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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning 11/1/2015 **, and ending** 10/31/2016

Name of foundation
ALZHEIMERS RESEARCH ASSOCIATION, INC

Number and street (or P O box number if mail is not delivered to street address)
c/o L WOLLIN 124 PROSPECT ST

Room/suite

City or town: RIDGEWOOD
State: NJ
ZIP code: 07450

Foreign country name
Foreign province/state/county
Foreign postal code

A Employer identification number
23-7438025

B Telephone number (see instructions)
212-785-2610

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 301,402

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	9,468			
	2	Check ▶☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	7,643	7,643		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	8,802			
	b	Gross sales price for all assets on line 6a 103,443				
	7	Capital gain net income (from Part IV, line 2)		8,802		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	25,913	16,445	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	25			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	3,065			2,168
	22	Printing and publications	44			
	23	Other expenses (attach schedule)	1,064			
	24	**Total operating and administrative expenses.** Add lines 13 through 23	4,198	0	0	2,168
	25	Contributions, gifts, grants paid	5,101			5,101
	26	**Total expenses and disbursements.** Add lines 24 and 25	9,299	0	0	7,269
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	16,614			
	b	**Net investment income** (if negative, enter -0-)		16,445		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	11,581	11,749	11,749
	2	Savings and temporary cash investments	2,515	16,928	16,928
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	194,957	196,123	205,872
	c	Investments—corporate bonds (attach schedule)	47,026	47,026	47,376
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	256,079	271,826	281,925
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue	23,147	27,587	
	20	Loans from officers, directors, trustees, and other disqualified persons	1,000	1,000	
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	24,147	28,587	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	231,932	243,239	
	30	**Total net assets or fund balances** (see instructions)	231,932	243,239	
	31	**Total liabilities and net assets/fund balances** (see instructions)	256,079	271,826	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	231,932
2	Enter amount from Part I, line 27a	2	16,614
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	248,546
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	248,546

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SCHEDULE ATTACHED ST	P	7/1/2016	10/31/2016
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	103,443		94,641	8,802
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				8,802
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	8,802
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	8,802

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	23,172	270,476	0.085671
2013	18,680	289,179	0 064597
2012	10,304	264,610	0 038940
2011	21,288	257,458	0.082685
2010	27,811	220,223	0 126286

2	Total of line 1, column (d)	2	0.398179
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 079636
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	271,448
5	Multiply line 4 by line 3	5	21,617
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	164
7	Add lines 5 and 6	7	21,781
8	Enter qualifying distributions from Part XII, line 4	8	7,269

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______________ **(attach copy of letter if necessary—see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	329
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	329
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	329
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	107		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	107
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	222
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	0
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶		**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation. ▶ $ ______________ **(2)** On foundation managers. ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LONNIE WOLLIN Telephone no ▶ 212-785-2610

Located at ▶ 124 PROSPECT ST RIDGEWOOD NJ ZIP+4 ▶ 07450

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GARY WOLLIN 2677 LARKIN ST SAN FRANCISCO, CA 94109	PRESIDENT 1 00	0		
ILANA WILENSKY 141 HELMSLEY ROAD SANDY SPRINGS, GA 30327	V P 1 00	0		
ROBERT WOLLIN 301 E 78TH ST NY, NY 10075	v.p 1 00	0		
LONNIE WOLLIN 124 PROSPECT ST RIDGEWOOD, NJ 07450	SECY / TREASURE 1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1		Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes			
	a	Average monthly fair market value of securities		1a	263,594
	b	Average of monthly cash balances		1b	11,988
	c	Fair market value of all other assets (see instructions)		1c	
	d	**Total** (add lines 1a, b, and c)		1d	275,582
	e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2		Acquisition indebtedness applicable to line 1 assets		2	
3		Subtract line 2 from line 1d		3	275,582
4		Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)		4	4,134
5		**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	271,448
6		**Minimum investment return.** Enter 5% of line 5		6	13,572

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1		Minimum investment return from Part X, line 6			1	13,572
2a		Tax on investment income for 2015 from Part VI, line 5	2a	329		
	b	Income tax for 2015 (This does not include the tax from Part VI)	2b			
	c	Add lines 2a and 2b			2c	329
3		Distributable amount before adjustments. Subtract line 2c from line 1			3	13,243
4		Recoveries of amounts treated as qualifying distributions			4	
5		Add lines 3 and 4			5	13,243
6		Deduction from distributable amount (see instructions)			6	
7		**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	13,243

Part XII Qualifying Distributions (see instructions)

1		Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
	a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	7,269
	b	Program-related investments—total from Part IX-B	1b	
2		Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3		Amounts set aside for specific charitable projects that satisfy the		
	a	Suitability test (prior IRS approval required)	3a	
	b	Cash distribution test (attach the required schedule)	3b	
4		**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	7,269
5		Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6		**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	7,269

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1	Distributable amount for 2015 from Part XI, line 7					13,243
2	Undistributed income, if any, as of the end of 2015					
a	Enter amount for 2014 only				0	
b	Total for prior years 20____, 20____, 20____					
3	Excess distributions carryover, if any, to 2015					
a	From 2010	17,080				
b	From 2011	8,587				
c	From 2012					
d	From 2013	4,374				
e	From 2014	9,836				
f	**Total** of lines 3a through e		39,877			
4	Qualifying distributions for 2015 from Part XII, line 4 ► $ 7,269					
a	Applied to 2014, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required—see instructions)					
c	Treated as distributions out of corpus (Election required—see instructions)					
d	Applied to 2015 distributable amount					7,269
e	Remaining amount distributed out of corpus					
5	Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		5,974			5,974
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5		33,903			
b	Prior years' undistributed income Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount—see instructions					
e	Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f	Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8	Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)		11,106			
9	**Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		22,797			
10	Analysis of line 9					
a	Excess from 2011	8,587				
b	Excess from 2012					
c	Excess from 2013	4,374				
d	Excess from 2014	9,836				
e	Excess from 2015					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . .					0
b 85% of line 2a . . .					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities .					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets .					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed .					0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) .					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SCHEDULE ATTACHED	N/A	PC	EDUCATIONAL	5,101
Total			▶ 3a	5,101
b *Approved for future payment*				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		0	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 0

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date 4/25/17

Title TREASURER

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Capital Gains/Losses	103,443	94,641	8,802
Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	SCHEDULE ATTACHED ST		X	PUBLIC	X	P	7/1/2016	10/31/2016	103,443	94,641					8,802

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[redacted]

12:39 PM
04/16/17
Accrual Basis

ARA
Transaction Detail By Account
November 2015 through October 2016

			Total Sale of Securities-ST						
			PART IV line 1a						
Sale Date		**Num**	**Name**		**COST**		**Proceeds**		Gain(Loss)
12/18/2015		-500	Pitney Bowes Inc		11,426		9,860		
07/19/2016		-300	Procter & Gamble Co		23,908		19,480		
04/18/2016		-300	Procter & Gamble Co		23,908		17,980		
08/09/2016		-300	Emerson Electric Co		15,007		11,980		
			Deferred Income from Prior YR						
08/10/15		-3	Call EMR Jan 20 '17 40				3,081		
07/27/15		-3	Call PG Jan 20 '17 60				5,943		
02/23/15		-3	JNJ Jan 15 '16 90				882		
02/18/15		-2	Put AXP Jan 15 '16 70				595		
01/27/15		-4	Put Dow Jan 15 '16 37				857		
12/31/14		-3	Put GE Jan 15 '16 23				360		
12/31/14		-3	Put GILD Jan 15 '16 85				2,445		
07/20/15		-5	Put GLW Jan 20 '17 17				815		
12/17/14		2	Put GM Jan 15 '16 28				505		
02/12/15		-2	Put K Jan 20 '17 57 50				885		
09/25/15		-5	Put SPY Dec 31 '15 175				1,476		
07/09/15		-2	Put T Jan 20 '17 32				531		
02/22/16			7 SPY May 20 '16 $185 Put(SPY) @ $4 38		3,074				
01/11/16		2	2 AXP Jan 15 '16 $70 Put(AXP) @ $6 78		1,371				
12/08/15		3	3 GILD Jan 20 '17 $90 Put(GILD) @ $7 95				2,370		
12/15/15		3	3 GILD Jan 20 '17 $90 Put(GILD) @ $8 00		2,415				
07/14/16		7	7 SPY Aug 19 '16 $198 Put(SPY) @ $0 35						
05/10/16		7	7 SPY Aug 19 '16 $198 Put(SPY) @ $3 74		2,626				
12/16/15		7	7 SPY Feb 19 '16 $186 Put(SPY) @ $1 60						
01/14/16		7	7 SPY Feb 19 '16 $186 Put(SPY) @ $3 5587		2,502				
12/16/15		7	7 SPY Feb 19 '16 $197 Put(SPY) @ $3 35		2,335				
01/14/16		7	7 SPY Feb 19 '16 $197 Put(SPY) @ $8 41						
12/21/15		7	7 SPY Jan 15 '16 $187 Put(SPY) @ $0 80		520				
11/02/15		7	7 SPY Jan 15 '16 $187 Put(SPY) @ $1 23						
11/02/15			7 SPY Jan 15 '16 $199 Put(SPY) @ $2 78		1,954				
12/21/15			3 SPY Jan 15 '16 $199 Put(SPY) @ $3.16				933		
12/16/15			4 SPY Jan 15 '16 $199 Put(SPY) @ $2 37				933		
01/12/16			7 SPY Mar 18 '16 $185 Put(SPY) @ $4.24		2,978				
02/22/16			7 SPY Mar 18 '16 $185 Put(SPY) @ $1.26				874		
08/12/16			4 SPY Nov 18 '16 $195 Put(SPY) @ $1 53		616 53				
10/17/16			4 SPY Nov 18 '16 $195 Put(SPY) @ $0 53				196 89		
11/03/2015		-2	PUT Spy Dec 31 '15 188				175	x	
11/03/2015		-7	PUT Spy Jan 15 '16 187				853	x	
11/13/2015		-3	PUT Spy Dec 31 '15 188				300	x	
12/17/2015		-4	PUT Spy Jan 15 '16 199				933	x	
12/17/2015		-7	Put Spy Feb 19 '16 186				1,110	x	
12/22/2015		-3	PUT Spy Jan 15 '16 199				933	x	
01/13/2016		-7	PUT Spy Mar 18 '16 175				1,558	x	
01/15/2016		-7	PUT Spy Feb 19 '16 197				5,876	x	
02/23/2016		-7	PUT Spy Mar 18 '16 185	ex			874	x	
02/23/2016		-7	PUT Spy May 20 '16 175	ex			1,714	x	
04/27/2016		-3	Call PG Jan 18 '18 65				4,545		
05/10/2016		-7	Put SPY Aug 19 '16 187	ex			1,364	x	
07/14/2016		-7	Put SPY Aug 19 '16 198				230	x	
			Total Sale of Securities-ST		94,641		103,443		8,803

[redacted]

Part I, Line 18 (990-PF) - Taxes

		25	0	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Real estate tax not included in line 20	0			
2	Tax on investment income	0			
3	State Franchise Tax	25			

Part I, Line 23 (990-PF) - Other Expenses

		1,064	0	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	PARKING	0	0		
2	BANK CHARGE	20	0		
3	DELAWARE REP FEE	0	0		
4	AUTO	191	0		
5	SECRETARIAL SERVICES	330	0		
6	TAXI	407	0		
7	RESEARCH	116	0		
8		0	0		

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F/Y/E 10/31/15 ARA 4/24/2017

SEC Positions AS OF 10/31/16				
	PART II line 10b			
			Column (b)	**Column (c)**
Shares	**Descriptions**		**Cost**	**FMV**
100	APPLE INC		5,756 88	11,354 00
300	BRISTOL MYERS		18,781 00	15,273 00
300	EXXON MOBIL CORP		20,926 80	24,996 00
200	General Electric		7,040 00	5,820 00
200	GENERAL MOTORS COMPANY		7,916 46	6,320 00
300	HEWLETT PACKARD CO		6,010 00	6,741 00
300	HP INC		6,010 00	4,347 00
200	INTEL CORP		3,964 04	6,974 00
300	International Paper Co		14,424 13	13,509 00
100	PEPSICO INC		5,205 00	10,720 00
7	7 SPY Jan 20 '17 $205 Put(SPY) @ $4 33		3,038 92	2,667 00
300	S&P		30,110 00	61,133 00
300	TEVA PHARMACEUTICAL		14,270 00	12,822 00
267	RYDEX Series FDS		20,000 00	8,604 00
300	WESTERN DIGITAL		14,700 00	17,532 00
300	WELLS FARGO		14,277 49	13,803 00
7	SPY Nov 18 '16 $195 Put		462 39	102 00
7	7 SPY Jan 20 '17 $215 Put(SPY) @ $4 61		3,230 00	2,215 00
	OPTIONS OPEN			(19,060 00)
			196,123 11	205,872 00
	BONDS			
15,000	CATERPILLAR FINL SVCS CORP MEDIUM TERM NTS		16,260 44	16,382 40
10,000	DEERE JOHN CAPITAL CORP		10,108 98	10,130 10
10,000	GOLDMAN SACHS GROUP INC		10,305 86	10,275 80
10,000	WAL MART STORES INC		10,354 16	10,587 40
			47,029 44	47,375 70
			243,152 55	253,247 70
SEC Positions AS OF 10/31/17				
SEC Positions AS OF 10/31/15				
	PART II line 10b			
			Column (b)	
Shares	**Descriptions**		**Cost**	
100	APPLE INC		5,756 88	
300	EXXON MOBIL CORP		20,926 80	
100	General Electric		3,520 00	
100	General Electric		3,519 99	
200	GENERAL MOTORS COMPANY		7,916 46	
300	HEWLETT PACKARD CO		12,019 99	
200	INTEL CORP		3,964 04	
300	Emerson Electric Co		15,007 00	
300	International Paper Co		14,424 13	
500	Pitney Bowes Inc		11,424 95	
300	Procter & Gamble Co		23,908 00	
100	PEPSICO INC		5,205 00	
5	Put SPY Dec 31'15 188		2,983 88	
300	S&P		30,110 00	
300	TEVA PHARMACEUTICAL		14,270 00	
267	RYDEX Series FDS		20,000 00	
	SHORT OPTIONS			
			194,957 12	
	BONDS			
15,000	CATERPILLAR FINL SVCS CORP MEDIUM TERM NTS		16,260 44	
10,000	DEERE JOHN CAPITAL CORP		10,108 98	
10,000	GOLDMAN SACHS GROUP INC		10,305 86	
10,000	WAL MART STORES INC		10,354 16	
			47,029 44	
			241,986 56	

Part II, Line 20 (990-PF) - Loans from Officers, Directors, Trustees, Other Disqualified Persons

	Name of Lender	Title	Original Amount	Balance Due Beg of Year	Balance Due End of Year	Security Provided	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Purpose of Loan	Consideration Description	Fair Market Value of Consideration
			1,000	1,000	1,000								0
1	LONNIE WOLLIN	SECY	1,000	1,000	1,000	0				NONE	EXPEMPT PURPOSE	NONE	NONE

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ALZHEIMER'S RESEARCH ASSN		23-7438025		10/31/2016
PART XV line 3a				ARA
Name		**ADDRESS**		**Debit**
ALZHEIMER'S ASSN, INC		Chicago, IL	RESEARCH	2,500
Temple Sinai		Tenafly, NJ 07670	RELIGIOUS	18
United Jewish Federation of Utah		2 North Medical Drive, Salt Lake City, UT 84113	CHARITABLE	180
Little City Foundation		Palatine, IL 60067	EDUCATIONAL	500
Special Olympics		Washington, DC 20036-3604	CHARITABLE	50
NY Museum of Natural History		NY, NY	EDUCATIONAL	250
CANAVAN FOUNDATION		Canavan Foundation New York, NY 10024	RESEARCH	750
March of Dimes		March of Dimes, White Plains NY	RESEARCH	100
BKLYN AQUARIUM		Brooklyn, NY	EDUCATIONAL	37
Metropolitan Museum of Art		NY, NY	EDUCATIONAL	30
MS SOCIETY		NY, NY	RESEARCH	150
Baruch College Fund		NY,NY	EDUCATIONAL	50
Special Olympics		SLC, UT	CHARITABLE	18
The Arnold P Gold Foundation, inc		Englewood Cliffs, NJ	EDUCATIONAL	100
Reservation Animal Rescue		Po Box 6044, Albert Lea MN 56007-9837	EDUCATIONAL	20
Physicians Committee for Responsible Med		Washington, DC 20077-7541	EDUCATIONAL	20
Reservation Animal Rescue		Albert Lea, MN 56007-9837	EDUCATIONAL	20
The Seeing Eye		Morristown, NJ 07962	CHARITABLE	20
World Wildlife Fund		Washington, DC 20077	EDUCATIONAL	20
Temple Sinai		Tenafly, NJ 07670	RELIGIOUS	18
Fernbank Museum, Atlanta GA		Fernbank Museum, Atlanta GA	EDUCATIONAL	53
Temple Sinai		Tenafly, NJ 07670	RELIGIOUS	18
Amy Fund		Amy Fundraise CA	EDUCATIONAL	180
				5,102
				5,102