Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning 10/01, 2015, and ending 09/30, 2016

Name of foundation: ANNA W THORNTON & ALEXANDER P THORNTON CHARITABLE TRUST R77776004

A Employer identification number: 75-6496915

Number and street (or P.O. box number if mail is not delivered to street address): 10 S DEARBORN IL1-0117

Room/suite:

B Telephone number (see instructions): 866-888-5157

City or town, state or province, country, and ZIP or foreign postal code: CHICAGO, IL 60603

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 1,932,906.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ [X] if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	52,316.	52,309.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-23,805.			
	b Gross sales price for all assets on line 6a 577,951.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	28,511.	52,309.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	35,825.	21,495.		14,330.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 1	357.	357.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	36,182.	21,852.	NONE	14,330.
	25 Contributions, gifts, grants paid	96,000.			96,000.
	26 Total expenses and disbursements. Add lines 24 and 25	132,182.	21,852.	NONE	110,330.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-103,671.			
	b **Net investment income** (if negative, enter -0-)		30,457.		
	c **Adjusted net income** (if negative, enter -0-)				

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Form **990-PF** (2015)

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing	1,532.	1,688.	1,688.
2	Savings and temporary cash investments	24,210.	57,065.	57,065.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)	1,005,263.	879,030.	1,082,432.
c	Investments - corporate bonds (attach schedule)	512,952.	598,341.	601,820.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)	288,505.	192,655.	189,901.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,832,462.	1,728,779.	1,932,906.
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		NONE	
	Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
27	Capital stock, trust principal, or current funds	1,832,462.	1,728,779.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	1,832,462.	1,728,779.	
31	**Total liabilities and net assets/fund balances** (see instructions)	1,832,462.	1,728,779.	

(Lines 1–16: Assets; Lines 17–23: Liabilities; Lines 24–31: Net Assets or Fund Balances)

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,832,462.
2	Enter amount from Part I, line 27a	2	-103,671.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,728,791.
5	Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	5	12.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,728,779.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	577,951.		601,756.	-23,805.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-23,805.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-23,805.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	105,898.	2,112,303.	0.050134
2013	97,017.	2,143,492.	0.045261
2012	101,162.	2,042,393.	0.049531
2011	86,338.	1,958,127.	0.044092
2010	99,133.	1,855,215.	0.053435

2	**Total** of line 1, column (d)	2	0.242453
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048491
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,960,315.
5	Multiply line 4 by line 3	5	95,058.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	305.
7	Add lines 5 and 6	7	95,363.
8	Enter qualifying distributions from Part XII, line 4	8	110,330.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	305.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	305.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	305.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 1,250.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	1,250.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	945.
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ 308. **Refunded** ▶	11	637.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JP MORGAN CHASE BANK, N.A. Telephone no. ▶ (866)888-5157

Located at ▶ 10 S DEARBORN ST; MC; IL1-0117, CHICAGO, IL ZIP+4 ▶ 60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes [X] No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . ☐ Yes [X] No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . ☐ Yes [X] No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) . . . . . ☐ Yes [X] No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . ☐ Yes [X] No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . ☐ Yes [X] No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes [X] No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JP MORGAN CHASE BANK, N.A.	TRUSTEE			
10 S DEARBORN ST; MC; IL1-0117, CHICAGO, IL 60603	2	35,825.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 . . . . . ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,876,601.
b	Average of monthly cash balances	1b	113,567.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	1,990,168.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,990,168.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	29,853.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,960,315.
6	**Minimum investment return.** Enter 5% of line 5	6	98,016.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	98,016.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	305.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	305.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	97,711.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	97,711.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	97,711.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	110,330.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	110,330.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	305.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	110,025.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

75-6496915

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					97,711.
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only				95,352.	
b Total for prior years 20 13 ,20 ,20			NONE		
3 Excess distributions carryover, if any, to 2015					
a From 2010	NONE				
b From 2011	NONE				
c From 2012	NONE				
d From 2013	NONE				
e From 2014	NONE				
f **Total** of lines 3a through e		NONE			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 110,330.					
a Applied to 2014, but not more than line 2a				95,352.	
b Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c Treated as distributions out of corpus (Election required - see instructions)		NONE			
d Applied to 2015 distributable amount					14,978.
e Remaining amount distributed out of corpus		NONE			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		NONE			NONE
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b			NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions			NONE		
e Undistributed income for 2014. Subtract line 4a from line 2a Taxable amount - see instructions					
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016					82,733.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		NONE			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)		NONE			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		NONE			
10 Analysis of line 9					
a Excess from 2011	NONE				
b Excess from 2012	NONE				
c Excess from 2013	NONE				
d Excess from 2014	NONE				
e Excess from 2015	NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 2

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines.

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CASSATA HIGH SCHOOL 1400 HEMPHILL ST FORT WORTH TX 76104	NONE	PC	GENERAL	15,000.
THE PARENTING CENTER 2928 WEST 5TH STREET FORT WORTH TX 76107	NONE	PC	GENERAL	26,000.
SAFE CITY COMMISSION ONE SAFE PLACE EMERGENCY 200 CALHOUN ST Fort Worth TX 76102	NONE	PC	GENERAL	15,000.
RECOVERY RESOURCE COUNCIL 2700 AIRPORT FWY FORT WORTH TX 76111	NONE	PC	GENERAL	25,000.
FORT WORTH COUNTRY DAY SCHOOL INC. 4200 COUNTRY DAY LN Fort Worth TX 76109	NONE	PC	GENERAL	15,000.
Total . . . . ▶ 3a				96,000.
b Approved for future payment				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	52,316.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-23,805.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				28,511.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 28,511.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Kristen Baxter | 01/28/2017 | ▶

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRISTOPHER CHIZMAR	Christopher S Chizmar	01/28/2017		P01220103
Firm's name ▶ DELOITTE TAX LLP			Firm's EIN ▶ 86-1065772	
Firm's address ▶ 127 PUBLIC SQUARE CLEVELAND, OH 44114			Phone no. 312-486-9652	

ANNA W THORNTON & ALEXANDER P THORNTON 75-6496915

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	20.	20.
FOREIGN TAXES ON QUALIFIED FOR	284.	284.
FOREIGN TAXES ON NONQUALIFIED	53.	53.
TOTALS	357.	357.

RECIPIENT NAME:
KONNIE DARROW, C/O JP MORGAN CHASE BANK, NA
ADDRESS:
420 THROCKMORTON, FL 3
FORT WORTH, TX 76102
RECIPIENT'S PHONE NUMBER: 817-884-4772
FORM, INFORMATION AND MATERIALS:
NONE
SUBMISSION DEADLINES:
NONE
RESTRICTIONS OR LIMITATIONS ON AWARDS:
ORGANIZATIONS PROVIDING WOMEN'S AND CHILDREN'S
CHILDREN'S SERVICES IN TARRANT COUNTY
RECEIVE FIRST PRIORITY

JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

ANNA W & ALEXANDER P THORNTON CHAR ACCT R77776004
As of 9/30/16

Fiduciary Account

J.P. Morgan Team		
Cyd Brown	Banker	817/884-4625
William Dykeman	T & E Officer	817/884-4159
Adrean Williams-Boyd	T & E Administrator	817/884-5155
Michael Parrelly	Portfolio Manager	817/884-4447
Sandra Chancellor	Client Service Team	877/576-2879
Juli Hofmockel	Client Service Team	
Vicki De Mayo-Baird	Client Service Team	
Amel Rago	Client Service Team	
Online access	**www jpmorganonline com**	

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Client News

If you wish to gift securities this year, please notify your Client Service representative before December 1, 2016, in order to allow time for processing before the year-end tax filing deadline Please be reminded that in April 2016 we made changes to the way we handle the transfer of gifted securities As such, we will need to receive from you full delivery instructions for the securities as well as confirmation that the recipient is able to receive the securities you intend to gift We are unable to transfer gifted securities out of your account(s) prior to receiving delivery instructions from the recipient. If a recipient is unable to receive the securities or is unable to provide full delivery instructions for the securities, you may provide a gift of cash or establish a Donor-Advised Fund

Please see disclosures located at the end of this statement package for important information relating to each J P Morgan account(s)

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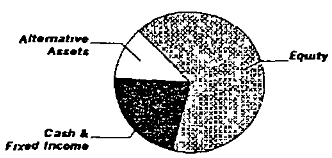
Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	1,126,111 44	1,082,432 18	(43,679 26)	22,131.23	66%
Alternative Assets	262,196 69	189,901 16	(72,295 53)	4,187 76	12%
Cash & Fixed Income	196,667 01	362 807 47	166,140 46	12,205 08	22%
Market Value	**$1,584,975.14**	**$1,635,140.81**	**$50,165.67**	**$38,524.07**	**100%**

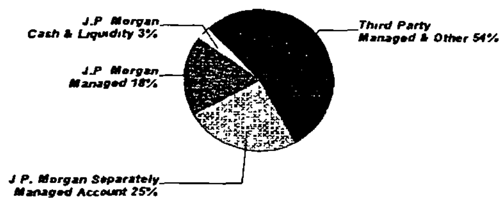
Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	1,531 85	1,688 46	156 61
Accruals	1,272 78	778 21	(494 57)
Market Value	**$2,804.63**	**$2,466.67**	**($337.96)**

Manager Allocation *



* **J.P. Morgan Managed** includes mutual funds, other registered funds and hedge funds managed by J P Morgan and structured products issued by J P Morgan **J.P. Morgan Separately Managed Account** includes fixed income, equity, and alternative separately managed accounts managed by J P Morgan **Third-Party Managed & Other** includes mutual funds, exchange traded funds, hedge funds, and separately managed accounts managed by parties other than J P Morgan, separately managed accounts managed by J P Morgan where a party other than J P Morgan is the appointed investment advisor, structured products and exchange traded notes issued by parties other than J P Morgan, investment conduits investing in non-J P Morgan managed hedge funds, where J P Morgan is solely administrator to the conduit, and other investments not managed or issued by J P Morgan **J.P. Morgan Cash & Liquidity Funds** includes cash, J P Morgan deposit sweeps and J P Morgan money market mutual funds See important information about investment principles and conflicts in the disclosures section

Account Summary CONTINUED

Cost Summary	Cost
Equity	879,029 83
Cash & Fixed Income	365,537 67
Total	**$1,244,567.50**

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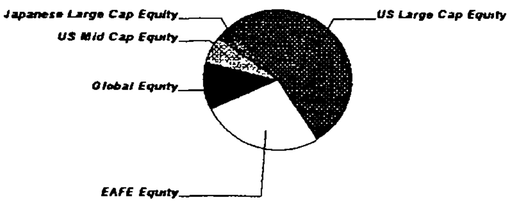
ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
As of 9/30/16

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	547,890 16	579,781 35	31,891.19	35%
US Mid Cap Equity	122,420 19	67,298 85	(55,121 34)	4%
EAFE Equity	301,527 26	296,286 64	(5,240.62)	18%
European Large Cap Equity	18,934 30	0 00	(18,934 30)	
Japanese Large Cap Equity	36,755 70	32,318 52	(4,437 18)	2%
Global Equity	98,583 83	106,746 82	8,162 99	7%
Concentrated & Other Equity	0 00	0 00	0 00	
Total Value	**$1,126,111.44**	**$1,082,432.18**	**($43,679.26)**	**66%**

Market Value/Cost	Current Period Value
Market Value	1,082,432.18
Tax Cost	879,029 83
Unrealized Gain/Loss	203,402.35
Estimated Annual Income	22,131 23
Accrued Dividends	778 21
Yield	2 04%

Asset Categories



Equity as a percentage of your portfolio - 66 %

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
ABBOTT LABORATORIES 002824-10-0 ABT	42.29	48 000	2,029 92	2,071 10	(41 18)	49 92	2 46%
ACCENTURE PLC-CL A G1151C-10-1 ACN	122 17	39 000	4,764 63	3,271 77	1,492 86	94 38	1 98%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	108 54	40 000	4,341 60	1,355 34	2,986 26		
AETNA INC 00817Y-10-8 AET	115 45	32 000	3,694 40	3,297 84	396 56	32 00	0 87%
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	122 54	21 000	2,573 34	3,086 20	(512 86)		
ALLEGION PLC G0176J-10-9 ALLE	68 91	42.000	2,894 22	2,748 84	145 38	20 16	0 70%
ALLERGAN PLC G0177J-10-8 AGN	230 31	33 000	7,600 23	8,592 69	(992 46)		
ALPHABET INC/CA-CL C 02079K-10-7 GOOG	777 29	15 000	11,659 35	6,296 00	5,363 35		
ALPHABET INC/CA-CL A 02079K-30-5 GOOG L	804 06	7 000	5,628 42	3,340 18	2,288 24		
AMAZON.COM INC 023135-10-6 AMZN	837 31	13 000	10,885 03	5,450 84	5,434 19		
AMERICAN INTERNATIONAL GROUP 026874-78-4 AIG	59 34	70 000	4,153 80	3,973 37	180 43	89 60	2 16%
APPLE INC 037833-10-0 AAPL	113 05	126 000	14,244 30	1,246 44	12,997 86	287 28	2 02%

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2794073030010008587

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
AT&T INC 00206R-10-2 T	40 61	110 000	4,467 10	3,793 43	673 67	211 20	4 73%
BANK OF AMERICA CORP 060505-10-4 BAC	15.65	267 000	4,178 55	2,401 34	1,777 21	80 10	1 92%
BIOGEN INC 09062X-10-3 BIIB	313 03	10 000	3,130 30	1,214 59	1,915 71		
BLACKROCK INC 09247X-10-1 BLK	362 46	10 000	3,624 60	3,373 25	251 35	91 60	2 53%
BOSTON SCIENTIFIC CORP 101137-10-7 BSX	23 80	87 000	2,070 60	2,033 19	37 41		
BRISTOL-MYERS SQUIBB CO 110122-10-8 BMY	53 92	66 000	3,558 72	2,590 83	967 89	100 32	2 82%
BROADCOM LTD Y09827-10-9 AVGO	172 52	61 000	10,523 72	2,368 34	8,155 38	124 44	1 18%
CARNIVAL CORP 143658-30-0 CCL	48 82	77 000	3,759 14	4,016 26	(257 12)	107 80	2 87%
CBS CORP-CLASS B NON VOTING 124857-20-2 CBS	54 74	35 000	1,915 90	1,986 99	(71 09)	25 20 6 30	1 32%
CELGENE CORP 151020-10-4 CELG	104 53	18 000	1,881 54	396 28	1,485 26		
CHARTER COMMUNICATIONS INC-A 16119P-10-8 CHTR	269 97	9 000	2,429 73	1,907 89	521 84		
CHUBB LTD H1467J-10-4 CB	125 65	53 000	6,659 45	3,440 02	3,219 43	146 28 31 08	2 20%
CITIGROUP INC 172967-42-4 C	47 23	85 000	4,014 55	2,842 04	1,172 51	54 40	1 36%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
CMS ENERGY CORP 125896-10-0 CMS	42 01	63 000	2,646 63	2,188 65	457 98	78 12	2 95%
COCA-COLA CO/THE 191216-10-0 KO	42 32	48 000	2,031 36	2,101 31	(69 95)	67 20 16 80	3 31%
COMCAST CORP-CLASS A 20030N-10-1 CMCS A	66 34	51 000	3,383 34	866 13	2,517 21	56 10	1 66%
CONCHO RESOURCES INC 20605P-10-1 CXO	137 35	17 000	2,334 95	2,042 89	292 06		
COSTCO WHOLESALE CORP 22160K-10-5 COST	152.51	19 000	2,897 69	2,449 21	448 48	34 20	1 18%
DANAHER CORP 235851-10-2 DHR	78 39	31 000	2,430 09	2,394 00	36 09	15 50 3 88	0 64%
DIAMONDBACK ENERGY INC 25278X-10-9 FANG	96 54	20 000	1,930 80	1,446 04	484 76		
DISCOVER FINANCIAL SERVICES 254709-10-8 DFS	56 55	58 000	3,279 90	3,167 04	112 86	69 60	2 12%
DISH NETWORK CORP-A 25470M-10-9 DISH	54 78	50 000	2,739 00	2,488 11	250 89		
DOW CHEMICAL CO/THE 260543-10-3 DOW	51 83	63 000	3,265 29	3,346 59	(81 30)	115 92 28 98	3 55%
EASTMAN CHEMICAL CO 277432-10-0 EMN	67 68	42 000	2,842 56	2,712 69	129 87	77 28 19 32	2 72%
ELI LILLY & CO 532457-10-8 LLY	80 26	53 000	4,253 78	4,322 45	(68 67)	108 12	2 54%
EOG RESOURCES INC 26875P-10-1 EOG	96 71	85 000	8,220 35	7,055 04	1,165 31	56 95	0 69%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
EQT CORP 26884L-10-9 EQT	72 62	40 000	2,904 80	2,878 43	26 37	4 80	0 17%
FACEBOOK INC-A 30303M-10-2 FB	128 27	69 000	8,850 63	5,209 94	3,640 69		
FIDELITY NATIONAL INFO SERV 31620M-10-6 FIS	77 03	52 000	4,005 56	3,295 74	709 82	54 08	1 35%
GENERAL MOTORS CO 37045V-10-0 GM	31 77	125 000	3,971 25	3,498 29	472 96	190 00	4 78%
GENERAL DYNAMICS CORP 369550-10-8 GD	155 16	17 000	2,637 72	2,591 26	46 46	51 68	1 96%
GENERAL ELECTRIC CO 369604-10-3 GE	29 62	249 000	7,375 38	6,750 50	624 88	229 08 47 61	3 11%
GILEAD SCIENCES INC 375558-10-3 GILD	79 12	47 000	3,718 64	4,805 58	(1,086 94)	88 36	2 38%
GOLDMAN SACHS GROUP INC 38141G-10-4 GS	161 27	18 000	2,902 86	2,708 91	193 95	46 80	1 61%
HARMAN INTERNATIONAL 413086-10-9 HAR	84 45	31 000	2,617 95	3,044 12	(426 17)	43 40	1 66%
HARTFORD FINANCIAL SVCS GRP 416515-10-4 HIG	42 82	61 000	2,612 02	2,094 44	517 58	51 24 7 35	1 96%
HOME DEPOT INC 437076-10-2 HD	128 68	61 000	7,849 48	2,786 01	5,063 47	168 36	2 14%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	116 59	79 000	9,210 61	4,255 97	4,954 64	188 02	2 04%
HUMANA INC 444859-10-2 HUM	176 89	10 000	1,768 90	696 34	1,072 56	11 60	0 66%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
US Large Cap Equity							
ILLUMINA INC 452327-10-9 ILMN	181 66	11 000	1,998 26	1,957 25	41 01		
JOHNSON CONTROLS INTERNATIONAL PLC G51502-10-5 JCI	46 53	37 000	1,721 61	1,800 13	(78 52)	31 78	1 85%
JPM EQ INC FD - SEL FUND 3128 4812C0-49-8 HLIE X	14 43	3,353 092	48,385 12	34,704 50	13,680 62	935 51	1 93%
JPM LARGE CAP GRWTH FD - SEL FUND 3118 4812C0-53-0 SEEG X	35 19	927.223	32,628 98	20,686 34	11,942 64		
KEYCORP 493267-10-8 KEY	12 17	172 000	2,093 24	1,893 05	200 19	58 48	2 79%
KIMBERLY-CLARK CORP 494368-10-3 KMB	126 14	19 000	2,396 66	2,417 20	(20 54)	69 92 17 48	2 92%
LAM RESEARCH CORP 512807-10-8 LRCX	94 71	40 000	3,788 40	2,085 05	1,703 35	48 00	1 27%
LENNOX INTERNATIONAL INC 526107-10-7 LII	157 03	18 000	2,826 54	2,206 69	619 85	30 96 7 74	1 10%
LOWE'S COS INC 548661-10-7 LOW	72 21	74 000	5,343 54	1,462 26	3,881 28	103 60	1 94%
MARSH & MCLENNAN COS 571748-10-2 MMC	67 25	70 000	4,707 50	3,250 48	1,457 02	95 20	2 02%
MARTIN MARIETTA MATERIALS 573284-10-6 MLM	179 11	16 000	2,865 76	2,699 20	166 56	26 88	0 94%
MASCO CORP 574599-10-6 MAS	34 31	106 000	3,636 86	1,232 47	2,404 39	42 40	1 17%

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279407303900100088589

	Pnce	Quantity	Value	Adjusted Tax Cost / Ongmal Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
MASTERCARD INC-CLASS A 57636Q-10-4 MA	101 77	70 000	7,123 90	2,674 26	4,449 64	53 20	0 75%
MERCK & CO. INC. 58933Y-10-5 MRK	62 41	63 000	3,931 83	3,980 46	(48 63)	115 92 28 98	2 95%
METLIFE INC 59156R-10-8 MET	44 43	78 000	3,465 54	2,356 49	1,109 05	124 80	3 60%
MICROSOFT CORP 594918-10-4 MSFT	57 60	253 000	14,572 80	6,538 23	8,034 57	394 68	2 71%
MOLSON COORS BREWING CO -B 60871R-20-9 TAP	109 80	43 000	4,721 40	3,358 52	1,362 88	70 52	1 49%
MONDELEZ INTERNATIONAL INC-A 609207-10-5 MDLZ	43 90	135 000	5,926 50	4,148 07	1,778 43	102 60 25 65	1 73%
MORGAN STANLEY 617446-44-8 MS	32 06	229 000	7,341 74	6,100 20	1,241 54	183 20	2 50%
NISOURCE INC 65473P-10-5 NI	24 11	126 000	3,037 86	1,813 99	1,223 87	83 16	2 74%
NORTHROP GRUMMAN CORP 666807-10-2 NOC	213 95	9 000	1,925 55	1,902 47	23 08	32 40	1 68%
NXP SEMICONDUCTORS NV N6596X-10-9 NXPI	102 01	37 000	3,774 37	3,408 71	365 66		
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	72 92	89 000	6,489 88	6,061 22	428 66	270 56 67 64	4 17%
PEPSICO INC 713448-10-8 PEP	108 77	53 000	5,764 81	5,133 02	631 79	159 53	2 77%
PFIZER INC 717081-10-3 PFE	33 87	166 000	5,622 42	5,766 79	(144 37)	199 20	3 54%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
PIONEER NATURAL RESOURCES CO 723787-10-7 PXD	185 65	28 000	5,198 20	3,817 74	1,380 46	2 24 / 1 12	0 04%
PPG INDUSTRIES INC 693506-10-7 PPG	103 36	25 000	2,584 00	2,461 86	122 14	40 00	1 55%
PROCTER & GAMBLE CO/THE 742718-10-9 PG	89 75	39 000	3,500 25	2,054 62	1,445 63	104 44	2 98%
SCHLUMBERGER LTD 806857-10-8 SLB	78 64	51 000	4,010 64	4,023 91	(13 27)	102 00 / 25 50	2 54%
SCHWAB (CHARLES) CORP 808513-10-5 SCHW	31 57	76 000	2,399 32	2,154 67	244 65	21 28	0 89%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	216 30	375 000	81,112 50	62,718 30	18,394 20	1,658 25 / 405 78	2 04%
STANLEY BLACK & DECKER INC 854502-10-1 SWK	122 98	44 000	5,411 12	4,314 76	1,096 36	102 08	1 89%
SVB FINANCIAL GROUP 78486Q-10-1 SIVB	110 54	16 000	1,768 64	2,008 45	(239 81)		
T-MOBILE US INC 872590-10-4 TMUS	46 72	35 000	1,635 20	1,409 65	225 55		
TEXAS INSTRUMENTS INC 882508-10-4 TXN	70 18	68 000	4,772 24	3,618 42	1,153 82	103 36	2 17%
TIME WARNER INC 887317-30-3 TWX	79 61	97 000	7,722 17	1,772 60	5,949 57	156 17	2 02%
TJX COMPANIES INC 872540-10-9 TJX	74 78	36 000	2,692 08	2,056 87	635 21	37 44	1 39%
TRANSCANADA CORP 89353D-10-7 TRP	47 56	47 000	2,235 32	2,122 28	113 04	80 55 / 17 12	3 60%

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	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
TWENTY-FIRST CENTURY FOX-A 90130A-10-1 FOXA	24 22	98 000	2,373 56	3,070 81	(697 25)	35 28 / 17.64	1 49%
UNION PACIFIC CORP 907818-10-8 UNP	97 53	23 000	2,243 19	2,093 54	149 65	50 60	2 26%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	140 00	69 000	9,660 00	4,272 49	5,387 51	172 50	1 79%
UNITED CONTINENTAL HOLDINGS 910047-10-9 UAL	52 47	52 000	2,728 44	2,075 57	652 87		
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	87 21	32 000	2,790 72	2,487 70	303 02		
VISA INC-CLASS A SHARES 92826C-83-9 V	82 70	41 000	3,390 70	3,147 92	242 78	22 96	0 68%
WASTE CONNECTIONS INC 94106B-10-1 WCN	74 70	32 000	2,390 40	2,422 29	(31 89)	18 56	0 78%
WELLS FARGO & CO 949746-10-1 WFC	44 28	155 000	6,863 40	3,002 28	3,861 12	235 60	3 43%
WEX INC 96208T-10-4 WEX	108 09	22 000	2,377 98	2,040 06	337 92		
WORKDAY INC-CLASS A 98138H-10-1 WDAY	91 69	18 000	1,650 42	1,485 36	165 06		
YUM] BRANDS INC 988498-10-1 YUM	90 81	31 000	2,815 11	2,473 04	342 07	63 24	2 25%
Total US Large Cap Equity			**$579,781.35**	**$411,032.98**	**$168,748.37**	**$9,560.14 / $775.97**	**1.65%**

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Mid Cap Equity							
ISHARES CORE S&P MIDCAP ETF 464287-50-7 IJH	154 71	435 000	67,298 85	45,849 76	21,449 09	1,102 29	1 64%
EAFE Equity							
ARTISAN INTL VALUE FD-ADV 04314H-66-7 APDK X	33 57	1,284 101	43,107 27	32,680 02	10,427 25		
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	59 13	2,045 000	120,920 85	115,554 76	5,366 09	3,441 73	2 85%
OAKMARK INTERNATIONAL-I 413838-20-2 OAKI X	21 66	4,128 082	89,414 26	105,150 00	(15,735 74)	2,047 52	2 29%
T ROWE PRICE OVERSEAS STOCK 77956H-75-7 TROS X	9 32	4,597 024	42,844 26	37,912 31	4,931 95	873 43	2 04%
Total EAFE Equity			**$296,286.64**	**$291,297.09**	**$4,989.55**	**$6,362.68**	**2.15%**
Japanese Large Cap Equity							
BROWN ADV JAPAN ALPHA OPP-IS 115233-57-9 BAFJ X	9 76	3,311 324	32,318 52	35,950 00	(3,631 48)	3,142 44	9 72%
Global Equity							
JPM GLBL RES ENH INDEX FD - SEL FUND 3457 46637K-51-3 JEIT X	18 70	5,708 386	106,746 82	94,900 00	11,846 82	1,963 68	1 84%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
Concentrated & Other Equity							
MCKESSON CORP 58155Q-10-3 MCK	166 75			0 00		2 24	0 67%

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	229,124 42	189,901 16	(39,223 26)	12%
Hard Assets	33,072 27	0 00	(33,072 27)	
Total Value	**$262,196.69**	**$189,901.16**	**($72,295.53)**	**12%**

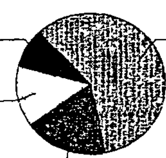
Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
EQUINOX CAMPBELL STRATEGY-I 29446A-81-9 EBSI X	10 20	2,991 988	30,518 28	31,426 15
GATEWAY FD-Y 367829-88-4 GTEY X	30 34	2,182 910	66,229 49	59,221 46
INV BALANCED-RISK ALLOC-Y 00141V-69-7 ABRY X	11 67	3,119 686	36,406 74	39,995 95
PIMCO UNCONSTRAINED BOND-INS 72201M-48-7 PFIU X	10 55	5,378 829	56,746 65	62,011 53
Total Hedge Funds			**$189,901.16**	**$192,655.09**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	17,603 33	51,394 03	33,790 70	3%
US Fixed Income	138,317 55	211,559 81	73,242 26	13%
Non-US Fixed Income	0 00	39,596 46	39,596 46	2%
Global Fixed Income	40,746.13	60,257 17	19,511 04	4%
Total Value	**$196,667.01**	**$362,807.47**	**$166,140.46**	**22%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 22 %

Market Value/Cost	Current Period Value
Market Value	362,807 47
Tax Cost	365,537.67
Unrealized Gain/Loss	(2,730 20)
Estimated Annual Income	12,205 08
Yield	3 36 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
0-6 months[1]	362,807 47	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
Cash	51,394 03	14%
Mutual Funds	311,413 44	86%
Total Value	**$362,807.47**	**100%**

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	51,394 03	51,394 03	51,394 03		154 18	0 30% [1]
US Fixed Income							
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	7 35	11,490 13	84,452 48	88,491 44	(4,038 96)	4,768 40	5 65%
JPM INFLATION MGD BD FD - SEL FUND 2037 48121A-56-3	10 39	3,703 70	38,481 41	39,185 11	(703 70)	614 81	1 60%
DOUBLELINE TOTL RET BND-I 258620-10-3	10 92	4,598 80	50,218 93	50,659 09	(440 16)	1,871 71	3 73%
VANGUARD INTM TRM INV G-ADM 922031-81-0	10 10	3,802 67	38,406 99	37,000 00	1,406 99	1,144 60	2 98%
Total US Fixed Income			**$211,559.81**	**$215,335.64**	**($3,775.83)**	**$8,399.52**	**3.97%**
Non-US Fixed Income							
MFS EMERGING MKTS DEBT FD-I 55273E-64-0	15 10	2,622 28	39,596 46	39,308 00	288 46	1,775 28	4 48%

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	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
Global Fixed Income							
JOHN HANCOCK INCOME FD-I 410227-83-9	6 52	9,241 90	60,257 17	59,500 00	757 17	1,876 10	3 11%

Fiduciary Account

J.P. Morgan Team		
Cyd Brown	Banker	817/884-4625
William Dykeman	T & E Officer	817/884-4159
Adrean Williams-Boyd	T & E Administrator	817/884-5155
Michael Parrelly	Portfolio Manager	817/884-4447
Sandra Chancellor	Client Service Team	877/576-2879
Juli Hofmockel	Client Service Team	
Vicki De Mayo-Baird	Client Service Team	
Amel Rago	Client Service Team	
Online access	**www.jpmorganonline.com**	

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Client News

If you wish to gift securities this year, please notify your Client Service representative before December 1, 2016, in order to allow time for processing before the year-end tax filing deadline Please be reminded that in April 2016 we made changes to the way we handle the transfer of gifted securities As such, we will need to receive from you full delivery instructions for the securities as well as confirmation that the recipient is able to receive the securities you intend to gift. We are unable to transfer gifted securities out of your account(s) prior to receiving delivery instructions from the recipient. If a recipient is unable to receive the securities or is unable to provide full delivery instructions for the securities, you may provide a gift of cash or establish a Donor-Advised Fund

Please see disclosures located at the end of this statement package for important information relating to each J P Morgan account(s)

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ANNA W & ALEXANDER P THORNTON CHAR F ACCT R77776301
As of 9/30/16

Account Summary

PRINCIPAL

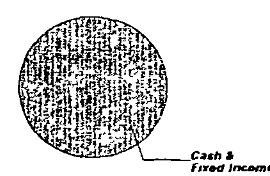
Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Cash & Fixed Income	338,555 71	296,077 27	(42,478 44)	7,123 26	100%
Market Value	**$338,555.71**	**$296,077.27**	**($42,478.44)**	**$7,123.26**	**100%**

INCOME

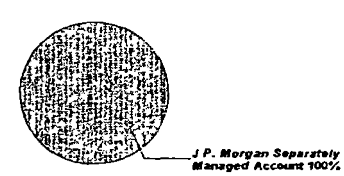
Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Accruals	2,676 69	1,530 67	(1,146 02)
Market Value	**$2,676.69**	**$1,530.67**	**($1,146.02)**

* **J.P. Morgan Separately Managed Account** includes fixed income, equity, and alternative separately managed accounts managed by J P Morgan See important information about investment principles and conflicts in the disclosures section

Asset Allocation



Manager Allocation *



Account Summary CONTINUED

Cost Summary	Cost
Cash & Fixed Income	289,868 19
Total	**$289,868.19**

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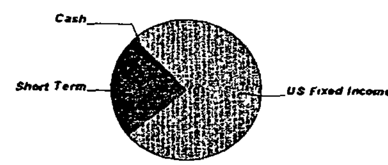
Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	6,606 76	5,671 02	(935 74)	2%
Short Term	76,368 85	65,890 90	(10,477 95)	22%
US Fixed Income	255,580 10	224,515 35	(31,064 75)	76%
Total Value	**$338,555.71**	**$296,077.27**	**($42,478.44)**	**100%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 100%

Market Value/Cost	Current Period Value
Market Value	296,077.27
Tax Cost	289,868 19
Unrealized Gain/Loss	6,209 08
Estimated Annual Income	7,123 26
Accrued Interest	1,530 67
Yield	0 91 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
0-6 months[1]	35,920 02	12%
6-12 months[1]	35,641 90	12%
1-5 years[1]	157,164 05	54%
5-10 years[1]	67,351 30	22%
Total Value	**$296,077.27**	**100%**

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Cash & Fixed Income
Cash	5,671 02	1%
Corporate Bonds	30,249 00	10%
Govt and Agency Bonds	260,157 25	89%
Total Value	**$296,077.27**	**100%**

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	5,671 02	5,671 02	5,671 02		17 01	0 30% [1]
Short Term							
FHLMC 0 875% 10/14/2016 DTD 08/16/2013 3137EA-DS-5 AAA /AAA	100 02	15,000 00	15,003 00	15,042 15	(39 15)	131 25 60 89	0 32%
FNMA 5% 02/13/17 31359M-4D-2 AA+ /AAA	101 64	15,000 00	15,246 00	14,370 61	875 39	750 00 99 99	0 52%
US TREAS 4.5% 05/15/17 912828-GS-3 NR /AAA	102 40	20,000 00	20,479 60	20,525 77	(46 17)	900 00 339 94	0 65%
US TREAS 1.875% 08/31/17 912828-NW-6 NR /AAA	101 08	15,000 00	15,162.30	15,887 70	(725 40)	281 25 25 98	0 69%
Total Short Term			**$65,890.90**	**$65,826.23**	**$64.67**	**$2,062.50** **$526.80**	**0.55%**
US Fixed Income							
FHLB 5% 11/17/17 3133XM-Q8-7 AA+ /AAA	104 76	10,000 00	10,476 00	10,157 37	318 63	500 00 186 11	0 75%
US TREAS 0 625% 11/30/17 912828-UA-6 NR /AAA	99 91	5,000 00	4,995 70	4,987 89	7 81	31 25 10 50	0 70%

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	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Income / Accrued Interest	Yield
US Fixed Income							
US TREAS 0.75% 02/28/18 912828-UR-9 NR /AAA	100 03	5,000 00	5,001 55	4,926 56	74 99	37 50 / 3 21	0 73%
US TREAS 1 5% 08/31/18 912828-RE-2 NR /AAA	101 35	40,000 00	40,540 80	41,306 25	(765 45)	600 00 / 51 36	0 79%
US TREAS 3.75% 11/15/18 912828-JR-2 NR /AAA	106 18	5,000 00	5,309 00	5,458 98	(149 98)	187 50 / 70 82	0 81%
US TREAS 2.75% 02/15/19 912828-KD-1 NR /AAA	104 52	5,000 00	5,226 15	5,298 24	(72 09)	137 50 / 17 56	0 82%
US TREAS 0.875% 07/31/19 912828-TH-3 NR /AAA	100 00	10,000 00	10,000 00	9,650 78	349 22	87 50 / 14 74	0 87%
US TREAS 3.375% 11/15/19 912828-LY-4 NR /AAA	107 49	15,000 00	16,123 80	14,635 61	1,488 19	506 25 / 191 25	0 94%
US TREAS 1.375% 01/31/20 912828-UL-2 NR /AAA	101 31	5,000 00	5,065 25	5,040 82	24 43	68 75 / 11 58	0 98%
US TREAS 2.625% 08/15/20 912828-NT-3 NR /AAA	105 91	5,000 00	5,295 30	5,282 43	12 87	131 25 / 16 77	1 06%
US TREAS 3.625% 02/15/21 912828-PX-2 NR /AAA	110 63	35,000 00	38,718 75	36,991 80	1,726 95	1,268 75 / 162 02	1 13%
US TREAS 2% 05/31/21 912828-WN-6 NR /AAA	103 81	5,000 00	5,190 25	5,155 86	34 39	100 00 / 33 61	1 16%
US TREAS 2.125% 08/15/21 912828-RC-6 NR /AAA	104 43	5,000 00	5,221 50	5,103 32	118 18	106 25 / 13 57	1 19%
US TREAS 2% 11/15/21 912828-RR-3 NR /AAA	103 90	10,000 00	10,389 80	10,426 95	(37 15)	200 00 / 75 55	1 21%
US TREAS 2% 02/15/22 912828-SF-8 NR /AAA	103 94	25,000 00	25,984 50	24,093 75	1,890 75	500 00 / 63 85	1 24%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Income / Accrued Interest	Yield
US Fixed Income							
US TREAS 1 75% 05/15/22 912828-SV-3 NR /AAA	102 53	5,000 00	5,126 55	5,070 70	55 85	87 50 / 33 06	1 28%
US TREAS 1.625% 08/15/22 912828-TJ-9 NR /AAA	101 81	5,000 00	5,090 65	4,662 89	427 76	81 25 / 10 38	1 30%
US TREAS 2% 02/15/23 912828-UN-8 NR /AAA	103 90	10,000 00	10,389 80	10,398 04	(8 24)	200 00 / 25 54	1 36%
FHLB 2.125% 03/10/23 313382-AX-1 AA+ /AAA	103 70	10,000 00	10,370 00	9,722 70	647 30	212 50 / 12 39	1 52%
Total US Fixed Income			**$224,515.35**	**$218,370.94**	**$6,144.41**	**$5,043.75 / $1,003.87**	**1.04%**

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