



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 10/01, 2015, and ending 9/30, 2016

JOHN F. SMIEKEL FOUNDATION
180 LAWTON ROAD
RIVERSIDE, IL 60546A Employer identification number
94-3109481B Telephone number (see instructions)
708-442-9487C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16)
J Accounting method ☒ Cash ☐ Accrual
Other (specify)

\$ 3,593,921.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and

Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
------------------------------------	---------------------------	-------------------------	---

1 Contributions, gifts, grants, etc, received (attach schedule)

2 Ck ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 1,484,202.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

See Statement 1

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) See St 2

c Other prof fees (attach sch) See St 3

17 Interest

18 Taxes (attach schedule)(see instrs) See Stm 4

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 5

24 Total operating and administrative expenses Add lines 13 through 23

25 Contributions, gifts, grants paid Part XV

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

SCANNED FEB 21 2016

REVENUE

ADMINISTRATIVE AND OPERATING EXPENSES

RECEIVED
FEB 15 2017
CODEN. UT

936 10

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule)			
	c	Investments – corporate bonds (attach schedule)			
	LIABILITIES	11	Investments – land, buildings, and equipment basis ▶		
		Less: accumulated depreciation (attach schedule) ▶			
12		Investments – mortgage loans			
13		Investments – other (attach schedule) Statement 6	3,692,761.	3,499,721.	3,593,921.
14		Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I.)	3,692,761.	3,499,721.	3,593,921.
FUND ASSETS	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	3,692,761.	3,499,721.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	3,692,761.	3,499,721.		
31	Total liabilities and net assets/fund balances (see instructions)	3,692,761.	3,499,721.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,692,761.
2	Enter amount from Part I, line 27a	2	-186,809.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,505,952.
5	Decreases not included in line 2 (itemize) ▶ <u>See Statement 7</u>	5	6,231.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	3,499,721.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a MERRILL LYNCH - SEE ATTACHED		P	Various	Various
b MERRILL LYNCH - SEE ATTACHED		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 870,791.		900,298.	-29,507.
b 613,411.		633,949.	-20,538.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-29,507.
b			-20,538.
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	-50,045.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-29,507.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	200,841.	3,748,878.	0.053574
2013	221,869.	3,686,006.	0.060192
2012	213,623.	3,434,308.	0.062203
2011	69,154.	1,313,115.	0.052664
2010	74,239.	1,446,865.	0.051310

2 Total of line 1, column (d)	2	0.279943
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.055989
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	3,541,283.
5 Multiply line 4 by line 3	5	198,273.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	398.
7 Add lines 5 and 6	7	198,671.
8 Enter qualifying distributions from Part XII, line 4	8	176,734.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	795.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	795.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	795.
6 Credits/Payments			
a 2015 estimated tax pmts and 2014 overpayment credited to 2015	6a	16,000.	
b Exempt foreign organizations — tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	16,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	6.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	15,199.	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax 5,000. Refunded	11	10,199.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation: \$ 0. (2) On foundation managers: \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers: \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NV		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2015)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>CHRISTINE MILLEKER</u> Telephone no <u>708-442-9487</u> Located at <u>180 LAWTON ROAD RIVERSIDE IL</u> ZIP + 4 <u>60546</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If 'Yes,' list the years <u>20 __ , 20 __ , 20 __ , 20 __</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20 __ , 20 __ , 20 __ , 20 __</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b	X

BAA

Form 990-PF (2015)

Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5 b** N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6 b** X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?N/A **7 b****Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8		17,468.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	3,488,380.
b	Average of monthly cash balances	1 b	106,831.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	3,595,211.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,595,211.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	53,928.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,541,283.
6	Minimum investment return. Enter 5% of line 5	6	177,064.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	177,064.
2a	Tax on investment income for 2015 from Part VI, line 5	2 a	795.
b	Income tax for 2015 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	795.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	176,269.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	176,269.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	176,269.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	176,734.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	176,734.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	176,734.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				176,269.
2 Undistributed income, if any, as of the end of 2015.				
a Enter amount for 2014 only			0.	
b Total for prior years 20 , 20 , 20		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	3,486.			
b From 2011	8,438.			
c From 2012	45,136.			
d From 2013	43,127.			
e From 2014	27,686.			
f Total of lines 3a through e	127,873.			
4 Qualifying distributions for 2015 from Part XII, line 4: \$ 176,734.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2015 distributable amount				176,269.
e Remaining amount distributed out of corpus	465.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	128,338.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	3,486.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	124,852.			
10 Analysis of line 9				
a Excess from 2011	8,438.			
b Excess from 2012	45,136.			
c Excess from 2013	43,127.			
d Excess from 2014	27,686.			
e Excess from 2015	465.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon.

a 'Assets' alternative test – enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test – enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income.

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 9				
Total			3 a	168,000.
b Approved for future payment				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)	
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount			
1	Program service revenue						
a							
b							
c							
d							
e							
f							
g	Fees and contracts from government agencies						
2	Membership dues and assessments						
3	Interest on savings and temporary cash investments						
4	Dividends and interest from securities						96,738.
5	Net rental income or (loss) from real estate.						
a	Debt-financed property						
b	Not debt-financed property						
6	Net rental income or (loss) from personal property						
7	Other investment income						
8	Gain or (loss) from sales of assets other than inventory						-50,045.
9	Net income or (loss) from special events						
10	Gross profit or (loss) from sales of inventory						
11	Other revenue						
a							
b							
c							
d							
e							
12	Subtotal. Add columns (b), (d), and (e)		201.				46,693.
13	Total. Add line 12, columns (b), (d), and (e)					13	46,894.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

EMASM Fiscal Statement

JOHN F SMIEKEL FOUNDATION

94-3109481
Form 990-PF 2015
Part IV

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
26,000.0000	US TSY 0 375% NOV 15 2015	01/23/15	10/16/15	26,004.02	26,003.91	0.11 ST
92.0000	AMETEK INC NEW	01/26/15	09/28/15	4,683.88	4,537.10	146.78 ST
34.0000	AMETEK INC NEW	02/23/15	09/28/15	1,731.00	1,776.17	(45.17) ST
21.0000	AMETEK INC NEW	02/23/15	09/29/15	1,074.57	1,097.04	(22.47) ST
49.0000	ASTRAZENECA PLC SPND ADR	01/26/15	10/20/15	1,527.59	1,736.07	(208.48) ST
49.0000	ASTRAZENECA PLC SPND ADR	01/26/15	10/21/15	1,498.90	1,736.07	(237.17) ST
49.0000	ASTRAZENECA PLC SPND ADR	01/26/15	10/22/15	1,517.17	1,736.07	(218.90) ST
15.0000	ASTRAZENECA PLC SPND ADR	01/26/15	10/23/15	469.84	531.45	(61.61) ST
8.0000	CHEMOURS (THE) CO SHS	01/26/15	10/15/15	55.53	142.33	(86.80) ST
9.0000	CHEMOURS (THE) CO SHS	01/26/15	10/16/15	58.76	160.12	(101.36) ST
4.0000	CHEMOURS (THE) CO SHS	01/26/15	10/19/15	24.40	71.16	(46.76) ST
47.0000	DISCOVER FINL SVCS	01/26/15	10/20/15	2,568.52	2,657.38	(88.86) ST
36.0000	DISCOVER FINL SVCS	01/26/15	10/20/15	1,965.84	2,035.44	(69.60) ST
64.0000	DISCOVER FINL SVCS	01/26/15	10/21/15	3,489.54	3,618.56	(129.02) ST
44.0000	DISCOVER FINL SVCS	01/26/15	10/21/15	2,399.06	2,487.76	(88.70) ST
193.0000	DEUTSCHE POST AG SHS	01/26/15	10/27/15	5,832.31	6,255.13	(422.82) ST
109.0000	GRUPO TELEVISA SA ADR	01/26/15	09/28/15	2,850.29	3,712.19	(861.90) ST
194.0000	GRUPO TELEVISA SA ADR	01/26/15	09/28/15	5,019.95	6,607.02	(1,587.07) ST
86.0000	GRUPO TELEVISA SA ADR	01/26/15	09/30/15	2,231.87	2,928.88	(697.01) ST
49.0000	GRUPO TELEVISA SA ADR	03/12/15	09/30/15	1,271.66	1,688.14	(416.48) ST
21.0000	IMPERIAL TOB GRP SPS ADR	01/26/15	09/28/15	2,225.89	1,944.60	281.29 ST
93.0000	IMPERIAL TOB GRP SPS ADR	01/26/15	10/15/15	9,962.19	8,611.80	1,350.39 ST
3.0000	LOCKHEED MARTIN CORP	01/26/15	10/15/15	625.62	585.66	39.96 ST
1.0000	LOCKHEED MARTIN CORP	01/26/15	10/16/15	210.08	195.22	14.86 ST
6.0000	LIBERTY GLOBAL LILAC A	01/26/15	10/12/15	211.11	262.52	(51.41) ST
8.0000	LIBERTY GLOBAL LILAC A	01/26/15	10/09/15	284.42	350.02	(65.60) ST
4.0000	LIBERTY GLOBAL LILAC A	01/28/15	10/09/15	142.22	175.21	(32.99) ST
1.0000	LIBERTY GLOBAL LILAC A	01/28/15	10/13/15	34.12	43.80	(9.68) ST

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
6.0000	LIBERTY GLOBAL LILAC A	02/13/15	10/13/15	204.74	283.80	(79.06) ST
2.0000	LIBERTY GLOBAL LILAC A	05/01/15	10/13/15	68.25	98.63	(30.38) ST
3.0000	NORTHROP GRUMMAN CORP	01/26/15	10/15/15	521.38	468.21	53.17 ST
4.0000	NORTHROP GRUMMAN CORP	01/26/15	10/16/15	696.52	624.28	72.24 ST
10.0000	PRAXAIR INC	01/26/15	10/15/15	1,090.05	1,247.10	(157.05) ST
1.0000	SEMPRA ENERGY	01/26/15	10/15/15	100.24	113.78	(13.54) ST
5.0000	SEMPRA ENERGY	01/26/15	10/16/15	507.27	568.90	(61.63) ST
5.0000	SEMPRA ENERGY	01/26/15	10/19/15	505.67	568.90	(63.23) ST
4.0000	SEMPRA ENERGY	01/26/15	10/20/15	406.18	455.12	(48.94) ST
4.0000	SEMPRA ENERGY	01/26/15	10/21/15	406.66	455.12	(48.46) ST
4.0000	SEMPRA ENERGY	01/26/15	10/22/15	407.31	455.12	(47.81) ST
83.0000	SYNGENTA AG ADR	01/30/15	10/14/15	5,157.53	5,400.75	(243.22) ST
9.0000	SYNGENTA AG ADR	03/12/15	10/14/15	559.25	601.65	(42.40) ST
19.0000	SYNGENTA AG ADR	03/13/15	10/14/15	1,180.65	1,267.81	(87.16) ST
85.0000	SOFTBANK GROUP CORP	04/14/15	10/09/15	2,280.35	2,651.04	(390.69) ST
24.0000	TREND MICRO INC ADR NEW	03/02/15	10/16/15	871.03	815.18	55.85 ST
69.0000	TREND MICRO INC ADR NEW	03/03/15	10/16/15	2,504.23	2,399.23	105.00 ST
20.0000	3M COMPANY	01/26/15	10/20/15	2,963.40	3,249.20	(285.80) ST
10,000.0000	US TSY 2.000% APR 30 2016	01/23/15	11/04/15	10,079.28	10,081.95	(2.67) ST
28.0000	AKZO NOBEL N V SPNSD ADR	02/10/15	11/13/15	638.36	652.42	(14.06) ST
55.0000	AKZO NOBEL N V SPNSD ADR	02/10/15	11/16/15	1,251.64	1,281.55	(29.91) ST
66.0000	AKZO NOBEL N V SPNSD ADR	02/11/15	11/16/15	1,501.99	1,539.25	(37.26) ST
34.0000	AKZO NOBEL N V SPNSD ADR	02/11/15	11/17/15	785.78	792.94	(7.16) ST
123.0000	AKZO NOBEL N V SPNSD ADR	02/17/15	11/17/15	2,842.70	3,073.89	(231.19) ST
38.0000	AKZO NOBEL N V SPNSD ADR	03/13/15	11/17/15	878.24	931.44	(53.20) ST
39.0000	AKZO NOBEL N V SPNSD ADR	03/13/15	11/18/15	895.19	955.95	(60.76) ST
111.0000	AKZO NOBEL N V SPNSD ADR	06/18/15	11/18/15	2,547.85	2,832.64	(284.79) ST
14.0000	AMER EXPRESS COMPANY	01/26/15	10/30/15	1,026.07	1,174.18	(148.11) ST
20.0000	BAIDU INC SPON ADR	10/15/15	11/03/15	3,876.10	2,960.34	915.76 ST
18.0000	BAIDU INC SPON ADR	10/15/15	11/24/15	3,667.69	2,664.31	1,003.38 ST
312.0000	DEUTSCHE POST AG SHS	01/26/15	11/02/15	9,312.34	10,111.92	(799.58) ST
63.0000	DEUTSCHE POST AG SHS	02/06/15	11/02/15	1,860.37	2,127.97	(267.60) ST
49.0000	DEUTSCHE POST AG SHS	03/13/15	11/02/15	1,462.52	1,498.76	(36.24) ST
36.0000	DEUTSCHE POST AG SHS	07/17/15	11/02/15	1,074.51	1,127.41	(52.90) ST
27.0000	DEUTSCHE POST AG SHS	07/17/15	11/02/15	804.67	845.56	(40.89) ST
192.0000	INTEL CORP	01/26/15	11/18/15	6,279.59	6,886.35	(606.76) ST
31.0000	LAUDER ESTEE COS INC A	01/26/15	11/03/15	2,676.74	2,266.19	410.55 ST
9.0000	LOWE'S COMPANIES INC	01/26/15	11/09/15	646.01	626.15	19.86 ST
3.0000	LOWE'S COMPANIES INC	01/26/15	11/09/15	215.33	208.72	6.61 ST
30.0000	LOWE'S COMPANIES INC	01/26/15	11/11/15	2,175.21	2,087.18	88.03 ST

PLEASE SEE REVERSE SIDE

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
19.0000	LOWE'S COMPANIES INC	01/26/15	11/11/15	1,377.63	1,321.88	55.75 ST
18.0000	LOWE'S COMPANIES INC	01/26/15	11/10/15	1,305.42	1,252.31	53.11 ST
7.0000	LOWE'S COMPANIES INC	01/26/15	11/10/15	507.66	487.01	20.65 ST
63.0000	ORACLE CORP \$0.01 DEL	01/26/15	11/16/15	2,390.89	2,761.65	(370.76) ST
74.0000	ORACLE CORP \$0.01 DEL	01/26/15	11/16/15	2,808.34	3,243.85	(435.51) ST
4.0000	PRAXAIR INC	01/26/15	10/30/15	445.96	498.84	(52.88) ST
4.0000	PRAXAIR INC	01/26/15	11/02/15	448.56	498.84	(50.28) ST
1.0000	PRAXAIR INC	01/26/15	11/03/15	112.93	124.71	(11.78) ST
8.0000	RAYTHEON CO DELAWARE NEW	01/26/15	10/30/15	947.98	854.48	93.50 ST
8.0000	RAYTHEON CO DELAWARE NEW	01/26/15	11/16/15	982.21	854.48	127.73 ST
28.0000	SOUTHWEST AIRLNS CO	07/09/15	11/24/15	1,292.91	915.04	377.87 ST
8.0000	TRAVELERS COS INC	01/26/15	10/30/15	910.41	854.24	56.17 ST
3.0000	TRAVELERS COS INC	01/26/15	11/02/15	341.27	320.34	20.93 ST
10.0000	UNITED TECHS CORP COM	01/26/15	10/30/15	989.36	1,188.20	(198.84) ST
5.0000	ACE LIMITED	01/26/15	10/30/15	572.03	561.60	10.43 ST
5.0000	ACE LIMITED	01/26/15	11/02/15	569.97	561.60	8.37 ST
110.0000	KYOWA HAKKO KIRIN CO LTD	01/30/15	11/16/15	1,815.91	1,259.59	556.32 ST
10.000.0000	GENL ELEC CAP COR 2.95% 16	01/23/15	12/11/15	10,080.50	10,093.75	(13.25) ST
10.000.0000	US TSY 2.250% NOV 15 2024	01/23/15	12/11/15	10,095.68	10,355.39	(259.71) ST
27.000.0000	US TSY 1.000% AUG 31 2016	10/16/15	12/11/15	27,054.80	27,130.82	(76.02) ST
43.0000	BAIDU INC SPON ADR	10/15/15	12/03/15	8,885.62	6,364.74	2,520.88 ST
5.0000	LOCKHEED MARTIN CORP	01/26/15	12/17/15	1,078.91	976.10	102.81 ST
457.0000	MAZDA MOTOR CORP SHS	01/26/15	12/01/15	4,797.95	4,725.38	72.57 ST
559.0000	MAZDA MOTOR CORP SHS	01/26/15	12/09/15	5,796.50	5,780.06	16.44 ST
3.0000	MARATHON PETROLEUM CORP	01/26/15	12/02/15	173.23	131.66	41.57 ST
8.0000	MARATHON PETROLEUM CORP	01/26/15	12/03/15	438.88	351.10	87.78 ST
5.0000	MARATHON PETROLEUM CORP	01/26/15	12/04/15	275.78	219.44	56.34 ST
241.0000	ROYAL MAIL PLC-UNSP ADR	01/26/15	12/01/15	3,536.00	3,277.60	258.40 ST
239.0000	SOFTBANK GROUP CORP	04/14/15	12/09/15	6,076.13	7,454.10	(1,377.97) ST
170.0000	SOFTBANK GROUP CORP	04/14/15	12/09/15	4,305.17	5,302.08	(996.91) ST
43.0000	SOFTBANK GROUP CORP	04/25/15	12/09/15	1,088.95	1,330.47	(241.52) ST
120.0000	SOFTBANK GROUP CORP	05/07/15	12/09/15	3,038.95	3,635.56	(596.61) ST
12.0000	SOFTBANK GROUP CORP	07/17/15	12/09/15	303.90	343.11	(39.21) ST
37.0000	SOUTHWEST AIRLNS CO	07/09/15	11/25/15	1,700.84	1,209.16	491.68 ST
31.0000	TREND MICRO INC ADR NEW	03/03/15	12/02/15	1,300.71	1,077.92	222.79 ST
45.0000	TREND MICRO INC ADR NEW	03/04/15	12/02/15	1,888.15	1,549.87	338.28 ST
1.0000	ACE LIMITED	01/26/15	12/02/15	115.73	112.32	3.41 ST
7.0000	ACE LIMITED	01/26/15	12/03/15	803.01	786.24	16.77 ST
7.0000	ACE LIMITED	01/26/15	12/04/15	809.54	786.24	23.30 ST
4.0000	ACE LIMITED	01/26/15	12/07/15	469.36	449.28	20.08 ST

PLEASE SEE REVERSE SIDE

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
99.0000	ASTRAZENECA PLC SPND ADR	01/26/15	01/08/16	3,167.64	3,507.56	(339.92) ST
270.0000	BANK MANDIRI TBK-UNSPON	05/04/15	01/21/16	1,765.12	2,307.67	(542.55) ST
228.0000	BANK MANDIRI TBK-UNSPON	05/04/15	01/22/16	1,507.93	1,948.69	(440.76) ST
14.0000	BANK MANDIRI TBK-UNSPON	05/05/15	01/22/16	92.60	121.34	(28.74) ST
216.0000	BANK MANDIRI TBK-UNSPON	05/05/15	01/25/16	1,448.40	1,872.14	(423.74) ST
50.0000	BANK MANDIRI TBK-UNSPON	05/05/15	01/26/16	335.39	433.36	(97.97) ST
18.0000	BRISTOL-MYERS SQUIBB CO	01/26/15	01/06/16	1,209.10	1,116.90	92.20 ST
10.0000	BRISTOL-MYERS SQUIBB CO	01/26/15	01/07/16	663.47	620.50	42.97 ST
1,109.0000	FREEMONT-MCMORAN INC	12/01/15	01/07/16	6,099.61	9,139.82	(3,040.21) ST
12.0000	INTL BUSINESS MACHINES	01/26/15	01/22/16	1,466.72	1,908.84	(442.12) ST
20.0000	LOWE'S COMPANIES INC	01/26/15	01/06/16	1,487.40	1,391.45	95.95 ST
17.0000	LOWE'S COMPANIES INC	01/26/15	01/06/16	1,264.29	1,182.73	81.56 ST
43.0000	MASTERCARD INC	01/26/15	01/06/16	4,025.81	3,584.47	441.34 ST
30.0000	MASTERCARD INC	01/26/15	01/06/16	2,808.70	2,500.80	307.90 ST
27.0000	MASTERCARD INC	01/26/15	01/07/16	2,483.08	2,250.72	232.36 ST
33.0000	MASTERCARD INC	01/26/15	01/07/16	3,034.87	2,750.87	284.00 ST
26.0000	MASTERCARD INC	01/26/15	01/20/16	2,183.24	2,167.36	15.88 ST
14.0000	MASTERCARD INC	01/26/15	01/20/16	1,174.76	1,167.04	7.72 ST
32.0000	MASTERCARD INC	01/26/15	01/21/16	2,750.44	2,667.51	82.93 ST
24.0000	MASTERCARD INC	01/26/15	01/21/16	2,170.10	2,000.64	62.19 ST
81.0000	MATTEL INC	07/27/15	01/07/16	4,859.55	4,342.39	517.16 ST
189.0000	MATTEL INC	07/27/15	01/22/16	717.46	747.46	(30.00) ST
7.0000	TRAVELERS COS INC	01/26/15	12/31/15	1,303.81	1,220.44	83.37 ST
11.0000	UNITEDHEALTH GROUP INC	01/26/15	01/04/16	1,847.59	1,775.19	72.40 ST
16.0000	UNITEDHEALTH GROUP INC	01/26/15	01/07/16	785.40	776.65	8.75 ST
7.0000	UNITEDHEALTH GROUP INC	01/26/15	02/17/16	1,730.36	1,966.05	(235.69) LT
45.0000	ABBOTT LABS	01/26/15	02/05/16	2,255.18	3,558.12	(1,302.94) LT
12.0000	ALLIANCE DATA SYS CORP	01/26/15	02/08/16	1,095.39	1,779.06	(683.67) LT
6.0000	ALLIANCE DATA SYS CORP	01/26/15	02/22/16	1,404.19	1,387.96	16.23 LT
27.0000	AMERICAN INTERNATIONAL	01/26/15	02/02/16	7,187.48	6,427.87	759.61 LT
13.0000	ASSA ABLOY AB ADR	01/26/15	01/28/16	1,912.30	2,065.26	(152.96) LT
217.0000	AMGEN INC COM PV \$0.0001	05/05/15	02/22/16	1,496.08	1,880.80	(384.72) ST
102.0000	BANK MANDIRI TBK-UNSPON	05/05/15	02/23/16	694.41	884.07	(189.66) ST
39.0000	BANK MANDIRI TBK-UNSPON	05/07/15	02/23/16	265.51	329.43	(63.92) ST
34.0000	BANK MANDIRI TBK-UNSPON	05/07/15	02/24/16	235.20	287.20	(52.00) ST
100.0000	BANK MANDIRI TBK-UNSPON	07/09/15	02/24/16	691.79	740.00	(48.21) ST
15.0000	BANK MANDIRI TBK-UNSPON	01/26/15	01/28/16	1,962.23	1,635.15	327.08 LT
13.0000	CIGNA CORP	01/26/15	02/22/16	1,774.47	1,417.13	357.34 LT
8.0000	CIGNA CORP	01/26/15	02/23/16	1,099.83	872.08	227.75 LT

PLEASE SEE REVERSE SIDE

 Page
161 of 178

 Statement Period
Year Ending 09/30/16

 Account No.
68Q-02022

EMASM Fiscal Statement

JOHN F SMIEKEL FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
9.0000	FEDEX CORP DELAWARE COM	04/27/15	02/22/16	1,213.68	1,528.65	(314.97) ST
31.0000	FINNING INTL INC NEW	05/14/15	01/29/16	388.22	661.20	(272.98) ST
136.0000	FINNING INTL INC NEW	05/15/15	01/29/16	1,703.16	2,886.55	(1,183.39) ST
64.0000	FINNING INTL INC NEW	05/15/15	02/01/16	811.65	1,358.37	(546.72) ST
56.0000	FINNING INTL INC NEW	05/19/15	02/01/16	710.20	1,145.64	(435.44) ST
2.0000	FINNING INTL INC NEW	05/20/15	02/01/16	25.37	41.20	(15.83) ST
92.0000	FINNING INTL INC NEW	05/20/15	02/02/16	1,113.87	1,895.17	(781.30) ST
106.0000	FINNING INTL INC NEW	05/21/15	02/02/16	1,283.38	2,172.39	(889.01) ST
46.0000	FINNING INTL INC NEW	05/21/15	02/03/16	552.79	942.74	(389.95) ST
57.0000	FINNING INTL INC NEW	05/22/15	02/03/16	684.98	1,168.47	(483.49) ST
30.0000	FINNING INTL INC NEW	05/26/15	02/03/16	360.52	609.86	(249.34) ST
44.0000	FINNING INTL INC NEW	08/07/15	02/03/16	528.77	771.80	(243.03) ST
98.0000	FINNING INTL INC NEW	08/10/15	02/04/16	1,250.35	1,773.39	(523.04) ST
87.0000	FINNING INTL INC NEW	08/11/15	02/04/16	1,110.00	1,578.78	(468.78) ST
87.0000	FINNING INTL INC NEW	10/14/15	02/04/16	1,110.01	1,404.40	(294.39) ST
23.0000	FINNING INTL INC NEW	10/14/15	02/05/16	294.96	371.28	(76.32) ST
137.0000	FINNING INTL INC NEW	10/15/15	02/05/16	1,756.94	2,201.96	(445.02) ST
31.0000	LAUDER ESTEE COS INC A	01/26/15	02/08/16	2,759.19	2,266.19	493.00 LT
40.0000	MCKESSON CORPORATION COM	01/26/15	01/28/16	6,371.88	8,644.40	(2,272.52) LT
11.0000	MCKESSON CORPORATION COM	01/26/15	01/29/16	1,767.85	2,377.21	(609.36) LT
81.0000	MATTEL INC COM CXL	07/27/15	01/07/16	2,170.10	1,861.02	(309.08) ST
81.0000	MATTEL INC COM	07/27/15	01/07/16	2,170.10	1,861.02	(309.08) ST
189.0000	MATTEL INC COM CXL	07/27/15	01/21/16	4,859.55	1,802.54	3,057.01 ST
189.0000	MATTEL INC COM	07/27/15	01/21/16	4,859.55	4,342.39	(517.16) ST
107.0000	MATTEL INC COM	07/27/15	02/05/16	3,413.37	4,205.95	(792.58) ST
8.0000	MCDONALDS CORP COM	01/26/15	01/29/16	993.06	2,381.14	(1,388.08) ST
307.0000	MICRON TECHNOLOGY INC	01/26/15	02/22/16	3,405.51	717.92	2,687.59 LT
88.0000	MICRON TECHNOLOGY INC	01/26/15	02/22/16	925.41	9,500.55	(8,575.14) LT
39.0000	PUB SVC ENTERPRISE GRP	01/26/15	02/23/16	1,701.64	2,723.28	(1,021.64) LT
10.0000	PUB SVC ENTERPRISE GRP	01/26/15	02/22/16	433.67	1,692.60	(1,258.93) LT
6.0000	ROYAL MAIL PLC-UNSP ADR	01/26/15	02/09/16	72.55	434.00	(361.45) LT
66.0000	ROYAL MAIL PLC-UNSP ADR	02/09/15	02/09/16	798.15	81.60	716.55 LT
329.0000	ROYAL MAIL PLC-UNSP ADR	02/10/15	02/09/16	3,978.70	916.77	3,061.93 ST
155.0000	ROYAL MAIL PLC-UNSP ADR	02/11/15	02/09/16	1,874.47	4,375.57	(2,501.10) ST
148.0000	ROYAL MAIL PLC-UNSP ADR	02/11/15	02/10/16	1,801.90	2,000.09	(198.19) ST
99.0000	ROYAL MAIL PLC-UNSP ADR	08/21/15	02/10/16	1,205.32	1,909.76	(704.44) ST
227.0000	ROYAL MAIL PLC-UNSP ADR	08/24/15	02/10/16	2,763.74	1,493.08	1,270.66 ST
31.0000	ROSS STORES INC COM	01/26/15	01/28/16	1,667.90	3,330.82	(1,662.92) ST
25.0000	ROSS STORES INC COM	01/26/15	01/28/16	1,345.08	1,463.77	(118.69) ST
24.0000	ROSS STORES INC COM	01/26/15	01/28/16	1,308.36	1,180.46	127.90 ST

PLEASE SEE REVERSE SIDE

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
7.0000	ROSS STORES INC COM	01/26/15	01/29/16	381.60	330.53	51.07 LT
185.0000	SUMCO ADR	09/01/15	02/04/16	2,253.22	3,281.59	(1,028.37) ST
214.0000	SUMCO ADR	09/02/15	02/04/16	2,606.43	3,701.36	(1,094.93) ST
85.0000	SUMCO ADR	09/02/15	02/05/16	1,007.45	1,470.17	(462.72) ST
56.0000	TJX COS INC NEW	01/26/15	02/22/16	4,057.41	3,797.33	266.08 LT
39.0000	TEVA PHARMACTCL INDS ADR	01/26/15	02/05/16	2,333.14	2,299.32	33.82 LT
20.0000	3M COMPANY	01/26/15	01/28/16	2,914.44	3,249.20	(334.76) LT
21.0000	TYCO INTL PLC SHS	01/26/15	02/16/16	715.67	888.88	(173.21) LT
21.0000	TYCO INTL PLC SHS	01/26/15	02/17/16	727.55	888.88	(161.33) LT
21.0000	TYCO INTL PLC SHS	01/26/15	02/18/16	726.32	888.88	(162.56) LT
3.0000	TYCO INTL PLC SHS	01/26/15	02/19/16	100.47	126.98	(26.51) LT
25.0000	VERIZON COMMUNICATNS COM	01/26/15	02/12/16	1,242.61	1,166.40	76.21 LT
12.0000	VIACOM INC NEW CL B	08/05/15	02/18/16	429.04	615.63	(186.59) ST
44.0000	VIACOM INC NEW CL B	08/05/15	02/18/16	1,559.81	2,257.29	(697.48) ST
16,000.0000	US TSY 0.875% NOV 30 2017	12/11/15	02/29/16	16,021.22	15,988.78	32.44 ST
14,000.0000	US TSY 2.125% JUN 30 2022	07/22/15	02/29/16	14,547.39	14,058.32	489.07 ST
58.0000	ALEXION PHARMS INC	05/19/15	03/04/16	8,556.86	9,444.04	(887.18) ST
33.0000	ALEXION PHARMS INC	09/25/15	03/04/16	4,888.56	4,888.35	(19.79) ST
21.0000	ALEXION PHARMS INC	01/26/16	03/04/16	3,098.17	3,196.07	(97.90) ST
12.0000	ALEXION PHARMS INC	02/01/16	03/04/16	1,770.39	1,695.08	75.31 ST
379.0000	ASTRAZENECA PLC SPND ADR	01/26/15	03/04/16	10,983.18	13,427.95	(2,444.77) LT
97.0000	ASML HLDG NV NY REG SHS	04/20/15	03/04/16	9,231.52	9,323.50	(91.98) ST
44.0000	ASML HLDG NV NY REG SHS	06/17/15	03/04/16	4,187.49	4,743.73	(556.24) ST
31.0000	ASML HLDG NV NY REG SHS	07/16/15	03/04/16	2,950.28	3,124.21	(173.93) ST
54.0000	ASML HLDG NV NY REG SHS	08/20/15	03/04/16	5,139.20	4,737.00	402.20 ST
74.0000	BAIDU INC SPON ADR	02/05/16	03/04/16	13,338.96	11,017.33	2,321.63 ST
7.0000	BHP BILLITON LTD ADR	01/26/15	03/04/16	196.34	319.27	(122.93) LT
33.0000	BHP BILLITON LTD ADR	01/26/15	03/24/16	834.79	1,505.13	(670.34) LT
9.0000	BHP BILLITON LTD ADR	01/26/15	03/28/16	231.29	410.49	(179.20) LT
590.0000	BANK OF CHINA LTD ADR	05/26/15	03/04/16	5,935.27	10,280.10	(4,344.83) ST
105.0000	BANK OF CHINA LTD ADR	07/09/15	03/04/16	1,056.27	1,464.75	(408.48) ST
119.0000	BANK OF CHINA LTD ADR	07/17/15	03/04/16	1,197.11	1,730.02	(532.91) ST
391.0000	BANK OF CHINA LTD ADR	08/31/15	03/04/16	3,933.38	4,462.87	(529.49) ST
355.0000	BANK OF CHINA LTD ADR	10/15/15	03/04/16	3,571.23	4,223.86	(652.63) ST
42.0000	BANK MANDIRI TBK-UNSPON	07/09/15	02/25/16	288.63	310.80	(22.17) ST
71.0000	BANK MANDIRI TBK-UNSPON	07/09/15	03/04/16	531.84	525.40	6.44 ST
190.0000	BANK MANDIRI TBK-UNSPON	07/22/15	03/04/16	1,423.26	1,450.86	(27.60) ST
155.0000	BANK MANDIRI TBK-UNSPON	08/07/15	03/04/16	1,161.08	1,105.69	55.39 ST
189.0000	BANK MANDIRI TBK-UNSPON	08/10/15	03/04/16	1,415.77	1,345.11	70.66 ST
311.0000	BANK MANDIRI TBK-UNSPON	08/11/15	03/04/16	2,329.65	2,171.68	157.97 ST

PLEASE SEE REVERSE SIDE

Page
163 of 178Statement Period
Year Ending 09/30/16Account No.
68Q-02022

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
173.0000	BANK MANDIRI TBK-UNSPON	10/15/15	03/04/16	1,295.91	1,143.31	152.60 ST
128.0000	BANK MANDIRI TBK-UNSPON	10/16/15	03/04/16	958.83	844.52	114.31 ST
104.0000	BANK MANDIRI TBK-UNSPON	10/19/15	03/04/16	779.05	695.14	83.91 ST
216.0000	BANK MANDIRI TBK-UNSPON	10/20/15	03/04/16	1,618.03	1,442.99	175.04 ST
5.0000	CONOCOPHILLIPS	01/26/15	03/04/16	206.49	322.20	(115.71) LT
865.0000	COMPAGNIE DE SAINT-UNSP	01/26/15	03/04/16	7,084.19	7,410.54	(326.35) LT
436.0000	COMPAGNIE DE SAINT-UNSP	04/20/15	03/04/16	3,570.76	3,858.54	(287.78) ST
806.0000	COMPAGNIE DE SAINT-UNSP	02/10/16	03/04/16	6,601.00	6,051.20	549.80 ST
18.0000	EVERSOURCE ENERGY COM	01/26/15	03/02/16	960.94	995.04	(34.10) LT
10.0000	HALLIBURTON COMPANY	01/26/15	03/04/16	353.79	408.77	(54.98) LT
10.0000	HOME DEPOT INC	01/26/15	03/02/16	1,249.33	1,053.32	196.01 LT
8.0000	HOME DEPOT INC	01/26/15	03/11/16	1,024.35	842.66	181.69 LT
713.0000	INTESA SANPAOLO SPON ADR	02/10/16	03/04/16	11,869.76	11,502.69	367.07 ST
224.0000	ING GP NV SPSP ADR	12/08/15	03/04/16	2,813.26	2,990.44	(177.18) ST
720.0000	ING GP NV SPSP ADR	12/09/15	03/04/16	9,042.64	9,749.16	(706.52) ST
372.0000	ING GP NV SPSP ADR	12/10/15	03/04/16	4,672.04	4,984.50	(312.46) ST
2,254.0000	KINGFISHER PLC SP ADR	01/26/15	03/04/16	22,253.93	23,419.06	(1,165.13) LT
107.0000	KINGFISHER PLC SP ADR	07/17/15	03/04/16	1,056.42	1,219.18	(162.76) ST
518.0000	KINGFISHER PLC SP ADR	01/28/16	03/04/16	5,114.26	4,871.69	242.57 ST
184.0000	KINGFISHER PLC SP ADR	02/02/16	03/04/16	1,816.65	1,735.45	81.20 ST
415.0000	KBC GROUPE SA SHS	01/26/15	03/04/16	11,565.79	11,462.30	103.49 ST
25.0000	KBC GROUPE SA SHS	07/17/15	03/04/16	696.73	878.90	(182.17) ST
138.0000	KBC GROUPE SA SHS	09/28/15	03/04/16	3,845.98	4,366.10	(520.12) ST
165.0000	KBC GROUPE SA SHS	11/25/15	03/04/16	4,598.46	4,754.43	(155.97) ST
42.0000	LAUDER ESTEE COS INC A	01/26/15	03/04/16	3,888.69	3,070.33	818.36 LT
19.0000	LAUDER ESTEE COS INC A	07/16/15	03/04/16	1,759.17	1,705.04	54.13 ST
47.0000	LAUDER ESTEE COS INC A	08/18/15	03/04/16	4,351.64	3,978.20	373.44 ST
2,255.0000	LLOYDS BANKING GROUP PLC	07/27/15	03/04/16	9,493.56	12,043.50	(2,549.94) ST
219.0000	LLOYDS BANKING GROUP PLC	08/20/15	03/04/16	922.00	1,085.21	(163.21) ST
149.0000	LIBERTY GLOBAL PLC CL A	01/26/15	03/04/16	5,617.19	6,668.95	(1,051.76) LT
95.0000	LIBERTY GLOBAL PLC CL A	01/28/15	03/04/16	3,581.43	4,257.83	(676.40) LT
106.0000	LIBERTY GLOBAL PLC CL A	02/13/15	03/04/16	3,996.12	5,178.91	(1,182.79) LT
57.0000	LIBERTY GLOBAL PLC CL A	05/01/15	03/04/16	2,148.86	2,875.64	(726.78) ST
29.0000	LIBERTY GLOBAL PLC CL A	07/16/15	03/04/16	1,093.28	1,534.78	(441.50) ST
118.0000	LIBERTY GLOBAL PLC CL A	01/26/16	03/04/16	4,448.52	4,104.17	344.35 ST
11.0000	MARATHON OIL CORP	01/26/15	03/04/16	123.08	292.60	(169.52) LT
204.0000	MAZDA MOTOR CORP SHS	01/26/15	03/04/16	1,527.92	2,109.36	(581.44) LT
311.0000	MAZDA MOTOR CORP SHS	01/28/15	03/04/16	2,329.34	3,262.39	(933.05) LT
244.0000	MAZDA MOTOR CORP SHS	02/06/15	03/04/16	1,827.52	2,467.47	(639.95) LT
220.0000	MAZDA MOTOR CORP SHS	02/24/15	03/04/16	1,647.76	2,335.06	(687.30) LT

PLEASE SEE REVERSE SIDE

EMASM Fiscal Statement

JOHN F SMIEKEL FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
217.0000	MAZDA MOTOR CORP SHS	03/13/15	03/04/16	1,625.29	2,184.43	(559.14) ST
474.0000	MAZDA MOTOR CORP SHS	03/26/15	03/04/16	3,550.19	4,912.35	(1,362.16) ST
110.0000	MAZDA MOTOR CORP SHS	07/17/15	03/04/16	823.88	1,071.66	(247.78) ST
255.0000	MAZDA MOTOR CORP SHS	02/02/16	03/04/16	1,909.92	2,272.23	(362.31) ST
102.0000	MAGNA INTL INC CL A VTG	07/20/15	03/04/16	4,171.70	5,538.76	(1,367.06) ST
16.0000	MAGNA INTL INC CL A VTG	07/21/15	03/04/16	654.38	899.07	(214.69) ST
85.0000	MAGNA INTL INC CL A VTG	08/06/15	03/04/16	3,476.44	4,674.19	(1,197.75) ST
137.0000	OMRON CORP SP ADR	01/25/16	03/04/16	3,933.18	4,145.51	(212.33) ST
129.0000	OMRON CORP SP ADR	01/26/16	03/04/16	3,797.17	3,797.17	(93.67) ST
121.0000	OMRON CORP SP ADR	01/27/16	03/04/16	3,473.83	3,637.36	(163.53) ST
282.0000	OMRON CORP SP ADR	02/10/16	03/04/16	8,096.06	7,078.85	1,017.21 ST
105.0000	ROYAL DUTCH SHELL PLC	11/13/15	03/04/16	5,090.58	5,074.41	16.17 ST
74.0000	ROYAL DUTCH SHELL PLC	11/17/15	03/04/16	3,587.64	3,678.64	(91.00) ST
181.0000	ROYAL DUTCH SHELL PLC	11/18/15	03/04/16	8,775.20	9,183.56	(408.36) ST
93.0000	ROYAL DUTCH SHELL PLC	12/03/15	03/04/16	4,508.80	4,583.64	(74.84) ST
137.0000	ROYAL DUTCH SHELL PLC	02/05/16	03/04/16	6,842.01	6,170.43	471.58 ST
78.0000	SKF AB SPNSR KR12.50 ADR	09/28/15	03/04/16	1,390.71	1,349.18	41.53 ST
578.0000	SKF AB SPNSR KR12.50 ADR	09/29/15	03/04/16	10,305.52	10,426.60	(121.08) ST
71.0000	SK TELECOM ADR	06/08/15	03/04/16	1,425.99	1,723.68	(297.69) ST
21.0000	SK TELECOM ADR	06/08/15	03/04/16	421.77	530.12	(108.35) ST
88.0000	SK TELECOM ADR	06/09/15	03/04/16	1,767.43	2,224.95	(457.52) ST
51.0000	SK TELECOM ADR	06/09/15	03/04/16	1,024.30	1,265.63	(241.33) ST
152.0000	SK TELECOM ADR	06/10/15	03/04/16	3,052.84	3,902.28	(849.44) ST
247.0000	SK TELECOM ADR	07/09/15	03/04/16	4,960.86	6,062.17	(1,101.31) ST
91.0000	SK TELECOM ADR	08/21/15	03/04/16	1,827.69	2,132.39	(304.70) ST
163.0000	SK TELECOM ADR	08/24/15	03/04/16	3,273.78	3,790.58	(516.80) ST
48.0000	SHIRE PLC-ADR	02/26/15	03/04/16	7,688.06	11,821.69	(4,133.63) LT
6.0000	SHIRE PLC-ADR	03/08/15	03/04/16	961.00	1,546.61	(585.61) ST
14.0000	SHIRE PLC-ADR	03/12/15	03/04/16	2,242.35	3,404.75	(1,162.40) ST
15.0000	SHIRE PLC-ADR	04/20/15	03/04/16	2,402.52	3,700.95	(1,298.43) ST
25.0000	SHIRE PLC-ADR	12/16/15	03/04/16	4,004.20	4,915.73	(911.53) ST
46.0000	SHIRE PLC-ADR	01/08/16	03/04/16	7,367.74	8,583.76	(1,216.02) ST
260.0000	TEVA PHARMACTCL INDS ADR	01/26/15	03/04/16	14,458.28	15,328.79	(870.51) LT
126.0000	TAIWAN S MANUFCTRING ADR	08/26/15	03/01/16	3,098.24	2,401.07	697.17 ST
319.0000	TAIWAN S MANUFCTRING ADR	08/26/15	03/04/16	7,937.79	6,078.89	1,858.90 ST
163.0000	TAIWAN S MANUFCTRING ADR	10/13/15	03/04/16	4,055.99	3,572.06	483.93 ST
69.0000	TREND MICRO INC ADR NEW	03/04/15	03/04/16	2,646.78	2,376.46	270.32 ST
151.0000	TREND MICRO INC ADR NEW	03/13/15	03/04/16	5,792.23	5,236.56	555.67 ST
39.0000	TREND MICRO INC ADR NEW	04/20/15	03/04/16	1,496.00	1,362.84	133.16 ST
68.0000	TREND MICRO INC ADR NEW	04/21/15	03/04/16	2,608.42	2,400.13	208.29 ST

PLEASE SEE REVERSE SIDE

Page 165 of 178
Statement Period Year Ending 09/30/16
Account No. 68Q-02022

X 99999999

EMASM Fiscal Statement

JOHN F SMIEKEL FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
104.0000	TREND MICRO INC ADR NEW	05/07/15	03/04/16	3,989.37	3,571.82	417.55 ST
221.0000	THK CO LTD SHS	09/09/15	03/04/16	2,019.89	1,919.25	100.64 ST
394.0000	THK CO LTD SHS	09/10/15	03/04/16	3,601.08	3,276.11	324.97 ST
92.0000	THK CO LTD SHS	09/11/15	03/04/16	840.86	768.37	72.49 ST
88.0000	THK CO LTD SHS	09/14/15	03/04/16	804.30	717.19	87.11 ST
302.0000	THK CO LTD SHS	09/15/15	03/04/16	2,760.22	2,492.74	267.48 ST
294.0000	THK CO LTD SHS	09/16/15	03/04/16	2,687.10	2,467.13	219.97 ST
167.0000	THK CO LTD SHS	10/15/15	03/04/16	1,526.34	1,449.33	77.01 ST
170.0000	THK CO LTD SHS	10/16/15	03/04/16	1,553.77	1,506.03	47.74 ST
43.0000	THK CO LTD SHS	10/19/15	03/04/16	393.01	362.38	30.63 ST
376.0000	THK CO LTD SHS	11/18/15	03/04/16	3,436.58	3,387.61	48.97 ST
150.0000	TELEFONICA BRASIL SP ADR	11/04/15	03/04/16	1,623.38	1,630.31	(6.93) ST
180.0000	TELEFONICA BRASIL SP ADR	11/05/15	03/04/16	1,948.06	1,883.11	64.95 ST
255.0000	TELEFONICA BRASIL SP ADR	11/06/15	03/04/16	2,759.75	2,649.58	110.17 ST
316.0000	TELEFONICA BRASIL SP ADR	11/09/15	03/04/16	3,419.93	3,237.45	182.48 ST
285.0000	TELEFONICA BRASIL SP ADR	11/10/15	03/04/16	3,084.43	2,877.13	207.30 ST
128.0000	TELEFONICA BRASIL SP ADR	02/08/16	03/04/16	1,385.29	1,138.74	246.55 ST
254.0000	TELEFONICA BRASIL SP ADR	02/09/16	03/04/16	2,748.94	2,255.60	493.34 ST
113.0000	TRIPADVISOR INC SHS	01/26/15	03/04/16	7,412.65	7,862.54	(449.89) LT
63.0000	TRIPADVISOR INC SHS	02/05/16	03/04/16	4,132.72	3,711.96	420.76 ST
11.0000	UNIVERSAL HEALTH SVCS B	01/26/15	02/25/16	1,274.51	1,172.50	102.01 ST
11.0000	UNIVERSAL HEALTH SVCS B	01/26/15	02/26/16	1,220.97	1,172.50	48.47 LT
17.0000	VERIZON COMMUNICATIONS COM	01/26/15	03/11/16	893.05	793.16	99.89 LT
575.0000	VODAFONE GROUP PLC SHS	01/26/15	03/04/16	18,199.95	20,588.68	(2,388.73) LT
251.0000	VODAFONE GROUP PLC SHS	01/30/15	03/04/16	7,944.68	8,839.92	(895.24) LT
72.0000	VODAFONE GROUP PLC SHS	02/23/15	03/04/16	2,278.95	2,586.86	(307.91) LT
108.0000	VODAFONE GROUP PLC SHS	02/01/16	03/04/16	3,418.43	3,544.07	(125.64) ST
92.0000	VODAFONE GROUP PLC SHS	03/01/16	03/04/16	2,912.00	2,875.21	36.79 ST
44.0000	RECRUIT HOLDINGS CO.LTD.	02/10/15	03/04/16	1,355.08	1,315.30	39.78 LT
129.0000	RECRUIT HOLDINGS CO.LTD.	02/24/15	03/04/16	3,972.85	3,984.73	(11.88) LT
84.0000	RECRUIT HOLDINGS CO.LTD.	02/25/15	03/04/16	2,586.97	2,620.27	(33.30) LT
435.0000	RECRUIT HOLDINGS CO.LTD.	03/19/15	03/04/16	13,396.84	14,173.47	(776.63) ST
114.0000	RECRUIT HOLDINGS CO.LTD.	07/17/15	03/04/16	3,510.90	3,509.85	1.05 ST
158.0000	RECRUIT HOLDINGS CO.LTD.	01/28/16	03/04/16	4,865.98	4,913.17	(47.19) ST
56.0000	RECRUIT HOLDINGS CO.LTD.	02/02/16	03/04/16	1,724.66	1,783.36	(58.70) ST
459.0000	KYOWA HAKKO KIRIN CO LTD	01/30/15	03/04/16	6,976.64	5,255.91	1,720.73 LT
66.0000	KYOWA HAKKO KIRIN CO LTD	06/08/15	03/04/16	1,003.17	863.31	139.86 ST
150.0000	KYOWA HAKKO KIRIN CO LTD	06/09/15	03/04/16	2,279.95	1,977.51	302.44 ST
139.0000	KYOWA HAKKO KIRIN CO LTD	03/02/16	03/04/16	2,112.77	2,187.00	(74.23) ST
16,000.0000	VERIZON COMMUNICATIONS	01/23/15	04/04/16	17,653.12	18,168.57	(515.45) LT

PLEASE SEE REVERSE SIDE

Page 166 of 178

Statement Period
Year Ending 09/30/16

Account No.
68Q-02022

EMA[®] Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
6.0000	CALIFORNIA RESOURCES	01/26/15	03/31/16	6.08	8.30	(2.22) LT
1.0000	CALIFORNIA RESOURCES	12/02/15	03/31/16	1.01	1.35	(0.34) ST
1.0000	CALIFORNIA RESOURCES	12/03/15	03/31/16	1.02	1.31	(0.29) ST
1.0000	CALIFORNIA RESOURCES	01/22/16	03/31/16	1.02	1.11	(0.09) ST
7.0000	EVERSOURCE ENERGY COM	01/26/15	04/05/16	400.84	386.96	13.88 LT
14.0000	EVERSOURCE ENERGY COM	01/26/15	04/06/16	795.33	773.92	21.41 LT
6.0000	EVERSOURCE ENERGY COM	01/26/15	04/07/16	341.67	331.68	9.99 LT
17.0000	FEDEX CORP DELAWARE COM	04/27/15	04/13/16	2,838.11	2,887.44	(49.33) ST
9.0000	FACEBOOK INC	06/10/15	04/13/16	1,502.53	1,644.77	(142.24) ST
19.0000	GENERAL ELECTRIC	01/26/15	04/05/16	2,086.80	1,487.12	599.68 LT
35.0000	GENUINE PARTS CO	01/26/15	04/05/16	1,087.71	856.01	231.70 LT
31.0000	GENUINE PARTS CO	03/04/16	04/19/16	2,999.71	2,898.81	100.90 ST
8.0000	HUMANA INC	08/05/15	04/21/16	1,386.13	1,483.88	(97.75) ST
10.0000	INTL PAPER CO	01/26/15	04/05/16	399.10	543.20	N/C
20.0000	INTL PAPER CO	01/26/15	04/06/16	796.82	1,086.40	N/C
25.0000	MCKESSON CORPORATION COM	01/26/15	04/21/16	4,451.49	5,402.75	(951.26) LT
25.0000	MCDONALDS CORP COM	01/26/15	04/19/16	3,222.53	2,243.50	979.03 LT
49.0000	MICROSOFT CORP	01/26/15	04/19/16	2,760.56	2,290.57	469.99 LT
148.0000	ORACLE CORP \$0.01 DEL	01/26/15	04/13/16	6,084.40	6,487.70	(403.30) LT
7.0000	THE PRICELINE GROUP INC	01/26/15	03/31/16	9,046.55	7,223.93	1,822.62 LT
24.0000	PHILIP MORRIS INTL INC	01/26/15	04/13/16	2,383.10	1,985.76	397.34 LT
52.0000	PROCTER & GAMBLE CO	01/26/15	04/13/16	1,146.89	1,253.70	(106.81) LT
37.0000	TJX COS INC NEW	01/26/15	04/13/16	3,972.47	3,520.53	451.94 LT
11.0000	UNITED CONTL HLDGS INC	01/26/15	04/13/16	2,034.96	2,729.39	(694.43) LT
11.0000	UNIVERSAL HEALTH SVCS B	01/26/15	04/13/16	1,364.81	1,172.50	192.31 LT
11.0000	UNIVERSAL HEALTH SVCS B	01/26/15	04/14/16	1,381.25	1,172.49	208.76 LT
11.0000	UNIVERSAL HEALTH SVCS B	01/26/15	04/15/16	1,372.42	1,172.50	199.92 LT
6.0000	UNIVERSAL HEALTH SVCS B	01/26/15	04/18/16	1,380.72	1,172.49	208.23 LT
19.0000	UNIVERSAL HEALTH SVCS B	01/26/15	04/19/16	754.54	639.54	115.00 LT
21,000.0000	FEDERAL NATL MTG 1.12% 17	01/23/15	04/21/16	2,435.23	2,025.22	410.01 LT
7,000.0000	US TSY 0.750% FEB 28 2018	02/29/16	05/11/16	21,101.64	21,085.88	15.76 LT
6.0000	ABBVIE INC SHS	01/26/15	05/11/16	7,004.09	6,992.90	11.19 ST
36.0000	ABBVIE INC SHS	01/26/15	05/11/16	376.79	371.10	5.69 LT
21.0000	GENUINE PARTS CO	03/04/16	05/12/16	2,260.47	2,226.60	33.87 LT
82.0000	HALLIBURTON COMPANY	01/26/15	04/27/16	2,063.80	1,963.71	100.09 ST
139.0000	HSBC HLDG PLC SP ADR	03/04/16	05/19/16	3,249.93	3,351.89	(101.96) LT
14.0000	HSBC HLDG PLC SP ADR	03/04/16	05/20/16	4,322.78	4,499.43	(176.65) ST
10.0000	JOHNSON AND JOHNSON COM	03/04/16	05/23/16	435.49	453.18	(17.69) ST
18.0000	JOHNSON AND JOHNSON COM	01/26/15	05/19/16	1,128.03	1,014.00	114.03 LT
		01/26/15	05/20/16	2,027.47	1,825.20	202.27 LT

PLEASE SEE REVERSE SIDE

 Page
167 of 178

 Statement Period
Year Ending 09/30/16

 Account No.
68Q-02022

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
20.0000	MERCK AND CO INC SHS	01/26/15	05/19/16	1,086.28	1,249.00	(162.72) LT
8.0000	MCDONALDS CORP COM	01/26/15	04/27/16	1,027.75	717.92	309.83 LT
6.0000	MCDONALDS CORP COM	03/04/16	04/27/16	770.83	702.24	68.59 ST
4.0000	NORTHROP GRUMMAN CORP	01/26/15	05/19/16	844.28	624.28	220.00 LT
2.0000	NORTHROP GRUMMAN CORP	01/26/15	05/20/16	425.18	312.14	113.04 LT
4.0000	RAYTHEON CO DELAWARE NEW	01/26/15	05/19/16	517.42	427.24	90.18 LT
5.0000	RAYTHEON CO DELAWARE NEW	01/26/15	05/20/16	647.24	534.05	113.19 LT
68.0000	TELUS CORP COM	03/04/16	05/20/16	2,105.04	1,990.09	114.95 ST
22.0000	EUTELSAT COMMUNICATIONS,	03/04/16	05/23/16	455.59	678.29	(222.70) ST
23.0000	EUTELSAT COMMUNICATIONS,	03/04/16	05/24/16	467.02	709.13	(242.11) ST
24.0000	EUTELSAT COMMUNICATIONS,	03/04/16	05/25/16	489.54	739.96	(250.42) ST
109.0000	JAPAN TOBACCO INC 2914	03/04/16	04/28/16	4,546.86	4,552.93	(6.07) ST
586.0000	BROCADE COMMUNICATIONS	01/26/15	05/31/16	5,303.30	6,649.22	(1,345.92) LT
22.0000	BRISTOL-MYERS SQUIBB CO	01/26/15	05/26/16	1,557.10	1,365.10	192.00 LT
49.0000	DOW CHEMICAL CO	01/26/15	06/10/16	2,623.24	2,155.76	467.48 LT
12.0000	FEDEX CORP DELAWARE COM	06/10/15	06/10/16	1,931.48	2,193.02	(261.54) ST
3.0000	FEDEX CORP DELAWARE COM	06/10/15	06/13/16	483.03	548.26	(65.23) LT
9.0000	FEDEX CORP DELAWARE COM	06/11/15	06/13/16	1,449.09	1,661.87	(212.78) LT
11.0000	FEDEX CORP DELAWARE COM	06/11/15	06/14/16	1,756.16	2,031.18	(275.02) LT
6.0000	FEDEX CORP DELAWARE COM	06/11/15	06/15/16	963.10	1,107.92	(144.82) LT
5.0000	FEDEX CORP DELAWARE COM	07/09/15	06/15/16	802.59	842.75	(40.16) ST
4.0000	FEDEX CORP DELAWARE COM	07/09/15	06/16/16	640.95	674.20	(33.25) ST
48.0000	FACEBOOK INC	01/26/15	06/10/16	5,610.49	3,756.94	1,853.55 LT
33.0000	ORACLE CORP \$0.01 DEL	01/26/15	06/10/16	1,278.66	1,446.58	(167.92) LT
62.0000	ORACLE CORP \$0.01 DEL	07/16/15	06/10/16	2,402.34	2,530.45	(128.11) ST
12.0000	PROCTER & GAMBLE CO	01/26/15	06/20/16	999.15	1,074.60	(75.45) LT
10.0000	PROCTER & GAMBLE CO	01/26/15	06/24/16	823.82	895.50	(71.68) LT
21.0000	ROCKWELL COLLINS INC	01/26/15	06/10/16	1,889.44	1,834.14	55.30 LT
25.0000	ROCKWELL COLLINS INC	01/26/15	06/13/16	2,186.93	2,183.50	3.43 LT
18.0000	EUTELSAT COMMUNICATIONS,	03/04/16	05/26/16	367.03	554.97	(187.94) ST
37.0000	EUTELSAT COMMUNICATIONS,	03/04/16	05/27/16	733.90	1,140.77	(406.87) ST
57.0000	EUTELSAT COMMUNICATIONS,	03/04/16	05/31/16	1,138.02	1,757.39	(621.37) ST
41.0000	EUTELSAT COMMUNICATIONS,	03/04/16	06/01/16	787.21	1,264.09	(476.88) ST
13.0000	EUTELSAT COMMUNICATIONS,	03/04/16	06/02/16	246.12	400.81	(154.69) ST
76.0000	WSTN DIGITAL CORP DEL	01/26/15	06/10/16	3,577.54	7,483.19	(3,905.65) LT
1,000.0000	ANHEUSER-BUSCH IN 2 50% 22	01/23/15	07/08/16	1,029.76	996.41	33.35 LT
1,000.0000	AT&T INC 5.50% FEB01 18	01/23/15	07/08/16	1,063.37	1,057.64	5.73 LT
1,000.0000	BHP BILLITON FIN 1.62% 17	01/26/15	07/08/16	1,002.69	1,003.57	(0.88) LT
1,000.0000	CITIGROUP INC 4.50% 2022	01/23/15	07/08/16	1,108.03	1,093.71	14.32 LT
3,000.0000	COMCAST CORP 3.60% MAR01 24	01/23/15	07/08/16	3,335.28	3,194.01	141.27 LT

PLEASE SEE REVERSE SIDE

Page
168 of 178Statement Period
Year Ending 09/30/16Account No.
68Q-02022

EMASM Fiscal Statement

JOHN F SMIEKEL FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1,000.0000	CISCO SYSTEMS INC 4.45% 20	01/23/15	07/08/16	1,109.17	1,086.40	22.77 LT
2,000.0000	GOLDMAN SACHS GRO 5.75% 22	01/23/15	07/08/16	2,329.18	2,290.42	38.76 LT
1,000.0000	MORGAN STANLEY 2.65% 2020	04/13/15	07/08/16	1,022.06	1,012.67	9.39 LT
2,000.0000	VISA INC 2.80%DEC14 22	12/11/15	07/08/16	2,128.02	2,006.33	121.69 ST
16,000.0000	VERIZON COMMUNICATIONS	01/23/15	04/04/16	17,653.12	18,168.57	515.45 LT
16,000.0000	VERIZON COMMUNICATIONS	01/23/15	04/04/16	17,653.12	17,965.54	(312.42) LT
1,000.0000	WELLS FARGO & CO 4.10% 26	01/23/15	07/11/16	1,089.36	1,045.99	43.37 LT
1,000.0000	WELLS FARGO & CO 3.50% 22	01/23/15	07/08/16	1,078.06	1,053.52	24.54 LT
1,000.0000	USD BP CAP PLC 2.24% 2018	01/23/15	07/08/16	1,021.39	1,008.28	13.11 LT
1,000.0000	FEDERAL NATL MTG ASSOC	01/23/15	07/08/16	1,042.65	1,042.07	0.58 LT
1,000.0000	BANK OF NY MELLON CORP	02/26/15	07/08/16	1,067.53	1,010.63	56.90 LT
1,000.0000	AMERICAN EXPRESS CREDIT	01/26/15	07/08/16	1,009.32	1,009.82	(0.50) LT
1,000.0000	US TSY 1.375% OCT 31 2020	11/04/15	07/08/16	1,018.59	988.70	29.89 ST
2,000.0000	US TSY 3.125% FEB 15 2042	01/23/15	07/08/16	2,439.14	2,297.43	141.71 LT
2,000.0000	US TSY 1.375% MAR 31 2020	04/13/15	07/08/16	2,037.97	1,988.99	38.98 LT
1,000.0000	US TSY 0.750% FEB 28 2018	02/29/16	07/08/16	1,002.03	998.99	3.04 ST
1,000.0000	US TSY 0.750% APR 15 2018	04/13/15	07/08/16	1,001.95	995.63	6.32 LT
1,000.0000	US TSY 2.000% NOV 30 2022	12/11/15	07/08/16	1,050.19	1,003.28	46.91 ST
2,000.0000	U.S. TREASURY BOND	01/23/15	07/08/16	2,845.93	2,701.32	144.61 LT
1,000.0000	US TSY 0.875% MAR 31 2018	04/20/16	07/08/16	1,004.14	1,001.71	2.43 ST
1,000.0000	US TSY 1.500% MAY 31 2020	05/11/16	07/08/16	1,023.67	1,015.70	7.97 ST
1,000.0000	US TSY 1.625% MAY 15 2026	07/07/16	07/08/16	1,021.40	1,021.95	(0.55) ST
2,000.0000	U.S. TRSY INFLATION BOND	01/23/15	07/08/16	2,873.37	2,798.62	74.75 LT
13,000.0000	US TSY 4.250% NOV 15 2017	01/23/15	07/07/16	13,638.30	13,592.04	46.26 LT
2,000.0000	US TSY 4.250% MAY 15 2039	01/23/15	07/08/16	2,871.09	2,689.34	181.75 LT
1,000.0000	US TSY 3.500% MAY 15 2020	01/23/15	07/08/16	1,098.87	1,078.57	20.30 LT
2,000.0000	US TSY 3.125% AUG 15 2044	01/23/15	07/08/16	2,435.07	2,304.52	130.55 LT
1,000.0000	US TSY 2.625% AUG 15 2020	01/23/15	07/08/16	1,069.18	1,046.21	22.97 LT
1,000.0000	US TSY 2.250% NOV 15 2024	01/23/15	07/08/16	1,073.90	1,033.41	40.49 LT
1,000.0000	US TSY 2.250% NOV 15 2024	09/03/15	07/08/16	1,073.91	1,008.05	65.86 ST
17.0000	ALTRIA GROUP INC	06/10/15	07/08/16	1,183.00	841.83	341.17 LT
53.0000	ASTRAZENECA PLC SPND ADR	01/26/15	07/08/16	1,596.87	1,877.79	(280.92) LT
14.0000	AETNA INC NEW	03/19/15	07/08/16	1,619.76	1,519.99	99.77 LT
17.0000	AMERICAN INTERNATIONAL	01/26/15	07/08/16	894.69	873.90	20.79 LT
3.0000	ANHEUSER-BUSCH INBEV ADR	03/04/16	07/08/16	384.14	348.81	35.33 ST
3.0000	ABBVIE INC SHS	01/26/15	07/08/16	193.13	185.55	7.58 LT
6.0000	ABBVIE INC SHS	03/04/16	07/08/16	386.28	337.86	48.42 ST
1.0000	ALPHABET INC SHS CL C	01/26/15	07/08/16	701.79	536.31	165.48 LT
2.0000	ALPHABET INC SHS CL A	01/26/15	07/08/16	1,426.51	1,075.97	350.54 LT
1.0000	AMER EXPRESS COMPANY	01/26/15	07/08/16	61.45	83.87	(22.42) LT

PLEASE SEE REVERSE SIDE

EMASM Fiscal Statement

JOHN F SMIEKEL FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
7.0000	ANSELL LTD-SPON ADR	03/04/16	07/08/16	388.91	367.50	21.41 ST
31.0000	ACTIVISION BLIZZARD INC	10/20/15	07/08/16	1,275.39	1,042.87	232.52 ST
5.0000	AMGEN INC COM PV \$0.0001	01/26/15	07/08/16	798.38	794.33	4.05 LT
17.0000	APPLE INC	01/26/15	07/08/16	1,643.73	1,934.34	N/C
3.0000	AVERY DENNISON CORP	04/21/16	07/08/16	218.72	220.15	N/C
6.0000	BRITISH AMN TOBACO SPADR	03/04/16	07/08/16	760.86	690.90	69.96 ST
16.0000	BP PLC SPON ADR	01/26/15	07/08/16	573.79	627.20	(53.41) LT
39.0000	BLOCK H&R INC	03/04/16	07/08/16	918.04	1,063.92	(145.88) ST
13.0000	BRISTOL-MYERS SQUIBB CO	01/26/15	06/28/16	930.61	806.65	123.96 LT
34.0000	COMCAST CORP NEW CL A	01/26/15	07/08/16	2,272.85	1,911.39	361.46 LT
21.0000	COMCAST CORP NEW CL A	01/26/15	07/13/16	1,405.98	1,180.56	225.42 LT
45.0000	COMCAST CORP NEW CL A	01/26/15	07/13/16	3,012.80	2,529.78	483.02 LT
8.0000	CMS ENERGY CORP	03/18/15	07/08/16	360.79	277.43	83.36 LT
13.0000	COGNIZANT TECH SOLUTNS A	02/11/15	07/08/16	755.18	763.86	(8.68) LT
11.0000	CVS HEALTH CORP	01/26/15	07/08/16	1,062.58	1,104.83	(42.25) LT
11.0000	CARNIVAL CORP PAIRED SHS	02/27/15	07/08/16	493.56	484.92	8.64 LT
5.0000	CHEVRON CORP	01/26/15	07/08/16	518.64	535.10	(16.46) LT
10.0000	CENTENE CORP	06/10/15	07/08/16	703.28	732.36	(29.08) LT
3.0000	CENTENE CORP	06/10/15	07/08/16	210.99	221.25	(10.26) LT
5.0000	CME GROUP INC	01/26/15	07/08/16	496.49	438.45	58.04 LT
18.0000	CITIGROUP INC COM NEW	01/26/15	07/08/16	755.98	874.56	N/C
29.0000	CITIGROUP INC COM NEW	01/26/15	07/08/16	1,217.98	1,409.02	(191.04) LT
11.0000	CDW CORP	09/09/15	07/08/16	444.72	446.61	(1.89) ST
5.0000	CDW CORP	09/09/15	07/08/16	202.15	203.01	(0.86) ST
21.0000	CITIZENS FINL GROUP INC	03/04/16	07/08/16	415.79	456.54	(40.75) ST
1.0000	CIGNA CORP	01/26/15	06/30/16	127.44	109.01	18.43 LT
6.0000	CIGNA CORP	01/26/15	07/01/16	775.01	654.06	120.95 LT
8.0000	CIGNA CORP	01/26/15	07/05/16	1,016.00	872.08	143.92 LT
7.0000	CIGNA CORP	01/26/15	07/05/16	889.00	763.07	125.93 LT
7.0000	CIGNA CORP	01/26/15	07/06/16	895.38	763.07	132.31 LT
1.0000	CIGNA CORP	01/26/15	07/06/16	127.91	109.01	18.90 LT
7.0000	CIGNA CORP	04/08/15	07/06/16	895.38	917.80	(22.42) LT
6.0000	CIGNA CORP	04/08/15	07/07/16	767.99	786.69	(18.70) LT
2.0000	CIGNA CORP	04/08/15	07/07/16	255.99	262.23	(6.24) LT
2.0000	CIGNA CORP	04/08/15	07/08/16	258.02	262.23	(4.21) LT
3.0000	CIGNA CORP	04/09/15	07/08/16	387.03	395.60	(8.57) LT
59.0000	CISCO SYSTEMS INC COM	01/26/15	07/08/16	1,723.95	1,640.01	83.94 LT
31.0000	COCA COLA COM	01/26/15	07/08/16	1,408.38	1,337.03	71.35 LT
7.0000	DIAGEO PLC SPSP ADR NEW	01/26/15	07/08/16	791.68	826.07	(34.39) LT
17.0000	DIAGEO PLC SPSP ADR NEW	01/26/15	07/11/16	1,932.68	2,006.17	(73.49) LT

PLEASE SEE REVERSE SIDE

EMASM Fiscal Statement

JOHN F SMIEKEL FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
25.0000	DIAGEO PLC SPSPD ADR NEW	03/04/16	07/11/16	2,842.20	2,661.72	180.48 ST
10.0000	DOMINION RES INC NEW VA	01/26/15	07/08/16	775.68	781.80	(6.12) LT
16.0000	DELTA AIR LINES INC	08/05/15	07/08/16	601.43	741.12	N/C
8.0000	DR PEPPER SNAPPLE GROUP	01/26/15	07/08/16	775.20	627.36	147.84 LT
6.0000	DOLLAR GENERAL CORP	04/17/15	07/08/16	564.83	448.71	116.12 LT
32.0000	DEUTSCHE POST AG SHS	03/04/16	07/08/16	877.04	796.74	80.30 ST
9.0000	D R HORTON INC	09/09/15	07/08/16	304.01	279.99	24.02 ST
12.0000	DOW CHEMICAL CO	01/26/15	07/08/16	579.47	527.94	51.53 LT
13.0000	DU PONT E I DE NEMOURS	01/26/15	07/08/16	806.79	911.09	(104.30) LT
2.0000	EASTMAN CHEMICAL CO COM	06/10/16	07/08/16	136.58	145.04	N/C
11.0000	EXXON MOBIL CORP COM	01/26/15	07/08/16	1,024.74	998.28	26.46 LT
7.0000	EXELON CORPORATION	10/15/15	07/08/16	250.75	216.02	34.73 ST
42.0000	FLEXTRONICS INTL LTD	06/10/16	07/08/16	517.43	538.79	N/C
2.0000	FLEXTRONICS INTL LTD	06/10/16	07/08/16	24.64	25.66	N/C
2.0000	FLEXTRONICS INTL LTD	06/13/16	07/08/16	24.64	25.38	N/C
188.0000	ISHARES TRUST SHS ISHARE	01/26/15	07/08/16	8,395.13	8,673.88	(278.75) LT
55.0000	ISHARES TIPS	01/26/15	07/08/16	6,453.57	6,287.14	166.43 LT
17.0000	GAP INC DELAWARE	01/26/15	07/08/16	387.08	581.99	(194.91) ST
10.0000	GLAXOSMITHKLINE PLC ADR	08/14/15	07/08/16	434.69	392.10	42.59 ST
9.0000	GOLDMAN SACHS GROUP INC	03/04/16	07/08/16	1,355.73	1,617.03	(261.30) LT
48.0000	GENERAL ELECTRIC	01/26/15	07/08/16	1,546.05	1,173.95	372.10 LT
18.0000	GIVAUDAN SA UNSP ADR	03/04/16	07/08/16	743.02	678.78	64.24 ST
8.0000	GENUINE PARTS CO	03/04/16	07/08/16	837.02	748.08	88.94 ST
11.0000	GILEAD SCIENCES INC COM	09/22/15	07/08/16	955.58	1,155.89	(200.31) ST
16.0000	GOODYEAR TIRE RUBBER	05/19/16	07/08/16	425.43	433.05	(7.62) ST
7.0000	HONEYWELL INTL INC DEL	01/26/15	07/08/16	827.80	712.53	115.27 LT
3.0000	HESS CORP	02/12/16	07/08/16	168.77	119.09	49.68 ST
14.0000	HOME DEPOT INC	01/26/15	06/29/16	1,780.59	1,474.65	305.94 LT
15.0000	HOME DEPOT INC	01/26/15	07/08/16	2,004.45	1,579.99	424.46 LT
2.0000	HUMANA INC	08/05/15	07/08/16	325.26	370.97	(45.71) ST
50.0000	INTEL CORP	01/26/15	07/08/16	1,695.97	1,793.32	(97.35) LT
13.0000	INTEL PAPER CO	01/26/15	07/08/16	554.31	706.16	(151.85) LT
6.0000	INVESCO LTD	05/20/16	07/08/16	154.57	176.51	(21.94) ST
6.0000	IMPERIAL BRANDS PLC	03/04/16	07/08/16	628.01	630.42	(2.41) ST
42.0000	JPMORGAN CHASE & CO	01/26/15	07/08/16	2,601.84	2,383.92	217.92 LT
14.0000	JPMORGAN CHASE & CO	01/26/15	07/13/16	880.68	794.64	86.04 LT
12.0000	JOHNSON AND JOHNSON COM	01/26/15	07/08/16	1,473.57	1,216.80	256.77 LT
18.0000	KROGER CO	01/26/15	07/08/16	679.00	612.63	66.37 LT
1.0000	LOCKHEED MARTIN CORP	01/26/15	07/08/16	250.94	195.22	55.72 LT
8.0000	LAM RESEARCH CORP COM	04/13/16	07/08/16	675.19	657.80	17.39 ST

PLEASE SEE REVERSE SIDE

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
247.0000	LLOYDS BANKING GROUP PLC	08/20/15	07/08/16	681.71	1,223.96	(542.25) ST
9.0000	LABORATORY CP AMER HLDGS	01/26/15	07/08/16	1,204.99	1,049.40	155.59 LT
5.0000	LEAR CORP SHS	06/10/15	07/08/16	531.20	583.18	N/C
10.0000	LENNAR CORP CL A	09/09/15	07/08/16	491.69	523.79	(32.10) ST
26.0000	LINEAR TECHNOLOGY CORP	03/04/16	07/08/16	1,226.20	1,108.12	118.08 ST
16.0000	LOWE'S COMPANIES INC	01/26/15	06/29/16	1,244.09	1,113.16	130.93 LT
19.0000	LOWE'S COMPANIES INC	01/26/15	06/29/16	1,477.36	1,321.88	155.48 LT
17.0000	LOWE'S COMPANIES INC	01/26/15	06/30/16	1,341.03	1,182.73	158.30 LT
14.0000	LOWE'S COMPANIES INC	01/26/15	07/08/16	1,149.97	974.02	175.95 LT
6.0000	M&T BANK CORPORATION	03/04/16	07/08/16	688.26	659.22	39.04 ST
21.0000	MORGAN STANLEY	01/26/15	07/08/16	552.08	729.95	(177.87) LT
13.0000	METLIFE INC COM	01/26/15	07/08/16	513.36	634.27	(120.91) LT
20.0000	MERCK AND CO INC SHS	01/26/15	07/08/16	396.11	1,249.00	(59.43) LT
6.0000	MOTOROLA SOLUTIONS INC	01/26/15	07/08/16	144.71	393.84	2.27 LT
4.0000	MARATHON PETROLEUM CORP	01/26/15	07/08/16	319.05	175.55	(30.84) LT
7.0000	MONDELEZ INTERNATIONAL	01/26/15	07/08/16	1,237.25	254.91	64.14 LT
38.0000	MATTEL INC COM	07/27/15	07/08/16	606.69	845.64	391.61 ST
5.0000	MCDONALDS CORP COM	03/04/16	07/08/16	585.20	585.20	21.49 ST
71.0000	MICROSOFT CORP	01/26/15	07/08/16	3,706.84	3,318.99	387.85 LT
16.0000	NOVARTIS ADR	03/04/16	07/08/16	1,313.89	1,156.40	157.49 ST
8.0000	NVIDIA	04/13/16	07/08/16	406.47	291.86	114.61 ST
7.0000	NEXTERA ENERGY INC SHS	01/26/15	07/08/16	896.89	762.09	134.80 LT
12.0000	NESTLE S A REP RG SH ADR	03/04/16	07/08/16	940.78	864.36	76.42 ST
1.0000	NORTHROP GRUMMAN CORP	01/26/15	07/08/16	221.43	156.07	65.36 LT
2.0000	OWENS CORNING INC	01/20/16	07/08/16	107.41	84.13	23.28 ST
5.0000	OCCIDENTAL PETE CORP CAL	01/26/15	07/08/16	379.59	393.50	(13.91) LT
6.0000	OMNICOM GROUP COM	04/08/15	07/08/16	498.95	472.05	26.90 LT
6.0000	ORACLE CORP \$0.01 DEL	07/16/15	07/08/16	245.65	244.88	0.77 ST
4.0000	ORACLE CORP \$0.01 DEL	08/05/15	07/08/16	163.77	159.81	3.96 ST
8.0000	PACKAGING CORP AMERICA	01/26/15	07/08/16	557.13	648.56	(91.43) LT
9.0000	PRUDENTIAL FINANCIAL INC	01/26/15	07/08/16	639.98	723.87	(83.89) LT
7.0000	PHILIP MORRIS INTL INC	01/26/15	07/08/16	719.23	579.18	140.05 LT
4.0000	PHILIP MORRIS INTL INC	03/04/16	07/08/16	411.00	378.87	32.13 ST
5.0000	PBF ENERGY INC CL A	01/26/15	07/08/16	105.90	137.55	(31.65) LT
6.0000	PEPSICO INC	03/04/16	07/08/16	647.57	598.08	49.49 ST
86.0000	PFIZER INC	01/26/15	07/08/16	3,090.13	2,789.42	300.71 LT
31.0000	PFIZER INC	01/26/15	07/08/16	1,127.25	1,005.49	121.76 LT
1.0000	PRAXAIR INC	07/13/16	07/08/16	114.21	124.71	(10.50) LT
3.0000	PROCTER & GAMBLE CO	01/26/15	07/08/16	256.29	268.65	(12.36) LT
3.0000	QUEST DIAGNOSTICS INC	03/30/15	07/08/16	245.60	232.53	13.07 LT

PLEASE SEE REVERSE SIDE

 x 99999999
 Page 172 of 178

 Statement Period
 Year Ending 09/30/16

 Account No.
 68Q-02022

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
10.0000	PUB SVC ENTERPRISE GRP	01/26/15	07/08/16	455.92	434.00	21.92 LT
12.0000	QUALCOMM INC	01/26/15	07/08/16	648.71	866.40	(217.69) LT
2.0000	RAYTHEON CO DELAWARE NEW	01/26/15	07/08/16	276.75	213.62	63.13 LT
15.0000	RAYTHEON CO DELAWARE NEW	01/26/15	07/13/16	2,081.35	1,602.15	479.20 LT
10.0000	REYNOLDS AMERICAN INC	09/04/15	07/08/16	528.59	417.73	111.86 ST
16.0000	ROCHE HLDG LTD SPN ADR	03/04/16	07/08/16	517.11	504.80	12.31 ST
23.0000	ROGERS COMMUNICATIONS B	03/04/16	07/08/16	945.97	862.96	83.01 ST
9.0000	ROSS STORES INC COM	01/26/15	07/08/16	519.56	424.97	94.59 LT
20.0000	SCHLUMBERGER LTD	01/26/15	07/08/16	1,572.97	1,649.79	(76.82) LT
19.0000	SGS SA ADR	03/04/16	07/08/16	430.15	388.55	41.60 ST
5.0000	SYNGENTA AG ADR	03/04/16	07/08/16	375.89	407.65	(31.76) ST
15.0000	SANOFI ADR	03/04/16	07/08/16	614.13	600.41	13.72 ST
67.0000	SVENSKA HANDELSBANKEN AB	03/04/16	07/08/16	367.82	428.13	(60.31) ST
41.0000	SONIC HEALTHCARE-UNSP	03/04/16	07/08/16	660.09	562.93	97.16 ST
50.0000	SUNCOR ENERGY INC NEW	01/26/15	07/08/16	1,406.48	1,488.00	(81.52) LT
5.0000	SANDS CHINA LTD UNSP ADR	03/04/16	07/08/16	161.74	185.65	(23.91) ST
2.0000	ANTHEM INC	01/26/15	07/08/16	261.91	276.36	(14.45) LT
2.0000	WALGREENS BOOTS ALLIANCE	06/10/16	07/08/16	162.48	161.81	0.67 ST
20.0000	SOUTHWEST AIRLNS CO	07/09/15	07/08/16	814.78	653.60	161.18 ST
48.0000	SUNTRUST BKS INC COM	01/26/15	07/08/16	282.29	1,891.20	(1,608.91) LT
6.0000	TOTAL S.A. SP ADR	01/26/15	07/08/16	605.99	313.89	292.10 LT
12.0000	TEVA PHARMACEUTICALS ADR	01/26/15	07/08/16	615.47	707.48	(91.91) LT
23.0000	TAIWAN S MANUFACTURING ADR	04/14/16	07/08/16	755.76	581.38	174.38 LT
23.0000	TELUS CORP COM	03/04/16	07/08/16	1,599.28	673.12	926.16 LT
9.0000	3M COMPANY	01/26/15	07/08/16	706.84	1,462.14	(755.30) LT
6.0000	TRAVELERS COS INC	01/26/15	07/08/16	1,016.93	640.68	376.25 LT
22.0000	UNILEVER NV NY REG SHS	03/04/16	07/08/16	545.69	965.14	(419.45) LT
5.0000	UNITED PARCEL SVC CL B	01/26/15	07/08/16	984.81	502.35	482.46 LT
7.0000	UNITEDHEALTH GROUP INC	01/26/15	07/08/16	2,209.31	776.65	1,432.66 LT
55.0000	US BANCORP (NEW)	01/26/15	07/08/16	413.59	2,369.77	(1,956.18) LT
10.0000	UNITED CONTL HLDGS INC	01/26/15	07/08/16	359.99	483.28	(123.29) LT
4.0000	UNION PACIFIC CORP	01/26/15	07/08/16	1,770.99	2,138.76	(367.77) LT
18.0000	UNITED TECHS CORP COM	03/04/16	07/08/16	516.19	484.00	32.19 ST
5.0000	UNITED TECHS CORP COM	01/26/15	07/08/16	480.09	509.99	(29.90) LT
10.0000	VALERO ENERGY CORP NEW	01/26/15	07/08/16	1,518.16	1,353.03	165.13 LT
29.0000	VERIZON COMMUNICATIONS COM	01/26/15	07/08/16	450.99	458.50	(8.51) LT
10.0000	KONE OYJ	03/04/16	07/08/16	1,377.26	2,855.43	(1,478.17) LT
29.0000	WSTN DIGITAL CORP DEL	01/26/15	07/08/16	2,896.15	5,907.79	(3,011.64) LT
60.0000	WSTN DIGITAL CORP DEL	01/26/15	07/08/16	339.40	393.91	(54.51) LT
11.0000	WEYERHAEUSER CO	01/26/15	07/08/16			N/C

PLEASE SEE REVERSE SIDE

Page 173 of 178
Statement Period Year Ending 09/30/16
Account No. 68Q-02022

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
10.0000	JAPAN TOBACCO INC 2914	03/04/16	07/08/16	428.79	417.70	11.09 ST
29.0000	WELLS FARGO & CO NEW DEL	01/26/15	07/08/16	1,384.43	1,540.65	N/C
1.886.0000	BLKRCK US MRTG PTF INSTL	01/26/15	07/08/16	19,821.86	19,840.72	(18.86) LT
19,000.0000	US TSY 2.250% NOV 15 2024	09/03/15	08/24/16	20,122.15	19,150.87	971.28 ST
98.0000	ASTRAZENCA PLC SPND ADR	01/26/15	08/05/16	3,370.04	3,472.13	(102.09) LT
11.0000	AMGEN INC COM PV \$0.0001	01/26/15	08/23/16	1,913.68	1,747.53	166.15 LT
21.0000	CITIZENS FINL GROUP INC CXL	03/04/16	07/08/16	415.79	456.54	40.75 ST
21.0000	CITIZENS FINL GROUP INC	03/04/16	07/08/16	415.79	456.54	N/C
1.0000	DOLLAR GENERAL CORP	04/17/15	08/08/16	92.85	74.78	18.07 LT
5.0000	DOLLAR GENERAL CORP	04/20/15	08/09/16	461.15	375.60	85.55 LT
3.0000	DOLLAR GENERAL CORP	04/20/15	08/10/16	278.74	225.36	53.38 LT
7.0000	GAP INC DELAWARE	08/14/15	08/25/16	184.40	239.64	(55.24) LT
8.0000	GAP INC DELAWARE	08/17/15	08/25/16	210.75	274.41	(63.66) LT
14.0000	GAP INC DELAWARE	08/17/15	08/26/16	371.56	480.22	(108.66) LT
6.0000	HOME DEPOT INC	01/26/15	08/08/16	817.36	631.99	185.37 LT
5.0000	INTEL CORP	01/26/15	08/08/16	174.71	179.33	(4.62) LT
27.0000	INTEL CORP	01/26/15	08/09/16	943.68	968.39	(24.71) LT
61.0000	INTEL CORP	01/26/15	08/10/16	2,111.72	2,187.85	(76.13) LT
62.0000	INTEL CORP	01/26/15	08/11/16	2,144.42	2,223.72	(79.30) LT
22.0000	INTEL CORP	01/26/15	08/12/16	761.52	789.06	(27.54) LT
247.0000	LLOYDS BANKING GROUP PLC CXL	08/20/15	07/08/16	681.71	1,223.96	542.25 ST
247.0000	LLOYDS BANKING GROUP PLC	08/20/15	07/08/16	681.71	1,223.96	N/C
110.0000	LINEAR TECHNOLOGY CORP	03/04/16	07/27/16	6,607.52	4,688.19	1,919.33 ST
34.0000	LINEAR TECHNOLOGY CORP	03/04/16	08/05/16	2,003.79	1,449.08	554.71 ST
30.0000	LINEAR TECHNOLOGY CORP	03/04/16	08/08/16	1,789.17	1,278.60	490.57 ST
24.0000	LINEAR TECHNOLOGY CORP	03/04/16	08/09/16	1,420.65	1,022.88	397.77 ST
28.0000	LINEAR TECHNOLOGY CORP	03/04/16	08/10/16	1,646.63	1,193.35	453.28 ST
69.0000	LINEAR TECHNOLOGY CORP	03/04/16	08/11/16	4,052.00	2,940.77	1,121.23 ST
8.0000	MCDONALDS CORP COM	03/04/16	08/08/16	946.35	936.32	10.03 ST
6.0000	MCDONALDS CORP COM	03/04/16	08/25/16	691.91	702.24	(10.33) ST
12.0000	MCDONALDS CORP COM	03/04/16	08/26/16	1,378.94	1,404.48	(25.54) ST
40.0000	PFIZER INC	01/26/15	08/04/16	1,409.41	1,297.40	112.01 LT
45.0000	PFIZER INC	01/26/15	08/04/16	1,585.59	1,459.57	126.02 LT
22.0000	PFIZER INC	01/26/15	08/05/16	777.16	713.57	63.59 LT
19.0000	PFIZER INC	01/26/15	08/05/16	671.18	616.27	54.91 LT
10.0000	PFIZER INC	08/06/15	08/05/16	353.26	351.89	1.37 ST
13.0000	ROSS STORES INC COM	01/26/15	08/24/16	829.48	613.84	215.64 LT
26.0000	ROSS STORES INC COM	01/26/15	08/25/16	1,645.60	1,227.68	417.92 LT
5.0000	ROSS STORES INC COM	01/26/15	08/26/16	317.32	236.09	81.23 LT
21.0000	SYNGENTA AG ADR	03/04/16	08/22/16	1,842.90	1,712.13	130.77 ST

PLEASE SEE REVERSE SIDE

Page
174 of 178Statement Period
Year Ending 09/30/16Account No.
68Q-02022

JOHN F SMIEKEL FOUNDATION

#94-3102481

Form 990-PF 2015

Part IV

EMASM Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
24.0000	ALTRIA GROUP INC	06/10/15	09/27/16	1,515.33	1,188.46	326.87 LT
1.0000	ALPHABET INC SHS CL A	01/26/15	09/27/16	810.13	537.99	272.14 LT
17.0000	AMGEN INC COM PV \$0.0001	01/26/15	08/30/16	2,900.75	2,700.72	200.03 LT
10.0000	AMGEN INC COM PV \$0.0001	01/26/15	08/30/16	1,706.32	1,588.66	117.66 LT
7.0000	APPLE INC	01/26/15	09/27/16	791.14	796.49	(5.35) LT
9.0000	CARNIVAL CORP PAIRED SHS	02/27/15	09/27/16	435.52	396.75	38.77 LT
10.0000	FACEBOOK INC	01/26/15	09/27/16	1,286.00	782.70	503.30 LT
55.0000	ISHARES TRUST SHS ISHARE	01/26/15	09/27/16	2,524.58	2,537.57	(12.99) LT
7.0000	HOME DEPOT INC	01/26/15	09/23/16	898.65	737.33	161.32 LT
12.0000	ISHARES INC CORE MSCI	01/26/15	09/27/16	547.91	584.16	(36.25) LT
3.0000	JOHNSON AND JOHNSON COM	01/26/15	09/27/16	356.90	304.20	52.70 LT
6.0000	MOLSON COOR BREW CO CL B	01/26/15	09/27/16	646.49	467.33	179.16 LT
4.0000	MCDONALDS CORP COM	03/04/16	08/29/16	460.68	468.16	(7.48) ST
22.0000	MICROSOFT CORP	01/26/15	09/27/16	1,272.89	1,028.42	244.47 LT
1.0000	THE PRICELINE GROUP INC	01/26/15	09/27/16	1,451.25	1,031.99	419.26 LT
4.0000	RAYTHEON CO DELAWARE NEW	01/26/15	09/27/16	556.99	427.24	129.75 LT
11.0000	REYNOLDS AMERICAN INC	09/04/15	09/23/16	532.90	459.50	73.40 LT
8.0000	REYNOLDS AMERICAN INC	09/04/15	09/26/16	383.81	334.18	49.63 LT
9.0000	ROGERS COMMUNICATIONS B	03/04/16	09/27/16	383.39	337.68	45.71 ST
3.0000	UNITEDHEALTH GROUP INC	01/26/15	09/27/16	421.40	332.85	88.55 LT
38.0000	VALERO ENERGY CORP NEW	01/26/15	08/30/16	2,116.15	1,937.95	178.20 LT

Short term

long term

870,790.83	900,297.84	(29,507.01)
613,411.35	633,949.44	(20,538.09)
<u>1,484,202.18</u>	<u>1,534,247.28</u>	<u>(50,045.10)</u>

PLEASE SEE REVERSE SIDE

Page 175 of 178

Statement Period
Year Ending 09/30/16Account No.
68Q-02022

JOHN F. SMIEKEL FOUNDATION

94-3109481

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Total	\$ 201.	\$ 0.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
TAX PREPARATION FEES	\$ 2,480.	\$ 2,480.		
Total	\$ 2,480.	\$ 2,480.		\$ 0.

Statement 3
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEES	\$ 257.	\$ 257.		
PORTFOLIO MANAGEMENT FEES	41,017.	41,017.		
Total	\$ 41,274.	\$ 41,274.		\$ 0.

Statement 4
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAX	\$ 1,309.	\$ 1,309.		
Total	\$ 1,309.	\$ 1,309.		\$ 0.

JOHN F. SMIEKEL FOUNDATION

94-3109481

Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
DELIVERY CHARGES	\$ 9.	\$ 9.		
Total	\$ 9.	\$ 9.		\$ 0.

Statement 6
Form 990-PF, Part II, Line 13
Investments - Other

	Valuation Method	Book Value	Fair Market Value
Other Investments			
MERRILL LYNCH INVESTMENT ACCOUNT	Cost	\$ 3,499,721.	\$ 3,593,921.
Total		\$ 3,499,721.	\$ 3,593,921.

Statement 7
Form 990-PF, Part III, Line 5
Other Decreases

COST BASIS ADJUSTMENT	Total	\$ 6,231.
		\$ 6,231.

Statement 8
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
JILL SMIEKEL GEORGE 180 LAWTON ROAD RIVERSIDE, IL 60546	MEMBER 0	\$ 0.	\$ 0.	\$ 0.
PATRICK SMIEKEL 180 LAWTON ROAD RIVERSIDE, IL 60546	MEMBER 0	0.	0.	0.
ROBERT KLETCKE 180 LAWTON ROAD RIVERSIDE, IL 60546	MEMBER 0	0.	0.	0.

JOHN F. SMIEKEL FOUNDATION

94-3109481

Statement 8 (continued)
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
WILLIAM MILLEKER 180 LAWTON ROAD RIVERSIDE, IL 60546	MEMBER 0	\$ 0.	\$ 0.	\$ 0.
CHRISTINE MILLEKER 180 LAWTON ROAD RIVERSIDE, IL 60546	Trustee 6.00	17,468.	0.	0.
TISH WILSON 180 LAWTON ROAD RIVERSIDE, IL 60546	Trustee 0	0.	0.	0.
ROBERT C. AUMENT 300 S WACKER DRIVE SUITE 2200 CHICAGO, IL 60606	Trustee 0	0.	0.	0.
		Total \$ 17,468.	\$ 0.	\$ 0.

Statement 9
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
CURE PSP INC 30 EAST PADONIA ROAD TIMONIUM MD 21093		PC	FURTHER EXEMPT PURPOSE	\$ 24,000.
SPLASH 180 KEHOE BLVD CAROL STREAM IL 60188		PC	FURTHER EXEMPT PURPOSE	5,000.
ST. MARY OF GOSTYN SCHOOL 440 PRAIRIE AVENUE DOWNERS GROVE IL 60515		PC	FURTHER EXEMPT PURPOSE	19,000.
THE DALTON SCHOOL 108 EAST 89TH STREET NEW YORK NY 10128		PC	FURTHER EXEMPT PURPOSE	3,000.
GRANDFATHER HOME FOR CHILDREN PO BOX 98 BANNER ELK NC 28604		PC	FURTHER EXEMPT PURPOSE	10,000.

JOHN F. SMIEKEL FOUNDATION

94-3109481

Statement 9 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
CROSSNORE SCHOOL, INC. PO BOX 249 CROSSNORE NC 28616		PC	FURTHER EXEMPT PURPOSE	\$ 4,000.
AVERY COUNTY HUMANE SOCIETY PO BOX 1213 NEWLAND NC 28657		PC	FURTHER EXEMPT PURPOSE	9,000.
CHARLES A. CANNON JR. MEMORIAL HOSPITAL PO BOX 787 LINVILLE NC 28646		PC	FURTHER EXEMPT PURPOSE	10,000.
GIRLS WHO CODE 28 W 23RD STREET, 7TH FLOOR NEW YORK NY 10010		PC	FURTHER EXEMPT PURPOSE	11,500.
CHICAGO JESUIT ACADEMY 5058 WEST JACKSON BLVD CHICAGO IL 60644		PC	FURTHER EXEMPT PURPOSE	12,500.
HEPHZIBAH CHILDREN'S ASSOC 946 NORTH BLVD. OAK PARK IL 60301		PC	FURTHER EXEMPT PURPOSE	18,000.
ANIMAL CARE LEAGUE 1011 GARFIELD AVENUE OAK PARK IL 60304		PC	FURTHER EXEMPT PURPOSE	6,000.
DIRECT RELIEF 27 SOUTH LA PATERA LANE GOLETA CA 93117		PC	FURTHER EXEMPT PURPOSE	24,000.
JEREMY ELLIOTT SCHOLARSHIP FOUNDATION PO BOX 635 JEFFERSON NC 28640		PC	FURTHER EXEMPT PURPOSE	12,000.

Total \$ 168,000.

Underpayment of Estimated Tax by Corporations

► Attach to the corporation's tax return.

► Information about Form 2220 and its separate instructions is at www.irs.gov/form2220.**2015**

Name

JOHN F. SMIEKEL FOUNDATION

Employer identification number

94-3109481

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1	Total tax (see instructions)	1	795.
2a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
b	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
c	Credit for federal tax paid on fuels (see instructions)	2c	
d	Total. Add lines 2a through 2c	2d	
3	Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty.	3	795.
4	Enter the tax shown on the corporation's 2014 income tax return (see instructions). Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5.	4	14,289.
5	Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3.	5	795.

Part II Reasons for Filing — Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty (see instructions).

- 6 ☐ The corporation is using the adjusted seasonal installment method
- 7 ☐ The corporation is using the annualized income installment method
- 8 ☐ The corporation is a 'large corporation' figuring its first required installment based on the prior year's tax

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)	
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	2/15/16	3/15/16	6/15/16	9/15/16
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Schedule A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% of line 5 above in each column	10	198.	199.	199.	199.
11 Estimated tax paid or credited for each period (see instructions). For column (a) only, enter the amount from line 11 on line 15	11				16,000.
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12				
13 Add lines 11 and 12	13				16,000.
14 Add amounts on lines 16 and 17 of the preceding column	14		198.	397.	596.
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	0.	0.	0.	15,404.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		198.	397.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17	198.	199.	199.	
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18				

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 — no penalty is owed.