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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2015 or tax year beginning **November 1**, 2015, and ending **October 31**, 20 **16**

Name of foundation The Goppert Foundation		A Employer identification number 446013933
Number and street (or P.O. box number if mail is not delivered to street address) 10401 Holmes Rd.	Room/suite 222	B Telephone number (see instructions) (816) 942-7595
City or town, state or province, country, and ZIP or foreign postal code Kansas City, Missouri 64131		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 45,700,517	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	186			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	655,858	655,858	0	
	4 Dividends and interest from securities	1,239,852	1,239,852	0	
	5a Gross rents	0	0	0	
	b Net rental income or (loss)	0			
	6a Net gain or (loss) from sale of assets not on line 10	309,261			
	b Gross sales price for all assets on line 6a 3,158,909				
	7 Capital gain net income (from Part IV, line 2)		309,261		
	8 Net short-term capital gain			0	
	9 Income modifications			0	
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)	0		0		
11 Other income (attach schedule)	0	0	0		
12 Total. Add lines 1 through 11	2,205,157	2,204,971	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	4,000	2,000	0	2,000
	14 Other employee salaries and wages	0	0	0	0
	15 Pension plans, employee benefits	0	0	0	0
	16a Legal fees (attach schedule)	8,575	4,288	0	4,287
	b Accounting fees (attach schedule)	0	0	0	0
	c Other professional fees (attach schedule)	243,383	121,691	0	121,692
	17 Interest	0	0	0	0
	18 Taxes (attach schedule) (see instructions)	20,626	0	0	0
	19 Depreciation (attach schedule) and depletion	196	196	0	
	20 Occupancy	24,507	12,253	0	12,254
	21 Travel, conferences, and meetings	0	0	0	0
	22 Printing and publications	19	9	0	10
	23 Other expenses (attach schedule)	3,884	1,942	0	1,942
	24 Total operating and administrative expenses. Add lines 13 through 23	305,190	142,379	0	142,185
25 Contributions, gifts, grants paid	2,080,190			2,080,190	
26 Total expenses and disbursements. Add lines 24 and 25	2,385,380	142,379	0	2,222,375	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-180,223				
b Net investment income (if negative, enter -0-)		2,062,592			
c Adjusted net income (if negative, enter -0-)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	1,619,694	716,304	716,304
	2	Savings and temporary cash investments	0	0	0
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶	0	0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶	0	0	0
	5	Grants receivable	0	0	0
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	0	0	0
	8	Inventories for sale or use	0	0	0
	9	Prepaid expenses and deferred charges	0	0	0
	10a	Investments—U.S. and state government obligations (attach schedule)	12,348,016	12,320,755	13,730,303
	b	Investments—corporate stock (attach schedule)	5,412,808	5,412,808	6,168,681
	c	Investments—corporate bonds (attach schedule)	0	0	0
	11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶	0	0	0	
12	Investments—mortgage loans	0	0	0	
13	Investments—other (attach schedule)	19,955,208	20,726,458	24,938,125	
14	Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶	489	293	293	
15	Other assets (describe ▶)	0	16,051	146,811	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	39,336,215	39,192,669	45,700,517	
Liabilities	17	Accounts payable and accrued expenses	0	0	
	18	Grants payable	0	0	
	19	Deferred revenue	0	0	
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶ (est. excise tax applied: 2016-17))	-36,677	0	
	23	Total liabilities (add lines 17 through 22)	-36,677	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	0	0	
	25	Temporarily restricted	0	0	
	26	Permanently restricted	0	0	
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	31,954,552	31,954,738	
29	Retained earnings, accumulated income, endowment, or other funds	7,418,340	7,237,931		
30	Total net assets or fund balances (see instructions)	39,372,892	39,192,669		
31	Total liabilities and net assets/fund balances (see instructions)	39,336,215	39,192,669		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 39,372,892
2	Enter amount from Part I, line 27a	2 -180,223
3	Other increases not included in line 2 (itemize) ▶	3 0
4	Add lines 1, 2, and 3	4 39,192,669
5	Decreases not included in line 2 (itemize) ▶	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 39,192,669

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	(SEE ATTACHMENT)			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	3,158,909	2,849,648		
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (j) over col. (k), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	309,261	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	2,206,887	43,002,846	.0513
2013	1,957,770	40,771,384	.0480
2012	1,876,893	38,694,043	.0485
2011	1,789,387	36,336,394	.0492
2010	1,763,919	36,152,028	.0488

2	Total of line 1, column (d)	2	.2458
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.04916
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	44,723,817
5	Multiply line 4 by line 3	5	2,198,623
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	20,626
7	Add lines 5 and 6	7	2,219,249
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	2,222,375

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1		20,626
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		20,626
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		20,626
6	Credits/Payments:			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	36,377	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		36,677
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		16,051
11	Enter the amount of line 10 to be: Credited to 2016 estimated tax ▶ 16,051 Refunded ▶	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MISSOURI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE	✓	
14	The books are in care of ► THOMAS A. GOPPERT Telephone no. ► 816/942-7595 Located at ► 10401 HOLMES RD. STE. 222 KC, MO. ZIP+4 ► 64131-3450		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year		15
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	✓
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	✓
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Richard D. Göppert Kansas City, Mo	Chm Dir 5	0	0	0
M. Charles Kellogg Leawood, Ks	VP Dir 5	0	0	0
Autumn Y. Markley Lee's Summit, Mo	VP Dir 20	0	0	0
Thomas A. Goppert Lake Winnebago, Mo	V Chm Dir 10	0	0	0
Carolyn . Kellogg Leawood, Ks	Dir 1	4,000	0	0
Billy E. Campbell Norborne, Mo	Dir 1	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	44,150,272
b	Average of monthly cash balances	1b	1,254,144
c	Fair market value of all other assets (see instructions)	1c	474
d	Total (add lines 1a, b, and c)	1d	45,404,890
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	45,404,890
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	681,073
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	44,723,817
6	Minimum investment return. Enter 5% of line 5	6	2,236,191

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,236,191
2a	Tax on investment income for 2015 from Part VI, line 5	2a	20,626
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	0
c	Add lines 2a and 2b	2c	20,626
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,215,565
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	2,215,565
6	Deduction from distributable amount (see instructions)	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,215,565

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	2,222,375
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,222,375
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	20,626
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,201,749

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,215,565
2 Undistributed income, if any, as of the end of 2015:				
a Enter amount for 2014 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	0			
b From 2011	0			
c From 2012	0			
d From 2013	0			
e From 2014	78,852			
f Total of lines 3a through e	78,852			
4 Qualifying distributions for 2015 from Part XII, line 4. ▶ \$ <u>2,222,375</u>				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2015 distributable amount				2,215,565
e Remaining amount distributed out of corpus	6,810			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	85,662			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	85,662			
10 Analysis of line 9:				
a Excess from 2011	0			
b Excess from 2012	0			
c Excess from 2013	0			
d Excess from 2014	78,852			
e Excess from 2015	6,810			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) ~~NOT APPLICABLE~~

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ~~NOT APPLICABLE~~

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Prior 3 years				(e) Total
	Tax year				
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

CLARENCE H. GOPPERT, founder and former Chairman, deceased in 1999

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

AUTUMN Y. MARKLEY, VP C/O THE GOPPERT FOUNDATION, 10401 Holmes Rd. Ste 222, Kansas City, MO 64131

b The form in which applications should be submitted and information and materials they should include:

Formal written requests, including evidence of IRS 501(c)3 status

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

501(c)3 Charities located in eastern Kansas or western Missouri: for medical facilities, community betterment, or education

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
SEE SCHEDULE	N/A	501c3	Various regional charities	2,080,190
Total				3a 2,080,190
b <i>Approved for future payment</i>				
SEE SCHEDULE	N/A	501(c)3	Various regional charities	831,600
Total				3b 831,600

Schedule of Contributors

2015

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Not Applicable

Name of the organization

The Goppert Foundation

Employer identification number

446013933

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. *(Less than \$5,000)*

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
----------------------	--------------------------------

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Vita M. Goppert Trust ----- ----- -----	\$ ----- 186	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

THE GOPPERT FOUNDATION
2015 990-PF SUPPORTING SCHEDULES
ID# 446013933

PART I LINE 1

VM Goppert Trust: Distribution \$186

PART I LINE 16a

Legal Fees: Pathway Financial Management \$8,575

PART I LINE 16c

Goppert Financial Corp.: for reimbursement of time devoted to "The Foundation" in investment capacities, tax assistance and evaluation of grant applications.

	\$151,950
Charles Schwab Investment Services	<u>\$91,433</u>
Total	\$243,383

Allocation: 50% in investment functions	\$121,691
50% in charitable functions	<u>\$121,692</u>
Total	\$243,383

PART I LINE 18

'15 Excise Tax on investment income \$ 20,634

PART I LINE 19

Depreciation: Monitor \$489 (-196 balance \$293) \$ 196

PART I LINE 23

Other	\$ 8
Foreign Taxes Charles Schwab Inv.	\$ 2,327
Liability Insurance	<u>\$ 1,549</u>
Total	\$ 3,884

} 50% Investm
} 50% Charitable

PART II LINE 10b

	(b)	(c)
Investments:		
CAI non-voting stock	5,412,808	6,168,681

PART II LINE 13

	(b)	(c)
Investments: Charles Schwab Fund	20,726,458	24,938,125

PART III LINE 5

Adj. to Fund Balances 0

Part II Assets

The Goppert Foundation

Balance Sheet (Final)

10/31/2016

					CASH BASIS BOOK VALUE	ESTIMATED MRKT VALUE 10/31/2016
ASSETS						
BK OF ODESSA - CASH	TEMP				711,304 05	711,304 05
UMB Bank - CASH	TEMP				5,000 00	5,000 00
SECURITIES	(See Schedule)				12,320,112 25	13,729,660 35
GNMA	INVEST	12/15/18	10 030	10 000	642 42	642 42
EQUIP					293 35	293 35
Accrued Interest on Securities					-	130,759 87
CAI STOCK NON VOTING	4931 SHS	@1251	on 10-31-16		5,412,808 01	6,168,681 00
CHARLES SCHWAB	INVEST				20,726,458 27	24,938,125 05
EXCISE TAX OVERPAYMENT					16,051 00	16,051 00
TOTAL ASSETS					<u>39,192,669 35</u>	<u>45,700,517 09</u>
CAPITAL						
ACCUMULATED INCOME					5,556,250 21	
NET INCOME TO DATE					1,899,781 84	
					0 00	
PAID IN SURPLUS					31,736,637 30	
TOTAL CAPITAL					<u>39,192,669 35</u>	

Part II Item 10a.

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Sec ID	Grp	Description	Rate	Maturity	EstMaturity	Original Face	Issue Dt	Aft Tx Yld	Sch	Intn	S&P	Book Value	Unrealized P/L
Type	Ticket	C-P Date/Price/Code				Par/Cur Face	Pur Date	Yield	Calc	Pl	Moody	Market Value	Realized P/L
						Principal Cost	Pur Price	Tax Eq Yld	Qual	Loc	Fitch	Price Source	Price Date
3133X0PF0		FEDERAL HOME LOAN BANK				1,000,000.00	08/13/2003	5.000470	fa15	m	AA+	1,006,333.69	70,696.31
120		5 3750 08/15/2018				1,000,000.00	5.000000	a			AAA	1,077,030.00	00
101	167(57228)					1,029,336.77	102.936777	5.000470	n	UMB	Bloomberg		10/26/2016
1 Holdings for 2018 1 79 Yrs													
						1,000,000.00		5.000470				1,006,333.69	70,696.31
						1,000,000.00		5.000000				1,077,030.00	00
						1,029,336.77		5.000470					00
46746QUM4		JACKSON CNTY MO UT REORG				1,000,000.00	11/15/2009	4.950000	ms	1 m	AA	1,000,000.00	128,860.00
131		4.9500 03/01/2022				1,000,000.00	11/24/2009	4.949000	a		NR	1,128,860.00	00
223	167057236					1,000,000.00	100.000000	4.950000	n	UMB	ssnldc		10/26/2016
1 Holdings for 2022 5 33 Yrs													
						1,000,000.00		4.950000				1,000,000.00	128,860.00
						1,000,000.00		4.949000				1,128,860.00	00
						1,000,000.00		4.950000					00
791697BN4		ST LOUIS MO UT SPL ADMIN BRD				500,000.00	12/21/2010	5.020410	ao	1 m	AA+	536,685.92	81,524.08
131		6 1000 04/01/2025				500,000.00	04/20/2011	5.020000	a		NR	618,210.00	00
223	167057256					553,675.00	110.735000	5.020410	n	UMB	ssnldc		10/26/2016
815626LQ9		SEDGWICK CNTY KANS UT UNI SCH				500,000.00	03/01/2010	5.390000	ao	1 m	AA	500,000.00	61,195.00
131		5.3900 10/01/2025				500,000.00	03/03/2010	5.389000	a		AA2	551,195.00	00
223	167057247					500,000.00	100.000000	5.390000	n	UMB	ssnldc		10/26/2016
2 Holdings for 2025 8 67 Yrs													
						1,000,000.00		5.205205				1,036,685.92	142,719.08
						1,000,000.00		5.204500				1,179,405.00	00
						1,053,675.00		5.205205					00
428864CV2		HICKORY CNTY SCH DIST R-1				350,000.00	06/15/2010	5.350000	ms	1 m	AA+	350,000.00	74,945.50
131		5.3500 03/01/2026				350,000.00	06/24/2010	5.349000	a		NR	424,945.50	00
223	167057234					350,000.00	100.000000	5.350000	n	UMB	ssnldc		10/26/2016
566880JN8		MARICOPA CNTY ARIZ SCH DIST NO				765,000.00	02/24/2010	5.551310	jj	1 m	NR	776,247.41	88,432.09
131		6.0000 07/01/2026				765,000.00	04/16/2010	5.550000	a		AA2	864,679.50	00
223	167057241					791,476.65	103.461000	5.551310	n	UMB	ssnldc		10/26/2016
48542K2C2		KANSAS ST DEV FIN AUTH REV				150,000.00	02/25/2010	5.000770	mn	1 m	A+	153,491.26	15,276.74
131		5.6500 11/01/2026				150,000.00	06/07/2011	5.000000	a		AA3	168,768.00	00
224	167057254					157,233.00	104.822000	5.000770	n	UMB	ssnldc		10/26/2016
3 Holdings for 2026 9.62 Yrs													
						1,265,000.00		5.430330				1,279,738.67	178,654.33
						1,265,000.00		5.429170				1,458,393.00	00
						1,298,709.65		5.430330					00
183513CX6		CLAY CNTY MO UT SCH DIST R-II				474,000.00	08/05/2010	5.510000	ms	1 m	AA+	474,000.00	115,347.80
131		5.5100 03/01/2027				474,000.00	08/05/2010	5.510000	a		NR	583,347.90	00
223	167057226					474,000.00	100.000000	5.510000	n	UMB	ssnldc		10/26/2016

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Sec ID	Grp	Description	Rate	Maturity	EstMaturity	Original Face	Issue Dt	Aft Tx Yld	Sch	Intn	S&P	Book Value	Unrealized P/L
Type	Ticket	C-P Date/Price/Code				Par/Cur Face	Pur Date	Yield	Calc	Pl	Moody	Market Value	Realized P/L
						Principal Cost	Pur Price	Tax Eq Yld	Qual	Loc	Fitch	Price Source	Price Date
394532FM2		GREENE CNTY MO REORG SD #03				250,000.00	06/15/2010	5.720000	ms	1 m	AA+	250,000.00	53,475.00
131		5.7200 03/01/2027				250,000.00	06/29/2010	5.719000	a		NR	303,475.00	00
223	167057233					250,000.00	100.000000	5.720000	n	UMB	ssnldc		10/26/2016
569101F70		MARION CNTY MO UT SCH DIST				250,000.00	08/01/2010	5.450000	ms	1 m	AA+	250,000.00	51,140.00
131		5.4500 03/01/2027				250,000.00	08/10/2010	5.450000	a		NR	301,140.00	00
223	167057243					250,000.00	100.000000	5.450000	n	UMB	ssnldc		10/26/2016
31364FDC2		FEDERAL NATL MORTGAGE ASSN				540,000.00	10/08/1997	5.010200	ao	8 m	AA+	585,936.87	144,936.93
120		6.0300 10/08/2027				540,000.00	04/21/2010	5.010000	a		AAA	730,873.80	00
103	167057231					603,597.40	111.777296	5.010200	n	UMB	Bloomberg		10/26/2016
4 Holdings for 2027 10 55 Yrs													
						1,514,000.00		5.356505				1,559,936.87	364,899.83
						1,514,000.00		5.356268				1,924,836.70	00
						1,577,597.40		5.356505					00
442403FY2		HOUSTON TEX LTD INDPST SCH				500,000.00	11/01/2009	5.106490	fa15	m	AA+	510,870.62	36,479.38
131		6 1200 02/15/19				500,000.00	10/20/2011	5.105000	a		AA+	547,350.00	00
223	167057252					532,495.00	106.499000	5.106490	n	UMB	ssnldc		10/26/2016
31359MEA7		FEDERAL NATL MORTGAGE ASSN				270,000.00	08/06/1998	5.040730	fa	7 m	AA+	296,578.32	78,365.28
120		6 1600 08/07/2028				270,000.00	04/26/2010	5.040000	a		AAA	374,943.60	00
103	167057230					305,821.20	113.267311	5.040730	n	UMB	Bloomberg		10/26/2016
4784496P1		JOHNSON CNTY KANS UT BUILD				950,000.00	12/17/2009	5.350000	ms	1 m	AAA	950,000.00	44,992.00
131		5.3500 09/01/2028				950,000.00	12/17/2009	5.350000	a		AAA	994,992.00	00
223	167057237					950,000.00	100.000000	5.350000	n	UMB	ssnldc		10/26/2016
3 Holdings for 2028 11 67 Yrs													
						1,720,000.00		5.230664				1,757,448.94	259,836.66
						1,720,000.00		5.230116				1,917,285.60	00
						1,788,316.20		5.230664					00
56728BRY3		MARICOPA CNTY ARIZ UT UNI SCH				500,000.00	12/15/2010	5.440840	jj	1 m	AA	539,361.61	90,883.39
131		6 3090 07/01/2029				500,000.00	04/21/2011	5.440000	a		AA1	630,245.00	00
223	167057255					549,740.00	109.948000	5.440840	n	UMB	ssnldc		10/26/2016
478718E70		JOHNSON CNTY KANS UT UNI SCH				735,000.00	07/01/2010	5.211140	ms	1 m	AA	744,816.04	16,445.51
131		5.6000 09/01/2029				735,000.00	05/06/2011	5.210000	a		AA2	761,261.55	00
223	167057253					755,888.70	102.842000	5.211140	n	UMB	ssnldc		10/26/2016
815668JN9		SEDGWICK CNTY KANS UT UNI				250,000.00	10/01/2009	5.001270	ao	1 m	NR	260,723.01	26,909.49
131		6 8000 10/01/2029				250,000.00	08/05/2011	5.000000	a		AA3	287,632.50	00
223	167057248					276,500.00	110.600000	5.001270	n	UMB	ssnldc		10/26/2016
3 Holdings for 2029 12 80 Yrs													
						1,485,000.00		5.253148				1,544,900.66	134,238.39
						1,485,000.00		5.252088				1,679,139.05	00
						1,582,128.70		5.253148					00

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Sec ID	Grp	Description	Rate	Maturity	EstMaturity	Original Face	Issue Dt	Aft Tx Yld	Sch	Intn	S&P	Book Value	Unrealized P/L
Type	Ticket	C-P Date/Price/Code				Par/Cur Face	Pur Date	Yield	Calc	Pl	Moody	Market Value	Realized P/L
						Principal Cost	Pur Price	Tax Eq Yld	Qual	Loc	Fitch	Price Source	Price Date
367224CE0		GASCONADE CNTY MO UT				500,000.00	08/12/2010	5.770000	ms	1 m	AA+	500,000.00	46,805.00
131		5.7700 03/01/2030				500,000.00	08/12/2010	5.770000	a		NR	546,805.00	00
223	167057232					500,000.00	100.000000	5.770000	n	UMB	ssnldc		10/26/2016
775567CA6													



Part IV

6044-0507 THE GOPPERT FOUNDATION
 Charity 10401 HOLMES RD STE 222
 Nonprof KANSAS CITY MO

Total Known Realized Gain/(Loss) \$:1% Total Known Short-Term Gain/(Loss) Total Known Long-Term Gain/(Loss)
 (\$217,440.04)(7.63%) + 526,701.26 = 309,261.22 (\$27,395.02) (\$190,045.02)

Total Known Proceeds Total Known Cost Basis
 \$2,632,207.73 + 526,701.26 = 3,158,908.99 \$2,849,647.77

Cost Basis - Realized Gain/(Loss) - Filtered by: From 11/01/2015 Through 10/31/2016

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis ³	Short Term	Long Term	Total	Total %	Notes ¹
IXC	ISHARES GLOBAL ENERGY ETF	11/18/2015	View Lots	28,500.00000	\$895,445.52	\$1,135,859.83	(\$27,395.02)	(\$213,019.29)	(\$240,414.31)	(21.17%)	Results: 54
EMC	EMC CORP MASS	06/17/2016	02/25/2013	200.00000	\$5,537.50	\$4,674.25		\$863.25	\$863.25	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.12		\$432.25	\$432.25	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	200.00000	\$5,537.50	\$4,674.25		\$863.25	\$863.25	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.12		\$432.25	\$432.25	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	350.00000	\$9,690.63	\$8,179.94		\$1,510.69	\$1,510.69	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.12		\$432.25	\$432.25	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	200.00000	\$5,538.74	\$4,674.25		\$864.49	\$864.49	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.12		\$432.25	\$432.25	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,768.75	\$2,337.12		\$431.63	\$431.63	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.12		\$432.25	\$432.25	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.12		\$432.25	\$432.25	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	44.00000	\$1,218.52	\$1,028.33		\$190.19	\$190.19	18.50%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	300.00000	\$8,306.25	\$7,011.37		\$1,294.88	\$1,294.88	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.12		\$432.25	\$432.25	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.13		\$432.24	\$432.24	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	500.00000	\$13,843.75	\$11,685.62		\$2,158.13	\$2,158.13	18.47%	

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Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,768.75	\$2,337.12		\$431.63	\$431.63	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	200.00000	\$5,538.74	\$4,674.25		\$864.49	\$864.49	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.13		\$432.24	\$432.24	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,768.75	\$2,337.13		\$431.62	\$431.62	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	200.00000	\$5,537.50	\$4,674.25		\$863.25	\$863.25	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.12		\$432.25	\$432.25	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,769.37	\$2,337.12		\$432.25	\$432.25	18.49%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	100.00000	\$2,768.75	\$2,337.12		\$431.63	\$431.63	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	6.00000	\$166.13	\$140.23		\$25.90	\$25.90	18.47%	
EMC	EMC CORP MASS	06/17/2016	02/25/2013	300.00000	\$8,308.11	\$7,011.38		\$1,296.73	\$1,296.73	18.49%	
⊕	VANGUARD FTSE EUROPE ETF	07/06/2016	View Lots	12,500.00000	\$556,502.37	\$716,383.00		(\$159,880.63)	(\$159,880.63)	(22.32%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	400.00000	\$4,448.04	\$6,316.17		(\$1,868.13)	(\$1,868.13)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	400.00000	\$4,448.04	\$6,316.17		(\$1,868.13)	(\$1,868.13)	(29.58%)	
⊕	GLOBAL X MSCI NORWAY ETF	10/18/2016	View Lots	500.00000	\$5,560.06	\$7,895.52		(\$2,335.46)	(\$2,335.46)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	200.00000	\$2,223.82	\$3,158.09		(\$934.27)	(\$934.27)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	100.00000	\$1,111.92	\$1,579.04		(\$467.12)	(\$467.12)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	300.00000	\$3,336.04	\$4,737.13		(\$1,401.09)	(\$1,401.09)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	500.00000	\$5,560.06	\$7,895.72		(\$2,335.66)	(\$2,335.66)	(29.58%)	
⊕	SPDR S&P OIL & GAS EQUIP & SERVS ETF	10/18/2016	View Lots	4,700.00000	\$89,876.60	\$139,721.35		(\$49,844.75)	(\$49,844.75)	(35.67%)	
⊕	SPDR FUND CONSUMER STAPLES ETF	10/18/2016	View Lots	13,650.00000	\$714,282.30	\$371,969.69		\$342,312.61	\$342,312.61	92.03%	
⊕	DEVON ENERGY CORP	10/18/2016	View Lots	2,500.00000	\$105,076.71	\$154,261.15		(\$49,184.44)	(\$49,184.44)	(31.88%)	
ASIX	ADVANSIX INC	10/18/2016	10/17/2008	40.00000	\$635.85	\$156.85		\$479.00	\$479.00	305.39%	
AEG	AEGON NV FADR 1 ADR REPS 1 ORD SHS	10/18/2016	10/08/2014	600.00000	\$2,484.73	\$4,851.47		(\$2,366.74)	(\$2,366.74)	(48.78%)	
AEG	AEGON NV FADR 1 ADR REPS 1 ORD SHS	10/18/2016	10/08/2014	4,400.00000	\$18,221.32	\$35,577.48		(\$17,356.16)	(\$17,356.16)	(48.78%)	

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Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis ³	Short Term	Long Term	Total	Total %	Notes ¹
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	100.00000	\$1,111.92	\$1,579.05		(\$467.13)	(\$467.13)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	200.00000	\$2,223.82	\$3,158.09		(\$934.27)	(\$934.27)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	100.00000	\$1,111.92	\$1,579.04		(\$467.12)	(\$467.12)	(29.58%)	
⊕	GLOBAL X MSCI NORWAY ETF	10/18/2016	View Lots	6,500.00000	\$72,215.77	\$102,638.61		(\$30,422.84)	(\$30,422.84)	(29.64%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	400.00000	\$4,444.04	\$6,316.17		(\$1,872.13)	(\$1,872.13)	(29.64%)	
⊕	GLOBAL X MSCI NORWAY ETF	10/18/2016	View Lots	900.00000	\$9,999.10	\$14,211.38		(\$4,212.28)	(\$4,212.28)	(29.64%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	200.00000	\$2,223.82	\$3,158.09		(\$934.27)	(\$934.27)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	1,000.00000	\$11,119.12	\$15,790.43		(\$4,671.31)	(\$4,671.31)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	200.00000	\$2,223.82	\$3,158.09		(\$934.27)	(\$934.27)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	100.00000	\$1,111.92	\$1,579.04		(\$467.12)	(\$467.12)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	100.00000	\$1,111.92	\$1,579.04		(\$467.12)	(\$467.12)	(29.58%)	
⊕	GLOBAL X MSCI NORWAY ETF	10/18/2016	View Lots	200.00000	\$2,223.82	\$3,158.08		(\$934.26)	(\$934.26)	(29.58%)	
NORW	GLOBAL X MSCI NORWAY ETF	10/18/2016	09/17/2012	100.00000	\$1,111.92	\$1,579.05		(\$467.13)	(\$467.13)	(29.58%)	

Disclosures

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page. We calculate cost basis using data that you or your client have provided to us or that we have obtained from market data supplied by third party sources. We may also display cost basis data that we have not calculated but that has been supplied by you or your client, a prior custodian or another third party source. Because the accuracy of cost basis data depends upon these third party inputs, we are not able to guarantee the availability, accuracy or completeness of such cost basis data.

Only covered transactions will be reported to the IRS. Schwab does not provide tax or legal advice. Please have your clients consult with a tax advisor regarding their specific tax situation.

Footnotes apply where indicated on the data view.

Missing: Indicates cost basis has not been provided for this security.

Not Tracked: Indicates original cost basis is not available.

1. a Data for this holding has been edited or provided by the Advisor.
e Data for this holding has been edited or provided by the end client.
t Data for this holding has been edited or provided by a third party.
w Cost Basis adjusted due to a wash sale.

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3. Cost Basis for fixed income securities is now adjusted to reflect amortized/accreted values. Click the acquired/opened date to view original cost in the Details page. Note: Some securities, including variable rate/term instruments and mortgage backed securities, may only reflect original cost even when the amortization/accretion preference is on.

8 Total excludes missing cost basis information, or values not tracked by Schwab.

(0307-0369)

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Part XV 3a

THE GOPPERT FOUNDATION
PLEDGES
As of 10/31/2016

	PLEDGES PAID 15-16
<u>DISTRIBUTED IN 15-16 (date pd)</u>	
First Christian Church 11/3/15	\$ 25,000
Pittsburg State University 11/3/15	\$ 100,000
St Teresa's Academy 11/18/15	\$ 25,000
Union Station 11/19/15	\$ 125,000
Norborne Community Center 11/25/15	\$ 3,000
Ozanam 12/24/15	\$ 32,000
Trails Regional Library 2/1/16	\$ 100,000
Avila University Centennial Campaign 2/16/16	\$ 200,000
Walnut community service org 2/18/16	\$ 7,000
Hope House 2/18/16	\$ 15,500
House of Hope 2/18/16	\$ 4,777
Summit Christin Academy 2/25/16	\$ 50,000
Missouri Dental Foundation 3/8/16	\$ 5,000
Carrol County/Grand River YMCA 3/9/16	\$ 12,000
Community Service League 4/6/16	\$ 2,500
Rehabilitation institute of Kansas City 4/27/16	\$ 75,000
Rosebrook Center 4/28/16	\$ 33,000
Missouri 4H Foundation 5/19/16	\$ 50,000
YMCA of Greater Kansas City 5/25/16	\$ 50,000
University of Kansas Endowment 5/26/16	\$ 40,000
Carrol County Memorial Hospital 6/2/2016	\$ 25,000
Labette County Medical 6/8/2016	\$ 100,000
St Paul School Alumni 6/8/2016	\$ 150,000
Greater KC Community Foundation 6/20/2016	\$ 45,312
Unicorn Theater 6/21/2016	\$ 20,000
Welcome House 7/11/2016	\$ 10,000
Boy Scouts of America 8/15/2016	\$ 19,300
Norborne Community Center Repair Grant 8/23/2016	\$ 20,000
Norborne Community Center Lex La Ray 9/8/2016	\$ 100,801
Baker-University-Endowment-10/13/2016	\$ 50,000
Bishop Meige High School Endowment 10/13/2016	\$ 50,000
Barstow Endowment 10/13/2016	\$ 50,000
Emporia State Endowment 10/13/2016	\$ 50,000
Kansas State University Endowment 10/13/2016	\$ 50,000
Ottawa Univeristy Endowment 10/13/2016	\$ 50,000
Rockhurst High Endowment 10/13/2016	\$ 50,000
MO Western State Univ Endowment 10/13/2016	\$ 50,000
Diocese of KC St Joe St Micheal 10/13/2016	\$ 50,000
St Teresa's Academy Capital Campaign 10/13/2016	\$ 125,000
Notre Dame Endowment 10/25/2016	\$ 60,000

TOTAL

\$ 2,080,190

Part XV 3b

FUTURE PLEDGES

Grand River YMCA 2/17	\$12,000
Boy Scouts of America (5/17, 18)	\$38,600
Diocese of Kansas City St Michaels Archangel 11/16,17	\$50,000
Walnut community service org (2/17,18,19)	\$21,000
Rehabilitation institute of Kansas City 4/17,18	\$150,000
Carrol County Memeorial Hospital 6/15/17,18,19,20	\$100,000
Summit Christian Academy 11/16	\$85,000
St Teresa's Academy 11/15/16,17,18,19	\$ 375,000
	<u>\$831,600</u>

TOTAL PLEDGES

\$2,911,790

AFFIDAVIT OF PUBLICATION

STATE OF MISSOURI
COUNTY OF JACKSON

} S.S.

Page 1 of 1

Before the undersigned Notary Public personally appeared **Karie Clark** on behalf of **THE DAILY RECORD, KANSAS CITY** who, being duly sworn, attests that said newspaper is qualified under the provisions of Missouri law governing public notices to publish, and did so publish, the notice annexed hereto, starting with the **December 23, 2016** edition and ending with the **December 23, 2016** edition, for a total of 1 publications:

12/23/2016

NOTICE OF AVAILABILITY OF PUBLIC INSPECTION

Pursuant to Section 6104 of the Internal Revenue Code, the annual report required to be filed under section 6056 of the Internal Revenue Code for **THE GOPPERT FOUNDATION** is available for inspection during regular business hours by any citizen who requests it within 180 days from the first publication of this notice.

THOMAS A. GOPPERT
Secretary/Treasurer
10401 Holmes Road
Suite 222
Kansas City, MO 64131
11236166 Jackson Dec 23, 2016

Karie Clark

Karie Clark

Subscribed & sworn before me this 23 day of Dec, 2016

(SEAL)

[Signature]
Notary Public

KIMBERLY KAEMMERER
Notary Public - Notary Seal
State of Missouri, St Louis City
Commission #14967146
My Commission Expires Apr 27, 2018