

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 11/01, 2015, and ending 10/31, 2016

ARIA FOUNDATION
C/O TG TAX & ACCOUNTING SERVICES, LLC
1710 WATERS EDGE DRIVE
MINOOKA, IL 60447

A Employer identification number
13-3603275

B Telephone number (see instructions)
(815) 467-5500

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 32,475,045.
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
------------------------------------	---------------------------	-------------------------	---

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (attach schedule)	90,000.			
	2 Ck <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	535,242.	535,242.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,120,829.			
	b Gross sales price for all assets on line 6a 8,533,002.				
	7 Capital gain net income (from Part IV, line 2)		1,120,829.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	105,846.				
12 Total. Add lines 1 through 11	1,851,917.	1,656,071.	0.		
ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) See St 2	138.	138.		
	b Accounting fees (attach sch) See St 3	18,550.	9,275.		9,275.
	c Other prof fees (attach sch) See St 4	118,298.	117,954.		344.
	17 Interest				
	18 Taxes (attach schedule) (see instrs) See Stm 5	10,000.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	See Statement 6	55,228.	55,217.		
	24 Total operating and administrative expenses. Add lines 13 through 23	202,214.	182,584.		9,619.
	25 Contributions, gifts, grants paid Part XV	1,369,613.			1,369,613.
26 Total expenses and disbursements Add lines 24 and 25	1,571,827.	182,584.	0.	1,379,232.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	280,090.				
b Net investment income (if negative, enter -0-)		1,473,487.			
c Adjusted net income (if negative, enter -0-)			0.		

9-34 m

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	1,335,002.	2,414,384.	2,414,384.
	3 Accounts receivable			
	Less allowance for doubtful accounts	32.	95.	95.
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	1,303,329.		
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
LIABILITIES	Less accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	25,951,580.	26,455,554.	30,060,566.
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I.)	28,589,943.	28,870,033.	32,475,045.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
FUND ASSETS OR BALANCES	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
FUND ASSETS OR BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	7,781,377.	7,781,377.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	20,808,566.	21,088,656.	
FUND ASSETS OR BALANCES	30 Total net assets or fund balances (see instructions)	28,589,943.	28,870,033.	
	31 Total liabilities and net assets/fund balances (see instructions)	28,589,943.	28,870,033.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,589,943.
2	Enter amount from Part I, line 27a	2	280,090.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	28,870,033.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	28,870,033.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a See Statement 7				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) — [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]			2	1,120,829.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	1,693,559.	33,311,550.	0.050840
2013	2,785,785.	35,630,765.	0.078185
2012	1,442,641.	35,366,562.	0.040791
2011	2,210,816.	36,188,212.	0.061092
2010	3,042,148.	38,235,740.	0.079563
2 Total of line 1, column (d)			2 0.310471
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.062094
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 32,073,741.
5 Multiply line 4 by line 3			5 1,991,587.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 14,735.
7 Add lines 5 and 6			7 2,006,322.
8 Enter qualifying distributions from Part XII, line 4			8 1,379,232.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	29,470.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	29,470.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	29,470.
6 Credits/Payments			
a 2015 estimated tax pmts and 2014 overpayment credited to 2015	6 a	59,382.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	59,382.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	29,912.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax 29,912. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XIV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) VT		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2015)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>TG TAX & ACCOUNTING SVCS, LLC</u> Telephone no <u>(815) 467-5500</u> Located at <u>1710 WATERS EDGE DRIVE MINOOKA IL</u> ZIP + 4 <u>60447</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20 __ , 20 __ , 20 __ , 20 __</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20 __ , 20 __ , 20 __ , 20 __</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b	X

BAA

Form 990-PF (2015)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2015)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	31,128,955.
b Average of monthly cash balances	1 b	1,433,219.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	32,562,174.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	32,562,174.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	488,433.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,073,741.
6 Minimum investment return. Enter 5% of line 5	6	1,603,687.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,603,687.
2a Tax on investment income for 2015 from Part VI, line 5	2 a	29,470.
b Income tax for 2015 (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b	2 c	29,470.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,574,217.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	1,574,217.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,574,217.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	1,379,232.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,379,232.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,379,232.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,574,217.
2 Undistributed income, if any, as of the end of 2015.				
a Enter amount for 2014 only			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	63,058.			
b From 2011	413,837.			
c From 2012				
d From 2013	1,038,485.			
e From 2014	42,184.			
f Total of lines 3a through e	1,557,564.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 1,379,232.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2015 distributable amount				1,379,232.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	194,985.			194,985.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,362,579.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	1,362,579.			
10 Analysis of line 9				
a Excess from 2011	281,910.			
b Excess from 2012				
c Excess from 2013	1,038,485.			
d Excess from 2014	42,184.			
e Excess from 2015				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year SEE STATEMENT 10				SEE STMT ATTACHED	1,369,613.
Total					► 3 a 1,369,613.
b Approved for future payment					
Total					► 3 b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	535,242.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,120,829.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a ALB VENT, LLC - 11A, F			14	234.	
b EJF ARMADILLO FD - 11A			14	105,612.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				1,761,917.	
13 Total. Add line 12, columns (b), (d), and (e)				13	1,761,917.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization **ARIA FOUNDATION**
C/O TG TAX & ACCOUNTING SERVICES, LLC

Employer identification number
13-3603275

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization

ARIA FOUNDATION

Employer identification number

13-3603275

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ADAM & RACHEL ALBRIGHT C/O TG TAX, 1710 WATERS EDGE MINOOKA, IL 60447	\$ 90,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

ARIA FOUNDATION

13-3603275

Part II. Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

[illegible]

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization

ARIA FOUNDATION

Employer identification number

13-3603275

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ N/A

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2015

Federal Statements

Page 1

Client ALB06

ARIA FOUNDATION
C/O TG TAX & ACCOUNTING SERVICES, LLC

13-3603275

3/14/17

03 32PM

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
ALB VENT, LLC - 11A, F	\$ 234.		
EJF ARMADILLO FD - 11A	105,612.		
Total	<u>\$ 105,846.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL FEES	\$ 138.	\$ 138.		
Total	<u>\$ 138.</u>	<u>\$ 138.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 3
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	\$ 18,550.	\$ 9,275.		\$ 9,275.
Total	<u>\$ 18,550.</u>	<u>\$ 9,275.</u>	<u>\$ 0.</u>	<u>\$ 9,275.</u>

Statement 4
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BMO HARRIS BANK - AGENCY FEES	\$ 1,931.	\$ 1,931.		
MANAGEMENT / ADVISORY FEES	116,023.	116,023.		
REGISTERED AGENT FEE (VT)	344.			\$ 344.
Total	<u>\$ 118,298.</u>	<u>\$ 117,954.</u>	<u>\$ 0.</u>	<u>\$ 344.</u>

ARIA FOUNDATION							13-3603275
FORM 990-PF PART IV - CAPITAL GAINS & LOSSES							
<u>ACCOUNT</u>				<u>SHORT TERM</u>	<u>LONG TERM</u>	<u>TOTAL</u>	
SCHWAB GAA (SEE STATEMENT ATTACHED)				(48,624.66)	537,138.20	488,513.54	
SCHWAB WENTWORTH (SEE STATEMENT ATTACHED)				(5,760.44)	(444,457.81)	(450,218.25)	
CHARLES SCHWAB BROKERAGE - CAPITAL GAIN DIVIDENDS				-	572,340.77	572,340.77	
MPM BIOVENTURES V, LP (PER K-1)				226,936.00	244,939.00	471,875.00	
ALBRIGHT VENTURES, LLC (PER K-1)				-	38,317.00	38,317.00	
				\$ 172,551	\$ 948,277	\$ 1,120,828	

ARIA FOUNDATION
FISCAL YEAR 11/1/2015 - 10/31/2016
CHARLES SCHWAB BROKERAGE A/C #2023-9916

QTY	DESCRIPTION	DATE ACQUIRED	SALE DATE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
19337 017	DOUBLELINE TOTAL RETURN BD FD CL I	12/23/2012	11/23/2015	\$209,976.00	\$220,062.54	(\$10,086.54)		(\$10,086.54)
239 472	EATON VANCE ATLANTA CAP SMID CAP I	12/23/2012	11/23/2015	\$6,766.36	\$4,377.69	\$2,388.67		\$2,388.67
4891 455	EATON VANCE ATLANTA CAP SMID CAP I	6/18/2013	11/23/2015	\$138,209.64	\$103,963.69	\$34,245.95		\$34,245.95
2638 433	EATON VANCE ATLANTA CAP SMID CAP I	12/9/2015	10/23/2016	\$72,612.81	\$68,388.19	\$4,224.62	\$4,224.62	
85 868	EATON VANCE ATLANTA CAP SMID CAP I	12/23/2012	10/23/2016	\$2,363.19	\$1,569.72	\$793.47		\$793.47
81 32	GOLDMAN SACHS RISING DIV GWTH FD INST	12/20/2015	7/25/2016	\$1,741.83	\$1,627.21	\$114.62	\$114.62	
157 893	GOLDMAN SACHS RISING DIV GWTH FD INST	6/28/2015	7/25/2016	\$3,381.98	\$3,442.06	(\$60.08)		(\$60.08)
152 108	GOLDMAN SACHS RISING DIV GWTH FD INST	9/28/2015	7/25/2016	\$3,258.07	\$2,934.16	\$323.91	\$323.91	
41193 491	GOLDMAN SACHS RISING DIV GWTH FD INST	6/18/2013	7/25/2016	\$882,340.99	\$715,548.65	\$166,792.34		\$166,792.34
182.588	GOLDMAN SACHS RISING DIV GWTH FD INST	6/26/2013	7/25/2016	\$3,910.92	\$3,142.34	\$768.58		\$768.58
144.5	GOLDMAN SACHS RISING DIV GWTH FD INST	3/29/2015	7/25/2016	\$3,095.11	\$3,210.78	(\$115.67)		(\$115.67)
187 79	GOLDMAN SACHS STRATEGIC INCM FD INST	8/29/2013	5/26/2016	\$1,783.93	\$1,966.16	(\$182.23)		(\$182.23)
57609.093	GOLDMAN SACHS STRATEGIC INCM FD INST	6/18/2013	5/26/2016	\$547,263.83	\$603,182.13	(\$55,918.30)		(\$55,918.30)
269 384	GOLDMAN SACHS STRATEGIC INCM FD INST	11/27/2014	5/26/2016	\$2,559.04	\$2,798.90	(\$239.86)		(\$239.86)
159 213	GOLDMAN SACHS STRATEGIC INCM FD INST	10/29/2015	5/26/2016	\$1,512.46	\$1,579.39	(\$66.93)	(\$66.93)	
155 761	GOLDMAN SACHS STRATEGIC INCM FD INST	8/30/2015	5/26/2016	\$1,479.67	\$1,551.38	(\$71.71)	(\$71.71)	
172 015	GOLDMAN SACHS STRATEGIC INCM FD INST	6/29/2015	5/26/2016	\$1,634.08	\$1,727.03	(\$92.95)	(\$92.95)	
187.334	GOLDMAN SACHS STRATEGIC INCM FD INST	11/29/2015	5/26/2016	\$1,779.60	\$1,852.73	(\$73.13)	(\$73.13)	
168 787	GOLDMAN SACHS STRATEGIC INCM FD INST	12/30/2015	5/26/2016	\$1,603.41	\$1,623.73	(\$20.32)	(\$20.32)	
863 836	GOLDMAN SACHS STRATEGIC INCM FD INST	12/27/2015	5/26/2016	\$8,206.10	\$8,310.10	(\$104.00)	(\$104.00)	
133.673	GOLDMAN SACHS STRATEGIC INCM FD INST	9/29/2015	5/26/2016	\$1,269.84	\$1,320.69	(\$50.85)	(\$50.85)	
177 208	GOLDMAN SACHS STRATEGIC INCM FD INST	7/30/2015	5/26/2016	\$1,683.41	\$1,779.17	(\$95.76)	(\$95.76)	
119 521	GOLDMAN SACHS STRATEGIC INCM FD INST	2/26/2015	5/26/2016	\$1,135.40	\$1,219.11	(\$83.71)	(\$83.71)	
166.966	GOLDMAN SACHS STRATEGIC INCM FD INST	12/30/2014	5/26/2016	\$1,586.11	\$1,716.41	(\$130.30)	(\$130.30)	
336 143	GOLDMAN SACHS STRATEGIC INCM FD INST	12/25/2014	5/26/2016	\$3,193.23	\$3,472.36	(\$279.13)	(\$279.13)	
161 17	GOLDMAN SACHS STRATEGIC INCM FD INST	5/28/2015	5/26/2016	\$1,531.05	\$1,632.65	(\$101.60)	(\$101.60)	
125 793	GOLDMAN SACHS STRATEGIC INCM FD INST	1/29/2015	5/26/2016	\$1,194.98	\$1,265.48	(\$70.50)	(\$70.50)	
139 436	GOLDMAN SACHS STRATEGIC INCM FD INST	3/30/2015	5/26/2016	\$1,324.59	\$1,402.73	(\$78.14)	(\$78.14)	
161.3	GOLDMAN SACHS STRATEGIC INCM FD INST	4/29/2015	5/26/2016	\$1,532.29	\$1,624.29	(\$92.00)	(\$92.00)	
1299 376	HARTFORD CAPITAL APPR FUND CL I	6/18/2013	11/23/2015	\$49,976.00	\$53,511.00	(\$3,535.00)		(\$3,535.00)
1074	ISHARES MSCI EAFE ETF	10/12/2015	11/23/2015	\$65,021.62	\$64,612.51	\$409.11	\$409.11	
13326	ISHARES MSCI EAFE ETF	10/12/2015	1/31/2016	\$738,506.81	\$801,700.44	(\$63,193.63)	(\$63,193.63)	
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.85	\$5,525.90	\$15.95		\$15.95
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.85	\$5,526.90	\$14.95		\$14.95
200	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$11,083.70	\$11,053.80	\$29.90		\$29.90
400	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$22,167.40	\$22,107.60	\$59.80		\$59.80
200	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$11,083.70	\$11,051.80	\$31.90		\$31.90
200	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$11,083.70	\$11,051.80	\$31.90		\$31.90
1600	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$88,669.59	\$88,414.40	\$255.19		\$255.19
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.83	\$5,525.70	\$16.13		\$16.13

ARIA FOUNDATION
FISCAL YEAR 11/1/2015 - 10/31/2016
CHARLES SCHWAB BROKERAGE A/C #2023-9916

QTY	DESCRIPTION	DATE ACQUIRED	DATE SALE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
50	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$2,770.92	\$2,762.86	\$8.06		\$8.06
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.85	\$5,526.87	\$14.98		\$14.98
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.85	\$5,525.90	\$15.95		\$15.95
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.85	\$5,525.94	\$15.91		\$15.91
600	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$33,251.09	\$33,155.40	\$95.69		\$95.69
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.85	\$5,525.94	\$15.91		\$15.91
200	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$11,083.70	\$11,051.88	\$31.82		\$31.82
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.85	\$5,525.98	\$15.87		\$15.87
5	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$277.09	\$276.34	\$0.75		\$0.75
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.85	\$5,525.96	\$15.89		\$15.89
500	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$27,709.25	\$27,629.70	\$79.55		\$79.55
100	ISHARES MSCI EAFE ETF	12/8/2009	1/31/2016	\$5,541.85	\$5,525.94	\$15.91		\$15.91
4375	LATEEF I	6/18/2013	11/23/2015	\$64,042.27	\$56,850.94	\$7,191.33		\$7,191.33
180	LATEEF I	12/9/2013	11/23/2015	\$2,646.65	\$2,489.86	\$156.79		\$156.79
3640	LATEEF I	12/9/2013	11/23/2015	\$53,287.08	\$50,130.46	\$3,156.62		\$3,156.62
498	LATEEF I	12/8/2015	7/25/2016	\$5,735.85	\$5,621.33	\$114.52		\$114.52
205	LATEEF I	12/8/2015	7/25/2016	\$2,368.69	\$2,321.40	\$47.29		\$47.29
24754	LATEEF I	12/8/2015	7/25/2016	\$284,921.26	\$279,232.63	\$5,688.63		\$5,688.63
2937	LATEEF I	11/24/2009	7/25/2016	\$33,812.58	\$25,000.00	\$8,812.58		\$8,812.58
2539	LATEEF I	6/18/2013	7/25/2016	\$29,229.26	\$32,997.06	(\$3,767.80)		(\$3,767.80)
10652	LATEEF I	11/28/2010	7/25/2016	\$122,613.87	\$101,949.18	\$20,664.69		\$20,664.69
78651	LATEEF I	1/7/2010	7/25/2016	\$905,265.20	\$700,000.00	\$205,265.20		\$205,265.20
245	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	8/23/2015	10/23/2016	\$3,585.47	\$3,638.10	(\$52.63)		(\$52.63)
169	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	9/23/2015	10/23/2016	\$2,479.80	\$2,500.94	(\$21.14)		(\$21.14)
221	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	11/23/2015	10/23/2016	\$3,239.55	\$3,311.47	(\$71.92)		(\$71.92)
325	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	11/20/2012	10/23/2016	\$4,760.63	\$4,921.65	(\$161.02)		(\$161.02)
292	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	8/21/2012	10/23/2016	\$4,272.33	\$4,378.86	(\$106.53)		(\$106.53)
219	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	6/22/2015	10/23/2016	\$3,212.67	\$3,505.85	(\$293.18)		(\$293.18)
799	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	12/19/2012	10/23/2016	\$11,687.84	\$12,362.86	(\$675.02)		(\$675.02)
808	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	2/15/2010	10/23/2016	\$11,827.85	\$11,200.84	\$627.01		\$627.01
297	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	6/21/2012	10/23/2016	\$4,355.98	\$4,387.16	(\$31.18)		(\$31.18)
268	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	7/22/2012	10/23/2016	\$3,931.42	\$3,954.18	(\$22.76)		(\$22.76)
96	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	8/26/2013	10/23/2016	\$1,404.81	\$1,489.78	(\$84.97)		(\$84.97)
236	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	5/21/2015	10/23/2016	\$3,460.72	\$3,828.59	(\$367.87)		(\$367.87)
204	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	7/23/2015	10/23/2016	\$2,986.77	\$3,142.93	(\$156.16)		(\$156.16)
159	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	10/25/2015	10/23/2016	\$2,330.60	\$2,423.78	(\$93.18)		(\$93.18)
258	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	10/21/2012	10/23/2016	\$3,776.89	\$3,976.94	(\$200.05)		(\$200.05)
278	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	6/24/2013	10/23/2016	\$4,079.16	\$4,309.18	(\$230.02)		(\$230.02)
245	LOOMIS SAYLES STRATEGIC INCOME FD CL Y	9/20/2012	10/23/2016	\$3,583.51	\$3,775.78	(\$192.27)		(\$192.27)
1800	MARKET VECTORS AGRIBUSIN ESS ETF	11/23/2009	3/15/2016	\$84,020.53	\$76,920.61	\$7,099.92		\$7,099.92

ARIA FOUNDATION
FISCAL YEAR 11/1/2015 - 10/31/2016
CHARLES SCHWAB BROKERAGE A/C #2023-9916

QTY	DESCRIPTION	DATE ACQUIRED	SALE DATE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
200	MARKET VECTORS AGRIBUSIN ESS ETF	11/23/2009	3/15/2016	\$9,335.62	\$8,545.33	\$790.29		\$790.29
1730	MARKET VECTORS AGRIBUSIN ESS ETF	11/23/2009	3/15/2016	\$80,753.06	\$73,963.85	\$6,789.21		\$6,789.21
300	MARKET VECTORS AGRIBUSIN ESS ETF	11/23/2009	3/15/2016	\$14,003.42	\$12,826.10	\$1,177.32		\$1,177.32
200	MARKET VECTORS AGRIBUSIN ESS ETF	11/23/2009	3/15/2016	\$9,335.61	\$8,550.73	\$784.88		\$784.88
1000	MARKET VECTORS AGRIBUSINESS ETF	11/23/2009	3/15/2016	\$46,678.07	\$42,733.67	\$3,944.40		\$3,944.40
1760.563	MFS INTL VALUE CL I	6/24/2015	11/23/2015	\$64,976.00	\$66,128.50	(\$1,152.50)	(\$1,152.50)	
4400.44	PIMCO FOREIGN BOND FD UNHEDGED INSTL CL	6/7/2012	11/23/2015	\$39,976.00	\$47,749.79	(\$7,773.79)		(\$7,773.79)
84.72	PIMCO TOTAL RETURN FUND INSTL CL	1/29/2015	11/23/2015	\$886.69	\$925.99	(\$39.30)	(\$39.30)	
6123.494	PIMCO TOTAL RETURN FUND INSTL CL	1/6/2010	11/23/2015	\$64,089.31	\$66,562.38	(\$2,473.07)		(\$2,473.07)
200	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$7,487.73	\$7,689.80	(\$202.07)		(\$202.07)
200	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$7,487.73	\$7,689.80	(\$202.07)		(\$202.07)
200	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$7,487.73	\$7,689.82	(\$202.09)		(\$202.09)
2000	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$74,877.33	\$76,948.00	(\$2,070.67)		(\$2,070.67)
100	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$3,743.87	\$3,846.70	(\$102.83)		(\$102.83)
100	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$3,743.87	\$3,843.89	(\$100.02)		(\$100.02)
200	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$7,487.73	\$7,687.82	(\$200.09)		(\$200.09)
23.183	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$867.94	\$891.13	(\$23.19)		(\$23.19)
789.817	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$29,569.70	\$30,437.97	(\$868.27)		(\$868.27)
100	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$3,743.87	\$3,853.78	(\$109.91)		(\$109.91)
1800	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$67,389.60	\$69,361.20	(\$1,971.60)		(\$1,971.60)
900	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$33,694.80	\$34,626.60	(\$931.80)		(\$931.80)
400	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$14,975.47	\$15,414.88	(\$439.41)		(\$439.41)
100	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$3,743.87	\$3,843.91	(\$100.04)		(\$100.04)
900	SPDR S&P OIL & GAS EXPL & PROD ETF	11/23/2009	10/23/2016	\$33,694.80	\$34,602.30	(\$907.50)		(\$907.50)
223.579	STERLING CAPITAL EQUITY INCM INST	6/28/2015	7/25/2016	\$4,080.21	\$4,252.48	(\$172.27)		(\$172.27)
258.216	STERLING CAPITAL EQUITY INCM INST	6/18/2013	7/25/2016	\$4,712.32	\$4,713.31	(\$0.99)		(\$0.99)
200.723	STERLING CAPITAL EQUITY INCM INST	3/26/2013	7/25/2016	\$3,663.10	\$3,504.63	\$158.47		\$158.47
201.582	STERLING CAPITAL EQUITY INCM INST	6/26/2013	7/25/2016	\$3,678.77	\$3,644.60	\$34.17		\$34.17
334.452	STERLING CAPITAL EQUITY INCM INST	12/29/2015	7/25/2016	\$6,103.59	\$5,605.42	\$498.17	\$498.17	
249.945	STERLING CAPITAL EQUITY INCM INST	9/28/2015	7/25/2016	\$4,561.38	\$4,254.07	\$307.31	\$307.31	
3066.923	STERLING CAPITAL EQUITY INCM INST	12/8/2015	7/25/2016	\$55,969.87	\$51,094.93	\$4,874.94	\$4,874.94	
45240.387	STERLING CAPITAL EQUITY INCM INST	12/27/2012	7/25/2016	\$825,615.36	\$724,775.00	\$100,840.36		\$100,840.36
256.516	STERLING CAPITAL EQUITY INCM INST	12/27/2012	7/25/2016	\$4,681.29	\$4,027.30	\$653.99		\$653.99
342.654	TEMPLETON GLOBAL BOND FU ND ADV CL	4/14/2014	11/23/2015	\$4,104.46	\$4,461.36	(\$356.90)		(\$356.90)
1012.403	TEMPLETON GLOBAL BOND FU ND ADV CL	6/14/2010	11/23/2015	\$12,127.01	\$13,151.11	(\$1,024.10)		(\$1,024.10)
889.836	TEMPLETON GLOBAL BOND FU ND ADV CL	11/14/2013	11/23/2015	\$10,658.85	\$11,585.67	(\$926.82)		(\$926.82)
728.087	TEMPLETON GLOBAL BOND FU ND ADV CL	1/14/2010	11/23/2015	\$8,721.35	\$9,421.45	(\$700.10)		(\$700.10)
1094.549	TEMPLETON GLOBAL BOND FU ND ADV CL	10/16/2011	11/23/2015	\$13,111.00	\$14,163.47	(\$1,052.47)		(\$1,052.47)
916.988	TEMPLETON GLOBAL BOND FU ND ADV CL	7/14/2013	11/23/2015	\$10,984.09	\$11,920.84	(\$936.75)		(\$936.75)
1018.016	TEMPLETON GLOBAL BOND FU ND ADV CL	7/14/2010	11/23/2015	\$12,194.25	\$13,254.57	(\$1,060.32)		(\$1,060.32)

ARIA FOUNDATION
FISCAL YEAR 11/1/2015 - 10/31/2016
CHARLES SCHWAB BROKERAGE A/C #2023-9916

QTY	DESCRIPTION	DATE ACQUIRED	SALE DATE	PROCEEDS	BASIS	TOTAL GAIN/(LOSS)	ST	LT
1720.141	TEMPLETON GLOBAL BOND FU ND ADV CL	12/15/2013	11/23/2015	\$20,604.62	\$22,361.83	(\$1,757.21)		(\$1,757.21)
59.052	TEMPLETON GLOBAL BOND FU ND ADV CL	12/15/2013	11/23/2015	\$707.35	\$767.68	(\$60.33)		(\$60.33)
7660.678	TEMPLETON GLOBAL BOND FUND ADV CL	1/6/2010	11/23/2015	\$91,763.02	\$99,129.17	(\$7,366.15)		(\$7,366.15)
100	VANGUARD TOTAL STOCK MAR KET ETF	12/8/2009	10/23/2016	\$11,020.20	\$5,542.80	\$5,477.40		\$5,477.40
209	VANGUARD TOTAL STOCK MAR KET ETF	12/8/2009	10/23/2016	\$23,032.22	\$11,584.45	\$11,447.77		\$11,447.77
300	VANGUARD TOTAL STOCK MAR KET ETF	12/8/2009	10/23/2016	\$33,060.61	\$16,628.40	\$16,432.21		\$16,432.21
552	VANGUARD TOTAL STOCK MAR KET ETF	12/8/2009	10/23/2016	\$60,831.52	\$30,596.81	\$30,234.71		\$30,234.71
100	VANGUARD TOTAL STOCK MAR KET ETF	12/8/2009	10/23/2016	\$11,020.20	\$5,542.80	\$5,477.40		\$5,477.40
100	VANGUARD TOTAL STOCK MARKET ETF	12/8/2009	10/23/2016	\$11,020.20	\$5,542.85	\$5,477.35		\$5,477.35
				\$6,579,008.87	\$6,090,495.33	\$488,513.54		
							(\$48,624.66)	\$537,138.20
				\$1,332,934.24	\$1,381,558.90	\$ (48,624.66)		
SHORT TERM				\$5,246,074.63	\$4,708,936.43	\$ 537,138.20		
LONG TERM								

ARIA FOUNDATION
FISCAL YEAR 11/1/2015 - 10/31/2016
CHARLES SCHWAB BROKERAGE A/C #6651-4740

QTY	DESCRIPTION	DATE ACQUIRED	DATE SALE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
425	AGRIUM INC F	12/10/2008	1/31/2016	\$36,340.63	\$13,587.16	\$22,753.47		\$22,753.47
225	ALLEGION PUBLIC LTD CO F	12/11/2013	1/31/2016	\$13,592.05	\$5,749.81	\$7,842.24		\$7,842.24
185	AXA SA F ADR 1 ADR REPS 1 ORD SHS	6/25/2008	1/31/2016	\$4,518.20	\$5,648.66	(\$1,130.46)		(\$1,130.46)
450	BASF SE F ADR 1 ADR REPS 1 ORD SHS	6/25/2008	1/31/2016	\$29,495.18	\$31,419.00	(\$1,923.82)		(\$1,923.82)
10	BASF SE F ADR 1 ADR REPS 1 ORD SHS	5/15/2006	1/31/2016	\$655.45	\$421.32	\$234.13		\$234.13
950	BHP BILLITON LTD F ADR 1 ADR REPS 2 ORD SHS	6/25/2008	1/31/2016	\$20,634.94	\$77,196.15	(\$56,561.21)		(\$56,561.21)
90	BHP BILLITON LTD F ADR 1 ADR REPS 2 ORD SHS	5/15/2006	1/31/2016	\$1,954.89	\$4,090.50	(\$2,135.61)		(\$2,135.61)
300	BRIT AMER TOBACCO F ADR 1 ADR REPS 2 ORD SHS	6/25/2008	1/31/2016	\$33,418.50	\$20,487.42	\$12,931.08		\$12,931.08
90	BRIT AMER TOBACCO F ADR 1 ADR REPS 2 ORD SHS	12/23/2007	1/31/2016	\$10,025.55	\$7,158.87	\$2,866.68		\$2,866.68
375	BROOKFIELD ASSET MGM F C LASS A	6/25/2008	1/31/2016	\$11,172.69	\$8,348.08	\$2,824.61		\$2,824.61
198	BROOKFIELD ASSET MGM F CLASS A	5/15/2006	1/31/2016	\$5,899.18	\$3,653.76	\$2,245.42		\$2,245.42
50	CANADIAN NATL RAILWAY F	12/4/2011	1/31/2016	\$2,672.44	\$1,983.00	\$689.44		\$689.44
280	CANADIAN NATL RAILWAY F	5/15/2006	1/31/2016	\$14,965.68	\$6,207.68	\$8,758.00		\$8,758.00
550	CANADIAN NATL RAILWAY F	6/25/2008	1/31/2016	\$29,396.86	\$13,414.20	\$15,982.66		\$15,982.66
135	CANADIAN NATURAL RES F	9/20/2007	1/31/2016	\$2,819.38	\$5,192.59	(\$2,373.21)		(\$2,373.21)
450	CANADIAN NATURAL RES F	6/25/2008	1/31/2016	\$9,397.95	\$21,421.80	(\$12,023.85)		(\$12,023.85)
100	CANADIAN PAC RAILWAY F	5/9/2010	1/31/2016	\$11,951.18	\$5,743.24	\$6,207.94		\$6,207.94
235	CANADIAN PAC RAILWAY F	6/26/2008	1/31/2016	\$28,085.28	\$15,897.43	\$12,187.85		\$12,187.85
100	CANADIAN PAC RAILWAY F	5/10/2010	1/31/2016	\$11,951.18	\$5,808.65	\$6,142.53		\$6,142.53
100	CANADIAN PAC RAILWAY F	5/9/2010	1/31/2016	\$11,951.18	\$5,755.47	\$6,195.71		\$6,195.71
25	CANADIAN PAC RAILWAY F	12/13/2010	1/31/2016	\$2,987.80	\$1,614.53	\$1,373.27		\$1,373.27
255	CHICAGO BRIDGE & IRON F ADR 1 ADR REPS ORD SHS	12/9/2015	1/31/2016	\$9,818.57	\$9,886.45	(\$67.88)		
90	CORE LABORATORIES F	4/18/2006	1/31/2016	\$8,579.19	\$2,533.50	\$6,045.69		\$6,045.69
50	CORE LABORATORIES F	5/15/2006	1/31/2016	\$4,766.21	\$1,487.00	\$3,279.21		\$3,279.21
10	DANONE F ADR 1 ADR REPS 0 2 ORD SHS	4/19/2006	1/31/2016	\$137.34	\$132.10	\$5.24		\$5.24
550	DANONE F ADR 1 ADR REPS 0 2 ORD SHS	5/15/2006	1/31/2016	\$7,553.57	\$7,217.84	\$335.73		\$335.73
100	DIAGEO PLC F ADR 1 ADR R EPS 4 ORD SHS	12/21/2006	1/31/2016	\$11,005.81	\$7,821.91	\$3,183.90		\$3,183.90
200	DIAGEO PLC F ADR 1 ADR REPS 4 ORD SHS	6/25/2008	1/31/2016	\$22,011.63	\$14,767.76	\$7,243.87		\$7,243.87
484	EATON CORP PLC F	11/29/2012	1/31/2016	\$24,298.92	\$23,633.11	\$665.81		\$665.81
75	EATON CORP PLC F	9/19/2013	1/31/2016	\$3,765.33	\$5,161.20	(\$1,395.87)		(\$1,395.87)
50	EATON CORP PLC F	12/19/2012	1/31/2016	\$2,510.22	\$2,581.71	(\$71.49)		(\$71.49)
100	ENSCO PLC F CLASS A	10/28/2013	1/31/2016	\$937.35	\$5,825.04	(\$4,887.69)		(\$4,887.69)
50	ENSCO PLC F CLASS A	10/29/2013	1/31/2016	\$468.68	\$2,918.24	(\$2,449.56)		(\$2,449.56)
400	ENSCO PLC F CLASS A	10/28/2013	1/31/2016	\$3,749.42	\$23,299.16	(\$19,549.74)		(\$19,549.74)
50	FINNING INTL INC F	12/21/2010	1/31/2016	\$633.25	\$1,341.17	(\$707.92)		(\$707.92)
50	FINNING INTL INC F	12/22/2010	1/31/2016	\$633.25	\$1,358.62	(\$725.37)		(\$725.37)
25	INGERSOLL RAND PLC F	9/19/2013	1/31/2016	\$1,272.40	\$1,425.17	(\$152.77)		(\$152.77)
550	INGERSOLL RAND PLC F	6/25/2008	1/31/2016	\$27,992.69	\$15,422.75	\$12,569.94		\$12,569.94
100	INGERSOLL RAND PLC F	9/19/2013	1/31/2016	\$5,089.58	\$5,710.74	(\$621.16)		(\$621.16)
115	LYONDELLBASELL INDS F CLASS A	12/7/2015	1/31/2016	\$8,874.64	\$10,074.98	(\$1,200.34)		(\$1,200.34)
225	MANULIFE FINANCIAL F	6/25/2008	1/31/2016	\$3,091.49	\$8,018.35	(\$4,926.86)		(\$4,926.86)
3675	NABORS INDUSTRIES F	12/28/2014	1/31/2016	\$24,319.10	\$47,281.58	(\$22,962.48)		(\$22,962.48)
812 5	NESTLE SA F ADR 1 ADR RE PS 1 ORD SHS	6/25/2008	1/31/2016	\$60,142.07	\$36,835.50	\$23,306.57		\$23,306.57
							(\$1,200.34)	

ARIA FOUNDATION
FISCAL YEAR 11/1/2015 - 10/31/2016
CHARLES SCHWAB BROKERAGE A/C #8651-4740

QTY	DESCRIPTION	DATE ACQUIRED	DATE SALE	PROCEEDS	BASIS	TOTAL GAIN / (LOSS)	ST	LT
262 5	NESTLE SA F ADR 1 ADR REPS 1 ORD SHS	5/15/2006	1/31/2016	\$19,430.52	\$8,048.25	\$11,382.27		\$11,382.27
1100	NOBLE CORP PLC F	6/25/2008	1/31/2016	\$8,254.35	\$61,292.32	(\$53,037.97)		(\$53,037.97)
415	NOBLE CORP PLC F	5/15/2006	1/31/2016	\$3,114.14	\$13,294.40	(\$10,180.26)		(\$10,180.26)
5	NOVARTIS AG F ADR 1 ADR REPS 1 ORD SHS	4/18/2006	1/31/2016	\$386.17	\$284.66	\$101.51		\$101.51
250	NOVARTIS AG F ADR 1 ADR REPS 1 ORD SHS	5/15/2006	1/31/2016	\$19,308.37	\$14,452.03	\$4,856.34		\$4,856.34
150	PARTNERRE LTD F	6/25/2008	1/31/2016	\$21,054.90	\$10,813.50	\$10,241.40		\$10,241.40
35	PARTNERRE LTD F	4/18/2006	1/31/2016	\$4,912.81	\$2,106.87	\$2,805.94		\$2,805.94
100	PARTNERRE LTD F	5/15/2006	1/31/2016	\$14,036.60	\$6,272.31	\$7,764.29		\$7,764.29
1650	POTASH CORP SASK INC F	6/25/2008	1/31/2016	\$26,194.21	\$120,168.79	(\$93,974.58)		(\$93,974.58)
370	POTASH CORP SASK INC F	5/15/2006	1/31/2016	\$5,873.85	\$3,993.67	\$1,880.18		\$1,880.18
185	RIO TINTO PLC F ADR 1 AD R REPS 1 ORD SHS	5/15/2006	1/31/2016	\$4,530.89	\$10,615.76	(\$6,084.87)		(\$6,084.87)
900	RIO TINTO PLC F ADR 1 ADR REPS 1 ORD SHS	6/25/2008	1/31/2016	\$22,042.17	\$101,719.58	(\$79,677.41)		(\$79,677.41)
725	SCHLUMBERGER LTD F	6/25/2008	1/31/2016	\$51,800.96	\$75,548.34	(\$23,747.38)		(\$23,747.38)
260	SCHLUMBERGER LTD F	5/15/2006	1/31/2016	\$18,576.89	\$17,410.43	\$1,166.46		\$1,166.46
416	SOUTH32 LTD F ADR 1 ADR REPS 5 ORD SHS	5/17/2015	1/31/2016	\$1,424.14	\$3,744.00	(\$2,319.86)		(\$2,319.86)
440	SUNCOR ENERGY INC F	9/20/2007	1/31/2016	\$10,046.24	\$20,979.24	(\$10,933.00)		(\$10,933.00)
750	SUNCOR ENERGY INC F	6/25/2008	1/31/2016	\$17,124.27	\$43,911.68	(\$26,787.41)		(\$26,787.41)
50	SUNCOR ENERGY INC F	7/2/2013	1/31/2016	\$1,141.62	\$1,481.42	(\$339.80)		(\$339.80)
325	TECK RESOURCES LTD CL BF	12/9/2012	1/31/2016	\$1,170.78	\$11,500.32	(\$10,329.54)		(\$10,329.54)
25	TENARIS S A F ADR 1 ADR REPS 2 ORD SHS	9/24/2013	1/31/2016	\$514.31	\$1,217.68	(\$703.37)		(\$703.37)
100	TENARIS S A F ADR 1 ADR REPS 2 ORD SHS	9/24/2013	1/31/2016	\$2,057.25	\$4,866.34	(\$2,809.09)		(\$2,809.09)
725	TENARIS S A F ADR 1 ADR REPS 2 ORD SHS	6/25/2008	1/31/2016	\$14,915.04	\$49,791.12	(\$34,876.08)		(\$34,876.08)
200	TENARIS S A F ADR 1 ADR REPS 2 ORD SHS	9/23/2013	1/31/2016	\$4,114.49	\$9,644.78	(\$5,530.29)		(\$5,530.29)
200	TENARIS S A F ADR 1 ADR REPS 2 ORD SHS	9/23/2013	1/31/2016	\$4,114.49	\$9,646.28	(\$5,531.79)		(\$5,531.79)
101	UBS GROUP AG F US SHARE	5/15/2006	1/31/2016	\$1,664.49	\$5,450.67	(\$3,786.18)		(\$3,786.18)
125	UBS GROUP AG F US SHARE	6/25/2008	1/31/2016	\$2,060.01	\$2,775.00	(\$714.99)		(\$714.99)
100	UNILEVER N V F ADR 1 ADR REPS 1 ORD SHS	12/26/2007	1/31/2016	\$4,447.86	\$3,712.45	\$735.41		\$735.41
750	UNILEVER N V F ADR 1 ADR REPS 1 ORD SHS	6/25/2008	1/31/2016	\$33,358.99	\$21,451.35	\$11,907.64		\$11,907.64
1950	VALE SA F ADR 1 ADR REPS 1 ORD SHS	6/25/2008	1/31/2016	\$4,615.23	\$69,131.99	(\$64,516.76)		(\$64,516.76)
670	VALE SA F ADR 1 ADR REPS 1 ORD SHS	5/15/2006	1/31/2016	\$1,585.74	\$8,507.32	(\$6,921.58)		(\$6,921.58)
200	VALE SA F ADR 1 ADR REPS 1 ORD SHS	12/27/2012	1/31/2016	\$473.36	\$4,119.02	(\$3,645.66)		(\$3,645.66)
1930	WEATHERFORD INTL F	6/25/2008	1/31/2016	\$12,209.10	\$90,177.13	(\$77,968.03)		(\$77,968.03)
350	WEATHERFORD INTL F	3/25/2015	1/31/2016	\$2,214.08	\$4,386.44	(\$2,172.36)		(\$2,172.36)
150	YARA INTL ASA F ADR 1 AD R REPS 1 ORD SHS	6/25/2008	1/31/2016	\$5,648.58	\$13,026.00	(\$7,377.42)		(\$7,377.42)
40	YARA INTL ASA F ADR 1 ADR REPS 1 ORD SHS	5/15/2006	1/31/2016	\$1,506.29	\$604.00	\$902.29		\$902.29
				<u>\$871,460.09</u>	<u>\$1,321,678.34</u>	<u>(\$450,218.25)</u>	<u>(\$5,760.44)</u>	<u>(\$444,457.81)</u>

SHORT TERM
LONG TERM

\$22,331.43
\$ 849,128.66

\$28,091.87
\$1,293,586.47

\$ (5,760.44)
\$ (444,457.81)

03 32PM

Statement 5
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE TAXES PAID	\$ 10,000.			
Total	\$ 10,000.	\$ 0.	\$ 0.	\$ 0.

Statement 6
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ALBRIGHT VENTURES, LLC - 13K,L	\$ 23,540.	\$ 23,540.		
EJF ARMADILLO FINL FD, LP - 18C	11.			
MPM BIOVENTURES V, LP - 13K	31,677.	31,677.		
Total	\$ 55,228.	\$ 55,217.	\$ 0.	\$ 0.

Statement 7
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1	SCHWAB GAA - SEE STATEMENT ATTACHED (ST)	Purchased	Various	Various
2	SCHWAB GAA - SEE STATEMENT ATTACHED (LT)	Purchased	Various	Various
3	SCHWAB WW - SEE STATEMENT ATTACHED (ST)	Purchased	Various	Various
4	SCHWAB WW - SEE STATEMENT ATTACHED (LT)	Purchased	Various	Various
5	MPM BIOVENTURES V, LP (FLOW-THRU - ST)	Purchased	Various	Various
6	MPM BIOVENTURES V, LP (FLOW-THRU - LT)	Purchased	Various	Various
7	ALBRIGHT VENTURES, LLC (FLOW-THRU - LT)	Purchased	Various	Various
8	Capital Gain Dividends			

	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)
Item	Gross Sales	Deprec. Allowed	Cost Basis	Gain (Loss)	FMV 12/31/69	Adj. Bas. 12/31/69	Excess (i) - (j)	Gain (Loss)
1	1332934.		1381559.	-48,625.				\$ -48,625.
2	5246075.		4708936.	537,139.				537,139.
3	22,331.		28,092.	-5,761.				-5,761.
4	849,129.		1293586.	-444,457.				-444,457.
5	226,936.		0.	226,936.				226,936.
6	244,939.		0.	244,939.				244,939.
7	38,317.		0.	38,317.				38,317.
8								572,341.
							Total	\$ 1120829.

Client ALB06

ARIA FOUNDATION

13-3603275

Statement 8

Form 990-PF, Part VIII, Line 1

List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compensation	Contribution to EBP & DC	Expense Account / Other
ADAM ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	PRESIDENT PART-TIME	\$ -	\$ -	\$ -
RACHEL ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	VICE PRESIDENT PART-TIME	\$ -	\$ -	\$ -
MIKA RUEDA-ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	SECRETARY PART-TIME	\$ -	\$ -	\$ -
GARRETT ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	TREASURER PART-TIME	\$ -	\$ -	\$ -
TROY J GASS C/O TG TAX & ACCOUNTING SVCS, LLC 1710 WATERS EDGE DRIVE MINOOKA, IL 60447	ASSISTANT TREASURER PART-TIME	\$ -	\$ -	\$ -
GRAND TOTAL		<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

2015

Federal Supplemental Information

Page 1

Client ALB06

ARIA FOUNDATION
C/O TG TAX & ACCOUNTING SERVICES, LLC

13-3603275

3/15/17

11:18AM

Statement 9
Form 990-PF, Part XV
Information Regarding Managers Owning 10% Stock

ADAM, RACHEL & GARRETT ALBRIGHT AND MIKA RUEDA-ALBRIGHT COLLECTIVELY OWN GREATER THAN 10% OF ALBRIGHT VENTURES, LLC, A PARTNERSHIP CONSISTING OF POOLED INVESTORS. ARIA FOUNDATION IS ALSO INVESTED IN ALBRIGHT VENTURES, LLC, AND ALSO OWNS GREATER THAN 10% OF THAT ENTITY. ALBRIGHT VENTURES, LLC INVESTS PRIMARILY IN UNDERLYING VENTURE CAPITAL PARTNERSHIPS.

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
Amazon Conservation Team 4211 North Fairfax Drive Arlington, VA 22203	NONE PUBLIC	GENERAL	\$ 10,560.00
American Humanist Association 1821 Jefferson Place, NW Washington, DC 20036	NONE PUBLIC	GENERAL	\$ 15,000.00
Astrea Lesbian Foundation for Justice 116 East 16th Street, 7th Fl New York, NY 10003	NONE PUBLIC	GENERAL	\$ 35,000.00
Central Oregon Landwatch 50 SW Bond St., Suite 4 Bend, OR 97702	NONE PUBLIC	GENERAL	\$ 150,000.00
Doctors Without Borders PO Box 5030 Hagerstown, MD 21741-5030	NONE PUBLIC	GENERAL	\$ 75,000.00
Earthworks 1612 K St., NW, Suite 808 Washington, DC 20006	NONE PUBLIC	GENERAL	\$ 10,560.00
Global Greengrants Fund 2840 Wilderness Place, Suite E Boulder, CO 80301	NONE PUBLIC	GENERAL	\$ 10,560.00
ICLEI 1536 Wynkoop St., #901 Denver, CO 80202	NONE PUBLIC	GENERAL	\$ 10,560.00
League of Conservation Voters Education Fund 1920 L Street, NW, Suite 800 Washington, DC 20036	NONE PUBLIC	GENERAL	\$ 25,000.00
National Environmental Law Center 1402 Third Ave., Suite 715 Seattle, WA 98101	NONE PUBLIC	GENERAL	\$ 5,280.00
Natural Resources Defense Council 40 West 20th Street New York, NY 10011	NONE PUBLIC	GENERAL	\$ 127,733.00
Northwest Network of Bisexual, Transgender, Lesbian and Gay Survivors of Abuse PO Box 18436 Seattle, WA 98118	NONE PUBLIC	GENERAL	\$ 60,000.00

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
Oceana 1350 Connecticut Ave., NW, 5th Floor Washington, DC 20036	NONE PUBLIC	GENERAL	\$ 10,560.00
Oregon State Parks Foundation 888 SW Fifth Ave., Suite 1600 Portland, OR 97204	NONE PUBLIC	GENERAL	\$ 30,000.00
PCI Media Impact 777 United Nations Plaza, 5th Floor New York, NY 10017	NONE PUBLIC	GENERAL	\$ 100,000.00
Physical Science Innovations c/o CalCEF, 5 Third St., Suite 900 San Francisco, CA 94103	NONE PUBLIC	GENERAL	\$ 5,280.00
Pride Foundation 1122 East Pike St PMB 1001 Seattle, WA 98122-3934	NONE PUBLIC	GENERAL	\$ 5,000.00
Rainforest Alliance 233 Broadway, 28th Floor New York, NY 10279	NONE PUBLIC	GENERAL	\$ 210,560.00
Resource Generation 18 West 27th St., Floor 2 New York, NY 10001	NONE PUBLIC	GENERAL	\$ 16,000.00
Rocky Mountain Institute 1739 Snowmass Creek Road Snowmass, CO 81654-9199	NONE PUBLIC	GENERAL	\$ 300,000.00
San Francisco Baykeepers 1736 Franklin St., Suite 800 San Francisco, CA 94104	NONE PUBLIC	GENERAL	\$ 5,280.00
SF LGBT Center 1800 Market St San Francisco, CA 94102	NONE PUBLIC	GENERAL	\$ 50,000.00
Southerners on New Ground 250 Georgia Avenue, Suite 201 Atlanta, GA 30312	NONE PUBLIC	GENERAL	\$ 25,000.00
Sylvia Rivera Law Project 147 W 24th Street, 5th Floor New York, NY 10011	NONE PUBLIC	GENERAL	\$ 30,000.00

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
Tower Theatre Foundation 835 NW Wall St Bend, OR 97701	NONE PUBLIC	GENERAL	\$ 5,000 00
Trees For Life 3006 W St Louis Wichita, KS 67203	NONE PUBLIC	GENERAL	\$ 5,280 00
Trees, Water & People 633 Remington St Fort Collins, CO 80524	NONE PUBLIC	GENERAL	\$ 5,280 00
WaterWatch of Oregon 213 SW Ash St, Suite 208 Portland, OR 97204	NONE PUBLIC	GENERAL	\$ 10,000 00
Woods Hole Research Center 149 Woods Hole Road Falmouth, MA 02540	NONE PUBLIC	GENERAL	\$ 21,120 00
TOTAL CHARITABLE CONTRIBUTIONS			<u>\$ 1,369,613 00</u>

ARIA FOUNDATION Y/E 10/31/16 13-3603275		
	BASIS END	MKT VAL
FORM 990-PF PART II LINE 13, INVESTMENTS - OTHER	OF YEAR	END OF YEAR
CHARLES SCHWAB GAA ACCOUNT (SEE STATEMENT ATTACHED)	22,192,219 41	24,767,423 42
JABCAP EMEA FUND LTD	399,980 00	480,858 00
LIONGATE MULTI-STRATEGY FUND CLASS A	81,899 98	40,793 00
NB GREATER CHINA LONG/SHORT EQUITY FUND LTD	400,000 00	668,292 00
PRISMA SPECTRUM FUND LTD	1,288,991 43	1,444,974 00
ALBRIGHT VENTURES, LLC	1,058,559 57	986,542 57
EJF ARMADILLO FUND, LP	395,281 00	465,579 00
MPM BIOVENTURES V, LP	638,623 09	1,206,104 00
	26,455,554 48	30,060,565 99

ARIA FOUNDATION

13-3603275

CHARLES SCHWAB #2023-9916 Y/E 10/31/2016

MUTUAL FUNDS / OTHER INVESTMENTS

# SHARES	DESCRIPTION	10/31/2016 BOOK BASIS	10/31/2016 FMV
42,893 589	DODGE & COX INCOME FUND	583,905 85	593,647 27
205,471 882	DOUBLELINE TOTAL RETURN BD FD CL I	2,295,090.05	2,229,369.92
39,321 870	EATON VANCE ATLANTA CAP	718,827.59	1,057,758 30
6,400 000	ENERGY SELECT SECTOR	430,984.95	439,168 00
61,922 012	FRANKLIN INTL SMALL CAP GROWTH FD	1,050,172.15	1,076,823 79
23,770 226	HARTFORD CAPITAL APPR FUND CL I	815,072 66	823,638 33
31,400 000	ISHARES CORE MSCI EAFE	1,609,258.95	1,690,576 00
12,200 000	ISHARES S&P 500 GROWTH	1,476,674.99	1,453,142 00
75,859 513	LOOMIS SAYLES STRATEGIC	1,042,331.94	1,101,480 13
23,111 891	MFS INTERNATIONAL VALUE	866,422.71	875,247 31
33,564 320	OPPENHEIMER DEVELOPING MARKETS	985,066 69	1,138,837 38
61,708 160	PIMCO FOREIGN BOND	654,997.86	598,569 15
82,766 252	PIMCO TOTAL RETURN	894,993.09	850,837 07
127,710 547	PIONEER ILS INTERVAL	1,288,169.40	1,413,755 76
20,629 000	SPDR GOLD TRUST	1,119,072.63	2,515,500 26
21,404 000	SPDR S&P OIL & GAS EXPL PRODUCTION	633,778.14	756,631 40
233,708 365	TEMPLETON GLOBAL BOND	2,967,634 77	2,701,668 70
27,300 000	VANECK VECTORS GOLD	433,259.95	669,396 00
73,841 302	VANGUARD DIV GROWTH	1,770,000.00	1,688,012 16
10,041 000	VANGUARD TOTAL STOCK MKT	556,505.04	1,093,364 49
<u>TOTAL</u>		<u>\$ 22,192,219.41</u>	<u>\$ 24,767,423.42</u>