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Return of Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
 Do not enter social security numbers on this form as it may be made public.
 Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2015 or tax year beginning OCT 1, 2015, and ending SEP 30, 2016

Name of foundation
THE JAMES H. AND ALICE TEUBERT CHARITABLE TRUST

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
P.O. BOX 2131

City or town, state or province, country, and ZIP or foreign postal code
HUNTINGTON, WV 25722

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 21,541,696. (Part I, column (d) must be on cash basis.)

J Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____

A Employer identification number
55-6101813

B Telephone number
(304) 525-6337

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
 2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		3,937.	3,937.		
4 Dividends and interest from securities		488,217.	488,217.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		395,176.			
b Gross sales price for all assets on line 6a		5,105,608.			
7 Capital gain net income (from Part IV, line 2)			395,176.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		887,330.	887,330.		
13 Compensation of officers, directors, trustees, etc		84,500.	8,450.		76,050.
14 Other employee salaries and wages					
15 Pension plans, employee benefits		3,900.	390.		3,510.
16a Legal fees					
b Accounting fees STMT 1		10,000.	9,000.		1,000.
c Other professional fees STMT 2		72,163.	72,163.		0.
17 Interest					
18 Taxes STMT 3		14,007.	605.		5,441.
19 Depreciation and depletion		104.	0.		
20 Occupancy		5,160.	0.		0.
21 Travel, conferences, and meetings		1,959.	0.		1,959.
22 Printing and publications					
23 Other expenses STMT 4		8,478.	647.		7,831.
24 Total operating and administrative expenses. Add lines 13 through 23		200,271.	91,255.		95,791.
25 Contributions, gifts, grants paid		1,033,943.			1,033,943.
26 Total expenses and disbursements. Add lines 24 and 25		1,234,214.	91,255.		1,129,734.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<346,884.>			
b Net investment income (if negative, enter -0-)			796,075.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED DEC 15 2016

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		732.	1,362.	1,362.
	2	Savings and temporary cash investments		1,627,498.	1,309,430.	1,309,430.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		9,278.	7,817.	7,817.
	10a	Investments - U.S. and state government obligations STMT 6		832,029.	828,685.	828,685.
	b	Investments - corporate stock STMT 7		661,238.	745,559.	745,559.
	c	Investments - corporate bonds STMT 8		708,070.	748,836.	748,836.
	11	Investments - land, buildings, and equipment: basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 9		17,039,697.	17,864,865.	17,864,865.	
14	Land, buildings, and equipment: basis ▶ 13,601.					
	Less accumulated depreciation ▶ 13,566.		139.	35.	35.	
15	Other assets (describe ▶ STATEMENT 10)		38,144.	35,107.	35,107.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		20,916,825.	21,541,696.	21,541,696.	
Liabilities	17	Accounts payable and accrued expenses		23,388.	23,377.	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		23,388.	23,377.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		11,203,760.	11,828,642.	
	25	Temporarily restricted				
	26	Permanently restricted		9,689,677.	9,689,677.	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		20,893,437.	21,518,319.	
	31	Total liabilities and net assets/fund balances		20,916,825.	21,541,696.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,893,437.
2	Enter amount from Part I, line 27a	2	<346,884.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	971,766.
4	Add lines 1, 2, and 3	4	21,518,319.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	21,518,319.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,105,608.		4,710,432.	395,176.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(j) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			395,176.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	395,176.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	1,090,751.	21,667,289.	.050341
2013	1,041,301.	22,389,262.	.046509
2012	1,033,908.	20,657,959.	.050049
2011	956,226.	19,389,178.	.049318
2010	940,935.	20,058,271.	.046910

2 Total of line 1, column (d)	2	.243127
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048625
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	20,829,400.
5 Multiply line 4 by line 3	5	1,012,830.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,961.
7 Add lines 5 and 6	7	1,020,791.
8 Enter qualifying distributions from Part XII, line 4	8	1,129,734.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	7,961.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,961.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,961.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	15,348.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	15,348.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,387.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☒ 7,387. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ WV		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address N/A		
14 The books are in care of JIMELLE BOWEN, EXECUTIVE DIRECTOR Telephone no. (304) 525-6337		
Located at PO BOX 2131, HUNTINGTON, WV ZIP+4 25701		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		84,500.	3,800.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	19,786,658.
b	Average of monthly cash balances	1b	1,312,375.
c	Fair market value of all other assets	1c	47,566.
d	Total (add lines 1a, b, and c)	1d	21,146,599.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	21,146,599.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	317,199.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,829,400.
6	Minimum investment return. Enter 5% of line 5	6	1,041,470.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,041,470.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	7,961.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,961.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,033,509.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,033,509.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,033,509.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,129,734.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,129,734.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	7,961.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,121,773.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,033,509.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011	966.			
c From 2012	26,616.			
d From 2013				
e From 2014	26,445.			
f Total of lines 3a through e	54,027.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$	1,129,734.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				1,033,509.
e Remaining amount distributed out of corpus	96,225.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	150,252.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	150,252.			
10 Analysis of line 9:				
a Excess from 2011	966.			
b Excess from 2012	26,616.			
c Excess from 2013				
d Excess from 2014	26,445.			
e Excess from 2015	96,225.			

THE JAMES H. AND ALICE TEUBERT

Form 990-PF (2015)

CHARITABLE TRUST

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2015

(b) 2014

(c) 2013

(d) 2012

(e) Total

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

JIMELLE BOWEN, EXECUTIVE DIRECTOR, C/O THE JAMES H. AND ALICE, 304-525-6337
P.O. BOX 2131, HUNTINGTON, WV 25722

b The form in which applications should be submitted and information and materials they should include:

REQUEST APPLICATION

c Any submission deadlines:

OCTOBER 1ST FOR NOVEMBER AWARDS: MARCH 1ST FOR APRIL AWARDS

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

TAX EXEMPT ORGANIZATION WHICH PROVIDES AID TO THE BLIND IN CABELL AND WAYNE COUNTIES IN WEST VIRGINIA.

THE JAMES H. AND ALICE TEUBERT

Form 990-PF (2015)

CHARITABLE TRUST

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN FOUNDATION FOR THE BLIND 2 PENN PLAZA, SUITE 1102 NEW YORK, NY 10121			AID TO THE BLIND	150,000.
CABELL COUNTY SCHOOLS PO BOX 446 HUNTINGTON, WV 25701			COURT STIPULATED - DUKE RIDGELY GOLF TOURNAMENT	100.
CABELL WAYNE ASSOCIATION OF THE BLIND 38 WASHINGTON AVE HUNTINGTON, WV 25701			AID TO THE BLIND	734,141.
HUNTINGTON MUSEUM OF ART 2033 MCCOY RD HUNTINGTON, WV 25701			AID TO THE BLIND	32,190.
HUNTINGTON LITTLE LEAGUE 1025 13TH STREET W HUNTINGTON, WV 25704			COURT STIPULATED	5,000.
Total			SEE CONTINUATION SHEET(S)	1,033,943.
b Approved for future payment				
AMERICAN FOUNDATION FOR THE BLIND 2 PENN PLAZA, SUITE 1102 NEW YORK, NY 10121			AID TO THE BLIND	150,000.
CABELL WAYNE ASSOCIATION OF THE BLIND 38 WASHINGTON AVE HUNTINGTON, WV 25701			AID TO THE BLIND	754,887.
Total				904,887.

55-6101813

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

FORM 990-PF

ACCOUNTING FEES

STATEMENT 1

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING/AUDITING FEES	10,000.	9,000.		1,000.
TO FORM 990-PF, PG 1, LN 16B	10,000.	9,000.		1,000.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FIDUCIARY TRUSTEE FEES	72,163.	72,163.		0.
TO FORM 990-PF, PG 1, LN 16C	72,163.	72,163.		0.

FORM 990-PF

TAXES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAX	5,649.	565.		5,084.
EXCISE TAX	7,961.	0.		0.
WORKERS COMP	397.	40.		357.
TO FORM 990-PF, PG 1, LN 18	14,007.	605.		5,441.

FORM 990-PF

OTHER EXPENSES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	5,030.	503.		4,527.
TELEPHONE	2,015.	0.		2,015.
OFFICE EXPENSE	220.	0.		220.
POSTAGE	8.	0.		8.
MISCELLANEOUS	1,061.	0.		1,061.

REAL ESTATE	144.	144.	0.
TO FORM 990-PF, PG 1, LN 23	8,478.	647.	7,831.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
UNREALIZED GAIN/LOSS ON INVESTMENTS	971,766.
TOTAL TO FORM 990-PF, PART III, LINE 3	971,766.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	6
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY BONDS & NOTES	X		828,685.	828,685.
TOTAL U.S. GOVERNMENT OBLIGATIONS			828,685.	828,685.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			828,685.	828,685.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	745,559.	745,559.
TOTAL TO FORM 990-PF, PART II, LINE 10B	745,559.	745,559.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE BONDS	748,836.	748,836.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	748,836.	748,836.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS	FMV	16,070,789.	16,070,789.
MINERAL INTEREST PARTNERSHIPS	COST	4,598.	4,598.
US GOV'T AGENCY OBLIGATIONS	FMV	588,446.	588,446.
HEDGE FUNDS	FMV	1,201,032.	1,201,032.
TOTAL TO FORM 990-PF, PART II, LINE 13		17,864,865.	17,864,865.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INTEREST & DIVIDENDS RECEIVABLE	38,144.	35,107.	35,107.
TO FORM 990-PF, PART II, LINE 15	38,144.	35,107.	35,107.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DR. MATTHEW A ROHRBACH P.O. BOX 2131 HUNTINGTON, WV 25722	TRUSTEE 0.00	0.	0.	0.
SUE RICHARDSON P.O. BOX 2131 HUNTINGTON, WV 25722	TRUSTEE 0.00	0.	0.	0.
DINA BLOM P.O. BOX 2131 HUNTINGTON, WV 25722	TRUSTEE 0.00	0.	0.	0.
DAVID H. LUNSFORD P.O. BOX 2131 HUNTINGTON, WV 25722	TRUSTEE 0.00	0.	0.	0.
MICHAEL NUCE P.O. BOX 2131 HUNTINGTON, WV 25722	TRUSTEE 0.00	0.	0.	0.
GRANT MCGUIRE P.O. BOX 2131 HUNTINGTON, WV 25722	CHAIRMAN 0.00	0.	0.	0.
AARON PREECE P.O. BOX 2131 HUNTINGTON, WV 25722	TRUSTEE 0.00	0.	0.	0.
JIMELLE BOWEN P.O. BOX 2131 HUNTINGTON, WV 25722	EXECUTIVE DIRECTOR 30.00	84,500.	3,800.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		84,500.	3,800.	0.

THE JAMES H. AND ALICE TEUBERT [7840]
Depreciation Expense

11/7/2016
9:28:49AM

Financial

10/01/2015 - 09/30/2016

System No.	S	Description	Date In Service	Method / Conv.	Life	Cost / Other Basis	Bus./Inv. %	Sec. 179/ Bonus	Salvage/ Basis Adj.	Beg. Accum. Depreciation	Current Depreciation	Total Depreciation
990, Pg 10 #1 - Form 990, Page 10												
1		2 Woodmark Ch	9/8/1988	SL / N/A	10.0000	556.00	100.0000	0.00	0.00	556.00	0.00	556.00
2		NAT MR AIRY D	9/8/1988	SL / N/A	10.0000	789.00	100.0000	0.00	0.00	789.00	0.00	789.00
3		NAT MT AIRY C	9/8/1988	SL / N/A	10.0000	798.50	100.0000	0.00	0.00	798.50	0.00	798.50
5		CHAIR	9/22/1988	SL / N/A	10.0000	249.50	100.0000	0.00	0.00	249.50	0.00	249.50
6		FILE CABINET	9/1/1988	SL / N/A	10.0000	105.49	100.0000	0.00	0.00	105.49	0.00	105.49
7		CONFERENCE	10/7/1988	SL / N/A	10.0000	698.00	100.0000	0.00	0.00	698.00	0.00	698.00
8		8 CHAIRS	10/7/1988	SL / N/A	10.0000	1,680.00	100.0000	0.00	0.00	1,680.00	0.00	1,680.00
9		PICTURE	1/18/1988	SL / N/A	10.0000	71.02	100.0000	0.00	0.00	71.02	0.00	71.02
10		COMPUTER	3/12/1990	SL / N/A	10.0000	3,465.00	100.0000	0.00	0.00	3,465.00	0.00	3,465.00
11		SONY CAMCOF	5/14/1991	SL / N/A	10.0000	949.93	100.0000	0.00	0.00	949.93	0.00	949.93
12		MAGNOVOX 19	5/14/1991	SL / N/A	10.0000	204.99	100.0000	0.00	0.00	204.99	0.00	204.99
13		VCR MAGNOVC	5/14/1991	SL / N/A	10.0000	199.99	100.0000	0.00	0.00	199.99	0.00	199.99
14		SONY CASSET	5/8/1991	SL / N/A	10.0000	59.00	100.0000	0.00	0.00	59.00	0.00	59.00
15		FILE CABINET	2/5/1992	SL / N/A	10.0000	136.74	100.0000	0.00	0.00	136.74	0.00	136.74
16		FAX MACHINE	10/26/1995	SL / N/A	10.0000	465.00	100.0000	0.00	0.00	465.00	0.00	465.00
17		Computer	2/13/1997	SL / N/A	10.0000	2,063.00	100.0000	0.00	0.00	2,063.00	0.00	2,063.00
18		SCANNER	5/13/1999	SL / N/A	10.0000	70.00	100.0000	0.00	0.00	70.00	0.00	70.00
19		COMPUTER	2/8/2007	SL / N/A	10.0000	1,039.65	100.0000	0.00	0.00	901.07	103.97	1,005.04
Subtotal: 990, Pg 10 #1 - Form 990, Page 10						13,600.81		0.00	0.00	13,462.23	103.97	13,566.20
Less dispositions and exchanges:												
Net for: 990, Pg 10 #1 - Form 990, Page 10						13,600.81		0.00	0.00	13,462.23	103.97	13,566.20
Subtotal:												
Less dispositions and exchanges:						0.00		0.00	0.00	0.00	0.00	0.00
Grand Totals:						13,600.81		0.00	0.00	13,462.23	103.97	13,566.20



TEUBERT CHAR TR ACCT. V35558002
For the Period 10/1/15 to 9/30/16

TRADE ACTIVITY

Note: L Indicates Long Term Realized Gain/Loss

S Indicates Short Term Realized Gain/Loss

* Settled transaction was initiated in prior statement period and settled in current statement period

** Realized Gain/Loss and tax cost are shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the sale Please contact your J.P. Morgan team for additional information.

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
9/28	Sale		OMNICOM GROUP INC @ 65.143 130.28 BROKERAGE	(2,000)	65.125	130.25	(122.28)	7.97 L*
10/1	High Cost		0.03 UBS SECURITIES LLC (ID: 681919-10-6)					
9/29	Sale		OMNICOM GROUP INC @ 64.5258 129.05 BROKERAGE	(2,000)	64.51	129.02	(122.28)	6.74 L*
10/2	High Cost		0.03 JEFFERIES & COMPANY (ID: 681919-10-6)					

J.P.Morgan



TEUBERT CHAR TR ACCT V35558002
For the Period 10/1/15 to 9/30/16



27840738880310083641
27940730370010004041

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/29 10/2	Sale High Cost		OMNICOM GROUP INC @ 64.4747 128.94 BROKERAGE 0 03 STATE STREET GLOBAL MARKETS, LLC. (ID: 681919-10-6)	(2,000)	64.455	128.91	(122.26)	6.65 L*
9/29 10/2	Sale High Cost		OMNICOM GROUP INC @ 64.987 64.98 BROKERAGE 0 01 STATE STREET GLOBAL MARKETS, LLC. (ID: 681919-10-6)	(1,000)	64.97	64.97	(61.13)	3.84 L*
9/30 10/5	Sale High Cost		OMNICOM GROUP INC @ 65.6035 65.60 BROKERAGE 0 01 JEFFERIES & COMPANY (ID: 681919-10-6)	(1,000)	65.59	65.59	(61.13)	4.46 L*
9/30 10/5	Sale High Cost		OMNICOM GROUP INC @ 65.644 65.64 BROKERAGE 0.01 KNIGHT EQUITY MARKETS L.P (ID: 681919-10-6)	(1,000)	65.63	65.63	(61.13)	4.50 L*
9/30 10/5	Sale High Cost		FASTENAL CO @ 36.40 254.80 BROKERAGE 0.15 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 311900-10-4)	(7,000)	36.379	254.65	(315.01)	(60.36) L*
9/30 10/5	Sale High Cost		FASTENAL CO @ 36.7598 294.07 BROKERAGE 0.12 JEFFERIES & COMPANY (ID: 311900-10-4)	(8,000)	36.744	293.95	(360.02)	(66.07) L*
9/30 10/5	Sale High Cost		FASTENAL CO @ 36.3071 145.22 BROKERAGE 0.06 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 311900-10-4)	(4,000)	36.29	145.16	(180.01)	(34.85) L*
9/30 10/5	Sale High Cost		OMNICOM GROUP INC @ 65.8732 131.74 BROKERAGE 0.03 UBS SECURITIES LLC (ID: 681919-10-6)	(2,000)	65.855	131.71	(122.26)	9.45 L*
9/30 10/5	Sale High Cost		FASTENAL CO @ 36.4098 72.81 BROKERAGE 0.03 STATE STREET GLOBAL MARKETS, LLC (ID: 311900-10-4)	(2,000)	36.39	72.78	(90.00)	(17.22) L*
10/1 10/6	Sale High Cost		FASTENAL CO @ 36.0344 36.03 BROKERAGE 0.01 JEFFERIES & COMPANY (ID: 311900-10-4)	(1,000)	36.02	36.02	(45.00)	(8.98) L
10/1 10/6	Sale High Cost		OMNICOM GROUP INC @ 65.007 390.04 BROKERAGE 0.13 JEFFERIES & COMPANY (ID: 681919-10-6)	(6,000)	64.985	389.91	(365.14)	24.77 L

J.P.Morgan



TEUBERT CHAR TR ACCT: V35558002
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
10/1 10/6	Sale High Cost	FASTENAL CO @ 35.584 106 75 BROKERAGE 0.04 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 311900-10-4)	(3,000)	35.57	106 71	(133.48)	(9.43) L (17.34) S
10/1 10/6	Sale High Cost	FASTENAL CO @ 35.2827 35.26 BROKERAGE 0.01 UBS SECURITIES LLC (ID: 311900-10-4)	(1,000)	35.25	35.25	(44.24)	(8.99) S
10/1 10/6	Sale High Cost	OMNICOM GROUP INC @ 65.1883 130 37 BROKERAGE 0.03 JEFFERIES & COMPANY (ID: 681919-10-6)	(2,000)	65.17	130.34	(121.60)	8.74 L
10/2 10/7	Sale High Cost	FASTENAL CO @ 35.135 772.97 BROKERAGE 0.49 TAX &/OR SEC.01 MORGAN STANLEY & CO LLC (ID: 311900-10-4)	(22,000)	35.112	772.47	(973.29)	(200.82) S
10/2 10/7	Sale High Cost	FASTENAL CO @ 35.6622 106 98 BROKERAGE 0.06 MORGAN STANLEY & CO. LLC (ID: 311900-10-4)	(3,000)	35.64	106.92	(132.72)	(25.80) S
10/6 10/9	Sale High Cost	WILLIAMS SONOMA INC @ 76.0041 3,120.16 BROKERAGE 0.60 TAX &/OR SEC.05 JEFFERIES & COMPANY (ID: 969904-10-1)	(40,000)	77.988	3,119.51	(1,474.14)	1,645.37 L
10/26 10/26	Litigation Proc	CASH RECEIPT SECURITIES LITIGATION REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE CASH RECEIPT SECURITIES CLASS ACTION. DUE V35558002 TEUBERT CHAR TR PCIAA FUTURE DISBURSEMENTS MAY OCCUR, PENDING NOTICE FROM PAYING AGENT (ID: 999928-02-1)		41.04			41.04 L
10/23 10/28	Sale High Cost	ABBVIE INC @ 50.855 203 42 BROKERAGE 0.06 LIQUIDNET INC (ID: 00287Y-10-9)	(4,000)	50.84	203.36	(227.39)	(24.03) S
10/23 10/28	Sale High Cost	ABBVIE INC @ 51.3045 564 34 BROKERAGE 0.16 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID: 00287Y-10-9)	(11,000)	51.288	564.17	(625.32)	(61.15) S
10/23 10/28	Sale High Cost	ABBVIE INC @ 50.7786 1,218.68 BROKERAGE 0.36 TAX &/OR SEC.02 S G COWEN & CO (ID: 00287Y-10-9)	(24,000)	50.763	1,218.30	(1,313.57)	26.42 L (121.69) S

J.P.Morgan



TEUBERT CHAR TR ACCT. V35558002
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
11/3	Sale	High Cost	DR PEPPER SNAPPLE GROUP INC @ 88.4517 176.90	(2,000)	88.43	176.86	(157.70)	19.16 S
11/6			BROKERAGE 0.04 JEFFERIES & COMPANY (ID: 26138E-10-9)					
11/3	Sale	High Cost	DR PEPPER SNAPPLE GROUP INC @ 88.2427 88.24	(1,000)	88.22	88.22	(78.76)	9.46 S
11/6			BROKERAGE 0.02 JEFFERIES & COMPANY (ID: 26138E-10-9)					
11/3	Sale	High Cost	ALTRIA GROUP INC @ 58.6656 175.99 BROKERAGE	(3,000)	58.65	175.95	(149.37)	26.58 S
11/6			0.04 SJ LEVINSON & SONS LLC (ID: 02209S-10-3)					
11/3	Sale	High Cost	ALTRIA GROUP INC @ 58.1654 232.66 BROKERAGE	(4,000)	58.15	232.60	(199.16)	33.44 S
11/6			0.06 JEFFERIES & COMPANY (ID: 02209S-10-3)					
11/3	Sale	High Cost	ALTRIA GROUP INC @ 58.1224 58.12 BROKERAGE 0.01	(1,000)	58.11	58.11	(49.79)	8.32 S
11/6			UBS SECURITIES LLC (ID: 02209S-10-3)					
11/3	Sale	High Cost	ALTRIA GROUP INC @ 57.9002 888.50 BROKERAGE	(15,000)	57.877	868.16	(746.85)	121.31 S
11/6			0.33 TAX &/OR SEC. 01 JEFFERIES & COMPANY (ID: 02209S-10-3)					
11/3	Sale	High Cost	DR PEPPER SNAPPLE GROUP INC @ 88.2837 176.56	(2,000)	88.26	176.52	(157.47)	19.05 S
11/6			BROKERAGE 0.04 JEFFERIES & COMPANY (ID: 26138E-10-9)					
11/3	Sale	High Cost	HERSHEY CO/THE @ 88.0514 88.05 BROKERAGE 0.02	(1,000)	88.03	88.03	(95.67)	(7.64) S
11/6			JEFFERIES & COMPANY (ID: 427866-10-8)					
11/3	Sale	High Cost	HERSHEY CO/THE @ 88.0247 352.09 BROKERAGE 0.09	(4,000)	88.00	352.00	(382.69)	(30.69) S
11/6			JEFFERIES & COMPANY (ID: 427866-10-8)					
11/3	Sale	High Cost	HERSHEY CO/THE @ 88.0319 88.03 BROKERAGE 0.01	(1,000)	88.02	88.02	(93.66)	(5.64) L
11/6			UBS SECURITIES LLC (ID: 427866-10-8)					
11/3	Sale	High Cost	ALTRIA GROUP INC @ 58.2039 58.20 BROKERAGE 0.01	(1,000)	58.19	58.19	(49.79)	8.40 S
11/6			JEFFERIES & COMPANY (ID: 02209S-10-3)					
11/3	Sale	High Cost	HSBC FDS TOTAL RETURN I (ID: 40428X-15-6)	(22,723,577)	10.22	232,234.96	(223,600.00)	8,634.96 L
11/6								

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
11/4	Sale		HERSHEY CO/THE @ 87.6561 262.96 BROKERAGE 0.06	(3.000)	87.633	262.90	(280.98)	(18.08) L
11/9	High Cost		JEFFERIES & COMPANY (ID: 427866-10-8)					
11/4	Sale		TIME WARNER CABLE @ 188.8962 944.48 BROKERAGE	(5.000)	188.872	944.36	(403.55)	540.81 L
11/9	High Cost		0.11 TAX &/OR SEC .01 GUGGENHEIM SECURITIES LLC (ID: 88732J-20-7)					
11/4	Sale		TIME WARNER CABLE @ 189.01 189.01 BROKERAGE	(1.000)	189.00	189.00	(80.71)	108.29 L
11/9	High Cost		0.01 STATE STREET GLOBAL MARKETS, LLC. (ID: 88732J-20-7)					
11/5	Sale		HERSHEY CO/THE @ 87.8363 87.83 BROKERAGE 0.02	(1.000)	87.81	87.81	(93.66)	(5.85) L
11/10	High Cost		JEFFERIES & COMPANY (ID: 427866-10-8)					
11/5	Sale		TIME WARNER CABLE @ 187.5498 562.64 BROKERAGE	(3.000)	187.53	562.59	(242.13)	320.46 L
11/10	High Cost		0.04 TAX &/OR SEC .01 JEFFERIES & COMPANY (ID: 88732J-20-7)					
11/5	Sale		HERSHEY CO/THE @ 87.9146 263.74 BROKERAGE 0.04	(3.000)	87.90	263.70	(280.99)	(17.29) L
11/10	High Cost		UBS SECURITIES LLC (ID: 427866-10-8)					
11/5	Sale		TIME WARNER CABLE @ 188.0253 188.02 BROKERAGE	(1.000)	188.01	188.01	(80.71)	107.30 L
11/10	High Cost		0.01 KNIGHT EQUITY MARKETS L.P. (ID: 88732J-20-7)					
11/5	Sale		TIME WARNER CABLE @ 188.3214 188.32 BROKERAGE	(1.000)	188.30	188.30	(80.71)	107.59 L
11/10	High Cost		0.02 GUGGENHEIM SECURITIES LLC (ID: 88732J-20-7)					
11/6	Sale		TIME WARNER CABLE @ 188.2221 188.22 BROKERAGE	(1.000)	188.21	188.21	(80.71)	107.50 L
11/12	High Cost		0.01 GOLDMAN SACHS & CO. (ID: 88732J-20-7)					
11/6	Sale		HERSHEY CO/THE @ 86.5457 342.18 BROKERAGE 0.05	(4.000)	85.533	342.13	(373.31)	(31.18) L
11/12	High Cost		BLOOMBERG TRADEBOOK LLC (ID: 427866-10-8)					
11/6	Sale		L BRANDS INC @ 96.8948 193.78 BROKERAGE 0.03	(2.000)	96.875	193.75	(168.80)	24.95 S
11/12	High Cost		GOLDMAN SACHS & CO. (ID: 501797-10-4)					

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
11/6	Sale	High Cost	TIME WARNER CABLE @ 187.7128 563 13 BROKERAGE 0.04 TAX &/OR SEC.01 JEFFERIES & COMPANY (ID: 88732J-20-7)	(3,000)	187.693	563.08	(242.13)	320.95 L
11/12	Sale	High Cost	TIME WARNER CABLE @ 187.6754 563.02 BROKERAGE 0.06 TAX &/OR SEC.01 MORGAN STANLEY & CO LLC (ID 88732J-20-7)	(3,000)	187.65	562.95	(242.12)	320.83 L
11/6	Sale	High Cost	ACCENTURE PLC-CL A @ 105.8845 317.65 BROKERAGE 0.06 CREDIT SUISSE FIRST BOSTON LLC (ID: G1151C-10-1)	(3,000)	105.863	317.59	(210.29)	107.30 L
11/12	Sale	High Cost	ACCENTURE PLC-CL A @ 105.9184 741.42 BROKERAGE 0.15 TAX &/OR SEC.01 CREDIT SUISSE FIRST BOSTON LLC (ID: G1151C-10-1)	(7,000)	105.894	741.26	(490.69)	250.57 L
11/6	Sale	High Cost	ACCENTURE PLC-CL A @ 105.80 105.80 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC (ID: G1151C-10-1)	(1,000)	105.79	105.79	(70.10)	35.69 L
11/12	Sale	High Cost	L BRANDS INC @ 96.8933 484.46 BROKERAGE 0.07 UBS SECURITIES LLC (ID: 501797-10-4)	(5,000)	96.878	484.39	(422.00)	62.39 S
11/6	Sale	High Cost	L BRANDS INC @ 98.1708 196.34 BROKERAGE 0.03 STATE STREET GLOBAL MARKETS, LLC. (ID: 501797-10-4)	(2,000)	98.155	196.31	(168.61)	27.70 S
11/12	Sale	High Cost	L BRANDS INC @ 93.3877 2,428.08 BROKERAGE 0.58 TAX &/OR SEC.04 JEFFERIES & COMPANY (ID 501797-10-4)	(26,000)	93.364	2,427.46	(1,830.39)	417.64 L 179.43 S
11/6	Sale	High Cost	ACCENTURE PLC-CL A @ 104.9859 314.95 BROKERAGE 0.06 CREDIT SUISSE FIRST BOSTON LLC (ID: G1151C-10-1)	(3,000)	104.963	314.89	(193.56)	121.33 L
11/12	Sale	High Cost	L BRANDS INC @ 93.705 93.70 BROKERAGE 0.01 LIQUIDNET INC (ID 501797-10-4)	(1,000)	93.69	93.69	(48.56)	45.13 L

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
11/13	Sale	High Cost	HOME DEPOT INC @ 120.02 600.10 BROKERAGE 0.07	(5.000)	120.004	600.02	(496.90)	103.12 S
11/18			TAX &/OR SEC. 01 UBS SECURITIES LLC (ID: 437076-10-2)					
11/13	Sale	High Cost	EXXON MOBIL CORP @ 78.2199 1,407.95 BROKERAGE 0.40 TAX &/OR SEC. 02 MORGAN STANLEY & CO. LLC (ID: 30231G-10-2)	(18.000)	78.196	1,407.53	(1,665.67)	(58.42) L (199.72) S
11/13	Sale	High Cost	EXXON MOBIL CORP @ 78.037 546.25 BROKERAGE 0.15 TAX &/OR SEC. 01 DEUTSCHE BANK ALEX BROWN INC (ID: 30231G-10-2)	(7.000)	78.013	546.09	(645.23)	(99.14) S
11/13	Sale	High Cost	HOME DEPOT INC @ 120.4212 602.10 BROKERAGE 0.11 TAX &/OR SEC. 01 JEFFERIES & COMPANY (ID: 437076-10-2)	(5.000)	120.396	601.98	(491.66)	110.32 S
11/16	Sale	High Cost	HOME DEPOT INC @ 119.3167 119.31 BROKERAGE 0.01 LIQUIDNET INC (ID: 437076-10-2)	(1.000)	119.30	119.30	(98.33)	20.97 S
11/16	Sale	High Cost	HOME DEPOT INC @ 119.2981 357.89 BROKERAGE 0.04 JEFFERIES & COMPANY (ID: 437076-10-2)	(3.000)	119.283	357.85	(295.00)	62.85 S
11/16	Sale	High Cost	HOME DEPOT INC @ 119.3462 119.34 BROKERAGE 0.01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 437076-10-2)	(1.000)	119.33	119.33	(98.33)	21.00 S
11/16	Sale	High Cost	HOME DEPOT INC @ 119.6958 359.08 BROKERAGE 0.04 UBS SECURITIES LLC (ID: 437076-10-2)	(3.000)	119.68	359.04	(293.77)	22.57 L 42.70 S
11/16	Sale	High Cost	HOME DEPOT INC @ 120.3299 481.31 BROKERAGE 0.09 GUGGENHEIM SECURITIES LLC (ID: 437076-10-2)	(4.000)	120.305	481.22	(388.46)	92.76 L
11/16	Sale	High Cost	HOME DEPOT INC @ 119.4368 238.87 BROKERAGE 0.03 S G COWEN & CO (ID: 437076-10-2)	(2.000)	119.42	238.84	(194.23)	44.61 L
11/16	Sale	High Cost	HOME DEPOT INC @ 120.789 120.78 BROKERAGE 0.01 JEFFERIES & COMPANY (ID: 437076-10-2)	(1.000)	120.77	120.77	(97.11)	23.66 L

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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
11/16	Sale	HOME DEPOT INC @ 120.896 120.89 BROKERAGE 0.01	(1 000)	120.88	120.88	(97.11)	23.77 L
11/19	High Cost	UBS SECURITIES LLC (ID: 437076-10-2)					
11/17	Sale	HOME DEPOT INC @ 123.8841 371.65 BROKERAGE 0.04	(3 000)	123.87	371.61	(291.34)	80.27 L
11/20	High Cost	UBS SECURITIES LLC (ID: 437076-10-2)					
11/23	LT Capital Gain Distribution	ARTISAN INTL VALUE FD-ADV 11/19/15 LONG TERM CAPITAL GAINS @ 1.682 PER SHARE AS OF 11/19/15 (ID: 04314H-66-7)	26,758 097	1.599	42,791.65		
11/18	Sale	NORFOLK SOUTHERN CORP @ 92.4613 369.84 BROKERAGE 0.06 JEFFERIES & COMPANY (ID: 655844-10-8)	(4,000)	92.445	369.78	(373.70)	(3.92) L
11/23	High Cost						
11/18	Sale	AIRGAS INC @ 138.5649 2,078.47 BROKERAGE 0.33 TAX &/OR SEC .03 DEUTSCHE BANC ALEX BROWN INC (ID: 009363-10-2)	(15,000)	138.541	2,078.11	(1,683.38)	88.89 L 305.84 S
11/23	High Cost						
11/18	Sale	NORFOLK SOUTHERN CORP @ 92.3172 1,107.80 BROKERAGE 0.27 TAX &/OR SEC .02 S G COWEN & CO (ID: 655844-10-8)	(12,000)	92.293	1,107.51	(1,106.48)	1.03 L
11/23	High Cost						
11/25	LT Capital Gain Distribution	PARNASSUS CORE EQUITY FD-INS 11/24/15 LONG TERM CAPITAL GAINS @ 2.755 PER SHARE AS OF 11/24/15 (ID: 701769-40-8)	29,458.224	2.57	75,720.83		
11/30	Sale	DUNKIN' BRANDS GROUP INC @ 42.4549 42.45 BROKERAGE 0.02 JEFFERIES & COMPANY (ID: 265504-10-0)	(1 000)	42.43	42.43	(43.85)	(1.42) L
12/3	High Cost						
11/30	Sale	DUNKIN' BRANDS GROUP INC @ 42.4802 127.44 BROKERAGE 0.04 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 265504-10-0)	(3,000)	42.467	127.40	(131.53)	(4.13) L
12/3	High Cost						
12/1	Sale	KINDER MORGAN INC @ 22.4079 1,097.98 BROKERAGE 0.73 TAX &/OR SEC 02 ABEL NOSER CORP (ID: 49456B-10-1)	(49 000)	22.392	1,097.23	(1,757.35)	(660.12) L
12/4	High Cost						

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Settled Sales/Maturities/Redemptions									
12/1 12/4	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 41.8334 83.66 BROKERAGE 0.03 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 265504-10-0)	(2.000)	41.815	83.63	(87.39)	(3.76) L
12/2 12/7	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 41.6984 83.39 BROKERAGE 0.03 UBS SECURITIES LLC (ID: 265504-10-0)	(2.000)	41.68	83.36	(87.39)	(4.03) L
12/2 12/7	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 41.6984 208.49 BROKERAGE 0.07 UBS SECURITIES LLC (ID: 265504-10-0)	(5.000)	41.684	208.42	(217.11)	(5.92) L (2.77) S
12/2 12/7	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 41.6846 41.68 BROKERAGE 0.01 KNIGHT EQUITY MARKETS L.P (ID: 265504-10-0)	(1.000)	41.67	41.67	(43.07)	(1.40) S
12/2 12/7	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 41.6846 41.68 BROKERAGE 0.01 KNIGHT EQUITY MARKETS L.P (ID: 265504-10-0)	(1.000)	41.67	41.67	(43.07)	(1.40) S
12/3 12/8	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 41.0257 41.02 BROKERAGE 0.01 UBS SECURITIES LLC (ID: 265504-10-0)	(1.000)	41.01	41.01	(43.07)	(2.06) S
12/3 12/8	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 41.0257 123.07 BROKERAGE 0.04 UBS SECURITIES LLC (ID: 265504-10-0)	(3.000)	41.01	123.03	(129.22)	(6.19) S
12/3 12/8	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 41.3021 41.30 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC. (ID: 265504-10-0)	(1.000)	41.29	41.29	(43.08)	(1.79) S
12/3 12/8	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 41.3021 41.30 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC (ID: 265504-10-0)	(1.000)	41.29	41.29	(43.07)	(1.78) S

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Settled Sales/Maturities/Redemptions								
12/4 12/9	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 41.1895 41.18 BROKERAGE 0.01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 265504-10-0)	(1 000)	41.17	41 17	(43.08)	(1.91) S
12/7 12/10	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 41.5787 41.57 BROKERAGE 0.01 BLOOMBERG TRADEBOOK LLC (ID: 265504-10-0)	(1,000)	41.56	41.56	(43.07)	(1.51) S
12/7 12/10	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 41.9518 41.95 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID: 265504-10-0)	(1,000)	41.94	41 94	(42.69)	(0.75) S
12/7 12/10	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 41.9518 41.95 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID: 265504-10-0)	(1,000)	41.94	41.94	(42.57)	(0.63) S
12/7 12/10	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 41.94 83.88 BROKERAGE 0.03 UBS SECURITIES LLC (ID: 265504-10-0)	(2,000)	41 925	83.85	(84.97)	(1 12) S
12/7 12/10	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 41.94 167.76 BROKERAGE 0.06 UBS SECURITIES LLC (ID: 265504-10-0)	(4,000)	41,925	167 70	(169.54)	(1.84) S
12/8 12/11	Sale High Cost		KINDER MORGAN INC @ 15.376 215.26 BROKERAGE 0.31 BMO NESBITT BURNS CORP (ID: 49456B-10-1)	(14,000)	15 354	214 95	(483.33)	(268.38) L
12/8 12/11	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 42.4515 42.45 BROKERAGE 0.01 UBS SECURITIES LLC (ID: 265504-10-0)	(1,000)	42 44	42.44	(42.31)	0.13 S
12/8 12/11	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 42.4515 84.90 BROKERAGE 0.03 UBS SECURITIES LLC (ID: 265504-10-0)	(2 000)	42 435	84.87	(84.62)	0.25 S
12/8 12/11	Sale High Cost		KINDER MORGAN INC @ 15.5145 62.05 BROKERAGE 0.06 UBS SECURITIES LLC (ID: 49456B-10-1)	(4 000)	15 498	61 99	(138.09)	(76.10) L

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Settled Sales/Maturities/Redemptions									
12/8 12/11	Sale High Cost			KINDER MORGAN INC @ 15 5307 108.71 BROKERAGE 0.10 SJ LEVINSON & SONS LLC (ID: 49456B-10-1)	(7.000)	15 516	108.61	(241.66)	(133.05) L
12/8 12/11	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 42.665 42.66 BROKERAGE 0.01 LIQUIDNET INC (ID: 265504-10-0)	(1.000)	42.65	42.65	(42.31)	0.34 S
12/8 12/11	Sale High Cost			KINDER MORGAN INC @ 15 6295 109.40 BROKERAGE 0.10 DEUTSCHE BANC ALEX BROWN INC (ID: 49456B-10-1)	(7.000)	15 614	109.30	(241.67)	(132.37) L
12/8 12/11	Sale High Cost			KINDER MORGAN INC @ 15 7202 62.88 BROKERAGE 0.06 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 49456B-10-1)	(4.000)	15.705	62.82	(138.09)	(75.27) L
12/8 12/11	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 42.3819 127.14 BROKERAGE 0.06 DEUTSCHE BANC ALEX BROWN INC (ID: 265504-10-0)	(3.000)	42.36	127.08	(126.94)	0.14 S
12/8 12/11	Sale High Cost			DUNKIN' BRANDS GROUP INC @ 42.3819 254.29 BROKERAGE 0.13 DEUTSCHE BANC ALEX BROWN INC (ID: 265504-10-0)	(6.000)	42.36	254.16	(228.12)	24.96 L 1.08 S
12/11 12/14	Sale High Cost			EATON VANCE GLOBAL MACRO-I (ID: 277923-72-8)	(47,164.729)	9.15	431,557.27	(440,518.57)	(8,961.30) S
12/10 12/15	Sale High Cost			DU PONT (E.I.) DE NEMOURS @ 72.8107 582.48 BROKERAGE 0.12 TAX & OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 263534-10-8)	(8.000)	72.794	582.35	(567.55)	14.80 S
12/15 12/18	Sale High Cost			WYNDHAM WORLDWIDE CORP @ 72.8384 218.51 BROKERAGE 0.06 GOLDMAN SACHS & CO. (ID: 98310W-10-8)	(3.000)	72.817	218.45	(274.25)	(55.80) S
12/15 12/18	Sale High Cost			WYNDHAM WORLDWIDE CORP @ 72.775 218.32 BROKERAGE 0.04 STATE STREET GLOBAL MARKETS, LLC. (ID: 98310W-10-8)	(3.000)	72.76	218.28	(274.25)	(55.97) S

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Settle Date								
Settled Sales/Maturities/Redemptions								
12/15 12/18	Sale High Cost		ACCENTURE PLC-CL A @ 106.9347 748.54 BROKERAGE 0.15 TAX &/OR SEC.01 BAIRD (ROBERT W.) & CO. INCORPORAT (ID: G1151C-10-1)	(7,000)	106.911	748.38	(451.64)	296.74 L
12/18 12/18	LT Capital Gain Distribution		GOTHAM ABSOLUTE RETURN-INS 12/17/15 LONG TERM CAPITAL GAINS @ 0.167 PER SHARE AS OF 12/17/15 (ID: 360873-13-7)	25,686.805	0.165	4,235.31		
12/18 12/18	LT Capital Gain Distribution		PRIMECAP ODYSSEY STOCK FD 12/16/15 LONG TERM CAPITAL GAINS @ 0.197 PER SHARE AS OF 12/16/15 (ID: 74160Q-30-1)	60,043.909	0.196	11,742.88		
12/16 12/21	Sale High Cost		WYNDHAM WORLDWIDE CORP @ 73.0181 145.03 BROKERAGE 0.04 GOLDMAN SACHS & CO (ID: 98310W-10-8)	(2,000)	72.995	145.99	(180.32)	(34.33) S
12/16 12/21	Sale High Cost		WYNDHAM WORLDWIDE CORP @ 74.3622 148.72 BROKERAGE 0.03 JEFFERIES & COMPANY (ID: 98310W-10-8)	(2,000)	74.345	148.69	(180.32)	(31.63) S
12/16 12/21	Sale High Cost		ACCENTURE PLC-CL A @ 107.3905 107.39 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID: G1151C-10-1)	(1,000)	107.38	107.38	(64.52)	42.86 L
12/16 12/21	Sale High Cost		ACCENTURE PLC-CL A @ 107.3623 107.36 BROKERAGE 0.01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: G1151C-10-1)	(1,000)	107.35	107.35	(64.52)	42.83 L
12/16 12/21	Sale High Cost		ACCENTURE PLC-CL A @ 107.1064 214.21 BROKERAGE 0.03 STATE STREET GLOBAL MARKETS, LLC. (ID: G1151C-10-1)	(2,000)	107.09	214.18	(129.04)	85.14 L
12/17 12/22	Sale High Cost		WYNDHAM WORLDWIDE CORP @ 72.9746 145.94 BROKERAGE 0.03 JEFFERIES & COMPANY (ID: 98310W-10-8)	(2,000)	72.955	145.91	(180.32)	(34.41) S
12/17 12/22	Sale High Cost		WYNDHAM WORLDWIDE CORP @ 72.6894 72.68 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC (ID: 98310W-10-8)	(1,000)	72.67	72.67	(90.16)	(17.49) S

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Settle Date								
Settled Sales/Maturities/Redemptions								
12/22	LT Capital Gain Distribution		FMI LARGE CAP FD 12/18/15 LONG TERM CAPITAL GAINS @ 1 507 PER SHARE AS OF 12/18/15 (ID: 302933-20-5)	27,948.707	1.523	42,566.86		
12/18	Sale High Cost		WYNDHAM WORLDWIDE CORP @ 71.4764 71 47 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC (ID: 98310W-10-8)	(1,000)	71.46	71.46	(90.16)	(18.70) S
12/23	LT Capital Gain Distribution		BROWN ADV JAPAN ALPHA OPP-15 12/21/15 LONG TERM CAPITAL GAINS @ 0.020 PER SHARE AS OF 12/21/15 (ID: 115233-57-9)	34,991.864	0.019	671.82		
12/21	Sale High Cost		WYNDHAM WORLDWIDE CORP @ 70.945 141.89 BROKERAGE 0.03 UBS SECURITIES LLC (ID: 98310W-10-8)	(2,000)	70.93	141.86	(179.06)	(37.20) S
12/21	Sale High Cost		WYNDHAM WORLDWIDE CORP @ 70.8975 70 89 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC (ID: 98310W-10-8)	(1,000)	70.88	70.88	(74.39)	(3.51) L
12/29	LT Capital Gain Distribution		DEUTSCHE X-TRACKERS MSCI EAF LONG TERM CAPITAL GAINS @ 0 08108 (ID: 233051-20-0)	26,200.000	0.081	2,124.30		
12/31	LT Capital Gain Distribution		ASTON/FAIRPOINTE MID CAP-1 12/30/15 LONG TERM CAPITAL GAINS @ 2.048 PER SHARE AS OF 12/30/15 (ID: 00078H-15-8)	16,345.769	2.048	33,472.87		
1/13	Sale High Cost		CONOCOPHILLIPS @ 39 361 1,692.52 BROKERAGE 0 64 TAX &/OR SEC .03 S G COWEN & CO (ID: 20825C-10-4)	(43,000)	39.345	1,691.85	(2,520.29)	(828.44) L
1/20	Sale High Cost		AIRGAS INC @ 138 3678 1,245.31 BROKERAGE 0.13 TAX &/OR SEC .02 RBC CAPITAL MARKETS CORPORATION (ID: 009363-10-2)	(9,000)	138.351	1,245.16	(966.21)	278.95 L
1/21	Sale High Cost		AIRGAS INC @ 138 3448 138 34 BROKERAGE 0.01 DEUTSCHE BANC ALEX BROWN INC (ID: 009363-10-2)	(1,000)	138.33	138.33	(105.98)	32.35 L

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
1/28 2/2	Sale High Cost		COCA-COLA CO/THE @ 42.353 1,609.41 BROKERAGE 0.85 TAX &/OR SEC.02 JEFFERIES & COMPANY (ID: 191216-10-0)	(38,000)	42.33	1,608.54	(1,380.16)	228.38 L
1/28 2/2	Sale High Cost		COCA-COLA CO/THE @ 42.6008 639.01 BROKERAGE 0.33 TAX &/OR SEC.01 JEFFERIES & COMPANY (ID: 191216-10-0)	(15,000)	42.578	638.67	(544.80)	93.87 L
2/1 2/2	Sale High Cost		HERSHEY CO/THE @ 89.2723 178.54 BROKERAGE 0.03 WEEDEN AND CO (ID: 427866-10-8)	(2,000)	89.255	178.51	(184.65)	(6.14) L
1/29 2/3	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 39.0813 1,094.27 BROKERAGE 0.62 TAX &/OR SEC.02 JEFFERIES & COMPANY (ID: 265504-10-0)	(28,000)	39.058	1,093.63	(836.64)	256.99 L
1/29 2/3	Sale High Cost		HERSHEY CO/THE @ 87.249 610.74 BROKERAGE 0.15 TAX &/OR SEC.01 BARCLAYS CAPITAL LE (ID: 427866-10-8)	(7,000)	87.226	610.58	(489.00)	121.58 L
1/29 2/3	Sale High Cost		HERSHEY CO/THE @ 88.0757 352.30 BROKERAGE 0.09 BARCLAYS CAPITAL LE (ID: 427866-10-8)	(4,000)	88.053	352.21	(243.48)	108.73 L
2/1 2/4	Sale High Cost		HERSHEY CO/THE @ 89.372 178.74 BROKERAGE 0.03 INSTINET (ID: 427866-10-8)	(2,000)	89.355	178.71	(121.74)	56.97 L
2/1 2/4	Sale High Cost		HERSHEY CO/THE @ 89.9453 89.94 BROKERAGE 0.01 BLOOMBERG TRADEBOOK LLC (ID: 427866-10-8)	(1,000)	89.93	89.93	(60.87)	29.06 L
2/2 2/5	Sale High Cost		TIME WARNER INC @ 71.0108 1,349.20 BROKERAGE 0.42 TAX &/OR SEC.02 JEFFERIES & COMPANY (ID: 887317-30-3)	(19,000)	70.987	1,348.76	(1,509.78)	(13.57) L (147.45) S
2/3 2/8	Sale High Cost		ABBVIE INC @ 54.9185 1,263.12 BROKERAGE 0.51 TAX &/OR SEC.02 JEFFERIES & COMPANY (ID: 00287Y-10-9)	(23,000)	54.895	1,262.59	(978.32)	284.27 L
2/3 2/8	Sale High Cost		TIME WARNER INC @ 70.403 352.01 BROKERAGE 0.11 JEFFERIES & COMPANY (ID: 887317-30-3)	(5,000)	70.38	351.90	(377.44)	(25.54) L

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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/3	Sale	ABBVIE INC @ 54 9567 54 95 BROKERAGE 0.01	(1.000)	54.94	(31.72)		23.22 L
2/8	High Cost	DEUTSCHE BANC ALEX BROWN INC (ID: 00287Y-10-9)					
2/4	Sale	CME GROUP INC @ 87.7433 87.74 BROKERAGE 0.01	(1.000)	87.73	(89.03)		(1.30) L
2/9	High Cost	UBS SECURITIES LLC (ID: 12572Q-10-5)					
2/4	Sale	CME GROUP INC @ 86.0918 86.09 BROKERAGE 0.01	(1.000)	86.08	(89.03)		(2.95) L
2/9	High Cost	JEFFERIES & COMPANY (ID: 12572Q-10-5)					
2/4	Sale	MARATHON PETROLEUM CORP @ 36.8259 879.02	(24.000)	36.503	(1,283.36)		(404.89) S
2/9	High Cost	BROKERAGE 0.54 TAX & OR SEC 01 UBS SECURITIES LLC (ID: 56585A-10-2)					
2/4	Sale	MARATHON PETROLEUM CORP @ 37.255 74.51	(2.000)	37.24	(87.14)		3.33 L
2/9	High Cost	BROKERAGE 0.03 LIQUIDNET INC (ID: 56585A-10-2)					(15.99) S
2/4	Sale	CME GROUP INC @ 86.2144 86.21 BROKERAGE 0.01	(1.000)	86.20	(89.02)		(2.82) L
2/9	High Cost	JEFFERIES & COMPANY (ID: 12572Q-10-5)					
2/4	Sale	CME GROUP INC @ 85.8628 171.72 BROKERAGE 0.03	(2.000)	85.845	(178.05)		(6.36) L
2/9	High Cost	MERRILL LYNCH PIERCE FENNER & SMIT (ID: 12572Q-10-5)					
2/4	Sale	CME GROUP INC @ 85.9678 429.83 BROKERAGE 0.11	(5.000)	85.944	(437.39)		(7.67) L
2/9	High Cost	UBS SECURITIES LLC (ID: 12572Q-10-5)					
2/4	Sale	CME GROUP INC @ 85.8444 85.84 BROKERAGE 0.01	(1.000)	85.83	(81.29)		4.54 L
2/9	High Cost	MERRILL LYNCH PIERCE FENNER & SMIT (ID: 12572Q-10-5)					
2/5	Sale	MARATHON PETROLEUM CORP @ 33.5731 235.01	(7.000)	33.559	(237.36)		(2.45) L
2/10	High Cost	BROKERAGE 0.10 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 56585A-10-2)					
2/5	Sale	MARATHON PETROLEUM CORP @ 33.7972 67.59	(2.000)	33.78	(67.82)		(0.26) L
2/10	High Cost	BROKERAGE 0.03 UBS SECURITIES LLC (ID: 56585A-10-2)					

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/5 2/10	Sale High Cost		MARATHON PETROLEUM CORP @ 33.4292 668.58 BROKERAGE 0.44 TAX &/OR SEC .01 UBS SECURITIES LLC (ID: 56585A-10-2)	(20,000)	33.407	668.13	(678.16)	(10.03) L
2/5 2/10	Sale High Cost		MARATHON PETROLEUM CORP @ 34.065 34.06 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC (ID: 56585A-10-2)	(1,000)	34.05	34.05	(33.91)	0.14 L
2/8 2/11	Sale High Cost		MARATHON PETROLEUM CORP @ 29.785 29.78 BROKERAGE 0.01 LIQUIDNET INC (ID: 56585A-10-2)	(1,000)	29.77	29.77	(33.91)	(4.14) L
2/8 2/11	Sale High Cost		MARATHON PETROLEUM CORP @ 30.1198 150.59 BROKERAGE 0.11 CRT CAPITAL GROUP LLC (ID: 56585A-10-2)	(5,000)	30.096	150.48	(169.54)	(19.06) L
2/8 2/11	Sale High Cost		MARATHON PETROLEUM CORP @ 30.5056 1,159.21 BROKERAGE 0.85 TAX &/OR SEC 02 CRT CAPITAL GROUP LLC (ID: 56585A-10-2)	(38,000)	30.483	1,158.34	(1,288.51)	(130.17) L
2/8 2/11	Sale High Cost		MARATHON PETROLEUM CORP @ 30.7541 184.52 BROKERAGE 0.09 CITIGROUP GLOBAL MKTS INC (ID: 56585A-10-2)	(6,000)	30.738	184.43	(203.45)	(19.02) L
2/8 2/11	Sale High Cost		MARATHON PETROLEUM CORP @ 30.7001 30.70 BROKERAGE 0.01 INSTINET (ID: 56585A-10-2)	(1,000)	30.69	30.69	(33.91)	(3.22) L
2/8 2/11	Sale High Cost		MARATHON PETROLEUM CORP @ 30.2053 90.61 BROKERAGE 0.04 BLOOMBERG TRADEBOOK LLC (ID: 56585A-10-2)	(3,000)	30.19	90.57	(101.72)	(11.15) L
2/9 2/12	Sale High Cost		MARATHON PETROLEUM CORP @ 30.0149 330.16 BROKERAGE 0.24 GUGGENHEIM SECURITIES LLC (ID: 56585A-10-2)	(11,000)	29.993	329.92	(372.99)	(43.07) L
2/12 2/16	Sale High Cost		GOTHAM ABSOLUTE RETURN-INS (ID: 360873-13-7)	(25,686,805)	11.79	302,847.43	(333,134.27)	(26,424.76) L (3,862.08) S

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/10 2/16	Sale High Cost		UNITED PARCEL SERVICE-CL B @ 97.7634 1,466.45 BROKERAGE 0.22 TAX &/OR SEC .03 RBC CAPITAL MARKETS CORPORATION (ID: 911312-10-6)	(15,000)	97.747	1,466.20	(1,277.92)	188.28 L
2/11 2/17	Sale High Cost		AIRGAS INC @ 140.0697 140.06 BROKERAGE 0.01 UBS SECURITIES LLC (ID: 009363-10-2)	(1,000)	140.05	140.05	(105.93)	34.12 L
2/11 2/17	Sale High Cost		AIRGAS INC @ 140.0006 560.00 BROKERAGE 0.06 TAX &/OR SEC .01 JEFFERIES & COMPANY (ID: 009363-10-2)	(4,000)	139.983	559.93	(423.47)	136.46 L
2/12 2/18	Sale High Cost		AIRGAS INC @ 140.0399 980.27 BROKERAGE 0.10 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: 009363-10-2)	(7,000)	140.021	980.15	(740.60)	239.55 L
2/12 2/18	Sale High Cost		SPDR S&P 500 ETF TRUST @ 186.6377 375,328.41 BROKERAGE 30.16 TAX &/OR SEC 8.18 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 78462F-10-3)	(2,011,000)	186.619	375,290.07	(385,193.17)	(9,903.10) L
2/23 2/26	Sale High Cost		HERSHEY CO/THE @ 90.205 270.61 BROKERAGE 0.04 LIQUIDNET INC (ID: 427866-10-8)	(3,000)	90.19	270.57	(182.61)	87.96 L
2/23 2/26	Sale High Cost		HERSHEY CO/THE @ 89.898 449.49 BROKERAGE 0.11 JEFFERIES & COMPANY (ID: 427866-10-8)	(5,000)	89.876	449.38	(304.35)	145.03 L
2/23 2/26	Sale High Cost		UNITED TECHNOLOGIES CORP @ 90.8852 1,363.27 BROKERAGE 0.33 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: 913017-10-9)	(15,000)	90.861	1,362.92	(1,501.85)	(141.64) L 2.71 S
2/24 2/29	Sale High Cost		HERSHEY CO/THE @ 90.0852 450.42 BROKERAGE 0.11 JEFFERIES & COMPANY (ID: 427866-10-8)	(5,000)	90.062	450.31	(304.35)	145.96 L
2/25 3/1	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 45.9497 1,378.49 BROKERAGE 0.67 TAX &/OR SEC .03 JEFFERIES & COMPANY (ID: 265504-10-0)	(30,000)	45.926	1,377.79	(896.39)	481.40 L
2/25 3/1	Sale High Cost		DUNKIN' BRANDS GROUP INC @ 45.7729 228.86 BROKERAGE 0.07 LIQUIDNET INC (ID: 265504-10-0)	(5,000)	45.758	228.79	(149.40)	79.39 L

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/26	Sale		UNITED TECHNOLOGIES CORP @ 99.0448 990 44	(10 000)	99.027	990 27	(974 67)	17.61 L
3/2	High Cost		BROKERAGE 0.15 TAX &/OR SEC 02 UBS SECURITIES LLC (ID: 913017-10-9)					97 99 S
3/23	Sale		ASTON/FAIRPOINTE MID CAP-I (ID: 00078H-15-8)	(5,384,199)	35 68	192,108 23	(175,187 66)	16,920.57 L
3/24	High Cost							
3/31	Sale		T ROWE PRICE OVERSEAS STOCK (ID: 77956H-75-7)	(7,595,784)	8.87	67,374.60	(72,691.65)	(5,317 05) L
4/1	High Cost							
3/30	Sale		CALIFORNIA RESOURCES CORP @ 1.1358 5.67	(5,000)	1 124	5.62	N/A **	N/A
4/4	High Cost		BROKERAGE 0.05 KNIGHT EQUITY MARKETS L.P. (ID: 13057Q-10-7)					
3/30	Sale		CALIFORNIA RESOURCES CORP @ 1.1432 16.00	(14,000)	1.133	15.86	N/A **	N/A
4/4	High Cost		BROKERAGE 0.14 KNIGHT EQUITY MARKETS L.P. (ID: 13057Q-10-7)					
3/30	Sale		HERSHEY CO/THE @ 91 9244 459.62 BROKERAGE 0.07	(5,000)	91.908	459.54	(304.35)	155 19 L
4/4	High Cost		TAX &/OR SEC 01 KNIGHT EQUITY MARKETS L.P. (ID: 427866-10-8)					
3/31	Sale		HERSHEY CO/THE @ 92 0527 552.31 BROKERAGE 0 09	(6,000)	92 035	552.21	(365 22)	186 99 L
4/5	High Cost		TAX &/OR SEC 01 GOLDMAN SACHS & CO. (ID: 427866-10-8)					
4/1	Sale		COLUMBIA PIPELINE GROUP -W/I @ 25 1121 226 00	(9 000)	25.089	225 80	(142.54)	83 26 L
4/6	High Cost		BROKERAGE 0.20 UBS SECURITIES LLC (ID: 198280-10-9)					
4/4	Sale		COLUMBIA PIPELINE GROUP -W/I @ 25.1384 25.13	(1,000)	25.12	25.12	(15 84)	9 28 L
4/7	High Cost		BROKERAGE 0.01 GOLDMAN SACHS & CO (ID: 198280-10-9)					
4/4	Sale		COLUMBIA PIPELINE GROUP -W/I @ 25.1389 201 11	(8,000)	25 116	200.93	(126 70)	74 23 L
4/7	High Cost		BROKERAGE 0 18 UBS SECURITIES LLC (ID: 198280-10-9)					

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Settled Sales/Maturities/Redemptions								
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.1254 50.25 BROKERAGE 0.03 KNIGHT EQUITY MARKETS L.P. (ID: 198280-10-9)	(2,000)	25.11	50.22	(31.68)	18.54 L
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.13 50.26 BROKERAGE 0.04 FRIEDMAN BILLINGS & RAMSEY (ID: 198280-10-9)	(2,000)	25.11	50.22	(31.68)	18.54 L
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.1424 50.28 BROKERAGE 0.04 UBS SECURITIES LLC (ID: 198280-10-9)	(2,000)	25.12	50.24	(31.68)	18.56 L
4/5 4/8	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.1416 301.69 BROKERAGE 0.27 UBS SECURITIES LLC (ID: 198280-10-9)	(12,000)	25.118	301.42	(190.05)	111.37 L
4/5 4/8	Sale High Cost		HERSHEY CO/THE @ 91.3121 365.24 BROKERAGE 0.09 JEFFERIES & COMPANY (ID: 427866-10-8)	(4,000)	91.288	365.15	(243.48)	121.67 L
4/5 4/8	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.10 50.20 BROKERAGE 0.04 UBS SECURITIES LLC (ID: 198280-10-9)	(2,000)	25.08	50.16	(31.68)	18.48 L
4/6 4/11	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.15 25.15 BROKERAGE 0.02 UBS SECURITIES LLC (ID: 198280-10-9)	(1,000)	25.13	25.13	(15.84)	9.29 L
4/6 4/11	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.1557 25.15 BROKERAGE 0.02 UBS SECURITIES LLC (ID: 198280-10-9)	(1,000)	25.13	25.13	(15.84)	9.29 L
4/6 4/11	Sale High Cost		HERSHEY CO/THE @ 91.4775 274.43 BROKERAGE 0.06 JEFFERIES & COMPANY (ID: 427866-10-8)	(3,000)	91.457	274.37	(182.61)	91.76 L
4/6 4/11	Sale High Cost		HERSHEY CO/THE @ 91.849 275.54 BROKERAGE 0.06 JEFFERIES & COMPANY (ID: 427866-10-8)	(3,000)	91.827	275.48	(182.60)	92.88 L

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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/6 4/11	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25.1541 25.15 BROKERAGE 0.02 UBS SECURITIES LLC (ID: 198280-10-9)	(1,000)	25.13	25.13	(15.84)	9.29 L
4/7 4/12	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25.164 25.16 BROKERAGE 0.02 UBS SECURITIES LLC (ID: 198280-10-9)	(1,000)	25.14	25.14	(15.84)	9.30 L
4/7 4/12	Sale High Cost	HERSHEY CO/THE @ 90.8415 181.68 BROKERAGE 0.04 JEFFERIES & COMPANY (ID: 427866-10-8)	(2,000)	90.82	181.64	(121.74)	59.90 L
4/8 4/13	Sale High Cost	COCA-COLA ENTERPRISES @ 52.5993 736.39 BROKERAGE 0.21 TAX &/OR SEC. 01 SJ LEVINSON & SONS LLC (ID: 19122T-10-9)	(14,000)	52.584	736.17	(645.88)	90.29 L
4/11 4/14	Sale High Cost	COCA-COLA ENTERPRISES @ 52.9877 211.95 BROKERAGE 0.06 UBS SECURITIES LLC (ID: 19122T-10-9)	(4,000)	52.973	211.89	(184.53)	27.36 L
4/11 4/14	Sale High Cost	COCA-COLA ENTERPRISES @ 52.7059 527.05 BROKERAGE 0.15 TAX &/OR SEC. 01 UBS SECURITIES LLC (ID: 19122T-10-9)	(10,000)	52.689	526.89	(461.34)	65.55 L
4/15 4/15	Cash In Lieu	CALIFORNIA RESOURCES CORP CASH IN LIEU OF FRACTIONAL SHARES (ID: 13057Q-10-7)	0.004	2.50	0.01		0.01 L
4/12 4/15	Sale High Cost	COCA-COLA ENTERPRISES @ 52.8589 52.85 BROKERAGE 0.01 UBS SECURITIES LLC (ID: 19122T-10-9)	(1,000)	52.84	52.84	(45.00)	6.84 L
4/12 4/15	Sale High Cost	COCA-COLA ENTERPRISES @ 52.745 263.72 BROKERAGE 0.07 LIQUIDNET INC (ID: 19122T-10-9)	(5,000)	52.73	263.65	(230.02)	33.63 L
4/12 4/15	Sale High Cost	COCA-COLA ENTERPRISES @ 52.70 737.80 BROKERAGE 0.31 TAX &/OR SEC. 01 JEFFERIES & COMPANY (ID: 19122T-10-9)	(14,000)	52.677	737.48	(644.05)	93.43 L
4/12 4/15	Sale High Cost	COCA-COLA ENTERPRISES @ 52.8003 52.80 BROKERAGE 0.01 UBS SECURITIES LLC (ID: 19122T-10-9)	(1,000)	52.79	52.79	(46.00)	6.79 L

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Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
4/13	Sale	Coca-Cola Enterprises @ 52.8476 211.39	(4,000)	52.833	211.33	(182.87)	28.46 L
4/18	High Cost	BROKERAGE 0.06 RBC CAPITAL MARKETS CORPORATION (ID: 19122T-10-9)					
4/13	Sale	Coca-Cola Enterprises @ 52.95 105.90	(2,000)	52.93	105.86	(91.43)	14.43 L
4/18	High Cost	0.04 JEFFERIES & COMPANY (ID: 19122T-10-9)					
4/13	Sale	ILLINOIS TOOL WORKS @ 104.885 209.77	(2,000)	104.87	209.74	(177.14)	32.60 S
4/18	High Cost	0.03 STATE STREET GLOBAL MARKETS, LLC (ID: 452308-10-9)					
4/13	Sale	Coca-Cola Enterprises @ 52.9594 423.67	(8,000)	52.945	423.56	(365.74)	57.82 L
4/18	High Cost	BROKERAGE 0.11 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 19122T-10-9)					
4/13	Sale	Coca-Cola Enterprises @ 52.945 52.94	(1,000)	52.93	52.93	(45.72)	7.21 L
4/18	High Cost	0.01 STATE STREET GLOBAL MARKETS, LLC (ID: 19122T-10-9)					
4/13	Sale	Coca-Cola Enterprises @ 52.905 634.86	(12,000)	52.889	634.67	(545.09)	14.35 L
4/18	High Cost	0.18 TAX &/OR SEC .01 LIQUIDNET INC (ID: 19122T-10-9)					75.23 S
4/13	Sale	ILLINOIS TOOL WORKS @ 104.491 1,044.91	(10,000)	104.467	1,044.67	(885.68)	158.99 S
4/18	High Cost	BROKERAGE 0.22 TAX &/OR SEC 02 LEK SECURITIES CORPORATION (ID: 452308-10-9)					
4/14	Sale	Coca-Cola Enterprises @ 53.91 53.91	(1,000)	53.89	53.89	(45.37)	8.52 S
4/19	High Cost	0.02 STIFEL NICOLAUS & CO INC (ID: 19122T-10-9)					
4/14	Sale	Coca-Cola Enterprises @ 53.8826 53.88	(1,000)	53.87	53.87	(45.37)	8.50 S
4/19	High Cost	0.01 STATE STREET GLOBAL MARKETS, LLC (ID: 19122T-10-9)					
4/14	Sale	Coca-Cola Enterprises @ 53.47 213.88	(4,000)	53.448	213.79	(181.46)	32.33 S
4/19	High Cost	0.09 JEFFERIES & COMPANY (ID: 19122T-10-9)					

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
4/15 4/20	Sale High Cost		Coca-Cola Enterprises @ 53.5522 53.55 Brokerage 0.01 Merrill Lynch Pierce Fennner & Smith (ID: 19122T-10-9)	(1,000)	53.54	53.54	(45.37)	8.17 S
4/15 4/20	Sale High Cost		Coca-Cola Enterprises @ 53.5911 214.36 Brokerage 0.06 Merrill Lynch Pierce Fennner & Smith (ID: 19122T-10-9)	(4,000)	53.575	214.30	(181.46)	32.84 S
4/18 4/21	Sale High Cost		Coca-Cola Enterprises @ 53.6201 53.62 Brokerage 0.01 Merrill Lynch Pierce Fennner & Smith (ID: 19122T-10-9)	(1,000)	53.61	53.61	(45.36)	8.25 S
4/18 4/21	Sale High Cost		Coca-Cola Enterprises @ 53.6735 53.67 Brokerage 0.01 UBS Securities LLC (ID: 19122T-10-9)	(1,000)	53.66	53.66	(45.37)	8.29 S
4/19 4/22	Sale High Cost		Coca-Cola Enterprises @ 53.7308 53.73 Brokerage 0.01 Merrill Lynch Pierce Fennner & Smith (ID: 19122T-10-9)	(1,000)	53.72	53.72	(45.36)	8.36 S
4/19 4/22	Sale High Cost		Coca-Cola Enterprises @ 53.7738 53.77 Brokerage 0.02 Jefferies & Company (ID: 19122T-10-9)	(1,000)	53.75	53.75	(45.37)	8.38 S
4/20 4/25	Sale High Cost		Coca-Cola Enterprises @ 52.9167 52.91 Brokerage 0.01 UBS Securities LLC (ID: 19122T-10-9)	(1,000)	52.90	52.90	(45.36)	7.54 S
4/21 4/26	Sale High Cost		Coca-Cola Enterprises @ 52.1097 52.10 Brokerage 0.01 Merrill Lynch Pierce Fennner & Smith (ID: 19122T-10-9)	(1,000)	52.09	52.09	(45.36)	6.73 S
4/21 4/26	Sale High Cost		Coca-Cola Enterprises @ 51.7385 51.73 Brokerage 0.01 INSTINET (ID: 19122T-10-9)	(1,000)	51.72	51.72	(45.37)	6.35 S
4/22 4/27	Sale High Cost		Coca-Cola Enterprises @ 51.7183 51.71 Brokerage 0.02 BMO Nesbitt Burns Corp (ID: 19122T-10-9)	(1,000)	51.69	51.69	(45.37)	6.32 S
4/22 4/27	Sale High Cost		Coca-Cola Enterprises @ 51.7829 155.34 Brokerage 0.06 BMO Nesbitt Burns Corp (ID: 19122T-10-9)	(3,000)	51.76	155.28	(136.08)	19.19 S

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
4/22 4/27	Sale High Cost		PACCAR INC @ 56 0971 1,907 30 BROKERAGE 0 51 TAX &/OR SEC 04 KNIGHT EQUITY MARKETS L.P. (ID: 693718-10-8)	(34.000)	56.081	1,906.75	(1,841.10)	65.65 L
4/22 4/27	Sale High Cost		SNAP-ON INC @ 160.9722 1,126.80 BROKERAGE 0 10 TAX &/OR SEC 02 KNIGHT EQUITY MARKETS L.P. (ID: 833034-10-1)	(7.000)	160.954	1,126.68	(426.68)	700.00 L
4/26 4/29	Sale High Cost		APPLE INC @ 104.535 836.28 BROKERAGE 0 12 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID: 037833-10-0)	(8.000)	104.519	836.15	(755.37)	23.99 L 56.79 S
4/26 4/29	Sale High Cost		PHILIP MORRIS INTERNATIONAL @ 97.0646 485.32 BROKERAGE 0 07 TAX &/OR SEC 01 UBS SECURITIES LLC (ID: 718172-10-9)	(5.000)	97.048	485.24	(435.05)	50.19 L
4/26 4/29	Sale High Cost		PHILIP MORRIS INTERNATIONAL @ 97.12 388.48 BROKERAGE 0 06 INSTINET (ID: 718172-10-9)	(4.000)	97.105	388.42	(348.04)	40.38 L
4/26 4/29	Sale High Cost		PHILIP MORRIS INTERNATIONAL @ 97.1532 582.91 BROKERAGE 0 09 TAX &/OR SEC 01 LIQUIDNET INC (ID: 718172-10-9)	(6.000)	97.135	582.81	(522.06)	60.75 L
4/26 4/29	Sale High Cost		APPLE INC @ 104.5983 418.39 BROKERAGE 0 06 DEUTSCHE BANC ALEX BROWN INC (ID: 037833-10-0)	(4.000)	104.583	418.33	(322.14)	96.19 L
4/26 4/29	Sale High Cost		PHILIP MORRIS INTERNATIONAL @ 97.488 194.97 BROKERAGE 0 03 BLOOMBERG TRADEBOOK LLC (ID: 718172-10-9)	(2.000)	97.47	194.94	(174.02)	20.92 L
4/26 4/29	Sale High Cost		PROCTER & GAMBLE CO/THE @ 79.6209 716.58 BROKERAGE 0 20 TAX &/OR SEC 01 BARCLAYS CAPITAL LE (ID: 742718-10-9)	(9.000)	79.597	716.37	(695.87)	20.50 L
4/26 4/29	Sale High Cost		PROCTER & GAMBLE CO/THE @ 79.5195 954.23 BROKERAGE 0 27 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 742718-10-9)	(12.000)	79.495	953.94	(925.44)	28.50 L

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Settled Sales/Maturities/Redemptions							
4/26 4/29	Sale High Cost	PROCTER & GAMBLE CO/THE @ 79.494 158.98 BROKERAGE 0 04 BARCLAYS CAPITAL LE (ID: 742718-10-9)	(2 000)	79 47	158 94	(152 25)	6 69 L
4/27 5/2	Sale High Cost	PROCTER & GAMBLE CO/THE @ 79 5964 318 38 BROKERAGE 0 06 DEUTSCHE BANC ALEX BROWN INC (ID: 742718-10-9)	(4 000)	79 58	318 32	(304 50)	13 82 L
4/28 5/3	Sale High Cost	PACCAR INC @ 60 1198 541 07 BROKERAGE 0 20 TAX &/OR SEC 01 BAIRD (ROBERT W.) & CO INCORPORAT (ID: 693718-10-8)	(9 000)	60 096	540 86	(418 32)	122 54 L
4/28 5/3	Sale High Cost	TARGET CORP @ 82 3756 164 75 BROKERAGE 0 04 BARCLAYS CAPITAL LE (ID: 87612E-10-6)	(2 000)	82 355	164 71	(166 70)	(1 99) L
4/28 5/3	Sale High Cost	TARGET CORP @ 81 5577 407 78 BROKERAGE 0 07 ISI GROUP INC (ID: 87612E-10-6)	(5 000)	81 542	407 71	(415 97)	(8 26) L
4/29 5/4	Sale High Cost	PACCAR INC @ 58 9504 294 75 BROKERAGE 0 11 BAIRD (ROBERT W.) & CO INCORPORAT (ID 693718-10-8)	(5 000)	58 928	294 64	(232 40)	62 24 L
4/29 5/4	Sale High Cost	TARGET CORP @ 79 6833 239 04 BROKERAGE 0 06 DEUTSCHE BANC ALEX BROWN INC (ID: 87612E-10-6)	(3 000)	79 66	238 98	(248 87)	(9 89) L
4/29 5/4	Sale High Cost	PACCAR INC @ 58 857 58 85 BROKERAGE 0 02 BAIRD (ROBERT W.) & CO INCORPORAT (ID: 693718-10-8)	(1 000)	58 83	58 83	(46 48)	12 35 L
5/2 5/5	Sale High Cost	AIRGAS INC @ 142 5101 997 57 BROKERAGE 0 10 TAX &/OR SEC 02 GOLDMAN SACHS & CO (ID: 009363-10-2)	(7 000)	142 493	997 45	(726 00)	229 81 L 41 64 S
5/2 5/5	Sale High Cost	DR PEPPER SNAPPLE GROUP INC @ 91 4613 914 61 BROKERAGE 0 15 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID: 26138E-10-9)	(10 000)	91 445	914 45	(787 37)	127 08 L

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
5/2 5/5	Sale High Cost		S&P GLOBAL INC @ 107.1519 1,071 51 BROKERAGE 0 15 TAX &/OR SEC .02 GOLDMAN SACHS & CO. (ID: 78409V-10-4)	(10,000)	107.134	1,071.34	(517.45)	553.89 L
5/2 5/5	Sale High Cost		3M CO @ 167.6712 1,006.02 BROKERAGE 0 09 TAX &/OR SEC .02 GOLDMAN SACHS & CO (ID: 88579Y-10-1)	(6,000)	167.652	1,005.91	(904.58)	101.33 S
5/2 5/5	Sale High Cost		PACCAR INC @ 58.5454 58.54 BROKERAGE 0.01 SANFORD C. BERNSTEIN & CO. INC. (SCB (ID: 693718-10-8)	(1,000)	58.53	58.53	(46.48)	12.05 L
5/2 5/5	Sale High Cost		VALIDUS HOLDINGS LTD @ 46.7399 1,121.75 BROKERAGE 0.35 TAX &/OR SEC .02 MORGAN STANLEY & CO. LLC (ID: G9319H-10-2)	(24,000)	46.724	1,121.38	(748.56)	372.82 L
5/4 5/9	Sale High Cost		PACCAR INC @ 55.7875 446.30 BROKERAGE 0.12 ISI GROUP INC. (ID: 693718-10-8)	(8,000)	55.773	446.18	(371.84)	74.34 L
5/10 5/11	Sale High Cost		FMI LARGE CAP FD (ID: 302933-20-5)	(7,193.722)	19.50	140,277.57	(97,120.86)	39,899.08 L 3,257.63 S
5/10 5/13	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.5417 25.54 BROKERAGE 0.01 JEFFERIES & COMPANY (ID: 198280-10-9)	(1,000)	25.53	25.53	(15.84)	9.69 L
5/10 5/13	Sale High Cost		UNITED TECHNOLOGIES CORP @ 101.9886 1,529.52 BROKERAGE 0.37 TAX &/OR SEC .03 JEFFERIES & COMPANY (ID: 913017-10-9)	(15,000)	101.941	1,529.12	(1,221.30)	307.82 L
5/10 5/13	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.5197 102.07 BROKERAGE 0 10 WEEEDEN AND CO (ID: 198280-10-9)	(4,000)	25.493	101.97	(63.35)	38.62 L
5/10 5/13	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.505 25.50 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC. (ID: 198280-10-9)	(1,000)	25.49	25.49	(15.84)	9.65 L

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Settle Date								
Settled Sales/Maturities/Redemptions								
5/10	Sale	High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25.5232 510.46	(20 000)	25.498	509.95	(316 75)	193 20 L
5/13			BROKERAGE 0.50 TAX &/OR SEC. 01 FRIEDMAN BILLINGS & RAMSEY (ID: 198280-10-9)					
5/11	Sale	High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25.50 204 00	(8 000)	25.475	203.80	(126.70)	77.10 L
5/16			BROKERAGE 0.20 FRIEDMAN BILLINGS & RAMSEY (ID: 198280-10-9)					
5/11	Sale	High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25.50 204 00	(8 000)	25.475	203.80	(126 70)	77.10 L
5/16			BROKERAGE 0.20 FRIEDMAN BILLINGS & RAMSEY (ID: 198280-10-9)					
5/11	Sale	High Cost	TARGET CORP @ 76.4886 994.35 BROKERAGE 0.32 TAX	(13 000)	76 482	994 01	(1,078.22)	(84 21) L
5/16			&/OR SEC. 02 JEFFERIES & COMPANY (ID: 87612E-10-6)					
5/12	Sale	High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25.4968 50 99	(2 000)	25.48	50 96	(31.67)	19 29 L
5/17			BROKERAGE 0.03 JEFFERIES & COMPANY (ID: 198280-10-9)					
5/12	Sale	High Cost	TARGET CORP @ 75.6946 378 47 BROKERAGE 0 12	(5 000)	75 67	378.35	(413 09)	(34.74) L
5/17			JEFFERIES & COMPANY (ID: 87612E-10-6)					
5/13	Sale	High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25.5286 25 52	(1 000)	25.51	25 51	(15 84)	9.67 L
5/18			BROKERAGE 0 01 JEFFERIES & COMPANY (ID: 198280-10-9)					
5/17	Sale	High Cost	ABBVIE INC @ 59.90 1,377.69 BROKERAGE 0.34 TAX	(23 000)	59 883	1,377.32	(729.67)	647.65 L
5/20			&/OR SEC 03 CITIGROUP GLOBAL MKTS INC (ID: 00287Y-10-9)					
5/19	Sale	High Cost	AIRGAS INC @ 142 9595 1,429 59 BROKERAGE 0 25	(10 000)	142 931	1,429 31	(984.50)	444 71 S
5/24			TAX &/OR SEC .03 RBC CAPITAL MARKETS CORPORATION (ID: 009363-10-2)					
5/19	Sale	High Cost	TARGET CORP @ 67 4262 337.13 BROKERAGE 0 12	(5 000)	67 402	337.01	(411.25)	(74 24) L
5/24			CITIGROUP GLOBAL MKTS INC (ID: 87612E-10-6)					

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Settled Sales/Maturities/Redemptions							
5/19 5/24	Sale High Cost	TARGET CORP @ 67.145 67.14 BROKERAGE 0.01 LIQUIDNET INC (ID: 87612E-10-6)	(1,000)	67.13	67.13	(82.02)	(14.89) L
5/19 5/24	Sale High Cost	TARGET CORP @ 67.146 335.73 BROKERAGE 0.07 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 87612E-10-6)	(5,000)	67.132	335.66	(410.10)	(74.44) L
5/19 5/24	Sale High Cost	TARGET CORP @ 67.048 201.14 BROKERAGE 0.04 RBC CAPITAL MARKETS CORPORATION (ID: 87612E-10-6)	(3,000)	67.033	201.10	(246.00)	(44.90) L
5/19 5/24	Sale High Cost	TARGET CORP @ 67.17 67.17 BROKERAGE 0.02 DEUTSCHE BANC ALEX BROWN INC (ID: 87612E-10-6)	(1,000)	67.15	67.15	(81.99)	(14.84) L
5/19 5/24	Sale High Cost	TARGET CORP @ 67.1292 738.42 BROKERAGE 0.16 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L P (ID: 87612E-10-6)	(11,000)	67.114	738.25	(879.28)	(14.87) L (126.16) S
5/20 5/24	Sale High Cost	AIRGAS INC @ 142.98 571.92 BROKERAGE 0.10 TAX &/OR SEC.01 RBC CAPITAL MARKETS CORPORATION (ID: 009383-10-2)	(4,000)	142.953	571.81	(393.84)	177.97 S
5/25 5/25	Litigation Proc	COUNTRYWIDE FINANCIAL CORP REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE COUNTRYWIDE FINANCIAL CORP CLASS ACTION. DUE V35558002 TEUBERT CHAR TR PCIAA FUTURE DISBURSEMENTS MAY OCCUR, PENDING NOTICE FROM PAYING AGENT (ID: 22372-10-4)		10.35			10.35 L
5/20 5/25	Sale High Cost	TARGET CORP @ 67.5105 270.04 BROKERAGE 0.06 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 87612E-10-6)	(4,000)	67.495	269.98 *	(318.92)	(48.94) S
5/20 5/25	Sale High Cost	TARGET CORP @ 67.8453 339.22 BROKERAGE 0.12 PIPER JAFFRAY & CO. (ID: 87612E-10-6)	(5,000)	67.82	339.10	(398.65)	(59.55) S
6/1 6/6	Sale High Cost	INGEVITY CORP - W/ @ 30.9928 154.96 BROKERAGE 0.07 SJ LEVINSON & SONS LLC (ID: 45688C-10-7)	(5,000)	30.978	154.89	(225.63)	(70.74) S

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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
6/6 6/9	Sale High Cost	UNION PACIFIC CORP @ 86.3407 777.06 BROKERAGE 0.13 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID: 907818-10-8)	(9 000)	86.324	776.92	(999.21)	(222.29) L
6/6 6/9	Sale High Cost	CINCINNATI FINANCIAL CORP @ 69.3199 831.83 BROKERAGE 0.18 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID: 172062-10-1)	(12 000)	69.303	831.64	(421.32)	410.32 L
6/6 6/9	Sale High Cost	NEXTERA ENERGY INC @ 121.6117 729.67 BROKERAGE 0.09 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID: 65339F-10-1)	(6 000)	121.595	729.57	(362.22)	367.35 L
6/9 6/14	Sale High Cost	BRINKER INTERNATIONAL INC @ 47.2833 283.69 BROKERAGE 0.15 CREDIT SUISSE FIRST BOSTON LLC (ID: 109641-10-0)	(6 000)	47.257	283.54	(337.05)	(53.51) L
6/10 6/15	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.3806 46.38 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID: 109641-10-0)	(1 000)	46.37	46.37	(55.92)	(9.55) L
6/10 6/15	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.8056 140.41 BROKERAGE 0.03 LIQUIDNET INC (ID: 109641-10-0)	(3,000)	46.793	140.38	(166.67)	(26.29) L
6/10 6/15	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.3145 185.25 BROKERAGE 0.06 CREDIT SUISSE FIRST BOSTON LLC (ID: 109641-10-0)	(4,000)	46.298	185.19	(221.91)	(36.72) L
6/13 6/16	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.60 46.60 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC. (ID: 109641-10-0)	(1,000)	46.59	46.59	(55.48)	(8.89) L
6/13 6/16	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.6521 139.95 BROKERAGE 0.03 LIQUIDNET INC (ID: 109641-10-0)	(3 000)	46.64	139.92	(166.43)	(26.51) L
6/14 6/17	Sale High Cost	UNITED TECHNOLOGIES CORP @ 100.867 1,311.27 BROKERAGE 0.32 TAX &/OR SEC.02 GOLDMAN SACHS & CO. (ID: 913017-10-9)	(13 000)	100.841	1,310.93	(1,058.46)	252.47 L

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Settled Sales/Maturities/Redemptions							
6/14 6/17	Sale High Cost	UNITED TECHNOLOGIES CORP @ 100.835 100.83 BROKERAGE 0.01 DREXEL HAMILTON LLC (ID: 913017-10-9)	(1,000)	100.82	100.82	(81.42)	19.40 L
6/14 6/17	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.2772 46.27 BROKERAGE 0.01 BLOOMBERG TRADEBOOK LLC (ID: 109641-10-0)	(1,000)	46.26	46.26	(55.48)	(9.22) L
6/14 6/17	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.11 46.11 BROKERAGE 0.01 LOOP CAPITAL MARKETS LLC (ID: 109641-10-0)	(1,000)	46.10	46.10	(55.48)	(9.38) L
6/15 6/20	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.5289 46.52 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID: 109641-10-0)	(1,000)	46.51	46.51	(55.48)	(8.97) L
6/15 6/20	Sale High Cost	UNITED TECHNOLOGIES CORP @ 101.4769 101.47 BROKERAGE 0.01 MORGAN STANLEY & CO. LLC (ID: 913017-10-9)	(1,000)	101.46	101.46	(81.42)	20.04 L
6/16 6/21	Sale High Cost	COLUMBIA PIPELINE GROUP -W/ @ 25.5074 280.58 BROKERAGE 0.27 MORGAN STANLEY & CO. LLC (ID: 198280-10-9)	(11,000)	25.483	280.31	(174.21)	106.10 L
6/16 6/21	Sale High Cost	COLUMBIA PIPELINE GROUP -W/ @ 25.48 25.48 BROKERAGE 0.02 MORGAN STANLEY & CO. LLC (ID: 198280-10-9)	(1,000)	25.46	25.46	(15.84)	9.62 L
6/16 6/21	Sale High Cost	BRINKER INTERNATIONAL INC @ 45.3466 45.34 BROKERAGE 0.02 UBS SECURITIES LLC (ID: 109641-10-0)	(1,000)	45.32	45.32	(55.48)	(10.16) L
6/16 6/21	Sale High Cost	BRINKER INTERNATIONAL INC @ 45.415 45.41 BROKERAGE 0.01 LOOP CAPITAL MARKETS LLC (ID: 109641-10-0)	(1,000)	45.40	45.40	(55.48)	(10.08) L

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
6/17 6/22	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.4652 25.46 BROKERAGE 0.01 KNIGHT EQUITY MARKETS L.P. (ID: 198280-10-9)	(1,000)	25.45	25.45	(15.84)	9.61 L
6/17 6/22	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.48 25.48 BROKERAGE 0.02 MORGAN STANLEY & CO. LLC (ID: 198280-10-9)	(1,000)	25.46	25.46	(15.84)	9.62 L
6/17 6/22	Sale High Cost		BRINKER INTERNATIONAL INC @ 45.2185 45.21 BROKERAGE 0.02 DEUTSCHE BANC ALEX BROWN INC (ID: 109641-10-0)	(1,000)	45.19	45.19	(55.48)	(10.29) L
6/17 6/22	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.4601 178.22 BROKERAGE 0.10 UBS SECURITIES LLC (ID: 198280-10-9)	(7,000)	25.446	178.12	(110.86)	67.26 L
6/17 6/22	Sale High Cost		BRINKER INTERNATIONAL INC @ 45.236 45.23 BROKERAGE 0.01 KNIGHT EQUITY MARKETS L.P. (ID: 109641-10-0)	(1,000)	45.22	45.22	(55.47)	(10.25) L
6/20 6/23	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.4651 50.93 BROKERAGE 0.03 RBC CAPITAL MARKETS CORPORATION (ID: 198280-10-9)	(2,000)	25.45	50.90	(31.67)	19.23 L
6/20 6/23	Sale High Cost		BRINKER INTERNATIONAL INC @ 45.693 45.69 BROKERAGE 0.01 BLOOMBERG TRADEBOOK LLC (ID: 109641-10-0)	(1,000)	45.68	45.68	(55.46)	(9.78) L
6/24 6/24	Cash In Lieu		INGEVITY CORP - W/I CASH IN LIEU OF FRACTIONAL SHARES (ID: 45688C-10-7)	0.833	32.449	27.03		27.03 L
6/28 7/1	Sale High Cost		BRINKER INTERNATIONAL INC @ 45.05 360.40 BROKERAGE 0.20 DEUTSCHE BANC ALEX BROWN INC (ID: 109641-10-0)	(8,000)	45.025	360.20	(307.08)	53.12 L
6/28 7/1	Sale High Cost		BRINKER INTERNATIONAL INC @ 45.3271 135.98 BROKERAGE 0.04 KNIGHT EQUITY MARKETS L.P. (ID: 109641-10-0)	(3,000)	45.313	135.94	(115.16)	20.78 L

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TEUBERT CHAR TR ACCT. V35558002
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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/29 7/5	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.045 92.09 BROKERAGE 0.02 DREXEL HAMILTON LLC (ID: 109641-10-0)	(2,000)	46.035	92.07	(76.77)	15.30 L
6/29 7/5	Sale High Cost	BRINKER INTERNATIONAL INC @ 46.0158 598.20 BROKERAGE 0.19 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 109641-10-0)	(13,000)	46.00	598.00	(497.88)	100.12 L
6/30 7/6	Sale High Cost	AMERIPRISE FINANCIAL INC @ 89.3646 357.45 BROKERAGE 0.04 LIQUIDNET INC (ID: 03076C-10-6)	(4,000)	89.353	357.41	(230.36)	127.05 L
6/30 7/6	Sale High Cost	AMERIPRISE FINANCIAL INC @ 89.3829 178.76 BROKERAGE 0.03 DEUTSCHE BANC ALEX BROWN INC (ID: 03076C-10-6)	(2,000)	89.365	178.73	(115.18)	63.55 L
6/30 7/6	Sale High Cost	AMERIPRISE FINANCIAL INC @ 88.2049 88.20 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC (ID: 03076C-10-6)	(1,000)	88.19	88.19	(57.59)	30.60 L
6/30 7/6	Sale High Cost	BRINKER INTERNATIONAL INC @ 45.4268 90.85 BROKERAGE 0.03 CREDIT SUISSE FIRST BOSTON LLC (ID: 109641-10-0)	(2,000)	45.41	90.82	(74.69)	16.13 L
6/30 7/6	Sale High Cost	T ROWE PRICE GROUP INC @ 71.8754 790.62 BROKERAGE 0.16 TAX &/OR SEC .01 MORGAN STANLEY & CO LLC (ID: 74144T-10-8)	(11,000)	71.859	790.45	(927.02)	(136.57) L
6/30 7/6	Sale High Cost	SCHLUMBERGER LTD @ 78.6382 707.74 BROKERAGE 0.13 TAX &/OR SEC .01 MORGAN STANLEY & CO LLC (ID: 805857-10-8)	(9,000)	78.622	707.60	(732.53)	(24.93) S
6/30 7/6	Sale High Cost	UNITED PARCEL SERVICE-CL B @ 106.6761 640.05 BROKERAGE 0.09 TAX &/OR SEC .01 MORGAN STANLEY & CO, LLC (ID: 911312-10-6)	(6,000)	106.658	639.95	(623.62)	16.33 S
6/30 7/6	Sale High Cost	BRINKER INTERNATIONAL INC @ 45.1992 90.39 BROKERAGE 0.03 BARCLAYS CAPITAL LE (ID: 109641-10-0)	(2,000)	45.18	90.36	(74.69)	15.67 L

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TEUBERT CHAR TR ACCT. V35558002
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
6/30 7/6	Sale High Cost		PACCAR INC @ 51 0544 153 16 BROKERAGE 0 03 LIQUIDNET INC (ID: 693718-10-8)	(3,000)	51,043	153 13	(139.44)	13.69 L
6/30 7/6	Sale High Cost		PACCAR INC @ 51 6633 361.64 BROKERAGE 0 17 DEUTSCHE BANC ALEX BROWN INC (ID: 693718-10-8)	(7,000)	51 639	361.47	(325.36)	36.11 L
7/6 7/6	Litigation Proc		SPRINT NEXTEL CORP REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE SPRINT NEXTEL CORP CLASS ACTION. DUE V35558002 TEUBERT CHAR TR PCIAA FUTURE DISBURSEMENTS MAY OCCUR, PENDING NOTICE FROM PAYING AGENT (ID: 852061-10-0)			118.71		118.71 L
7/1 7/7	Sale High Cost		AMERIPRISE FINANCIAL INC @ 91 025 91.02 BROKERAGE 0 01 LIQUIDNET INC (ID: 03076C-10-6)	(1,000)	91 01	91.01	(57.59)	33.42 L
7/1 7/7	Sale High Cost		PACCAR INC @ 52.1318 52.13 BROKERAGE 0 01 SANFORD C BERNSTEIN & CO INC (SCB (ID: 693718-10-8)	(1,000)	52.12	52.12	(46.48)	5.64 L
7/1 7/7	Sale High Cost		UNITED TECHNOLOGIES CORP @ 102.7252 1,438.15 BROKERAGE 0 35 TAX &/OR SEC 03 S G COWEN & CO (ID: 913017-10-9)	(14,000)	102.698	1,437 77	(1,139.88)	297.89 L
7/1 7/7	Sale High Cost		PACCAR INC @ 51 7554 155.26 BROKERAGE 0.07 DEUTSCHE BANC ALEX BROWN INC (ID: 693718-10-8)	(3 000)	51 73	155 19	(139.44)	15.75 L
7/5 7/8	Sale High Cost		AMERIPRISE FINANCIAL INC @ 86.7768 86 77 BROKERAGE 0 01 SANFORD C. BERNSTEIN & CO INC (SCB (ID: 03076C-10-6)	(1,000)	86.76	86.76	(57 59)	29 17 L
7/12 7/15	Sale High Cost		ALTRIA GROUP INC @ 69.15 691.50 BROKERAGE 0.25 TAX &/OR SEC 01 JEFFERIES & COMPANY (ID: 02209S-10-3)	(10,000)	69.124	691.24	(494.28)	196.96 L
7/12 7/15	Sale High Cost		COMCAST CORP-CLASS A @ 67 1501 201.45 BROKERAGE 0.04 UBS SECURITIES LLC (ID: 20030N-10-1)	(3 000)	67.137	201.41	(176.93)	24.48 L

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For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
7/12	Sale		COMCAST CORP-CLASS A @ 67.1445 604.30 BROKERAGE	(9,000)	67.133	604.20	(529.39)	74.81 L
7/15	High Cost		0.09 TAX &/OR SEC .01 STATE STREET GLOBAL MARKETS, LLC (ID: 20030N-10-1)					
7/13	Sale		ALTRIA GROUP INC @ 69.15 69.15 BROKERAGE 0.02	(1,000)	69.13	69.13	(49.39)	19.74 L
7/18	High Cost		JEFFERIES & COMPANY (ID: 02209S-10-3)					
7/13	Sale		ALTRIA GROUP INC @ 69.10 138.20 BROKERAGE 0.05	(2,000)	69.075	138.15	(98.78)	39.37 L
7/18	High Cost		JEFFERIES & COMPANY (ID: 02209S-10-3)					
7/13	Sale		ALTRIA GROUP INC @ 69.2217 207.66 BROKERAGE	(3,000)	69.197	207.59	(148.00)	59.59 L
7/18	High Cost		0.07 JEFFERIES & COMPANY (ID: 02209S-10-3)					
7/13	Sale		AMERIPRISE FINANCIAL INC @ 93.9092 187.81	(2,000)	93.89	187.78	(115.18)	72.60 L
7/18	High Cost		BROKERAGE 0.03 CITIGROUP GLOBAL MKTS INC (ID: 03076C-10-6)					
7/13	Sale		ALTRIA GROUP INC @ 69.0764 414.45 BROKERAGE	(6,000)	69.05	414.30	(295.37)	118.93 L
7/18	High Cost		0.15 JEFFERIES & COMPANY (ID: 02209S-10-3)					
7/13	Sale		COMCAST CORP-CLASS A @ 67.0266 469.18 BROKERAGE	(7,000)	67.01	469.07	(411.19)	57.88 L
7/18	High Cost		0.10 TAX &/OR SEC .01 UBS SECURITIES LLC (ID: 20030N-10-1)					
7/13	Sale		COMCAST CORP-CLASS A @ 66.945 267.78 BROKERAGE	(4,000)	66.935	267.74	(234.76)	32.98 L
7/18	High Cost		0.04 DREXEL HAMILTON LLC (ID: 20030N-10-1)					
7/13	Sale		VANGUARD FTSE EUROPE ETF @ 47.1301 235.65	(5,000)	47.116	235.58	(275.34)	(39.76) S
7/18	High Cost		BROKERAGE 0.07 DEUTSCHE BANC ALEX BROWN INC (ID: 922042-87-4)					
7/13	Sale		VANGUARD FTSE EUROPE ETF @ 47.0934 51.667.27	(1,095,000)	47.077	51,549.73	(60,295.98)	(8,748.25) S
7/18	High Cost		BROKERAGE 16.42 TAX &/OR SEC 1.12 DEUTSCHE BANC ALEX BROWN INC (ID: 922042-87-4)					
7/13	Sale		VANGUARD FTSE EUROPE ETF @ 47.05 19,431.65	(413,000)	47.024	19,420.91	(22,737.05)	(3,316.14) S
7/18	High Cost		BROKERAGE 10.32 TAX &/OR SEC 42 KNIGHT EQUITY MARKETS L.P. (ID: 922042-87-4)					

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
7/14	Sale	High Cost	VANGUARD FTSE EUROPE ETF @ 47 64 26,678 40	(560,000)	47 624	26,669 43	(30,829 90)	(4,160 47) S
7/19			BROKERAGE 8 39 TAX &/OR SEC 58 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 922042-87-4)					
7/14	Sale	High Cost	ALTRIA GROUP INC @ 69 1762 138 35 BROKERAGE	(2,000)	69 15	138 30	(98 46)	39 84 L
7/19			0.05 JEFFERIES & COMPANY (ID: 02209S-10-3)					
7/14	Sale	High Cost	VANGUARD FTSE EUROPE ETF @ 47 5992 21,467 23	(451,000)	47 583	21,460 01	(24,829 08)	(3,369 07) S
7/19			BROKERAGE 6 76 TAX &/OR SEC 46 DEUTSCHE BANC ALEX BROWN INC (ID: 922042-87-4)					
7/14	Sale	High Cost	VANGUARD FTSE EUROPE ETF @ 47 595 53,544 37	(1,125,000)	47 579	53,526 34	(61,935 07)	(8,408 73) S
7/19			BROKERAGE 16 87 TAX &/OR SEC 1 16 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 922042-87-4)					
7/14	Sale	High Cost	VANGUARD FTSE EUROPE ETF @ 47 62 16,190 80	(340,000)	47 604	16,185 35	(18,718 16)	(2,532 81) S
7/19			BROKERAGE 5 10 TAX &/OR SEC 35 DEUTSCHE BANC ALEX BROWN INC (ID: 922042-87-4)					
7/19	Sale	High Cost	GATEWAY FD-Y (ID: 367829-88-4)	(7,488,313)	30 02	224,799 15	(215,289 01)	9,510 14 L
7/20								
7/20	Sale	High Cost	ABBVIE INC @ 63 4279 253.71 BROKERAGE 0.10 BMO	(4,000)	63 403	253 61	(126 90)	126 71 L
7/25			NESBITT BURNS CORP (ID: 00287Y-10-9)					
7/20	Sale	High Cost	ABBVIE INC @ 63 4037 63.40 BROKERAGE 0.01 UBS	(1,000)	63 39	63 39	(31 72)	31 67 L
7/25			SECURITIES LLC (ID: 00287Y-10-9)					
7/20	Sale	High Cost	ABBVIE INC @ 63 5723 445 00 BROKERAGE 0.17 S G	(7,000)	63 547	444 83	(222 08)	222 75 L
7/25			COWEN & CO (ID: 00287Y-10-9)					
7/21	Sale	High Cost	ABBVIE INC @ 63 5265 127.05 BROKERAGE 0.05 BMO	(2,000)	63 50	127 00	(63 45)	63 55 L
7/26			NESBITT BURNS CORP (ID: 00287Y-10-9)					
7/21	Sale	High Cost	ABBVIE INC @ 63 6095 127 21 BROKERAGE 0 03	(2,000)	63 59	127 18	(63 45)	63 73 L
7/26			KNIGHT EQUITY MARKETS L.P (ID: 00287Y-10-9)					
7/21	Sale	High Cost	ABBVIE INC @ 63 3873 126 77 BROKERAGE 0.05 S G	(2,000)	63 36	126 72	(63 45)	63 27 L
7/26			COWEN & CO (ID: 00287Y-10-9)					

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For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
8/2	Sale	High Cost	3M CO @ 177.8971 711.58 BROKERAGE 0.06 TAX &/OR SEC. 01 JEFFERIES & COMPANY (ID: 88579Y-10-1)	(4.000)	177.878	711.51	(540.11)	171.40 L
8/3	Sale	High Cost	BECTON DICKINSON AND CO @ 175.5804 702.32	(4.000)	175.553	702.21	(538.54)	63.67 S
8/3	Sale	High Cost	BROKERAGE 0.10 TAX &/OR SEC. 01 MORGAN STANLEY & CO LLC (ID: 075887-10-9)	(2.000)	175.655	351.31	(238.79)	96.50 L 16.02 S
8/3	Sale	High Cost	FIDELITY NATIONAL INFO SERV @ 77.9201 935.04	(12.000)	77.903	934.84	(526.54)	408.30 L
8/3	Sale	High Cost	BOSTON PROPERTIES INC @ 140.4971 561.98	(4.000)	140.478	561.91	(498.86)	63.05 S
8/3	Sale	High Cost	BROKERAGE 0.06 TAX &/OR SEC. 01 UBS SECURITIES LLC (ID: 101121-10-1)	(1.000)	175.50	175.50	(79.15)	96.35 L
8/3	Sale	High Cost	BECTON DICKINSON AND CO @ 175.515 175.51	(1.000)	175.55	175.55	(79.15)	96.40 L
8/3	Sale	High Cost	BROKERAGE 0.01 DREXEL HAMILTON LLC (ID: 075887-10-9)	(2.000)	78.48	156.96	(87.76)	69.20 L
8/3	Sale	High Cost	FIDELITY NATIONAL INFO SERV @ 78.4956 156.99	(1.000)	78.43	78.43	(43.88)	34.55 L
8/3	Sale	High Cost	BROKERAGE 0.03 KNIGHT EQUITY MARKETS L.P. (ID: 31620M-10-6)	(5.000)	168.33	841.65	(395.75)	445.90 L
8/3	Sale	High Cost	BECTON DICKINSON AND CO @ 168.356 841.78					
8/3	Sale	High Cost	BROKERAGE 0.12 TAX &/OR SEC. 01 MORGAN STANLEY & CO LLC (ID: 075887-10-9)					

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
8/4	Sale		BECTON DICKINSON AND CO @ 171.2081 171.20	(1,000)	171.19	171.19	(79.15)	92.04 L
8/9	High Cost		BROKERAGE 0 01 RBC CAPITAL MARKETS CORPORATION (ID: 075887-10-9)					
8/4	Sale		FIDELITY NATIONAL INFO SERV @ 78.3407 156.68	(2,000)	78.325	156.65	(87.76)	68.89 L
8/9	High Cost		BROKERAGE 0 03 UBS SECURITIES LLC (ID: 31620M-10-6)					
8/4	Sale		BECTON DICKINSON AND CO @ 168.2371 504.71	(3,000)	168.22	504.66	(237.31)	267.35 L
8/9	High Cost		BROKERAGE 0 04 TAX &/OR SEC 01 KNIGHT EQUITY MARKETS L.P. (ID: 075887-10-9)					
8/4	Sale		BOSTON PROPERTIES INC @ 140.5907 281.18	(2,000)	140.575	281.15	(249.06)	32.09 S
8/9	High Cost		BROKERAGE 0 03 KNIGHT EQUITY MARKETS L.P. (ID: 101121-10-1)					
8/4	Sale		FIDELITY NATIONAL INFO SERV @ 78.2092 78.20	(1,000)	78.19	78.19	(43.88)	34.31 L
8/9	High Cost		BROKERAGE 0 01 JEFFERIES & COMPANY (ID: 31620M-10-6)					
8/4	Sale		FIDELITY NATIONAL INFO SERV @ 78.46 78.46	(1,000)	78.45	78.45	(43.88)	34.57 L
8/9	High Cost		BROKERAGE 0 01 DREXEL HAMILTON LLC (ID: 31620M-10-6)					
8/5	Sale		FIDELITY NATIONAL INFO SERV @ 79.1331 316.53	(4,000)	79.118	316.47	(175.51)	140.96 L
8/10	High Cost		BROKERAGE 0 06 JEFFERIES & COMPANY (ID: 31620M-10-6)					
8/5	Sale		BOSTON PROPERTIES INC @ 142.0309 142.03	(1,000)	142.02	142.02	(124.44)	17.58 S
8/10	High Cost		BROKERAGE 0 01 KNIGHT EQUITY MARKETS L.P. (ID: 101121-10-1)					
8/8	Sale		BOSTON PROPERTIES INC @ 142.4931 427.47	(3,000)	142.477	427.43	(373.31)	54.12 S
8/11	High Cost		BROKERAGE 0 04 RBC CAPITAL MARKETS CORPORATION (ID: 101121-10-1)					

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/11	Sale		BRINKER INTERNATIONAL INC @ 50.5879 1,264.69	(25.000)	50.562	1,264.05	(933.67)	330.38 L
8/16	High Cost		BROKERAGE 0.62 TAX &/OR SEC .02 JEFFERIES & COMPANY (ID: 109641-10-0)					
8/16	Sale		S&P GLOBAL INC @ 119.8151 239.63 BROKERAGE 0.03	(2.000)	119.80	239.60	(103.49)	136.11 L
8/19	High Cost		UBS SECURITIES LLC (ID: 78409V-10-4)					
8/16	Sale		S&P GLOBAL INC @ 120.195 LUMINEX TRADING AND ANALYTICS LLC (ID: 78409V-10-4)	(1.000)	120.19	120.19	(51.75)	68.44 L
8/19	High Cost							
8/16	Sale		PROCTER & GAMBLE CO/THE @ 86.6656 1,473.31	(17.000)	86.649	1,473.03	(1,257.90)	215.13 L
8/19	High Cost		BROKERAGE 0.25 TAX &/OR SEC .03 BARCLAYS CAPITAL LE (ID: 742718-10-9)					
8/17	Sale		S&P GLOBAL INC @ 119.6551 358.96 BROKERAGE 0.07	(3.000)	119.63	358.89	(165.23)	203.66 L
8/22	High Cost		MERRILL LYNCH PIERCE FENNER & SMIT (ID: 78409V-10-4)					
8/17	Sale		S&P GLOBAL INC @ 120.0646 120.06 BROKERAGE 0.02	(1.000)	120.04	120.04	(51.74)	68.30 L
8/22	High Cost		MERRILL LYNCH PIERCE FENNER & SMIT (ID: 78409V-10-4)					
8/17	Sale		S&P GLOBAL INC @ 120.0195 120.01 BROKERAGE 0.01	(1.000)	120.00	120.00	(51.75)	68.25 L
8/22	High Cost		INSTINET (ID: 78409V-10-4)					
8/18	Sale		S&P GLOBAL INC @ 119.9229 359.76 BROKERAGE 0.07	(3.000)	119.897	359.69	(155.24)	204.45 L
8/23	High Cost		MERRILL LYNCH PIERCE FENNER & SMIT (ID: 78409V-10-4)					
8/19	Sale		S&P GLOBAL INC @ 120.0934 120.09 BROKERAGE 0.02	(1.000)	120.07	120.07	(51.74)	68.33 L
8/24	High Cost		MERRILL LYNCH PIERCE FENNER & SMIT (ID: 78409V-10-4)					
8/24	Sale		GATEWAY FD-Y (ID: 367829-88-4)	(13,592.674)	30.31	411,993.95	(362,535.99)	49,457.96 L
8/25	High Cost							

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/23	Sale		DEUTSCHE X-TRACKERS MSCI EAF @ 26 1722	(10,220,000)	26 157	267,320.76	(310,951.67)	(43,630 91) L
8/26	High Cost		267,479.88 BROKERAGE 153.30 TAX &/OR SEC 5 82 CITIGROUP GLOBAL MKTS INC (ID: 233051-20-0)					
8/26	Sale		ASTON/FAIRPOINTE MID CAP-1 (ID: 00078H-15-8)	(10,961,570)	38.30	419,828.13	(319,113 80)	100,714 33 L
8/29	High Cost							
8/26	Sale		ISHARES CORE S&P MIDCAP ETF @ 155.8201	(2,428,000)	155.802	377,974 93	(353,505.08)	24,469.85 L
8/31	High Cost		378,019 56 BROKERAGE 36.39 TAX &/OR SEC 8.24 DEUTSCHE BANC ALEX BROWN INC (ID: 464287-50-7)					
8/26	Sale		ISHARES RUSSELL MID-CAP GROW @ 97.7559	(2,830,000)	97.739	276,600.71	(160,871.35)	115,729.36 L
8/31	High Cost		276,649 20 BROKERAGE 42 45 TAX &/OR SEC 6.04 UBS SECURITIES LLC (ID: 464287-48-1)					
9/1	Sale		EDISON INTERNATIONAL @ 72.3828 723 82 BROKERAGE	(10,000)	72 366	723.66	(471 40)	252.26 L
9/7	High Cost		0.15 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 281020-10-7)					
9/1	Sale		PACCAR INC @ 59.0186 767.24 BROKERAGE 0 19 TAX	(13,000)	59.003	767.04	(604.23)	162 81 L
9/7	High Cost		&/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 693718-10-8)					
9/1	Sale		3M CO @ 179.0341 895.17 BROKERAGE 0.07 TAX &/OR	(5 000)	179 018	895.09	(441 40)	453 69 L
9/7	High Cost		SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 88579Y-10-1)					
9/1	Sale		UNITED TECHNOLOGIES CORP @ 105.8513 1,481.91	(14,000)	105 834	1,481.87	(1,139 87)	341.80 L
9/7	High Cost		BROKERAGE 0.21 TAX &/OR SEC .03 CITIGROUP GLOBAL MKTS INC (ID: 913017-10-9)					
9/16	Sale		PACCAR INC @ 56.715 56.71 BROKERAGE 0.01 STATE	(1 000)	56.70	56 70	(46 48)	10.22 L
9/21	High Cost		STREET GLOBAL MARKETS, LLC. (ID: 693718-10-8)					
9/16	Sale		PACCAR INC @ 56.6382 396.46 BROKERAGE 0.10	(7 000)	56.623	396.36	(299.54)	96.82 L
9/21	High Cost		KNIGHT EQUITY MARKET'S L.P. (ID: 693718-10-8)					

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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
9/16 9/21	Sale High Cost	PACCAR INC @ 56.635 453.08 BROKERAGE 0.12 RBC CAPITAL MARKETS CORPORATION (ID: 693718-10-8)	(8,000)	56.62	452.96	(320.19)	132.77 L
9/16 9/21	Sale High Cost	UNITED TECHNOLOGIES CORP @ 100.1839 901.65 BROKERAGE 0.22 TAX &/OR SEC.01 DEUTSCHE BANC ALEX BROWN INC (ID: 913017-10-9)	(9,000)	100.158	901.42	(732.78)	168.64 L
9/16 9/21	Sale High Cost	DEUTSCHE X-TRACKERS MSCI EAF @ 25.80 25,619.40 BROKERAGE 24.82 TAX &/OR SEC.55 FIRST NEW YORK SECURITIES LLC (ID: 233051-20-0)	(993,000)	25.774	25,594.03	(30,212.82)	(4,618.79) L
9/16 9/21	Sale High Cost	UNITED TECHNOLOGIES CORP @ 100.305 300.91 BROKERAGE 0.03 LIQUIDNET INC (ID: 913017-10-9)	(3,000)	100.293	300.88	(244.26)	56.62 L
9/16 9/21	Sale High Cost	DEUTSCHE X-TRACKERS MSCI EAF @ 25.78 64,682.02 BROKERAGE 62.72 TAX &/OR SEC 1.41 MERRILL LYNCH PROF. CLEARING CORP. (ID: 233051-20-0)	(2,509,000)	25.754	64,617.89	(76,338.33)	(11,720.44) L
9/16 9/21	Sale High Cost	DEUTSCHE X-TRACKERS MSCI EAF @ 25.7846 187,273.55 BROKERAGE 108.94 TAX &/OR SEC 4.08 DEUTSCHE BANC ALEX BROWN INC (ID: 233051-20-0)	(7,263,000)	25.769	187,160.53	(215,715.55)	(28,555.02) L
9/16 9/21	Sale High Cost	DEUTSCHE X-TRACKERS MSCI EAF @ 25.7724 134,403.06 BROKERAGE 78.22 TAX &/OR SEC 2.93 UBS SECURITIES LLC (ID: 233051-20-0)	(5,215,000)	25.757	134,321.91	(154,072.20)	(19,750.29) L
9/19 9/22	Sale High Cost	PACCAR INC @ 56.8328 340.99 BROKERAGE 0.15 SUNTRUST CAPITAL MARKETS INC (ID: 693718-10-8)	(6,000)	56.807	340.84	(239.10)	101.74 L
9/20 9/23	Sale High Cost	PACCAR INC @ 57.3027 515.72 BROKERAGE 0.13 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID: 693718-10-8)	(9,000)	57.287	515.58	(358.16)	157.42 L
9/21 9/26	Sale High Cost	PACCAR INC @ 57.6564 172.96 BROKERAGE 0.04 JEFFERIES & COMPANY (ID: 693718-10-8)	(3,000)	57.64	172.92	(119.26)	53.66 L

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TEUBERT CHAR TR ACCT. V35558002
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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
9/22	Sale		PACCAR INC @ 58 4486 116 89 BROKERAGE 0 03	(2,000)	58.43	116.86	(79 34)	37.52 L
9/27	High Cost		JEFFERIES & COMPANY (ID: 693718-10-8)					
Total Settled Sales/Maturities/Redemptions						\$4,663,461.40	(\$4,254,602.84)	\$234,809.56 L (\$39,299.00) S

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
9/30 10/5	Purchase	CHEVRON CORP @ 78.2565 1,486.87 BROKERAGE 0.28 JEFFERIES & COMPANY (ID: 166764-10-0)	19,000	78.271	(1,487 15) *
9/30 10/5	Purchase	CHEVRON CORP @ 78.2267 78.22 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC. (ID: 166764-10-0)	1,000	78 23	(78 23) *
10/1 10/6	Purchase	CHEVRON CORP @ 80.58 322 32 BROKERAGE 0.06 STATE STREET GLOBAL MARKETS, LLC. (ID: 166764-10-0)	4,000	80 595	(322.38)
10/7 10/13	Purchase	CMS ENERGY CORP @ 35 3596 1,237.58 BROKERAGE 0 52 DEUTSCHE BANC ALEX BROWN INC (ID: 125896-10-0)	35,000	35.374	(1,238 10)
10/7 10/13	Purchase	MONDELEZ INTERNATIONAL-W/I @ 44.238 1,238.66 BROKERAGE 0.42 DEUTSCHE BANC ALEX BROWN INC (ID: 609207-10-5)	28 000	44.253	(1,239.08)
10/15 10/20	Purchase	CONOCOPHILLIPS @ 54.8919 1,042.94 BROKERAGE 0 42 CREDIT SUISSE FIRST BOSTON LLC (ID: 20825C-10-4)	19,000	54.914	(1,043.36)

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TRADE ACTIVITY

Note: L Indicates Long Term Realized Gain/Loss
O Indicates Ordinary Income Realized Gain

S Indicates Short Term Realized Gain/Loss

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
10/6	Sale	DIAMOND OFFSHORE DRILL 3.450% 11/01/2023 DTD					
10/9	High Cost	11/05/2013 @ 89.92 JPMORGAN CLEARING CORP (ID: 25271C-AM-4)	(5,000.000)	89.922	4,496.10	(4,804.70)	(308.60) L
10/15	Principal Payment	FHLMC GOLD G30280 5.5% 09/01/24 PAYMENT A/C					
10/15	Pro Rata	PRINCIPAL (ID: 3128CU-JZ-0)	(220.800)	100.00	220.80	(241.16)	(20.36) L
10/15	Principal Payment	FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C					
10/15	Pro Rata	PRINCIPAL (ID: 31288M-CQ-0)	(60.230)	100.00	60.23	(61.39)	(1.16) L
10/15	Principal Payment	FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C					
10/15	Pro Rata	PRINCIPAL (ID: 312964-SJ-4)	(233.680)	100.00	233.68	(231.98)	1.70 L
10/15	Principal Payment	FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C					
10/15	Pro Rata	PRINCIPAL (ID: 31298G-CH-1)	(101.500)	100.00	101.50	(116.85)	(15.35) L
10/15	Principal Payment	FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C					
10/15	Pro Rata	PRINCIPAL (ID: 31335H-RM-7)	(175.850)	100.00	175.85	(191.02)	(15.17) L
10/15	Principal Payment	GNMA 631867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL					
10/15	Pro Rata	(ID: 36291L-6C-4)	(130.670)	100.00	130.67	(134.51)	(3.84) L
10/15	Principal Payment	GNMA 642234 5.5% 01/15/36 PAYMENT A/C PRINCIPAL					
10/15	Pro Rata	(ID: 36291Y-PT-8)	(30.960)	100.00	30.96	(30.97)	(0.01) L
10/15	Principal Payment	FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD					
10/15	Pro Rata	08/01/1998 PAYMENT A/C PRINCIPAL (ID: 3133TG-CK-3)	(251.420)	100.00	251.42	(280.65)	(29.23) L
10/15	Principal Payment	FHLMC 2003-2130 CL QS REMIC 6.000% 03/15/2029					
10/15	Pro Rata	DTD 03/30/1999 PAYMENT A/C PRINCIPAL (ID: 3133TJ-P5-6)	(197.260)	100.00	197.26	(219.58)	(22.32) L

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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/15 10/15	Principal Payment Pro Rata	FHMLC MULTICLASS MTG PARTN CTF5 GTD SER 2141 CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C PRINCIPAL (ID: 3133TK-GX-2)	(156,520)	100.00	156.52	(161.02)	(4.50) L
10/15 10/15	Principal Payment Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2312 CL 2312-JB 6.50% DUE 05/15/2031 PAYMENT A/C PRINCIPAL (ID: 3133TT-MY-4)	(240,860)	100.00	240.86	(248.09)	(7.23) L
10/15 10/15	Principal Payment Pro Rata	FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD 09/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)	(71,430)	100.00	71.43	(72.95)	(1.52) L
10/15 10/15	Principal Payment Pro Rata	FHLMC 1638 CL H 6.500% 12/15/2023 DTD 12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)	(187,930)	100.00	187.93	(206.96)	(19.03) L
10/15 10/15	Principal Payment Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 1643-CL 1643-PK 6.500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)	(146,380)	100.00	146.38	(152.78)	(6.40) L
10/15 10/15	Principal Payment Pro Rata	FHLMC 2482 CL JG 6.500% 06/15/2032 DTD 06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)	(1,013,370)	100.00	1,013.37	(1,124.84)	(111.47) L
10/15 10/15	Principal Payment Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)	(189,530)	100.00	189.53	(181.77)	7.76 L
10/15 10/15	Principal Payment Pro Rata	FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD 12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)	(540,190)	100.00	540.19	(585.09)	(44.90) L

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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
10/15	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(140.270)	100.00	140.27	(136.15)	4.12 L
10/15	Pro Rata	CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)					
10/15	Principal Payment	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL	(297.480)	100.00	297.48	(333.18)	(35.70) L
10/15	Pro Rata	BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)					
10/15	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(311.290)	100.00	311.29	(307.11)	4.18 L
10/15	Pro Rata	CTFS GTD SER 2798 CL JL 4.50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)					
10/15	Principal Payment	FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD	(425.940)	100.00	425.94	(447.24)	(21.30) L
10/15	Pro Rata	05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-UY-8)					
10/15	Principal Payment	FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD	(675.210)	100.00	675.21	(632.17)	43.04 L
10/15	Pro Rata	11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-M8-3)					
10/15	Principal Payment	FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD	(162.770)	100.00	162.77	(152.19)	10.58 L
10/15	Pro Rata	05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)					
10/15	Principal Payment	FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD	(242.510)	100.00	242.51	(265.85)	(23.34) L
10/15	Pro Rata	01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)					
10/15	Principal Payment	FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD	(107.520)	100.00	107.52	(116.39)	(8.87) L
10/15	Pro Rata	03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)					
10/15	Principal Payment	FHLMC SER 3575 CL EB 4.000% 09/15/2024 DTD	(496.280)	100.00	496.28	(530.09)	(33.81) L
10/15	Pro Rata	09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)					

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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
10/16	Principal Payment	GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD	(376 980)	100.00	376.96	(413.36)	(36.40) L
10/16	Pro Rata	04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)					
10/20	Principal Payment	GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD	(422 530)	100.00	422.53	(474 29)	(51 76) L
10/20	Pro Rata	08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)					
10/20	Principal Payment	GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD	(958 780)	100.00	958.78	(1,047 47)	(88 69) L
10/20	Pro Rata	03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)					
10/20	Principal Payment	GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD	(398 930)	100.00	398.93	(442 31)	(43 38) L
10/20	Pro Rata	06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)					
10/26	Principal Payment	FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL	(21 180)	100.00	21.18	(21 37)	(0 19) L
10/26	Pro Rata	(ID: 31380D-4T-0)					
10/26	Principal Payment	FNMA 4.5% 06/25/40 PAYMENT A/C PRINCIPAL	(223 150)	100.00	223.15	(237 31)	(14 16) L
10/26	Pro Rata	(ID: 31398R-UH-6)					
10/26	Principal Payment	FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL	(196 380)	100.00	196.38	(197 42)	(1 04) L
10/26	Pro Rata	(ID: 31405D-KE-6)					
10/26	Principal Payment	FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL	(324 900)	100.00	324.90	(346 63)	(21 73) L
10/26	Pro Rata	(ID: 31406F-JG-6)					
10/26	Principal Payment	FNMA 851328 5.5% 02/01/36 PAYMENT A/C PRINCIPAL	(19 520)	100.00	19.52	(19 27)	0 25 L
10/26	Pro Rata	(ID: 31408G-Y2-7)					
10/26	Principal Payment	FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023	(59 990)	100.00	59.99	(60 89)	(0 90) L
10/26	Pro Rata	DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-5)					
10/26	Principal Payment	FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032	(137 560)	100.00	137.56	(143 75)	(6 19) L
10/26	Pro Rata	DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-5)					

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
10/26	Principal Payment	Pro Rata	FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033 DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)	(268.820)	100.00	268.82	(294.69)	(25.87) L
10/26	Principal Payment	Pro Rata	FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25 2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393C-PD-9)	(160.180)	100.00	160.18	(151.57)	8.61 L
10/26	Principal Payment	Pro Rata	FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037 DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)	(154.950)	100.00	154.95	(170.35)	(15.40) L
10/26	Principal Payment	Pro Rata	FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05% FEB 25 2044 DTD 06/01/2004 PAYMENT A/C PRINCIPAL (ID: 31394A-CG-9)	(138.120)	100.00	138.12	(151.41)	(13.29) L
11/16	Principal Payment	Pro Rata	FHLMC GOLD G30280 5.5% 09/01/24 PAYMENT A/C PRINCIPAL (ID: 3128CU-JZ-0)	(225.000)	100.00	225.00	(245.74)	(20.74) L
11/16	Principal Payment	Pro Rata	FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C PRINCIPAL (ID: 31288M-CQ-0)	(56.040)	100.00	56.04	(57.12)	(1.08) L
11/16	Principal Payment	Pro Rata	FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C PRINCIPAL (ID: 312964-SJ-4)	(259.730)	100.00	259.73	(257.84)	1.89 L
11/16	Principal Payment	Pro Rata	FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C PRINCIPAL (ID: 31298G-CH-1)	(1,284.570)	100.00	1,284.57	(1,478.86)	(194.29) L
11/16	Principal Payment	Pro Rata	FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C PRINCIPAL (ID: 31335H-RM-7)	(356.850)	100.00	356.85	(387.63)	(30.78) L
11/16	Principal Payment	Pro Rata	GNMA 631867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL (ID: 36291L-6C-4)	(131.430)	100.00	131.43	(135.29)	(3.86) L
11/16	Principal Payment	Pro Rata	GNMA 642234 5.5% 01/15/36 PAYMENT A/C PRINCIPAL (ID: 36291Y-PT-8)	(31.130)	100.00	31.13	(31.14)	(0.01) L

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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
11/16	Principal Payment	FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD	(375.520)	100.00	375.52	(419.17)	(43.65) L
11/16	Pro Rata	08/01/1998 PAYMENT A/C PRINCIPAL (ID: 3133TG-CK-3)					
11/16	Principal Payment	FHLMC 2003-2130 CL OS REMIC 6.000% 03/15/2029	(278.520)	100.00	278.52	(310.03)	(31.51) L
11/16	Pro Rata	DTD 03/30/1999 PAYMENT A/C PRINCIPAL (ID: 3133TJ-P5-6)					
11/16	Principal Payment	FHMLC MULTICLASS MTG PARTN CTFS GTD SER 2141	(130.370)	100.00	130.37	(134.12)	(3.75) L
11/16	Pro Rata	CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C PRINCIPAL (ID: 3133TK-GX-2)					
11/16	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(132.470)	100.00	132.47	(136.44)	(3.97) L
11/16	Pro Rata	CTFS GTD SER 2312 CL 2312-JB 6.50% DUE 05/15/2031 PAYMENT A/C PRINCIPAL (ID: 3133TT-MY-4)					
11/16	Principal Payment	FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD	(84.870)	100.00	84.87	(86.67)	(1.80) L
11/16	Pro Rata	09/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)					
11/16	Principal Payment	FHLMC 1638 CL H 6.500% 12/15/2023 DTD	(153.580)	100.00	153.58	(169.13)	(15.55) L
11/16	Pro Rata	12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)					
11/16	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(142.470)	100.00	142.47	(148.70)	(6.23) L
11/16	Pro Rata	CTFS GTD SER 1643-CL 1643-PK 6.500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)					
11/16	Principal Payment	FHLMC 2462 CL JG 6.500% 06/15/2032 DTD	(162.000)	100.00	162.00	(179.82)	(17.82) L
11/16	Pro Rata	06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
11/16	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(223.720)	100.00	223.72	(214.56)	9.16 L
11/16	Pro Rata		CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)					
11/16	Principal Payment		FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD	(423.380)	100.00	423.38	(458.57)	(35.19) L
11/16	Pro Rata		12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)					
11/16	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(129.640)	100.00	129.64	(125.83)	3.81 L
11/16	Pro Rata		CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)					
11/16	Principal Payment		FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL	(176.860)	100.00	176.86	(198.08)	(21.22) L
11/16	Pro Rata		BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393F-DS-6)					
11/16	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(289.290)	100.00	289.29	(285.40)	3.89 L
11/16	Pro Rata		CTFS GTD SER 2798 CL JL 4.50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)					
11/16	Principal Payment		FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD	(426.020)	100.00	426.02	(447.32)	(21.30) L
11/16	Pro Rata		05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-UY-8)					
11/16	Principal Payment		FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD	(656.510)	100.00	656.51	(614.75)	41.86 L
11/16	Pro Rata		11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-MB-3)					
11/16	Principal Payment		FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD	(137.970)	100.00	137.97	(129.00)	8.97 L
11/16	Pro Rata		05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)					
11/16	Principal Payment		FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD	(238.540)	100.00	238.54	(261.50)	(22.96) L
11/16	Pro Rata		01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
11/16	Principal Payment		FHLMC SER 3293 CL MP 5 500% 03/15/2037 DTD	(472.290)	100.00	472.29	(511.25)	(38.96) L
11/16	Pro Rata		03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)					
11/16	Principal Payment		FHLMC SER 3575 CL EB 4 000% 09/15/2024 DTD	(422.690)	100.00	422.69	(451.38)	(28.79) L
11/16	Pro Rata		09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)					
11/16	Principal Payment		GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD	(358.480)	100.00	358.48	(393.10)	(34.62) L
11/16	Pro Rata		04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)					
11/20	Principal Payment		GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD	(411.230)	100.00	411.23	(461.61)	(50.38) L
11/20	Pro Rata		08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)					
11/20	Principal Payment		GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD	(762.760)	100.00	762.76	(833.32)	(70.56) L
11/20	Pro Rata		03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)					
11/20	Principal Payment		GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD	(356.570)	100.00	356.57	(395.35)	(38.78) L
11/20	Pro Rata		06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)					
11/17	Sale		NATIONAL SEMICONDUCTOR CORP SR NT 2017 DTD	(5,000.000)	108.552	5,427.60	(5,951.75)	(524.15) L
11/20	High Cost		06/18/2007 6.60% DUE 06/15/2017 @ 108.55 CITIGROUP GLOBAL MARKETS INC/SALOM (ID: 637640-AE-3)					
11/19	Sale		FREEPORT-MCMORAN INC 2.300% 11/14/2017 DTD	(2,000.000)	91.25	1,825.00	(1,998.68)	(173.68) L
11/24	High Cost		11/14/2014 @ 91.25 MORGAN STANLEY & CO. LLC (ID: 35571D-BK-0)					
11/25	Principal Payment		FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL	(21.290)	100.00	21.29	(21.48)	(0.19) L
11/25	Pro Rata		(ID: 31380D-4T-0)					
11/25	Principal Payment		FNMA 4.5% 06/25/40 PAYMENT A/C PRINCIPAL	(162.020)	100.00	162.02	(172.30)	(10.28) L
11/25	Pro Rata		(ID: 31398R-UH-6)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
11/25	Principal Payment		FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL	(194.670)	100.00	194.67	(195.70)	(1.03) L
11/25	Pro Rata		(ID: 31405D-KE-6)					
11/25	Principal Payment		FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL	(326.370)	100.00	326.37	(348.20)	(21.83) L
11/25	Pro Rata		(ID: 31406F-U6-6)					
11/25	Principal Payment		FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL	(24.810)	100.00	24.81	(24.50)	0.31 L
11/25	Pro Rata		(ID: 31408G-Y2-7)					
11/25	Principal Payment		FANNIE MAE SER 1993-105 CL D 6 5% JUN 25 2023	(85.170)	100.00	85.17	(86.45)	(1.28) L
11/25	Pro Rata		DTD 06/01/1993 PAYMENT A/C PRINCIPAL					
			(ID: 31359A-S7-6)					
11/25	Principal Payment		FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032	(248.270)	100.00	248.27	(259.44)	(11.17) L
11/25	Pro Rata		DTD 11/01/2002 PAYMENT A/C PRINCIPAL					
			(ID: 31382F-YC-6)					
11/25	Principal Payment		FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033	(360.830)	100.00	360.83	(395.56)	(34.73) L
11/25	Pro Rata		DTD 02/01/2003 PAYMENT A/C PRINCIPAL					
			(ID: 31392J-LN-7)					
11/25	Principal Payment		FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25	(155.470)	100.00	155.47	(147.11)	8.36 L
11/25	Pro Rata		2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL					
			(ID: 31393C-PD-9)					
11/25	Principal Payment		FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037	(167.530)	100.00	167.53	(184.18)	(16.65) L
11/25	Pro Rata		DTD 06/01/2007 PAYMENT A/C PRINCIPAL					
			(ID: 31396W-QT-6)					
11/25	Principal Payment		FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05%	(124.070)	100.00	124.07	(136.01)	(11.94) L
11/25	Pro Rata		FEB 25 2044 DTD 06/01/2004 PAYMENT A/C					
			PRINCIPAL (ID: 31394A-CG-9)					
12/15	Principal Payment		FHLMC GOLD G30280 5 5% 09/01/24 PAYMENT A/C	(228.000)	100.00	228.00	(249.02)	(21.02) L
12/15	Pro Rata		PRINCIPAL (ID: 3128CU-JZ-0)					
12/15	Principal Payment		FHLMC GOLD P60078 8% 01/01/17 PAYMENT A/C	(56.600)	100.00	56.60	(57.69)	(1.09) L
12/15	Pro Rata		PRINCIPAL (ID: 31288M-CQ-0)					

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TEUBERT CHAR TR F1 ACCT. V35558408
For the Period 10/1/15 to 9/30/16



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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
12/15	Principal Payment Pro Rata	FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C PRINCIPAL (ID: 312964-5J-4)	(184.240)	100.00	184.24	(182.90)	1.34 L
12/15	Principal Payment Pro Rata	FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C PRINCIPAL (ID: 31298G-CH-1)	(97.930)	100.00	97.93	(112.74)	(14.81) L
12/15	Principal Payment Pro Rata	FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C PRINCIPAL (ID: 31335H-RM-7)	(172.750)	100.00	172.75	(187.65)	(14.90) L
12/15	Principal Payment Pro Rata	GNMA 831867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL (ID: 36291L-6C-4)	(132.200)	100.00	132.20	(136.08)	(3.88) L
12/15	Principal Payment Pro Rata	GNMA 642234 5.5% 01/15/36 PAYMENT A/C PRINCIPAL (ID: 36291Y-PT-8)	(31.280)	100.00	31.28	(31.29)	(0.01) L
12/15	Principal Payment Pro Rata	FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD 08/01/1998 PAYMENT A/C PRINCIPAL (ID: 3133TG-OK-3)	(301.540)	100.00	301.54	(336.59)	(35.05) L
12/15	Principal Payment Pro Rata	FHLMC 2003-2130 CL QS REMIG 6.00% 03/15/2029 DTD 03/30/1999 PAYMENT A/C PRINCIPAL (ID: 3133TJ-P5-6)	(239.370)	100.00	239.37	(266.45)	(27.08) L
12/15	Principal Payment Pro Rata	FHMLC MULTICLASS MTG PARTN CTFS GTD SER 2141 CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C PRINCIPAL (ID: 3133TK-GX-2)	(137.000)	100.00	137.00	(140.94)	(3.94) L
12/15	Principal Payment Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2312 CL 2312-JB 6.50% DUE 05/15/2031 PAYMENT A/C PRINCIPAL (ID: 3133TT-MY-4)	(77.600)	100.00	77.60	(79.93)	(2.33) L
12/15	Principal Payment Pro Rata	FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD 09/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)	(53.790)	100.00	53.79	(54.99)	(1.14) L

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TEUBERT CHAR TR FJ ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
12/15	Principal Payment		FHLMC 1638 CL H 6 500% 12/15/2023 DTD					
12/15	Pro Rata		12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)	(258.480)	100.00	258.48	(284.65)	(26.17) L
12/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN					
12/15	Pro Rata		CTFS GTD SER 1643-PK 6 500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)	(138.650)	100.00	138.65	(144.72)	(6.07) L
12/15	Principal Payment		FHLMC 2462 CL JG 6 500% 06/15/2032 DTD					
12/15	Pro Rata		06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)	(227.150)	100.00	227.15	(252.14)	(24.99) L
12/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN					
12/15	Pro Rata		CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)	(133.580)	100.00	133.56	(128.09)	5.47 L
12/15	Principal Payment		FHLMC SER 2532 CL PH 5 5% DEC 15 2032 DTD					
12/15	Pro Rata		12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)	(96.190)	100.00	96.19	(104.19)	(8.00) L
12/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN					
12/15	Pro Rata		CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)	(154.900)	100.00	154.90	(150.35)	4.55 L
12/15	Principal Payment		FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL					
12/15	Pro Rata		BY 5 500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)	(176.400)	100.00	176.40	(197.57)	(21.17) L
12/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN					
12/15	Pro Rata		CTFS GTD SER 2798 CL JL 4 50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)	(334.650)	100.00	334.65	(330.15)	4.50 L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
12/15 12/15	Principal Payment Pro Rata		FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD 05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-UY-8)	(384.070)	100.00	384.07	(403.27)	(19.20) L
12/15 12/15	Principal Payment Pro Rata		FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD 11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31398F-M8-3)	(638.510)	100.00	638.51	(597.80)	40.71 L
12/15 12/15	Principal Payment Pro Rata		FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD 05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)	(120.320)	100.00	120.32	(112.50)	7.82 L
12/15 12/15	Principal Payment Pro Rata		FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD 01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)	(309.770)	100.00	309.77	(339.58)	(29.81) L
12/15 12/15	Principal Payment Pro Rata		FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD 03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)	(94.020)	100.00	94.02	(101.79)	(7.76) L
12/15 12/15	Principal Payment Pro Rata		FHLMC SER 3575 CL EB 4.000% 09/15/2024 DTD 09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)	(472.680)	100.00	472.68	(504.88)	(32.20) L
12/16 12/16	Principal Payment Pro Rata		GNMA SER 2005-33 CL AY 5.5% APR 15 2035 DTD 04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)	(280.180)	100.00	280.18	(307.23)	(27.05) L
12/21 12/21	Principal Payment Pro Rata		GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD 03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)	(701.350)	100.00	701.35	(766.22)	(64.87) L
12/21 12/21	Principal Payment Pro Rata		GNMA SER 2005-49 CL B 5.5% 05/20/2035 DTD 06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)	(246.520)	100.00	246.52	(273.33)	(26.81) L
12/21 12/22	Sale High Cost		US TREAS 1.875% 08/31/17 @ 101.55 GOLDMAN SACHS & CO. (ID: 912828-NW-6)	(25,000.000)	101.555	25,388.67	(26,260.74)	(872.07) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/21 12/22	Sale High Cost	US TREAS 2.75% 05/31/17 @ 102.64 TORONTO DOMINION SECURITIES (USA) (ID: 912828-NG-1)	(25,000 000)	102.641	25,660.16	(25,844.73)	(184.57) L
12/28 12/28	Principal Payment Pro Rata	FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL (ID: 31380D-4T-0)	(21,410)	100.00	21.41	(21.60)	(0.19) L
12/28 12/28	Principal Payment Pro Rata	FNMA 4.5% 06/25/40 PAYMENT A/C PRINCIPAL (ID: 31398R-UH-6)	(207,710)	100.00	207.71	(220.89)	(13.18) L
12/28 12/28	Principal Payment Pro Rata	FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL (ID: 31405D-KE-6)	(195,920)	100.00	195.92	(196.96)	(1.04) L
12/28 12/28	Principal Payment Pro Rata	FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL (ID: 31406F-U6-6)	(327,860)	100.00	327.86	(349.79)	(21.93) L
12/28 12/28	Principal Payment Pro Rata	FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL (ID: 31408G-Y2-7)	(19,740)	100.00	19.74	(19.49)	0.25 L
12/28 12/28	Principal Payment Pro Rata	FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023 DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-5)	(102,290)	100.00	102.29	(103.82)	(1.53) L
12/28 12/28	Principal Payment Pro Rata	FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032 DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-6)	(80,980)	100.00	80.98	(84.62)	(3.64) L
12/28 12/28	Principal Payment Pro Rata	FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033 DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)	(199,430)	100.00	199.43	(218.62)	(19.19) L
12/28 12/28	Principal Payment Pro Rata	FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25 2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393C-PD-9)	(90,160)	100.00	90.16	(85.31)	4.85 L
12/28 12/28	Principal Payment Pro Rata	FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037 DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)	(134,860)	100.00	134.86	(148.26)	(13.40) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
12/28	Principal Payment		FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05%	(197 670)	100.00	197.67	(216 70)	(19 03) L
12/28	Pro Rata		FEB 25 2044 DTD 06/01/2004 PAYMENT A/C PRINCIPAL (ID: 31394A-CG-9)					
12/29	Principal Payment		GNMA REMIC TRUST 2003-36 5 5% 08/20/2033 DTD	(372 300)	100.00	372.30	(417 91)	(45 61) L
12/29	Pro Rata		08/01/2003 PAYMENT A/C PRINCIPAL AS OF 12/21/15 (ID: 38374B-CX-4)					
1/13	Sale		US TREAS 4.5% 05/15/17 @ 104.86 NOMURA	(30,000,000)	104.859	31,457.81	(34,555.08)	(3,097 27) L
1/14	High Cost		SECURITIES INTL. FIXED INCO (ID: 912828-GS-3)					
1/15	Principal Payment		FHLMC GOLD G30280 5 5% 09/01/24 PAYMENT A/C	(198 260)	100 00	198 26	(216 54)	(18 28) L
1/15	Pro Rata		PRINCIPAL (ID 3128CU-JZ-0)					
1/15	Principal Payment		FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C	(56 670)	100.00	56.67	(57 76)	(1 09) L
1/15	Pro Rata		PRINCIPAL (ID: 31288M-CQ-0)					
1/15	Principal Payment		FHLMC GOLD B12649 4 5% 10/01/19 PAYMENT A/C	(131 250)	100.00	131.25	(130 30)	0 95 L
1/15	Pro Rata		PRINCIPAL (ID: 312964-SJ-4)					
1/15	Principal Payment		FHLMC GOLD C47272 6 5% 10/01/29 PAYMENT A/C	(98 450)	100.00	98.45	(113 34)	(14 89) L
1/15	Pro Rata		PRINCIPAL (ID: 31298G-CH-1)					
1/15	Principal Payment		FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C	(235 860)	100 00	235 86	(256 20)	(20 34) L
1/15	Pro Rata		PRINCIPAL (ID: 31335H-RM-7)					
1/15	Principal Payment		GNMA 631867 6 5% 08/15/19 PAYMENT A/C PRINCIPAL	(132 970)	100.00	132 97	(136 88)	(3 91) L
1/15	Pro Rata		(ID 36291L-6C-4)					
1/15	Principal Payment		GNMA 642234 5 5% 01/15/36 PAYMENT A/C PRINCIPAL	(31 440)	100.00	31.44	(31 45)	(0 01) L
1/15	Pro Rata		(ID: 36291Y-PT-3)					
1/15	Redemption		JOHNSON CONTROLS INC SR NOTES 5 1/2% JAN 15	(5,000 000)	100 00	5,000.00	(5,038 85)	(38 85) L
1/15	Pro Rata		2016 DTD 01/17/2006 TO REDEMPTION (ID: 478366-AR-8)					
1/15	Principal Payment		FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD	(317 150)	100 00	317 15	(354 02)	(36 87) L
1/15	Pro Rata		08/01/1998 PAYMENT A/C PRINCIPAL (ID: 3133TG-CK-3)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
1/15	Principal Payment		FHLMC 2003-2130 CL QS REMIC 6.000% 03/15/2029	(103.490)	100.00	103.49	(115.20)	(11.71) L
1/15	Pro Rata		DTD 03/30/1999 PAYMENT A/C PRINCIPAL (ID: 3133TJ-P5-6)					
1/15	Principal Payment		FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2141	(101.870)	100.00	101.87	(104.80)	(2.93) L
1/15	Pro Rata		CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C PRINCIPAL (ID: 3133TK-GX-2)					
1/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(70.310)	100.00	70.31	(72.42)	(2.11) L
1/15	Pro Rata		CTFS GTD SER 2312 CL 2312-JB 6.50% DUE 05/15/2031 PAYMENT A/C PRINCIPAL (ID: 3133TT-MY-4)					
1/15	Principal Payment		FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD	(48.450)	100.00	48.45	(49.48)	(1.03) L
1/15	Pro Rata		09/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)					
1/15	Principal Payment		FHLMC 1638 CL H 6.500% 12/15/2023 DTD	(218.480)	100.00	218.48	(240.60)	(22.12) L
1/15	Pro Rata		12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)					
1/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(134.920)	100.00	134.92	(140.82)	(5.90) L
1/15	Pro Rata		CTFS GTD SER 1643-CL 1643-PK 6.500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)					
1/15	Principal Payment		FHLMC 2482 CL JG 6.500% 06/15/2032 DTD	(314.950)	100.00	314.95	(349.59)	(34.64) L
1/15	Pro Rata		06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)					
1/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(140.630)	100.00	140.63	(134.87)	5.76 L
1/15	Pro Rata		CTFS GTD SER 2519 CL 2519-AH 5.000% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
1/15	Principal Payment		FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD					
1/15	Pro Rata		12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)	(446 070)	100.00	446.07	(483.15)	(37.08) L
1/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN					
1/15	Pro Rata		CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)	(116 740)	100.00	116.74	(113.31)	3.43 L
1/15	Principal Payment		FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL					
1/15	Pro Rata		BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)	(283 040)	100.00	283.04	(317.01)	(33.97) L
1/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN					
1/15	Pro Rata		CTFS GTD SER 2798 CL JL 4.50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)	(280 510)	100.00	280.51	(276.74)	3.77 L
1/15	Principal Payment		FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD					
1/15	Pro Rata		05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-JY-8)	(291 630)	100.00	291.63	(306.21)	(14.58) L
1/15	Principal Payment		FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD					
1/15	Pro Rata		11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-M8-3)	(620.910)	100.00	620.91	(581.33)	39.58 L
1/15	Principal Payment		FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD					
1/15	Pro Rata		05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)	(146 970)	100.00	146.97	(137.42)	9.55 L
1/15	Principal Payment		FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD					
1/15	Pro Rata		01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)	(250.450)	100.00	250.45	(274.56)	(24.11) L
1/15	Principal Payment		FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD					
1/15	Pro Rata		03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)	(127.920)	100.00	127.92	(138.47)	(10.55) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
1/15	Principal Payment		FHLMC SER 3575 CL EB 4 000% 09/15/2024 DTD	(434 510)	100.00	434.51	(464.11)	(29.60) L
1/15	Pro Rata		09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)					
1/19	Principal Payment		GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD	(259 510)	100.00	259.51	(284.57)	(25.06) L
1/19	Pro Rata		04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)					
1/20	Principal Payment		GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD	(367 790)	100.00	367.79	(412.84)	(45.05) L
1/20	Pro Rata		08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)					
1/20	Principal Payment		GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD	(547 550)	100.00	547.55	(598.20)	(50.65) L
1/20	Pro Rata		03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)					
1/20	Principal Payment		GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD	(426 830)	100.00	426.83	(473.25)	(46.42) L
1/20	Pro Rata		06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)					
1/25	Principal Payment		FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL	(21 530)	100.00	21.53	(21 72)	(0.19) L
1/25	Pro Rata		(ID: 31380D-4T-0)					
1/25	Principal Payment		FNMA 4.5% 08/25/40 PAYMENT A/C PRINCIPAL	(175 000)	100.00	175.00	(186.10)	(11 10) L
1/25	Pro Rata		(ID: 31398R-JH-6)					
1/25	Principal Payment		FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL	(191 620)	100.00	191.62	(192 64)	(1.02) L
1/25	Pro Rata		(ID: 31405D-KE-6)					
1/25	Principal Payment		FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL	(329 320)	100.00	329.32	(351 34)	(22.02) L
1/25	Pro Rata		(ID: 31406F-U6-6)					
1/25	Principal Payment		FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL	(24 740)	100.00	24.74	(24 43)	0.31 L
1/25	Pro Rata		(ID: 31408G-Y2-7)					
1/25	Principal Payment		FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023	(59 070)	100.00	59.07	(59.96)	(0.89) L
1/25	Pro Rata		DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-5)					

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TEUBERT CHAR TR FI ACCT V35558408
For the Period 10/1/15 to 9/30/16



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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
1/25	Principal Payment	FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032					
1/25	Pro Rata	DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-5)	(86,690)	100.00	86.69	(90.59)	(3.90) L
1/25	Principal Payment	FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033					
1/25	Pro Rata	DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)	(167,820)	100.00	167.82	(183.97)	(16.15) L
1/25	Principal Payment	FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25 2033					
1/25	Pro Rata	DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393C-PD-9)	(112,560)	100.00	112.56	(106.51)	6.05 L
1/25	Principal Payment	FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037					
1/25	Pro Rata	DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)	(154,670)	100.00	154.67	(170.04)	(15.37) L
1/25	Principal Payment	FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05% FEB 25 2044					
1/25	Pro Rata	DTD 06/01/2004 PAYMENT A/C PRINCIPAL (ID: 31394A-CG-9)	(96,360)	100.00	96.36	(105.63)	(9.27) L
1/21	Sale	US BANCORP SR NOTES MTN 1.65% MAY 15 2017 DTD 05/08/2012 @ 100.53 BAIRD (ROBERT W.) & CO. INCORPORAT (ID: 91159H-HD-5)	(4,000,000)	100.527	4,021.08	(3,992.52)	28.56 L
2/5	Sale	US TREAS 1.875% 08/31/17 @ 101.79 NOMURA SECURITIES INTL, FIXED INCO (ID: 912828-NW-6)	(30,000,000)	101.785	30,535.55	(31,512.89)	(977.34) L
2/16	Principal Payment	FHLMC GOLD G30280 5.5% 09/01/24 PAYMENT A/C PRINCIPAL (ID: 3128CU-JZ-0)	(183,480)	100.00	183.48	(200.39)	(16.91) L
2/16	Principal Payment	FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C PRINCIPAL (ID: 31288M-CQ-0)	(57,000)	100.00	57.00	(58.09)	(1.09) L
2/16	Principal Payment	FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C PRINCIPAL (ID: 312964-5J-4)	(131,790)	100.00	131.79	(130.83)	0.96 L
2/16	Principal Payment	FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C PRINCIPAL (ID: 31298G-CH-1)	(99,030)	100.00	99.03	(114.01)	(14.98) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/16	Principal Payment	FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C	(161 860)	100.00	161.86	(175.82)	(13.96) L
2/16	Pro Rata	PRINCIPAL (ID: 31335H-RM-7)					
2/16	Principal Payment	GNMA 631867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL	(133.740)	100.00	133.74	(137.67)	(3.93) L
2/16	Pro Rata	(ID: 36291L-6C-4)					
2/16	Principal Payment	GNMA 642234 5.5% 01/15/36 PAYMENT A/C PRINCIPAL	(188.570)	100.00	188.57	(188.66)	(0.09) L
2/16	Pro Rata	(ID: 36291Y-PT-8)					
2/16	Principal Payment	FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD	(182.200)	100.00	182.20	(203.38)	(21.18) L
2/16	Pro Rata	08/01/1998 PAYMENT A/C PRINCIPAL					
		(ID: 3133TG-CK-3)					
2/16	Principal Payment	FHLMC 2003-2130 CL QS REMIC 6.000% 03/15/2029	(289.070)	100.00	289.07	(321.77)	(32.70) L
2/16	Pro Rata	DTD 03/30/1999 PAYMENT A/C PRINCIPAL					
		(ID: 3133TJ-P5-6)					
2/16	Principal Payment	FHMLC MULTICLASS MTG PARTN CTFS GTD SER 2141	(113.450)	100.00	113.45	(116.71)	(3.26) L
2/16	Pro Rata	CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C					
		PRINCIPAL (ID: 3133TK-GX-2)					
2/16	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(215.170)	100.00	215.17	(221.63)	(6.46) L
2/16	Pro Rata	CTFS GTD SER 2312 CL 2312-JB 6.50% DUE					
		05/15/2031 PAYMENT A/C PRINCIPAL					
		(ID: 3133TT-MY-4)					
2/16	Principal Payment	FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD	(58.490)	100.00	58.49	(59.73)	(1.24) L
2/16	Pro Rata	09/01/1993 PAYMENT A/C PRINCIPAL					
		(ID: 3133T1-CA-8)					
2/16	Principal Payment	FHLMC 1638 CL H 6.500% 12/15/2023 DTD	(228.660)	100.00	228.66	(251.81)	(23.15) L
2/16	Pro Rata	12/01/1993 PAYMENT A/C PRINCIPAL					
		(ID: 3133T2-W4-8)					
2/16	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(131.300)	100.00	131.30	(137.04)	(5.74) L
2/16	Pro Rata	CTFS GTD SER 1643-CL 1643-PK 6.500% DUE					
		12/15/2023 PAYMENT A/C PRINCIPAL					
		(ID: 3133T2-YT-1)					

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For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/16	Principal Payment	Pro Rata	FHLMC 2462 CL JG 6.500% 06/15/2032 DTD 06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)	(283.810)	100.00	283.81	(315.03)	(31.22) L
2/16	Principal Payment	Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)	(173.720)	100.00	173.72	(166.61)	7.11 L
2/16	Principal Payment	Pro Rata	FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD 12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)	(475.500)	100.00	475.50	(515.03)	(39.53) L
2/16	Principal Payment	Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)	(140.120)	100.00	140.12	(136.00)	4.12 L
2/16	Principal Payment	Pro Rata	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)	(103.230)	100.00	103.23	(115.62)	(12.39) L
2/16	Principal Payment	Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2798 CL JL 4.50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)	(237.370)	100.00	237.37	(234.18)	3.19 L
2/16	Principal Payment	Pro Rata	FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD 05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-UY-8)	(485.650)	100.00	485.65	(488.93)	(23.28) L
2/16	Principal Payment	Pro Rata	FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD 11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-M8-3)	(603.780)	100.00	603.78	(565.29)	38.49 L
2/16	Principal Payment	Pro Rata	FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD 05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)	(142.050)	100.00	142.05	(132.82)	9.23 L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/16	Principal Payment		FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD	(547.860)	100.00	547.86	(600.59)	(52.73) L
2/16	Pro Rata		01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)					
2/16	Principal Payment		FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD	(169.380)	100.00	169.38	(183.35)	(13.97) L
2/16	Pro Rata		03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)					
2/16	Principal Payment		FHLMC SER 3575 CL EB 4.000% 09/15/2024 DTD	(395.690)	100.00	395.69	(422.65)	(26.96) L
2/16	Pro Rata		09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)					
2/16	Principal Payment		GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD	(246.260)	100.00	246.26	(270.04)	(23.78) L
2/16	Pro Rata		04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)					
2/22	Redemption		CISCO SYSTEMS NOTES 5 1/2% FEB 22 2016 DTD	(5,000.000)	100.00	5,000.00	(4,874.70)	125.30 O
2/22	Pro Rata		2/22/2006 TO REDEMPTION (ID: 17275R-AC-6)					
2/22	Principal Payment		GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD	(382.510)	100.00	382.51	(429.37)	(46.86) L
2/22	Pro Rata		08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)					
2/22	Principal Payment		GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD	(712.830)	100.00	712.83	(778.77)	(65.94) L
2/22	Pro Rata		03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)					
2/22	Principal Payment		GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD	(214.530)	100.00	214.53	(237.86)	(23.33) L
2/22	Pro Rata		06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)					
2/25	Principal Payment		FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL	(21.640)	100.00	21.64	(21.83)	(0.19) L
2/25	Pro Rata		(ID: 31380D-4T-0)					
2/25	Principal Payment		FNMA 4 5% 06/25/40 PAYMENT A/C PRINCIPAL	(183.770)	100.00	183.77	(195.43)	(11.66) L
2/25	Pro Rata		(ID: 31398R-UH-6)					

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For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
2/25	Principal Payment		FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL	(186.100)	100.00	186.10	(187.09)	(0.99) L
2/25	Pro Rata		(ID: 31405D-KE-6)					
2/25	Principal Payment		FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL	(1,919.450)	100.00	1,919.45	(2,047.81)	(128.36) L
2/25	Pro Rata		(ID: 31406F-U6-6)					
2/25	Principal Payment		FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL	(19.970)	100.00	19.97	(19.72)	0.25 L
2/25	Pro Rata		(ID: 31408G-Y2-7)					
2/25	Principal Payment		FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023	(137.540)	100.00	137.54	(139.60)	(2.06) L
2/25	Pro Rata		DTD 06/01/1993 PAYMENT A/C PRINCIPAL					
2/25	Principal Payment		(ID: 31359A-S7-5)					
2/25	Principal Payment		FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032	(106.200)	100.00	106.20	(110.98)	(4.78) L
2/25	Pro Rata		DTD 11/01/2002 PAYMENT A/C PRINCIPAL					
2/25	Principal Payment		(ID: 31392F-YC-6)					
2/25	Principal Payment		FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033	(23.480)	100.00	23.48	(25.74)	(2.26) L
2/25	Pro Rata		DTD 02/01/2003 PAYMENT A/C PRINCIPAL					
2/25	Principal Payment		(ID: 31392J-LN-7)					
2/25	Principal Payment		FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25	(108.150)	100.00	108.15	(102.34)	5.81 L
2/25	Pro Rata		2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL					
2/25	Principal Payment		(ID: 31393C-PD-9)					
2/25	Principal Payment		FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037	(169.080)	100.00	169.08	(185.88)	(16.80) L
2/25	Pro Rata		DTD 06/01/2007 PAYMENT A/C PRINCIPAL					
2/25	Principal Payment		(ID: 31396W-QT-6)					
2/25	Principal Payment		FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05%	(130.490)	100.00	130.49	(143.05)	(12.56) L
2/25	Pro Rata		FEB 25 2044 DTD 06/01/2004 PAYMENT A/C					
2/25	Principal Payment		PRINCIPAL (ID: 31394A-CG-9)					
3/15	Principal Payment		FHLMC GOLD G30280 5.5% 09/01/24 PAYMENT A/C	(174.730)	100.00	174.73	(190.84)	(16.11) L
3/15	Pro Rata		PRINCIPAL (ID: 3128CU-JZ-0)					
3/15	Principal Payment		FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C	(57.340)	100.00	57.34	(58.44)	(1.10) L
3/15	Pro Rata		PRINCIPAL (ID: 31288M-CQ-0)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
3/15	Principal Payment		FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C	(132.330)	100.00	132.33	(131.37)	0.96 L
3/15	Pro Rata		PRINCIPAL (ID: 312964-SJ-4)					
3/15	Principal Payment		FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C	(99.680)	100.00	99.68	(114.76)	(15.08) L
3/15	Pro Rata		PRINCIPAL (ID: 31298G-CH-1)					
3/15	Principal Payment		FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C	(248.230)	100.00	248.23	(269.64)	(21.41) L
3/15	Pro Rata		PRINCIPAL (ID: 31335H-RM-7)					
3/15	Principal Payment		GNMA 631867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL	(134.520)	100.00	134.52	(138.47)	(3.95) L
3/15	Pro Rata		(ID: 36291L-6C-4)					
3/15	Principal Payment		GNMA 642234 5.5% 01/15/36 PAYMENT A/C PRINCIPAL	(32.520)	100.00	32.52	(32.54)	(0.02) L
3/15	Pro Rata		(ID: 36291Y-PT-8)					
3/15	Principal Payment		FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD	(179.710)	100.00	179.71	(200.60)	(20.89) L
3/15	Pro Rata		08/01/1998 PAYMENT A/C PRINCIPAL					
			(ID: 3133TG-CK-3)					
3/15	Principal Payment		FHLMC 2003-2130 CL QS REMIC 6.000% 03/15/2029	(160.940)	100.00	160.94	(179.16)	(18.21) L
3/15	Pro Rata		DTD 03/30/1999 PAYMENT A/C PRINCIPAL					
			(ID: 3133TJ-P5-6)					
3/15	Principal Payment		FHMLC MULTICLASS MTG PARTN CTFS GTD SER 2141	(135.010)	100.00	135.01	(138.89)	(3.88) L
3/15	Pro Rata		CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C					
			PRINCIPAL (ID: 3133TK-GX-2)					
3/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(58.320)	100.00	58.32	(60.07)	(1.75) L
3/15	Pro Rata		CTFS GTD SER 2312 CL 2312-JB 6.50% DUE					
			05/15/2031 PAYMENT A/C PRINCIPAL					
			(ID: 3133TT-MY-4)					
3/15	Principal Payment		FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD	(134.560)	100.00	134.56	(137.42)	(2.86) L
3/15	Pro Rata		09/01/1993 PAYMENT A/C PRINCIPAL					
			(ID: 3133T1-CA-8)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method		Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date									
Settled Sales/Maturities/Redemptions									
3/15	Principal Payment	3/15		FHLMC 1638 CL H 6 500% 12/15/2023 DTD	(199,840)	100.00	199.84	(220.07)	(20.23) L
3/15	Pro Rata			12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)					
3/15	Principal Payment	3/15		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(127,760)	100.00	127.76	(133.95)	(5.59) L
3/15	Pro Rata			CTFS GTD SER 1643-CL 1643-PK 6 500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)					
3/15	Principal Payment	3/15		FHLMC 2462 CL JG 6 500% 06/15/2032 DTD	(390,770)	100.00	390.77	(433.75)	(42.98) L
3/15	Pro Rata			06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)					
3/15	Principal Payment	3/15		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(125,560)	100.00	125.56	(120.42)	5.14 L
3/15	Pro Rata			CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)					
3/15	Principal Payment	3/15		FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD	(822,210)	100.00	822.21	(890.56)	(68.35) L
3/15	Pro Rata			12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)					
3/15	Principal Payment	3/15		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(123,550)	100.00	123.55	(119.92)	3.63 L
3/15	Pro Rata			CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)					
3/15	Principal Payment	3/15		FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL	(199,170)	100.00	199.17	(223.07)	(23.90) L
3/15	Pro Rata			BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)					
3/15	Principal Payment	3/15		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(243,090)	100.00	243.09	(239.82)	3.27 L
3/15	Pro Rata			CTFS GTD SER 2798 CL JL 4 50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)					

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TEUBERT CHAR TR FJ ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
3/15	Principal Payment	FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD	(296.820)	100.00	296.82	(311.66)	(14.84) L
3/15	Pro Rata	05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-UY-8)					
3/15	Principal Payment	FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD	(587.110)	100.00	587.11	(549.68)	37.43 L
3/15	Pro Rata	11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-M8-3)					
3/15	Principal Payment	FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD	(140.690)	100.00	140.69	(131.55)	9.14 L
3/15	Pro Rata	05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)					
3/15	Principal Payment	FHLMC REMIC SER 3270 CL AT 5% JAN 15 2037 DTD	(105.330)	100.00	105.33	(115.47)	(10.14) L
3/15	Pro Rata	01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)					
3/15	Principal Payment	FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD	(232.520)	100.00	232.52	(251.70)	(19.18) L
3/15	Pro Rata	03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)					
3/15	Principal Payment	FHLMC SER 3575 CL EB 4.000% 09/15/2024 DTD	(424.310)	100.00	424.31	(453.22)	(28.91) L
3/15	Pro Rata	09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)					
3/16	Principal Payment	GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD	(397.240)	100.00	397.24	(435.60)	(38.36) L
3/16	Pro Rata	04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)					
3/11	Sale	NOBLE HOLDING INTL LTD 4.000% 03/15/2018 DTD	(5,000,000)	93.448	4,672.40	(5,124.65)	(452.25) S
3/16	High Cost	03/16/2015 @ 93.45 BARCLAYS CAPITAL INC FIXED INCOME (ID: 65504L-AM-9)					
3/11	Sale	PETROBRAS INTL FIN CO 5.378% JAN 27 2021 DTD	(2,000,000)	82.00	1,640.00	(2,186.24)	(546.24) L
3/16	High Cost	01/27/2011 @ 82.00 MLPFS INC/FIXED INCOME (ID: 71645W-AR-2)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16



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279407303700100040143

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
3/14	Sale	MORGAN STANLEY 4 3/4% MAR 22 2017 DTD					
3/17	High Cost	03/22/2012 @ 103.26 BNY CAPITAL MARKETS INC BNY (ID: 61747Y-DT-9)	(20,000,000)	103.263	20,652.60	(21,414.40)	(761.80) L
3/15	Sale	CAPITAL ONE FINANCIAL CO SUB NOTES 6.15% SEP 1					
3/18	High Cost	2016 DTD 8/29/2006 @ 102.12 US BANCORP INVESTMENTS INC. (ID: 14040H-AN-5)	(10,000,000)	102.117	10,211.70	(9,999.20)	212.50 L
3/21	Principal Payment	GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD					
3/21	Pro Rata	08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)	(351,940)	100.00	351.94	(395.05)	(43.11) L
3/21	Principal Payment	GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD					
3/21	Pro Rata	03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)	(634,800)	100.00	634.80	(693.52)	(58.72) L
3/21	Principal Payment	GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD					
3/21	Pro Rata	06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)	(254,860)	100.00	254.86	(282.58)	(27.72) L
3/22	Sale	DIRECTV HLDG FIN INC NON-TRADEABLE ASSET CORP					
3/22	Pro Rata	ACTIONS CONTRA 5% MAR 01 2021 DTD 03/10/2011 HOLDERS RECEIVE \$970 PRINCIPAL AMOUNT OF CUSIP 00206RDA7 PLUS \$30.00 EARLY PREMIUM IN NEW NOTES PLUS \$1.00 CASH FOR EVERY \$1,000 PRINCIPAL AMOUNT HELD OF CUSIP 25459HBA2 THE BONDS WILL HAVE A COST BASIS OF 111.807 (ID: 25499A-QU-9)	(5,000,000)	111.907	5,595.35	(5,006.60)	588.75 L
3/17	Sale	PETROBRAS INTL FIN CO 5 3/8% JAN 27 2021 DTD					
3/22	High Cost	01/27/2011 @ 81.63 CITIGROUP GLOBAL MARKETS INC/SALOM (ID: 71645W-AR-2)	(3,000,000)	81.625	2,448.75	(3,279.36)	(830.61) L
3/25	Principal Payment	FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL					
3/25	Pro Rata	(ID: 31380D-4T-0)	(21,760)	100.00	21.76	(21.95)	(0.19) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
3/25	Principal Payment	Pro Rata	FNMA SER 2010-54 CL EA 4.5% JUN 25 2040 DTD 05/01/2010 PAYMENT A/C PRINCIPAL (ID: 31398R-UH-6)	(171.74)	100.00	171.74	(182.63)	(10.89) L
3/25	Principal Payment	Pro Rata	FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL (ID: 31405D-KE-6)	(188.05)	100.00	188.05	(189.05)	(1.00) L
3/25	Principal Payment	Pro Rata	FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL (ID: 31406F-U6-6)	(301.20)	100.00	301.20	(321.34)	(20.14) L
3/25	Principal Payment	Pro Rata	FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL (ID: 31408G-Y2-7)	(23.58)	100.00	23.58	(23.28)	0.30 L
3/25	Principal Payment	Pro Rata	FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023 DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-5)	(56.54)	100.00	56.54	(57.39)	(0.85) L
3/25	Principal Payment	Pro Rata	FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033 DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)	(147.47)	100.00	147.47	(161.66)	(14.19) L
3/25	Principal Payment	Pro Rata	FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037 DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)	(130.59)	100.00	130.59	(143.57)	(12.98) L
3/25	Principal Payment	Pro Rata	FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032 DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-5)	(168.32)	100.00	168.32	(175.89)	(7.57) L
3/30	Principal Payment	Pro Rata	FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25 2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL AS OF 03/25/16 (ID: 31393C-PD-9)	(100.63)	100.00	100.63	(95.22)	5.41 L
4/1	Principal Payment	Pro Rata	FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05% FEB 25 2044 DTD 06/01/2004 PAYMENT A/C PRINCIPAL AS OF 03/25/16 (ID: 31394A-CG-9)	(111.92)	100.00	111.92	(122.69)	(10.77) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16



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279407303700100040144

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
4/15	Principal Payment		FHLMC GOLD G30280 5 5% 09/01/24 PAYMENT A/C	(177.490)	100.00	177.49	(193.85)	(16.36) L
4/15	Pro Rata		PRINCIPAL (ID: 3128CU-JZ-0)					
4/15	Principal Payment		FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C	(57.670)	100.00	57.67	(58.78)	(1.11) L
4/15	Pro Rata		PRINCIPAL (ID: 31288M-CQ-0)					
4/15	Principal Payment		FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C	(1,222.770)	100.00	1,222.77	(1,213.88)	8.89 L
4/15	Pro Rata		PRINCIPAL (ID: 312964-SJ-4)					
4/15	Principal Payment		FHLMC GOLD C47272 6 5% 10/01/29 PAYMENT A/C	(100.210)	100.00	100.21	(115.37)	(15.16) L
4/15	Pro Rata		PRINCIPAL (ID: 31298G-CH-1)					
4/15	Principal Payment		FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C	(322.950)	100.00	322.95	(350.80)	(27.85) L
4/15	Pro Rata		PRINCIPAL (ID: 31335H-RM-7)					
4/15	Principal Payment		GNMA 631867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL	(135.310)	100.00	135.31	(139.28)	(3.97) L
4/15	Pro Rata		(ID: 36291L-6C-4)					
4/15	Principal Payment		GNMA 642234 5 5% 01/15/36 PAYMENT A/C PRINCIPAL	(89.150)	100.00	89.15	(89.19)	(0.04) L
4/15	Pro Rata		(ID: 36291Y-PT-8)					
4/15	Principal Payment		FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD	(277.120)	100.00	277.12	(309.34)	(32.22) L
4/15	Pro Rata		08/01/1998 PAYMENT A/C PRINCIPAL					
			(ID: 3133TG-CK-3)					
4/15	Principal Payment		FHLMC 2003-2130 CL QS REMIC 6.000% 03/15/2029	(120.390)	100.00	120.39	(134.01)	(13.62) L
4/15	Pro Rata		DTD 03/30/1999 PAYMENT A/C PRINCIPAL					
			(ID: 3133TJ-P5-6)					
4/15	Principal Payment		FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2141	(208.350)	100.00	208.35	(214.34)	(5.99) L
4/15	Pro Rata		CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C					
			PRINCIPAL (ID: 3133TK-GX-2)					
4/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(74.090)	100.00	74.09	(76.31)	(2.22) L
4/15	Pro Rata		CTFS GTD SER 2312 CL 2312-JB 6.50% DUE					
			05/15/2031 PAYMENT A/C PRINCIPAL					
			(ID: 3133TI-MY-4)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
4/15	Principal Payment	Pro Rata	FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD 09/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)	(126.410)	100.00	126.41	(129.10)	(2.69) L
4/15	Principal Payment	Pro Rata	FHLMC 1638 CL H 6.500% 12/15/2023 DTD 12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)	(441.720)	100.00	441.72	(486.44)	(44.72) L
4/15	Principal Payment	Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 1643-CL 1643-PK 6.500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)	(124.310)	100.00	124.31	(129.75)	(5.44) L
4/15	Principal Payment	Pro Rata	FHLMC 2462 CL JG 6.500% 06/15/2032 DTD 06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)	(294.940)	100.00	294.94	(327.38)	(32.44) L
4/15	Principal Payment	Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)	(123.090)	100.00	123.09	(118.05)	5.04 L
4/15	Principal Payment	Pro Rata	FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD 12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)	(133.270)	100.00	133.27	(144.35)	(11.08) L
4/15	Principal Payment	Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)	(117.980)	100.00	117.98	(114.51)	3.47 L
4/15	Principal Payment	Pro Rata	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)	(190.940)	100.00	190.94	(213.85)	(22.91) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
4/15	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(246.310)	100.00	246.31	(243.00)	3.31 L
4/15	Pro Rata	CTFS GTD SER 2798 CL JL 4.50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)					
4/15	Principal Payment	FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD	(525.840)	100.00	525.84	(552.13)	(26.29) L
4/15	Pro Rata	05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-LUY-8)					
4/15	Principal Payment	FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD	(570.900)	100.00	570.90	(534.51)	36.39 L
4/15	Pro Rata	11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-M8-3)					
4/15	Principal Payment	FHLMC REMIC SER 3150 CL EQ 5% 06/15/2026 DTD	(130.780)	100.00	130.78	(122.28)	8.50 L
4/15	Pro Rata	05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)					
4/15	Principal Payment	FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD	(57.630)	100.00	57.63	(63.18)	(5.55) L
4/15	Pro Rata	01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)					
4/15	Principal Payment	FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD	(374.100)	100.00	374.10	(404.96)	(30.86) L
4/15	Pro Rata	03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)					
4/15	Principal Payment	FHLMC SER 3575 CL EB 4.000% 09/15/2024 DTD	(451.470)	100.00	451.47	(482.23)	(30.76) L
4/15	Pro Rata	09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)					
4/18	Sale	COVIDIEN INTL FINANCE SA NON-TRADEABLE ASSET	(5,000.000)	104.886	5,244.30	(5,007.25)	237.05 L
4/18	High Cost	CORP ACTIONS CONTRA 2.950% 06/15/2023 DTD 05/16/2013 HOLDERS RECEIVE \$1018.86 IN TENDER PROCEEDS, PLUS \$30.00 EARLY PREMIUM, PLUS \$9.833333 IN ACCRUED INTEREST PER \$1,000 PRINCIPAL AMOUNT HELD OF CUSIP 22303QAP5 (ID: 22399A-BE-9)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
4/18	Principal Payment		GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD					
4/18	Pro Rata		04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)	(216.480)	100.00	216.48	(237.38)	(20.90) L
4/20	Principal Payment		GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD					
4/20	Pro Rata		08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)	(312.000)	100.00	312.00	(350.22)	(38.22) L
4/20	Principal Payment		GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD					
4/20	Pro Rata		03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)	(741.620)	100.00	741.62	(810.22)	(68.60) L
4/20	Principal Payment		GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD					
4/20	Pro Rata		06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)	(381.550)	100.00	381.55	(423.04)	(41.49) L
4/25	Principal Payment		FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL					
4/25	Pro Rata		(ID: 31380D-4T-0)	(21.890)	100.00	21.89	(22.08)	(0.19) L
4/25	Principal Payment		FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL					
4/25	Pro Rata		(ID: 31405D-KE-6)	(189.270)	100.00	189.27	(190.28)	(1.01) L
4/25	Principal Payment		FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL					
4/25	Pro Rata		(ID: 31406F-U6-6)	(302.560)	100.00	302.56	(322.79)	(20.23) L
4/25	Principal Payment		FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL					
4/25	Pro Rata		(ID: 31408G-Y2-7)	(16.820)	100.00	16.82	(16.41)	0.21 L
4/25	Principal Payment		FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023					
4/25	Pro Rata		DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-5)	(65.790)	100.00	65.79	(66.78)	(0.99) L
4/25	Principal Payment		FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032					
4/25	Pro Rata		DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-5)	(78.710)	100.00	78.71	(82.25)	(3.54) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
4/25	Principal Payment	Pro Rata	FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033 DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)	(174,920)	100.00	174.92	(191.76)	(16.84) L
4/25	Principal Payment	Pro Rata	FNMA REMIC TRUST 9% SER 2003-55 CL CD JUN 25 2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393C-PD-9)	(97,440)	100.00	97.44	(92.20)	5.24 L
4/25	Principal Payment	Pro Rata	FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037 DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)	(144,950)	100.00	144.95	(159.35)	(14.40) L
4/25	Principal Payment	Pro Rata	FNMA SER 2010-54 CL EA 4.5% JUN 25 2040 DTD 05/01/2010 PAYMENT A/C PRINCIPAL (ID: 31398R-UH-6)	(184,650)	100.00	184.65	(196.36)	(11.71) L
4/25	Principal Payment	Pro Rata	FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05% FEB 25 2044 DTD 06/01/2004 PAYMENT A/C PRINCIPAL (ID: 31394A-CG-9)	(195,160)	100.00	195.16	(213.94)	(18.78) L
4/21	Sale	High Cost	BP CAPITAL MARKETS PLC 4.742% MAR 11 2021 DTD 03/11/2011 @ 111.82 KEYBANC CAPITAL MARKETS INC (ID: 05565Q-BR-8)	(2,000,000)	111.825	2,236.50	(2,000.00)	236.50 L
4/22	Sale	High Cost	BP CAPITAL MARKETS PLC 4.742% MAR 11 2021 DTD 03/11/2011 @ 111.67 KEYBANC CAPITAL MARKETS INC (ID: 05565Q-BR-8)	(3,000,000)	111.667	3,350.01	(3,000.00)	350.01 L
5/16	Principal Payment	Pro Rata	FHLMC GOLD G30280 5.5% 09/01/24 PAYMENT A/C PRINCIPAL (ID: 3128CU-JZ-0)	(929,310)	100.00	929.31	(1,014.98)	(85.67) L
5/16	Principal Payment	Pro Rata	FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C PRINCIPAL (ID: 3128AM-CQ-0)	(58,010)	100.00	58.01	(59.12)	(1.11) L
5/16	Principal Payment	Pro Rata	FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C PRINCIPAL (ID: 312964-SJ-4)	(91,620)	100.00	91.62	(90.95)	0.67 L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
5/16	Principal Payment	FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C	(100.800)	100.00	100.80	(116.05)	(15.25) L
5/16	Pro Rata	PRINCIPAL (ID: 31298G-CH-1)					
5/16	Principal Payment	FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C	(179.850)	100.00	179.85	(195.36)	(15.51) L
5/16	Pro Rata	PRINCIPAL (ID: 31335H-RM-7)					
5/16	Principal Payment	GNMA 631967 6.5% 08/15/19 PAYMENT A/C PRINCIPAL	(136.100)	100.00	136.10	(140.10)	(4.00) L
5/16	Pro Rata	(ID: 36291L-6C-4)					
5/16	Principal Payment	GNMA 642234 5.5% 01/15/38 PAYMENT A/C PRINCIPAL	(90.310)	100.00	90.31	(90.35)	(0.04) L
5/16	Pro Rata	(ID: 36291Y-PT-8)					
5/16	Sale	CITIGROUP INC NON-TRADEABLE ASSET CORP ACTIONS	(5,000.000)	105.934	5,296.70	(5,212.55)	84.15 L
5/16	High Cost	CONTRA 3.750% 06/16/2024 DTD 06/16/2014 HOLDERS RECEIVE \$1029.34 IN TENDER PROCEEDS, PLUS \$30.00 EARLY TENDER PAYMENT, PLUS \$15.3125 IN ACCRUED INTEREST PER \$1,000 PRINCIPAL AMOUNT HELD OF CUSIP 172967HT1 (ID: 17298A-MM-0)					
5/16	Principal Payment	FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD	(340.740)	100.00	340.74	(380.35)	(39.61) L
5/16	Pro Rata	08/01/1998 PAYMENT A/C PRINCIPAL (ID: 3133TG-CK-3)					
5/16	Principal Payment	FHLMC 2003-2130 CL QS REMIC 6.000% 03/15/2029	(121.710)	100.00	121.71	(135.48)	(13.77) L
5/16	Pro Rata	DTD 03/30/1999 PAYMENT A/C PRINCIPAL (ID: 3133TJ-P5-6)					
5/16	Principal Payment	FHMLC MULTICLASS MTG PARTN CTFS GTD SER 2141	(152.280)	100.00	152.28	(156.66)	(4.38) L
5/16	Pro Rata	CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C PRINCIPAL (ID: 3133TK-GX-2)					
5/16	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(69.950)	100.00	69.95	(72.05)	(2.10) L
5/16	Pro Rata	CTFS GTD SER 2312 CL 2312-JB 6.50% DUE 05/15/2031 PAYMENT A/C PRINCIPAL (ID: 3133TT-MY-4)					

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TEUBERT CHAR TR FI ACCT: V35558408
For the Period 10/1/15 to 9/30/16



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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
5/16	Principal Payment	FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD	(50.950)	100.00	50.95	(52.03)	(1.08) L
5/16	Pro Rata	09/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)					
5/16	Principal Payment	FHLMC 1638 CL H 6.500% 12/15/2023 DTD	(248.440)	100.00	248.44	(273.59)	(25.15) L
5/16	Pro Rata	12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)					
5/16	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(120.950)	100.00	120.95	(126.24)	(5.29) L
5/16	Pro Rata	CTFS GTD SER 1643-CL 1643-PK 6.500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)					
5/16	Principal Payment	FHLMC 2462 CL JG 6.500% 06/15/2032 DTD	(248.940)	100.00	248.94	(276.32)	(27.38) L
5/16	Pro Rata	06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)					
5/16	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(118.220)	100.00	118.22	(113.38)	4.84 L
5/16	Pro Rata	CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)					
5/16	Principal Payment	FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD	(219.110)	100.00	219.11	(237.32)	(18.21) L
5/16	Pro Rata	12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)					
5/16	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(135.040)	100.00	135.04	(131.07)	3.97 L
5/16	Pro Rata	CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)					
5/16	Principal Payment	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL	(198.640)	100.00	198.64	(222.48)	(23.84) L
5/16	Pro Rata	BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
5/16	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(251.560)	100.00	251.56	(248.18)	3.38 L
5/16	Pro Rata	CTFS GTD SER 2798 CL JL 4.50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)					
5/16	Principal Payment	FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD	(278.850)	100.00	278.85	(292.79)	(13.94) L
5/16	Pro Rata	05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-UY-8)					
5/16	Principal Payment	FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD	(420.620)	100.00	420.62	(393.81)	26.81 L
5/16	Pro Rata	11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-IM-3)					
5/16	Principal Payment	FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD	(127.710)	100.00	127.71	(119.41)	8.30 L
5/16	Pro Rata	05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)					
5/16	Principal Payment	FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD	(64.680)	100.00	64.68	(70.91)	(6.23) L
5/16	Pro Rata	01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)					
5/16	Principal Payment	FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD	(206.850)	100.00	206.85	(223.92)	(17.07) L
5/16	Pro Rata	03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)					
5/16	Principal Payment	FHLMC SER 3575 CL EB 4.000% 09/15/2024 DTD	(395.190)	100.00	395.19	(422.11)	(26.92) L
5/16	Pro Rata	09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)					
5/16	Principal Payment	GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD	(402.100)	100.00	402.10	(440.93)	(38.83) L
5/16	Pro Rata	04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)					
5/20	Principal Payment	GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD	(367.560)	100.00	367.56	(412.59)	(45.03) L
5/20	Pro Rata	08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)					

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TEUBERT CHAR TR F1 ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
5/20	Principal Payment		GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD	(496,670)	100.00	496.67	(542.61)	(45.94) L
5/20	Pro Rata		03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)					
5/20	Principal Payment		GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD	(301,740)	100.00	301.74	(334.55)	(32.81) L
5/20	Pro Rata		06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)					
5/23	Sale		US TREAS 3.75% 11/15/18 @ 106.82 MORGAN STANLEY	(70,000,000)	106.82	74,774.22	(75,704.29)	(930.07) L
5/24	High Cost		& CO LLC (ID: 912828-JR-2)					
5/25	Principal Payment		FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL	(21,990)	100.00	21.99	(22.18)	(0.19) L
5/25	Pro Rata		(ID: 31380D-4T-0)					
5/25	Principal Payment		FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL	(196,840)	100.00	196.84	(197.89)	(1.05) L
5/25	Pro Rata		(ID: 31405D-KE-6)					
5/25	Principal Payment		FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL	(1,715,690)	100.00	1,715.69	(1,830.43)	(114.74) L
5/25	Pro Rata		(ID: 31406F-U6-6)					
5/25	Principal Payment		FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL	(16,490)	100.00	16.49	(16.28)	0.21 L
5/25	Pro Rata		(ID: 31408G-Y2-7)					
5/25	Principal Payment		FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023	(60,490)	100.00	60.49	(61.40)	(0.91) L
5/25	Pro Rata		DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-5)					
5/25	Principal Payment		FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032	(112,590)	100.00	112.59	(117.66)	(5.07) L
5/25	Pro Rata		DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-5)					
5/25	Principal Payment		FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033	(67,620)	100.00	67.62	(74.13)	(6.51) L
5/25	Pro Rata		DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)					
5/25	Principal Payment		FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25	(93,510)	100.00	93.51	(88.48)	5.03 L
5/25	Pro Rata		2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393C-PD-9)					

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TEUBERT CHAR TR FI ACCT: V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
5/25	Principal Payment		FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037	(135.010)	100.00	135.01	(148.43)	(13.42) L
5/25	Pro Rata		DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)					
5/25	Principal Payment		FNMA SER 2010-54 CL EA 4.5% JUN 25 2040 DTD	(132.550)	100.00	132.55	(140.96)	(8.41) L
5/25	Pro Rata		05/01/2010 PAYMENT A/C PRINCIPAL (ID: 31398R-UH-6)					
5/25	Principal Payment		FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05%	(151.410)	100.00	151.41	(165.98)	(14.57) L
5/25	Pro Rata		FEB 25 2044 DTD 06/01/2004 PAYMENT A/C PRINCIPAL (ID: 31394A-CG-9)					
6/15	Principal Payment		FHLMC GOLD G30280 5.5% 09/01/24 PAYMENT A/C	(169.130)	100.00	169.13	(184.72)	(15.59) L
6/15	Pro Rata		PRINCIPAL (ID: 3128CU-JZ-0)					
6/15	Principal Payment		FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C	(58.340)	100.00	58.34	(59.46)	(1.12) L
6/15	Pro Rata		PRINCIPAL (ID: 31288M-CQ-0)					
6/15	Principal Payment		FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C	(92.000)	100.00	92.00	(91.33)	0.67 L
6/15	Pro Rata		PRINCIPAL (ID: 312964-SJ-4)					
6/15	Principal Payment		FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C	(101.400)	100.00	101.40	(116.74)	(15.34) L
6/15	Pro Rata		PRINCIPAL (ID: 31298G-CH-1)					
6/15	Principal Payment		FHLMC GOLD C90492 8% 11/01/21 PAYMENT A/C	(345.590)	100.00	345.59	(375.40)	(29.81) L
6/15	Pro Rata		PRINCIPAL (ID: 31335H-RM-7)					
6/15	Principal Payment		GNMA 631867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL	(136.890)	100.00	136.89	(140.91)	(4.02) L
6/15	Pro Rata		(ID: 36291L-6C-4)					
6/15	Principal Payment		GNMA 642234 5.5% 01/15/36 PAYMENT A/C PRINCIPAL	(249.870)	100.00	249.87	(249.99)	(0.12) L
6/15	Pro Rata		(ID: 36291Y-PT-8)					
6/15	Principal Payment		FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD	(174.530)	100.00	174.53	(194.82)	(20.29) L
6/15	Pro Rata		08/01/1998 PAYMENT A/C PRINCIPAL (ID: 3133TG-CK-3)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16



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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/15 6/15	Principal Payment Pro Rata	FHLMC 2003-2130 CL QS REMIC 6.000% 03/15/2029 DTD 03/30/1999 PAYMENT A/C PRINCIPAL (ID: 3133TJ-P5-6)	(97.020)	100.00	97.02	(108.00)	(10.98) L
6/15 6/15	Principal Payment Pro Rata	FHMLC MULTICLASS MTG PARTN CTFS GTD SER 2141 CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C PRINCIPAL (ID: 3133TK-GX-2)	(180.240)	100.00	180.24	(185.42)	(5.18) L
6/15 6/15	Principal Payment Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2312 CL 2312-JB 6.50% DUE 05/15/2031 PAYMENT A/C PRINCIPAL (ID: 3133TT-MY-4)	(85.720)	100.00	85.72	(88.29)	(2.57) L
6/15 6/15	Principal Payment Pro Rata	FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD 08/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)	(54.170)	100.00	54.17	(55.32)	(1.15) L
6/15 6/15	Principal Payment Pro Rata	FHLMC 1638 CL H 6.500% 12/15/2023 DTD 12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)	(156.150)	100.00	156.15	(171.96)	(15.81) L
6/15 6/15	Principal Payment Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 1643-CL 1643-PK 6.500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)	(117.680)	100.00	117.68	(122.83)	(5.15) L
6/15 6/15	Principal Payment Pro Rata	FHLMC 2462 CL JG 6.500% 06/15/2032 DTD 06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)	(252.070)	100.00	252.07	(279.80)	(27.73) L
6/15 6/15	Principal Payment Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)	(110.660)	100.00	110.66	(106.13)	4.53 L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
6/15	Principal Payment		FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD	(261.530)	100.00	261.53	(283.27)	(21.74) L
6/15	Pro Rata		12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)					
6/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(133.570)	100.00	133.57	(129.65)	3.92 L
6/15	Pro Rata		CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)					
6/15	Principal Payment		FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL	(227.760)	100.00	227.76	(255.09)	(27.33) L
6/15	Pro Rata		BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)					
6/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(236.880)	100.00	236.88	(233.70)	3.18 L
6/15	Pro Rata		CTFS GTD SER 2798 CL JL 4.50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)					
6/15	Principal Payment		FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD	(295.400)	100.00	295.40	(310.17)	(14.77) L
6/15	Pro Rata		05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-UY-8)					
6/15	Principal Payment		FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD	(674.310)	100.00	674.31	(631.32)	42.99 L
6/15	Pro Rata		11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-M8-3)					
6/15	Principal Payment		FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD	(131.170)	100.00	131.17	(122.64)	8.53 L
6/15	Pro Rata		05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)					
6/15	Principal Payment		FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD	(49.360)	100.00	49.36	(54.11)	(4.75) L
6/15	Pro Rata		01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)					
6/15	Principal Payment		FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD	(151.960)	100.00	151.96	(164.50)	(12.54) L
6/15	Pro Rata		03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
6/15	Principal Payment	Pro Rata	FHLMC SER 3575 CL EB 4 000% 09/15/2024 DTD 09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)	(404.260)	100.00	404.26	(431.80)	(27.54) L
6/16	Principal Payment	Pro Rata	GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD 04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)	(191.250)	100.00	191.25	(209.72)	(18.47) L
6/20	Principal Payment	Pro Rata	GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD 03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)	(466.210)	100.00	466.21	(509.33)	(43.12) L
6/20	Principal Payment	Pro Rata	GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD 08/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)	(302.460)	100.00	302.46	(335.35)	(32.89) L
6/20	Principal Payment	Pro Rata	GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD 08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)	(338.520)	100.00	338.52	(379.99)	(41.47) L
6/27	Principal Payment	Pro Rata	FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL (ID: 31380D-4T-0)	(22.750)	100.00	22.75	(22.95)	(0.20) L
6/27	Principal Payment	Pro Rata	FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL (ID: 31405D-KE-6)	(421.530)	100.00	421.53	(423.77)	(2.24) L
6/27	Principal Payment	Pro Rata	FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL (ID: 31406F-U6-6)	(276.850)	100.00	276.85	(295.36)	(18.51) L
6/27	Principal Payment	Pro Rata	FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL (ID: 31408G-Y2-7)	(779.490)	100.00	779.49	(789.62)	9.87 L
6/27	Principal Payment	Pro Rata	FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023 DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-6)	(56.340)	100.00	56.34	(57.19)	(0.85) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
6/27	Principal Payment	FANNIE MAE SER 2002-85 CL PE S.5% DEC 25 2032	(210.210)	100.00	210.21	(219.67)	(9.46) L
6/27	Pro Rata	DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-6)					
6/27	Principal Payment	FANNIE MAE SER 2003-15 CL PG 5 5% MAR 25 2033	(74.380)	100.00	74.38	(81.54)	(7.16) L
6/27	Pro Rata	DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)					
6/27	Principal Payment	FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25 2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393C-PD-9)	(98.440)	100.00	98.44	(93.15)	5.29 L
6/27	Pro Rata						
6/27	Principal Payment	FANNIE MAE SER 2007-72 CL DB 5 75% JUL 25 2037 DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)	(121.710)	100.00	121.71	(133.80)	(12.09) L
6/27	Pro Rata						
6/27	Principal Payment	FNMA SER 2010-54 CL EA 4 5% JUN 25 2040 DTD 05/01/2010 PAYMENT A/C PRINCIPAL (ID: 31398R-UH-6)	(157.270)	100.00	157.27	(167.25)	(9.98) L
6/27	Pro Rata						
6/27	Principal Payment	FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05% FEB 25 2044 DTD 06/01/2004 PAYMENT A/C PRINCIPAL (ID: 31394A-CG-8)	(169.500)	100.00	169.50	(185.81)	(16.31) L
6/27	Pro Rata						
6/29	Sale	ALABAMA POWER CO 3 550% 12/01/2023 DTD 12/06/2013 @ 110 73 PERSHING & COMPANY (ID: 010392-FK-9)	(2,000.000)	110.727	2,214.54	(1,994.34)	220.20 L
7/15	High Cost						
7/15	Principal Payment	FILMCO GOLD G30280 5.5% 09/01/24 PAYMENT A/C PRINCIPAL (ID: 3128CU-JZ-0)	(171.260)	100.00	171.26	(187.05)	(15.79) L
7/15	Pro Rata						
7/15	Principal Payment	FILMCO GOLD P60079 6% 01/01/17 PAYMENT A/C PRINCIPAL (ID: 31288M-CQ-0)	(58.690)	100.00	58.69	(59.82)	(1.13) L
7/15	Pro Rata						
7/15	Principal Payment	FILMCO GOLD B12649 4 5% 10/01/19 PAYMENT A/C PRINCIPAL (ID: 312964-5J-4)	(92.380)	100.00	92.38	(91.71)	0.67 L
7/15	Pro Rata						

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TEUBERT CHAR TR FI ACCT: V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
7/15	Principal Payment Pro Rata	FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C PRINCIPAL (ID: 31298G-CH-1)	(102.060)	100.00	102.06	(117.50)	(15.44) L
7/15	Principal Payment Pro Rata	FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C PRINCIPAL (ID: 31335H-RM-7)	(283.030)	100.00	283.03	(307.44)	(24.41) L
7/15	Principal Payment Pro Rata	GNMA 631867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL (ID: 36291L-6C-4)	(137.690)	100.00	137.69	(141.73)	(4.04) L
7/15	Principal Payment Pro Rata	GNMA 642234 5.5% 01/15/36 PAYMENT A/C PRINCIPAL (ID: 36291Y-PT-8)	(1,233.960)	100.00	1,233.96	(1,234.54)	(0.58) L
7/15	Principal Payment Pro Rata	FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD 08/01/1998 PAYMENT A/C PRINCIPAL (ID: 3133TG-CK-3)	(153.570)	100.00	153.57	(171.42)	(17.85) L
7/15	Principal Payment Pro Rata	FHLMC 2003-2130 CL QS REMIC 6.000% 03/15/2029 DTD 03/30/1999 PAYMENT A/C PRINCIPAL (ID: 3133TJ-P5-6)	(102.870)	100.00	102.87	(114.51)	(11.64) L
7/15	Principal Payment Pro Rata	FHMLC MULTICLASS MTG PARTN CTF5 GTD SER 2141 CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C PRINCIPAL (ID: 3133TK-GX-2)	(121.800)	100.00	121.80	(125.30)	(3.50) L
7/15	Principal Payment Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTF5 GTD SER 2312 CL 2312-JB 6.50% DUE 05/15/2031 PAYMENT A/C PRINCIPAL (ID: 3133TT-MY-4)	(64.080)	100.00	64.08	(66.00)	(1.92) L
7/15	Principal Payment Pro Rata	FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD 09/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)	(39.410)	100.00	39.41	(40.25)	(0.84) L
7/15	Principal Payment Pro Rata	FHLMC 1638 CL H 6.500% 12/15/2023 DTD 12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)	(219.600)	100.00	219.60	(241.83)	(22.23) L

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
7/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(114.480)	100.00	114.48	(119.49)	(5.01) L
7/15	Pro Rata		CTFS GTD SER 1643-CL 1643-PK 6.500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)					
7/15	Principal Payment		FHLMC 2462 CL JG 6.500% 06/15/2032 DTD	(203.870)	100.00	203.87	(226.30)	(22.43) L
7/15	Pro Rata		06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)					
7/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(115.840)	100.00	115.84	(111.10)	4.74 L
7/15	Pro Rata		CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)					
7/15	Principal Payment		FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD	(56.020)	100.00	56.02	(60.68)	(4.66) L
7/15	Pro Rata		12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)					
7/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(122.310)	100.00	122.31	(118.72)	3.59 L
7/15	Pro Rata		CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)					
7/15	Principal Payment		FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL	(243.750)	100.00	243.75	(273.00)	(29.25) L
7/15	Pro Rata		BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)					
7/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(235.550)	100.00	235.55	(232.38)	3.17 L
7/15	Pro Rata		CTFS GTD SER 2798 CL JL 4.50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)					
7/15	Principal Payment		FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD	(306.950)	100.00	306.95	(322.30)	(15.35) L
7/15	Pro Rata		05/01/2005 PAYMENT A/C PRINCIPAL (ID: 31395T-JY-8)					

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TEUBERT CHAR TR F1 ACCT. V35558408
For the Period 10/1/15 to 9/30/16



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
7/15	Principal Payment	FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD		(524.870)	100.00	524.87	(491.41)	33.46 L
7/15	Pro Rata	11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-M8-3)						
7/15	Principal Payment	FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD		(117.400)	100.00	117.40	(109.77)	7.63 L
7/15	Pro Rata	05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)						
7/15	Principal Payment	FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD		(48.090)	100.00	48.09	(52.72)	(4.63) L
7/15	Pro Rata	01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)						
7/15	Principal Payment	FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD		(91.310)	100.00	91.31	(98.84)	(7.53) L
7/15	Pro Rata	03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)						
7/15	Principal Payment	FHLMC SER 3575 CL EB 4.000% 09/15/2024 DTD		(365.100)	100.00	365.10	(389.97)	(24.87) L
7/15	Pro Rata	09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)						
7/18	Principal Payment	GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD		(217.270)	100.00	217.27	(238.25)	(20.98) L
7/18	Pro Rata	04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)						
7/20	Principal Payment	GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD		(689.450)	100.00	689.45	(753.22)	(63.77) L
7/20	Pro Rata	03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)						
7/20	Principal Payment	GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD		(300.930)	100.00	300.93	(333.66)	(32.73) L
7/20	Pro Rata	06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)						
7/20	Principal Payment	GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD		(347.860)	100.00	347.86	(390.47)	(42.61) L
7/20	Pro Rata	08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)						
7/25	Principal Payment	FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL		(22.240)	100.00	22.24	(22.43)	(0.19) L
7/25	Pro Rata	(ID: 31380D-4T-0)						

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Settle Date	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
7/25	Principal Payment	7/25	FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL (ID: 31405D-KE-6)	(196.330)	100.00	196.33	(197.37)	(1.04) L
7/25	Principal Payment	7/25	FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL (ID: 31406F-LJ6-6)	(278.080)	100.00	278.08	(296.68)	(18.60) L
7/25	Principal Payment	7/25	FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL (ID: 31408G-Y2-7)	(14.290)	100.00	14.29	(14.11)	0.18 L
7/25	Principal Payment	7/25	FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023 DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-5)	(300.980)	100.00	300.98	(305.50)	(4.52) L
7/25	Principal Payment	7/25	FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032 DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-5)	(104.050)	100.00	104.05	(108.73)	(4.68) L
7/25	Principal Payment	7/25	FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033 DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)	(42.860)	100.00	42.86	(46.99)	(4.13) L
7/25	Principal Payment	7/25	FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25 2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393C-PD-9)	(96.590)	100.00	96.59	(91.40)	5.19 L
7/25	Principal Payment	7/25	FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037 DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)	(147.530)	100.00	147.53	(162.19)	(14.66) L
7/25	Principal Payment	7/25	FNMA SER 2010-54 CL EA 4.5% JUN 25 2040 DTD 05/01/2010 PAYMENT A/C PRINCIPAL (ID: 31398R-UH-6)	(169.590)	100.00	169.59	(180.35)	(10.76) L
7/25	Principal Payment	7/25	FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05% FEB 25 2044 DTD 06/01/2004 PAYMENT A/C PRINCIPAL (ID: 31394A-CG-9)	(190.750)	100.00	190.75	(209.11)	(18.36) L

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For the Period 10/1/15 to 9/30/16



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
8/15	Principal Payment		FHLMC GOLD G30280 5.5% 09/01/24 PAYMENT A/C	(167,880)	100.00	167.88	(183.36)	(15.48) L
8/15	Pro Rata		PRINCIPAL (ID: 3128CU-JZ-0)					
8/15	Principal Payment		FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C	(59,030)	100.00	59.03	(60.16)	(1.13) L
8/15	Pro Rata		PRINCIPAL (ID: 31288M-CQ-0)					
8/15	Principal Payment		FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C	(92,770)	100.00	92.77	(92.10)	0.67 L
8/15	Pro Rata		PRINCIPAL (ID: 312964-SJ-4)					
8/15	Principal Payment		FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C	(102,590)	100.00	102.59	(118.11)	(15.52) L
8/15	Pro Rata		PRINCIPAL (ID: 31288G-CH-1)					
8/15	Principal Payment		FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C	(134,420)	100.00	134.42	(146.01)	(11.59) L
8/15	Pro Rata		PRINCIPAL (ID: 31335H-RM-7)					
8/15	Principal Payment		GNMA 631867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL	(138,490)	100.00	138.49	(142.56)	(4.07) L
8/15	Pro Rata		(ID: 36291L-6C-4)					
8/15	Principal Payment		GNMA 642234 5.5% 01/15/36 PAYMENT A/C PRINCIPAL	(15,940)	100.00	15.94	(15.96)	(0.01) L
8/15	Pro Rata		(ID: 36291Y-PT-8)					
8/15	Principal Payment		FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD	(221,420)	100.00	221.42	(247.16)	(25.74) L
8/15	Pro Rata		08/01/1998 PAYMENT A/C PRINCIPAL					
			(ID: 3133TG-CK-3)					
8/15	Principal Payment		FHLMC 2003-2130 CL QS REMIC 6.000% 03/15/2029	(99,460)	100.00	99.46	(110.71)	(11.25) L
8/15	Pro Rata		DTD 03/30/1999 PAYMENT A/C PRINCIPAL					
			(ID: 3133TJ-P5-6)					
8/15	Principal Payment		FHMLC MULTICLASS MTG PARTN CTFS GTD SER 2141	(317,640)	100.00	317.64	(326.77)	(9.13) L
8/15	Pro Rata		CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C					
			PRINCIPAL (ID: 3133TK-GX-2)					
8/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(67,380)	100.00	67.38	(69.40)	(2.02) L
8/15	Pro Rata		CTFS GTD SER 2312 CL 2312-JB 6.50% DUE					
			05/15/2031 PAYMENT A/C PRINCIPAL					
			(ID: 3133TT-MY-4)					

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For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/15	Principal Payment		FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD					
8/15	Pro Rata		09/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)	(76,420)	100.00	76.42	(78.04)	(1.62) L
8/15	Principal Payment		FHLMC 1638 CL H 6.500% 12/15/2023 DTD					
8/15	Pro Rata		12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)	(264,090)	100.00	264.09	(290.83)	(26.74) L
8/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN					
8/15	Pro Rata		CTFS GTD SER 1643-CL 1643-PK 6.500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)	(111,370)	100.00	111.37	(116.24)	(4.87) L
8/15	Principal Payment		FHLMC 2462 CL JG 6.500% 06/15/2032 DTD					
8/15	Pro Rata		06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)	(204,970)	100.00	204.97	(227.52)	(22.55) L
8/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN					
8/15	Pro Rata		CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)	(152,860)	100.00	152.86	(146.60)	6.26 L
8/15	Principal Payment		FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD					
8/15	Pro Rata		12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)	(490,800)	100.00	490.80	(531.60)	(40.80) L
8/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN					
8/15	Pro Rata		CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)	(95,160)	100.00	95.16	(92.37)	2.79 L
8/15	Principal Payment		FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2613 CL					
8/15	Pro Rata		BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)	(50,290)	100.00	50.29	(56.32)	(6.03) L

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TEUBERT CHAR TR F1 ACCT V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
8/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(203.160)	100.00	203.16	(200.43)	2.73 L
8/15	Pro Rata		CTFS GTD SER 2798 CL JL 4 50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)					
8/15	Principal Payment		FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD	(337.040)	100.00	337.04	(353.89)	(16.85) L
8/15	Pro Rata		(ID: 31395T-JY-8)					
8/15	Principal Payment		FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD	(510.350)	100.00	510.35	(477.81)	32.54 L
8/15	Pro Rata		(ID: 31396F-M8-3)					
8/15	Principal Payment		FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD	(125.660)	100.00	125.66	(117.49)	8.17 L
8/15	Pro Rata		(ID: 31396R-BY-2)					
8/15	Principal Payment		FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD	(52.970)	100.00	52.97	(58.07)	(5.10) L
8/15	Pro Rata		(ID: 31397E-QH-1)					
8/15	Principal Payment		FHLMC SER 3293 CL MP 5 500% 03/15/2037 DTD	(91.320)	100.00	91.32	(98.85)	(7.53) L
8/15	Pro Rata		(ID: 31397F-T9-3)					
8/15	Principal Payment		FHLMC SER 3575 CL EB 4 000% 09/15/2024 DTD	(507.930)	100.00	507.93	(542.53)	(34.60) L
8/15	Pro Rata		(ID: 31398J-UA-9)					
8/16	Principal Payment		GNMA SER 2005-33 CL AY 5 5% APR 16 2035 DTD	(163.920)	100.00	163.92	(179.75)	(15.83) L
8/16	Pro Rata		(ID: 38374K-2D-4)					
8/22	Principal Payment		GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD	(313.470)	100.00	313.47	(351.87)	(38.40) L
8/22	Pro Rata		(ID: 38374B-QX-4)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
8/22	Principal Payment	Pro Rata	GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD 03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)	(673.300)	100.00	673.30	(735.58)	(62.28) L
8/22	Principal Payment	Pro Rata	GNMA SER 2005-6 CL UY 5% OCT 20 2034 DTD 01/28/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-LR-2)	(373.230)	100.00	373.23	(414.29)	(41.06) S
8/22	Principal Payment	Pro Rata	GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD 06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)	(313.510)	100.00	313.51	(347.60)	(34.09) L
8/18	Sale	High Cost	NATIONAL OILWELL VARCO 12.600% 12/01/2022 DTD 11/20/2012 @ 94.09 GOLDMAN SACHS & CO. (ID: 637071-AJ-Q)	(2,000.000)	94.088	1,881.76	(1,938.88)	(57.12) L
8/25	Principal Payment	Pro Rata	FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL (ID: 31380D-4T-Q)	(22.370)	100.00	22.37	(22.57)	(0.20) L
8/25	Principal Payment	Pro Rata	FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL (ID: 31405D-KE-6)	(337.510)	100.00	337.51	(339.30)	(1.79) L
8/25	Principal Payment	Pro Rata	FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL (ID: 31406F-JU-6)	(340.980)	100.00	340.98	(363.78)	(22.80) L
8/25	Principal Payment	Pro Rata	FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL (ID: 31408G-Y2-7)	(17.390)	100.00	17.39	(17.17)	0.22 L
8/25	Principal Payment	Pro Rata	FANNIE MAE SER 1983-105 CL D 6.5% JUN 25 2023 DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-5)	(54.650)	100.00	54.65	(55.47)	(0.82) L
8/25	Principal Payment	Pro Rata	FANNIE MAE SER 2002-85 CL PE 6.5% DEC 25 2032 DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-5)	(107.560)	100.00	107.56	(112.40)	(4.84) L

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TEUBERT CHAR TR F1 ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/25	Principal Payment		FANNIE MAE SER 2003-15 CL PG 5 5% MAR 25 2033					
8/25	Pro Rata		DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)	(71 340)	100.00	71.34	(78.21)	(6.87) L
8/25	Principal Payment		FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25					
8/25	Pro Rata		2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393C-PD-9)	(89 570)	100.00	89.57	(84.76)	4.81 L
8/25	Principal Payment		FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037					
8/25	Pro Rata		DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)	(121 070)	100.00	121.07	(133.10)	(12.03) L
8/25	Principal Payment		FNMA SER 2010-54 CL EA 4 5% JUN 25 2040 DTD					
8/25	Pro Rata		05/01/2010 PAYMENT A/C PRINCIPAL (ID: 31398R-UH-6)	(125.320)	100.00	125.32	(133.27)	(7.95) L
8/25	Principal Payment		FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6 05% FEB 25 2044 DTD 06/01/2004 PAYMENT A/C PRINCIPAL (ID: 31394A-CG-9)	(220.480)	100.00	220.48	(241.70)	(21.22) L
8/22	Sale		NATIONAL OILWELL VARCO 12.600% 12/01/2022 DTD					
8/25	High Cost		11/20/2012 @ 93.75 GOLDMAN SACHS & CO (ID: 637071-AJ-0)	(3,000.000)	93.755	2,812.65	(2,908.20)	(95.55) L
8/31	Sale		ORACLE CORP 5 3/4% APR 15 2018 DTD 04/09/2008 @ 107.41 HSBC SECURITIES INC (HSBCS)					
9/6	High Cost		(ID: 68389X-AC-9)	(5,000 000)	107.407	5,370.35	(4,997.65)	372.70 L
9/1	Sale		AMERIPRISE FINANCIAL INC 3.700% 10/15/2024 DTD					
9/7	High Cost		09/18/2014 @ 108.52 SCOTT & STRINGFELLOW INC (ID: 03076C-AG-1)	(5,000 000)	108.524	5,426.20	(5,176.90)	249.30 L
9/15	Principal Payment		FHLMC GOLD G30280 5 5% 09/01/24 PAYMENT A/C PRINCIPAL (ID: 3128CU-JZ-0)	(167.060)	100.00	167.06	(182.46)	(15.40) L
9/15	Principal Payment		FHLMC GOLD P60079 6% 01/01/17 PAYMENT A/C PRINCIPAL (ID: 31288M-CQ-0)	(59 370)	100.00	59.37	(60.51)	(1.14) L

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TEUBERT CHAR TR FI ACCT V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
9/15	Principal Payment	FHLMC GOLD B12649 4.5% 10/01/19 PAYMENT A/C	(93.150)	100.00	93.15	(92.47)	0.68 L
9/15	Pro Rata	PRINCIPAL (ID: 312964-SJ-4)					
9/15	Principal Payment	FHLMC GOLD C47272 6.5% 10/01/29 PAYMENT A/C	(931.470)	100.00	931.47	(1,072.35)	(140.88) L
9/15	Pro Rata	PRINCIPAL (ID: 31298G-CH-1)					
9/15	Principal Payment	FHLMC GOLD C90492 6% 11/01/21 PAYMENT A/C	(300.810)	100.00	300.81	(326.76)	(25.95) L
9/15	Pro Rata	PRINCIPAL (ID: 31335H-RM-7)					
9/15	Principal Payment	GNMA 631867 6.5% 08/15/19 PAYMENT A/C PRINCIPAL	(139.300)	100.00	139.30	(143.39)	(4.09) L
9/15	Pro Rata	(ID: 36291L-6C-4)					
9/15	Principal Payment	GNMA 642234 5.5% 01/15/35 PAYMENT A/C PRINCIPAL	(1,570.310)	100.00	1,570.31	(1,571.05)	(0.74) L
9/15	Pro Rata	(ID: 36291Y-PT-8)					
9/15	Redemption	CONSOLIDATED EDISON CO N Y INC SER 2006 FEB 5	(5,000.000)	100.00	5,000.00	(5,176.10)	(176.10) L
9/15	Pro Rata	1/2% C DUE 09/15/2016 DTD 09/25/2006 TO REDEMPTION (ID: 209111-EN-9)					
9/15	Redemption	FNMA 5 25% 09/15/16 TO REDEMPTION	(20,000.000)	100.00	20,000.00	(20,411.98)	(411.98) L
9/15	Pro Rata	(ID: 31359M-W4-1)					
9/15	Principal Payment	FHLMC SER 2075 CL PM 6.25% AUG 15 2028 DTD	(160.670)	100.00	160.67	(179.35)	(18.68) L
9/15	Pro Rata	08/01/1998 PAYMENT A/C PRINCIPAL (ID: 3133TG-CK-3)					
9/15	Principal Payment	FHLMC 2003-2130 CL QS REMIC 6 000% 03/15/2029	(99.490)	100.00	99.49	(110.74)	(11.25) L
9/15	Pro Rata	DTD 03/30/1999 PAYMENT A/C PRINCIPAL (ID: 3133TJ-P6-6)					
9/15	Principal Payment	FHLMC MULTICLASS MTG PARTN CTFs GTD SER 2141	(173.970)	100.00	173.97	(178.97)	(5.00) L
9/15	Pro Rata	CL-PD 6.50% DUE 04/15/2029 PAYMENT A/C PRINCIPAL (ID: 3133TK-GX-2)					
9/15	Principal Payment	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(71.410)	100.00	71.41	(73.55)	(2.14) L
9/15	Pro Rata	CTFS GTD SER 2312 CL 2312-JB 6.50% DUE 05/15/2031 PAYMENT A/C PRINCIPAL (ID: 3133TT-MY-4)					

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For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
9/15	Principal Payment	Pro Rata	FREDDIE MAC SER 1584 CL L 6.5% SEP 15 2023 DTD 09/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T1-CA-8)	(84.580)	100.00	84.58	(86.38)	(1.80) L
9/15	Principal Payment	Pro Rata	FHLMC 1638 CL H 6.500% 12/15/2023 DTD 12/01/1993 PAYMENT A/C PRINCIPAL (ID: 3133T2-W4-8)	(212.970)	100.00	212.97	(234.53)	(21.56) L
9/15	Principal Payment	Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 1643-CL 1643-PK 6.500% DUE 12/15/2023 PAYMENT A/C PRINCIPAL (ID: 3133T2-YT-1)	(108.340)	100.00	108.34	(113.08)	(4.74) L
9/15	Principal Payment	Pro Rata	FHLMC 2462 CL JG 6.500% 06/15/2032 DTD 06/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392M-QP-0)	(209.110)	100.00	209.11	(232.11)	(23.00) L
9/15	Principal Payment	Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2519 CL 2519-AH 5.00% DUE 11/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393F-BA-3)	(127.420)	100.00	127.42	(122.21)	5.21 L
9/15	Principal Payment	Pro Rata	FHLMC SER 2532 CL PH 5.5% DEC 15 2032 DTD 12/01/2002 PAYMENT A/C PRINCIPAL (ID: 31393F-PA-8)	(438.920)	100.00	438.92	(475.41)	(36.49) L
9/15	Principal Payment	Pro Rata	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2531 CL 2531-HN 5.00% DUE 12/15/2017 PAYMENT A/C PRINCIPAL (ID: 31393G-4S-0)	(101.560)	100.00	101.56	(98.58)	2.98 L
9/15	Principal Payment	Pro Rata	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2813 CL BY 5.500% 05/15/2033 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393R-DS-6)	(248.090)	100.00	248.09	(277.86)	(29.77) L

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For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/15	Principal Payment		FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN	(257 390)	100.00	257 39	(253.93)	3 46 L
9/15	Pro Rata		CTFS GTD SER 2798 CL JL 4.50% DUE 05/15/2019 PAYMENT A/C PRINCIPAL (ID: 31394X-Y2-6)					
9/15	Principal Payment		FHLMC REMIC SER 2976 CL HB 5% MAY 15 2020 DTD	(326.510)	100.00	326.51	(342.83)	(16 32) L
9/15	Pro Rata		(ID: 31395T-UY-8)					
9/15	Principal Payment		FHLMC REMIC 3074 CL BH 5.000% 11/15/2035 DTD	(496.220)	100.00	496.22	(464.59)	31 63 L
9/15	Pro Rata		11/01/2005 PAYMENT A/C PRINCIPAL (ID: 31396F-M8-3)					
9/15	Principal Payment		FHLMC REMIC SER 3150 CL EQ 5% 05/15/2026 DTD	(140 570)	100.00	140 57	(131 43)	9 14 L
9/15	Pro Rata		05/01/2006 PAYMENT A/C PRINCIPAL (ID: 31396R-BY-2)					
9/15	Principal Payment		FHLMC REMIC SER 3270 CL AT 5.5% JAN 15 2037 DTD	(571.540)	100.00	571.54	(626.55)	(55.01) L
9/15	Pro Rata		01/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397E-QH-1)					
9/15	Principal Payment		FHLMC SER 3293 CL MP 5.500% 03/15/2037 DTD	(112 220)	100.00	112.22	(121.48)	(9 26) L
9/15	Pro Rata		03/01/2007 PAYMENT A/C PRINCIPAL (ID: 31397F-T9-3)					
9/15	Principal Payment		FHLMC SER 3575 CL EB 4.000% 09/15/2024 DTD	(371.910)	100.00	371 91	(397.25)	(25 34) L
9/15	Pro Rata		09/01/2009 PAYMENT A/C PRINCIPAL (ID: 31398J-UA-9)					
9/16	Principal Payment		GNMA SER 2005-33 CL AY 5.5% APR 16 2035 DTD	(159.840)	100.00	159.84	(175.27)	(15 43) L
9/16	Pro Rata		04/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-2D-4)					
9/14	Sale		GOLDMAN SACHS GROUP INC 5 1/4% JUL 27 2021 DTD	(8,000,000)	112 947	9,035 76	(8,946.88)	88.88 L
9/19	High Cost		07/27/2011 @ 112.95 BNY CAPITAL MARKETS INC BNY (ID 38141G-GQ-1)					

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For the Period 10/1/15 to 9/30/16



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279407303700100040157

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/20	Principal Payment		GNMA SER 2008-21 CL LC 5.5% 01/20/2038 DTD	(584,560)	100.00	584.56	(747.88)	(63.32) L
9/20	Pro Rata		03/01/2008 PAYMENT A/C PRINCIPAL (ID: 38374D-J7-5)					
9/20	Principal Payment		GNMA SER 2005-49 CL B 5.5% 06/20/2035 DTD	(359,480)	100.00	359.48	(398.57)	(39.09) L
9/20	Pro Rata		06/01/2005 PAYMENT A/C PRINCIPAL (ID: 38374L-KD-2)					
9/20	Principal Payment		GNMA REMIC TRUST 2003-36 5.5% 08/20/2033 DTD	(384,830)	100.00	384.83	(431.97)	(47.14) L
9/20	Pro Rata		08/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-QX-4)					
9/20	Principal Payment		GNMA SER 2005-6 CL UY 5% OCT 20 2034 DTD	(603,470)	100.00	603.47	(669.85)	(66.38) S
9/20	Pro Rata		01/28/2005 PAYMENT A/C PRINCIPAL (ID: 38374K-LR-2)					
9/20	Principal Payment		GNMA 2 SER 2003-58 CL PC 5% JUL 20 2033 DTD	(151,130)	100.00	151.13	(163.22)	(12.09) L
9/20	Pro Rata		07/01/2003 PAYMENT A/C PRINCIPAL (ID: 38374B-NY-5)					
9/15	Sale		FREEPORT-MCMORAN C & G 2.375% 03/15/2018 DTD		98.375	1,967.50	(1,755.00)	130.36 S
9/20	High Cost		09/15/2013 @ 98.38 DEUTSCHE BANK ALEX BROWN (ID: 35571D-BD-6)	(2,000,000)				82.14 O
9/26	Principal Payment		FNMA 437434 6% 09/01/28 PAYMENT A/C PRINCIPAL	(23,120)	100.00	23.12	(23.32)	(0.20) L
9/26	Pro Rata		(ID: 31380D-4T-0)					
9/26	Principal Payment		FNMA 786093 5.5% 07/01/19 PAYMENT A/C PRINCIPAL	(182,110)	100.00	182.11	(183.08)	(0.97) L
9/26	Pro Rata		(ID: 31405D-KE-6)					
9/26	Principal Payment		FNMA 808905 5% 01/01/20 PAYMENT A/C PRINCIPAL	(311,890)	100.00	311.89	(332.75)	(20.86) L
9/26	Pro Rata		(ID: 31406F-U6-6)					
9/26	Principal Payment		FNMA 851329 5.5% 02/01/36 PAYMENT A/C PRINCIPAL	(14,450)	100.00	14.45	(14.27)	0.18 L
9/26	Pro Rata		(ID: 31408G-Y2-7)					

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TEUBERT CHAR TR FI ACCT. V35558408
For the Period 10/1/15 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/26	Principal Payment		FANNIE MAE SER 1993-105 CL D 6.5% JUN 25 2023	(58.540)	100.00	58.54	(59.42)	(0.88) L
9/26	Pro Rata		DTD 06/01/1993 PAYMENT A/C PRINCIPAL (ID: 31359A-S7-5)					
9/26	Principal Payment		FANNIE MAE SER 2002-85 CL PE 5.5% DEC 25 2032	(164.390)	100.00	164.39	(171.79)	(7.40) L
9/26	Pro Rata		DTD 11/01/2002 PAYMENT A/C PRINCIPAL (ID: 31392F-YC-5)					
9/26	Principal Payment		FANNIE MAE SER 2003-15 CL PG 5.5% MAR 25 2033	(138.180)	100.00	138.18	(151.48)	(13.30) L
9/26	Pro Rata		DTD 02/01/2003 PAYMENT A/C PRINCIPAL (ID: 31392J-LN-7)					
9/26	Principal Payment		FNMA REMIC TRUST 5% SER 2003-55 CL CD JUN 25 2037	(93.610)	100.00	93.61	(88.58)	5.03 L
9/26	Pro Rata		2023 DTD 05/01/2003 PAYMENT A/C PRINCIPAL (ID: 31393C-PD-8)					
9/26	Principal Payment		FANNIE MAE SER 2007-72 CL DB 5.75% JUL 25 2037	(126.230)	100.00	126.23	(138.77)	(12.54) L
9/26	Pro Rata		DTD 06/01/2007 PAYMENT A/C PRINCIPAL (ID: 31396W-QT-6)					
9/26	Principal Payment		FNMA SER 2010-54 CL EA 4.5% JUN 25 2040 DTD	(133.080)	100.00	133.08	(141.52)	(8.44) L
9/26	Pro Rata		05/01/2010 PAYMENT A/C PRINCIPAL (ID: 31398R-JH-6)					
9/26	Principal Payment		FANNIEMAE WHOLE LOAN SER 2004-W9 CL 1A3 6.05% FEB 25 2044 DTD 06/01/2004 PAYMENT A/C	(59.430)	100.00	59.43	(65.15)	(5.72) L
9/26	Pro Rata		PRINCIPAL (ID: 31394A-CQ-9)					
Total Settled Sales/Maturities/Redemptions						\$442,146.86	(\$456,264.79)	(\$13,896.04) L (\$429.33) S \$207.44 O

Proceeds	Tax Costs	Realized Gain
\$5,105,608.26	\$4,710,432.07	\$395,176.19

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