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Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
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OMB No 1545-0052
2015
Open to Public Inspection

For calendar year 2015, or tax year beginning 10-01-2015, and ending 09-30-2016

Name of foundation BERKELEY & GEORGE HARRIS CHARITABLE FOUNDATION % OPPENHEIMER TRUST COMPANY		A Employer identification number 56-6297219
Number and street (or P O box number if mail is not delivered to street address) 405 SILVERSIDE RD Suite STE 250	Room/suite	B Telephone number (see instructions) (302) 792-3502
City or town, state or province, country, and ZIP or foreign postal code WILMINGTON, DE 19809		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 850,569	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	0			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	18,623	18,623		
5a	Gross rents				
b	Net rental income or (loss) _____				
6a	Net gain or (loss) from sale of assets not on line 10	70,942			
b	Gross sales price for all assets on line 6a 231,154				
7	Capital gain net income (from Part IV, line 2)		70,942		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	167	167		
12	Total. Add lines 1 through 11	89,732	89,732		
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule).				
b	Accounting fees (attach schedule).	1,500	1,500	0	0
c	Other professional fees (attach schedule)	11,214	11,214		
17	Interest				
18	Taxes (attach schedule) (see instructions)	1,023	1,023		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule).				
24	Total operating and administrative expenses. Add lines 13 through 23	13,737	13,737	0	0
25	Contributions, gifts, grants paid	38,650			38,650
26	Total expenses and disbursements. Add lines 24 and 25	52,387	13,737	0	38,650
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	37,345			
b	Net investment income (if negative, enter -0-)		75,995		
c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	42,691	45,710	45,710
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	493,028	529,017	804,859
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	535,719	574,727	850,569	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	535,719	574,727		
30 Total net assets or fund balances (see instructions)	535,719	574,727		
31 Total liabilities and net assets/fund balances (see instructions)	535,719	574,727		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	535,719
2	Enter amount from Part I, line 27a	2	37,345
3	Other increases not included in line 2 (itemize) ▶ _____	3	1,974
4	Add lines 1, 2, and 3	4	575,038
5	Decreases not included in line 2 (itemize) ▶ _____	5	311
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	574,727

Part IV Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo, day, yr)	Date sold (d) (mo, day, yr)
1 a	PUBLICLY TRADED SECURITIES	P		
b	CAPITAL GAIN DISTRIBUTIONS			
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 231,109		160,212	70,897
b 45			45
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			70,897
b			45
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	70,942
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		{ }	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	32,250	820,158	0.039322
2013	32,350	778,154	0.041573
2012	29,100	704,674	0.041296
2011	30,400	647,504	0.04695
2010	44,971	640,529	0.070209

2	Total of line 1, column (d).	2	0.23935
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.04787
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	819,437
5	Multiply line 4 by line 3.	5	39,226
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	760
7	Add lines 5 and 6.	7	39,986
8	Enter qualifying distributions from Part XII, line 4.	8	38,650

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 rows for excise tax calculation. Includes sub-rows 6a-6d for credits. Total tax due is 800.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements and Yes/No columns. Includes questions about political activities, tax returns, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14 The books are in care of ▶ <u>OPPENHEIMER TRUST COMPANY</u> Telephone no ▶ <u>(302) 792-3502</u> Located at ▶ <u>405 SILVERSIDE ROAD SUITE 250 WILMINGTON DE</u> ZIP+4 ▶ <u>19809</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/>	15		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i>).	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No **5b**

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No **6b** **No**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
BERKELEY H HARRIS C/O OPPENHEIMER TRUST CO DELAWARE WILMINGTON, DE 19809	TRUSTEE 0	0		
GEORGE HARRIS C/O OPPENHEIMER TRUST CO DELAWARE WILMINGTON, DE 19809	TRUSTEE 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	801,920
b	Average of monthly cash balances.	1b	29,996
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	831,916
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	831,916
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	12,479
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	819,437
6	Minimum investment return. Enter 5% of line 5.	6	40,972

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	40,972
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	1,520
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,520
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	39,452
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	39,452
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	39,452

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	38,650
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	38,650
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	38,650

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				39,452
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			38,604	
b Total for prior years 2013, 2012, 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>38,650</u>				
a Applied to 2014, but not more than line 2a			38,604	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				46
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				39,406
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				
c Excess from 2013.				
d Excess from 2014.				
e Excess from 2015.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHMENT MULTIPLE GREENSBORO, NC 27408	N/A		CHARITABLE	38,650
Total ▶ 3a				38,650
b <i>Approved for future payment</i>				
Total ▶ 3b				

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... 1a(1) Cash... 1a(2) Other assets... 1b(1) Sales of assets... 1b(2) Purchases of assets... 1b(3) Rental of facilities... 1b(4) Reimbursement arrangements... 1b(5) Loans or loan guarantees... 1b(6) Performance of services... 1c Sharing of facilities...

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... [X] No

2b If "Yes," complete the following schedule. Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer or trustee, Date, Title. May the IRS discuss this return with the preparer shown below? [X] Yes [] No. Paid Preparer Use Only: Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's EIN, Firm's address, Phone no.

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

BERKELEY H HARRIS

GEORGE HARRIS

TY 2015 Accounting Fees Schedule

Name: BERKELEY & GEORGE HARRIS
CHARITABLE FOUNDATION

EIN: 56-6297219

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	1,500	1,500		

TY 2015 All Other Program Related Investments Schedule**Name:** BERKELEY & GEORGE HARRIS

CHARITABLE FOUNDATION

EIN: 56-6297219

Category	Amount
N/A	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: BERKELEY & GEORGE HARRIS

CHARITABLE FOUNDATION

EIN: 56-6297219

TY 2015 Other Decreases Schedule

Name: BERKELEY & GEORGE HARRIS
CHARITABLE FOUNDATION

EIN: 56-6297219

Description	Amount
COST BASIS RECONCILIATION	311

TY 2015 Other Income Schedule

Name: BERKELEY & GEORGE HARRIS
CHARITABLE FOUNDATION

EIN: 56-6297219

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PASS-THRU INCOME - MAGELLAN MIDSTREAM	504	504	
PASS-THRU INCOME - PLAINS ALL AMERICAN	-337	-337	

TY 2015 Other Increases Schedule

Name: BERKELEY & GEORGE HARRIS
CHARITABLE FOUNDATION

EIN: 56-6297219

Description	Amount
DISTRIBUTIONS IN EXCESS OF PASS-THRU INC	1,974

TY 2015 Other Professional Fees Schedule

Name: BERKELEY & GEORGE HARRIS
CHARITABLE FOUNDATION
EIN: 56-6297219

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FIDUCIARY FEES	1,226	1,226		
MANAGEMENT FEES	9,914	9,914		
ADR FEES	74	74		

TY 2015 Taxes Schedule

Name: BERKELEY & GEORGE HARRIS
CHARITABLE FOUNDATION

EIN: 56-6297219

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ESTIMATED TAX PAYMENTS	720	720		
PRIOR YEAR BALANCE DUE	303	303		

**Berkeley and George Harris Charitable Foundation
Charitable Distributions**

9/30/16 Foundation RMD is \$38,604

<u>Charity</u>	<u>Amount</u>
Children's Home Society	\$ 1,500
Converse College	\$ 250
Council for Secular Humanism	\$ 100
Davidson College	\$ 1,000
EDF Environmental Defense Fund	\$ 5,000
First Presbyterian Church	\$ 1,000
Greensboro Day School - Annual Fund	\$ 1,000
Greensboro Urban Ministry	\$ 1,500
Hospice	\$ 1,000
NC Outward Bound School	\$ 250
Planned Parenthood (Greensboro Chapter)	\$ 2,500
Reading Connections (2016-Final installment of a 5-year pledge total of \$5,000)	\$ 3,000
Salvation Army	\$ 1,500
Sierra Club Foundation	\$ 500
Skeptics Society	\$ 100
Southern Environmental Law	\$ 5,000
Southern Poverty Law Center	\$ 500
UNC Public TV	\$ 100
United Arts Council	\$ 750
United Way of Greater Greensboro	\$ 10,000
WUNC	\$ 200
YMCA Bright Beginnings	\$ 400
YMCA Children's Camp	\$ 1,500
Total Distributions	<u>\$ 38,650</u>

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on the monthly statements as the official record of your valued Oppenheimer & Co. Inc. account.



Realized Gain/(Loss)

Held at Oppenheimer & Co Inc

From 10/1/2015 To 9/30/2016

Report Printed on 11/7/2016 1 54 34 PM

BERKELEY & GEORGE HARRIS \$00303
 CHAR FDN OPPENHEIMER TRUST CO
 BERKELEY & GEORGE HARRIS
 SUCCESSOR TTEES (OMEGA)
 405 SILVERSIDE RD 2ND FL
 WILMINGTON DE 19809
 H 973-245-2815

FA Saslow/Rich
 FA# J9K

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Prct Gain/(Loss)	Term Of Gain/(Loss)	
CASH											
35	3M CO	71	9980	08/29/2008	2,519.93	180	9063	08/11/2016	6,331.58	3,811.65	151.26% L
25	AMERICAN TOWER CORP NEW REIT	42	1900	01/26/2010	1,054.75	92	4336	01/27/2016	2,310.79	1,256.04	119.08% L
5	AUTOMATIC DATA PROCESSING INC	39	0238	08/29/2008	195.12	90	4504	08/11/2016	452.24	257.12	131.78% L
135	BAXALTA INC	23	5337	04/22/2010	3,177.05	46	4432	06/03/2016	6,269.83	3,092.78	97.35% L
145	BROOKFIELD ASSET MGMT INC CLA LTD VT SH REIT	24	1667	04/18/2013	3,504.16	34	6501	07/26/2016	5,024.15	1,519.99	43.38% L
12	BROOKFIELD BUSINESS PARTNERS L UNIT LTD LP	23	9900	06/20/2016	287.88	21	6000	06/21/2016	259.19	(28.69)	-9.97% S
10	BROWN FORMAN CORP CL B	0	0000	06/23/2016	0.00	0	0000	06/23/2016	15.63	15.63	N/A S
60	BROWN FORMAN CORP CL B	38	6933	08/29/2008	387.77	103	5900	11/10/2015	1,035.88	648.11	167.14% L
125	CHUBB CORP CHUBB LIMITED	38	6933	08/29/2008	2,326.60	94	0468	01/27/2016	5,642.70	3,316.10	142.53% L
20	COSTCO WHSL CORP NEW	50	2400	01/19/2010	6,280.00	129	7890	01/19/2016	16,223.63	9,943.63	158.34% L
65	GENERAL ELECTRIC CO	0	0000	01/21/2016	0.00	0	0000	01/21/2016	26.10	26.10	N/A S
55	GENERAL ELECTRIC CO	67	4140	08/29/2008	1,348.28	167	4157	07/26/2016	3,348.23	1,999.95	148.33% L
235	MAGELLAN MIDSTREAM PRTRNS LP COM UNIT RP LP	30	2900	05/11/2004	1,968.85	28	2911	01/26/2016	1,838.89	(129.96)	-6.60% L
235	MAGELLAN MIDSTREAM PRTRNS LP SBI/CBI	28	6073	08/29/2008	1,573.40	28	2911	01/26/2016	1,555.98	(17.42)	-1.11% L
235	MAGELLAN MIDSTREAM PRTRNS LP COM UNIT RP LP	20	4950	12/09/2009	4,816.32	73	9201	06/08/2016	17,370.85	12,554.52	260.67% L
235	MAGELLAN MIDSTREAM PRTRNS LP COM UNIT RP LP	20	4950	12/09/2009	4,816.32	73	9201	06/08/2016	17,370.85	12,554.52	260.67% L
50	MICROCHIP TECHNOLOGY INC	28	7673	08/12/2010	1,189.07	56	8433	07/26/2016	2,842.10	1,653.03	139.02% L
60	MICROCHIP TECHNOLOGY INC	28	7673	08/12/2010	1,426.89	62	7771	09/01/2016	3,766.54	2,339.65	163.97% L
40	NOVO-NORDISK A S ADR	11	8555	10/29/2007	474.22	53	5700	11/10/2015	2,142.76	1,668.54	351.85% L
45	NVIDIA CORP	13	5400	01/17/2012	581.65	59	4801	08/11/2016	2,676.54	2,094.89	360.16% L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account. This report is for illustration purposes only, it is not and should not be construed as a substitute for your Oppenheimer & Co. Inc. trade confirmation, account statement or Form 1099 and should not be relied upon for tax reporting purposes. The information reflected is from sources believed to be reliable and is subject to change. Past performance is not indicative of future results.



Realized Gain/(Loss)

Held at Oppenheimer & Co Inc

From 10/1/2015 To 9/30/2016

Report Printed on 11/7/2016 1 54 35 PM

BERKELEY & GEORGE HARRIS \$00303

FA Saslow/Rich

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Term Of Gain/(Loss)
55	NVIDIA CORP	13 5400	01/17/2012	710 91	66 4154	09/27/2016	3,652 77	2,941 86	413 82% L
85	NVIDIA CORP	13 5400	01/17/2012	1,098 67	54 4662	07/22/2016	4,629 52	3,530 85	321 37% L
125	RACKSPACE HOSTING INC	39 2500	10/14/2011	4,906 25	31 4501	08/31/2016	3,931 17	(975 08)	-19 87% L
15	RACKSPACE HOSTING INC	37 6651	06/18/2013	564 98	31 4501	08/31/2016	471 74	(93 24)	-16 50% L
85	RACKSPACE HOSTING INC	34 7895	02/11/2014	2,957 11	31 4501	08/31/2016	2,673 20	(283 91)	-9 60% L
25	REALTY INCOME CORP REIT	22 9091	12/29/2008	516 11	55 0887	01/26/2016	1,377 19	861 08	166 84% L
	SHIRE PLC	0 0000	06/09/2016	0 00	0 0000	06/09/2016	1 30	1 30	N/A S
	SPONSORED ADR								
20	ZOETIS INC	31 3100	07/12/2013	626 20	52 0001	08/11/2016	1,039 97	413 77	66 08% L
75	ZOETIS INC	31 3100	07/12/2013	2,348 25	42 9545	01/27/2016	3,221 53	873 28	37 19% L
	CL A								
	CL A								
	TOTAL			51,656 74			117,502 85		

TOTAL ST COST
 TOTAL LT COST
 TOTAL COST

287 88 TOTAL ST PROCEEDS
 51,368 86 TOTAL LT PROCEEDS
 51,656 74 TOTAL PROCEEDS

TOTAL SHORT TERM GAIN/(LOSS)
 TOTAL LONG TERM GAIN/(LOSS)
 TOTAL REALIZED GAIN/(LOSS)

14 34
 65,831 75
 65,846 09

302 22
 117,200 63
 117,502 85

Additional gain of \$5,096 results from difference in proceeds reporting Total gain to Schedule D is \$70,897