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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No. 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning OCT 1, 2015, and ending SEP 30, 2016

Name of foundation  
**GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite  
**ONE EAST WASHINGTON STREET 1900**

City or town, state or province, country, and ZIP or foreign postal code  
**PHOENIX, AZ 85004-2554**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

▶ \$ **219,396,842.** (Part I, column (d) must be on cash basis.)

A Employer identification number  
**86-0710305**

B Telephone number  
**405-348-8987**

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐  
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

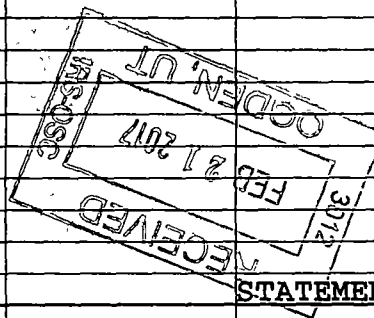
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	0.		N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	372.	372.		STATEMENT 1
4	Dividends and interest from securities	2,980,313.	2,980,313.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	<18,274,378.>			
b	Gross sales price for all assets on line 6a	101,619,372.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	71,158.	71,158.		STATEMENT 3
12	Total. Add lines 1 through 11	<15,222,535.>	3,051,843.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees STMT 4	41,668.	0.		41,668.
b	Accounting fees STMT 5	1,000.	0.		1,000.
c	Other professional fees				
17	Interest				
18	Taxes STMT 6	20,669.	20,669.		0.
19	Depreciation and depletion	7,135.	7,135.		
20	Occupancy	3,590.	3,590.		0.
21	Travel, conferences, and meetings	68,804.	0.		68,804.
22	Printing and publications				
23	Other expenses STMT 7	2,594,727.	2,380,785.		213,942.
24	Total operating and administrative expenses. Add lines 13 through 23	2,737,593.	2,412,179.		325,414.
25	Contributions, gifts, grants paid	11,604,914.			11,604,914.
26	Total expenses and disbursements. Add lines 24 and 25	14,342,507.	2,412,179.		11,930,328.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<29,565,042.>			
b	Net investment income (if negative, enter -0-)		639,664.		
c	Adjusted net income (if negative, enter -0-)			N/A	

SCANNED FEB 23 2017

Revenue

Operating and Administrative Expenses



9

5

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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	122,674,340.	123,427,139.	123,427,139.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <span style="float:right">STMT 9</span>	108,965,156.	92,881,012.	92,998,942.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other <span style="float:right">STMT 10</span>	2,730,549.	2,964,934.	2,964,934.	
14 Land, buildings, and equipment: basis ▶ <span style="float:right">108,542.</span>				
Less accumulated depreciation ▶ <span style="float:right">102,715.</span>	12,885.	5,827.	5,827.	
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	234,382,930.	219,278,912.	219,396,842.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses		15.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ <b>STATEMENT 19</b> )	3,748,709.	18,214,799.	
23 <b>Total liabilities</b> (add lines 17 through 22)	3,748,709.	18,214,814.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	230,634,221.	201,064,098.	
30 <b>Total net assets or fund balances</b>	230,634,221.	201,064,098.		
31 <b>Total liabilities and net assets/fund balances</b>	234,382,930.	219,278,912.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 230,634,221.
2 Enter amount from Part I, line 27a	2 <29,565,042.>
3 Other increases not included in line 2 (itemize) ▶	3 0.
4 Add lines 1, 2, and 3	4 201,069,179.
5 Decreases not included in line 2 (itemize) ▶ <b>NON-DEDUCTIBLE CLUB DUES</b>	5 5,081.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 201,064,098.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b <b>SEE ATTACHED STATEMENT</b>			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e <b>101,619,372.</b>		<b>119,893,750.</b>	<b>&lt;18,274,378.&gt;</b>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<b>&lt;18,274,378.&gt;</b>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<b>&lt;18,274,378.&gt;</b>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	<b>11,608,878.</b>	<b>231,670,865.</b>	<b>.050109</b>
2013	<b>11,404,795.</b>	<b>240,108,464.</b>	<b>.047499</b>
2012	<b>6,478,696.</b>	<b>234,880,539.</b>	<b>.027583</b>
2011	<b>9,362,595.</b>	<b>216,669,110.</b>	<b>.043211</b>
2010	<b>7,033,463.</b>	<b>195,417,788.</b>	<b>.035992</b>

2 Total of line 1, column (d)	2	<b>.204394</b>
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	<b>.040879</b>
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	<b>216,572,127.</b>
5 Multiply line 4 by line 3	5	<b>8,853,252.</b>
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	<b>6,397.</b>
7 Add lines 5 and 6	7	<b>8,859,649.</b>
8 Enter qualifying distributions from Part XII, line 4	8	<b>11,930,328.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
 See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	6,397.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	6,397.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	6,397.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a 138,558.	7	138,558.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	132,161.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax 132,161. Refunded			

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>AZ, CA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		<b>X</b>
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		<b>X</b>
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>GLENNFOUNDATION.ORG</b>	<b>X</b>	
14 The books are in care of ► <b>COPELAND, HILD &amp; TROTTER, PC</b> Telephone no. ► <b>(405) 348-8987</b> Located at ► <b>PO BOX 2690, EDMOND, OK</b> ZIP+4 ► <b>73083-2690</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <b>15</b> <b>N/A</b>		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>	<b>1b</b>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	<b>1c</b>	<b>X</b>
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____	<b>2b</b>	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A	<b>3b</b>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<b>X</b>
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	<b>4b</b>	<b>X</b>

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KEVIN J. LEE - 415 CENTRAL PARK WEST, APT 7D, NEW YORK, NY 10025-4812	CONSULTING SERVICES	115,680.
JOHN LYTLE 1521 SINALOA DRIVE, SANTA BARBARA, CA 93108	CONSULTING SERVICES	66,000.
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	97,077,783.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	118,452,765.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	4,339,632.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	219,870,180.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	219,870,180.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	3,298,053.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	216,572,127.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	10,828,606.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	10,828,606.
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5	<b>2a</b>	6,397.
<b>b</b>	Income tax for 2015. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	6,397.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	10,822,209.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	10,822,209.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	10,822,209.

**Part XII****Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	11,930,328.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	11,930,328.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	6,397.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	11,923,931.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				10,822,209.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			11,298,496.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 11,930,328.				
a Applied to 2014, but not more than line 2a			11,298,496.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				631,832.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				10,190,377.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- [illegible]

Form **990-PF** (2015)

GLENN FOUNDATION FOR MEDICAL  
RESEARCH, INC.**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
STATEMENT 21				11,604,914.
<b>Total</b>			► <b>3a</b>	11,604,914.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			► <b>3b</b>	0.



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |                                                                                                                                                                                                                                                                                                                                                                                              | Yes          | No       |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |              |          |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |              |          |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     | <b>1a(1)</b> | <b>X</b> |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             | <b>1a(2)</b> | <b>X</b> |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |              |          |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   | <b>1b(1)</b> | <b>X</b> |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             | <b>1b(2)</b> | <b>X</b> |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         | <b>1b(3)</b> | <b>X</b> |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               | <b>1b(4)</b> | <b>X</b> |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 | <b>1b(5)</b> | <b>X</b> |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       | <b>1b(6)</b> | <b>X</b> |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             | <b>1c</b>    | <b>X</b> |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |          |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 3/14/17

► President

May the IRS discuss this return with the preparer shown below (see instr.)?

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

RAY COPELAND

Preparer's signature

Preparer's signature  
  
AMY C. TROTTER PC

Date \_\_\_\_\_

2-10-17

Check ☐ if  
self-employed

PTIN	
------	--

P00001367

Firm's name ► COPELAND, HILD & TROTTER PC

Firm's address ► 1301 SOUTH BROADWAY, SUITE 200  
EDMOND, OK 73034

Firm's EIN ▶ 73-1297294

Phone no. **405-348-8987**

Form **990-PF** (2015)

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE STATEMENT 11 ST CMD		10/01/15	09/30/16
b	SEE STATEMENT 11 LT CMD		10/01/14	09/30/16
c	SEE STATEMENT 12 ST PARTNERSHIPS		10/01/15	09/30/16
d	SEE STATEMENT 12 LT PARTNERSHIPS		10/01/14	09/30/16
e	SEE STATEMENT 13 A ST STOCK		10/01/15	09/30/16
f	SEE STATEMENT 13 A LT STOCK		10/01/14	09/30/16
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 86,087.		6,123,224.	<6,037,137.>
b 129,130.		9,184,836.	<9,055,706.>
c		7,342.	<7,342.>
d 43,013.			43,013.
e 59,070,525.		59,344,153.	<273,628.>
f 42,290,617.		45,234,195.	<2,943,578.>
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<6,037,137.>
b			<9,055,706.>
c			<7,342.>
d			43,013.
e			<273,628.>
f			<2,943,578.>
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<18,274,378.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MONTECITO BANK	372.	372.	
TOTAL TO PART I, LINE 3	372.	372.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	2,864,089.	0.	2,864,089.	2,864,089.	
INTEREST INCOME	79,301.	0.	79,301.	79,301.	
PARTNERSHIP DIVIDENDS K1'S	29,436.	0.	29,436.	29,436.	
PARTNERSHIP INTEREST K1'S	7,487.	0.	7,487.	7,487.	
TO PART I, LINE 4	2,980,313.	0.	2,980,313.	2,980,313.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SEE STATEMENT 20	71,158.	71,158.	
TOTAL TO FORM 990-PF, PART I, LINE 11	71,158.	71,158.	



FORM 990-PF		LEGAL FEES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	41,668.	0.		41,668.	
TO FM 990-PF, PG 1, LN 16A	41,668.	0.		41,668.	

FORM 990-PF		ACCOUNTING FEES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	1,000.	0.		1,000.	
TO FORM 990-PF, PG 1, LN 16B	1,000.	0.		1,000.	

FORM 990-PF		TAXES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	19,784.	19,784.		0.	
OTHER TAXES	884.	884.		0.	
GPT TAX	1.	1.		0.	
TO FORM 990-PF, PG 1, LN 18	20,669.	20,669.		0.	

FORM 990-PF		OTHER EXPENSES		STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
STATEMENT 16	2,594,727.	2,380,785.		213,942.	
TO FORM 990-PF, PG 1, LN 23	2,594,727.	2,380,785.		213,942.	

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FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS CORP. SECURITIES STMT 17	92,881,012.	92,998,942.
TOTAL TO FORM 990-PF, PART II, LINE 10B	92,881,012.	92,998,942.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS - STATEMENT 18	COST	2,964,934.	2,964,934.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,964,934.	2,964,934.

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Glenn Foundation  
 EIN 86-0710305

Commodity Account Summary  
 10/01/15 TO 9/30/16

Broker	Account	Open G(L)	Closed Futures G(L)	Open Futures G(L)	Open Options G(L)	Net G(L) Reported	Account Balance as of 9/30/16
ADM	27600	370,362.52	254,031.76	9,589.28	-53,250.00	580,733.56	3,784,367.54
ADM	41795	-97,475.52	-764,014.23	-124,700.40	133,968.75	-852,221.40	8,362,002.19
ADM	42060	0.00	54,289.12	-429,792.00	0.00	-375,502.88	-6,216,773.32
ADM	42096	1,795,680.00	-1,042,942.81	243,176.95	-3,017,205.00	-2,021,290.86	7,848,968.84
ADM	42263	26,316.58	-515,880.93	-3,983.66	18,750.00	-474,798.01	5,605,744.48
ADM	52002	40,100.00	-1,629,010.70	0.00	-483,000.00	-2,071,910.70	7,876,306.48
ADM	81413	-1,623,449.97	-10,056,949.23	1,573,658.29	845,000.00	-9,261,740.91	16,536,395.87
ADM	94420	1,013,810.00	-902,151.13	-165,000.00	0.00	-53,341.13	26,341,559.25
McVean	71363	155,254.81	-170,742.63	-40,961.72	17,866.25	-38,583.29	3,577,464.30
McVean	Non Reg 71363	0.00	-6,630.99	0.00	0.00	-6,630.99	2,909.01
McVean	71364	348.75	-20,097.29	36.00	487.50	-19,225.04	78,306.81
McVean	71365	10,576.32	-41,516.20	-414.80	-7,199.00	-38,553.68	205,028.68
McVean	71366	6,833.60	-119,140.37	0.00	0.00	-112,306.77	334,533.79
McVean	71367	1,765.00	-92,060.87	0.00	0.00	-90,295.87	0.00
RCG	12006	17,200.00	-137,541.06	0.00	-28,368.75	-148,709.81	3,242,340.03
RCG	17001	9,233.75	-949.32	-2,212.50	-4,537.50	1,534.43	218,576.35
RCG	33544	-12,012.00	93,873.33	0.00	0.00	81,861.33	1,341,583.61
RCG	47430	4,587.50	-30,416.84	3,275.00	0.00	-22,554.34	448,313.80
RCG	51041	0.00	-211,798.03	172,520.51	0.00	-39,277.52	9,386,057.34
RJO	71066	0.00	31,587.65	-161,617.50	0.00	-130,029.85	610,055.68
Sales	0.00	1,719,131.34	-15,308,060.77	1,073,573.45	-2,577,487.75	-15,092,843.73	89,583,740.73
			Cost	0.00		-15,092,843.73	96,579,980.73

215,217.00

40.00

ST 86:086.80

11:08.00

LT 129,150.20

15,308,060.77

40.00

ST 6,123,224.51

6,123,224.51

LT 9,180,056.66

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.

EIN: 86-0710305

FORM 990PF PART IV, LINE 1C - CAPITAL GAINS (LOSSES) - PARTNERSHIPS

FYE: 09-30-2016

DESCRIPTION	GAIN (LOSS)		TOTAL
	SHORT-TERM	LONG-TERM	
ALLIANCEBERNSTEIN HLDGS	2	27	29
THE BLACKSTONE GROUP 1231	0	2,922	2,922
THE BLACKSTONE GROUP	(56)	12,380	12,324
GREEN ENERGY METALS	(6,058)	28,614	22,556
NGEN II LP	0	5	5
PORTOLAN PILOT FUND	(678)	(108)	(786)
PORTOLAN PILOT - 1256	240	361	601
UNITED STATES OIL FUND-1256	(792)	(1,188)	(1,980)
	(7,342)	43,013	35,671

35,671

\*1231 LT

\*1256 40% ST 60% LT

## GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.

EIN: 86-0710305

## STOCK GAIN (LOSS) SUMMARY PAGE

FYE 9-30-2016

Stock Account	GAIN (LOSS)			BASIS	SALES PRICE
MORGAN STANLEY 814-890187-350	(392,833.16)	ST	(392,833.16)	3,053,519.25	2,660,686.09
MORGAN STANLEY 814-890187-350	133,778.46	LT	133,778.46	1,177,063.71	1,310,842.17
MORGAN STANLEY 814-890188-350	(59,775.90)	ST	(59,775.90)	447,434.03	387,658.13
RAYMOND JAMES 590N7628	3,474.84	ST	3,474.84	45,664.08	49,138.92
RAYMOND JAMES 627XM177	94,275.07	ST	94,275.07	28,890.54	123,165.61
RAYMOND JAMES 627XM177	(598,884.00)	LT	(598,884.00)	1,408,064.45	809,180.45
RAYMOND JAMES 1553T985	(6,937.53)	ST	(6,937.53)	33,430.95	26,493.42
RAYMOND JAMES 49519053	(151,267.03)	ST	(151,267.03)	966,028.52	814,761.49
RAYMOND JAMES 49519053	(6,107.83)	LT	(6,107.83)	55,426.76	49,318.93
RAYMOND JAMES 49533723	18.58	ST	18.58	0.21	18.79
RAYMOND JAMES 49533723	2,884.64	LT	2,884.64	0.00	2,884.64
DB 874529	130,007.27	ST	130,007.27	41,618,523.34	41,748,530.61
DB 874529	(1,270,903.59)	LT	(1,270,903.59)	9,672,056.04	8,401,152.45
DB 388629	60,418.20	ST	60,418.20	167,964.87	228,383.07
DB 388629	(923,645.63)	LT	(923,645.63)	31,071,776.36	30,148,130.73
DB 5XL 876581	90,791.15	ST	90,791.15	4,210,196.53	4,300,987.68
DB 5XL 876581	(171,409.16)	LT	(171,409.16)	1,071,025.36	899,616.20
DB 5XL 065557	(162,826.83)	ST	(162,826.83)	3,600,377.16	3,437,550.33
DB 5XL 065557	(45,417.79)	LT	(45,417.79)	161,940.38	116,522.59
UBS 32494	53,132.43	ST	53,132.43	2,050,651.04	2,103,783.47
UBS 39221	65,374.64	ST	65,374.64	1,202,632.71	1,268,007.35
UBS 39221	5,928.38	LT	5,928.38	330,771.27	336,699.65
UBS 39222	19,783.31	ST	19,783.31	1,495,319.91	1,515,103.22
UBS 39222	(68,572.72)	LT	(68,572.72)	261,925.65	193,352.93
UBS 57675	(17,348.34)	ST	(17,348.34)	412,000.50	394,652.16
UBS 57675	(1,017.18)	LT	(1,017.18)	16,843.77	15,826.59
CREDIT SUISSE 214-065328	1.09	ST	1.09	0.53	1.62
CREDIT SUISSE 214-065328	(211.82)	LT	(211.82)	7,301.77	7,089.95
WELLS FARGO 2190-3767	84.18	ST	84.18	11,518.51	11,602.69
	(3,217,206.27)		(3,217,206.27)	104,578,348.20	101,361,141.93
TOTAL SHORT TERM	(273,628.03)		(273,628.03)	59,344,152.68	59,070,524.65
TOTAL LONG TERM	(2,943,578.24)		(2,943,578.24)	45,234,195.52	42,290,617.28
	(3,217,206.27)		(3,217,206.27)	104,578,348.20	101,361,141.93

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
RAYMOND JAMES ALEX BROWN #827XM177  
FYE 9-30-2016

Memo	Sales Price	Date of		#of Shares	Cost Basis	Gain (Loss)
Sep-16						
SHORT TERM						
ABBOTT LABS 9-16-16CALL @44.00 EXPIRED	7,184.88	07/20/16	09/19/16	116	0.00	7,184.88
AUTOMATIC DATA 9-16-16 CALL @90.00 EXPIRED	4,239.80	08/19/16	09/19/16	45	0.00	-4,239.80
EMERSON ELECTRIC CO 9-16-16 CALL @ 52.50	25,999.43	06/16/16	09/15/15	130	1,300.00	24,699.43
EMERSON ELECTRIC CO 9-16-16 CALL @ 55.00 EXPIRED	16,021.90	05/03/16	09/19/16	75	0.00	16,021.90
NIKE INC 10-21-16 CALL @ 57.50	17,858.09	07/15/16	09/30/16	62	249.91	17,608.18
PAYCHEX INC 9-16-16 CALL @ 57.50	6,889.84	06/02/16	09/13/16	106	18,226.95	(11,337.11)
PAYCHEX INC	5,429.88	09/21/16	04/21/16	100	5,235.80	194.28
PFIZER INC 9-16-16 CALL @ 37.00 EXPIRED	2,288.70	08/03/16	09/19/16	121	0.00	2,288.70
PROCTER & GAMBLE CO 9-16-16 CALL @ 85.00	2,215.95	08/19/16	09/16/16	8	2,412.84	(196.89)
QUALCOMM INC 9-16-16 CALL @ 62.50	5,014.81	08/02/16	09/19/16	79	1,346.78	3,668.03
STRYKER CORP 9-16-16 CALL @ 115.00 EXPIRED	7,947.24	08/23/16	09/19/16	69	0.00	7,947.24
TARGET CORP 9-16-16 CALL @ 72.50	16,323.64	08/12/16	09/19/16	44	118.46	16,205.18
TARGET CORP 9-23-16 CALL @ 71.00 EXPIRED	5,771.45	08/22/16	09/26/18	62	0.00	5,771.45
	123,165.61				28,890.54	94,275.07
LONG TERM						
KINDER MORGAN INC	809,180.45	42,634.00	VARIOUS L/T	40500	1,408,064.45	(598,884.00)
						(504,608.93)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710306  
RAYMOND JAMES ALEX BROWN #1653T885  
FYE 9-30-2016

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Sep-16 SHORT TERM						
SPDR S&P 500 9-16-16 PUT @ 217.00	26,493.42	08/26/16	09/16/16	100	33,430.95	(6,937.53)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 88-0710305  
 RAYMOND JAMES ALEX BROWN #580N7628  
 FYE 9-30-2016

Memo	Sales Price	Date of Sale	Date of Purchase	#of Shares	Cost Basis	Gain (Loss)
Sep-16						
SHORT TERM						
INTERNATIONAL BUSINESS MACHINES 9-23-16 CALL @ 155.00 EXPIRED	2,111.95	09/16/16	09/28/16	12	0.00	2,111.95
INTERNATIONAL BUSINESS MACHINES	47,026.97	09/28/16	08/01/16	300	45,864.08	1,382.89
	49,138.92				45,864.08	3,474.84



GLENN FOUNDATION  
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Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
<b>Oct-16</b>						
<b>SHORT TERM</b>						
UNITED STATES OIL FD 1-15-16 PUT @ 15.00 EXPIRED	3,118.93	11/05/15	10/19/15	25	0.00	3,118.93
<b>Nov-15</b>						
<b>SHORT TERM</b>						
MICHAEL KORS HOLDINGS 11-20-15 PUT @ 37.50 EXPIRED	3,440.93	05/27/15	11/23/15	30	0.00	3,440.93
TWITTER INC 1-15-16 PUT @ 33.00	3,052.14	04/28/15	11/18/15	18	13,471.80	(10,419.66)
UNITED STATES OIL FUND	6,499.73	11/18/15	07/17/15	500	8,313.25	(1,813.52)
	12,992.80				21,785.05	(8,792.25)
<b>Dec-16</b>						
<b>SHORT TERM</b>						
ATHENAHEALTH INC. 1-15-16 CALL @ 155.00	983.08	04/07/15	12/31/15	3	2,406.90	(1,423.82)
<b>Jan-16</b>						
<b>SHORT TERM</b>						
BAIDU INC. 1-15-16 PUT @ 180.00 EXPIRED	4,833.49	10/09/14	01/19/16	4	0.00	4,833.49
CONTINENTAL RESOURCES 1-15-16 PUT @ 37.50	789.88	6/8/2015	01/08/16	3	4,716.90	(3,927.22)
CONTINENTAL RESOURCES INC.	15,276.80	01/13/16	12/18/15	700	25,117.77	(9,841.17)
HERBALIFE 1-15-16 CALL @ 70.00 EXPIRED	2,242.95	04/07/15	01/19/16	10	0.00	2,242.95
LINKEDIN CORP 1-15-16 PUT @ 175.00 EXPIRED	7,798.15	05/01/15	01/19/16	7	0.00	7,798.15
PIONEER NATURAL RESOURCES 1-15-16 CALL @ 200.00 EXPIRED	1,853.86	05/04/15	01/19/16	3	0.00	1,853.86
QUALCOMM INC 1-15-16 PUT @ 57.50	4,490.91	05/04/15	01/13/16	30	35,409.00	(30,918.09)
QUALCOMM INC. 1-15-16 PUT @ 50.00	2,392.74	01/29/15	01/12/16	12	5,047.20	(2,654.46)
WORLD WRESTLING ENTERTAINMENT 1-15-16 PUT @ 10.00 EXPIRED	3,988.92	03/31/15	01/19/16	50	0.00	3,988.92
WORLD WRESTLING ENTERTAINMENT 1-15-16 PUT @ 13.00 EXPIRED	4,991.40	03/31/15	01/19/16	25	0.00	4,991.40
	48,858.50				70,290.87	(21,632.37)
<b>Feb-16</b>						
<b>SHORT TERM</b>						
ALIBABA GROUP HLDG LTD	93,544.58	02/16/16	01/15/16	1400	94,753.80	(1,209.22)
UNITED STATES OIL FUND	16,482.64	02/16/16	01/15/16	2000	28,589.07	(12,106.43)
WHOLE FOODS MARKET INC. 2-19-16 PUT @ 31.00 EXPIRED	1,991.96	07/30/15	02/22/16	20	0.00	1,991.96
	112,019.18				123,342.87	(11,323.69)
<b>Mar-16</b>						
<b>SHORT TERM</b>						
BAIDU INC. 3-18-16 PUT @ 130.00 EXPIRED	1,988.96	07/28/15	03/21/16	5	0.00	1,988.96
<b>Apr-16</b>						
<b>SHORT TERM</b>						
APPLE INC 4-15-16 PUT @ 105.00 EXPIRED	1,913.36	07/22/15	04/18/16	6	0.00	1,913.36
CURRENCYSHARES JAPANESE YEN	88,346.70	11/18/14	04/29/16	820	74,237.07	(5,890.37)
	70,280.06				74,237.07	(3,977.01)
<b>Aug-16</b>						
<b>SHORT TERM</b>						
ISHARES BARCLAYS 20+YR TREASURY BOND	76,848.37	VARIOUS S/T	08/05/16	655	90,441.06	(13,792.69)
ISHARES BARCLAYS 7-10YR TREASURY BOND	81,008.25	VARIOUS S/T	08/05/16	580	84,930.21	(3,921.96)
	137,856.62				155,371.27	(17,714.65)
SHORT TERM GAIN(LOSS)	(59,775.90)					

GLENN FOUNDATION  
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MORGAN STANLEY 814-880187-081

	Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
	Jul-16 LONG TERM						
GILEAD SCIENCE		32,854.29	07/20/16	04/09/14	380	26,587.46	6,366.83
	Aug-16 SHORT TERM						
COCA COLA CO		87,794.86	08/29/16	VARIOUS S/T	2017	87,801.38	(6.72)
SHORT TERM GAIN(LOSS)		(382,833.16)					✓
LONG TERM GAIN(LOSS)		133,778.46					✓
		(259,054.70)					

GLENN FOUNDATION  
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FYE 9/30/16  
MORGAN STANLEY 814-890187-081

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Oct-15						
SHORT TERM						
HERTZ GLOBAL HOLDINGS INC	79,875.90	10/05/15	10/18/14	4503	83,950.14	(14,074.24)
MACYS INC	50,184.07	10/13/15	VARIOUS L/T	1000	57,470.35	(7,306.28)
METLIFE INCORPORATED	98,891.22	10/12/15	07/22/15	2000	114,593.40	(17,902.18)
PANDORA MEDIA INC	91,768.11	10/23/15	VARIOUS S/T	7234	129,374.59	(37,606.48)
VALEANT PHARMACEUTIC INTL INC.	29,819.41	10/20/15	07/22/15	200	47,637.00	(18,017.59)
	348,118.71				443,025.48	(94,906.77)
LONG TERM						
ABBVIE INC	31,987.16	10/23/15	10/13/14	830	33,841.68	(1,854.42)
Nov-15						
SHORT TERM						
BURLINGTON STORES INC.	40,151.52	11/19/15	01/07/15	975	47,087.53	(6,936.01)
LYONDELLBASELL NV	9,445.32	11/18/15	03/18/15	100	9,324.55	120.77
LYONDELLBASELL NV	38,725.79	11/18/15	03/20/15	410	37,584.95	1,140.84
QUALCOMM INC.	31,833.86	11/18/15	10/22/15	885	40,185.29	(8,351.33)
SKECHERS USA	90,888.21	11/17/15	VARIOUS S/T	3573	88,907.15	3,781.06
SPDR TRUST SERIES 1	205,558.21	11/17/15	12/31/14	1000	206,423.70	(867.49)
SPDR TRUST SERIES 1	413,335.19	11/18/15	12/31/14	2000	412,847.40	487.79
TWITTER INC	128,318.87	11/20/15	VARIOUS S/T	4888	157,106.71	(28,787.84)
WHITEWAVE FOODS CORP	5,243.47	11/19/15	07/22/15	132	8,857.27	(1,413.80)
	983,278.54				1,004,124.55	(40,846.01)
LONG TERM						
ALKERMES PLC	46,331.98	11/17/15	02/18/14	825	33,003.75	13,328.21
ALKERMES PLC	14,603.83	11/17/15	08/11/14	197	9,270.41	5,333.42
AMAZON	119,704.76	11/18/15	04/09/14	185	80,385.97	59,338.79
AMAZON	9,705.79	11/18/15	10/10/14	15	4,692.31	5,013.48
CELIGENE CORP	82,598.08	11/18/15	04/10/14	750	84,197.40	(28,398.88)
ENERGY INC.	90,478.82	11/17/15	VARIOUS L/T	1896	54,999.83	35,479.99
TER INC.	80,851.71	11/20/15	VARIOUS L/T	2318	71,917.91	(11,066.20)
YAHOO INC.	99,888.86	11/10/15	VARIOUS L/T	3000	107,627.33	(7,738.47)
	524,182.59				396,074.71	128,087.88
Dec-15						
SHORT TERM						
LENDINGCLUB CORPORATION	40,093.14	12/29/15	07/07/15	3857	52,332.40	(12,239.26)
LENDINGCLUB CORPORATION	48,855.56	12/29/15	11/19/15	4438	57,094.43	(8,438.87)
WHITEWAVE FOODS CORP	39,120.08	12/29/15	07/22/15	1000	50,433.90	(11,313.82)
WILLIAMS CO INC.	52,369.30	12/10/15	11/17/15	1780	66,906.11	(14,536.81)
	180,238.08				226,766.84	(46,528.76)
LONG TERM						
WILLIAMS CO INC.	23,537.76	12/10/15	05/22/13	800	30,054.48	(6,516.72)
WILLIAMS CO INC.	30,449.70	12/10/15	08/20/13	1035	32,910.93	(2,461.23)
	53,987.46				62,965.41	(8,977.95)
Jan-16						
SHORT TERM						
CONTINENTAL RESOURCES INC	117,524.87	01/15/16	VARIOUS S/T	8095	180,825.88	(63,101.31)
KINDER MORGAN CORP	36,798.27	01/20/16	12/11/15	3085	51,426.33	(14,630.06)
	154,320.94				232,052.31	(77,731.37)
LONG TERM						
CDN PACIFIC	55,672.01	01/22/16	VARIOUS L/T	475	60,787.57	(5,115.56)

GLENN FOUNDATION  
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Memo	Sales Price	Date of Sale	Purchase	# of Shares	Cost Basis	Gain (Loss)
Feb-16						
<b>SHORT TERM</b>						
BANK OF AMERICA CORP	103,834.44	02/02/16	VARIOUS S/T	7785	131,959.27	(28,324.83)
CITIZENS FINANCIAL GROUP INC.	27,231.98	02/02/15	11/19/15	1325	34,848.75	(7,416.78)
LENNAR CORPORATION	84,402.36	02/02/16	VARIOUS S/T	1578	76,577.57	(12,175.21)
R P M INC	19,374.54	02/02/16	11/17/15	500	23,091.70	(3,717.16)
US SILICA HOLDINGS	54,504.93	02/02/16	10/12/15	3184	54,235.82	269.31
VANTIV INC.	78,811.83	02/02/16	VARIOUS S/T	1703	77,257.87	1,354.06
	347,760.16				397,770.78	(50,010.62)
<b>LONG TERM</b>						
ALKERMES PLC	58,866.10	02/01/16	VARIOUS LT	1825	82,519.33	(23,653.23)
BURLINGTON STORES INC.	54,404.49	02/02/16	VARIOUS LT	1000	49,294.79	5,109.70
CITIZENS FINANCIAL GROUP INC.	108,105.73	02/02/15	10/09/14	5280	118,357.36	(10,251.63)
R P M INC.	96,872.72	02/02/16	VARIOUS LT	2500	91,632.78	5,239.94
	318,049.04				341,804.26	(23,755.22)
Mar-16						
<b>SHORT TERM</b>						
EXPRESS SCRIPTS HLDG CO	112,735.45	03/09/16	VARIOUS S/T	1820	144,008.98	(31,273.53)
GILEAD SCIENCE	37,948.35	03/10/16	11/19/15	430	45,982.81	(8,014.28)
	150,683.80				189,971.59	(39,287.79)
Apr-16						
<b>SHORT TERM</b>						
CAMERON INTERNATIONAL GROUP EXCHANGE	13,289.24	04/04/16	03/21/16	921	13,617.76	(318.52)
CAMERON INTERNATIONAL GROUP EXCHANGE	47,558.52	04/04/16	03/21/16		48,897.56	(1,139.04)
CHIPOTLE MEXICAN GRILL INC.	26,484.79	04/29/16	01/15/16	83	29,398.23	(2,903.44)
MACYS INC.	59,984.94	04/12/16	02/02/16	1500	61,075.20	(1,080.26)
SCHLUMBERGER LTD CASH IN LIEU	31.93	04/04/16	04/04/16	0.438	31.44	0.49
	147,379.42				152,820.19	(5,440.77)
May-16						
<b>SHORT TERM</b>						
AMERICAN AIRLINES GROUP	24,816.89	05/16/16	11/18/15	789	32,042.15	(7,425.46)
<b>LONG TERM</b>						
AMERICAN AIRLINES GROUP	26,201.95	05/16/16	10/22/14	881	33,011.07	(4,809.12)
Jun-16						
<b>SHORT TERM</b>						
JD COM INC	71,679.31	08/16/16	VARIOUS S/T	3525	99,732.11	(28,052.80)
LINKEDIN CORP	51,747.21	08/17/16	08/03/16	271	36,389.34	15,357.87
NIKE INC.	133,068.57	08/03/16	VARIOUS S/T	2479	151,022.63	(17,953.66)
	256,495.09				287,143.98	(30,648.89)
<b>LONG TERM</b>						
DELTA AIR LINES INC	137,318.80	08/16/16	VARIOUS LT	3500	114,531.88	22,786.92
LINKEDIN CORP	128,508.87	08/17/16	VARIOUS LT	873	107,459.77	21,049.10
	265,827.67				221,991.65	43,836.02

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710306  
 RAYMOND JAMES #48518053  
 FYE 9-30-2016

Memo Nov-16	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
<b>SHORT TERM</b>						
MAINSTAY UNCONSTRAINED BOND	183,912.29	11/27/15	VARIOUS S/T	22495.63	202,397.54	(8,485.25)
SUNEDISON INC	10,685.90	11/16/15	08/18/15	2000	31,233.80	(20,548.00)
	204,598.19				233,631.44	(29,033.25)
<b>Jan-16</b>						
<b>SHORT TERM</b>						
ADEPTUS HEALTH INCORPORATED	23,410.61	01/20/16	12/01/15	480	30,126.35	(6,715.74)
AMICUS THERAPEUTICS	16,900.85	01/20/16	12/01/15	2650	30,702.64	(13,801.79)
B/E AEROSPACE INCORPORATED	25,532.42	01/29/16	12/01/15	840	29,708.14	(4,173.72)
COMMUNITY HEALTH SYSTEMS	20,343.94	01/20/16	12/01/15	1020	30,140.68	(9,796.64)
ISHARES TR CHINA LG CAP ETF	188,681.22	01/14/16	VARIOUS S/T	6000.000	231,631.50	(42,850.28)
	274,869.04				352,307.21	(77,438.17)
<b>Feb-16</b>						
<b>SHORT TERM</b>						
CHINA LIFE INS COMPANY	22,826.47	02/04/16	08/01/15	2000	34,808.36	(12,079.89)
FIRST TRUST SABRIENT BAKER'S DOZEN PORT 2015 SERIES	85,231.10	2/8/2016	1/20/2015	7750.000	75,843.27	(10,412.17)
FIRST TRUST SABRIENT BAKER'S DOZEN PORT 2015 SERIES CASH IN LIEU	1.20	02/04/16	VARIOUS S/T	0.142	1.39	(0.19)
ISHARES TR MSCI EMERGING MARKE ETF	59,223.00	02/08/16	09/01/15	2000	67,160.80	(7,937.80)
MERCER INTL INC.	21,344.00	02/04/16	08/24/14	3000	28,181.04	(6,837.04)
SEASpan CORPORATION	11.15	02/19/16	08/05/15	0.680	12.48	(1.33)
SEASpan CORPORATION	70,983.48	02/23/16	VARIOUS S/T	4278	75,904.87	(4,941.41)
SPDR GOLD TRUST	64,814.83	02/19/16	02/08/16	660	60,581.04	4,233.79
TRC COMPANIES INC	25,746.72	02/04/16	07/20/15	3000	32,789.54	(7,022.82)
WESTERN ASSET CLYM INFL SEC	3,116.42	02/19/16	01/20/16	300	3,138.48	(22.06)
	333,278.35				378,308.27	(45,030.92)
<b>Aug-16</b>						
<b>SHORT TERM</b>						
ISHARES TR MSCI EMERGING MARKET ETF CASH IN LIEU	7.39	08/29/16	12/30/15	0.200	8.61	0.78
ISHARES TR MSCI EMERGING MARKET ETF	2,008.52	08/31/16	VARIOUS S/T	54	1,773.99	234.53
	2,015.91				1,780.60	235.31
<b>LONG TERM</b>						
ISHARES TR MSCI EMERGING MARKET ETF	37,184.80	08/31/16	08/01/15	1000	33,580.40	3,614.50
TRC COMPANIES INC.	12,124.03	08/31/16	07/20/15	2000	21,846.36	(9,722.33)
	49,318.83				55,426.76	(6,107.83)
SHORT TERM GAIN(LOSS)	(151,287.03)					
LONG TERM GAIN(LOSS)	(6,107.83)					
	(157,374.88)					

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710306  
 RAYMOND JAMES #49533723  
 FYE 9-30-2016

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
<b>Dec-16</b>						
<b>SHORT TERM</b>						
TEMPLETON EMERGING MKTS FD S/T CAPITAL GAIN	18.60				0.00	18.60
<b>LONG TERM</b>						
TEMPLETON EMERGING MKTS FD S/T CAPITAL GAIN	2,440.44				0.00	2,440.44
<b>Jan-16</b>						
<b>LONG TERM</b>						
COHEN & STEERS PREFERRED INCOME FD L/T CAPITAL GAIN	375.28				0.00	375.28
<b>Feb-16</b>						
<b>LONG TERM</b>						
GENERAL AMERN INVS INC. L/T CAPITAL GAIN	68.92				0.00	68.92
<b>Jun-16</b>						
<b>SHORT TERM</b>						
NUVEEN PREFERRED	0.19	06/08/16	01/24/13	0.0214	0.2100	(0.02)
<b>SHORT TERM GAIN(LOSS)</b>	18.58					
<b>LONG TERM GAIN(LOSS)</b>	<u>2,884.64</u>					
	2,903.22					

**GLENN FOUNDATION FOR MEDICAL RESEARCH, INC****EIN: 86-0710305****DEUTSCHE BANK #874529****FYE 9-30-2016**

<b>MONTH</b>	<b>Sales Price</b>	<b>Cost Basis</b>	<b>Gain (Loss)</b>
<b>SHORT TERM</b>			
OCTOBER	3,834,580.29	4,552,798.40	(718,218.11)
NOVEMBER	5,532,167.25	5,473,749.49	58,417.76
DECEMBER	1,552,876.76	1,338,715.03	214,161.73
JANUARY	2,126,301.24	1,790,081.56	336,219.68
FEBRUARY	5,751,309.58	5,969,435.77	(218,126.19)
MARCH	8,857,933.15	8,833,622.81	24,310.34
APRIL	1,597,510.68	1,655,751.89	(58,241.21)
MAY	1,285,045.53	915,881.31	369,164.22
JUNE	2,745,919.59	2,908,426.30	(162,506.71)
JULY	3,029,345.39	3,104,522.88	(75,177.49)
AUGUST	5,435,541.15	5,075,537.90	360,003.25
SHORT TERM GAIN(LOSS)	41,748,530.61	41,618,523.34	130,007.27
<b>LONG TERM</b>			
OCTOBER	2,441,560.60	2,905,657.69	(464,097.09)
NOVEMBER	1,494,894.48	1,378,299.55	116,594.93
JANUARY	360,231.99	467,090.21	(106,858.22)
FEBRUARY	706,871.63	651,808.44	55,063.19
MARCH	2,306,446.83	3,254,193.31	(947,746.48)
JUNE	424,833.42	414,025.06	10,808.36
AUGUST	639,581.05	577,956.22	61,624.83
SEPTEMBER	26,732.45	23,025.56	3,706.89
LONG TERM GAIN(LOSS)	5,959,591.85	6,766,398.35	(1,270,903.59)
SHORT TERM GAIN(LOSS)	130,007.27		
LONG TERM GAIN(LOSS)	(1,270,903.59)		
	(1,140,896.32)		

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710306  
DEUTSCHE BANK 388629  
FYE 9-30-2016

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
<b>Dec-16</b>						
<b>SHORT TERM</b>						
BLACKROCK STRATEGIC INCOME OPPT FUND <i>S/T CAPITAL GAIN</i>	63,988.36				0.00	63,988.36
<b>LONG TERM</b>						
THE OSTERWEIS STRATEGIC INVESTMENT FD <i>L/T CAPITAL GAIN</i>	41,141.59				0.00	-41,141.59
<b>May-16</b>						
<b>SHORT TERM</b>						
BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND	184,414.71	05/04/16	VARIOUS S/T	16949.971	167,964.87	(3,550.16)
<b>LONG TERM</b>						
BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND	6,845,005.14	05/04/16	VARIOUS L/T	885052.075	7,080,011.27	(435,006.13)
DOUBLELINE TOTAL RETURN BOND FUND	1,857,000.00	05/04/16	03/13/12	152287.784	1,705,735.29	(48,735.29)
PIONEER FLOATING RATE FUND	3,658,991.00	05/04/16	05/13/14	548118.080	3,780,059.33	(131,068.33)
PIONEER SHORT-TERM INCOME FUND	8,731,333.00	05/04/16	VARIOUS L/T	916184.438	8,859,848.84	(128,315.84)
PIONEER MULTI-ASSET INCOME FUND	5,803,537.00	05/04/16	VARIOUS L/T	583270.05	5,873,529.40	(69,992.40)
VIRTUS SENIOR FLOATING RATE FUND	3,811,123.00	5/4/2016	VARIOUS L/T	3387101.784	3,782,782.43	(151,889.43)
	30,106,989.14				31,071,776.36	(864,787.22)
<b>SHORT TERM GAIN(LOSS)</b>	60,418.20					
<b>LONG TERM GAIN(LOSS)</b>	<u>(823,845.63)</u>					
	(863,227.43)					



**GLENN FOUNDATION FOR MEDICAL RESEARCH, INC**

**EIN: 86-0710305**

**DEUTSCHE BANK 5XL-876581**

**FYE 9-30-2016**

<b>MONTH</b>	<b>Sales Price</b>	<b>Cost Basis</b>	<b>Gain (Loss)</b>
<b>SHORT TERM</b>			
OCTOBER	659,041.81	632,902.56	26,139.25
NOVEMBER	248,285.46	226,176.10	22,109.36
DECEMBER	488,595.56	478,689.15	9,906.41
JANUARY	19,878.80	0.00	19,878.80
FEBRUARY	22,678.75	9,377.80	13,300.95
MARCH	695,442.35	702,149.94	(6,707.59)
APRIL	444,140.85	498,773.79	(54,632.94)
MAY	651,498.96	568,185.74	83,313.22
JUNE	332,519.71	318,563.22	13,956.49
JULY	200,401.21	271,011.00	(70,609.79)
AUGUST	538,504.22	504,367.23	34,136.99
SHORT TERM GAIN(LOSS)	4,300,987.68	4,210,196.53	90,791.15
<b>LONG TERM</b>			
OCTOBER	168,496.89	306,789.70	(138,292.81)
FEBRUARY	291,173.66	284,176.80	6,996.86
AUGUST	439,945.65	480,058.86	(40,113.21)
LONG TERM GAIN(LOSS)	899,616.20	1,071,025.36	(171,409.16)
SHORT TERM GAIN(LOSS)	90,791.15		
LONG TERM GAIN (LOSS)	(171,409.16)		
	<u>(80,618.01)</u>		

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-065557  
FYE 9-30-2016

MONTH	Sales Price	Cost Basis	Gain (Loss)
<b>SHORT TERM</b>			
OCTOBER	31,027.42	56,352.00	(25,324.58)
NOVEMBER	475,364.76	462,385.03	12,979.73
DECEMBER	18,833.65	20,006.00	(1,172.35)
JANUARY	1,391,634.91	1,505,797.62	(114,162.71)
FEBRUARY	1,051,247.68	774,604.96	276,642.72
MARCH	22,156.49	25,812.00	(3,655.51)
APRIL	8,918.80	10,781.00	(1,862.20)
MAY	410,599.26	739,773.55	(329,174.29)
JUNE	3,543.92	0.00	3,543.92
AUGUST	24,223.44	4,865.00	19,358.44
SHORT TERM GAIN(LOSS)	3,437,550.33	3,600,377.16	(162,826.83)
<b>LONG TERM</b>			
JANUARY	116,522.59	161,940.38	(45,417.79)
LONG TERM GAIN(LOSS)	116,522.59	161,940.38	(45,417.79)
SHORT TERM GAIN(LOSS)	(162,826.83)		
LONG TERM GAIN (LOSS)	(45,417.79)		
	<u>(208,244.62)</u>		

GLENN FOUNDATION  
FEI # 86-0710306  
UBS FINANCIAL SERVICES JP 32484 WH  
SCHEDULE D ATTACHMENT  
Y/E 09/30/16

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
<b>Aug-16</b>						
<b>SHORT TERM</b>						
ISHARES MSCI GERMAN 8-19-16 CALL @ 25.00	1,297.35	07/15/16	08/19/16	25	4,205.25	(2,907.90)
ISHARES MSCI GERMAN 8-19-16 CALL @ 25.00	1,297.34	7/15/2019	08/19/16	25	-4,150.00	(2,852.66)
	2,594.69				8,355.25	(5,760.56)
<b>Sep-16</b>						
<b>SHORT TERM</b>						
ISHARES MSCI GERMAN 8-19-16 CALL @ 27.00 EXPIRED	1,184.72	8/19/2016	09/19/16	50	0.00	1,184.72
<b>SHORT TERM GAIN(LOSS)</b>	53,132.43					

GLENN FOUNDATION  
FEI # 86-0710305  
UBS FINANCIAL SERVICES JP 32484 WH  
SCHEDULE D ATTACHMENT  
Y/E 09/30/16

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Base	Gain (Loss)
<b>OCTOBER</b>						
<b>SHORT TERM</b>						
CURRENCYSHARES EURO TRUST	219,333.48	VARIOUS S/T	10/29/15	2000	216,873.25	2,880.21
ISHARES MSCI JAPAN ETF	99,890.91	10/29/15	VARIOUS S/T	8000	97,242.50	2,448.41
SPDR S&P 500 EF TR 11-20-15 PUT @ 194.00	11,789.53	10/08/15	10/27/15	30	1,720.24	10,049.29
SPDR S&P 500 ETF TR 10-16-15 PUT @ 196.00	4,147.35	09/18/15	10/08/15	9	1,938.25	2,211.10
SPDR S&P 500 ETF TR 10-16-15 PUT @ 196.00	9,677.14	09/18/15	10/08/15	21	4,414.00	5,263.14
	344,818.39				321,988.24	22,832.15
<b>Dec-16</b>						
<b>SHORT TERM</b>						
CURRENCYSHARES JAPANESE YEN TRUST	119,728.25	VARIOUS S/T	12/08/15	1500	118,287.25	1,441.00
SPDR S&P 500 ETF TR	105,228.31	10/08/15	12/14/15	500	102,481.75	2,786.56
SPDR S&P 500 ETF TR	98,556.14	10/08/15	12/23/15	500	98,556.14	0.00
SPDR S&P 500 ETF TR	98,556.15	10/08/15	12/23/15	500	98,556.15	0.00
SPDR S&P 500 ETF TR 12-19-15 PUT @ 195.00	5,239.84	10/27/15	12/04/15	30	1,310.25	3,929.59
	427,308.49				419,171.54	8,136.95
<b>Jan-16</b>						
<b>SHORT TERM</b>						
CURRENCYSHARES EURO TRUST	106,663.79	12/08/15	01/11/16	1000	105,474.25	1,189.54
SPDR S&P 500 ETF TR	98,556.14	10/08/15	01/04/16	500	98,556.14	0.00
SPDR S&P 500 ETF TR	98,556.14	10/08/15	01/08/16	500	98,556.14	0.00
SPDR S&P 500 ETF TR	98,556.15	10/08/15	01/07/16	500	98,037.37	518.78
SPDR S&P 500 ETF TR	98,556.14	10/08/15	01/13/16	500	97,108.76	1,447.38
SPDR S&P 500 ETF TR	102,842.85	12/29/15	01/15/16	500	112,988.15	(10,123.30)
SPDR S&P 500 ETF TR 1-16-16 PUT @ 195.00	4,289.87	12/04/15	03/19/16	30	22,690.24	(18,420.57)
	808,000.88				833,389.05	(25,388.17)
<b>Feb-16</b>						
<b>SHORT TERM</b>						
CURRENCYSHARES EURO TRUST	101,687.33	01/21/16	02/03/16	950	100,808.10	859.23
CURRENCYSHARES JAPANESE YEN TRUST	98,482.14	01/12/16	02/03/16	1200	95,908.85	2,555.29
SPDR S&P 500 ETF TR 2-19-16 PUT @ 193.00	24,409.29	01/19/16	02/19/16	30	3,225.26	21,184.03
	224,538.76				199,940.21	24,598.55
<b>Mar-16</b>						
<b>SHORT TERM</b>						
CURRENCYSHARES AUSTRALIAN DOLLAR TRUST	49,088.49	03/16/16	07/23/15	650	48,176.00	892.49
CURRENCYSHARES EURO TRUST	216,927.50	VARIOUS S/T	03/07/16	2000	212,081.25	4,846.25
SPDR S&P 500 ETF TR 3-18-16 PUT @ 193.00 EXPIRED	14,414.44	02/19/16	03/21/16	30	0.00	14,414.44
	280,410.43				260,257.25	20,153.18
<b>Apr-16</b>						
<b>SHORT TERM</b>						
CURRENCYSHARES CANADIAN DOLLAR TRUST	100,609.11	04/15/16	VARIOUS S/T	1300	99831.25	977.86
<b>Jun-16</b>						
<b>SHORT TERM</b>						
CURRENCYSHARES EURO TRUST	108,973.37	03/16/16	06/24/16	1000	107,920.25	1,053.12
<b>Jul-16</b>						
<b>SHORT TERM</b>						
SPDR S&P 500 ETF TR 7-8-16 PUT @ 197.00 EXPIRED	5,534.83	06/17/16	07/11/16	30	0.00	5,534.83

GLENN FOUNDATION  
FEI # 88-0710305  
UBS FINANCIAL SERVICES JP 38221 V3  
SCHEDULE D ATTACHMENT  
Y/E 08/30/18

Memo	Sales Price	Date of Sale	Purchase	# of Shares	Cost Basis	Gain (Loss)
<b>May-16</b>						
<b>SHORT TERM</b>						
PFIZER INC	1,261.82	05/13/16	08/28/15	39	1,348.15	(86.53)
PFIZER INC	2,393.85	05/13/16	12/28/15	74	2,403.77	(9.92)
PFIZER INC	5,305.28	05/13/16	03/29/16	164	4,970.84	334.44
	8,960.75				8,722.76	237.99
<b>LONG TERM</b>						
PFIZER INC	62,207.70	05/13/16	VARIOUS LT	1823	66,881.36	(4,473.65)
<b>Jun-16</b>						
<b>SHORT TERM</b>						
CATERPILLAR INC. 6-17-16 CALL @ 77.50 EXPIRED	1,828.38	03/24/16	06/20/16	9	0.00	1,828.38
CHEVRON CORP	70,821.31	08/22/16	VARIOUS 8/T	700	70,556.83	384.38
CISCO SYSTEMS INC. 6-17-16 CALL @ 28.00 EXPIRED	1,252.10	03/24/16	08/20/16	24	0.00	1,252.10
EXXON MOBIL CORP	70,814.89	08/22/16	VARIOUS 8/T	800	70,031.39	883.60
INTEL 6-17-16 CALL @ 34.00 EXPIRED	884.57	03/24/16	08/20/16	21	0.00	884.57
INTERNATIONAL BUSINESS MACHINES	153.88	08/24/16	12/28/15	1	136.37	17.61
INTERNATIONAL BUSINESS MACHINES 6-17-16 CALL @ 155.00 EXPIRED	748.88	03/24/16	08/20/16	4	0.00	748.88
MERCK & CO 6-17-16 CALL @ 57.50 EXPIRED	370.28	03/24/16	08/20/16	12	0.00	370.28
MERCK & CO INC	565.21	08/24/16	12/28/15	10	514.14	51.07
PROCTER & GAMBLE 6-17-16 CALL @ 87.50 EXPIRED	301.76	03/24/16	08/20/16	8	0.00	301.76
VERIZON COMMUNICATIONS 6-17-16 CALL @ 53.00 EXPIRED	505.09	03/24/16	08/20/16	12	0.00	505.09
	146,528.84				141,238.83	7,287.81
<b>LONG TERM</b>						
ISHARES TRUST RUSSELL 200 INDEX FUND	480.25	08/24/16	08/01/12	4	314.79	145.46
ISHARES TRUST RUSSELL 200 INDEX FUND	115.06	08/24/16	07/18/12	1	76.72	35.34
	575.31				394.51	180.80
<b>Aug-16</b>						
<b>SHORT TERM</b>						
EXXON MOBIL CORP 6-16-16 CALL @ 95.00 EXPIRED	542.39	08/22/16	08/22/16	7	0.00	542.39
IBM 6-16-16 CALL @ 185.00 EXPIRED	389.82	07/22/16	08/22/16	4	0.00	389.82
ISHARES TRUST RUSSELL 200 INDEX FUND	3,262.23	08/04/16	03/29/16	27	2,908.10	374.13
ISHARES TRUST RUSSELL 200 INDEX FUND	2,917.88	08/04/16	12/28/15	24	2,688.72	228.86
ISHARES TRUST RUSSELL 200 INDEX FUND	672.66	08/04/16	08/25/15	8	616.36	56.20
MERCK & CO INC	38,048.88	08/24/16	12/28/15	673	34,601.59	3,447.09
MERCK & CO INC	34,317.30	08/24/16	03/28/16	827	28,075.35	6,241.95
	80,480.36				69,190.12	11,280.24
<b>LONG TERM</b>						
ISHARES TRUST RUSSELL 200 INDEX FUND	35,376.10	08/04/16	VARIOUS LT	291	28,665.32	6,720.78
<b>Sep-16</b>						
<b>SHORT TERM</b>						
CATERPILLAR INC	7,805.95	08/21/16	12/28/15	83	6,264.91	1,541.04
CATERPILLAR INC	68,000.02	08/21/16	03/29/16	807	65,857.19	142.83
CHEVRON CORP 9-16-16 CALL @ 110.00 EXPIRED	502.76	08/22/16	09/19/16	7	0.00	502.76
CISCO SYSTEMS	77,779.64	08/23/16	VARIOUS 8/T	2501	69,905.28	7,874.28
CISCO SYSTEMS INC. 9-16-16 CALL @ 31.00 EXPIRED	830.64	08/22/16	09/19/16	25	0.00	830.64
INTEL CORP	75,880.47	08/21/16	03/28/16	2146	68,905.47	6,975.00
INTEL CORP	1,801.84	08/21/16	08/24/16	54	1,741.50	160.34
MERCK & CO INC	4,960.86	08/23/16	03/29/16	80	4,281.91	698.95
PFIZER 9-16-16 CALL @ 38.00 EXPIRED	932.16	08/22/16	08/19/16	20	0.00	932.16
PROCTER & GAMBLE CO	3,265.77	08/21/16	12/28/15	37	3,080.32	(324.55)
PROCTER & GAMBLE CO	67,139.30	08/21/16	03/29/16	763	66,415.80	723.40
VERIZON COMMUNICATIONS 9-16-16 CALL @ 57.50 EXPIRED	358.81	08/22/16	09/19/16	13	0.00	358.81
	306,646.02				286,632.46	19,715.54
SHORT TERM GAIN(LOSS)	65,374.64					
LONG TERM GAIN (LOSS)	6,928.38					
	71,303.02					

GLENN FOUNDATION  
FEI # 88-0710305  
UBS FINANCIAL SERVICES JP 39221 V3  
SCHEDULE D ATTACHMENT  
Y/E 09/30/16

Memo	Sales	Date of		# of	Cost	Gain
Nov-15	Price	Sale	Purchase	Shares	Basis	(Loss)
<b>SHORT TERM</b>						
DU PONT DE NEMOURS	68,738.08	11/13/15	08/25/15	1300	62,514.24	8,223.84
UNITED TECHNOLOGIES CORP	67,023.77	11/13/15	08/25/15	700	62,731.48	4,282.31
	135,761.85				125,245.70	10,516.15
<b>Dec-15</b>						
<b>SHORT TERM</b>						
CATERPILLAR INC. 12-18-15 CALL @ 75.00 EXPIRED	1,410.34	08/23/15	12/21/15	8	0.00	1,410.34
CHEVRON CORP	20,515.57	12/23/15	VARIOUS 8/T	249	19,958.30	558.27
DU PONT DE NEMOURS	444.80	12/28/15	08/25/15	7	338.82	108.18
EXXON MOBIL CORP 12-18-15 CALL @ 77.50 EXPIRED	907.58	08/23/15	12/21/15	8	0.00	907.58
EXXON MOBILE CORP	232.85	12/28/15	12/28/14	3	282.37	(49.82)
GENERAL ELECTRIC	68,073.78	12/21/15	VARIOUS 8/T	2500	68,772.34	(2,698.66)
GENERAL ELECTRIC	575.56	12/28/15	08/25/15	19	472.53	103.03
MCDONALDS CORP	2,116.17	12/28/15	08/28/15	18	1,758.84	357.83
MCDONALDS CORP	117.56	12/28/15	12/29/14	1	94.54	23.02
PFIZER 12-18-15 CALL @ 34.00 EXPIRED	975.46	08/23/15	12/21/15	19	0.00	975.46
PROCTER & GAMBLE CO	55,283.82	12/23/15	12/28/14	732	55,283.82	0.00
PROCTER & GAMBLE CO	4,788.02	12/23/15	03/27/15	83	5,251.35	(463.33)
VERIZON COMMUNICATIONS 12-18-15 CALL @ 47.00 EXPIRED	475.99	08/23/15	12/21/15	14	0.00	475.99
	153,886.98				152,208.21	1,678.77
<b>LONG TERM</b>						
CHEVRON CORP	45,397.82	12/23/15	VARIOUS LT	551	45,397.89	0.03
MCDONALDS CORP	83,852.12	12/01/15	VARIOUS LT	600	80,860.18	2,891.94
MCDONALDS CORP	2,839.11	12/28/15	08/25/14	25	2,350.24	588.87
PROCTER & GAMBLE CO	377.87	12/23/15	08/25/14	5	377.76	(0.09)
	112,268.82				108,788.07	3,480.76
<b>Feb-16</b>						
<b>SHORT TERM</b>						
CATERPILLAR INC. 3-18-16 CALL @ 70.00	1,828.23	12/23/15	02/18/16	9	459.00	1,467.23
CHEVRON CORP 3-18-16 CALL @ 95.00	1,904.02	12/23/15	02/18/16	7	427.00	1,477.02
INTERNATIONAL BUSINESS MACHINES 3-18-16 CALL @ 145.00	788.49	12/23/15	02/18/16	4	8.00	790.49
MERCK & CO 3-18-16 CALL @ 55.00	820.92	12/23/15	02/18/16	12	72.00	748.92
PFIZER 3-18-16 CALL @ 34.00	989.88	12/23/15	02/18/16	20	80.00	909.88
UNITED TECHNOLOGIES 2-18-16 CALL @ 100.00	351.16	12/23/15	02/18/16	7	7.00	344.16
	6,770.80				1,033.00	5,737.80
<b>Mar-16</b>						
<b>SHORT TERM</b>						
CATERPILLAR INC.	50,282.71	03/23/16	08/28/15	733	50,282.70	0.01
CATERPILLAR INC	6,781.25	03/23/16	08/25/15	99	6,911.43	(120.18)
CATERPILLAR INC	2,949.74	03/23/16	03/27/15	43	2,949.74	0.00
CATERPILLAR INC.	1,714.86	03/23/16	12/28/15	25	1,684.12	30.84
CHEVRON CORP	822.58	03/23/16	08/25/15	9	687.34	135.24
CHEVRON CORP	83,168.03	03/23/16	12/28/15	891	83,037.85	118.18
EXXON MOBIL CORP	5,824.80	03/23/16	03/27/15	87	5,684.73	(39.93)
EXXON MOBIL CORP	419.76	03/23/16	08/28/15	5	425.90	(8.14)
INTERNATIONAL BUSINESS MACHINES	56,298.77	03/23/16	12/28/15	400	54,548.48	1,750.29
MERCK & CO 3-18-16 CALL @ 52.50 EXPIRED	143.99	02/22/16	03/21/16	8	0.00	143.99
MERCK & CO INC	31,843.31	03/16/16	12/28/15	800	30,848.37	794.94
PFIZER 3-18-16 CALL @ 31.00 EXPIRED	299.99	02/22/16	03/21/16	20	0.00	299.99
PROCTER & GAMBLE CO	169.80	03/23/16	03/27/15	2	168.80	0.00
PROCTER & GAMBLE CO	4,588.90	03/23/16	08/26/15	65	4,411.44	175.48
PROCTER & GAMBLE CO	2,688.74	03/23/16	08/25/15	32	2,258.84	412.10
PROCTER & GAMBLE CO	59,288.11	03/23/16	12/28/15	711	59,298.11	0.00
UNITED TECHNOLOGIES	95.91	03/23/16	08/25/15	1	89.82	8.29
UNITED TECHNOLOGIES	67,039.63	03/23/16	12/28/15	899	65,799.88	1,239.74
UNITED TECHNOLOGIES	296.97	03/29/16	12/28/15	3	282.40	14.57
VERIZON COMMUNICATIONS INC	2,863.89	03/23/16	08/28/15	83	2,542.91	20.78
WALMART STORES INC	89,805.80	03/11/16	12/28/15	1100	85,685.18	3,820.72
WALMART STORES INC.	203.41	03/29/16	12/28/15	3	179.86	23.46
	428,971.95				418,061.81	8,910.34
<b>LONG TERM</b>						
EXXON MOBIL CORP	81,117.21	03/23/16	12/28/14	728	81,117.21	0.00
VERIZON COMMUNICATIONS INC.	85,188.51	03/23/16	VARIOUS LT	1347	85,139.81	19.70
	126,273.72				126,254.02	19.70

GLENN FOUNDATION  
FEI # 86-0710305  
UBS FINANCIAL SERVICES JP 39222 V3  
SCHEDULE D ATTACHMENT  
Y/E 09/30/16

Memo	Sales Price	Date of Sale	Date of Purchase	# of Shares	Cost Basis	Gain (Loss)
<b>Jun-16</b>						
<b>SHORT TERM</b>						
AGRIUM INC	584.36	08/22/16	03/09/16	6	520.10	44.28
BCE INC.	43,282.19	08/01/16	12/09/15	923	39,403.08	3,889.13
CDN IMPERIAL BANK	46,972.75	08/14/16	VARIOUS S/T	588	41,608.53	4,364.22
GLAXO SMITHKLINE PLC	828.08	08/22/16	VARIOUS S/T	23	807.85	21.11
ROYAL BANK OF CANADA	2,835.02	08/22/16	12/09/15	47	2,688.61	147.31
SHAW COMMUNICATIONS INC	1,107.78	08/22/16	12/09/15	58	1,201.54	(83.76)
TORONTO DOMINION BANK	43,528.29	08/01/16	03/09/16	983	39,326.29	4,200.00
TOTAL S A FRANCE	39,139.88	08/22/16	03/09/16	840	39,352.58	(212.62)
	177,368.31				165,008.88	12,359.65
<b>LONG TERM</b>						
BANK OF MONTREAL	1,911.91	08/22/16	08/10/15	30	1,823.05	88.86
BANK OF NOVA SCOTIA CANADA	3,122.48	08/22/16	08/10/15	62	3,306.21	(183.73)
GLAXO SMITHKLINE PLC	39,828.61	08/22/16	VARIOUS LT	988	43,473.46	(3,644.85)
	44,863.00				48,602.72	(3,739.72)
<b>Jul-16</b>						
<b>SHORT TERM</b>						
AGRIUM INC	42,383.78	07/19/16	03/09/16	448	38,834.07	3,549.71
BANK OF MONTREAL CANADA	1,308.21	07/15/16	VARIOUS S/T	25	1,357.71	(49.50)
BCE INC.	44,785.94	07/19/16	08/22/16	919	42,161.24	2,624.70
BRITISH AMER TOBACCO PLC	70,465.90	07/13/16	VARIOUS S/T	566	65,204.62	5,261.28
DIAGEO PLC	48,208.87	07/13/16	08/22/16	409	42,128.43	4,080.24
ENBRIDGE INC.	42,804.16	07/20/16	08/22/16	1027	42,161.89	642.27
NATIONAL GRID PLC	44,247.58	07/13/16	08/22/16	808	42,205.71	2,041.87
ROGERS COMMUNICATIONS INC.	46,187.71	07/13/16	VARIOUS S/T	1095	41,339.19	3,848.52
ROYAL BANK OF CANADA	42,535.96	07/18/16	VARIOUS S/T	888	39,737.89	2,798.07
TRANSCANADA CORP	46,183.14	07/14/16	08/27/16	975	42,137.38	3,045.78
	426,111.05				397,268.11	27,852.94
<b>LONG TERM</b>						
BANK OF MONTREAL CANADA	41,212.99	07/18/16	08/10/15	638	38,648.72	2,564.27
<b>Aug-16</b>						
<b>SHORT TERM</b>						
BANK OF NOVA SCOTIA CANADA	2,108.73	08/15/16	08/10/15	41	1,814.25	294.48
BANK OF NOVA SCOTIA CANADA	1,131.46	08/16/16	12/08/15	22	872.40	159.08
CDN IMPERIAL BANK	41,182.27	08/18/16	08/22/16	531	42,151.90	(969.63)
MANULIFE FINANCIAL CORP	41,408.81	08/31/16	08/22/16	2885	42,110.32	(701.51)
SHAW COMMUNICATIONS INC	44,983.86	08/03/16	VARIOUS S/T	2208	44,622.88	340.98
TORONTO DOMINION BANK	42,348.71	08/03/16	08/22/16	989	42,099.56	247.15
	173,121.84				173,771.31	(649.47)
<b>LONG TERM</b>						
BANK OF NOVA SCOTIA CANADA	39,756.61	08/16/16	08/10/15	773	41,221.02	(1,464.41)
<b>SHORT TERM GAIN(LOSS)</b>						
	19,783.31					
<b>LONG TERM GAIN (LOSS)</b>						
	(68,572.72)					
	(48,789.41)					

**GLENN FOUNDATION**  
**FEI # 88-0710305**  
**UBS FINANCIAL SERVICES JP 39222 V3**  
**SCHEDULE D ATTACHMENT**  
**Y/E 08/30/16**

<b>Memo Oct-16</b>	<b>Sales Price</b>	<b>Date of Sale</b>	<b>Purchase</b>	<b>#of Shares</b>	<b>Cost Basis</b>	<b>Gain (Loss)</b>
<b>SHORT TERM</b>						
BCE INC.	43,247.02	10/29/15	08/10/15	986	42,528.25	718.77
BRITISH AMER TOBACCO PLC	70,101.11	10/15/15	08/23/15	608	67,202.18	2,898.93
CDN IMPERIAL BANK	42,244.38	10/21/15	08/10/15	551	42,010.12	234.27
CHINA PETROLEUM & CHEMICAL CORP	45,055.12	10/13/15	08/23/15	639	42,158.03	2,897.09
PETROCHINA CO LTD	75,304.79	10/08/15	VARIOUS S/T	1030	74,223.88	1,081.11
SHAW COMMUNICATIONS INC.	40,106.73	10/14/15	08/10/15	1996	39,280.90	825.83
TRANSCANADA CORP	40,743.75	10/08/15	08/10/15	1181	39,159.83	1,583.92
	356,802.91				346,562.99	10,239.92
<b>Dec-16</b>						
<b>SHORT TERM</b>						
BANK OF MONTREAL	4,496.46	12/09/15	08/10/15	76	4,818.40	(121.94)
ALLIANCEBERNSTEIN HOLDING LP 2015 K1	0.00				45.00	(45.00)
CNOOC LTD	113.85	12/09/15	08/10/15	1	152.04	(38.19)
ROYAL DUTCH SHELL PLC	33,880.04	12/09/15	05/12/15	700	43,420.86	(9,540.82)
ROYAL DUTCH SHELL PLC	2,758.80	12/09/15	08/10/15	57	3,333.92	(575.12)
ROYAL DUTCH SHELL PLC	145.20	12/09/15	08/10/15	3	148.31	(3.11)
ROYAL DUTCH SHELL PLC	532.40	12/09/15	02/08/15	11	1,375.82	(843.22)
TECK RESOURCES LTD	5,180.88	12/09/15	08/10/15	1305	15,470.91	(10,280.05)
TECK RESOURCES LTD	9,245.90	12/09/15	08/10/15	2329	15,020.53	(5,774.63)
TECK RESOURCES LTD	3,072.72	12/09/15	12/24/14	774	10,215.21	(7,142.49)
VODAFONE GROUP	37,718.49	12/09/15	VARIOUS S/T	1154	42,824.19	(5,105.70)
WESTPAC BANKING LTD	4,529.16	12/09/15	01/30/15	191	5,235.31	(706.15)
	101,673.88				141,880.30	(40,186.42)
<b>LONG TERM</b>						
HSBC HOLDINGS PLC	1,027.37	12/09/15	09/11/13	26	1,439.52	(412.15)
TECK RESOURCES LTD	8,852.05	12/09/15	08/25/14	1728	33,346.32	(28,494.27)
	7,879.42				34,785.84	(26,906.42)
<b>Mar-16</b>						
<b>SHORT TERM</b>						
BANK OF NOVA SCOTIA CANADA	394.28	03/09/16	08/10/15	9	479.93	(85.65)
BCE INC	853.93	03/09/16	12/09/15	13	854.97	(1.04)
BRITISH AMER TOBACCO PLC	685.37	03/09/16	12/09/15	6	887.00	(1.63)
CNOOC LTD	1,633.82	03/09/16	08/10/15	13	2,128.56	(494.74)
ENBRIDGE INC	3,129.83	03/09/16	12/09/15	86	2,952.40	177.43
HSBC HOLDINGS PLC	2,475.68	03/09/16	03/25/15	77	3,341.44	(865.76)
JAMES HARDIE INDUSTRIES	44,171.28	03/09/16	12/09/15	3298	38,994.30	4,176.98
POTASH CORP SASK INC	38,241.22	03/09/16	VARIOUS S/T	2107	54,824.34	(16,583.12)
RIO TINTO PLC	792.47	03/09/16	08/10/15	27	1,183.55	(391.08)
RIO TINTO PLC	3,727.56	03/09/16	08/10/15	127	4,331.72	(604.16)
RIO TINTO PLC	3,580.81	03/09/16	12/09/15	122	3,829.69	(248.78)
ROGERS COMMUNICATIONS INC	148.75	03/09/16	12/09/15	4	150.89	(2.14)
TRANSCANADA CORP	8,218.80	03/09/16	12/09/15	223	6,922.36	1,296.44
	107,763.58				121,381.05	(13,617.47)
<b>LONG TERM</b>						
HSBC HOLDINGS PLC	30,081.86	03/09/16	VARIOUS L/T	935	48,476.23	(18,414.87)
RIO TINTO PLC	14,875.50	03/09/16	02/24/15	500	24,915.20	(10,239.70)
RIO TINTO PLC	14,846.13	03/09/16	08/25/14	499	24,974.41	(10,328.28)
WESTPAC BANKING LTD	257.72	03/09/16	01/30/15	11	301.51	(43.79)
	59,840.91				98,687.35	(38,026.44)
<b>May-16</b>						
<b>SHORT TERM</b>						
ENBRIDGE INC	43,801.42	05/18/16	12/09/15	1103	37,005.66	6,895.77
NATIONAL GRID PLC	42,378.89	05/25/16	VARIOUS S/T	585	40,065.36	2,311.53
SUN FINANCIAL INC OF CANADA	44,180.77	05/18/16	03/09/16	1303	39,346.79	4,833.98
TRANSCANADA CORP	42,812.57	05/18/16	12/09/15	1065	33,059.69	9,752.88
	173,271.85				149,477.49	23,794.16



**GLENN FOUNDATION**  
**FEI # 86-0710305**  
**UBS FINANCIAL SERVICES JP 57676**  
**SCHEDULE D ATTACHMENT**  
**Y/E 09/30/16**

<b>Memo</b>	<b>Sales</b>	<b>Date of</b>		<b>#of</b>	<b>Cost</b>	<b>Gain</b>
<b>Oct-15</b>	<b>Price</b>	<b>Sale</b>	<b>Purchase</b>	<b>Shares</b>	<b>Basis</b>	<b>(Loss)</b>
<b>SHORT TERM</b>						
OASIS PETROLEUM INC.	17,828.27	10/13/15	VARIOUS S/T	1311	16,976.38	852.89
OASIS PETROLEUM INC.	16,758.14	10/16/15	10/15/15	1338	15,828.27	929.87
OASIS PETROLEUM INC.	16,524.13	10/20/15	10/19/15	1338	15,387.00	1,137.13
OASIS PETROLEUM INC.	16,122.60	10/23/15	10/22/15	1338	15,047.55	1,075.05
ROWAN COMPANIES	17,889.17	10/13/15	VARIOUS S/T	873	16,890.79	998.38
	85,123.31				80,129.99	-4,993.32
<b>Nov-15</b>						
<b>SHORT TERM</b>						
OASIS PETROLEUM	21,816.84	11/05/15	VARIOUS S/T	1838	20,511.13	1,305.71
OASIS PETROLEUM	24,855.54	11/30/15	VARIOUS S/T	2000	23,333.00	1,522.54
WESTERN REFINING CORP	17,017.41	11/09/15	VARIOUS S/T	364	16,843.35	174.06
	63,689.79				60,687.48	3,002.31
<b>Dec-15</b>						
<b>SHORT TERM</b>						
MRC GLOBAL INC.	17,351.73	12/07/15	VARIOUS S/T	1129	16,891.97	459.76
LINN ENERGY 2015 K1	323.00				0.00	323.00
VANGUARD NATURAL RESOURCES K1	98.00				0.00	98.00
	17,772.73				16,891.97	880.76
<b>Jan-16</b>						
<b>SHORT TERM</b>						
DENBURY RESOURCES INC.	8,812.77	3/24/16	VARIOUS S/T	3232	16,828.35	(8,315.58)
NATIONAL OILWELL VARCO	12,034.16	03/24/16	VARIOUS S/T	374	16,830.30	(4,796.14)
ULTRA PETROLEUM CORP	796.99	03/24/16	VARIOUS S/T	1547	16,878.07	(16,081.08)
	21,443.92				50,636.72	(29,192.80)
<b>Jun-16</b>						
<b>SHORT TERM</b>						
OASIS PETROLEUM	63,276.12	06/13/16	VARIOUS S/T	5500	58,070.12	5,206.00
OASIS PETROLEUM	20,843.55	06/18/16	06/18/16	2000	20,454.01	189.54
OASIS PETROLEUM	20,184.16	06/22/16	06/21/16	2000	19,154.20	1,029.96
TIDEWATER INC.	3,742.18	06/28/16	VARIOUS S/T	776	16,889.92	(13,147.74)
	107,846.01				114,568.25	(6,722.24)
<b>Aug-16</b>						
<b>SHORT TERM</b>						
OASIS PETROLEUM	38,859.16	08/18/16	VARIOUS S/T	4000	37,200.44	1,458.72
<b>Sep-16</b>						
<b>SHORT TERM</b>						
ANADARKO PETROLEUM CORP	30,165.39	09/26/16	08/09/16	500	26,200.00	3,965.39
APACHE CORP	29,951.85	09/26/16	08/09/16	500	25,685.65	4,266.20
	60,117.24				51,885.65	8,231.59
<b>LONG TERM</b>						
GREEN PLAINS INC.	15,826.59	09/26/16	VARIOUS S/T	646	16,843.77	(1,017.18)
SHORT TERM GAIN(LOSS)	(17,348.34)					
LONG TERM GAIN(LOSS)	(1,017.18)					
	(18,365.52)					

GLENN FOUNDATION  
FEI # 86-0710305  
CREDIT SUISSE 214-085328  
SCHEDULE D ATTACHMENT  
Y/E 09/30/16

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
<b>Oct-15</b>						
<b>SHORT TERM</b>						
GAIN ON CONVERSION	0.21				0.00	0.21
<b>LONG TERM</b>						
PEABODY ENERGY CORP CASH IN LIEU	5.75	10/01/15	12/11/13	0.333	93.77	(88.02)
<b>Nov-15</b>						
<b>SHORT TERM</b>						
GAIN ON CONVERSION	0.17				0.00	0.17
<b>Dec-15</b>						
<b>SHORT TERM</b>						
GAIN ON CONVERSION	0.01				0.00	0.01
<b>Jan-16</b>						
<b>SHORT TERM</b>						
LOSS ON CONVERSION	0.00				0.34	(0.34)
<b>Jan-16</b>						
<b>LONG TERM</b>						
REPSOL YPF SA RIGHTS	7,084.20	01/15/16	08/07/09	0	7,208.00	(123.80)
<b>Feb-16</b>						
<b>SHORT TERM</b>						
SAIPEM SPA SAN DIRITTO 2016 EXPIRED	0.00	02/19/16	01/27/16	1000	0.00	0.00
GAIN ON CONVERSION	0.13				0.00	0.13
	0.13				0.00	0.13
<b>Mar-16</b>						
<b>SHORT TERM</b>						
GAIN ON CONVERSION	1.10				0.00	1.10
<b>Apr-16</b>						
<b>SHORT TERM</b>						
LOSS ON CONVERSION	0.00				0.19	(0.19)
<b>SHORT TERM GAIN(LOSS)</b>	1.09					
<b>LONG TERM GAIN(LOSS)</b>	(211.82)					
	(210.73)					

GLENN FOUNDATION  
FEI # 86-0710305  
WELLS FARGO 2190-3787  
SCHEDULE D ATTACHMENT  
Y/E 09/30/16

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
May-16						
SHORT TERM						
BELTRAN CORP	7,049.55	05/23/16	05/23/16	9755.74	7,049.55	0.00
GRANGER ROLF ASA CASH IN LIEU	2.66	05/23/16	05/23/16		0.00	2.66
	7,052.21				7,049.55	2.66
Jul-16						
SHORT TERM						
REPSOL YPF SA RIGHTS	4,550.48	07/05/16	08/07/09		4,468.96	81.52
SHORT TERM GAIN(LOSS)	84.18					

10/01/2015 - 09/30/2016

System No.	S	Description	Date In Service	Method / Conv.	Life	Cost / Other Basis	Sec. 179/ Bonus/ (Cur. Yr. Only)	Beg. Accum. Depreciation/ (Sec. 179)	Current Depreciation	Total Depreciation/ (Sec. 179)
<b>1 FURNITURE &amp; FIXTURES</b>										
54	D	OFFICE MART INC.	7/19/2004	M / MQ	5.0000	1,818.30	0.00	1,818.30	0.00	1,818.30
72		OFFICE CHAIR	2/5/2010	M / HY	7.0000	581.40	0.00	542.48	25.95	568.43
71		CHAIR	3/9/2010	M / HY	5.0000	108.74	0.00	108.74	0.00	108.74
76		CONFERENCE ROOM CHAIRS	12/5/2011	M / HY	5.0000	8,103.67	0.00	8,103.67	0.00	8,103.67
77		OFFICE FURNITURE	1/6/2012	M / HY	5.0000	2,547.21	0.00	2,327.13	146.72	2,473.85
78		SB SCREENS AND SHADES	2/2/2012	M / HY	5.0000	2,493.63	0.00	2,278.18	143.63	2,421.81
79		FURNITURE	2/13/2012	M / HY	5.0000	2,547.21	0.00	2,327.13	146.72	2,473.85
80		OFFICE FURNITURE	3/21/2012	M / HY	5.0000	21,877.97	0.00	19,987.71	1,260.17	21,247.88
75		IMPACT SIGNS - SIGNAGE FOR OFFICE	4/30/2012	M / HY	7.0000	2,358.00	0.00	1,989.71	105.23	2,094.94
86		OFFICE FURNITURE	5/10/2012	M / HY	5.0000	5,553.68	0.00	5,073.84	319.89	5,393.73
87		ART AND FRAMING	5/23/2012	M / HY	7.0000	5,488.79	0.00	4,631.53	244.93	4,876.46
88		OFFICE FURNITURE	6/30/2012	M / HY	5.0000	21,877.98	0.00	19,987.72	1,260.17	21,247.89
89		OFFICE FURNITURE	7/26/2012	M / HY	5.0000	4,574.37	0.00	4,179.15	263.48	4,442.63
91		FILE CABINET	12/10/2012	M / HY	5.0000	1,433.24	0.00	1,226.85	82.56	1,309.41
Subtotal: 1 FURNITURE & FIXTURES						81,364.19	0.00	74,582.14	3,999.45	78,581.59
Less dispositions and exchanges:						1,818.30	0.00	1,818.30	0.00	1,818.30
Net for: 1 FURNITURE & FIXTURES						79,545.89	0.00	72,763.84	3,999.45	76,763.29
<b>3 EQUIPMENT</b>										
81		APPLE AUDIO EQUIPMENT	2/13/2012	M / HY	5.0000	754.20	0.00	689.03	43.45	732.48
82		TV MONITOR	3/12/2012	M / HY	5.0000	2,595.99	0.00	2,371.70	149.53	2,521.23
83		2 IPADS	3/12/2012	M / HY	5.0000	1,798.50	0.00	1,643.11	103.59	1,746.70
84		TELEVISION	4/9/2012	M / HY	5.0000	1,615.17	0.00	1,475.62	93.03	1,568.65
90	D	MACBOOK PRO	6/20/2012	M / HY	5.0000	5,696.34	0.00	5,204.18	164.05	5,368.23
93		4 SANUS MONITORS	12/17/2012	M / HY	5.0000	855.91	0.00	732.66	49.30	781.96
94		4 SAMSUNG TVS	12/17/2012	M / HY	5.0000	6,251.57	0.00	5,351.35	360.09	5,711.44
85		MACBOOK PRO	4/3/2013	M / HY	5.0000	3,407.24	0.00	2,916.60	196.26	3,112.86
95		TELEVISION	4/4/2013	M / HY	5.0000	866.83	0.00	742.01	49.93	791.94
96		PRINTER	6/10/2013	M / HY	5.0000	636.00	0.00	544.42	36.63	581.05
97		ROUTERS	6/24/2013	M / HY	5.0000	429.84	0.00	367.94	24.76	392.70
98	D	IPAD	7/15/2013	M / HY	5.0000	541.92	0.00	463.88	15.61	479.49
92		MAC BOOK	11/5/2013	M / HY	5.0000	3,566.92	0.00	2,710.86	342.42	3,053.28
99		IPAD AIR	11/5/2013	M / HY	5.0000	1,006.32	0.00	764.80	96.61	861.41
100		APPLE DIGITAL BACKUP	8/7/2014	M / HY	5.0000	1,403.95	0.00	1,067.00	134.78	1,201.78
101	D	I PHONE 6 PLUS	9/29/2014	M / HY	5.0000	824.92	0.00	626.94	39.60	666.54
105		APPLE COMPUTER AND WARRANTY	5/26/2015	M / HY	5.0000	3,807.92	0.00	2,284.75	609.27	2,894.02
Subtotal: 3 EQUIPMENT						36,059.54	0.00	29,956.85	2,508.91	32,465.76
Less dispositions and exchanges:						7,063.18	0.00	6,295.00	0.00	6,514.26
Net for: 3 EQUIPMENT						28,996.36	0.00	23,661.85	2,508.91	25,951.50
Subtotal:						117,423.73	0.00	104,588.99	8,508.36	111,047.35
Less dispositions and exchanges:						8,881.48	0.00	8,113.30	0.00	8,332.56
Grand Totals:						108,542.25	0.00	96,425.69	6,508.36	102,714.79

Statement 14

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
EIN 86-0710305  
9-30-2016

FORM 990PF, PART VIII – INFORMATION ABOUT OFFICERS, DIRECTORS

NAME .....	PAUL F. GLENN
NAME (CONT'D) .....	
STREET.....	1270 COAST VILLAGE CIRCLE
STREET (CONT'D) .....	SUITE 100
CITY, STATE, ZIP .....	SANTA BARBARA, CA 93108
TITLE .....	CHAIRMAN, TREASURER, DIRECTOR
TIME DEVOTED TO POSITION.....	PART TIME
COMPENSATION .....	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS .....	NONE
EXPENSE ACCOUNT .....	REIMBURSEMENT OF REASONABLE EXPENSES

NAME .....	MARK R. COLLINS
NAME (CONT'D) .....	
STREET.....	1270 COAST VILLAGE CIRCLE
STREET (CONT'D) .....	SUITE 200
CITY, STATE, ZIP .....	SANTA BARBARA, CA 93108
TITLE .....	PRESIDENT, DIRECTOR
TIME DEVOTED TO POSITION.....	FULL TIME
COMPENSATION .....	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS .....	NONE
EXPENSE ACCOUNT .....	REIMBURSEMENT OF REASONABLE EXPENSES

NAME .....	K. LEONARD JUDSON
NAME (CONT'D) .....	
STREET.....	1270 COAST VILLAGE CIRCLE
STREET (CONT'D) .....	SUITE 100
CITY, STATE, ZIP .....	SANTA BARBARA, CA 93108
TITLE .....	SECRETARY, EXECUTIVE VICE PRESIDENT, DIRECTOR
TIME DEVOTED TO POSITION.....	PART TIME
COMPENSATION .....	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS .....	NONE
EXPENSE ACCOUNT .....	REIMBURSEMENT OF REASONABLE EXPENSES

STATEMENT 15

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
EIN 86-0710305  
9-30-2016

FORM 990PF, PART VIII – INFORMATION ABOUT OFFICERS, DIRECTORS

NAME .....	A. RAY COPELAND
NAME (CONT'D) .....	
STREET .....	P.O. BOX 2690
STREET (CONT'D) .....	
CITY, STATE, ZIP .....	EDMOND, OK 73083
TITLE .....	ASSISTANT TREASURER, DIRECTOR
TIME DEVOTED TO POSITION .....	PART TIME
COMPENSATION .....	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS .....	NONE
EXPENSE ACCOUNT .....	REIMBURSEMENT OF REASONABLE EXPENSES

NAME .....	JACK N. RUDEL
NAME (CONT'D) .....	
STREET .....	ONE EAST WASHINGTON STREET
STREET (CONT'D) .....	SUITE 1900
CITY, STATE, ZIP .....	PHOENIX, AZ 85004-2554
TITLE .....	DIRECTOR, ASSISTANT SECRETARY
TIME DEVOTED TO POSITION .....	PART TIME
COMPENSATION .....	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS .....	NONE
EXPENSE ACCOUNT .....	REIMBURSEMENT OF REASONABLE EXPENSES

## GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.

EIN: 86-0710305

FORM 990PF, PART I, LINE 23 - OTHER EXPENSES

FYE: 09-30-2016

DESCRIPTION	(A) TOTAL OPERATING AND ADMINISTRATIVE EXPENSES	(B) NET INVESTMENT INCOME	(C) DISBURSEMENTS FOR CHARITABLE PURPOSES	
Advertising	6,561		6,561	
Books & subscriptions	884		884	
Consulting	211,680	66,000	145,680	
Dues	7,188		7,188	
Investment Expense	2,195,989	2,195,989		
Internet Data Line	6,979		6,979	
Meals & Entertainment	20,217		20,217	
Mileage Reimbursement	1,892		1,892	
Office Supplies	13,929	6,965	6,965	
Portfolio Deductions	109,358	109,358		
Postage	2,193	1,096	1,096	
Security System	1,656	828	828	
Telephone	15,652		15,652	
Loss on Disposal of Asset	549	549		
	2,594,727	2,380,785	213,942	2,594,727

GLENN FOUNDATION FOR MEDICAL RESEARCH  
EIN: 86-0710305  
FORM 990PF - INVESTMENTS - CORPORATE SECURITIES  
FYE: 9/30/2016

Description	Inventory		Market Value
	End of Period		End of Period
	Shares	Cost	
RJ AB 627XM177			
SHORT STOCK	(5,021)	(853,213)	(1,039,365)
LONG STOCK	677,444	30,826,156	32,025,471
total	672,423	29,972,943	30,986,106
RJ AB 590N7628			
SHORT STOCK	(72)	(8,724)	(17,233)
LONG STOCK	51,100	2,573,855	2,685,183
Total	51,028	2,565,131	2,667,950
RAYMOND JAMES 49533723			
LONG STOCK	147,146	2,083,818	1,954,704
RJ AB 49519053			
LONG STOCK	72,504	1,089,526	1,126,199



GLENN FOUNDATION FOR MEDICAL RESEARCH  
 EIN: 86-0710305  
 FORM 990PF - INVESTMENTS - CORPORATE SECURITIES  
 FYE: 9/30/2016

Description	Inventory		Market Value
	End of Period		End of Period
	Shares	Cost	
MORGAN STANLEY 814-890187			
SHORT STOCK	(18)	(10,012)	(2,319)
LONG STOCK	66,337	5,129,254	6,280,931
TOTAL	66,319	5,119,242	6,278,612
HELD BY FOUNDATION			
LONG STOCK	112,747	1,597,609	138,857
RAYMOND JAMES 572K8641			
LONG STOCK	4,035,128	41,100,260	40,950,949
RJ AB 1553T985			
SHORT STOCK	(20,100)	(2,448,381)	(2,306,400)
LONG STOCK	43,500	636,000	530,620
	23,400	(1,812,381)	(1,775,780)

GLENN FOUNDATION FOR MEDICAL RESEARCH  
 EIN: 86-0710305  
 FORM 990PF - INVESTMENTS - CORPORATE SECURITIES  
 FYE: 9/30/2016

Description	Inventory		Market Value
	End of Period		End of Period
	Shares	Cost	
UBS 57675  LONG STOCK	7,177	142,420	67,773
UBS JP 39221 V8  SHORT STOCK	(114)	(6,855)	(11,654)
LONG STOCK	12,937	880,042	849,433
Total	12,823	873,187	837,779
UBS JP 39222 V8  LONG STOCK	7,162	198,469	172,080

GLENN FOUNDATION FOR MEDICAL RESEARCH  
 EIN: 86-0710305  
 FORM 990PF - INVESTMENTS - CORPORATE SECURITIES  
 FYE: 9/30/2016

Description	Inventory		Market Value
	End of Period		End of Period
	Shares	Cost	
UBS JP 32494			
SHORT STOCK	(6,730)	(928,109)	(1,010,032)
LONG STOCK	18,500	477,035	403,355
Total	11,770	(451,074)	(606,677)
WELLS FARGO 2190-3767			
LONG STOCK	742,480	6,146,568	5,813,387
<b>TOTAL STOCKS</b>	5,962,107	88,625,718	88,611,939
<b>Add back short stock</b>		(4,255,294)	(4,387,003)
		92,881,012	92,998,942
<b>TOTAL STOCKS</b>	5,962,107	\$92,881,012	<u>\$92,998,942</u>

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
EIN: 86-0710305  
FORM 990PF, PART II, LINE 13 - OTHER INVESTMENTS  
FYE: 09-30-2016

DESCRIPTION	ID NUMBER	BOOK VALUE		FAIR MARKET VALUE
		BOY	EOY	
Davenport Gas	43-1999609	38,737	38,631	38,631
Gibraltar Partners LLC	57-1137856	239,055	0	0
Gradient	62-1627822	(40,322)	(44,319)	(44,319)
Green Energy Metals Fund	26-0308422	499,250	1,035,749	1,035,749
ML Lee Acquisition Fund	13-3426817	165	0	0
Ngen II	81-0662704	14,350	8,372	8,372
Portolan Pilot Offshore	N/A	1,979,314	1,926,501	1,926,501
		2,730,549	2,964,934	2,964,934

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN 86-0710305  
OTHER LIABILITIES  
FYE 9/30/2016

<u>Liability</u>	<u>Amount</u>
ADM CMD 42060	6,216,773
RAYMOND JAMES AB 572K864	7,742,732
RAYMOND JAMES 627XN177	853,213
RAYMOND JAMES 5590N7628	8,724
RAYMOND JAMES 1553T985	2,448,381
MORGAN STANLEY 814-890188-350	10,012
UBS JP 32494WH	928,109
UBS JP 39221 VS	6,855
	<u>18,214,799</u>

## GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.

EIN: 86-0710305

FYE 9/30/2016

DESCRIPTION		AMOUNT
<b>INCOME (LOSS) FROM PARTNERSHIPS</b>		
ALLIANCEBERNSTEIN	13-3434400	87
DAVENPORT BRITISH GAS	43-1999609	(24)
GILBRALTAR	57-1137856	17,517
GRADIENT TECH	62-1627822	(3,988)
GREEN ENERGY METALS FD LP	26-0308422	33,905
LINN ENERGY	65-1177591	194
M L LEE	13-3426817	(165)
PORTOLAN PILOT FUND	20-1972477	6,005
THE BLACKSTONE GROUP	20-8875684	1,106
UNITED STATES OIL	20-2830691	(13)
VANGUARD NATURAL RES	61-1521161	261
		54,885
<b>OTHER INCOME (LOSS)</b>		
DEUTSCHE BANK	388629	10,071
DEUTSCHE BANK	874529	6,202
		16,273
		<u>71,158</u>

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC					
Contributions Paid					
Fiscal Year Ending 9/30/2016					
Recipient	Address	Purpose of Contribution	Amount	Date	
Albert Einstein College of Medicine	1300 Morris Park Ave Bronx, NY 10461	Various Research Grants	1,000,000	VARIOUS	
Alliance for Aging Research	2021 K Street NW Suite 305 Washington, DC 20006	Various Research Grants	15,000	VARIOUS	
American Aging Assoc.	245 N 15th Philadelphia, PA 10102	Various Research Grants	20,000	VARIOUS	
American Federation For Aging Research	55 West 39th Street 16th Floor, New York, NY 10018	Various Research Grants	1,937,800	VARIOUS	
Baylor College of Medicine	One Baylor Plaza Houston, TX 77030	Various Research Grants	120,000	VARIOUS	
Boston Children's Hospital	3 Blackfan Circle Boston, MA 02115	Various Research Grants	60,000	VARIOUS	
Brigham Women's Hospital	75 Francis St Boston, MA 02115	Various Research Grants	60,000	VARIOUS	
Buck Institute	8001 Redwood Blvd Novato, CA 94945	Various Research Grants	400,000	VARIOUS	
Cold Springs Harbor Lab	1 Bungtown Rd Cold Springs Harbor, NY 11724	Various Research Grants	20,000	VARIOUS	
Gerontological Society of America	1220 L Street NW Suite 901, Washington, DC 20005-4018	Various Research Grants	10,000	VARIOUS	
Gladstone Institutes	1650 Owens St. San Francisco, CA 94158	Various Research Grants	9,773	VARIOUS	
Harvard Medical School	25 Shattuck Street Boston, MA 02115	Various Research Grants	60,000	VARIOUS	
Joslin Diabetes Center Inc.	One Joslin Place Boston, MA 02215-5306	Various Research Grants	60,000	VARIOUS	
Mayo Clinic	200 First Rochester, MN 55905	Various Research Grants	1,000,000	VARIOUS	
MDI Biological Lab	159 Old Bar Harbor Rd Bar Harbor, ME 04609	Various Research Grants	20,000	VARIOUS	

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.				
Contributions Paid				
Fiscal Year Ending 9/30/2016				
Recipient	Address	Purpose of Contribution	Amount	Date
MIT	77 Massachusetts Ave Cambridge, MA 02139	Various Research Grants	1,000,000	VARIOUS
Nautilus	415 Madison Ave 13th Floor New York, NY 10017	Various Research Grants	110,000	VARIOUS
New York Academy of Sciences	7 World Trade Center New York, NY 10007-2157	Various Research Grants	25,000	VARIOUS
Ohio State University	Columbus, OH 43210	Various Research Grants	60,000	VARIOUS
Princeton University	P.O. Box 5357 Princeton, NJ 08543-5357	Various Research Grants	600,000	VARIOUS
Salk Institute	P.O. Box 85800, San Diego, CA 92186-5800	Various Research Grants	1,060,000	VARIOUS
Sanford Burnham Medical Research Inst.	10901 North Torrey Pines Rd La Jolla, CA 92037	Various Research Grants	60,000	VARIOUS
Scripps Research Institute	10550 N Torrey Pines Rd LaJolla, CA 92037	Various Research Grants	120,000	VARIOUS
Stanford University	450 Serra Mall Stanford, CA 94305	Various Research Grants	1,180,000	VARIOUS
Texas Children's Hospital	1250 Moursund St Ste 1150 Houston, TX 77030	Various Research Grants	10,000	VARIOUS
Univ of Wisconsin	1848 University Ave Madison, WI 53726	Various Research Grants	60,000	VARIOUS
University of Washington	1320 NE Campus Pkwy Seattle, WA 98105	Various Research Grants	10,000	VARIOUS
University of California	1156 High Street	Various Research Grants	1,129,634	VARIOUS
University of Michigan	500 S. State St Ann Arbor, MI 48109	Various Research Grants	1,000,000	VARIOUS
University of Oklahoma College of Med	1100 N. Lindsay Ave Oklahoma City, Ok 73104	Various Research Grants	13,000	VARIOUS



GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.				
Contributions Paid				
Fiscal Year Ending 9/30/2016				
Recipient	Address	Purpose of Contribution	Amount	Date
University of Penn	Philadelphia, PA 19104	Various Research Grants	60,000	VARIOUS
University of Texas	7703 Floyd Carl Dr. San Antonio, TX 78229	Various Research Grants	60,000	VARIOUS
University of Utah	201 Presidents Circle Salt Lake City, UT 84112	Various Research Grants	60,000	VARIOUS
University of Rochester	601 Elmwood Ave Box 633 Rochester, NY 14642	Various Research Grants	60,000	VARIOUS
USC	3720 S. Flower Street Los Angeles, CA 90089-0701	Various Research Grants	60,000	VARIOUS
Univ. of Wisc C elegans Conference	1308 W. Dayton Street Madison, WI 53715	Various Research Grants	15,000	VARIOUS
Yale University	P.O. Box 1873 New Haven, CT 06508-1873	Various Research Grants	60,000	VARIOUS
Grants returned from prior year			(294)	
			11,604,913	