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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning **OCT 1, 2015**, and ending **SEP 30, 2016**

Name of foundation

**PAUL M ANDERSON FOUNDATION
C/O BRISTLECONE ADVISORS LLC**

A Employer identification number

91-1697666

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 1750

Room/suite

B Telephone number

206-664-2500

City or town, state or province, country, and ZIP or foreign postal code

ISSAQUAH, WA 98027-0071C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify) _____F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(from Part II, col (c), line 16)

▶ \$ **4,466,488.** (Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

1,002,146.**N/A**2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

91,085.**91,085.****STATEMENT 1**

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

329,673.

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a **2,560,322.**

7 Capital gain net income (from Part IV, line 2)

329,673.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

1,422,904.**420,758.****0.****0.**

13 Compensation of officers, directors, trustees, etc

0.**0.****0.****0.**

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

STMT 2**100.****0.****0.**

b Accounting fees

STMT 3**2,413.****0.****0.**

c Other professional fees

STMT 4**29,746.****29,746.****0.**

17 Interest

18 Taxes

STMT 5**5,131.****0.****0.**

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 6**28.****0.****0.**

24 Total operating and administrative expenses. Add lines 13 through 23

37,418.**29,746.****0.**

25 Contributions, gifts, grants paid

226,000.**226,000.**

26 Total expenses and disbursements

Add lines 24 and 25

263,418.**29,746.****226,000.**

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

1,159,486.

b Net investment income (if negative, enter -0-)

391,012.

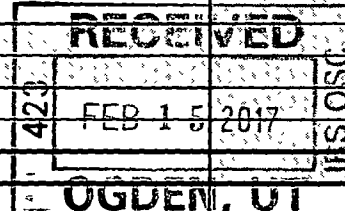
c Adjusted net income (if negative, enter -0-)

N/A523501
11-24-15

LHA For Paperwork Reduction Act Notice, see instructions.

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SCANNED FEB 24 2017



**PAUL M ANDERSON FOUNDATION
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Part II Balance Sheets				Beginning of year		End of year	
Attached schedules and amounts in the description column should be for end-of-year amounts only				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing		90,828.	31,587.	31,587.	
	2	Savings and temporary cash investments					
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations	STMT 8	240,320.	136,550.	138,531.	
	b	Investments - corporate stock	STMT 9	1,933,217.	2,702,089.	3,401,379.	
	c	Investments - corporate bonds	STMT 10	815,422.	887,130.	894,991.	
	11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶						
12	Investments - mortgage loans						
13	Investments - other						
14	Land, buildings, and equipment: basis ▶						
	Less: accumulated depreciation ▶						
15	Other assets (describe ▶)						
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		3,079,787.	3,757,356.	4,466,488.		
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶)					
23	Total liabilities (add lines 17 through 22)		0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds		3,079,787.	3,757,356.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds		0.	0.		
	30	Total net assets or fund balances		3,079,787.	3,757,356.		
31	Total liabilities and net assets/fund balances		3,079,787.	3,757,356.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,079,787.
2	Enter amount from Part I, line 27a	2	1,159,486.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,239,273.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	481,917.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,757,356.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 400 SHS TABLEAU SOFTWARE INC		08/05/15	10/05/15
b SCHWAB - SEE ATTACHED		VARIOUS	VARIOUS
c SCHWAB - SEE ATTACHED		VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 33,331.		41,580.	<8,249.>
b 1,322,144.		1,392,421.	<70,277.>
c 1,204,847.		796,648.	408,199.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<8,249.>
b			<70,277.>
c			408,199.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	329,673.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	196,851.	3,761,464.	.052334
2013	198,559.	3,689,070.	.053824
2012	140,000.	3,456,266.	.040506
2011	168,000.	3,231,518.	.051988
2010	127,000.	2,426,544.	.052338

2 Total of line 1, column (d)	2	.250990
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050198
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	4,402,453.
5 Multiply line 4 by line 3	5	220,994.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,910.
7 Add lines 5 and 6	7	224,904.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	226,000.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

- 1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)
- b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b
- c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).
- 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
- 3 Add lines 1 and 2
- 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
- 5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-
- 6 Credits/Payments:
- a 2015 estimated tax payments and 2014 overpayment credited to 2015
- b Exempt foreign organizations - tax withheld at source
- c Tax paid with application for extension of time to file (Form 8868)
- d Backup withholding erroneously withheld
- 7 Total credits and payments. Add lines 6a through 6d
- 8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached
- 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed**
- 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**
- 11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ☐ **Refunded** ☐

1	3,910.
2	0.
3	3,910.
4	0.
5	3,910.
6a	3,160.
6b	
6c	
6d	
7	3,160.
8	
9	750.
10	
11	

Part VII-A Statements Regarding Activities

- 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
- b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
- c Did the foundation file **Form 1120-POL** for this year?
- d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.
- e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.
- 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.
- 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes
- 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
- b If "Yes," has it filed a tax return on **Form 990-T** for this year?
- 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by **General Instruction T**.
- 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
- 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete **Part II, col. (c), and Part XIV**
- 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ WA
- b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by **General Instruction G**? If "No," attach explanation
- 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete **Part XIV**
- 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

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Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address **N/A**

- 14 The books are in care of **THOMAS E. MELANG, CPA** Telephone no. **425-454-9950**
Located at **1900 112TH AVE NE, STE 201, BELLEVUE, WA** ZIP+4 **98004**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year

15 **N/A**

- 16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

	Yes	No
1a		

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?

1b		
1c		X

- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

- a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?

☐ Yes ☒ No

If "Yes," list the years

- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

N/A

- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

2a		
2b		

- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

- b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)

N/A

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

3a		
3b		
4a		X
4b		X

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services

Expenses

Amount

All other program-related investments. See instructions.

Q.

8
2015.05030 PAUL M ANDERSON FOUNDATIO 36370M 1

PAUL M ANDERSON FOUNDATION
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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,338,451.
b	Average of monthly cash balances	1b	131,044.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,469,495.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,469,495.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	67,042.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,402,453.
6	Minimum investment return. Enter 5% of line 5	6	220,123.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	220,123.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	3,910.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,910.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	216,213.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	216,213.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	216,213.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	226,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	226,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,910.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	222,090.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				216,213.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014	14,437.			
f Total of lines 3a through e	14,437.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 226,000.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				216,213.
e Remaining amount distributed out of corpus	9,787.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	24,224.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	24,224.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014	14,437.			
e Excess from 2015	9,787.			

PAUL M ANDERSON FOUNDATION
C/O BRISTLECONE ADVISORS LLC

Form 990-PF (2015)

91-1697666 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BELLEVUE SCHOOLS FOUNDATION P.O. BOX 40644 BELLEVUE, WA 98015-4644	NA	PC	TEACHER CERTIFICATION	10,000.
DOMESTIC ABUSE WOMENS NETWORK P.O. BOX 1449 KENT, WA 98035	NA	PC	CHILDREN'S PROGRAMS	4,000.
HOUSING HOPE 5830 EVERGREEN WAY EVERETT, WA 98203	NA	PC	GENERAL DONATION	3,000.
NATURE CONSERVANCY OF WASHINGTON 1917 FIRST AVENUE SEATTLE, WA 98101	NA	PC	ELLSWORTH CREEK PROJECT	5,000.
WHITMAN COLLEGE WHITMAN COLLEGE WALLA WALLA, WA 99362	NA	PC	CENTER FOR COMMUNITY SERVICES RECEIVED \$5,000 AND THE JOHN PRIVAT SCHOLARSHIP RECEIVED \$5,000	10,000.
Total	SEE CONTINUATION SHEET(S)			226,000.
b Approved for future payment				
NONE				
Total				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	91,085.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	329,673.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)		0.		420,758.	0.
13	Total. Add line 12, columns (b), (d), and (e)					420,758.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

PAUL M ANDERSON FOUNDATION
C/O BRISTLECONE ADVISORS LLC

91-1697666

Part XV. Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WASHINGTON NATIONAL PARK FUND 1904 3RD AVE, STE 400 SEATTLE, WA 98101	NA	PC	GENERAL DONATION	6,000.
FRED HUTCHINSON CANCER RESEARCH CENTER MAIL STOP 15-200, PO BOX 19024 SEATTLE, WA 98109-1024	NA	PC	\$7,000 KATHERINE PRIVAT ENDOWMENT FUND, \$7,000 COLORECTAL CANCER RESEARCH	14,000.
NORTHWEST KIDNEY FOUNDATION 700 BROADWAY SEATTLE, WA 98122	NA	PC	GENERAL DONATION	12,000.
EASTSIDE ACADEMY 1717 BELLEVUE WAY NE BELLEVUE, WA 98004	NA	PC	GENERAL DONATION	4,000.
KCTS CHANNEL 9 401 MERCER STREET SEATTLE, WA 98109-1024	NA	PC	GENERAL DONATION	6,000.
AT WORK 1935 152ND PLACE NE BELLEVUE, WA 98007	NA	PC	GENERAL DONATION	3,000.
BELLEVUE ARTS MUSEUM 510 BELLEVUE WAY NE BELLEVUE, WA 98004	NA	PC	GENERAL DONATION	4,000.
YOUTH EASTSIDE SERVICES 999 164TH AVE NE BELLEVUE, WA 98008	NA	PC	GENERAL DONATION	6,000.
NORTHWEST HARVEST PO BOX 12272 SEATTLE, WA 98102	NA	PC	GENERAL DONATION	10,000.
EARTHCORP 6310 NE 74TH ST, STE 201E SEATTLE, WA 98115	NA	PC	AMERICAN CAMP, SAN JUAN ISLAND BUTTERFLY PROJECT	7,000.
Total from continuation sheets				194,000.

PAUL M ANDERSON FOUNDATION
C/O BRISTLECONE ADVISORS LLC

91-1697666

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARITAGE UNIVERSITY 3240 FORT RD TOPPENISH, WA 98948	NA	PC	PAUL M. ANDERSON ENDOWED SCHOLARSHIP	8,000.
UW FOUNDATION BOX 359505 SEATTLE, WA 98195-9505	NA	PC	GLENN M PRIVAT ENDOWED SCHOLARSHIP, FOSTER SCHOOL	8,000.
PUSHING BOUNDRIES 4162 148TH AVE NE REDMOND, WA 98052	NA	PC	BUILDING HOPE PLEDGE	4,000.
CHIEF SEATTLE COUNCIL, BSA 3120 RAINIER AVE S SEATTLE, WA 98144	NA	PC	GENERAL DONATION, CAMP PARSONS	6,000.
HOPELINK 14812 MAIN ST BELLEVUE, WA 98007	NA	PC	GENERAL DONATION	12,000.
EASTGATE PTA 4255 153RD AVE SE BELLEVUE, WA 98006-1739	NA	PC	EASTGATE PTA VIPP PROGRAM	15,000.
ROCKY MOUNTAIN ISNSTITUTE 2317 SNOWMASS CREEK RD SNOWMASS, CO 81654	NA	PC	GENERAL DONATION	13,000.
THE SAN JUAN PRESERVATION TRUST PO BOX 759 FRIDAY HARBOR, WA 98250	NA	PC	CAMPAIGN FOR MT GRANT PRESERVE	6,000.
CYSTIC FIBROSIS FOUNDATION 6931 ARLINGTON RD, 2ND FLOOR BETHESDA, MD 20814	NA	PC	GENERAL DONATION	20,000.
PAGE AHEAD 1130 NW 85TH STREET SEATTLE, WA 98117	NA	PC	GENERAL DONATION	10,000.
Total from continuation sheets				

91-1697666

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2015

Name of the organization

PAUL M ANDERSON FOUNDATION
C/O BRISTLECONE ADVISORS LLC

Employer identification number

91-1697666

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization

PAUL M ANDERSON FOUNDATION
C/O BRISTLECONE ADVISORS LLC

Employer identification number

91-1697666

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN AND PRISCILLA PRIVAT PO BOX 1750 ISSAQUAH, WA 98127-0071	\$ 903,030.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
2	JOHN AND PRISCILLA PRIVAT PO BOX 1750 ISSAQUAH, WA 98127-0071	\$ 99,116.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

91-1697666

Part II

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	SECURITIES _____ _____ _____	\$ <u>903,030.</u>	<u>11/24/15</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization

PAUL M ANDERSON FOUNDATION
C/O BRISTLECONE ADVISORS LLC

Employer identification number

91-1697666

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AMORTIZATION OF SCHWAB BOND PREMIUMS	<9,070.>	0.	<9,070.>	<9,070.>	
AMORTIZATION OF UBS BOND PREMIUMS	<1,713.>	0.	<1,713.>	<1,713.>	
SCHWAB 2560	86,098.	0.	86,098.	86,098.	
UBS 32431	10,072.	0.	10,072.	10,072.	
UBS 32682	5,698.	0.	5,698.	5,698.	
TO PART I, LINE 4	91,085.	0.	91,085.	91,085.	

FORM 990-PF

LEGAL FEES

STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	100.	0.		0.
TO FM 990-PF, PG 1, LN 16A	100.	0.		0.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	2,413.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	2,413.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	29,746.	29,746.		0.
TO FORM 990-PF, PG 1, LN 16C	29,746.	29,746.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	302.	0.		0.
FEDERAL INCOME TAXES PAID	4,829.	0.		0.
TO FORM 990-PF, PG 1, LN 18	5,131.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANNUAL REPORTING	25.	0.		0.
OTHER EXPENSES	3.	0.		0.
TO FORM 990-PF, PG 1, LN 23	28.	0.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES			STATEMENT 7
DESCRIPTION				AMOUNT
UNREALIZED GAIN OF CONTRIBUTED SECURITIES				481,917.
TOTAL TO FORM 990-PF, PART III, LINE 5				481,917.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 8
-------------	--	-------------

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. & STATE GOV'T BONDS	X		136,550.	138,531.
TOTAL U.S. GOVERNMENT OBLIGATIONS			136,550.	138,531.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			136,550.	138,531.

FORM 990-PF	CORPORATE STOCK	STATEMENT 9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	2,702,089.	3,401,379.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,702,089.	3,401,379.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	887,130.	894,991.
TOTAL TO FORM 990-PF, PART II, LINE 10C	887,130.	894,991.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MONICA JO PRIVAT PO BOX 1750 ISSAQUAH, WA 98127-0071	PRESIDENT 5.00	0.	0.	0.
MICHELLE PRIVAT OBERMEYER PO BOX 1750 ISSAQUAH, WA 98127-0071	VICE-PRESIDENT 5.00	0.	0.	0.
PRISCILLA A. PRIVAT PO BOX 1750 ISSAQUAH, WA 98127-0071	SECRETARY 5.00	0.	0.	0.
JIM PRIVAT PO BOX 1750 ISSAQUAH, WA 98127-0071	TREASURER 5.00	0.	0.	0.
JOHN PRIVAT PO BOX 1750 ISSAQUAH, WA 98127-0071	DIRECTOR 5.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JOSEPH WINKLER, CPA, CFP, BRISTLECON ADVISORS, LLC
999 THIRD AVE, SUITE 600
SEATTLE, WA 98104

TELEPHONE NUMBER

206-664-2500

FORM AND CONTENT OF APPLICATIONS

N/A

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

FINANCIAL SUPPORT IS GIVEN TO 501(C)(3) ORGANIZATIONS WITH EMPHASIS ON
THOSE INVOLVED IN PROTECTING THE ENVIRONMENT, OR INVOLVED IN HEALTH-CARE,
MEDICAL FIELDS & MEDICAL RESEARCH.



5310-2560 THE PAUL M. ANDERSON FOUNDATIO

Corp
8852 NE 24TH ST
CLYDE HILL WA

Total Known Realized Gain/(Loss) \$/%	Total Known Short-Term Gain/(Loss)	Total Known Long-Term Gain/(Loss)
\$337,920.75/15.41%	(\$70,277.77)	\$408,198.52
Total Known Proceeds	Total Known Cost Basis	
\$2,526,980.21	\$2,189,089.46	

Cost Basis - Realized Gain/(Loss) - Filtered by: From 10/01/2015 Through 09/30/2016

Results: 45

Symbol	Name	Sold Closed	Acquired Opened	Quantity Sold	Total Proceeds	Cost Basis	Short-Term	Long-Term	Total	Total %	Notes
APD	AIR PROD & CHEMICALS	03/24/2016	02/15/2006	750.00000	\$106,291.53	\$47,085.00		\$59,206.53	\$59,206.53	125.74%	
02587DWC2	AMER EXPRSS CNTRN 2.2%18CD FDIC INS DUE 12/17/19MIDVALE UT	12/15/2015	03/20/2015	35.000.00000	\$34,860.00	\$35,282.22	(\$422.22)		(\$422.22)	(1.20%)	
03027XAC4	AMERICAN TOWER CO 3.4%19 DUE 02/15/19	08/17/2016	03/05/2015	25.000.00000	\$26,037.50	\$25,496.18		\$541.32	\$541.32	2.12%	
040654UY9	AZ ST TRANS BRD 0.944XXX**MATURED** XTRO TAXBL	07/01/2016	03/10/2013	50.000.00000	\$50,000.00	\$50,000.00		\$0.00	\$0.00	0.00%	
BMY	BRISTOL-MYERS SQUIBB	04/21/2016	Hide Lots	2,000.00000	\$138,830.22	\$48,589.04		\$90,241.18	\$90,241.18	185.72%	
		04/21/2016	01/18/2006	510.00000	\$35,401.71	\$11,276.10		\$24,125.61	\$24,125.61	213.95%	1
		04/21/2016	10/07/2008	60.00000	\$4,164.90	\$1,180.39		\$2,974.51	\$2,974.51	249.88%	1
		04/21/2016	03/05/2010	430.00000	\$29,848.50	\$10,872.55		\$18,975.95	\$18,975.95	174.53%	1
		04/21/2016	04/21/2010	1,000.00000	\$69,415.11	\$25,250.00		\$44,165.11	\$44,165.11	174.91%	1
111021AH4	BRITISH TELECO 1.625%16F DUE 06/28/18BRITISH TELECOMMS	06/28/2016	06/18/2014	50,000.00000	\$50,000.00	\$50,000.00		\$0.00	\$0.00	0.00%	
CRC	CALIFORNIA RESOURCES	04/01/2016	10/09/2013	28.00000	\$20.55	\$44.80		(\$24.25)	(\$24.25)	(54.13%)	

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Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes
CRC	CALIFORNIA RESOURCES	03/24/2018	10/09/2013	0.08540	\$0.09	\$0.14		(\$0.05)	(\$0.05)	(35.71%)	
CHTR	CHARTER COMMUNICATN CLASS A	02/10/2016	06/22/2015	200.00000	\$32,781.89	\$34,048.35	(\$1,264.46)		(\$1,264.46)	(3.71%)	
C	CITIGROUP INC	12/09/2015	10/18/2013	700.00000	\$38,762.07	\$35,839.93		\$922.14	\$922.14	2.57%	
C+N	CITIGRP CAP XI 7.875%PPFD DUE 10/30/40SUBJ TO XTRQ REDEMPTION	12/09/2015	05/02/2012	1,000.00000	\$25,911.57	\$26,700.00		(\$788.43)	(\$788.43)	(2.95%)	
CO	COSTCO WHOLESALE CO	02/23/2018	Hide Lots	750.00000	\$113,010.34	\$79,445.40		\$33,564.94	\$33,564.94	42.25%	
		02/23/2018	12/12/2012	450.00000	\$67,806.20	\$43,980.39		\$23,825.81	\$23,825.81	54.17%	
		02/23/2018	08/01/2013	300.00000	\$45,204.14	\$35,455.01		\$9,739.13	\$9,739.13	27.48%	
CCI	GROWN CASTLE INTL CO REIT	02/16/2016	12/11/2014	500.00000	\$41,986.59	\$38,489.45		\$3,497.14	\$3,497.14	9.09%	
DBEF	DEUTSCH X TRKS MSCI EAFE HDG EQY ETF	02/16/2016	01/06/2016	1,500.00000	\$36,416.66	\$39,532.95	(\$3,116.29)		(\$3,116.29)	(7.88%)	
25469J7H6	DISCOVER BK 3.8XX**MATURED** GREENWOOD DE	05/31/2016	11/22/2011	30,000.00000	\$30,000.00	\$30,000.00		\$0.00	\$0.00	0.00%	
D	DOMINION RES INC VA	05/23/2018	08/21/2015	500.00000	\$35,304.23	\$37,729.45	(\$2,425.22)		(\$2,425.22)	(6.43%)	
DBLSX	DOUBLELINE LOW DURATION BD I	09/28/2016	09/21/2016	13,389.88100	\$135,073.90	\$135,000.00	\$73.90		\$73.90	0.05%	
EVV	EATON VANCE LIMITED DURA	01/26/2016	Hide Lots	2,415.00000	\$29,404.50	\$36,701.11	(\$7,296.61)		(\$7,296.61)	(19.88%)	
		01/26/2016	07/23/2013	2,000.00000	\$24,351.55	\$30,715.98	(\$6,364.43)		(\$6,364.43)	(20.72%)	
		01/26/2016	08/19/2013	13.00000	\$158.29	\$190.87	(\$32.58)		(\$32.58)	(17.07%)	
		01/26/2016	09/20/2013	13.00000	\$158.29	\$196.97	(\$38.68)		(\$38.68)	(19.64%)	
		01/26/2016	10/18/2013	13.00000	\$158.29	\$197.72	(\$39.43)		(\$39.43)	(19.94%)	
		01/26/2016	11/19/2013	13.00000	\$158.29	\$193.77	(\$35.48)		(\$35.48)	(18.31%)	
		01/26/2016	12/19/2013	13.00000	\$158.29	\$193.58	(\$35.29)		(\$35.29)	(18.23%)	
		01/26/2016	01/21/2014	13.00000	\$158.28	\$198.80	(\$40.51)		(\$40.51)	(20.38%)	
		01/26/2016	02/20/2014	13.00000	\$158.28	\$198.16	(\$39.87)		(\$39.87)	(20.12%)	
		01/26/2016	03/20/2014	13.00000	\$158.29	\$199.36	(\$41.07)		(\$41.07)	(20.60%)	
		01/26/2016	04/21/2014	14.00000	\$170.46	\$212.85	(\$42.19)		(\$42.19)	(19.84%)	
		01/26/2016	05/19/2014	13.00000	\$158.29	\$200.52	(\$42.23)		(\$42.23)	(21.06%)	

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Symbol	Name	Sold Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes
		01/26/2016	06/19/2014	13.00000	\$158.29	\$202.50		(\$44.21)	(\$44.21)	(21.83%)	
		01/26/2016	07/18/2014	14.00000	\$170.46	\$213.97		(\$43.51)	(\$43.51)	(20.33%)	
		01/26/2016	08/18/2014	14.00000	\$170.46	\$212.03		(\$41.57)	(\$41.57)	(19.81%)	
		01/26/2016	09/19/2014	14.00000	\$170.46	\$206.97		(\$36.51)	(\$36.51)	(17.64%)	
		01/26/2016	10/20/2014	15.00000	\$182.64	\$215.20		(\$32.56)	(\$32.56)	(15.19%)	
		01/26/2016	11/20/2014	15.00000	\$182.64	\$215.14		(\$32.50)	(\$32.50)	(15.11%)	
		01/26/2016	12/18/2014	16.00000	\$194.81	\$222.49		(\$27.68)	(\$27.68)	(12.44%)	
		01/26/2016	01/20/2015	16.00000	\$194.81	\$225.54		(\$30.73)	(\$30.73)	(13.63%)	
		01/26/2016	02/19/2015	16.00000	\$194.81	\$227.28	(\$32.47)		(\$32.47)	(14.29%)	
		01/26/2016	03/19/2015	16.00000	\$194.81	\$227.64	(\$32.83)		(\$32.83)	(14.42%)	
		01/26/2016	04/20/2015	15.00000	\$182.64	\$217.51	(\$34.87)		(\$34.87)	(16.03%)	
		01/26/2016	05/18/2015	16.00000	\$194.81	\$230.39	(\$35.58)		(\$35.58)	(15.44%)	
		01/26/2016	06/18/2015	16.00000	\$194.81	\$223.07	(\$28.26)		(\$28.26)	(12.87%)	
		01/26/2016	07/20/2015	17.00000	\$206.99	\$233.64	(\$26.65)		(\$26.65)	(11.41%)	
		01/26/2016	08/20/2015	17.00000	\$206.99	\$227.97	(\$20.98)		(\$20.98)	(9.20%)	
		01/26/2016	09/18/2015	18.00000	\$219.16	\$234.25	(\$15.09)		(\$15.09)	(6.44%)	
		01/26/2016	10/20/2015	18.00000	\$219.16	\$236.04	(\$16.88)		(\$16.88)	(7.15%)	
		01/26/2016	11/20/2015	18.00000	\$219.13	\$231.10	(\$11.97)		(\$11.97)	(5.18%)	
EVT	EATON VANCE TAX ADVANTAG	02/01/2016	12/11/2014	2,000.00000	\$36,348.13	\$40,980.00		(\$4,611.87)	(\$4,611.87)	(11.26%)	
ESRX	EXPRESS SCRIPTS HLDG	03/11/2016	12/01/2011	500.00000	\$35,083.94	\$23,214.52		\$11,869.42	\$11,869.42	51.13%	
FTNT	FORTINET INC	01/26/2016	06/22/2015	900.00000	\$24,214.32	\$39,114.00	(\$14,899.68)		(\$14,899.68)	(38.09%)	
FPIX	FPA NEW INCOME	09/21/2016	Hide Lots	12,437.81100	\$124,970.00	\$124,292.13	\$677.87		\$677.87	0.55%	
		09/21/2016	12/15/2015	9,997.00100	\$100,445.75	\$100,000.00	\$445.75		\$445.75	0.45%	
		09/21/2016	01/06/2016	2,440.81000	\$24,524.25	\$24,292.13	\$232.12		\$232.12	0.96%	
GS	GOLDMAN SACHS GROUP	02/01/2016	Hide Lots	350.00000	\$55,585.77	\$55,684.30		(\$98.53)	(\$98.53)	(0.18%)	
		02/01/2016	02/12/2013	200.00000	\$31,763.30	\$30,770.80		\$992.50	\$992.50	3.23%	
		02/01/2016	07/23/2013	150.00000	\$23,822.47	\$24,913.50		(\$1,091.03)	(\$1,091.03)	(4.38%)	
HON	HONEYWELL INTL INC	12/04/2015	04/06/2005	500.00000	\$51,948.09	\$18,831.20		\$33,116.89	\$33,116.89	175.86%	
INTC	INTEL CORP	12/04/2015	Hide Lots	1,500.00000	\$51,957.44	\$30,678.90		\$21,278.54	\$21,278.54	69.36%	

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		12/04/2015	10/05/2009	800.00000	\$27,710.63	\$15,372.00		\$12,338.63	\$12,338.63	80.27%	t
		12/04/2015	01/27/2011	700.00000	\$24,248.81	\$15,306.80		\$8,939.91	\$8,939.91	58.40%	
EFV	ISHARES MSCI EAFE VALUE ETF	01/06/2016	01/19/2012	1,000.00000	\$44,774.23	\$44,322.00		\$452.23	\$452.23	1.02%	
EW	ISHARES RUSSELL 2000 ETF	02/01/2016	Hide Lots	900.00000	\$91,926.11	\$103,731.81	(\$10,591.91)	(\$1,213.78)	(\$11,805.70)	(11.38%)	
		02/01/2016	07/23/2013	500.00000	\$51,070.06	\$52,283.85		(\$1,213.78)	(\$1,213.78)	(2.32%)	
		02/01/2016	06/22/2015	400.00000	\$40,856.05	\$51,447.96	(\$10,591.91)		(\$10,591.91)	(20.59%)	
KMB	KIMBERLY-CLARK CORP	01/26/2016	Hide Lots	500.00000	\$62,713.85	\$31,014.59		\$31,699.26	\$31,699.26	102.21%	
		01/26/2016	12/03/2007	190.00000	\$23,831.26	\$12,644.99		\$11,186.27	\$11,186.27	88.46%	t
		01/26/2016	11/03/2009	310.00000	\$38,882.59	\$18,368.60		\$20,512.99	\$20,512.99	111.67%	t
529772AF2	LEXMARK INTL IN 5.125%20 DUE 03/15/20	08/17/2016	03/05/2015	25,000.00000	\$26,537.82	\$26,326.89		\$210.83	\$210.83	0.80%	
LMT	LOCKHEED MARTIN CORP	03/01/2016	Hide Lots	400.00000	\$86,902.76	\$29,890.32		\$57,012.44	\$57,012.44	190.74%	
		03/01/2016	10/05/2009	200.00000	\$43,451.38	\$15,009.00		\$28,442.38	\$28,442.38	189.50%	t
		03/01/2016	11/22/2011	200.00000	\$43,451.38	\$14,881.32		\$28,570.06	\$28,570.06	191.99%	
MAR	MARRIOTT INTL INC CLASS A	03/11/2016	12/11/2014	500.00000	\$34,332.35	\$39,136.92		(\$4,804.57)	(\$4,804.57)	(12.28%)	
68285TAQ2	ONSLW CNTY NC 1.088XXX**MATURED** TAXBL	06/01/2016	04/11/2014	50,000.00000	\$50,000.00	\$50,000.00		\$0.00	\$0.00	0.00%	
ORCL	ORACLE CORPORATION	06/10/2016	12/04/2015	1,325.00000	\$51,204.51	\$50,960.50		\$244.01	\$244.01	0.48%	
PHB	POWERSHARES FUNDAME H YIELD CORP ETF	01/06/2016	Hide Lots	9,000.00000	\$156,805.91	\$148,320.00		\$8,485.91	\$8,485.91	5.72%	
		01/06/2016	05/05/2009	3,000.00000	\$52,268.83	\$48,840.00		\$3,428.83	\$3,428.83	7.02%	t
		01/06/2016	05/27/2009	3,000.00000	\$52,268.84	\$49,230.00		\$3,038.84	\$3,038.84	6.17%	t
		01/06/2016	06/02/2009	3,000.00000	\$52,268.64	\$50,250.00		\$2,018.64	\$2,018.64	4.02%	t
CTW	QWEST CORP 7.5%PFD DUE 09/15/15SUBJ TO XTRO REDEMPTION	09/21/2016	11/18/2011	501.00000	\$12,880.69	\$12,790.53		\$90.16	\$90.16	0.70%	
CTW	QWEST CORP 7.5%PFD DUE 09/15/15SUBJ TO XTRO REDEMPTION	09/15/2016	11/18/2011	499.00000	\$12,475.00	\$12,739.47		(\$264.47)	(\$264.47)	(2.08%)	

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Symbol	Name	Sold	Acquired	Quantity	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes
SAP	SAP SPONSORED FADR 1 ADR REPS 1 ORD SHS	12/04/2015	08/22/2015	400.00000	\$31,330.47	\$30,018.20	\$1,312.27		\$1,312.27	4.37%	
SHW	SHERWIN WILLIAMS CO	02/10/2016	08/01/2013	200.00000	\$51,063.29	\$35,228.66		\$15,823.63	\$15,823.63	44.92%	
SIEGY	SIEMENS A G FADR 1 ADR REPS 1 ORD SHS	02/23/2016	08/22/2015	300.00000	\$28,230.23	\$32,865.00	(\$4,634.77)		(\$4,634.77)	(14.10%)	
FEZ	SPDR EURO STOXX 50 ETF	01/26/2016	06/22/2015	3,000.00000	\$98,373.23	\$119,215.17	(\$22,841.94)		(\$22,841.94)	(19.16%)	
MDY	SPDR S&P MIDCAP 400 ETF IV	04/21/2016	Hide Lots	1,000.00000	\$267,807.11	\$213,778.05	(\$3,993.87)	\$58,021.93	\$54,028.06	25.27%	
		04/21/2016	09/21/2010	350.00000	\$93,732.49	\$50,439.55		\$43,292.94	\$43,292.94	85.83%	t
		04/21/2016	07/23/2013	350.00000	\$93,732.49	\$79,003.50		\$14,728.99	\$14,728.99	18.64%	
		04/21/2016	06/22/2015	300.00000	\$80,342.13	\$84,336.00	(\$3,993.87)		(\$3,993.87)	(4.74%)	
87165FFB5	SYNCHRONY BANK 1.9%20CD FDIC INS DUE 03/06/20DRAPER UT	12/15/2015	03/20/2015	30,000.00000	\$29,429.85	\$29,879.99	(\$450.14)		(\$450.14)	(1.51%)	
VNO+J	VORNADO REAL 6.875% PFD**CALLED** @PAR EFF: 09/01/2016	09/01/2016	07/05/2012	1,000.00000	\$25,000.00	\$27,700.00		(\$2,700.00)	(\$2,700.00)	(8.75%)	
WBA	WALGREENS BOOTS ALLI	01/26/2016	12/11/2014	500.00000	\$39,818.27	\$36,107.25		\$3,711.02	\$3,711.02	10.28%	
WWAV	WHITEWAVE FOODS	12/03/2015	06/22/2015	650.00000	\$24,615.20	\$32,304.94	(\$7,689.74)		(\$7,689.74)	(23.80%)	

Disclosures

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

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Footnotes apply where indicated on the data view.

Missing: Indicates cost basis has not been provided for this security.

Not Tracked: Indicates original cost basis is not available.

1. a Data for this holding has been edited or provided by the Advisor.

e Data for this holding has been edited or provided by the end client.

t Data for this holding has been edited or provided by a third party.

w Cost Basis adjusted due to a wash sale.

3. Cost Basis for fixed income securities is now adjusted to reflect amortized/accreted values. Click the acquired/opened date to view original cost in the Details page. Note. Some securities, including variable rate/term instruments and mortgage backed securities, may only reflect original cost even when the amortization/accretion preference is on.

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