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EXTENDED TO SEPTEMBER 15, 2017

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2015 or tax year beginning NOV 1, 2015, and ending OCT 31, 2016

Name of foundation <b>MARGOT &amp; THOMAS PRITZKER FAMILY FOUNDATION</b>		A Employer identification number <b>36-3852559</b>
Number and street (or P O box number if mail is not delivered to street address) <b>150 NORTH RIVERSIDE PLAZA, SUITE 3300</b>	Room/suite	B Telephone number <b>312-577-2600</b>
City or town, state or province, country, and ZIP or foreign postal code <b>CHICAGO, IL 60606-1594</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 74,536,472.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		5.	5.		STATEMENT 1
4 Dividends and interest from securities		822,428.	821,128.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		3,756,335.			
b Gross sales price for all assets on line 6a		12,010,313.			
7 Capital gain net income (from Part IV, line 2)			3,756,335.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		41,731.	97,611.		STATEMENT 3
12 Total. Add lines 1 through 11		4,620,499.	4,675,079.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		5,495.	2,729.		2,766.
b Accounting fees					
c Other professional fees STMT 5		49,794.	49,794.		0.
17 Interest		45,130.	45,130.		0.
18 Taxes STMT 6		29,523.	29,312.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences and meetings					
22 Printing and publications					
23 Other expenses STMT 7		756,494.	735,609.		454.
24 Total operating and administrative expenses. Add lines 13 through 23		886,436.	862,574.		3,220.
25 Contributions, gifts, grants paid		4,397,346.			4,397,346.
26 Total expenses and disbursements. Add lines 24 and 25		5,283,782.	862,574.		4,400,566.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<663,283.>			
b Net investment income (if negative, enter -0-)			3,812,505.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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**MARGOT & THOMAS PRITZKER FAMILY  
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			93,826.	260,075.	260,075.
	2	Savings and temporary cash investments			1,439,375.	2,323,704.	2,323,704.
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations					
	b	Investments - corporate stock	STMT 8		21,797,454.	23,556,066.	29,933,739.
	c	Investments - corporate bonds					
	11	Investments - land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶						
12	Investments - mortgage loans						
13	Investments - other	STMT 9		35,737,958.	34,665,485.	41,612,703.	
14	Land, buildings, and equipment: basis ▶						
	Less: accumulated depreciation ▶						
15	Other assets (describe ▶ <u>ESCROW RECEIVABLE</u> )			406,251.	406,251.	406,251.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)			59,474,864.	61,211,581.	74,536,472.	
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶ <u>OTHER LIABILITIES</u> )			0.	2,400,000.	
23	<b>Total liabilities</b> (add lines 17 through 22)			0.	2,400,000.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds			0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds			59,474,864.	58,811,581.	
	30	<b>Total net assets or fund balances</b>			59,474,864.	58,811,581.	
	31	<b>Total liabilities and net assets/fund balances</b>			59,474,864.	61,211,581.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	59,474,864.
2	Enter amount from Part I, line 27a	2	<663,283.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	58,811,581.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	58,811,581.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b SEE ATTACHED STATEMENT					
c					
d					
e					

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 12,010,313.		8,253,978.	3,756,335.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			3,756,335.

2 Capital gain net income or (net capital loss)	2	3,756,335.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	3,756,384.	74,315,692.	.050546
2013	1,956,168.	69,827,735.	.028014
2012	1,629,537.	64,379,451.	.025311
2011	2,437,428.	46,767,164.	.052118
2010	3,211,520.	44,357,958.	.072400

2 Total of line 1, column (d)	2	.228389
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045678
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	70,514,788.
5 Multiply line 4 by line 3	5	3,220,974.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	38,125.
7 Add lines 5 and 6	7	3,259,099.
8 Enter qualifying distributions from Part XII, line 4	8	4,400,566.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	38,125.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	38,125.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	38,125.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	81,139.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	81,139.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	43,014.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input checked="" type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	X	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL, CA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	X	
14 The books are in care of <b>DIVERSIFIED FINANCIAL MGMT CORP.</b> Telephone no. <b>312-577-2600</b> Located at <b>150 NORTH RIVERSIDE PLAZA, SUITE 3300, CHICAGO, IL</b> ZIP+4 <b>60606-1594</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <b>N/A</b>		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>N/A</b>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>N/A</b> b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b> c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) <b>N/A</b>		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

- |   |   |
|---|---|
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (3) Provide a grant to an individual for travel, study, or other similar purposes?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A  
▶ ☐

**5b**

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

**6b**

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

**X**

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

**7b**

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000 ▶ 0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services**

<b>Part IX-A</b>	<b>Summary of Direct Charitable Activities</b>
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

<b>Part IX-B</b>	<b>Summary of Program-Related Investments</b>
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Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Summary of Program-Related Investments		Amount
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		
1	N/A	
		0.
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

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**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	516,610.
b	Average of monthly cash balances	1b	1,802,588.
c	Fair market value of all other assets	1c	69,269,419.
d	<b>Total</b> (add lines 1a, b, and c)	1d	71,588,617.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	71,588,617.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,073,829.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	70,514,788.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	3,525,739.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,525,739.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	38,125.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	38,125.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,487,614.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,487,614.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,487,614.

**Part XII**

**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,400,566.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,400,566.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	38,125.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	4,362,441.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**MARGOT & THOMAS PRITZKER FAMILY  
FOUNDATION**

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				<b>3,487,614.</b>
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only			<b>2,814,488.</b>	
<b>b</b> Total for prior years:		<b>0.</b>		
<b>3</b> Excess distributions carryover, if any, to 2015:				
<b>a</b> From 2010				
<b>b</b> From 2011				
<b>c</b> From 2012				
<b>d</b> From 2013				
<b>e</b> From 2014				
<b>f</b> Total of lines 3a through e	<b>0.</b>			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ <b>4,400,566.</b>				
<b>a</b> Applied to 2014, but not more than line 2a			<b>2,814,488.</b>	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		<b>0.</b>		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	<b>0.</b>			
<b>d</b> Applied to 2015 distributable amount				<b>1,586,078.</b>
<b>e</b> Remaining amount distributed out of corpus	<b>0.</b>			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	<b>0.</b>			<b>0.</b>
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	<b>0.</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		<b>0.</b>		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		<b>0.</b>		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions		<b>0.</b>		
<b>e</b> Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			<b>0.</b>	
<b>f</b> Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				<b>1,901,536.</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	<b>0.</b>			
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7	<b>0.</b>			
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	<b>0.</b>			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2011				
<b>b</b> Excess from 2012				
<b>c</b> Excess from 2013				
<b>d</b> Excess from 2014				
<b>e</b> Excess from 2015				



MARGOT & THOMAS PRITZKER FAMILY  
FOUNDATION

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**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
SEE ATTACHED STATEMENT				4,397,346.
<b>Total</b>			<b>3a</b>	4,397,346.
<b>b</b> Approved for future payment				
NONE				
<b>Total</b>			<b>3b</b>	0.



## Form 990-PF (2015)

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
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1a(1)	X
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1a(2)		X
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--	--	--

1b(1)	X
-------	---

1b(2)		X
-------	--	---

1b(3)		X
-------	--	---

1b(4)		X
-------	--	---

1b(5)		X
-------	--	---

1b(6)		X
-------	--	---

1b		X
----	--	---


Other assets

how in

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

☐ Yes ☒ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign Here**  9/13/17 **VICE PRESIDENT**

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr. 1)? ☐ Yes ☐ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr.)?

☐ Yes ☐ No

Date:

Title

▶ VICE PRESIDENT

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check ☐  
self-employed

PTIN
------

Firm's name ▶

Firm's EIN ▶

Firm's address ►

Phone no.

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GAIN/LOSS FROM LIQUIDATION OF PARTNERSHIPS	P	VARIOUS	VARIOUS
b	GAIN/LOSS FROM PASSTHROUGHS	P	VARIOUS	VARIOUS
c	GAIN/LOSS FROM DISPOSAL OF STOCKS	P	VARIOUS	VARIOUS
d	GAIN/LOSS FROM REDEMPTION OF STOCKS	P	VARIOUS	VARIOUS
e	1231 GAIN/LOSS FROM PASSTHROUGHS	P	VARIOUS	VARIOUS
f	GAIN/LOSS FROM OTHER INVESTMENTS	P	VARIOUS	VARIOUS
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 237,133.		178,557.	58,576.
b 3,094,986.			3,094,986.
c 1,074,250.		1,087,931.	<13,681.>
d 4,521,465.		3,909,039.	612,426.
e 53,069.			53,069.
f 3,029,410.		3,078,451.	<49,041.>
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			58,576.
b			3,094,986.
c			<13,681.>
d			612,426.
e			53,069.
f			<49,041.>
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	3,756,335.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
IRS - 2013	2.	2.	
WELLS FARGO	3.	3.	
TOTAL TO PART I, LINE 3	5.	5.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME FROM OTHER SECURITIES	20,343.	0.	20,343.	20,343.	
DIVIDEND INCOME FROM PASSTHROUGHS	337,923.	0.	337,923.	337,923.	
INTEREST INCOME FROM PASSTHROUGHS	451,661.	0.	451,661.	450,361.	
JP MORGAN CHASE BANK	4,278.	0.	4,278.	4,278.	
MORGAN STANLEY	8,223.	0.	8,223.	8,223.	
TO PART I, LINE 4	822,428.	0.	822,428.	821,128.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME FROM PASSTHROUGHS	96,848.	96,848.	
NONTAXABLE INCOME FROM PASSTHROUGHS	2.	0.	
FEDERAL/STATE TAX REFUND	763.	763.	
UBTI FROM PASSTHROUGHS	<55,882.>	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	41,731.	97,611.	



FORM 990-PF	LEGAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	5,495.	2,729.		2,766.
TO FM 990-PF, PG 1, LN 16A	5,495.	2,729.		2,766.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	49,746.	49,746.		0.
MANAGEMENT FEES	48.	48.		0.
TO FORM 990-PF, PG 1, LN 16C	49,794.	49,794.		0.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES FROM PASSTHROUGHS	27,927.	27,927.		0.
STATE/FEDERAL TAX WITHHELD	1,385.	1,385.		0.
FEDERAL TAX PENALTY	211.	0.		0.
TO FORM 990-PF, PG 1, LN 18	29,523.	29,312.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CHARITABLE CONTRIBUTIONS FROM PASSTHROUGHS	454.	0.		454.	
PORTFOLIO DEDUCTIONS FROM PASSTHROUGHS	731,554.	731,554.		0.	
NON DEDUCTIBLE EXPENSES FROM PASSTHROUGHS	20,431.	0.		0.	
BANK FEES	3,805.	3,805.		0.	
MISCELLANEOUS EXPENSE	250.	250.		0.	
TO FORM 990-PF, PG 1, LN 23	756,494.	735,609.		454.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
INVESTMENT IN STOCKS	23,556,066.	29,933,739.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	23,556,066.	29,933,739.		

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
INVESTMENT IN LLCs	COST	11,375,957.	14,973,290.	
INVESTMENT IN LPS	COST	20,528,215.	24,405,510.	
OTHER INVESTMENTS	COST	2,761,313.	2,233,903.	
TOTAL TO FORM 990-PF, PART II, LINE 13		34,665,485.	41,612,703.	

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THOMAS J. PRITZKER 150 NORTH RIVERSIDE PLAZA, SUITE 3300 CHICAGO, IL 60606-1594	PRESIDENT & DIRECTOR 0.25	0.	0.	0.
MARGOT PRITZKER 150 NORTH RIVERSIDE PLAZA, SUITE 3300 CHICAGO, IL 60606-1594	VICE PRESIDENT & DIRECTOR 0.25	0.	0.	0.
GLEN MILLER 150 NORTH RIVERSIDE PLAZA, SUITE 3300 CHICAGO, IL 60606-1594	VICE PRESIDENT & DIRECTOR 0.25	0.	0.	0.
SCOTT STEVENS 150 NORTH RIVERSIDE PLAZA, SUITE 3300 CHICAGO, IL 60606-1594	VICE PRESIDENT & TREASURER 0.25	0.	0.	0.
CHARLES J. BARRON 150 NORTH RIVERSIDE PLAZA, SUITE 3300 CHICAGO, IL 60606-1594	VICE PRESIDENT & SECRETARY 0.25	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

**MARGOT & THOMAS PRITZKER FAMILY FOUNDATION**  
**FEIN# 36-3852559**  
**Statement Attached to and Made Part of 2015 Form 990-PF**  
**Part XV, Line 3a - Grants and Contributions Paid During The Year**  
**November 1, 2015 to October 31, 2016**

<u>Recipient</u>	<u>Address</u>	<u>Foundation Status of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
ALEPH SOCIETY, INC	25 West 45th Street, Suite 1405, New York, NY 10036	501(c)3	General Operating Support \$	7,500
AMERICAN ACADEMY OF ARTS AND SCIENCES	136 Irving Street, Cambridge, MA 02138-1996	501(c)3	General Operating Support \$	20,000
AMERICAN COUNCIL ON GERMANY	14 East 60th Street Suite 1000 New York, NY 10022	501(c)3	General Operating Support \$	25,000
ART INSTITUTE OF CHICAGO	111 South Michigan Avenue, Chicago, IL 60603	501(c)3	General Operating Support \$	250,000
SCHOOL OF THE ART INSTITUTE OF CHICAGO	116 South Michigan Avenue, 5th FL Chicago, IL 60603	501(c)3	General Operating Support \$	500,000
ASIA SOCIETY	725 Park Avenue, New York, NY 10021-5088	501(c)3	General Operating Support \$	25,000
ASPEN CENTER FOR ENVIRONMENTAL STUDIES	100 Puppy Smith Street, Aspen CO 81611	501(c)3	General Operating Support \$	46,500
CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES	1616 Rhode Island Avenue NW, Washington DC 20036	501(c)3	General Operating Support \$	1 000 000
CHICAGO BOTANIC GARDEN	1000 Lake Cook Road, Glencoe, IL 60022	501(c)3	General Operating Support \$	1,000
CHICAGO CHILDREN'S ADVOCACY CENTER	1240 South Damen Avenue, Chicago IL 60608	501(c)3	General Operating Support \$	10,000
CHICAGO CHILDREN'S MUSEUM	700 East Grand Avenue, Suite 127, Chicago, IL 60611	501(c)3	General Operating Support \$	10,000
CHICAGO COUNCIL ON GLOBAL AFFAIRS	332 South Michigan Avenue Suite 1100, Chicago IL 60604	501(c)3	General Operating Support \$	75,000
EDUCATORS FOR EXCELLENCE INC	80 Pine Street, 28th FL, New York, NY 10005	501(c)3	General Operating Support \$	50,000
FOUNDATION FIGHTING BLINDNESS	7168 Columbia Gateway Drive, Suite 100, Columbia, MD 21046	501(c)3	General Operating Support \$	10,000
HIMALAYAN ART RESOURCES INC	17 W 17th St , 9th FL New York, NY 10011	501(c)3	General Operating Support \$	50,000
ILLINOIS HUMANITIES COUNCIL	17 North State Street Suite 1400 Chicago, IL 60602-3296	501(c)3	General Operating Support \$	9,800
INVEST FOR KIDS	875 North Michigan Suite 3400 Chicago, IL 60611	501(c)3	General Operating Support \$	2 000
JOHN G SHEDD AQUARIUM	1200 South Lake Shore Drive, Chicago, IL 60605	501(c)3	General Operating Support \$	2,000
JR ACHIEVEMENT OF CHICAGO	651 West Washington Blvd , #404, Chicago, IL 60661	501(c)3	General Operating Support \$	10,000
LINCOLN PARK CONSERVANCY	2000 N Racine Suite 4800, Chicago IL 60614	501(c)3	General Operating Support \$	1 500
OPERATION WALK CHICAGO	680 N Lake Shore Drive, Suite 900, Chicago IL 60611	501(c)3	General Operating Support \$	100,000
PLANNED PARENTHOOD	18 S Michigan Avenue, 6th FL, Chicago IL 60603	501(c)3	General Operating Support \$	100,000
PROJECTA	220 N Green St , Chicago IL 60607	501(c)3	General Operating Support \$	25,000
PUBLIC RADIO INTERNATIONAL	401 2nd Avenue North, Suite 500 Minneapolis, MN 55401	501(c)3	General Operating Support \$	3,000
RARE	1310 N Courthouse Road, Suite 110, Arlington, Virginia 22201	501(c)3	General Operating Support \$	50,000
ROCKEFELLER PHILANTHROPY ADVISORS, INC	6 West 48th Street, 10th FL, New York, NY 10036	501(c)3	General Operating Support \$	40,000
RUBIN MUSEUM OF ART	150 West 17th Street, New York, New York 10011	501(c)3	General Operating Support \$	50,000
THE ASPEN INSTITUTE	One Dupont Circle NW Suite 700, Washington, DC 20036	501(c)3	General Operating Support \$	757,346
THE JERUSALEM FOUNDATION, INC	420 Lexington Avenue, New York NY 10170	501(c)3	General Operating Support \$	5 000
THE NATURE CONSERVANCY	4245 N Fairfax Drive, Suite 100 Arlington, VA 22203	501(c)3	General Operating Support \$	10,000
THE SEED - NER ISRAEL RABBINICAL COLLEGE	400 Mt Wilson Lane, Baltimore, MD 21208	501(c)3	General Operating Support \$	36,700
TSINGHUA EDUCATION FOUNDATION, INC	Tsinghua University, Beijing China 100084	501(c)3	General Operating Support \$	100,000
UNIVERSITY OF CHICAGO LABORATORY SCHOOL	5235 South Harper Court, Suite 450, Chicago, IL 60615	501(c)3	General Operating Support \$	1,000,000
WWCI -CHICAGO IN BLOOM	5400 N St Louis Ave , Chicago, IL 60625	501(c)3	General Operating Support \$	10,000
YMCA of Metropolitan Chicago	1030 W Van Buren Street, Chicago, IL 60607	501(c)3	General Operating Support \$	5,000
<b>Total 2015 Contributions</b>				<b>4,397,346</b>