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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning OCT 1, 2015, and ending SEP 30, 2016

Name of foundation

DAVID AND EULA WINTERMANN FOUNDATION

A Employer identification number

76-0082100

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 337

Room/suite

B Telephone number

9792345551

City or town, state or province, country, and ZIP or foreign postal code

EAGLE LAKE, TX 77434

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 19,575,096.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	96,503.	96,503.		STATEMENT 1
	4 Dividends and interest from securities	321,085.	321,085.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	997,446.			
	b Gross sales price for all assets on line 6a	5,954,589.			
	7 Capital gain net income (from Part IV, line 2)		997,446.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	456.	456.		STATEMENT 3	
12 Total. Add lines 1 through 11	1,415,490.	1,415,490.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	100,000.	0.		50,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	4,781.	0.		2,391.
	16a Legal fees				
	b Accounting fees STMT 4	6,515.	0.		6,515.
	c Other professional fees STMT 5	167,355.	31,061.		136,294.
	17 Interest				
	18 Taxes	33,441.	6,006.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	4,474.	4,474.		0.
	22 Printing and publications				
	23 Other expenses STMT 7	6,805.	2,184.		4,566.
	24 Total operating and administrative expenses. Add lines 13 through 23	323,371.	43,725.		199,766.
	25 Contributions, gifts, grants paid	659,821.			659,821.
26 Total expenses and disbursements. Add lines 24 and 25	983,192.	43,725.		859,587.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	432,298.				
b Net investment income (if negative, enter -0-)		1,371,765.			
c Adjusted net income (if negative, enter -0-)			N/A		

9659

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			1,299.	23,066.	23,066.
	2 Savings and temporary cash investments			435,064.	464,148.	464,148.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			37,743.	10,308.	10,308.
	10a Investments - U.S. and state government obligations STMT 9			1,955,449.	1,881,851.	1,925,415.
	b Investments - corporate stock STMT 10			11,142,152.	11,274,748.	13,928,286.
	c Investments - corporate bonds STMT 11			2,036,176.	2,240,148.	2,320,619.
	11 Investments - land, buildings, and equipment basis ▶					
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other STMT 12			821,952.	967,864.	903,254.	
14 Land, buildings, and equipment; basis ▶						
Less accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			16,429,835.	16,862,133.	19,575,096.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			16,429,835.	16,862,133.	STATEMENT 8
30 Total net assets or fund balances			16,429,835.	16,862,133.		
31 Total liabilities and net assets/fund balances			16,429,835.	16,862,133.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,429,835.
2 Enter amount from Part I, line 27a	2	432,298.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	16,862,133.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,862,133.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 5,954,589.		4,957,143.	997,446.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			997,446.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	997,446.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	949,580.	18,471,904.	.051407
2013	836,448.	18,479,585.	.045263
2012	751,564.	17,145,510.	.043834
2011	728,326.	15,428,547.	.047206
2010	718,734.	14,775,785.	.048643

2 Total of line 1, column (d)	2	.236353
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047271
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	18,644,321.
5 Multiply line 4 by line 3	5	881,336.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,718.
7 Add lines 5 and 6	7	895,054.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	859,587.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	27,435.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	27,435.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	27,435.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	37,743.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	37,743.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,308.	
11 Enter the amount of line 10 to be. Credited to 2016 estimated tax ☒ 10,308. Refunded ☒ 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► WINTERMANN OFFICES Telephone no. ► 979-234-5551 Located at ► 127 N. MCCARTY AVE, EAGLE LAKE, TX ZIP+4 ► 77434		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JACK JOHNSON	PRESIDENT			
P.O. BOX 337				
EAGLE LAKE, TX 77434	10.00	62,500.	0.	0.
DONALD N. BENDY	VICE PRESIDENT			
P.O. BOX 858				
EAGLE LAKE, TX 77434	10.00	12,500.	0.	0.
STEVE BALAS	SECRETARY			
702 S. MCCARTY				
EAGLE LAKE, TX 77434	10.00	12,500.	0.	0.
JUDITH JOHNSON	DIRECTOR			
P.O. BOX 337				
EAGLE LAKE, TX 77434	10.00	12,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 AWARDED 6 SCHOLARSHIPS TO ELIGIBLE STUDENTS BASED ON THE FINANCIAL NEED, FIELD OF STUDY AND CHARACTER REFERENCE.	
	36,000.
2 CONTRIBUTION TO VARIOUS QUALIFIED CHARITABLE ORGANIZATIONS	
	623,821.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	18,369,012.
b	Average of monthly cash balances	1b	533,572.
c	Fair market value of all other assets	1c	25,661.
d	Total (add lines 1a, b, and c)	1d	18,928,245.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	18,928,245.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	283,924.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,644,321.
6	Minimum investment return. Enter 5% of line 5	6	932,216.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	932,216.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	27,435.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	27,435.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	904,781.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	904,781.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	904,781.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	859,587.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	859,587.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	859,587.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				904,781.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			789,741.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 859,587.				
a Applied to 2014, but not more than line 2a			789,741.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				69,846.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				834,935.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN CANCER SOCIETY FBO VICTORY PO BOX 572915 HOUSTON, TX 77257-2915	NONE	PC	FUND RESEARCH IN THE NAME OF DAVID & EULA WINTERMANN FOUNDATION	100,000.
AUBREY PAVLU 7809 HWY 71 GARWOOD, TX 77442	NONE	N/A	EDUCATIONAL SCHOLARSHIP	12,000.
BAYLOR COLLEGE OF MEDICINE ONE BAYLOR PLAZA, MS:BCM 160 HOUSTON, TX 77030-3411	NONE	PC	CONTINUATION OF FUNDING OF THE DAVID & EULA WINTERMANN FOUNDATION BRASS ENDOWED SCHOLARS FUND,	80,000.
COLORADO RIVER FOUNDATION PO BOX 12275 AUSTIN, TX 78711	NONE	PC	TO HELP PROMOTE AND SUPPORT THE NATURAL SCIENCE EDUCATION AND OUTDOOR RECREATION PROGRAMS, PARTICULARLY	10,000.
EAGLE LAKE VOLUNTEER FIRE DEPARTMENT PO BOX 801 EAGLE LAKE, TX 77434	NONE	PC	ACQUISITION OF UPDATED EQUIPMENT FOR THE VOLUNTEERS FIREFIGHTERS TO BETTER SERVE THE COMMUNITY.	500.
Total	SEE CONTINUATION SHEET(S)			659,821.
b Approved for future payment				
PAIGE PAVLU 1084 SHIRLEY OAKS COLUMBUS, TX 78934	NONE	N/A	EDUCATIONAL SCHOLARSHIP	28,000.
CAMERON MARSALIA 510 STRICKLAND AVENUE EAGLE LAKE, TX 77434	NONE	N/A	EDUCATIONAL SCHOLARSHIP	28,000.
Total				56,000.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COMPASS BANK - #4207, SEE ATTACHED SCHEDULES		01/01/16	09/30/16
b	COMPASS BANK - #4207, SEE ATTACHED SCHEDULES		01/01/00	09/30/16
c	SANDISK CORPORATION MERGER		01/01/00	09/30/16
d	SANDISK CORPORATION MERGER		01/01/16	05/13/16
e	COMPASS BANK - #4207-1, SEE ATTACHED SCHEDULES		01/01/16	09/30/16
f	COMPASS BANK - #4207-1, SEE ATTACHED SCHEDULES		01/01/00	09/30/16
g	SANDISK CORPORATION MERGER		01/01/00	09/30/16
h	SANDISK CORPORATION MERGER		01/01/16	05/13/16
i	CLASS ACTION PROCEEDS - PFIZER INC		04/01/09	07/29/16
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 584,676.		554,624.	30,052.
b 4,644,493.		3,786,237.	858,256.
c 70,345.		50,051.	20,294.
d 395.			395.
e 51,527.		58,444.	-6,917.
f 595,772.		503,057.	92,715.
g 7,334.		4,730.	2,604.
h 24.			24.
i 23.			23.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			30,052.
b			858,256.
c			20,294.
d			395.
e			-6,917.
f			92,715.
g			2,604.
h			24.
i			23.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	997,446.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

DAVID AND EULA WINTERMANN FOUNDATION

76-0082100

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EULA & DAVID WINTERMANN LIBRARY 101 N. WALNUT EAGLE LAKE, TX 77434	NONE	PC	GENERAL FUND	56,663.
GLENN P BOZANT JR 303 STRICKLAND AVE EAGLE LAKE, TX 77434	NONE	N/A	EDUCATIONAL SCHOLARSHIP	4,000.
MALLORY THOMAS 223 WEST WAVERLY EAGLE LAKE, TX 77434	NONE	N/A	EDUCATIONAL SCHOLARSHIP	8,000.
NATIONAL MULTIPLE SCLEROSIS SOCIETY 8111 N STADIUM DRIVE, SUITE 100 HOUSTON, TX 77054	NONE	PC	TO FUND THE DR. BENJAMIN DENEEN RESEARCH GRANT, FOR MULTIPLE SCLEROSIS RESEARCH IN HOUSTON,	111,658.
SANDRA VEGA 407 6TH STREET EAGLE LAKE, TX 77434	NONE	N/A	EDUCATIONAL SCHOLARSHIP	4,000.
TEXAS ASSOCIATION OF FSA COUNTY OFFICE EMPLOYEES 2209 WALNUT STREET COLUMBUS, TX 78934	NONE	PC	GENERAL FUND FOR ANNUAL CONVENTION	1,000.
THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER 1515 HOLCOMBE BOULEVARD, UNIT 0428 HOUSTON, TX 77030	NONE	PC	TO SUPPORT THE IMMUNOTHERAPY RESEARCH OF DR. ANTHONY LUCCI AND DR. PATRICK HWU.	100,000.
PAIGE PAVLU 1084 SHIRLEY OAKS COLUMBUS, TX 78934	NONE	N/A	EDUCATIONAL SCHOLARSHIP	4,000.
CAMERON MARSALIA 510 STRICKLAND AVENUE EAGLE LAKE, TX 77434	NONE	N/A	EDUCATIONAL SCHOLARSHIP	4,000.
CITY OF EAGLE LAKE P. O. BOX 38 EAGLE LAKE, TX 77434	NONE	PC	TO ASSIST IN THE CLEANUP AND DEMOLITION OF PROPERTIES	31,000.
Total from continuation sheets				457,321.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CITY OF EAGLE LAKE - EAGLE LAKE RECREATIONAL CENTER 210 GOLF COURSE ROAD EAGLE LAKE, TX 77434	NONE	PC	FOR THE BENEFIT OF EAGLE LAKE RECREATION CENTER TO ASSEST IN THE COMPLETION OF THE IRRIGATION PROJECT	55,000.
MINISTERIAL ALLIANCE OF EAGLE LAKE PO BOX 967 EAGLE LAKE, TX 77434	NONE	PC	FUNDING THE COMMUNITY FOOD PANTRY	20,000.
RONALD MCDONALD HOUSE, HOUSTON 1907 HOLCOMBE BLVD HOUSTON, TX 77030	NONE	PC	FOR THE BEHIND EVERY DOOR CAPITAL CAMPAIGN	25,000.
CAMP ALLEN 18800 FM 362 NAVASOTA, TX 77868	NONE	PC	TO PROVIDE FUNDS FOR IMPROVEMENTS AND REFURBISHMENTS ON THE PROPERTY	25,000.
TEXAS RICE INDUSTRY COALITION FOR THE ENVIRONMENT PO BOX 644 PIERCE, TX 77467	NONE	PC	TO PROVIDE SUPPORT EFFORTS TO RESTORE, CREATE, PROTECT AND ENHANCE MIGRATORY BIRD HABITAT ON THE TEXAS	8,000.
Total from continuation sheets				

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BAYLOR COLLEGE OF MEDICINE

CONTINUATION OF FUNDING OF THE DAVID & EULA WINTERMANN FOUNDATION BRASS
ENDOWED SCHOLARS FUND, ENDOWED FUND INITIALLY FUNDED IN 2007

NAME OF RECIPIENT - COLORADO RIVER FOUNDATION

TO HELP PROMOTE AND SUPPORT THE NATURAL SCIENCE EDUCATION AND OUTDOOR
RECREATION PROGRAMS, PARTICULARLY FOR AT-RISK AND UNDERSERVED YOUTH,
ANNUAL RIVER CLEANUPS AND ADDITIONAL COMMUNITY PROJECTS THAT ENCOURAGE
THE SETWARDSHIP, AWARENESS AND PROTECTION OF THE COLORADO RIVER IN
TEXAS

NAME OF RECIPIENT - NATIONAL MULTIPLE SCLEROSIS SOCIETY

TO FUND THE DR. BENJAMIN DENEEN RESEARCH GRANT, FOR MULTIPLE SCLEROSIS
RESEARCH IN HOUSTON, TEXAS

NAME OF RECIPIENT - TEXAS RICE INDUSTRY COALITION FOR THE ENVIRONMENT

TO PROVIDE SUPPORT EFFORTS TO RESTORE, CREATE, PROTECT AND ENHANCE
MIGRATORY BIRD HABITAT ON THE TEXAS COASTAL PLAIN.

ACCOUNT NO: 4207-1
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

SECURITIES SOLD

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PROCEEDS</u>	<u>INVESTMENTS AT COST</u>
01/08/16 MF	368.75 VANGUARD VALUE INDEX-ADM @ 30.34 /UNIT ON TRADE DATE 1/07/16 SHORT TERM CAPITAL LOSS 1,120.98-	11,187.88	12,308.86-
MF	1480.96 WELLS FARGO ADV HI INC - INS @ 6.26 /UNIT ON TRADE DATE 1/07/16 LONG TERM CAPITAL LOSS 1,760.28-	9,270.83	11,031.11-
01/12/16	10 AMAZON COM INC @ 609.209 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 0.55 BROKERAGE LONG TERM CAPITAL GAIN 3,941.64	6,092.09	2,150.45-
	63 AMERISOURCEBERGEN @ 98.8773 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 3.47 BROKERAGE LONG TERM CAPITAL GAIN 4,705.27	6,229.27	1,524.00-
	87 APPLE INC. @ 97.5143 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH RAFFERTY CAPITAL MARKETS, LLC PAID 4.79 BROKERAGE LONG TERM CAPITAL GAIN 7,352.11	8,483.74	1,131.63-
	49 CBS CORP-B @ 45.3739 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 2.70 BROKERAGE LONG TERM CAPITAL GAIN 441.68	2,223.32	1,781.64-
	111 EBAY INC @ 25.6359 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 6.11 BROKERAGE LONG TERM CAPITAL GAIN 1,365.91	2,845.58	1,479.67-
	37 EQUIFAX INC @ 105.6086 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 2.04 BROKERAGE LONG TERM CAPITAL GAIN 2,634.53	3,907.52	1,272.99-
	264 FREEPORT-MCMORAN INC. @ 5.4249 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 14.52 BROKERAGE LONG TERM CAPITAL LOSS 4,319.40-	1,432.17	5,751.57-
	149 GENERAL ELECTRIC COMPANY @ 29.1644 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 8.20 BROKERAGE LONG TERM CAPITAL GAIN 2,940.49	4,345.49	1,405.00-
	36 HEARTLAND PAYMENT SYSTEMS INC @ 93.0097 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 1.98 BROKERAGE LONG TERM CAPITAL GAIN 1,623.77	3,348.35	1,724.58-



Compass

Wealth Management

ACCOUNT NO: 4207-1
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
	1422 ISHARES MSCI EAFE ETF @ 55.5655/UNIT ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 78.21 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL LOSS	79,014.10 9,448.79- 2,945.95-	91,408.84-
	37 JACK HENRY & ASSOC INC @ 76.9351/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 2.04 BROKERAGE LONG TERM CAPITAL GAIN	2,846.60 2,180.60	666.00-
	54 NOVARTIS AG - ADR @ 83.8078/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 2.97 BROKERAGE LONG TERM CAPITAL LOSS	4,525.62 551.72-	5,077.34-
	111 PAYPAL HLDGS INC @ 33.3025/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 6.10 BROKERAGE LONG TERM CAPITAL GAIN	3,696.58 1,408.38	2,288.20-
	31 PHILIP MORRIS INTERNATIONAL, INC. @ 87.3184/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 1.71 BROKERAGE LONG TERM CAPITAL GAIN	2,706.87 1,125.86	1,581.01-
	57 PROCTER & GAMBLE COMPANY @ 76.806 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 3.14 BROKERAGE LONG TERM CAPITAL GAIN	4,377.94 762.89	3,615.05-
	53 RLI CORP @ 61.9057/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 2.92 BROKERAGE LONG TERM CAPITAL GAIN	3,281.00 1,831.31	1,449.69-
	13 TIME WARNER CABLE INC. @ 181.5377/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 0.72 BROKERAGE LONG TERM CAPITAL GAIN	2,359.99 1,502.06	857.93-
	38 UNITED TECHNOLOGIES CORPORATION @ 91.7555/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 2.09 BROKERAGE LONG TERM CAPITAL GAIN	3,486.71 1,321.76	2,164.95-
	63 VANGUARD FTSE EMERGING MARKETS ETF @ 30.2665/UNIT ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 3.47 BROKERAGE SHORT TERM CAPITAL LOSS	1,906.79 880.02-	2,786.81-
	83 WELLS FARGO COMPANY @ 50.3654/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 4.57 BROKERAGE LONG TERM CAPITAL GAIN	4,180.33 1,770.44	2,409.89-



ACCOUNT NO: 4207-1
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
	69 WESTAMERICA BANCORPORATION @ 44.5978/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 3.79 BROKERAGE LONG TERM CAPITAL LOSS	3,077.25 358.61-	3,435.86-
	212 WOLVERINE WORLD WIDE INC @ 16.2773/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 11.66 BROKERAGE LONG TERM CAPITAL LOSS	3,450.78 1,054.01-	4,504.79-
	59 ZIMMER BIOMET HOLDINGS INC @101.7586/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 3.25 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL LOSS	6,003.76 48.93- 123.48-	6,176.17-
04/08/16 CO	25000 VERIZON COMM INC 2.000% 11/01/16 @ 1.0077/\$1 PV ON TRADE DATE 4/08/16 LONG TERM CAPITAL GAIN	25,193.75 193.75	25,000.00-
04/27/16	32 HEARTLAND PAYMENT SYSTEMS INC @116.485 /SHARE ON TRADE DATE 4/27/16 LONG TERM CAPITAL GAIN	3,727.52 2,194.56	1,532.96-
	33 HEARTLAND PAYMENT SYSTEMS INC @101.1852/SHARE ON TRADE DATE 4/27/16 SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	3,339.11 1,215.36 53.28	2,070.47-
05/10/16	0.47 GLOBAL PAYMENTS INC @ 72.4168/SHARE ON TRADE DATE 5/05/16	33.71	33.71-
05/25/16	34 TIME WARNER CABLE INC. @165.995 /SHARE ON TRADE DATE 5/19/16 SOLD THROUGH NOT APPLICABLE LONG TERM CAPITAL GAIN	5,643.83 3,400.00	2,243.83-
06/07/16	0.02 WESTERN DIGITAL CORP @ 45.3552/SHARE ON TRADE DATE 6/07/16 SHORT TERM CAPITAL GAIN	0.83 0.16	0.67-
06/09/16	0.75 QUORUM HEALTH CORP @ 12.64 /SHARE ON TRADE DATE 6/09/16 SHORT TERM CAPITAL LOSS	9.48 2.17-	11.65-
06/16/16	0.63 CHARTER COMMUNICATIONS INC-A @226.7176/SHARE ON TRADE DATE 6/16/16 SHORT TERM CAPITAL GAIN	142.56 57.71	84.85-
07/27/16 WV	9185.94 WELLS FARGO HIGH YIELD BOND FUND @ 3.28 /UNIT ON TRADE DATE 7/25/16 LONG TERM CAPITAL LOSS	30,130.00 4,779.64-	34,909.64-
07/28/16	123 AGILENT TECHNOLOGIES INC @ 46.0364/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 6.77 BROKERAGE LONG TERM CAPITAL GAIN	5,662.48 1,828.94	3,833.54-
	45 ALLERGAN PLC @247.4098/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 2.47 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL GAIN	11,133.44 524.97- 4,300.00	7,358.41-



Compass

Wealth Management

ACCOUNT NO: 4207-1
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PROCEEDS</u>	<u>INVESTMENTS AT COST</u>
	137 ALLSTATE CORPORATION @ 69.0559/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 7.54 BROKERAGE LONG TERM CAPITAL GAIN	9,460.66 7,220.70	2,239.96-
	34 AMERICAN EXPRESS @ 64.2135/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 1.87 BROKERAGE LONG TERM CAPITAL GAIN	2,183.26 407.63	1,775.63-
	122 AMERISOURCEBERGEN @ 85.2532/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 6.71 BROKERAGE LONG TERM CAPITAL GAIN	10,400.89 5,900.59	4,500.30-
	257 AT&T INC @ 42.8054/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 14.14 BROKERAGE SHORT TERM CAPITAL GAIN	11,000.98 2,085.65	8,915.33-
	45 BADGER METER INC @ 69.9051/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 2.48 BROKERAGE LONG TERM CAPITAL GAIN	3,145.73 1,622.72	1,523.01-
	133 BAKER HUGHES INCORPORATED @ 43.5641/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 7.32 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL LOSS	5,794.03 16.96 1,915.11-	7,692.18-
	201 BANK OF NEW YORK MELLON CORP @ 38.8466/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 11.06 BROKERAGE LONG TERM CAPITAL GAIN	7,808.17 1,656.58	6,151.59-
	107 CBS CORP-B @ 54.8638/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 5.88 BROKERAGE LONG TERM CAPITAL GAIN	5,870.43 1,979.90	3,890.53-
	97 CENTENE CORPORATION @ 74.7747/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 5.34 BROKERAGE SHORT TERM CAPITAL GAIN	7,253.15 401.58	6,851.57-
	101 CHEVRON CORP @ 102.975 /SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 5.55 BROKERAGE LONG TERM CAPITAL GAIN	10,400.47 1,325.35	9,075.12-
	53 CINEMARK HOLDINGS, INC. @ 39.1942/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 2.92 BROKERAGE LONG TERM CAPITAL GAIN	2,077.29 201.36	1,875.93-



ACCOUNT NO: 4207-1
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PROCEEDS</u>	<u>INVESTMENTS AT COST</u>
	123 COMMUNITY HEALTH SYSTEMS INC. @ 12.7572/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 6.77 BROKERAGE SHORT TERM CAPITAL LOSS	1,569.13	2,321.09-
	143 CONOCOPHILLIPS @ 39.9967/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 7.86 BROKERAGE LONG TERM CAPITAL LOSS	5,719.53	8,936.74-
	92 DEERE & COMPANY @ 77.5454/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 5.06 BROKERAGE LONG TERM CAPITAL LOSS	7,134.18	7,944.78-
	114 DICK'S SPORTING GOODS @ 50.4739/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 6.27 BROKERAGE LONG TERM CAPITAL LOSS	5,754.03	6,313.87-
	214 DISCOVER FINANCIAL SERVICES @ 56.424 /SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 11.77 BROKERAGE LONG TERM CAPITAL LOSS	12,074.73	13,506.59-
	137 DOVER CORPORATION @ 70.9209/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 7.54 BROKERAGE LONG TERM CAPITAL GAIN	9,716.17	6,462.34-
	205 EMC CORPORATION @ 28.3868/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 11.28 BROKERAGE LONG TERM CAPITAL GAIN	5,819.30	4,531.42-
	86 EOG RESOURCES INC @ 78.8434/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 4.73 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL LOSS	6,780.53	8,084.14-
	90 EXPRESS SCRIPTS HOLDING INC @ 77.4448/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 4.94 BROKERAGE LONG TERM CAPITAL GAIN	6,970.03	5,555.51-
	17 FACTSET RESEARCH SYSTEMS INC @170.1029/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 0.94 BROKERAGE LONG TERM CAPITAL GAIN	2,891.75	2,165.21-
	160 FEDERATED INVESTORS INC CL-B @ 31.3293/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 8.80 BROKERAGE SHORT TERM CAPITAL LOSS	5,012.69	5,454.36-

ACCOUNT NO: 4207-1
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
	80 FIRST CASH FINANCIAL SERVICES INC @ 51.424 /SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 4.40 BROKERAGE LONG TERM CAPITAL GAIN	4,113.92	2,666.17-
	39 F5 NETWORKS INC @123.6921/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 2.15 BROKERAGE SHORT TERM CAPITAL GAIN	4,823.99	3,570.94-
	29 G & K SVCS INC @ 80.7028/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 1.60 BROKERAGE LONG TERM CAPITAL GAIN	2,340.38	1,711.44-
	512 GENERAL ELECTRIC COMPANY @ 31.5367/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 28.16 BROKERAGE LONG TERM CAPITAL GAIN	16,146.77	4,827.90-
	41 GLOBAL PAYMENTS INC @ 77.9532/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 2.26 BROKERAGE SHORT TERM CAPITAL LOSS	3,196.08	3,381.04-
	37 GOLDMAN SACHS GROUP INC @160.3419/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 2.03 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL GAIN	5,932.65	6,549.76-
	89 HONEYWELL INTERNATIONAL INC @115.8325/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 4.89 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	10,309.09	7,362.88-
	306 INTEL CORPORATION @ 34.6144/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 16.82 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	10,592.01	8,352.11-
	100 ISHARES RUSSELL MIDCAP ETF @173.6739/UNIT ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 5.50 BROKERAGE LONG TERM CAPITAL GAIN	17,367.39	5,605.29-
	34 JACK HENRY & ASSOC INC @ 88.1229/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 1.87 BROKERAGE LONG TERM CAPITAL GAIN	2,996.18	612.01-



ACCOUNT NO: 4207-1
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PROCEEDS</u>	<u>INVESTMENTS AT COST</u>
	61 JPMORGAN CHASE & CO @ 63.7259/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 3.36 BROKERAGE LONG TERM CAPITAL GAIN	3,887.28 1,054.91	2,832.37-
	69 LOWE'S COMPANIES INC @ 81.053 /SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 3.80 BROKERAGE LONG TERM CAPITAL GAIN	5,592.66 1,905.65	3,687.01-
	146 MARATHON PETROLEUM CORPORATION @ 36.8344/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 8.03 BROKERAGE LONG TERM CAPITAL LOSS	5,377.82 2,103.58-	7,481.40-
	110 MEDNAX, INC. @ 75.0033/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 6.05 BROKERAGE LONG TERM CAPITAL GAIN	8,250.36 2,052.43	6,197.93-
	97 NEXTERA ENERGY, INC. @ 129.2165/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 5.33 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	12,534.00 421.82 3,729.32	8,382.86-
	143 ORACLE CORPORATION @ 40.9866/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 7.87 BROKERAGE LONG TERM CAPITAL GAIN	5,861.08 869.12	4,991.96-
	173 PUBLIC SERVICE ENTERPRISE GROUP INC @ 46.2263/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 9.52 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	7,997.15 354.38 1,510.24	6,132.53-
	172 QUESTAR CORP @ 25.1053/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 9.46 BROKERAGE LONG TERM CAPITAL GAIN	4,318.12 322.22	3,995.90-
	30 QUORUM HEALTH CORP @ 10.825 /SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 1.65 BROKERAGE SHORT TERM CAPITAL LOSS	324.75 141.19-	465.94-
	78 T. ROWE PRICE GROUP INC @ 73.4565/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 4.29 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL LOSS	5,729.61 109.42- 416.71-	6,255.74-



Compass

Wealth Management

ACCOUNT NO: 4207-1
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
	76 TIME WARNER INC @ 78.5136/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 4.18 BROKERAGE LONG TERM CAPITAL GAIN	5,967.03 208.90	5,758.13-
	165 U.S. BANCORP @ 41.9165/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 9.08 BROKERAGE LONG TERM CAPITAL GAIN	6,916.22 1,254.26	5,661.96-
	1441 VANGUARD FTSE EMERGING MARKETS ETF @ 36.5567/UNIT ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 79.26 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL LOSS	52,678.19 480.11- 8,553.64-	61,711.94-
	128 WAL-MART STORES @ 73.7459/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 7.04 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	9,439.47 42.27 1,202.64	8,194.56-
	23 WD-40 COMPANY @ 117.1022/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 1.27 BROKERAGE LONG TERM CAPITAL GAIN	2,693.35 2,090.58	602.77-
	55 WELLS FARGO COMPANY @ 47.9967/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 3.02 BROKERAGE LONG TERM CAPITAL GAIN	2,639.82 1,042.91	1,596.91-
	26 WESTERN DIGITAL CORP @ 52.0038/SHARE ON TRADE DATE 7/25/16 SOLD THROUGH ITG INC. PAID 1.43 BROKERAGE SHORT TERM CAPITAL GAIN	1,352.10 402.97	949.13-
08/19/16 MF	9185.94 WELLS FARGO HIGH YIELD BOND FUND @ 3.28 /UNIT ON TRADE DATE 7/25/16 LONG TERM CAPITAL GAIN	30,130.00- 4,779.64	34,909.64
MF	9185.94 HF HIGH YIELD BOND-INST @ 3.28 /UNIT ON TRADE DATE 7/25/16 LONG TERM CAPITAL LOSS	30,130.00 4,779.50-	34,909.50-
09/22/16	207 ARM HOLDINGS PLC-SPONS ADR @ 66.6019/SHARE ON TRADE DATE 9/22/16 LONG TERM CAPITAL GAIN	13,786.59 4,655.11	9,131.49-
	TOTAL SECURITIES SOLD NET REALIZED GAIN/LOSS	647,298.46 85,797.56 7	561,500.89-

ST (6,917.48) 51,526.79 58,444.27
LT 92,715.05 59,577.67 503,056.62



ACCOUNT NO: 4207
 ACCOUNT OF: COMPASS BANK, AGENT FOR
 DAVID AND EULA WINTERMANN FOUNDATION

SECURITIES SOLD

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PROCEEDS</u>	<u>INVESTMENTS AT COST</u>
10/26/15	125000 WAL MART STORES INC 1.500% 10/25/15 @ 1.00 /\$1 PV ON TRADE DATE 10/25/15	125,000.00	125,000.00-
11/18/15	111 SIGMA-ALDRICH CORPORATION @140.00 /SHARE ON TRADE DATE 11/18/15 LONG TERM CAPITAL GAIN 481.19	15,540.00	15,058.81-
12/01/15	0.51 BANCO SANTANDER SA @ 4.6139 /SHARE ON TRADE DATE 11/25/15	2.36	2.36-
01/12/16	1037 ALLSTATE CORPORATION @ 58.4254 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 57.04 BROKERAGE LONG TERM CAPITAL GAIN 27,813.27	60,587.17	32,773.90-
	101 AMAZON COM INC @609.2084 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 5.56 BROKERAGE LONG TERM CAPITAL GAIN 39,215.63	61,530.05	22,314.42-

ACCOUNT NO: 4207
ACCOUNT OF: COMPASS BANK, AGENT FOR
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DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
	774 AMERISOURCEBERGEN @ 98.8774/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 42.57 BROKERAGE LONG TERM CAPITAL GAIN	76,531.12	17,562.66-
	2236 APPLE INC. @ 97.5143/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH RAFFERTY CAPITAL MARKETS, LLC PAID 122.98 BROKERAGE LONG TERM CAPITAL GAIN	218,041.99	29,063.21-
	1208 AXA - SPONS ADR @ 25.4545/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 66.44 BROKERAGE SHORT TERM CAPITAL LOSS	30,749.06	30,811.01-
	1242 BANK OF NEW YORK MELLON CORP @ 37.9436/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 68.31 BROKERAGE LONG TERM CAPITAL GAIN	47,125.93	38,011.33-
	504 BEMIS COMPANY INC @ 44.1972/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 27.72 BROKERAGE LONG TERM CAPITAL GAIN	22,275.37	15,669.27-
	1702 BG GROUP PLC-SPON ADR @ 13.9847/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 93.61 BROKERAGE SHORT TERM CAPITAL LOSS	23,802.03	29,991.78-
	241 CHECK POINT SOFTWARE TECH LTD @ 77.4463/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 13.25 BROKERAGE SHORT TERM CAPITAL LOSS	18,664.57	19,478.80-
	291 DAIHLER AG-ADR @ 74.0736/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 16.00 BROKERAGE LONG TERM CAPITAL GAIN	21,555.42	15,220.76-
	969 EBAY INC @ 25.6359/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 53.29 BROKERAGE LONG TERM CAPITAL GAIN	24,841.21	19,857.83-
	354 ENSCO PLC (GBP) @ 12.707 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 19.47 BROKERAGE LONG TERM CAPITAL LOSS	4,498.29	14,859.11-
	480 EQUIFAX INC @105.6088/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 26.40 BROKERAGE LONG TERM CAPITAL GAIN	50,692.20	12,542.51-



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ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PROCEEDS</u>	<u>INVESTMENTS AT COST</u>
	775 FIRSTHERIT CORP @ 17.6554/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 42.63 BROKERAGE SHORT TERM CAPITAL LOSS	13,682.90 1,136.50-	14,819.40-
	2687 FREEPORT-MCMORAN INC. @ 5.4249/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 147.78 BROKERAGE LONG TERM CAPITAL LOSS	14,576.71 70,371.09-	84,947.80-
	358 GEA GROUP AG ADR (EUR) @ 36.6443/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 19.69 BROKERAGE SHORT TERM CAPITAL LOSS	13,118.66 1,840.37-	14,959.03-
	29 HALYARD HEALTH INC @ 30.0441/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 1.60 BROKERAGE LONG TERM CAPITAL GAIN	871.28 180.86	690.42-
	425 HEARTLAND PAYMENT SYSTEMS INC @ 93.0098/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 23.38 BROKERAGE LONG TERM CAPITAL GAIN	39,529.16 19,195.07	20,334.09-
	373 HEINEKEN NV SPN ADR @ 41.0942/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 20.51 BROKERAGE SHORT TERM CAPITAL GAIN	15,328.15 372.71	14,955.44-
	117 KIMBERLY-CLARK CORPORATION @ 126.7357/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 2.34 BROKERAGE LONG TERM CAPITAL GAIN	14,828.08 6,790.31	8,037.77-
	363 LINEAR TECHNOLOGY CORPORATION @ 39.0855/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 19.97 BROKERAGE SHORT TERM CAPITAL LOSS	14,188.03 794.80-	14,982.83-
	274 MERCURY GENERAL CORPORATION @ 44.1804/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 15.07 BROKERAGE SHORT TERM CAPITAL LOSS	12,105.44 3,045.66-	15,151.10-
	492 NEW JERSEY RESOURCES CORP @ 33.8006/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 27.06 BROKERAGE SHORT TERM CAPITAL GAIN	16,629.89 1,623.75	15,006.14-
	841 NIPPON TELEPHONE & TELEGRAPH ADR @ 40.0469/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 46.25 BROKERAGE LONG TERM CAPITAL GAIN	33,679.41 9,681.55	23,997.86-

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DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
	969 PAYPAL HLDGS INC @ 33.3025/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 53.30 BROKERAGE LONG TERM CAPITAL GAIN	32,270.08 1,561.24	30,708.84-
	495 PFIZER INCORPORATED @ 31.4709/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 9.90 BROKERAGE LONG TERM CAPITAL GAIN	15,578.12 700.94	14,877.18-
	442 PIEDMONT NATURAL GAS COMPANY INC @ 57.5563/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 24.31 BROKERAGE LONG TERM CAPITAL GAIN	25,439.90 10,453.93	14,985.97-
	1401 PROCTER & GAMBLE COMPANY @ 76.806 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 77.06 BROKERAGE LONG TERM CAPITAL GAIN	107,605.21 11,059.72	96,545.49-
	445 WD-40 COMPANY @ 94.973 /SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 24.48 BROKERAGE LONG TERM CAPITAL GAIN	42,263.00 24,123.11	18,139.89-
	645 WESTAMERICA BANCORPORATION @ 44.5979/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 35.48 BROKERAGE LONG TERM CAPITAL LOSS	28,765.62 1,264.50-	30,030.12-
	1966 WOLVERINE WORLD WIDE INC @ 16.2773/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 108.13 BROKERAGE LONG TERM CAPITAL LOSS	32,001.17 13,310.80-	45,311.97-
	626 ZIMMER BIOMET HOLDINGS INC @ 101.7587/SHARE ON TRADE DATE 1/07/16 SOLD THROUGH ITG INC. PAID 34.43 BROKERAGE LONG TERM CAPITAL GAIN	63,700.96 159.31	63,541.65-
01/19/16	125000 FHLHC NOTES 4.750% 1/19/16 @ 1.00 /\$1 PV ON TRADE DATE 1/19/16 LONG TERM CAPITAL GAIN	125,000.00 1,315.87	123,684.13-
02/18/16	0.67 FOUR CORNERS PPTY REIT @ 15.6742/SHARE ON TRADE DATE 2/18/16 LONG TERM CAPITAL GAIN	10.45 3.78	6.67-
04/12/16	0.31 FOUR CORNERS PPTY REIT @ 18.0253/SHARE ON TRADE DATE 4/12/16 SOLD THROUGH NOT APPLICABLE LONG TERM CAPITAL GAIN	5.55 2.47	3.08-
04/27/16	1 HEARTLAND PAYMENT SYSTEMS INC @ 101.12 /SHARE ON TRADE DATE 4/27/16 LONG TERM CAPITAL GAIN	101.12 53.28	47.84-



ACCOUNT NO: 4207
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DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
	521 HEARTLAND PAYMENT SYSTEMS INC @105.2553/SHARE ON TRADE DATE 4/27/16 SHORT TERM CAPITAL GAIN	54,838.02 27,758.88	27,079.14-
05/02/16	200000 US TREASURY NOTES 2.625% 4/30/16 @ 1.00 /\$1 PV ON TRADE DATE 4/30/16 LONG TERM CAPITAL LOSS	200,000.00 1,330.36-	201,330.36-
05/10/16	0.06 GLOBAL PAYMENTS INC @ 72.4756/SHARE ON TRADE DATE 5/05/16 LONG TERM CAPITAL GAIN	4.45 3.01	1.44-
05/25/16	169 TIME WARNER CABLE INC. @157.255 /SHARE ON TRADE DATE 5/19/16 SOLD THROUGH NOT APPLICABLE LONG TERM CAPITAL GAIN	26,576.10 16,900.00	9,676.10-
	230 TIME WARNER CABLE INC. @166.2441/SHARE ON TRADE DATE 5/19/16 SOLD THROUGH NOT APPLICABLE LONG TERM CAPITAL GAIN	38,236.15 23,000.00	15,236.15-
06/07/16	0.16 WESTERN DIGITAL CORP @ 45.368 /SHARE ON TRADE DATE 6/07/16 SHORT TERM CAPITAL GAIN	7.15 1.40	5.75-
06/09/16	0.5 QUORUM HEALTH CORP @ 12.64 /SHARE ON TRADE DATE 6/09/16 SHORT TERM CAPITAL LOSS	6.32 1.45-	7.77-
06/16/16	0.14 CHARTER COMMUNICATIONS INC-A @226.7271/SHARE ON TRADE DATE 6/16/16 SHORT TERM CAPITAL GAIN	32.49 15.71	16.78-
07/01/16	309 A.O. SMITH CORPORATION @ 82.9427/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 17.00 BROKERAGE LONG TERM CAPITAL GAIN	25,629.29 10,669.08	14,960.21-
	3767 ACCOR SA - SPONSORED ADR @ 7.4748/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH MERRILL LYNCH/MLPFS PAID 207.19 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL LOSS	28,157.70 959.35- 1,320.90-	30,437.95-
	499 ADIDAS AG ADR (EUR) @ 67.7235/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 27.44 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	33,794.04 3,815.33 9,349.30	20,629.41-
	1074 AGILENT TECHNOLOGIES INC @ 42.6373/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 59.07 BROKERAGE LONG TERM CAPITAL GAIN	45,792.44 14,602.55	31,189.89-
	394 ALLERGAN PLC @224.3052/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 21.67 BROKERAGE LONG TERM CAPITAL GAIN	88,376.23 25,878.73	62,497.50-

ACCOUNT NO: 4207
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	403 ALLSTATE CORPORATION @ 67.0184/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 22.16 BROKERAGE LONG TERM CAPITAL GAIN	27,008.42 5,847.77	21,160.65-
	399 AMERICAN EXPRESS @ 57.3556/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 21.95 BROKERAGE LONG TERM CAPITAL GAIN	22,884.90 2,526.12	20,358.78-
	999 AMERISOURCEBERGEN @ 74.9951/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 54.95 BROKERAGE LONG TERM CAPITAL GAIN	74,920.11 46,598.73	28,321.38-
	2191 AT&T INC @ 41.8759/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 120.51 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	91,750.17 10,775.00 3,448.23	77,526.94-
	1404 ATOS ORIGIN SA ADR @ 15.9646/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 77.22 BROKERAGE SHORT TERM CAPITAL LOSS	22,414.36 323.42-	22,737.78-
	395 BADGER METER INC @ 69.2781/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 21.73 BROKERAGE LONG TERM CAPITAL GAIN	27,364.86 13,794.67	13,570.19-
	1307 BAKER HUGHES INCORPORATED @ 43.0198/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 71.89 BROKERAGE LONG TERM CAPITAL LOSS	56,226.82 33,646.43-	89,873.25-
	1128 BANK OF NEW YORK MELLON CORP @ 36.2661/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 62.04 BROKERAGE LONG TERM CAPITAL GAIN	40,908.16 6,385.79	34,522.37-
	2385 BARCLAYS PLC - SPONSORED ADR @ 7.1848/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 131.18 BROKERAGE LONG TERM CAPITAL LOSS	17,135.84 21,096.74-	38,232.58-
	350 CAMPBELL SOUP COMPANY @ 62.2749/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 19.25 BROKERAGE LONG TERM CAPITAL GAIN	21,796.23 6,947.76	14,848.47-
	172 CARDINAL HEALTH INC. @ 74.8509/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 9.46 BROKERAGE SHORT TERM CAPITAL LOSS	12,874.36 1,776.55-	14,650.91-



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DAVID AND EULA WINTERMANN FOUNDATION

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PROCEEDS</u>	<u>INVESTMENTS AT COST</u>
	1268 CBS CORP-B @ 51.4255/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 69.74 BROKERAGE LONG TERM CAPITAL GAIN	65,207.53 19,102.98	46,104.55-
	1199 CDW CORPORATION OF DELAWARE @ 39.506 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 65.95 BROKERAGE SHORT TERM CAPITAL LOSS	47,367.73 376.08-	47,743.81-
	936 CENTENE CORPORATION @ 67.03 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 51.47 BROKERAGE SHORT TERM CAPITAL LOSS	62,740.09 3,374.18-	66,114.27-
	1155 CHEVRON CORP @100.7747/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 63.53 BROKERAGE LONG TERM CAPITAL GAIN	116,394.77 28,527.58	87,867.19-
	1230 COMMUNITY HEALTH SYSTEMS INC. @ 12.0165/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 67.65 BROKERAGE SHORT TERM CAPITAL LOSS	14,780.24 8,430.68-	23,210.92-
	1437 CONOCOPHILLIPS @ 41.456 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 79.04 BROKERAGE LONG TERM CAPITAL LOSS	59,572.26 40,804.08-	100,376.34-
	245 CONSOLIDATED EDISON, INC @ 78.807 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 13.48 BROKERAGE LONG TERM CAPITAL GAIN	19,307.72 5,358.77	13,948.95-
	205 CORE LABORATORIES N.V. @117.1563/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 11.28 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL LOSS	24,017.04 102.29 3,490.19-	27,404.94-
	692 DEERE & COMPANY @ 80.5889/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 38.06 BROKERAGE LONG TERM CAPITAL LOSS	55,767.54 8,023.55-	63,791.09-
	1174 DENSO CORPORATION ADR @ 16.8746/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 64.57 BROKERAGE LONG TERM CAPITAL LOSS	19,810.81 10,388.58-	30,199.39-
	1776 DICK'S SPORTING GOODS @ 41.236 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 97.68 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL LOSS	73,235.12 805.02- 14,785.62-	88,825.76-

ACCOUNT NO: 4207
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DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
	2016 DISCOVER FINANCIAL SERVICES @ 50.9558/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 110.88 BROKERAGE LONG TERM CAPITAL LOSS	102,726.86 10,701.22-	113,428.08-
	1129 DOVER CORPORATION @ 65.4255/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 62.10 BROKERAGE LONG TERM CAPITAL GAIN	73,865.35 33,157.98	40,707.37-
	2081 EMC CORPORATION @ 27.0063/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 114.46 BROKERAGE LONG TERM CAPITAL GAIN	56,200.12 10,378.64	45,821.48-
	663 EOG RESOURCES INC @ 80.577 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 36.47 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL LOSS	53,422.57 956.76 10,901.03-	63,366.84-
	1356 EXPRESS SCRIPTS HOLDING INC @ 73.5753/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 74.58 BROKERAGE LONG TERM CAPITAL GAIN	99,768.10 26,551.59	73,216.51-
	1463 FEDERATED INVESTORS INC CL-B @ 27.0063/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 80.47 BROKERAGE SHORT TERM CAPITAL LOSS	39,510.23 10,363.15-	49,873.38-
	842 FIRST CASH FINANCIAL SERVICES INC @ 48.1325/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 46.31 BROKERAGE LONG TERM CAPITAL GAIN	40,527.55 11,752.29	28,775.26-
	511 F5 NETWORKS INC @109.8327/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 28.11 BROKERAGE SHORT TERM CAPITAL GAIN	56,124.51 9,336.13	46,788.38-
	5545 GENERAL ELECTRIC COMPANY @ 29.8654/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH MERRILL LYNCH/MLPFS PAID 304.98 BROKERAGE LONG TERM CAPITAL GAIN	165,603.91 76,546.95	89,056.96-
	581 GLOBAL PAYMENTS INC @ 69.2373/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 31.96 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	40,226.86 2,132.55 13,741.63	24,352.68-
	368 GOLDMAN SACHS GROUP INC @140.3976/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 20.24 BROKERAGE LONG TERM CAPITAL LOSS	51,666.33 5,460.66-	57,126.99-



ACCOUNT NO: 4207
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PROCEEDS</u>	<u>INVESTMENTS AT COST</u>
	629 HCP, INC. @ 34.8061/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 34.60 BROKERAGE LONG TERM CAPITAL LOSS	21,893.06 1,180.60-	23,073.66-
	1019 HONEYWELL INTERNATIONAL INC @ 112.7782/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 56.05 BROKERAGE LONG TERM CAPITAL GAIN	114,921.02 66,176.94	48,744.08-
	1516 INFINEON TECHNOLOGIES AG @ 13.9147/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 83.38 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	21,094.67 687.42 2,480.97	17,926.28-
	472 JACK HENRY & ASSOC INC @ 83.8764/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 25.95 BROKERAGE LONG TERM CAPITAL GAIN	39,589.68 25,230.92	14,358.76-
	539 JPMORGAN CHASE & CO @ 58.6356/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 29.65 BROKERAGE LONG TERM CAPITAL GAIN	31,604.59 12,526.68	19,077.91-
	337 LIXIL GROUP CORP ADR @ 34.2643/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 18.53 BROKERAGE SHORT TERM CAPITAL LOSS	11,547.06 3,424.17-	14,971.23-
	670 LOWE'S COMPANIES INC @ 77.4252/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 36.85 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	51,874.89 162.34 15,707.23	36,005.32-
	1400 MARATHON PETROLEUM CORPORATION @ 34.6561/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 77.00 BROKERAGE LONG TERM CAPITAL LOSS	48,518.60 23,220.83-	71,739.43-
	849 MEDNAX, INC. @ 69.173 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 46.70 BROKERAGE LONG TERM CAPITAL GAIN	58,727.86 11,787.71	46,940.15-
	262 MURPHY OIL CO @ 29.3763/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 14.41 BROKERAGE LONG TERM CAPITAL LOSS	7,696.58 7,081.50-	14,778.08-
	1839 NABORS INDUSTRIES LTD @ 9.3567/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 101.15 BROKERAGE LONG TERM CAPITAL LOSS	17,206.95 14,634.59-	31,841.54-

ACCOUNT NO: 4207
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
	1008 NEXTERA ENERGY, INC. @128.026 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 55.44 BROKERAGE LONG TERM CAPITAL GAIN	129,050.21 71,454.29	57,595.92-
	1011 OTSUKA HOLDINGS LTD (JPY) @ 22.9745/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 55.61 BROKERAGE SHORT TERM CAPITAL GAIN	23,227.21 4,315.27	18,911.94-
	1774 PUBLIC SERVICE ENTERPRISE GROUP INC @ 44.1357/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 97.57 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	78,296.82 1,072.95 15,779.44	61,444.43-
	2357 QUESTAR CORP @ 25.1364/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 129.63 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	59,246.38 2,586.72 2,888.79	53,770.87-
	307 QUORUM HEALTH CORP @ 10.6319/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 16.89 BROKERAGE SHORT TERM CAPITAL LOSS	3,264.00 1,504.12-	4,768.12-
	631 ROCHE HOLDINGS LTD - SPONS ADR @ 31.3643/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 34.71 BROKERAGE LONG TERM CAPITAL LOSS	19,790.87 3,879.11-	23,669.98-
	392 ROYAL DUTCH SHELL PLC - ADR @ 51.8658/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 21.56 BROKERAGE SHORT TERM CAPITAL GAIN	20,331.38 3,671.34	16,660.04-
	1041 SECOM LTD ADR (JPY) @ 18.4946/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 57.26 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	19,252.86 85.55 3,552.46	15,614.85-
	1770 SEVEN & I HLDGS CO LTD ADR @ 21.3745/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 97.35 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL GAIN	37,832.92 710.51- 2,486.85	36,056.58-
	4899 SUMITOMO MITSUI FINANCIAL ADR (JPY) @ 5.595 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH CONVERGEX PAID 269.45 BROKERAGE LONG TERM CAPITAL LOSS	27,409.78 13,791.29-	41,201.07-

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ACCOUNT NO: 4207
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DAVID AND EULA WINTERMANN FOUNDATION

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PROCEEDS</u>	<u>INVESTMENTS AT COST</u>
	346 SYNGENTA AG ADR (CHF) @ 75.7752/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 19.03 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL LOSS	26,218.23 1,585.29- 2,322.28-	30,125.80-
	980 T. ROWE PRICE GROUP INC @ 68.7754/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 53.90 BROKERAGE LONG TERM CAPITAL LOSS	67,399.88 12,513.30-	79,913.18-
	731 TIME WARNER INC @ 69.4773/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 40.21 BROKERAGE LONG TERM CAPITAL LOSS	50,787.88 6,322.85-	57,110.73-
	1755 TORAY INDUSTRIES INC-UNSP ADR (JP) @ 16.9746/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 96.53 BROKERAGE LONG TERM CAPITAL LOSS	29,790.46 299.31-	30,089.77-
	1565 U.S. BANCORP @ 38.6061/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 86.08 BROKERAGE LONG TERM CAPITAL GAIN	60,418.47 8,139.80	52,278.67-
	304 VALSPAR CORPORATION @107.0541/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 16.70 BROKERAGE LONG TERM CAPITAL GAIN	32,544.46 13,707.93	18,836.53-
	817 WAL-MART STORES @ 70.6953/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 44.94 BROKERAGE LONG TERM CAPITAL GAIN	57,758.10 13,615.63	44,142.47-
	1595 WELLS FARGO COMPANY @ 45.5759/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 87.73 BROKERAGE LONG TERM CAPITAL GAIN	72,693.56 26,382.90	46,310.66-
	250 WESTERN DIGITAL CORP @ 43.536 /SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 13.75 BROKERAGE SHORT TERM CAPITAL GAIN	10,883.99 1,757.72	9,126.27-
	209 WHITING PETE CORPORATION @ 8.9267/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 11.49 BROKERAGE LONG TERM CAPITAL LOSS	1,865.69 12,965.98-	14,831.67-
	96 3M CO @168.5214/SHARE ON TRADE DATE 6/28/16 SOLD THROUGH ITG INC. PAID 5.28 BROKERAGE LONG TERM CAPITAL GAIN	16,178.05 8,730.95	7,447.10-

ACCOUNT NO: 4207
ACCOUNT OF: COMPASS BANK, AGENT FOR
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DATE	SECURITY DESCRIPTION	PROCEEDS	INVESTMENTS AT COST
07/05/16	0.8 KONINKLIJKE PHILIPS N.V. @ 24.3647/SHARE ON TRADE DATE 6/29/16	19.56	19.56-
07/15/16	1023 EMBRAER SA ADR @ 21.7296/SHARE ON TRADE DATE 7/12/16 SOLD THROUGH ITG INC. PAID 56.27 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL LOSS	22,229.40	30,012.73- 3,367.45- 4,415.88-
	193 FOUR CORNERS PPTY REIT @ 21.2496/SHARE ON TRADE DATE 7/12/16 SOLD THROUGH ITG INC. PAID 10.62 BROKERAGE SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	4,101.17	2,618.77- 262.04 1,220.36
	346 SKY PLC ADR @ 46.774 /SHARE ON TRADE DATE 7/12/16 SOLD THROUGH ITG INC. PAID 19.03 BROKERAGE SHORT TERM CAPITAL LOSS LONG TERM CAPITAL LOSS	16,183.79	23,500.80- 2,953.83- 4,363.18-
09/19/16	596 QUESTAR CORP @ 25.00 /SHARE ON TRADE DATE 9/19/16 SHORT TERM CAPITAL GAIN	14,900.00	11,420.79- 3,479.21
09/22/16	2185 ARM HOLDINGS PLC-SPONS ADR @ 66.6019/SHARE ON TRADE DATE 9/22/16 SHORT TERM CAPITAL GAIN LONG TERM CAPITAL GAIN	145,525.15	92,970.56- 8,918.98 43,635.62
	TOTAL SECURITIES SOLD NET REALIZED GAIN/LOSS	888,307.00 ⁸	
	ST 30,051.54	5,229,168.74	4,340,861.66-
	LT 858,255.54	584,675.80	554,624.26
		4,644,492.94	3,786,231.40

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ACCOUNT NO: 4207
ACCOUNT OF: COMPASS BANK, AGENT FOR
DAVID AND EULA WINTERMANN FOUNDATION

<u>DATE</u>	<u>SECURITY DESCRIPTION</u>	<u>PAID</u>	<u>INVESTMENTS AT COST</u>
	2362 ATOS ORIGIN SA ADR @ 16.195 /SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 129.91 BROKERAGE	38,252.59-	38,252.59
	252 AUTODESK INC @ 56.5647/SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 13.86 BROKERAGE	14,254.30-	14,254.30
	268 BADGER METER INC @ 56.0379/SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 14.74 BROKERAGE	15,018.15-	15,018.15
	3326 BANCO SANTANDER SA @ 4.505 /SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH RAFFERTY CAPITAL MARKETS, LLC PAID 182.93 BROKERAGE	14,983.63-	14,983.63
	172 CARDINAL HEALTH INC. @ 85.1797/SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 9.46 BROKERAGE	14,650.91-	14,650.91
	1199 CDW CORPORATION OF DELAWARE @ 39.8197/SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 65.94 BROKERAGE	47,743.81-	47,743.81
	883 CERNER CORPORATION @ 57.855 /SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 48.57 BROKERAGE	51,085.97-	51,085.97
	518 CHINA MOBILE LIMITED @ 51.7524/SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 28.49 BROKERAGE	26,807.73-	26,807.73
	472 CINEMARK HOLDINGS, INC. @ 31.0621/SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 25.96 BROKERAGE	14,661.32-	14,661.32
	1230 COMMUNITY HEALTH SYSTEMS INC. @ 22.7535/SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 67.65 BROKERAGE	27,986.81-	27,986.81
	231 CORE LABORATORIES N.V. @ 100.109 /SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 12.70 BROKERAGE	23,125.17-	23,125.17
	434 DICK'S SPORTING GOODS @ 35.7101/SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 23.87 BROKERAGE	15,498.18-	15,498.18
	522 EOG RESOURCES INC @ 66.507 /SHARE ON TRADE DATE 1/ 7/16 PURCHASED THROUGH ITG INC. PAID 28.71 BROKERAGE	34,716.65-	34,716.65

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
AMORTIZATION OF BOND PREMIUM/DISCOUNT	-3,238.	-3,238.	
COMPASS BANK	99,701.	99,701.	
THE FIRST NATIONAL BANK	40.	40.	
TOTAL TO PART I, LINE 3	96,503.	96,503.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
COMPASS BANK	321,085.	0.	321,085.	321,085.	
TO PART I, LINE 4	321,085.	0.	321,085.	321,085.	

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
K-1 FROM 2200 & ASSOCIATES	1,460.	1,460.	
K-1 FROM RICE INDUSTRIES	-1,004.	-1,004.	
TOTAL TO FORM 990-PF, PART I, LINE 11	456.	456.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX RETURN PREPARATION	6,515.	0.		6,515.
TO FORM 990-PF, PG 1, LN 16B	6,515.	0.		6,515.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES - COMPASS BANK	137,355.	25,061.		112,294.
ACCOUNTING FEES	30,000.	6,000.		24,000.
TO FORM 990-PF, PG 1, LN 16C	167,355.	31,061.		136,294.

FORM 990-PF

TAXES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	6,006.	6,006.		0.
FEDERAL TAXES	27,435.	0.		0.
TO FORM 990-PF, PG 1, LN 18	33,441.	6,006.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEMBERSHIP DUES & ASSESSMENTS	2,100.	0.		2,100.
BANK FEES	225.	0.		225.
INSURANCE	4,369.	2,184.		2,185.
OFFICE SUPPLIES	111.	0.		56.
TO FORM 990-PF, PG 1, LN 23	6,805.	2,184.		4,566.

FORM 990-PF	OTHER FUNDS	STATEMENT	8
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DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR
RE OR ACCUMULATED INCOME	16,429,835.	16,862,133.
TOTAL TO FORM 990-PF, PART II, LINE 29	16,429,835.	16,862,133.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	9
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US OBLIGATIONS	X		1,881,851.	1,925,415.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,881,851.	1,925,415.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,881,851.	1,925,415.

FORM 990-PF

CORPORATE STOCK

STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	11,274,748.	13,928,286.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,274,748.	13,928,286.

FORM 990-PF	CORPORATE BONDS	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	2,240,148.	2,320,619.
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,240,148.	2,320,619.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS	FMV	953,147.	888,537.
PARTNERSHIPS	FMV	14,717.	14,717.
TOTAL TO FORM 990-PF, PART II, LINE 13		967,864.	903,254.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JACK JOHNSON
P.O. BOX 337
EAGLE LAKE, TX 77434

TELEPHONE NUMBER

NAME OF GRANT PROGRAM

979-234-5551

SCHOLARSHIP PROGRAM

EMAIL ADDRESS

JM.JOHNSON@WINCOAG.COM

FORM AND CONTENT OF APPLICATIONS

- (1) HIGH SCHOOL TRANSCRIPT
- (2) INFORMATION OF FINANCIAL NEED
- (3) FIELD OF STUDY
- (4) CHARACTER REFERENCE

ANY SUBMISSION DEADLINES

APRIL 1ST OF EACH YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

MUST BE ENTERING THE MEDICAL FIELD OF STUDY.

SHARES/ PAR VALUE	SECURITY DESCRIPTION	INVESTMENTS AT COST	MARKET VALUE AT FISCAL YEAR-END
GOVERNMENT OBLIGATIONS			
	100000 US TREASURY NOTES	100,466.40	100,070.00
	150000 US TREASURY NOTES	148,171.88	150,639.00
	100000 US TREASURY NOTES	99,406.25	100,973.00
	100000 US TREASURY NOTES	101,925.78	101,676.00
	125000 US TREASURY NOTES	125,064.82	129,926.25
	125000 US TREASURY NOTES	125,900.34	129,853.75
	125000 US TREASURY NOTES	124,594.73	129,872.50
	50000 US TREASURY NOTES	50,485.56	52,449.00
	100000 US TREASURY NOTES	101,937.08	105,582.00
	100000 US TREASURY NOTES	101,576.79	105,563.00
	50000 US TREASURY NOTES	50,823.31	52,613.50
	125000 US TREASURY NOTES	125,177.13	131,342.50
	50000 US TREASURY NOTES	49,919.92	50,613.50
	25000 US TREASURY NOTES	25,082.37	25,045.25
	25000 US TREASURY NOTES	24,756.84	25,318.25
	25000 US TREASURY NOTES	25,144.96	25,261.75
	25000 US TREASURY NOTES	25,357.59	25,881.75
	25000 US TREASURY NOTES	24,951.17	26,257.75
	25000 US TREASURY NOTES	25,316.86	26,395.50
	25000 US TREASURY NOTES	25,312.24	27,279.25
	125000 FHLB NOTES	125,497.51	127,256.25
	125000 FNMA NOTES	125,122.84	125,325.00
	125000 FNMA NOTES	125,085.12	125,142.50
	25000 FHLMC NOTES	24,773.75	25,078.00
TOTAL GOVERNMENT OBLIGATIONS		1,881,851.24	1,925,415.25
CORPORATE OBLIGATIONS			
	75000 AMERICAN EXPRESS CRE NOTES	75,066.29	76,083.00
	25000 AT&T INC NOTES	25,086.24	26,986.00
	100000 BANK NEW YORK MELLON NOTES	99,954.00	100,222.00
	50000 BERKSHIRE HATHWAY NOTES	50,098.91	50,155.00
	125000 CHEVRON CORPORATION NOTES	124,961.25	135,328.75
	25000 CHEVRON CORPORATION NOTES	25,024.21	25,220.25
	100000 COCA COLA CO NOTES	99,694.00	107,310.00
	125000 GENERAL ELECTRIC CAP COR NOTES	126,400.30	138,496.25
	100000 GOLDMAN SACHS GROUP NOTES	100,249.48	101,105.00
	50000 HOME DEPOT INC NOTES	50,392.08	52,063.00
	25000 HOME DEPOT INC NOTES	25,219.25	25,544.25
	25000 JOHN DEERE CAP CORP NOTES	25,026.99	25,442.25
	75000 JOHNSON & JOHNSON CORP NOTES	77,890.38	77,077.50
	50000 JP MORGAN CHASE & CO NOTES	50,179.41	50,832.50
	50000 JP MORGAN CHASE & CO NOTES	50,622.50	50,516.00
	25000 JP MORGAN CHASE & CO NOTES	23,646.51	26,222.00
	50000 MCDONALDS CORP MED NOTES	50,456.16	51,740.50
	100000 MICROSOFT CORP NOTES	99,427.00	101,218.00
	50000 ORACLE CORP NOTES	50,868.74	53,406.00
	25000 ORACLE CORP NOTES	25,254.25	25,618.00

DAVID AND EULA WINTERMANN FOUNDATION

76-0082100

150000 PEPSICO INC	152,609 68	159,439 50
25000 PEPSICO INC	25,687 50	25,988.75
25000 SIMON PPTY GROUP LP NOTES	25,054 67	25,166 75
150000 TARGET CORP NOTES	151,139 38	154,351 50
75000 UPS NOTES	76,336.84	80,106 75
50000 US BANCORP NOTES	50,403 56	51,026 00
200000 US BANCORP NOTES	201,500.48	211,888 00
50000 WAL-MART STORES NOTES	50,084.46	51,915.00
25000 WAL-MART STORES NOTES	25,493.37	26,872 25
25000 WELLS FARGO & CO NOTES	25,085.33	25,108 00
50000 WELLS FARGO & CO NOTES	51,442.87	50,775 00
150000 WELLS FARGO & CO NOTES	149,791 50	157,395 00
TOTAL CORPORATE OBLIGATIONS	2,240,147.59	2,320,618.75

EQUITIES

3899 ABBOTT LABORATORIES	153,575 24	164,888 71
1281 ACTIVISION BLIZZARD, INC	15,044.80	56,748 30
226 ADIDAS AG ADR	9,233 23	19,639 40
3805 AEGON N V ORD AMER	30,070 42	14,687 30
476 AERCAP HOLINGS NV	15,242.47	18,321 24
481 AETNA INC	56,826 22	55,531 45
355 AGILENT TECHNOLOGIES, INC	11,123 45	16,716 95
489 AKAMAI TECHNOLOGIES INC	12,976 68	25,912 11
2236 ALIBABA GROUP HLDG LTD	181,394 58	236,546 44
1187 ALLEGHENY TECHNOLOGIES INC	30,092 41	21,449 09
326 ALPHABET INC - CL A	169,081.39	262,123 56
182 ALPHABET INC - CL C	54,685 61	141,466 78
477 AMAZON COM INC	155,023 51	399,396.87
842 AMERICAN EXPRESS	49,200 39	53,921 68
358 AMERICAN TOWER CORP	24,115 57	40,572 14
204 AMERISOURCEBERGEN	10,062 20	16,479.12
672 AMGEN INCORPORATED	96,958 54	112,096 32
570 ANALOG DEVICES INC	28,544 86	36,736 50
352 ANSYS INC	22,676.55	32,598 72
959 APPLE INC	14,534.78	108,414 95
637 AQUA AMERICA INC	14,985 37	19,415 76
523 ARCHER DANIELS MIDLAND COMPANY	15,232.33	22,054 91
713 ARTISAN PARTNERS ASSET MGMT INC	32,561 30	19,393 60
1115 ASTRAZENECA GROUP PLC	37,402 51	36,638 90
358 AT&T INC	12,073 07	14,538 38
958 ATOS ORGIN SA ADR	15,514 81	20,529 94
2547 AUTODESK INC	128,584.91	184,224 51
607 AUTOMATIC DATA PROCESSING	45,186 84	53,537 40
1316 BADGER METER INC	33,939 24	44,099 16
5875 BANCO SANTANDER SA	40,190.55	25,908 75
1264 BANK OF HAWAII	75,027 74	91,791.68
149 BARD (C R.) INC	15,119.78	33,417.72
191 BIO-RAD LABORATORIES, INC	12,551 48	31,287 71
243 BLACK HILLS CORP	15,009 94	14,876 46
1930 BORGWARNER INC	62,414 15	67,897 40
153 BOSTON PROPERTIES INC	19,038 08	20,852 37
2279 BOSTON SCIENTIFIC CORPORATION	50,715.14	54,240 20
283 CABOT CORPORATION	14,706 07	14,832 03
1035 CABOT MICROELECTRONICS CORP	38,790 71	54,761.85

DAVID AND EULA WINTERMANN FOUNDATION

76-0082100

1827 CAPITAL ONE FINANCIAL CORPORATION	108,970 60	131,233 41
211 CATERPILLAR INCORPORATED	15,213 36	18,730 47
861 CBRE	15,054 50	24,090 78
680 CEB INC	49,802.32	37,039 60
1991 CERNER CORPORATION	112,625.24	122,944 25
211 CHARTER COMMUNICATIONS INC - A	27,054.45	56,963 67
1544 CHEESECAKE FACTORY	74,957 96	77,292 64
518 CHINA MOBILE LIMITED	26,807.73	31,867 36
141 CHUBB LIMITED	14,875.08	17,716 65
894 CIMAREX ENERGY CO	101,867.02	120,126.78
320 CINCINNATI FINANCIAL CORP	8,668 80	24,134 40
1718 CINEMARK HOLDINGS INC	51,960.17	65,765 04
11899 CISCO SYSTEMS INCORPORATED	297,951.01	377,436.28
1409 CK HUTCHISON HOLDINGS LTD	15,097 44	17,936 57
260 CLARCOR INC	15,223.77	16,900 00
155 CME GROUP, INC	15,005.40	16,200 60
3973 COCA-COLA COMPANY	164,141.84	168,137 36
831 COGNEX CORPORATION	17,880 64	43,926 66
1443 COMCAST CORPORATION	91,002 16	95,728 62
459 CONTINENTAL RESOURCES INC	19,848.59	23,849.64
260 CORE LABORATORIES N V	26,769.55	29,205.80
977 CSX CORPORATION	12,078 78	29,798 50
193 CUMMINS ENGINE COMPANY INC	13,171 19	24,732 95
1708 D R HORTON INC	16,594 81	51,581 60
12036 DANONE ADR(EURO)	163,063.19	178,734 60
428 DARDEN RESTAURANTS INC	15,072 84	26,244 96
342 DBS GROUP HOLDINGS	15,576.39	15,588 36
548 DENTSPLY SIRONA INC	30,410 92	32,567.64
917 DEUTSCHE POST AG	29,537 90	28,582 89
233 DOVER CORPORATION	16,490 32	17,158 12
2183 E ON SE ADR	20,596 61	15,586 62
1029 EASTMAN CHEMICAL COMPANY	64,775 70	69,642.72
755 EATON VANCE CORP - NOT VOTING SHS	30,097 88	29,482 75
393 ECHOSTAR CORPORATION	15,119 68	17,225 19
511 EOG RESOURCES, INC	33,985.08	49,418 81
369 EQUIFAX INC	13,175.70	49,660 02
2203 ERICSSON TEL ADR	23,273 37	15,883.63
3087 EXPEDITORS INTL OF WASHINGTON INC	128,233 67	159,042 24
2196 EXXON MOBIL CORP	98,851.09	191,666.88
2747 FACEBOOK INC	216,029 69	352,357 69
518 FACTSET RESEARCH SYSTEMS INC	62,892 54	83,967 80
95 FEDERAL REALTY INVS TRUST REIT	15,356 28	14,623.35
610 FIRST FINANCIAL BANKSHARES INC	18,898 00	22,228.40
1 FOUR CORNERS PPTY REIT	10.01	21 33
1807 FRANKLIN RESOURCES INC	56,356 12	64,274.99
370 RESENIUS MEDICAL CARE AG & CO	15,693.43	16,194.90
467 G & K SERVICES INC	32,360 10	44,593 83
327 GARMIN LTD	15,019 18	15,731 97
569 GATX CORP	28,276 39	25,348 95
532 GEMALTO NV	14,935 90	17,024 00
217 GENERAL DYNAMICS CORPORATION	24,074 13	33,669.72
512 GENERAL ELECTRIC COMPANY	9,366 94	15,165.44
255 GENERAL MILLS INC	15,097 25	16,289 40
248 GENUINE PARTS COMPANY	15,268 10	24,911 60

DAVID AND EULA WINTERMANN FOUNDATION

76-0082100

394 GLOBAL PAYMENTS INC	29,962 82	30,243 44
2544 GOODYEAR TIRE & RUBBER COMPANY	64,443.05	82,171 20
744 GRACO INC	37,683 17	55,056 00
839 GREENHILL & CO INC	38,990 19	19,775 23
2 HALYARD HEALTH INC	47 61	69.32
1341 HANESBRANDS INC	34,596 54	33,860 25
1464 HFF INC	41,420 53	40,538 16
737 HSBC HOLDINGS PLC	29,866 58	27,718 57
17 HUMANA INC	2,945 87	3,007.13
1078 IHS MARKIT LTD	29,958 60	40,478 90
709 ILLINOIS TOOL WORKS INC	71,908 49	84,966.56
1097 INFINEON TECHNOLOGIES INC	12,248 01	19,504 66
143 INTERCONTINENTAL EXCHANGE INC	9,412.81	38,518 48
342 INTERNATIONAL BUSINESS MACHINES CORP	49,865 48	54,326 70
391 INTUIT INC	10,851.61	43,013 91
800 ISHARES RUSSELL MIDCAP INDX ETF	44,842 33	139,456 00
471 JACKHENRY & ASSOC INC	27,222 83	40,294 05
619 JOY GLOBAL INC	30,075 94	17,171.06
2319 JPMORGAN CHASE & CO	108,290 45	154,422.21
1478 JUNIPER NETWORKS INC	32,011 69	35,560 68
1865 KEYCORP	15,581 89	22,697 05
527 KEYSIGHT TECHNOLOGIES INC	15,193 05	16,700 63
750 KIMBERLY-CLARK CORPORATION	91,914 32	94,605 00
2055 KONINKLIJKE DSM (EUR)	30,151.04	34,729 50
7736 KONINKLIJKE KPN ADR	30,536 00	26,070.32
1192 KONINKLIJKE PHILIPS N.V.	31,025.78	35,271 28
182 LABORATORY CORP OF AMERICA HLDGS	21,558.40	25,021.36
845 LANDSTAR SYSTEM INC	28,298.84	57,527.60
1006 LINEAR TECHNOLOGY CORPORATION	45,277 15	59,645.74
85 LOCKHEED MARTIN CORPORATION	13,796.90	20,376 20
1398 LOEWS CORPORATION	54,501 96	57,527 70
197 LOWE'S COMPANIES INC	13,729 90	14,225 37
1522 MARSH & MCLENNAN COMPANIES INC	99,209 89	102,354 50
933 MASCO CORPORATION	17,337 36	32,011 23
156 MCDONALD'S CORPORATION	14,700.64	17,996 16
646 MDU RESOURCES GROUP INC	15,041.10	16,434 24
245 MEDNAX INC	13,946 61	16,231 25
1012 MEDTRONIC PLC	68,935.58	87,436 80
1143 MERCK & CO IND	68,508 82	71,334 63
2596 METLIFE INC	98,252.50	115,340 28
3936 MICROSOFT CORPORATION	131,462 85	226,713 60
1326 MITSUBISHI ESTATE LTD	30,139.23	24,822 72
2033 MONOTYPE IMAGING HOLDINGS INC	50,442 82	44,949 63
1486 MONSTER BEVERAGE CORP	132,702 74	218,159 66
4889 MORGAN STANLEY	121,803 52	156,741.34
438 NATIONAL GRID GROUP PLC	30,371 97	31,146.18
472 NEWFIELD EXPLORATION COMPANY	15,054 39	20,513.12
1773 NOVARTIS AG	159,747 77	139,996 08
3069 NOVO NORDISKA/SAADR	156,487.77	127,639 71
349 NU SKIN ENTERPRISES INC	15,054.96	22,608.22
6035 ORACLE CORPORATION	214,139 18	237,054 80
994 ORANGE-SPON ADR	15,570.30	15,486 52
662 OTSUKA HOLDINGS LTD	11,608.17	15,106 84
307 PACCAR INC	15,132 99	18,045 46

DAVID AND EULA WINTERMANN FOUNDATION

76-0082100

247 PACKAGING CORP OF AMERICA	14,716.52	20,071.22
640 PARKER HANNIFIN CORP	65,506.39	80,339 20
1034 PATTERSON COS INC	45,340 66	47,501 96
1017 PEOPLE'S UNITED FINANCIAL INC	14,882.92	16,088 94
4289 PFIZER INCORPORATED	148,525.08	145,268 43
677 PHILIP MORRIS INTERNATIONAL, INC	34,146 20	65,817.94
692 PNC FINANCIAL SERVICES GROUP	54,511 49	62,342.28
350 PRAXAIR INC	36,735 90	42,290 50
1053 PRIMERICA INC	48,901 65	55,840.59
3587 PROCTER & GAMBLE COMPANY	296,916.08	321,933.25
3064 PROGRESSIVE CORPORATION	88,052 05	96,516.00
2964 QUALCOMM INC	195,530.47	203,034.00
450 RAYMOND JAMES FINANCIAL INC	15,621.62	26,194 50
572 RBC BEARINGS INC	41,702 94	43,746 56
925 RE/MAX HLDGS, INC	35,247.22	40,496.50
249 REINSURANCE GROUP OF AMERICA	14,834.13	26,877 06
172 RENAISSANCERE HOLDINGS LTD	17,316.08	20,667.52
858 REPUBLIC SERVICES, INC.	23,883 28	43,286 10
780 RLI CORP	22,515 62	53,320 80
614 ROCHE HOLDINGS LTD	22,297.15	19,015.58
295 ROYAL DUTCH SHELL PLC	12,537 53	14,770 65
1986 SABMILLER PLC ADR	109,172.01	115,366 74
1679 SCHLUMBERGER LIMITED	147,965.27	132,036 56
432 SEAGATE TECHNOLOGY	14,827 28	16,653 60
644 SEALED AIR CORPORATION	15,446.28	29,508.08
866 SECOM LTD ADR	14,293 33	16,159 56
3167 SEI INVESTMENTS COMPANY	115,920 47	144,446 87
2498 SEIKO EPSON CORPORATION	18,147.97	23,905 86
164 SEMPRA ENERGY	14,322 48	17,579 16
68 SHERWIN WILLIAMS COMPANY	14,880 74	18,812 88
330 SKY PLC	21,851 65	15,326 85
862 SMITH & NEPHEW PLC	30,148 27	28,256 36
353 SNAP-ON INC	32,926 41	53,641 88
4142 SOCIETE GENERALE ADR	41,019 74	28,786 90
327 SUNOCO PRODUCTS CO	15,221.63	17,275 41
439 STIFEL FINANCIAL CORP	19,669 97	16,879.55
431 STRYKER CORPORATION	49,577 95	50,172 71
910 SUN HYDRAULICS CORP	33,829 38	29,365 70
1390 SUNTORY BEVERAGE & FOOD	30,274 50	30,149 10
992 SYNCHRONY FINANCIAL	23,602 86	27,776 00
449 SYNOPSYS INC	16,623 78	26,648.15
1122 SYNTEL, INC	13,685 40	47,023 02
2374 SYSCO CORPORATION	116,883.39	116,349 74
3260 TEGNA INC	72,766.08	71,263 60
311 THE SCOTTS MIRACLE-GRO COMPANY	10,842.02	25,896 97
778 THE WALT DISNEY COMPANY	74,311.35	72,245.08
254 THERMO FISCHER SCIENTIFIC INC	36,676 27	40,401 24
654 THOR INDUSTRIES INC	41,044 94	55,393.80
1760 TJX COMPANIES INC	87,510 34	131,612.80
719 TRIDENT MICROSYSTEMS INC	3,687 75	0.00
211 TUPPERWARE CORPORATION	14,851 22	13,793 07
721 TWENTY-FIRST CENTURY FOX INC	19,279 76	17,837 54
837 UNIBAIL-RODAMCO SE ADR	21,264 49	22,473 45
1644 UNITED PARCEL SERVICE INC	162,766 97	179,787 84

DAVID AND EULA WINTERMANN FOUNDATION

76-0082100

1299 UNITED TECHNOLOGIES COROPRATION	114,037.51	131,978.40
1156 V F CORPORATION	71,551.43	64,793.80
181 VALSPAR CORPORATION	15,122.59	19,198.67
13879 VANGUARD FTSE EMERGING MARKETS ETF	604,743.97	522,266.77
380 VANTIV INC	20,733.51	21,382.60
1238 VARIAN MEDICAL SYSTEMS INC	101,287.27	123,218.14
3116 VISA INC	156,911.69	257,693.20
1031 VIVENDI SAADR (EUR)	26,492.77	20,774.65
1309 VODAFONE GROUP	45,160.70	38,157.35
210 WAL-MART STORES	15,268.26	15,145.20
244 WALGREENS BOOTS ALLIANCE INC	8,745.93	19,671.28
353 WD-40 COMPANY	27,845.43	39,687.79
459 WEC ENERGY GROUP INC	21,111.70	27,484.92
2141 WELLS FARGO COMPANY	72,278.97	94,803.48
210 WEST PHARMACEUTICAL SERVICES INC	15,550.35	15,645.00
569 WHIRLPOOL CORPORATION	92,252.30	92,269.04
1295 WILLIAM HILL PLC	30,199.92	20,402.73
281 WOODWARD INC	15,248.61	17,556.88
254 WPP PLC ADR	30,027.19	29,900.88
734 XILINX INC	16,848.17	39,885.56
1439 YUM! BRANDS INC	104,432.43	130,675.59
TOTAL EQUITIES	11,274,748.35	13,928,285.60

MUTUAL FUNDS

6033 74 CAMBIAR INTL EQUITY FUND-INS	140,103.38	147,223.21
3788 19 FEDERATED INST HI YLD BD -IS	36,896.92	37,465.15
25657.79 STEEL PATH MLP SELECT Y	311,229.04	248,624.02
332 97 VANGUARD GROWTH INDEX ADM	16,423.53	19,252.44
131 8 VANGUARD MID CAP INDEX ADM	12,163.74	21,127.54
992 72 VANGUARD SMALL CAP INDEX FD #860	13,838.52	26,346.79
1449 27 VANGUARD TAX MGD INTL-IV	14,999.99	13,478.25
810 88 VANGUARD VALUE INDEX -ADM	23,680.18	27,529.17
104981 9 WF HIGH YIELD BOND-INST	383,811.84	347,490.09
TOTAL MUTUAL FUNDS	953,147.14	888,536.66

PARTNERSHIPS

250 RICE INDUSTRIES, INC	16,595.00	16,595.00
4 1670% 2200 & ASSOCIATES	9,066.00	9,066.00
TOTAL PARTNERSHIPS	25,661.00	25,661.00

TOTAL SECURITIES	16,375,555.32	19,088,517.26
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1,481,372.02	1,522,613.50
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400,479.22	402,801.75
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