

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

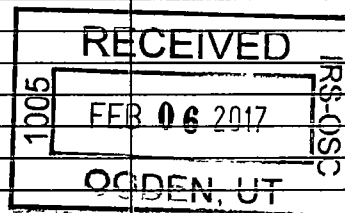
2015

Open to Public Inspection

For calendar year 2015 or tax year beginning **NOV 1, 2015**, and ending **OCT 31, 2016**

Name of foundation ROBERT AND MARJORIE ALPERN FOUNDATION		A Employer identification number 38-6162747
Number and street (or P O box number if mail is not delivered to street address) 184 COUNTY HIGHWAY 52	Room/suite	B Telephone number 607-437-0206
City or town, state or province, country, and ZIP or foreign postal code COOPERSTOWN, NY 13326		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 861,876.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	10.	10.	10.	STATEMENT 1
	4 Dividends and interest from securities	18,740.	18,740.	18,740.	STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	15,993.			
	b Gross sales price for all assets on line 6a 73,941.				
	7 Capital gain net income (from Part IV, line 2)		15,993.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		34,743.	34,743.	18,750.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	14,850.	7,425.	0.	7,425.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	2,560.	1,280.	0.	1,280.
	c Other professional fees STMT 4	2,382.	2,382.	0.	0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	19,792.	11,087.	0.	8,705.
	25 Contributions, gifts, grants paid	66,507.			66,507.
26 Total expenses and disbursements. Add lines 24 and 25	86,299.	11,087.	0.	75,212.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<51,556.>				
b Net investment income (if negative, enter -0-)		23,656.			
c Adjusted net income (if negative, enter -0-)			18,750.		

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LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2015)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	113,359.	8,251.	8,251.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 6	710,810.	764,362.	853,625.
	14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	824,169.	772,613.	861,876.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,830,048.	1,830,048.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	<1,005,879.>	<1,057,435.>	
30 Total net assets or fund balances	824,169.	772,613.		
31 Total liabilities and net assets/fund balances	824,169.	772,613.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	824,169.
2 Enter amount from Part I, line 27a	2	<51,556.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	772,613.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	772,613.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT-CHARLES SCHWAB	P	VARIOUS	10/31/16
b SEE ATTACHED STATEMENT-CHARLES SCHWAB	P	VARIOUS	10/31/16
c 790.619 SHS WASATCH FDS	P	01/10/11	11/03/15
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,874.		12,010.	<136.>
b 37,763.		35,897.	1,866.
c 10,689.		10,041.	648.
d 13,615.			13,615.
e			

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<136.>
b			1,866.
c			648.
d			13,615.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	15,993.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	<136.>

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	935,935.	1,715,109.	.545700
2013	351,969.	2,416,319.	.145663
2012	44,545.	2,061,064.	.021613
2011	50,670.	1,944,280.	.026061
2010	286,362.	2,104,198.	.136091

2 Total of line 1, column (d)	2	.875128
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.175026
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	881,547.
5 Multiply line 4 by line 3	5	154,294.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	237.
7 Add lines 5 and 6	7	154,531.
8 Enter qualifying distributions from Part XII, line 4	8	75,212.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	473.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	473.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	473.
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	3,499.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,499.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,026.	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax	11	3,026.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MI</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ KATHRYN LENTER Telephone no ▶ 248-855-4943 Located at ▶ 32500 TELEGRAPH RD, STE 104, BINGHAM FARMS, MI ZIP+4 ▶ 48025		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ▶ <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WAYNE ALPERN	CO-TRUSTEE			
450 WEST END AVE APT #C				
NEW YORK, NY 10024	5.00	6,350.	0.	0.
HARLAN ALPERN	CO-TRUSTEE			
184 COUNTY HWY 52				
COOPERSTOWN, NY 13326	6.00	8,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	804,341.
b	Average of monthly cash balances	1b	90,631.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	894,972.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	894,972.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,425.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	881,547.
6	Minimum investment return. Enter 5% of line 5	6	44,077.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	44,077.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	473.
b	Income tax for 2015 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	473.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	43,604.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	43,604.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	43,604.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	75,212.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	75,212.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	75,212.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				43,604.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2015				
a From 2010	183,077.			
b From 2011				
c From 2012				
d From 2013	235,525.			
e From 2014	851,081.			
f Total of lines 3a through e	1,269,683.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$	75,212.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				43,604.
e Remaining amount distributed out of corpus	31,608.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	1,301,291.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	183,077.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	1,118,214.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013	235,525.			
d Excess from 2014	851,081.			
e Excess from 2015	31,608.			

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ALL CONTRIBUTORS LISTED WERE PAID TO EXEMPT ORGANIZATIONS		PUBLICLY SUPPORTED CHARITIES	EXEMPT PURPOSE	66,507.
Total			3a	66,507.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations




- | | | Yes | No |
|----------|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input checked="" type="checkbox"/> if self-employed	PTIN
	KATHRYN LENTER, CPA	<i>Kathryn Lenter</i>	1/18/17		P01405352
	Firm's name ▶ KATHRYN LENTER, CPA				Firm's EIN ▶ 62-1780471
	Firm's address ▶ 32500 TELEGRAPH RD, STE 104 BINGHAM FARMS, MI 48025			Phone no. (248) 855-4943	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS BANK	10.	10.	10.
TOTAL TO PART I, LINE 3	10.	10.	10.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB	16,615.	7,191.	9,424.	9,424.	9,424.
UBS FINANCIAL SERVICES	15,740.	6,424.	9,316.	9,316.	9,316.
TO PART I, LINE 4	32,355.	13,615.	18,740.	18,740.	18,740.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,560.	1,280.	0.	1,280.
TO FORM 990-PF, PG 1, LN 16B	2,560.	1,280.	0.	1,280.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGER	2,382.	2,382.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	2,382.	2,382.	0.	0.

FOOTNOTES

STATEMENT 5

FORM 990-PF

OTHER INVESTMENTS

STATEMENT

6

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS	COST	764,362.	853,625.
TOTAL TO FORM 990-PF, PART II, LINE 13		764,362.	853,625.

Charitable Contributions
11/01/2015 through 10/31/2016

12/20/2016

Date	Description	Amount
12/20/2015	ALS Association 1275 V Street NW, Ste 250, Washington, DC 20005	-150.00
12/02/2015	ALS Association	-150.00
12/21/2015	Alzheimer's Association, Of NE NY 4 Pine West Plaza, Ste 405, Albany, NY 12205	-200.00
12/21/2015	Alzheimer's Association Of NE NY	-200.00
12/21/2015	Angel Network Charity Community Ed of Northham VA, 8883 Greenbroad Dr, McLean, VA 22108	-1,000.00
12/21/2015	Angel Network Charity	-1,000.00
ASPCA	484 E. 98th St, New York, NY 10128	-60.00
06/21/2016	ASPCA	-60.00
12/21/2015	Bassett Healthcare Physical Therapy Dept 1 Atwell Rd, Cooperstown, NY 13326	-1,000.00
12/21/2015	Bassett Healthcare Physical Therapy Dept	-1,000.00
CARE	151 Ellis St, Atlanta, GA 30303	-300.00
12/21/2015	CARE	-300.00
12/21/2015	Center For Continuous Adult Learning 31 Maple St, P.O. Box 546, Oneonta, NY 13820	-100.00
01/18/2016	Center For Continuous Adult Learning	-100.00
12/18/2015	Chakgyr Lingra Foundation Cooperstown, NY	-2,000.00
12/18/2015	Chakgyr Lingra Foundation	-2,000.00
12/18/2015	Cooperstown Art Association 22 Main St, Cooperstown, NY 13326	-300.00
12/18/2015	Cooperstown Art Association	-300.00
12/21/2015	Cooperstown Fire Department Emergency Squad P.O. Box 1, Cooperstown, NY 13326	-700.00
01/25/2016	Cooperstown Fire Department Emergency Squad	-100.00
04/01/2016	Cooperstown Fire Department Emergency Squad	-100.00
08/01/2016	Cooperstown fire Department Emergency Squad	-500.00
12/21/2015	Cooperstown Fire Department Fund P.O. Box 1, Cooperstown, NY 13326	-100.00
12/21/2015	Cooperstown Fire Department Fund	-100.00
12/21/2015	Cooperstown Food Bank 85 Church St, Cooperstown, NY 13326	-2,000.00
12/21/2015	Cooperstown Food Bank	-2,000.00
10/20/2016	Fenimore Art Museum 5708 State Rte 80, Cooperstown, NY 13326	-250.00
10/20/2016	Fenimore Art Museum	-250.00
12/30/2015	Friends Of Mountain View Library 585 Franklin St, Mountain View, CA 94041	-250.00
12/30/2015	Friends Of Mountain View Library	-250.00
11/30/2015	HSUS 1255 23rd St, NW, Ste 450, Washington, DC 20037	-70.00
11/30/2015	HSUS	-35.00
12/17/2015	HSUS	-35.00
10/16/2016	Institute For Creative Music 244 W. 109th St, Apt 2D, New York, NY 10025	-26,200.00
10/18/2016	Institute For Creative Music	-5,000.00
10/18/2016	Institute For Creative Music	-5,000.00
10/18/2016	Institute For Creative Music	-16,200.00

Charitable Contributions
11/01/2015 through 10/31/2016

Page 2

12/20/2016

Date	Description	Amount
MADD 12/15/2015	P.O. Box 51, Ford City, PA 16226	-100.00
MADD 03/15/2016	MADD	-50.00
Michele Brangwen Dance Ensemble 03/15/2016	P.O. Box 130903, Houston, TX 77219	-50.00
Otsego 2000 Foundation 12/21/2015	101 Main St, Cooperstown, NY 13326	-100.00
Otsego County Conservation Association 12/21/2015	7093 St Hwy 80, PO Box 931, Cooperstown, NY 13326	-100.00
Oxfam America 12/21/2015	226 Courtyard St, 5th Fl, Boston, MA 02114	-200.00
Oxfam America 12/21/2015	Oxfam America	-200.00
Paralyzed Veterans Of America 12/21/2015	801 Exchange St, NW, Washington, DC 20006	-200.00
Paralyzed Veterans Of America 12/21/2015	Paralyzed Veterans Of America	-200.00
Peninsula Open Space Trust 12/30/2015	222 Main St, Palo Alto, CA 94301	-250.00
Peninsula Open Space Trust 12/30/2015	Peninsula Open Space Trust	-250.00
Rescue Mission Of Utica, NY 12/21/2015	218 Butte St, Utica, NY 13501	-300.00
Rescue Mission Of Utica, NY 12/21/2015	Rescue Mission Of Utica, NY	-300.00
Richfield Veterans Club 04/06/2016	13 Lake St, Richfield Springs, NY 13359	-5,500.00
Richfield Veterans Club 07/20/2016	Richfield Veterans Club	-2,500.00
Ronald McDonald House 04/26/2016	One Horse Drive, Oakbrook, IL 60523	-3,000.00
Ronald McDonald House 07/01/2016	Ronald McDonald House	-175.00
Salvation Army 12/21/2015	25 River St, Oneonta, NY 13820	-300.00
Salvation Army 12/21/2015	Salvation Army	-300.00
SEVA Foundation 12/21/2015	1780 F. St, Berkeley, CA 94701	-2,000.00
SEVA Foundation 12/21/2015	SEVA Foundation	-2,000.00
State University Of New York At Oneonta 12/10/2015	108 Ravine Parkway, Oneonta, NY 13820	-4,000.00
State University Of New York At Oneonta 04/27/2016	State University Of New York At Oneonta	-2,000.00
Susquehanna SPCA 12/21/2015	7841 State Hwy 28, Cooperstown, NY 13326	-300.00
Susquehanna SPCA 12/21/2015	Susquehanna SPCA	-300.00
The Field 01/21/2016	75 Warden Lane #906, New York, NY 10038	-16,000.00
The Field 08/25/2016	The Field	-1,000.00
The Field 09/14/2016	The Field	-5,000.00
The Humane Society 03/14/2016	1255 23rd St, NW, Ste 450, Washington, DC 20037	-10,000.00
The Humane Society 03/14/2016	The Humane Society	-35.00

Charitable Contributions
11/01/2015 through 10/31/2016

Page 3

12/20/2016

Date	Description	Amount
Thirteen WNET	825 Eighth Avenue, New York, NY 10019	-200.00
11/13/2015	Thirteen WNET	-200.00
United Way Otsego Delaware Counties	P.O. Box 6231, Oneonta, NY 13820	-500.00
12/21/2015	United Way Otsego Delaware Counties	-500.00
Village Library Of Cooperstown NY	28 main st #1, Cooperstown, NY 13326	-300.00
12/21/2015	Village Library Of Cooperstown NY	-300.00
WCNY Television Syracuse	3010 Old Liverpool Rd, PO Box 8400, Syracuse, NY 13220	-250.00
03/02/2016	WCNY Television Syracuse	-250.00
Wikimedia Foundation	149 New Montgomery St, Floor 6, San Francisco, CA 94105	-100.00
12/21/2015	Wikimedia Foundation	-100.00
WNYC Radio	160 Varick St, New York, NY 10013	-360.00
11/17/2015	WNYC Radio	-120.00
03/30/2016	WNYC Radio	-120.00
07/01/2016	WNYC Radio	-120.00
World Wildlife Fund	1250 24th Street, N.W., Washington, DC 20037	-157.00
12/02/2015	World Wildlife Fund	-50.00
03/15/2016	World Wildlife Fund	-32.00
06/09/2016	World Wildlife Fund	-75.00
Wounded Warrior Project	4899 Belton Rd, Ste 300, Jacksonville, FL 32256	-200.00
12/21/2015	Wounded Warrior Project	-200.00
OVERALL TOTAL		-66,507.00

Plante Moran Financial Advisors
REALIZED GAINS AND LOSSES
Robert and Marjorie Alpern Foundation
FBO Harlan Alpern
Charles Schwab #XXXX8173
From 11-01-15 Through 10-31-16

Open Date	Close Date	Quantity	Security	Cost Basis	Amort or Accretion	Gain Or Loss	
						Proceeds	Short Term Long Term
09-30-15	04-08-16	473.6490	Boston Partners Long/Short Rarch Instl	7,067		7,000	-67
09-30-15	04-29-16	329.0270	Boston Partners Long/Short Rarch Instl	4,923		4,874	-49
		802.6760		12,010		11,874	-136
TOTAL SHORT TERM				12,010		11,874	0
09-28-14	04-29-16	631.2090	Boston Partners Long/Short Rarch Instl	8,010		7,869	-141
03-25-14	04-29-16	488.8140	Boston Partners Long/Short Rarch Instl	7,126		7,241	114
		1,020.0230		15,136		15,109	-27
08-27-10	01-15-16	908.1980	JP Morgan Strategic Income Opps Sel	10,595		9,938	-657
08-31-10	01-15-16	12.1750	JP Morgan Strategic Income Opps Sel	142		133	-9
02-29-12	01-15-16	13.8880	JP Morgan Strategic Income Opps Sel	158		160	-9
11-30-11	01-15-16	12.7170	JP Morgan Strategic Income Opps Sel	148		139	-7
01-31-12	01-15-16	6.3060	JP Morgan Strategic Income Opps Sel	72		69	-3
12-30-11	01-15-16	19.4410	JP Morgan Strategic Income Opps Sel	220		212	-8
12-16-11	01-15-16	60.8810	JP Morgan Strategic Income Opps Sel	689		665	-24
12-16-11	01-15-16	5.2360	JP Morgan Strategic Income Opps Sel	59		57	-2

Your cost accounting for income tax purposes may differ from this report. See your tax advisor.

Plante Moran Financial Advisors
REALIZED GAINS AND LOSSES
 Robert and Marjorie Alpern Foundation

FBO Harlan Alpern

Charles Schwab #XXXX8173

From 11-01-15 Through 10-31-16

Open Date	Close Date	Quantity	Security	Cost Basis	Amort or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-30-11	01-18-16	8,5530	JPMorgan Strategic Income Opps Sel	97		83		-3
		1,048.1860		12,179		11,457	0	-721
08-18-09	07-18-16	308.8230	Mainstay ICAP Select Equity I	8,582		11,197		2,615
TOTAL LONG TERM				38,861		27,653		1,894
TOTAL REALIZED GAIN/LOSS				47,007		49,337	-136	1,894

TOTAL REALIZED GAIN/LOSS 1,730

Accounting Method: Minimum Short Term Gain

Your cost accounting for income tax purposes may differ from this report. See your tax advisor.