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A For the 2015 calendar year, or tax year beginning 10-01-2015 , and ending 09-30-2016

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Final return/terminated

☐ Amended return

☐ Application pending

C Name of organization
Smithsonian Institution

Doing business as

Number and street (or P O box if mail is not delivered to street address) Room/suite

1000 Jefferson Drive S W

City or town, state or province, country, and ZIP or foreign postal code
Washington, DC 20560

F Name and address of principal officer

Dr David J Skorton

1000 Jefferson Dr SW

Washington, DC 20560

D Employer identification number

53-0206027

E Telephone number

(202) 633-1000

G Gross receipts \$ 1,628,330,534

I Tax-exempt status

☒ 501(c)(3)

☐ 501(c) () ◀ (insert no)

☐ 4947(a)(1) or

☐ 527

J Website: ▶ www.si.edu

K Form of organization

☐ Corporation

☐ Trust

☐ Association

☒ Other ▶ Sch O

L Year of formation 1846

M State of legal domicile

Part I Summary				
Activities & Governance	1 Briefly describe the organization's mission or most significant activities "Increase and diffusion of knowledge" is the mission set forth by James Smithson			
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets			
	3 Number of voting members of the governing body (Part VI, line 1a)	3	17	
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	17	
	5 Total number of individuals employed in calendar year 2015 (Part V, line 2a)	5	6,935	
	6 Total number of volunteers (estimate if necessary)	6	6,223	
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	17,627,639	
Revenue	b Net unrelated business taxable income from Form 990-T, line 34	7b	-974,784	
	8 Contributions and grants (Part VIII, line 1h) 9 Program service revenue (Part VIII, line 2g) 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	Prior Year	Current Year	
		1,097,910,208	1,221,449,061	
		107,979,707	109,747,404	
		83,788,543	53,948,762	
		65,059,370	64,485,155	
Expenses		1,354,737,828	1,449,630,382	
13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	17,989,418	18,942,921		
14 Benefits paid to or for members (Part IX, column (A), line 4)	0	0		
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	614,850,732	653,696,354		
16a Professional fundraising fees (Part IX, column (A), line 11e)	6,348,145	3,720,161		
Net Assets or Fund Balances	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 50,181,886			
	17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	581,335,768	631,334,735	
	18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	1,220,524,063	1,307,694,171	
	19 Revenue less expenses Subtract line 18 from line 12	134,213,765	141,936,211	
		Beginning of Current Year	End of Year	
		4,516,291,473	4,712,932,038	
		877,713,081	881,992,402	
		3,638,578,392	3,830,939,636	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer

2017-07-24

Date

Albert Horvath Chief Financial Officer

Type or print name and title

Paid Preparer Use Only

Pnnt/Type preparer's name
Margaret A Bradshaw

Preparer's signature
Margaret A Bradshaw

Date

Check ☐ if self-employed

PTIN
P00501222

Firm's name ▶ KPMG LLP

Firm's EIN ▶ 13-5565207

Firm's address ▶ 1676 International Drive

Phone no (703) 286-8000

McLean, VA 22102

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes

☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2015)

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III ☒

1 Briefly describe the organization's mission

"Increase and diffusion of knowledge" is the mission set forth by James Smithson The Smithsonian endeavors to shape the future by preserving our heritage, discovering new knowledge, and sharing our resources with the world

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a	(Code) (Expenses \$ 488,998,307 including grants of \$ 17,555,691) (Revenue \$ 45,537,335)
See Additional Data	






















4b	(Code) (Expenses \$ 435,043,315 including grants of \$ 1,387,230) (Revenue \$ 51,675,934)
See Additional Data	

4c	(Code) (Expenses \$ 76,550,443 including grants of \$) (Revenue \$ 63,129,565)
See Additional Data	

4d	Other program services (Describe in Schedule O)
(Expenses \$	including grants of \$) (Revenue \$)

4e	Total program service expenses ▶ 1,000,592,065
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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> 	1 Yes	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4	No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> 	7 Yes	
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> 	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i> 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i> 	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i> 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i> 	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> 	19	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Yes	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I			
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25a		No
		25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		No
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		No
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	3,886	
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	0	
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c	Yes
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a	6,935
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).		2b	Yes
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?		3a	Yes
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O.		3b	Yes
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a	Yes
b If "Yes," enter the name of the foreign country: PM, GB See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a	No
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b	No
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		5c	
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		6a	No
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b	
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		7a	Yes
b If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b	Yes
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c	No
d If "Yes," indicate the number of Forms 8282 filed during the year.		7d	
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e	No
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f	No
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		7g	
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		7h	Yes
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			
9a Did the sponsoring organization make any taxable distributions under section 4966?		9a	
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		9b	
10 Section 501(c)(7) organizations. Enter			
a Initiation fees and capital contributions included on Part VIII, line 12.		10a	
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.		10b	
11 Section 501(c)(12) organizations. Enter			
a Gross income from members or shareholders.		11a	
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).		11b	
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		12a	
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.		12b	
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a Is the organization licensed to issue qualified health plans in more than one state? Note: See the instructions for additional information the organization must report on Schedule O.		13a	
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.		13b	
c Enter the amount of reserves on hand.		13c	
14a Did the organization receive any payments for indoor tanning services during the tax year?		14a	No
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.		14b	

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	17	
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
b	Enter the number of voting members included in line 1a, above, who are independent	1b	17	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		No
6	Did the organization have members or stockholders?	6		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes	
13	Did the organization have a written whistleblower policy?	13	Yes	
14	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes	
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	Yes	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input checked="" type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)	
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year	
20	State the name, address, and telephone number of the person who possesses the organization's books and records Jean Garvin 2011 Crystal Dr Arlington, VA 22202 (202) 633-7218	

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]

[illegible]

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 1,363

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 408

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a	43,693			
	b	Membership dues	1b	19,952,493			
	c	Fundraising events	1c	3,647,170			
	d	Related organizations	1d	508,408			
	e	Government grants (contributions)	1e	951,570,661			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	245,726,636			
	g	Noncash contributions included in lines 1a-1f \$		25,035,328			
	h	Total. Add lines 1a-1f ▶					
Program Service Revenue	2a	Visitors/Members/Empl	Business Code				
			900099	49,749,773	26,497,332		23,252,441
	b	Subscriptions-magazine	511120	33,826,982	33,826,982		
	c	Tours/Classes	900099	12,038,910	11,958,852	80,058	
	d	Theater Income	512131	11,964,784	9,332,918	2,631,866	
	e	Traveling Exhibitions	712110	2,066,955	2,066,955		
	f	All other program service revenue		100,000		100,000	
	g	Total. Add lines 2a-2f ▶			109,747,404		
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶		13,983,057			13,983,057
	4	Income from investment of tax-exempt bond proceeds . . ▶					
	5	Royalties ▶		14,688,923			14,688,923
	6a	(i) Real		(ii) Personal			
	b	Less rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss) ▶					
	7a	(i) Securities		(ii) Other			
		192,631,741		575,616			
		153,241,652		0			
		39,390,089		575,616			
	b	Less cost or other basis and sales expenses					
	c	Gain or (loss)					
	d	Net gain or (loss) ▶		39,965,705	575,616		39,390,089
	8a	Gross income from fundraising events (not including \$ 3,647,170 of contributions reported on line 1c) See Part IV, line 18		1,467,824			
	a						
	b	Less direct expenses b					
	b	Less direct expenses b		2,267,022			
	c	Net income or (loss) from fundraising events . . ▶		-799,198			-799,198
9a	Gross income from gaming activities See Part IV, line 19						
a							
b	Less direct expenses b						
b	Less direct expenses b						
c	Net income or (loss) from gaming activities . . . ▶						
10a	Gross sales of inventory, less returns and allowances		60,835,673				
a							
b	Less cost of goods sold b						
b	Less cost of goods sold b		23,191,478				
c	Net income or (loss) from sales of inventory . . ▶		37,644,195	35,779,715	1,864,480		
Miscellaneous Revenue			Business Code				
11a	Magazine/Website Adver		541800	12,951,235		12,951,235	
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d ▶						
				12,951,235			
12	Total revenue. See Instructions ▶			1,449,630,382	120,038,370	17,627,639	90,515,312

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	364,591	364,591		
2	Grants and other assistance to domestic individuals. See Part IV, line 22	12,050,170	12,050,170		
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	6,528,160	6,528,160		
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	7,151,183	1,889,887	4,834,296	427,000
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	3,790,014	3,598,453	191,561	
7	Other salaries and wages	489,706,830	352,427,453	116,341,470	20,937,907
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	64,772,546	48,444,048	13,666,320	2,662,178
9	Other employee benefits	54,963,088	38,704,680	13,956,366	2,302,042
10	Payroll taxes	33,312,693	23,236,179	8,735,910	1,340,604
11	Fees for services (non-employees)				
a	Management				
b	Legal	4,134,098	2,938,962	1,188,842	6,294
c	Accounting	823,533		823,533	
d	Lobbying				
e	Professional fundraising services. See Part IV, line 17	3,720,161			3,720,161
f	Investment management fees	2,491,374		2,491,374	
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	127,878,911	88,693,468	27,466,202	11,719,241
12	Advertising and promotion	6,376,997	4,641,097	943,748	792,152
13	Office expenses	51,836,856	41,459,053	9,248,355	1,129,448
14	Information technology	49,302,375	12,528,378	35,573,574	1,200,423
15	Royalties	309,564	309,519		45
16	Occupancy	121,255,309	100,725,964	18,624,784	1,904,561
17	Travel	14,787,306	11,440,921	2,226,486	1,119,899
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	4,661,437	3,235,566	1,257,236	168,635
20	Interest	3,018,592	3,018,592		
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	136,008,297	116,849,524	18,893,818	264,955
23	Insurance	1,210,453	563,177	647,117	159
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a	Periodical & Program Pr	46,724,203	42,869,726	3,473,782	380,695
b	Research/Subcontracts	36,314,538	36,314,538		
c	Collections & Equipmnt/	24,200,892	23,613,959	481,446	105,487
d	OH Cost Recovery Grants	0	24,146,000	-24,146,000	
e	All other expenses				
25	Total functional expenses. Add lines 1 through 24e	1,307,694,171	1,000,592,065	256,920,220	50,181,886
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ► <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720)	11,231,431	2,918,367	0	8,313,064

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	506,406,711	1	407,562,748
	2 Savings and temporary cash investments	25,628,497	2	23,446,852
	3 Pledges and grants receivable, net	274,734,852	3	288,750,726
	4 Accounts receivable, net	47,018,136	4	48,830,546
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use	11,162,602	8	13,084,526
	9 Prepaid expenses and deferred charges	16,727,691	9	18,171,247
	10a Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D	10a 4,357,920,588		
	b Less: accumulated depreciation	10b 2,025,835,058	2,226,521,998	10c 2,332,085,530
	11 Investments—publicly traded securities	264,932,851	11	414,869,111
	12 Investments—other securities. See Part IV, line 11	1,106,485,966	12	1,127,208,144
	13 Investments—program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	36,672,169	15	38,922,608
16 Total assets. Add lines 1 through 15 (must equal line 34)	4,516,291,473	16	4,712,932,038	
Liabilities	17 Accounts payable and accrued expenses	304,981,578	17	286,293,705
	18 Grants payable		18	
	19 Deferred revenue	319,622,707	19	343,096,650
	20 Tax-exempt bond liabilities	102,767,192	20	101,221,443
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties	100,000,000	24	100,000,000
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	50,341,604	25	51,380,604
	26 Total liabilities. Add lines 17 through 25	877,713,081	26	881,992,402
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	2,397,125,664	27	2,651,205,341
	28 Temporarily restricted net assets	754,106,270	28	670,393,313
	29 Permanently restricted net assets	487,346,458	29	509,340,982
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	3,638,578,392	33	3,830,939,636
	34 Total liabilities and net assets/fund balances	4,516,291,473	34	4,712,932,038

Part XI

Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,449,630,382
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,307,694,171
3	Revenue less expenses Subtract line 2 from line 1	3	141,936,211
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	3,638,578,392
5	Net unrealized gains (losses) on investments	5	55,074,640
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-4,649,607
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	3,830,939,636

Part XII

Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

☐

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID:
Software Version:
EIN: 53-0206027
Name: Smithsonian Institution

Form 990, Part III, Line 4a

4a	(Code) (Expenses \$ 488,998,307 including grants of \$ 17,555,691) (Revenue \$ 45,537,335)
	4A Research and Collections (See Schedule O)Research and Collections - The Smithsonian collections of nearly 154 million objects (art, artifacts and scientific specimens) are the heart of the Institution. Research, public programs and exhibitions are based on these collections which additionally include 10 million digital records of online material. Care of the collections involves the work of registrars, conservators, museum specialists, designers, curators and editors. Approximately 145 million objects and specimens are part of the National Museum of Natural History collections and primarily used for research by both Smithsonian scientists and researchers from around the world. In some cases, the museum has the definitive, irreplaceable collection of a certain species which is essential for comparative studies. A fossil that has been in the National Museum of Natural History's collection since it was discovered in 1951 is helping scientists piece together the evolutionary history of whales and dolphins, including the origins of the endangered South Asian river dolphin. The fossil has been determined to belong to a dolphin that swam in subarctic marine waters about 25 million years ago. It represents a new genus and species, which Smithsonian scientists have named <i>Arktocara yakataga</i> . The 1 millionth object from the Smithsonian's collections was digitized by the Smithsonian's Mass Digitization Program. A silver-and-wood teapot crafted by African American master silversmith Peter Bentzon in Philadelphia between 1817 and 1829 is one of the prized objects in the collection of the National Museum of African American History and Culture. Staff from the National Air and Space Museum and the Smithsonian's 3D Digitization Program discovered writing on the interior walls of the Apollo 11 command module - something that was previously unknown to the Smithsonian. The astronaut "graffiti," unseen for almost 50 years, includes notes, figures and a calendar, presumably written by the crew during their historic flight to the moon. The Smithsonian National Zoo celebrated the birth of three critically endangered red-ruffed lemurs born in April 2016. Unlike most primates who carry their offspring with them, red-ruffed lemurs typically leave their young in nests while they search for food. Native to the northeastern deciduous forests of Madagascar, these lemurs are considered critically endangered by the International Union for Conservation of Nature due to deforestation, hunting and trapping for the pet trade. Michael Jordan donated a game-worn 1996 NBA Finals home jersey to the National Museum of African American History and Culture's permanent collection.

4b	(Code) (Expenses \$	435,043,315	including grants of \$	1,387,230) (Revenue \$	51,675,934)
4B	Education, Public Programs and Exhibitions (See Schedule O)	Education, Public Programs and Exhibitions - The Arthur M Sackler Gallery and Freer Gallery of Art opened "Turquoise Mountain Artists Transforming Afghanistan" March 5, 2016, in which a large gallery has been converted into a marketplace of Old Kabul with artisans demonstrating their skills in jewelry making, woodworking, calligraphy, ceramics and other crafts Turquoise Mountain is a British charity based in Afghanistan that works with Afghan artisans and architects to revive the country's cultural heritage and revitalize Murad Khani, Kabul's historic Old City where artisans live and work The immersive exhibition includes videos, an interactive touchscreen map, a caravanserai and a social-media wall The first gallery on the National Mall designed for the learning needs of children from infancy to age 6 opened Dec 9, 2015, at the National Museum of American History "Wonderplace," a 1,700-square-foot children's center, provides the youngest historians with age-appropriate activities and experiences The early-learning gallery completes the museum's 45,000-square-foot Innovation Wing, which features 12 exhibitions, learning spaces and program places all centered on the themes of invention, creativity and business The National Museum of African American History and Culture opened to the public on September 24, 2016 Participants in the program included President Barack Obama and First Lady Michelle Obama, former President George W Bush and Mrs Laura Bush, Chief Justice John G Roberts Jr , Smithsonian Secretary David Skorton, Rep John Lewis and Lonnie G Bunch III, the museum's founding director Vice President Joseph R Biden Jr , Dr Jill Biden and former President Bill Clinton also attended the ceremony The Smithsonian Asian Pacific American Center debuted "CrossLines A Culture Lab on Intersectionality" at the historic Arts and Industries Building The two-day event over Memorial Day weekend featured the works of more than 40 artists, scholars and performers "CrossLines" began traveling to various cities after closing at the Smithsonian The National Museum of the American Indian presented a free museum-wide, two-day festival celebrating the Choctaw Nation of Oklahoma's tribal history and heritage There were hands-on activities for children and families including crafting corn-husk dolls and beaded necklaces The Anacostia Community Museum presented "Twelve Years that Shook and Shaped Washington 1963-1975," an exhibition documenting the slow but steady movement toward home rule as District residents obtained appointed representation and later the right to elect them "Twelve Years" also included historical images, autobiographical stories and interviews with current and former Washingtonians involved with or observers of events significant to the era The National Air and Space Museum's GE Aviation Series featured two accomplished and decorated female pilots-Suzanna Darcy-Hennemann, who spoke about her experiences as Boeing's first female test pilot, and Lt Col Christine Mau, the first and only female pilot of the F-35				

Form 990, Part III, Line 4c

4c (Code) (Expenses \$ 76,550,443 including grants of \$) (Revenue \$ 63,129,565)

4C Membership (See Schedule O)Membership - The National Associate program is the Institution's largest and most basic membership program. The program provides members with "Smithsonian" magazine which is published 11 times a year. The print and online publication provides in-depth coverage of history, science, nature, the arts, and world cultures. "Smithsonian" magazine also hosts the annual Ingenuity Awards, honoring the best and the brightest innovators who are making a difference in the world in a variety of fields. "Friends of the Smithsonian" is a higher level membership program for people interested in a deeper philanthropic connection to the Smithsonian. Friends receive "Smithsonian" magazine, plus they are invited to various events and are given the opportunity to learn about and support the Institution's exhibits and research. The Smithsonian Associates offers unparalleled access to the Smithsonian's world of knowledge through innovative and engaging programming that promotes learning, enrichment and creativity for people of all ages. The largest museum-based educational program in the world, Smithsonian Associates annually offers more than 750 seminars, performances, lectures, studio art classes and local and regional study tours. Performances at Discovery Theater and more than 90 educationally focused summer camps are among the programs that foster the joys of learning for young people and their families. In FY 2016 notable guests included Donna Karan, Kevin Costner, Shonda Rhimes and several members of ABC's "Scandal" cast.

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Honorable John Roberts Jr Regent	0 50	X						0	0	0
Honorable Joseph Biden Regent	0 50	X						0	0	0
Honorable Xavier Becerra Regent	2 00	X						0	0	0
Honorable John Boozman Regent	2 00	X						0	0	0
Honorable Thomas Cole Regent	2 00	X						0	0	0
Honorable Sam Johnson Regent	2 00	X						0	0	0
Honorable Patrick Leahy Regent	2 00	X						0	0	0
Honorable David Perdue Regent	2 00	X						0	0	0
Ms Barbara Barrett Regent	2 00	X						0	0	0
Mr Steve Case Regent	2 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Mr John Fahey Regent	2 00	X						0	0	0
Dr Shirley Ann Jackson Regent	8 00	X						0	0	0
Mr Robert Kogod Regent	2 00	X						0	0	0
Dr Risa Lavizzo-Mourey Regent	2 00	X						0	0	0
Mr Michael Lynton Regent	2 00	X						0	0	0
Mr John McCarter Regent	16 00	X						0	0	0
Mr David Rubenstein Regent	2 00	X						0	0	0
David Skorton Secretary	50 00			X				508,315	0	53,045
Albert Horvath U/S Finance & Administration/CFO	50 00			X				442,856	0	59,932
Cathy Helm Inspector General	50 00			X				179,434	0	19,216

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Judith Leonard General Counsel	50 00			X				188,345	0	33,650
Porter Wilkinson Chief of Staff - Regents	50 00			X				119,097	0	21,280
Nancy Bechtol Director - Facilities	50 00				X			189,653	0	25,933
Claudine Browndecd 031716 Asst Sec - Education and Access	50 00				X			261,211	0	46,853
Deron Burba Chief Information Officer	50 00				X			189,561	0	44,228
Amy Chen Chief Investment Officer	50 00				X			509,771	0	37,033
Virginia Clark Director - Advancement & Giving	50 00				X			349,878	0	59,932
Bruce Dauer VP Finance/Adm - (SE)	50 00				X			300,468	0	36,638
James Douglas Director - Office of Human Resources	50 00				X			184,117	0	29,921
Jean Garvin Director - Finance & Accounting	50 00				X			183,014	0	46,225

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
John Kress Interim Under Secretary - Science	50 00				X			164,709	0	25,382
Richard Kunn Acting Provost-U/S for Museums/Research	50 00				X			312,848	0	56,047
John Lapiana Acting Asst Sec/Comm & External Affrs	50 00				X			212,119	0	33,998
Dorothy Leffler Director - Office of Contracts	50 00				X			156,943	0	17,170
Evelyn LiebermanDecd 121215 Senior Advisor to the Secretary	50 00				X			248,558	0	35,001
Chnstopher Liedel President - Smithsonian Enterprises	50 00				X			628,563	0	61,991
Era Marshall Dir-Equal Emplymt & Minority Affairs	50 00				X			173,242	0	15,178
Mary Payne Director - Office of Govt Relations	50 00				X			187,036	0	36,811
David Voyles Director - OPMB	50 00				X			176,679	0	14,637
Michael Caruso Editor in Chief - {SE}	50 00					X		333,555	0	59,441

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Melissa Chiu Director - Hirschhorn Museum	50 00					X		389,547	0	60,026
Edward Howell SVP Retail-Smithsonian Enterprises	50 00					X		390,465	0	59,347
Carol LeBlanc SVP Licensing-Smithsonian Enterprises	50 00					X		334,429	0	56,409
Jeffrey Smith Investment Officer	50 00					X		350,927	0	43,261
G Wayne Clough Former Secretary	50 00						X	143,239	0	4,907
Charles R. Alcock Former Acting Under Sec - Science	50 00						X	157,679	0	20,458
Kenneth Johnson Former Acting Director - OPMB	50 00						X	177,752	0	8,637
Andrew Zino Former Comptroller	50 00						X	165,091	0	25,130

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
Smithsonian Institution

Employer identification number
53-0206027

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2

☐

A school described in **section 170(b)(1)(A)(ii).**(Attach Schedule E (Form 990 or 990-EZ))

3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____

5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7

☒

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See**section 509(a)(2).** (Complete Part III)

10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g

a

☐

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**

b

☐

Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**

c

☐

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**

d

☐

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**

e

☐

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization

f

Enter the number of supported organizations

g

Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii)EIN	(iii) Type of organization (described on lines 1- 9 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any unusual grants.)	1,126,869,412	1,120,530,769	1,190,256,002	1,097,910,208	1,221,449,061	5,757,015,452
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	1,126,869,412	1,120,530,769	1,190,256,002	1,097,910,208	1,221,449,061	5,757,015,452
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						5,757,015,452

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
7 Amounts from line 4	1,126,869,412	1,120,530,769	1,190,256,002	1,097,910,208	1,221,449,061	5,757,015,452
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	17,395,111	20,622,076	35,313,796	26,621,870	28,671,980	128,624,833
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
11 Total support. Add lines 7 through 10						5,885,640,285

12 Gross receipts from related activities, etc. (see instructions) **12** 795,164,104

13 First five years.If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f))	14	97.810%
15 Public support percentage for 2014 Schedule A, Part II, line 14	15	97.940%

16a 33 1/3% support test—2015.If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization. ☒

b 33 1/3% support test—2014.If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization. ☐

17a 10%-facts-and-circumstances test—2015.If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ☐

b 10%-facts-and-circumstances test—2014.If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ☐

18 Private foundation.If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions. ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2014 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2014 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2015. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
b 33 1/3% support tests—2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► <input type="checkbox"/>		

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part II of Schedule L (Form 990).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		

Part IV

Supporting Organizations (continued)

Section B. Type I Supporting Organizations

	Yes	No
<div>1</div> <div>Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i></div>		
<div>2</div> <div>Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i></div>		

Section C. Type II Supporting Organizations

	Yes	No
<div>1</div> <div>Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i></div>		

Section D. All Type III Supporting Organizations

	Yes	No
<div>1</div> <div>Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?</div>		
<div>2</div> <div>Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i></div>		
<div>3</div> <div>By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i></div>		

Section E. Type III Functionally-Integrated Supporting Organizations

	Yes	No
<div>1</div> <div>Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)</div> <div><div><div><input type="checkbox"/></div><div>The organization satisfied the Activities Test. Complete line 2 below.</div></div><div><div><input type="checkbox"/></div><div>The organization is the parent of each of its supported organizations. Complete line 3 below.</div></div><div><div><input type="checkbox"/></div><div>The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).</div></div></div>		
<div>2</div> <div>Activities Test. Answer (a) and (b) below.</div> <div><div><div><div>2a</div><div>Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i></div></div><div><div>2b</div><div>Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i></div></div></div></div>		
<div>3</div> <div>Parent of Supported Organizations. Answer (a) and (b) below.</div> <div><div><div><div>3a</div><div>Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i></div></div><div><div>3b</div><div>Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i></div></div></div></div>		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1

Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

☐

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI) _____		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount		Current Year	
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions). <input type="checkbox"/>		

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2015 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2015			
a			
b			
c			
d From 2013. _____			
e From 2014. _____			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2015 distributable amount			
i Carryover from 2010 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2015 from Section D, line 7 \$ _____			
a Applied to underdistributions of prior years			
b Applied to 2015 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2015, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2015 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2016. Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b			
c Excess from 2013. _____			
d From 2014. _____			
e From 2015. _____			

Part VI Supplemental Information.

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
Smithsonian Institution

Employer identification number
53-0206027

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

1

Total number at end of year

(a) Donor advised funds

(b) Funds and other accounts

2

Aggregate value of contributions to (during year)

3

Aggregate value of grants from (during year)

4

Aggregate value at end of year

5

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☐ Yes

☐ No

6

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

☐ Yes

☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education)
☒ Protection of natural habitat
☐ Preservation of open space
☐ Preservation of an historically important land area
☐ Preservation of a certified historic structure

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

a

Total number of conservation easements

b

Total acreage restricted by conservation easements

c

Number of conservation easements on a certified historic structure included in (a)

d

Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

	Held at the End of the Year
2a	1
2b	88 25
2c	0
2d	0

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ 0

4

Number of states where property subject to conservation easement is located ▶ 1

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?
☐ Yes ☒ No

6

Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
▶ 0 00

7

Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
▶ \$ 0

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4) (B)(i) and section 170(h)(4)(B)(ii)?
☐ Yes ☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i)

Revenue included on Form 990, Part VIII, line 1

▶ \$

(ii)

Assets included in Form 990, Part X

▶ \$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenue included on Form 990, Part VIII, line 1

▶ \$

b

Assets included in Form 990, Part X

▶ \$

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets

(continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☒ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds.

Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	1,288,235,067	1,299,208,124	1,191,094,313	1,074,356,857	971,279,043
b Contributions	52,940,072	49,265,188	37,894,600	34,861,990	34,505,912
c Net investment earnings, gains, and losses	99,512,052	2,426,301	130,483,529	139,875,394	121,707,182
d Grants or scholarships					
e Other expenditures for facilities and programs	67,486,808	59,663,483	57,266,941	55,408,994	51,207,144
f Administrative expenses	4,445,386	3,001,063	2,997,377	2,590,934	1,928,136
g End of year balance	1,368,754,997	1,288,235,067	1,299,208,124	1,191,094,313	1,074,356,857

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

46 000 %

b

Permanent endowment

33 410 %

c

Temporarily restricted endowment

20 590 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

(ii)

related organizations

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		No
3a(ii)		No
3b		

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a)Cost or other basis (investment)	(b)Cost or other basis (other)	(c)Accumulated depreciation	(d)Book value
1a Land		12,582,149		12,582,149
b Buildings		3,481,662,916	1,680,696,796	1,800,966,120
c Leasehold improvements		129,317,168	72,611,066	56,706,102
d Equipment		390,233,460	272,527,196	117,706,264
e Other		344,124,895		344,124,895
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				2,332,085,530

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.						
1	Total revenue, gains, and other support per audited financial statements	1	1,577,109,649			
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12					
a	Net unrealized gains (losses) on investments				2a	55,074,640
b	Donated services and use of facilities				2b	9,367,394
c	Recoveries of prior year grants				2c	
d	Other (Describe in Part XIII)				2d	40,070,107
e	Add lines 2a through 2d	2e	104,512,141			
3	Subtract line 2e from line 1	3	1,472,597,508			
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :					
a	Investment expenses not included on Form 990, Part VIII, line 7b				4a	2,491,374
b	Other (Describe in Part XIII)				4b	-25,458,500
c	Add lines 4a and 4b	4c	-22,967,126			
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)	5	1,449,630,382			

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.						
1	Total expenses and losses per audited financial statements	1	1,384,748,406			
2	Amounts included on line 1 but not on Form 990, Part IX, line 25					
a	Donated services and use of facilities				2a	9,367,394
b	Prior year adjustments				2b	
c	Other losses				2c	
d	Other (Describe in Part XIII)	2d	71,345,346			
e	Add lines 2a through 2d	2e	80,712,740			
3	Subtract line 2e from line 1	3	1,304,035,666			
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :					
a	Investment expenses not included on Form 990, Part VIII, line 7b				4a	2,491,374
b	Other (Describe in Part XIII)				4b	1,167,131
c	Add lines 4a and 4b	4c	3,658,505			
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)	5	1,307,694,171			

Part XIII Supplemental Information	
Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.	
Return Reference	Explanation
See Additional Data Table	

Part XIII **Supplemental Information** *(continued)*

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 53-0206027
Name: Smithsonian Institution

Supplemental Information

Return Reference	Explanation
Part II, Line 3	Smithsonian Institution did not modify, transfer, release, extinguish or terminate any conservation easements during the year Part II, Line 6 The Smithsonian Environmental Research Center (SERC) is located on 2,650 acres of land on the Chesapeake Bay in southern Maryland spanning forests, wetlands, marshes, and 12 miles of protected shoreline The site serves as a natural laboratory for long-term and cutting-edge ecological research The Smithsonian has a conservation easement associated with property that is immediately adjacent to SERC land owned by the Institution Facilities and security staff visit the area regularly, and are thus able to report any unusual activity on the land subject to the easement The organization does not separately track the hours and expenses associated with monitoring the property related to the easement Part II, Line 9 The Smithsonian Institution's conservation easement does not appear in the Institution's audited financial statements

Supplemental Information

Return Reference	Explanation
Part III, Line 1a	In conformity with the practice generally followed by museums, no value is assigned to the collections in the statement of financial position. Purchases of collection items are recognized as reductions in net assets in the period of acquisition. Proceeds from sales of deaccessioned collection items or insurance recoveries for lost or destroyed collection items are recognized as increases in the appropriate net asset class and are designated for future collection acquisitions.

Supplemental Information

Return Reference	Explanation
Part III, Line 4	<p>The acquisition, preservation, management, and study of collections are fundamental to the Smithsonian's mission to increase and diffuse knowledge and have been the foundation upon which it rests. Smithsonian collections are a national and global resource accessed each year by millions of visitors and researchers who use traditional methods and cutting-edge technologies to explore subjects from aeronautics to zoology. Through its collections, the Smithsonian presents the astonishing record of American and international artistic, historical, cultural, and scientific achievement, with a scope and depth no other institution in the world can match. Smithsonian collections contribute to population recovery of endangered species, advances in reproductive biology, genome resource banking, medical research, forensic analysis, bio-security, and conservation policy worldwide. Assembled over more than 165 years, the collections are central to the core activities and to the vitality and significance of the Smithsonian.</p>

Supplemental Information

Return Reference	Explanation
Part V , Line 4	The Endowment includes approximately 600 individual endowment funds. The Endowment provides stable financial support for scholarship, research activities, other programs, acquisitions of collections and other Institutional activities. It plays a critical role in enabling the Institution to achieve its mission - "the increase and diffusion of knowledge." The Endowment includes both donor-restricted endowment funds and funds designated by the Board of Regents to function as endowments. Classification and reporting of net assets associated with the Endowment reflect donor-imposed restrictions.

Supplemental Information

Return Reference	Explanation
Part X, Line 2	The Smithsonian recognizes the effect of income tax positions only if those positions are more likely than not of being sustained. The Smithsonian does not believe its financial statements include any uncertain tax positions.

Supplemental Information

Return Reference	Explanation
Part XI, Line 2d - Other Adjustments	Deferred gain on building 3,908,586 Change in net assets of related organizations 131,546 Change in minority interest - SNI/SI Networks LLC 2,433,900 Imputed benefit revenue 44, 763,206 Increase in allowance for uncollectible pledges netted -11,167,131 against contr ibution revenue on audited financial statements

Supplemental Information

Return Reference	Explanation
Part XI, Line 4b - Other Adjustments	Direct expenses - fundraising -2,267,022 Direct expenses - cost of goods sold -23,191,478

Supplemental Information

Return Reference	Explanation
Part XII, Line 2d - Other Adjustments	Direct expenses - fundraising 2,267,022 Direct expenses - cost of goods sold 23,191,478 Imputed benefit costs 44,763,206 Environmental remediation liability adjustment 1,123,640

Supplemental Information

Return Reference	Explanation
Part XII, Line 4 b - Other Adjustments	Increase in allowance for uncollectible pledges netted 1,167,131 against contribution revenue on audited financial statements

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
► Attach to Form 990.

► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
Smithsonian Institution

Employer identification number
53-0206027

Part I

General Information on Activities Outside the United States.
Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States
- 3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
See Add'l Data					
3a Sub-total	0	0			6,397,846
b Total from continuation sheets to Part I	10	617			775,538,140
c Totals (add lines 3a and 3b)	10	617			781,935,986

Part II Grants and Other Assistance to Organizations or Entities Outside the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			Europe	Academic appointment stipend	5,000	EFT			
			Russia	Academic appointment stipend	29,270	EFT			

2

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶

2

3

Enter total number of other organizations or entities ▶

0

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

[illegible]

Part IV Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☒

Yes

☐

No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, do not file with Form 990)*

☒

Yes

☐

No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)*

☒

Yes

☐

No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)*

☒

Yes

☐

No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*

☒

Yes

☐

No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713, do not file with Form 990)*

☒

Yes

☐

No

Part V

Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
Part I, Line 2	<p>The Office of Fellow ships has central management and administrative responsibility for the Smithsonian programs of research fellow ships and other academic appointments for undergraduate, graduate students, postdoctoral and senior scholars The Smithsonian Institution Fellowship Program is a competitive fellow ship program for graduate and postdoctoral fellow s , w ho apply to conduct research at the Institution w ith research staff serving as advisors</p> <p>The review process is made up of disciplinary committees comprised of the Institution's staff w ho evaluate the candidates and then select the fellow s There are other competitive and non-competitive fellow ships for visiting scholar and student appointees selected through the Smithsonian units that go through a variety of other selection processes before an award is made An official letter/agreement is provided to each aw ard recipient identifying the aw ard title, dates of tenure, stipend allow ances, and required responsibilities for holding this position All recipients either w ill identify a financial institution for receipt of their monetary aw ard or they w ill receive US Treasury checks Depending on the tenure of the appointment, payments can range from one lump sum, bw eekly payments or monthly payments Most fellow ship appointments are aw arded for one to tw o years On occasion, the Smithsonian Institution has visiting scholars or fellow s w ho are conducting research in another country Payments are usually submitted as stated above, how ever, on occasion, the re may be one or tw o w ho request that their payments be sent to the country of their research At the end of tenure, a final report of their research accomplishments is provided for our records Smithsonian research staff w ho serve as advisors to these fellow s, students and scholars are usually in the field during their tenure The eight Smithsonian research centers located in the United States and one located in Panama monitor the progress of their fellow s especially those fellow s in other countries, and the administrative management of funds is managed through the Institution's central administration for accountability</p> <p>Part I, Line 3 Program services for travel related to research, conferences and training is for travel by SI employees, research associates, or invitational travelers (ie , individuals w ho are not SI employees) Only travel essential to the performance of official Smithsonian business and for w hich travel-related expenses are to be paid by the Smithsonian, can be approved, authorized, and reimbursed Investments in regions include investments i n foreign partnerships and foreign corporations The foreign region is determined by the country w hose law s govern the investment entity The value reported represents the fair market value of the investment at the end of the fiscal year Per Form 990 instructions, stip ends are reported on Schedule F if the person receiving the stipend is living or residing outside the United States at the time the stipend is paid or distributed How ever, many of these stipend recipients later traveled to the Smithsonian in the United States to perform their research</p>

Additional Data

Software ID:
Software Version:
EIN: 53-0206027
Name: Smithsonian Institution

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Academic appointment stipends to recipients located in the region		1,952,019
East Asia & Pacific	0	0	Academic appointment stipends to recipients located in the region		1,243,496
Europe	0	0	Academic appointment stipends to recipients located in the region		2,349,314

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Middle East & North Africa	0	0	Academic appointment stipends to recipients located in the region		114,985
North America	0	0	Academic appointment stipends to recipients located in the region		247,498
Russia & Neighboring States	0	0	Academic appointment stipends to recipients located in the region		105,265

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
South America	0	0	Academic appointment stipends to recipients located in the region		280,817
South Asia	0	0	Academic appointment stipends to recipients located in the region		104,452
Sub-Saharan Africa	0	0	Academic appointment stipends to recipients located in the region		130,314

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Program Services	Travel related to research,conferences and training	599,041
East Asia & Pacific	0	0	Program Services	Travel related to research, conferences and training	1,055,397
Europe	0	0	Program Services	Travel related to research, conferences and training	1,985,563

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Middle East & North Africa	0	0	Program Services	Travel related to research, conferences and training	109,576
North America	0	0	Program Services	Travel related to research, conferences and training	409,800
Russia & Neighboring States	0	0	Program Services	Travel related to research, conferences and training	118,167

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
South America	0	0	Program Services	Travel related to research, conferences and training	364,245
South Asia	0	0	Program Services	Travel related to research, conferences and training	120,379
Sub-Saharan Africa	0	0	Program Services	Travel related to research, conferences and training	546,293

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	7	593	Program Services	Research Facilities	25,596,686
South America	1	7	Program services	Design and implement a biodiversity monitoring program	850,334
Sub-Saharan Africa	1	8	Program Services	Develop biodiversity action plan to conserve amazonian tropical ecosystems	558,126

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia & Pacific	0	0	Program Services	Tropical Research/Forest and Wildlife	888,893
Russia & Neighboring States	1	9	Program Services	Joint program with USAID for community-based tourism development in Armenia	766,346
South America	0	0	Program Services	Tropical Research/Forest and Wildlife	163,512

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Investments		622,007,147
Europe	0	0	Investments		73,108,974
East Asia & Pacific	0	0	Investments		32,118,247

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Investments		10,022,027
North America	0	0	Investments		4,019,073

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a
▶ Attach to Form 990 or Form 990-EZ
▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
Smithsonian Institution

Employer identification number
53-0206027

Part I Fundraising Activities

Complete if the organization answered "Yes" on Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒ Mail solicitations

b

☒ Internet and email solicitations

c

☒ Phone solicitations

d

☒ In-person solicitations

e

☒ Solicitation of non-government grants

f

☒ Solicitation of government grants

g

☒ Special fundraising events

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?
☒ Yes ☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Avalon Consulting Group 2030 M St NW Washington, DC 200364603	Direct Marketing Consulting & Services		No	0	2,274,218	2,274,218
Community Counselling Service 3349 Highway 138 Wall, NJ 07719	Fundraising Consultant		No	0	422,500	422,500
M & R Strategic Services 2120 L St NW Washington, DC 200371538	Online Advisory Services		No	0	228,550	228,550
Blackbaud PO Box 930256 Atlanta, GA 311930256	Fundraising Consultant		No	0	211,712	211,712
Marts & Lundy 1200 Wall St W Lyndhurst, NJ 070713680	Fundraising Consultant		No	0	166,087	166,087
Donor Services Group 1150 Olympic Blvd Los Angeles, CA 900641525	Telemarketing Services		No	0	113,869	113,869
Impact Communications 1009 E St 2nd Flr Washington, DC 20003	Fundraising Consultant		No	0	97,400	97,400
Kimbia Inc 2500 Bee Caves Rd 2 Austin, TX 787465741	Fundraising Consultant		No	0	82,300	82,300
SD&A Teleservices 101 Continental Blvd El Segundo, CA 902454515	Telemarketing Services		No	0	123,525	123,525
Total ▶					3,720,161	3,720,161

3

List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events.

Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a)Event #1	(b)Event #2	(c)Other events	(d)
		Hirshhorn Gala (event type)	American Portrait Gala (event type)	9 (total number)	Total events (add col (a) through col (c))
Revenue	1 Gross receipts	1,427,800	1,177,608	2,509,586	5,114,994
	2 Less Contributions	1,325,505	1,054,362	1,267,303	3,647,170
	3 Gross income (line 1 minus line 2)	102,295	123,246	1,242,283	1,467,824
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs	50,000		19,564	69,564
	7 Food and beverages	74,910	137,000	323,107	535,017
	8 Entertainment				
	9 Other direct expenses	425,033	568,207	669,201	1,662,441
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				2,267,022
	11 Net income summary Subtract line 10 from line 3, column (d) ▶				-799,198

Part III Gaming.

Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a)Bingo	(b)Pull tabs/Instant bingo/progressive bingo	(c)Other gaming	(d)
					Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Subtract line 7 from line 1, column (d). ▶				

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain _____

11

Does the organization conduct gaming activities with nonmembers?

☐ Yes ☐ No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☐ No

13

Indicate the percentage of gaming activity conducted in

a	The organization's facility	13a	%
b	An outside facility	13b	%

14

Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☐ No

b

If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the amount of gaming revenue retained by the third party ▶ \$

c

If "Yes," enter name and address of the third party

Name ▶

Address ▶

16

Gaming manager information

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☐ No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$

Part IV

Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
Schedule G, Part I, Line 2b Column (iv) and (v)	SI engaged multiple fundraising services and many work on the same campaigns. It is not possible to accurately report the exact amount of revenue associated with each fundraiser. None of these fundraisers had control of contributions, all were paid under the terms of negotiated contracts. SI closely monitors fundraising performance against established campaign goals for its contractors, and results are taken into consideration in future contract negotiations. Payments to Avalon Consulting Group of approximately \$2,046,550 are not reported in Part I. This amount is for reimbursements related to fundraising expenses of postage, printing and mailing lists. Schedule G, Part I, Line 3. SI is a trust instrumentality of the U.S. and as such is exempt from state regulations pursuant to the Supremacy Clause of the U.S. Constitution. All states that have inquired about SI fundraising solicitation registration have acknowledged this exemption.

Schedule G (Form 990 or 990-EZ) 2015

2015

53-0206027

Schedule I (Form 990) 2015

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22
Part III can be duplicated if additional space is needed

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) Academic Appointment Stipends	1096	12,050,170			

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
Part I, Line 2	The Office of Fellowships has central management and administrative responsibility for the Smithsonian programs of research fellowships and other academic appointments for undergraduate, graduate students, postdoctoral and senior scholars. The Smithsonian Institution Fellowship Program is a competitive fellowship program for graduate and postdoctoral fellows, who apply to conduct research at the Institution with research staff serving as advisors. The review process is made up of disciplinary committees comprised of the Institution's research staff who evaluate the candidates and then select the fellows. There are other competitive and non-competitive fellowships for visiting scholar and student appointees selected through the Smithsonian units that go through a variety of other selections processes before an award is made. An official letter/agreement is provided to each award recipient identifying the award title, dates of tenure, stipend allowances, and required responsibilities for holding this position. All recipients either will identify a financial institution for receipt of their monetary award or they will receive US Treasury checks. Depending on the tenure of the appointment, payments may be made in a lump sum or in periodic payments. Most fellowship appointments are awarded for one to two years. At the end of tenure, a final report of their research accomplishments is provided for our records. Smithsonian research staff who serve as advisors to these fellows, students and scholars are usually in the field during their tenure. The individual Smithsonian research centers monitor the progress of their fellows, and the funds are managed through the Institution's central administration to ensure accountability. Similar processes are followed for awards made to organizations whose academic staff perform the related research.

Additional Data

Software ID:
Software Version:
EIN: 53-0206027
Name: Smithsonian Institution

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
American Council of Learned Societies 633 Third Avenue 8th Flr New York, NY 100176795	13-1851145	501(c)(3)	6,000				Academic Appointment Stipend
Cornell University 373 Pine Tree Road Ithaca, NY 14850	15-0532082	501(c)(3)	46,407				Academic Appointment Stipend
George Washington University 2121 I St MW Washington, DC 20052	53-0196584	501(c)(3)	4,894				Academic Appointment Stipend

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Indiana University 400 E 7th Street Rm 501 Bloomington, IN 356001673	35-6001673	115	12,500				Academic Appointment Stipend
Michigan State University 220 Trowbridge Road East Lansing, MI 48824	38-6005984	115	5,000				Academic Appointment Stipend
Ohio University HDL Center Ste 279 Athens, OH 45701	31-6402113	115	3,395				Academic Appointment Stipend

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Regents of the University of Michigan 3003 South State Street Ann Arbor, MI 481091287	38-6006309	115	13,183				Academic Appointment Stipend
Regents of the University of Minnesota 2221 University Ave SE Minneapolis, MN 416007513	41-6007513	115	37,610				Academic Appointment Stipend
Research Foundation for the State Univ of NY PO Box 9 Albany, NY 12201	14-1368361	501(c)(3)	41,650				Academic Appointment Stipend

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Trustees of the Univ of Pennsylvania 3451 Walnut Street Philadelphia, PA 191046205	23-1352685	501(c)(3)	53,000				Academic Appointment Stipend
University of Chicago 6054 South Drexel Avenue Chicago, IL 60637	36-2177139	501(c)(3)	31,950				Academic Appointment Stipend
University of Illinois 506 S Wright Avenue Urbana, IL 61801	37-6000511	115	16,950				Academic Appointment Stipend

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Mary Washington 1301 College Avenue Fredricksburg, VA 224015300	54-6001757	115	55,000				Academic Appointment Stipend
University of Maryland - College Park 2119 Main Administration Bldg College Park, MD 20742	52-6002033	115	33,552				Academic Appointment Stipend
Utah State University 2400 Old Main Hill Logan, UT 84322	87-6000528	115	3,500				Academic Appointment Stipend

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
Smithsonian Institution

Employer identification number
53-0206027

Part I Questions Regarding Compensation

	Yes	No
<div>1a</div> <div>Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.</div> <div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Tax indemnification and gross-up payments</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)</div></div>		
<div>b</div> <div>If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.</div>	1b Yes	
<div>2</div> <div>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?</div>	2 Yes	
<div>3</div> <div>Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.</div> <div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
<div>4</div> <div>During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization:</div>		
<div>a</div> <div>Receive a severance payment or change-of-control payment?</div>	4a	No
<div>b</div> <div>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div>	4b	No
<div>c</div> <div>Participate in, or receive payment from, an equity-based compensation arrangement?</div>	4c	No
<div></div> <div>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.</div>		
<div></div> <div>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</div>		
<div>5</div> <div>For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:</div>		
<div>a</div> <div>The organization?</div>	5a	No
<div>b</div> <div>Any related organization?</div>	5b	No
<div></div> <div>If "Yes," on line 5a or 5b, describe in Part III.</div>		
<div>6</div> <div>For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:</div>		
<div>a</div> <div>The organization?</div>	6a Yes	
<div>b</div> <div>Any related organization?</div>	6b	No
<div></div> <div>If "Yes," on line 6a or 6b, describe in Part III.</div>		
<div>7</div> <div>For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.</div>	7	No
<div>8</div> <div>Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.</div>	8	No
<div>9</div> <div>If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?</div>	9	

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column(B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
Part I, Line 1a	First Class Travel One officer listed in Part VII, Section A was authorized for first class travel for bona-fide business purposes when the circumstances of their travel met the requirements of the Smithsonian's travel policy for such travel and first class travel was approved in each instance by that individual's designated "approving official" for travel. The Smithsonian's travel policy is guided by the Federal Travel Regulations as supplemented by the Smithsonian's Travel handbook. The accountable plan for travel reimbursements maintained by the Smithsonian meets IRS requirements, therefore no portion of this travel was treated as taxable compensation. Travel for companions Travel was authorized for the spouse of a person listed in Part VII, Section A when the circumstances of that travel met the requirements of the Smithsonian's policy for such travel. The Smithsonian's policy for payment of spousal or dependent family member travel permits such payment only in limited, exceptional cases, when there is a demonstrated bona fide business purpose for the travel and the travel furthers the mission of the Smithsonian, beyond mere attendance at an event or the performance of incidental services. Such travel is authorized only if approved by the Office of General Counsel and the Office of the Under Secretary for Finance and Administration/Chief Financial Officer in advance. In the calendar year 2015, two (domestic travel) trips were approved for the spouse of an officer. Each trip was authorized consistent with policy. Because it was determined that there was a bona fide business purpose for each trip, the cost of the trips was not treated as taxable income.
Part I, Line 6	Incentive Plan Certain employees of Smithsonian Enterprises (SE), a unit of the Smithsonian, are eligible to participate in the Smithsonian Enterprises Management Incentive Plan. This eligibility is determined on an annual basis. Even if eligible to participate, a performance review rating of "Fully Successful" must be received. The Plan includes both financial and individual (operational) goals, and these goals must be met in order to receive an incentive payment. There are different performance levels, with each successive level giving a higher incentive payment. In addition, the eligible person must be employed by the organization on the last day of the fiscal year in order to receive payment. Approvals for incentive payments are tiered, any payment to a senior executive is reviewed by the Secretary, with the Regents (either the Compensation Committee or the Full Board) approving incentive payments to any disqualified person, any direct report to the Secretary, and any executive with total cash above an annually determined threshold. The Regents have delegated to the Secretary the authority to approve other executive incentive payments. For non-executives, the President of SE approves those payments, except for payments above \$10,000 which go to the Secretary for approval.

Additional Data

Software ID:

Software Version:

EIN: 53-0206027

Name: Smithsonian Institution

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1David SkortonSecretary	(i)	355,853	150,000	2,462	39,710	14,360	562,385	0
	(ii)	0	0	0	0	0	0	0
1Albert Horvath U/S Finance & Administration/CFO	(i)	434,815	6,000	2,041	39,710	21,498	504,064	0
	(ii)	0	0	0	0	0	0	0
2Cathy Helm Inspector General	(i)	176,798	2,636	0	0	19,585	199,019	0
	(ii)	0	0	0	0	0	0	0
3Judith Leonard General Counsel	(i)	182,262	4,000	2,083	26,217	8,229	222,791	0
	(ii)	0	0	0	0	0	0	0
4Nancy Bechtol Director - Facilities	(i)	183,958	5,000	695	25,933	793	216,379	0
	(ii)	0	0	0	0	0	0	0
5Claudine Browndecd 031716 Asst Sec - Education and Access	(i)	251,563	6,500	3,148	37,420	10,393	309,024	0
	(ii)	0	0	0	0	0	0	0
6Deron Burba Chief Information Officer	(i)	183,671	5,500	390	26,962	18,073	234,596	0
	(ii)	0	0	0	0	0	0	0
7Amy Chen Chief Investment Officer	(i)	253,635	255,080	1,056	36,565	1,424	547,760	0
	(ii)	0	0	0	0	0	0	0
8Virginia Clark Director - Advancement & Giving	(i)	337,335	8,000	4,543	39,710	21,397	410,985	0
	(ii)	0	0	0	0	0	0	0
9Bruce Dauer VP Finance/Adm - (SE)	(i)	218,037	79,817	2,614	31,707	6,050	338,225	0
	(ii)	0	0	0	0	0	0	0
10James Douglas Director - Office of Human Resources	(i)	181,533	499	2,085	26,241	4,477	214,835	0
	(ii)	0	0	0	0	0	0	0
11Jean Garvin Director - Finance & Accounting	(i)	176,940	5,000	1,074	26,003	21,016	230,033	0
	(ii)	0	0	0	0	0	0	0
12John Kress Interim Under Secretary - Science	(i)	158,709	6,000	0	8,212	17,497	190,418	0
	(ii)	0	0	0	0	0	0	0
13Richard Kurn Acting Provost-U/S for Museums/Resea	(i)	301,002	8,000	3,846	44,660	12,456	369,964	0
	(ii)	0	0	0	0	0	0	0
14John Lapiana Acting Asst Sec/Comm & External Affr	(i)	186,399	25,000	720	26,660	8,141	246,920	0
	(ii)	0	0	0	0	0	0	0
15Dorothy Leffler Director - Office of Contracts	(i)	152,943	4,000	0	0	17,486	174,429	0
	(ii)	0	0	0	0	0	0	0
16Evelyn LiebermanDecd 121215 Senior Advisor to the Secretary	(i)	237,891	6,000	4,667	35,001	980	284,539	0
	(ii)	0	0	0	0	0	0	0
17Christopher Liedel President - Smithsonian Enterprises	(i)	427,226	199,328	2,009	39,125	24,751	692,439	0
	(ii)	0	0	0	0	0	0	0
18Era Marshall Dir-Equal Emplmty & Minority Affairs	(i)	168,242	5,000	0	0	15,178	188,420	0
	(ii)	0	0	0	0	0	0	0
19Mary Payne Director - Office of Govt Relations	(i)	184,306	2,000	730	26,974	10,644	224,654	0
	(ii)	0	0	0	0	0	0	0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
21David Voyles Director - OPMB	(i)	171,179	5,500	0	7,035	7,946	191,660	0
	(ii)	0	0	0	0	- 0	- 0	0
1Michael Caruso Editor in Chief - (SE)	(i)	298,877	33,965	713	39,125	21,738	394,418	0
	(ii)	0	0	0	0	- 0	- 0	0
2Melissa Chiu Director - Hirschhorn Museum	(i)	389,129	0	418	39,710	21,607	450,864	0
	(ii)	0	0	0	0	- 0	- 0	0
3Edward Howell SVP Retail-Smithsonian Enterprises	(i)	266,635	120,409	3,421	39,125	21,527	451,117	0
	(ii)	0	0	0	0	- 0	- 0	0
4Carol LeBlanc SVP Licensing-Smithsonian Enterprise	(i)	240,282	93,599	548	36,187	21,433	392,049	0
	(ii)	0	0	0	0	- 0	- 0	0
5Jeffrey Smith Investment Officer	(i)	179,007	171,756	164	26,144	17,913	394,984	0
	(ii)	0	0	0	0	- 0	- 0	0
6G Wayne Clough Former Secretary	(i)	142,573	0	666	4,806	224	148,269	0
	(ii)	0	0	0	0	- 0	- 0	0
7Charles R Alcock Former Acting Under Sec - Science	(i)	152,558	4,300	821	20,458	719	178,856	0
	(ii)	0	0	0	0	- 0	- 0	0
8Kenneth Johnson Former Acting Director - OPMB	(i)	172,752	5,000	0	8,638	343	186,733	0
	(ii)	0	0	0	0	- 0	- 0	0
9Andrew Zino Former Comptroller	(i)	163,091	2,000	0	7,959	17,505	190,555	0
	(ii)	0	0	0	0	- 0	- 0	0

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493205003137

Schedule K
(Form 990)

Supplemental Information on Tax Exempt Bonds

OMB No 1545-0047

2015

Open to Public Inspection

Department of the Treasury

Internal Revenue Service

Name of the organization

Smithsonian Institution

Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

Attach to Form 990.

Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

Employer identification number

53-0206027

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A Fairfax County Economic Development Authority	54-0787833	30382ECZ5	12-03-2003	77,545,000	To construct a museum		X		X		X
B District of Columbia	53-6001131	2548397S6	04-29-2010	33,825,749	Refunding of 1997 Bonds		X		X		X

Part II		Proceeds							
		A		B		C		D	
1	Amount of bonds retired			8,035,000					
2	Amount of bonds legally defeased								
3	Total proceeds of issue	77,545,000		33,825,749					
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds	530,475		612,994					
8	Credit enhancement from proceeds	6,161							
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds	77,008,364							
11	Other spent proceeds			33,212,755					
12	Other unspent proceeds								
13	Year of substantial completion	2003		2010					
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X	X					
15	Were the bonds issued as part of an advance refunding issue?		X		X				
16	Has the final allocation of proceeds been made?	X		X					
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X					

Part III Private Business Use										
					A		B		C	
					Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?					X		X		
2	Are there any lease arrangements that may result in private business use of bond-financed property?					X		X		

Part III Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X	X					
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?			X					
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X				
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶								
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶			4 570 %					
6	Total of lines 4 and 5			4 570 %					
7	Does the bond issue meet the private security or payment test?		X		X				
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?.		X		X				
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?.								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?.	X		X					

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X				
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X				
b	Exception to rebate?	X		X					
c	No rebate due?		X		X				
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?	X			X				
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X				
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X				
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X				
7	Has the organization established written procedures to monitor the requirements of section 148? . . .	X		X					

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?		X		X					

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
Schedule K, Part I, Bond Issues, Column (f), Line B	The issue date of the current refunded bonds was January 1, 1998

Return Reference	Explanation
Part III Private Business Use, Line 5, Column B	The percentage of financed property used in a private business use as a result of unrelated trade or business activity is based on the percentage of unrelated revenue to total revenue in the gift shop and theater within the space financed by this bond issue

SCHEDULE M
(Form 990)

Noncash Contributions

OMB No 1545-0047

2015

Open to Public Inspection

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

▶ Attach to Form 990.

▶Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990

Department of the Treasury
Internal Revenue Service

Name of the organization
Smithsonian Institution

Employer identification number
53-0206027

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	3,081		
2 Art—Historical treasures				
3 Art—Fractional interests	X	3		
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	286	19,424,528	Fair Market Value
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	6,862		
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy	X	1		
22 Historical artifacts	X	2,794		
23 Scientific specimens	X	56,145		
24 Archeological artifacts				
25 Other ▶ (Goods)	X	55	5,610,800	Fair Market Value
26 Other ▶ (Arch cf/lf)	X	1,425	0	
27 Other ▶ (Archival misc)	X	11,477	0	
28 Other ▶ ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

2970

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30aNo

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31Yes

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32aYes

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Part II **Supplemental Information.**

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
Part I, Line 32b	Although the Smithsonian acquires collections with the good faith intention of retaining them for an indefinite period of time, prudent collections management includes judicious consideration of appropriate deaccessioning and disposal to refine and improve the quality and relevance of the collections with respect to the Smithsonian's mission and purpose. When objects are deaccessioned for disposal by sale, the Smithsonian contracts with commercial galleries or auction houses to sell the objects in order to assure the best return from the sale.
Part I, Line 33	In accordance with professional practice, as allowed by SFAS 116, the Smithsonian does not assign value to collection items acquired by donation.

SCHEDULE O
(Form 990 or
990-EZ)Department of the
Treasury
Internal Revenue
Service**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2015**Open to Public
Inspection**Name of the organization
Smithsonian Institution**Employer identification number**

53-0206027

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Page 1, Line K	Form of organization (Other) The Smithsonian Institution is a trust instrumentality of the U S , created by Congress, organized pursuant to 20 U S C sec 41 et seq

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Page 1, Line M	State of legal domicile As a trust instrumentality of the United States, the Smithsonian Institution is a federal entity that is not domiciled in any state

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part IV, Line 12b	The Smithsonian's operations funded through federal appropriation are included in the U S Government's consolidated audited financial statements

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section A, line 1	<p>The Bylaws of the organization delegate authority to the Executive Committee to act on behalf of the Board of Regents when the Board of Regents is not in session. The Board of Regents elects from its members an Executive Committee consisting of three members. The Executive Committee has and may exercise all powers of the Board of Regents when the Board of Regents is not in session, except those expressly reserved to itself by the Board of Regents, provided that all such proceedings are reported to the Governance and Nominating Committee and the Board of Regents on a regular basis. The Chair of the Board serves as Chair of the Executive Committee. The Vice Chair of the Board serves as a member of the Executive Committee.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section A, line 7a	<p>Pursuant to federal statute, the Board of Regents consists of the Vice President of the United States, the Chief Justice of the United States, three members of the U S Senate, three members of the U S House of Representatives, and nine additional citizen Regents The three Senators are appointed by the President Pro Tempore of the U S Senate, and the three members of the House of Representatives are appointed by the Speaker of the House of Representatives Their appointment terms coincide with the terms for which they are elected, and they may be reappointed if re-elected The citizen Regents are nominated by the Board of Regents, and appointed by a Joint Resolution of Congress which is signed by the President of the United States</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, line 11	<p>The Form 990 return is prepared by staff in the Office of Finance & Accounting. It is then reviewed by the Director of Finance and Accounting, the Office of General Counsel, Chief Financial Officer and the Secretary of the Smithsonian, as well as by external tax advisors. After this review process, the 990 is made available to the full Board for its review and comment. The Audit & Review Committee conducts a final review of the return at a regularly scheduled Committee meeting prior to its filing.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, line 12c	<p>All officers, key employees, and certain other employees are required to complete and file annually a Confidential Financial Disclosure Report. These Reports - which require disclosure of certain assets, earned income, liabilities, outside positions, outside contracts and agreements, gifts, hospitality, reimbursements, and other interests related to the employee's Smithsonian position - are reviewed by the Institution's Office of General Counsel for compliance with applicable conflict of interest policies and laws. In addition to this required reporting, all key employees are required to comply with the Institution's Standards of Conduct, which, among other things, requires that employees not engage in private or personal activities that might conflict or appear to conflict with Smithsonian interests, requires that employees obtain Office of General Counsel approval before engaging in an outside activity for compensation, and requires employees to consult with the Office of General Counsel whenever a doubt exists as to whether an activity or planned activity violates the Standards. All members of the Smithsonian's governing body are required to complete and file annually the Board of Regents Annual Disclosure Statement. These statements - which require reporting by members (including any reportable interests held by immediate family of members) on outside positions and substantial shareholding in for-profit business entities, outside positions in non-profit entities, and interests and affiliations of the member that have or sought to have a relationship to the Smithsonian - are reviewed by the Institution's General Counsel for compliance with applicable conflict of interest policies and laws. In addition to this required reporting, all members of the Smithsonian's governing body are required to comply with the Board of Regents Ethics Guidelines, which, among other things, define conflicts of interest and establish procedures for disclosing and reporting of conflicts and recusal from decision-making.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, line 15	<p>The Smithsonian ensures that compensation paid to officers and other key employees is reasonable and comparable to similar organizations. Employees of the Smithsonian may be paid with federally appropriated funds or with nonfederal ("Trust") funds. Most Trust funded (nonfederal) officer and key employee positions are subject to market-based compensation, and the Board of Regent's Committee on Compensation and Human Resources engages an independent consultant to develop and assemble comparability data for its consideration and to inform its decisions. The Committee's annual deliberations and decisions are documented as part of the final recommendation materials submitted to the Board of Regents. Salaries for Federal employees are determined by statutorily established pay ranges for civil service employees. As a matter of policy, the Smithsonian has established similar ranges for certain Trust funded officer and key employee positions and maintains those ranges in proportion to the appropriate Federal pay ranges.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section C, line 19	The Smithsonian makes its governing documents, statement of values and code of ethics, and audited financial statements available on its public website (www si edu) Documents may also be view ed at the Office of Finance and Accounting or mailed

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part XI, line 9	Change in related party net assets 131,547 Deferred gain on building 3,908,586 Change in minority interest - SN/Sl Netw orks LLC 2,433,900 Environmental remediation obligation F ASB ASC 410-20 -1,123,640 Bad Debt reserve established for prior years pledge -10,000,000

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VII and Schedule J-2	The following are abbreviations found in the body of the return OPMB - Office of Planning, Management and Budget SE - Smithsonian Enterprises

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Smithsonian Institution

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

► Attach to Form 990. ► Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Employer identification number

53-0206027

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1)Clay Fellowships Chantable Trust 10 Memonal Boulevard Providence, RI 02903 04-3560268	Support of Smithsonian Astrophysical Observatory	MA	501(c)(3)	Line 11d, III-O	N/A		No
(2)Smithsonian UK Charitable Trust c/o Withers LLP 16 Old Bailey London EC4M 7EG UK	Advance the work of the Smithsonian Institution worldwide	UK	501(c)(3)	Line 7	Smithsonian Institution	Yes	

Part III

Identification of Related Organizations Taxable as a Partnership

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
(1) Chantable Remainder Trusts (2) 1000 Jefferson Drive SW Washington, DC 20560	Chantable Remainder Trust	DC	N/A					Yes	
(2) Chantable Remainder Trusts (2) 1000 Jefferson Drive SW Washington, DC 20560	Chantable Remainder Trust	MA	N/A					Yes	
(3) Chantable Remainder Trusts (1) 1000 Jefferson Drne SW Washington, DC 20560	Chantable Remainder Trust	MI	N/A					Yes	
(4) Chantable Remainder Trusts (5) 1000 Jefferson Drive SW Washington, DC 20560	Chantable Remainder Trust	NY	N/A					Yes	
(5) Chantable Remainder Trusts (4) 1000 Jefferson Drive SW Washington, DC 20560	Chantable Remainder Trust	VA	N/A					Yes	

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a		No
b Gift, grant, or capital contribution to related organization(s)	1b		No
c Gift, grant, or capital contribution from related organization(s)	1c	Yes	
d Loans or loan guarantees to or for related organization(s)	1d		No
e Loans or loan guarantees by related organization(s)	1e		No
f Dividends from related organization(s)	1f		No
g Sale of assets to related organization(s)	1g		No
h Purchase of assets from related organization(s)	1h		No
i Exchange of assets with related organization(s)	1i		No
j Lease of facilities, equipment, or other assets to related organization(s)	1j		No
k Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l Performance of services or membership or fundraising solicitations for related organization(s)	1l		No
m Performance of services or membership or fundraising solicitations by related organization(s)	1m		No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		No
o Sharing of paid employees with related organization(s)	1o		No
p Reimbursement paid to related organization(s) for expenses	1p		No
q Reimbursement paid by related organization(s) for expenses	1q		No
r Other transfer of cash or property to related organization(s)	1r		No
s Other transfer of cash or property from related organization(s)	1s	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
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