Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning NOV 1, 2015 , and ending OCT 31, 2016

Name of foundation: WALDMAN FOUNDATION, INC.

A Employer identification number: 06-6068746

Number and street (or P O box number if mail is not delivered to street address): 914 SW 21 WAY

Room/suite:

B Telephone number: 954-426-2600

City or town, state or province, country, and ZIP or foreign postal code: BOCA RATON, FL 33486

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 435,632.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	20,000.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	4,298.	4,298.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	11,008.			
	b Gross sales price for all assets on line 6a 194,391.				
	7 Capital gain net income (from Part IV, line 2)		11,008.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	35,306.	15,306.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	2,200.	2,200.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	147.	5.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	4,279.	4,110.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	6,626.	6,315.		0.
	25 Contributions, gifts, grants paid	69,205.			69,205.
	26 **Total expenses and disbursements.** Add lines 24 and 25	75,831.	6,315.		69,205.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<40,525.>			
	b **Net investment income** (if negative, enter -0-)		8,991.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	240,464.	225,890.	225,890.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	220,438.	194,590.	209,742.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	460,902.	420,480.	435,632.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	460,902.	420,480.	
	30 **Total net assets or fund balances**	460,902.	420,480.	
	31 **Total liabilities and net assets/fund balances**	460,902.	420,480.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	460,902.
2	Enter amount from Part I, line 27a	2	<40,525.>
3	Other increases not included in line 2 (itemize) ▶ CURRENT YEAR BASIS ADJUSTMENT	3	103.
4	Add lines 1, 2, and 3	4	420,480.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	420,480.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES, SEE ATTACHED	P	VARIOUS	VARIOUS
b	PUBLICLY TRADED SECURITIES, SEE ATTACHED	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	74,219.		72,132.	2,087.
b	120,172.		111,251.	8,921.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				2,087.
b				8,921.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 11,008.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	87,045.	511,669.	.170120
2013	83,699.	544,337.	.153763
2012	77,841.	518,475.	.150135
2011	49,900.	502,611.	.099282
2010	49,884.	499,565.	.099855

2	**Total** of line 1, column (d)	2	.673155
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.134631
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	466,857.
5	Multiply line 4 by line 3	5	62,853.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	90.
7	Add lines 5 and 6	7	62,943.
8	Enter qualifying distributions from Part XII, line 4	8	69,205.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	90.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	90.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	90.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	90.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ — **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A | Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ANA WALDMAN Telephone no. ▶ 954-426-2600

Located at ▶ 914 SW 21 WAY, BOCA RATON, FL ZIP+4 ▶ 33486

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANA WALDMAN 914 SW 21 WAY BOCA RATON, FL 33486	PRESIDENT 0.00	0.	0.	0.
ANDREW WALDMAN 914 SW 21 WAY BOCA RATON, FL 33486	VP 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	223,778.
b	Average of monthly cash balances	1b	250,189.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	473,967.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	473,967.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,110.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	466,857.
6	**Minimum investment return.** Enter 5% of line 5	6	23,343.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	23,343.
2a	Tax on investment income for 2015 from Part VI, line 5 2a 90.		
b	Income tax for 2015. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	90.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	23,253.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	23,253.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	23,253.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	69,205.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	69,205.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	90.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	69,115.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				23,253.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010 — 26,117.				
b From 2011 — 25,035.				
c From 2012 — 52,625.				
d From 2013 — 57,076.				
e From 2014 — 61,746.				
f Total of lines 3a through e	222,599.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 69,205.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				23,253.
e Remaining amount distributed out of corpus	45,952.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	268,551.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	26,117.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	242,434.			
10 Analysis of line 9:				
a Excess from 2011 — 25,035.				
b Excess from 2012 — 52,625.				
c Excess from 2013 — 57,076.				
d Excess from 2014 — 61,746.				
e Excess from 2015 — 45,952.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
THE AMERICAN CANCER SOCIETY ATLANTA, GA		PC	GENERAL SUPPORT	3,000.
BNAI TORAH CONGREGATION BOCA RATON, FL		PC	ANNUAL DRIVE	2,190.
STRATTON FOUNDATION STRATTON MTN, VT		PC	GENERAL SUPPORT	5,000.
JEWISH FAMILY SERVICE SEATTLE, WA		PC	ANNUAL DRIVE	5,715.
JEWISH FEDERATION OF PALM BEACH COUNTY WEST PALM BEACH, FL		PC	ANNUAL DRIVE	1,500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	69,205.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	4,298.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	11,008.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		15,306.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 15,306.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Stephanie Waldman, Pres. | 3/15/2017 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STEPHEN GUEST	STEPHEN GUEST	02/03/17		P01239915

Firm's name ▶ BLUM, SHAPIRO & COMPANY, P.C., CPA'S — Firm's EIN ▶ 06-1009205

Firm's address ▶ 29 S. MAIN STREET, P.O. BOX 272000 WEST HARTFORD, CT 06127-2000 — Phone no. 860 561-4000

Form **990-PF** (2015)

523622 11-24-15

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JOE DIMAGGIO CHILDREN'S HOSPITAL FOUNDATION, INC. HOLLYWOOD, FL		PC	ANNUAL DRIVE	1,800.
NORTHWESTERN UNIVERSITY EVANSTON, IL		PC	ANNUAL DRIVE	20,000.
PLAY FOR P I N K NEW YORK, NY		PC	ANNUAL DRIVE	1,800.
RUTH AND NORMAN RALES JEWISH FAMILY SERVICES BOCA RATON, FL		PC	ANNUAL DRIVE	3,000.
UNITED JEWISH APPEAL OF NEW YORK NEW YORK, NY		PC	ANNUAL DRIVE	25,000.
TEMPLE BETH EL WEST HARTFORD, CT		PC	GENERAL SUPPORT	200.
Total from continuation sheets				51,800.

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2015

Name of the organization	Employer identification number
WALDMAN FOUNDATION, INC.	06-6068746

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year .... ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: WALDMAN FOUNDATION, INC.

Employer identification number: 06-6068746

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RUBLOFF FAMILY NEW YORK, NY	$ 20,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
WALDMAN FOUNDATION, INC.	06-6068746

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: WALDMAN FOUNDATION, INC.

Employer identification number: 06-6068746

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ACCRUED INTEREST RECEIVED - MORGAN STANLEY ACCOUNT#	180.	0.	180.	180.	
DIVIDENDS - MORGAN STANLEY ACCOUNT# 304-879733-818	173.	0.	173.	173.	
DIVIDENDS - MORGAN STANLEY ACCOUNT# 304-879766-818	7.	0.	7.	7.	
DIVIDENDS - MORGAN STANLEY ACCOUNT# 304-879780-818	3,931.	0.	3,931.	3,931.	
INTEREST - MORGAN STANLEY ACCOUNT# 304-879733-818	5.	0.	5.	5.	
INTEREST - MORGAN STANLEY ACCOUNT# 304-879780-818	2.	0.	2.	2.	
TO PART I, LINE 4	4,298.	0.	4,298.	4,298.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	2,200.	2,200.		0.
TO FORM 990-PF, PG 1, LN 16B	2,200.	2,200.		0.

FORM 990-PF	TAXES	STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	5.	5.		0.
EXCISE TAXES	142.	0.		0.
TO FORM 990-PF, PG 1, LN 18	147.	5.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	3,990.	3,990.		0.
FILING FEES	120.	120.		0.
PENALTY AND INTERESTS	169.	0.		0.
TO FORM 990-PF, PG 1, LN 23	4,279.	4,110.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY ACCOUNT# 304-879780-818, SEE ATTACHED	194,590.	209,742.
TOTAL TO FORM 990-PF, PART II, LINE 10B	194,590.	209,742.

WALDMAN FOUNDATION INC
Form 990-PF
Part IV, Gain/Loss Schedule
31-Oct-16
EIN #06-6068746

	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)
SHORT-TERM GAIN/LOSS			
11/1/15 - 12/31/15	7,585.15	7,908.46	323.31
1/1/16 - 10/31/16	64,546.63	66,310.06	1,763.43
	72,131.78	74,218.52	2,086.74
LONG-TERM GAIN/LOSS			
11/1/15 - 12/31/15	14,090.49	17,868.28	$3,777.79
1/1/16 - 10/31/16	97,160.90	102,304.21	$5,143.31
	111,251.39	120,172.49	8,921.10
GRAND TOTAL	183,383.17	194,391.01	11,007.84

Realized Gain/Loss for 10/1/2015 - 12/31/2015

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
Adobe Systems | G/L Amount: $105.95								
	8	3/9/2015	12/10/2015	623.7	713.68	89.98	short	C
	1	3/9/2015	12/23/2015	77.96	93.93	15.97	short	C
SubTotal	9			701.66	807.61	$105.95		
Amazon Com Inc | G/L Amount: $224.46								
	1	5/11/2015	12/23/2015	434.36	658.82	224.46	short	C
Amer Intl Gp Inc New | G/L Amount: $77.03								
	13	3/9/2015	12/23/2015	729.31	797.05	67.74	short	C
	3	4/15/2015	12/23/2015	174.64	183.93	9.29	short	C
SubTotal	16			903.95	980.98	$77.03		
Boston Scientific Corp | G/L Amount: $50.42								
	10	1/6/2015	12/23/2015	136.82	187.24	50.42	short	C
Bristol Myers Squibb Co | G/L Amount: $5.31								
	1	4/1/2015	12/23/2015	63.16	68.47	5.31	short	C
Cisco Sys Inc | G/L Amount: $-1.07								
	3	1/9/2015	12/23/2015	82.79	81.72	-1.07	short	C
Costco Wholesale Corp New | G/L Amount: -$1.07								
	1	12/9/2015	12/23/2015	161.98	160.91	-1.07	short	C
Delta Air Lines Inc New | G/L Amount: $59.92								
	7	6/5/2015	12/23/2015	300.1	360.02	59.92	short	C
Dollar Gen Corp New Com | G/L Amount: $18.05								
	3	10/15/2015	12/23/2015	198.89	216.94	18.05	short	C
Exxon Mobil Corp | G/L Amount: -$23.94								
	3	11/3/2015	12/23/2015	261.68	237.74	-23.94	short	C
General Electric Co | G/L Amount: $37.89								
	11	10/6/2015	12/23/2015	300.71	338.6	37.89	short	C
General Mtrs Co | G/L Amount: -$3.04								
	4	10/21/2015	12/23/2015	141.89	138.85	-3 04	short	C
Kinder Morgan Incorp | G/L Amount: -$304.67								

Realized Gain/Loss for 10/1/2015 - 12/31/2015

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	24	8/19/2015	12/2/2015	805.07	500.4	-304.67	short	C
Las Vegas Sands Corporation | G/L Amount: -$53.50								
	9	10/7/2015	11/30/2015	408.54	400.58	-7.96	short	C
	8	10/7/2015	12/1/2015	363.15	352.59	-10.56	short	C
	10	10/19/2015	12/1/2015	475.72	440.74	-34.98	short	C
SubTotal	27			1,247.41	1,193.91	-53.5		
Medtronic Plc Shs | G/L Amount: $3.13								
	2	1/27/2015	12/23/2015	152.42	155.55	3.13	short	C
Nike Inc B | G/L Amount: $14.48								
	1	8/6/2015	12/23/2015	115.04	129.52	14.48	short	C
Pfizer Inc | G/L Amount: -$7.63								
	9	7/8/2015	12/23/2015	301.95	294.32	-7.63	short	C
Raytheon Co (New) | G/L Amount: $41.86								
	2	9/28/2015	12/23/2015	212.01	253.87	41.86	short	C
Royal Caribbean Cruises Ltd | G/L Amount: $19.67								
	1	4/15/2015	12/23/2015	80.14	99.81	19.67	short	C
Salesforce.Com,Inc. | G/L Amount: $24.91								
	3	8/31/2015	12/23/2015	210.22	235.13	24.91	short	C
Starbucks Corp Washington | G/L Amount: $14.41								
	5	8/6/2015	12/23/2015	286.05	300.46	14.41	short	C
United Parcel Ser Inc Cl-B | G/L Amount: -$10.87								
	2	8/11/2015	12/23/2015	205.78	194.91	-10.87	short	C
Visa Inc Cl A | G/L Amount: $31.61								
	4	8/26/2015	12/23/2015	281.07	312.68	31.61	short	C
Short Term Total	149			7,585.15	7,908.46	$323.31		

Long Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator

Realized Gain/Loss for 10/1/2015 - 12/31/2015

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
Alibaba Group Hldg Ltd | G/L Amount: -$134.22								
	5	11/20/2014	12/23/2015	559.01	424.79	-134.22	long	C
Alphabet Inc Cl A | G/L Amount: $491.61								
	1	8/30/2011	12/23/2015	269.53	761.14	491.61	long	C
Alphabet Inc Cl C | G/L Amount: $352.69								
	1	2/26/2013	12/23/2015	394.05	746.74	352.69	long	
American Express Co | G/L Amount: $19.54								
	1	6/22/2011	12/23/2015	49.99	69.53	19.54	long	C
American Tower Reit Com | G/L Amount: $48.44								
	1	9/8/2010	12/23/2015	49.36	97.8	48.44	long	
Apple Inc | G/L Amount: $162.17								
	5	10/24/2013	12/23/2015	379.22	541.39	162.17	long	C
Bank Of America Corp | G/L Amount: $35.39								
	15	7/18/2013	12/23/2015	222.49	257.88	35.39	long	C
Boeing Co | G/L Amount: $42.55								
	1	6/26/2013	12/23/2015	100.77	143.32	42.55	long	C
Celgene Corp | G/L Amount: $55.75								
	1	4/23/2013	12/23/2015	64	119.75	55.75	long	C
Charles Schwab New | G/L Amount: $21.26								
	3	4/15/2014	12/23/2015	77.86	99.12	21.26	long	C
Citigroup Inc New | G/L Amount: -$0.29								
	3	9/19/2013	12/23/2015	157	156.71	-0.29	long	C
Comcast Corp (New) Class A | G/L Amount: $9.78								
	2	5/5/2014	12/23/2015	104.56	114.34	9.78	long	C
Cvs Health Corp Com | G/L Amount: $307.09								
	7	12/24/2013	11/12/2015	498.3	658.54	160.24	long	C
	2	1/27/2014	11/12/2015	134.56	188.16	53.6	long	C
	3	1/27/2014	12/23/2015	201.84	295.09	93.25	long	C
SubTotal	12			834.70	1,141.79	$307.09		

Realized Gain/Loss for 10/1/2015 - 12/31/2015

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
Dow Chemical Co | G/L Amount: $6.35								
	4	12/5/2014	12/23/2015	201.65	208	6.35	long	C
Facebook Inc Cl-A | G/L Amount: $582.75								
	6	1/30/2014	11/19/2015	366.67	641.86	275.19	long	C
	7	1/30/2014	12/23/2015	427.79	735.35	307.56	long	C
SubTotal	13			794.46	1,377.21	$582.75		
Fnma 7/8 2-08-18 | G/L Amount: $13.93								
	2,000.00	8/28/2014	12/23/2015	1,973.66	1,987.59	13.93	long	C
Gilead Science | G/L Amount: $155.1								
	2	5/30/2012	12/23/2015	50.39	205.49	155.1	long	C
Home Depot Inc | G/L Amount: $904.81								
	8	10/21/2011	11/17/2015	290.64	1,002.52	711.88	long	C
	2	10/21/2011	12/23/2015	72.66	265.59	192.93	long	C
SubTotal	10			363.3	1,268.11	$904.81		
Honeywell International Inc | G/L Amount: $32.06								
	2	2/4/2014	12/23/2015	177.98	210.04	32.06	long	C
Ishares Interm Credit Bd Etf | G/L Amount: -$31.92								
	10	5/28/2013	12/23/2015	1,106.35	1,074.43	-31.92	long	C
Jpmorgan Chase & Co | G/L Amount: $93.23								
	4	10/16/2012	12/23/2015	171.96	265.19	93.23	long	C
Kinder Morgan Incorp | G/L Amount: -$974.20								
	9	8/13/2014	12/2/2015	349.32	195.02	-154.3	long	C
	1	8/19/2014	12/2/2015	41.41	21.67	-19.74	long	C
	19	8/19/2014	12/2/2015	786.86	396.15	-390 71	long	C
	27	10/16/2014	12/2/2015	972.4	562.95	-409.45	long	C
SubTotal	56			2,149.99	1,175.79	-974.2		
Marsh & Mclennan Cos Inc | G/L Amount: $64.83								
	3	7/27/2012	12/23/2015	100.14	164.97	64.83	long	C
Mastercard Inc Cl A | G/L Amount: $194.59								
	3	9/23/2011	12/23/2015	101.02	295.61	194.59	long	C

Realized Gain/Loss for 10/1/2015 - 12/31/2015

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
Merck & Co Inc New Com | G/L Amount: -$7.28								
	3	5/9/2014	12/23/2015	165.8	158.52	-7 28	long	C
Microsoft Corp | G/L Amount: $141.53								
	10	3/31/2014	12/23/2015	414.25	555.78	141.53	long	C
Morgan Stanley | G/L Amount: $4.91								
	3	4/30/2014	12/23/2015	92.65	97.56	4.91	long	C
Schlumberger Ltd | G/L Amount: -$44.56								
	2	3/4/2014	12/23/2015	184.62	140.06	-44.56	long	C
T-Mobile Us Inc Com | G/L Amount: $262.22								
	5	10/22/2014	11/19/2015	136.01	187.91	51.9	long	C
	17	10/28/2014	11/19/2015	486.12	638.88	152.76	long	C
	5	10/28/2014	12/23/2015	142.98	200.54	57.56	long	C
SubTotal	27			765.11	1,027.33	$262.22		
Tjx Cos Inc New | G/L Amount: $49.05								
	9	10/10/2014	11/16/2015	556.44	577.47	21.03	long	C
	13	10/22/2014	11/16/2015	806.11	834.13	28.02	long	C
SubTotal	22			1,362.55	1,411.60	$49.05		
Unitedhealth Gp Inc | G/L Amount: $21.77								
	1	11/24/2014	12/23/2015	96.86	118.63	21.77	long	C
Walt Disney Co Hldg Co | G/L Amount: $896.86								
	8	2/9/2012	11/5/2015	326.97	897.96	570.99	long	C
	4	5/31/2012	11/5/2015	182.59	448.98	266.39	long	C
	1	5/31/2012	12/23/2015	45.65	105.13	59.48	long	C
SubTotal	13			555.21	1,452.07	$896.86		
Long Term Total	2,239.00			14,090.49	17,868.28	$3,777.79		
Grand Total	2,388.00			21,675.64	25,776.74	$4,101.10		

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
Adobe Systems | G/L Amount: -$39.45								
	1	3/9/2015	2/8/2016	77.96	71.54	-6.42	short	C
	6	3/12/2015	2/8/2016	462.3	429.27	-33 03	short	C
SubTotal	7			540.26	500.81	-39.45		
Advansix Inc | G/L Amount: $9.84								
	1	2/4/2016	10/5/2016	4.89	14.73	9.84	short	C
Alibaba Group Hldg Ltd | G/L Amount: -$54.50								
	3	2/13/2015	1/15/2016	267.32	206.31	-61.01	short	C
	1	10/23/2015	5/27/2016	74.46	80.97	6.51	short	C
SubTotal	4			341.78	287.28	-54.5		
Altria Group Inc | G/L Amount: $74.12								
	3	2/8/2016	5/27/2016	180.28	191.84	11.56	short	C
	18	2/8/2016	10/21/2016	1,081.66	1,144.22	62.56	short	C
SubTotal	21			1,261.94	1,336.06	74.12		
Amazon Com Inc | G/L Amount: $261.75								
	2	5/11/2015	2/8/2016	868.72	955.61	86.89	short	C
	1	6/29/2015	3/18/2016	435.08	549.36	114.28	short	C
	1	7/20/2015	3/18/2016	488.78	549.36	60.58	short	C
SubTotal	4			1,792.58	2,054.33	261.75		
Amer Intl Gp Inc New | G/L Amount: -$173.67								
	9	4/15/2015	2/3/2016	523.92	474.78	-49.14	short	C
	6	6/3/2015	5/27/2016	366.75	349.57	-17.18	short	C
	7	12/2/2015	6/27/2016	449.6	342.25	-107.35	short	C
SubTotal	22			1,340.27	1,166.60	-173.67		
American Express Co | G/L Amount: -$171.76								
	6	2/6/2015	1/22/2016	509.58	337.82	-171 76	short	C
At&T Inc | G/L Amount: $338.61								
	14	1/25/2016	5/27/2016	494.25	545.32	51.07	short	C
	19	1/25/2016	7/14/2016	670.76	813.6	142.84	short	C

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	8	1/25/2016	8/11/2016	282.43	346.85	64.42	short	C
	10	1/26/2016	8/11/2016	353.29	433.57	80.28	short	C
SubTotal	51			1,800.73	2,139.34	338.61		
Boeing Co | G/L Amount: -$28.66								
	3	8/27/2015	3/31/2016	390.51	378.98	-11 53	short	C
	5	8/27/2015	4/1/2016	650.84	633.71	-17 13	short	C
SubTotal	8			1,041.35	1,012.69	-28.66		
Boston Scientific Corp | G/L Amount: $68.70								
	16	4/28/2015	4/28/2016	284.55	353.25	68.7	short	C
California Res Corp Com | G/L Amount: -$127.01								
	0.03	2/17/2016	3/24/2016	3.73	0.03	-3.7	short	C
	1	2/17/2016	4/7/2016	124.42	1.11	-123.31	short	C
SubTotal	1.03			128.15	1.14	-127.01		
Canadian Natural Resources Ltd | G/L Amount: $21.18								
	9	3/11/2016	5/27/2016	247.42	268.6	21.18	short	C
Charter Communications Inc | G/L Amount: $74.78								
		4/28/2016	5/17/2016	0	74.78	74.78	cash in lieu	
Cigna Corporation Com | G/L Amount: -$263.58								
	4	6/16/2015	5/23/2016	616.58	503.31	-113.27	short	C
	1	6/19/2015	5/23/2016	155.99	125.83	-30 16	short	C
	4	6/19/2015	5/24/2016	623.98	503.83	-120.15	short	C
SubTotal	9			1,396.55	1,132.97	-263.58		
Cisco Sys Inc | G/L Amount: -$52.64								
	12	1/22/2015	1/19/2016	339.02	286.38	-52.64	short	C
Costco Wholesale Corp New | G/L Amount: -$78.25								
	4	12/9/2015	2/4/2016	647.94	569.69	-78.25	short	C
Crown Castle Intl Corp New Com | G/L Amount: -$146.09								
	14	6/29/2016	10/5/2016	1,397.18	1,251.09	-146.09	short	C
Delta Air Lines Inc New | G/L Amount: -$76.46								
	20	6/5/2015	4/18/2016	857.43	931.66	74.23	short	C

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	10	6/5/2015	4/25/2016	428.72	439.65	10.93	short	C
	4	8/20/2015	4/25/2016	187.84	175.86	-11.98	short	C
	13	8/20/2015	5/12/2016	610.5	531.97	-78.53	short	C
	2	9/24/2015	5/12/2016	90.81	81.84	-8.97	short	C
	1	9/24/2015	5/27/2016	45.41	43.09	-2.32	short	C
	16	9/24/2015	6/3/2016	726.52	666.7	-59.82	short	C
SubTotal	66			2,947.23	2,870.77	-76.46		
Dollar Gen Corp New Com | G/L Amount: $399.71								
	9	10/15/2015	4/13/2016	596.68	745.3	148.62	short	C
	4	10/19/2015	4/13/2016	268.18	331.24	63.06	short	C
	4	10/19/2015	7/13/2016	268.18	370.18	102	short	C
	5	2/18/2016	7/13/2016	364.29	462.73	98.44	short	C
	6	2/18/2016	9/7/2016	437.15	428.61	-8.54	short	C
	10	2/18/2016	9/7/2016	718.21	714.34	-3.87	short	C
SubTotal	38			2,652.69	3,052.40	399.71		
Exxon Mobil Corp | G/L Amount: $68.72								
	5	11/3/2015	5/27/2016	436.14	449.92	13.78	short	C
	9	11/3/2015	8/9/2016	785.05	796.75	11.7	short	C
	1	12/1/2015	8/9/2016	81.52	88.53	7.01	short	C
	11	12/1/2015	10/31/2016	896.77	915.11	18.34	short	C
	3	1/27/2016	10/31/2016	231.69	249.58	17.89	short	C
SubTotal	29			2,431.17	2,499.89	68.72		
Fedex Corp | G/L Amount: $187.49								
	5	3/4/2016	5/27/2016	728.72	822.34	93.62	short	C
	5	3/7/2016	5/27/2016	723.68	822.34	98.66	short	C
	2	4/13/2016	5/27/2016	333.73	328.94	-4.79	short	C
SubTotal	12			1,786.13	1,973.62	187.49		
General Electric Co | G/L Amount: $170.11								
	15	10/6/2015	5/27/2016	410.06	451.38	41.32	short	C
	10	10/6/2015	8/15/2016	273.37	312.19	38.82	short	C

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	20	10/6/2015	9/14/2016	546.75	597.44	50.69	short	C
	25	10/16/2015	9/14/2016	714.77	746.8	32.03	short	C
	1	10/19/2015	9/14/2016	29.01	29.87	0.86	short	C
	10	10/19/2015	9/21/2016	290.05	296.44	6.39	short	C
SubTotal	81			2,264.01	2,434.12	170.11		
General Mtrs Co | G/L Amount: -$134.01								
	18	10/21/2015	2/24/2016	638.52	504.51	-134.01	short	C
Hewlett Packard Enterprise | G/L Amount: $141.09								
	45	3/10/2016	5/25/2016	722.06	802.08	80.02	short	C
	42	3/14/2016	5/25/2016	687.54	748.61	61.07	short	C
SubTotal	87			1,409.60	1,550.69	141.09		
Honeywell International Inc | G/L Amount: $13.56								
	3	2/4/2016	10/10/2016	306.57	320.13	13.56	short	C
Ingevity Corp | G/L Amount: $7.99								
	3	4/4/2016	5/17/2016	70.39	78.38	7.99	short	C
Intel Corp | G/L Amount: $19.92								
	23	3/10/2016	4/20/2016	717.42	737.34	19.92	short	C
Ishares Barclays 1-3 Yr Tsy Bd | G/L Amount: -$8.38								
	10	1/6/2016	5/27/2016	844.68	848.21	3.53	short	C
	13	1/6/2016	8/16/2016	1,098.08	1,105.67	7.59	short	C
SubTotal	23			1,942.76	1,953.88	11.12		
Ishares Mbs Etf | G/L Amount: $15.67								
	10	1/7/2016	5/27/2016	1,081.40	1,092.37	10.97	short	C
	3	1/7/2016	8/16/2016	324.42	329.12	4.7	short	C
SubTotal	13			1,405.82	1,421.49	15.67		
Johnson & Johnson | G/L Amount: $99.83								
	6	6/2/2016	7/20/2016	687.21	751.66	64.45	short	C
	6	6/2/2016	8/16/2016	687.21	722.59	35.38	short	C
SubTotal	12			1,374.42	1,474.25	99.83		
Kimberly Clark Corp | G/L Amount: $25.85								

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	6	1/7/2016	4/25/2016	771.62	747.53	-24.09	short	C
	1	1/20/2016	4/25/2016	122.65	124.59	1.94	short	C
	5	1/20/2016	7/25/2016	613.26	661.26	48	short	C
SubTotal	12			1,507.53	1,533.38	25.85		
Mastercard Inc Cl A | G/L Amount: -$17.97								
	5	7/8/2015	6/27/2016	464.33	446.36	-17.97	short	C
Mc Donalds Corp | G/L Amount: $54.40								
	2	2/17/2016	5/27/2016	237.83	246.36	8.53	short	C
	4	2/17/2016	7/12/2016	475.65	489.84	14.19	short	C
	7	2/25/2016	7/12/2016	825.53	857.21	31.68	short	C
SubTotal	13			1,539.01	1,593.41	54.40		
Microsoft Corp | G/L Amount: $40.50								
	1	7/20/2015	4/27/2016	46.99	50.7	3.71	short	C
	7	7/20/2015	5/27/2016	328.9	365.69	36.79	short	C
SubTotal	8			375.89	416.39	40.5		
Nike Inc B | G/L Amount: -$2.95								
	10	8/6/2015	3/4/2016	575.2	606.72	31.52	short	C
	2	8/6/2015	5/27/2016	115.04	112.35	-2.69	short	C
	1	9/18/2015	5/27/2016	57.43	56.17	-1 26	short	C
	11	9/18/2015	6/1/2016	631.75	601.23	-30.52	short	C
SubTotal	24			1,379.42	1,376.47	-2.95		
Nxp Semiconductors Nv | G/L Amount: $195.53								
	8	7/27/2016	9/29/2016	702.65	765.03	62.38	short	C
	3	7/29/2016	9/29/2016	253.61	286.88	33.27	short	C
	4	7/29/2016	9/29/2016	338.15	382.51	44.36	short	C
	7	8/16/2016	9/29/2016	613.88	669.4	55.52	short	C
SubTotal	22			1,908.29	2,103.82	195.53		
Occidental Petroleum Corp De | G/L Amount: $13.56								
	2	2/17/2016	5/27/2016	141.58	152.24	10.66	short	C
	9	2/17/2016	9/21/2016	637.12	640.02	2.9	short	C

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
SubTotal	11			778.7	792.26	13.56		
Pepsico Inc Nc | G/L Amount: $8.73								
	3	12/10/2015	5/27/2016	296.97	305.7	8.73	short	C
Pfizer Inc | G/L Amount: $8.25								
	8	7/8/2015	5/27/2016	268.4	276.65	8.25	short	C
Philip Morris Intl Inc | G/L Amount: $47.22								
	1	2/19/2016	5/27/2016	91.16	99.18	8.02	short	C
	6	2/19/2016	6/27/2016	546.98	586.18	39.2	short	C
SubTotal	7			638.14	685.36	47.22		
Pioneer Natural Resources Co | G/L Amount: $773.78								
	6	1/15/2016	5/26/2016	669.37	976.31	306.94	short	C
	5	2/11/2016	5/26/2016	542.1	813.6	271.5	short	C
	1	2/11/2016	7/25/2016	108.42	148.81	40.39	short	C
	7	3/7/2016	7/25/2016	886.74	1,041.69	154.95	short	C
SubTotal	19			2,206.63	2,980.41	773.78		
Ppg Industries Inc | G/L Amount: -$121.33								
	7	3/31/2016	6/30/2016	780.08	719.44	-60.64	short	C
	6	4/12/2016	6/30/2016	677.35	616.66	-60.69	short	C
SubTotal	13			1,457.43	1,336.10	-121.33		
Prairiesky Pwr Invstrs Llc Cty | G/L Amount: $7.11								
		6/6/2016	6/6/2016	0	7.11	7.11	cash in lieu	
Prairiesky Rty Ltd | G/L Amount: $0.00								
	1	6/7/2016	6/27/2016	0	18.02	18.02	short	
Raytheon Co (New) | G/L Amount: $48.38								
	2	9/28/2015	5/27/2016	212.01	260.39	48.38	short	C
Reynolds American Inc | G/L Amount: $358.89								
	9	12/10/2015	5/27/2016	405.19	451.09	45.9	short	C
	6	12/10/2015	10/19/2016	270.13	275.46	5.33	short	C
	7	12/10/2015	10/20/2016	315.15	327.76	12.61	short	C
	13	12/10/2015	10/21/2016	585.28	709.48	124.2	short	C

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	9	1/20/2016	10/21/2016	404.73	491.18	86.45	short	C
	13	2/11/2016	10/21/2016	625.09	709.49	84.4	short	C
SubTotal	57			2,605.57	2,964.46	$358.89		
Royal Caribbean Cruises Ltd | G/L Amount: -$8.81								
	5	1/20/2016	5/4/2016	401.21	379.94	-21.27	short	C
	4	1/20/2016	5/6/2016	320.96	296.62	-24.34	short	C
	12	2/24/2016	5/6/2016	853.05	889.85	36.8	short	C
SubTotal	21			1,575.22	1,566.41	($8.81)		
Salesforce.Com,Inc. | G/L Amount: -$33.94								
	8	8/31/2015	1/19/2016	560.6	571	10.4	short	C
	7	9/10/2015	1/19/2016	487.51	499.62	12.11	short	C
	3	9/10/2015	2/8/2016	208.93	158.47	-50.46	short	C
	1	9/22/2015	2/8/2016	71.29	52.82	-18.47	short	C
	1	9/22/2015	5/27/2016	71.29	83.77	12.48	short	C
SubTotal	20			1,399.62	1,365.68	($33 94)		
Starbucks Corp Washington | G/L Amount: $41.70								
	9	8/6/2015	3/4/2016	514.89	528.04	13.15	short	C
	2	8/26/2015	3/4/2016	103.08	117.34	14.26	short	C
	4	8/26/2015	5/27/2016	206.15	220.44	14.29	short	C
SubTotal	15			824.12	865.82	$41.70		
Synchrony Financial | G/L Amount: -$185.38								
	26	2/19/2016	6/20/2016	695.9	668.81	-27.09	short	C
	5	2/24/2016	6/20/2016	137.79	128.62	-9.17	short	C
	20	2/24/2016	8/18/2016	551.16	543.79	-7.37	short	C
	19	4/22/2016	8/18/2016	583.06	516.6	-66 46	short	C
	24	4/25/2016	8/18/2016	727.83	652.54	-75.29	short	C
SubTotal	94			2,695.74	2,510.36	($185.38)		
T-Mobile Us Inc Com | G/L Amount: -$1.30								
	10	4/28/2015	2/5/2016	344.85	357.69	12.84	short	C
	19	4/28/2015	2/8/2016	655.22	641.08	-14 14	short	C

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
SubTotal	29			1,000.07	998.77	($1.30)		
Te Connectivity Ltd New | G/L Amount: -$47.65								
	1	3/7/2016	5/27/2016	60.38	59.62	-0.76	short	C
	11	3/7/2016	6/29/2016	664.22	617.33	-46.89	short	C
SubTotal	12			724.6	676.95	($47 65)		
United Parcel Ser Inc Cl-B | G/L Amount: -$159.81								
	6	8/11/2015	1/20/2016	617.34	527.13	-90.21	short	C
	7	8/27/2015	1/21/2016	692.42	622.82	-69.6	short	C
SubTotal	13			1,309.76	1,149.95	($159.81)		
Verizon Communications | G/L Amount: $79.90								
	13	2/3/2016	4/13/2016	655.43	665.41	9.98	short	C
	2	2/3/2016	5/27/2016	100.84	101.17	0.33	short	C
	2	2/5/2016	5/27/2016	101.9	101.16	-0.74	short	C
	10	2/5/2016	8/10/2016	509.51	537.72	28.21	short	C
	14	2/19/2016	8/10/2016	710.68	752.8	42.12	short	C
SubTotal	41			2,078.36	2,158.26	$79.90		
Visa Inc Cl A | G/L Amount: $18.14								
	5	8/26/2015	2/5/2016	351.34	354.56	3.22	short	C
	3	8/26/2015	2/5/2016	205.09	212.73	7.64	short	C
	9	8/26/2015	2/8/2016	615.28	601.71	-13.57	short	C
	10	9/23/2015	3/9/2016	702.77	702.65	-0.12	short	C
	2	9/23/2015	5/27/2016	140.55	159.08	18.53	short	C
	1	10/19/2015	5/27/2016	77.1	79.54	2.44	short	C
SubTotal	30			2,092.13	2,110.27	$18.14		
Wells Fargo & Co New | G/L Amount: -$35.50								
	6	3/2/2016	5/27/2016	296.45	304.69	8.24	short	C
	23	3/2/2016	8/24/2016	1,136.40	1,118.27	-18.13	short	C
	15	3/4/2016	8/24/2016	754.92	729.31	-25.61	short	C
SubTotal	44			2,187.77	2,152.27	($35 50)		
Short Term Total	1121.03			64,546.63	66,310.06	$1,763.43		

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator

Long Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
Adobe Systems | G/L Amount: $22.95								
	1	3/12/2015	5/27/2016	77.05	100	22.95	long	C
Alibaba Group Hldg Ltd | G/L Amount: -$564.04								
	3	11/20/2014	1/7/2016	335.41	220.76	-114.65	long	C
	3	12/12/2014	1/7/2016	318.04	220.75	-97.29	long	C
	7	12/12/2014	1/15/2016	742.09	481.39	-260.7	long	C
	8	12/16/2014	1/15/2016	845.41	550.16	-295.25	long	C
SubTotal	21			2,240.95	1,473.06	-767.89		
Allergan Plc Shs | G/L Amount: -$635.42								
	2	2/2/2015	5/10/2016	530.08	447.38	-82 7	long	C
	1	2/2/2015	5/27/2016	265.04	235.91	-29 13	long	C
	2	3/12/2015	10/18/2016	595.73	450.87	-144.86	long	C
	1	3/17/2015	10/18/2016	305	225.44	-79.56	long	C
SubTotal	6			1,695.85	1,359.60	-336.25		
Alphabet Inc Cl A | G/L Amount: $529.28								
	1	9/15/2011	8/16/2016	272.53	801.81	529.28	long	C
Alphabet Inc Cl C | G/L Amount: $572.57								
	1	2/26/2013	6/23/2016	394.05	689.99	295.94	long	
	1	3/8/2013	6/23/2016	413.36	689.99	276.63	long	
SubTotal	2			807.41	1379.98	572.57		
Amer Intl Gp Inc New | G/L Amount: -$61.07								
	2	4/15/2015	5/27/2016	116.43	116.53	0.1	long	C
	5	6/3/2015	6/27/2016	305.63	244.46	-61 17	long	C
SubTotal	7			422.06	360.99	-61.07		

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
American Express Co | G/L Amount: -$69.57								
	8	6/22/2011	1/22/2016	399.93	450.43	50.5	long	C
	4	4/17/2014	1/22/2016	345.29	225.22	-120.07	long	C
SubTotal	12			745.22	675.65	-69.57		
American Tower Reit Com | G/L Amount: $798.35								
	1	9/8/2010	5/27/2016	49.36	106.05	56.69	long	
	2	9/9/2011	5/27/2016	104.92	212.09	107.17	long	C
SubTotal	3			154.28	318.14	163.86		
Apple Inc | G/L Amount: $207.03								
	3	10/24/2013	1/28/2016	227.53	281.11	53.58	long	C
	5	4/29/2014	1/28/2016	423.94	468.52	44.58	long	C
	2	4/29/2014	5/27/2016	169.57	200.49	30.92	long	C
	2	4/30/2014	5/27/2016	170.7	200.48	29.78	long	C
	7	4/30/2014	6/27/2016	597.44	645.61	48.17	long	C
SubTotal	19			1,589.18	1,796.21	207.03		
Bank Of America Corp | G/L Amount: -$483.65								
	18	7/18/2013	1/20/2016	266.98	242.16	-24.82	long	C
	11	1/15/2014	1/20/2016	189.33	147.99	-41.34	long	C
	65	1/15/2014	2/12/2016	1,118.77	753.02	-365 75	long	C
	25	1/15/2014	8/16/2016	430.3	378.56	-51.74	long	C
SubTotal	119			2,005.38	1,521.73	-483.65		
Boeing Co | G/L Amount: -$94.06								
	5	9/23/2013	1/8/2016	585.18	662.99	77.81	long	C
	1	9/23/2013	1/11/2016	117.04	130.58	13.54	long	C
	4	9/24/2013	1/11/2016	477.83	522.3	44.47	long	C
	3	9/24/2013	1/28/2016	358.38	347.59	-10.79	long	C
	1	3/14/2014	1/28/2016	123.34	115.86	-7 48	long	C
	5	3/14/2014	2/11/2016	616.67	531.5	-85.17	long	C
	1	2/4/2015	2/11/2016	147.61	106.3	-41.31	long	C
	4	2/4/2015	3/31/2016	590.43	505.3	-85.13	long	C

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
SubTotal	24			3,016.48	2,922.42	-94.06		
Boston Scientific Corp | G/L Amount: $523.32								
	28	1/6/2015	4/20/2016	383.09	546.76	163.67	long	C
	38	1/15/2015	4/20/2016	544.63	742.04	197.41	long	C
	16	1/15/2015	4/28/2016	229.32	353.26	123.94	long	C
	8	4/28/2015	5/27/2016	142.27	180.57	38.3	long	C
SubTotal	90			1,299.31	1,822.63	523.32		
Bristol Myers Squibb Co | G/L Amount: -$6.89								
	4	4/1/2015	5/27/2016	252.62	285	32.38	long	C
	5	4/1/2015	8/5/2016	315.78	316.31	0.53	long	C
	4	4/14/2015	8/5/2016	257.04	253.05	-3.99	long	C
	9	4/14/2015	8/15/2016	578.35	542.54	-35.81	long	C
SubTotal	22			1,403.79	1,396.90	-6.89		
Celgene Corp | G/L Amount: -$43.46								
	1	4/23/2013	1/29/2016	64	98.8	34.8	long	C
	2	1/13/2015	1/29/2016	244.27	197.6	-46.67	long	C
	2	1/13/2015	5/27/2016	244.27	212.68	-31.59	long	C
SubTotal	5			552.54	509.08	-43.46		
Charles Schwab New | G/L Amount: -$166.04								
	24	4/15/2014	1/21/2016	622.88	585.82	-37.06	long	C
	8	4/15/2014	2/8/2016	207.63	187.96	-19.67	long	C
	20	8/26/2014	2/8/2016	579.22	469.91	-109.31	long	C
SubTotal	52			1,409.73	1,243.69	-166.04		
Cisco Sys Inc | G/L Amount: -$86.75								
	25	1/9/2015	1/19/2016	689.91	596.63	-93.28	long	C
	10	1/22/2015	5/27/2016	282.51	289.04	6.53	long	C
SubTotal	35			972.42	885.67	-86.75		
Citigroup Inc New | G/L Amount: -$365.45								
	4	9/19/2013	2/12/2016	209.34	144.69	-64.65	long	C
	7	9/19/2013	2/12/2016	365.94	253.22	-112.72	long	C

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	8	9/19/2013	5/27/2016	418.22	372.17	-46.05	long	C
	1	9/19/2013	6/10/2016	52.28	43.93	-8.35	long	C
	18	2/13/2015	6/10/2016	924.49	790.81	-133.68	long	C
SubTotal	38			1,970.27	1,604.82	-365.45		
Comcast Corp (New) Class A | G/L Amount: $63.33								
	6	5/5/2014	5/27/2016	313.67	377	63.33	long	C
Cvs Health Corp Com | G/L Amount: $701.32								
	7	1/27/2014	2/10/2016	470.96	657.09	186.13	long	C
	2	1/27/2014	5/27/2016	134.56	193.94	59.38	long	C
	8	1/27/2014	7/14/2016	538.24	781.83	243.59	long	C
	2	1/27/2014	9/28/2016	134.56	180.12	45.56	long	C
	6	1/31/2014	9/28/2016	407.68	540.36	132.68	long	C
	5	10/10/2014	9/28/2016	416.33	450.31	33.98	long	C
SubTotal	30			2,102.33	2,803.65	701.32		
Dow Chemical Co | G/L Amount: $116.52								
	3	12/5/2014	5/27/2016	151.24	156.58	5.34	long	C
	6	12/5/2014	8/15/2016	302.47	316.71	14.24	long	C
	7	3/10/2015	8/15/2016	332.27	369.5	37.23	long	C
	8	3/10/2015	9/21/2016	379.74	419.7	39.96	long	C
	3	3/10/2015	9/28/2016	142.4	155.29	12.89	long	C
	2	3/31/2015	9/28/2016	96.66	103.52	6.86	long	C
SubTotal	29			1,404.78	1,521.30	116.52		
Facebook Inc Cl-A | G/L Amount: $1,198.03								
	2	1/30/2014	3/4/2016	122.22	216.66	94.44	long	C
	4	1/30/2014	3/7/2016	244.45	428.15	183.7	long	C
	2	2/13/2014	3/7/2016	133.56	214.07	80.51	long	C
	1	3/4/2014	3/7/2016	68.67	107.04	38.37	long	C
	7	3/4/2014	8/16/2016	480.67	865.25	384.58	long	C
SubTotal	26			1,049.57	1,831.17	781.60		
Fnma 7/8 2-08-18 | G/L Amount: $244.95								

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	15,000.00	8/28/2014	1/6/2016	14,802.45	14,923.50	121.05	long	C
	14,000.00	8/28/2014	1/8/2016	13,815.62	13,939.52	123.9	long	C
SubTotal	29,000.00			28,618.07	28,863.02	244.95		
Gilead Science | G/L Amount: $1,261.61								
	8	5/30/2012	2/2/2016	201.57	667.93	466.36	long	C
	12	5/30/2012	3/24/2016	302.36	1,097.61	795.25	long	C
SubTotal	20			503.93	1765.54	1261.61		
Home Depot Inc | G/L Amount: $469.68								
	2	10/21/2011	5/27/2016	72.66	267.88	195.22	long	C
	3	10/21/2011	9/28/2016	108.99	383.45	274.46	long	C
SubTotal	5			181.65	651.33	469.68		
Honeywell International Inc | G/L Amount: $345.21								
	4	2/4/2014	5/27/2016	355.96	457.05	101.09	long	C
	4	2/4/2014	9/28/2016	355.96	464.54	108.58	long	C
	3	4/2/2014	9/28/2016	281.69	348.4	66.71	long	C
	4	4/2/2014	10/10/2016	373.59	426.85	53.26	long	C
	6	8/4/2015	10/10/2016	624.7	640.27	15.57	long	C
SubTotal	21			1,991.90	2,337.11	345.21		
Ishares Interm Credit Bd Etf | G/L Amount: -$429.09								
	15	5/28/2013	5/27/2016	1,659.53	1,643.92	-15.61	long	C
	28	5/28/2013	8/16/2016	3,097.78	3,117.84	20.06	long	C
SubTotal	43			4,757.31	4,761.76	4.45		
Jpmorgan Chase & Co | G/L Amount: $95.32								
	4	10/31/2012	5/27/2016	166.03	261.35	95.32	long	C
Marsh & Mclennan Cos Inc | G/L Amount: $193.64								
	3	7/27/2012	5/27/2016	100.14	197.8	97.66	long	C
	3	8/7/2012	5/27/2016	101.82	197.8	95.98	long	C
SubTotal	6			201.96	395.6	193.64		
Mastercard Inc Cl A | G/L Amount: $1,447.29								
	5	9/23/2011	1/28/2016	168.36	419.75	251.39	long	C

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	8	9/23/2011	2/5/2016	269.38	663.64	394.26	long	C
	3	9/23/2011	5/27/2016	101.02	291.06	190.04	long	C
	11	9/23/2011	6/27/2016	370.4	982	611.6	long	C
SubTotal	27			909.16	2356.45	1447.29		
Medtronic Plc Shs | G/L Amount: -$27.63								
	1	1/27/2015	5/27/2016	76.21	81.58	5.37	long	C
SubTotal	1			76.21	81.58	5.37		
Merck & Co Inc New Com | G/L Amount: $34.47								
	4	5/9/2014	2/5/2016	221.07	193.27	-27.8	long	C
	2	5/9/2014	5/27/2016	110.53	112.9	2.37	long	C
	1	6/5/2014	5/27/2016	58.37	56.45	-1.92	long	C
SubTotal	7			389.97	362.62	-27.35		
Microsoft Corp | G/L Amount: $212.49								
	11	3/31/2014	3/4/2016	455.67	571.85	116.18	long	C
	17	7/23/2014	4/27/2016	765.67	861.98	96.31	long	C
SubTotal	28			1,221.34	1,433.83	212.49		
Morgan Stanley | G/L Amount: -$253.03								
	3	4/30/2014	1/6/2016	92.65	91.45	-1.2	long	C
	19	8/25/2014	1/6/2016	654.04	579.22	-74.82	long	C
	25	9/15/2014	1/11/2016	879.97	702.96	-177.01	long	C
SubTotal	47			1,626.66	1,373.63	-253.03		
Royal Caribbean Cruises Ltd | G/L Amount: -$37.39								
	9	4/15/2015	5/4/2016	721.28	683.89	-37.39	long	C
Schlumberger Ltd | G/L Amount: -$437.95								
	4	3/4/2014	1/21/2016	369.24	248.92	-120.32	long	C
	4	3/21/2014	1/21/2016	372.26	248.91	-123.35	long	C
	6	3/21/2014	9/28/2016	558.39	455.71	-102.68	long	C
	7	4/14/2015	9/28/2016	623.26	531.66	-91.6	long	C
SubTotal	21			1,923.15	1,485.20	-437.95		
T-Mobile Us Inc Com | G/L Amount: $73.48								

Realized Gain/Loss for 1/1/2016 - 10/31/2016

Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD	Covered Indicator
	8	10/28/2014	2/5/2016	228.76	286.16	57.4	long	C
	2	4/28/2015	5/27/2016	68.97	85.05	16.08	long	C
SubTotal	10			297.73	371.21	73.48		
Unitedhealth Gp Inc | G/L Amount: $110.87								
	3	11/24/2014	5/27/2016	290.59	401.46	110.87	long	C
Us Tsy Note 1 1/4 10-31-18 | G/L Amount: $233.97								
	14,000.00	12/4/2013	1/6/2016	13,889.58	13,998.91	109.33	long	
	14,000.00	12/4/2013	1/8/2016	13,889.58	14,014.22	124.64	long	
SubTotal	28,000.00			27,779.16	28,013.13	233.97		
Long Term Total				97,160.90	102,304.21	5,143.31		
Grand Total				161,707.53	168,614.27	$6,906.74		

Account Detail

Select UMA Basic Securities Account
304-879780-001

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

INTERESTED PARTY COPY

Investment Objectives †: Capital Appreciation, Aggressive Income, Income, Speculation

Investment Advisory Account
Manager: Atalanta Sosnoff - Lg Core Balanced

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual Income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY PRIVATE BANK NA #	$7,049.67	—	$1.00	0.020

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs		$7,049.67	$1.00
NET UNSETTLED PURCHASES/SALES		$(874.11)	
CASH, BDP, AND MMFs (PROJECTED SETTLED BALANCE)	2.86%	$6,175.56	

 # Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

The "Projected Settled Balance" includes accrued interest on deposits and reflects the impact of unsettled purchases/sales.

047427 MSGDD166 027603

Account Detail

Select UMA Basic Securities Account
304-879780-001

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

INTERESTED PARTY COPY

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ABBVIE INC COM (ABBV)	4/21/16	12.000	$61.088	$55 780	$733.06	$669.36	$(63.70) ST		
	8/16/16	3 000	66.827	55.780	200 48	167.34	(33.14) ST		
	9/8/16	5.000	63.736	55.780	318.68	278.90	(39.78) ST		
	9/28/16	11 000	64.376	55.780	708.14	613.58	(94.56) ST		
	Total	31.000			1,960.36	**1,729.18**	(231 18) ST	71.00	4.10
Next Dividend Payable 11/15/16; Asset Class: Equities									
ADOBE SYSTEMS (ADBE)	3/12/15	4.000	77.050	107.510	308.20	430.04	121.84 LT		
	7/8/15	4.000	80.045	107.510	320.18	430 04	109.86 LT		
	9/21/16	4.000	107.358	107.510	429.43	430.04	0.61 ST		
	Total	12.000			1,057.81	**1,290.12**	231.70 LT 0.61 ST	—	—
Asset Class: Equities									
ALIBABA GROUP HLDG LTD (BABA)	10/23/15	19 000	74.455	101 690	1,414.65	1,932.11	517.46 LT		
	8/11/16	5.000	92.002	101.690	460.01	508.45	48.44 ST		
	9/9/16	8.000	99 854	101.690	798 83	813.52	14.69 ST		
	9/29/16	6 000	105.420	101.690	632 52	610.14	(22 38) ST		
	Total	38.000			3,306.01	**3,864.22**	517.46 LT 40 75 ST	—	—
Asset Class: Equities									
ALLERGAN PLC SHS (AGN)	3/17/15	1.000	305.000	208.940	305.00	208.94	(96.06) LT		
	6/1/15	1 000	308 915	208.940	308.92	208.94	(99.98) LT		
	6/1/15	1.000	308 915	208 940	308 91	208 94	(99.97) LT		
	Total	3.000			922.83	**626.82**	(296 01) LT	—	—
Asset Class: Equities									
ALPHABET INC CL A (GOOGL)	9/20/11	1.000	276 560	809.900	276 56	809.90	533 34 LT		
	2/26/13	2.000	396.885	809.900	793.77	1,619.80	826.03 LT		
	3/8/13	1.000	414.690	809.900	414.69	809.90	395.21 LT		
	8/7/15	1.000	661 970	809.900	661.97	809 90	147.93 LT		
	9/17/15	1 000	666.840	809 900	666.84	809.90	143.06 LT		
	Total	6 000			2,813 83	**4,859.40**	2,045.57 LT	—	—
Asset Class: Equities									
ALTRIA GROUP INC (MO)	2/8/16	2.000	60.092	66.120	120.18	132.24	12.06 ST		
	6/27/16	9 000	67 426	66.120	606.83	595.08	(11.75) ST		
	7/5/16	9.000	69 844	66.120	628 60	595.08	(33.52) ST		

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WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	20.000			1,355.61	**1,322.40**	(33 21) ST	49.00	3.70
Next Dividend Payable 01/2017; Asset Class: Equities									
AMAZON COM INC (AMZN)	7/20/15	1.000	488 780	789.820	488.78	789 82	301.04 LT		
	9/1/15	2.000	501.740	789.820	1,003 48	1,579.64	576.16 LT		
	10/28/16	1.000	777.910	789 820	777 91	789 82	11 91 ST		
	Total	4 000			2,270.17	**3,159.28**	877.20 LT 11.91 ST	—	—
Asset Class: Equities									
AMER INTL GP INC NEW (AIG)	12/2/15	8.000	64.228	61.700	513.82	493.60	(20.22) ST		
	1/6/16	25.000	59 795	61.700	1,494.88	1,542.50	47.62 ST		
	Total	33.000			2,008 70	**2,036.10**	27.40 ST	42.00	2.06
Next Dividend Payable 12/2016; Asset Class. Equities									
AMERICAN TOWER REIT COM (AMT)	9/9/11	11 000	52.460	117.190	577.06	1,289.09	712.03 LT		
	10/9/14	9 000	94 918	117.190	854.26	1,054.71	200.45 LT		
	10/10/14	10.000	95.979	117 190	959.79	1,171.90	212.11 LT		
	6/20/16	5.000	107.986	117 190	539.93	585.95	46 02 ST		
	Total	35.000			2,931.04	**4,101.65**	1,124.59 LT 46.02 ST	77 00	1.87
Next Dividend Payable 01/2017; Asset Class: Alt									
ANADARKO PETE (APC)	9/21/16	23.000	60.403	59.440	1,389.27	1,367 12	(22 15) ST		
	9/28/16	11.000	60.260	59.440	662.86	653.84	(9.02) ST		
	10/21/16	10.000	63.140	59 440	631.40	594 40	(37.00) ST		
	Total	44.000			2,683.53	**2,615.36**	(68.17) ST	9 00	0.34
Next Dividend Payable 12/2016; Asset Class: Equities									
APPLE INC (AAPL)	4/30/14	12 000	85 349	113 540	1,024 19	1,362 48	338.29 LT		
	5/5/14	7.000	85 311	113.540	597.18	794.78	197.60 LT		
	8/22/14	4 000	100 770	113 540	403 08	454 16	51 08 LT		
	11/19/15	6.000	119.270	113.540	715.62	681.24	(34 38) ST		
	3/7/16	14.000	102 289	113 540	1,432.05	1,589.56	157.51 ST		
	3/16/16	3 000	106.283	113 540	318 85	340 62	21.77 ST		
	Total	46 000			4,490.97	**5,222.84**	586.97 LT 144.90 ST	105.00	2.01
Next Dividend Payable 11/2016; Asset Class: Equities									
AT&T INC (T)	1/26/16	29.000	35 329	36 790	1,024 54	1,066.91	42.37 ST		
	1/27/16	39.000	35.569	36 790	1,387.19	1,434.81	47.62 ST		
	2/5/16	18 000	36 787	36.790	662.17	662.22	0.05 ST		

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WALDMAN FOUNDATION INC
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	86.000			3,073.90	**3,163.94**	90.04 ST	169.00	5.34
Next Dividend Payable 11/01/16; Asset Class: Equities									
BANK OF AMERICA CORP (BAC)	1/15/14	15.000	17.212	16.500	258 18	247.50	(10 68) LT		
	9/19/14	33.000	17.025	16.500	561.84	544.50	(17.34) LT		
	7/17/15	48.000	18.125	16.500	869 98	792.00	(77.98) LT		
	5/24/16	36.000	14.724	16.500	530 07	594.00	63 93 ST		
	8/18/16	29.000	15 170	16.500	439.93	478.50	38.57 ST		
	8/24/16	25.000	15.502	16.500	387.56	412 50	24.94 ST		
	10/21/16	51.000	16 629	16.500	848.09	841 50	(6.59) ST		
	Total	237.000			3,895.65	**3,910.50**	(106.00) LT 120.85 ST	71.00	1.81
Next Dividend Payable 12/2016; Asset Class: Equities									
BOSTON SCIENTIFIC CORP (BSX)	4/28/15	25.000	17.784	22.000	444 61	550.00	105.39 LT		
	2/4/16	45.000	17.061	22 000	767.75	990.00	222.25 ST		
	Total	70 000			1,212.36	**1,540.00**	105.39 LT 222.25 ST	—	—
Asset Class: Equities									
CANADIAN NATURAL RESOURCES LTD (CNQ)	3/11/16	17.000	27.491	31.710	467.34	539.07	71.73 ST		
	3/21/16	26.000	27.405	31 710	712.53	824.46	111.93 ST		
	4/18/16	26.000	28 718	31.710	746.68	824.46	77.78 ST		
	6/29/16	24.000	30 228	31.710	725 46	761.04	35.58 ST		
	Total	93.000			2,652.01	**2,949.03**	297 02 ST	65.00	2.20
Next Dividend Payable 01/2017; Asset Class: Equities									
CELGENE CORP (CELG)	1/13/15	4.000	122 134	102.180	488.53	408.72	(79 81) LT		
	5/1/15	6 000	110.188	102.180	661.13	613.08	(48.05) LT		
	8/16/16	3.000	112 733	102 180	338.20	306 54	(31 66) ST		
	Total	13.000			1,487.86	**1,328.34**	(127.86) LT (31.66) ST	—	—
Asset Class: Equities									
CHARTER COMMUNICATIONS INC (CHTR)	4/28/16	3.000	280.883	249 890	842.65	749.67	(92.98) ST		
	5/3/16	3.000	214 913	249.890	644.74	749.67	104.93 ST		
	7/12/16	3.000	240.850	249 890	722.55	749.67	27.12 ST		
	9/28/16	2 000	275 800	249 890	551 60	499.78	(51.82) ST		
	Total	11 000			2,761.54	**2,748.79**	(12.75) ST	—	—
Asset Class: Equities									
CHEVRON CORP (CVX)	10/31/16	12.000	105 074	104.750	1,260.89	**1,257.00**	(3 89) ST	52 00	4.13

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WALDMAN FOUNDATION INC
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 12/2016; Asset Class: Equities									
CISCO SYS INC (CSCO)	1/22/15	12 000	28.251	30.680	339.02	368.16	29.14 LT		
	4/15/15	22 000	28.376	30 680	624.28	674.96	50.68 LT		
	2/19/16	47.000	26.496	30.680	1,245.31	1,441.96	196.65 ST		
	Total	81.000			2,208.61	**2,485.08**	79.82 LT 196.65 ST	84 00	3.38
Next Dividend Payable 01/2017; Asset Class: Equities									
CITIGROUP INC NEW (C)	2/13/15	12.000	51.360	49.150	616.32	589.80	(26.52) LT		
	4/17/15	15.000	53.651	49.150	804 77	737 25	(67.52) LT		
	4/28/15	13.000	52.507	49.150	682.59	638.95	(43.64) LT		
	8/24/16	7.000	46.733	49.150	327.13	344.05	16 92 ST		
	Total	47.000			2.430.81	**2,310.05**	(137.68) LT 16.92 ST	30.00	1.29
Next Dividend Payable 11/2016; Asset Class: Equities									
COMCAST CORP (NEW) CLASS A (CMCSA)	5/5/14	6.000	52 279	61.820	313.67	370.92	57.25 LT		
	9/9/14	5.000	56.320	61.820	281.60	309.10	27.50 LT		
	10/10/14	18.000	53.463	61.820	962 33	1.112.76	150.43 LT		
	2/8/16	14 000	58.661	61.820	821.26	865.48	44 22 ST		
	6/29/16	11 000	63.987	61.820	703 86	680.02	(23.84) ST		
	Total	54 000			3,082.72	**3,338.28**	235.18 LT 20.38 ST	59.00	1.76
Next Dividend Payable 01/2017; Asset Class: Equities									
CSX CORP (CSX)	9/14/16	44.000	29.085	30.510	1.279.76	**1,342.44**	62.68 ST	32.00	2.38
Next Dividend Payable 12/2016; Asset Class: Equities									
DOW CHEMICAL CO (DOW)	3/31/15	16.000	48.332	53.810	773.31	860.96	87.65 LT		
	4/16/15	13.000	49.985	53.810	649 81	699 53	49 72 LT		
	4/23/15	15.000	51.201	53.810	768.01	807 15	39.14 LT		
	Total	44.000			2,191.13	**2,367.64**	176.51 LT	81.00	3.42
Next Dividend Payable 01/2017; Asset Class: Equities									
DUKE ENERGY CORP NEW (DUK)	7/6/16	15.000	86.697	80.020	1,300.45	**1,200.30**	(100.15) ST	51.00	4.24
Next Dividend Payable 12/2016; Asset Class: Equities									
ELECTRONIC ARTS INC (EA)	10/14/16	7.000	82 901	78.520	580.31	**549.64**	(30.67) ST	—	—
Asset Class: Equities									
ELI LILLY & CO (LLY)	7/27/16	16.000	83.074	73.840	1,329.19	**1,181.44**	(147.75) ST	33.00	2.79
Next Dividend Payable 12/2016; Asset Class: Equities									

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WALDMAN FOUNDATION INC
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXXON MOBIL CORP (XOM)	1/27/16	16.000	77 231	83.320	1,235.70	1,333.12	97.42 ST		
	6/23/16	8 000	91 734	83 320	733 87	666.56	(67 31) ST		
	Total	24.000			1,969.57	**1,999.68**	30.11 ST	72 00	3.60
Next Dividend Payable 12/2016; Asset Class: Equities									
FACEBOOK INC CL-A (FB)	3/4/14	7 000	68.667	130.990	480.67	916.93	436.26 LT		
	2/26/15	1.000	80 440	130.990	80.44	130.99	50 55 LT		
	4/23/15	8.000	84.596	130.990	676 77	1.047 92	371.15 LT		
	6/9/15	10 000	80.110	130.990	801.10	1,309.90	508.80 LT		
	6/29/15	5.000	86.366	130.990	431.83	654 95	223.12 LT		
	7/20/15	6.000	98.407	130 990	590.44	785.94	195 50 LT		
	Total	37.000			3,061.25	**4,846.63**	1,785.38 LT	—	—
Asset Class: Equities									
FEDEX CORP (FDX)	4/13/16	12.000	166.867	174.320	2.002 41	**2,091.84**	89 43 ST	19.00	0.90
Next Dividend Payable 01/2017; Asset Class: Equities									
GENERAL ELECTRIC CO (GE)	10/19/15	14 000	29.005	29.100	406.07	407.40	1.33 LT		
	11/19/15	23.000	30.406	29 100	699.34	669.30	(30 04) ST		
	1/6/16	26 000	30 492	29.100	792 78	756.60	(36 18) ST		
	Total	53 000			1,898.19	**1,833.30**	1.33 LT (66.22) ST	58.00	3 16
Next Dividend Payable 01/2017; Asset Class: Equities									
HALLIBURTON CO (HAL)	5/26/16	25.000	42.823	46.000	1.070.57	1,150 00	79.43 ST		
	6/15/16	5.000	44.454	46.000	222.27	230 00	7.73 ST		
	Total	30 000			1,292.84	**1,380.00**	87.16 ST	22.00	1 59
Next Dividend Payable 12/2016; Asset Class: Equities									
HOME DEPOT INC (HD)	10/21/11	1.000	36.330	122.010	36.33	122 01	85.68 LT		
	11/23/11	21 000	36 594	122 010	768.47	2.562.21	1,793.74 LT		
	Total	22.000			804 80	**2,684.22**	1,879.42 LT	61.00	2.27
Next Dividend Payable 12/2016; Asset Class: Equities									
HONEYWELL INTERNATIONAL INC (HON)	2/4/16	6 000	102.190	109.680	613.14	658.08	44.94 ST		
	4/12/16	6.000	112.532	109.680	675.19	658.08	(17 11) ST		
	Total	12.000			1,288.33	**1,316.16**	27 83 ST	32.00	2.43
Next Dividend Payable 12/2016; Asset Class: Equities									
JOHNSON & JOHNSON (JNJ)	6/29/16	17.000	119 246	115 990	2,027.18	**1,971.83**	(55.35) ST	54.00	2.73
Next Dividend Payable 12/2016; Asset Class: Equities									

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WALDMAN FOUNDATION INC
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
JPMORGAN CHASE & CO (JPM)	10/31/12	17.000	41.507	69.260	705 61	1,177 42	471.81 LT		
	12/18/12	21.000	43.878	69.260	921.43	1,454.46	533 03 LT		
	4/30/15	13.000	63.462	69.260	825.00	900.38	75.38 LT		
	6/10/15	9.000	68.343	69.260	615.09	623.34	8.25 LT		
	8/24/16	2 000	66.155	69.260	132.31	138.52	6.21 ST		
	Total	62.000			3,199.44	**4,294.12**	1,088.47 LT 6.21 ST	119.00	2.77
Next Dividend Payable 01/2017; Asset Class: Equities									
MARSH & MCLENNAN COS INC (MMC)	8/7/12	23.000	33.941	63.390	780.64	1,457 97	677 33 LT		
	2/17/16	15.000	57 197	63.390	857.96	950.85	92.89 ST		
	Total	38.000			1,638.60	**2,408.82**	677.33 LT 92.89 ST	52.00	2 15
Next Dividend Payable 11/15/16; Asset Class: Equities									
MEDTRONIC PLC SHS (MDT)	1/27/15	18.000	76.211	82.020	1,371.80	**1,476.36**	104.56 LT R	31 00	2.09
Next Dividend Payable 01/2017; Asset Class: Equities									
MERCK & CO INC NEW COM (MRK)	6/5/14	12.000	58 369	58.720	700.43	704.64	4 21 LT		
	6/23/14	14.000	58.678	58.720	821.49	822.08	0.59 LT		
	Total	26.000			1,521.92	**1,526.72**	4.80 LT	48.00	3.14
Next Dividend Payable 01/2017; Asset Class: Equities									
MICROSOFT CORP (MSFT)	7/20/15	5.000	46.986	59.920	234.93	299.60	64.67 LT		
	8/10/15	17 000	47.316	59.920	804 37	1,018.64	214.27 LT		
	10/27/15	13 000	54.021	59.920	702.27	778 96	76.69 LT		
	12/1/15	15.000	54.773	59 920	821.60	898 80	77 20 ST		
	1/29/16	19 000	54.442	59.920	1,034.40	1,138 48	104.08 ST		
	9/28/16	9 000	57.883	59.920	520 95	539.28	18.33 ST		
	Total	78.000			4,118 52	**4,673.76**	355.63 LT 199.61 ST	122.00	2.61
Next Dividend Payable 12/2016; Asset Class: Equities									
MORGAN STANLEY (MS)	8/18/16	43 000	30.628	33.570	1,317 00	1,443.51	126.51 ST		
	8/24/16	14.000	31 121	33.570	435.69	469.98	34.29 ST		
	Total	57.000			1,752 69	**1,913.49**	160.80 ST	46.00	2 40
Next Dividend Payable 11/15/16; Asset Class: Equities									
NORFOLK SOUTHERN CORP (NSC)	7/21/16	14.000	91 236	93 000	1,277.31	1,302.00	24.69 ST		
	9/28/16	7 000	95 396	93.000	667.77	651 00	(16.77) ST		
	Total	21 000			1,945.08	**1,953.00**	7.92 ST	50.00	2.56
Next Dividend Payable 12/2016; Asset Class: Equities									

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WALDMAN FOUNDATION INC
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
PEPSICO INC NC (PEP)	12/10/15	13.000	98.989	107.200	1,286 86	1,393 60	106.74 ST	39 00	2.79
Next Dividend Payable 12/2016; Asset Class: Equities									
PFIZER INC (PFE)	7/8/15	30.000	33.550	31.710	1,006 50	951.30	(55.20) LT		
	8/6/15	12.000	35.183	31.710	422 20	380 52	(41.68) LT		
	8/24/15	26 000	32.708	31 710	850 40	824.46	(25.94) LT		
	10/2/15	7.000	32.520	31.710	227.64	221.97	(5.67) LT		
	Total	75 000			2,506.74	2,378.25	(128.49) LT	90 00	3 78
Next Dividend Payable 12/2016; Asset Class: Equities									
PROCTER & GAMBLE (PG)	6/24/16	16.000	83.528	86.800	1,336.44	1,388.80	52 36 ST	43.00	3.09
Next Dividend Payable 11/15/16; Asset Class: Equities									
RAYTHEON CO (NEW) (RTN)	9/28/15	9.000	106.005	136.610	954 05	1,229.49	275 44 LT		
	10/19/15	8.000	112.743	136.610	901.94	1,092 88	190.94 LT		
	Total	17.000			1,855.99	2,322.37	466.38 LT	50.00	2.15
Next Dividend Payable 11/10/16; Asset Class: Equities									
SALESFORCE.COM,INC. (CRM)	9/22/15	4 000	71.293	75.160	285 17	300.64	15.47 LT		
	6/24/16	9 000	80.029	75.160	720.26	676.44	(43.82) ST		
	Total	13.000			1,005.43	977.08	15.47 LT (43 82) ST	—	—
Asset Class: Equities									
SCHLUMBERGER LTD (SLB)	4/14/15	2.000	89.038	78.230	178.08	156.46	(21.62) LT		
	4/30/15	8.000	94.265	78.230	754.12	625.84	(128.28) LT		
	8/31/15	10 000	77.201	78.230	772.01	782.30	10.29 LT		
	5/26/16	3 000	78 347	78.230	235.04	234 69	(0.35) ST		
	Total	23.000			1,939.25	1,799.29	(139.61) LT (0.35) ST	46.00	2.55
Next Dividend Payable 01/2017, Asset Class. Equities									
SOUTHERN CO (SO)	6/30/16	25.000	53.512	51.570	1,337 81	1,289.25	(48 56) ST	56.00	4.34
Next Dividend Payable 12/2016; Asset Class: Equities									
STANLEY BLACK & DECKER INC (SWK)	7/13/16	6.000	117 210	113.840	703.26	683.04	(20 22) ST		
	10/12/16	5.000	121.460	113.840	607.30	569.20	(38.10) ST		
	Total	11.000			1,310.56	1,252.24	(58.32) ST	26.00	2.07
Next Dividend Payable 12/2016; Asset Class: Equities									
STARBUCKS CORP WASHINGTON (SBUX)	8/26/15	10.000	51.538	53.070	515.37	530.70	15.33 LT		
	7/12/16	14.000	57.244	53 070	801.41	742.98	(58 43) ST		

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Account Detail

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304-879780-001

WALDMAN FOUNDATION INC
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	24 000			1,316 78	**1,273.68**	15.33 LT (58.43) ST	19.00	1.49
Next Dividend Payable 11/2016; Asset Class: Equities									
T-MOBILE US INC COM (TMUS)	10/19/15	18.000	41.350	49.730	744.30	**895.14**	150.84 LT	—	—
Next Dividend Payable 12/2016; Asset Class: Equities									
TIME WARNER INC NEW (TWX)	8/5/16	9.000	79.097	88.990	711 87	800.91	89.04 ST		
	8/10/16	7.000	80.007	88.990	560 05	622.93	62.88 ST		
	Total	16.000			1,271.92	**1,423.84**	151.92 ST	26.00	1.82
Next Dividend Payable 12/2016; Asset Class: Equities									
UNITEDHEALTH GP INC (UNH)	11/24/14	2.000	96 863	141.330	193.73	282.66	88.93 LT		
	12/1/14	9.000	98.961	141.330	890.65	1,271.97	381.32 LT		
	1/28/15	4.000	108.910	141.330	435.64	565.32	129.68 LT		
	Total	15.000			1,520 02	**2,119.95**	599.93 LT	38.00	1.79
Next Dividend Payable 12/2016; Asset Class: Equities									
VISA INC CL A (V)	10/19/15	3.000	77.103	82.510	231 31	247.53	16.22 LT		
	11/2/15	11.000	75.250	82.510	827.75	907.61	79.86 ST		
	6/3/16	17.000	80 082	82.510	1,361.40	1,402.67	41.27 ST		
	Total	31 000			2,420.46	**2,557.81**	16.22 LT 121.13 ST	20.00	0 78
Next Dividend Payable 12/2016; Asset Class: Equities									
WALT DISNEY CO HLDG CO (DIS)	5/31/12	12.000	45.648	92.690	547 77	**1,112.28**	564.51 LT	17.00	1.52
Next Dividend Payable 01/2017; Asset Class: Equities									
WESTROCK CO COM (WRK)	4/4/16	18.000	34.622	46.190	623.20	**831.42**	208.22 ST	27.00	3.24
Next Dividend Payable 11/2016; Asset Class: Equities									
ZIMMER BIOMET HLDGS INC COM (ZBH)	5/23/16	11.000	119 701	105 400	1,316 71	1,159.40	(157.31) ST		
	6/22/16	6.000	119.432	105.400	716.59	632.40	(84 19) ST		
	Total	17.000			2,033 30	**1,791.80**	(241.50) ST	16.00	0.89
Next Dividend Payable 01/2017; Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	57.28%	$109,497.50	$123,676.57	$12,770.34 LT $1,408.73 ST	$2,379.00	1.92%

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WALDMAN FOUNDATION INC
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EXCHANGE-TRADED & CLOSED-END FUNDS

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain exchange-traded funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these status codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ISHARES 3-7 YR TREASURY BD ETF (IEI)	1/6/16	47 000	$123.153	$125.620	$5,788 17	$5,904 14	$115.97 ST		
	1/7/16	47.000	123.310	125.620	5,795.59	5,904 14	108.55 ST		
	1/21/16	19.000	124.530	125 620	2,366.07	2,386.78	20.71 ST		
	1/22/16	21.000	124.210	125.620	2,608.41	2,638.02	29.61 ST		
	1/22/16	19.000	124.215	125.620	2,360.08	2,386.78	26.70 ST		
	8/16/16	18 000	126.495	125.620	2,276.91	2,261 16	(15 75) ST		
	Total	171.000			21,195.23	**21,481.02**	285.79 ST	287.00	1.33
Next Dividend Payable 11/2016, Asset Class: FI & Pref									
ISHARES BARCLAYS 1-3 YR TSY BD (SHY)	1/6/16	46.000	84.468	84.950	3 885.51	3.907 70	22.19 ST		
	1/8/16	131.000	84.536	84 950	11,074.23	11.128.45	54 22 ST		
	Total	177.000			14,959.74	**15,036.15**	76.41 ST	101 00	0.67
GIMA Status: AL; Next Dividend Payable 11/2016, Asset Class: FI & Pref									
ISHARES INTERM CREDIT BD ETF (CIU)	5/28/13	143.000	110.635	110.670	15,820.81	15,825.81	5.00 LT		
	6/11/13	6.000	110 445	110 670	662.67	664.02	1.35 LT H		
	9/25/13	7.000	107 999	110 670	755.99	774.69	18.70 LT		
	12/5/13	156.000	108.117	110.670	16,866 29	17,264 52	398.23 LT		
	Total	312 000			34,105 76	**34,529.04**	423.28 LT	845.00	2 44
Next Dividend Payable 11/2016; Basis Adjustment Due to Wash Sale: $13.97; Asset Class: FI & Pref									
ISHARES MBS ETF (MBB)	1/7/16	40.000	108.140	109.630	4,325 58	4,385.20	59.62 ST		
	1/12/16	97.000	108.320	109.630	10,507 03	10,634 11	127 08 ST		
	Total	137.000			14,832 61	**15,019.31**	186.70 ST	333.00	2.21
Next Dividend Payable 11/2016; Asset Class: FI & Pref									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	39.86%	$85,093.34	$86,065.52	$423.28 LT 548.90 ST	$1,566.00	1.82%

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WALDMAN FOUNDATION INC
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	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$194,590.84	$215,917.65	$13,193.62 LT $1,957.63 ST	$3,946 00 $0.00	1.83%
TOTAL VALUE (includes accrued interest)	100.00%		$215,917.65			

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.
R - The cost basis for this tax lot was adjusted due to a reclassification of income.
Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	$6,175.56	—	—	—	—	—	—
Stocks	—	$119,574.92	—	$4,101.65	—	—	—
ETFs & CEFs	—	—	$86,065.52	—	—	—	—
TOTAL ALLOCATION OF ASSETS	**$6,175.56**	**$119,574.92**	**$86,065.52**	**$4,101.65**	—	—	—

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MARKET VALUE EQUITY

1-0		
1-1	Total Market Value	215,917.65
1-2	Less Cash	- 6,175.56
1-T	Total	= 209,742.09