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Form **990-PF**

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0042

**2015**

Department of the Treasury  
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

Open to Public Inspection

For calendar year 2015 or tax year beginning **10/01/15** and ending **09/30/16**

Name of foundation <b>Minor Foundation, Inc.</b>			A Employer identification number <b>56-6047752</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>1914 Brunswick Avenue RM/STE 2B</b>		Room/suite	B Telephone number (see instructions) <b>704-334-7271</b>
City or town, state or province, county, and ZIP or foreign postal code <b>Charlotte NC 28207</b>			C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change <input type="checkbox"/>			D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c) line 16) ▶ \$ <b>102,538</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual Other (specify):		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc. received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	6	6	6	
	4 Dividends and interest from securities	3,640	3,640	3,640	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,470			
	b Gross sales price for all assets on line 6a	48,241			
	7 Capital gain net income (from Part IV, line 2)	1,470	1,470		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) Stmt 1	-292		-292		
12 Total. Add lines 1 through 11	4,824	5,116	3,354		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) Stmt 2	1,275	1,275	1,275	
	c Other professional fees (attach schedule) Stmt 3	1,277	1,277	1,277	
	17 Interest	3	3	3	
	18 Taxes (attach schedule) (see instructions) Stmt 4	52	40	40	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences and meetings				
	22 Printing and publications				
	23 Other expenses (attach sch) Stmt 5	110	110	110	
	24 Total operating and administrative expenses Add lines 13 through 23	2,717	2,705	2,705	0
	25 Contributions, gifts, grants paid	6,000			6,000
26 Total expenses and disbursements. Add lines 24 and 25	8,717	2,705	2,705	6,000	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-3,893				
b Net investment income (if negative, enter -0-)		2,411			
c Adjusted net income (if negative, enter -0-)			649		

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INFORMATION FURNISHED

For Paperwork Reduction Act Notice, see instructions

Form 990-PF 2015

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<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash – non-interest-bearing	6,752	8,613	8,613
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ <b>55</b>			
	Less allowance for doubtful accounts ▶	106	55	55
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att schedule) ▶			
	Less allowance for doubtful accounts ▶ <b>0</b>			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) <b>See Stmt 6</b>	92,929	87,226	93,870
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach sch ) ▶				
12 Investments – mortgage loans				
13 Investments – other (attach schedule)				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach sch ) ▶				
15 Other assets (describe ▶ )				
16 <b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item I)	99,787	95,894	102,538	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ <b>See Statement 7</b> )	10,416	10,416	
	23 <b>Total liabilities</b> (add lines 17 through 22)	10,416	10,416	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> ▶ <input checked="" type="checkbox"/>			
	24 Unrestricted	89,371	85,478	
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 <b>Total net assets or fund balances</b> (see instructions)	89,371	85,478		
31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	99,787	95,894		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	89,371
2 Enter amount from Part I, line 27a	2	-3,893
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	85,478
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	85,478

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g. real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a See Worksheet</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)	[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]		<b>2</b>	<b>1,470</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	[ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 ]		<b>3</b>	<b>-2,123</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes  No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	<b>5,988</b>	<b>98,162</b>	<b>0.061001</b>
2013	<b>6,000</b>	<b>124,657</b>	<b>0.048132</b>
2012	<b>6,095</b>	<b>116,194</b>	<b>0.052455</b>
2011	<b>5,850</b>	<b>124,256</b>	<b>0.047080</b>
2010	<b>5,778</b>	<b>107,689</b>	<b>0.053655</b>
<b>2</b> Total of line 1, column (d)			<b>2</b> <b>0.262323</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> <b>0.052465</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			<b>4</b> <b>101,000</b>
<b>5</b> Multiply line 4 by line 3			<b>5</b> <b>5,299</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> <b>24</b>
<b>7</b> Add lines 5 and 6			<b>7</b> <b>5,323</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			<b>8</b> <b>6,000</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter <b>(attach copy of letter if necessary—see instructions)</b>		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>24</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>24</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>24</b>
<b>6</b>	Credits/Payments		
<b>a</b>	2015 estimated tax payments and 2014 overpayment credited to 2015	<b>6a</b>	
<b>b</b>	Exempt foreign organizations - tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	<b>24</b>
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2016 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input checked="" type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		<b>X</b>
If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
<b>1c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <b>NC</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

N/A

**Part VII-A Statements Regarding Activities (continued)**

<p><b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)</p>	11	Yes	No
			<b>X</b>
<p><b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)</p>	12		<b>X</b>
<p><b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>www.guidestar.org</b></p>	13	<b>X</b>	
<p><b>14</b> The books are in care of ► <b>Hardin M. Minor</b> <b>1914 Brunswick Ave 2B</b> Located at ► <b>Charlotte</b> NC ZIP+4 ► <b>28207</b> Telephone no ► <b>704-334-7271</b></p>			
<p><b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year</p>	15		
<p><b>16</b> At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►</p>	16	Yes	No
			<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
<p><b>1a</b> During the year did the foundation (either directly or indirectly)</p> <p>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes <b>X</b> No</p> <p>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes <b>X</b> No</p> <p>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes <b>X</b> No</p> <p>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes <b>X</b> No</p> <p>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes <b>X</b> No</p> <p>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) Yes <b>X</b> No</p>			
<p><b>b</b> If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here</p>	N/A	1b	
<p><b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?</p>	N/A	1c	
<p><b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))</p> <p><b>a</b> At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ► 20 , 20 , 20 , 20</p> <p><b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions )</p>	N/A	2b	
<p><b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20</p>			
<p><b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes <b>X</b> No</p> <p><b>b</b> If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015 )</p>	N/A	3b	
<p><b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?</p>	4a		<b>X</b>
<p><b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?</p>	4b		<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b> During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes	<input checked="" type="checkbox"/>	No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	Yes	<input checked="" type="checkbox"/>	No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes	<input checked="" type="checkbox"/>	No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	Yes	<input checked="" type="checkbox"/>	No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	Yes	<input checked="" type="checkbox"/>	No	
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here			N/A	5b
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	N/A	Yes	No	
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	Yes	<input checked="" type="checkbox"/>	No	
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870				6b
				<b>X</b>
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes	<input checked="" type="checkbox"/>	No	
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			N/A	7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Brent T. Minor 1914 Brunswick Ave 2B Charlotte NC 28207	President	0	0	0
Kathreen R. Minor 238 Cherokee St Denver CO 80223	Secretary	0	0	0
Hardin M. Minor 1914 Brunswick Ave 2B Charlotte NC 28207	Treasurer	1.00	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000** ▶ **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services** ▶ **0**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

**Total. Add lines 1 through 3** ▶ **0**

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>93,870</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>8,613</b>
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	<b>55</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>102,538</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>102,538</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>1,538</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>101,000</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>5,050</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>5,050</b>
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5	<b>2a</b>	<b>24</b>
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>24</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	<b>5,026</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>5,026</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>5,026</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	<b>1a</b>	<b>6,000</b>
<b>b</b>	Program-related investments – total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>6,000</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	<b>24</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>5,976</b>

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				5,026
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only			4,255	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010				
<b>b</b> From 2011				
<b>c</b> From 2012				
<b>d</b> From 2013				
<b>e</b> From 2014				
<b>f</b> Total of lines 3a through e				
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>6,000</u>				
<b>a</b> Applied to 2014, but not more than line 2a			4,255	
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)				
<b>d</b> Applied to 2015 distributable amount				1,745
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions				
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount – see instructions				
<b>f</b> Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				3,281
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011				
<b>b</b> Excess from 2012				
<b>c</b> Excess from 2013				
<b>d</b> Excess from 2014				
<b>e</b> Excess from 2015				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

<b>1a</b>	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling				
<b>b</b>	Check box to indicate whether the foundation is a private operating foundation described in section	4942(j)(3) or		4942(j)(5)	
<b>2a</b>	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year <b>(a) 2015</b>	Prior 3 years <b>(b) 2014</b> <b>(c) 2013</b> <b>(d) 2012</b>		<b>(e) Total</b>
<b>b</b>	85% of line 2a				
<b>c</b>	Qualifying distributions from Part XII, line 4 for each year listed				
<b>d</b>	Amounts included in line 2c not used directly for active conduct of exempt activities				
<b>e</b>	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
<b>3</b>	Complete 3a, b, or c for the alternative test relied upon				
<b>a</b>	"Assets" alternative test – enter				
	(1) Value of all assets				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
<b>b</b>	"Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
<b>c</b>	"Support" alternative test – enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
**None**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**None**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
**N/A**

**b** The form in which applications should be submitted and information and materials they should include  
**No special form**

**c** Any submission deadlines  
**None**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
**None other than approved by the IRS Code**

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year <b>UNC Chapel Hill - Wilson Library</b> <b>208 W. Franklin St.</b> <b>Chapel Hill NC 27516</b>	None		Educational	6,000
<b>Total</b>				▶ <b>3a</b> 6,000
b Approved for future payment <b>N/A</b>				
<b>Total</b>				▶ <b>3b</b> 0





**Capital Gains and Losses for Tax on Investment Income**

Form **990-PF**

**2015**

For calendar year 2015, or tax year beginning **10/01/15** and ending **09/30/16**

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 155-Alps ETF TR Alerian MLP	P	Various	09/28/15
(2) 40-National Grid PLC	P	Various	10/05/15
(3) 18-American Airls Group Inc	P	01/07/15	11/24/15
(4) 17-Delta Air Lines Inc	P	Various	11/24/15
(5) 73-Mobile Telesystems PJSC	P	Various	11/17/15
(6) 11-Entergy Corp	P	02/20/15	12/07/15
(7) 5-General Electric Co	P	04/17/15	12/07/15
(8) 50-Natl Australia Bank Ltd	P	06/15/15	12/23/15
(9) 10-Entergy Corp	P	Various	01/25/16
(10) 26.264-Calamos Evolving World Growth	P	Various	02/09/16
(11) 0.171-Columbia Seligman Comms & Info	P	12/10/15	02/09/16
(12) 13.035-Henderson European Focus Fund	P	04/02/15	02/09/16
(13) 46.876-Henderson Global Technology F	P	Various	Various
(14) 22.884-Ivy Science and Technology Fu	P	12/10/15	Various
(15) 56-Purefunds Ise Cyber Security ETF	P	Various	01/29/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,902		2,505	-603
(2) 2,833		2,776	57
(3) 735		948	-213
(4) 798		774	24
(5) 513		569	-56
(6) 730		872	-142
(7) 151		137	14
(8) 522		628	-106
(9) 682		784	-102
(10) 279		312	-33
(11) 9		10	-1
(12) 367		464	-97
(13) 940		1,155	-215
(14) 1,012		1,264	-252
(15) 1,246		1,795	-549

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k) but not less than -0-) OR Losses (from col (h))
(1)			-603
(2)			57
(3)			-213
(4)			24
(5)			-56
(6)			-142
(7)			14
(8)			-106
(9)			-102
(10)			-33
(11)			-1
(12)			-97
(13)			-215
(14)			-252
(15)			-549

**Capital Gains and Losses for Tax on Investment Income**

Form **990-PF**

**2015**

For calendar year 2015, or tax year beginning

**10/01/15** , and ending **09/30/16**

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story bnck warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) 16-Southern Co	P	08/24/15	02/09/16
(2) 45.291-Van Eck Emging Markets	P	Various	02/09/16
(3) 5-Exelon Corp	P	08/17/15	05/18/16
(4) 2-Johnson & Johnson	P	Various	05/18/16
(5) -1-Call Vaneck Vectors Gold due 6/17	P	06/20/16	05/11/16
(6) 3-Southern Co	P	08/24/15	06/13/16
(7) 4-Johnson & Johnson	P	Various	07/25/16
(8) 152.12-Putnam Short Duration Income	P	01/16/16	07/19/16
(9) 6-Exelon Corp	P	08/17/15	08/15/16
(10) 4-Amgen Inc	P	01/22/13	09/28/15
(11) 23-Pfizer Inc	P	06/04/14	09/30/15
(12) 15-Macy's Inc	P	08/18/14	11/11/15
(13) 27-Mobile Telesystems PJSC	P	Various	11/17/15
(14) 44-SPDR Gold Trust	P	Various	Various
(15) 14-Target Corp	P	Various	11/13/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 780		713	67
(2) 490		589	-99
(3) 169		165	4
(4) 227		204	23
(5) 22			22
(6) 154		134	20
(7) 497		395	102
(8) 1,527		1,527	
(9) 210		198	12
(10) 537		279	258
(11) 716		683	33
(12) 611		869	-258
(13) 190		462	-272
(14) 4,558		3,858	700
(15) 1,011		798	213

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			67
(2)			-99
(3)			4
(4)			23
(5)			22
(6)			20
(7)			102
(8)			
(9)			12
(10)			258
(11)			33
(12)			-258
(13)			-272
(14)			700
(15)			213

**Capital Gains and Losses for Tax on Investment Income**

Form **990-PF**

**2015**

For calendar year 2015, or tax year beginning

10/01/15 , and ending 09/30/16

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold e.g., real estate 2-story bnck warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 37-General Electric Co	P	Various	12/07/15
(2) 15-Glaxo Smithkline PLC	P	Various	12/30/15
(3) 90-Kinder Morgan Inc	P	Various	Various
(4) 78-Lincoln Natl Corp	P	Various	Various
(5) 40-Metlife Inc	P	Various	Various
(6) 14-American Intl Group Inc	P	12/12/12	01/05/16
(7) 8-American Intl Group Inc	P	12/11/12	02/09/16
(8) 19.797-Columbia Seligman Comms & Inf	P	11/03/14	02/09/16
(9) 43-General Electric Co	P	Various	Various
(10) 14-Glaxo Smithkline PLC	P	Various	02/09/16
(11) 21.035-Henderson Global Technology F	P	Various	02/08/16
(12) 7.841-Ivy Science and Technology Fun	P	Various	Various
(13) 2-Spectra Energy Corp	P	01/02/15	02/09/16
(14) 11-AT&T Inc	P	10/21/14	04/25/16
(15) 5-BCE Inc	P	Various	05/18/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,115		734	381
(2) 617		727	-110
(3) 1,395		3,214	-1,819
(4) 2,052		764	1,288
(5) 1,982		1,235	747
(6) 846		491	355
(7) 413		277	136
(8) 997		1,248	-251
(9) 1,205		578	627
(10) 547		599	-52
(11) 422		575	-153
(12) 347		377	-30
(13) 59		73	-14
(14) 417		380	37
(15) 231		225	6

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			381
(2)			-110
(3)			-1,819
(4)			1,288
(5)			747
(6)			355
(7)			136
(8)			-251
(9)			627
(10)			-52
(11)			-153
(12)			-30
(13)			-14
(14)			37
(15)			6

**Capital Gains and Losses for Tax on Investment Income**

Form **990-PF**

**2015**

For calendar year 2015, or tax year beginning **10/01/15**, and ending **09/30/16**

Name

Employer Identification Number

**Minor Foundation, Inc.**

**56-6047752**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story bnck warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo day, yr)	(d) Date sold (mo day, yr)
(1) 20.999-Energy Transfer Partners LP M	P	Various	06/07/16
(2) 17-Southern Co	P	03/10/15	06/13/16
(3) 25-Spectra Energy Corp	P	01/02/15	06/07/16
(4) 7-Williams Cos Inc	P	Various	06/06/16
(5) 5-AT&T Inc	P	10/21/14	07/19/16
(6) 25-BCE Inc	P	Various	07/25/16
(7) 18-Williams Cos Inc	P	Various	07/05/16
(8) 20-BCE Inc	P	11/26/08	08/10/16
(9) 30-John Hancock Premium Dividend Fun	P	07/24/13	08/18/16
(10) 25-John Hancock Premium Dividend Fun	P	Various	09/08/16
(11) 1.16-RMR Group Inc	P	11/25/14	12/15/15
(12) 25-RMR Real Estate Income Fund	P	11/25/14	08/29/16
(13) 25-Spectra Energy Corp	P	Various	09/07/16
(14)			
(15) Regency Energy Partners Sec 1			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 801		1,288	-487
(2) 871		755	116
(3) 830		913	-83
(4) 163		301	-138
(5) 214		173	41
(6) 1,191		561	630
(7) 356		613	-257
(8) 967		395	572
(9) 511		399	112
(10) 431		332	99
(11) 16		15	1
(12) 551		501	50
(13) 3,957		3,161	796
(14) 322			322
(15) -3			-3

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-487
(2)			116
(3)			-83
(4)			-138
(5)			41
(6)			630
(7)			-257
(8)			572
(9)			112
(10)			99
(11)			1
(12)			50
(13)			796
(14)			322
(15)			-3

## Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
Partnership Loss	\$ -292	\$	\$ -292
Total	\$ -292	\$ 0	\$ -292

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Professional Fees	\$ 1,275	\$ 1,275	\$ 1,275	\$
Total	\$ 1,275	\$ 1,275	\$ 1,275	\$ 0

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Investment Management Fee	\$ 1,277	\$ 1,277	\$ 1,277	\$
Total	\$ 1,277	\$ 1,277	\$ 1,277	\$ 0

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Federal Excise Tax	\$ 12	\$	\$	\$
Foreign Tax	40	40	40	
Total	\$ 52	\$ 40	\$ 40	\$ 0

**Federal Statements**

**Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	\$
Bank Service Charge	102	102	102	
Foreign ADR Fees	8	8	8	
Office Expense				
Nondeductible Partnership Exp				
Portfolio Expense				
<b>Total</b>	<b>110</b>	<b>110</b>	<b>110</b>	<b>0</b>

**Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Stocks	\$ 91,048	\$ 84,542	Cost	\$ 90,563
Partnerships	1,881	2,684	Cost	3,307
<b>Total</b>	<b>92,929</b>	<b>87,226</b>		<b>93,870</b>

## Federal Statements

### Statement 7 - Form 990-PF, Part II, Line 22 - Other Liabilities

Description	Beginning of Year	End of Year
Reserve for Losses on Assets Held	\$ 10,416	\$ 10,416
Total	\$ 10,416	\$ 10,416

### Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

Name of Manager	Amount
None	\$
Total	\$ 0

### Form 990-PF, Part XV, Line 1b - Managers Who Own 10% or More Stock

Name of Manager	Amount
None	\$
Total	\$ 0

### Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
No special form

### Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description
None

### Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description
None other than approved by the IRS Code

MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA

Exhibit "A"

STATEMENT OF ASSETS, LIABILITIES AND FUNDS ACCOUNT  
 TAX BASIS  
 September 30, 2016 and 2015

<u>Assets</u>	Fair Market Value 9-30-16	9-30-16	9-30-15
Cash and Money Market Funds - UBS	\$ 8,113.41	\$ 8,113.41	\$ 6,252.12
Wells Fargo	500.00	500.00	500.00
Accrued Distributions Receivable	54.94	54.94	106.03
Investments			
Common Stocks - Schedule #2	90,563.49	84,541.30	91,047.95
Partnerships - Schedule #2	3,306.50	2,684.19	1,880.65
<b>Total Assets</b>	<b><u>\$ 102,538.34</u></b>	<b><u>\$ 95,893.84</u></b>	<b><u>\$ 99,786.75</u></b>
<u>Liabilities</u>			
Accounts Payable		\$ -	\$ -
Reserve for Losses on Assets Held		10,416.43	10,416.43
Funds to be Allocated to Established Charities per Corporate Charter - Exhibit "B"		<u>85,477.41</u>	<u>89,370.32</u>
<b>Total Liabilities</b>		<b><u>\$ 95,893.84</u></b>	<b><u>\$ 99,786.75</u></b>

Note The corporation serves as trustee for various fully funded accounts which are separately reported on fiduciary income tax return on a calendar year basis under E.I. #23-7091518.

See Accompanying Independent Accountants' Compilation Report

MINOR FOUNDATION, INC  
CHARLOTTE, NORTH CAROLINA

Exhibit "B"

ANALYSIS OF FUNDS ACCOUNT  
Fiscal Year Ended September 30, 2016

Funds Allocated to Charities

Balance at 10-1-15			\$ 89,370 32
Additions			
Dividend Income - Schedule #4		3,639.87	
Interest Income - UBS Bank		6 27	
Capital Gain(Loss) - Schedule #3		<u>1,470.36</u>	
Total		5,116.50	
Reductions.			
Partnership Loss	292.00		
Donations - Schedule #1	6,000.00		
Accounting	1,275 00		
Bank Service Charge	102 05		
Federal Tax	12 00		
Foreign Tax	40.21		
Foreign ADR Fees	8.49		
Interest Expense	3.00		
Investment Management Services	<u>1,276.66</u>	<u>9,009.41</u>	<u>(3,892 91)</u>
Balance of Funds Account at 9-30-16 - To Exhibit "A"			<u>\$ 85,477.41</u>

See Accompanying Independent Accountants' Compilation Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #1

DONATIONS  
Fiscal Year Ended September 30, 2016

<u>Donee</u>	<u>Purpose</u>	<u>Amount</u>
UNC Chapel Hill - Wilson Library	Education	<u>\$ 6,000.00</u>
Total to Exhibit "B"		<u><u>\$ 6,000 00</u></u>

See Accompanying Independent Accountants' Compilation Report

MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA  
 COMMON STOCK AND PARTNERSHIP PORTFOLIO  
 Fiscal Year Ended September 30, 2016

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 Schedule #2  
 Page #1

		Shares		Total	Fair Market
	<u>Common Stock</u>	<u>Purchased</u>	<u>Price</u>	<u>Cost</u>	<u>Value</u>
					9-30-16
7/22/14	Advisory Research MLP & Energy Inc Fd	94.087	\$ 14.65	\$ 1,378.38	\$ 923.93
8/13/14	Advisory Research MLP & Energy Inc Fd	140.230	14.51	2,034.74	1,377.06
9/23/14	Advisory Research MLP & Energy Inc Fd	10.827	14.55	157.53	106.32
2/24/16	Advisory Research MLP & Energy Inc Fd	24.709	6.83	168.76	242.64
6/8/16	Advisory Research MLP & Energy Inc Fd	178.070	9.31	1,657.83	1,748.65
1/29/15	Alibaba Group Hldg Ltd	10	89.26	892.58	1,057.90
6/2/15	Alibaba Group Hldg Ltd	2	90.54	181.07	211.58
6/16/15	Alibaba Group Hldg Ltd	2	85.84	171.68	211.58
9/1/15	Alibaba Group Hldg Ltd	2	66.73	133.45	211.58
6/16/16	Alpine Income Tr Ult Muni Instl	303.779	10.04	3,049.94	3,046.90
1/7/15	American Airls Group Inc	6	52.67	316.01	219.66
4/10/15	American Airls Group Inc	10	48.17	481.70	366.10
8/3/15	American Airls Group Inc	4	41.74	166.97	146.44
6/8/16	American Airls Group Inc	11	32.73	360.03	402.71
12/11/12	Amercan Intl Group Inc	22	34.30	754.60	1,305.48
9/21/10	Amgen Inc	6	55.76	334.55	1,000.86
10/5/15	Apple Inc	10	109.75	1,097.45	1,130.50
10/17/14	AT&T Inc	27	33.88	914.76	1,096.47
10/21/14	AT&T Inc	10	34.50	345.03	406.10
12/8/14	AT&T Inc	12	33.99	407.82	487.32
12/16/14	AT&T Inc	26	32.37	841.62	1,055.86
12/21/15	Bank of Amer Corp	30	16.96	508.80	469.50
12/22/15	Bank of Amer Corp	20	17.08	341.60	313.00
12/30/15	Bank of Amer Corp	22	17.12	376.64	344.30
1/7/16	Bank of Amer Corp	3	15.75	47.25	46.95
1/26/16	Bank of Amer Corp	10	13.30	133.00	156.50
1/29/16	Bank of Amer Corp	15	13.82	207.24	234.75
2/12/16	Bank of Amer Corp	15	12.01	180.15	234.75
10/2/15	Calamos Evolving World Growth Fund	74.549	7.58	565.14	567.58
12/24/15	Calamos Evolving World Growth Fund	8.843	11.90	105.23	144.80
3/31/16	Calamos Evolving World Growth Fund	6.429	11.69	75.16	80.17
7/12/16	Calamos Evolving World Growth Fund	112.474	12.07	1,357.56	1,402.55
7/19/16	Calamos Market Neutral Income Fund	165.053	12.95	2,137.43	2,142.38
1/6/15	Check Point Software Tech Ltd	2	355.32	710.64	698.49
8/24/15	Check Point Software Tech Ltd	2	76.65	153.30	155.22
12/8/15	Check Point Software Tech Ltd	2	86.89	173.78	155.22
3/8/16	Check Point Software Tech Ltd	1	83.03	83.03	77.61
4/29/16	Check Point Software Tech Ltd	1	82.42	82.42	77.61
2/5/10	Cohen & Steers Infrastructure Fund Inc	0.715	13.97	9.99	15.40
8/29/13	Cohen & Steers Infrastructure Fund Inc	83	16.24	1,347.59	1,787.82
8/30/13	Cohen & Steers Infrastructure Fund Inc	100	18.53	1,852.84	2,154.00
6/9/15	Cohen & Steers Infrastructure Fund Inc	27	21.56	582.24	581.58
2014	Columbia Seligman Comms & Info - 2014	4.5840	58.70	269.07	1,144.82
12/10/15	Columbia Seligman Comms & Infr Fund	17.156	59.39	1,018.92	305.89
8/4/16	Companhia Energetica De Minas Gerais	130	2.89	375.70	336.70
2/24/16	CVS Health Corp	4	95.90	383.60	355.96
12/11/12	Delta Air Lines Inc	29	10.63	308.36	1,141.44
12/12/12	Delta Air Lines Inc	9	10.92	98.28	354.24
4/10/15	Delta Air Lines Inc	9	43.13	388.17	354.24
2/27/13	Entergy Corp	14	61.81	865.38	1,074.22
8/19/15	Entergy Corp	16	19.97	1,133.47	1,227.68
9/1/15	Entergy Corp	5	319.48	319.48	383.65
8/17/15	Exelon Corp	54	33.08	1,786.31	1,797.66
5/14/15	Ford Motor Co	60	15.46	927.35	724.20
5/29/15	Ford Motor Co	20	15.35	307.07	241.40
12/23/15	Ford Motor Co	10	14.27	142.70	120.70

See Accompanying Independent Accountants' Compilation Report

MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA  
 COMMON STOCK AND PARTNERSHIP PORTFOLIO  
 Fiscal Year Ended September 30, 2016

Schedule #2  
Page #2

		Shares Purchased	Price	Total Cost	Fair Market Value 9-30-16
1/7/16	Ford Motor Co	2	12.89	25.78	24.14
5/9/12	Frontier Communications Corp	160	3.09	494.58	374.40
7/24/15	Frontier Communications Corp	55	4.69	257.95	312.00
7/19/16	Frontier Communications Corp	5	5.05	25.25	20.80
7/20/16	Frontier Communications Corp	15	5.01	75.15	62.40
7/20/09	General Electric Co	55	11.69	642.71	1,629.10
12/22/14	Gilead Sciences Inc	6	96.04	576.22	474.72
12/24/14	Gilead Sciences Inc	4	91.25	364.98	316.48
5/1/15	Gilead Sciences Inc	2	105.73	211.46	158.24
5/13/15	Gilead Sciences Inc	3	106.16	318.48	237.36
3/8/04	Glaxo Smithkline PLC	16	28.81	460.90	474.43
9/16/09	Glaxo Smithkline PLC	11	31.81	349.93	388.17
1/12/10	Glaxo Smithkline PLC	9	73.94	665.49	690.08
6/8/10	Glaxo Smithkline PLC	10	33.34	333.39	431.30
2/11/15	HCP Inc	20	43.07	861.39	759.00
8/29/13	Henderson European Focus Fund	28.567	29.79	851.05	913.28
4/2/15	Henderson European Focus Fund	10.081	35.57	358.57	322.29
1/28/13	Henderson Global Technology Fund I	29.435	21.66	637.63	758.83
12/8/15	Henderson Global Technology Fund I	3.932	24.24	95.31	101.37
7/11/13	Hospitality Properties Trust	15	27.85	417.75	445.80
8/20/15	Hospitality Properties Trust	5	28.28	141.40	148.60
9/10/15	Intl Business Mach	5	145.61	728.05	794.25
10/15/15	Intl Business Mach	4	149.68	598.72	635.40
12/22/15	Intl Business Mach	2	135.70	271.40	317.70
12/23/15	Intl Business Mach	2	138.33	276.66	317.70
1/11/16	Intl Business Mach	2	133.36	266.71	317.70
2/10/12	Ivy Science & Technology Fund	14.042	34.23	480.70	778.34
9/26/13	John Hancock Premium Dividend Fund	10	5.41	54.09	164.00
12/3/13	John Hancock Premium Dividend Fund	100	11.97	1,197.00	1,640.00
12/5/13	John Hancock Premium Dividend Fund	10	11.89	118.90	164.00
9/12/14	John Hancock Premium Dividend Fund	26	13.16	342.16	426.40
7/6/15	John Hancock Premium Dividend Fund	29	15.51	449.87	475.60
10/16/15	Johnson & Johnson	5	97.90	489.50	590.65
10/10/11	Lincoln Natl Corp	38	16.25	617.55	1,785.24
1/5/12	Lincoln Natl Corp	8	19.79	158.28	375.84
1/26/16	Lincoln Natl Corp	1	39.11	39.11	46.98
2/24/16	Lincoln Natl Corp	5	7.82	175.65	234.90
6/28/16	Lincoln Natl Corp	3	58.55	108.99	140.94
12/21/11	Metlife Inc	40	30.31	1,212.55	1,777.20
7/11/12	Metlife Inc	10	30.30	302.98	444.30
3/29/16	Metlife Inc	5	42.50	212.52	222.15
12/30/15	Mobile Telesystems PJSC	100	6.15	615.00	763.00
7/18/16	Mobile Telesystems PJSC	20	8.90	177.96	152.60
8/16/16	Mobile Telesystems PJSC	21	9.18	192.78	160.23
2/16/16	Oppenheimer Rochester Short Term Muni	710.872	3.76	2,672.88	2,672.87
4/25/16	Oppenheimer Rochester Short Term Muni	97.657	3.76	367.19	367.19
6/8/16	Oppenheimer Rochester Short Term Muni	23.032	3.76	86.60	86.60
6/4/14	Pfizer Inc	30	29.69	890.67	1,016.10
6/16/14	Pfizer Inc	10	29.45	294.48	338.70
12/29/15	Powershares ETF Tr II Var Rate Pfd	50	24.21	1,210.25	1,275.50
5/5/16	Powershares ETF Tr II Var Rate Pfd	5	24.24	121.20	127.55
5/10/16	Powershares ETF Tr II Var Rate Pfd	45	24.31	1,093.91	1,147.95
6/16/16	Putnam Short Duration Income Fund Y Fboid	151.673	10.04	1,522.80	1,524.31
5/7/14	Salient Midstream & MLP Fd	151.303	27.33	4,135.42	1,918.53
6/25/14	Salient Midstream & MLP Fd	45.798	28.59	1,309.21	580.72
6/26/14	Salient Midstream & MLP Fd	22.899	28.76	658.60	290.36

See Accompanying Independent Accountants' Compilation Report

MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA  
 COMMON STOCK AND PARTNERSHIP PORTFOLIO  
 Fiscal Year Ended September 30, 2016

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 Schedule #2  
 Page #3

		Shares		Total	Fair Market
		Purchased	Price	Cost	Value
					9-30-16
<b>Common Stock</b>					
12/12/08	Senior Hsg Properties Trust	14	11.39	159.40	317.94
6/28/12	Senior Hsg Properties Trust	2	21.69	43.37	45.42
2/7/13	Senior Hsg Properties Trust	4	24.50	98.00	90.84
2/12/13	Senior Hsg Properties Trust	10	24.61	246.08	227.10
2/22/13	Senior Hsg Properties Trust	10	24.80	247.97	227.10
4/22/14	Senior Hsg Properties Trust	3	22.71	68.13	68.13
4/24/14	Senior Hsg Properties Trust	7	23.17	162.20	158.97
4/25/14	Senior Hsg Properties Trust	5	23.30	116.50	113.55
9/12/14	Senior Hsg Properties Trust	10	21.84	218.39	227.10
9/11/15	Senior Hsg Properties Trust	10	15.09	150.85	227.10
3/10/15	Southern Co	44	44.40	1,953.74	2,257.20
2/18/16	Targa Resources Investments	50	22.71	1,135.56	2,455.50
2/4/14	Target Corp	14	55.48	776.71	961.52
11/18/15	Target Corp	14	69.76	976.58	961.52
10/17/14	Telefonica S.A.	20	14.09	281.71	201.60
11/17/14	Telefonica S.A.	25	15.02	375.48	252.00
11/18/14	Telefonica S.A.	5	15.24	76.20	50.40
3/9/16	Telefonica S A	9	10.77	96.93	90.72
3/31/16	Telefonica S A	10	11.18	111.80	100.80
5/17/16	Telefonica S A	5	10.51	52.53	50.40
7/18/16	Telefonica S A	15	9.73	145.95	151.20
7/19/16	Telefonica S A	30	9.54	286.20	302.40
8/4/16	Telefonica S A	10	9.58	95.79	100.80
2016	Telefonica S A	1	11.56	11.56	10.08
8/13/14	Transamerica MLP & Energy Income	280.91	12.09	3,396.20	2,219.18
9/23/14	Transamerica MLP & Energy Income	12.648	12.15	153.67	99.92
2014	Transamerica MLP & Energy Income	2.004	10.56	21.16	15.83
2/9/16	Van Eck Vectors Gold Miners ETF	40	17.65	705.90	1,057.20
2/10/16	Van Eck Vectors Gold Miners ETF	58	16.62	964.06	1,532.94
2/25/16	Van Eck Vectors Gold Miners ETF	22	19.22	422.84	581.46
2/29/16	Van Eck Vectors Gold Miners ETF	10	19.17	191.70	264.30
10/2/15	Van Eck Emging Markets Y	23.108	22.04	509.22	549.44
4/1/16	Van Eck Emging Markets Y	20.067	12.33	247.42	274.11
7/12/16	Van Eck Emging Markets Y	103.958	12.95	1,346.26	1,420.06
12/21/15	Wells Fargo & Co	8	54.28	434.24	354.24
12/22/15	Wells Fargo & Co	8	54.38	435.04	354.24
1/7/16	Wells Fargo & Co	2	50.98	101.96	88.56
1/17/13	Williams Cos Inc	20	33.62	672.35	614.60
7/25/13	Williams Cos Inc	7	33.51	234.55	215.11
	<b>TOTALS</b>			<b>\$ 84,541.30</b>	<b>\$ 90,563.49</b>
<b>Partnerships</b>					
4/16/14	Energy Partners, LP (Regency Partners LP )	14.778	53.95	\$ 797.32	\$ 242.42
1/6/15	Energy Partners, LP (Regency Partners LP )	23.566	51.94	1,224.05	386.58
10/7/15	Energy Transfer Partners LP MLP	18	45.42	817.52	666.00
12/18/15	Energy Transfer Partners LP MLP	20	28.89	577.80	740.00
	Distributions FYE - 2014			(140.72)	
	Income(Loss) - 2015			(289.00)	
	Distributions FYE 9/30/16			(277.47)	
	Sold - 6/7/16			(1,288.29)	
	<b>Totals</b>	<b>76.344</b>		<b>1,421.21</b>	<b>2,035.00</b>
9/13/16	Enbridge Energy Partners LP MLP	50	25.26	1,262.98	1,271.50
	<b>Total Partnerships</b>			<b>\$ 2,684.19</b>	<b>\$ 3,306.50</b>

See Accompanying Independent Accountants' Compilation Report

MINOR FOUNDATION, INC  
 CHARLOTTE, NORTH CAROLINA  
 DIVIDEND INCOME  
 Fiscal Year Ended September 30, 2016

Schedule #4

<u>UBS - Stock</u>	<u>Dividend Income</u>
Advisory Research & Energy Inc Fd	\$ 225 06
Alpine Income Tr Ult Muni Instl	4 30
American Airis Group	10 90
American Intl Group Inc	33 44
Amgen	22 74
Apple Inc	21 80
AT&T Inc	168 53
Bank of America	20 13
BCE Inc	72 73
Calamos Evolving World Growth	3 35
Calamos Market Neutral Income Fd	7 46
Cohen & Steers Infrastructure	336 00
Columbia Seligman Comms	30 60
Companhia Energetica De Minas Gerais	4 61
100000T Cohen & Steers Infrastr	1 16
CVS Health Corp	3 40
Delta Airlines	30 86
Energy Transfer Partners LP	3 00
Entergy Corp	136 85
Exelon Corp	80 05
Ford Motor Company	76 40
Frontier Communications Corp	71 42
General Electric Co	60 49
Gilead Sciences Inc	27 00
Glaxosmithkline PLC Sp Adr	147 15
HCP Inc	45 80
Henderson European Focus Fund	15 72
Hospitality Properties Trust	40 40
Intl Business Machines	88 95
John Hancock Premium Div Fd	250 66
Johnson & Johnson	25 45
Kinder Morgan Inc	45 90
Lincoln National	55 05
Metlife Inc	96 50
Mobile Telesystems	60 62
Natl Australia Bank Ltd	17 85
Oppenheimer Rochester Short-Term	35 00
Pfizer	47 20
Powershares ETF Tr II	72 55
Putnam Short Duration Income Fd	3 98
RMR Real Estate Income Fd	24 75
Salient Midstream & MLP Fd	277 86
Senior Housing PPTYS Tr SBI	117 00
Southern Co	138 60
Spectra Energy Corp	180 82
Targa Resource Investments	91 00
Target Corp	56 00
Telefonica S A	48 30
Transamerica MLP & Energy Inc	83 20
Van Eck Emerging Markets	0 09
Wells Fargo & Co	20 43
Williams Cos Inc	100 76
	<hr/>
Total	\$ 3,639 87

See Accompanying Independent Accountants' Compilation Report

Long-Term - UBS Financial Services Inc. (continued)

Shares	Description	Date Acquired	Date Sold	Proceeds	Cost	Short-Term Gain/(Loss)	Long-Term Gain/(Loss)
43	General Electric Co	Various	Various	1,204.55	577.49		627.06
14	Glaxo Smithkline PLC	Various	02/09/16	546.83	599.20		(52.37)
21 035	Henderson Global Technology Fund 1	Various	02/08/16	422.17	574.77		(152.60)
7 841	Ivy Science and Technology Fund	Various	Various	346.55	377.05		(30.50)
2	Spectra Energy Corp	01/02/15	02/09/16	59.49	73.00		(13.51)
11	AT&T Inc	10/21/14	04/25/16	417.46	379.53		37.93
5	BCE Inc	Various	05/18/16	231.31	224.74		6.57
20 999	Energy Transfer Partners LP MLP	Various	06/07/16	800.98	1,288.29		(487.31)
17	Southern Co	03/10/15	06/13/16	870.77	754.85		115.92
25	Spectra Energy Corp	01/02/15	06/07/16	829.73	912.49		(82.76)
7	Williams Cos Inc	Various	06/06/16	163.24	301.29		(138.05)
5	AT&T Inc	10/21/14	07/19/16	213.54	172.52		41.02
25	BCE Inc	Various	07/25/16	1,191.22	560.59		630.63
18	Williams Cos Inc	Various	07/05/16	356.36	612.51		(256.15)
20	BCE Inc	11/26/08	08/10/16	967.19	395.24		571.95
30	John Hancock Premium Dividend Fund	07/24/13	08/18/16	511.45	399.00		112.45
25	John Hancock Premium Dividend Fund	Various	09/08/16	430.49	332.16		98.33
1 160	RMR Group Inc	11/25/14	12/15/15	15.86	14.49		1.37
25	RMR Real Estate Income Fund	11/25/14	08/29/16	551.01	500.51		50.50
25	Spectra Energy Corp	Various	09/07/16	3,956.68	3,160.66		796.02
	Total Long Term Stocks			31,126.80	27,850.12		3,276.68

Capital Gain Distributions

Regency Energy Partners LP - Net Section 1231 loss

(3.00)

UBS Financial

Columbia Seligman Comms

104.56

Henderson Global Investors Global Technology

190.02

Ivy Science & Technology Fund

27.57

Grand Totals

\$ 47,922.12 \$ 46,770.91 \$ (2,125.47) \$ 3,595.83

Short-Term - UBS Financial Services Inc

Shares	Description	Date		Proceeds	Cost	Short-Term Gain/(Loss)	Long-Term Gain/(Loss)
		Acquired	Sold				
155	Alps ETF TR Alerian MLP	Various	09/28/15	\$ 1,902 43	\$ 2,505 09	\$ (602 66)	
40	National Grid PLC	Various	10/05/15	2,832 77	2,776 30	56 47	
18	American Airis Group Inc	01/07/15	11/24/15	735 34	948 04	(212 70)	
17	Delta Air Lines Inc	Various	11/24/15	798 37	774 00	24 37	
73	Mobile Telesystems PJSC	Various	11/17/15	513 18	569 27	(56 09)	
11	Entergy Corp	02/20/15	12/07/15	730 20	871 92	(141 72)	
5	General Electric Co	04/17/15	12/07/15	150 70	137 41	13 29	
50	Natl Australia Bank Ltd	06/15/15	12/23/15	521 99	628 37	(106 38)	
10	Entergy Corp	Various	01/25/16	682 15	784 22	(102 07)	
26 264	Calamos Evolving World Growth Fund	Various	02/09/16	279 19	312 02	(32 83)	
0 171	Columbia Seligman Comms & Info	12/10/15	02/09/16	8 55	10 16	(1 61)	
13 035	Henderson European Focus Fund	04/02/15	02/09/16	367 33	463 65	(96 32)	
46 876	Henderson Global Technology Fund I	Various	Various	939 79	1,154 75	(214 96)	
22 884	Ivy Science and Technology Fund	12/10/15	Various	1,011 61	1,263 69	(252 08)	
56 000	Purefunds Ise Cyber Security ETF	Various	01/29/16	1,245 98	1,794 85	(548 87)	
16 000	Southern Co	08/24/15	02/09/16	780 46	713 09	67 37	
45 291	Van Eck Emging Markets	Various	02/09/16	489 60	589 30	(99 70)	
5	Exelon Corp	08/17/15	05/18/16	168 84	165 40	3 44	
2	Johnson & Johnson	Various	05/18/16	226 85	204 31	22 54	
-1	Call Vaneck Vectors Gold due 6/17/16	06/20/16	05/11/16	21 99	0 00	21 99	
3	Southern Co	08/24/15	06/13/16	153 67	133 70	19 97	
4	Johnson & Johnson	Various	07/25/16	497 25	395 49	101 76	
152 120	Putnam Short Duration Income Fund	01/16/16	07/19/16	1,527 28	1,527 28	0 00	
6	Exelon Corp	08/17/15	08/15/16	209 80	198 48	11 32	
	Total Short Term Stocks			16,795 32	18,920 79	(2,125 47)	

Long-Term - UBS Financial Services Inc

4	Amgen Inc	01/22/13	09/28/15	537 03	278 96	258 07	
23	Pfizer Inc	06/04/14	09/30/15	715 52	682 85	32 67	
15	Macy's Inc	08/18/14	11/11/15	611 33	869 03	(257 70)	
27	Mobile Telesystems PJSC	Various	11/17/15	189 81	462 03	(272 22)	
44	SPDR Gold Trust	Various	Various	4,557 96	3,858 30	699 66	
14	Target Corp	Various	11/13/15	1,011 48	798 32	213 16	
37	General Electric Co	Various	12/07/15	1,115 16	734 38	380 78	
15	Glaxo Smithkline PLC	Various	12/30/15	617 46	726 73	(109 27)	
90	Kinder Morgan Inc	Various	Various	1,394 57	3,214 18	(1,819 61)	
78	Lincoln Natl Corp	Various	Various	2,051 92	763 89	1,288 03	
40	Metlife Inc	Various	Various	1,981 97	1,235 42	746 55	
14	American Intl Group Inc	12/12/12	01/05/16	845 88	491 41	354 47	
8	American Intl Group Inc	12/11/12	02/09/16	412 99	276 64	136 35	
19 797	Columbia Seligman Comms & Info Fund Z	11/03/14	02/09/16	996 84	1,247 60	(250 76)	