ADR	7/22/15	6/15/16	182	158	24	C
2 000	EATON CORP PLC SHS	2/9/16	6/15/16	122	110	12	C
2 000	EOG RESOURCES INC	7/22/15	6/15/16	166	153	13	C
2 000	FIDELITY NATL INFORMATION SE	11/10/15	6/15/16	148	133	15	C
3 000	GEA GROUP AG SPON ADR	4/29/16	6/15/16	140	139	1	C
11 000	HONEYWELL INTERNATIONAL INC	7/22/15	6/15/16	1,276	1,153	123	C

Quantity	Name	Date Acquired	Date Sold	Proceeds(\$)	Total Cost(\$)	Realized Gain/Loss(\$)	Covered Indicator
1,000	INTERCONTINENTALEXCHANGE GROUP	7/22/15	6/15/16	258	233	25	C
170,000	ISHARES MBS ETF	7/22/15	6/15/16	18,658	18,532	126	C
5,000	KASIKORNBANK PUB CO LTD UNSPON	7/22/15	6/15/16	95	106	-11	C
19,000	KDDI CORP UNSPON ADR	7/22/15	6/15/16	266	238	29	C
1,000	KELLOGG CO	7/22/15	6/15/16	77	64	12	C
2,000	MASTERCARD INC CL A	7/22/15	6/15/16	188	194	-5	C
1,000	MCKESSON CORP	7/22/15	6/15/16	182	235	-53	C
1,000	MEAD JOHNSON NUTRITION COMPANY	10/7/15	6/15/16	86	73	13	C
5,000	MICROSOFT CORP	2/10/16	6/15/16	250	251	-1	C
10,000	MOLSON COORS BREWING CO CL B	7/22/15	6/15/16	1,007	712	295	C
2,000	MONSANTO CO/NEW	7/22/15	6/15/16	216	211	5	C
3,000	NOVO NORDISK A/S ADR	7/22/15	6/15/16	156	175	-19	C
3,000	NXP SEMICONDUCTORS NV	7/22/15	6/15/16	259	271	-12	C
3,000	PROCTER & GAMBLE	9/4/15	6/15/16	250	207	43	C
3,000	PROVIDENT FINL PLC SPON ADR	7/22/15	6/15/16	111	144	-33	C
5,000	PRUDENTIAL PLC ADR	7/22/15	6/15/16	171	242	-71	C
20,000	QUINTILES TRANSNATIONAL HLDGS	7/22/15	6/15/16	1,310	1,523	-213	C
74,000	RELX NV SPONSORED ADR	9/29/15	6/15/16	1,200	1,180	20	C
5,000	REYNOLDS AMERICAN INC	7/22/15	6/15/16	252	201	51	C
2,000	ROCKWELL AUTOMATION INC	7/22/15	6/15/16	233	241	-8	C
3,000	RYOHIN KEIKAKU CO LTD ADR	10/6/15	6/15/16	146	130	16	C
1,000	S&P GLOBAL INC COM	7/22/15	6/15/16	108	106	1	C
52,000	SAMPO OYJ UNSPON ADR	7/22/15	6/15/16	1,077	1,301	-224	C
16,000	SANLAM LTD ADR	7/22/15	6/15/16	127	182	-55	C
16,000	SCHLUMBERGER LTD	7/22/15	6/15/16	1,238	1,372	-134	C
10,000	SHIRE PLC ADR	7/22/15	6/15/16	1,715	2,581	-866	C
516,000	SPDR BARCLAYS CAPITAL SHORT	7/22/15	6/15/16	15,851	15,758	93	C
14,000	SYMRISE AG UNSPONS ADR	7/22/15	6/15/16	219	234	-15	C
6,000	TAIWAN SMCNDCR MFG CO LTD ADR	7/22/15	6/15/16	156	133	23	C
3,000	TEVA PHARMACEUTICALS ADR	12/3/15	6/15/16	157	192	-35	C
1,000	THERMO FISHER SCIENTIFIC	7/22/15	6/15/16	148	140	8	C
4,000	TYCO INTL PLC SHS	7/22/15	6/15/16	173	149	24	C
3,000	UNILEVER PLC (NEW) ADS	7/22/15	6/15/16	132	134	-2	C
11,000	UNITED TECHNOLOGIES CORP	7/22/15	6/15/16	1,114	1,116	-2	C
3,000	VISA INC CL A	7/22/15	6/15/16	236	215	21	C
33,000	WOLTERS KLUWER NV SPON ADR	7/22/15	6/15/16	1,238	1,037	201	C
7,000	ZOETIS INC CLASS-A	7/22/15	6/15/16	332	350	-17	C
43,000	BAIDU INC ADS	7/22/15	6/16/16	6,985	8,826	-1,841	C
134,000	MASTERCARD INC CL A	7/22/15	6/16/16	12,418	12,978	-560	C
35,000	UNION PACIFIC CORP	11/13/15	6/16/16	3,020	2,956	64	C
220,000	PROVIDENT FINL PLC SPON ADR	7/22/15	6/17/16	8,251	10,549	-2,298	C
121,000	DAIWA HOUSE IND LTD ADR	7/22/15	7/12/16	3,336	3,018	319	C
282,000	AUTOHOME INC SP ADR RP CL A	12/17/15	7/15/16	5,682	9,716	-4,033	C
2,000	ACCENTURE PLC IRELAND CL A	2/10/16	7/26/16	229	190	38	C
6,000	AIA GROUP LTD SPON ADR	4/21/16	7/26/16	154	142	11	C
38,000	APPLIED MATERIALS INC	8/14/15	7/26/16	1,018	625	393	C
2,000	BRITISH AMER TOB SPON ADR	9/24/15	7/26/16	251	216	35	C
3,000	CANADIAN NATL RAILWAY CO	6/16/16	7/26/16	190	172	18	C
8,000	CARLSBERG AS	2/10/16	7/26/16	157	137	20	C
1,000	CHECK POINT SOFTWARE TECH LTD	11/6/15	7/26/16	80	82	-1	C
15,000	EATON CORP PLC SHS	2/9/16	7/26/16	969	825	144	C
13,000	FIDELITY NATL INFORMATION SE	11/10/15	7/26/16	1,051	864	187	C
3,000	GEA GROUP AG SPON ADR	4/29/16	7/26/16	155	139	16	C
10,000	MEAD JOHNSON NUTRITION COMPANY	10/7/15	7/26/16	930	730	200	C
3,000	PROCTER & GAMBLE	9/4/15	7/26/16	256	207	49	C
16,000	RELX NV SPONSORED ADR	9/29/15	7/26/16	281	255	26	C
5,000	RYOHIN KEIKAKU CO LTD ADR	10/6/15	7/26/16	214	216	-2	C
1,000	SNAP-ON INC	6/30/16	7/26/16	158	157	0	C
4,000	TENCENT HLDGS LTD UNSPON ADR	6/30/16	7/26/16	96	92	4	C
3,000	TEVA PHARMACEUTICALS ADR	12/3/15	7/26/16	162	192	-30	C

Quantity	Name	Date Acquired	Date Sold	Proceeds(\$)	Total Cost(\$)	Realized Gain/Loss(\$)	Covered Indicator
3 000	VANTIV INC CL-A	6/17/16	7/26/16	178	159	19	C
58 000	CHECK POINT SOFTWARE TECH LTD	11/6/15	9/9/16	4,465	4,738	-273	C
36 000	CHECK POINT SOFTWARE TECH LTD	11/13/15	9/9/16	2,771	2,948	-177	C
34 000	AIA GROUP LTD SPON ADR	4/21/16	10/4/16	916	806	110	C
16 000	GEA GROUP AG SPON ADR	4/29/16	10/4/16	886	742	144	C
71 000	TEVA PHARMACEUTICALS ADR	12/3/15	10/4/16	3,212	4,549	-1,337	C
78 000	TEVA PHARMACEUTICALS ADR	1/20/16	10/4/16	3,528	4,731	-1,203	C
71 000	TEVA PHARMACEUTICALS ADR	1/21/16	10/4/16	3,212	4,380	-1,168	C
185 000	GEA GROUP AG SPON ADR	4/29/16	10/20/16	7,744	8,576	-832	C
9 000	NXP SEMICONDUCTORS NV	3/29/16	10/26/16	888	736	152	C
505 000	ISHARES BARCLAYS 1-3 YR TSY BD	7/20/15	11/3/15	42,778	42,806	-28	C
100 000	DU PONT EI DE NEMOURS & CO	7/20/15	11/9/15	6,654	5,949	705	C
184 000	INTEL CORP	7/20/15	11/9/15	6,097	5,377	720	C
14 000	NORTHROP GRUMMAN CP(HLDG CO)	11/13/14	11/9/15	2,540	1,919	621	C
295 000	SPDR TRUST SERIES 1	11/3/15	12/11/15	60,046	62,292	-2,246	C
65 000	W W GRAINGER INC	9/9/15	12/11/15	12,494	14,640	-2,146	C
8 000	CSX CORP	7/27/15	12/14/15	201	244	-43	C
257 000	CSX CORP	9/16/15	12/14/15	6,468	7,463	-995	C
124 000	CUMMINS INC	9/9/15	12/14/15	10,732	14,907	-4,174	C
5 000	ELI LILLY & CO	7/27/15	1/5/16	422	420	2	C
104 000	FLEETCOR TECHNOLOGIES	6/11/15	1/5/16	14,356	16,695	-2,339	C
136 000	WALT DISNEY CO HLDG CO	1/29/15	1/19/16	12,725	12,592	134	C
25 000	EXPRESS SCRIPTS HLDG CO COM	7/20/15	1/20/16	1,772	2,294	-523	C
104 000	GENL DYNAMICS CORP	10/29/15	1/20/16	12,866	15,536	-2,669	C
204 000	T ROWE PRICE GROUP INC	11/3/15	2/8/16	13,209	15,638	-2,429	C
0 069	CALIFORNIA RES CORP COM	7/20/15	3/24/16	0	0	0	C
39 000	CALIFORNIA RES CORP COM	7/20/15	4/8/16	47	48	-1	C
1 000	CALIFORNIA RES CORP COM	7/27/15	4/8/16	1	1	0	C
11 000	ALLSTATE CORP	7/20/15	4/20/16	737	747	-10	C
4 000	ALPHABET INC CL C	5/18/15	4/20/16	3,008	2,136	872	C
140 000	BAXALTA INC COM	9/15/15	4/20/16	5,701	5,190	511	C
67 000	CA INCORPORATED	7/20/15	4/20/16	2,029	2,044	-15	C
28 000	CARDINAL HEALTH INC	7/20/15	4/20/16	2,439	2,426	13	C
40 000	CHEVRON CORP	7/20/15	4/20/16	4,092	3,721	371	C
66 000	CISCO SYS INC	7/20/15	4/20/16	1,890	1,848	41	C
109 000	EDISON INTERNATIONAL	7/20/15	4/20/16	7,662	6,358	1,304	C
25 000	HALLIBURTON CO	7/20/15	4/20/16	1,019	1,024	-5	C
28 000	HOME DEPOT INC	7/15/15	4/20/16	3,790	3,242	548	C
21 000	QUEST DIAGNOSTICS INC	7/20/15	4/20/16	1,567	1,535	32	C
350 000	U S BANCORP COM NEW	5/11/15	5/5/16	14,599	15,373	-775	C
0 000	BAXALTA INC COM	9/15/15	6/3/16	6,478	5,202	1,276	C
0 000	BAXALTA INC COM	10/26/15	6/3/16	13,237	9,716	3,521	C
230 000	BAXALTA INC COM	9/15/15	6/3/16	4,140	3,324	816	C
470 000	BAXALTA INC COM	10/26/15	6/3/16	8,460	6,210	2,250	C
0 740	SHIRE PLC ADR	6/3/16	6/3/16	142	141	1	C
103 000	SHIRE PLC ADR	6/3/16	6/6/16	19,743	19,574	169	C
445 000	ISHARES BARCLAYS 1-3 YR TSY BD	7/20/15	6/27/16	37,969	37,720	249	C
60 000	ISHARES BARCLAYS 1-3 YR TSY BD	7/29/15	6/27/16	5,119	5,089	31	C
415 000	ISHARES BARCLAYS 1-3 YR TSY BD	7/29/15	7/18/16	35,317	35,196	122	C
36 000	FORTIVE CORP	1/25/16	9/8/16	1,902	1,452	450	C
1,185 000	ISHARES GOLD TRUST	3/10/16	10/7/16	14,301	14,563	-262	C
204 000	ELI LILLY & CO	6/27/16	10/11/16	16,649	15,164	1,485	C
244 000	JPMORGAN CHASE & CO	2/4/16	10/13/16	16,318	14,132	2,187	C
188 000	SCHLUMBERGER LTD	4/20/16	10/13/16	15,238	15,314	-75	C
218 000	SPDR DJIA TRUST	7/12/16	10/13/16	39,170	39,941	-771	C
				866,992	913,658	-46,666	
6 000	AON PLC SHS CL-A	6/17/13	11/11/15	559	392	166	C
25 000	CISCO SYS INC	7/16/12	11/11/15	697	406	291	C
8 000	CVS HEALTH CORP COM	6/17/13	11/11/15	777	475	302	C

Quantity	Name	Date Acquired	Date Sold	Proceeds(\$)	Total Cost(\$)	Realized Gain/Loss(\$)	Covered Indicator
4 000	UNION PACIFIC CORP	6/17/13	11/11/15	340	313	27	C
108 000	METRO AG UNSPON ADR	8/18/14	1/27/16	607	752	-145	C
676 000	METRO AG UNSPON ADR	8/19/14	1/27/16	3,799	4,780	-981	C
637 000	METRO AG UNSPON ADR	8/20/14	1/27/16	3,580	4,475	-895	C
337 000	METRO AG UNSPON ADR	10/1/14	1/27/16	1,894	2,145	-251	C
351 000	METRO AG UNSPON ADR	10/2/14	1/27/16	1,973	2,231	-259	C
1 000	ALPHABET INC CL A	1/14/15	6/15/16	736	504	232	C
2 000	AON PLC SHS CL-A	6/17/13	6/15/16	214	131	84	C
9 000	CISCO SYS INC	7/16/12	6/15/16	259	146	113	C
13 000	CVS HEALTH CORP COM	6/17/13	6/15/16	1,256	772	484	C
2 000	UNION PACIFIC CORP	6/17/13	6/15/16	176	157	19	C
92 000	UNION PACIFIC CORP	6/17/13	6/16/16	7,938	7,199	739	C
76 000	CVS HEALTH CORP COM	6/17/13	6/29/16	7,165	4,513	2,653	C
8 000	ACTELION LTD UNSPON ADR	7/22/15	7/26/16	349	300	50	C
1 000	ALPHABET INC CL A	1/14/15	7/26/16	757	504	253	C
3 000	ANHEUSER BUSCH INBEV SA SPON	7/22/15	7/26/16	380	381	-1	C
2 000	AON PLC SHS CL-A	6/17/13	7/26/16	222	131	91	C
5 000	APPLE INC	7/22/15	7/26/16	484	625	-141	C
27 000	ASSA ABLOY AB UNSP ADR	7/22/15	7/26/16	290	268	22	C
3 000	BRISTOL MYERS SQUIBB CO	7/22/15	7/26/16	225	208	17	C
5 000	CHARLES SCHWAB NEW	7/22/15	7/26/16	143	176	-33	C
29 000	CISCO SYS INC	7/16/12	7/26/16	895	471	424	C
20 000	CISCO SYS INC	9/12/12	7/26/16	617	384	234	C
11 000	COMPASS GROUP PLC	7/22/15	7/26/16	213	190	23	C
4 000	CONTL AG SPONS ADR	7/22/15	7/26/16	165	190	-25	C
1 000	CVS HEALTH CORP COM	6/17/13	7/26/16	94	59	35	C
9 000	DAIWA HOUSE IND LTD ADR	7/22/15	7/26/16	251	224	27	C
40 000	DAVIDE CAMPARI-MILANO ADR	7/22/15	7/26/16	199	158	41	C
2 000	EOG RESOURCES INC	7/22/15	7/26/16	161	153	8	C
3 000	HONEYWELL INTERNATIONAL INC	7/22/15	7/26/16	348	314	33	C
1 000	INTERCONTINENTALEXCHANGE GROUP	7/22/15	7/26/16	262	233	29	C
107 000	ISHARES MBS ETF	7/22/15	7/26/16	11,747	11,664	83	C
5 000	KASIKORNBANK PUB CO LTD UNSPON	7/22/15	7/26/16	105	106	-1	C
108 000	KDDI CORP UNSPON ADR	7/22/15	7/26/16	1,661	1,350	311	C
1 000	KELLOGG CO	7/22/15	7/26/16	83	64	19	C
1 000	MCKESSON CORP	7/22/15	7/26/16	196	235	-39	C
2 000	MOLSON COORS BREWING CO CL B	7/22/15	7/26/16	196	143	54	C
2 000	MONSANTO CO/NEW	7/22/15	7/26/16	212	211	2	C
3 000	NOVO NORDISK A/S ADR	7/22/15	7/26/16	169	175	-6	C
19 000	NXP SEMICONDUCTORS NV	7/22/15	7/26/16	1,670	1,715	-45	C
28 000	PRUDENTIAL PLC ADR	7/22/15	7/26/16	981	1,358	-377	C
19 000	QUINTILES TRANSNATIONAL HLDGS	7/22/15	7/26/16	1,436	1,447	-12	C
5 000	REYNOLDS AMERICAN INC	7/22/15	7/26/16	250	201	49	C
12 000	ROCKWELL AUTOMATION INC	7/22/15	7/26/16	1,447	1,447	0	C
1 000	S&P GLOBAL INC COM	7/22/15	7/26/16	118	106	11	C
13 000	SAMPO OYJ UNSPON ADR	7/22/15	7/26/16	267	325	-58	C
104 000	SANLAM LTD ADR	7/22/15	7/26/16	958	1,184	-226	C
4 000	SCHLUMBERGER LTD	7/22/15	7/26/16	322	343	-21	C
2 000	SHIRE PLC ADR	7/22/15	7/26/16	387	516	-129	C
312 000	SPDR BARCLAYS CAPITAL SHORT	7/22/15	7/26/16	9,594	9,528	65	C
66 000	SYMRISE AG UNSPONS ADR	7/22/15	7/26/16	1,156	1,104	52	C
46 000	TAIWAN SMCNDCTR MFG CO LTD ADR	7/22/15	7/26/16	1,303	1,019	283	C
1 000	THERMO FISHER SCIENTIFIC	7/22/15	7/26/16	159	140	19	C
28 000	TYCO INTL PLC SHS	7/22/15	7/26/16	1,277	1,044	233	C
3 000	UNILEVER PLC (NEW) ADS	7/22/15	7/26/16	140	134	6	C
3 000	UNITED TECHNOLOGIES CORP	7/22/15	7/26/16	323	304	18	C
3 000	VISA INC CL A	7/22/15	7/26/16	235	215	20	C
7 000	WOLTERS KLUWER NV SPON ADR	7/22/15	7/26/16	290	220	69	C
26 000	ZOETIS INC CLASS-A	7/22/15	7/26/16	1,299	1,298	1	C
337 000	KASIKORNBANK PUB CO LTD UNSPON	7/22/15	8/9/16	7,669	7,151	517	C

Quantity	Name	Date Acquired	Date Sold	Proceeds(\$)	Total Cost(\$)	Realized Gain/Loss(\$)	Covered Indicator
132 000	ACTELION LTD UNSPON ADR	7/22/15	8/15/16	5,518	4,943	574	C
0 985	JOHNSON CTLS INTL PLC	7/22/15	9/6/16	47	38	8	C
74 000	APPLE INC	7/22/15	9/9/16	7,676	9,246	-1,570	C
0 720	ADVANSIX INC	7/22/15	9/30/16	10	10	0	C
6 000	ADVANSIX INC	7/22/15	10/4/16	92	83	9	C
2 000	ALPHABET INC CL A	1/14/15	10/4/16	1,606	1,008	598	C
2 000	ALPHABET INC CL C	7/22/15	10/4/16	1,553	1,323	231	C
8 000	AON PLC SHS CL-A	6/17/13	10/4/16	892	523	368	C
11 000	APPLE INC	7/22/15	10/4/16	1,243	1,374	-131	C
15 000	BRISTOL MYERS SQUIBB CO	7/22/15	10/4/16	827	1,040	-214	C
21 000	CONTL AG SPONS ADR	7/22/15	10/4/16	881	997	-116	C
33 000	DAIWA HOUSE IND LTD ADR	7/22/15	10/4/16	886	823	63	C
189 000	DAVIDE CAMPARI-MILANO ADR	7/22/15	10/4/16	1,041	748	293	C
3 000	INTERCONTINENTALEXCHANGE GROUP	7/22/15	10/4/16	804	699	105	C
89 000	ISHARES MBS ETF	7/22/15	10/4/16	9,771	9,702	69	C
5 000	MCKESSON CORP	7/22/15	10/4/16	827	1,174	-347	C
12 000	PROCTER & GAMBLE	9/4/15	10/4/16	1,060	828	232	C
28 000	REYNOLDS AMERICAN INC	7/22/15	10/4/16	1,307	1,125	181	C
14 000	SCHLUMBERGER LTD	7/22/15	10/4/16	1,099	1,201	-101	C
156 000	SPDR BARCLAYS CAPITAL SHORT	7/22/15	10/4/16	4,783	4,764	19	C
16 000	VISA INC CL A	7/22/15	10/4/16	1,324	1,149	176	C
207 000	BRISTOL MYERS SQUIBB CO	7/22/15	10/11/16	10,329	14,358	-4,029	C
14 000	MCKESSON CORP	7/22/15	10/11/16	2,297	3,286	-989	C
2,493 000	DAVIDE CAMPARI-MILANO ADR	7/22/15	10/25/16	12,566	9,867	2,699	C
85 000	NXP SEMICONDUCTORS NV	7/22/15	10/26/16	8,387	7,672	715	C
31 000	MCKESSON CORP	7/22/15	10/28/16	3,810	7,276	-3,466	C
11 000	MCKESSON CORP	8/24/15	10/28/16	1,352	2,173	-821	C
53 000	RAYTHEON CO (NEW)	8/20/14	11/9/15	6,203	5,117	1,086	C
250 000	CSX CORP	5/31/13	12/14/15	6,292	6,366	-74	C
83 000	ELI LILLY & CO	6/14/13	1/5/16	7,011	4,311	2,700	C
65 000	C R BARD INC NJ	11/5/14	1/20/16	11,437	10,695	742	C
26 000	C R BARD INC NJ	11/12/14	1/20/16	4,575	4,269	306	C
25 000	EXPRESS SCRIPTS HLDG CO COM	11/12/13	1/20/16	1,772	1,622	150	C
91 000	EXPRESS SCRIPTS HLDG CO COM	7/29/14	1/20/16	6,449	6,135	314	C
10 000	BERKSHIRE HATHAWAY CL-B NEW	12/27/13	4/20/16	1,456	1,181	275	C
4 000	COSTCO WHOLESALE CORP NEW	2/22/12	4/20/16	614	338	276	C
20 000	JOHNSON & JOHNSON	2/7/14	7/25/16	2,496	1,798	698	C
80 000	JOHNSON & JOHNSON	2/7/14	7/25/16	9,983	7,191	2,793	C
20 000	JOHNSON & JOHNSON	11/26/14	7/25/16	2,496	2,142	354	C
176 000	WASTE MGMT INC (DELA)	7/20/15	7/25/16	11,831	8,553	3,278	C
359 000	JOHNSON CONTROLS INCORPORATED	7/20/15	8/30/16	15,806	17,044	-1,238	C
267 000	JOHNSON CONTROLS INCORPORATED	7/20/15	8/31/16	11,823	12,676	-853	C
36 000	JOHNSON CONTROLS INCORPORATED	7/27/15	8/31/16	1,594	1,589	5	C
87 000	FORTIVE CORP	1/3/13	9/8/16	4,597	2,396	2,201	C
202 000	EXXON MOBIL CORP	9/9/15	9/12/16	17,610	14,897	2,713	C
278 000	WELLS FARGO & CO NEW	11/28/14	9/15/16	12,729	15,141	-2,412	C
				302,437	289,998	12,439	
				1,169,429	1,203,657	-34,227	

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Date Issued	Purpose	Amount
10/17/16	Anna Julia Cooper Episcopal School We respectfully request that the Golden Rule Foundation consider a grant of \$5,000 that will cover a significant portion of the \$13,200 needed to fund a campaign to expand communications, marketing, promotion, and recruitment efforts of Anna Julia Cooper Episcopal School	2124 N 29th Street Richmond Virginia 23223 \$5,000.00
10/17/16	Art Omi To support a fellowship at Art Omi for an artist who has never been to the United States Art Omi provides an unparalleled opportunity for artists to interact with peers from all over the world and an opportunity to show and discuss their work with arts professionals from the NYC area through an intensive studio visits program.	55 Fifth Avenue 15 floor New York New 10003 \$5,000.00
12/28/15	Belfast Area Children's Services Help support the music maker program as well as support professional development for our staff who need to renew there CDA certificates and another who is seeking their BS degree in ECE/Leadership We also would like to enhance our educational curriculum and program dev by purchasing materials, making facility improvements and obtaining general consultation services.	1025 Waterville Rd Waldo ME 04915 \$26,500.00
2/8/16	CDSS (Country Dance & Song Society) Grant funds will support the dance & amp, song leadership of Chrissy Fowler in various projects in schools and the community	116 Pleasant St., Suite 345 Easthampton MA 01027 \$3,000.00
7/22/16	CHALLENGE AMERICA TO PAY FOR THE EXPENSES OF THE GOLF TOURNAMENT WHICH INCLUDE -GOLF COURSE FEE -LUNCH -DINNER -PRIZES -LOGISTICS FOR GETTING THE GOLF PROFESSIONALS TO THE EVENT	309 SNOWMASS VILLAGE DENVER CO 81615 \$10,000.00
10/24/16	Community Futures Foundation DBA Community Brain Injury Services Community Brain Injury Services (CBIS) is respectfully requesting grant support from the Golden Rule Foundation for operational support for FY16-17 Financial support from the Golden Rule Foundation would be used to support the development of ancillary educational services that would be offered to active members and clients, individuals on our waiting lists, family members, and community members	681 Hioaks Rd Suite G Richmond VA 23225 \$5,000.00
5/2/16	Environmental Film Festival in the Nation's Capital The Environmental Film Festival in the Nation's Capital (EFF) requests a general operating grant of \$1,000 from the Golden Rule Foundation in support of the 2016 Festival, which will take place from March 15-26	1228 1/2 31st Street, NW Washington DC 20007 \$1,000.00

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Date Issued	Purpose	Amount
7/25/16	Fractured Atlas The Impermanent Society of Philadelphia (ISOP) presents year 2 of its annual festival of Free Improvisation in Sound & Movement, 10/17 - 10/23/16 ISOP's approach of music and dance, along with its focus on education, community engagement, and performance provide benefits to a range of stakeholders including neighborhood youth, aspiring and established practitioners, scholars, and local residents	\$3,000.00
10/17/16	Friends of Urban Inc Many students come to Urban Academy with negative experiences learning a foreign language. An American Sign Language (ASL) program is a way to expose students to another language and another grammar. This grant would allow us to hire an ASL teacher who is deaf and for whom ASL is his first language. He would teach two immersion courses taught entirely in ASL	\$5,000.00
10/17/16	Friends of Urban Inc Urban Academy produces an annual school musical. This grant would cover the costs of hiring personnel (a musical director and a choreographer), as well as provide money toward costumes and materials that our students use to build the set	\$3,000.00
8/8/16	Gallery Aferro To bring cultural education and aesthetic engagement with contemporary issues to all people equally, and to create an environment where artists can gather and share physical and intellectual resources. We request support for our ambitious annual roster of exhibitions, public events, artist residency program, education offerings, public art and publications as part of our ongoing expansion.	\$15,000.00
10/17/16	Girls Rock RVA Girls Rock! RVA requests funds for a comprehensive, two-year outreach campaign to further our engagement efforts with campers and volunteers of color and from low-income backgrounds. Our outreach efforts last year were highly successful, increasing our camp's diversity by over 200%. We want to build on this success over the coming years, so that our camp reflects and supports our diverse city	\$5,000.00
10/17/16	Hooves for Harmony, Inc To cover overhead such as board, insurance, and vet bills so we can stay open and continue to provide horse therapy to the community. We currently have 9 riders that go on a 30 minute trail ride into O'Hair Park every Sunday morning. We have started working with more foster children, as we are now listed as a resource by the Marin County Foster Parent Association	\$7,000.00
7/25/16	ISSUE Project Room, Inc. ISSUE is undergoing a period of unprecedented capital expansion that will result in a state-of-the-art facility in Downtown Brooklyn for a distinct community of under-represented experimental artists. A grant from Golden Rule Foundation would ensure ongoing financial stability so that ISSUE can remain focused on organizational priorities and capacity building to meet future needs	\$20,000.00

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Date Issued	Purpose	Amount
7/18/16	Maine Youth Alliance dba the Game Loft The Game Loft is open to serve 200+ youth 50 weeks a year. Summers are a demonstrated time of learning loss for young people unless they have engaging activities. For disadvantaged youth it is often difficult to get access to these activities. The Loft is committed to running throughout the summer with extended hours and transportation services but cannot do this without additional support.	\$8,000.00
10/17/16	Maine Youth Alliance dba the Game Loft General Support for the Game Loft program especially our effort to provide transportation for youth	\$3,000.00
7/18/16	Neon Museum The Neon Museum respectfully requests funding for a collaborative professional storytelling program to be presented in schools and at the Neon Museum over three days for 1300 students and families from underserved elementary schools. Bus transportation fees will be provided through the program.	\$10,000.00
12/28/15	Northeast Historic Film This project will help fund four distinct projects at Northeast Historic Film. First, \$5,000 will go to the on-going "Millinocket Project", for which we have also applied to the Quimby family Foundation for an additional \$50,000. \$5,000 will go towards the on-going Museum Show. \$9,000 will support the podcast project and the final \$2,000 is to pay for the NHF show at ClIFF, Movies of Charles Shay.	\$21,000.00
3/14/16	Northeast Historic Film The purpose of this request is to provide general operating support for Northeast Historic Film	\$12,000.00
7/5/16	Northeast Historic Film Additional funds for an a project funded by an earlier Golden Rule grant to provide pass through funding to Sheldon Weiss Productions to support the Northeast Historic Film podcast project	\$8,500.00
10/17/16	Northeast Historic Film This grant is for covering the expense of additional financial planning to aid in the leadership transition at Northeast Historic Film	\$1,000.00

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Date Issued	Purpose	Amount
7/18/16	Encourage better tree care through informational outreach and training to residents, Organize a Tree Tenders group for the area, Inventory area trees, remove dead trees, Plant, prune, and tend trees through Tree Tenders program activity	\$15,000.00
10/17/16	With this grant we will develop production workshops to train young people in audio production to create programming for our new low power FM radio station, WPPM 106.5 FM. This includes hiring media instructors and working artists with expertise in audio and music production to support the students in creating high quality recordings of original beats, music, vocal tracks and spoken word	\$1,000.00
7/18/16	PAL would like to respectfully request a grant of \$20,000 for an OZOBOT Challenge Program. OZOBOT technology utilizes a physical toy with a digital application. Students will be exposed to computer science in a fashion that allows them to gain confidence, master STEM learning and be introduced to robotics	\$20,000.00
10/17/16	Brooklyn Raga Massive (BRM) is a musician's collective rooted in and inspired by raga music (Indian classical music). We are seeking funding to support our ongoing Weekly Concert and Jam Session series. The events feature live Indian classical music or a band that incorporates Indian musical elements, followed by a jam session which has built a vibrant community of artists and raga music lovers	\$1,000.00
10/17/16	Red Paw's Emergency Response, Transport, Medical Care and Wellness Programs are at the core of the organization's mission. With this proposal, Red Paw respectfully requests a \$5,000 grant to cover costs associated with the delivery of Emergency Veterinary Care and Basic Care including exams, routine tests, procedures, fluids, medications, vaccinations and parasite control	\$5,000.00
10/17/16	Roulette Intermedium requests funding from The Golden Rule Foundation to support the presentation of work by experimental composers, choreographers, and Intermedia artists, and promote their creative endeavors through commissions, residencies, and other support services. Funds would also be used to specifically support co-presentations with Robert Browning Associates	\$10,000.00
7/18/16	If Shawmont is awarded the funding through this grant opportunity the funds would be used to upgrade the sound and light systems in the auditorium	\$10,000.00

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Date Issued	Purpose	Amount
3/14/16	Stageworks on the Hudson, Inc. (aka Stageworks/Hudson) Stageworks' request to The Golden Rule Foundation is for 2016 Project Support for an advanced staged performance of TURQUOISE by Deb Margolin in preparation for its Stageworks world premiere and the development of AS YOU LOATHE IT by Cathy Tempelman, furthering our mission to produce adventurous, risk-taking new work that explores and defines theatrical style and the poignant issues of our times.	41 A Cross Street Hudson New 12534 \$5,000.00
8/8/16	Studio Two Three On behalf of Studio Two Three (S23), our Board of Directors, and the community we serve, I am writing request project support for Studio Two Three To-Go (S23 To-Go) S23 To-Go is a 14' step van that will serve as a mobile printmaking and photography studio and retail store, styled after an ice cream truck to emphasize art's ability to feed the human spirit	3300 W Clay St Richmond VA 23230 \$5,000.00
10/17/16	Studio Two Three On behalf of Studio Two Three (S23), our Board of Directors, and the community we serve, I am writing request project support for Studio Two Three To-Go (S23 To-Go) S23 To-Go is a 14' step van that will serve as a mobile printmaking and photography studio and retail store, styled after an ice cream truck to emphasize art's ability to feed the human spirit	3300 W Clay St Richmond VA 23230 \$4,000.00
10/24/16	Studio Two Three I am writing to request \$10,000 in operating support for staff salaries and stipends for artist instructors.	3300 W Clay St Richmond VA 23230 \$10,000.00
10/17/16	The Animal Rescue League of Berks County, Inc. Program Overview Children in grades 1-8 who are able to read at any level are invited to the shelter to read to the cats in our adoption room The program helps children improve their reading skills while also helping the shelter animals by providing socialization and human interaction Cats find the rhythmic sound of a voice very comforting and soothing	58 Kennel Road Birdsboro PA 19508 \$3,000.00
10/17/16	The Brooklyn Rail We kindly request \$5,000 to renew our program of stipends for the Rail's editorial staff Compensation allows editors to dedicate the time and energy our journal requires, producing high-quality content for readers	253 36th Street Suite C304, Unit 20 Brooklyn NY 11238 \$5,000.00
7/22/16	The Grannie Project, Inc. Funding this project would give us the ability to rescue approximately 30 senior cats from high kill shelters The funding would be used solely to provide veterinary care for the additional cats. We would also like to help some of the more complex cases - like dental cleanings, wound care, or potential amputations Seniors with issues like these are the least likely to be saved from the shelter	PO Box 1501 Southeastern PA 19399 \$10,000.00

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Date Issued		Purpose	Amount
7/5/16	The Sharing Foundation	We are requesting assistance with operating funds to order to continue the good work The Sharing Foundation supports as outlined in the documents attached, in particular the TSF Brochure The educational and health programs/support we are able to provide, will help these children lift themselves and their country in the years ahead We thank you in advance for your consideration.	\$2,000.00
7/25/16	Trestle Gallery	We are requesting \$1500 to produce a short-run printed catalogue and pay for artwork to be shipped from San Francisco to Brooklyn for Paper Pushers, a show to be held at Trestle Gallery in September/ October 2016 thank you	\$1,500.00
10/24/16	Trestle Gallery	TG is seeking funding for the production of two exhibition catalogues to document our 2017 exhibition series, Artist As Curator The two catalogues will serve as resource to engage with the show during and after the runs in the gallery As art producers and culture makers, our guest curators bring a depth of professional knowledge, and a fresh perspective to contemporary themes in visual arts	\$3,000.00
10/24/16	Trestle Gallery	We request funds to support an exhibition to be titled Oppler This diverse group of international and national abstract artists works explore color, perception and space The funds will be used for shipping artworks, honoraria for participating artists (which may be used to offset the cost of travel), curator's honoraria and production of a catalogue	\$2,500.00
10/17/16	Visual Arts Center of Richmond	To support the continuation of two educational arts programs for youth Space of Her Own (SOHO) and Art After School (AAS). SOHO pairs 12 6th-grade girls from public housing with a woman mentor for 22-weeks of leadership development and arts activities AAS delivers arts education to 550 children in need of creative outlets, fostering positive youth development through the challenge of art-making	\$10,000.00
Total Foundation Funds Granted:			\$300,000.00