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Return of Private Foundation

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
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2015

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

Open to Public Inspection

For calendar year 2015 or tax year beginning 10/01, 2015, and ending 09/30, 2016

Name of foundation DANA BROWN CHARITABLE TRUST FOUNDATION
LELA RICE, TRUSTEE

Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 3688
Room/suite _____
City or town, state or province country, and ZIP or foreign postal code BALLWIN, MO 63022-3688

A Employer identification number 43-6331557

B Telephone number (see instructions) (636) 227-9940

C If exemption application is pending check here.

D 1 Foreign organizations check here.
2 Foreign organizations meeting the 85% test check here and attach computation.

E If private foundation status was terminated under section 507(b)(1)(A) check here.

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here.

G Check all that apply: Initial return Final return Address change Initial return of a former public charity Amended return Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 566,196.

J Accounting method: Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments.	4.	4.		ATCH 1
	4 Dividends and interest from securities	16,737.	16,737.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,653.			
	b Gross sales price for all assets on line 6a <u>232,858.</u>				
	7 Capital gain net income (from Part IV, line 2)		10,653.		
	8 Net short-term capital gain.				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	27,394.	27,394.			
13 Compensation of officers, directors, trustees, etc.	16,114.				
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule) ATCH 3	758.	758.			
b Accounting fees (attach schedule) ATCH 4	3,230.	3,230.			
c Other professional fees (attach schedule) [5]	8,410.	8,410.			
17 Interest					
18 Taxes (attach schedule) (see instructions) [6]	176.	149.			
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 7	1,521.	1,521.			
24 Total operating and administrative expenses. Add lines 13 through 23.	30,209.	14,068.			
25 Contributions, gifts, grants paid	26,860.			26,860.	
26 Total expenses and disbursements. Add lines 24 and 25	57,069.	14,068.	0	26,860.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-29,675.				
b Net investment income (if negative, enter -0-)		13,326.			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	35,945.	33,452.	33,452.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 8	471,103.	443,921.	532,744.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans				
13	Investments - other (attach schedule)				
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	507,048.	477,373.	566,196.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	507,048.	477,373.	
	28	Paid-in or capital surplus or land, bldg and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	507,048.	477,373.	
31	Total liabilities and net assets/fund balances (see instructions)	507,048.	477,373.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 507,048.
2	Enter amount from Part I, line 27a	2 -29,675.
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3	4 477,373.
5	Decreases not included in line 2 (itemize) ▶	5
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 477,373.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	10,653.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	29,445.	601,767.	0.048931
2013	28,398.	606,823.	0.046798
2012	28,436.	567,495.	0.050108
2011	30,530.	568,712.	0.053683
2010	28,439.	632,370.	0.044972
2 Total of line 1, column (d)			2 0.244492
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.048898
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 571,609.
5 Multiply line 4 by line 3			5 27,951.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 133.
7 Add lines 5 and 6			7 28,084.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 26,860.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', 'Tax under section 511', and 'Credits/Payments'. Total tax due is 203, with 203 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Columns include question number, 'Yes', and 'No'. Questions cover political influence, unrelated business income, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 X
14 The books are in care of LELA RICE, TRUSTEE Telephone no 636-227-9940 Located at P.O. BOX 3688 BALLWIN, MO ZIP+4 63022-3688
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here 15
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? X Yes No
If "Yes," list the years 2014 2013
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions), Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		16,114.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE "

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	545,490.
b	Average of monthly cash balances	1b	34,824.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	580,314.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	580,314.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,705.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	571,609.
6	Minimum investment return. Enter 5% of line 5	6	28,580.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	28,580.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	133.
b	Income tax for 2015 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	133.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	28,447.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	28,447.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	28,447.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	26,860.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	26,860.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	133.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	26,727.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				28,447.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			29,783.	
b Total for prior years 20 13 , 20 12 , 20 11		89.		
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e				
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 26,860 .				
a Applied to 2014, but not more than line 2a			26,860.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount.				
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.		89.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions		89.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions			2,923.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016.				28,447.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest dividends rents payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers.

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ATCH 10</p>				
Total ▶ 3a				26,860.
<p>b <i>Approved for future payment</i></p>				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Other investment income, Gain or loss from sales, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
118,542.		MERRILL LYNCH #11869 SEE ATTACHMENT PROPERTY TYPE: SECURITIES 106,934.				P	VARIOUS 11,608.	VARIOUS
113,712.		MERRILL LYNCH #19436 PROPERTY TYPE: SECURITIES 115,271.				P	VARIOUS -1,559.	VARIOUS
604.		MERRILL LYNCH BASIS ADJUSTMENT PROPERTY TYPE: SECURITIES				P	VARIOUS 604.	VARIOUS
TOTAL GAIN (LOSS)							<u>10,653.</u>	

DANA BROWN CHARITABLE TRUST FOUNDATION

43-6331557

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
MERRILL LYNCH	1.	1.
BANK OF AMERICA	3.	3.
TOTAL	<u>4.</u>	<u>4.</u>

DANA BROWN CHARITABLE TRUST FOUNDATION

43-6331557

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
MERRILL LYNCH DIVIDENDS	16,737.	16,737.
TOTAL	<u>16,737.</u>	<u>16,737.</u>

DANA BROWN CHARITABLE TRUST FOUNDATION

43-6331557

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LATHROP & GAGE LLP	758.	758.		
TOTALS	<u>758.</u>	<u>758.</u>		

DANA BROWN CHARITABLE TRUST FOUNDATION

43-6331557

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING AND TAX SERVICES	3,230.	3,230.		
TOTALS	<u>3,230.</u>	<u>3,230.</u>		

DANA BROWN CHARITABLE TRUST FOUNDATION

43-6331557

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BROKERAGE FEES	8,410.	8,410.
TOTALS	<u>8,410.</u>	<u>8,410.</u>

DANA BROWN CHARITABLE TRUST FOUNDATION

43-6331557

ATTACHMENT 6

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES PAID	149.	149.
INCOME TAXES PAID	27.	
TOTALS	<u>176.</u>	<u>149.</u>

DANA BROWN CHARITABLE TRUST FOUNDATION

43-6331557

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BANK FEES	70.	70.
POSTAGE	228.	228.
OTHER EXPENSES	1,223.	1,223.
TOTALS	<u>1,521.</u>	<u>1,521.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MERRILL LYNCH - EQUITIES	443,921.	532,744.
TOTALS	<u>443,921.</u>	<u>532,744.</u>

DANA BROWN CHARITABLE TRUST FOUNDATION

43-6331557

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
LELA RICE 619 TWIGWOOD DR BALLWIN, MO 63021	TRUSTEE 1 00	8,057
DONNA LAMARCA 414 NORTH BALLAS ROAD CREVE COEUR, MO 63141	TRUSTEE 1 00	8,057
	GRAND TOTALS	<u>16,114</u>

DANA BROWN CHARITABLE TRUST FOUNDATION

43-6231557

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
KETC / CHANNEL 9 3655 OLIVE STREET ST LOUIS, MO 63108	PUBLIC CHARITY PC	PUBLIC TELEVISION	5,372
SALVATION ARMY 1130 HAMPTON ST LOUIS, MO 63139	PUBLIC CHARITY PC	CARE OF INDIGENTS	5,372
ST LOUIS CHILDREN'S HOSPITAL ONE CHILDREN'S PLACE ST LOUIS, MO 63110	PUBLIC CHARITY PC	MEDICAL CARE FOR CHILDREN	5,372
ST LOUIS ZOO 1 GOVERNMENT DRIVE ST LOUIS, MO 63110	PUBLIC CHARITY PC	SUPPORT FOR PUBLIC ZOO	5,372
YMCA OF GREATER ST LOUIS 1528 LOCUST STREET ST LOUIS, MO 63103	PUBLIC CHARITY PC	YMCA	5,372
		TOTAL CONTRIBUTIONS PAID	<u>26,860</u>

CAPITAL GAINS & LOSSES

Dana Brown Charitable Foundation
 Capital Gains
 9/30/2016

Account 788-11869	Proceeds from Sales	Cost Basis	Gain/Loss from Sales
October-15	4,627.90	4,301.19	326.71
November-15	-	-	-
December-15	11,306.51	10,635.23	671.28
January-16	6,170.33	5,484.10	686.23
February-16	16,775.97	18,792.34	(2,016.37)
March-16	15.46	24.77	(9.31)
April-16	0.40	-	0.40
May-16	23,189.62	19,150.79	4,038.83
June-16	-	-	-
July-16	15,658.64	11,374.12	4,284.52
August-16	35,723.01	32,836.50	2,886.51
September-16	5,074.62	4,334.78	739.84
Total	118,542.46	106,933.82	11,608.64

Account 788-19436	Proceeds from Sales	Cost Basis	Gain/Loss from Sales
October-15	14,927.99	12,669.34	2,258.65
November-15	3,242.76	3,441.30	(198.54)
December-15	13,401.81	17,344.48	(3,942.67)
January-16	14,407.93	12,395.94	2,011.99
February-16	16,918.95	27,343.44	(10,424.49)
March-16	-	-	-
April-16	-	-	-
May-16	-	-	-
June-16	9,216.54	7,244.89	1,971.65
July-16	18,051.56	15,221.80	2,829.76
August-16	23,544.74	19,609.91	3,934.83
September-16	-	-	-
Total	113,712.28	115,271.10	(1,558.82)

CAPITAL GAINS & LOSSES

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

October 01, 2015 - October 30, 2015

30 17 6 508 5000 .06 2014)

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Liquidation Date			This Statement	Year to Date
ANHEUSER-BUSCH INBEV ADR	8.0000	06/20/14	10/16/15	924 78	929 88	(5 10)	
AUTOMATIC DATA PROC	6.0000	11/19/13	10/16/15	518.33	419 10	99 23	
ELI LILLY & CO	13.0000	06/20/14	10/16/15	1,055 45	803 40	252 05	
VENTAS INC	13.0000	04/09/13	10/16/15	738 78	854 44	(115 66)	
<i>Subtotal (Long-Term)</i>						230.52	13,610 77

CAPITAL GAINS & LOSSES



LELA G RICE TTEE Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

October 01, 2015 - October 30, 2015

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)	
						This Statement	Year to Date
CONOCOPHILLIPS	16.0000	09/09/15	10/16/15	879.50	765.26	114.24	
DOMINION RES INC NEW VA	7.0000	02/06/15	10/16/15	511.06	529.11	(18.05)	
Subtotal (Short-Term)				4,627.90	4,301.19	326.71	(3,768.14)
TOTAL						326.71	9,842.63

⊗ - Excludes transactions for which we have insufficient data

CAPITAL GAINS & LOSSES

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

December 01, 2015 - December 31, 2015

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
ANHEUSER-BUSCH INBEV ADR	19 0000	06/20/14	12/11/15	2,342.81	2,208.48	134.33	
ANHEUSER-BUSCH INBEV ADR	4 0000	06/20/14	12/11/15	490.07	464.94	25.13	
ANHEUSER-BUSCH INBEV ADR	4 0000	06/20/14	12/14/15	492.48	464.94	27.54	
ANHEUSER-BUSCH INBEV ADR	19 0000	06/20/14	12/14/15	2,331.18	2,208.48	122.70	
ANHEUSER-BUSCH INBEV ADR	21 0000	06/20/14	12/15/15	2,569.81	2,440.95	128.86	
ANHEUSER-BUSCH INBEV ADR	6 0000	06/20/14	12/15/15	737.00	697.41	39.59	
ANHEUSER-BUSCH INBEV ADR	11 0000	06/20/14	12/16/15	1,359.08	1,278.59	80.49	
ANHEUSER-BUSCH INBEV ADR	1 0000	11/06/14	12/11/15	123.30	108.93	14.37	
ANHEUSER-BUSCH INBEV ADR	2 0000	11/06/14	12/14/15	245.38	217.86	27.52	
ANHEUSER-BUSCH INBEV ADR	2 0000	11/06/14	12/15/15	244.74	217.86	26.88	
ANHEUSER-BUSCH INBEV ADR	3 0000	11/06/14	12/16/15	370.66	326.79	43.87	

CAPITAL GAINS & LOSSES



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

December 01, 2015 - December 31, 2015

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
Subtotal (Long-Term)						671.28	14,282.05
Subtotal (Short-Term)							(3,768.14)
TOTAL				11,306.51	10,635.23	671.28	10,513.91

⊕ - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2015 tax return. These reportable transactions will appear on your January statement.

CAPITAL GAINS & LOSSES

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

January 01, 2016 - January 29, 2016

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)	
						This Statement	Year to Date
DIGITAL RLTY TR INC	3 0000	04/09/13	01/19/16	225.60	211.25	14.35	
DIGITAL RLTY TR INC	10 0000	04/09/13	01/19/16	755.94	704.15	51.79	
DIGITAL RLTY TR INC	5 0000	04/09/13	01/20/16	360.97	352.08	8.89	
KIMBERLY CLARK	5 0000	04/09/13	01/19/16	628.89	475.84	153.05	
KIMBERLY CLARK	6 0000	04/09/13	01/19/16	756.99	571.00	185.99	
MCDONALDS CORP COM	12 0000	04/09/13	01/19/16	1,397.73	1,213.35	184.38	
MCDONALDS CORP COM	10 0000	04/09/13	01/19/16	1,171.56	1,011.13	160.43	
PHILIP MORRIS INTL INC	6 0000	04/09/13	01/19/16	523.15	567.18	(44.03)	

CAPITAL GAINS & LOSSES



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

January 01, 2016 - January 29, 2016

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)	
						This Statement	Year to Date
PHILIP MORRIS INTL INC	4.0000	04/09/13	01/19/16	349.50	378.12	(28.62)	686.23
Subtotal (Long-Term)						686.23	686.23
TOTAL				6,170.33	5,484.10	686.23	686.23

⊕ Excludes transactions for which we have insufficient data

CAPITAL GAINS & LOSSES

LELA G RICE TTEE

Account Number 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

January 30, 2016 - February 29, 2016

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
ELI LILLY & CO	27,000	06/20/14	02/18/16	1,993.44	1,668.60	324.84	
ELI LILLY & CO	42,000	06/20/14	02/19/16	3,050.28	2,595.59	454.69	
ELI LILLY & CO	28,000	06/20/14	02/22/16	2,039.91	1,730.40	309.51	
PPL CORPORATION	19,000	07/01/13	02/05/16	689.92	530.69	159.23	
PPL CORPORATION	16,000	07/01/13	02/05/16	580.19	446.90	133.29	
SPECTRA ENERGY CORP	1,000	04/09/13	02/18/16	29.10	30.46	N/C	-1.36
SPECTRA ENERGY CORP	1,000	04/09/13	02/18/16	29.16	30.46	N/C	-1.3
SPECTRA ENERGY CORP	3,000	04/09/13	02/19/16	85.12	91.37	N/C	-6.25
<i>Subtotal (Long-Term)</i>						1,381.56	2,067.79
CONOCOPHILLIPS	177,000	09/09/15	02/05/16	5,838.61	8,465.68	(2,627.07)	
CONOCOPHILLIPS	31,000	09/09/15	02/08/16	995.60	1,482.69	(487.09)	
SPECTRA ENERGY CORP	19,000	06/04/15	02/18/16	553.11	653.41	N/C	-100.3
SPECTRA ENERGY CORP	9,000	06/04/15	02/18/16	262.52	309.51	N/C	-46.99
SPECTRA ENERGY CORP	6,000	06/04/15	02/18/16	175.02	206.34	N/C	-31.32
SPECTRA ENERGY CORP	16,000	06/04/15	02/19/16	453.99	550.24	N/C	-96.25
<i>Subtotal (Short-Term)</i>						(3,114.16)	(3,114.16)
TOTAL				16,775.97	18,792.34	-2,016.37	(1,046.37)

⊕ - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the sale of partnership interests, short term debt instruments, derivative products purchased in the secondary market.

CAPITAL GAINS & LOSSES



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

January 30, 2016 - February 29, 2016

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
<p>or the determination of ordinary income and/or capital items for discount and zero-coupon issues</p> <p>⚡ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring</p> <p>(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.</p> <p>(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.</p>							

CAPITAL GAINS & LOSSES



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2016 - March 31, 2016

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date			This Statement	Year to Date
CALIFORNIA RESOURCES	10 0000	12/31/10	03/03/16	9.66	16.63	(6.97)	
CALIFORNIA RESOURCES	2 0000	11/06/14	03/03/16	1.93	2.89	(.96)	
CALIFORNIA RESOURCES	1 0000	12/05/14	03/03/16	.96	1.61	(.65)	
Subtotal (Long-Term)						(8.58)	2,059.21
CALIFORNIA RESOURCES	1 0000	10/16/15	03/03/16	.96	1.38	(.42)	
CALIFORNIA RESOURCES	2 0000	01/19/16	03/03/16	1.95	2.26	(.31)	
Subtotal (Short-Term)						(.73)	(3,114.89)
TOTAL				15.46	24.77	(9.31)	(1,055.68)

* Excludes transactions for which we have insufficient data

CAPITAL GAINS & LOSSES

LELA G RICE TTEE

Account Number 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

Apr. 01, 2016 - April 29, 2016

Date	Transaction Type	Quantity	Description	Debit	Credit
04/04	■ Cash in Lieu		CALIFORNIA RESOURCES		.40
			CORP SHS		
			HOLDING 175.0000		
			PAID BY OCCIDENTAL PETE		
			PAY DATE 03/24/2016		
04/05	Journal Entry		INV ADVISORY FEE APR	381.75	
	Subtotal (Other Debits/Credits)			381.75	40
NET TOTAL				381.35	

CAPITAL GAINS & LOSSES



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

April 30, 2016 - May 31, 2016

Description	Quantity	Acquired		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Liquidation Date			This Statement	Year to Date
ALTRIA GROUP INC	14.0000	04/09/13	05/05/16	879.76	496.66	383.10	
ALTRIA GROUP INC	21.0000	04/09/13	05/05/16	1,321.72	744.98	576.74	
ALTRIA GROUP INC	45.0000	04/09/13	05/06/16	2,830.66	1,596.39	1,234.27	
ALTRIA GROUP INC	14.0000	04/09/13	05/09/16	886.15	496.66	389.49	
ASTRAZENECA PLC SPND ADR	8.0000	12/11/14	05/16/16	229.53	295.01	N/C	-65.48
ASTRAZENECA PLC SPND ADR	11.0000	12/11/14	05/16/16	315.60	405.63	N/C	-90.03
ASTRAZENECA PLC SPND ADR	9.0000	12/11/14	05/16/16	258.21	331.88	N/C	-73.67
AUTOMATIC DATA PROC	30.0000	11/19/13	05/05/16	2,609.27	2,095.52	513.75	

CAPITAL GAINS & LOSSES

LELA G RICE TTEE

Account Number 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

April 30, 2016 - May 31, 2016

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
AUTOMATIC DATA PROC	39 0000	11/19/13	05/06/16	3,388.08	2,724.17	663.91	
AUTOMATIC DATA PROC	28 0000	11/19/13	05/09/16	2,443.31	1,955.81	487.50	
AUTOMATIC DATA PROC	12 0000	11/19/13	05/10/16	1,049.00	838.21	210.79	
AUTOMATIC DATA PROC	21 0000	10/06/14	05/10/16	1,835.76	1,568.28	267.48	
CENTURYLINK INC SHS	35 0000	02/10/15	05/16/16	974.76	1,405.53	(430.77)	
OCCIDENTAL PETE CORP CAL	14 0000	12/31/10	05/16/16	1,069.90	1,324.77	(254.87)	
TORONTO DOMINION BANK	29 0000	04/09/13	05/16/16	1,267.57	1,156.55	111.02	
VERIZON COMMUNICATNS COM	6 0000	04/09/13	05/16/16	306.09	296.55	9.54	
<i>Subtotal (Long-Term)</i>						4,161.95	6,221.16
ALTRIA GROUP INC	2 0000	10/16/15	05/05/16	125.67	117.50	8.17	
ALTRIA GROUP INC	3 0000	10/16/15	05/05/16	188.81	176.26	12.55	
ALTRIA GROUP INC	9 0000	10/16/15	05/06/16	566.13	528.77	37.36	
ALTRIA GROUP INC	3 0000	10/16/15	05/09/16	189.88	176.26	13.62	
PACCAR INC	8 0000	10/16/15	05/16/16	453.76	419.40	34.36	
<i>Subtotal (Short-Term)</i>						106.06	(3,008.83)
TOTAL				23,189.62	19,150.79	4,038.83	3,212.33

⊕ Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the sale of partnership interests, short term debt instruments, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues

⚡ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount

CAPITAL GAINS & LOSSES

LELA G RICE TTEE

Account Number 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

July 01, 2016 - July 29, 2016

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date			This Statement	Year to Date
ABBVIE INC SHS	56 0000	04/09/13	07/14/16	3,563 88	2 385 88	1,178 00	
ABBVIE INC SHS	35 0000	04/09/13	07/15/16	2,232 38	1,491 17	741 21	
ABBVIE INC SHS	24 0000	04/09/13	07/18/16	1,526 56	1,022 52	504 04	
ABBVIE INC SHS	20 0000	04/09/13	07/19/16	1,268 20	852 10	416 10	
ABBVIE INC SHS	32 0000	04/09/13	07/20/16	2,039 18	1,363 36	675 82	
DIGITAL RLTY TR INC	2 0000	04/09/13	07/18/16	212 01	140.83	71 18	
DIGITAL RLTY TR INC	3 0000	04/09/13	07/19/16	316 73	211 25	105 48	
<i>Subtotal (Long-Term)</i>						3,691 83	9,912 99
ABBVIE INC SHS	2 0000	10/16/15	07/20/16	127 45	112 40	15 05	
ABBVIE INC SHS	4 0000	10/16/15	07/21/16	253 53	224 80	28 73	

CAPITAL GAINS & LOSSES



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

July 01, 2016 - July 29, 2016

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
EXXON MOBIL CORP COM	20.0000	02/05/16	07/26/16	1,831.21	1,581.68	249.53	
EXXON MOBIL CORP COM	11.0000	02/05/16	07/26/16	1,006.14	869.92	136.22	
EXXON MOBIL CORP COM	9.0000	02/08/16	07/26/16	824.04	718.85	105.19	
EXXON MOBIL CORP COM	5.0000	02/08/16	07/26/16	457.33	399.36	57.97	
Subtotal (Short-Term)						592.69	(2,416.14)
TOTAL				15,658.64	11,374.12	4,284.52	7,496.85

⊕ Excludes transactions for which we have insufficient data

CAPITAL GAINS & LOSSES

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

July 30, 2016 - August 31, 2016

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date			This Statement	Year to Date
ASTRAZENECA PLC SPND ADR	17.0000	12/11/14	08/22/16	575.67	626.89	(51.22)	
AT&T INC	27.0000	04/09/13	08/22/16	1,104.93	1,020.33	84.60	
AMN ELEC POWER CO	11.0000	11/12/13	08/22/16	732.03	522.26	209.77	
CENTURYLINK INC SHS	26.0000	02/10/15	08/22/16	750.97	1,044.10	(293.13)	
COCA COLA COM	25.0000	03/18/15	08/22/16	1,094.23	997.69	96.54	
DIGITAL RLTY TR INC	13.0000	04/09/13	08/22/16	1,319.60	915.40	404.20	
GENERAL ELECTRIC	22.0000	04/09/13	08/22/16	689.57	507.32	182.25	
KRAFT (THE) HEINZ CO SHS	3.0000	04/09/13	08/22/16	268.81	152.21	117.60	
KIMBERLY CLARK	7.0000	04/09/13	08/22/16	891.57	666.17	225.40	
LOCKHEED MARTIN CORP	1.0000	01/13/14	08/22/16	254.35	153.74	100.61	
OCCIDENTAL PETE CORP CAL	16.0000	12/31/10	08/22/16	1,221.73	1,514.02	(292.29)	
PPL CORPORATION	7.0000	07/01/13	08/22/16	247.44	195.52	51.92	
SPECTRA ENERGY CORP	68.0000	04/09/13	08/22/16	2,457.76	2,070.96	386.80	
THOMSON REUTERS CORP	7.0000	04/09/13	08/22/16	291.05	225.60	65.45	
TORONTO DOMINION BANK	20.0000	04/09/13	08/22/16	877.63	797.62	80.01	
VENTAS INC	18.0000	04/09/13	08/22/16	1,322.79	1,183.08	139.71	
VERIZON COMMUNICATNS COM	23.0000	04/09/13	08/22/16	1,207.93	1,136.79	71.14	
Subtotal (Long-Term)				399.29	351.06	48.23	11,492.35
AMN ELEC POWER CO	23.0000	02/05/16	07/27/16	2,094.46	1,818.93	275.53	
EXXON MOBIL CORP COM							

CAPITAL GAINS & LOSSES



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

July 30, 2016 - August 31, 2016

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
EXXON MOBIL CORP COM	13.0000	02/05/16	07/28/16	1,171.65	1,028.09	143.56	
EXXON MOBIL CORP COM	21.0000	02/05/16	07/28/16	1,892.68	1,667.71	224.97	
EXXON MOBIL CORP COM	8.0000	02/05/16	07/29/16	695.24	635.32	59.92	
EXXON MOBIL CORP COM	11.0000	02/08/16	07/27/16	1,001.70	878.60	123.10	
EXXON MOBIL CORP COM	17.0000	02/08/16	07/28/16	1,532.17	1,357.84	174.33	
EXXON MOBIL CORP COM	5.0000	02/08/16	07/29/16	434.53	399.36	35.17	
GENERAL ELECTRIC	19.0000	10/16/15	08/22/16	595.55	550.42	45.13	
INTEL CORP	24.0000	10/16/15	08/22/16	848.62	794.40	54.22	
INTL BUSINESS MACHINES	9.0000	05/11/16	08/22/16	1,440.92	1,350.55	90.37	
JPMORGAN CHASE & CO	15.0000	10/16/15	08/22/16	987.34	935.50	51.84	
METLIFE INC COM	22.0000	07/22/16	08/22/16	886.58	950.60	(64.02)	
PACCAR INC	12.0000	10/16/15	08/22/16	719.50	629.09	90.41	
PPL CORPORATION	19.0000	10/16/15	08/22/16	671.64	653.52	18.12	
PHILIP MORRIS INTL INC	3.0000	05/09/16	08/22/16	300.30	300.78	(.48)	
PHILIP MORRIS INTL INC	9.0000	05/10/16	08/22/16	900.91	913.06	(12.15)	
PHILIP MORRIS INTL INC	1.0000	05/11/16	08/22/16	100.09	102.00	(1.91)	
PFIZER INC	6.0000	07/14/16	08/22/16	208.97	220.19	(11.22)	
PFIZER INC	27.0000	07/15/16	08/22/16	940.39	998.21	(57.82)	
PROCTER & GAMBLE CO	14.0000	12/16/15	08/22/16	1,216.43	1,124.08	92.35	
ROYAL DUTCH SHELL PLC	19.0000	07/27/16	08/22/16	1,000.78	1,073.54	N/C	
THOMSON REUTERS CORP	9.0000	10/16/15	08/22/16	374.21	373.95	26	
<i>Subtotal (Short-Term)</i>						1,379.91	(1,036.23)
TOTAL				35,723.01	32,836.50	2,886.51	10,456.12

⊕ - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the sale of partnership interests, short term debt instruments, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues

⬠ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring.

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount



CAPITAL GAINS & LOSSES

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

September 01, 2016 - September 30, 2016

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date			This Statement	Year to Date
DIGITAL RLTY TR INC	6 0000	04/09/13	09/13/16	560 87	422 49	138 38	
DIGITAL RLTY TR INC	4 0000	04/09/13	09/13/16	373 30	281 66	91 64	
DIGITAL RLTY TR INC	8 0000	04/09/13	09/14/16	741 24	563 32	177 92	
DIGITAL RLTY TR INC	1 0000	04/09/13	09/15/16	91 95	70 42	21 53	
LOCKHEED MARTIN CORP	3 0000	01/13/14	09/15/16	714 89	461 23	253 66	
VENTAS INC	8 0000	04/09/13	09/13/16	542 22	525 81	16 41	
VENTAS INC	5 0000	04/09/13	09/13/16	337 82	328 63	9 19	

CAPITAL GAINS & LOSSES



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

September 01, 2016 - September 30, 2016

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
VENTAS INC	13.0000	04/09/13	09/14/16	881.42	854.44	26.98	
VENTAS INC	10.0000	04/09/13	09/15/16	678.88	657.27	21.61	
Subtotal (Long-Term)						757.32	12,249.67
ROYAL DUTCH SHELL PLC	3.0000	07/27/16	09/15/16	152.03	169.51	(17.48)	
Subtotal (Short-Term)						(17.48)	(1,053.71)
TOTAL				5,074.62	4,334.78	739.84	11,195.96

⊙ - Excludes transactions for which we have insufficient data

CAPITAL GAINS & LOSSES



2ND ACCOUNT

Account Number: 788-19436

YOUR CMA FOR TRUST TRANSACTIONS

October 01, 2015 - October 30, 2015

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)	
						This Statement	Year to Date
AMERICAN WTR WKS CO INC	12.0000	04/09/13	10/16/15	699.11	500.25	198.86	
AUTOMATIC DATA PROC	7.0000	05/10/13	10/16/15	604.72	428.47	176.25	
CARDINAL HEALTH INC OHIO	5.0000	10/28/13	10/16/15	397.60	278.98	118.62	
COSTCO WHOLESALE CRP DEL	5.0000	04/09/13	10/16/15	758.29	529.70	228.59	
DISNEY (WALT) CO COM STK	20.0000	08/14/14	10/16/15	2,158.36	1,766.14	392.22	
FEDEX CORP DELAWARE COM	7.0000	04/09/13	10/16/15	1,063.35	667.45	395.90	
HONEYWELL INTL INC DEL	10.0000	04/09/13	10/16/15	961.41	735.30	226.11	
HOME DEPOT INC	10.0000	04/09/13	10/16/15	1,222.70	712.46	510.24	
MONSANTO CO NEW DEL COM	43.0000	04/09/13	10/16/15	3,860.83	4,517.37	(656.54)	
NIKE INC CL B	4.0000	04/09/13	10/16/15	519.27	239.48	279.79	
THERMO FISHER SCIENTIFIC	5.0000	04/09/13	10/16/15	629.47	392.20	237.27	
ACE LIMITED	8.0000	04/09/13	10/16/15	866.88	724.64	142.24	
Subtotal (Long-Term)						2,249.55	15,888.11
AMERICAN TOWER REIT INC	8.0000	02/19/15	10/16/15	766.71	764.16	2.55	

CAPITAL GAINS & LOSSES

2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

October 01, 2015 - October 30, 2015

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
LOCKHEED MARTIN CORP	2.0000	07/29/15	10/16/15	419.29	412.74	6.55	
Subtotal (Short-Term)						9.10	(830.05)
TOTAL				14,927.99	12,669.34	2,258.65	15,058.06

⊛ - Excludes transactions for which we have insufficient data



CAPITAL GAINS & LOSSES

Account No.
788-19436

Taxpayer No.
XX-XXX1557

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HEWLETT PACKARD ENTERPRISE CO 234 0000 Sale	CUSIP Number	42824C109		
	12/19/13	11/03/15	3,242.76	3,441.30
			0.00	(198.54)

CAPITAL GAINS & LOSSES

2ND ACCOUNT

Account Number 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

December 01, 2015 - December 31, 2015

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
CUMMINS INC COM	37 0000	12/19/13	12/01/15	3,471.60	5,091.32	(1,619.72)	
CUMMINS INC COM	16.0000	12/19/13	12/02/15	1,501.38	2,201.65	(700.27)	
HP INC	90 0000	12/19/13	12/02/15	1,090.80	1,204.12	N/C	-113.32
HP INC	144 0000	12/19/13	12/02/15	1,745.27	1,926.60	(181.33)	
HP INC	90 0000	12/19/13	12/03/15	1,076.62	1,392.72	(316.10)	
<i>Subtotal (Long-Term)</i>						(2,817.42)	12,872.15
CUMMINS INC COM	8.0000	02/19/15	12/02/15	750.69	1,127.52	(376.83)	
CUMMINS INC COM	9 0000	10/16/15	12/02/15	844.53	979.42	(134.89)	
HP INC	54.0000	11/03/15	12/02/15	654.47	773.96	(119.49)	
HP INC	130.0000	11/03/15	12/02/15	1,575.60	1,836.89	(261.29)	
HP INC	57.0000	11/04/15	12/02/15	690.85	810.28	(119.43)	
<i>Subtotal (Short-Term)</i>						(1,011.93)	(1,841.98)
TOTAL				13,401.81	17,344.48	-3,942.67	11,030.17

⊕ - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the sale of partnership interests, short term debt instruments, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.

⚡ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring.

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2015 tax return. These reportable transactions will appear on your January statement.

CAPITAL GAINS & LOSSES

2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

January 01, 2016 January 29, 2016

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
AMERICAN WTR WKS CO INC	3.0000	04/09/13	01/19/16	182.98	125.06	57.92	
AMERICAN WTR WKS CO INC	8.0000	04/09/13	01/19/16	488.50	333.50	155.00	
AMERICAN WTR WKS CO INC	5.0000	04/09/13	01/20/16	301.59	208.44	93.15	
COSTCO WHOLESale CRP DEL	4.0000	04/09/13	01/19/16	601.77	423.76	178.01	
COSTCO WHOLESale CRP DEL	5.0000	04/09/13	01/19/16	755.93	529.70	226.23	
ORACLE CORP \$0 01 DEL	233.0000	04/09/13	01/13/16	8,056.03	7,699.64	356.39	
ORACLE CORP \$0 01 DEL	1.0000	04/09/13	01/14/16	34.31	33.05	1.26	
THERMO FISHER SCIENTIFIC	4.0000	04/09/13	01/19/16	538.22	313.76	224.46	
THERMO FISHER SCIENTIFIC	5.0000	04/09/13	01/19/16	670.81	392.20	278.61	
VISA INC CL A SHRS	6.0000	04/09/13	01/19/16	426.66	247.03	179.63	
VISA INC CL A SHRS	8.0000	04/09/13	01/19/16	577.69	329.38	248.31	
Subtotal (Long-Term)						1,998.97	1,998.97
LOCKHEED MARTIN CORP	2.0000	07/29/15	01/19/16	428.30	412.74	15.56	
LOCKHEED MARTIN CORP	4.0000	07/29/15	01/19/16	864.67	825.48	39.19	
ORACLE CORP \$0 01 DEL	14.0000	10/16/15	01/14/16	480.47	522.20	(41.73)	
Subtotal (Short-Term)						13.02	13.02
TOTAL				14,407.93	12,395.94	2,011.99	2,011.99

⊙ Excludes transactions for which we have insufficient data

CAPITAL GAINS & LOSSES



2ND ACCOUNT

Account Number 788-19436

YOUR CMA FOR TRUST TRANSACTIONS

January 30, 2016 - February 29, 2016

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)	
						This Statement	Year to Date
DOMINION RES INC NEW VA	72 0000	06/28/13	02/18/16	5,029.64	4,096.07	933.57	
DOMINION RES INC NEW VA	18 0000	06/28/13	02/19/16	1,250.03	1,024.02	226.01	
HESS CORP	118 0000	08/09/13	02/12/16	4,668.63	8,813.76	(4,145.13)	(986.58)
<i>Subtotal (Long-Term)</i>						(2,985.55)	
DEVON ENERGY CORP NEW	148 0000	03/19/15	02/12/16	3,161.36	8,616.16	(5,454.80)	
DEVON ENERGY CORP NEW	18 0000	10/16/15	02/12/16	384.49	823.67	(439.18)	
DOMINION RES INC NEW VA	7 0000	02/19/15	02/19/16	486.13	516.95	(30.82)	
HESS CORP	31 0000	02/19/15	02/12/16	1,226.50	2,358.48	(1,131.98)	
HESS CORP	18 0000	10/16/15	02/12/16	712.17	1,094.33	(382.16)	(7,425.92)
<i>Subtotal (Short-Term)</i>						(7,438.94)	
TOTAL				16,918.95	27,343.44	(10,424.49)	(8,412.50)

⊙ - Excludes transactions for which we have insufficient data

CAPITAL GAINS & LOSSES



2ND ACCOUNT

Account Number: 788-19436

YOUR CMA FOR TRUST TRANSACTIONS

June 01, 2016 - June 30, 2016

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
NIKE INC CL B	92.0000	04/09/13	06/03/16	4,950.77	2,754.02	2,196.75	
SCHLUMBERGER LTD	5.0000	02/19/15	06/03/16	376.38	433.80	(57.42)	
VERIZON COMMUNICATNS COM	22.0000	02/19/15	06/03/16	1,119.45	1,076.46	42.99	
WELLS FARGO & CO NEW DEL	4.0000	02/19/15	06/03/16	199.03	218.28	(19.25)	
Subtotal (Long-Term)						2,163.07	1,176.49
CITIGROUP INC COM NEW	3.0000	10/16/15	06/03/16	133.03	158.40	(25.37)	
CITIZENS FINL GROUP INC	28.0000	12/02/15	06/03/16	636.15	744.17	(108.02)	
CITIZENS FINL GROUP INC	2.0000	12/02/15	06/03/16	45.44	53.29	(7.85)	
DANAHER CORP DEL COM	2.0000	07/29/15	06/03/16	195.72	177.86	17.86	
NIKE INC CL B	22.0000	02/12/16	06/03/16	1,183.88	1,235.61	(51.73)	
NIKE INC CL B	7.0000	02/12/16	06/03/16	376.69	393.00	(16.31)	
Subtotal (Short-Term)						(191.42)	(7,617.34)
TOTAL				9,216.54	7,244.89	1,971.65	(6,440.85)

o - Excludes transactions for which we have insufficient data

CAPITAL GAINS & LOSSES

2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

July 01, 2016 - July 29, 2016

Description	Quantity	Acquired		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date			This Statement	Year to Date
CARDINAL HEALTH INC OHIO	46 0000	10/28/13	07/07/16	3,654.93	2,566.64	1,088.29	
CARDINAL HEALTH INC OHIO	41 0000	10/28/13	07/08/16	3,271.68	2,287.66	984.02	
MEDTRONIC PLC SHS	14 0000	01/30/15	07/18/16	1,236.38	1,066.99	169.39	
MEDTRONIC PLC SHS	2 0000	01/30/15	07/18/16	176.77	152.43	24.34	
SCHLUMBERGER LTD	72 0000	04/09/13	07/26/16	5,748.87	5,430.96	317.91	
SCHLUMBERGER LTD	11 0000	02/19/15	07/26/16	878.30	954.36	(76.06)	
Subtotal (Long-Term)						2,507.89	3,684.38
FORTIVE CORP	40 0000	07/29/15	07/07/16	1,903.84	1,688.21	215.63	
FORTIVE CORP	3 0000	10/16/15	07/07/16	142.79	126.37	16.42	
SCHLUMBERGER LTD	13 0000	10/16/15	07/26/16	1,038.00	948.18	89.82	
Subtotal (Short-Term)						321.87	(7,295.47)
TOTAL				18,051.56	15,221.80	2,829.76	(3,611.09)

⊕ Excludes transactions for which we have insufficient data

CAPITAL GAINS & LOSSES

2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

July 30, 2016 - August 31, 2016

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired		Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date				This Statement	Year to Date
AMERICAN TOWER REIT INC	6 0000	02/19/15	08/22/16		687 59	573 12	114 47	
AMERICAN WTR WKS CO INC	8 0000	04/09/13	08/22/16		613 27	333 50	279 77	
AMERICAN WTR WKS CO INC	4 0000	04/09/13	08/23/16		307 16	166 75	140 41	
AUTOMATIC DATA PROC	10 0000	10/06/14	08/22/16		899 31	746 80	152 51	
BLACKROCK INC	2 0000	02/18/15	08/22/16		743 01	756 59	(13 58)	
COSTCO WHOLESale CRP DEL	2 0000	04/09/13	08/22/16		336 35	211 88	124 47	
COSTCO WHOLESale CRP DEL	1 0000	04/09/13	08/23/16		168 14	105 94	62 20	
CHUBB LTD	5 0000	02/18/15	08/22/16		631 09	566 86	64 23	
FEDEX CORP DELAWARE COM	1 0000	04/09/13	08/22/16		168 15	95 35	72 80	
FEDEX CORP DELAWARE COM	1 0000	04/09/13	08/23/16		168 58	95 35	73 23	

CAPITAL GAINS & LOSSES



2ND ACCOUNT

Account Number: 788-19436

YOUR CMA FOR TRUST TRANSACTIONS

July 30, 2016 - August 31, 2016

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
HONEYWELL INTL INC DEL	7.0000	04/09/13	08/22/16	816.53	514.71	301.82	
HONEYWELL INTL INC DEL	2.0000	04/09/13	08/23/16	234.04	147.06	86.98	
MONDELEZ INTERNATIONAL	19.0000	06/27/14	08/22/16	819.64	709.27	110.37	
MEDTRONIC PLC SHS	9.0000	01/30/15	08/22/16	786.13	685.93	100.20	
THERMO FISHER SCIENTIFIC	1.0000	04/09/13	08/22/16	153.91	78.44	75.47	
THERMO FISHER SCIENTIFIC	2.0000	04/09/13	08/23/16	311.30	156.88	154.42	
VERIZON COMMUNICATNS COM	12.0000	02/19/15	08/22/16	630.23	587.16	43.07	
WELLS FARGO & CO NEW DEL	7.0000	04/09/13	08/22/16	340.61	262.50	78.11	
WELLS FARGO & CO NEW DEL	115.0000	04/09/13	08/23/16	5,580.96	4,312.50	1,268.46	
WELLS FARGO & CO NEW DEL	9.0000	04/09/13	08/24/16	436.37	337.50	98.87	
WELLS FARGO & CO NEW DEL	4.0000	02/19/15	08/22/16	194.63	218.28	(23.65)	
Subtotal (Long-Term)						3,364.63	7,049.01
APPLE INC	5.0000	01/13/16	08/22/16	650.95	589.47	61.48	
COMCAST CORP NEW CL A	4.0000	06/03/16	08/22/16	265.64	253.11	12.53	
COMCAST CORP NEW CL A	5.0000	06/03/16	08/23/16	330.56	316.39	14.17	
CITIZENS FINL GROUP INC	21.0000	12/02/15	08/22/16	493.07	559.53	(66.46)	
CISCO SYSTEMS INC COM	16.0000	01/19/16	08/22/16	489.43	380.64	108.79	
DEVON ENERGY CORP NEW	15.0000	07/26/16	08/22/16	659.45	556.85	102.60	
DISNEY (WALT) CO COM STK	8.0000	06/03/16	08/22/16	766.86	787.75	(20.89)	
ECOLAB INC	4.0000	10/16/15	08/22/16	488.32	485.82	2.50	
HOME DEPOT INC	1.0000	06/03/16	08/22/16	135.41	131.53	3.88	
HOME DEPOT INC	3.0000	06/03/16	08/23/16	408.72	394.59	14.13	
LOCKHEED MARTIN CORP	3.0000	02/12/16	08/22/16	763.06	619.67	143.39	
MICROSOFT CORP	12.0000	12/02/15	08/22/16	691.21	662.34	28.87	
NEXTERA ENERGY INC SHS	5.0000	02/22/16	08/22/16	625.94	579.24	46.70	
TOTAL SA SP ADR	11.0000	02/16/16	08/22/16	529.86	482.11	47.75	
TOTAL SA SP ADR	3.0000	02/16/16	08/23/16	145.04	131.48	13.56	
UNION PACIFIC CORP	7.0000	07/11/16	08/22/16	667.79	637.17	30.62	
VISA INC CL A SHRS	2.0000	10/16/15	08/22/16	161.95	151.94	10.01	

CAPITAL GAINS & LOSSES

2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

July 30, 2016 - August 31, 2016

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
VISA INC CL A SHRS	3 0000	10/16/15	08/23/16	244.48	227 91	16 57	
Subtotal (Short-Term)						570.20	(6,725.27)
TOTAL				23,544.74	19,609.91	3,934.83	323.74

⊖ - Excludes transactions for which we have insufficient data

BALANCE SHEET

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	228.43	228.43		228.43		
+ML BANK DEPOSIT PROGRAM +FDIC INSURED NOT SIPC COVERED	3,843.00	3,843.00	1.0000	3,843.00	1	02
TOTAL		4,071.43		4,071.43	1	02

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
AMN ELEC POWER CO	AEP	11/12/13	135	47.4777	6,409.49	64.2100	8,688.35	2,258.86	303	3.48
ASTRAZENECA PLC SPND ADR	AZN	12/11/14	239	36.8756	8,813.29	32.8600	7,853.54	(959.75)	328	4.16
		12/11/14	8	38.3212	306.57	32.8600	262.88	(43.69)	11	4.16
		12/11/14	11	38.2345	420.58	32.8600	361.46	(59.12)	16	4.16
		12/11/14	9	38.4500	346.05	32.8600	295.74	(50.31)	13	4.16
		06/04/15	48	33.5650	1,611.12	32.8600	1,577.28	(33.84)	66	4.16
		10/16/15	22	32.1550	707.41	32.8600	722.92	15.51	31	4.16
		04/19/16	11	30.2654	332.92	32.8600	361.46	28.54	16	4.16
		04/20/16	14	30.1050	421.47	32.8600	460.04	38.57	20	4.16
		04/21/16	3	30.0400	90.12	32.8600	98.58	8.46	5	4.16
Subtotal			365		13,049.53		11,993.90	(1,055.63)	506	4.16

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BALANCE SHEET



LELA G RICE TTEE

Account Number. 788-11869

YOUR CMA FOR TRUST ASSETS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Annual Income	Yield%
AT&T INC		T 04/09/13	176	37.7898	6,651.02	40.6100	7,147.36	496.34	338	4.72
		06/04/15	37	34.9700	1,293.89	40.6100	1,502.57	208.68	72	4.72
		10/16/15	19	33.6000	638.40	40.6100	771.59	133.19	37	4.72
Subtotal			232		8,583.31		9,421.52	838.21	447	4.72
CARNIVAL CORP PAIRED SHS		CCL 09/13/16	21	45.4804	955.09	48.8200	1,025.22	70.13	30	2.86
		09/13/16	11	45.5427	500.97	48.8200	537.02	36.05	16	2.86
		09/14/16	26	46.0469	1,197.22	48.8200	1,269.32	72.10	37	2.86
		09/15/16	23	46.4434	1,068.20	48.8200	1,122.86	54.66	33	2.86
		09/16/16	40	46.2322	1,849.29	48.8200	1,952.80	103.51	56	2.86
		09/19/16	8	46.3450	370.76	48.8200	390.56	19.80	12	2.86
Subtotal			129		5,941.53		6,297.78	356.25	184	2.86
CENTURYLINK INC SHS		CTL 02/10/15	171	40.1578	6,867.00	27.4300	4,690.53	(2,176.47)	370	7.87
		06/04/15	43	33.0200	1,419.86	27.4300	1,179.49	(240.37)	93	7.87
		10/16/15	14	26.7178	374.05	27.4300	384.02	9.97	31	7.87
Subtotal			228		8,660.91		6,254.04	(2,406.87)	494	7.87
COCA COLA COM		KO 03/18/15	180	39.9077	7,183.39	42.3200	7,617.60	434.21	252	3.30
DIGITAL RLTY TR INC		DLR 04/09/13	22	70.4150	1,549.13	97.1200	2,136.64	587.51	78	3.62
		06/07/13	26	60.0000	1,560.00	97.1200	2,525.12	965.12	92	3.62
		11/01/13	58	47.9039	2,778.43	97.1200	5,632.96	2,854.53	205	3.62
Subtotal			106		5,887.56		10,294.72	4,407.16	375	3.62
DOMINION RES INC NEW VA		D 02/06/15	136	75.5877	10,279.94	74.2700	10,100.72	(179.22)	381	3.77
		06/04/15	16	68.3300	1,093.28	74.2700	1,188.32	95.04	45	3.77
Subtotal			152		11,373.22		11,289.04	(84.18)	426	3.77
GENERAL ELECTRIC		GE 04/09/13	368	23.0598	8,486.04	29.6200	10,900.16	2,414.12	339	3.10
INTEL CORP		INTC 04/09/13	371	21.7559	8,071.47	37.7500	14,005.25	5,933.78	386	2.75
		10/16/15	11	33.1000	364.10	37.7500	415.25	51.15	12	2.75
Subtotal			382		8,435.57		14,420.50	5,984.93	398	2.75



BALANCE SHEET

LELA G RICE TTEE

Account Number 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
INTL BUSINESS MACHINES CORP IBM	IBM	05/05/16	8	146.3500	1,170.80	158.8500	1,270.80	100.00	45.352
		05/05/16	13	146.2546	1,901.31	158.8500	2,065.05	163.74	73.352
		05/06/16	19	146.5505	2,784.46	158.8500	3,018.15	233.69	107.352
		05/09/16	21	147.4980	3,097.25	158.8500	3,335.85	238.60	118.352
		05/10/16	13	149.2384	1,940.10	158.8500	2,065.05	124.95	73.352
		05/11/16	2	150.0600	300.12	158.8500	317.70	17.58	12.352
Subtotal			76		11,194.04		12,072.60	878.56	428.352
JPMORGAN CHASE & CO	JPM	04/09/13	126	48.7100	6,137.46	66.5900	8,390.34	2,252.88	242.288
		10/16/15	2	62.3650	124.73	66.5900	133.18	8.45	4.288
Subtotal			128		6,262.19		8,523.52	2,261.33	246.288
KIMBERLY CLARK	KMB	04/09/13	58	95.1672	5,519.70	126.1400	7,316.12	1,796.42	214.291
KRAFT (THE) HEINZ CO SHS	KHC	04/09/13	108	50.7357	5,479.46	89.5100	9,667.08	4,187.62	260.268
LOCKHEED MARTIN CORP	LMT	01/13/14	51	153.7415	7,840.82	239.7200	12,225.72	4,384.90	372.303
MCDONALDS CORP COM	MCD	04/09/13	79	101.1125	7,987.89	115.3600	9,113.44	1,125.55	298.325
		06/04/15	15	96.4600	1,446.90	115.3600	1,730.40	283.50	57.325
Subtotal			94		9,434.79		10,843.84	1,409.05	355.325
METLIFE INC COM	MET	07/14/16	20	42.4845	849.69	44.4300	888.60	38.91	32.360
		07/14/16	34	42.3661	1,440.45	44.4300	1,510.62	70.17	55.360
		07/15/16	31	42.5987	1,320.56	44.4300	1,377.33	56.77	50.360
		07/18/16	21	43.0804	904.69	44.4300	933.03	28.34	34.360
		07/19/16	30	42.9043	1,287.13	44.4300	1,332.90	45.77	48.360
		07/20/16	37	43.1286	1,595.76	44.4300	1,643.91	48.15	60.360
		07/21/16	47	43.1487	2,027.99	44.4300	2,088.21	60.22	76.360
Subtotal			220		9,426.27		9,774.60	348.33	355.360

BALANCE SHEET



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST ASSETS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
OCCIDENTAL PETE CORP CAL	OXY	12/31/10	85	94.6264	8,043.25	72.9200	6,198.20	(1,845.05)	259	4.16
		11/06/14	22	82.5759	1,816.67	72.9200	1,604.24	(212.43)	67	4.16
		12/05/14	9	81.5155	733.64	72.9200	656.28	(77.36)	28	4.16
		10/16/15	8	74.0500	592.40	72.9200	583.36	(9.04)	25	4.16
		01/19/16	6	61.2216	367.33	72.9200	437.52	70.19	19	4.16
		01/19/16	11	62.5481	688.03	72.9200	802.12	114.09	34	4.16
		01/20/16	4	60.2325	240.93	72.9200	291.68	50.75	13	4.16
<i>Subtotal</i>			145		12,482.25		10,573.40	(1,908.85)	445	4.16
PACCAR INC	PCAR	04/09/13	187	49.0154	9,165.88	58.7800	10,991.86	1,825.98	180	1.63
		10/16/15	10	52.4250	524.25	58.7800	587.80	63.55	10	1.63
		01/19/16	3	45.0200	135.06	58.7800	176.34	41.28	3	1.63
		01/19/16	8	44.9375	359.50	58.7800	470.24	110.74	8	1.63
		01/20/16	12	43.9491	527.39	58.7800	705.36	177.97	12	1.63
<i>Subtotal</i>			220		10,712.08		12,931.60	2,219.52	213	1.63
PFIZER INC	PFE	02/18/16	37	29.7521	1,100.83	33.8700	1,253.19	152.36	45	3.54
		02/18/16	62	29.7850	1,846.67	33.8700	2,099.94	253.27	75	3.54
		02/19/16	122	29.4564	3,593.69	33.8700	4,132.14	538.45	147	3.54
		02/22/16	56	29.9401	1,676.65	33.8700	1,896.72	220.07	68	3.54
		02/23/16	12	30.2000	362.40	33.8700	406.44	44.04	15	3.54
		07/14/16	5	36.7000	183.50	33.8700	169.35	(14.15)	6	3.54
		07/14/16	19	36.5815	695.05	33.8700	643.53	(51.52)	23	3.54
		07/18/16	14	36.6592	513.23	33.8700	474.18	(39.05)	17	3.54
<i>Subtotal</i>			327		9,972.02		11,075.49	1,103.47	396	3.54



BALANCE SHEET

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%	
PHILIP MORRIS INTL INC	PM	04/09/13	49	94.5300	4,631.97	97.2200	4,763.78	131.81	204	4.27
		06/04/15	14	82.4300	1,154.02	97.2200	1,361.08	207.06	59	4.27
		05/05/16	6	99.5566	597.34	97.2200	583.32	(14.02)	25	4.27
		05/05/16	9	99.5555	896.00	97.2200	874.98	(21.02)	38	4.27
		05/05/16	1	99.5500	99.55	97.2200	97.22	(2.33)	5	4.27
		05/06/16	11	100.0218	1,100.24	97.2200	1,069.42	(30.82)	46	4.27
		05/09/16	21	100.2590	2,105.44	97.2200	2,041.62	(63.82)	88	4.27
Subtotal			111		10,584.56		10,791.42	206.86	465	4.27
PPL CORPORATION	PPL	07/01/13	207	27.9310	5,781.73	34.5700	7,155.99	1,374.26	315	4.39
PROCTER & GAMBLE CO	PG	12/11/15	5	78.1020	390.51	89.7500	448.75	58.24	14	2.98
		12/11/15	29	77.7296	2,254.16	89.7500	2,602.75	348.59	78	2.98
		12/14/15	31	78.0354	2,419.10	89.7500	2,782.25	363.15	84	2.98
		12/15/15	43	79.5744	3,421.70	89.7500	3,859.25	437.55	116	2.98
		12/16/15	22	80.2909	1,766.40	89.7500	1,974.50	208.10	59	2.98
Subtotal			130		10,251.87		11,667.50	1,415.63	351	2.98
ROYAL DUTCH SHELL PLC SPONS ADR B	RDSB	07/26/16	13	56.4984	734.48	52.8300	686.79	(47.69)	49	7.11
		07/26/16	28	56.3307	1,577.26	52.8300	1,479.24	(98.02)	106	7.11
		07/27/16	46	56.5019	2,599.09	52.8300	2,430.18	(168.91)	173	7.11
		07/27/16	19	58.6663	1,114.66	52.8300	1,003.77	(110.89)	72	7.11
		07/28/16	39	54.8371	2,138.65	52.8300	2,060.37	(78.28)	147	7.11
		07/29/16	41	53.9409	2,211.58	52.8300	2,166.03	(45.55)	155	7.11
		08/01/16	26	52.4426	1,363.51	52.8300	1,373.58	10.07	98	7.11
Subtotal			212		11,739.23		11,199.96	(539.27)	800	7.11
SPECTRA ENERGY CORP	SE	04/09/13	229	30.4553	6,974.28	42.7500	9,789.75	2,815.47	371	3.78
		04/09/13	1	25.9100	25.91	42.7500	42.75	16.84	2	3.78
		04/09/13	1	25.8500	25.85	42.7500	42.75	16.90	2	3.78
		04/09/13	3	25.8733	77.62	42.7500	128.25	50.63	5	3.78
		06/04/15	19	29.8289	566.75	42.7500	812.25	245.50	31	3.78
		06/04/15	9	29.7711	267.94	42.7500	384.75	116.81	15	3.78
		06/04/15	6	29.6750	178.05	42.7500	256.50	78.45	10	3.78

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BALANCE SHEET



LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST ASSETS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SPECTRA ENERGY CORP	SE	06/04/15	16	29.8056	476.89	42.7500	684.00	207.11	26	3.78
		10/16/15	14	29.2400	409.36	42.7500	598.50	189.14	23	3.78
		01/19/16	1	24.4600	24.46	42.7500	42.75	18.29	2	3.78
		01/20/16	23	23.7900	547.17	42.7500	983.25	436.08	38	3.78
Subtotal			322		9,574.28		13,765.50	4,191.22	525	3.78
THOMSON REUTERS CORP	TRI	04/09/13	127	32.2281	4,092.97	41.3800	5,255.26	1,162.29	173	3.28
TORONTO DOMINION BANK	TD	04/09/13	145	39.8812	5,782.78	44.4000	6,438.00	655.22	247	3.83
		01/19/16	3	34.4800	103.44	44.4000	133.20	29.76	6	3.83
		01/19/16	11	34.5290	379.82	44.4000	488.40	108.58	19	3.83
		01/20/16	29	33.8127	980.57	44.4000	1,287.60	307.03	50	3.83
		01/21/16	3	34.7533	104.26	44.4000	133.20	28.94	6	3.83
Subtotal			191		7,350.87		8,480.40	1,129.53	328	3.83
VENTAS INC REIT	VTR	04/09/13	52	65.7265	3,417.78	70.6300	3,672.76	254.98	152	4.13
		04/19/13	30	63.6156	1,908.47	70.6300	2,118.90	210.43	88	4.13
		06/04/15	38	55.9294	2,125.32	70.6300	2,683.94	558.62	111	4.13
		08/27/15	5	56.4700	282.35	70.6300	353.15	70.80	15	4.13
Subtotal			125		7,733.92		8,828.75	1,094.83	366	4.13
VERIZON COMMUNICATNS COM	VZ	04/09/13	179	49.4257	8,847.21	51.9800	9,304.42	457.21	414	4.44
		06/04/15	26	48.0500	1,249.30	51.9800	1,351.48	102.18	61	4.44
Subtotal			205		10,096.51		10,655.90	559.39	475	4.44
TOTAL					249,540.11		289,962.26	40,422.15	10,806	3.73

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	253,611.54	294,033.69	40,422.15		10,806	3.68

Notes

↕ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale"

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BALANCE SHEET

2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

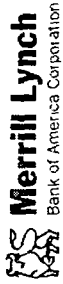
YOUR CMA FOR TRUST ASSETS

CASH/MONEY ACCOUNTS		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH		57.38	57.38		57.38		
+ML BANK DEPOSIT PROGRAM		1,867.00	1,867.00	1.0000	1,867.00		02
+FDIC INSURED NOT SIPC COVERED							
TOTAL			1,924.38		1,924.38		.02

EQUITIES		Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
AMERICAN TOWER REIT INC (HLDG CO) SHS	AMT 02/19/15	69	95.5200	6,590.88	113.3300	7,819.77	1,228.89	152.89	1.94
	08/23/16	6	115.3983	692.39	113.3300	679.98	(12.41)	14.16	1.94
	08/23/16	11	115.4354	1,269.79	113.3300	1,246.63	(23.16)	25.16	1.94
Subtotal		86		8,553.06		9,746.38	1,193.32	191.32	1.94
AMERICAN WTR WKS CO INC NEW	AWK 04/09/13	102	41.6871	4,252.09	74.8400	7,633.68	3,381.59	153.59	2.00
APPLE INC	AAPL 01/13/16	18	98.2444	1,768.40	113.0500	2,034.90	266.50	42.50	2.01
	01/13/16	55	99.8834	5,493.59	113.0500	6,217.75	724.16	126.16	2.01
	01/14/16	15	97.0313	1,455.47	113.0500	1,695.75	240.28	35.28	2.01
Subtotal		88		8,717.46		9,948.40	1,230.94	203.94	2.01

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BALANCE SHEET



2ND ACCOUNT

Account Number: 788-19436

YOUR CMA FOR TRUST ASSETS

September 01, 2016 - September 30, 2016

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
AUTOMATIC DATA PROC	ADP	05/10/13	58	61.2105	3,550.21	88.2000	5,115.60	1,565.39	123	2.40
		12/19/13	39	69.6476	2,716.26	88.2000	3,439.80	723.54	83	2.40
		10/06/14	10	74.6800	746.80	88.2000	882.00	135.20	22	2.40
Subtotal			107		7,013.27		9,437.40	2,424.13	228	2.40
BLACKROCK INC	BLK	02/18/15	21	378.2923	7,944.14	362.4600	7,611.66	(332.48)	193	2.52
CHUBB LTD	CB	04/09/13	43	90.5800	3,894.94	125.6500	5,402.95	1,508.01	119	2.19
		02/18/15	20	113.3720	2,267.44	125.6500	2,513.00	245.56	56	2.19
Subtotal			63		6,162.38		7,915.95	1,753.57	175	2.19
CISCO SYSTEMS INC COM	CSCO	01/19/16	50	23.7902	1,189.51	31.7200	1,586.00	396.49	52	3.27
		01/19/16	120	23.9243	2,870.92	31.7200	3,806.40	935.48	125	3.27
		01/20/16	7	23.3971	163.78	31.7200	222.04	58.26	8	3.27
Subtotal			177		4,224.21		5,614.44	1,390.23	185	3.27
CITIGROUP INC COM NEW	C	04/09/13	91	43.8358	3,989.06	47.2300	4,297.93	308.87	59	1.35
		02/19/15	44	51.2600	2,255.44	47.2300	2,078.12	(177.32)	29	1.35
		10/16/15	3	52.8000	158.40	47.2300	141.69	(16.71)	2	1.35
Subtotal			138		6,402.90		6,517.74	114.84	90	1.35
CITIZENS FINL GROUP INC SHS	CFG	12/02/15	90	26.6444	2,398.00	24.7100	2,223.90	(174.10)	44	1.94
		12/03/15	153	26.5488	4,061.98	24.7100	3,780.63	(281.35)	74	1.94
Subtotal			243		6,459.98		6,004.53	(455.45)	118	1.94
COMCAST CORP NEW CL A	CMCSA	04/09/13	129	41.2650	5,323.19	66.3400	8,557.86	3,234.67	142	1.65
		10/16/15	5	60.9580	304.79	66.3400	331.70	26.91	6	1.65
		06/03/16	9	63.2788	569.51	66.3400	597.06	27.55	10	1.65
		06/03/16	9	63.4333	570.90	66.3400	597.06	26.16	10	1.65
Subtotal			152		6,768.39		10,083.68	3,315.29	168	1.65
COSTCO WHOLESALE CRP DEL	COST	04/09/13	58	105.9400	6,144.52	152.5100	8,845.58	2,701.06	105	1.18
CVS HEALTH CORP	CVS	04/09/13	103	56.6156	5,831.41	88.9900	9,165.97	3,334.56	176	1.91

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BALANCE SHEET

2ND ACCOUNT

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24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
DANAHER CORP DEL COM	DHR	07/29/15 10/16/15	81 5	67.8272 67.6740	5,494.01 338.37	78.3900 78.3900	6,349.59 391.95	855.58 53.58	41 3	63 63
Subtotal			86		5,832.38		6,741.54	909.16	44	63
DEVON ENERGY CORP NEW	DVN	07/26/16 07/26/16 07/27/16	44 30 130	37.1234 37.2936 37.1696	1,633.43 1,118.81 4,832.05	44.1100 44.1100 44.1100	1,940.84 1,323.30 5,734.30	307.41 204.49 902.25	11 8 32	54 54 54
Subtotal			204		7,584.29		8,998.44	1,414.15	51	54
DISNEY (WALT) CO COM STK	DIS	08/14/14 06/03/16 06/03/16	65 8 23	88.3067 98.4687 98.1665	5,739.94 787.75 2,257.83	92.8600 92.8600 92.8600	6,035.90 742.88 2,135.78	295.96 (44.87) (122.05)	93 12 33	152 152 152
Subtotal			96		8,785.52		8,914.56	129.04	138	152
ECOLAB INC	ECL	10/16/15	41	121.4563	4,979.71	121.7200	4,990.52	10.81	58	115
FEDEX CORP DELAWARE COM	FDX	04/09/13	41	95.3500	3,909.35	174.6800	7,161.88	3,252.53	66	91
HOME DEPOT INC	HD	04/09/13 06/03/16 06/03/16	62 8 3	71.2459 131.5312 131.7500	4,417.25 1,052.25 395.25	128.6800 128.6800 128.6800	7,978.16 1,029.44 386.04	3,560.91 (22.81) (9.21)	172 23 9	214 214 214
Subtotal			73		5,864.75		9,393.64	3,528.89	204	214
HONEYWELL INTL INC DEL	HON	04/09/13	90	73.5300	6,617.70	116.5900	10,493.10	3,875.40	215	204
LOCKHEED MARTIN CORP	LMT	07/29/15 02/12/16	32 8	206.3696 206.9112	6,603.83 1,655.29	239.7200 239.7200	7,671.04 1,917.76	1,067.21 262.47	233 59	303 303
Subtotal			40		8,259.12		9,588.80	1,329.68	292	303
MARATHON OIL CORP	MRO	08/23/16 08/23/16 08/24/16	126 88 119	16.2104 16.3219 16.3010	2,042.52 1,109.89 1,939.83	15.8100 15.8100 15.8100	1,992.06 1,075.08 1,881.39	(50.46) (34.81) (58.44)	26 14 24	126 126 126
Subtotal			313		5,092.24		4,948.53	(143.71)	64	126
MEDTRONIC PLC SHS	MDT	01/30/15 10/16/15	103 7	76.2138 73.6100	7,850.03 515.27	86.4000 86.4000	8,899.20 604.80	1,049.17 89.53	178 13	199 199
Subtotal			110		8,365.30		9,504.00	1,138.70	191	199

BALANCE SHEET



2ND ACCOUNT

Account Number: 788-19436

YOUR CMA FOR TRUST ASSETS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
MICROSOFT CORP	MSFT	12/02/15	41	55 1946	2,262.98	57 6000	2,361.60	98.62	64 270
		12/02/15	88	55 7279	4,904.06	57 6000	5,068.80	164.74	138 270
Subtotal			129		7,167.04		7,430.40	263.36	202 270
MONDELEZ INTERNATIONAL INC	MDLZ	06/27/14	175	37 3300	6,532.75	43 9000	7,682.50	1,149.75	133 173
		02/19/15	28	36 9200	1,033.76	43 9000	1,229.20	195.44	22 173
Subtotal			203		7,566.51		8,911.70	1,345.19	155 173
NEXTERA ENERGY INC SHS	NEE	02/18/16	9	114 3188	1,028.87	122 3200	1,100.88	72.01	32 284
		02/18/16	17	113 9247	1,936.72	122 3200	2,079.44	142.72	60 284
		02/19/16	29	114 7610	3,328.07	122 3200	3,547.28	219.21	101 284
		02/22/16	3	115 8466	347.54	122 3200	366.96	19.42	11 284
Subtotal			58		6,641.20		7,094.56	453.36	204 284
THERMO FISHER SCIENTIFIC INC	TMO	04/09/13	65	78 4400	5,098.60	159 0600	10,338.90	5,240.30	39 37
TOTAL SA SP ADR	TOT	02/12/16	13	42 8146	556.59	47 7000	620.10	63.51	30 482
		02/12/16	35	42 3528	1,482.35	47 7000	1,669.50	187.15	81 482
		02/16/16	104	43 8277	4,558.09	47 7000	4,960.80	402.71	240 482
Subtotal			152		6,597.03		7,250.40	653.37	351 482
UNION PACIFIC CORP	UNP	07/07/16	28	87 9592	2,462.86	97 5300	2,730.84	267.98	62 225
		07/07/16	16	87 9206	1,406.73	97 5300	1,560.48	153.75	36 225
		07/08/16	24	90 3779	2,169.07	97 5300	2,340.72	171.65	53 225
		07/11/16	13	91 0246	1,183.32	97 5300	1,267.89	84.57	29 225
Subtotal			81		7,221.98		7,899.93	677.95	180 225
VERIZON COMMUNICATNS COM	VZ	04/22/14	108	48 1767	5,203.09	51 9800	5,613.84	410.75	250 444
		02/19/15	21	48 9295	1,027.52	51 9800	1,091.58	64.06	49 444
		10/16/15	7	44 6171	312.32	51 9800	363.86	51.54	17 444
Subtotal			136		6,542.93		7,069.28	526.35	316 444

BALANCE SHEET

2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

September 01, 2016 September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Interest	Estimated Annual Income	Current Yield%
VISA INC CL A SHRS	V04/09/13		90	41.1718	3,705.47	82.7000	7,443.00	3,737.53		51	67
	10/16/15		1	75.9700	75.97	82.7000	82.70	6.73		1	67
Subtotal			91	3,781.44	3,781.44		7,525.70	3,744.26		52	67
TOTAL				194,380.90	194,380.90		242,781.29	48,400.39		4,807	1.98
LONG PORTFOLIO											
TOTAL				196,305.28	196,305.28		244,705.67	48,400.39		4,807	1.96

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