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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2015 or tax year beginning NOV 1, 2015, and ending OCT 31, 2016

Name of foundation
LAURA B. VOGLER FOUNDATION, INC.

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
51 DIVISION STREET, SUITE 501

City or town, state or province, country, and ZIP or foreign postal code
SAG HARBOR, NY 11963-3162

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 5,296,588.** (Part I, column (d) must be on cash basis)

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
11-6022241

B Telephone number
(718) 423-3000

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		149,690.	149,690.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<18,037.>			
b Gross sales price for all assets on line 6a		248,717.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		131,653.	149,690.		
13 Compensation of officers, directors, trustees, etc.		49,298.	13,390.		35,908.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		9,600.	3,360.		6,240.
c Other professional fees					
17 Interest					
18 Taxes		4,756.	1,039.		2,425.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		199.	119.		80.
22 Printing and publications					
23 Other expenses		6,614.	2,613.		4,001.
24 Total operating and administrative expenses. Add lines 13 through 23		70,467.	20,521.		48,654.
25 Contributions, gifts, grants paid		245,693.			245,693.
26 Total expenses and disbursements. Add lines 24 and 25		316,160.	20,521.		294,347.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<184,507.>			
b Net investment income (if negative, enter -0-)			129,169.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	65,827.	55,859.	55,859.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 5	379,236.	303,234.	311,320.
	b	Investments - corporate stock STMT 6	2,955,752.	2,852,245.	4,889,608.
	c	Investments - corporate bonds STMT 7	31,656.	31,656.	36,893.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis ▶ 10,054.			
		Less: accumulated depreciation STMT 8 ▶ 10,054.			
	15	Other assets (describe ▶ STATEMENT 9)	0.	2,908.	2,908.
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,432,471.	3,245,902.	5,296,588.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 10)	3,120.	1,058.	
	23	Total liabilities (add lines 17 through 22)	3,120.	1,058.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	3,429,351.	3,244,844.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
Net Assets or Fund Balances	30	Total net assets or fund balances	3,429,351.	3,244,844.	
	31	Total liabilities and net assets/fund balances	3,432,471.	3,245,902.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,429,351.
2	Enter amount from Part I, line 27a	2	<184,507.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	3,244,844.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,244,844.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULES ATTACHED		P	VARIOUS	10/31/16
b CAPITAL GAINS DIVIDENDS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 156,014.		266,754.	<110,740.>
b 92,703.			92,703.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<110,740.>
b			92,703.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<18,037.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	271,714.	5,287,956.	.051384
2013	266,074.	5,353,657.	.049699
2012	243,206.	4,963,475.	.048999
2011	208,020.	4,507,367.	.046151
2010	232,021.	4,472,932.	.051872

2 Total of line 1, column (d)	2	.248105
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049621
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	5,225,215.
5 Multiply line 4 by line 3	5	259,280.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,292.
7 Add lines 5 and 6	7	260,572.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	294,347.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,292.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,292.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,292.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	4,200.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,200.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,908.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input checked="" type="checkbox"/> 2,908. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► LAWRENCE L. D'AMATO, PRESIDENT Telephone no. ► 718-423-3000 Located at ► 51 DIVISION STREET, #501, SAG HARBOR, NY ZIP+4 ► 11963-1414		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

(a) Name and address of each person paid more than \$50,000

(b) Type of service

(c) Compensation

NONE

0

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A

2

3

4

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A
---	-----

2

All other program-related investments. See instructions.

3

Total. Add lines 1 through 3

0.

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,280,189.
b	Average of monthly cash balances	1b	24,598.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,304,787.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,304,787.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	79,572.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,225,215.
6	Minimum investment return. Enter 5% of line 5	6	261,261.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	261,261.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,292.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,292.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	259,969.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	259,969.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	259,969.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	294,347.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	294,347.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,292.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	293,055.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				259,969.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014	13,098.			
f Total of lines 3a through e	13,098.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$	294,347.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				259,969.
e Remaining amount distributed out of corpus	34,378.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	47,476.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	47,476.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014	13,098.			
e Excess from 2015	34,378.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SCHEDULES ATTACHED				245,693.
Total			3a	245,693.
b Approved for future payment				
NONE				
Total			3b	0.

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

1a(1)	X
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1a(2)		X
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1b(1)	X
-------	---

1b(1)	X
-------	---

1b(2)		X
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1b(3)		X
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1b(4)		X
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1b(5)		X
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1b(6)		X
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1c		X
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ther assets,

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Paid Preparer Use Only	Print/Type preparer's name DOMENICK J. TARANTINO, C.P.A.	Preparer's signature <i>Dom J. Tarantino CPA</i>	Date 03/07/17	Check <input type="checkbox"/> if self-employed	PTIN P00079287
	Firm's name ▶ DOMENICK J. TARANTINO, CPA, PC			Firm's EIN ▶ 11-2789711	
	Firm's address ▶ 877 N. CORONA AVENUE N. VALLEY STREAM, NY 11580			Phone no. 516-872-6300	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	242,393.	92,703.	149,690.	149,690.	
TO PART I, LINE 4	242,393.	92,703.	149,690.	149,690.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	9,600.	3,360.		6,240.
TO FORM 990-PF, PG 1, LN 16B	9,600.	3,360.		6,240.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	3,464.	1,039.		2,425.
FEDERAL EXCISE	1,292.	0.		0.
TO FORM 990-PF, PG 1, LN 18	4,756.	1,039.		2,425.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	250.	0.		250.
MEMBERSHIP DUES	4,450.	1,558.		2,892.
INSURANCE	985.	591.		394.
POSTAGE, STATIONARY & MISC.	929.	464.		465.
TO FORM 990-PF, PG 1, LN 23	6,614.	2,613.		4,001.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
	X		303,234.	311,320.
TOTAL U.S. GOVERNMENT OBLIGATIONS			303,234.	311,320.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			303,234.	311,320.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	2,852,245.	4,889,608.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,852,245.	4,889,608.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	31,656.	36,893.
TOTAL TO FORM 990-PF, PART II, LINE 10C	31,656.	36,893.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	8
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,911.	2,911.	0.
SOFTWARE	6,079.	6,079.	0.
SOFTWARE	1,064.	1,064.	0.
TOTAL TO FM 990-PF, PART II, LN 14	10,054.	10,054.	0.

LAURA B. VOGLER FOUNDATION, INC.

11-6022241

FORM 990-PF:	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PREPAID FEDERAL EXCISE TAX	0.	2,908.	2,908.
TO FORM 990-PF, PART II, LINE 15	0.	2,908.	2,908.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAXES PAYABLE	972.	1,058.
FEDERAL EXCISE TAX PAYABLE	2,148.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	3,120.	1,058.

THE LAURA B. VOGLER FOUNDATION, INC.

OCTOBER 31, 2016

FORM 990PF

ID NUMBER: 11-6022241

STATEMENT 10

Other Liabilities

	<u>Beginning of Year</u>	<u>End of Year</u>
Taxes Payable	\$ 972 00	\$ 1,058 00
Federal Excise Tax Payable	<u>2,148.00</u>	<u>0 00</u>
TOTAL	<u>\$ 3,120 00</u>	<u>\$ 1,058 00</u>

STATEMENT 11

Part VIII---

Information about Officers/Directors:

	<u>Salaries</u>	<u>Grant Review Fees</u>	<u>Director Fees</u>	<u>TOTAL</u>
Lawrence L. D'Amato--President & Director 51 Division Street, #501, Sag Harbor, New York 11963-1414	\$ 34,458.00	\$0 00	\$ 950 00	
Rev. Stephen S. Schwander--Director 51 Division Street, #501, Sag Harbor, New York 11963-1414		\$ 1,600.00	\$ 950 00	
Karen M. Yost--Director 51 Division Street, #501, Sag Harbor, New York 11963-1414		\$ 1,600.00	\$ 950.00	
June D'Amato--Director/Secretary 51 Division Street, #501, Sag Harbor, New York 11963-1414	\$ 6,240 00	\$1,600 00	\$ 950 00	
	<u>\$ 40,698.00</u>	<u>\$ 4,800 00</u>	<u>\$ 3,800.00</u>	<u>\$ 49,298 00</u>

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LAURA B. VOGLER FOUNDATION, INC.--PO BOX 610508, BAYSIDE, NY 11361-0508

FORM AND CONTENT OF APPLICATIONS

NEW YORK AREA COMMON APPLICATION FORM WITH SUPPORTING DOCUMENTATION

ANY SUBMISSION DEADLINES

FORMAL APPLICATIONS SHOULD BE SUBMITTED NO LATER THAN THE 1ST DAY OF
JANUARY, APRIL, JULY OR OCTOBER

RESTRICTIONS AND LIMITATIONS ON AWARDS

MODEST SIZED GRANTS TO ORGANIZATIONS CONCERNED WITH THE HEALTH, WELL BEING,
AND EDUCATION OF CHILDREN, THE DISADVANTAGED AND THE ELDERLY.
GEOGRAPHICALLY, GRANTS ARE MADE ONLY IN THE NEW YORK CITY AND LONG ISLAND.

THE LAURA B. VOGLER FOUNDATION, INC.
STATEMENT OF FINANCIAL POSITION
OCTOBER 31, 2016

Page 2

Assets

	Total Market Values	Principal Fund	Income Fund
Cash	\$55,859 14	\$55,859 14	
Cash Due from Income Fund		(1,057 81)	\$1,057 81
Corporate Stocks & Mutual Funds - per Schedule 1	4,889,607 99	4,889,607 99	
Bonds - U S Government GNMA's	311,319 85	311,319 85	
Bonds - Corporate & Other Obligations	36,893 40	36,893 40	
Prepaid Federal Excise Tax	2,908 00	2,908 00	
Total Assets	\$5,296,588 38	\$5,295,530 57	\$1,057 81

Liabilities and Unrestricted Net Assets

<i>Liabilities</i>		
Payroll Taxes Payable	\$0 00	1,057 81
Total Liabilities	\$0 00	\$1,057 81

Unrestricted Net Assets

Balance October 31, 2015	\$5,276,882 18	
Realized Gain<Loss> on Sale & Redemption of Securities per Schedule 1	(110,739 86)	
Excess of Expenses over Income and Grants	(73,766 71)	
Unrealized holding gain <loss> on investments	203,154 96	
Balance October 31, 2016	\$5,295,530 57	\$0 00
Total Liabilities and Net Assets	\$5,295,530 57	\$1,057 81

See accompanying notes to the financial statements

THE LAURA B. VOGLER FOUNDATION, INC.
COMPARATIVE STATEMENT OF INCOME, EXPENSES AND GRANTS
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2016 AND 2015

Page 3

Unrestricted Income Fund

Income	October 31, 2016	October 31, 2015	Increase (Decrease)
Dividends on Corporate Stocks & Mutual Funds			
- per Schedule 1	\$225,273 22	\$305,532 41	(\$80,259 19)
Interest on U S Government & Agency Obligations			
- per Schedule 1	15,067 05	11,560 94	3,506 11
Interest on Corporate Obligations	2,051 26	2,051 26	0 00
Dividends - Merrill Lynch Cash			
Management Account	1 77	5 02	(3.25)
Total Income	<u>\$242,393 30</u>	<u>\$319,149 63</u>	<u>(\$76,756 33)</u>
Expenses			
Directors Fees	\$8,600 00	\$11,050 00	(\$2,450.00)
President's Compensation	34,457 46	33,274 92	\$1,182 54
Secretary's Compensation	6,240 00	6,000 00	\$240.00
Accounting Fees	9,600 00	9,300 00	\$300 00
Filing Fees	250 00	250 00	\$0 00
Membership Dues	4,450 00	1,500 00	\$2,950 00
Postage, Stationery & Miscellaneous	928 91	376 30	\$552 61
Insurance	985 14	937 60	\$47.54
Payroll Taxes	3,464 28	3,904 19	(\$439 91)
Conference & Meetings	199 37	589 28	(\$389 91)
Total Expenses before Federal Tax	<u>\$69,175 16</u>	<u>\$67,182.29</u>	<u>\$1,992 87</u>
Federal Excise Tax	<u>1,292 00</u>	<u>4,188 00</u>	<u>(\$2,896 00)</u>
Total Expenses	<u>\$70,467 16</u>	<u>\$71,370 29</u>	<u>(\$903 13)</u>
Excess of Income over Expenses	\$171,926 14	\$247,779 34	(\$75,853 20)
Grants & Distributions	245,692 85	229,081 00	\$16,611.85
Excess (Expenses)/ Income	<u>(\$73,766 71)</u>	<u>\$18,698 34</u>	<u>(\$92,465 05)</u>

See accompanying notes to the financial statements

THE LAURA B. VOGLER FOUNDATION, INC.
STATEMENT OF CASH FLOWS
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2016

Page 4

Cash flows from operating activities:

Change in net assets	\$18,648.39
Adjustments to reconcile changes in net assets to net cash used by operating activities:	
Net unrealized and realized gains on investments	(92,415.10)
Cash used for prepaid federal excise tax	(5,056.00)
Increase in payroll taxes payable	85.70
	(\$78,737.01)

Cash flows from investing activities:

Proceeds from sale of investments	\$156,013.82
Purchase of investments	(87,244.91)
Net cash used by investing activities	\$68,768.91

Net increase in cash	(\$9,968.10)
Cash at beginning of year	65,827.24
Cash at end of year	\$55,859.14

See accompanying notes to the financial statements

THE LAURA B. VOGLER FOUNDATION, INC.
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2016

SCHEDULE 1
Page #5

Corporate Stocks & Mutual Funds	On Hand / November 30, 2015			Fiscal Year Transactions			On Hand / October 31, 2016			Market Value	Fiscal Year Income
	# of Shs. or S Amt.	Value	# of Shs. or S Amt.	Purchases Cost	Sales and Redemptions	Gain (loss)	# of Shs. or S Amt.	Value	Inventory		
Apple, Inc	300	\$33,455.09	8	104.31	\$4.97	\$4.97	300	\$33,455.09	\$104.31	\$33,460.00	\$654.00
Advantix, Inc	2,000	\$51,829.59	(2,000)		\$12,075.88	(\$9,753.71)	0	\$0.00		\$0.00	\$60.00
Alcoa Inc	3,120	\$13,413.11					3,120	\$13,413.11		\$13,413.11	\$5,959.20
A T & T, Inc	1,500	\$50,792.09					1,500	\$50,792.09		\$50,792.09	\$1,050.00
Bank of New York Mellon Corp	5,710	\$198,664.79	(1,357)		\$50,000.00	(\$25,146.40)	4,353	\$141,924.26		\$141,924.26	\$18,405.87
Blackrock Basic Value Fund "A"	8,937	\$152,342.43	(68)				8,869	\$152,342.43		\$152,342.43	\$14,564.81
Blackrock Global Allocation Fund "A"	8,179	\$80,303.31	(43)	\$596.58			8,136	\$80,899.89		\$80,899.89	\$596.58
Blackrock Global Opport Port Fund "A"	32,239	\$374,421.38	2,464	\$29,291.91			34,703	\$403,713.29		\$403,713.29	\$29,291.91
Blackrock Long Horizon Equity Fund	3,200	\$82,820.21					3,200	\$82,820.21		\$82,820.21	\$4,832.00
Bristol-Myers Squibb	157	\$20,332.03					157	\$20,332.03		\$20,332.03	\$656.26
Boeing Company	1,540	\$32,719.27					1,540	\$32,719.27		\$32,719.27	\$6,591.20
Chevron Corp	3,200	\$78,598.26					3,200	\$78,598.26		\$78,598.26	\$4,416.00
Coca Cola Corp	11,277	\$151,089.96	173	\$3,797.23			11,450	\$154,887.19		\$154,887.19	\$3,797.23
Cohen & Steers Dividend Fund	2,000	\$44,418.08					2,000	\$44,418.08		\$44,418.08	\$2,000.00
Conagra Foods Inc	2,000	\$56,500.00					2,000	\$56,500.00		\$56,500.00	\$5,320.00
Con Edison NY	666	\$38,819.94					666	\$38,819.94		\$38,819.94	\$2,217.78
Duke Energy Corp	2,300	\$90,493.50					2,300	\$90,493.50		\$90,493.50	\$3,496.00
DuPont El De Nemours	3,168	\$38,548.11					3,168	\$38,548.11		\$38,548.11	\$9,377.28
Exxon Mobil Corp	400	\$30,525.00					400	\$30,525.00		\$30,525.00	\$2,000.00
FirstEnergy Corp	2,600	\$101,210.84					2,600	\$101,210.84		\$101,210.84	\$2,392.00
General Electric	208	\$20,352.82					208	\$20,352.82		\$20,352.82	\$495.04
Honeywell Int'l Inc	1,300	\$42,169.51					1,300	\$42,169.51		\$42,169.51	\$1,326.00
Intel Corp	2,100	\$66,878.24					2,100	\$66,878.24		\$66,878.24	\$3,696.00
International Paper Co	500	\$52,492.85					500	\$52,492.85		\$52,492.85	\$2,700.00
Int'l Business Machines	1,100	\$51,599.15					1,100	\$51,599.15		\$51,599.15	\$3,036.00
J M Smucker Co	550	\$35,845.93					550	\$35,845.93		\$35,845.93	\$1,705.00
Johnson & Johnson	2,000	\$51,314.51	(877)		\$9,797.81	(\$26,628.39)	2,000	\$51,314.51		\$51,314.51	\$4,560.00
JP Morgan Chase & Co	877	\$56,426.20					0	\$0.00		\$0.00	\$447.27
Kinder Morgan, Inc	300	\$31,648.10					300	\$31,648.10		\$31,648.10	\$1,980.00
Lockheed Martin Corp	8,782	\$171,348.83	1,367	\$35,153.32			10,149	\$206,502.15		\$206,502.15	\$35,153.32
Lord Abbett Alpha Strategy Fund "A"	1,300	\$124,633.92					1,300	\$124,633.92		\$124,633.92	\$4,628.00
McDonalds Corp	3,600	\$96,467.02					3,600	\$96,467.02		\$96,467.02	\$12,168.00
Nextera Energy, Inc	500	\$16,027.94					500	\$16,027.94		\$16,027.94	\$380.00
Oshkosh Corporation	1,575	\$65,811.77					1,575	\$65,811.77		\$65,811.77	\$4,197.06
Procter & Gamble	1,000	\$27,349.89	(1,000)		\$14,204.94	(\$13,144.95)	0	\$0.00		\$0.00	\$375.00
Seaspan Corp	750	\$25,348.86					750	\$25,348.86		\$25,348.86	\$3,267.20
SLM Corp Pfd	1,000	\$27,984.00					1,000	\$27,984.00		\$27,984.00	\$1,585.00
Spectra Energy Corp	2,000	\$54,825.83					2,000	\$54,825.83		\$54,825.83	\$1,440.00
Superior Industries Int'l	1,490	\$45,042.70					1,490	\$45,042.70		\$45,042.70	\$2,065.33
TD Bank	8,188	\$134,612.41					8,188	\$134,612.41		\$134,612.41	\$18,504.88
Verizon Communications Corp	1,000	\$34,220.68					1,000	\$34,220.68		\$34,220.68	\$1,510.00
Wells Fargo & Co	2,000	\$42,054.26					2,000	\$42,054.26		\$42,054.26	\$3,800.00
W G L Holdings Inc	136,613	\$2,955,752.41	(\$1,333.00)	\$87,244.91	\$86,083.60	(\$104,668.48)	135,280	\$2,852,245.24		\$2,852,245.24	\$225,273.22
Total Corporate Stocks											

THE LAURA B. VOGLER FOUNDATION, INC.
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2016

SCHEDULE 1
Page #6

U.S. Government Obligations	On Hand November 1, 2015		# of Shs. or \$ Amt.	Purchases Cost	Sales and Redemptions	Gain (loss)	Amortization OID	# of Shs. or \$ Amt.	On Hand October 31, 2016		Market Value	Fiscal Year Income
	# of Shs. or \$ Amt.	Inventory Value							Inventory Value			
GNMA P195501 9 5% 2016	62	\$62.57	(62)		\$62.28	(\$0.29)		0		(\$0.00)	\$0.00	\$2.92
GNMA P146412 9 5% 2016	62	\$62.23	(62)		\$62.40	\$0.17		0		(\$0.00)	\$0.00	\$1.11
GNMA P176654 9 0% 2016	78	\$78.88	(78)		\$78.13	(\$0.75)		0		\$0.00	\$0.00	\$2.83
GNMA P198522 8 5% 2017	63	\$63.00	(63)		\$63.17	\$0.17		0		\$0.00	\$0.00	\$0.45
GNMA P262621 9 5% 2018	416	\$418.74	(155)		\$155.32	(\$0.70)		261		\$262.72	\$262.61	\$33.05
GNMA P251760 9 5% 2018	198	\$196.52	(73)		\$73.52	\$1.06		125		\$124.06	\$123.97	\$15.73
GNMA P271679 9 0% 2019	669	\$677.36	(201)		\$200.83	(\$2.68)		468		\$473.85	\$470.51	\$52.08
GNMA P3111971 7 5% 2022	715	\$701.59	(89)		\$88.88	\$1.55		626		\$614.26	\$623.55	\$50.63
GNMA P365934 7 0% 2023	1,542	\$1,551.64	(580)		\$580.36	(\$3.27)		962		\$968.01	\$1,040.38	\$80.11
GNMA P432798 7 5% 2026	84	\$85.05	(19)		\$19.05	(\$0.19)		65		\$65.81	\$65.57	\$5.67
GNMA P430921 7 5% 2026	388	\$388.97	(53)		\$52.87	(\$0.26)		335		\$335.84	\$370.76	\$27.23
GNMA P570575 5 5% 2034	5,155	\$5,741.38	(2,152)		\$2,152.29	(\$244.50)		3,003		\$3,344.59	\$3,596.60	\$239.66
GNMA P630943 5 5% 2034	95,339	\$108,924.81	(16,645)		\$16,644.79	(\$2,372.13)		78,694		\$89,907.89	\$90,076.96	\$4,822.68
GNMA P605652 5 0% 2034	9,413	\$10,448.43	(412)		\$411.91	(\$45.41)		9,001		\$9,991.11	\$10,163.92	\$461.36
GNMA P521288 5 0% 2035	24,575	\$27,401.13	(4,745)		\$4,745.09	(\$545.59)		19,830		\$22,110.45	\$22,923.82	\$1,119.85
GNMA P646863 5 0% 2035	23,518	\$23,988.36	(3,949)		\$3,949.54	(\$78.44)		19,569		\$19,960.38	\$21,943.80	\$1,091.86
GNMA P636047 5 0% 2036	19,204	\$18,651.88	(5,278)		\$5,278.04	\$151.79		13,926		\$13,525.63	\$15,473.35	\$868.31
GNMA P682752 4 5% 2038	9,887	\$10,801.55	(9,887)		\$9,887.98	(\$913.57)		0		\$0.00	\$0.00	\$227.46
GNMA P685684 5 0% 2038	6,921	\$7,682.31	(2,542)		\$2,541.45	(\$280.17)		4,379		\$4,860.69	\$4,866.92	\$285.79
GNMA P26263 5 0% 2039	27,144	\$29,790.54	(7,640)		\$7,639.88	(\$745.02)		19,504		\$21,405.64	\$21,692.16	\$1,176.74
GNMA P775131 4 0% 2041	68,555	\$73,268.16	(14,068)		\$14,068.48	(\$966.70)		54,487		\$58,232.98	\$59,219.07	\$2,526.28
GNMA PAD7367 3 5% 2043	56,969	\$58,250.80	(1,174)		\$1,173.96	(\$26.45)		55,795		\$57,050.39	\$58,183.69	\$1,975.25
Total U.S. Government Obligations	350,957	\$379,235.90	(69,927)	\$0.00	\$69,930.22	(\$6,071.38)	0.00	281,030		\$303,234.30	\$310,219.85	\$15,067.05

THE LAURA B. VOGLER FOUNDATION, INC.
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2016

SCHEDULE 1
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	On Hand November 1, 2015		Fiscal Year Transactions		On Hand October 31, 2016		Fiscal Year Income
	# of Shs. or \$ Amt.	Inventory Value	Purchases Cost	Sales and Redemptions	Gain (loss)	Amortization OID	
Stocks - U.S. Obligations							
Corporate Stocks-brought forward	136,613	\$2,955,752.41	\$87,244.91	\$86,083.60	(\$104,668.48)	\$0.00	\$225,273.22
U.S. Government Obligations-brought forward	350,957	\$379,235.90	\$0.00	\$69,930.22	(\$6,071.38)	\$0.00	\$15,067.05
Bonds - Corporate Obligations							
Swiss RE Solutions 6.45% 3/1/2019	10,000	\$8,748.25					\$645.00
General Electric Cap Corp 5.625% 9/15/2017	25,000	\$22,907.85					\$1,406.26
Total Bonds-Corporate Obligations	35,000	\$31,656.10	\$0.00	\$0.00	\$0.00	\$0.00	\$2,051.26
Total Investments		\$3,366,644.41	\$87,244.91	\$156,013.82	(\$110,739.86)	\$0.00	\$242,391.53

THE LAURA B. VOGLER FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
OCTOBER 31, 2016

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Note 1 **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

Basis of Accounting

The Foundation's policy is to prepare its financial statements on the modified cash basis of accounting: consequently, certain revenues are recognized when received rather than when earned and certain expenses are recognized when cash is disbursed rather than when the obligation is incurred. Books and records are maintained on the cash basis, with the exception of the accrual of federal excise tax.

Note 2 **Investments**

Equity securities and bonds held for indefinite periods of time, including securities which The Foundation intends to use as part of its asset/liability strategy, or that may be sold in response to changes in market conditions, the need to increase cash or other similar factors, are classified as available for sale and are carried at fair market value.

As of October 31, 2016, the aggregate value of investments available for sale is as follows:

Fair Market Value	\$5,237,821.24
Cost (Inventory Value)	3,187,135.64
Unrealized Holding Gain	2,050,685.60

20/20 Vision for Schools, Inc.	\$3,000.00	support "Thrive collective Programs" arts/NYC schools
A House on Beekman, Inc.	\$3,000.00	enhance afterschool program
Abraham House	\$1,500.00	support youth living in poverty
Alley Pond Environmental Ctr., Inc.	\$2,500.00	youth environmental education programs
Art Beyond Sight	\$1,500.00	Lab For Learning Program
Auditory Oral Schol of NY	\$3,000.00	music therapy program
Beacon Christian Comm. Health Ctr , Inc.	\$2,500.00	new oral health dentistry dept.
Behind the Book, Inc.	\$3,000.00	elem. School reading prog.
Big Brothers Big Sisters of L.I.	\$3,000.00	professionally supported mentoring to youth Sag Harbor
Catholic Big Sisters & Big Brothers	\$5,285.00	annual outdoor adventure trip
Catholic Resources, Inc.	\$5,000.00	support Archcare TimeBank
Chas. L. Briant Community Ctr	\$2,500.00	program supplies/expenses
City Parks Foundation	\$3,000.00	provide STEM learning programs
CoLab Theatre Group, Inc.	\$2,500.00	theatre arts for developmentally disabled
Community Impact, Inc.	\$3,000.00	cover transportation(metro cards)
Community-Word Project, Inc.	\$1,750.00	Collaborative arts residency prog.
Concerts in Motion, Inc.	\$2,500.00	10 concerts for homebound elders
Conscience Pt. Shellfish Hatchery	\$3,000.00	paid internships for promising marine sci. students
COPE Foundation, Inc.	\$2,500.00	Saving Our Grieving Teens Prog.
Country Children's Center	\$2,000.00	purchase program supplies
Creative Alternatives of NY, Inc.(CANY)	\$3,000.00	youth drama therapy group
DOROT, Inc	\$2,000.00	Homelessness Prevention Prog
Elders Share the Arts, Inc (ESTA)	\$4,000.00	support intergenerational arts-in-education residencies
Enrichment Audio Resource Services, Inc	\$4,000.00	E.A.R S. for Eyes prog Community outreach
Exalt Youth	\$3,500.00	educational internship program
Flushing Council on Culture & Arts	\$2,500.00	Global Arts for Global Kids-performing arts
Foundation Center General Support	\$3,000.00	general support
Free Arts for Abused Children of NYC Inc	\$2,500.00	support arts mentoring prog
Friends of NIS, Inc	\$3,500.00	inclusive sports & fitness prog. For special needs
Friends of the Children New York	\$3,157.85	purchase sewing machines & supplies for teens
Garden of Hope, Inc.	\$3,500.00	fund employment prog. For victims domestic violence
George Jackson Academy	\$2,000.00	General operating support
Girl Be Heard	\$3,500.00	girl power Sunday workshops-theatre company
Grace Outreach, Inc.	\$4,000.00	support graduate tutor in H S. equivalency/TASC prog.

Habitat for Humanity NYC, Inc.	\$3,000.00	Aging in Place Pilot Prog.(home repair)
Heckscher Museum L.I. Young Artists	\$ 3,500.00	L.I.'s Best' Young Artists at the Heckscher Museum
Helen Keller Services for the Blind	\$ 3,500.00	Camp Helen Keller
Humane Ed Advocates Reaching Teacher:	\$1,500.00	teaching respect & compassion to NYC youth
Irondale Productions, Inc.	\$2,000.00	"To Protect, Serve & Understand" Prog.
Isabella Geriatric Center, Inc.	\$2,500.00	support expansion of senior YUM fresh produce stand
i-tri: Transformation through Triathlon	\$2,000.00	funding for increased equipment & supplies
James Lenox House Assoc., Inc	\$3,500.00	fund social work services for adults
Jericho Project	\$2,500.00	educational & employment services to youth 18-25
Jewish Board of Family&Children's Serv.	\$2,000.00	support Brownsville child develop. Ctr
Knox Gates Neighborhood Assoc., Inc.	\$2,500.00	media arts & literacy prog. Teens
L.I.Gay & Lesbian Youth(LIGALY)	\$2,000.00	expansion of Peers Stop Bullying Prog.
Lantern Community Services	\$2,000.00	Back to School Success(supplies)
Lincoln Sq. Neighborhood Center	\$3,500.00	fund a social worker for youth 5-24 yrs.
Madison Square Boys&Girls Club	\$3,500.00	Explores Academy(after school prog.)
Navigators USA	\$2,500.00	inner city kids experience Hudson R.Valley environm.
New Beginnings Comm. Ctr., Inc.	\$3,000.00	water hook-up fee @ Brendan's House
No.Shore Child & Family Guidance Ctr Inc.	\$3,000.00	Caregiver Grandparents Respite & Support Project
Northern Manhattan Improvement Corp.	\$2,000.00	General supp-youth employment & education prog
Northside Ctr for Child Development, Inc	\$3,000.00	Tech for Tots & Tweens Prog.
NY Road Runners Inc.	\$2,000.00	Striders Program - NYC parks
Opportunities for a Better Tomorrow, Inc.	\$5,000.00	Maura Clarke Ctr. - English as 2nd language
Pal-O-Mine Equestrian, Inc.	\$2,500.00	therapeutic riding program
Peace of Heart Choir, Inc.	\$2,500.00	free community concert series-NYC
Peer Health Exchange, Inc	\$3,000.00	health ed. For 20 NYC H.S. students
Peer Health Exchange, Inc	\$2,000.00	NYC Prog.training college volunteers/health curriculum
Peggy Lillis Foundation	\$2,500.00	health ed for clostridium difficile infections(CDIs)
Pregnancy Care Center, Inc.	\$3,500.00	prog. For pregnant &parenting women&babies
Pregnancy Help, Inc.	\$3,500.00	help & guidance w/pregnancy concerns hotline
Project Brownstone, Inc.	\$3,000.00	stipends for textbooks(10 Harlem H S. students)
Providence House, Inc	\$5,000.00	enhanced security camera system
Providence Rest	\$3,500.00	fund staff of trained students to assist feeding elderly
Queens Botanical Garden Society, Inc	\$3,500.00	environmental education workshops
South Bronx Ed Foundation(SBEF)	\$2,500.00	support educational youth prog Gr. 4-12
South Bronx United, Inc.	\$3,000.00	support for legal services coordinator
St. Dominic's Home, Inc.	\$3,500.00	Youth employment & housing services prog
St. John's Bread & Life Program,	\$4,000.00	purchase of diapers & formula for new mothers prog

Start Treatment & Recovery Ctrs			
Stuttering Assoc.for the Young(SAY)			substance abuse, equipment purchase
Sustainable South Bronx	\$4,000.00		SAY'S confident voices prog.
The Doe Fund, Inc	\$3,500 00		pilot initiative - daily meals to students
The Guild for Exceptional Children, Inc.	\$2,000.00		Fatherhood Initiative Program
The Jewish Museum	\$2,500.00		sustain wellness care to develop. Disabled
The Reading Team	\$1,000.00		interactive prog For memory loss
The Renaissance Charter School	\$2,500.00		pre-school program book distribution
Together in Dance, Inc.	\$2,500 00		summer gardening/agricultural camp
Trinity Community Connection, Inc	\$2,000.00		after school performing arts co.
Urban Pathways, Inc.	\$3,500.00		support adult Latina women educational group
Volunteer Referral Center, Inc.	\$4,000.00		Upwards job-readiness program
Volunteers of Legal Service	\$2,000.00		General operating support
West End Residences HDFC, Inc.	\$2,000.00		VOLS' elderly project
Word Rebel Inc.	\$2,000 00		Women's Empowerment Prog.
			literacy skills for NYC disadv. Children
		\$245,692.85	