Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning OCT 1, 2015 , and ending SEP 30, 2016

Name of foundation
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.

A Employer identification number
59-2061386

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
5700 70TH AVENUE NORTH

B Telephone number
727-545-9555

City or town, state or province, country, and ZIP or foreign postal code
PINELLAS PARK, FL 33781

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 14,863,470.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	8,358,621.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	35.	35.		Statement 1
	4 Dividends and interest from securities	231,335.	231,335.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	146,705.			
	b Gross sales price for all assets on line 6a 5,622,989.				
	7 Capital gain net income (from Part IV, line 2)		146,705.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	<4,600.>	<5,091.>		Statement 3
	12 **Total** Add lines 1 through 11	8,732,096.	372,984.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest	53.	53.		0.
	18 Taxes Stmt 4	3,692.	278.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 5	56,213.	54,975.		0.
	24 **Total operating and administrative expenses** Add lines 13 through 23	59,958.	55,306.		0.
	25 Contributions, gifts, grants paid	555,625.			555,625.
	26 **Total expenses and disbursements** Add lines 24 and 25	615,583.	55,306.		555,625.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	8,116,513.			
	b **Net investment income** (if negative, enter -0-)		317,678.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		6,615.	6,615.
	2 Savings and temporary cash investments	254,787.	529,251.	528,254.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 6	1,244,573.	5,689,415.	6,299,684.
	c Investments - corporate bonds Stmt 7	1,649,289.	1,882,225.	1,967,708.
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 8	2,621,953.	5,772,416.	6,045,285.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ Statement 9)	8,731.	15,924.	15,924.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	5,779,333.	13,895,846.	14,863,470.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ ADVANCES)	28,264.	28,264.	
	23 **Total liabilities** (add lines 17 through 22)	28,264.	28,264.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	5,751,069.	13,867,582.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	5,751,069.	13,867,582.	
	31 **Total liabilities and net assets/fund balances**	5,779,333.	13,895,846.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,751,069.
2	Enter amount from Part I, line 27a	2	8,116,513.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	13,867,582.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	13,867,582.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	See Attached Statements			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	5,622,989.		5,476,284.	146,705.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				146,705.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	146,705.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	516,704.	6,374,941.	.081052
2013	327,617.	6,429,537.	.050955
2012	314,137.	6,134,654.	.051207
2011	288,461.	5,819,678.	.049566
2010	288,047.	5,808,118.	.049594

2	**Total** of line 1, column (d)	2	.282374
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056475
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	8,648,868.
5	Multiply line 4 by line 3	5	488,445.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,177.
7	Add lines 5 and 6	7	491,622.
8	Enter qualifying distributions from Part XII, line 4	8	555,625.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	3,177.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	3,177.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	3,177.
6 Credits/Payments:				
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	3,136.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	3,136.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	41.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LESLIE M. ROGAS Telephone no. ▶ 727-545-9555
Located at ▶ 5700 70TH AVENUE NORTH, PINELLAS PARK, FL ZIP+4 ▶ 33781

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGIE R. GREEN 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	CHAIRMAN/TRUSTEE 4.00	0.	0.	0.
CAROL R. LANE 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	SECRETARY/TRUSTEE 1.00	0.	0.	0.
NANCY R. WYGLE 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	VICE PRESIDENT/TRUSTEE 1.00	0.	0.	0.
STEPHANIE GOFORTH 100 2ND AVENUE SOUTH ST. PETERSBURG, FL 33701	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments. See instructions. 3 NONE	0.
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	8,530,669.
b	Average of monthly cash balances		1b	247,118.
c	Fair market value of all other assets		1c	2,790.
d	**Total** (add lines 1a, b, and c)		1d	8,780,577.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	8,780,577.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	131,709.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4		5	8,648,868.
6	**Minimum investment return**. Enter 5% of line 5		6	432,443.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	432,443.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 3,177.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	3,177.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	429,266.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	429,266.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	429,266.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	555,625.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions**. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	555,625.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	3,177.
6	**Adjusted qualifying distributions**. Subtract line 5 from line 4	6	552,448.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					429,266.
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2015:					
a From 2010					
b From 2011	5,155.				
c From 2012	16,878.				
d From 2013	11,848.				
e From 2014	204,445.				
f **Total** of lines 3a through e		238,326.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 555,625.					
a Applied to 2014, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2015 distributable amount					429,266.
e Remaining amount distributed out of corpus		126,359.			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		364,685.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instr.				0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		364,685.			
10 Analysis of line 9.					
a Excess from 2011	5,155.				
b Excess from 2012	16,878.				
c Excess from 2013	11,848.				
d Excess from 2014	204,445.				
e Excess from 2015	126,359.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
JEWISH NATIONAL FUND 42 EAST 69TH STREET NEW YORK, NY 10021	N/A	PUBLIC	FORESTRY AND GREEN INNOVATIONS	1,000.
ACADEMY PREP CENTER OF ST. PETERSBURG 2301 22ND AVENUE SOUTH ST PETERSBURG, FL 33712	N/A	PUBLIC	FIT FAMILIES FOR LIFE PROGRAM	26,880.
ART FESTIVAL BETH-EL 400 PASADENA AVENUE SOUTH ST PETERSBURG, FL 33707	N/A	PUBLIC	ADVANCEMENT OF ART - FRIENDS OF THE ARTIST PROGRAM	1,000.
BETH DILLINGER FOUNDATION P.O. BOX 48533 ST PETERSBURG, FL 33743	N/A	PUBLIC	HELP AT RISK YOUTH, SPONSOR VALUE ME LUNCHEON	2,500.
BOYS & GIRLS CLUBS OF THE SUNCOAST 2300 TALL PINES DRIVE, SUITE 150 LARGO, FL 33771	N/A	PUBLIC	PINELLAS PARK CLUB KITCHEN RENOVATION	25,645.
Total See continuation sheet(s)			▶ 3a	555,625.
***b** Approved for future payment*				
None				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	35.	
4 Dividends and interest from securities			14	231,335.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	<4,600.>	
8 Gain or (loss) from sales of assets other than inventory			18	146,705.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		373,475.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 373,475.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Margie R Green — 2/13/17 — Chairman

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
LESLIE M. ROGAS	Leslie M Rogas	2/9/17		P00149291

Firm's name ▶ LESLIE M. ROGAS — Firm's EIN ▶

Firm's address ▶ 2926 BAYSHORE VISTA DRIVE TAMPA, FL 33611 — Phone no. 813-786-7942

523622 11-24-15

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2015

Name of the organization	Employer identification number
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990 EZ, or 990-PF)

Name of organization
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.

Employer identification number
59-2061386

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THELMA P. ROTHMAN FAMILY TRUST 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	$ 7,898,519.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
2	THELMA P. ROTHMAN FAMILY TRUST 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	$ 202,500.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
3	THELMA P. ROTHMAN FAMILY TRUST 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	$ 480,845.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	STOCKS AND SECURITIES HELD IN MERRILL LYNCH ACCOUNTS	$ 7,898,519.	06/28/16

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	181,809 UNITS IN MAN FRM MANAGED FUTURES STRATEGIES LLC	$ 202,500.	07/15/16

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Part III *Exclusively* religious, charitable, etc , contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this into once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50000 DOW CHEMICAL CO CLD	P	05/09/11	11/16/15
b	50000 DOW CHEMICAL CO CLD	P	05/23/11	11/16/15
c	100 SH CALAMOS CONV OPP INC	P	04/17/12	03/31/16
d	100 SH CALAMOS CONV OPP INC	P	04/17/12	03/31/16
e	2300 SH CALAMOS CONV OPP INC	P	04/17/12	03/31/16
f	2000 SH TORTOISE MLP FD INC	P	07/27/10	03/31/16
g	2000 SH PIMCO DYNAMIC INCOME FD	P	07/03/14	03/31/16
h	1000 SH PUBLIC STORAGE PFD S CLD	P	04/05/11	04/15/16
i	2000 SH ALLSTATE CORP	P	05/09/16	05/09/16
j	2500 SH INTL BSKT ARN ISS BARC	P	05/28/15	05/17/16
k	2500 SH NUVEEN FLOAT RT INC OPP	P	12/26/14	05/09/16
l	2500 SH CALAMOS CONV & HIGH INC	P	04/02/14	05/09/16
m	2500 SH NUVEEN FLOAT RATE INC	P	12/26/14	05/09/16
n	2000 SH TORTOISE MLP FD INC	P	07/27/10	05/09/16
o	2000 SH PIMCO DYNAMIC CREDIT	P	07/03/14	05/09/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	50,000.		50,000.	0.
b	50,000.		50,000.	0.
c	945.		1,205.	<260.>
d	946.		1,206.	<260.>
e	21,751.		27,748.	<5,997.>
f	32,061.		41,719.	<9,658.>
g	52,622.		64,853.	<12,231.>
h	25,000.		25,000.	0.
i	56,008.		50,000.	6,008.
j	20,375.		25,000.	<4,625.>
k	25,139.		27,771.	<2,632.>
l	25,275.		34,831.	<9,556.>
m	25,714.		27,047.	<1,333.>
n	34,624.		41,719.	<7,095.>
o	36,829.		46,890.	<10,061.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				0.
b				0.
c				<260.>
d				<260.>
e				<5,997.>
f				<9,658.>
g				<12,231.>
h				0.
i				6,008.
j				<4,625.>
k				<2,632.>
l				<9,556.>
m				<1,333.>
n				<7,095.>
o				<10,061.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4000 SH SP500 STEPUP ISSUER DB	P	05/29/14	05/27/16
b	7500 SH JPNK400 ARN ISSUER CS	P	07/30/15	06/16/16
c	10000 SH SP500 STEPUP ISSUER HSBC	D	10/07/14	06/24/16
d	5000 SH SP500 STEPUP ISSUER DB	D	06/25/15	07/25/16
e	3500 SH SPSIOP STEPUP ISSUER DB	P	05/28/15	07/25/16
f	1000 SH PUBLIC STORAGE (PSA) CLD	P	07/19/11	07/26/16
g	60 SH SABMILLER PLC SPON ADR	D	10/07/14	07/12/16
h	37 SH SABMILLER PLC SPON ADR	D	01/09/15	07/12/16
i	11 SH SABMILLER PLC SPON ADR	D	01/12/15	07/12/16
j	7 SH SABMILLER PLC SPON ADR	D	01/30/15	07/12/16
k	10 SH SABMILLER PLC SPON ADR	D	09/16/15	07/12/16
l	4000 SH SP500 STEPUP ISSUER DB	P	03/26/15	08/26/16
m	8000 SH SP500 STEPUP ISSUER DB	D	03/26/15	08/26/16
n	5000 SH BASKET LIRN ISSUER BAC	P	08/30/13	08/26/16
o	5000 SH BASKET LIRN ISSUER BAC	D	10/07/14	08/26/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	44,440.		40,000.	4,440.
b	56,100.		75,000.	<18,900.>
c	109,550.		95,200.	14,350.
d	50,655.		50,000.	655.
e	23,135.		35,000.	<11,865.>
f	25,000.		25,000.	0.
g	3,424.		3,223.	201.
h	2,112.		1,869.	243.
i	628.		562.	66.
j	400.		386.	14.
k	571.		540.	31.
l	42,168.		40,000.	2,168.
m	84,336.		80,000.	4,336.
n	61,177.		50,000.	11,177.
o	61,177.		57,850.	3,327.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				4,440.
b				<18,900.>
c				14,350.
d				655.
e				<11,865.>
f				0.
g				201.
h				243.
i				66.
j				14.
k				31.
l				2,168.
m				4,336.
n				11,177.
o				3,327.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2000 SH BANK OF AMERICA CORP	P	01/20/15	08/11/16
b	20000 SH DJIA STEPUP ISSUER CS	D	10/07/14	08/26/16
c	10000 SH DJIA STEPUP ISSUER CS	D	10/07/14	08/26/16
d	2000 SH WELLS FARGO & COMPANY	P	12/11/13	08/01/16
e	1000 SH DIGITAL REALTY TRUST CLD	P	09/08/11	09/15/16
f	501 SH QWEST CORP (CTL) $25 PAR	P	09/14/11	09/15/16
g	2500 SH SP500 STEPUP ISSUER DB	D	10/07/14	09/23/16
h	5000 SH SP500 STEPUP ISSUER DB	D	10/07/14	09/23/16
i	1000 SH VORNADO REALTY TR SE CLD	P	04/13/11	09/01/16
j	550 SH AVAGO TECHNOLOGIES LTD	P	10/09/13	10/22/15
k	490 SH FIREEYE INC	P	08/06/15	10/06/15
l	70 SH FIREEYE INC	P	08/07/15	10/06/15
m	770 SH METLIFE INC	P	08/06/15	10/06/15
n	110 SH METLIFE INC	P	08/07/15	10/06/15
o	230 SKYWORKS SOLUTIONS INC	P	07/08/14	10/22/15

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	54,659.		50,000.	4,659.
b	222,500.		190,600.	31,900.
c	111,250.		95,300.	15,950.
d	60,099.		50,000.	10,099.
e	25,000.		25,000.	0.
f	12,525.		12,525.	0.
g	28,350.		24,275.	4,075.
h	56,700.		48,550.	8,150.
i	25,000.		25,000.	0.
j	68,451.		23,173.	45,278.
k	15,081.		20,963.	<5,882.>
l	2,154.		3,025.	<871.>
m	36,415.		42,660.	<6,245.>
n	5,202.		6,027.	<825.>
o	18,607.		10,838.	7,769.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				4,659.
b				31,900.
c				15,950.
d				10,099.
e				0.
f				0.
g				4,075.
h				8,150.
i				0.
j				45,278.
k				<5,882.>
l				<871.>
m				<6,245.>
n				<825.>
o				7,769.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	571. SH THE MOSAIC COMPANY	P	07/02/15	10/06/15
b	550 SH GREENBRIER COS INC	P	12/03/14	11/05/15
c	563 CH MICRON TECHNOLOGY INC	P	10/07/14	11/05/15
d	684 SH ACADIA HEALTHCARE CO INC	P	07/02/15	12/03/15
e	600 SH CENTENE CORP	P	08/06/15	12/03/15
f	90 SH CENTENE CORP	P	08/07/15	12/03/15
g	243 SH CELGENE CORP	P	07/22/15	12/03/15
h	1995 SH CIENA CORP	P	06/03/15	12/14/15
i	346 SH EXXON MOBIL CORP	P	05/06/15	12/03/15
j	770 SH LAS VEGAS SANDS CORP	P	08/06/15	12/03/15
k	95 SH LAS VEGAS SANDS CORP	P	08/07/15	12/03/15
l	200 SH AVAGO TECHNOLOGIES LTD	P	10/09/13	01/07/16
m	2905 SH FORD MOTOR CO	P	08/06/15	01/07/16
n	370 SH FORD MOTOR CO	P	08/07/15	01/07/16
o	310 SH SKYWORKS SOLUTIONS	P	07/08/14	01/07/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	18,348.		26,590.	<8,242.>
b	20,106.		29,274.	<9,168.>
c	9,834.		18,340.	<8,506.>
d	45,584.		54,058.	<8,474.>
e	34,364.		42,421.	<8,057.>
f	5,154.		6,282.	<1,128.>
g	26,139.		33,576.	<7,437.>
h	38,668.		49,105.	<10,437.>
i	27,309.		30,699.	<3,390.>
j	33,579.		42,855.	<9,276.>
k	4,143.		5,374.	<1,231.>
l	26,059.		8,426.	17,633.
m	37,054.		42,818.	<5,764.>
n	4,719.		5,494.	<775.>
o	21,318.		14,608.	6,710.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<8,242.>
b				<9,168.>
c				<8,506.>
d				<8,474.>
e				<8,057.>
f				<1,128.>
g				<7,437.>
h				<10,437.>
i				<3,390.>
j				<9,276.>
k				<1,231.>
l				17,633.
m				<5,764.>
n				<775.>
o				6,710.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	436 SH BLACKHAWK NETWK HLDGS	P	03/10/15	02/05/16
b	114 SH BLACKHAWK NETWK HLDGS	P	03/11/15	02/05/16
c	500 SH MONDELEZ INTERNATIONAL	P	10/06/15	02/05/16
d	310 SH SKYWORKS SOLUTIONS	P	07/08/14	02/05/16
e	1695 SH WEYERHAEUSER CO	P	11/05/15	02/05/16
f	190 SH BOEING COMPANY	P	02/07/14	03/04/16
g	237 SH FIRST TR NYSE ARCA BIOTE	P	12/03/15	03/04/16
h	678 SH FIRST TR HEALTH CARE	P	12/03/15	03/04/16
i	306 SH CARDINAL HEALTH INC OHIO	P	09/03/15	04/06/16
j	227 SH CARDINAL HEALTH INC OHIO	P	12/14/15	04/06/16
k	1332 SH KROGER CO	P	05/05/15	04/06/16
l	200 SH ELI LILLY & CO	P	10/06/15	05/04/16
m	164 SH ELI LILLY & CO	P	12/03/15	05/04/16
n	1668 SH MGM RESORTS INTERNATIONL	P	12/03/15	05/04/16
o	199 SH ELECTRONIC ARTS INC DEL	P	12/03/15	06/03/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	16,219.		14,742.	1,477.
b	4,241.		3,874.	367.
c	19,138.		22,020.	<2,882.>
d	19,234.		14,607.	4,627.
e	41,454.		52,667.	<11,213.>
f	22,968.		23,755.	<787.>
g	20,856.		26,024.	<5,168.>
h	37,025.		40,327.	<3,302.>
i	25,598.		24,937.	661.
j	18,989.		19,522.	<533.>
k	52,008.		45,766.	6,242.
l	14,986.		16,817.	<1,831.>
m	12,289.		13,644.	<1,355.>
n	35,548.		37,474.	<1,926.>
o	15,230.		13,556.	1,674.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				1,477.
b				367.
c				<2,882.>
d				4,627.
e				<11,213.>
f				<787.>
g				<5,168.>
h				<3,302.>
i				661.
j				<533.>
k				6,242.
l				<1,831.>
m				<1,355.>
n				<1,926.>
o				1,674.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	451 SH SPIRIT AEROSYSTEMMS HLDGS	P	03/04/16	06/03/16
b	459 SH TEAM HEALTH HOLDING INC	P	03/04/16	06/03/16
c	119 SH ALLSTATE CORP DEL COM	D	01/28/16	07/11/16
d	225 SH ASTORIA FINANCIAL CORP	D	10/07/14	07/11/16
e	20 SH ASTORIA FINANCIAL CORP	D	07/02/15	07/11/16
f	12 SH ASTORIA FINANCIAL CORP	D	07/06/15	07/11/16
g	116 SH AKAMAI TECHNOLOGIES INC	D	10/07/14	07/11/16
h	76 SH ANSYS INC COM	D	10/07/14	07/11/16
i	25 SH AMAZON COM INC	D	10/07/14	07/11/16
j	140 SH ARM HLDGS PLC SPD ADR	D	10/07/14	07/11/16
k	16 SH ARM HLDGS PLC SPD ADR	D	04/19/16	07/11/16
l	2 SH ARM HLDGS PLC SPD ADR	D	04/20/16	07/11/16
m	105 SH ASTRAZENECA PLC SPND ADR	D	01/07/16	07/11/16
n	60 SH ASTRAZENECA PLC SPND ADR	D	05/04/16	07/11/16
o	115 SH ALLEGHENY TECH INC	D	10/07/14	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	20,979.		21,075.	<96.>
b	21,902.		20,731.	1,171.
c	8,314.		7,074.	1,240.
d	3,398.		2,766.	632.
e	302.		275.	27.
f	181.		164.	17.
g	6,538.		6,767.	<229.>
h	6,958.		5,756.	1,202.
i	18,826.		7,983.	10,843.
j	6,453.		5,855.	598.
k	737.		670.	67.
l	92.		84.	8.
m	3,145.		3,375.	<230.>
n	1,797.		1,698.	99.
o	1,612.		3,864.	<2,252.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<96.>
b				1,171.
c				1,240.
d				632.
e				27.
f				17.
g				<229.>
h				1,202.
i				10,843.
j				598.
k				67.
l				8.
m				<230.>
n				99.
o				<2,252.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } — 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 — 3

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	36 SH AMERICAN TOWER REIT INC	D	10/07/14	07/11/16
b	30 SH ANTERO RES CORP	D	03/28/16	07/11/16
c	24 SH ANTERO RES CORP	D	03/29/16	07/11/16
d	112 SH ANTERO RES CORP	D	03/30/16	07/11/16
e	90 SH ALLEGION PLC SHS	D	05/04/16	07/11/16
f	52 SH ALIBABA GROUP HOLDING LT	D	10/07/14	07/11/16
g	3 SH ALIBABA GROUP HOLDING LT	D	10/28/14	07/11/16
h	33 SH ALIBABA GROUP HOLDING LT	D	01/29/15	07/11/16
i	17 SH ALIBABA GROUP HOLDING LT	D	03/04/15	07/11/16
j	16 SH ALIBABA GROUP HOLDING LT	D	08/12/15	07/11/16
k	22 SH ALIBABA GROUP HOLDING LT	D	09/15/15	07/11/16
l	1 SH ALIBABA GROUP HOLDING LT	D	09/16/15	07/11/16
m	8 SH ALIBABA GROUP HOLDING LT	D	09/23/15	07/11/16
n	9 SH ALIBABA GROUP HOLDING LT	D	01/07/16	07/11/16
o	11 SH ALIBABA GROUP HOLDING LT	D	01/08/16	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,087.		3,375.	712.
b	774.		733.	41.
c	620.		590.	30.
d	2,891.		2,814.	77.
e	6,321.		5,873.	448.
f	4,144.		4,596.	<452.>
g	239.		232.	7.
h	2,630.		2,961.	<331.>
i	1,355.		1,377.	<22.>
j	1,275.		1,170.	105.
k	1,753.		1,420.	333.
l	80.		66.	14.
m	637.		485.	152.
n	717.		657.	60.
o	877.		817.	60.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				712.
b				41.
c				30.
d				77.
e				448.
f				<452.>
g				7.
h				<331.>
i				<22.>
j				105.
k				333.
l				14.
m				152.
n				60.
o				60.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	12 SH ALPHABET INC SHS	D	10/07/14	07/11/16
b	185 SH AT&T INC	D	10/07/14	07/11/16
c	13 SH AT&T INC	D	11/05/14	07/11/16
d	45 SH AMER EXPRESS COMPANY	D	10/07/14	07/11/16
e	43 SH AMGEN INC COM PV	D	10/07/14	07/11/16
f	22 SH ANALOG DEVICES INC	D	10/07/14	07/11/16
g	125 SH APPLE INC	P	12/09/09	07/11/16
h	207 SH ARCHER DANIELS MIDLD	D	01/28/16	07/11/16
i	134 SH AUTODESK INC DEL	D	10/07/14	07/11/16
j	107 SH AUTODESK INC DEL	D	10/07/14	07/11/16
k	32 SH AUTOMATIC DATA PROC	D	10/07/14	07/11/16
l	690 SH AVERY DENNISON CORP	P	08/06/15	07/11/16
m	445 SH AVERY DENNISON CORP	D	08/06/15	07/11/16
n	95 SH AVERY DENNISON CORP	P	08/07/15	07/11/16
o	65 SH AVERY DENNISON CORP	D	08/07/15	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,544.		6,833.	1,711.
b	7,826.		6,561.	1,265.
c	550.		453.	97.
d	2,794.		3,864.	<1,070.>
e	6,903.		5,881.	1,022.
f	1,277.		1,024.	253.
g	12,165.		3,448.	8,717.
h	8,992.		7,224.	1,768.
i	7,461.		7,672.	<211.>
j	5,957.		6,126.	<169.>
k	3,057.		2,368.	689.
l	50,392.		42,747.	7,645.
m	32,499.		27,569.	4,930.
n	6,938.		5,896.	1,042.
o	4,747.		4,034.	713.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				1,711.
b				1,265.
c				97.
d				<1,070.>
e				1,022.
f				253.
g				8,717.
h				1,768.
i				<211.>
j				<169.>
k				689.
l				7,645.
m				4,930.
n				1,042.
o				713.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	154. SH BORG WARNER INC	D	10/07/14	07/11/16
b	222 SH BB&T CORPORATION	D	01/28/16	07/11/16
c	47 SH BOSTON PPTYS INC	D	10/07/14	07/11/16
d	36 SH BARD C R INC	D	10/07/14	07/11/16
e	25 SH BAIDU INC SPON ADR	D	01/07/15	07/11/16
f	5 SH BAIDU INC SPON ADR	D	09/28/15	07/11/16
g	18 SH BIG LOTS INC	D	09/15/15	07/11/16
h	38 SH BIG LOTS INC	D	09/18/15	07/11/16
i	45 SH BIG LOTS INC	D	10/21/15	07/11/16
j	201 SH BANK NEW YORK MELLON	D	10/21/15	07/11/16
k	650 SH BERKSHIRE HATHAWAY	D	10/07/14	07/11/16
l	18 SH BLACK KNIGHT FINL	P	06/21/16	07/11/16
m	22 SH BLACK KNIGHT FINL	P	06/22/16	07/11/16
n	67 SH BLACK KNIGHT FINL	P	06/24/16	07/11/16
o	28 SH BIO RAD LABS CL A	D	10/07/14	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,791.		8,194.	<3,403.>
b	7,880.		7,132.	748.
c	6,264.		5,446.	818.
d	8,585.		5,341.	3,244.
e	4,090.		5,624.	<1,534.>
f	818.		671.	147.
g	935.		800.	135.
h	1,975.		1,698.	277.
i	2,338.		2,130.	208.
j	7,791.		7,149.	642.
k	93,345.		89,554.	3,791.
l	688.		611.	77.
m	841.		745.	96.
n	2,562.		2,343.	219.
o	4,028.		3,122.	906.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<3,403.>
b				748.
c				818.
d				3,244.
e				<1,534.>
f				147.
g				135.
h				277.
i				208.
j				642.
k				3,791.
l				77.
m				96.
n				219.
o				906.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr.)
1a	261 SH CSX CORP	D	10/07/14	07/11/16
b	63 SH CABOT CORP	D	10/07/14	07/11/16
c	88 SH CARDINAL HEALTH INC OHIO	D	01/28/16	07/11/16
d	76 SH CVS HEALTH CORP	D	01/28/16	07/11/16
e	65 SH CHECK POINT SOFTWARE TECH	D	06/03/16	07/11/16
f	125 SH CANON INC ADR REP5SH	D	03/04/16	07/11/16
g	85 SH CHINA PETE CHEM SPN ADR	D	05/04/16	07/11/16
h	35 SH CHEVRON CORP	D	10/07/14	07/11/16
i	11 SH CHEVRON CORP	D	11/05/14	07/11/16
j	17 SH CHEVRON CORP	D	08/31/15	07/11/16
k	20 SH CHEVRON CORP	D	01/28/16	07/11/16
l	107 SH CASH AMERICAN INTL INC	D	10/07/14	07/11/16
m	11 SH CASH AMERICAN INTL INC	D	07/02/15	07/11/16
n	9 SH CASH AMERICAN INTL INC	D	07/06/15	07/11/16
o	4 SH CASH AMERICAN INTL INC	D	07/07/15	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,946.		8,208.	<1,262.>
b	2,986.		3,045.	<59.>
c	7,116.		6,956.	160.
d	7,351.		7,137.	214.
e	5,358.		5,524.	<166.>
f	3,559.		3,707.	<148.>
g	6,128.		5,718.	410.
h	3,704.		4,102.	<398.>
i	1,164.		1,281.	<117.>
j	1,799.		1,372.	427.
k	2,117.		1,710.	407.
l	4,546.		4,704.	<158.>
m	467.		287.	180.
n	382.		235.	147.
o	170.		104.	66.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a				<1,262.>
b				<59.>
c				160.
d				214.
e				<166.>
f				<148.>
g				410.
h				<398.>
i				<117.>
j				427.
k				407.
l				<158.>
m				180.
n				147.
o				66.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	214 SH CENTENE CORP	D	10/07/14	07/11/16
b	188 SH CONOCOPHILLIPS	D	01/28/16	07/11/16
c	183 SH CA INC	D	10/07/14	07/11/16
d	72 SH CA INC	D	01/28/16	07/11/16
e	172 SH CBRE GROUP INC	D	10/07/14	07/11/16
f	26 SH CBRE GROUP INC	D	03/30/15	07/11/16
g	27 SH CBRE GROUP INC	D	02/19/16	07/11/16
h	13 SH CBRE GROUP INC	D	02/22/16	07/11/16
i	35 SH CERNER CORP	D	11/20/15	07/11/16
j	3 SH CERNER CORP	D	11/24/15	07/11/16
k	11 SH CERNER CORP	D	11/25/15	07/11/16
l	13 SH CERNER CORP	D	11/27/15	07/11/16
m	16 SH CERNER CORP	D	11/30/15	07/11/16
n	32 SH CERNER CORP	D	02/17/16	07/11/16
o	7 SH CERNER CORP	D	04/18/16	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	15,262.		8,619.	6,643.
b	8,038.		7,074.	964.
c	6,206.		4,921.	1,285.
d	2,442.		2,021.	421.
e	4,578.		5,015.	<437.>
f	692.		946.	<254.>
g	719.		669.	50.
h	346.		334.	12.
i	2,125.		2,052.	73.
j	182.		177.	5.
k	668.		654.	14.
l	789.		776.	13.
m	971.		959.	12.
n	1,943.		1,621.	322.
o	425.		406.	19.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				6,643.
b				964.
c				1,285.
d				421.
e				<437.>
f				<254.>
g				50.
h				12.
i				73.
j				5.
k				14.
l				13.
m				12.
n				322.
o				19.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	8 SH CERNER CORP	D	04/19/16	07/11/16
b	5 SH CERNER CORP	D	05/02/16	07/11/16
c	4 SH CERNER CORP	D	05/03/16	07/11/16
d	55 SH CHUBB LTD	D	01/07/15	07/11/16
e	285 SH CIGNA CORP	P	07/17/13	07/11/16
f	205 SH CIGNA CORP	D	10/07/14	07/11/16
g	207 SH CISCO SYSTEMS INC	D	10/07/14	07/11/16
h	534 SH CISCO SYSTEMS INC	D	10/07/14	07/11/16
i	97 SH CISCO SYSTEMS INC	D	01/28/16	07/11/16
j	218 SH COCA COLA COM	D	10/07/14	07/11/16
k	30 SH COCA COLA COM	D	09/15/15	07/11/16
l	2 SH COCA COLA COM	D	09/16/15	07/11/16
m	75 SH DARDEN RESTAURANTS INC	D	10/07/14	07/11/16
n	53 SH DIAGEO PLC SPSD ADR	D	02/05/16	07/11/16
o	667 SH DANONE-SPONS ADR	D	10/07/14	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	486.		464.	22.
b	304.		284.	20.
c	243.		227.	16.
d	7,128.		6,215.	913.
e	36,793.		21,631.	15,162.
f	26,465.		18,408.	8,057.
g	6,129.		5,142.	987.
h	15,811.		13,265.	2,546.
i	2,872.		2,243.	629.
j	9,865.		9,552.	313.
k	1,358.		1,157.	201.
l	90.		77.	13.
m	4,674.		3,376.	1,298.
n	6,017.		5,530.	487.
o	9,530.		8,604.	926.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				22.
b				20.
c				16.
d				913.
e				15,162.
f				8,057.
g				987.
h				2,546.
i				629.
j				313.
k				201.
l				13.
m				1,298.
n				487.
o				926.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	132 SH DANONE-SPONS ADR	D	01/09/15	07/11/16
b	14 SH DANONE-SPONS ADR	D	06/10/15	07/11/16
c	75 SH DELPHI AUTOMOTIVE PLC	D	06/05/15	07/11/16
d	4 SH DELPHI AUTOMOTIVE PLC	D	10/14/15	07/11/16
e	68 SH DENTSPLY SIRONA INC	D	03/10/15	07/11/16
f	32 SH DENTSPLY SIRONA INC	D	03/11/15	07/11/16
g	239 SH D R HORTON INC	D	10/07/14	07/11/16
h	138 SH DU PONT E I DE NEMOURS	D	01/28/16	07/11/16
i	65 SH EASTMAN CHEMICAL CO	D	10/07/14	07/11/16
j	115 SH EDISON INTL CALIF	D	01/28/16	07/11/16
k	108 SH EATON VANCE CORP	D	10/07/14	07/11/16
l	99 SH EXPRESS SCRIPTS HLDG CO	D	01/28/16	07/11/16
m	189 SH EXPEDITORS INTL WASH INC	D	10/07/14	07/11/16
n	204 SH FIRST POTOMAC RLTY TR	D	10/07/14	07/11/16
o	35 SH FACTSET RESH SYS INC	D	10/07/14	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,886.		1,676.	210.
b	200.		180.	20.
c	4,919.		6,561.	<1,642.>
d	263.		322.	<59.>
e	4,321.		3,475.	846.
f	2,033.		1,639.	394.
g	8,127.		5,000.	3,127.
h	8,890.		7,170.	1,720.
i	4,487.		5,085.	<598.>
j	8,888.		7,128.	1,760.
k	3,872.		3,923.	<51.>
l	7,731.		6,943.	788.
m	9,427.		7,520.	1,907.
n	1,918.		2,373.	<455.>
o	5,674.		4,271.	1,403.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				210.
b				20.
c				<1,642.>
d				<59.>
e				846.
f				394.
g				3,127.
h				1,720.
i				<598.>
j				1,760.
k				<51.>
l				788.
m				1,907.
n				<455.>
o				1,403.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	260 SH FAIRCHILD SEMICON INTL	D	10/07/14	07/11/16
b	675 SH FIBRIA CELULOSE S A ADR	D	05/04/16	07/11/16
c	96 SH GLOBAL PMTS INC GEORGIA	D	10/07/14	07/11/16
d	114 SH GATX CORPORATION	D	10/07/14	07/11/16
e	48 SH GULFPORT ENERGY NEW $0.01	D	03/28/16	07/11/16
f	23 SH GULFPORT ENERGY NEW $0.01	D	03/29/16	07/11/16
g	47 SH GULFPORT ENERGY NEW $0.01	D	03/30/16	07/11/16
h	56 SH GREENHILL COMPANY INC	D	10/07/14	07/11/16
i	125 SH HEXCEL CORP NEW COM	D	10/07/14	07/11/16
j	239 SH HALLIBURTON COMPANY	D	01/28/16	07/11/16
k	1425 SH HD SUPPLY HLDGS INC	P	04/06/16	07/11/16
l	875 SH HD SUPPLY HLDGS INC	D	04/06/16	07/11/16
m	95 SH HDFC BANK LTD ADR	D	03/13/15	07/11/16
n	59 SH HUNTINGTON INGALLS INDS	D	10/07/14	07/11/16
o	144 SH HORACE MANN EDUCTRS NEW	D	10/07/14	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,521.		4,180.	1,341.
b	4,354.		5,700.	<1,346.>
c	7,159.		3,658.	3,501.
d	5,235.		6,651.	<1,416.>
e	1,481.		1,307.	174.
f	710.		634.	76.
g	1,450.		1,348.	102.
h	982.		2,586.	<1,604.>
i	5,291.		4,836.	455.
j	10,822.		7,239.	3,583.
k	51,373.		47,483.	3,890.
l	31,545.		29,156.	2,389.
m	6,456.		5,803.	653.
n	10,234.		5,860.	4,374.
o	4,945.		4,120.	825.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				1,341.
b				<1,346.>
c				3,501.
d				<1,416.>
e				174.
f				76.
g				102.
h				<1,604.>
i				455.
j				3,583.
k				3,890.
l				2,389.
m				653.
n				4,374.
o				825.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	155 SH INTEL CORP	D	10/07/14	07/11/16
b	81 SH INTEL CORP	D	01/28/16	07/11/16
c	90 SH INGERSOLL-RAND PLC	D	05/04/16	07/11/16
d	33 SH INTERCONTINENTAL	D	10/07/14	07/11/16
e	108 SH INTUIT INC COM	D	10/07/14	07/11/16
f	490 SH ING GP NV SPSD ADR	D	05/04/16	07/11/16
g	64 SH JOY GLOBAL INC DEL COM	D	10/07/14	07/11/16
h	49 SH JOHNSON AND JOHNSON COM	D	10/07/14	07/11/16
i	20 SH JOHNSON AND JOHNSON COM	D	01/28/16	07/11/16
j	202 SH JOHNSON CONTROLS INC	D	01/28/16	07/11/16
k	409 SH KEYCORP NEW COM	D	10/07/14	07/11/16
l	93 SH KRAFT (THE) HEINZ CO SHS	D	01/28/16	07/11/16
m	355 SH LEAR CORP SHS	P	02/05/16	07/11/16
n	253 SH LEAR CORP SHS	D	02/05/16	07/11/16
o	102 SH LOWE'S COMPANIES INC	D	01/28/16	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,331.		5,229.	102.
b	2,786.		2,432.	354.
c	5,965.		5,826.	139.
d	8,457.		6,536.	1,921.
e	12,482.		9,004.	3,478.
f	5,034.		5,743.	<709.>
g	1,437.		3,371.	<1,934.>
h	6,020.		5,064.	956.
i	2,457.		2,049.	408.
j	8,864.		7,065.	1,799.
k	4,607.		5,432.	<825.>
l	8,325.		7,119.	1,206.
m	38,134.		35,591.	2,543.
n	27,177.		25,365.	1,812.
o	8,455.		7,088.	1,367.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				102.
b				354.
c				139.
d				1,921.
e				3,478.
f				<709.>
g				<1,934.>
h				956.
i				408.
j				1,799.
k				<825.>
l				1,206.
m				2,543.
n				1,812.
o				1,367.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	87 SH MONOLITHIC PWR SYSTEMS	D	10/07/14	07/11/16
b	561 SH MARATHON OIL CORP	D	01/28/16	07/11/16
c	331 SH MEDICAL PPTYS TR INC	D	10/07/14	07/11/16
d	76 SH MERCK AND CO INC SHS	D	10/07/14	07/11/16
e	143 SH MERCK AND CO INC SHS	D	01/28/16	07/11/16
f	169 SH MONDELEZ INTERNATIONAL	D	01/28/16	07/11/16
g	137 SH MARSH & MCLENNAN COS INC	D	01/28/16	07/11/16
h	145 SH MICROSOFT CORP	D	10/07/14	07/11/16
i	68 SH MOOG INC CL A	D	10/07/14	07/11/16
j	136 SH NEWFIELD EXPL CO COM	D	10/07/14	07/11/16
k	12 SH NEWFIELD EXPL CO COM	D	03/28/16	07/11/16
l	94 SH NOVARTIS ADR	D	10/07/14	07/11/16
m	40 SH NOVARTIS ADR	D	01/12/16	07/11/16
n	25 SH NOVARTIS ADR	D	05/04/16	07/11/16
o	275 SH NVIDIA	P	11/05/15	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,031.		3,724.	2,307.
b	8,338.		5,092.	3,246.
c	5,023.		4,147.	876.
d	4,525.		4,479.	46.
e	8,515.		7,040.	1,475.
f	7,723.		7,140.	583.
g	9,219.		7,122.	2,097.
h	7,624.		6,623.	1,001.
i	3,706.		4,570.	<864.>
j	5,938.		4,662.	1,276.
k	524.		383.	141.
l	7,751.		8,473.	<722.>
m	3,298.		3,261.	37.
n	2,061.		1,863.	198.
o	14,316.		7,673.	6,643.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				2,307.
b				3,246.
c				876.
d				46.
e				1,475.
f				583.
g				2,097.
h				1,001.
i				<864.>
j				1,276.
k				141.
l				<722.>
m				37.
n				198.
o				6,643.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	80 SH NXP SEMICONDUCTORS N.V.	D	01/07/15	07/11/16
b	92 SH MONSTER BEVERAGE SHS	D	10/07/14	07/11/16
c	40 SH NORTHROP GRUMMAN CORP	D	01/28/16	07/11/16
d	126 SH NOVO NORDISK A S ADR	D	10/07/14	07/11/16
e	26 SH NOVO NORDISK A S ADR	D	11/12/14	07/11/16
f	17 SH NOVO NORDISK A S ADR	D	11/13/14	07/11/16
g	1 SH NOVO NORDISK A S ADR	D	11/14/14	07/11/16
h	13 SH NOVO NORDISK A S ADR	D	01/09/15	07/11/16
i	10 SH NOVO NORDISK A S ADR	D	01/12/15	07/11/16
j	21 SH ON SEMICONDUCTOR CRP COM	D	10/20/14	07/11/16
k	459 SH ON SEMICONDUCTOR CRP COM	D	10/21/14	07/11/16
l	226 SH ON SEMICONDUCTOR CRP COM	D	11/05/14	07/11/16
m	110 SH OCCIDENTAL PETE CORP CAL	D	01/28/16	07/11/16
n	285 SH ORACLE CORP $0.01 DEL	D	10/07/14	07/11/16
o	36 SH ORACLE CORP $0.01 DEL	D	06/18/15	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,397.		5,975.	422.
b	14,822.		8,499.	6,323.
c	8,901.		7,227.	1,674.
d	6,955.		5,801.	1,154.
e	1,435.		1,150.	285.
f	938.		749.	189.
g	55.		44.	11.
h	718.		561.	157.
i	552.		436.	116.
j	191.		158.	33.
k	4,164.		3,560.	604.
l	2,050.		1,855.	195.
m	8,403.		7,244.	1,159.
n	11,676.		11,078.	598.
o	1,475.		1,508.	<33.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				422.
b				6,323.
c				1,674.
d				1,154.
e				285.
f				189.
g				11.
h				157.
i				116.
j				33.
k				604.
l				195.
m				1,159.
n				598.
o				<33.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } 3

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	12 SH ORACLE CORP $0.01 DEL	D	01/07/16	07/11/16
b	11 SH ORACLE CORP $0.01 DEL	D	01/08/16	07/11/16
c	177 SH PFIZER INC	D	10/07/14	07/11/16
d	57 SH PFIZER INC	D	01/28/16	07/11/16
e	107 SH PROCTER & GAMBLE CO	D	10/07/14	07/11/16
f	18 SH PROCTER & GAMBLE CO	D	09/15/15	07/11/16
g	1 SH PROCTER & GAMBLE CO	D	09/16/15	07/11/16
h	53 SH PRUDENTIAL PLC ADR	D	10/07/14	07/11/16
i	14 SH PRUDENTIAL PLC ADR	D	12/12/14	07/11/16
j	30 SH PRUDENTIAL PLC ADR	D	01/07/15	07/11/16
k	126 SH PRUDENTIAL PLC ADR	D	04/06/16	07/11/16
l	17 SH QUEST DIAGNOSTICS INC	D	12/10/15	07/11/16
m	18 SH QUEST DIAGNOSTICS INC	D	12/11/15	07/11/16
n	9 SH QUEST DIAGNOSTICS INC	D	01/08/16	07/11/16
o	22 SH QUEST DIAGNOSTICS INC	D	01/11/16	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	492.		422.	70.
b	451.		387.	64.
c	6,407.		5,125.	1,282.
d	2,063.		1,724.	339.
e	9,139.		8,937.	202.
f	1,537.		1,250.	287.
g	85.		70.	15.
h	1,708.		2,352.	<644.>
i	451.		648.	<197.>
j	967.		1,343.	<376.>
k	4,061.		4,659.	<598.>
l	1,405.		1,161.	244.
m	1,487.		1,214.	273.
n	744.		610.	134.
o	1,818.		1,486.	332.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				70.
b				64.
c				1,282.
d				339.
e				202.
f				287.
g				15.
h				<644.>
i				<197.>
j				<376.>
k				<598.>
l				244.
m				273.
n				134.
o				332.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3 SH QUEST DIAGNOSTICS INC	D	01/12/16	07/11/16
b	41 SH QUEST DIAGNOSTICS INC	D	01/28/16	07/11/16
c	132 SH QUALCOMM INC	D	10/07/14	07/11/16
d	17 SH QUALCOMM INC	D	11/11/14	07/11/16
e	10 SH QUALCOMM INC	D	01/29/15	07/11/16
f	10 SH QUALCOMM INC	D	07/23/15	07/11/16
g	7 SH QUALCOMM INC	D	07/24/15	07/11/16
h	3 SH QUALCOMM INC	D	07/24/15	07/11/16
i	10 SH QUALCOMM INC	D	11/06/15	07/11/16
j	10 SH QUALCOMM INC	D	11/10/15	07/11/16
k	12 SH QUALCOMM INC	D	04/19/16	07/11/16
l	107 SH RAYMOND JAMES FINL INC	D	10/07/14	07/11/16
m	110 SH REPUBLIC SERVICES INC	D	10/07/14	07/11/16
n	59 SH RAYTHEON CO DELAWARE NEW	D	01/28/16	07/11/16
o	60 SH REINSURNCE GROUP AMERICA	D	10/07/14	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	248.		206.	42.
b	3,388.		2,647.	741.
c	7,176.		9,759.	<2,583.>
d	924.		1,181.	<257.>
e	544.		636.	<92.>
f	544.		615.	<71.>
g	381.		433.	<52.>
h	163.		185.	<22.>
i	870.		852.	18.
j	272.		262.	10.
k	652.		620.	32.
l	5,390.		5,598.	<208.>
m	5,783.		4,209.	1,574.
n	8,220.		7,258.	962.
o	5,699.		4,736.	963.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				42.
b				741.
c				<2,583.>
d				<257.>
e				<92.>
f				<71.>
g				<52.>
h				<22.>
i				18.
j				10.
k				32.
l				<208.>
m				1,574.
n				962.
o				963.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	65 SH RYDER SYSTEM NC	D	10/07/14	07/11/16
b	191 SH SEI INVT CO PA PV $0.01	D	10/07/14	07/11/16
c	536 SG STMICROELECTRONICS NY SH	D	02/11/16	07/11/16
d	384 SG STMICROELECTRONICS NY SH	D	06/03/16	07/11/16
e	83 SH SCHLUMBERGER LTD	D	10/07/14	07/11/16
f	7 SH SCHLUMBERGER LTD	D	11/11/14	07/11/16
g	10 SH SCHLUMBERGER LTD	D	09/15/15	07/11/16
h	1 SH SCHLUMBERGER LTD	D	09/16/15	07/11/16
i	112 SH SBA COMMUNICATIONS CRP A	D	10/07/14	07/11/16
j	58 SH THE SCOTTS MIRACLE GRO	D	10/07/14	07/11/16
k	6 SH THE SCOTTS MIRACLE GRO	D	03/30/15	07/11/16
l	9 SH THE SCOTTS MIRACLE GRO	D	03/31/15	07/11/16
m	93 SH SANOFI ADR	D	03/04/16	07/11/16
n	109 SH SANOFI ADR	D	04/06/16	07/11/16
o	67 SH SENSATA TECH HLDNG BV	D	10/07/14	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,252.		5,692.	<1,440.>
b	9,434.		6,837.	2,597.
c	3,143.		3,517.	<374.>
d	2,251.		2,301.	<50.>
e	6,543.		8,205.	<1,662.>
f	552.		683.	<131.>
g	788.		736.	52.
h	79.		74.	5.
i	12,761.		12,422.	339.
j	4,290.		3,247.	1,043.
k	444.		398.	46.
l	666.		600.	66.
m	3,827.		3,721.	106.
n	4,486.		4,665.	<179.>
o	2,476.		2,973.	<497.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<1,440.>
b				2,597.
c				<374.>
d				<50.>
e				<1,662.>
f				<131.>
g				52.
h				5.
i				339.
j				1,043.
k				46.
l				66.
m				106.
n				<179.>
o				<497.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	35 SH SENSATA TECH HLDNG BV	D	01/07/15	07/11/16
b	79 SH SNAP ON INC COM	D	10/07/14	07/11/16
c	110 SH SONOCO PRODUCTS CO	D	10/07/14	07/11/16
d	71 SH WEC ENERGY GROUP INC SHS	D	10/07/14	07/11/16
e	18 SH WEC ENERGY GROUP INC SHS	D	11/06/15	07/11/16
f	101 SH THOMSON REUTERS CORP	D	03/04/16	07/11/16
g	137 SH TJX COS INC NEW	D	10/07/14	07/11/16
h	81 SH TOTAL S.A. SP ADR	D	01/07/16	07/11/16
i	75 SH TEVA PHARMACTCL INDS ADR	D	01/07/15	07/11/16
j	25 SH TEVA PHARMACTCL INDS ADR	D	03/13/15	07/11/16
k	51 SH TELEDYNE TECH INC	D	10/07/14	07/11/16
l	1580 SH TEEKAY TANKERS LTD	P	07/02/15	07/11/16
m	1088 SH TEEKAY TANKERS LTD	D	07/02/15	07/11/16
n	36 SH TOYOTA MOTOR CORP ADR	D	10/07/14	07/11/16
o	10 SH TOYOTA MOTOR CORP ADR	D	01/07/15	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,293.		1,764.	<471.>
b	12,700.		9,411.	3,289.
c	5,587.		4,304.	1,283.
d	4,569.		3,265.	1,304.
e	1,158.		891.	267.
f	4,169.		3,714.	455.
g	10,824.		8,227.	2,597.
h	3,870.		3,398.	472.
i	3,838.		4,269.	<431.>
j	1,279.		1,507.	<228.>
k	5,211.		4,730.	481.
l	4,636.		11,162.	<6,526.>
m	3,193.		7,686.	<4,493.>
n	3,793.		4,190.	<397.>
o	1,054.		1,255.	<201.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<471.>
b				3,289.
c				1,283.
d				1,304.
e				267.
f				455.
g				2,597.
h				472.
i				<431.>
j				<228.>
k				481.
l				<6,526.>
m				<4,493.>
n				<397.>
o				<201.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 SH TOYOTA MOTOR CORP ADR	D	01/13/15	07/11/16
b	143 SH UNITED NAT FOODS INC	D	10/07/14	07/11/16
c	110 SH UNILEVER NV NY REG SHS	D	10/14/15	07/11/16
d	33 SH UNILEVER NV NY REG SHS	D	11/03/15	07/11/16
e	81 SH UNITED PARCEL SVC CL B	D	10/07/14	07/11/16
f	112 SH VALSPAR CORP COM	D	10/07/14	07/11/16
g	86 SH VARIAN MEDICAL SYS INC	D	10/07/14	07/11/16
h	104 SH VERIZON COMMUNICATNS COM	D	10/07/14	07/11/16
i	40 SH VERIZON COMMUNICATNS COM	D	01/28/16	07/11/16
j	206 SH VISA INC CL A SHRS	D	10/07/14	07/11/16
k	160 SH VODAFONE GROUP PLC SHS	D	06/03/16	07/11/16
l	37 SH WOODWARD INC	D	12/17/15	07/11/16
m	33 SH WOODWARD INC	D	12/18/15	07/11/16
n	29 SH WOODWARD INC	D	12/21/15	07/11/16
o	758 XEROX CORP	D	01/28/16	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	527.		638.	<111.>
b	6,987.		8,668.	<1,681.>
c	5,081.		4,736.	345.
d	1,524.		1,490.	34.
e	8,855.		7,861.	994.
f	12,152.		8,701.	3,451.
g	7,347.		6,895.	452.
h	5,772.		5,184.	588.
i	2,220.		1,964.	256.
j	15,825.		10,785.	5,040.
k	4,829.		5,404.	<575.>
l	2,190.		1,841.	349.
m	1,953.		1,630.	323.
n	1,717.		1,445.	272.
o	7,219.		7,011.	208.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<111.>
b				<1,681.>
c				345.
d				34.
e				994.
f				3,451.
g				452.
h				588.
i				256.
j				5,040.
k				<575.>
l				349.
m				323.
n				272.
o				208.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	110. SH XILINX INC	D	10/07/14	07/11/16
b	76 SH YUM BRANDS INC	D	10/07/14	07/11/16
c	23 SH YUM BRANDS INC	D	10/07/15	07/11/16
d	135 SH WASTE MANAGEMENT INC NEW	D	01/28/16	07/11/16
e	415 SH DISNEY (WALT) CO COM STK	P	02/05/15	08/19/16
f	270 SH DISNEY (WALT) CO COM STK	D	02/05/15	08/19/16
g	525 SH DISNEY (WALT) CO COM STK	P	07/11/16	08/19/16
h	682 SH HCA HOLDINGS INC SHS	P	12/03/15	08/04/16
i	467 SH HCA HOLDINGS INC SHS	D	12/03/15	08/04/16
j	400 SH HCA HOLDINGS INC SHS	P	07/11/16	08/04/16
k	205 SH LOCKHEED MARTIN CORP	P	08/06/15	08/24/16
l	130 SH LOCKHEED MARTIN CORP	D	08/06/15	08/24/16
m	30 SH LOCKHEED MARTIN CORP	P	08/07/15	08/24/16
n	20 SH LOCKHEED MARTIN CORP	D	08/07/15	08/24/16
o	100 SH LOCKHEED MARTIN CORP	P	07/11/16	08/24/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,190.		4,479.	711.
b	6,536.		5,341.	1,195.
c	1,978.		1,610.	368.
d	9,134.		7,032.	2,102.
e	40,078.		42,433.	<2,355.>
f	26,075.		27,607.	<1,532.>
g	50,701.		52,215.	<1,514.>
h	51,292.		46,017.	5,275.
i	35,122.		31,510.	3,612.
j	30,083.		31,404.	<1,321.>
k	50,652.		42,769.	7,883.
l	32,121.		27,122.	4,999.
m	7,412.		6,230.	1,182.
n	4,942.		4,153.	789.
o	24,708.		25,390.	<682.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				711.
b				1,195.
c				368.
d				2,102.
e				<2,355.>
f				<1,532.>
g				<1,514.>
h				5,275.
i				3,612.
j				<1,321.>
k				7,883.
l				4,999.
m				1,182.
n				789.
o				<682.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1280 SH DOLLAR GENERAL CORP	P	07/11/16	09/07/16
b	1075 SH WELLS FARGO & CO NEW DEL	P	02/09/12	09/14/16
c	25 SH WELLS FARGO & CO NEW DEL	P	02/15/12	09/14/16
d	150 SH WELLS FARGO & CO NEW DEL	D	10/07/14	09/14/16
e	575 SH WELLS FARGO & CO NEW DEL	D	10/07/14	09/22/16
f	675 SH WELLS FARGO & CO NEW DEL	P	07/11/16	09/22/16
g	2 SH WISDOMTREE TRUST JAPAN	P	01/06/14	10/06/15
h	17 SH ISHARES S&P 500	P	07/14/09	10/06/15
i	13 SH ISHARES U.S. HEALTHCARE	P	09/30/09	10/06/15
j	62 SH RS SMALL CAP GROWTH FD Y	P	12/20/13	10/06/15
k	.047 SH RS SMALL CAP GROWTH	P	12/20/13	10/06/15
l	.405 SH RS SMALL CAP GROWTH FD Y	P	07/14/14	10/06/15
m	1133 SH ISHARES U.S. ENERGY ETF	P	06/17/13	12/03/15
n	1146 SH DIREXION ZACKS MLP HIGH	P	10/09/14	12/03/15
o	134 SH ISHARES CORE S&P MID-CAP	P	01/24/12	01/07/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	91,407.		120,855.	<29,448.>
b	50,854.		32,710.	18,144.
c	1,183.		762.	421.
d	7,096.		7,713.	<617.>
e	26,278.		29,566.	<3,288.>
f	30,848.		32,447.	<1,599.>
g	101.		98.	3.
h	1,886.		799.	1,087.
i	1,829.		764.	1,065.
j	4,134.		3,943.	191.
k	3.		3.	0.
l	27.		26.	1.
m	41,807.		52,203.	<10,396.>
n	21,945.		44,923.	<22,978.>
o	17,941.		12,453.	5,488.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<29,448.>
b				18,144.
c				421.
d				<617.>
e				<3,288.>
f				<1,599.>
g				3.
h				1,087.
i				1,065.
j				191.
k				0.
l				1.
m				<10,396.>
n				<22,978.>
o				5,488.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c); If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	353 SH WISDOMTREE EUR S/C DIV	P	01/06/14	01/07/16
b	20 SH WISDOMTREE EUR S/C DIV	P	07/14/14	01/07/16
c	77 SH WISDOMTREE EUR S/C DIV	P	02/03/15	01/07/16
d	1287 SH WISDOMTREE TR SHS KOREA	P	06/04/15	01/07/16
e	677 SH RS SMALL CAP GROWTH FD Y	P	12/20/13	01/07/16
f	.162 SH RS SMALL CAP GROWTH FD Y	P	12/20/13	01/07/16
g	543 SH WISDOMTREE TRUST JAPAN	P	01/06/14	04/06/16
h	1298 SH ISHARES MSCI EUROPE	P	01/07/16	04/06/16
i	419 SH RS SMALL CAP GROWTH FD Y	P	12/20/13	07/11/16
j	162 SH RS SMALL CAP GROWTH FD Y	P	07/14/14	07/11/16
k	140 SH RS SMALL CAP GROWTH FD Y	D	10/07/14	07/11/16
l	1 SH RS SMALL CAP GROWTH FD Y	P	10/07/14	07/11/16
m	.417 SH RS SMALL CAP GROWTH FD Y	P	10/07/14	07/11/16
n	3278 SH JP MORGAN STRATEGIC	P	07/02/15	07/11/16
o	791 SH JP MORGAN STRATEGIC	D	07/02/15	07/11/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	18,958.		20,326.	<1,368.>
b	1,074.		1,183.	<109.>
c	4,135.		4,133.	2.
d	24,967.		27,220.	<2,253.>
e	41,182.		43,057.	<1,875.>
f	10.		10.	0.
g	22,079.		26,714.	<4,635.>
h	22,520.		24,883.	<2,363.>
i	25,655.		26,648.	<993.>
j	9,919.		10,219.	<300.>
k	8,572.		8,520.	52.
l	61.		63.	<2.>
m	25.		25.	0.
n	37,599.		38,287.	<688.>
o	9,073.		9,239.	<166.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a				<1,368.>
b				<109.>
c				2.
d				<2,253.>
e				<1,875.>
f				0.
g				<4,635.>
h				<2,363.>
i				<993.>
j				<300.>
k				52.
l				<2.>
m				0.
n				<688.>
o				<166.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	.906 SH JP MORGAN STRATEGIC	P	07/02/15	07/11/16
b	548 SH ISHARES U.S. FINANCIAL	P	02/08/11	09/07/16
c	284 SH ISHARES S&P 100	P	01/07/16	09/07/16
d	69 SH ISHARES S&P 100	D	01/07/16	09/07/16
e	3650 SH CSX CORP	D	12/23/14	07/13/16
f	200 SH CSX CORP	D	05/05/16	07/13/16
g	1292 SH ELI LILLY & CO	D	10/06/15	07/13/16
h	60 SH ELI LILLY & CO	D	05/05/16	07/13/16
i	545 SH RAYTHEON CO DELAWARE NEW	D	06/03/16	07/13/16
j	2090 SH TORONTO DOMINION BANK	D	03/04/16	07/13/16
k	1118 SH ABBVIE INC SHS	D	01/07/16	08/04/16
l	60 SH ABBVIE INC SHS	D	05/05/16	08/04/16
m	1357 SH EXXON MOBIL CORP COM	D	10/06/15	08/04/16
n	5 SH EXXON MOBIL CORP COM	D	05/05/16	08/04/16
o	990 SH MCDONALDS CORP	D	11/05/15	08/19/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10.		11.	<1.>
b	50,450.		33,269.	17,181.
c	27,508.		24,977.	2,531.
d	6,683.		6,068.	615.
e	98,006.		133,508.	<35,502.>
f	5,370.		5,253.	117.
g	103,007.		107,604.	<4,597.>
h	4,783.		4,510.	273.
i	75,535.		72,842.	2,693.
j	90,244.		84,114.	6,130.
k	74,213.		64,157.	10,056.
l	3,983.		3,697.	286.
m	118,511.		105,939.	12,572.
n	437.		442.	<5.>
o	114,479.		111,705.	2,774.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<1.>
b				17,181.
c				2,531.
d				615.
e				<35,502.>
f				117.
g				<4,597.>
h				273.
i				2,693.
j				6,130.
k				10,056.
l				286.
m				12,572.
n				<5.>
o				2,774.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr)
1a	MAN FRM MANAGED FUTURES STRATEGIES LLC	P	Various	Various
b	MAN FRM MANAGED FUTURES STRATEGIES LLC	P	Various	Various
c	ENRON VICTIM TRUST	P	Various	11/05/15
d	AMERICAN INTL GRP INC	P	Various	11/16/15
e	Capital Gains Dividends			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	494.			494.
b			2,285.	<2,285.>
c	35.			35.
d	59.			59.
e	4,581.			4,581.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				494.
b				<2,285.>
c				35.
d				59.
e				4,581.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	146,705.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASHEVILLE JEWISH COMMUNITY CENTER 236 CHARLOTTE STREET ASHEVILLE, NC 28801	N/A	PUBLIC	CAPITAL CAMPAIGN	50,000.
GULF COAST JEWISH FAMILY & COMMUNITY SERVICES 14041 ICOT BOULEVARD CLEARWATER, FL 33760	N/A	PUBLIC	YAD B'YAD PROGRAM	2,500.
GULF COAST JEWISH FAMILY & COMMUNITY SERVICES 14041 ICOT BOULEVARD CLEARWATER, FL 33760	N/A	PUBLIC	ASSIST THOSE IN CRISIS OR IN NEED OF CONSTANT CARE, ADOPTION SERVICES	113,600.
HILLELS OF THE FLORIDA SUNCOAST PO BOX 290756 TAMPA, FL 33687-0756	N/A	PUBLIC	RINGLING JUDAIC ART COMPETITION	8,000.
HILLELS OF THE FLORIDA SUNCOAST PO BOX 290756 TAMPA, FL 33687-0756	N/A	PUBLIC	PROVIDE JEWISH LIFE PROGRAMMING AND SUPPORT FOR COLLEGE STUDENTS	5,000.
PINELLAS EDUCATION FOUNDATION 12090 STARKEY ROAD LARGO, FL 33773	N/A	PUBLIC	ENHANCE EDUCATIONAL OPPORTUNITIES AT CAMPBELL PARK ELEMENTARY	5,000.
ST. PETERSBURG FREE CLINIC 863 3RD AVENUE NORTH ST PETERSBURG, FL 33701	N/A	PUBLIC	JARED S. HECHTKOPF COMMUNITY FOOD BANK	225,000.
TAMPA BAY RESEARCH INSTITUTE 10900 ROOSEVELT BLVD. N. ST PETERSBURG, FL 33716	N/A	PUBLIC	SUPPORT IMMUNE HEALTH MEDICAL RESEARCH	25,000.
TAMPA BAY RESEARCH INSTITUTE 10900 ROOSEVELT BLVD. N. ST PETERSBURG, FL 33716	N/A	PUBLIC	SUPPORT TBRI'S ANNUAL COMMUNITY RECEPTION	25,000.
THE FLORIDA ORCHESTRA 244 SECOND AVE NORTH, SUITE 420 ST PETERSBURG, FL 33701	N/A	PUBLIC	PROMOTION OF THE ARTS/SING OUT! TAMPA BAY	39,500.
Total from continuation sheets				498,600.

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
MERRILL LYNCH	35.	35.	
Total to Part I, line 3	35.	35.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
MAN FRM MANAGED FUTURES STRATEGIES LLC	8.	0.	8.	8.	
MERRILL LYNCH	234,185.	4,581.	229,604.	229,604.	
STATE OF ISRAEL	1,723.	0.	1,723.	1,723.	
To Part I, line 4	235,916.	4,581.	231,335.	231,335.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC	<4,600.>	<5,091.>	
Total to Form 990-PF, Part I, line 11	<4,600.>	<5,091.>	

Form 990-PF	Taxes	Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE TAX ON INVESTMENT INCOME	3,135.	0.		0.
STATE OF FLORIDA ANNUAL FILING FEE	61.	0.		0.
FOREIGN TAXES	278.	278.		0.
INCOME TAX	218.	0.		0.
To Form 990-PF, Pg 1, ln 18	3,692.	278.		0.

Form 990-PF	Other Expenses	Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT ADVISOR FEES	54,975.	54,975.		0.
MEALS	738.	0.		0.
MISCELLANEOUS EXPENSE	500.	0.		0.
To Form 990-PF, Pg 1, ln 23	56,213.	54,975.		0.

Form 990-PF	Corporate Stock	Statement 6

Description	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	5,689,415.	6,299,684.
Total to Form 990-PF, Part II, line 10b	5,689,415.	6,299,684.

Form 990-PF	Corporate Bonds	Statement 7

Description	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	1,882,225.	1,967,708.
Total to Form 990-PF, Part II, line 10c	1,882,225.	1,967,708.

Form 990-PF	Other Investments	Statement 8

Description	Valuation Method	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	COST	5,772,416.	6,045,285.
Total to Form 990-PF, Part II, line 13		5,772,416.	6,045,285.

Form 990-PF	Other Assets	Statement 9

Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
ESTIMATED TAX DEPOSITS	2,856.	3,136.	3,136.
INTEREST INCOME RECEIVABLE	5,875.	9,732.	9,732.
ACCRUED INTEREST PAID	0.	3,056.	3,056.
To Form 990-PF, Part II, line 15	8,731.	15,924.	15,924.

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2016
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Line 10b, Investments - Corporate Stock			
3M Company	-	134,101	140,984
Acadia Healthcare Co	54,058	-	-
Allergan PLC	35,467	101,793	115,155
Alphabet Inc Shs	36,123	105,409	136,690
Aetna Inc	24,408	60,031	59,457
Amazon Com Inc	50,237	82,748	136,482
Anadarko Pete Corp	-	59,103	65,451
Apple Inc	32,422	77,692	140,182
Astrazeneca PLC SPND ADR	-	80,466	87,243
Avago Technologies Ltd	40,025	-	-
Avery Dennison Corp	48,643	-	-
Biogen Inc	-	129,545	152,446
Blackhawk Netwk Hldgs Inc	18,616	-	-
Boeing Company	23,755	-	-
Broadcom Ltd	-	27,993	67,800
Cardinal Health Inc	24,937	-	-
Caterpillar Inc Del	-	114,810	121,082
Centene Corp	48,704	-	-
Celgene Corp	33,576	116,612	104,635
Charles River Labs Intl	-	118,393	118,760
Ciena Corp	49,105	-	-
Cigna Corp	21,631	-	-
Citigroup Inc	48,543	131,938	133,755
Citrix Systems Inc	-	53,171	62,211
Coca Cola Com	-	120,194	118,496
Comcast Corp New	-	115,399	119,412
Constellation Brands Inc	-	120,190	123,203
Delta Air Lines Inc	44,192	109,278	124,968
Disney (Walt) Co Com	42,432	-	-
Dominion Res Inc New VA	-	82,189	86,896
Energizer Holdings Inc	-	122,377	119,904
Exxon Mobil Corp	30,699	-	-
Facebook Inc	-	103,299	131,348
Fireeye Inc	23,988	-	-
Ford Motor Corp	48,312	-	-
Fortinet Inc	-	120,702	132,025
General Electric	-	107,488	116,881
Genl Dynamics Corp	-	120,287	122,576
Gilead Sciences Inc Com	-	123,729	111,559
Greenbrier Cos Inc	29,274	-	-
Home Depot Inc	37,309	111,912	117,099
Intel Corp	-	113,476	145,979
Intl Business Machines Corp IBM	-	80,389	81,014
JP Morgan Chase & Co	-	121,471	121,527

Maurice A. and Thelma P Rothman Family Foundation, Inc
Fiscal Year Ended September 30, 2016
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Johnson and Johnson	-	146,734	165,382
Kimberly Clark	-	83,096	80,730
Kroger	45,766	-	-
L-3 Communications	-	118,668	122,845
Lam Research Corp	-	109,588	132,973
Las Vegas Sands Corp	48,228	-	-
Lockheed Martin	48,999	103,365	118,661
Lyondellbasell Insustries	-	119,588	125,346
Metlife Inc	48,687	-	-
Micron Technology Inc	18,340	-	-
Microsoft Corp	-	83,786	84,096
Nvidia	-	69,021	158,487
Occidental Pete Corp Cal	-	124,873	131,621
Paccar Inc	-	66,366	83,703
Parker Hannifin Corp	-	107,371	120,509
Pfizer Inc	-	84,086	96,191
Proctor & Gamble Co	-	82,378	90,199
Royal Dutch Shel PLC	-	115,696	115,962
Salesforce Com Inc	-	114,212	105,854
Schlumberger Ltd	-	57,219	58,351
Skyworks Solutions Inc	40,053	-	-
TJX Cos Inc New	-	77,995	75,154
Teekay Tankers Ltd	11,162	-	-
Tempur Sealy Intl Inc	40,504	137,332	120,289
The Mosaic Company	26,590	-	-
Thomson Reuters Corp	-	113,305	110,898
Time Warner Inc	-	117,063	115,275
Union Pacific Corp	-	111,156	117,036
Ventas Inc	-	80,675	113,008
Verizon Communicatns Com	-	81,682	85,767
Vulcan Materials	-	101,180	111,000
Wal-Mart Stores Inc	-	122,067	131,619
Wells Fargo & Co New Del	33,472	-	-
Whirlpool Corp	36,317	94,727	113,512
Total	1,244,573	5,689,415	6,299,684

Line 10c, Investments - Corporate Bonds

Allstate Corp	50,000	-	-
Apollo Investment Corp	50,000	50,000	53,920
Ares Management LP	-	25,000	26,000
Bank of America Corp	50,000	50,000	54,157
Bank of America Corp	50,000	50,000	48,688
Bank of America Corp	50,000	50,000	55,429
Bank of America Corp	50,000	50,000	49,375

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2016
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Bank of America Corp	24,289	24,424	24,469
Bank of America Corp CMS 10	50,000	50,000	46,938
Bank of America Corp	25,000	25,000	27,070
Bank of America Corp	50,000	-	-
Bank of America Corp	-	52,600	52,068
Bank of America Corp	-	25,000	26,260
Bank of NY Mellon Corp	-	25,531	25,502
Capital One Financial Co	25,000	25,000	28,130
Capital One Financial Co	-	25,548	25,438
CHS Inc	50,000	50,000	59,060
Citigroup Inc	25,000	25,000	28,960
Citigroup Inc	25,000	25,000	28,810
Citigroup Inc	-	52,250	51,797
Citigroup Inc	-	25,375	25,454
Cobank ACB Fix to Float	25,000	25,000	26,250
Commerce Bancshares	25,000	25,000	28,400
Cubesmart	25,000	25,000	25,160
Digital Realty Trust Inc	25,000	25,000	27,490
Digital Realty Trust Inc	25,000	-	-
Discover Financial Svs	25,000	25,000	26,080
Dow Chemical CO	50,000	-	-
Dow Chemical CO	50,000	-	-
Fifth Third Bancorp	25,000	25,000	30,540
First Republic Bank	25,000	25,000	25,620
First Republic Bank	25,000	25,000	25,720
Ford Motor Credit Co LLC	-	100,000	99,597
General Elec Cap Corp	50,000	50,000	52,195
Hanover Insurance Group	25,000	25,000	25,750
Integrys Energy Grp, Inc.	25,000	25,000	27,350
JP Morgan Chase & Co	50,000	50,000	54,180
JP Morgan Chase & Co	25,000	25,000	27,160
JP Morgan Chase & Co	-	26,625	26,445
Lloyds TSB Bank PLC	50,000	50,000	49,063
Maiden Holdings NA, LTD.	25,000	25,000	26,950
Maiden Holdings NA, LTD.	-	50,000	52,200
Morgan Stanley	50,000	50,000	59,020
Natl Retail Ppty Inc	25,000	25,000	25,680
Pitney Bowes Inc	25,000	25,000	26,640
Public Storage Pfd Shr	25,000	-	-
Public Storage (PSA)	25,000	-	-
Qwest Corporation	25,000	-	-
Regency Centers Corp	25,000	25,000	25,590
State Street Corporation	25,000	25,000	26,780
Qwest Corp (Ctl)	25,000	25,000	27,050
Qwest Corp (Ctl)	-	12,475	12,744
Qwest Corp (Ctl)	-	50,000	51,700
Telephone & Data System	25,000	25,000	25,726

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2016
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Description	Beginning	Ending	Market Value
Telephone & Data System	25,000	25,000	25,870
US Cellular Corp	25,000	25,000	26,310
Vornado Realty Tr Ser J	25,000	-	-
Wells Fargo Company	-	26,335	25,906
Wells Fargo Company	-	55,313	54,250
Wells Fargo Company	-	55,750	54,250
Wells Fargo Company	50,000	50,000	53,500
Wells Fargo Company	50,000	50,000	53,020
Wells Fargo Company	50,000	-	-
Total	1,649,289	1,882,225	1,967,708

Line 13, Investments - Other

AAPL Notes Issuer BARC	-	50,000	57,600
AAPL ARN Issuer BAC	-	85,000	89,930
Basket LIRN Issuer BAC	50,000	-	-
Blackrock Credit Allct Income Trust	38,625	38,431	40,050
Blackrock Total Return Fund	38,239	47,597	48,342
CBRE Clarion Global Real Estate Income Fd	32,958	32,958	35,700
Calamos Conv Opp Inc FD	30,924	-	-
Calamos Conv & High Inc Fund	70,119	34,831	27,525
Cohen & Steers Quality Income	35,162	35,162	46,655
DAXK ARN Issuer HSBC	-	50,000	49,930
DAXK Stepup Issuer HSBC	-	50,000	48,565
Direxion Zacks MLP High Income Shares	44,923	-	-
Eaton Vance Tax Div Inc Fund	57,024	57,024	60,450
Eaton Vance Flt-Rt Inc	-	28,084	28,400
Energy Stepup Iss CIBC	-	100,000	120,600
Energy Stepup Iss CS	-	100,000	98,600
Fin Bskt ARN Issuer BARC	50,000	150,000	156,150
First TR Energy Alphadex Fund	-	48,959	48,480
First TR North Amern Energy Infrastructure ETF	-	37,463	45,525
First TR NYSE ARCA BIOTE	25,294	33,326	45,767
Gabelli Div & Inc Tr	18,140	36,550	38,420
GEB LIRN Issuer HSBC	-	100,000	96,200
HGX Stepup Issuer BAC	-	100,000	98,300
Intl Bskt ARN Iss BARC	25,000	-	-
ISH TR Core Divid Growth ETF	-	52,365	58,085
Ishares US Financial Services ETF	67,091	54,869	64,890
Ishares U.S. Energy ETF	52,203	-	-
Ishares US Healthcare	17,799	25,692	52,123
Ishares US Technology	66,066	87,450	129,710
Ishares Iboxx $	23,217	29,325	32,643

Maurice A and Thelma P. Rothman Family Foundation, Inc.
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Description	Beginning	Ending	Market Value
Ishares MSCI EAFE Index	24,222	30,465	30,156
Ishares Russell 1000 Value	130,352	171,193	223,386
Ishares S&P 500 Growth	144,975	191,738	278,966
Ishares S&P Global Infrastr	-	49,691	49,124
Ishares Core S&P Midcap	63,909	66,261	87,102
Ishares Core S&P Small Cap	-	80,784	82,311
JPNK400 ARN Issuer BARC	-	48,000	46,128
JPNK400 ARN Issuer CS	75,000	100,000	101,150
JPNK400 ARN Issuer CS	50,000	150,000	117,900
JP Morgan Strategic Income Opp Fund	38,291	-	-
Nikkei Stepup Issuer CS	-	75,000	60,975
Nuveen Float RT Inc Opp	55,541	27,771	27,650
Nuveen Float Rate Inc Fd	54,094	27,047	27,575
PIMCO 1-5 Year US Tips Index Exchange-Traded Fund	25,466	31,516	31,140
PIMCO Dynamic Income Fd	64,853	-	-
PIMCO Dynamic Credit Income	46,890	40,356	40,780
PIMCO Income Fund	63,755	79,181	75,048
Powershares Exchange Traded Fd Tr II	-	34,337	35,233
Powershares Preferred Portfolio	47,639	59,736	62,895
RS Small Cap Growth Fund	83,957	-	-
SP500 Stepup Issuer BAC	-	230,000	229,954
SP500 Stepup Issuer BAC	-	100,000	95,600
SP500 Stepup Issuer BAC	-	85,000	86,870
SP500 Stepup Issuer BAC	-	96,000	97,056
SP500 Stepup Issuer BAC	-	175,000	196,000
SP500 Stepup Issuer BAC	-	100,000	108,680
SP500 Stepup Issuer BAC	-	300,000	289,380
SP500 Stepup Issuer BAC	75,000	255,000	259,080
SP500 Stepup Issuer DB	40,000	-	-
SP500 Stepup Issuer DB	50,000	150,000	159,120
SP500 Stepup Issuer DB	40,000	-	-
SP500 Stepup Issuer DB	50,000	-	-
SP500 Stepup Issuer HSBC	-	150,000	153,000
SP500 Stepup Issuer HSBC	50,000	200,000	206,200
SPDR Barclays Convertible Securities ETF	27,791	34,351	31,988
SPDR Blackstone GSO Senior Loan ETF	-	48,172	48,604
SPSIOP Stepup Issuer BAC	50,000	50,000	40,900
SPSIOP Stepup Issuer DB	35,000	-	-
SPSIRBK ARNS Issuer CIBC	-	150,000	151,665
State of Israel Bonds	75,000	75,000	75,000
SX5E Stepup Issuer BAC	-	200,000	197,840
SX5E Stepup Issuer BNS	-	50,000	48,450
SX5E Stepup Issuer CS	35,000	110,000	95,304
Man FRm Manged Futures Strategies LLC	102,180	265,857	285,975
Vaneck Vectors Gold Miners ETF	-	51,620	52,860
Western Asset Middle Mkt Income Fd Inc	103,000	103,000	76,592
Wisdomtree Eur S/C Div	25,642	-	-

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Description	Beginning	Ending	Market Value
Wisdomtree Europe Hedged Equity Fund	-	28,561	30,309
Wisdomtree Trust Japan Hedged Equity Fd	37,950	36,693	32,697
Wisdomtree TR Shs Korea Hedged Equity	27,220	-	-
Tortoise MLP Fd Inc	86,442	-	-
Total	2,621,953	5,772,416	6,045,285