

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2015 or tax year beginning NOV 1, 2015, and ending OCT 31, 2016

Name of foundation HARRY DENT FAMILY FOUNDATION, INC.		A Employer identification number 16-0849923
Number and street (or P.O. box number if mail is not delivered to street address) 369 FRANKLIN STREET	Room/suite	B Telephone number (716) 754-8276
City or town, state or province, country, and ZIP or foreign postal code BUFFALO, NY 14202		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,287,952.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		11.	11.		STATEMENT 1
4 Dividends and interest from securities		132,405.	132,405.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		135,524.			
b Gross sales price for all assets on line 6a		2,068,926.			
7 Capital gain net income (from Part IV, line 2)			135,524.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		267,940.	267,940.		
13 Compensation of officers, directors, trustees, etc.		500.	250.		250.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		13,900.	6,950.		6,950.
c Other professional fees		46,101.	46,101.		0.
17 Interest		9,691.	4,776.		0.
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		625.	0.		625.
22 Printing and publications					
23 Other expenses		347.	0.		347.
24 Total operating and administrative expenses. Add lines 13 through 23		71,164.	58,077.		8,172.
25 Contributions, gifts, grants paid		270,000.			270,000.
26 Total expenses and disbursements. Add lines 24 and 25		341,164.	58,077.		278,172.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<73,224.>			
b Net investment income (if negative, enter -0-)			209,863.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED FEB 16 2017

Operating and Administrative Expenses

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only.

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	17,100.		
	2 Savings and temporary cash investments	33,207.	147,369.	147,369.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 7	737,292.	659,254.	664,118.
	b Investments - corporate stock STMT 8	3,157,998.	3,052,576.	3,555,352.
	c Investments - corporate bonds STMT 9	893,853.	911,352.	921,113.
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item i)	4,839,450.	4,770,551.	5,287,952.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ DUE TO BROKER)	0.	4,325.	
23 Total liabilities (add lines 17 through 22)	0.	4,325.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	6,856,683.	6,856,683.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	<2,017,233.>	<2,090,457.>	
30 Total net assets or fund balances	4,839,450.	4,766,226.		
31 Total liabilities and net assets/fund balances	4,839,450.	4,770,551.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,839,450.
2 Enter amount from Part I, line 27a	2	<73,224.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,766,226.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,766,226.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b AIG, SECURITIES LITIGATION	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,068,822.		1,933,402.	135,420.
b 104.			104.
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			135,420.
b			104.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	135,524.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	279,235.	5,632,857.	.049573
2013	248,624.	5,721,744.	.043452
2012	232,955.	5,219,189.	.044634
2011	251,891.	4,841,354.	.052029
2010	208,275.	4,992,061.	.041721

2 Total of line 1, column (d)	2	.231409
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046282
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	5,316,415.
5 Multiply line 4 by line 3	5	246,054.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,099.
7 Add lines 5 and 6	7	248,153.
8 Enter qualifying distributions from Part XII, line 4	8	278,172.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	2,099.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b.			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,099.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,099.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	4,440.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,440.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,341.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☒ 2,341. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► LUMSDEN & MCCORMICK, LLP Telephone no. ► 716-856-3300 Located at ► 369 FRANKLIN STREET, BUFFALO, NY ZIP+4 ► 14202		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
	0.
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,247,022.
b	Average of monthly cash balances	1b	150,354.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,397,376.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,397,376.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	80,961.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,316,415.
6	Minimum investment return. Enter 5% of line 5	6	265,821.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	265,821.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	2,099.
b	Income tax for 2015. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,099.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	263,722.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	263,722.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	263,722.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	278,172.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	278,172.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,099.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	276,073.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				263,722.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			273,070.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: $\blacktriangleright$ \$ 278,172.				
a Applied to 2014, but not more than line 2a			273,070.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				5,102.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income: Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				258,620.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

2015.05020 HARRY DENT FAMILY FOUNDATIO E0182911

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
DENT NEUROSCIENCES RESEARCH CTR INC 3980 SHERIDAN DR 6TH FL AMHERST, NY 14226	NONE	PC	MEDICAL RESEARCH	225,000.
THE SALVATION ARMY 960 MAIN STREET BUFFALO, NY 14202	NONE	PC	CHARITABLE - UNRESTRICTED	2,500.
PLANNED PARENTHOOD OF THE MID-HUDSON VALLEY INC 178 CHURCH STREET POUGHKEEPSIE, NY 12601-4165	NONE	PC	HEALTH AND HUMAN SERVICES	1,500.
THE HOSPICE FOUNDATION OF WNY 225 COMO PARK BLVD. CHEEKTOWAGA, NY 14227	NONE	PC	HOSPICE AND PALLIATIVE CARE	5,000.
BETHESDA-BY-THE SEA EPISCOPAL CHURCH 141 SOUTH COUNTY ROAD PALM BEACH, FL 33480	NONE	PC	CHURCH GENERAL FUND - UNRESTRICTED	2,500.
Total			SEE CONTINUATION SHEET(S)	270,000.
b Approved for future payment				
NONE				
Total				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue.						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	11.		
4 Dividends and interest from securities			14	132,405.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	135,524.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		267,940.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	267,940.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

► PRESIDENT

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN

DALE B. DEMYANICK

Firm's name ► LUMSDEN & MCCORMICK LLP

Firm's address ► 369 FRANKLIN STREET
BUFFALO, NY 14202

Phone no. (716) 856-3300

Form **990-PF** (2015)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. PAUL'S EPISCOPAL CHURCH - DELRAY BEACH 188 S. SWINTON AVENUE DELRAY BEACH, FL 33444	NONE	PC	CHURCH GENERAL FUND - UNRESTRICTED	2,500.
ST. PAUL'S EPISCOPAL CHURCH - LEWISTON P.O. BOX 354 LEWISTON, NY 14092	NONE	PC	CHURCH GENERAL FUND - UNRESTRICTED	11,500.
HUDSONIA LIMITED P.O. BOX 5000, BARD COLLEGE ANNANDALE, NY 12504	NONE	PC	PROTECT THE NATURAL HERITAGE OF THE HUDSON VALLEY	2,000.
RONALD MCDONALD HOUSE OF WESTERN NEW YORK INC. 780 W. FERRY STREET BUFFALO, NY 14222-1617	NONE	PC	SUPPORT RONALD MCDONALD HOUSE IN BUFFALO, NY	8,500.
WESTERN NEW YORK HERITAGE PRESS, INC. 495 PINE RIDGE HERITAGE BLVD. CHEEKTOWAGA, NY 14225	NONE	PC	PRESERVE HERITAGE OF EIGHT COUNTIES OF WESTERN NEW YORK THROUGH PUBLICATION OF ORIGINAL RESEARCH.	2,000.
THE ASSOCIATION FOR A BUFFALO PRESIDENTIAL CENTER P.O. BOX 524 BUFFALO, NY 14213	NONE	PC	PROMOTE THE HISTORICAL LEGACY OF US PRESIDENTS IN BUFFALO	1,000.
MAKE-A-WISH FOUNDATION OF WESTERN NEW YORK 4600 MAIN ST., SUITE 101 SNYDER, NY 14226	NONE	PC	WISH GRANTING	1,000.
DR. CHARLES E. GAVIN MEMORIAL FOUNDATION P.O. BOX 368 PARK FOREST, IL 60466	NONE	PC	SCHOLARSHIPS AND FOOD PANTRY	5,000.
Total from continuation sheets				33,500.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MONEY MARKET DIVIDENDS	11.	11.	
TOTAL TO PART I, LINE 3	11.	11.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
VARIOUS FUNDS-DIVIDENDS	80,631.	0.	80,631.	80,631.	
VARIOUS FUNDS-INTEREST	51,713.	0.	51,713.	51,713.	
VARIOUS FUNDS-OTHER INVESTMENT INCOME	61.	0.	61.	61.	
TO PART I, LINE 4	132,405.	0.	132,405.	132,405.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	13,900.	6,950.		6,950.
TO FORM 990-PF, PG 1, LN 16B	13,900.	6,950.		6,950.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT AND CUSTODIAN FEES	46,101.	46,101.			0.
TO FORM 990-PF, PG 1, LN 16C	46,101.	46,101.			0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	4,776.	4,776.			0.
FEDERAL TAXES	4,915.	0.			0.
TO FORM 990-PF, PG 1, LN 18	9,691.	4,776.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NYS FILING FEE	250.	0.			250.
MISCELLANEOUS	45.	0.			45.
CONFERENCE CALL FEE	52.	0.			52.
TO FORM 990-PF, PG 1, LN 23	347.	0.			347.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. TREASURY BONDS MSSB 105164-SEE ATTACHMENT A	X		659,254.	664,118.
TOTAL U.S. GOVERNMENT OBLIGATIONS			659,254.	664,118.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			659,254.	664,118.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK MSSB 105165-SEE ATTACHMENT B	431,628.	736,099.
CORPORATE STOCK MSSB 108289-SEE ATTACHMENT D	662,019.	646,051.
CORPORATE STOCK MSSB 108086-SEE ATTACHMENT E	423,399.	473,274.
CORPORATE STOCK MSSB 115643-SEE ATTACHMENT C	699,586.	814,537.
CORPORATE STOCK MSSB 116255-SEE ATTACHMENT F	278,783.	308,251.
CORPORATE STOCK MSSB 117126-SEE ATTACHMENT G	370,231.	373,663.
CORPORATE STOCK MSSB 117731-SEE ATTACHMENT H	186,930.	203,477.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,052,576.	3,555,352.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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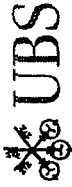
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS MSSB 105164-SEE ATTACHMENT A	911,352.	921,113.
TOTAL TO FORM 990-PF, PART II, LINE 10C	911,352.	921,113.

HARRY DENT FAMILY FOUNDATION, INC.

ATTACHMENT A

EIN: 16-0849923

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Managed Accounts Consulting
October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets (continued)

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ECOLAB INC								
58P								
RATE 03 000% MATURES 12/08/16								
ACCURED INTEREST \$286.00								
CUSIP 278865AK6								
Moody Baa1 S&P A-								
EAI \$360 Current yield 2.99%								
Original cost basis \$17,866.32	Jan 07, 14	17,000.000	100.182	17,030.95	100.201	17,034.17	3.22	LT
Original cost basis \$7,384.72	Feb 25, 14	7,000.000	100.205	7,014.35	100.201	7,014.07	-0.28	LT
Security total		24,000.000		24,045.30		24,048.24	2.94	
BERKSHIRE HATHAWAY INC								
B/E								
RATE 01 900% MATURES 01/31/17								
ACCURED INTEREST \$118.75								
CUSIP 084670BD9								
Moody Aa2 S&P AA								
EAI \$238 Current yield 1.90%								
Original cost basis \$19,638.21	May 20, 13	19,000.000	100.228	19,043.37	100.180	19,034.20	-9.17	LT
Original cost basis \$6,170.16	Feb 20, 14	6,000.000	100.240	6,014.45	100.180	6,010.80	-3.65	LT
Security total		25,000.000		25,057.82		25,045.00	-12.82	
BILP BILLITON FIN USA LTD								
NTS B/E								
RATE 01.625% MATURES 02/24/17								
ACCURED INTEREST \$75.60								
CUSIP 055451AP3								
Moody A3 S&P A								
EAI \$203 Current yield 1.62%								
Original cost basis \$25,460.25	Jul 15, 14	25,000.000	100.223	25,055.91	100.135	25,033.75	-22.16	LT

continued next page

ENP70002006104836 NP7000205460 00002 1016 031385913 FF22530A60 000000



Managed Accounts Consulting
October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
MERRILL LYNCH & CO INC								
MED TERM NTS								
RATE 06 400% MATURES 08/28/17								
ACCRUED INTEREST \$257.60								
CUSIP 59018YJ69								
Moody Baa1 S&P BBB+								
EAI \$1,472 Current yield 6.15%								
Original cost basis \$5,638.95								
Original cost basis \$8,742.32	Jun 01, 11	5,000,000	101.875	5,093.78	104.016	5,200.80	107.02	LT
Original cost basis \$9,271.20	Jun 29, 11	8,000,000	101.397	8,111.77	104.016	8,321.28	209.51	LT
Original cost basis \$2,269.48	Feb 19, 14	8,000,000	103.803	8,304.30	104.016	8,321.28	16.98	LT
Original cost basis \$2,269.48	Aug 29, 14	2,000,000	103.777	2,075.55	104.016	2,080.32	4.77	LT
Security total		23,000,000		23,585.40		23,923.68	338.28	
CONOCOPHILLIPS CO NTS								
CALL@MW+10BP								
RATE 01 050% MATURES 12/15/17								
CALLABLE								
ACCRUED INTEREST \$67.43								
CUSIP 20826FAB2								
Moody Baa2 S&P A-								
EAI \$179 Current yield 1.06%	Sep 23, 14	17,000,000	98.842	16,803.14	99.485	16,912.45	109.31	LT
PROCTER & GAMBLE CO THE								
CALL@MW+5BP								
RATE 01 600% MATURES 11/15/18								
ACCRUED INTEREST \$147.55								
CUSIP 742718EE5								
Moody Aa3 S&P AA-								
EAI \$320 Current yield 1.58%								
Original cost basis \$20,241.00	Jan 22, 16	20,000,000	100.876	20,175.38	100.999	20,199.80	24.42	ST
BK OF NY MELLON CORP B/E								
RATE 02 100% MATURES 01/15/19								
CALLABLE								
ACCRUED INTEREST \$105.11								
CUSIP 06406HCP2								
Moody A1 S&P A								
EAI \$357 Current yield 2.07%	Feb 03, 15	17,000,000	101.078	17,183.29	101.338	17,227.46	44.17	LT
Original cost basis \$17,323.85								

continued next page



HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

 Managed Accounts Consulting
 October 2016

 Account name: HARRY DENT FAMILY FOUNDATION
 Account number: FF 22530 A6

 Your Financial Advisor:
 THE ARTHURS MALOF GROUP
 716-849-0800/800-537-7398

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
CISCO SYSTEMS INC NTS								
CA11@MW+10BP								
RATC 02.125% MATURES 03/01/19								
ACC RUED INTEREST \$56.66								
CUSIP 17275RAR3								
Moody A1 S&P AA-								
EAL \$340 Current yield 2.09%								
Original cost basis \$16,394.18	Apr 27, 16	16,000,000	102,026	16,324.28	101,698	16,271.68	-52.60	ST
AMER EXPRESS CRDT CORP								
ADJ RATE MATURES 03/18/19								
RT 01.406%								
CUSIP 0758MODLO								
Moody A2 S&P A-								
EAL \$352 Current yield 1.40%								
Original cost basis \$25,087.25	Sep 16, 16	25,000,000	100,331	25,082.89	100,234	25,058.50	-24.39	ST
BB&T CORP MED TERM NTS								
B/E								
RATE 06.850% MATURES 04/30/19								
CUSIP 05531FAB9								
Moody A2 S&P A-								
EAL \$1,439 Current yield 6.08%								
Original cost basis \$13,593.24	Feb 23, 10	12,000,000	104,226	12,507.21	112,650	13,518.00	1,010.79	LT
Original cost basis \$11,007.00	Feb 20, 14	9,000,000	111,046	9,994.18	112,650	10,138.50	144.32	LT
Security total		21,000,000		22,501.39		23,656.50	1,155.11	
APPLE INC NTS B/E								
ADJ RATE MATURES 05/06/19								
CUSIP 037833AP5								
Moody Aa1 S&P AA+								
EAL \$185 Current yield 1.08%								
Original cost basis \$17,070.55	Jul 29, 16	17,000,000	100,376	17,063.95	100,268	17,045.56	-18.39	ST

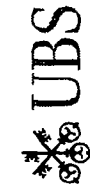
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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

ATTACHMENT A

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Managed Accounts Consulting
October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ORACLE CORP B/E								
+258PS								
RATE 05 000% MATURES 07/08/19								
ACCRUED INTEREST \$549.30								
CUSIP 68389XAG0								
Moody A1 S&P AA-								
EAI \$1,750 Current yield 4.57%								
Original cost basis \$31,280.58								
Original cost basis \$9,136.24								
Security total								
	Oct 05, 11	27,000.000	105.866	28,583.97	109.305	29,512.35	928.38	LT
	Feb 20, 14	8,000.000	107.292	8,583.41	109.305	8,744.40	160.99	LT
		35,000.000		37,167.38		38,256.75	1,089.37	
KROGER CO NTS B/E								
CALL@MW+ 10BP								
RATE 01 500% MATURES 09/30/19								
INTEREST EARNED FROM 10/03/16								
1ST INTEREST PAYMENT 03/30/17								
ACCRUED INTEREST \$37.33								
CUSIP 501044DD0								
Moody Baa1 S&P BBB								
EAI \$480 Current yield 1.51%								
	Oct 14, 16	32,000.000	99.576	31,864.32	99.465	31,828.80	-35.52	ST
UNITED TECHNOLOGIES CORP								
+15BPS								
RATE 04 500% MATURES 04/15/20								
ACCRUED INTEREST \$44.00								
CUSIP 913017BR9								
Moody A3 S&P A-								
EAI \$990 Current yield 4.10%								
Original cost basis \$24,528.46								
	Nov 10, 14	22,000.000	107.472	23,643.90	109.637	24,120.14	476.24	LT
PNC FUNDING CORP NTS B/E								
RATE 04.375% MATURES 08/11/20								
ACCRUED INTEREST \$145.83								
CUSIP 693476BL6								
Moody A3 S&P A-								
EAI \$656 Current yield 4.02%								
Original cost basis \$16,382.85								
	Feb 11, 16	15,000.000	107.798	16,169.82	108.793	16,318.95	149.13	ST

continued next page



ATTACHMENT A

Managed Accounts Consulting
October 2016

HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

Account name: HARRY DENT FAMILY FOUNDATION
FF 22530 A6Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Page 5 of 16

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
AETNA INC NTS B/E								
RATF 03.950% MATURES 09/01/20								
ACCURED INTEREST \$164.58								
CUSIP 00817YAH1								
Moody Baa2 S&P A-								
EAI \$988 Current yield 3.69%								
Original cost basis \$26,878.25	Oct 14, 16	25,000.000	107.424	26,856.13	106.904	26,726.00	-130.13	ST
MICROSOFT CORP NTS								
RATE 03.000% MATURES 10/01/20								
ACCURED INTEREST \$35.00								
CUSIP 594918AH7								
Moody Aaa S&P AAA								
EAI \$420 Current yield 2.85%								
Original cost basis \$14,739.90	Jan 22, 16	14,000.000	104.441	14,621.86	105.412	14,757.68	135.82	ST
US BANCORP								
+15BPS								
RATE 04.125% MATURES 05/24/21								
CALLABLE								
ACCURED INTEREST \$431.75								
CUSIP 91159JHA1								
Moody A1 S&P A+								
EAI \$990 Current yield 3.75%								
Original cost basis \$15,397.48	Mar 01, 17	14,000.000	105.264	14,736.98	110.061	15,408.54	671.56	LT
Original cost basis \$10,754.70	Feb 20, 14	10,000.000	104.928	10,492.88	110.061	11,006.10	513.22	LT
Security total		24,000.000		25,229.86		26,414.64	1,184.78	
GILEAD SCIENCES INC NTS								
40BP								
RATE 04.400% MATURES 12/01/21								
CALLABLE								
ACCURED INTEREST \$403.33								
CUSIP 375558AU7								
Moody A3 S&P A								
EAI \$968 Current yield 3.97%								
Original cost basis \$24,995.96	Jul 11, 16	22,000.000	112.869	24,831.24	110.742	24,363.24	-468.00	ST

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Managed Accounts Consulting
October 2016

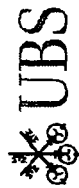
Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • **Fixed income** • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
NOBLE ENERGY INC NTS B/E								
58P								
RATE 04 150% MATURES 12/15/21								
CALLABLE								
ACCURED INTEREST \$266.52								
CUSIP 65504MAF2								
Moody Baa3 S&P BBB								
EAI \$706 Current yield 3.91%								
Original cost basis \$14,547.65								
Original cost basis \$4,209.84	Apr 17, 13	13,000,000	107,359	13,956.71	106,079	13,790.27	-166.44	LT
Security total	Feb 20, 14	4,000,000	103,588	4,143.55	106,079	4,243.16	99.61	LT
		17,000,000		18,100.26		18,033.43	-66.83	
WELLPPOINT INC B/E								
08P								
RATE 03 125% MATURES 05/15/22								
ACCURED INTEREST \$244.96								
CUSIP 94973VAX5								
Moody Baa2 S&P A								
EAI \$531 Current yield 3.03%								
Original cost basis \$12,246.60	Apr 15, 13	12,000,000	101,315	12,157.86	103,262	12,391.44	233.58	LT
Security total	Apr 01, 14	5,000,000	97,100	4,855.00	103,262	5,163.10	308.10	LT
		17,000,000		17,012.86		17,554.54	541.68	
MERCK & CO NTS B/E								
CALL @MMW +175BP								
RATE 02.400% MATURES 09/15/22								
CALLABLE								
ACCURED INTEREST \$49.06								
CUSIP 589331AT4								
Moody A1 S&P AA								
EAI \$384 Current yield 2.36%								
Original cost basis \$16,027.20	Feb 19, 16	16,000,000	100,153	16,024.50	101,887	16,301.92	277.42	ST

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Managed Accounts Consulting
October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACE INA HOLDINGS INC NTS								
CALL@MW+20BP								
RATE 02 875% MATURES 11/03/22								
CALLABLE								
ACCRUED INTEREST \$184.79								
CUSIP 00440EAU1								
Moody A3 S&P A								
EAI \$3 1/4 Current yield 2.76%								
Original cost basis \$13,079.43	Jan 22, 16	13,000,000	100,546	13,071.08	104,186	13,544.18	473.10	ST
VERIZON COMMUNICATIONS								
CALL@MW+40BP								
RATE 05.150% MATURES 09/15/23								
ACCRUED INTEREST \$184.25								
CUSIP 92343VBR4								
Moody Baa1 S&P BBB+								
EAI \$1.442 Current yield 4.50%								
Original cost basis \$21,578.00	Nov 06, 13	20,000,000	105,827	21,165.50	114,386	22,877.20	1,711.70	LT
Original cost basis \$8,708.56	Feb 20, 14	8,000,000	106,691	8,535.28	114,386	9,150.88	615.60	LT
Security total		28,000,000		29,700.78		32,028.08	2,327.30	
PLAINS ALL AMER PIPELINE								
CALL@MW+20BP								
RATE 03 850% MATURES 10/15/23								
CALLABLE								
ACCRUED INTEREST \$30.79								
CUSIP 72650RBD3								
Moody Baa3 S&P BBB-								
EAI \$693 Current yield 3.80%								
Original cost basis \$18,493.74	Jul 10, 14	18,000,000	102,139	18,385.08	101,404	18,252.72	-132.36	LT
NORFOLK STNH CORP B/E								
CALL@MW+20BP								
RATE 03 850% MATURES 01/15/24								
CALLABLE								
ACCRUED INTEREST \$362.75								
CUSIP 65584BP2								
Moody Baa1 S&P BBB+								
EAI \$1,232 Current yield 3.56%								
Original cost basis \$33,118.08	Jan 22, 16	32,000,000	103,193	33,021.88	108,152	34,608.64	1,586.76	ST

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HARRY DENT FAMILY FOUNDATION, INC.

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Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Managed Accounts Consulting
October 2016



Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
METLIFE INC B/E								
CALL@MW+15 BP								
RATE 03 600% MATURES 04/10/24								
ACCURED INTEREST \$29.40								
CUSIP 59156RBHO								
Moody A3 S&P A-								
EAI \$504 Current yield 3.40%								
Original cost basis \$14,033.88	Apr 09, 14	14,000.000	100.188	14,026.32	105.932	14,830.48	804.16	LT
GOLDMAN SACHS GROUP INC								
OBP								
RATE 03.850% MATURES 07/08/24								
CALLABLE								
ACCURED INTEREST \$265.86								
CUSIP 38141EC23								
Moody A3 S&P BBB+								
EAI \$847 Current yield 3.65%								
Original cost basis \$22,416.46	Oct 17, 14	22,000.000	101.548	22,340.68	105.517	23,213.74	873.06	LT
ENTERPRISE PRODUCTS OPER								
CALL@MW+20BP								
RATE 03 750% MATURES 02/15/25								
CALLABLE								
ACCURED INTEREST \$182.08								
CUSIP 29379VBE2								
Moody Baa1 S&P BBB+								
EAI \$863 Current yield 3.63%								
Original cost basis \$24,190.02	Aug 30, 16	23,000.000	105.082	24,168.95	103.345	23,769.35	-399.60	ST
MCDONALD'S CORP NTS B/E								
CALL@MW+20BP								
RATE 03.375% MATURES 05/26/25								
CALLABLE								
ACCURED INTEREST \$232.50								
CUSIP 58013MEU4								
Moody Baa1 S&P BBB+								
EAI \$540 Current yield 3.26%								
Original cost basis \$16,970.40	Sep 08, 16	16,000.000	105.973	16,955.69	103.376	16,540.16	-415.53	ST

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HARRY DENT FAMILY FOUNDATION, INC.

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Managed Accounts Consulting
October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMERICAN INTL GROUP B/E								
CALL@MW+25BP								
RATE 03 750% MATURES 07/10/25								
CALLABLE								
ACCRUED INTEREST \$173.43								
CUSIP 026874DD6								
Moody Baa1 S&P A-								
EAI \$563 Current yield 3.59%								
Original cost basis \$15,086.70								
	Aug 31, 15	15,000,000	100,519	15,077.96	104,394	15,659.10	581.14	LT
WALT DISNEY COMPANY/THE								
CALL@MW+15BP								
RATE 03 150% MATURES 09/17/75								
ACCRUED INTEREST \$115.50								
CUSIP 25468PDF0								
Moody A2 S&P A								
EAI \$945 Current yield 2.99%								
Original cost basis \$30,911.70								
	Jan 22, 16	30,000,000	102,823	30,847.05	105,457	31,637.10	790.05	ST
GENL ELEC CAP CORP								
RATE 06 750% MATURES 03/15/32								
ACCRUED INTEREST \$146.62								
CUSIP 36962GXZ2								
Moody A1 S&P AA-								
EAI \$1,148 Current yield 4.91%								
Original cost basis \$5,087.40								
Original cost basis \$5,575.95								
Original cost basis \$8,825.18								
Security total								
		17,000,000		19,195.94		23,381.29	4,185.35	
AT&T INC NTS B/E								
CALL@ MW+25BP								
RATE 05 350% MATURES 09/01/40								
ACCRUED INTEREST \$133.75								
CUSIP 04650NAB0								
Moody Baa1 S&P BBB+								
EAI \$803 Current yield 5.09%								
Original cost basis \$16,126.20								
	Apr 27, 16	15,000,000	107,423	16,113.46	105,134	15,770.10	-343.36	ST

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Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
CVS CAREMARK CORP								
CALL@MW +25BP								
RATE 05 750% MATURES 05/15/41								
ACCURED INTEREST \$318.16								
CUSIP 126650BX7								
Moody: Baa1 S&P: BBB+								
EAI \$690 Current yield 4.69%								
Original cost basis \$9,634.64								
Original cost basis \$4,563.84								
Security total								
				14,058.49	122.509	9,800.72	276.96	LT
	May 10, 13	8,000.000	119.047	9,523.76	122.509	9,800.72	276.96	LT
	Feb 20, 14	4,000.000	113.368	4,534.73	122.509	4,900.36	365.63	LT
		12,000.000		14,058.49		14,701.08	642.59	
JP MORGAN CHASE B/E								
RATE 05 400% MATURES 01/06/42								
ACCURED INTEREST \$327.75								
CUSIP 481268AA1								
Moody: A3 S&P: A-								
EAI \$1,026 Current yield 4.46%								
Original cost basis \$8,395.87								
Original cost basis \$3,145.95								
Original cost basis \$9,821.43								
Security total								
		19,000.000		21,218.57		23,016.03	1,797.46	
	Apr 16, 13	7,000.000	118.564	8,299.52	121.137	8,479.59	180.07	LT
	Nov 12, 13	3,000.000	104.612	3,138.38	121.137	3,634.11	495.73	LT
	Feb 20, 14	9,000.000	108.674	9,780.67	121.137	10,902.33	1,121.66	LT
BURLINGTON NORTH SANTA FE								
B/E								
RATE 04 400% MATURES 03/15/42								
CALLABLE								
ACCURED INTEREST \$123.68								
CUSIP 12189LAJ0								
Moody: A3 S&P: A								
EAI \$968 Current yield 4.05%								
Security total								
		22,000.000		20,743.66		23,890.24	3,146.58	
	Jan 30, 14	14,000.000	94.665	13,253.10	108.592	15,202.88	1,949.78	LT
	Feb 20, 14	8,000.000	93.632	7,490.56	108.592	8,687.36	1,196.80	LT

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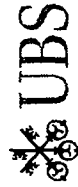
Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
COMCAST CORP NEW B/E								
CALL@MW+30BP								
RATE 04.650% MATURES 07/15/42								
ACCRUED INTEREST \$301.21								
CUSIP 20030NBEO								
Moody A3 S&P A-								
EAI \$1,023 Current yield 4.19%								
Original cost basis \$8,505.28								
	May 10, 13	8,000,000	105,904	8,412.39	110,921	8,873.68	401.29	LT
	Jul 15, 13	8,000,000	98,326	7,866.08	110,921	8,873.68	1,007.60	LT
	Feb 20, 14	6,000,000	98,706	5,922.36	110,921	6,655.26	732.90	LT
Security total		22,000,000		22,260.83		24,402.62	2,141.79	
HOME DEPOT INC B/E								
CALL@MW+20BP								
RATE 04.200% MATURES 04/01/43								
CALLABLE								
ACCRUED INTEREST \$49.00								
CUSIP 437076BA9								
Moody A2 S&P A								
EAI \$588 Current yield 3.87%								
	Jan 22, 16	14,000,000	99,850	13,979.00	108,572	15,200.08	1,221.08	ST
PRUDENTIAL FINL INC								
CALL@MW+20BP								
RATE 04.600% MATURES 05/15/44								
ACCRUED INTEREST \$339.37								
CUSIP 74432QCA1								
Moody Baa1 S&P A								
EAI \$1,736 Current yield 4.33%								
Original cost basis \$16,400.32								
	Sep 03, 14	16,000,000	102,409	16,385.48	106,331	17,012.96	627.48	LT
TIME WARNER INC B/E								
CALL@MW+25BP								
RATE 04.650% MATURES 06/01/44								
CALLABLE								
ACCRUED INTEREST \$329.37								
CUSIP 887317AU9								
Moody Baa2 S&P BBB								
EAI \$791 Current yield 4.49%								
	Jul 24, 14	17,000,000	93,266	16,705.22	103,516	17,591.72	892.50	LT

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Managed Accounts Consulting
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Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
EXXON MOBIL CORP CALL@MW+15BP RATE 03 567% MATURES 03/06/45 CALLABLE ACCRUED INTEREST \$92.64 CUSIP 30231GANZ Moody Aaa S&P AA+ EAI \$606 Current yield 3.58% Original cost basis \$17,201.96	Mar 12, 15	17,000,000	101.150	17,195.51	99.548	16,923.16	-272.35	LT
WELLS FARGO & CO MED TERM NTS RATE 03 900% MATURES 05/01/45 ACCRUED INTEREST \$312.00 CUSIP 949748GKO Moody A2 S&P A EAI \$624 Current yield 3.89% Original cost basis \$16,247.36	Jun 17, 16	16,000,000	101.534	16,245.58	100.223	16,035.68	-209.90	ST
Total		\$867,000,000		\$896,098.09		\$921,113.22	\$25,015.13	
Total accrued interest: \$7,721.26								
Total estimated annual income: \$31,314								
Total original cost: \$911,352.32								

HARRY DENT FAMILY FOUNDATION, INC.

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Managed Accounts Consulting
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Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income (continued)

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
U.S. TREASURY NOTE								
RATE 1.8750% MATURES 09/30/17								
ACCRUED INTEREST \$62.27								
CUSIP 912828PAZ								
EAI \$731 Current yield 1.86%								
Original cost basis \$6,186.09	Oct 17, 14	6,000,000	103.965	6,057.93	101.066	6,063.96	6.03	LT
Original cost basis \$33,613.59	Feb 03, 16	33,000,000	101.026	33,338.85	101.066	33,351.78	12.93	ST
Security total		39,000,000		39,396.78		39,415.74	18.96	
U.S. TREASURY NOTE								
RATE 2.6250% MATURES 01/31/18								
ACCRUED INTEREST \$308.43								
CUSIP 912828PT1								
EAI \$1,234 Current yield 2.57%								
Original cost basis \$11,649.25	Feb 10, 14	11,000,000	101.880	11,206.86	102.297	11,252.67	45.81	LT
Original cost basis \$12,697.39	Feb 18, 14	17,000,000	101.849	12,221.88	102.297	12,275.64	53.76	LT
Original cost basis \$1,049.45	Mar 26, 14	1,000,000	101.628	1,016.28	102.297	1,022.97	6.69	LT
Original cost basis \$23,796.91	Jan 20, 16	23,000,000	102.135	23,491.26	102.297	23,528.31	37.05	ST
Security total		47,000,000		47,936.28		48,079.59	143.31	
FREDDIE MAC NTS								
RATE 3.7500% MATURES 03/27/19								
ACCRUED INTEREST \$102.70								
CUSIP 3137EACAS								
EAI \$1,088 Current yield 3.52%								
Original cost basis \$31,884.77	Feb 18, 14	29,000,000	104.791	30,389.43	106.518	30,890.22	500.79	LT
U.S. TREASURY NOTE								
RATE 1.6250% MATURES 06/30/19								
ACCRUED INTEREST \$130.35								
CUSIP 912828WSS								
EAI \$390 Current yield 1.60%								
Original cost basis \$24,481.88	Sep 19, 16	24,000,000	101.924	24,461.83	101.707	24,409.68	-52.15	ST

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HARRY DENT FAMILY FOUNDATION, INC.

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Managed Accounts Consulting
October 2016

Account name:
Account number:
HARRY DENT FAMILY FOUNDATION
FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398



Your assets > Fixed income > Government securities (continued)

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
U S TREASURY NOTE								
RATE 1 5000% MATURES 10/31/19								
CUSIP 912828F62								
EAI \$585 Current yield 1.48%	Dec 04, 14	1,000,000	99 625	996 25	101 426	1,014 26	18 01	LT
	Dec 30, 14	38,000 000	99 296	37,732 81	101 426	38,541 88	809 07	LT
Security total		39,000 000		38,729.06		39,556.14	82 / 08	
U S TREASURY NOTE								
RATE 1 3750% MATURES 03/31/20								
ACCURED INTEREST \$73.77								
CUSIP 912828I84								
EAI \$866 Current yield 1.36%	Apr 22, 15	63,000,000	99 980	62,987 70	100 910	63,573 30	585 60	LT
U S TREASURY NOTE								
RATE 3.1250% MATURES 05/15/21								
ACCURED INTEREST \$1,047 63								
CUSIP 912828Q3								
EAI \$2,281 Current yield 2.89%	Dec 08, 14	28,000 000	104 997	29,399 40	108 004	30,241.12	841.72	LT
Original cost basis \$29,949 06	Mar 09, 16	15,000 000	107 326	16,099.00	108 004	16,200.60	101 60	ST
Original cost basis \$16,249 80	Mar 10, 16	30,000,000	107 022	32,106.77	108 004	32,401.20	294 43	ST
Original cost basis \$32,394.14		73,000 000		77,605 17		78,842.92	1,237.75	
Security total								
FREDDIE MAC NTS								
RATE 2 3750% MATURES 01/13/22								
ACCURED INTEREST \$327 75								
CUSIP 3137EAD82								
EAI \$1,093 Current yield 2.27%	Nov 19, 13	33,000 000	97 799	32,273 87	104 461	34,472.13	2,198.26	LT
	Feb 18, 14	13,000 000	97 708	12,702 08	104 461	13,579 93	87 / 85	LT
Security total		46,000,000		44,975 95		48,052 06	3,076 11	
U S TREASURY NOTE								
RATE 1 6250% MATURES 08/15/22								
ACCURED INTEREST \$136.00								
CUSIP 912828T19								
EAI \$650 Current yield 1.61%	Nov 08, 12	13,000 000	99 894	12,986 28	100 832	13,108 16	121 88	LT
	Feb 18, 14	5,000 000	93 203	4,660.18	100 832	5,041 60	381 42	LT
	Jan 15, 15	17,000 000	99 738	16,955 51	100 832	17,141.44	185 93	LT

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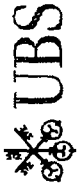
HARRY DENT FAMILY FOUNDATION, INC.

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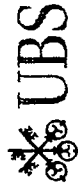
Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income • Government securities (continued)

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Original cost basis	Apr 19, 16	5,000,000	100.807	5,040.38	100.832	5,041.60	1.22	ST
Security total		40,000,000		39,642.35		40,332.80	690.45	
U S TREASURY NOTE								
RATE 2.2500% MATURES 11/15/24								
ACCRUED INTEREST \$392.65								
CUSIP 912828G38								
EAI \$855 Current yield 2.16%	Dec 04, 14	7,000,000	99.859	6,950.15	103.969	7,277.83	287.68	LT
	Jun 24, 15	16,000,000	98.855	15,816.87	103.969	16,635.04	818.17	LT
	Jan 19, 16	15,000,000	101.968	15,295.30	103.969	15,595.35	300.05	ST
Original cost basis \$15,321.58								
Security total		38,000,000		38,102.32		39,508.22	1,405.90	
U S TREASURY NOTE								
RATE 1.6250% MATURES 02/15/26								
ACCRUED INTEREST \$217.60								
CUSIP 912828P46								
EAI \$1,040 Current yield 1.65%	Mar 29, 16	31,000,000	97.929	30,358.20	98.344	30,486.64	128.44	ST
	Apr 07, 16	33,000,000	99.285	32,764.10	98.344	32,453.52	-310.58	ST
Security total		64,000,000		63,122.30		62,940.16	-182.14	
FANNIE MAE NTS								
RATE 2.1250% MATURES 04/24/26								
ACCRUED INTEREST \$26.03								
CUSIP 3135G0K36								
EAI \$1,339 Current yield 2.13%	May 13, 16	31,000,000	100.771	31,239.22	99.986	30,995.66	-243.56	ST
Original cost basis \$31,249.86								
	Jun 08, 16	32,000,000	100.835	32,267.30	99.986	31,995.52	-271.78	ST
Original cost basis \$32,277.44								
Security total		63,000,000		63,506.52		62,991.18	-515.34	
UNITED STATES T								
RATE 2.7500% MATURES 11/15/42								
ACCRUED INTEREST \$176.80								
CUSIP 912810QY7								
EAI \$385 Current yield 2.65%	May 17, 16	14,000,000	103.951	14,553.18	103.785	14,529.90	-23.28	ST
Original cost basis \$14,560.00								

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Account name: HARRY DENT FAMILY FOUNDATION
Account number: HF 22530 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Fixed income • Government securities (continued)

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNITED STATES T								
RATE 3 3750% MATURES 05/15/44								
ACCRUED INTEREST \$945.45								
CUSIP 912810RG5								
EAI \$2,059 Current yield 2.90%								
Original cost basis \$14,916.01	Jun 02, 15	14,000.000	106.338	14,887.38	116.387	16,294.18	1,406.80	LT
Original cost basis \$13,445.16	Jan 19, 16	12,000.000	111.819	13,418.39	116.387	13,966.44	548.05	ST
Original cost basis \$15,272.46	Apr 05, 16	13,000.000	117.232	15,240.26	116.387	15,130.31	-109.95	ST
Original cost basis \$25,885.23	Oct 18, 16	22,000.000	117.643	25,881.47	116.387	25,605.14	-276.33	ST
Security total		61,000.000		69,427.50		70,996.07	1,568.57	
Total		640,000.000		\$654,836.37		\$664,117.98	\$9,281.61	

Total accrued interest: \$3,947.43

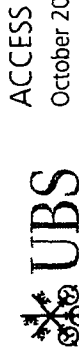
Total estimated annual income: \$14,596

Total original cost: \$659,254.42

HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

ATTACHMENT B



Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22527 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Oct 1 (\$)	Closing balance on Oct 31 (\$)	Price per share on Oct 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	-837.48	-1,248.38					
UBS BANK USA BUS ACCT	24,363.82	23,039.31					250,000.00
Total	\$23,526.34	\$21,790.93					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ADIENT PLC								
Symbol ADNT Exchange NYSE		11,000	— This information was unavailable —			500.61		
ALLERGAN PLC								
Symbol AGN Exchange NYSE	Sep 15, 99	137,000	35.120	4,811.44	208.940	28,624.78	23,813.34	LT
	May 11, 10	7,000	77.894	545.26	208.940	1,462.58	917.32	LT
	Apr 4, 11	33,000	99.578	3,286.08	208.940	6,895.02	3,608.94	LT
	Apr 5, 11	17,000	100.844	1,714.35	208.940	3,551.98	1,837.63	LT
	Apr 7, 11	7,000	94.260	659.82	208.940	1,462.58	802.76	LT
Security total		201,000	54.811	11,016.95		41,996.94	30,979.99	
AMC NETWORKS INC CL A								
Symbol AMCX Exchange OTC	Apr 27, 09	210,000	14.701	3,087.40	48.930	10,275.30	7,187.90	LT

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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

 Account name: HARRY DENT FAMILY FOUNDATION
 Account number: FF 22527 A6

 Your Financial Advisor:
 THE ARTHURS MALOF GROUP
 716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ANADARKO PETROLEUM CORP								
Symbol APC Exchange NYSE								
EAI \$198 Current yield 0.34%								
	Jul 24, 08	67,000	58.481	3,918.24	59.440	3,982.48	64.24	LT
	Oct 24, 08	358,000	29.093	10,415.44	59.440	21,279.52	10,864.08	LT
	Oct 27, 08	80,000	28.120	2,249.65	59.440	4,755.20	2,505.55	LT
	Aug 24, 15	100,000	66.256	6,625.60	59.440	5,944.00	-681.60	LT
	Jan 12, 16	387,000	36.994	14,317.03	59.440	23,003.28	8,686.25	ST
Security total		992,000	37.829	37,525.96		58,964.48	21,438.52	
AUTODESK INC								
Symbol ADSK Exchange OTC								
	Jan 6, 06	30,000	42.378	1,271.36	72.280	2,168.40	897.04	LT
	Aug 1, 08	52,000	32.331	1,681.25	72.280	3,758.56	2,077.31	LT
	Apr 27, 09	240,000	19.078	4,578.72	72.280	17,347.20	12,768.48	LT
	Aug 10, 11	110,000	28.693	3,156.32	72.280	7,950.80	4,794.48	LT
	Aug 28, 12	105,000	31.468	3,304.16	72.280	7,589.40	4,285.24	LT
Security total		537,000	26.056	13,991.81		38,814.36	24,822.55	
BIOGEN INC								
Symbol BIIB Exchange OTC								
	Jun 1, 99	110,000	8.616	947.83	280.180	30,819.80	29,871.97	LT
	Aug 24, 15	1,000	288.520	288.52	280.180	280.18	-8.34	LT
	Oct 26, 15	33,000	274.714	9,065.57	280.180	9,245.94	180.37	LT
Security total		144,000	71.541	10,301.92		40,345.92	30,044.00	
BROADCOM LTD								
Symbol AVGO Exchange OTC								
EAI \$304 Current yield 1.20%								
	May 10, 11	35,172	73.666	2,591.01	170.280	5,989.09	3,398.08	LT
	Jul 23, 13	44,218	70.930	3,136.41	170.280	7,529.41	4,393.00	LT
	Aug 12, 13	69,610	57.761	4,020.76	170.280	11,853.22	7,832.46	LT
Security total		149,000	65.424	9,748.18		25,371.72	15,623.54	
CITRIX SYSTEMS INC								
Symbol CTXS Exchange OTC								
	Oct 15, 10	60,000	59.036	3,542.17	84.800	5,088.00	1,545.83	LT
	Nov 4, 10	30,000	65.370	1,961.12	84.800	2,544.00	582.88	LT
	Jan 14, 11	80,000	67.745	5,419.60	84.800	6,784.00	1,364.40	LT
	Feb 2, 11	5,000	65.668	328.34	84.800	424.00	95.66	LT
	Dec 4, 13	7,000	59.530	416.71	84.800	593.60	176.89	LT
	Nov 18, 15	39,000	72.635	2,832.80	84.800	3,307.20	474.40	ST

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ACCESS
October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22527 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • **Equities** • **Common stock** (continued)

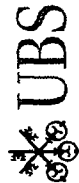
Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		221 000	65 614	14,500.74		18,740.80	4,240.06	
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange OTC								
EAI \$1,275 Current yield 1.78%								
	Jan 26, 04	889 043	22 945	20,399.63	61.820	54,960.64	34,561.01	LT
	Jun 18, 04	104,961	19 520	2,048.84	61.820	6,488.69	4,439.85	LT
	Jul 20, 04	14,994	18,338	274.97	61 820	926.93	651.96	LT
	Jun 23, 08	140 000	19 289	2,700.52	61 820	8,654.80	5,954.28	LT
	Jan 14, 09	10 002	14 212	142.15	61 820	618.32	476.17	LT
Security total		1,159,000	22,059	25,566.11		71,649.38	46,083.27	
CREE INC								
Symbol CREE Exchange OTC								
	Apr 27, 06	257,000	29,268	7,521.98	22 300	5,731.10	-1,790.88	LT
	Jun 1, 06	80 000	23,930	1,914.41	22 300	1,784.00	-130.41	LT
	Mar 23, 11	10,000	45,243	452.43	22 300	223.00	-229.43	LT
	Apr 20, 11	90 000	39 143	3,522.92	22,300	2,007.00	-1,515.92	LT
	May 6, 14	70 000	46,850	3,279.52	22 300	1,561.00	-1,718.52	LT
Security total		507 000	32 922	16,691.26		11,306.10	-5,385.16	
DISCOVERY COMMUNICATIONS INC								
CL A								
Symbol DISCA Exchange OTC								
	May 12, 15	288,000	32.080	9,239.07	26 110	7,519.68	-1,719.39	LT
DOLBY LABORATORIES INC CL A								
Symbol DLB Exchange NYSE								
EAI \$171 Current yield 1.17%								
	Oct 16, 08	8 000	28,468	227.75	47 590	380.72	152.97	LT
	Oct 23, 08	41 000	29 405	1,205.62	47 590	1,951.19	745.57	LT
	Oct 24, 08	38,000	29,982	1,139.33	47 590	1,808.42	669.09	LT
	Oct 27, 08	34,000	30,456	1,035.53	47 590	1,618.06	582.53	LT
	Oct 28, 08	30,000	29,222	876.68	47,590	1,427.70	551.02	LT
	Oct 29, 08	47 000	29 739	1,397.77	47 590	2,236.73	838.96	LT
	Oct 30, 08	27 000	30 626	826.91	47,590	1,284.93	458.02	LT
	Apr 6, 11	8 000	49,008	392.07	47,590	380.72	-11.35	LT
	Apr 15, 11	10 000	47,088	470.88	47 590	475.90	5.02	LT
	Aug 5, 11	60 000	31,054	1,863.24	47 590	2,855.40	992.16	LT
	Dec 7, 11	3,000	32 286	96.86	47 590	142.77	45.91	LT

continued next page

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		306,000	31.152	9,532.64		14,562.54	5,029.90	
FLUOR CORP NEW								
Symbol FLR Exchange NYSE								
EAI \$218 Current yield 1.61%	Nov 20, 08	5,000	30.468	152.34	51.990	259.95	107.61	LT
	Apr 27, 09	255,000	39.974	10,193.52	51.990	13,257.45	3,063.93	LT
Security total		260,000	39.792	10,345.86		13,517.40	3,171.54	
FREEPORT-MCMORAN INC								
Symbol FCX Exchange NYSE								
	Feb 11, 09	360,000	14.108	5,078.92	11.180	4,024.80	-1,054.12	LT
	Mar 4, 15	305,000	20.623	6,290.17	11.180	3,409.90	-2,880.27	LT
	Oct 26, 15	665,000	12.031	8,001.15	11.180	7,434.70	-566.45	LT
	Sep 12, 16	1,020,000	10.657	10,870.34	11.180	11,403.60	533.26	ST
Security total		2,350,000	12.868	30,240.58		26,273.00	-3,967.58	
IMMUNOGEN INC								
Symbol IMGX Exchange OTC								
	Oct 15, 10	4,000	7.742	30.97	1.820	7.28	-23.69	LT
	Oct 18, 10	11,000	7.769	85.46	1.820	20.02	-65.44	LT
	Oct 19, 10	5,000	7.696	38.48	1.820	9.10	-29.38	LT
	Oct 20, 10	9,000	7.876	70.89	1.820	16.38	-54.51	LT
	Oct 21, 10	4,000	7.882	31.53	1.820	7.28	-24.25	LT
	Nov 4, 10	12,000	7.924	95.09	1.820	21.84	-73.25	LT
	Nov 5, 10	30,000	7.997	239.91	1.820	54.60	-185.31	LT
	Nov 16, 10	10,000	7.766	77.66	1.820	18.20	-59.46	LT
	Feb 2, 11	347,000	8.501	2,949.85	1.820	631.54	-2,318.31	LT
	Feb 3, 11	240,000	8.531	2,047.49	1.820	436.80	-1,610.69	LT
Security total		672,000	8.434	5,667.33		1,223.04	-4,444.29	
IONIS PHARMACEUTICALS INC								
Symbol IONS Exchange OTC								
	Apr 26, 13	280,000	22.597	6,327.30	25.980	7,274.40	947.10	LT
	Feb 11, 16	22,000	32.814	721.91	25.980	571.56	-150.35	ST
	Feb 24, 16	9,000	34.460	310.14	25.980	233.82	-76.32	ST
Security total		311,000	23.664	7,359.35		8,079.78	720.43	
JOHNSON CTLS INTL PLC								
Symbol JCI Exchange NYSE								
EAI \$99 Current yield 2.14%	Jun 1, 99	112,135	35.874	4,022.78	40.320	4,521.28	498.50	LT
	Jan 27, 06	2,865	17.979	51.51	40.320	115.52	64.01	LT

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ACCESS
October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22527 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		115,000	35.429	4,074.29		4,636.80	562.51	
L-3 COMMUNICATIONS HOLDINGS								
CORP								
Symbol LLL Exchange NYSE								
EAI \$1,000 Current yield 2.05%	Sep 15, 99	357,000	18.970	6,772.48	136.940	48,887.58	42,115.10	LT
LIBERTY BRAVES GROUP								
Symbol BATRA Exchange OTC	Jun 4, 08	4,300	2.434	10.47	16.950	72.89	62.42	LT
	Jun 11, 08	1,700	2.435	4.14	16.950	28.82	24.68	LT
	Jun 27, 08	2,000	2.370	4.74	16.950	33.90	29.16	LT
Security total		8,000	2.419	19.35		135.60	116.26	
LIBERTY BRAVES GROUP SER C								
Symbol BATRK Exchange OTC	Aug 9, 04	0,200	1.250	0.25	16.670	3.33	3.08	LT
	Jun 4, 08	9,400	1.929	18.14	16.670	156.70	138.56	LT
	Jun 11, 08	3,400	1.932	6.57	16.670	56.68	50.11	LT
	Jun 27, 08	4,000	1.880	7.52	16.670	66.68	59.16	LT
Security total		17,000	1.911	32.48		283.39	250.91	
LIBERTY MEDIA GROUP SER A								
Symbol LMCA Exchange OTC	Jun 4, 08	11,750	2.013	23.66	27.830	327.00	303.34	LT
	Jun 11, 08	4,250	2.016	8.57	27.830	118.28	109.71	LT
	Jun 27, 08	5,000	1.960	9.80	27.830	139.15	129.35	LT
Security total		21,000	2.001	42.03		584.43	542.40	
LIBERTY MEDIA GROUP SER C								
Symbol LMCK Exchange OTC	Jun 4, 08	23,500	1.942	45.65	27.410	644.14	598.49	LT
	Jun 11, 08	8,500	1.947	16.55	27.410	232.99	216.44	LT
	Jun 27, 08	10,000	1.890	18.90	27.410	274.10	255.20	LT
Security total		42,000	1.931	81.10		1,151.22	1,070.13	
LIBERTY SIRIUSXM GROUP SER A								
Symbol LSXMA Exchange OTC	Aug 9, 04	1,000	1.730	1.73	33.270	33.27	31.54	LT
	Jun 4, 08	47,000	2.701	126.99	33.270	1,563.69	1,436.70	LT
	Jun 11, 08	17,000	2.707	46.02	33.270	565.59	519.57	LT
	Jun 27, 08	20,000	2.629	52.59	33.270	665.40	612.81	LT
Security total		85,000	2.674	227.33		2,827.95	2,600.62	

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HARRY DENT FAMILY FOUNDATION, INC.

EIN. 16-0849923

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22527 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
LIBERTY SIRIUS GROUP SER C								
Symbol LSXMK Exchange OTC	Aug 9, 04	2 000	1.680	3 36	33.190	66.38	63.02	LT
	Jun 4, 08	94 000	2.614	245.80	33.190	3,119.86	2,874.06	LT
	Jun 11, 08	34 000	2 620	89 08	33 190	1,128.46	1,039 38	LT
	Jun 27, 08	40 000	2.544	101.77	33 190	1,327.60	1,225.83	LT
Security total		170 000	2.588	440.01		5,642.30	5,202.29	
LIBERTY INTERACTIVE CORP QVC								
GROUP COM SER A	Jun 2, 08	491 000	12 600	6,186.76	18 490	9,078 59	2,891 83	LT
Symbol QVCA Exchange OTC	Jun 3, 08	280 000	12.636	3,538 29	18 490	5,177 20	1,638 91	LT
Security total		771 000	12 614	9,725.05		14,255 79	4,530.74	
LIBERTY VENTURES SER A								
Symbol LVNTA Exchange OTC	Jun 2, 08	69 000	14.094	972 54	39 900	2,753.10	1,780 56	LT
	Jun 3, 08	40 000	14.029	561 17	39.900	1,596 00	1,034.83	LT
Security total		109 000	14.071	1,533 71		4,349.10	2,815 39	
LIBERTY BROADBAND CORP SER A								
Symbol LBRDA Exchange OTC	Jun 4, 08	12 000	4.358	52 30	64 950	779 40	727.10	LT
	Jun 11, 08	4 000	4.837	19.35	64 950	259.80	240.45	LT
	Jun 27, 08	5 000	4.422	22 11	64 950	324 75	302 64	LT
Security total		21 000	4 465	93 76		1,363.95	1,270.19	
LIBERTY BROADBAND CORP								
Symbol LBRDK Exchange OTC	Jun 4, 08	24 000	4 323	103.76	66.650	1,599.60	1,495.84	LT
	Jun 11, 08	8 000	4 798	38.39	66.650	533.20	494 81	LT
	Jun 27, 08	10 000	4 387	43.87	66.650	666 50	622 63	LT
Security total		42 000	4.429	186.02		2,799 30	2,613.28	
MEDTRONIC PLC								
Symbol MDT Exchange NYSE	Jun 27, 15	115 000	76.679	8,818.11	82.020	9,432.30	614.19	LT
EAI \$296 Current yield 2 10%	Mar 4, 15	57 000	77.744	4,431.41	82.020	4,675.14	243.73	LT
Security total		172 000	77 032	13,249.52		14,107 44	857 92	
NATL-OILWELLVARCO INC								
Symbol NOV Exchange NYSE	Jun 1, 99	159 000	21 545	3,425.66	32 100	5,103.90	1,678 24	LT
EAI \$56 Current yield 0 62%								continued next page



Account name: HARRY DENT FAMILY FOUNDATION
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716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Oct 24, 08	81,000	21.624	1,751.60	32.100	2,600.10	848.50	LT
	Oct 27, 08	40,000	22.876	915.06	32.100	1,284.00	368.94	LT
Security total		280,000	21.758	6,092.32		8,988.00	2,895.68	
NOW INC COM								
Symbol DNOW Exchange NYSE	Jun 1, 99	40,000	9.630	385.20	21.560	862.40	477.20	LT
	Oct 24, 08	20,000	9.848	196.96	21.560	431.20	234.24	LT
	Oct 27, 08	10,000	10.290	102.90	21.560	215.60	112.70	LT
	Nov 17, 14	81,000	28.499	2,308.49	21.560	1,746.36	-562.13	LT
	Nov 19, 14	161,000	27.991	4,506.57	21.560	3,471.16	-1,035.41	LT
Security total		312,000	24.039	7,500.12		6,726.72	-773.40	
NUANCE COMMUNICATIONS INC								
Symbol NUAN Exchange OTC	Mar 14, 14	209,000	16.157	3,376.83	14.020	2,930.18	-446.65	LT
	Mar 20, 14	283,000	16.476	4,662.82	14.020	3,967.66	-695.16	LT
	Oct 1, 14	71,000	15.249	1,082.73	14.020	995.42	-87.31	LT
Security total		563,000	16.203	9,122.38		7,893.26	-1,229.12	
NUCOR CORP								
Symbol NUE Exchange NYSE	Feb 17, 09	10,000	40.802	408.02	48.850	488.50	80.48	LT
EAI \$338 Current yield 3.08%	Feb 20, 09	20,000	38.949	778.98	48.850	977.00	198.02	LT
	Feb 24, 09	100,000	37.101	3,710.14	48.850	4,885.00	1,174.86	LT
	Apr 27, 09	45,000	38.976	1,753.92	48.850	2,198.25	444.33	LT
	Feb 4, 11	50,000	47.835	2,391.77	48.850	2,442.50	50.73	LT
Security total		225,000	40.190	9,042.83		10,991.25	1,948.42	
PENTAIR PLC								
Symbol PNR Exchange NYSE	Jun 1, 99	27,000	51.581	1,392.71	55.130	1,488.51	95.80	LT
EAI \$39 Current yield 2.44%	Jan 27, 06	1,000	18.430	18.43	55.130	55.13	36.70	LT
	Jan 27, 06	1,000	18.430	18.43	55.130	55.13	36.70	LT
Security total		29,000	49.296	1,429.57		1,598.77	169.20	
SEAGATE TECHNOLOGY PLC SHS								
Symbol STX Exchange OTC	Nov 30, 99	159,999	11.843	1,894.96	34.310	5,489.57	3,594.61	LT
EAI \$1,875 Current yield 7.35%								

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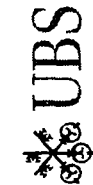
HARRY DENT FAMILY FOUNDATION, INC.
EIN: 16-0849923

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22527 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
STARZ SER A								
Symbol STRZA Exchange OTC								
	Jun 12, 06	30 000	22 319	669 58	34 310	1,029 30	359.72	LT
	Aug 23, 10	10 001	10.958	109 60	34 310	343.13	233 53	LT
	Oct 12, 12	335 000	27.714	9,284.49	34 310	11,493.85	2,209.36	LT
	Jul 22, 15	209 000	48.709	10,180 24	34,310	7,170.79	-3,009 45	LT
Security total		744 000	29.757	22,138.87		25,526.64	3,387.77	
TE CONNECTIVITY LTD CHF								
Symbol TEL Exchange NYSE								
EAL \$740 Current yield 2 35%								
CHF Exchange rate 0.98890								
	Aug 9, 04	1 000	0.990	0 99	31 460	31 46	30 47	LT
	Jun 4, 08	47 000	1 543	72 56	31 460	1,478.62	1,406.06	LT
	Jun 11, 08	17 000	1 547	26.30	31 460	534 82	508 52	LT
	Jun 27, 08	20 000	1 502	30 04	31 460	629 20	599 16	LT
Security total		85 000	1 528	129 89		2,674.10	2,544 21	
TWITTER INC								
Symbol TWTR Exchange NYSE								
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE								
EAL \$1,278 Current yield 1 77%								
	Jun 1, 99	47 505	51 408	2,442.15	62 870	2,986 64	544.49	LT
	Jan 27, 06	2 495	31.110	77.62	62 870	156.86	79 24	LT
	Jul 22, 15	450 000	62.008	27,903.83	62 870	28,291.50	387.67	LT
Security total		500 000	60 847	30,423.60		31,435.00	1,011.40	
VERTEX PHARMACEUTICAL INC								
Symbol VRTX Exchange OTC								
	Oct 26, 15	257 000	30 850	7,928.45	17 950	4,613.15	-3,315.30	LT
	Jun 1, 99	411 000	7 187	2,954.07	141 330	58,086 63	55,132.56	LT
	May 1, 08	100 000	32.959	3,295.94	141 330	14,133.00	10,837.06	LT
Security total		511 000	12.231	6,250.01		72,219.63	65,969.62	
WEATHERFORD INTL PLC								
Symbol WFT Exchange NYSE								
	Apr 27, 09	118 000	28.949	3,416.01	75 860	8,951 48	5,535.47	LT
	Jun 9, 11	120 000	48.762	5,851.54	75 860	9,103 20	3,251.66	LT
Security total		238 000	38.939	9,267 55		18,054.68	8,787.13	
	Jan 29, 04	1,505 000	10.260	15,442.09	4 820	7,254.10	-8,187.99	LT
	May 3, 13	635 000	13.587	8,627 75	4 820	3,060 70	-5,567 05	LT
	Nov 13, 14	625 000	15.495	9,684 69	4 820	3,012 50	-6,672 19	LT

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October 2016Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22527 A6Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct. 31 (\$)	Value on Oct. 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 12, 16	1,869,000	6.157	11,507.99	4,820	9,008.58	-2,499.41	ST
	Sep 13, 16	752,000	6.202	4,663.98	4,820	3,624.64	-1,039.34	ST
		5,386,000	9.270	49,926.50		25,960.52	-23,965.98	
WSTN DIGITAL CORP								
Symbol WDC Exchange OTC	Nov 3, 15	61,198	68.027	4,163.15	58.440	3,576.41	-586.74	ST
EAI \$998 Current yield 3.42%	May 4, 16	328,000	39.209	12,886.89 ²	58.440	19,168.32	6,281.43	
	May 13, 16	38,192	36.739	1,403.17	58.440	2,231.94	828.77	ST
	May 13, 16	18,141	36.740	666.51	58.440	1,060.17	393.66	ST
	May 13, 16	16,709	36.740	613.89	58.440	976.47	362.58	ST
	May 13, 16	16,709	36.740	613.89	58.440	976.47	362.58	ST
	May 13, 16	10,503	36.739	385.87	58.440	613.78	227.91	ST
	May 13, 16	4,774	36.740	175.40	58.440	278.99	103.59	ST
	May 13, 16	2,387	36.740	87.70	58.440	139.50	51.80	ST
	May 13, 16	2,387	36.740	87.70	58.440	139.50	51.80	ST
Security total		499,000	42.253	21,084.17		29,161.56	8,077.38	
Total				\$431,628.55		\$736,009.18	\$303,880.03	

Total estimated annual income: \$8,885

ATTACHMENT C

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 October 2016



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 716-849-0800/800-537-7398

Account name HARRY DENT FAMILY FOUNDATION
Account number: FF 22523 A6

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Equities

Common stock

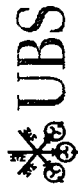
Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALIBABA GROUP HLDG LTD SPON								
ADR								
Symbol BABA Exchange NYSE								
	Jan 6, 15	11 000	101 146	1,112 61	101 690	1,118 59	5 98	LT
	Jan 6, 15	10 000	100 836	1,008 36	101 690	1,016 90	8 54	LT
	Jan 29, 15	4 000	89 070	356 28	101 690	406 76	50 48	LT
	Jan 29, 15	3 000	89 720	269 16	101 690	305 07	35 91	LT
	Jun 26, 15	31 000	84 049	2,605 53	101 690	3,152 39	546 86	LT
	Oct 27, 15	305 000	79 485	24,243 02	101 690	31,015 45	6,772 43	LT
	Jan 7, 16	55 000	72 807	4,004 40	101 690	5,592 95	1,588 55	ST
Security total		419 000	80 189	33,599 36		42,608 11	9,008 75	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALPHABET INC CL A								
Symbol GOOGL Exchange OTC	Jan 4, 12	2 000	334 075	668 15	809 900	1,619 80	951 65	LT
	Oct 18, 12	6 000	349 781	2,098 69	809 900	4,859 40	2,760 71	LT
	Nov 30, 12	3 000	348 123	1,044 37	809 900	2,429 70	1,385 33	LT
	Feb 11, 13	2 000	389 890	779 78	809 900	1,619 80	840 02	LT
	Aug 14, 14	2 000	582 955	1,165 91	809 900	1,619 80	453 89	LT
	Jan 15, 15	1 000	505 800	505 80	809 900	809 90	304 10	LT
	Jan 23, 15	2 000	543 085	1,086 17	809 900	1,619 80	533 63	LT
	Jul 14, 15	6 000	588 105	3,528 63	809 900	4,859 40	1,330 77	LT
	Jul 14, 15	4 000	586 852	2,347 41	809 900	3,239 60	892 19	LT
	Jul 22, 15	4 000	711 400	2,845 60	809 900	3,239 60	394 00	LT
Security total		32 000	502 203	16,070 51		25,916 80	9,846 29	
ALPHABET INC CL C								
Symbol GOOG Exchange OTC	Oct 18, 12	6 000	344 871	2,069 23	784 540	4,707 24	2,638 01	LT
	Nov 30, 12	3 000	347 013	1,041 04	784 540	2,353 62	1,312 58	LT
	Feb 11, 13	2 000	388 640	777 28	784 540	1,569 08	791 80	LT
	Aug 14, 14	2 000	573 170	1,146 34	784 540	1,569 08	422 74	LT
	Jan 15, 15	2 000	501 600	1,003 20	784 540	1,569 08	565 88	LT
	Jan 23, 15	2 000	540 360	1,080 72	784 540	1,569 08	488 36	LT
	Jul 14, 15	6 000	561 391	3,368 35	784 540	4,707 24	1,338 89	LT
	Jul 14, 15	5 000	564 348	2,821 74	784 540	3,922 70	1,100 96	LT
	Jul 22, 15	4 000	676 227	2,704 91	784 540	3,138 16	433 25	LT
Security total		32 000	500 400	16,012 81		25,105 28	9,092 47	
AMAZON COM INC								
Symbol AMZN Exchange OTC	Oct 20, 08	8 000	50 717	405 74	789 820	6,318 56	5,912 82	LT
	Feb 27, 09	7 000	64 097	448 68	789 820	5,528 74	5,080 06	LT
	Apr 23, 12	8 000	186 145	1,489 16	789 820	6,318 56	4,829 40	LT
	May 31, 12	4 000	211 802	847 21	789 820	3,159 28	2,312 07	LT
	Apr 26, 13	5 000	258 952	1,294 76	789 820	3,949 10	2,654 34	LT
	Apr 26, 13	3 000	258 033	774 10	789 820	2,369 46	1,595 36	LT
	Apr 30, 13	5 000	253 534	1,267 67	789 820	3,949 10	2,681 43	LT
	Apr 30, 13	4 000	254 155	1,016 62	789 820	3,159 28	2,142 66	LT

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Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22523 A6

Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jun 9, 14	1 000	327 960	327 96	789 820	789 82	461 86	LT
	Dec 19, 14	3 000	300 453	901 36	789 820	2,369 46	1,468 10	LT
	Apr 27, 15	2 000	442 380	884 76	789 820	1,579 64	694 88	LT
	Oct 27, 15	24 000	614 590	14,750 16	789 820	18,955 68	4,205 52	LT
	Jul 25, 16	1 000	738 200	738 20	789 820	789 82	51.62	ST
Security total		75 000	335 285	25,146 38		59,236 50	34,090 12	
AMER EXPRESS CO								
Symbol AXP Exchange NYSE								
EAI \$214 Current yield 1 93%	Oct 27, 15	119 000	73 856	8,788 98	66 420	7,903 98	-885 00	LT
	Sep 6, 16	23 000	65 407	1,504 38	66 420	1,527 66	23 28	ST
	Sep 8, 16	25 000	66 500	1,662 51	66 420	1,660 50	-2 01	ST
Security total		167 000	71 592	11,955 87		11,092 14	-863 73	
AMGEN INC								
Symbol AMGN Exchange OTC								
EAI \$436 Current yield 2 83%	Oct 27, 15	109 000	159 795	17,417 71	141 160	15,386 44	-2,031 27	LT
ANALOG DEVICES INC								
Symbol ADI Exchange OTC								
EAI \$94 Current yield 2 62%	Oct 27, 15	56 000	61 419	3,439 51	64 100	3,589 60	150 09	LT
AUTODESK INC								
Symbol ADSK Exchange OTC								
AUTOMATIC DATA PROCESSING INC	Oct 27, 15	339 000	53 926	18,280 98	72 280	24,502 92	6,221 94	LT
Symbol ADP Exchange OTC								
EAI \$180 Current yield 2 43%	Oct 27, 15	85 000	89 776	7,631 01	87 060	7,400 10	-230 91	LT
CERNER CORP								
Symbol CERN Exchange OTC								
	Nov 20, 15	21 000	58 618	1,230 98	58 580	1,230 18	-0 80	ST
	Nov 23, 15	3 000	58 703	176 11	58 580	175 74	-0 37	ST
	Nov 24, 15	12 000	59 042	708 51	58 580	702 96	-5 55	ST
	Nov 24, 15	10 000	58 804	588 04	58 580	585 80	-2 24	ST
	Nov 25, 15	6 000	59 455	356 73	58 580	351 48	-5 25	ST
	Nov 27, 15	3 000	59 666	179 00	58 580	175 74	-3 26	ST
	Nov 30, 15	12 000	59 793	717 52	58 580	702 96	-14 56	ST
	Dec 1, 15	24 000	59 846	1,436 31	58 580	1,405 92	-30 39	ST

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Dec 2, 15	23 000	60 651	1,394 99	58 580	1,347 34	-47 65	ST
	Dec 3, 15	29 000	59 481	1,724 96	58 580	1,698 82	-26 14	ST
	Dec 4, 15	21 000	60 007	1,260 16	58 580	1,230 18	-29 98	ST
	Dec 4, 15	2 000	60 080	120 16	58 580	117 16	-3 00	ST
	Dec 7, 15	10 000	59 740	597 40	58 580	585 80	-11 60	ST
	Dec 7, 15	1 000	59 640	59 64	58 580	58 58	-1 06	ST
	Dec 8, 15	8 000	60 125	481 00	58 580	468 64	-12 36	ST
	Dec 9, 15	10 000	59 971	599 71	58 580	585 80	-13 91	ST
	Dec 9, 15	5 000	60 548	302 74	58 580	292 90	-9 84	ST
	Dec 9, 15	3 000	60 570	181 71	58 580	175 74	-5 97	ST
	Dec 10, 15	7 000	60 072	420 51	58 580	410 06	-10 45	ST
	Feb 17, 16	54 000	51 561	2,784 32	58 580	3,163 32	379 00	ST
	Feb 17, 16	16 000	50 976	815 63	58 580	937 28	121 65	ST
	Feb 18, 16	14 000	52 026	728 37	58 580	820 12	91 75	ST
	Feb 18, 16	3 000	52 373	157 12	58 580	175 74	18 62	ST
	Apr 18, 16	6 000	58 053	348 32	58 580	351 48	3 16	ST
	Apr 19, 16	13 000	58 003	754 05	58 580	761 54	7 49	ST
	Apr 20, 16	4 000	58 385	233 54	58 580	234 32	0 78	ST
	Apr 20, 16	2 000	58 395	116 79	58 580	117 16	0 37	ST
	Apr 21, 16	8 000	58 276	466 21	58 580	468 64	2 43	ST
	May 2, 16	13 000	56 292	731 80	58 580	761 54	29 74	ST
	May 2, 16	1 000	56 310	56 31	58 580	58 58	2 27	ST
	May 3, 16	7 000	56 584	396 09	58 580	410 06	13 97	ST
	May 3, 16	1 000	56 410	56 41	58 580	58 58	2 17	ST
Security total		352 000	57 333	20,181 14		20,620 16	439 02	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								LT
EAI \$1,412 Current yield 3 39%	Oct 27, 15	1,358 000	28 929	39,286 81	30 680	41,663 44	2,376 63	
COCA COLA CO COM								
Symbol KO Exchange NYSE								LT
EAI \$885 Current yield 3 30%	Oct 27, 15	632 000	42 608	26,928 57	42 400	26,796 80	-131 77	

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October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22523 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
DANONE SPON ADR								
Symbol DANOF Exchange OTC								
EAI \$476 Current yield 1.68%	Oct 27, 15	2,033,000	13.966	28,394.22	13.910	28,279.03	-115.19	LT
DEERE AND CO								
Symbol DE Exchange NYSE								
EAI \$487 Current yield 2.72%	Sep 22, 16	6,000	83.930	503.58	88.300	529.80	26.22	ST
	Sep 23, 16	2,000	83.460	166.92	88.300	176.60	9.68	ST
	Sep 26, 16	30,000	83.488	2,504.66	88.300	2,649.00	144.34	ST
	Sep 26, 16	10,000	83.580	835.80	88.300	883.00	47.20	ST
	Sep 26, 16	4,000	83.577	334.31	88.300	353.20	18.89	ST
	Sep 26, 16	1,000	83.550	83.55	88.300	88.30	4.75	ST
	Sep 27, 16	16,000	82.991	1,327.87	88.300	1,412.80	84.93	ST
	Sep 27, 16	10,000	83.182	831.82	88.300	883.00	51.18	ST
	Sep 28, 16	24,000	83.470	2,003.28	88.300	2,119.20	115.92	ST
	Sep 28, 16	19,000	83.694	1,590.19	88.300	1,677.70	87.51	ST
	Sep 29, 16	21,000	84.381	1,772.01	88.300	1,854.30	82.29	ST
	Sep 29, 16	7,000	84.820	593.74	88.300	618.10	24.36	ST
	Sep 30, 16	23,000	85.122	1,957.81	88.300	2,030.90	73.09	ST
	Sep 30, 16	5,000	85.092	425.46	88.300	441.50	16.04	ST
	Oct 3, 16	9,000	85.304	767.74	88.300	794.70	26.96	ST
	Oct 3, 16	9,000	85.440	768.96	88.300	794.70	25.74	ST
	Oct 3, 16	7,000	85.374	597.62	88.300	618.10	20.48	ST
Security total		203,000	84.066	17,065.32		17,924.90	859.58	
EXPEDITORS INTL WASH INC								
Symbol EXPD Exchange OTC								
EAI \$381 Current yield 1.56%	Oct 27, 15	476,000	50.504	24,040.24	51.470	24,499.72	459.48	LT
FACEBOOK INC CL A								
Symbol FB Exchange OTC								
	Oct 31, 12	17,000	21.166	359.83	130.990	2,226.83	1,867.00	LT
	Nov 1, 12	23,000	21.199	487.58	130.990	3,012.77	2,525.19	LT
	Nov 16, 12	47,000	23.490	1,104.03	130.990	6,156.53	5,052.50	LT
	Nov 20, 12	17,000	23.176	394.00	130.990	2,226.83	1,832.83	LT
	Nov 20, 12	16,000	22.980	367.68	130.990	2,095.84	1,728.16	LT

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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

 Account name: HARRY DENT FAMILY FOUNDATION
 Account number: FF 22523 A6

 Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	May 2, 13	20 000	28 303	566 07	130 990	2,619 80	2,053 73	LT
	Jun 10, 13	16 000	24 440	391 04	130 990	2,095 84	1,704 80	LT
	Jun 10, 13	15 000	24 378	365 68	130 990	1,964 85	1,599 17	LT
	Jun 12, 13	14 000	23 995	335 93	130 990	1,833 86	1,497 93	LT
	Nov 13, 13	14 000	47 842	669 80	130 990	1,833 86	1,164 06	LT
	Nov 13, 13	13 000	48 099	625 29	130 990	1,702 87	1,077 58	LT
	Feb 20, 14	21 000	69 268	1,454 64	130 990	2,750 79	1,296 15	LT
	Feb 20, 14	20 000	66 555	1,331 11	130 990	2,619 80	1,288 69	LT
	Jun 19, 14	34 000	64 759	2,201 82	130 990	4,453 66	2,251 84	LT
	Jul 28, 14	12 000	74 328	891 94	130 990	1,571 88	679 94	LT
	Jul 30, 14	13 000	74 789	972 26	130 990	1,702 87	730 61	LT
	Jul 30, 14	12 000	74 890	898 68	130 990	1,571 88	673 20	LT
	Aug 14, 14	9 000	74 186	667 68	130 990	1,178 91	511 23	LT
	Oct 27, 15	102 000	103 922	10,600 07	130 990	13,360 98	2,760 91	LT
Security total		435 000	56 747	24,685 13		56,980 65	32,295 52	
FACTSET RESH SYSTEMS INC								
Symbol FDS Exchange NYSE								
EAI \$190 Current yield 1 29%	Oct 27, 15	95 000	173 345	16,467 82	154 720	14,698 40	-1,769 42	LT
GREENHILL & CO INC								
Symbol GHL Exchange NYSE								
EAI \$175 Current yield 7 69%	Oct 27, 15	79 000	24 509	1,936 28	23 450	1,852 55	-83 73	LT
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI \$362 Current yield 3 13%	Oct 27, 15	197 000	53 446	10,529 04	58 720	11,567 84	1,038 80	LT
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$560 Current yield 2 60%	Oct 27, 15	359 000	53 729	19,288 76	59 920	21,511 28	2,222 52	LT
MONSTER BEVERAGE CORP NEW COM								
Symbol MNST Exchange OTC	Apr 23, 15	4 000	139 922	559 69	144 340	577 36	17 67	LT

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Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22523 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 27, 15	6 000	143 980	863 88	144 340	866 04	2 16	LT
	May 4, 15	5 000	143 242	716 21	144 340	721 70	5 49	LT
	May 12, 15	5 000	132 934	664 67	144 340	721 70	57 03	LT
	May 12, 15	3 000	132 056	396 17	144 340	433 02	36 85	LT
	Jul 20, 15	4 000	142 892	571 57	144 340	577 36	5 79	LT
	Jul 21, 15	2 000	142 405	284 81	144 340	288 68	3 87	LT
	Jul 22, 15	5 000	147 108	735 54	144 340	721 70	-13 84	LT
	Aug 7, 15	10 000	149 676	1,496 76	144 340	1,443 40	-53 36	LT
	Oct 27, 15	192 000	140 375	26,952 17	144 340	27,713 28	761 11	LT
Security total		236 000	140 854	33,241 47		34,064 24	822 77	

NOVARTIS AG SPON ADR

Symbol NVS Exchange NYSE
EAI \$525 Current yield 3.24%

NOVO NORDISK ADR DENMARK ADR

Symbol NVO Exchange NYSE
EAI \$594 Current yield 2.90%

	Oct 27, 15	228 000	90 089	20,540 50	71 020	16,192 56	-4,347 94	LT
	Oct 28, 16	102 000	35 370	3,620 62	35 540	3,625 08	4 46	LT
	Oct 23, 12	26 000	33 502	871 07	35 540	924 04	52 97	LT
	Jan 10, 13	15 000	33 993	509 90	35 540	533 10	23 20	LT
	Feb 6, 13	5 000	38 564	192 82	35 540	177 70	-15 12	LT
	Apr 24, 13	45 000	34 078	1,533 53	35 540	1,599 30	65 77	LT
	Feb 5, 14	15 000	40 846	612 70	35 540	533 10	-79 60	LT
	Feb 6, 14	9 000	42 085	378 77	35 540	319 86	-58 91	LT
	Feb 6, 14	7 000	41 740	292 18	35 540	248 78	-43 40	LT
	Aug 1, 14	6 000	45 191	271 15	35 540	213 24	-57 91	LT
	Aug 1, 14	6 000	45 315	271 89	35 540	213 24	-58 65	LT
	Oct 27, 15	347 000	55,984	19,426 66	35 540	12,332 38	-7,094 28	LT
	Aug 5, 16	36 000	49 459	1,780 54	35 540	1,279 44	-501 10	ST
	Aug 16, 16	36 000	46 949	1,690 18	35 540	1,279 44	-410 74	ST
	Aug 17, 16	24 000	46 943	1,126 65	35 540	852 96	-273 69	ST
Security total		679 000	50 187	32,578 66		24,131 66	-8,451 46	

ORACLE CORP

Symbol ORCL Exchange NYSE
EAI \$524 Current yield 1.56%

	Oct 27, 15	814 000	38 208	31,101 56	38 420	31,273 88	172 32	LT
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jan 7, 16	59 000	35 130	2,072 68	38 420	2,266 78	194 10	ST
Security total		873 000	38 000	33,174 24		33,540 66	366 42	
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI \$841 Current yield 3 09%	Oct 27, 15	314 000	77 085	24,204 97	86 800	27,255 20	3,050 23	LT
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI \$1,130 Current yield 3 09%	Oct 27, 15	444 000	59 125	26,251 50	68 720	30,511 68	4,260 18	LT
	Nov 6, 15	63 000	52 736	3,322 39	68 720	4,329 36	1,006 97	ST
	Apr 19, 16	26 000	51 628	1,342 35	68,720	1,786 72	444 37	ST
Security total		533 000	58 004	30,916 24		36,627 76	5,711 52	
REGENERON PHARMACEUTICALS INC								
Symbol REGN Exchange OTC								
	Jul 29, 16	7 000	420 515	2,943 61	345 020	2,415 14	-528 47	ST
	Aug 1, 16	9 000	433 300	3,899 70	345 020	3,105 18	-794 52	ST
	Aug 2, 16	9 000	430 964	3,878 68	345 020	3,105 18	-773 50	ST
	Aug 2, 16	1 000	428 610	428 61	345 020	345 02	-83 59	ST
	Aug 3, 16	6 000	438 495	2,630 97	345 020	2,070 12	-560 85	ST
	Sep 6, 16	4 000	398 070	1,592 28	345 020	1,380 08	-212 20	ST
	Sep 6, 16	2 000	395 245	790 49	345 020	690 04	-100 45	ST
	Sep 6, 16	1 000	396 260	396 26	345 020	345 02	-51 24	ST
	Sep 7, 16	5 000	398 796	1,993 98	345 020	1,725 10	-268 88	ST
	Sep 7, 16	1 000	399 610	399 61	345 020	345 02	-54 59	ST
	Sep 8, 16	1 000	401 630	401 63	345 020	345 02	-56 61	ST
Security total		46 000	420 779	19,355 82		15,870 92	-3,484 90	
SCHLUMBERGER LTD NETHERLANDS								
Symbol SLB Exchange NYSE								
EAI \$524 Current yield 2 56%	Oct 27, 15	262 000	76 940	20,158 54	78 230	20,496 26	337 72	LT
SEI INVESTMENT'S CO								
Symbol SEIC Exchange OTC								
EAI \$255 Current yield 1 17%	Oct 27, 15	491 000	51 113	25,096 80	44 330	21,766 03	-3,330 77	LT

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October 2016



Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22523 A6

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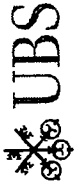
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI \$630 Current yield 2.89%	Oct 27, 15	202 000	102.943	20,794.57	107.760	21,767.52	972.95	LT
VARIAN MEDICAL SYSTEMS INC								
Symbol VAR Exchange NYSE	Oct 27, 15	214 000	76.145	16,295.10	90.730	19,416.22	3,121.12	LT
VISA INC CL A								
Symbol V Exchange NYSE	Dec 19, 11	3 000	24.590	73.77	82.510	247.53	173.76	LT
EAI \$338 Current yield 0.80%	Jan 4, 12	60 000	25.207	1,512.45	82.510	4,950.60	3,438.15	LT
	Jan 11, 12	28 000	24.620	689.37	82.510	2,310.28	1,620.91	LT
	Feb 9, 12	28 000	28.273	791.66	82.510	2,310.28	1,518.62	LT
	May 3, 12	56 000	29.595	1,657.34	82.510	4,620.56	2,963.22	LT
	Nov 9, 12	16 000	35.663	570.61	82.510	1,320.16	749.55	LT
	Jun 10, 13	16 000	45.716	731.46	82.510	1,320.16	588.70	LT
	Jun 11, 13	4 000	45.125	180.50	82.510	330.04	149.54	LT
	Sep 17, 13	24 000	47.400	1,137.60	82.510	1,980.24	842.64	LT
	Dec 13, 13	24 000	51.133	1,227.21	82.510	1,980.24	753.03	LT
	Jul 27, 15	22 000	74.202	1,632.45	82.510	1,815.22	182.77	LT
	Jul 27, 15	21 000	74.024	1,554.51	82.510	1,732.71	178.20	LT
	Oct 27, 15	210 000	77.326	16,238.54	82.510	17,327.10	1,088.56	LT
Security total		512 000	54.683	27,997.47		42,245.12	14,247.65	
YUM! BRANDS INC								
Symbol YUM Exchange NYSE								
EAI \$471 Current yield 2.36%	Oct 27, 15	231 000	73.047	16,873.93	86.280	19,930.68	3,056.75	LT
Total				\$699,585.78		\$814,537.49	\$114,951.71	
Total estimated annual income: \$11,684								

HARRY DENT FAMILY FOUNDATION, INC.
 EIN: 16-0849923

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 October 2016



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 716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22528 A6

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct. 31 (\$)	Value on Oct. 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol ABB Exchange NYSE	May 2, 12	175,000	18.149	3,176.18	20.650	3,613.75	437.57	LT
EAI \$799 Current yield 3.54%	Jun 13, 12	226,000	16.098	3,638.28	20.650	4,666.90	1,028.62	LT
	Feb 13, 14	180,000	25.051	4,509.29	20.650	3,717.00	-792.29	LT
	Mar 25, 14	147,000	24.989	3,673.49	20.650	3,035.55	-637.94	LT
	Jun 13, 14	188,000	23.219	4,365.19	20.650	3,882.20	-482.99	LT
	Aug 11, 15	62,000	20.346	1,261.50	20.650	1,280.30	18.80	LT
	Aug 12, 15	115,000	20.141	2,316.24	20.650	2,374.75	58.51	LT
Security total		1,093,000	20.988	22,940.17		22,570.45	-369.72	

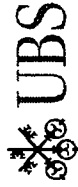
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALLIANZ SE ADR								
Symbol AZSEY Exchange OTC								
EAI \$515 Current yield 3.94%								
	Jul 27, 15	147,000	16.531	2,430.17	15.530	2,282.91	-147.26	LT
	Jul 28, 15	76,000	16.596	1,261.31	15.530	1,180.28	-81.03	LT
	Aug 6, 15	98,000	16.941	1,660.23	15.530	1,521.94	-138.29	LT
	Aug 7, 15	127,000	16.817	2,135.86	15.530	1,972.31	-163.55	LT
	Aug 28, 15	213,000	15.934	3,394.11	15.530	3,307.89	-86.22	LT
	Jun 10, 16	180,000	15.220	2,739.62	15.530	2,795.40	55.78	ST
Security total		841,000	16.197	13,621.30		13,060.73	-560.57	
BANCO SANTANDER S.A SPON ADR								
Symbol SAN Exchange NYSE								
EAI \$347 Current yield 3.54%								
	Oct 8, 10	228,000	11.489	2,619.70	4.840	1,103.52	-1,516.18	LT
	Apr 12, 12	28,000	6.370	178.36	4.840	135.52	-42.84	LT
	Apr 13, 12	460,000	5.558	2,556.96	4.840	2,226.40	-330.56	LT
	May 31, 13	561,000	6.632	3,720.74	4.840	2,715.24	-1,005.50	LT
	Jun 19, 13	572,000	6.461	3,695.90	4.840	2,768.48	-927.42	LT
	Jun 20, 13	110,000	5.796	637.62	4.840	532.40	-105.22	LT
	Oct 20, 14	49,000	7.137	349.74	4.840	237.16	-112.58	LT
	Earnings	19,000	5.550	105.45	4.840	91.96	-13.49	
Security total		2,027,000	6.840	13,864.47		9,810.68	-4,053.79	
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI \$1,471 Current yield 6.70%								
	Apr 19, 06	31,000	75.575	2,342.83	35.550	1,102.05	-1,240.78	LT
	Mar 12, 07	20,000	61.089	1,221.79	35.550	711.00	-510.79	LT
	Mar 13, 07	11,000	60.924	670.17	35.550	391.05	-279.12	LT
	Mar 14, 07	3,000	59.693	179.08	35.550	106.65	-72.43	LT
	Jul 10, 07	32,000	74.319	2,378.23	35.550	1,137.60	-1,240.63	LT
	Dec 14, 07	5,000	74.694	373.47	35.550	177.75	-195.72	LT
	Dec 17, 07	24,000	73.613	1,766.73	35.550	853.20	-913.53	LT
	Oct 29, 09	44,000	58.130	2,557.72	35.550	1,564.20	-993.52	LT
	Oct 8, 10	121,000	41.948	5,075.71	35.550	4,301.55	-774.16	LT
	May 10, 11	91,000	44.866	4,082.84	35.550	3,235.05	-847.79	LT
	May 13, 11	6,000	42.760	256.56	35.550	213.30	-43.26	LT

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THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

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Account number: FF 22528 A6

Your assets • Equities • Common stock (continued)

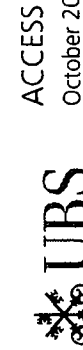
Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct. 31 (\$)	Value on Oct. 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Dec 20, 13	5 000	46 280	231 40	35 550	177.75	-53 65	LT
	Jun 19, 15	6 000	42 650	255 90	35 550	213 30	-42.60	LT
	Jul 21, 15	88 000	38 772	3,412.01	35.550	3,128.40	-283.61	LT
	Aug 10, 15	68 000	36.057	2,451.94	35.550	2,417.40	-34.54	LT
	Aug 11, 15	40 000	35 817	1,432.69	35.550	1,422.00	-10.69	LT
	Earnings	23 000	32 886	756 38	35 550	817 65	61 27	
Security total		618 000	47 646	29,445.45		21,969.90	-7,475.55	
CANON INC ADR JAPAN SPON ADR								
Symbol	CAJ	Exchange	NYSE					
EAI	\$665	Current yield	4.38%					
	Apr 19, 06	47 000	47 848	2,248.88	28.720	1,349.84	-899.04	LT
	Sep 12, 07	60 000	53 165	3,189.95	28 720	1,723.20	-1,466 75	LT
	Apr 17, 08	59 000	48 758	2,876.73	28 720	1,694 48	-1,182.25	LT
	Oct 31, 08	92 000	34 003	3,128.32	28.720	2,642.24	-486 08	LT
	Feb 5, 09	6 000	27.538	165 23	28.720	172 32	7 09	LT
	Oct 8, 10	174 000	46.888	8,158 58	28 720	4,997 28	-3,161 30	LT
	Oct 12, 12	91 000	31.365	2,854.24	28 720	2,613 52	-240.72	LT
Security total		529 000	42.764	22,621 93		15,192 88	-7,429.05	
CHINA MOBILE LTD SPON ADR								
Symbol	CHL	Exchange	NYSE					
EAI	\$297	Current yield	2.71%					
	May 9, 13	23 000	55.466	1,275.74	57.430	1,320.89	45.15	LT
	Jun 20, 13	88 000	48 393	4,258.63	57.430	5,053.84	795.21	LT
	Jul 5, 13	80 000	51.318	4,105.48	57.430	4,594.40	488.92	LT
Security total		191 000	50.470	9,639.85		10,969.13	1,329 28	
DAIMLER AG SPON ADR								
Symbol	DDAY	Exchange	OTC					
EAI	\$479	Current yield	3.80%					
	Apr 12, 13	54 000	52 592	2,840.00	71.190	3,844 26	1,004 26	LT
	Apr 22, 13	86 000	51 595	4,437 20	71.190	6,122 34	1,685.14	LT
	Feb 19, 16	37 000	70 010	2,590 38	71.190	2,634 03	43.65	ST
Security total		177 000	55 749	9,867.58		12,600.63	2,733 05	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
DEUTSCHE TELEKOM AG DE SPON ADR								
Symbol DTEGY Exchange OTC	Oct 27, 09	32,000	14,070	450.24	16.320	522.24	72.00	LT
EAI \$554 Current yield 3.64%	Dec 11, 09	104,000	14.850	1,544.40	16.320	1,697.28	152.88	LT
	Oct 8, 10	428,000	13.790	5,902.12	16.320	6,984.96	1,082.84	LT
	Feb 20, 13	368,000	10.857	3,995.56	16.320	6,005.76	2,010.20	LT
Security total		932,000	12.760	11,892.32		15,210.24	3,317.92	
ENEL SPA ADR								
Symbol ENLAY Exchange OTC	Oct 11, 16	830,000	4.175	3,465.56	4.240	3,519.20	53.64	ST
EAI \$85 Current yield 2.42%								
ENI SPA IT SPON ADR								
Symbol E Exchange NYSE	Oct 14, 10	11,000	45.593	501.53	29.080	319.88	-181.65	LT
EAI \$702 Current yield 4.41%	Oct 15, 10	52,000	45.440	2,362.90	29.080	1,512.16	-850.74	LT
	Nov 22, 10	106,000	43.963	4,660.15	29.080	3,082.48	-1,577.67	LT
	Jul 20, 11	85,000	44.096	3,748.18	29.080	2,471.80	-1,276.38	LT
	Jul 22, 13	77,000	44.102	3,395.88	29.080	2,239.16	-1,156.72	LT
	Apr 17, 15	114,000	36.405	4,150.17	29.080	3,315.12	-835.05	LT
	Oct 28, 15	68,000	33.413	2,272.11	29.080	1,977.44	-294.67	LT
	Nov 5, 15	34,000	32.036	1,089.25	29.080	988.72	-100.53	ST
Security total		547,000	40.549	22,180.17		15,906.76	-6,273.41	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE	Oct 27, 09	11,000	41.360	454.96	40.010	440.11	-14.85	LT
EAI \$1,158 Current yield 5.17%	Oct 29, 09	43,000	41.420	1,781.06	40.010	1,720.43	-60.63	LT
	Oct 8, 10	260,000	41.710	10,844.60	40.010	10,402.60	-442.00	LT
	Dec 4, 13	55,000	52.112	2,866.19	40.010	2,200.55	-665.64	LT
	Jul 24, 14	99,000	50.039	4,953.93	40.010	3,960.99	-992.94	LT
	Jun 18, 15	23,000	43.010	989.24	40.010	920.23	-69.01	LT
	Jun 19, 15	69,000	43.089	2,973.17	40.010	2,760.69	-212.48	LT
Security total		560,000	44.398	24,863.15		22,405.60	-2,457.55	

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October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22528 A6

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
HONDA MOTOR CO ADR JAPAN ADR								
Symbol HMC Exchange NYSE								
EAI \$492 Current yield 2.34%								
	Aug 1, 14	19 000	34.964	664.33	29.830	566.77	-97.56	LT
	Aug 13, 14	119,000	34.195	4,069.21	29.830	3,549.77	-519.44	LT
	Sep 5, 14	91 000	33.806	3,076.39	29.830	2,714.53	-361.86	LT
	Oct 2, 14	133 000	32.280	4,293.29	29.830	3,967.39	-325.90	LT
	Nov 7, 14	76 000	31.627	2,403.70	29.830	2,267.08	-136.62	LT
	Nov 10, 14	38 000	31.516	1,197.62	29.830	1,133.54	-64.08	LT
	Dec 4, 14	128,000	31.084	3,978.84	29.830	3,818.24	-160.60	LT
	Feb 20, 15	64,000	33.358	2,134.93	29.830	1,909.12	-225.81	LT
	Feb 23, 15	38,000	33.187	1,261.13	29.830	1,133.54	-127.59	LT
Security total		706 000	32.690	23,079.44		21,059.98	-2,019.46	
IBERDROLA SA SPON ADR								
Symbol IBDRY Exchange OTC								
EAI \$829 Current yield 3.50%								
	Mar 23, 12	161 000	19.974	3,215.88	27.220	4,382.42	1,166.54	LT
	Apr 13, 12	85,000	17.302	1,470.74	27.220	2,313.70	842.96	LT
	Apr 25, 12	232,000	16.112	3,738.09	27.220	6,315.04	2,576.95	LT
	Jun 13, 12	119,000	12.965	1,542.95	27.220	3,239.18	1,696.23	LT
	Apr 24, 13	56 000	19.425	1,087.81	27.220	1,524.32	436.51	LT
	Apr 25, 13	145,000	16.764	2,430.92	27.220	3,946.90	1,515.98	LT
	Dec 2, 14	32 000	24.302	777.69	27.220	871.04	93.35	LT
	Earnings	41,000	26.596	1,090.46	27.220	1,116.02	25.56	
Security total		871,000	17.629	15,354.54		23,708.62	8,354.08	
KAO CORP REPSTG 10 SHS COM								
SPON ADR								
Symbol KCRPY Exchange OTC								
EAI \$101 Current yield 1.25%								
	Oct 8, 10	157 000	24.730	3,882.61	51.455	8,078.43	4,195.82	LT
KINGFISHER PLC NEW SPON ADR								
Symbol KGFHY Exchange OTC								
EAI \$188 Current yield 2.81%								
	Oct 7, 16	350 000	9.261	3,241.46	9.030	3,160.50	-80.96	ST
	Oct 25, 16	391,000	8.873	3,469.50	9.030	3,530.73	61.23	ST
Security total		741,000	9.057	6,710.96		6,691.23	-19.73	

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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

 Account name: HARRY DENT FAMILY FOUNDATION
 Account number: FF 22528 A6

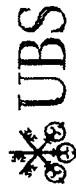
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
KONINKLUKE AHOLD DELHAIZE NV								
SPON ADR								
Symbol ADRNY Exchange OTC								
EAI \$510 Current yield 2.28%								
	Nov 16, 10	16,471	14.245	234.63	22.780	375.20	140.57	LT
	Jan 10, 11	148,706	13.251	1,970.65	22.780	3,387.52	1,416.87	LT
	Jan 11, 11	176,000	13.180	2,319.81	22.780	4,009.28	1,689.47	LT
	Jan 28, 11	301,177	14.061	4,235.03	22.780	6,860.80	2,625.77	LT
	Aug 24, 11	166,588	12.341	2,055.99	22.780	3,794.88	1,738.89	LT
	Aug 25, 11	111,059	11.405	1,266.63	22.780	2,529.92	1,263.29	LT
	Sep 4, 14	64,000	16.265	1,041.00	22.780	1,457.92	416.92	LT
Security total		984,000	13.337	13,123.74		22,415.52	9,291.78	
LLOYDS BANKING GROUP PLC SPON								
ADR								
Symbol LYG Exchange NYSE								
EAI \$1,013 Current yield 4.65%								
	Nov 20, 15	769,000	4.430	3,407.21	2.820	2,168.58	-1,238.63	ST
	Dec 4, 15	782,000	4.508	3,525.33	2.820	2,205.24	-1,320.09	ST
	Jan 4, 16	789,000	4.269	3,368.32	2.820	2,224.98	-1,143.34	ST
	Jan 11, 16	810,000	4.098	3,319.46	2.820	2,284.20	-1,035.26	ST
	Jan 26, 16	889,000	3.803	3,380.87	2.820	2,506.98	-873.89	ST
	Mar 31, 16	870,000	3.964	3,448.85	2.820	2,453.40	-995.45	ST
	Apr 12, 16	868,000	3.850	3,342.41	2.820	2,447.76	-894.65	ST
	Jul 5, 16	1,141,000	2.734	3,120.52	2.820	3,217.62	97.10	ST
	Sep 26, 16	813,000	2.872	2,335.02	2.820	2,292.66	-42.36	ST
Security total		7,731,000	3.783	29,247.99		21,801.42	-7,446.57	
NATIONAL GRID PLC NEW SPON ADR								
Symbol NGG Exchange NYSE								
EAI \$828 Current yield 4.81%								
	Dec 14, 12	55,000	55.517	3,053.48	65.420	3,598.10	544.62	LT
	Jan 16, 13	50,000	54.525	2,726.25	65.420	3,271.00	544.75	LT
	Jan 17, 13	24,000	54.792	1,315.03	65.420	1,570.08	255.05	LT
	Feb 20, 13	56,000	53.443	2,992.81	65.420	3,663.52	670.71	LT
	Feb 21, 13	18,000	44.970	809.47	65.420	1,177.56	368.09	LT
	Aug 19, 15	17,000	68.743	1,168.64	65.420	1,112.14	-56.50	LT
	Aug 20, 15	43,000	68.672	2,952.91	65.420	2,813.06	-139.85	LT

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ACCESS
October 2016



Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22528 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		263 000	57 105	15,018 59		17,205 46	2,186 87	
NESTLE S A SPONSORED ADR								
REPGTG REG SHS SWITZ ADR								
Symbol NSRGY Exchange OTC								
EAI \$479 Current yield 2 68%								
	Dec 17, 13	35 000	71.865	2,515 30	72.645	2,542 58	27 28	LT
	Jan 27, 14	54 000	74.159	4,004.62	72.645	3,922.83	-81.79	LT
	Feb 13, 14	12 000	73.862	886.35	72 645	871 74	-14 61	LT
	Feb 14, 14	49 000	73 880	3,620.16	72 645	3,559.61	-60.55	LT
	Mar 11, 14	7 000	75.242	526.70	72 645	508.52	-18.18	LT
	Mar 12, 14	44 000	74 997	3,299.89	72 645	3,196 38	-103 51	LT
	Apr 1, 14	9 000	74.883	673.95	72.645	653.81	-20.14	LT
	Oct 8, 14	19 000	72 041	1,368 79	72.645	1,380.26	11.47	LT
	Oct 9, 14	17 000	72.288	1,228.90	72.645	1,234 97	6 07	LT
Security total		246 000	73.677	18,124 66		17,870.67	-253.96	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI \$523 Current yield 3 24%								
	Oct 27, 09	4 000	52.260	209 04	71.020	284 08	75 04	LT
	Oct 29, 09	35 000	52.350	1,832.25	71.020	2,485 70	653.45	LT
	Oct 8, 10	165 000	58.228	9,607.62	71 020	11,718 30	2,110.68	LT
	Apr 1, 16	23 000	70 766	1,627.62	71 020	1,633.46	5.84	ST
Security total		227 000	58.487	13,276.53		16,121 54	2,845.01	
NTT DOCOMO INC ECH REPSTG								
1/200TH COM SHS SPON ADR								
Symbol DCM Exchange NYSE								
EAI \$231 Current yield 2 18%								
	Nov 26, 13	162 000	16 052	2,600.55	25 140	4,072.68	1,472.13	LT
	Mar 20, 14	260 000	15 262	3,968 12	25.140	6,536.40	2,568.28	LT
Security total		422 000	15.566	6,568.67		10,609.08	4,040.41	
QBE INS GROUP LTD SPON ADR								
Symbol QBIEY Exchange OTC								
EAI \$450 Current yield 4 93%								
	Oct 4, 11	62 000	12 036	746 25	7 540	467 48	-278 77	LT
	Oct 5, 11	93 000	12.417	1,154.79	7 540	701.22	-453.57	LT
	Jan 13, 12	242 000	11.277	2,729 25	7.540	1,824 68	-904.57	LT

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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

 Account name: HARRY DENT FAMILY FOUNDATION
 Account number: FF 22528 A6

 Your Financial Advisor:
 THE ARTHURS MALOF GROUP
 716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	May 2, 16 Jul 6, 16	422 000 392 000 1,211,000	8 399 7 527 9 187	3,544 67 2,950 66 11,125.62	7 540 7 540	3,181 88 2,955 68 9,130 94	-362.79 5.02 -1,994 68	ST ST
ROYAL DUTCH SHELL PLC CL A								
SPON ADR								
Symbol RDS.A Exchange NYSE	Jun 27, 16	6 380	49.097	313.26	49 810	317 81	4 55	ST
EAI \$45 Current yield 6.45%	Sep 19, 16	7 620	50.209	382.58	49 810	379.53	-3 05	ST
Security total		14 000	49 703	695 84		697 34	1.50	
ROYAL DUTCH SHELL PLC ADS								
REPSTG 2 CL B ORD SHS SPON								
ADR								
Symbol RDS B Exchange NYSE	Jan 21, 16	16 000	37 338	597 42	52 310	836 96	239 54	ST
EAI \$1,399 Current yield 7 19%	Feb 2, 16	244,000	41 471	10,119.05	52 310	12,763.64	2,644.59	ST
Security total	Feb 16, 16	112,000 372 000	44 887 42,322	5,027 41 15,743.88	52 310	5,858.72 19,459 32	831.31 3,715 44	ST
RWE AG ADR								
Symbol RWEQY Exchange OTC	Oct 8, 10	72,000	67.950	4,892.40	15 840	1,140 48	-3,751.92	LT
	Jun 15, 11	68,000	54 238	3,688 22	15,840	1,077.12	-2,611.10	LT
	Aug 18, 11	121,000	36.968	4,473.22	15,840	1,916 64	-2,556.58	LT
	Aug 24, 11	15,000	37.305	559.58	15,840	237 60	-321.98	LT
	Aug 25, 11	84 000	36 627	3,076 70	15 840	1,330 56	-1,746.14	LT
	Feb 13, 15	49 000	27.080	1,326.95	15 840	776 16	-550 79	LT
	Feb 17, 15	90,000	27 241	2,451 75	15 840	1,425.60	-1,026 15	LT
	Apr 24, 15	187,000	24.569	4,594.42	15 840	2,962.08	-1,632.34	LT
	Jun 5, 15	129 000	22 917	2,956.34	15,840	2,043.36	-912.98	LT
Security total		815,000	34,380	28,019 58		12,909 60	-15,109.98	
SANOFI SPON ADR								
Symbol SNI Exchange NYSE	Jun 29, 10	59,000	29.740	1,754 71	38 890	2,294.51	539.80	LT
EAI \$679 Current yield 2 89%	Aug 2, 10	30,000	29.926	897.78	38 890	1,166.70	268.92	LT
	Aug 3, 10	83 000	30.155	2,502.94	38,890	3,227.87	724.93	LT

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HARRY DENT FAMILY FOUNDATION, INC. EIN: 16-0849923



Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22528 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Oct 8, 10	194,000	34.237	6,642.17	38.890	7,544.66	902.49	LT
	May 13, 11	28,000	40.170	1,124.76	38.890	1,088.92	-35.84	LT
	Nov 5, 14	13,000	45.778	595.12	38.890	505.57	-89.55	LT
	Nov 7, 14	54,000	45.343	2,448.55	38.890	2,100.06	-348.49	LT
	Dec 15, 14	30,000	44.341	1,330.24	38.890	1,166.70	-163.54	LT
	Aug 26, 16	113,000	38.784	4,382.67	38.890	4,394.57	11.90	ST
Security total		604,000	35.892	21,678.94		23,489.56	1,810.62	
SAP SE SPON ADR								
Symbol	SAP	Exchange	NYSE					
EAI	\$253	Current yield	1.06%					
	Aug 8, 13	20,000	75.089	1,501.79	87.840	1,756.80	255.01	LT
	Oct 16, 13	48,000	72.676	3,488.48	87.840	4,216.32	727.84	LT
	Mar 11, 14	54,000	77.577	4,189.20	87.840	4,743.36	554.16	LT
	Jun 12, 14	46,000	75.245	3,461.31	87.840	4,040.64	579.33	LT
	Oct 8, 14	53,000	68.270	3,618.34	87.840	4,655.52	1,037.18	LT
	Mar 12, 15	51,000	67.889	3,462.35	87.840	4,479.84	1,017.49	LT
Security total		272,000	72.505	19,721.47		23,892.48	4,171.01	
SINGAPORE TELECOM LTD NEW 2006								
SPON ADR								
Symbol	SGAPY	Exchange	OTC					
EAI	\$686	Current yield	4.38%					
	Nov 19, 08	40,000	15.889	635.59	28.045	1,121.80	486.21	LT
	Nov 20, 08	35,000	15.635	547.24	28.045	981.57	434.33	LT
	Mar 23, 09	130,000	16.545	2,150.97	28.045	3,645.85	1,494.88	LT
	Oct 8, 10	229,000	23.740	5,436.46	28.045	6,422.30	985.84	LT
	Jan 17, 14	73,000	27.634	2,017.30	28.045	2,047.28	29.98	LT
	Jan 21, 14	52,000	27.663	1,438.48	28.045	1,458.34	19.86	LT
Security total		559,000	21.871	12,226.04		15,677.15	3,451.10	
SYNGENTA AG SPON ADR								
Symbol	SYT	Exchange	NYSE					
EAI	\$375	Current yield	2.39%					
	Dec 4, 14	35,000	64.676	2,263.66	80.420	2,814.70	551.04	LT
	Dec 16, 14	24,000	62.696	1,504.71	80.420	1,930.08	425.37	LT
	Dec 17, 14	9,000	62.278	560.51	80.420	723.78	163.27	LT
	Dec 19, 14	5,000	63.964	319.82	80.420	402.10	82.28	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 6, 15	6,000	62.603	375.62	80.420	482.52	106.90	LT
	Feb 25, 15	53,000	71.070	3,766.75	80.420	4,262.26	495.51	LT
	Apr 17, 15	63,000	68.796	4,334.20	80.420	5,066.46	732.26	LT
Security total		195,000	67.309	13,125.27		15,681.90	2,556.63	
TAIWAN SEMICONDUCTOR MFG CO								
LTD ADR								
Symbol TSM Exchange NYSE								
EAI \$609 Current yield 2.42%	Oct 27, 09	327,998	9.949	3,263.58	31.100	10,200.74	6,937.16	LT
	Oct 8, 10	482,002	10.359	4,993.52	31.100	14,990.26	9,996.74	LT
Security total		810,000	10.194	8,257.10		25,191.00	16,933.90	
TAKEDA PHARMACEUTICAL CO LTD								
SPON ADR								
Symbol TKPYY Exchange OTC								
EAI \$624 Current yield 2.68%	Oct 27, 09	53,000	19.240	1,019.72	22.450	1,189.85	170.13	LT
	Oct 8, 10	268,000	23.850	6,391.80	22.450	6,016.60	-375.20	LT
	Jun 23, 11	37,000	22.661	838.49	22.450	830.65	-7.84	LT
	Jun 24, 11	146,000	22.652	3,307.31	22.450	3,277.70	-29.61	LT
	Dec 14, 11	63,000	21.051	1,326.23	22.450	1,414.35	88.12	LT
	Jul 9, 14	178,000	23.014	4,096.65	22.450	3,996.10	-100.55	LT
	Jul 2, 15	147,000	24.302	3,572.50	22.450	3,300.15	-272.35	LT
	Jul 28, 16	147,000	21.655	3,183.36	22.450	3,300.15	116.79	ST
Security total		1,039,000	22.845	23,736.06		23,325.55	-410.51	
TELEFONICA S A SPON ADR								
Symbol TEF Exchange NYSE								
EAI \$1,368 Current yield 7.67%	Oct 27, 09	350,000	28.166	9,858.33	10.140	3,549.00	-6,309.33	LT
	Dec 11, 09	63,000	28.406	1,789.62	10.140	638.82	-1,150.80	LT
	May 18, 10	111,000	19.146	2,125.24	10.140	1,125.54	-999.70	LT
	Oct 8, 10	357,000	26.189	9,349.59	10.140	3,619.98	-5,729.61	LT
	Jul 20, 12	280,000	11.317	3,168.96	10.140	2,839.20	-329.76	LT
	Apr 7, 14	247,000	16.053	3,965.24	10.140	2,504.58	-1,460.66	LT
	Nov 19, 14	40,000	15.050	602.02	10.140	405.60	-196.42	LT
	Feb 18, 16	270,000	10.466	2,826.06	10.140	2,737.80	-88.26	ST
Earnings		42,000	11.559	485.51	10.140	425.88	-59.63	

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HARRY DENT FAMILY FOUNDATION, INC.
EIN: 16-0849923

ATTACHMENT D



Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22528 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct. 31 (\$)	Value on Oct. 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,760,000	19.415	34,170.57		17,846.40	-16,324.17	
TELIA CO AB ADR								
Symbol TLSNY Exchange OTC								
EAI \$947 Current yield 6.60%								
	Jan 23, 15	141,000	12.892	1,817.81	7.920	1,116.72	-701.09	LT
	Jan 26, 15	143,000	12.990	1,857.61	7.920	1,132.56	-725.05	LT
	Feb 11, 15	154,000	12.236	1,884.48	7.920	1,219.68	-664.80	LT
	Feb 12, 15	159,000	12.374	1,967.59	7.920	1,259.28	-708.31	LT
	Feb 20, 15	41,000	12.395	508.20	7.920	324.72	-183.48	LT
	Feb 23, 15	272,000	12.253	3,332.87	7.920	2,154.24	-1,178.63	LT
	Mar 5, 15	54,000	12.544	677.40	7.920	427.68	-249.72	LT
	Mar 6, 15	229,000	12.358	2,830.21	7.920	1,813.68	-1,016.53	LT
	Apr 17, 15	159,000	11.724	1,864.13	7.920	1,259.28	-604.85	LT
	Apr 20, 15	60,000	11.735	704.12	7.920	475.20	-228.92	LT
	Apr 21, 15	118,000	11.584	1,366.97	7.920	934.56	-432.41	LT
	Jun 16, 15	111,000	11.807	1,310.58	7.920	879.12	-431.46	LT
	Jun 17, 15	170,000	11.815	2,008.57	7.920	1,346.40	-662.17	LT
Security total		1,811,000	12.220	22,130.54		14,343.12	-7,787.42	
TESCO PLC SPONS ADR								
UNITED KINGDOM								
Symbol TSCDY Exchange OTC								
	Jan 25, 11	216,000	19.049	4,114.67	7.675	1,657.80	-2,456.87	LT
	Feb 4, 11	234,000	19.675	4,604.18	7.675	1,795.95	-2,808.23	LT
	Feb 28, 11	173,000	19.964	3,453.93	7.675	1,327.77	-2,126.16	LT
	Mar 1, 11	52,000	19.951	1,037.46	7.675	399.10	-638.36	LT
	Apr 8, 11	157,000	19.550	3,069.35	7.675	1,204.97	-1,864.38	LT
	Apr 11, 11	44,000	19.518	858.81	7.675	337.70	-521.11	LT
	Jan 12, 12	212,000	15.078	3,196.73	7.675	1,627.10	-1,569.63	LT
	Dec 4, 13	280,000	16.766	4,694.48	7.675	2,149.00	-2,545.48	LT
	Mar 27, 14	266,000	14.704	3,911.29	7.675	2,041.55	-1,869.74	LT
	Jun 20, 14	245,000	14.825	3,632.25	7.675	1,880.37	-1,751.88	LT
	Nov 23, 15	484,000	7.496	3,628.21	7.675	3,714.70	86.49	ST
	Jan 26, 16	450,000	6.932	3,119.81	7.675	3,453.75	333.94	ST
Security total		2,813,000	13.978	39,321.17		21,589.77	-17,731.41	

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HARRY DENT FAMILY FOUNDATION, INC

EIN: 16-0849923

 Account name: HARRY DENT FAMILY FOUNDATION
 Account number: FF 22528 A6

 Your Financial Advisor:
 THE ARTHURS MALOF GROUP
 716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
TOKIO MARINE HOLDINGS INC SPON ADR								
Symbol TKOMY Exchange OTC	Oct 27, 09	155,000	25.100	3,890.50	39.530	6,127.15	2,236.65	LT
EAI \$427 Current yield 2.11%	Dec 11, 09	48 000	28.930	1,388.64	39 530	1,897.44	508.80	LT
	Oct 8, 10	182 000	28.550	5,196.10	39 530	7,194.46	1,998.36	LT
	Oct 31, 11	128,000	23.902	3,059.51	39 530	5,059.84	2,000.33	LT
Security total		513,000	26.384	13,534.75		20,278.89	6,744.14	
TOKYO ELECTRON LTD ADR								
Symbol TOELY Exchange OTC	Jul 19, 12	153 000	11.439	1,750.18	22.480	3,439.44	1,689.26	LT
EAI \$110 Current yield 1.61%								
TOTAL S.A. FRANCE SPON ADR								
Symbol TOT Exchange NYSE	Oct 27, 09	13 000	63.340	823.42	47.740	620.62	-202.80	LT
EAI \$416 Current yield 4.79%	Nov 3, 09	16 000	60.710	971.36	47.740	763.84	-207.52	LT
	Oct 8, 10	148 000	52.565	7,779.66	47.740	7,065.52	-714.14	LT
	Earnings	5 000	47.412	237.06	47.740	238.70	1.64	
Security total		182,000	53.909	9,811.50		8,688.68	-1,122.82	
UNILEVER PLC AMER SHS NEW SPON ADR								
Symbol UL Exchange NYSE	Sep 24, 08	72 000	27.524	1,981.73	41.670	3,000.24	1,018.51	LT
EAI \$691 Current yield 3.32%	Oct 29, 09	96 000	30.560	2,933.76	41.670	4,000.32	1,066.56	LT
	Oct 8, 10	332 000	29.130	9,671.16	41.670	13,834.44	4,163.28	LT
Security total		500,000	29.173	14,586.65		20,835.00	6,248.35	
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR								
Symbol UOVEY Exchange OTC	Oct 27, 09	92 000	24.323	2,237.72	26.900	2,474.80	237.08	LT
EAI \$739 Current yield 3.81%	May 10, 10	8 000	28.402	227.22	26.900	215.20	-12.02	LT
	Oct 8, 10	137 000	28.012	3,837.66	26.900	3,685.30	-152.36	LT
	May 6, 11	13,000	32.063	416.82	26.900	349.70	-67.12	LT

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HARRY DENT FAMILY FOUNDATION, INC.
 EIN: 16-0849923

ATTACHMENT D

ACCESS
 October 2016



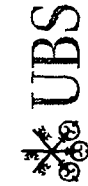
Your Financial Advisor:
 THE ARTHURS MALOF GROUP
 716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
 Account number: FF 22528 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
VODAFONE GROUP PLC SPON ADR Symbol VOD Exchange OTC EAI \$273 Current yield 5.42%	May 6, 13	25,000	34.984	874.60	26.900	672.50	-202.10	LT
	May 14, 13	73,000	33.327	2,432.93	26.900	1,963.70	-469.23	LT
	Apr 15, 15	118,000	35.194	4,152.90	26.900	3,174.20	-978.70	LT
	Aug 26, 15	20,000	27.482	549.64	26.900	538.00	-11.64	LT
	Aug 27, 15	79,000	28.128	2,222.16	26.900	2,125.10	-97.06	LT
	May 31, 16	140,000	26.527	3,713.86	26.900	3,766.00	52.14	ST
	Earnings	17,000	23.200	394.40	26.900	457.30	62.90	
	Security total	722,000	29.169	21,059.91		19,421.80	-1,638.11	
ZURICH INS GROUP LTD SPON ADR Symbol ZURVY Exchange OTC EAI \$1,093 Current yield 6.69%	Nov 19, 09	38,000	41.506	1,577.24	27.840	1,057.92	-519.32	LT
	Nov 20, 09	8,000	44.550	356.40	27.840	222.72	-133.68	LT
	Oct 8, 10	135,000	45.781	6,180.55	27.840	3,758.40	-2,422.15	LT
	Security total	181,000	44.830	8,114.19		5,039.04	-3,075.15	
ZURICH INS GROUP LTD SPON ADR Symbol ZURVY Exchange OTC EAI \$1,093 Current yield 6.69%	Oct 21, 09	6,000	22.753	136.52	26.180	157.08	20.56	LT
	Oct 22, 09	11,000	22.821	251.04	26.180	287.98	36.94	LT
	Nov 5, 09	34,000	20.668	702.74	26.180	890.12	187.38	LT
	Nov 6, 09	134,000	20.110	2,694.74	26.180	3,508.12	813.38	LT
	Oct 8, 10	139,000	22.199	3,085.79	26.180	3,639.02	553.23	LT
	Jul 8, 11	73,000	24.813	1,811.38	26.180	1,911.14	99.76	LT
	Jul 11, 11	91,000	24.356	2,216.44	26.180	2,382.38	165.94	LT
	Dec 4, 15	136,000	25.896	3,521.94	26.180	3,560.48	38.54	ST
	Security total	624,000	23.110	14,420.59		16,336.32	1,915.73	
	Total			\$662,019.53		\$646,051.45	-\$15,968.08	

Total estimated annual income: \$23,450



ACCESS
October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22524 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Oct 1 (\$)	Closing balance on Oct 31 (\$)	Price per share on Oct 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	-2,368.19	-807.20					
UBS BANK USA BUS ACCT	25,574.93	27,932.38					250,000.00
Total	\$23,206.74	\$27,125.18					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBVIE INC COM								
Symbol ABBV Exchange NYSE								
EAI \$381 Current yield 4.58%	Apr 23, 15	40,000	63.379	2,535.16	55.780	2,231.20	-303.96	LT
	Apr 29, 15	52,000	65.776	3,420.38	55.780	2,900.56	-519.82	LT
	Apr 30, 15	31,000	65.417	2,027.93	55.780	1,729.18	-298.75	LT
	Oct 28, 15	26,000	53.036	1,378.95	55.780	1,450.28	71.33	LT
Security total		149,000	62.835	9,362.42		8,311.22	-1,051.20	
AETNA INC								
Symbol AET Exchange NYSE								
EAI \$40 Current yield 0.93%	Oct 26, 16	40,000	111.217	4,448.70	107.350	4,294.00	-154.70	ST

continued next page

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMER ELECTRIC POWER CO								
Symbol AEP Exchange NYSE								
EAI \$342 Current yield 3.64%	Jan 10, 12	21,000	41.295	867.20	64.840	1,361.64	494.44	LT
	Jan 11, 12	65,000	41.166	2,675.84	64.840	4,214.60	1,538.76	LT
	Jan 12, 12	23,000	41.208	947.79	64.840	1,491.32	543.53	LT
	Oct 4, 13	36,000	43.391	1,562.10	64.840	2,334.24	772.14	LT
Security total		145,000	41.744	6,052.93		9,401.80	3,348.87	
AMER EXPRESS CO								
Symbol AXP Exchange NYSE								
EAI \$204 Current yield 1.93%	Jul 20, 16	159,000	64.437	10,245.59	66.420	10,560.78	315.19	ST
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI \$285 Current yield 3.39%	Aug 31, 10	15,000	43.396	650.95	88.390	1,325.85	674.90	LT
	Sep 1, 10	80,000	45.336	3,626.90	88.390	7,071.20	3,444.30	LT
Security total		95,000	45.030	4,277.85		8,397.05	4,119.20	
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$198 Current yield 2.00%	Aug 31, 15	35,000	112.894	3,951.30	113.540	3,973.90	22.60	LT
	Sep 1, 15	8,000	107.993	863.95	113.540	908.32	44.37	LT
	Oct 28, 15	44,000	117.970	5,190.68	113.540	4,995.76	-194.92	LT
Security total		87,000	115.011	10,005.93		9,877.98	-127.95	
AT&T INC								
Symbol T Exchange NYSE								
EAI \$772 Current yield 5.33%	Mar 5, 10	32,000	24.956	798.62	36.790	1,177.28	378.66	LT
	Oct 4, 13	58,000	33.679	1,953.41	36.790	2,133.82	180.41	LT
	Mar 18, 14	150,000	32.923	4,938.56	36.790	5,518.50	579.94	LT
	Mar 19, 14	20,000	32.969	659.38	36.790	735.80	76.42	LT
	Mar 20, 14	134,000	33.868	4,538.33	36.790	4,929.86	391.53	LT
Security total		394,000	32.711	12,888.30		14,495.26	1,606.96	
CA INC								
Symbol CA Exchange OTC								
EAI \$303 Current yield 3.32%	May 4, 12	118,000	26.321	3,105.88	30.740	3,627.32	521.44	LT
	May 9, 12	60,000	26.383	1,582.98	30.740	1,844.40	261.42	LT

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HARRY DENT FAMILY FOUNDATION, INC.
EIN: 16-0849923

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ATTACHMENT E

ACCESS
October 2016



Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22524 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	May 10, 12	28 000	26 421	739.79	30 740	860 72	120 93	LT
	May 11, 12	6 000	26 086	156 52	30 740	184 44	27 92	LT
	Oct 4, 13	29 000	29 501	855.54	30 740	891 46	35 92	LT
	Oct 28, 15	56 000	27 769	1,555.11	30 740	1,721.44	166.33	LT
Security total		297 000	26 922	7 995.82		9 129.78	1 133.96	
CELANESE CORP NEW SER A								
Symbol CE Exchange NYSE								
EAI \$213 Current yield 1 97%								
	Jun 11, 15	7 000	71 068	497.48	72 920	510 44	12 96	LT
	Jun 15, 15	6 000	69 993	419.96	72 920	437 52	17 56	LT
	Jun 17, 15	20 000	70 364	1,407.28	72 920	1,458.40	51 12	LT
	Jun 19, 15	20 000	71 286	1,425 72	72 920	1,458 40	32 68	LT
	Jun 23, 15	25 000	71 779	1,794.49	72 920	1,823 00	28 51	LT
	Jun 25, 15	1 000	71 590	71 59	72 920	72 92	1 33	LT
	Jun 26, 15	10 000	73 020	730 20	72 920	729 20	-1 00	LT
	Jun 29, 15	39 000	71 864	2,802.71	72 920	2,843 88	41 17	LT
	Jun 30, 15	12 000	71 938	863.26	72 920	875 04	11 78	LT
	Jul 1, 15	8 000	71 981	575.85	72 920	583 36	7 51	LT
Security total		148 000	71 544	10 588.54		10 792.16	203 62	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI \$419 Current yield 4 12%								
	Nov 28, 05	74 000	57 310	4,240.94	104 750	7 751.50	3 510.56	LT
	Oct 28, 15	23 000	89 650	2,061.95	104 750	2 409.25	347 30	LT
Security total		97 000	64 978	6 302.89		10 160.75	3 857.86	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI \$318 Current yield 3 39%								
	May 21, 12	23 000	16 649	382.93	30 680	705 64	322 71	LT
	May 22, 12	266 000	16 698	4,441 80	30 680	8 160 88	3 719.08	LT
	May 30, 12	17 000	16 447	279 61	30 680	521 56	241 95	LT
Security total		306 000	16 681	5 104.34		9 388 08	4 283 74	
CITIGROUP INC								
Symbol C Exchange NYSE								
EAI \$130 Current yield 1 30%								
	Oct 18, 13	5 000	51 032	255.16	49 150	245 75	-9 41	LT

continued next page

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Oct 21, 13	40 000	51 027	2,041 09	49 150	1,966.00	-75 09	LT
	Oct 22, 13	33 000	50 920	1,680 38	49 150	1,621 95	-58 43	LT
	Oct 23, 13	50 000	50 132	2,506 62	49 150	2,457 50	-49 12	LT
	Oct 24, 13	20 000	49 946	998 92	49 150	983 00	-15 92	LT
	Oct 25, 13	25 000	49 999	1,249 98	49 150	1,228 75	-21 23	LT
	Oct 29, 13	30 000	50 282	1,508 47	49 150	1,474 50	-33 97	LT
Security total		203 000	50 446	10,240 62		9,977 45	-263 17	
EATON CORP PLC								
Symbol ETN Exchange NYSE								
EAI \$344 Current yield 3 57%								
	Apr 6, 15	10 000	68 450	684 50	63 770	637 70	-46 80	LT
	Apr 7, 15	25 000	68 121	1,703 03	63 770	1,594 25	-108 78	LT
	Apr 8, 15	6 000	67 365	404 19	63 770	382 62	-21 57	LT
	Apr 9, 15	3 000	67 620	202 86	63 770	191 31	-11 55	LT
	Apr 15, 15	19 000	69 320	1,317 09	63 770	1,211 63	-105 46	LT
	Apr 17, 15	13 000	67 948	883 33	63 770	829 01	-54 32	LT
	Apr 20, 15	29 000	69 045	2,002 31	63 770	1,849 33	-152 98	LT
	Apr 21, 15	18 000	68 736	1,237 25	63 770	1,147 86	-89 39	LT
	Oct 28, 15	28 000	53 120	1,487 36	63 770	1,785 56	298 20	LT
Security total		151 000	65 708	9,921 92		9,629 27	-292 65	
ENERGY CORP NEW								
Symbol ETR Exchange NYSE								
EAI \$414 Current yield 4 72%								
	May 4, 16	51 000	77 470	3,950 99	73 680	3,757 68	-193 31	ST
	May 11, 16	68 000	76 645	5,211 87	73 680	5,010 24	-201 63	ST
Security total		119 000	76 999	9,162 86		8,767 92	-394 94	
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI \$321 Current yield 3 60%								
	Oct 28, 15	107 000	81 886	8,761 87	83 320	8,915 24	153 37	LT
FIFTH THIRD BANCORP								
Symbol FITB Exchange OTC								
EAI \$245 Current yield 2 39%								
	Aug 31, 12	21 000	15 099	317 09	21 760	456 96	139 87	LT
	Sep 4, 12	275 000	15 025	4,131 96	21 760	5,984 00	1,852 04	LT
	Sep 5, 12	105 000	14 914	1,565 99	21 760	2,284 80	718 81	LT
	Sep 6, 12	70 000	15 075	1,055 26	21 760	1,523 20	467 94	LT

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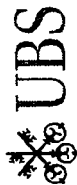
HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

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ATTACHMENT E

ACCESS
October 2016



Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22524 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		471 000	15 011	7,070 30		10,248 96	3,178 66	
FLUOR CORP NEW								
Symbol FLR Exchange NYSE		43 000	55 001	2,365.07	51.990	2,235.57	-129.50	ST
EAI \$161 Current yield 1.61%	May 3, 16							
	May 9, 16	51 000	51 568	2,630 01	51 990	2,651 49	21.48	ST
	May 24, 16	98 000	51 081	5,005.95	51.990	5,095.02	89 07	ST
Security total		192 000	52 089	10,001 03		9,982 08	-18 95	
FORD MOTOR CO COM NEW								
Symbol F Exchange NYSE		147 000	13.212	1,942.18	11.740	1,725.78	-216.40	LT
EAI \$427 Current yield 5.11%	Mar 19, 13							
	Jun 25, 13	75 000	14.888	1,116.66	11 740	880 50	-236.16	LT
	Jun 26, 13	65 000	15 120	982.81	11 740	763 10	-219 71	LT
	Jun 27, 13	60 000	15 398	923 89	11 740	704 40	-219.49	LT
	Jun 28, 13	45 000	15.500	697 50	11 740	528.30	-169.20	LT
	Jul 2, 13	100 000	16.121	1,612.16	11 740	1,174.00	-438 16	LT
	Jul 9, 13	100 000	16 803	1,680 35	11 740	1,174.00	-506.35	LT
	Jul 10, 13	120 000	16.718	2,006.18	11 740	1,408.80	-597.38	LT
Security total		712 000	15.396	10,961 73		8,358.88	-2,602 85	
GENERAL MOTORS CO								
Symbol GM Exchange NYSE		292 000	35 466	10,356.31	31 600	9,227.20	-1,129.11	ST
EAI \$444 Current yield 4.81%	Nov 4, 15							
GENL DYNAMICS CORP								
Symbol GD Exchange NYSE		28 000	145 405	4,071 36	150 740	4,220 72	149 36	ST
EAI \$222 Current yield 2.02%	May 11, 16							
	May 20, 16	33 000	144 563	4,770 61	150 740	4,974 42	203.81	ST
	Jun 9, 16	12 000	142 063	1,704.76	150 740	1,808.88	104.12	ST
Security total		73 000	144 476	10,546 73		11,004 02	457.29	
HARTFORD FINCL SERVICES GROUP								
Symbol HIG Exchange NYSE		51 000	41.940	2,138 96	44 110	2,249 61	110 65	LT
EAI \$205 Current yield 2.08%	Mar 13, 15							
	Mar 16, 15	39 000	42 574	1,660.40	44 110	1,720 29	59 89	LT
	Mar 18, 15	23 000	42 647	980.89	44 110	1,014.53	33.64	LT

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Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22524 A6

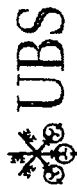
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 23, 15	110,000	43.182	4,750.02	44.110	4,852.10	102.08	LT
INTEL CORP		223,000	42.737	9,530.27		9,836.53	306.26	
Symbol: INTC Exchange: OTC								
EAI \$268 Current yield 2.98%	Sep 13, 12	138,000	23.098	3,187.58	34.870	4,812.06	1,624.48	LT
Security total	Oct 4, 13	120,000	22.729	2,727.52	34.870	4,184.40	1,456.88	LT
		258,000	22.927	5,915.10		8,996.46	3,081.36	
INTL BUSINESS MACH								
Symbol: IBM Exchange: NYSE								
EAI \$347 Current yield 3.64%	Jul 1, 15	2,000	164.110	328.22	153.690	307.38	-20.84	LT
Security total	Jul 8, 15	8,000	163.938	1,311.51	153.690	1,229.52	-81.99	LT
	Jul 14, 15	12,000	168.588	2,023.06	153.690	1,844.28	-178.78	LT
	Jul 14, 15	6,000	168.533	1,011.20	153.690	922.14	-89.06	LT
	Jul 21, 15	25,000	162.873	4,071.83	153.690	3,842.25	-229.58	LT
	Oct 28, 15	9,000	139.430	1,254.87	153.690	1,383.21	128.34	LT
Security total		62,000	161.301	10,000.69		9,528.78	-471.91	
INTL PAPER CO								
Symbol: IP Exchange: NYSE								
EAI \$374 Current yield 4.11%	Jun 22, 11	110,000	28.487	3,133.67	45.030	4,953.30	1,819.63	LT
Security total	Jun 29, 11	43,000	29.066	1,249.88	45.030	1,936.29	686.41	LT
	Oct 4, 13	19,000	43.098	818.88	45.030	855.57	36.69	LT
	Mar 17, 16	30,000	41.029	1,230.87	45.030	1,350.90	120.03	ST
Security total		202,000	31.848	6,433.30		9,096.06	2,662.76	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI \$266 Current yield 2.76%	May 15, 09	42,000	55.289	2,322.17	115.990	4,871.58	2,549.41	LT
Security total	Mar 21, 11	41,000	58.897	2,414.81	115.990	4,755.59	2,340.78	LT
		83,000	57.072	4,736.98		9,627.17	4,890.19	
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI \$553 Current yield 2.77%	Nov 4, 11	197,000	33.754	6,649.54	69.260	13,644.22	6,994.68	LT
Security total	Jun 8, 12	91,000	33.591	3,056.87	69.260	6,302.66	3,245.79	LT
		288,000	33.703	9,706.41		19,946.88	10,240.47	

continued next page

ACCESS
 October 2016

Your Financial Advisor:
 THE ARTHURS MALOF GROUP
 716-849-0800/800-537-7398



Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
MATTEL INC								
Symbol MAT Exchange OTC								
EAI \$467 Current yield 4.82%	Dec 8, 14	34 000	30.375	1,032.75	31.530	1,072.02	39.27	LT
	Dec 10, 14	55 000	29.940	1,646.73	31.530	1,734.15	87.42	LT
	Dec 11, 14	20 000	30.254	605.09	31.530	630.60	25.51	LT
	Dec 23, 14	149 000	30.031	4,474.68	31.530	4,697.97	223.29	LT
	Dec 24, 14	23 000	29.962	689.14	31.530	725.19	36.05	LT
	Dec 26, 14	26 000	29.980	779.50	31.530	819.78	40.28	LT
Security total		307 000	30.058	9,227.89		9,679.71	451.82	
METLIFE INC								
Symbol MET Exchange NYSE								
EAI \$347 Current yield 3.41%	Nov 20, 13	36 000	52.139	1,877.01	46.960	1,690.56	-186.45	LT
	Nov 25, 13	50 000	52.384	2,619.21	46.960	2,348.00	-271.21	LT
	Nov 26, 13	25 000	52.615	1,315.38	46.960	1,174.00	-141.38	LT
	Nov 27, 13	55 000	52.388	2,881.38	46.960	2,582.80	-298.58	LT
	Nov 29, 13	21 000	52.348	1,099.31	46.960	986.16	-113.15	LT
	Mar 8, 16	30 000	41.998	1,259.94	46.960	1,408.80	148.86	ST
Security total		217 000	50.932	11,052.23		10,190.32	-861.91	
ORACLE CORP								
Symbol ORCL Exchange NYSE								
EAI \$148 Current yield 1.57%	Aug 3, 16	116 000	40.717	4,723.24	38.420	4,456.72	-266.52	ST
	Aug 10, 16	130 000	40.934	5,321.46	38.420	4,994.60	-326.86	ST
Security total		246 000	40.832	10,044.70		9,451.32	-593.38	
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI \$346 Current yield 3.79%	May 2, 06	188 000	25.291	4,754.72	31.710	5,961.48	1,206.76	LT
	Mar 5, 10	100 000	17.488	1,748.80	31.710	3,171.00	1,422.20	LT
Security total		288 000	22.582	6,503.52		9,132.48	2,628.96	
PNC FINANCIAL SERVICES GROUP								
Symbol PNC Exchange NYSE								
EAI \$235 Current yield 2.30%	Nov 11, 10	75 000	57.281	4,296.09	95.600	7,170.00	2,873.91	LT
	Nov 18, 10	22 000	56.156	1,235.45	95.600	2,103.20	867.75	LT

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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

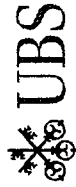
 Account name: HARRY DENT FAMILY FOUNDATION
 Account number: FF 22524 A6

 Your Financial Advisor:
 THE ARTHURS MALOF GROUP
 716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ST								
Security total								
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								ST
EAI \$292 Current yield 3.09%	Nov 6, 15	31 000	74.734	2,316.77	86.800	2,690.80	374.03	ST
Security total	Nov 12, 15	78 000	74.994	5,849.54	86 800	6,770.40	920.86	ST
		109 000	74.920	8,166.31		9,461.20	1,294.89	
PUBLIC SERVICE ENTERPRISE GROUP INC								
Symbol PEG Exchange NYSE								LT
EAI \$379 Current yield 3.90%	Sep 25, 14	13 000	37.656	489.53	42.080	547.04	57.51	LT
	Sep 26, 14	9 000	37.421	336.79	42.080	378.72	41.93	LT
	Sep 29, 14	25 000	37.475	936.88	42.080	1,052.00	115.12	LT
	Sep 30, 14	25 000	37.291	932.28	42.080	1,052.00	119.72	LT
	Oct 1, 14	42 000	37.290	1,566.18	42.080	1,767.36	201.18	LT
	Oct 2, 14	26 000	37.263	968.85	42.080	1,094.08	125.23	LT
	Oct 3, 14	31 000	37.260	1,155.08	42.080	1,304.48	149.40	LT
	Oct 7, 14	17 000	37.349	634.94	42.080	715.36	80.42	LT
	Oct 9, 14	43 000	37.876	1,628.70	42.080	1,809.44	180.74	LT
Security total		231 000	37.443	8,649.23		9,720.48	1,071.25	
QUEST DIAGNOSTICS INC								
Symbol DGX Exchange NYSE								ST
EAI \$181 Current yield 1.97%	Dec 29, 15	2 000	72.115	144.23	81.440	162.88	18.65	ST
	Dec 30, 15	2 000	71.655	143.31	81.440	162.88	19.57	ST
	Jan 5, 16	28 000	70.767	1,981.48	81.440	2,280.32	298.84	ST
	Jan 7, 16	81 000	68.362	5,537.39	81.440	6,596.64	1,059.25	ST
Security total		113 000	69.083	7,806.41		9,202.72	1,396.31	
ROYAL DUTCH SHELL PLC CL A								
SPON ADR								
Symbol RDSA Exchange NYSE								LT
EAI \$1,170 Current yield 6.42%	Oct 1, 07	16 000	83.353	1,333.65	49.810	796.96	-536.69	LT
	Oct 5, 07	60 000	79.830	4,789.82	49.810	2,988.60	-1,801.22	LT
	Oct 4, 13	33 000	65.456	2,160.08	49.810	1,643.73	-516.35	LT

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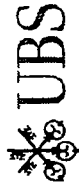
Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22524 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct. 31 (\$)	Value on Oct. 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 4, 15	28 000	65.825	1,843.12	49.810	1,394.68	-448.44	LT
	Feb 5, 15	43 000	66.744	2,870.00	49.810	2,141.83	-728.17	LT
	Feb 6, 15	18,000	66.074	1,189.34	49.810	896.58	-292.76	LT
	Feb 9, 15	16,000	66.741	1,067.86	49.810	796.96	-270.90	LT
	Feb 10, 15	14 000	66.083	925.17	49.810	697.34	-227.83	LT
	Feb 11, 15	13 000	64.563	839.32	49.810	647.53	-191.79	LT
	Feb 12, 15	43 000	65.098	2,799.22	49.810	2,141.83	-657.39	LT
	Feb 17, 15	12 000	65.609	787.31	49.810	597.72	-189.59	LT
	Feb 18, 15	9 000	66.418	597.77	49.810	448.29	-149.48	LT
	Oct 28, 15	41 000	53.376	2,188.42	49.810	2,042.21	-146.21	LT
	Mar 18, 16	20 000	49.464	989.29	49.810	996.20	6.91	ST
Security total		366 000	66.613	24,380.37		18,230.46	-6,149.91	
RYDER SYSTEM INC								
Symbol R Exchange NYSE								
EAI \$260 Current yield 2.53%								
	Jul 17, 15	11,000	91.308	1,004.39	69.390	763.29	-241.10	LT
	Jul 23, 15	51 000	88.617	4,519.50	69.390	3,538.89	-980.61	LT
	Aug 7, 15	44 000	90.707	3,991.13	69.390	3,053.16	-937.97	LT
	Aug 10, 15	3 000	91.180	273.54	69.390	208.17	-65.37	LT
	Aug 11, 15	14,000	90.128	1,261.80	69.390	971.46	-290.34	LT
	Oct 28, 15	25 000	68.760	1,719.00	69.390	1,734.75	15.75	LT
Security total		148 000	86.279	12,769.36		10,269.72	-2,499.64	
SASOL LTD SPON ADR								
Symbol SSL Exchange NYSE								
EAI \$310 Current yield 3.10%								
	Dec 24, 14	5,000	38.232	191.16	27.510	137.55	-53.61	LT
	Jan 6, 15	6 000	34.635	207.81	27.510	165.06	-42.75	LT
	Jan 8, 15	37 000	33.879	1,253.54	27.510	1,017.87	-235.67	LT
	Jan 12, 15	26 000	33.616	874.04	27.510	715.26	-158.78	LT
	Jan 14, 15	40 000	32.234	1,289.38	27.510	1,100.40	-188.98	LT
	Jan 16, 15	13,000	33.703	438.14	27.510	357.63	-80.51	LT
	Jan 20, 15	16,000	33.578	537.26	27.510	440.16	-97.10	LT
	Jan 21, 15	12 000	34.400	412.80	27.510	330.12	-82.68	LT
	Feb 6, 15	17,000	40.987	696.79	27.510	467.67	-229.12	LT

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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22524 A6

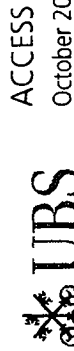
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Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 10, 15	26 000	39.585	1,029.22	27.510	715.26	-313.96	LT
	Feb 12, 15	35 000	40.176	1,406.17	27.510	962.85	-443.32	LT
	Feb 18, 15	35,000	38.580	1,350.30	27.510	962.85	-387.45	LT
	Feb 19, 15	32 000	37.324	1,194.39	27.510	880.32	-314.07	LT
	Jul 18, 16	63 000	28.109	1,770.90	27.510	1,733.13	-37.77	ST
Security total		363,000	34.854	12,651.90		9,986.13	-2,665.77	
TIME WARNER INC NEW								
Symbol TWX Exchange NYSE								
EAI \$84 Current yield 1.82%	Aug 12, 16	52,000	80.623	4,192.44	88.990	4,627.48	435.04	ST
TOTAL S.A. FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI \$480 Current yield 4.79%	Apr 9, 12	17 000	49.940	848.98	47.740	811.58	-37.40	LT
	Jun 14, 12	32 000	43.398	1,388.76	47.740	1,527.68	138.92	LT
	Jun 6, 13	68,000	50.018	3,401.28	47.740	3,246.32	-154.96	LT
	Mar 27, 15	93 000	50.793	4,723.77	47.740	4,439.82	-283.95	LT
Security total		210 000	49.347	10,362.79		10,025.40	-337.39	
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI \$445 Current yield 2.48%	Nov 28, 05	79 000	46.550	3,677.45	108.180	8,546.22	4,868.77	LT
	Jun 30, 15	1,000	96.590	96.59	108.180	108.18	11.59	LT
	Jul 16, 15	39,000	103.281	4,027.98	108.180	4,219.02	191.04	LT
	Jul 23, 15	20,000	105.338	2,106.77	108.180	2,163.60	56.83	LT
	Jul 27, 15	2,000	105.080	210.16	108.180	216.36	6.20	LT
	Jul 31, 15	11 000	106.451	1,170.97	108.180	1,189.98	19.01	LT
	Aug 6, 15	6 000	105.288	631.73	108.180	649.08	17.35	LT
	Aug 7, 15	2 000	104.980	209.96	108.180	216.36	6.40	LT
	Aug 12, 15	6 000	104.613	627.68	108.180	649.08	21.40	LT
Security total		166,000	76.863	12,759.29		17,957.88	5,198.59	
UNITD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI \$248 Current yield 2.58%	Aug 3, 15	11 000	99.168	1,090.85	102.200	1,124.20	33.35	LT
	Aug 4, 15	10,000	99.093	990.93	102.200	1,022.00	31.07	LT
	Aug 5, 15	16,000	99.626	1,594.02	102.200	1,635.20	41.18	LT

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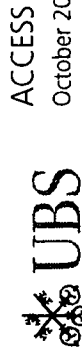
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October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22524 A6

Your Financial Advisor:
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716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
US BANCORP DEL (NEW)								
Symbol USB Exchange NYSE								
EAI \$251 Current yield 2.50%								
Security total								
	Aug 7, 15	31 000	98.284	3,046.82	102.200	3,168.20	121.38	LT
	Aug 11, 15	26,000	98.323	2,556.40	102.200	2,657.20	100.80	LT
Security total		94 000	98.713	9,279.02		9,606.80	327.78	
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI \$427 Current yield 4.80%								
Security total								
	Aug 3, 16	101,000	42.009	4,242.98	44.760	4,520.76	277.78	ST
	Aug 16, 16	123 000	43.101	5,301.47	44.760	5,505.48	204.01	ST
Security total		224,000	42.609	9,544.45		10,026.24	481.79	
WAL MART STORES INC								
Symbol WMT Exchange NYSE								
EAI \$550 Current yield 2.86%								
Security total								
	Apr 4, 14	71,000	48.074	3,413.30	48.100	3,415.10	1.80	LT
	Apr 8, 14	85,000	47.697	4,054.26	48.100	4,088.50	34.24	LT
	Apr 9, 14	29 000	48.108	1,395.16	48.100	1,394.90	-0.26	LT
Security total		185 000	47.907	8,862.72		8,898.50	35.78	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$640 Current yield 3.30%								
Security total								
	Jul 14, 11	25 000	53.545	1,338.63	70.020	1,750.50	411.87	LT
	Jul 20, 11	16 000	53.940	863.04	70.020	1,120.32	257.28	LT
	Oct 4, 13	15 000	73.128	1,096.92	70.020	1,050.30	-46.62	LT
	Sep 1, 15	54 000	63.510	3,429.58	70.020	3,781.08	351.50	LT
	Oct 28, 15	165 000	57.479	9,484.07	70.020	11,553.30	2,069.23	LT
Security total		275,000	58.954	16,212.24		19,255.50	3,043.26	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$640 Current yield 3.30%								
Security total								
	Aug 27, 10	79 000	23.626	1,866.51	46.010	3,634.79	1,768.28	LT
	Dec 7, 12	40,000	33.070	1,322.83	46.010	1,840.40	517.57	LT
	Dec 12, 12	45,000	33.578	1,511.03	46.010	2,070.45	559.42	LT
	Dec 13, 12	170,000	33.341	5,668.02	46.010	7,821.70	2,153.68	LT
	Dec 14, 12	40 000	33.084	1,323.37	46.010	1,840.40	517.03	LT
	Jul 18, 16	47 000	48.298	2,270.02	46.010	2,162.47	-107.55	ST
Security total		421 000	33.163	13,961.78		19,370.21	5,408.43	
Total				\$423,398.63		\$473,273.51	\$49,874.88	
Total estimated annual income: \$15,456								



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Account name: HARRY DENT FAMILY FOUNDATION
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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the Important information about your statement at the end of this document for details about those balances.

Holding	Opening balance on Oct 1 (\$)	Closing balance on Oct 31 (\$)	Price per share on Oct 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	-343.60	-731.09					
UBS BANK USA BUS ACCT	4,949.03	4,701.93					250,000.00
Total	\$4,605.43	\$3,970.84					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
AIA GROUP LTD SPON ADR								
Symbol AAGY Exchange OTC								
EAI \$129 Current yield 1.34%	Oct 27, 15	135,000	24.085	3,251.57	25.380	3,426.30	174.73	LT
	Nov 30, 15	243,000	24.175	5,874.72	25.380	6,167.34	292.62	ST
Security total		378,000	24.144	9,126.29		9,593.64	467.35	
ALIBABA GROUP HLDG LTD SPON ADR								
Symbol BABA Exchange NYSE	Oct 27, 15	65,000	79.428	5,162.85	101.690	6,609.85	1,447.00	LT
	Nov 16, 15	45,000	78.374	3,526.86	101.690	4,576.05	1,049.19	ST
	May 19, 16	29,000	78.296	2,270.61	101.690	2,949.01	678.40	ST
Security total		139,000	78.851	10,960.32		14,134.91	3,174.59	

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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

Account name: HARRY DENT FAMILY FOUNDATION
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716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ANHUI CONCH CEM CO LTD ADR Symbol ANCHY Exchange OTC EAI \$81 Current yield 1.88%	Jul 27, 16	312,000	13.408	4,183.58	13.805	4,307.16	123.58	ST
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR Symbol BIDU Exchange OTC	May 4, 15	5,000	206.022	1,030.11	176.860	884.30	-145.81	LT
	May 22, 15	10,000	203.163	2,031.63	176.860	1,768.60	-263.03	LT
	Nov 16, 15	10,000	199.905	1,999.05	176.860	1,768.60	-230.45	ST
	Mar 18, 16	13,000	187.029	2,431.38	176.860	2,299.18	-132.20	ST
	May 19, 16	16,000	166.672	2,666.76	176.860	2,829.76	163.00	ST
Security total		54,000	188.128	10,158.93		9,550.44	-608.49	
BANCO DE CHILE ECH REPSTG 600 SHS OF COM STK ADR Symbol BCH Exchange NYSE EAI \$120 Current yield 3.31%	Oct 27, 15	50,000	62.788	3,139.41	72.480	3,624.00	484.59	LT
BANCO LATINOAMERICANO DE COMERCIO EXTERIOR, S A ORD Symbol BLX Exchange NYSE EAI \$420 Current yield 5.70%	Oct 27, 15	273,000	26.701	7,289.54	26.970	7,362.81	73.27	LT
BB SEGURIDADE PARTICIPACOES SPON ADR Symbol BBSEY Exchange OTC EAI \$166 Current yield 4.10%	Sep 22, 16	406,000	9.558	3,880.56	9.965	4,045.79	165.23	ST
BIDVEST GROUP LTD NEW SPON ADR Symbol BDVSY Exchange OTC EAI \$166 Current yield 3.22%	Jun 10, 13	62,000	14.112	874.98	25.030	1,551.86	676.88	LT
	Aug 10, 16	78,000	25.395	1,980.87	25.030	1,952.34	-28.53	ST
	Sep 30, 16	66,000	23.513	1,551.88	25.030	1,651.98	100.10	ST
Security total		206,000	21.397	4,407.73		5,156.18	748.45	
BRF SA SPON ADR Symbol BRFS Exchange NYSE EAI \$95 Current yield 1.89%	Oct 27, 15	184,000	17.526	3,224.86	16.720	3,076.48	-148.38	LT
	Jul 26, 16	50,000	15.698	784.94	16.720	836.00	51.06	ST
	Aug 9, 16	67,000	17.108	1,146.29	16.720	1,120.24	-26.05	ST

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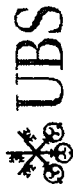
HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

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ATTACHMENT F

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716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22526 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		301 000	17.130	5,156.09		5,032.72	-123.37	
CHINA BIOLOGIC PRODUCTS INC								
Symbol CBPO Exchange OTC	Dec 15, 15	54,000	126.874	6,851.22	118.110	6,377.94	-473.28	ST
	Mar 17, 16	15,000	111.355	1,670.33	118.110	1,771.65	101.32	ST
Security total		69,000	123.501	8,521.55		8,149.59	-371.96	
CHINA LIFE INSURANCE CO LTD								
ADS SPON ADR								
Symbol LFC Exchange NYSE	Oct 27, 15	165,000	19.620	3,237.30	12.350	2,037.75	-1,199.55	LT
EAI \$94 Current yield 2.17%	May 19, 16	185,000	10.465	1,936.03	12.350	2,284.75	348.72	ST
Security total		350,000	14.781	5,173.33		4,322.50	-850.83	
CHINA PETROLEUM & CHEMICAL CORP SPON ADR								
Symbol SNP Exchange NYSE	Oct 27, 15	13,000	72.850	947.05	72.420	941.46	-5.59	LT
EAI \$229 Current yield 2.57%	Nov 16, 15	24,000	65.850	1,580.41	72.420	1,738.08	157.67	ST
	Mar 18, 16	86,000	63.915	5,496.72	72.420	6,228.12	731.40	ST
Security total		123,000	65.237	8,024.18		8,907.66	883.48	
CHINA UNICOM HONG KONG LTD ADR								
Symbol CHU Exchange NYSE	Oct 27, 15	521,000	12.525	6,525.58	11.720	6,106.12	-419.46	LT
EAI \$122 Current yield 2.00%								
CIELO S A SPON ADR								
Symbol CIOXY Exchange OTC	Oct 24, 16	362,000	10.538	3,814.83	10.190	3,688.78	-126.05	ST
EAI \$43 Current yield 1.17%								
CREDICORP LTD								
Symbol BAP Exchange NYSE	Oct 27, 15	26,000	113.860	2,960.36	148.680	3,865.68	905.32	LT
EAI \$60 Current yield 1.55%								
DR REDDY'S LABS LTD ADR								
Symbol RDY Exchange NYSE	Oct 27, 15	119,000	65.158	7,753.91	49.290	5,865.51	-1,888.40	LT
EAI \$50 Current yield 0.56%	Mar 17, 16	62,000	46.225	2,865.99	49.290	3,055.98	189.99	ST
		181,000	58.673	10,619.90		8,921.49	-1,698.41	
Security total								

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
ECOPETROL SA SPON ADR								
Symbol EC Exchange NYSE	Feb 18, 16	521,000	7 040	3,667 94	8 690	4,527.49	859 55	ST
GRUPO FINANCIERO BANORTE SPON ADR								
Symbol GBOOY Exchange OTC								
EAI \$250 Current yield 2.46%	Oct 27, 15	346 000	26 950	9,324 70	29 430	10,182 78	858.08	LT
GUANGDONG INVT LTD ADR								
Symbol GGDVY Exchange OTC								
EAI \$311 Current yield 2.91%	Oct 27, 15	46,000	72.850	3,351.10	76.220	3,506.12	155 02	LT
	Nov 17, 15	94,000	68.947	6,481.06	76 220	7,164.68	683.62	ST
Security total		140 000	70 230	9,832.16		10,670 80	838 64	
HIMAX TECHNOLOGIES INC ADS								
REPSTG ORD SHS SPON ADR								
Symbol HIMX Exchange OTC								
EAI \$65 Current yield 1.54%	Sep 22, 16	359,000	10 696	3,840.01	7 850	2,818 15	-1,021 86	ST
	Oct 3, 16	179,000	8.674	1,552 67	7 850	1,405 15	-147.52	ST
Security total		538,000	10 024	5,392 68		4,223 30	-1,169.38	
HOLLYSYS AUTOMATION TECHNOLOGI								
Symbol HOLI Exchange OTC								
EAI \$52 Current yield 1.00%	Oct 27, 15	261,000	20.204	5,273.40	19 910	5,196.51	-76.89	LT
ICICI BANK LTD SPON ADR								
Symbol IBN Exchange NYSE								
EAI \$64 Current yield 1.80%	Oct 3, 16	428,000	7 567	3,239.10	8 290	3,548 12	309.02	ST
INFOSYS LTD SPON ADR								
Symbol INFY Exchange OTC								
EAI \$243 Current yield 2.20%	Oct 27, 15	724,000	18 420	13,336.08	15 260	11,048.24	-2,287.84	LT
ITALI UNIBANCO HLDG SA ADR								
Symbol ITUB Exchange NYSE								
EAI \$31 Current yield 0.46%	Oct 27, 15	508 100	6 729	3,419 46	11 930	6,061 63	2,642 17	LT
	Oct 18, 16	50,900	11.407	580.64	11,930	607.24	26 60	ST
Security total		559 000	7 156	4,000.10		6,668 87	2,668.77	
KASIKORN BANK PC UNSPONSORED ADR								
Symbol KPCPY Exchange OTC								
EAI \$82 Current yield 1.86%	May 4, 15	15 000	25 392	380.89	19 450	291.75	-89.14	LT

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October 2016

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22526 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Aug 19, 15	19 000	19 548	371.42	19 450	369 55	-1 87	LT
	Oct 27, 15	107 000	19 940	2,133.58	19 450	2,081.15	-52 43	LT
	Jul 27, 16	86 000	21 310	1,832.72	19 450	1,672.70	-160.02	ST
		227 000	20 787	4,718.61		4,415.15	-303.46	
KOC HOLDINGS ADR								
Symbol KHOLY Exchange OTC								
EAI \$78 Current yield 1 85%								
Security total	Jun 10, 13	76 000	25 409	1,931.15	20 730	1,575.48	-355 67	LT
	Oct 8, 13	48 000	24 430	1,172.64	20 730	995 04	-177 60	LT
	May 4, 15	9 000	22 820	205.38	20 730	186.57	-18.81	LT
	Aug 10, 16	70 000	22 399	1,567.98	20 730	1,451.10	-116 88	ST
Security total		203 000	24 025	4,877.15		4,208.19	-668.96	
KOREA ELECTRIC POWER CRP								
SPONSORED ADR								
SHS								
Symbol KEP Exchange NYSE								
EAI \$650 Current yield 6 16%								
Security total	Oct 27, 15	484 000	23 313	11,283.68	21 810	10,556.04	-727 64	LT
LG. DISPLAY CO LTD ADS ADR								
Symbol LPL Exchange NYSE								
EAI \$99 Current yield 1 23%								
Security total	Oct 27, 15	669 000	9 495	6,352.69	12 010	8,034.69	1,682 00	LT
NETEASE INC SPON ADR								
Symbol NTES Exchange OTC								
EAI \$119 Current yield 1 05%								
Security total	Jun 10, 13	17 000	63 982	1,087.71	256 990	4,368.83	3,281 12	LT
	Apr 24, 14	17 000	72 908	1,239 45	256 990	4,368 83	3,129 38	LT
	Nov 16, 15	10 000	146 947	1,469 47	256 990	2,569 90	1,100 43	ST
		44 000	86 287	3,796.63		11,307 56	7,510 93	
P T. TELEKOMUNIKASI INDONESIA								
SPON ADR								
Symbol TLK Exchange NYSE								
EAI \$109 Current yield 1 47%								
Security total	Jun 10, 13	48 000	21 395	1,026.97	32 490	1,559 52	532 55	LT
	Jan 14, 14	38 000	18 168	690 40	32 490	1,234 62	544 22	LT
	May 19, 15	58 000	21 540	1,249 33	32 490	1,884 42	635 09	LT
	Sep 22, 16	84 000	32 200	2,704 80	32 490	2,729 16	24 36	ST
Security total		228 000	24 875	5,671 50		7,407 72	1,736 22	
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HARRY DENT FAMILY FOUNDATION, INC.

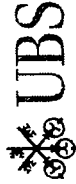
EIN: 16-0849923

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22526 A6Your Financial Advisor:
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716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
PING AN INSURANCE (GROUP) CO OF CHINA LTD REPSTG 20 H SHS SPON ADR								
Symbol PNGAY Exchange OTC								
EAI \$132 Current yield 1.25%	Oct 27, 15	734 000	11.460	8,411.64	10 605	7,784.07	-627 57	LT
	Nov 17, 15	265 000	11.531	3,055 87	10 605	2,810 32	-245.55	ST
Security total		999 000	11.479	11,467.51		10,594.39	-873.12	
SANLAM LTD SPON ADR								
Symbol SLLDY Exchange OTC								
EAI \$99 Current yield 2.71%	Oct 27, 15	375 000	9 114	3,842.27 ²	9 730	3,648 75	-193 52	LT
SASOL LTD SPON ADR								
Symbol SSL Exchange NYSE								
EAI \$274 Current yield 3.10%	Feb 18, 16	161 000	28.549	4,596.51	27.510	4,429.11	-167.40	ST
	Mar 18, 16	97 000	31 280	3,034.16	27.510	2,668.47	-365.69	ST
	Oct 3, 16	63 000	27.443	1,749.89 ²	27 510	1,733.13	-16.76	ST
Security total		321 000	29.223	9,380 56		8,830.71	-549.85	
SK TELECOM CO LTD ADR								
Symbol SKM Exchange NYSE								
EAI \$306 Current yield 3 29%	Oct 27, 15	426 000	24 523	10,446.97	21 850	9,308 10	-1,138 87	LT
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol TSM Exchange NYSE								
EAI \$387 Current yield 2.42%	Oct 8, 10	493 000	10 318	5,087.26	31 100	15,332 30	10,245 04	LT
	Aug 18, 14	22 000	20.454	449.99	31 100	684 20	234.21	LT
Security total		515 000	10 752	5,537 25		16,016 50	10,479 25	
TATA MOTORS LTD SPON ADR								
Symbol TTM Exchange NYSE								
EAI \$3 Current yield 0.03%	Oct 27, 15	251 000	29 089	7,301.56	39 410	9,891.91	2,590 35	LT
TECHTRONIC INDS SPON ADR HONG KONG								
Symbol TTNDY Exchange OTC								
EAI \$149 Current yield 1 33%	Oct 27, 15	257 000	18 324	4,709.52	18 475	4,748 07	38 55	LT
	Nov 17, 15	306 000	19.724	6,035.79	18 475	5,653 35	-382 44	ST
	Nov 30, 15	44 000	20.508	902 39	18.475	812.90	-89.49	ST

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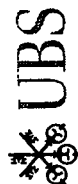
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		607 000	19.189	11,647.70		11,214.32	-433.38	
TENAGA NASIONAL BERHAD SPON ADR								
Symbol TNABY Exchange OTC								
EAI \$114 Current yield 1.70%	Oct 27, 15	477 000	12.174	5,807.09	14.050	6,701.85	894.76	LT
TENCENT HLDGS LTD ADR								
Symbol TCEHY Exchange OTC								
EAI \$28 Current yield 0.20%	Oct 27, 15	454 000	19.189	8,711.90	26.530	12,044.62	3,332.72	LT
	Nov 16, 15	81,000	19.598	1,587.49	26.530	2,148.93	561.44	ST
Security total		535 000	19.251	10,299.39		14,193.55	3,894.16	
TURKIYE GARANTI BK SPON ADR								
Symbol TKGBY Exchange OTC								
EAI \$69 Current yield 1.30%	Sep 21, 16	1,408,000	2.829	3,983.51	2.755	3,879.04	-104.47	ST
	Sep 30, 16	520 000	2.691	1,399.32	2.755	1,432.60	33.28	ST
Security total		1,928 000	2.792	5,382.83		5,311.64	-71.19	
ULTRAPAR PARTICIPACOES SA SPON ADR								
Symbol UGP Exchange NYSE								
EAI \$77 Current yield 2.04%	Oct 27, 15	167,000	17.730	2,960.91	22.600	3,774.20	813.29	LT
Total				\$278,782.64		\$308,250.85	\$29,468.21	
Total estimated annual income: \$5,566								

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Your assets

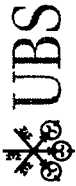
Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *important information about your statement* at the end of this document for more information.

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct. 31 (\$)	Value on Oct. 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACADIA HEALTHCARE CO INC Symbol ACHC Exchange OTC	May 27, 16	47 000	57 879	2,720 32	35 960	1,690 12	-1,030 20	ST
	Jun 8, 16	16 000	62 837	1,005 40	35 960	575 36	-430 04	ST
	Jun 24, 16	22 000	57 378	1,262 32	35 960	791 12	-471 20	ST
	Jul 12, 16	18 000	56 383	1,014 90	35 960	647 28	-367 62	ST
	Sep 16, 16	20 000	49 840	1,030.46 ²	35 960	719 20	-311 26	ST
Security total		123 000	57 182	7,033 40		4,423 08	-2,610 32	
AFFILIATED MANAGERS GROUP Symbol AMG Exchange NYSE	Feb 12, 16	16 000	122 613	1,961 81	132 660	2,122 56	160 75	ST
	Feb 23, 16	6 000	133 656	801 94	132 660	795 96	-5 98	ST
	Mar 4, 16	6 000	153 328	919 97	132 660	795 96	-124 01	ST

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
AKORN INC								
Symbol AKRX Exchange OTC								
	Jun 7, 16	7 000	172 435	1,207 05	132 660	928 62	-278 43	ST
	Jul 12, 16	8 000	143 940	1,151 52	132 660	1,061 28	-90 24	ST
Security total		43 000	140 518	6,042 29		5,704 38	-337 91	
ARCH CAPITAL GROUP LTD (BERMUDA)								
Symbol ACGI Exchange OTC								
	Nov 25, 14	74 000	37 950	2,808 32	23 950	1,772 30	-1,036 02	LT
	Dec 4, 14	28 000	39 586	1,108 41	23 950	670 60	-437 81	LT
	Dec 8, 14	28 000	40 334	1,129 37	23 950	670 60	-458 77	LT
	Mar 3, 15	25 000	49 193	1,229 84	23 950	598 75	-631 09	LT
	Jan 14, 16	13 000	30 050	390 66	23 950	311 35	-79 31	ST
	Jan 15, 16	24 000	29 422	706 15	23 950	574 80	-131 35	ST
	Aug 8, 16	32 000	30 672	981 52	23 950	766 40	-215 12	ST
Security total		224 000	37 296	8,354 27		5,364 80	-2,989 47	
BANK UNITED INC								
Symbol BKU Exchange NYSE								
EAI \$168 Current yield 2.88%								
	Jun 13, 12	5 000	37 804	189 02	77 970	389 85	200 83	LT
	Jun 19, 12	20 000	37 228	744 56	77 970	1,559 40	814 84	LT
	Mar 23, 15	23 000	62 896	1,446 62	77 970	1,793 31	346 69	LT
	Oct 30, 15	3 000	74 890	224 67	77 970	233 91	9 24	LT
	Jan 6, 16	15 000	68 950	1,034 26	77 970	1,169 55	135 29	ST
Security total		66 000	55 138	3,639 13		5,146 02	1,506 89	
BERRY PLASTICS GROUP INC								
Symbol BERY Exchange NYSE								
	Jun 5, 15	61 000	34 665	2,114 62	29 140	1,777 54	-337 08	LT
	Jun 19, 15	41 000	35 625	1,460 64	29 140	1,194 74	-265 90	LT
	Jun 25, 15	32 000	36 389	1,164 45	29 140	932 48	-231 97	LT
	Jul 24, 15	34 000	36 527	1,241 92	29 140	990 76	-251 16	LT
	Oct 30, 15	2 000	37 260	74 52	29 140	58 28	-16 24	LT
	Jun 30, 16	30 000	30 004	900 12	29 140	874 20	-25 92	ST
Security total		200 000	34 781	6,956 27		5,828 00	-1,128 27	
BERRY PLASTICS GROUP INC								
Symbol BERY Exchange NYSE								
	May 15, 15	33 000	34 086	1,124 85	43 750	1,443 75	318 90	LT
	May 27, 15	35 000	34 480	1,206 82	43 750	1,531 25	324 43	LT
	Jun 5, 15	37 000	33 188	1,227 96	43 750	1,618 75	390 79	LT

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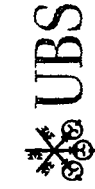
HARRY DENT FAMILY FOUNDATION, INC.

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Aug 3, 15	39 000	29 570	1,153 26	43 750	1,706 25	552 99	LT
		144 000	32 728	4,712 89		6,300 00	1,587 11	
BNC BANCORP (NC)								
Symbol BNCN Exchange OTC	Dec 15, 15	97 000	25 364	2,460 32	24 900	2,415 30	-45 02	ST
EAI \$69 Current yield 0 80%	Dec 28, 15	23 000	25 644	589 83	24 900	572 70	-17 13	ST
	Jan 6, 16	40 000	24 801	992 07	24 900	996 00	3 93	ST
	Jan 28, 16	35 000	22 216	777 57	24 900	871 50	93 93	ST
	May 25, 16	47 000	23 608	1,109 61	24 900	1,170 30	60 69	ST
	Jul 14, 16	45 000	23 730	1,067 85	24 900	1,120 50	52 65	ST
	Jul 21, 16	59 000	23 123	1,364 27	24 900	1,469 10	104 83	ST
Security total		346 000	24 166	8,361 52		8,615 40	253 88	
BOYD GAMING CORP								
Symbol BYD Exchange NYSE	Jan 23, 14	179 000	10 590	1,895 72	17 860	3,196 94	1,301 22	LT
BRUNSWICK CORP								
Symbol BC Exchange NYSE	Aug 5, 15	24 000	53 209	1,277 02	43 500	1,044 00	-233 02	LT
EAI \$71 Current yield 1 51%	Aug 11, 15	22 000	53 404	1,174 89	43 500	957 00	-217 89	LT
	Aug 25, 15	22 000	48 249	1,061 49	43 500	957 00	-104 49	LT
	Nov 13, 15	27 000	52 965	1,430 07	43 500	1,174 50	-255 57	ST
	Jun 8, 16	13 000	48 400	629 20	43 500	565 50	-63 70	ST
Security total		108 000	51 599	5,572 67		4,698 00	-874 67	
BURLINGTON STORES INC								
Symbol BURL Exchange NYSE	May 1, 14	20 000	27 367	547 34	74 940	1,498 80	951 46	LT
	Aug 13, 14	33 000	32 910	1,086 05	74 940	2,473 02	1,386 97	LT
	Nov 13, 15	25 000	41 434	1,035 87	74 940	1,873 50	837 63	ST
Security total		78 000	34 221	2,669 26		5,845 32	3,176 06	
CALATLANTIC GROUP INC								
Symbol CAA Exchange NYSE	Jul 17, 13	69 842	40 481	2,827 27	32 320	2,257 28	-569 99	LT
EAI \$32 Current yield 0 50%	Jul 30, 13	31 592	38 320	1,210 64	32 320	1,021 06	-189 58	LT
	Nov 7, 13	25 478	38 855	989 94	32 320	823 43	-166 51	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Nov 7, 13	2 038	41 934	85.47	32 320	65 87	-19 60	LT
	Oct 28, 14	28 535	36 243	1,034 20	32 320	922 24	-111 96	LT
	Jun 19, 15	27 516	45 528	1,252 74	32 320	889 31	-363 43	LT
	Sep 9, 16	15 000	35 479	550 20 ²	32 320	484 80	-65 40	
Security total		200 000	39 752	7,950 46		6,464 00	-1,486 47	
CARUSLE COS INC								
Symbol CSL Exchange NYSE								
EAI \$53 Current yield 1 33%	Oct 15, 13	13 000	70 747	919 72	104 850	1,363 05	443 33	LT
	Nov 7, 13	13 000	73 557	956 25	104 850	1,363 05	406 80	LT
	Nov 24, 15	12 000	88 401	1,060 82	104 850	1,258 20	197 38	ST
Security total		38 000	77 284	2,936 79		3,984 30	1,047 51	
CARRIZO OIL & GAS INC								
Symbol CRZO Exchange OTC	Jun 13, 16	76 000	38 357	2,915 17	33 830	2,571 08	-344 09	ST
	Jul 6, 16	27 000	35 728	964 66	33 830	913 41	-51 25	ST
	Sep 22, 16	31 000	35 973	1,122 78 ²	33 830	1,048 73	-74 05	ST
	Sep 26, 16	28 000	35 391	990 97	33 830	947 24	-43 73	ST
	Oct 25, 16	21 000	38 007	798 15	33 830	710 43	-87 72	ST
Security total		183 000	37 113	6,791 73		6,190 89	-600 84	
CAVIUM INC COM								
Symbol CAVM Exchange OTC	Apr 30, 13	12 000	30 744	368.93	56 450	677 40	308 47	LT
	Jun 18, 13	29 000	35 434	1,027 60	56 450	1,637 05	609 45	LT
	May 9, 16	22 000	47 856	1,052 84	56 450	1,241 90	189 06	ST
	Jun 28, 16	21 000	37 732	792 38	56 450	1,185 45	393 07	ST
	Jul 28, 16	21 000	47 758	1,002 93	56 450	1,185 45	182 52	ST
Security total		105 000	40 426	4,244 68		5,927 25	1,682 57	
COLUMBIA PPTY TR INC REIT								
Symbol CXP Exchange NYSE	May 7, 15	64 000	26 586	1,701 56	21 080	1,349 12	-352 44	LT
EAI \$245 Current yield 5 70%	May 8, 15	84 000	27 180	2,283 20	21 080	1,770 72	-512 48	LT
	Aug 3, 15	48 000	24 149	1,159 18	21 080	1,011 84	-147 34	LT
	Oct 30, 15	8 000	25 020	200 16	21 080	168 64	-31 52	LT
Security total		204 000	26 197	5,344 10		4,300 32	-1,043 78	

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DEPOMED INC								
Symbol DEPO Exchange OTC	Feb 17, 15	44 000	18 950	833 84	22 360	983 84	150 00	LT
	Feb 23, 15	58 000	20 243	1,174 15	22 360	1,296 88	122 73	LT
	Mar 27, 15	85 000	22 529	1,915 04	22 360	1,900 60	-14 44	LT
	May 12, 15	49 000	20 473	1,003 21	22 360	1,095 64	92 43	LT
	Oct 30, 15	7 000	17 550	122 85	22 360	156 52	33 67	LT
	Nov 19, 15	53 000	20 100	1,065 34	22 360	1,185 08	119 74	ST
Security total		296 000	20 657	6,114 43		6,618 56	504 13	
ELLIE MAE INC								
Symbol ELLI Exchange NYSE	Nov 18, 15	14 000	61 390	859 46	105 890	1,482 46	623 00	ST
	Nov 19, 15	36 000	62 244	2,240 79	105 890	3,812 04	1,571 25	ST
	May 9, 16	10 000	79 531	795 31	105 890	1,058 90	263 59	ST
	Aug 4, 16	6 000	95 800	574 80	105 890	635 34	60 54	ST
Security total		66 000	67 733	4,470 36		6,988 74	2,518 38	
ENVISION HEALTHCARE HLDGS INC								
Symbol EVHC Exchange NYSE	Feb 22, 16	100 000	22 009	2,200 99	19 780	1,978 00	-222 99	ST
	Mar 2, 16	39 000	22 992	896 70	19 780	771 42	-125 28	ST
	Mar 16, 16	44 000	20 432	899 05	19 780	870 32	-28 73	ST
	Aug 3, 16	42 000	23 194	974 17	19 780	830 76	-143 41	ST
Security total		225 000	22 093	4,970 91		4,450 50	-520 41	
EW SCRIPPS CO CL A								
Symbol SSP Exchange NYSE	May 4, 15	83 000	24 150	2,004 53	13 260	1,100 58	-903 95	LT
	May 21, 15	62 000	22 097	1,370 05	13 260	822 12	-547 93	LT
	Jun 10, 15	50 000	24 403	1,220 15	13 260	663 00	-557 15	LT
	Oct 30, 15	45 000	21 930	986 85	13 260	596 70	-390 15	LT
	Nov 18, 15	61 000	20 286	1,237 50	13 260	808 86	-428 64	ST
	Dec 16, 15	48 000	19 756	948 30	13 260	636 48	-311 82	ST
Security total		349 000	22 256	7,767 38		4,627 74	-3,139 64	
FAIR ISAAC CORP								
Symbol FICO Exchange NYSE	Nov 18, 15	21 000	90 249	1,895 24	120 680	2,534 28	639 04	ST
EAI \$3 Current yield 0.07%	Nov 19, 15	14 000	90 290	1,264 06	120 680	1,689 52	425 46	ST

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Security total		35 000	90 266	3,159 30		4,223 80	1,064 50	
FELCOR LODGING TRUST INC								
Symbol FCH Exchange NYSE								
EAI \$176 Current yield 3 75%	Dec 16, 15	324 000	7 476	2,422 35	6 390	2,070 36	-351 99	ST
	Dec 28, 15	134 000	7 470	1,001 02	6 390	856 26	-144 76	ST
	Jan 7, 16	168 000	6 647	1,116 86	6 390	1,073 52	-43 34	ST
	Jan 28, 16	109 000	6 789	742 89 ²	6 390	696 51	-46 38	ST
Security total		735 000	7 188	5,283 12		4,696 65	-586 47	
FIDELITY NATIONAL FINANCIAL IN								
COM								
Symbol FNF Exchange NYSE	Oct 28, 16	27 000	35 750	965 25	35 910	969 57	4 32	
EAI \$94 Current yield 2 34%	Sep 21, 16	81 000	36 990	2,996 20	35 910	2,908 71	-87 49	ST
	Oct 5, 16	31 000	36 741	1,139 00	35 910	1,113 21	-25 79	ST
Security total		139 000	36 921	5,100 45		4,991 49	-108 96	
FIESTA RESTAURANT GROUP INC								
Symbol FRGI Exchange OTC	Nov 13, 15	80 000	34 009	2,720 72	26 400	2,112 00	-608 72	ST
	Nov 16, 15	48 000	33 300	1,598 42	26 400	1,267 20	-331 22	ST
	Nov 23, 15	26 000	37 132	965 45	26 400	686 40	-279 05	ST
Security total		154 000	34 316	5,284 59		4,065 60	-1,218 99	
GAMING & LEISURE PTYS INC								
REIT								
Symbol GLPI Exchange OTC	Aug 7, 13	27 300	25 253	689 43	32 830	896 26	206 83	LT
EAI \$374 Current yield 7 30%	Aug 16, 13	40 800	25 961	1,059 23	32 830	1,339 46	280 23	LT
	Dec 24, 13	28 900	30 551	882 94	32 830	948 79	65 85	LT
	May 19, 16	29 000	31 877	924 44	32 830	952 07	27 63	ST
	Oct 12, 16	30 000	32 422	972 66	32 830	984 90	12 24	ST
Security total		156 000	29 030	4,528 70		5,121 48	592 78	
GENESSEE & WYO INC CL A								
Symbol GWR Exchange NYSE	Apr 1, 13	13 000	91 445	1,188 79	67 940	883 22	-305 57	LT
	Apr 11, 13	11 000	87 825	966 08	67 940	747 34	-218 74	LT
	May 17, 13	11 000	93 000	1,023 00	67 940	747 34	-275 66	LT
	Nov 14, 13	10 000	96 205	962 05	67 940	679 40	-282 65	LT

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HARRY DENT FAMILY FOUNDATION, INC.
EIN: 16-0849923

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ATTACHMENT G

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Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22525 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Nov 4, 15	17 000	69 320	1,202 50 ²	67 940	1,154 98	-47 52	
	Apr 22, 16	14 000	65 902	922 63	67 940	951 16	28 53	ST
	Jun 24, 16	19 000	58 983	1,120 68	67 940	1,290 86	170 18	ST
Security total		95 000	77 745	7,385 73		6,454 30	-931 43	
GODADDY INC CL A Symbol GDDY Exchange NYSE	Oct 30, 15	154 000	27 460	4,228 84	35 790	5,511 66	1,282 82	LT
	Nov 12, 15	42 000	33 083	1,389 50	35 790	1,503 18	113 68	ST
	Apr 7, 16	30 000	31 644	949 32	35 790	1,073 70	124 38	ST
Security total		226 000	29 060	6,567 66		8,088 54	1,520 88	
GRAY TELEVISION INC Symbol GTN Exchange NYSE	Jan 13, 16	163 000	13 965	2,276 33	8 900	1,450 70	-825 63	ST
	Feb 1, 16	88 000	13 430	1,181 84	8 900	783 20	-398 64	ST
	Mar 2, 16	68 000	12 101	822 92	8 900	605 20	-217 72	ST
	Apr 19, 16	153 000	12 385	1,895 00	8 900	1,361 70	-533 30	ST
Security total		472 000	13 085	6,176 09		4,200 80	-1,975 29	
GULFPORT ENERGY CORP NEW Symbol GPOR Exchange OTC	Dec 19, 12	16 000	37 851	605 62	24 110	385 76	-219 86	LT
	Dec 21, 12	32 000	37 599	1,203 19	24 110	771 52	-431 67	LT
	Jan 4, 13	22 000	39 929	878 44	24 110	530 42	-348 02	LT
	Feb 12, 13	13 000	39 214	509 79	24 110	313 43	-196 36	LT
	Jul 25, 13	21 000	52 003	1,092 08	24 110	506 31	-585 77	LT
	Nov 6, 13	18 000	59 621	1,073 19	24 110	433 98	-639 21	LT
	Aug 8, 14	19 000	55 003	1,045 07	24 110	458 09	-586 98	LT
	Apr 16, 15	9 000	48 857	439 72	24 110	216 99	-222 73	LT
	Apr 28, 15	7 000	47 980	335 86	24 110	168 77	-167 09	LT
	Jun 10, 15	20 000	44 205	884 11	24 110	482 20	-401 91	LT
	Mar 11, 16	44 000	26 227	1,154 01	24 110	1,060 84	-93 17	ST
Security total		221 000	41 724	9,221 08		5,328 31	-3,892 77	
HD SUPPLY HLDGS INC Symbol HDS Exchange OTC	Feb 12, 15	79 000	28 474	2,249 51	33 000	2,607 00	357 49	LT
	Feb 26, 15	39 000	29 987	1,169 51	33 000	1,287 00	117 49	LT
	Mar 11, 15	39 000	29 130	1,136 08	33 000	1,287 00	150 92	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 23, 15	41 000	29 362	1,203 87	33 000	1,353 00	149 13	LT
HEADWATERS INC		198 000	29 086	5,758 97		6,534 00	775 03	
Symbol HW Exchange NYSE	Oct 28, 16	64 000	16 406	1,050 01	16 400	1,049 60	-0 41	
ICON PLC EUR	Oct 11, 16	180 000	17 031	3,065 69	16 400	2,952 00	-113 69	ST
Symbol ICLR Exchange OTC								
EUR Exchange rate 0 91224	Aug 30, 11	27 000	20 908	564 53	80 280	2,167 56	1,603 03	LT
	May 19, 14	25 000	42 812	1,070 32	80 280	2,007 00	936 68	LT
	Oct 30, 15	16 000	63 940	1,023 04	80 280	1,284 48	261 44	LT
Security total		68 000	39 087	2,657 89		5,459 04	2,801 15	
IMPAX LABORATORIES INC								
Symbol IPXL Exchange OTC	May 27, 14	69 000	28 070	1,936 86	20 100	1,386 90	-549 96	LT
	Jul 18, 14	81 000	28 779	2,331 16	20 100	1,628 10	-703 06	LT
	Jul 12, 16	30 000	31 163	934 89	20 100	603 00	-331 89	ST
Security total		180 000	28 905	5,202 91		3,618 00	-1,584 91	
JACK IN THE BOX INC								
Symbol JACK Exchange OTC	Dec 17, 15	33 000	76 399	2,521 19	93 730	3,093 09	571 90	ST
EAI \$71 Current yield 1 28%	Dec 28, 15	26 000	77 432	2,013 25	93 730	2,436 98	423 73	ST
Security total		59 000	76 855	4,534 44		5,530 07	995 63	
LA QUINTA HLDGS INC								
Symbol LQ Exchange NYSE	Dec 8, 14	42 000	22 128	929 40	10 010	420 42	-508 98	LT
	Dec 16, 14	48 000	21 512	1,032 60	10 010	480 48	-552 12	LT
	Mar 25, 15	23 000	24 165	555 81	10 010	230 23	-325 58	LT
	Aug 14, 15	60 000	20 202	1,212 12	10 010	600 60	-611 52	LT
	Aug 25, 15	53 000	18 520	981 60	10 010	530 53	-451 07	LT
	Jul 20, 16	97 000	12 480	1,210 56	10 010	970 97	-239 59	ST
Security total		323 000	18 335	5,922 09		3,233 23	-2,688 86	
LIONS GATE ENTERTAINMENT CORP								
NEW								
Symbol LGF Exchange NYSE	Dec 20, 13	28 000	31 308	876 63	20 360	570 08	-306 55	LT
	Dec 30, 13	40 000	31 841	1,273 64	20 360	814 40	-459 24	LT
	Jan 16, 14	73 000	30 791	2,247 76	20 360	1,486 28	-761 48	LT

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Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22525 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Aug 25, 15	28 000	36 645	1,026 08	20 360	570 08	-456 00	LT
	Oct 30, 15	2 000	38 980	77 96	20 360	40 72	-37 24	LT
	Nov 13, 15	20 000	40 121	802 43	20 360	407 20	-395 23	ST
Security total		191 000	33 008	6,304 50		3,888 76	-2,415 74	
LIVE NATION ENTERTAINMENT INC								
Symbol LVV Exchange OTC	Aug 30, 16	115 000	26 712	3,071 91	27 670	3,182 05	110 14	ST
	Sep 1, 16	38 000	26 822	1,019 24	27 670	1,051 46	32 22	ST
	Oct 5, 16	38 000	28 157	1,069 99	27 670	1,051 46	-18 53	ST
Security total		191 000	27 022	5,161 14		5,284 97	123 83	
MATCH GROUP INC								
Symbol MTCH Exchange OTC	Nov 19, 15	317 000	13 191	4,181 67	18 060	5,725 02	1,543 35	ST
	Dec 29, 15	72 000	13 666	983 97	18 060	1,300 32	316 35	ST
	Feb 3, 16	87 000	10 351	900 60	18 060	1,571 22	670 62	ST
Security total		476 000	12 744	6,066 24		8,596 56	2,530 32	
MEDIA GEN INC NEW								
Symbol MEG Exchange NYSE	Mar 23, 15	42 000	16 780	704 80	16 850	707 70	2 90	LT
	Mar 31, 15	36 000	16 363	589 10	16 850	606 60	17 50	LT
	Apr 1, 15	30 000	16 908	507 24	16 850	505 50	-1 74	LT
Security total		108 000	16 677	1,801 14		1,819 80	18 66	
MERCURY SYSTEMS INC								
Symbol MRCY Exchange OTC	Apr 8, 16	135 000	20 065	2,708 80	27 780	3,750 30	1,041 50	ST
	May 3, 16	50 000	20 941	1,047 09	27 780	1,389 00	341 91	ST
	Sep 30, 16	41 000	24 247	994 14	27 780	1,138 98	144 84	ST
Security total		226 000	21 018	4,750 03		6,278 28	1,528 25	
MGM GROWTH PROPERTIES LLC CL A REIT								
Symbol MGP Exchange NYSE	Aug 16, 16	115 000	26 163	3,008 75	26 320	3,026 80	18 05	ST
EAI \$313 Current yield 5.89%	Sep 1, 16	42 000	25 289	1,062 16	26 320	1,105 44	43 28	ST
	Sep 19, 16	45 000	25 551	1,149 80	26 320	1,184 40	34 60	ST
Security total		202 000	25 845	5,220 71		5,316 64	95 93	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
MOBILE MINI INC								
Symbol MINI Exchange OTC								
EAI \$183 Current yield 3 25%								
	Mar 27, 15	61 000	42 279	2,579 07	25 350	1,546 35	-1,032 72	LT
	Mar 30, 15	13 000	43 515	565 70	25 350	329 55	-236 15	LT
	Apr 6, 15	28 000	43 002	1,204 07	25 350	709 80	-494 27	LT
	Apr 10, 15	5 000	43 482	217 41	25 350	126 75	-90 66	LT
	May 27, 15	29 000	40 962	1,187 92	25 350	735 15	-452 77	LT
	Jul 24, 15	31 000	35 447	1,098 86	25 350	785 85	-313 01	LT
	Oct 30, 15	26 000	34 290	891 54	25 350	659 10	-232 44	LT
	Apr 28, 16	29 000	33 343	966 96	25 350	735 15	-231 81	ST
Security total		222 000	39 241	8,711 53		5,627 70	-3,083 83	
NEXSTAR BROADCASTING GROUP INC								
CL A								
Symbol NXST Exchange OTC								
EAI \$116 Current yield 1 96%								
	May 23, 13	10 000	28 098	280 98	48 800	488 00	207 02	LT
	Jun 6, 13	39 000	27 988	1,091 54	48 800	1,903 20	811 66	LT
	Jun 13, 13	72 000	29 316	2,110 80	48 800	3,513 60	1,402 80	LT
Security total		121 000	28 788	3,483 32		5,904 80	2,421 48	
NICE LTD SPON ADR								
Symbol NICE Exchange OTC								
EAI \$41 Current yield 0 79%								
	Mar 15, 16	33 000	61 932	2,043 78	66 470	2,193 51	149 73	ST
	Apr 5, 16	14 000	62 931	881 04	66 470	930 58	49 54	ST
	Apr 11, 16	15 000	62 672	940 09	66 470	997 05	56 96	ST
	Jun 15, 16	16 000	61 200	979 21	66 470	1,063 52	84 31	ST
Security total		78 000	62 104	4,844 12		5,184 66	340 54	
NUVASIVE INC								
Symbol NUVA Exchange OTC								
	Nov 16, 15	31 000	51 155	1,585 82	59 730	1,851 63	265 81	ST
	Nov 17, 15	44 000	51 997	2,287 91	59 730	2,628 12	340 21	ST
	Nov 23, 15	20 000	52 097	1,041 94	59 730	1,194 60	152 66	ST
Security total		95 000	51 744	4,915 67		5,674 35	758 68	
OPUS BANK								
Symbol OPB Exchange OTC								
EAI \$109 Current yield 3 26%								
	Nov 4, 15	50 000	37 223	1,861 15	20 050	1,002 50	-858 65	ST

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HARRY DENT FAMILY FOUNDATION, INC.
EIN: 16-0849923

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Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22525 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
OSHKOSH CORP								
Symbol OSK Exchange NYSE								
EAI \$95 Current yield 1.42%								
	Nov 12, 15	11 000	39 480	434 28	20 050	220 55	-213 73	ST
	Nov 13, 15	38 000	39 317	1,494 07	20 050	761 90	-732 17	ST
	Nov 18, 15	68 000	36 954	2,512 90	20 050	1,363 40	-1,149 50	ST
Security total		167 000	37 739	6,302 40		3,348 35	-2,954 05	
Security total								
PACWEST BANCORP								
Symbol PACW Exchange OTC								
EAI \$264 Current yield 4.61%								
	Mar 7, 14	29 000	58 603	1,699 50	53 500	1,551 50	-148 00	LT
	Apr 7, 14	19 000	57 777	1,097 78	53 500	1,016 50	-81 28	LT
	Apr 29, 14	19 000	54 015	1,026 30	53 500	1,016 50	-9 80	LT
	Jul 7, 15	28 000	39 985	1,119 59	53 500	1,498 00	378 41	LT
	Jul 17, 15	30 000	40 850	1,225 51	53 500	1,605 00	379 49	LT
Security total		125 000	49 349	6,168 68		6,687 50	518 82	
Security total								
RAMBUS INC								
Symbol RMB5 Exchange OTC								
	Aug 26, 15	19 000	40 783	774 88	43 390	824 41	49 53	LT
	Oct 30, 15	89 000	44 849	3,991 64	43 390	3,861 71	-129 93	LT
	Feb 26, 16	24 000	33 249	797 98	43 390	1,041 36	243 38	ST
Security total		132 000	42 155	5,564 50		5,727 48	162 98	
Security total								
RED ROCK RESORTS INC CLA								
Symbol RRR Exchange OTC								
EAI \$104 Current yield 1.83%								
	Feb 4, 15	74 000	11 527	853 02	12 190	902 06	49 04	LT
	Feb 13, 15	95 000	12 138	1,153 14	12 190	1,158 05	4 91	LT
	Jul 24, 15	67 000	13 127	879 55	12 190	816 73	-62 82	LT
Security total		236 000	12 228	2,885 71		2,876 84	-8 87	
Security total								
RICE ENERGY INC								
Symbol RICE Exchange NYSE								
	Apr 28, 16	207 000	18 834	3,898 76	21 900	4,533 30	634 54	ST
	Jun 8, 16	52 000	21 220	1,103 45	21 900	1,138 80	35 35	ST
Security total		259 000	19 314	5,002 21		5,672 10	669 89	
Security total								
RICE ENERGY INC								
Symbol RICE Exchange NYSE								
	Jan 24, 14	15 000	21 756	326 34	22 090	331 35	5 01	LT
	Jul 7, 14	19 000	29 185	554 52	22 090	419 71	-134 81	LT
	Aug 12, 14	34 000	28 082	954 80	22 090	751 06	-203 74	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Aug 14, 14	18 000	28 237	508 27	22 090	397 62	-110 65	LT
	May 13, 15	40 000	24 056	962 27	22 090	883 60	-78 67	LT
	Oct 30, 15	7 000	15 590	109 13	22 090	154 63	45 50	LT
	Apr 13, 16	69 000	16 175	1,430 96 ²	22 090	1,524 21	93 25	
Security total		202 000	23 992	4,846 29		4,462 18	-384 11	
SEAWORLD ENTMT INC								
Symbol SEAS Exchange NYSE								
EAI \$127 Current yield 2.86%	Jul 21, 16	197 000	15 437	3,041 15	14 010	2,759 97	-281 18	ST
	Sep 20, 16	120 000	12 070	1,475 14 ²	14,010	1,681 20	206 06	ST
Security total		317 000	14 247	4,516 29		4,441 17	-75 12	
SELECT COMFORT CORP								
Symbol SCSS Exchange OTC	Sep 29, 14	102 000	20 770	2,118 64	19 190	1,957 38	-161 26	LT
	Oct 3, 14	52 000	20 849	1,084 17	19 190	997 88	-86 29	LT
	Oct 17, 14	49 000	21 136	1,035 71	19 190	940 31	-95 40	LT
	Jul 23, 15	38 000	26 682	1,013 92	19 190	729 22	-284 70	LT
	Oct 30, 15	2 000	21 200	42 40	19 190	38 38	-4 02	LT
Security total		243 000	21 789	5,294 84		4,663 17	-631 67	
SLM CORP VTG								
Symbol SLM Exchange OTC	Sep 11, 14	9 000	9 001	81 01	7 050	63 45	-17 56	LT
	Oct 28, 14	113 000	9 297	1,050 62	7 050	796 65	-253 97	LT
	Dec 8, 14	116 000	10 139	1,176 18	7 050	817 80	-358 38	LT
	Feb 4, 15	118 000	9 435	1,113 34	7 050	831 90	-281 44	LT
	Jul 24, 15	121 000	9 481	1,147 27	7 050	853 05	-294 22	LT
	Aug 18, 16	132 000	7 438	981 92	7 050	930 60	-51 32	ST
	Oct 21, 16	136 000	7 176	1,000 00 ²	7 050	958 80	-41 20	
Security total		745 000	8 792	6,550 34		5,252 25	-1,298 09	
SMITH A O CORP								
Symbol AOS Exchange NYSE	Sep 10, 12	44 000	13 906	611 89	45 170	1,987 48	1,375 59	LT
EAI \$72 Current yield 1.06%	Nov 20, 12	52 000	15 380	799 78	45 170	2,348 84	1,549 06	LT
	Jun 26, 13	54 000	18 172	981 29	45 170	2,439 18	1,457 89	LT
Security total		150 000	15 953	2,392 96		6,775 50	4,382 54	

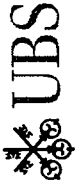
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October 2016Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22525 A6Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
SPIRIT AIRLINES INC Symbol SAVE Exchange OTC	Oct 31, 16	19 000	47 737	906 99	47 930	910 67	3 68	
	Jan 9, 14	16 000	47 428	758 85	47 930	766 88	8 03	LT
	Feb 6, 14	24 000	46 423	1,114 16	47 930	1,150 32	36 16	LT
	Oct 16, 14	19 000	55 311	1,050 91	47 930	910 67	-140 24	LT
	Nov 23, 15	31 000	36 948	1,161 01 ²	47 930	1,485 83	324 82	
Security total		109 000	45 388	4,991 92		5,224 37	232 45	
SUMMIT MATLS INC CL A Symbol SUM Exchange NYSE	Nov 23, 15	57 295	23 107	1,323 96	18 740	1,073 71	-250 25	ST
	Nov 24, 15	47 705	22 536	1,075 10	18 740	893 99	-181 11	ST
	Dec 10, 15	41 000	21 055	864 86 ²	18 740	768 34	-96 52	ST
	Dec 28, 15	56 000	20 153	1,128 61	18 740	1,049 44	-79 17	ST
	Feb 26, 16	46 000	18 109	833 04	18 740	862 04	29 00	ST
	Apr 14, 16	47 000	20 026	941 26	18 740	880 78	-60 48	ST
	Jul 14, 16	18 000	21 528	387 51	18 740	337 32	-50 19	ST
	Sep 9, 16	54 000	18 064	979 57 ²	18 740	1,011 96	32 39	ST
		367 000	20 528	7,533 91		6,877 58	-656 33	
SUPERIOR ENERGY SERVICES INC Symbol SPN Exchange NYSE EAI \$127 Current yield 2.26%	Oct 31, 13	16 000	26 981	431 71	14 160	226 56	-205 15	LT
	Nov 4, 13	40 000	27 840	1,113 60	14 160	566 40	-547 20	LT
	Nov 8, 13	39 000	27 478	1,071 68	14 160	552 24	-519 44	LT
	Feb 24, 14	42 000	28 929	1,215 02	14 160	594 72	-620 30	LT
	Jul 9, 15	80 000	20 057	1,604 56	14 160	1,132 80	-471 76	LT
	Jun 13, 16	56 000	18 513	1,036 76	14 160	792 96	-243 80	ST
	Jun 28, 16	51 000	17 436	889 24	14 160	722 16	-167 08	ST
	Sep 22, 16	73 000	15 542	1,283 99 ²	14 160	1,033 68	-250 31	
		397 000	21 780	8,646 56		5,621 52	-3,025 04	
TESSERA TECHNOLOGIES INC Symbol TSRA Exchange OTC EAI \$174 Current yield 2.15%	Mar 15, 16	78 000	30 067	2,345 24	37 100	2,893 80	548 56	ST
	Mar 22, 16	29 000	31 270	906 85	37 100	1,075 90	169 05	ST
	May 10, 16	42 000	30 684	1,288 73	37 100	1,558 20	269 47	ST

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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

Account name: HARRY DENT FAMILY FOUNDATION
 Account number: FF 22525 A6

Your Financial Advisor
 THE ARTHURS MALOF GROUP
 716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
TOPBUILD CORP								
Symbol BLD Exchange NYSE								
	Jun 3, 16	31 000	32 594	1,010 42	37 100	1,150 10	139 68	ST
	Aug 18, 16	38 000	32 975	1,253 05	37 100	1,409 80	156 75	ST
Security total		218 000	31 212	6,804 29		8,087 80	1,283 51	
Security total								
TRANSUNION								
Symbol TRU Exchange NYSE								
	Dec 3, 15	85 000	30 638	2,604 23	30 130	2,561 05	-43 18	ST
	Dec 15, 15	34 000	29 588	1,006 01	30 130	1,024 42	18 41	ST
	Dec 28, 15	34 000	30 505	1,037 18	30 130	1,024 42	-12 76	ST
	May 3, 16	33 000	31 518	1,040 12	30 130	994 29	-45 83	ST
Security total		186 000	30 578	5,687 54		5,604 18	-83 36	
Security total								
TYLER TECHNOLOGIES INC								
Symbol TYL Exchange NYSE								
	Jun 25, 15	166 000	24 741	4,107 17	31 240	5,185 84	1,078 67	LT
	Mar 9, 16	31 000	24 767	767 80	31 240	968 44	200 64	ST
	Sep 9, 16	17 000	32 635	554 81	31 240	531 08	-23 73	ST
Security total		214 000	25 373	5,429 78		6,685 36	1,255 58	
Security total								
U S SILICA HDGS INC								
Symbol SLCA Exchange NYSE								
EAL \$31 Current yield 0.55%								
	Apr 12, 16	19 000	133 482	2,536 16	160 400	3,047 60	511 44	ST
	Apr 22, 16	8 000	140 301	1,122 41	160 400	1,283 20	160 79	ST
	Sep 1, 16	5 000	166 568	832 84	160 400	802 00	-30 84	ST
Security total		32 000	140 357	4,491 41		5,132 80	641 39	
Security total								
UNITD RENTALS INC								
Symbol URI Exchange NYSE								
	Apr 26, 16	81 000	24 419	1,977 96	46 190	3,741 39	1,763 43	ST
	May 12, 16	41 000	25 376	1,040 43	46 190	1,893 79	853 36	ST
Security total		122 000	24 741	3,018 39		5,635 18	2,616 79	
Security total								
VALVOLINE INC COM								
Symbol VVV Exchange NYSE								
	May 12, 16	43 000	61 367	2,638 81	75 660	3,253 38	614 57	ST
	May 17, 16	16 000	64 926	1,038 82	75 660	1,210 56	171 74	ST
	May 25, 16	14 000	66 687	933 63	75 660	1,059 24	125 61	ST
	Jun 28, 16	15 000	62 805	942 08	75 660	1,134 90	192 82	ST
Security total		88 000	63 106	5,553 34		6,658 08	1,104 74	
Security total								
VALVOLINE INC COM								
Symbol VVV Exchange NYSE								
	Sep 26, 16	206 000	23 671	4,876 27	20 400	4,202 40	-673 87	ST

continued next page

HARRY DENT FAMILY FOUNDATION, INC.
EIN: 16-0849923

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ATTACHMENT G

ACCESS
October 2016



Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22525 A6

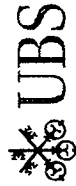
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
WELLFARE HEALTH PLANS INC								
Symbol WCG Exchange OTC	Nov 12, 15	15 000	78 671	1,258 75	113 510	1,816 16	557 41	ST
	Nov 13, 15	36 000	77 378	2,785 64	113 510	4,086 36	1,300 72	ST
Security total		52 000	77 777	4,044 39		5,902 52	1,858 13	
WESTERN ALLIANCE BANCORP								
Symbol WAL Exchange NYSE	Jun 29, 16	84 000	31 875	2,677 57	37 360	3,138 24	460 67	ST
	Jul 6, 16	32 000	31 340	1,002 89	37 360	1,195 52	192 63	ST
	Jul 14, 16	32 000	34 593	1,107 00	37 360	1,195 52	88 52	ST
Security total		148 000	32 348	4,787 46		5,529 28	741 82	
WPX ENERGY INC								
Symbol WPX Exchange NYSE	Aug 17, 16	186 000	11 070	2,059 11	10 860	2,019 96	-39 15	ST
	Sep 9, 16	77 000	12 866	990 74	10 860	836 22	-154 52	ST
	Sep 26, 16	175 000	11 847	2,073 28	10 860	1,900 50	-172 78	ST
Security total		438 000	11 697	5,123 13		4,756 68	-366 45	
XPO LOGISTICS INC								
Symbol XPO Exchange NYSE	Aug 26, 15	5 000	34 890	174 45	32 930	164 65	-9 80	LT
	Oct 30, 15	43 000	27 450	1,180 35	32 930	1,415 99	235 64	LT
	Nov 18, 15	46 000	28 977	1,332 97	32 930	1,514 78	181 81	ST
	Jun 8, 16	32 000	29 764	952 45	32 930	1,053 76	101 31	ST
	Jun 15, 16	34 000	28 317	962 80	32 930	1,119 62	156 82	ST
	Jun 24, 16	47 000	24 244	1,139 49	32 930	1,547 71	408 22	ST
Security total		207 000	27 742	5,742 51		6,816 51	1,074 00	
8X8 INC								
Symbol EGHT Exchange OTC	Feb 4, 16	124 000	12 463	1,545 42	14 250	1,767 00	221 58	ST
	Feb 18, 16	97 000	10 824	1,049 93	14 250	1,382 25	332 32	ST
	Feb 23, 16	77 000	10 987	846 02	14 250	1,097 25	251 23	ST
	Mar 15, 16	88 000	10 088	887 77	14 250	1,254 00	366 23	ST
	Sep 7, 16	121 000	13 731	1,661 51	14 250	1,724 25	62 74	ST
Security total		507 000	11 816	5,990 65		7,224 75	1,234 10	
Total				\$370,231.15		\$373,663.22	\$3,432.07	

Total estimated annual income: \$3,112

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

ENP70002006194875 NP7000205460 00003 1016 031385913 FF22525A60 000000

ACCESS
October 2016Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22529 A6Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
AETNA INC Symbol AET Exchange NYSE EAI \$38 Current yield 0.93%	Jun 21, 12	6,000	40.970	245.82	107.350	644.10	398.28	LT
	Jul 31, 12	28,000	36.271	1,015.60	107.350	3,005.80	1,990.20	LT
	Feb 7, 14	4,000	66.467	265.87	107.350	429.40	163.53	LT
		38,000	40.192	1,527.29		4,079.30	2,552.01	
Security total								
AGILENT TECHNOLOGIES INC Symbol A Exchange NYSE EAI \$42 Current yield 1.06%	May 5, 14	23,000	39.889	917.45	43.570	1,002.11	84.66	LT
	May 15, 14	21,000	39.518	829.89	43.570	914.97	85.08	LT
	Nov 4, 14	37,000	40.157	1,485.83	43.570	1,612.09	126.26	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Oct 27, 15	10 000	37 010	370 10	43 570	435 70	65 60	LT
Security total		91 000	39,596	3,603 27		3,964 87	361 60	
ALPHABET INC CL A	Apr 7, 14	8 000	542.042	4,336.34	809 900	6,479 20	2,142 86	LT
Symbol: GOOGL Exchange OTC								
AMDOCS LTD GBP								
Symbol: DOX Exchange OTC								
EAI \$64 Current yield 1.34%								
GBP Exchange rate 0 81906								
AMER EXPRESS CO	Oct 3, 13	82 000	36 943	3,029.33	58,450	4,792 90	1,763.57	LT
Symbol: AXP Exchange NYSE								
EAI \$90 Current yield 1.94%								
	Oct 17, 14	35 000	83 944	2,938 07	66 420	2,324 70	-613 37	LT
	Dec 19, 14	10 000	93 086	930 86	66 420	664 20	-266 66	LT
	Mar 26, 15	16 000	78.627	1,258.04	66.420	1,062.72	-195 32	LT
	Oct 14, 16	9 000	60 285	542.57	66.420	597.78	55 21	ST
Security total		70 000	80,993	5,669.54		4,649 40	-1,020.14	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange NYSE								
EAI \$171 Current yield 4.83%								
	Jun 23, 15	71 000	33.824	2,401.52	28 320	2,010.72	-390 80	LT
	Dec 17, 15	54 000	33.550	1,811.72	28.320	1,529.28	-282.44	ST
Security total		125 000	33.706	4,213 24		3,540 00	-673 24	
BAKER HUGHES INC								
Symbol: BHI Exchange NYSE								
EAI \$86 Current yield 1 22%								
	Jun 24, 16	41 000	45 492	1,865 19	55 400	2,271.40	406.21	ST
	Jun 30, 16	48 000	45.212	2,170.20	55 400	2,659.20	489.00	ST
	Sep 29, 16	38 000	50.348	1,913.23	55.400	2,105.20	191.97	ST
Security total		127 000	46 840	5,948 62		7,035 80	1,087.18	
BB&T CORP								
Symbol: BBT Exchange NYSE								
EAI \$204 Current yield 3 06%								
	Nov 22, 13	127 000	34.727	4,410.36	39 200	4,978 40	568 04	LT
	Jan 6, 15	43 000	36.809	1,582 82	39 200	1,685.60	102.78	LT
Security total		170 000	35 254	5,993.18		6,664 00	670 82	
BIOGEN INC								
Symbol: BII Exchange OTC								
	Aug 25, 15	14 000	294.045	4,116.64	280.180	3,922.52	-194.12	LT
	Oct 27, 15	8 000	290.850	2,326 80	280.180	2,241.44	-85.36	LT

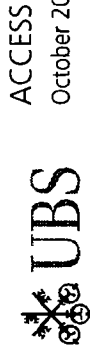
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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

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ATTACHMENT H



Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22529 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		22 000	292.884	6,443.44		6,163.96	-279.48	
BRISTOL MYERS SQUIBB CO								
Symbol BMY Exchange NYSE								
EAI \$198 Current yield 2.99%	Oct 3, 16	79,000	54.548	4,309.36	50.910	4,021.89	-287.47	ST
	Oct 10, 16	51 000	50.030	2,551.57	50.910	2,596.41	44.84	ST
Security total		130,000	52.776	6,860.93		6,618.30	-242.63	
CAPITAL ONE FINCL CORP								
Symbol COF Exchange NYSE								
EAI \$146 Current yield 2.17%	Feb 1, 13	73,000	56.649	4,135.40	74.040	5,404.92	1,269.52	LT
	Jan 16, 15	13 000	76.305	991.97	74.040	962.52	-29.45	LT
	Jul 28, 15	5 000	78.972	394.86	74.040	370.20	-24.66	LT
Security total		91 000	60.684	5,522.23		6,737.64	1,215.41	
CITIGROUP INC								
Symbol C Exchange NYSE								
EAI \$61 Current yield 1.31%	Feb 10, 15	44 000	49.426	2,174.78	49.150	2,162.60	-12.18	LT
	Mar 3, 15	41 000	53.732	2,203.04	49.150	2,015.15	-187.89	LT
	Jan 19, 16	10,000	42.290	526.43 ²	49.150	491.50	-34.93	LT
Security total		95 000	51.624	4,904.25		4,669.25	-235.00	
CITIZENS FINANCIAL GROUP INC								
Symbol CFG Exchange NYSE								
EAI \$81 Current yield 1.82%	Oct 1, 14	169,000	23.485	3,969.10	26.340	4,451.46	482.36	LT
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI \$204 Current yield 3.30%	Apr 13, 15	86,000	40.844	3,512.64	42.400	3,646.40	133.76	LT
	Apr 24, 15	60 000	40.957	2,457.46	42.400	2,544.00	86.54	LT
Security total		146 000	40.891	5,970.10		6,190.40	220.30	
CSX CORPORATION								
Symbol CSX Exchange OTC								
EAI \$103 Current yield 2.36%	May 17, 16	143 000	25.556	3,654.62	30.510	4,362.93	708.31	ST
CVS HEALTH CORP								
Symbol CVS Exchange NYSE								
EAI \$124 Current yield 2.02%	Aug 12, 11	20 000	33.402	668.05	84.100	1,682.00	1,013.95	LT
	Aug 8, 13	27 000	59.143	1,596.87	84.100	2,270.70	673.83	LT

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HARRY DENT FAMILY FOUNDATION, INC.

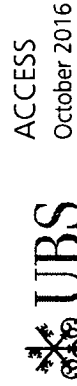
EIN: 16-0849923

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22529 A6Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Dec 17, 15	23,000	95.740	2,202.04	84.100	1,934.30	-267.74	ST
	Oct 3, 16	3,000	87.896	263.69	84.100	252.30	-11.39	ST
Security total		73,000	64.803	4,730.65		6,139.30	1,408.65	
EBAY INC								
Symbol EBAY Exchange OTC	Oct 27, 15	86,000	28.370	2,439.82	28.510	2,451.86	12.04	LT
	Dec 21, 15	81,000	27.353	2,215.67	28.510	2,309.31	93.64	ST
	Mar 16, 16	33,000	23.651	780.49	28.510	940.83	160.34	ST
Security total		200,000	27.180	5,435.98		5,702.00	266.02	
EOG RESOURCES INC								
Symbol EOG Exchange NYSE	Oct 16, 14	9,000	88.486	796.38	90.420	813.78	17.40	LT
EAI \$48 Current yield 0.74%	Feb 20, 15	32,000	90.852	2,907.29	90.420	2,893.44	-13.85	LT
	Feb 26, 16	31,000	68.116	2,111.61	90.420	2,803.02	691.41	ST
Security total		72,000	80.768	5,815.28		6,510.24	694.96	
HP INC								
Symbol HPQ Exchange NYSE	Dec 14, 15	296,000	12.113	3,585.48	14.490	4,289.04	703.56	ST
EAI \$206 Current yield 3.42%	Sep 12, 16	120,000	14.525	1,743.08	14.490	1,738.80	-4.28	ST
Security total		416,000	12.809	5,328.56		6,027.84	699.28	
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE	Dec 9, 14	56,000	68.659	3,844.94	76.800	4,300.80	455.86	LT
EAI \$96 Current yield 2.23%								
MCKESSON CORP								
Symbol MCK Exchange NYSE	Oct 31, 16	11,000	127.521	1,402.73	127.170	1,398.87	-3.86	ST
EAI \$44 Current yield 0.89%	Jan 22, 16	24,000	171.028	4,104.68	127.170	3,052.08	-1,052.60	ST
	Mar 9, 16	15,000	162.037	2,430.56	127.170	1,907.55	-523.01	ST
Security total		50,000	167.570	7,937.97		6,358.50	-1,579.47	
METLIFE INC								
Symbol MET Exchange NYSE	Dec 19, 12	55,000	33.414	1,837.82	46.960	2,582.80	744.98	LT
EAI \$155 Current yield 3.40%	Aug 7, 14	3,000	51.473	154.42	46.960	140.88	-13.54	LT
	Mar 26, 15	31,000	50.812	1,575.18	46.960	1,455.76	-119.42	LT
	Mar 21, 16	8,000	44.640	357.12	46.960	375.68	18.56	ST

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Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22529 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		97,000	40.459	3,924.54		4,555.12	630.58	
MGM RESORTS INTL								
Symbol MGM Exchange NYSE	May 27, 14	131,000	25.560	3,348.37	26.170	3,428.27	79.90	LT
	Nov 7, 14	37,000	21.971	812.96	26.170	968.29	155.33	LT
	Jan 14, 15	79,000	19.954	1,576.43	26.170	2,067.43	491.00	LT
Security total		247,000	23.230	5,737.76		6,463.99	726.23	
NORFOLK STHN CORP								
Symbol NSC Exchange NYSE	Jan 22, 16	45,000	68.811	3,096.53	93.000	4,185.00	1,088.47	ST
EAI \$106 Current yield 2.53%								
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE	Mar 15, 16	62,000	68.047	4,218.93	72.910	4,520.42	301.49	ST
EAI \$271 Current yield 4.18%	Sep 29, 16	27,000	72.322	1,952.72	72.910	1,968.57	15.85	ST
Security total		89,000	69.344	6,171.65		6,488.99	317.34	
ORACLE CORP								
Symbol ORCL Exchange NYSE	Jun 26, 15	104,000	41.159	4,280.58	38.420	3,995.68	-284.90	LT
EAI \$97 Current yield 1.56%	Jul 9, 15	58,000	40.306	2,337.75	38.420	2,228.36	-109.39	LT
Security total		162,000	40.854	6,618.33		6,224.04	-394.29	
QUALCOMM INC								
Symbol QCOM Exchange OTC	Nov 7, 14	51,000	69.486	3,543.83	68.720	3,504.72	-39.11	LT
EAI \$214 Current yield 3.08%	Dec 19, 14	28,000	73.319	2,052.94	68.720	1,924.16	-128.78	LT
	Jan 29, 15	12,000	62.733	752.80	68.720	824.64	71.84	LT
	Oct 27, 15	10,000	59.270	592.70	68.720	687.20	94.50	LT
Security total		101,000	68.735	6,942.27		6,940.72	-1.55	
ROYAL DUTCH SHELL PLC CL A								
SPON ADR								
Symbol RDSA Exchange NYSE	Mar 24, 16	90,000	48.288	4,345.93	49.810	4,482.90	136.97	ST
EAI \$438 Current yield 6.42%	Apr 7, 16	47,000	47.196	2,218.22	49.810	2,341.07	122.85	ST
Security total		137,000	47.914	6,564.15		6,823.97	259.82	

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HARRY DENT FAMILY FOUNDATION, INC.

EIN: 16-0849923

Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22529 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
SYMANTEC CORP								
Symbol SYMC Exchange OTC								
EAI \$50 Current yield 1.19%	Feb 11, 16	168 000	18 523	3,111.88	25 030	4,205.04	1,093.16	ST
SYNCHRONY FINL								
Symbol SYF Exchange NYSE								
EAI \$113 Current yield 1.82%	Aug 1, 14	153 000	22 849	3,496.02	28 590	4,374.27	878.25	LT
	Nov 10, 15	64,000	31 284	2,002.23	28,590	1,829.76	-172.47	ST
Security total		217,000	25 338	5,498.25		6,204.03	705.78	
TARGET CORP								
Symbol TGT Exchange NYSE								
EAI \$226 Current yield 3.50%	May 26, 16	61 000	68 992	4,208.52	68 730	4,192.53	-15.99	ST
	Jun 3, 16	33,000	68 796	2,270.30	68 730	2,268.09	-2.21	ST
Security total		94,000	68 924	6,478.82		6,460.62	-18.20	
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI \$184 Current yield 2.89%	Jan 23, 15	30 000	103.391	3,101.73	107.760	3,232.80	131.07	LT
	Jun 17, 15	29 000	99 966	2,899.02	107 760	3,125.04	226.02	LT
Security total		59 000	101 708	6,000.75		6,357.84	357.09	
UNIVERSAL HEALTH SVCS INC CL B								
Symbol UHS Exchange NYSE								
EAI \$14 Current yield 0.32%	Dec 15, 14	36,000	107.122	3,856.42	120 710	4,345.56	489.14	LT
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI \$187 Current yield 4.80%	Apr 22, 14	51 000	48 209	2,458.70	48 100	2,453.10	-5.60	LT
	Jan 7, 15	30,000	46.193	1,385.81	48 100	1,443.00	57.19	LT
Security total		81,000	47 463	3,844.51		3,896.10	51.59	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$211 Current yield 3.30%	May 24, 16	90 000	49 243	4,431.93	46 010	4,140.90	-291.03	ST
	Jun 14, 16	49 000	46.884	2,297.34	46 010	2,254.49	-42.85	ST
Security total		139 000	48.412	6,729.27		6,395.39	-333.88	

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ACCESS
October 2016



Account name: HARRY DENT FAMILY FOUNDATION
Account number: FF 22529 A6

Your Financial Advisor:
THE ARTHURS MALOF GROUP
716-849-0800/800-537-7398

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct. 31 (\$)	Value on Oct. 31 (\$)	Unrealized gain or loss (\$)	Holding period
WILLIS TOWERS WATSON PUB LTD								
CO								
Symbol WLTW Exchange OTC	Mar 22, 11	3 555	104 208	370.45	125 900	447 56	77 11	LT
EAI \$63 Current yield 1 52%	Feb 17, 12	9 438	90 198	851.25	125.900	1,188 18	336.93	LT
	Jan 8, 14	20,008	116.574	2,332.37	125.900	2,518.96	186.59	LT
Security total		33,000	107.699	3,554.07		4,154.70	600 63	
XL GROUP LTD								
Symbol XL Exchange NYSE	May 4, 16	125,000	32 491	4,061.46	34,700	4,337 50	276 04	ST
EAI \$100 Current yield 2.31%								
Total				\$186,929.52		\$203,476.70	\$16,547.18	
Total estimated annual income:				\$4,435				

HARRY DENT FAMILY FOUNDATION, INC.
ID NO. 16-0849923
SUPPLEMENTAL INFORMATION

Information regarding Grant and Loan Programs

Line 2) (a) Applications should be addressed to:

Harry Dent Family Foundation, Inc.
Cyclorama Building, 369 Franklin St.
Buffalo, NY 14202
Tel: 716 754-8276

(b) The form on which applications should be submitted and information they should include:

Applications by any exempt organization dedicated to the furtherance of education, science, musical arts, literary or religious purposes may be submitted in letter form, either containing or accompanied by information which includes the name of the organization, whether or not it is exempt under the rules of the Internal Revenue Service, its current budget, summary of last year's receipts and disbursements and information regarding application of the funds being requested.

(c) No submission deadlines are imposed.

(d) This corporation is limited by its Charter to granting funds to exempt organizations dedicated to the furtherance of education, science, musical arts, charitable, benevolent and religious uses and purposes for the moral, ethical, physical, mental and intellectual well-being and progress of mankind.

The territory in which the operations of the corporation are principally conducted is the County of Erie and County of Niagara in the State of New York but may be carried on elsewhere throughout the United States of America.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HARRY M. DENT III 1809 N. CLEVELAND AVE CHICAGO, IL 60614	PRESIDENT/DIRECTOR 1.00	0.	0.	0.
SUSAN L. KIMBERLY 56 LEXINGTON AVENUE BUFFALO, NY 14222	TREASURER/DIRECTOR 1.00	0.	0.	0.
HEIDI A.H. DENT 3819 LOWER RIVER RD YOUNGSTOWN, NY 14174	VICE PRESIDENT/DIRECTOR 1.00	0.	0.	0.
DALE B. DEMYANICK 369 FRANKLIN STREET BUFFALO, NY 14202	DIRECTOR 1.00	250.	0.	0.
PETER H. DENT P.O. BOX 4639 SANTA FE, NM 87505	DIRECTOR 1.00	0.	0.	0.
HELEN D. LENAHA 90 HALLAM ROAD BUFFALO, NY 14216	DIRECTOR 1.00	0.	0.	0.
CHRISTOPHER D. LENAHA 112 WINGATE AVENUE BUFFALO, NY 14216	DIRECTOR 1.00	0.	0.	0.
SCOTT STRAUSSER 806 WILSON LANE HINSDALE, IL 60521	DIRECTOR 1.00	250.	0.	0.
GEORGIA D. KNISLEY 27 LAMOREE ROAD RHINEBECK, NY 12572	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		500.	0.	0.