

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2015 or tax year beginning 10/1/2015, and ending 9/30/2016

Name of foundation THE NANCY E BARTON FOUNDATION			A Employer identification number 45-6656212	
Number and street (or P O box number if mail is not delivered to street address) P O BOX 1501, NJ2-130-03-31			B Telephone number (see instructions) 609-274-6834	
City or town PENNINGTON	State NJ	ZIP code 08534-1501		
Foreign country name Foreign province/state/county Foreign postal code			C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,602,913		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	572,156			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	240,803	235,567		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	49,232			
	b Gross sales price for all assets on line 6a 1,478,893				
	7 Capital gain net income (from Part IV, line 2)		49,232		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	862,191	284,799	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	20,000			20,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	103,532	62,119		41,413
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	7,775	3,437		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	495	495		
	24 Total operating and administrative expenses. Add lines 13 through 23	131,802	66,051	0	61,413
	25 Contributions, gifts, grants paid	436,500			436,500
26 Total expenses and disbursements. Add lines 24 and 25	568,302	66,051	0	497,913	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	293,889				
b Net investment income (if negative, enter -0-)		218,748			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see Instructions.

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THE NANCY E BARTON FOUNDATION

45-6656212

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	2,342	2,893	2,893
	2	Savings and temporary cash investments	305,294	755,644	755,644
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	494,297		
	b	Investments—corporate stock (attach schedule)	5,659,956	5,639,768	7,150,944
	c	Investments—corporate bonds (attach schedule)	1,088,829	726,533	753,856
	Liabilities	11	Investments—land, buildings, and equipment basis ▶		
		Less: accumulated depreciation (attach schedule) ▶			
12		Investments—mortgage loans			
13		Investments—other (attach schedule)	2,253,875	3,015,470	2,939,576
14		Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	9,804,593	10,140,308	11,602,913
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
27	Capital stock, trust principal, or current funds	9,804,593	10,140,308		
28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	9,804,593	10,140,308		
31	Total liabilities and net assets/fund balances (see instructions)	9,804,593	10,140,308		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,804,593
2	Enter amount from Part I, line 27a	2	293,889
3	Other increases not included in line 2 (itemize) ▶ <u>FEDERAL EXCISE TAX REFUND</u>	3	48,241
4	Add lines 1, 2, and 3	4	10,146,723
5	Decreases not included in line 2 (itemize) ▶ <u>See Attached Statement</u>	5	6,416
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,140,307

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	49,232
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	407,122	9,767,125	0.041683
2013	353,062	8,508,286	0.041496
2012	35,659	7,101,367	0.005021
2011	1,875	1,311,820	0.001429
2010			0.000000

2 Total of line 1, column (d)	2	0.089629
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.022407
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	11,152,728
5 Multiply line 4 by line 3	5	249,899
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,187
7 Add lines 5 and 6	7	252,086
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	497,913

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	2,187	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	2,187	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,187	
6	Credits/Payments			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	4,338	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	4,338	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,151	
11	Enter the amount of line 10 to be Credited to 2016 estimated tax Refunded	11	2,151	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
1b			X
c	Did the foundation file Form 1120-POL for this year?		X
1c			X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
2			X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
3			X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4a			X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
4b		N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
5			X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	X
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	8b	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	X
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► UST-MLT, A DIVISION OF BANK OF AMERICA, N. Telephone no ► 609-274-6834 Located at ► 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ► 08534-1501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 _____, 20 _____, 20 _____, 20 _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 _____, 20 _____, 20 _____, 20 _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALLEN BARTON 570 LILLIAN WAY LOS ANGELES, CA 90004	TRUSTEE 1 00	20,000		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	

All other program-related investments See instructions

Total. Add lines 1 through 3

D

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	10,215,959
b	Average of monthly cash balances	1b	1,106,608
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	11,322,567
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	11,322,567
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	169,839
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,152,728
6	Minimum investment return. Enter 5% of line 5	6	557,636

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	557,636
2a	Tax on investment income for 2015 from Part VI, line 5	2a	2,187
b	Income tax for 2015 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,187
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	555,449
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	555,449
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	555,449

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	497,913
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	497,913
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,187
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	495,726

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				555,449
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			433,811	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 497,913				
a Applied to 2014, but not more than line 2a			433,811	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2015 distributable amount				64,102
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				491,347
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A****1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or ☐ 4942(j)(5)**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ALLEN BARTON 570 LILLIAN WAY LOS ANGELES, CA 90004

b The form in which applications should be submitted and information and materials they should include**WRITTEN REQUEST****c** Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	436,500
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2015

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.**Name of the organization**

THE NANCY E BARTON FOUNDATION

Employer identification number

45-6656212

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE NANCY E BARTON FOUNDATION	Employer identification number 45-6656212
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF NANCY E BARTON CUMMINGS AND LOCKWOOD LLC, BOX 2505 GREENWICH CT 06836 Foreign State or Province _____ Foreign Country _____	\$ 572,156	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

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Name of organization
THE NANCY E BARTON FOUNDATION

Employer identification number
45-6656212

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

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Name of organization THE NANCY E BARTON FOUNDATION	Employer identification number 45-6656212
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ 0

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
	For Prov	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
	For Prov	Country	

THE NANCY E BARTON FOUNDATION

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

826La

Street

1714 W Sunset Blvd

City

Los Angeles

State

CA

Zip Code

90026-3225

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

American National Red Cross

Street

2025 E Street

City

Washington

State

DC

Zip Code

20006-5310

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,000

Name

Autism Speaks

Street

1060 State Road 2nd Floor

City

Princeton

State

NJ

Zip Code

08540

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Cause For Celebration

Street

Po Box 78805

City

Los Angeles

State

CA

Zip Code

90016-0805

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Cheshire Moon Inc

Street

8939 S Sepulveda Blvd Ste 105

City

Los Angeles

State

CA

Zip Code

90045-3614

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Childrens Ranch Foundation

Street

4007 Verdant St

City

Los Angeles

State

CA

Zip Code

90039-1318

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

THE NANCY E BARTON FOUNDATION

45-6656212 Page 2 of 8

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**Recipient(s) paid during the year****Name**

Detroit Creativity Project

Street

Po Box 1236

City

Venice

State

CA

Zip Code

90294-1236

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Horizons For Homeless Children, Inc

Street

1705 Columbus Ave

City

Roxbury

State

MA

Zip Code

02119

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Legal Momentum

Street

5 Hanover Square Ste 1502

City

New York

State

NY

Zip Code

10004

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,000

Name

Los Angeles Youth Network La Youth

Street

1754 Taft Ave

City

Los Angeles

State

CA

Zip Code

90028-5705

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Metropolitan Museum of Art

Street

1000 Fifth Avenue

City

New York

State

NY

Zip Code

10028-0198

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,000

Name

A Place Called Home

Street

2830 S Central Ave

City

Los Angeles

State

CA

Zip Code

90011-2037

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

THE NANCY E BARTON FOUNDATION

45-6656212 Page 3 of 8

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Venice Arts

Street

1702 Lincoln Blvd

City

Venice

State

CA

Zip Code

90291-3968

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Young Storytellers Foundation

Street

923 E 3Rd St Ste 307

City

Los Angeles

State

CA

Zip Code

90013-1847

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

The Children's Room

Street

1210 Massachusetts Avenue

City

Arlington

State

MA

Zip Code

02476

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Casa Myrna Vazquez, Inc

Street

PO Box 180019

City

Boston

State

MA

Zip Code

02118

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

University of Southern California

Street

Classical KUSC P O Box 7913

City

Los Angeles

State

CA

Zip Code

90007-0913

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

Classics Alive Foundation

Street

24516 Indian Hill Ln

City

West Hills

State

CA

Zip Code

91307-3832

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

THE NANCY E BARTON FOUNDATION

45-6656212 Page 4 of 8

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

The Gabriella Foundation

Street

639 S Commonwealth Ave Apt B

City

Los Angeles

State

CA

Zip Code

90005-4051

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Joyful Heart Foundation

Street

32 W 22Nd St 4Th F

City

New York

State

NY

Zip Code

10010-0000

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Laurel House, Inc

Street

1616 Washington Boulevard

City

Stamford

State

CT

Zip Code

06902

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Los Angeles County Developmental Service Foundation

Street

3303 Wilshire Blvd 7Th Floor

City

Los Angeles

State

CA

Zip Code

90010-1704

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Metrowest Legal Services Inc

Street

63 Fountain St Ste 304

City

Framingham

State

MA

Zip Code

01702-6262

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Mia Recovery

Street

2373 Teller Rd

City

Newbury Park

State

CA

Zip Code

91320-2229

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

THE NANCY E BARTON FOUNDATION

45-6656212 Page 5 of 8

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Nepal Youth Foundation

Street

3030 Bridgeway Ste 211

City

Sausalito

State

CA

Zip Code

94965-2898

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

Raw Art Works, Inc

Street

37 Central Square

City

Lynn

State

MA

Zip Code

01901

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Twloha Inc

Street

Po Box 2203

City

Melbourne

State

FL

Zip Code

32902-2203

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Jeanne Geiger Crisis Center, Inc

Street

2 Harris Street

City

Newburyport

State

MA

Zip Code

01950

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

Young Women's Christian Association of Greenwich

Street

259 East Putnam Avenue

City

Greenwich

State

CT

Zip Code

06830

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

Name

INNER-CITY ARTS

Street

720 Kohler St

City

Los Angeles

State

CA

Zip Code

90021

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,500

THE NANCY E BARTON FOUNDATION

45-6656212 Page 6 of 8

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Americares, Inc

Street

88 Hamilton Ave

City

Stamford

State

CT

Zip Code

06902-3111

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

30,000

Name

Trustees Of Boston University

Street

25 Buick Street, 2nd Floor

City

Boston

State

MA

Zip Code

02215

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

50,000

Name

buildOn, Inc

Street

PO Box 16741

City

Stamford

State

CT

Zip Code

06905

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

25,000

Name

Child Guidance Center of Southern Connecticut, Inc

Street

196 Greyrock Place

City

Stamford

State

CT

Zip Code

06901

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

40,000

Name

Ensemble Shakespeare Theater

Street

89 S Fair Oaks Ave

City

Pasadena

State

CA

Zip Code

91105-2052

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

The Harmony Project

Street

817 Vine Street Suite 204

City

Los Angeles

State

CA

Zip Code

90038-3739

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

25,000

THE NANCY E BARTON FOUNDATION

45-6656212 Page 7 of 8

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Jabberwocky Theatre Company

Street

12405 Venice Blvd 153

City

Los Angeles

State

CA

Zip Code

90066-3803

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

Katselas Theatre Company a k a Skylight Theatre Company

Street

254 S Robertson Blvd

City

Beverly Hills

State

CA

Zip Code

90211

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

7,500

Name

Memorial Sloan-Kettering Cancer Center

Street

1275 York Avenue

City

New York

State

NY

Zip Code

10065

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

Save the Children Federation, Inc

Street

501 Kings Highway East, Suite 400

City

Fairfield

State

CT

Zip Code

06825

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

45,000

Name

Tufts Univeristy

Street

Ballou Hall

City

Medford

State

MA

Zip Code

02155

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

50,000

Name

United Way of Greenwich, Inc

Street

One Lafayette Court

City

Greenwich

State

CT

Zip Code

06830

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

THE NANCY E BARTON FOUNDATION

45-6656212 Page 8 of 8

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Vs Theatre Company

Street

3734 Santa Carlotta St

City

La Crescenta

State

CA

Zip Code

91214-1048

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

The Other Side of the Hill Productions

Street

5108 Lankershim Blvd

City

North Hollywood

State

CA

Zip Code

91601-3717

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

Franklin Performing Arts Company Inc

Street

Po Box 16

City

Franklin

State

MA

Zip Code

02038-0016

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

2,500

Name

American Civil Liberties Union Foundation of Connecticut Inc

Street

330 Main Street, First Floor

City

Hartford

State

CT

Zip Code

06106

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

15,000

Name**Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals											Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss	
Short Term CG Distributions		49,821	Capital Gains/Losses											1,478,893		1,429,661		49,232	
		0	Other sales											0		0		0	
Description	CUSIP #	Check "X" to include in Part IV	Check "X" if Purchaser is a Business	Purchaser	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss					
1 CALIFORNIA RESOURCES	13057Q107	X				4/11/2013	4/4/2016	0	1				0	-1					
2 ALIBABA GROUP HOLDING L	01809W102	X				2/3/2016	3/21/2016	60,390	49,989				0	10,391					
3 ALIBABA GROUP HOLDING L	01809W102	X				6/12/2015	3/21/2016	161,660	150,866				0	10,794					
4 CALIFORNIA RESOURCES	13057Q107	X				12/4/2012	5/25/2016	342	1,285				0	-943					
5 CALIFORNIA RESOURCES	13057Q107	X				10/31/2012	5/25/2016	342	1,387				0	-1,045					
6 CALIFORNIA RESOURCES	13057Q107	X				7/25/2012	5/25/2016	62	52				0	10					
7 CALIFORNIA RESOURCES	13057Q107	X				4/11/2013	5/25/2016	480	2,048				0	-1,568					
8 CALIFORNIA RESOURCES	13057Q107	X				1/2/2013	5/25/2016	343	1,353				0	-1,010					
9 CALIFORNIA RESOURCES	13057Q107	X				1/2/2013	5/25/2016	79	60				0	19					
10 CALIFORNIA RESOURCES	13057Q107	X				5/7/2012	5/25/2016	63	55				0	8					
11 CALIFORNIA RESOURCES	13057Q107	X				11/1/2012	5/25/2016	81	64				0	17					
12 CALIFORNIA RESOURCES	13057Q107	X				4/11/2013	5/25/2016	113	94				0	19					
13 CALIFORNIA RESOURCES	13057Q107	X				12/4/2012	5/25/2016	81	59				0	22					
14 CALIFORNIA RESOURCES	13057Q107	X				10/31/2012	5/25/2016	81	63				0	18					
15 CALIFORNIA RESOURCES	13057Q107	X				5/29/2012	5/25/2016	33	27				0	6					
16 STERICYCLE INC. COM	858912108	X				7/25/2012	5/25/2016	48,883	45,793				0	3,090					
17 SPECTRA ENERGY PARTNER	84756NAA7	X				10/31/2012	5/25/2016	100,000	100,000				0	0					
18 STERICYCLE INC. COM	858912108	X				10/31/2012	5/25/2016	19,553	18,825				0	728					
19 U.S. TREASURY BOND	912810R6	X				3/30/2015	1/12/2016	230,352	247,148				0	-16,796					
20 CALIFORNIA RESOURCES	13057Q107	X				5/29/2012	5/25/2016	137	578				0	-441					
21 CALIFORNIA RESOURCES	13057Q107	X				11/1/2012	5/25/2016	343	1,392				0	-1,049					
22 W.P. CAREY INC. COM	92936U109	X				3/1/2013	5/25/2016	63,768	59,549				0	4,219					
23 YUM! BRANDS INC	988498A14	X				6/10/2013	5/25/2016	101,850	107,720				0	-5,870					
24 CALIFORNIA RESOURCES	13057Q107	X				7/25/2012	5/25/2016	274	1,157				0	-883					
25 CALIFORNIA RESOURCES	13057Q107	X				5/7/2012	5/25/2016	274	1,222				0	-948					
26 FREEMONT-MCMORAN C & G	35871DAW5	X				7/25/2012	5/25/2016	49,596	50,073				0	-477					
27 LVMH MOET HENNESSY ADI	502441306	X				10/31/2012	5/25/2016	64,027	64,374				0	-347					
28 LVMH MOET HENNESSY ADI	502441306	X				4/25/2013	5/25/2016	32,013	33,352				0	-1,339					
29 PETROBRAS INTL. FIN. CO	71645WAU5	X				7/27/2012	3/10/2016	97,700	100,622				0	-2,922					
30 POTLATCH CORP. NEW	737630103	X				5/7/2012	5/25/2016	24,304	21,442				0	2,862					
31 POTLATCH CORP. NEW	737630103	X				5/21/2012	5/25/2016	10,416	8,640				0	1,776					
32 U.S. TREASURY BOND	912810R6	X				3/30/2015	1/15/2016	233,905	247,148				0	-13,243					
33 W.P. CAREY INC. COM	92936U109	X				2/6/2013	5/25/2016	127,537	113,223				0	14,314					

Part I, Line 16c (990-PF) - Other Professional Fees

		103,532	62,119	0	41,413
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	UST-MLT FEES AS AGENT	103,532	62,119		41,413

Part I, Line 18 (990-PF) - Taxes

		7,775	3,437	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	3,437	3,437		
2	ESTIMATED EXCISE PAYMENTS	4,338			

Part I, Line 23 (990-PF) - Other Expenses

		495	495	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	INVESTMENT FEES	495	495		

Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations

		Federal		State/Local									
		494,297		0		0		0		0			
		0		0		0		0		0			
1	US TREASURY BOND	Num Shares/ Face Value		Book Value Beg. of Year		Book Value End of Year		FMV Beg. of Year		FMV End of Year		State/Local Obligation	
1	US TREASURY BOND							0					

Part II, Line 10b (990-PF) - Investments - Corporate Stock

Description		Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1						
2	NIELSEN HOLDINGS PLC SHS	0		205,410		321,420
3	ALPHABET INC SHS CL A	0		309,314		361,827
4	AMAZON COM INC	0		151,057		180,022
5	ANHEUSER-BUSCH INBEV ADR	0		79,065		131,410
6	APPLE COMPUTER INC COM	0		408,561		497,420
7	COCA-COLA CO USD	0		143,369		126,960
8	DANAHER CORP COMMON	0		156,645		274,365
9	DEERE & COMPANY	0		126,007		128,025
10	DISNEY (WALT) COMPANY HOLDING CO	0		237,245		325,010
11	EXXON MOBIL CORP	0		48,736		89,075
12	GENESEE & WYO INC	0		136,182		103,425
13	GOLDMAN SACHS GROUP INC	0		133,560		161,270
14	HSBC HLDGS PLC	0		161,597		109,069
15	J P MORGAN CHASE & CO	0		218,389		332,950
16	KANSAS CITY SOUTHN INDS INC	0		142,696		121,316
17	M & T BK CORP	0		131,920		174,150
18	MC CORMICK & CO INC COMMON NON-V	0		120,064		199,840
19	MC DONALDS CORPORATION COMMON	0		73,095		92,288
20	MERCK AND CO INC SHS	0		125,905		187,230
21	NESTLE S A SPONSORED ADR	0		125,700		158,040
22	NETFLIX COM INC	0		100,072		115,796
23	NIKE INC CL B	0		149,512		168,480
24	NOVARTIS AG SPNSRD ADR	0		124,652		157,920
25	OCCIDENTAL PETROLEUM CORPORATION	0		288,165		269,804
26	PROCTER & GAMBLE CO COM	0		267,051		359,000
27	SPECTRA ENERGY CORP	0		196,362		299,250
28	STARBUCKS CORP COM	0		218,880		281,528
29	THERMO ELECTRON CORP	0		151,533		209,641
30	TRAVELERS COS INC	0		123,510		171,825
31	UNITED TECHNOLOGIES CORP	0		234,886		304,800
32	WAL MART STORES INC	0		100,794		118,998
33	WELLS FARGO & CO NEW	0		162,924		177,120
34	YUM BRANDS INC	0		132,160		181,620
35	ZOETIS INC	0		154,750		260,050

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

		1,088,829	726,533	0	753,856
		Book Value	Book Value	FMV	FMV
		Beg. of Year	End of Year	Beg. of Year	End of Year
1	Description	Interest Rate	Maturity Date		
2	AMPHENOL CORP				106,876
3	BUNGE LIMITED FINANCE CO				50,646
4	GOLDMAN SACHS GROUP INC				68,404
5	HEWLETT-PACKARD CO				54,078
6	NEWMONT MINING CORP				103,864
7	REALTY INCOME CORP				103,379
8	STANCORP FINANCIAL GROUP				107,072
9	THERMO FISHER SCIENTIFIC				159,537

Part II, Line 13 (990-PF) - Investments - Other

		2,253,875		3,015,470		2,939,576
Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year	
1				0		
2	UAM FPA CRESCENT PORT		0	741,085	695,737	
3	ISHARES TR		0	199,496	200,945	
4	ISHARES TR		0	500,211	500,035	
5	ISHARES TR		0	250,473	257,736	
6	JAMES BALANCED GOLDEN		0	722,860	686,183	
7	SPDR TR UTS		0	249,938	272,538	
8	VANGUARD EUROPE PACIFIC		0	351,407	326,402	

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	FEDERAL EXCISE TAX REFUND	1	48,241
2	Total	2	48,241

Line 5 - Decreases not included in Part III, Line 2

1	BOND AMORTIZATION	1	4,984
2	COST BASIS ADJUSTMENT	2	1,432
3	Total	3	6,416

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount												
Short Term CG Distributions		49,821	0											
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Excess FMV Over Adj Bases or Losses	Gains Minus Excess FMV Over Adj Bases or Losses
1 CALIFORNIA RESOURCES	13057Q107		4/11/2013	4/4/2016	0			1	-1	0	0	0	-589	-589
2 ALIBABA GROUP HOLDING L	01609W102		2/3/2016	3/21/2016	60,390			49,989	10,391	0	0	0	10,391	10,391
3 ALIBABA GROUP HOLDING L	01609W102		8/12/2015	3/21/2016	161,660			150,866	10,794	0	0	0	10,794	10,794
4 CALIFORNIA RESOURCES	13057Q107		12/4/2012	5/25/2016	342			1,285	-943	0	0	0	-943	-943
5 CALIFORNIA RESOURCES	13057Q107		10/31/2012	5/25/2016	342			1,387	-1,045	0	0	0	-1,045	-1,045
6 CALIFORNIA RESOURCES	13057Q107		7/25/2012	5/25/2016	62			52	10	0	0	0	10	10
7 CALIFORNIA RESOURCES	13057Q107		4/11/2013	5/25/2016	480			2,048	-1,568	0	0	0	-1,568	-1,568
8 CALIFORNIA RESOURCES	13057Q107		1/2/2013	5/25/2016	343			1,353	-1,010	0	0	0	-1,010	-1,010
9 CALIFORNIA RESOURCES	13057Q107		1/2/2013	5/25/2016	79			60	19	0	0	0	19	19
10 CALIFORNIA RESOURCES	13057Q107		5/7/2012	5/25/2016	63			56	8	0	0	0	8	8
11 CALIFORNIA RESOURCES	13057Q107		11/1/2012	5/25/2016	81			64	17	0	0	0	17	17
12 CALIFORNIA RESOURCES	13057Q107		4/11/2013	5/25/2016	113			94	-19	0	0	0	-19	-19
13 CALIFORNIA RESOURCES	13057Q107		12/4/2012	5/25/2016	81			59	22	0	0	0	22	22
14 CALIFORNIA RESOURCES	13057Q107		10/31/2012	5/25/2016	81			63	18	0	0	0	18	18
15 CALIFORNIA RESOURCES	13057Q107		5/29/2012	5/25/2016	33			27	6	0	0	0	6	6
16 STERICYCLE INC COM	858912108		7/25/2012	5/25/2016	48,883			45,793	3,090	0	0	0	3,090	3,090
17 SPECTRA ENERGY PARTNER	84756NA47		7/25/2012	6/15/2016	100,000			100,000	0	0	0	0	0	0
18 STERICYCLE INC COM	858912108		10/31/2012	5/25/2016	19,563			18,825	728	0	0	0	728	728
19 U S TREASURY BOND	912810R6K		3/30/2015	1/12/2016	230,352			247,148	-16,796	0	0	0	-16,796	-16,796
20 CALIFORNIA RESOURCES	13057Q107		5/29/2012	5/25/2016	137			578	-441	0	0	0	-441	-441
21 CALIFORNIA RESOURCES	13057Q107		11/1/2012	5/25/2016	343			1,392	-1,049	0	0	0	-1,049	-1,049
22 W P CAREY INC COM	92936U109		3/1/2013	5/25/2016	63,788			59,549	4,219	0	0	0	4,219	4,219
23 YUMI BRANDS INC	988498AH4		6/10/2013	5/25/2016	101,850			107,720	-5,870	0	0	0	-5,870	-5,870
24 CALIFORNIA RESOURCES	13057Q107		7/25/2012	5/25/2016	274			1,157	-883	0	0	0	-883	-883
25 CALIFORNIA RESOURCES	13057Q107		5/7/2012	5/25/2016	274			1,222	-948	0	0	0	-948	-948
26 FREEPORT-MCMORAN C & G	36671DAV5		7/25/2012	5/25/2016	49,586			50,073	-477	0	0	0	-477	-477
27 LVHM MOET HENNESSY ADP	502441306		10/31/2012	5/25/2016	64,027			64,374	-347	0	0	0	-347	-347
28 LVHM MOET HENNESSY ADP	502441306		4/25/2013	5/25/2016	32,013			33,352	-1,339	0	0	0	-1,339	-1,339
29 PETROBRAS INTL FIN CO	71645WAU5		7/27/2012	3/10/2016	97,700			100,622	-2,922	0	0	0	-2,922	-2,922
30 POTLATCH CORP NEW	737630103		5/7/2012	5/25/2016	24,304			21,442	2,862	0	0	0	2,862	2,862
31 POTLATCH CORP NEW	737630103		5/7/2012	5/25/2016	10,416			8,640	1,776	0	0	0	1,776	1,776
32 U S TREASURY BOND	912810R6K		1/15/2016	5/25/2016	233,905			247,148	-13,243	0	0	0	-13,243	-13,243
33 W P CAREY INC COM	92936U109		2/6/2013	5/25/2016	127,537			113,223	14,314	0	0	0	14,314	14,314

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	ALLEN BARTON		570 LILLIAN WAY	LOS ANGELES	CA	90004		TRUSTEE	1 00	20,000		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	0
2 First quarter estimated tax payment	2/12/2016	2	1,060
3 Second quarter estimated tax payment	3/14/2016	3	1,060
4 Third quarter estimated tax payment	6/14/2016	4	1,060
5 Fourth quarter estimated tax payment	9/14/2016	5	1,158
6 Other payments		6	
7 Total		7	4,338

Part VIII, Line 3 (990-PF) - Highest-Paid Independent Contractors for Professional Services

Name and address of each person paid more than \$50,000		(b) Type of service	(c) Compensation
1.	Name MLTC, A DIVISION OF BANK OF AMERICA, N A Street PO BOX 1501, NJ2-130-03-31 City PENNINGTON ST NJ ZIP 08534 Check if Business <input checked="" type="checkbox"/> Foreign Country	AGENT FOR TRUSTEE	103,532
2.	Name Street City ST ZIP Check if Business Foreign Country	Explanation	
3.	Name Street City ST ZIP Check if Business Foreign Country	Explanation	
4.	Name Street City ST ZIP Check if Business Foreign Country	Explanation	
5.	Name Street City ST ZIP Check if Business Foreign Country	Explanation	

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2014 that remained undistributed at the beginning of the 2015 tax year	1	<u>433,811</u>
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	<u>433,811</u>