Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning 10/1/2015 **, and ending** 9/30/2016

Name of foundation: HSU HWA CHAO FOUNDATION	A Employer identification number: 33-0591357
Number and street (or P O box number if mail is not delivered to street address): P O. BOX 1501, NJ2-130-03-31 — Room/suite:	B Telephone number (see instructions): 609-274-6834
City or town: PENNINGTON — State: NJ — ZIP code: 08534-1501	C If exemption application is pending, check here ▶ ☐
Foreign country name — Foreign province/state/county — Foreign postal code	D 1. Foreign organizations, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 2,019,335 — J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	58,434	51,955		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-18,764			
	b	Gross sales price for all assets on line 6a 1,016,833				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	39,670	51,955	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,500			2,500
	c	Other professional fees (attach schedule)	9,662	5,797		3,865
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,012	58		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	25			25
	24	**Total operating and administrative expenses.** Add lines 13 through 23	13,199	5,855	0	6,390
	25	Contributions, gifts, grants paid	169,000			169,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	182,199	5,855	0	175,390
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-142,529			
	b	**Net investment income** (if negative, enter -0-)		46,100		
	c	**Adjusted net income** (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.
HTA

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10 NE

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	169	2,217	2,217
	2	Savings and temporary cash investments	12,713	18,279	18,279
	3	Accounts receivable ▶			
		Less. allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)		236,179	251,673
	b	Investments—corporate stock (attach schedule)	1,730,251	1,699,183	2,692,942
	c	Investments—corporate bonds (attach schedule)	25,356	25,099	25,420
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,590,976	1,224,409	1,342,270
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,359,465	3,205,366	4,332,801
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	3,359,465	3,205,366	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	3,359,465	3,205,366	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,359,465	3,205,366	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,359,465
2	Enter amount from Part I, line 27a	2	-142,529
3	Other increases not included in line 2 (itemize) ▶ FEDERAL EXCISE TAX REFUND	3	231
4	Add lines 1, 2, and 3	4	3,217,167
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	11,801
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,205,366

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-18,764
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	59,991	4,892,226	0.012263
2013	29,411	3,892,787	0.007555
2012	158,811	2,443,242	0 065000
2011	0	0	0 000000
2010	0	0	0 000000

2	**Total** of line 1, column (d)	2	0 084818
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.016964
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	2,072,564
5	Multiply line 4 by line 3	5	35,159
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	461
7	Add lines 5 and 6	7	35,620
8	Enter qualifying distributions from Part XII, line 4	8	175,390

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	461	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	461	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	461	
6	Credits/Payments:			
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 944			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7	944	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	483	
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶ — **Refunded** ▶	11	483	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ UST-MLT, A DIVISION OF BANK OF AMERICA, N Telephone no ▶ 609-274-6834
Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*		6b	No: X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PHYLIS Y HSIA 14 PELICAN POINT DRIVE NEWPORT COAST, CA 9:	PRESIDENT 1.00	0		
DAVID C HSIA 14 PELICAN POINT DRIVE NEWPORT COAST, CA 9:	SECRETARY 1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes.		
a	Average monthly fair market value of securities	1a	2,035,467
b	Average of monthly cash balances	1b	68,659
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,104,126
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,104,126
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	31,562
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,072,564
6	**Minimum investment return.** Enter 5% of line 5	6	103,628

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	103,628
2a	Tax on investment income for 2015 from Part VI, line 5	2a	461		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	461
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	103,167
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	103,167
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	103,167

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	175,390
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	175,390
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	461
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	174,929

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1	Distributable amount for 2015 from Part XI, line 7				103,167
2	Undistributed income, if any, as of the end of 2015				
a	Enter amount for 2014 only			166,085	
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2015:				
a	From 2010				
b	From 2011				
c	From 2012				
d	From 2013				
e	From 2014				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 175,390				
a	Applied to 2014, but not more than line 2a			166,085	
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2015 distributable amount				9,305
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2015. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				93,862
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9				
a	Excess from 2011				
b	Excess from 2012				
c	Excess from 2013				
d	Excess from 2014				
e	Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter: (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

PHYLIS Y HSIA DAVID C HSIA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Statement				
Total . . . . ▶ **3a**				169,000
b *Approved for future payment*				
NONE				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	58,434	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-18,764	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		39,670	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 39,670

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		Not Applicable	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 1/25/17 — Title: Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
LAWRENCE F MCGUIRE		1/25/2017		P01233953
Firm's name ▶ PricewaterhouseCoopers, LLP			Firm's EIN ▶	13-4008324
Firm's address ▶ 600 Grant Street, Pittsburgh, PA 15219			Phone no	412-355-6000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name				
ASIAN AMERICAN SENIOR CITIZEMS SERVICE CENTER				
Street				
850 N BIRCH ST				
City		**State**	**Zip Code**	**Foreign Country**
SANTA ANA		CA	92701-3405	
Relationship	**Foundation Status**			
NONE	PC			
Purpose of grant/contribution				**Amount**
GENERAL OPERATING				500

Name				
ST MARY AND ALL ANGELS CHRISTIAN CHURCH				
Street				
7 PURSUIT				
City		**State**	**Zip Code**	**Foreign Country**
ALISO VIEJO		CA	92656-4213	
Relationship	**Foundation Status**			
NONE	PC			
Purpose of grant/contribution				**Amount**
GENERAL OPERATING				1,000

Name				
UNIVERSITY OF SOUTHERN CALIFORNIA KUSC				
Street				
PO BOX 7913				
City		**State**	**Zip Code**	**Foreign Country**
LOS ANGELES		CA	90007-0913	
Relationship	**Foundation Status**			
NONE	PC			
Purpose of grant/contribution				**Amount**
GENERAL OPERATING				500

Name				
UNIVERSITY OF CALIFORNIA IRVING FOUNDATION				
Street				
100 THEORY				
City		**State**	**Zip Code**	**Foreign Country**
IRVINE		CA	92617-3055	
Relationship	**Foundation Status**			
NONE	PC			
Purpose of grant/contribution				**Amount**
GENERAL OPERATING				160,500

Name				
SOUTH COAST CHINESE CULTURAL ASSOC - IRVINE				
Street				
9 TRUMAN ST				
City		**State**	**Zip Code**	**Foreign Country**
IRVINE		CA	92620-5742	
Relationship	**Foundation Status**			
NONE	PC			
Purpose of grant/contribution				**Amount**
GENERAL OPERATING				5,000

Name				
PACIFIC SYMPHONY YOUTH ENSEMBLE				
Street				
3631 S HARBOR BLVD STE 100				
City		**State**	**Zip Code**	**Foreign Country**
SANTA ANA		CA	92704-8908	
Relationship	**Foundation Status**			
NONE	PC			
Purpose of grant/contribution				**Amount**
GENERAL OPERATING				1,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name					
KPCC SOUTHERN CALIFORNIA PUBLIC RADIO					
Street					
480 CEDAR ST					
City		**State**	**Zip Code**	**Foreign Country**	
SAINT PAUL		MN	55101-2217		
Relationship	**Foundation Status**				
NONE	PC				
Purpose of grant/contribution					**Amount**
GENERAL OPERATING					500

Name					
Street					
City		**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**				
Purpose of grant/contribution					**Amount**

Name					
Street					
City		**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**				
Purpose of grant/contribution					**Amount**

Name					
Street					
City		**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**				
Purpose of grant/contribution					**Amount**

Name					
Street					
City		**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**				
Purpose of grant/contribution					**Amount**

Name					
Street					
City		**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**				
Purpose of grant/contribution					**Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	2,595		Capital Gains/Losses	1,016,833	1,035,597	-18,764
Short Term CG Distributions	0		Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	INVESCO BALANCED RISK	00141V697	X				11/1/2013	10/9/2015	1,277	1,443				0	-166
2	INVESCO BALANCED RISK	00141V697	X				6/20/2013	10/9/2015	9,223	9,854				0	-631
3	INVESCO BALANCED RISK	00141V697	X				6/20/2013	10/9/2015	1	1				0	0
4	INVESCO BALANCED RISK	00141V697	X				11/19/2013	11/5/2015	11	13				0	-2
5	INVESCO BALANCED RISK	00141V697	X				12/12/2014	11/5/2015	11	12				0	-1
6	INVESCO BALANCED RISK	00141V697	X				12/13/2013	11/5/2015	2,977	3,082				0	-105
7	INVESCO BALANCED RISK	00141V697	X				11/19/2013	11/5/2015	20,625	23,376				0	-2,751
8	INVESCO BALANCED RISK	00141V697	X				12/12/2014	11/5/2015	11	12				0	-1
9	INVESCO BALANCED RISK	00141V697	X				11/1/2013	11/5/2015	11,546	13,138				0	-1,592
10	INVESCO BALANCED RISK	00141V697	X				12/13/2013	11/5/2015	2,298	2,379				0	-81
11	INVESCO BALANCED RISK	00141V697	X				6/20/2013	11/5/2015	10	11				0	-1
12	INVESCO BALANCED RISK	00141V697	X				12/13/2013	11/5/2015	11	12				0	-1
13	INVESCO BALANCED RISK	00141V697	X				12/12/2014	11/5/2015	2,038	2,084				0	-46
14	INVESCO BALANCED RISK	00141V697	X				12/12/2014	11/5/2015	2,106	2,154				0	-48
15	INVESCO BALANCED RISK	00141V697	X				1/19/2016	7/26/2016	7,175	6,312				0	863
16	AQR MANAGED FUTURES	00203H859	X				10/9/2015	7/26/2016	5,159	5,427				0	-268
17	AQR MANAGED FUTURES	00203H859	X				12/22/2015	7/26/2016	3	3				0	0
18	AMERICAN WTR WKS CO INC	030420103	X				12/17/2009	7/21/2016	888	248				0	640
19	ARTISAN INTERNATIONAL	04314H204	X				11/19/2013	11/9/2015	87	90				2	-1
20	ARTISAN INTERNATIONAL	04314H204	X				11/19/2013	11/9/2015	29	29				0	0
21	ARTISAN INTERNATIONAL	04314H204	X				11/7/2013	11/9/2015	19,210	19,210				0	0
22	ARTISAN INTERNATIONAL	04314H204	X				11/19/2013	11/9/2015	7	7				0	0
23	ARTISAN INTERNATIONAL	04314H204	X				11/19/2013	11/9/2015	4,489	4,595				0	-106
24	ARTISAN INTERNATIONAL	04314H675	X				11/19/2015	8/12/2016	21	23				0	-2
25	ARTISAN INTERNATIONAL	04314H675	X				11/19/2013	8/12/2016	25,577	26,955				0	-1,378
26	ARTISAN INTERNATIONAL	04314H675	X				11/19/2015	8/12/2016	28	29				0	-1
27	BAXALTA INC SHS	07177M103	X				11/21/2014	6/3/2016	3,462	2,504				0	958
28	BERKSHIRE HATHAWAYINC	084670702	X				9/16/2015	10/20/2015	13,885	13,801				0	84
29	BERKSHIRE HATHAWAYINC	084670702	X				7/20/2015	10/20/2015	7,343	7,954				0	-611
30	BERKSHIRE HATHAWAYINC	084670702	X				9/16/2015	7/18/2016	3,225	2,918				0	307
31	BERKSHIRE HATHAWAYINC	084670702	X				9/16/2015	8/12/2016	7,377	6,632				0	745
32	BLACKROCK GLOBAL	09251T509	X				7/19/2013	11/9/2015	20	20				0	0
33	BLACKROCK GLOBAL	09251T509	X				11/19/2013	11/9/2015	29,898	33,477				0	-3,579
34	BLACKROCK GLOBAL	09251T509	X				7/17/2015	11/9/2015	18	18				0	0
35	BLACKROCK GLOBAL	09251T509	X				12/18/2014	11/9/2015	20	22				0	-2
36	BLACKROCK GLOBAL	09251T509	X				12/18/2014	11/9/2015	772	766				0	6
37	BLACKROCK GLOBAL	09251T509	X				7/17/2015	11/9/2015	277	288				0	-11
38	BLACKROCK GLOBAL	09251T509	X				12/18/2013	11/9/2015	20	18				0	2
39	INVESCO BALANCED RISK	00141V697	X				1/19/2016	7/26/2016	2	2				0	0
40	AQR MANAGED FUTURES	00203H859	X				12/22/2015	7/26/2016	895	897				0	-2
41	AQR MANAGED FUTURES	00203H859	X				10/9/2015	7/26/2016	6	7				0	-1
42	BLACKROCK GLOBAL	09251T509	X				6/20/2013	11/9/2015	13,622	14,132				0	-510
43	BLACKROCK GLOBAL	09251T509	X				12/18/2014	11/9/2015	20	20				0	0
44	BLACKROCK GLOBAL	09251T509	X				7/19/2013	11/9/2015	495	532				0	-37
45	BLACKROCK GLOBAL	09251T509	X				12/18/2013	11/9/2015	2,336	2,467				0	-131
46	BLACKROCK GLOBAL	09251T509	X				12/18/2013	11/9/2015	832	878				0	-46
47	BLACKROCK GLOBAL	09251T509	X				12/18/2014	11/9/2015	4,693	4,652				0	41
48	BLACKROCK NATIONAL	09253C876	X				12/11/2015	8/12/2016	10,230	10,074				0	156
49	BLACKROCK NATIONAL	09253C876	X				12/11/2015	8/12/2016	950	936				0	14
50	BLACKSTONE ALT MULTI	09257V201	X				10/9/2015	7/26/2016	0	1				0	-1
51	BLACKSTONE ALT MULTI	09257V201	X				12/21/2015	7/26/2016	15	20				0	-5
52	BLACKSTONE ALT MULTI	09257V201	X				12/21/2015	7/26/2016	5	7				0	-2
53	BLACKSTONE ALT MULTI	09257V201	X				10/9/2015	7/26/2016	5,703	5,803				0	-100
54	CDK GLOBAL INC SHS	12508E101	X				7/18/2013	10/20/2015	1,667	941				0	726
55	CDK GLOBAL INC SHS	12508E101	X				7/18/2013	10/20/2015	49	19				0	30
56	CDK GLOBAL INC SHS	12508E101	X				12/19/2008	10/20/2015	49	14				0	35
57	CALIFORNIA RESOURCES	13057Q107	X				9/16/2015	4/4/2016	0	0				0	0
58	CALIFORNIA RES CORP COM	13057Q206	X				9/16/2015	6/10/2016	7	5				0	2
59	CALIFORNIA RES CORP COM	13057Q206	X				9/16/2015	8/10/2016	12	12				0	0
60	CENTURYLINK INC SHS	156700106	X				11/19/2015	1/4/2016	2,774	3,171				0	-397
61	CITIGROUP INC COM NEW	172967424	X				7/20/2015	10/20/2015	5,244	5,852				0	-608
62	CITIGROUP INC COM NEW	172967424	X				7/20/2015	8/12/2016	1,632	2,126				0	-494
63	CITIGROUP INC COM NEW	172967424	X				9/16/2015	8/12/2016	2,902	3,370				0	-468
64	DOUBLELINE TOTAL RETURN	258620103	X				11/19/2013	11/9/2015	3	3				0	0
65	DOUBLELINE TOTAL RETURN	258620103	X				11/1/2013	11/9/2015	19,556	19,827				0	-271
66	DOUBLELINE TOTAL RETURN	258620103	X				11/1/2013	11/9/2015	15,567	15,782				0	-215
67	DOUBLELINE TOTAL RETURN	258620103	X				11/1/2013	11/9/2015	11	11				0	0
68	DOUBLELINE TOTAL RETURN	258620103	X				10/31/2013	11/9/2015	3	0				0	3
69	DOUBLELINE TOTAL RETURN	258620103	X				10/31/2013	11/9/2015	497	505				0	-8
70	DOUBLELINE TOTAL RETURN	258620103	X				9/30/2013	11/9/2015	476	482				0	-6
71	DOUBLELINE TOTAL RETURN	258620103	X				2/27/2015	11/9/2015	1,264	1,289				0	-25
72	DOUBLELINE TOTAL RETURN	258620103	X				9/30/2013	11/9/2015	11	11				0	0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	2,595		Capital Gains/Losses	1,016,833	1,035,597	-18,764
Short Term CG Distributions	0		Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73	DOUBLELINE TOTAL RETURN	258620103	X				9/13/2013	11/9/2015	11	11				0	0
74	DOUBLELINE TOTAL RETURN	258620103	X				9/13/2013	11/9/2015	11	11				0	0
75	DOUBLELINE TOTAL RETURN	258620103	X				4/30/2015	11/9/2015	1,459	1,485				0	-26
76	DOUBLELINE TOTAL RETURN	258620103	X				11/19/2013	11/9/2015	56,279	57,110				0	-831
77	DOUBLELINE TOTAL RETURN	258620103	X				10/4/2013	8/12/2016	11	11				0	0
78	DOUBLELINE TOTAL RETURN	258620103	X				11/19/2013	8/12/2016	9,811	9,829				0	-18
79	DOUBLELINE TOTAL RETURN	258620103	X				11/19/2013	8/12/2016	613	614				1	0
80	DOUBLELINE TOTAL RETURN	258620103	X				11/19/2013	8/12/2016	5,979	5,990				0	-11
81	DOUBLELINE TOTAL RETURN	258620103	X				9/13/2013	8/12/2016	11	11				0	0
82	AMERICAN EURO PACIFIC	29875E100	X				1/29/2014	11/9/2015	18,712	18,377				0	335
83	AMERICAN EURO PACIFIC	29875E100	X				12/29/2014	11/9/2015	3	3				0	0
84	FPA CRESCENT FUND	30254T759	X				7/2/2015	10/9/2015	10	11				0	-1
85	FPA CRESCENT FUND	30254T759	X				7/2/2015	10/9/2015	128	135				0	-7
86	FPA CRESCENT FUND	30254T759	X				12/23/2014	10/9/2015	160	169				0	-9
87	FPA CRESCENT FUND	30254T759	X				9/20/2013	10/9/2015	1,358	1,328				0	30
88	FPA CRESCENT FUND	30254T759	X				7/18/2013	10/9/2015	33	30				0	3
89	FPA CRESCENT FUND	30254T759	X				7/18/2013	10/9/2015	3,809	3,667				0	142
90	FPA CRESCENT FUND	30254T759	X				2/20/2013	10/9/2015	5,002	4,470				0	532
91	FPA CRESCENT FUND	30254T759	X				7/2/2015	11/5/2015	16	16				0	0
92	FPA CRESCENT FUND	30254T759	X				11/19/2013	11/5/2015	2,623	2,597				0	26
93	FPA CRESCENT FUND	30254T759	X				11/19/2013	11/5/2015	7	7				0	0
94	FPA CRESCENT FUND	30254T759	X				9/20/2013	11/5/2015	19,203	18,495				0	708
95	FPA CRESCENT FUND	30254T759	X				7/2/2015	1/19/2016	29	34				0	-5
96	FPA CRESCENT FUND	30254T759	X				7/2/2015	1/19/2016	29	33				0	-4
97	FPA CRESCENT FUND	30254T759	X				12/22/2015	1/19/2016	957	1,015				0	-58
98	FPA CRESCENT FUND	30254T759	X				12/22/2015	1/19/2016	17	21				0	-4
99	FPA CRESCENT FUND	30254T759	X				12/22/2015	1/19/2016	99	123				0	-24
100	FPA CRESCENT FUND	30254T759	X				12/22/2015	1/19/2016	29	33				0	-4
101	FPA CRESCENT FUND	30254T759	X				11/19/2013	1/19/2016	16,971	19,480				0	-2,509
102	FPA CRESCENT FUND	30254T759	X				12/23/2014	1/19/2016	1,334	1,559				0	-225
103	FORTIVE CORP	34959J108	X				7/11/2016	7/11/2016	0	0				0	0
104	ISHARES SELECT	464287168	X				11/21/2014	10/20/2015	27,122	27,998				0	-876
105	ISHARES MSCI EMERGING	464287234	X				11/19/2013	11/9/2015	14,932	18,317				0	-3,385
106	ISHARES MSCI EMERGING	464287234	X				11/8/2013	11/9/2015	2,014	2,380				0	-366
107	ISHARES MSCI EMERGING	464287234	X				11/1/2013	11/9/2015	5,556	6,791				0	-1,235
108	ISHARES MSCI EMERGING	464287234	X				11/1/2013	11/9/2015	13,682	16,721				0	-3,039
109	ISHARES MSCI EMERGING	464287234	X				9/27/2013	11/9/2015	5,834	6,921				0	-1,087
110	ISHARES IBOXX $	464287242	X				11/19/2013	11/9/2015	13,047	13,036				0	11
111	ISHARES IBOXX $	464287242	X				7/18/2013	11/9/2015	13,734	13,773				0	-39
112	ISHARES IBOXX $	464287242	X				8/6/2009	11/9/2015	24,721	22,170				0	2,551
113	ISHARES RUSSELL MIDCAP	464287499	X				11/1/2013	7/18/2016	2,767	2,299				0	468
114	EASTMAN CHEMICAL CO CO	277432100	X				2/23/2015	10/20/2015	4,772	5,179				0	-407
115	EASTMAN CHEMICAL CO CO	277432100	X				2/23/2015	7/21/2016	929	989				0	-60
116	AMERICAN EURO PACIFIC	29875E100	X				12/29/2014	11/9/2015	816	807				0	9
117	AMERICAN EURO PACIFIC	29875E100	X				6/6/2014	11/9/2015	5,662	6,007				0	-345
118	JP MORGAN GLOBAL	48121L692	X				4/1/2015	10/9/2015	153	170				0	-17
119	JP MORGAN GLOBAL	48121L692	X				1/29/2015	10/9/2015	10,304	10,424				0	-120
120	JP MORGAN GLOBAL	48121L692	X				7/1/2015	10/9/2015	12	13				0	-1
121	JP MORGAN GLOBAL	48121L692	X				1/4/2016	8/12/2016	302	289				0	13
122	JP MORGAN GLOBAL	48121L692	X				4/1/2016	8/12/2016	151	144				0	7
123	JP MORGAN GLOBAL	48121L692	X				7/1/2016	8/12/2016	386	371				0	15
124	JP MORGAN GLOBAL	48121L692	X				7/1/2016	8/12/2016	12	11				0	1
125	JP MORGAN GLOBAL	48121L692	X				4/1/2016	8/12/2016	17	16				0	1
126	JP MORGAN GLOBAL	48121L692	X				7/1/2015	8/12/2016	17	17				0	0
127	JP MORGAN GLOBAL	48121L692	X				12/21/2015	8/12/2016	50	48				0	2
128	JP MORGAN GLOBAL	48121L692	X				12/21/2015	8/12/2016	17	16				0	1
129	JP MORGAN GLOBAL	48121L692	X				1/29/2015	8/12/2016	40,140	39,565				0	575
130	JP MORGAN GLOBAL	48121L692	X				11/9/2015	8/12/2016	13,542	13,316				0	226
131	KINDER MORGAN INC DEL	49456B101	X				10/20/2015	1/4/2016	1,501	3,156				0	-1,655
132	MFS GLOBAL ALTERNATIVE	55276T404	X				1/19/2016	7/26/2016	4,459	4,454				0	5
133	MFS GLOBAL ALTERNATIVE	55276T404	X				1/19/2016	7/26/2016	0	0				0	0
134	MENTOR GRAPHICS CORP	587200106	X				2/24/2014	1/4/2016	7,306	8,455				0	-1,149
135	NRG ENERGY INC	629377508	X				9/16/2015	10/20/2015	3,191	3,992				0	-801
136	NRG ENERGY INC	629377508	X				9/16/2015	1/4/2016	3,962	6,590				0	-2,628
137	NEXTERA ENERGY INC SHS	65339F101	X				7/23/2010	7/18/2016	1,154	476				0	678
138	OPPENHEIMER FUNDAMENT	68380E841	X				12/4/2015	1/19/2016	103	110				0	-7
139	OPPENHEIMER FUNDAMENT	68380E841	X				8/31/2015	1/19/2016	20,733	20,969				0	-236
140	OPPENHEIMER FUNDAMENT	68380E841	X				12/29/2015	1/19/2016	51	55				0	-4
141	OPPENHEIMER FUNDAMENT	68380E841	X				12/29/2015	1/19/2016	9	9				0	0
142	OPPENHEIMER FUNDAMENT	68380E841	X				12/29/2015	1/19/2016	27	27				0	0
143	PPG INDUSTRIES INC SHS	693506107	X				8/6/2009	7/18/2016	546	140				0	406
144	PRINCIPAL FINANCIAL GRP	74251V102	X				7/18/2013	7/21/2016	3,626	3,273				0	353

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	2,595		Capital Gains/Losses	1,016,833	1,035,597	-18,764
Short Term CG Distributions	0		Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
145	BOSTON PARTNERS LONG /	74925K581	X				12/17/2015	1/20/2016	752	787				0	-35
146	BOSTON PARTNERS LONG /	74925K581	X				12/17/2015	1/20/2016	5	5				0	0
147	BOSTON PARTNERS LONG /	74925K581	X				10/9/2015	1/20/2016	9,720	10,487				0	-767
148	BOSTON PARTNERS LONG /	74925K581	X				11/5/2015	1/20/2016	9,592	10,593				0	-1,001
149	BOSTON PARTNERS LONG /	74925K581	X				11/5/2015	1/20/2016	14	15				0	-1
150	ISHARES RUSSELL MIDCAP	464287499	X				11/1/2013	7/18/2016	2,421	2,011				0	410
151	JOHN HANCOCK GLOBAL AB	47804M878	X				1/19/2016	7/26/2016	2	2				0	0
152	JOHN HANCOCK GLOBAL AB	47804M878	X				1/19/2016	7/26/2016	3,992	4,088				0	-96
153	JOHN HANCOCK GLOBAL AB	47804M878	X				1/19/2016	7/26/2016	7	7				0	0
154	JP MORGAN GLOBAL	48121L692	X				7/1/2015	10/9/2015	31	33				0	-2
155	VANGUARD DIVIDEND	921908844	X				9/27/2013	11/9/2015	7,580	6,790				0	790
156	VANGUARD DIVIDEND	921908844	X				9/27/2013	11/9/2015	8,518	7,632				0	886
157	VANGUARD DIVIDEND	921908844	X				9/27/2013	11/9/2015	8,674	7,768				0	906
158	VANGUARD DIVIDEND	921908844	X				9/27/2013	11/9/2015	9,299	8,327				0	972
159	VANGUARD DIVIDEND	921908844	X				9/27/2013	1/19/2016	3,859	3,712				0	147
160	VANGUARD DIVIDEND	921908844	X				9/27/2013	1/19/2016	146	140				0	6
161	VANGUARD DIVIDEND	921908844	X				11/19/2013	1/19/2016	45,503	46,388				0	-885
162	VANGUARD S&P 500 GROWT	921932505	X				9/27/2013	11/9/2015	7,076	5,383				0	1,693
163	VANGUARD S AND P 500	921932703	X				10/20/2015	11/9/2015	21,635	21,244				0	391
164	VANGUARD S AND P 500	921932703	X				10/20/2015	7/21/2016	5,885	5,479				0	406
165	VANGUARD S AND P 500	921932703	X				10/20/2015	8/12/2016	22,276	20,630				0	1,646
166	WSTN DIGITAL CORP DEL	958102105	X				6/26/2015	4/20/2016	1,740	3,379				0	-1,639
167	WSTN DIGITAL CORP DEL	958102105	X				2/24/2014	4/20/2016	2,045	4,194				0	-2,149
168	SEAGATE TECH PLC SHS	G7945M107	X				7/20/2015	4/20/2016	4,367	7,993				0	-3,626
169	VALIDUS HOLDINGS LTD	G9319H102	X				9/13/2013	7/18/2016	2,624	1,960				0	664
170	LYONDELLBASELL INDUSTRI	N53745100	X				11/21/2014	8/12/2016	4,487	5,404				0	-917
171	AVAGO TECHNOLOGIES LTD	Y0486S104	X				9/13/2013	1/6/2016	4,464	1,282				0	3,182
172	BROADCOM LTD	Y09827109	X				9/13/2013	4/28/2016	913	233				0	680
173	REGIONS FINL CORP	7591EP100	X				2/23/2015	7/18/2016	1,242	1,297				0	-55
174	REGIONS FINL CORP	7591EP100	X				11/7/2013	7/18/2016	3,755	3,866				0	-111
175	REGIONS FINL CORP	7591EP100	X				2/23/2015	8/12/2016	5,508	5,774				0	-266
176	SHIRE PLC-ADR	82481R106	X				6/6/2016	6/16/2016	19	22				0	-3
177	VANGUARD DIVIDEND	921908844	X				6/20/2013	11/9/2015	16,098	13,745				0	2,353
178	DOUBLELINE TOTAL RETURN	258620103	X				7/31/2014	11/9/2015	1,102	1,116				0	-14
179	DOUBLELINE TOTAL RETURN	258620103	X				9/13/2013	11/9/2015	659	662				2	-1
180	DOUBLELINE TOTAL RETURN	258620103	X				1/30/2015	11/9/2015	1,286	1,320				0	-34
181	DOUBLELINE TOTAL RETURN	258620103	X				9/13/2013	11/9/2015	26,237	26,333				0	-96
182	DOUBLELINE TOTAL RETURN	258620103	X				9/13/2013	11/9/2015	81,629	81,928				0	-299
183	DOUBLELINE TOTAL RETURN	258620103	X				9/30/2015	11/9/2015	1,470	1,488				0	-18
184	DOUBLELINE TOTAL RETURN	258620103	X				12/31/2014	11/9/2015	1,146	1,163				0	-17
185	DOUBLELINE TOTAL RETURN	258620103	X				10/31/2014	11/9/2015	940	954				0	-14
186	DOUBLELINE TOTAL RETURN	258620103	X				3/31/2015	11/9/2015	1,502	1,535				0	-33
187	DOUBLELINE TOTAL RETURN	258620103	X				8/29/2014	11/9/2015	1,059	1,078				0	-19
188	DOUBLELINE TOTAL RETURN	258620103	X				8/31/2015	11/9/2015	1,459	1,470				0	-11
189	DOUBLELINE TOTAL RETURN	258620103	X				10/30/2015	11/9/2015	2	2				0	0
190	DOUBLELINE TOTAL RETURN	258620103	X				6/30/2015	11/9/2015	1,513	1,522				0	-9
191	DOUBLELINE TOTAL RETURN	258620103	X				11/28/2014	11/9/2015	994	1,013				0	-19
192	DOUBLELINE TOTAL RETURN	258620103	X				9/30/2014	11/9/2015	983	996				0	-13
193	DOUBLELINE TOTAL RETURN	258620103	X				5/29/2015	11/9/2015	1,535	1,559				0	-24
194	DOUBLELINE TOTAL RETURN	258620103	X				6/30/2014	11/9/2015	1,027	1,044				0	-17
195	DOUBLELINE TOTAL RETURN	258620103	X				2/28/2014	11/9/2015	1,048	1,063				0	-15
196	DOUBLELINE TOTAL RETURN	258620103	X				5/30/2014	11/9/2015	1,038	1,057				0	-19
197	DOUBLELINE TOTAL RETURN	258620103	X				10/30/2015	11/9/2015	1,470	1,480				0	-10
198	DOUBLELINE TOTAL RETURN	258620103	X				4/30/2014	11/9/2015	1,070	1,082				0	-12
199	DOUBLELINE TOTAL RETURN	258620103	X				3/31/2014	11/9/2015	1,059	1,068				0	-9
200	DOUBLELINE TOTAL RETURN	258620103	X				1/31/2014	11/9/2015	1,113	1,130				0	-17
201	DOUBLELINE TOTAL RETURN	258620103	X				7/31/2015	11/9/2015	1,578	1,594				0	-16
202	DOUBLELINE TOTAL RETURN	258620103	X				12/31/2013	11/9/2015	1,264	1,261				0	3
203	DOUBLELINE TOTAL RETURN	258620103	X				11/29/2013	11/9/2015	1,059	1,069				0	-10
204	DOUBLELINE TOTAL RETURN	258620103	X				11/19/2013	11/9/2015	11	11				0	0

Part I, Line 16b (990-PF) - Accounting Fees

		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		2,500	0	0	2,500
	Description				
1	TAX PREP FEES	2,500			2,500

Part I, Line 16c (990-PF) - Other Professional Fees

		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		9,662	5,797	0	3,865
	Description				
1	UST-MLT AS AGENT FEES	9,662	5,797		3,865

Part I, Line 18 (990-PF) - Taxes

		1,012	58	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	58	58		
2	STATE PY EXCISE TAX DUE	10			
3	ESTIMATED EXCISE PAYMENTS	944			

Part I, Line 23 (990-PF) - Other Expenses

		25	0	0	25
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	25	0		25

Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations

		Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year	State/Local Obligation
	Federal		0	236,179	0	251,673	
	State/Local		0	0	0	0	
	Description						
1				0			
2	CALIFORNIA ST VAR PURP		0	56,895		63,066	
3	METRO WTR DT SO CALIF		0	60,864		63,482	
4	LOS ANGELES CALIF DEPT		0	58,876		62,059	
5	UPPER SANTA CLARA VY JT		0	59,544		63,066	

Part II, Line 10b (990-PF) - Investments - Corporate Stock

			1,730,251	1,699,183	0	2,692,942
	Description	Num. Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1						
2	KLA TENCOR CORP	0		6,425		8,156
3	LAM RESH CORP COM	0		6,178		7,577
4	ELI LILLY & CO COM	0		6,006		6,020
5	VALIDUS HOLDINGS LTD	0		6,606		9,067
6	BROADCOM LTD	0		4,966		22,083
7	AFLAC INC	0		3,651		5,750
8	AT& T INC	0		2,183		2,071
9	ABBOTT LABORATORIES	0		2,189		2,199
10	ABBVIE INC SHS	0		7,016		9,965
11	AMERICAN WTR WKS CO INC	0		3,631		12,349
12	APPLE COMPUTER INC COM	0		3,288		6,331
13	APPLIED MATERIALS INC	0		6,231		10,221
14	AUTOMATIC DATA PROCESSING INC CON	0		6,716		9,526
15	BAXTER INTL INC COM	0		5,311		6,616
16	BERKSHIRE HATHAWAYINC	0		11,408		12,424
17	BOEING COMPANY	0		6,838		8,695
18	CVS CORP	0		2,131		1,958
19	CELGENE CORP	0		2,163		2,195
20	COCA-COLA CO USD	0		4,841		8,295
21	COMCAST CORP NEW CL A	0		6,733		10,946
22	CONOCOPHILLIPS	0		3,154		2,565
23	DANAHER CORP COMMON	0		1,944		5,252
24	DEVON ENERGY CORPORATION NEW	0		10,529		11,292
25	DISNEY (WALT) COMPANY HOLDING CO	0		2,206		2,043
26	EASTMAN CHEMICAL CO	0		4,719		4,196
27	EXXON MOBIL CORP	0		3,559		7,506
28	FORTIVE CORP	0		583		1,680
29	GENERAL DYNAMICS CORPORATION CO	0		1,888		5,896
30	GENERAL MILLS INC COM	0		7,023		8,752
31	GOODYEAR TIRE & RUBBER COMPANY C	0		4,856		5,652
32	HESS CORP	0		3,113		2,788
33	HONEYWELL INTL INC	0		3,246		10,493
34	INTEL CORPORATION	0		6,864		10,796
35	J P MORGAN CHASE & CO	0		6,886		8,657
36	MCKESSON CORPORATION	0		2,897		8,338
37	MERCK AND CO INC SHS	0		3,767		7,302
38	MICROSOFT CORP COM	0		2,327		6,624
39	MONDELEZ INTERNATIONAL	0		6,265		6,234
40	NEWELL RUBBERMAID INC	0		7,876		14,008
41	NEXTERA ENERGY INC SHS	0		4,124		9,541
42	OCCIDENTAL PETROLEUM CORPORATIO	0		10,650		11,157
43	PPG INDUSTRIES INC	0		2,268		8,372
44	PAYCHEX INC COM	0		3,522		7,755
45	PHILLIPS 66 SHS	0		2,190		2,336
46	MC DONALDS CORPORATION COMMON	0		3,121		6,576

Part II, Line 10b (990-PF) - Investments - Corporate Stock

			1,730,251	1,699,183	0	2,692,942
	Description	Num. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
47	PRINCIPAL FINL GROUP INC	0		2,994		3,863
48	QUALCOMM INC	0		3,853		7,192
49	ST JUDE MEDICAL INC	0		8,458		9,890
50	SCHLUMBERGER LIMITED COM	0		2,142		2,123
51	SHIRE PHARMACEUTICALS GROUP	0		2,090		2,132
52	SKYWORKS SOLUTIONS INC	0		6,230		6,091
53	STRYKER CORP	0		7,888		12,456
54	TARGET CORP	0		6,040		5,769
55	UNITED TECHNOLOGIES CORP	0		3,028		7,112
56	VERIZON COMMUNICATIONS INC	0		6,213		7,069
57	VISA INC CL A SHRS	0		6,214		6,781
58	WAL MART STORES INC	0		8,074		10,962
59	WELLS FARGO & CO NEW	0		5,631		8,148
60	CMA 8R604133			1,416,240		2,265,099

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
				25,356	25,099	0	25,420
1							
2	GENERAL MILLS INC			0	25,099		25,420

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
			1,590,976	1,224,409	1,342,270
1				0	
2	JOHN HANCOCK GLOBAL ABS		0	15,828	15,441
3	INVESCO BALANCED RISK		0	13,612	15,728
4	AQR MULTI STRATEGY		0	15,472	15,553
5	AQR MANAGED FUTURES		0	16,346	15,351
6	BLACKROCK HIGH YIELD		0	53,158	55,828
7	BLACKROCK NATIONAL		0	41,166	42,444
8	BLACKSTONE ALT MULTI		0	15,889	15,641
9	DOUBLELINE TOTAL RETURN		0	200,901	204,497
10	AMERICAN EURO PACIFIC		0	28,780	27,030
11	ISHARES TR		0	54,298	59,040
12	ISHARES TR RUSSELL MIDCAP INDEX FD		0	138,159	166,999
13	ISHARES TR RUSSELL 2000 VALUE		0	47,866	56,774
14	ISHARES TR		0	47,083	59,709
15	S&P US PFD STK INDEX FD		0	53,053	53,957
16	ISH TR CORE DIVID GROWTH		0	99,700	114,595
17	PIMCO INCOME FUND CL P		0	120,806	118,480
18	LORD ABBETT SHORT		0	54,817	54,705
19	MFS DIVERSIFIED TARGET		0	15,472	15,549
20	SPDR GOLD TRUST		0	15,375	15,328
21	SCHRODER INTL MULTI CAP		0	16,290	16,938
22	SECTOR SPDR TR TECHNOLOGY		0	10,327	11,754
23	VANGUARD TOTAL INTL STK		0	26,566	26,862
24	VANGUARD S&P 500 GROWTH		0	123,445	164,067

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	FEDERAL EXCISE TAX REFUND	1	231
2	Total	2	231

Line 5 - Decreases not included in Part III, Line 2

1	BOND AMORTIZATION	1	126
2	COST BASIS ADJUSTMENT	2	11,675
3	Total	3	11,801

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	2,595
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						1,014,238	0	5	1,035,602	-21,359	0	0	0	-21,359
1	INVESCO BALANCED RISK	00141V697		11/1/2013	10/9/2015	1,277		0	1,443	-166	0	0	0	-166
2	INVESCO BALANCED RISK	00141V697		6/20/2013	10/9/2015	9,223		0	9,854	-631	0	0	0	-631
3	INVESCO BALANCED RISK	00141V697		6/20/2013	10/9/2015	1		0	1	0	0	0	0	0
4	INVESCO BALANCED RISK	00141V697		11/19/2013	11/5/2015	11		0	13	-2	0	0	0	-2
5	INVESCO BALANCED RISK	00141V697		12/12/2014	11/5/2015	11		0	12	-1	0	0	0	-1
6	INVESCO BALANCED RISK	00141V697		12/13/2013	11/5/2015	2,977		0	3,082	-105	0	0	0	-105
7	INVESCO BALANCED RISK	00141V697		11/19/2013	11/5/2015	20,625		0	23,376	-2,751	0	0	0	-2,751
8	INVESCO BALANCED RISK	00141V697		12/12/2014	11/5/2015	11		0	12	-1	0	0	0	-1
9	INVESCO BALANCED RISK	00141V697		11/1/2013	11/5/2015	11,546		0	13,138	-1,592	0	0	0	-1,592
10	INVESCO BALANCED RISK	00141V697		12/13/2013	11/5/2015	2,298		0	2,379	-81	0	0	0	-81
11	INVESCO BALANCED RISK	00141V697		6/20/2013	11/5/2015	10		0	11	-1	0	0	0	-1
12	INVESCO BALANCED RISK	00141V697		12/13/2013	11/5/2015	11		0	12	-1	0	0	0	-1
13	INVESCO BALANCED RISK	00141V697		12/12/2014	11/5/2015	2,038		0	2,084	-46	0	0	0	-46
14	INVESCO BALANCED RISK	00141V697		12/12/2014	11/5/2015	2,106		0	2,154	-48	0	0	0	-48
15	INVESCO BALANCED RISK	00141V697		1/19/2016	7/26/2016	7,175		0	6,312	863	0	0	0	863
16	AQR MANAGED FUTURES	00203H859		10/9/2015	7/26/2016	5,159		0	5,427	-268	0	0	0	-268
17	AQR MANAGED FUTURES	00203H859		12/22/2015	7/26/2016	3		0	3	0	0	0	0	0
18	AMERICAN WTR WKS CO INC	030420103		12/17/2009	7/21/2016	888		0	248	640	0	0	0	640
19	ARTISAN INTERNATIONAL	04314H204		11/19/2013	11/9/2015	87		2	90	-1	0	0	0	-1
20	ARTISAN INTERNATIONAL	04314H204		11/19/2013	11/9/2015	29		0	29	0	0	0	0	0
21	ARTISAN INTERNATIONAL	04314H204		11/7/2013	11/9/2015	19,210		0	19,210	0	0	0	0	0
22	ARTISAN INTERNATIONAL	04314H204		11/19/2013	11/9/2015	7		0	7	0	0	0	0	0
23	ARTISAN INTERNATIONAL	04314H204		11/19/2013	11/9/2015	4,489		0	4,595	-106	0	0	0	-106
24	ARTISAN INTERNATIONAL	04314H675		11/19/2015	8/12/2016	21		0	23	-2	0	0	0	-2
25	ARTISAN INTERNATIONAL	04314H675		11/19/2013	8/12/2016	25,577		0	26,955	-1,378	0	0	0	-1,378
26	ARTISAN INTERNATIONAL	04314H675		11/19/2015	8/12/2016	28		0	29	-1	0	0	0	-1
27	BAXALTA INC SHS	07177M103		11/21/2014	6/3/2016	3,462		0	2,504	958	0	0	0	958
28	BERKSHIRE HATHAWAYINC	084670702		9/16/2015	10/20/2015	13,885		0	13,801	84	0	0	0	84
29	BERKSHIRE HATHAWAYINC	084670702		7/20/2015	10/20/2015	7,343		0	7,954	-611	0	0	0	-611
30	BERKSHIRE HATHAWAYINC	084670702		9/16/2015	7/18/2016	3,225		0	2,918	307	0	0	0	307
31	BERKSHIRE HATHAWAYINC	084670702		9/16/2015	8/12/2016	7,377		0	6,632	745	0	0	0	745
32	BLACKROCK GLOBAL	09251T509		7/19/2013	11/9/2015	20		0	20	0	0	0	0	0
33	BLACKROCK GLOBAL	09251T509		11/19/2013	11/9/2015	29,898		0	33,477	-3,579	0	0	0	-3,579
34	BLACKROCK GLOBAL	09251T509		7/17/2015	11/9/2015	18		0	18	0	0	0	0	0
35	BLACKROCK GLOBAL	09251T509		12/18/2014	11/9/2015	20		0	22	-2	0	0	0	-2
36	BLACKROCK GLOBAL	09251T509		12/18/2014	11/9/2015	772		0	766	6	0	0	0	6
37	BLACKROCK GLOBAL	09251T509		7/17/2015	11/9/2015	277		0	288	-11	0	0	0	-11
38	BLACKROCK GLOBAL	09251T509		12/18/2013	11/9/2015	20		0	18	2	0	0	0	2
39	INVESCO BALANCED RISK	00141V697		1/19/2016	7/26/2016	2		0	2	0	0	0	0	0
40	AQR MANAGED FUTURES	00203H859		12/22/2015	7/26/2016	895		0	897	-2	0	0	0	-2
41	AQR MANAGED FUTURES	00203H859		10/9/2015	7/26/2016	6		0	7	-1	0	0	0	-1
42	BLACKROCK GLOBAL	09251T509		6/20/2013	11/9/2015	13,622		0	14,132	-510	0	0	0	-510
43	BLACKROCK GLOBAL	09251T509		12/18/2014	11/9/2015	20		0	20	0	0	0	0	0
44	BLACKROCK GLOBAL	09251T509		7/19/2013	11/9/2015	495		0	532	-37	0	0	0	-37
45	BLACKROCK GLOBAL	09251T509		12/18/2013	11/9/2015	2,336		0	2,467	-131	0	0	0	-131
46	BLACKROCK GLOBAL	09251T509		12/18/2013	11/9/2015	832		0	878	-46	0	0	0	-46
47	BLACKROCK GLOBAL	09251T509		12/18/2014	11/9/2015	4,693		0	4,652	41	0	0	0	41
48	BLACKROCK NATIONAL	09253C876		12/11/2015	8/12/2016	10,230		0	10,074	156	0	0	0	156
49	BLACKROCK NATIONAL	09253C876		12/11/2015	8/12/2016	950		0	936	14	0	0	0	14
50	BLACKSTONE ALT MULTI	09257V201		10/9/2015	7/26/2016	0		0	1	-1	0	0	0	-1
51	BLACKSTONE ALT MULTI	09257V201		12/21/2015	7/26/2016	15		0	20	-5	0	0	0	-5
52	BLACKSTONE ALT MULTI	09257V201		12/21/2015	7/26/2016	5		0	7	-2	0	0	0	-2
53	BLACKSTONE ALT MULTI	09257V201		10/9/2015	7/26/2016	5,703		0	5,803	-100	0	0	0	-100
54	CDK GLOBAL INC SHS	12508E101		7/18/2013	10/20/2015	1,667		0	941	726	0	0	0	726
55	CDK GLOBAL INC SHS	12508E101		7/18/2013	10/20/2015	49		0	19	30	0	0	0	30
56	CDK GLOBAL INC SHS	12508E101		12/19/2008	10/20/2015	49		0	14	35	0	0	0	35
57	CALIFORNIA RESOURCES	13057Q107		9/16/2015	4/4/2016	0		0	0	0	0	0	0	0
58	CALIFORNIA RES CORP COM	13057Q206		9/16/2015	6/10/2016	7		0	5	2	0	0	0	2
59	CALIFORNIA RES CORP COM	13057Q206		9/16/2015	8/10/2016	12		0	12	0	0	0	0	0
60	CENTURYLINK INC SHS	156700106		11/19/2015	1/4/2016	2,774		0	3,171	-397	0	0	0	-397
61	CITIGROUP INC COM NEW	172967424		7/20/2015	10/20/2015	5,244		0	5,852	-608	0	0	0	-608
62	CITIGROUP INC COM NEW	172967424		7/20/2015	8/12/2016	1,632		0	2,126	-494	0	0	0	-494
63	CITIGROUP INC COM NEW	172967424		9/16/2015	8/12/2016	2,902		0	3,370	-468	0	0	0	-468
64	DOUBLELINE TOTAL RETURN	258620103		11/19/2013	11/9/2015	3		0	3	0	0	0	0	0
65	DOUBLELINE TOTAL RETURN	258620103		11/1/2013	11/9/2015	19,556		0	19,827	-271	0	0	0	-271
66	DOUBLELINE TOTAL RETURN	258620103		11/1/2013	11/9/2015	15,567		0	15,782	-215	0	0	0	-215
67	DOUBLELINE TOTAL RETURN	258620103		11/1/2013	11/9/2015	11		0	11	0	0	0	0	0
68	DOUBLELINE TOTAL RETURN	258620103		10/31/2013	11/9/2015	3		0	0	3	0	0	0	3
69	DOUBLELINE TOTAL RETURN	258620103		10/31/2013	11/9/2015	497		0	505	-8	0	0	0	-8

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	2,595
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						1,014,238	0	5	1,035,602	-21,359	0	0	0	-21,359
70	DOUBLELINE TOTAL RETURN	258620103		9/30/2013	11/9/2015	476		0	482	-6	0	0	0	-6
71	DOUBLELINE TOTAL RETURN	258620103		2/27/2015	11/9/2015	1,264		0	1,289	-25	0	0	0	-25
72	DOUBLELINE TOTAL RETURN	258620103		9/30/2013	11/9/2015	11		0	11	0	0	0	0	0
73	DOUBLELINE TOTAL RETURN	258620103		9/13/2013	11/9/2015	11		0	11	0	0	0	0	0
74	DOUBLELINE TOTAL RETURN	258620103		9/13/2013	11/9/2015	11		0	11	0	0	0	0	0
75	DOUBLELINE TOTAL RETURN	258620103		4/30/2015	11/9/2015	1,459		0	1,485	-26	0	0	0	-26
76	DOUBLELINE TOTAL RETURN	258620103		11/19/2013	11/9/2015	56,279		0	57 110	-831	0	0	0	-831
77	DOUBLELINE TOTAL RETURN	258620103		10/4/2013	8/12/2016	11		0	11	0	0	0	0	0
78	DOUBLELINE TOTAL RETURN	258620103		11/19/2013	8/12/2016	9,811		0	9,829	-18	0	0	0	-18
79	DOUBLELINE TOTAL RETURN	258620103		11/19/2013	8/12/2016	613		1	614	0	0	0	0	0
80	DOUBLELINE TOTAL RETURN	258620103		11/19/2013	8/12/2016	5,979		0	5,990	-11	0	0	0	-11
81	DOUBLELINE TOTAL RETURN	258620103		9/13/2013	8/12/2016	11		0	11	0	0	0	0	0
82	AMERICAN EURO PACIFIC	29875E100		1/29/2014	11/9/2015	18,712		0	18,377	335	0	0	0	335
83	AMERICAN EURO PACIFIC	29875E100		12/29/2014	11/9/2015	3		0	3	0	0	0	0	0
84	FPA CRESCENT FUND	30254T759		7/2/2015	10/9/2015	10		0	11	-1	0	0	0	-1
86	FPA CRESCENT FUND	30254T759		7/2/2015	10/9/2015	128		0	135	-7	0	0	0	-7
86	FPA CRESCENT FUND	30254T759		12/23/2014	10/9/2015	160		0	169	-9	0	0	0	-9
87	FPA CRESCENT FUND	30254T759		9/20/2013	10/9/2015	1,358		0	1,328	30	0	0	0	30
88	FPA CRESCENT FUND	30254T759		7/18/2013	10/9/2015	33		0	30	3	0	0	0	3
89	FPA CRESCENT FUND	30254T759		7/18/2013	10/9/2015	3,809		0	3,667	142	0	0	0	142
90	FPA CRESCENT FUND	30254T759		2/20/2013	10/9/2015	5,002		0	4,470	532	0	0	0	532
91	FPA CRESCENT FUND	30254T759		7/2/2015	11/5/2015	16		0	16	0	0	0	0	0
92	FPA CRESCENT FUND	30254T759		11/19/2013	11/5/2015	2,623		0	2,597	26	0	0	0	26
93	FPA CRESCENT FUND	30254T759		11/19/2013	11/5/2015	7		0	7	0	0	0	0	0
94	FPA CRESCENT FUND	30254T759		9/20/2013	11/5/2015	19,203		0	18,495	708	0	0	0	708
95	FPA CRESCENT FUND	30254T759		7/2/2015	1/19/2016	29		0	34	-5	0	0	0	-5
96	FPA CRESCENT FUND	30254T759		7/2/2015	1/19/2016	29		0	33	-4	0	0	0	-4
97	FPA CRESCENT FUND	30254T759		12/22/2015	1/19/2016	957		0	1,015	-58	0	0	0	-58
98	FPA CRESCENT FUND	30254T759		12/22/2015	1/19/2016	17		0	21	-4	0	0	0	-4
99	FPA CRESCENT FUND	30254T759		12/22/2015	1/19/2016	99		0	123	-24	0	0	0	-24
100	FPA CRESCENT FUND	30254T759		12/22/2015	1/19/2016	29		0	33	-4	0	0	0	-4
101	FPA CRESCENT FUND	30254T759		11/19/2013	1/19/2016	16,971		0	19,480	-2,509	0	0	0	-2,509
102	FPA CRESCENT FUND	30254T759		12/23/2014	1/19/2016	1,334		0	1,559	-225	0	0	0	-225
103	FORTIVE CORP	34959J108		7/11/2016	7/11/2016	0		0	0	0	0	0	0	0
104	ISHARES SELECT	464287168		11/21/2014	10/20/2015	27,122		0	27,998	-876	0	0	0	-876
105	ISHARES MSCI EMERGING	464287234		11/19/2013	11/9/2015	14,932		0	18,317	-3,385	0	0	0	-3,385
106	ISHARES MSCI EMERGING	464287234		11/8/2013	11/9/2015	2,014		0	2,380	-366	0	0	0	-366
107	ISHARES MSCI EMERGING	464287234		11/1/2013	11/9/2015	5,556		0	6,791	-1,235	0	0	0	-1,235
108	ISHARES MSCI EMERGING	464287234		11/1/2013	11/9/2015	13,682		0	16,721	-3,039	0	0	0	-3,039
109	ISHARES MSCI EMERGING	464287234		9/27/2013	11/9/2015	5,834		0	6,921	-1,087	0	0	0	-1,087
110	ISHARES IBOXX $	464287242		11/19/2013	11/9/2015	13,047		0	13,036	11	0	0	0	11
111	ISHARES IBOXX $	464287242		7/18/2013	11/9/2015	13,734		0	13,773	-39	0	0	0	-39
112	ISHARES IBOXX $	464287242		8/6/2009	11/9/2015	24,721		0	22,170	2,551	0	0	0	2 551
113	ISHARES RUSSELL MIDCAP	464287499		11/1/2013	7/18/2016	2,767		0	2,299	468	0	0	0	468
114	EASTMAN CHEMICAL CO CO	277432100		2/23/2015	10/20/2015	4,772		0	5,179	-407	0	0	0	-407
115	EASTMAN CHEMICAL CO CO	277432100		2/23/2015	7/21/2016	929		0	989	-60	0	0	0	-60
116	AMERICAN EURO PACIFIC	29875E100		12/29/2014	11/9/2015	816		0	807	9	0	0	0	9
117	AMERICAN EURO PACIFIC	29875E100		6/6/2014	11/9/2015	5,662		0	6,007	-345	0	0	0	-345
118	JP MORGAN GLOBAL	48121L692		4/1/2015	10/9/2015	153		0	170	-17	0	0	0	-17
119	JP MORGAN GLOBAL	48121L692		1/29/2015	10/9/2015	10,304		0	10,424	-120	0	0	0	-120
120	JP MORGAN GLOBAL	48121L692		7/1/2015	10/9/2015	12		0	13	-1	0	0	0	-1
121	JP MORGAN GLOBAL	48121L692		1/4/2016	8/12/2016	302		0	289	13	0	0	0	13
122	JP MORGAN GLOBAL	48121L692		4/1/2016	8/12/2016	151		0	144	7	0	0	0	7
123	JP MORGAN GLOBAL	48121L692		7/1/2016	8/12/2016	386		0	371	15	0	0	0	15
124	JP MORGAN GLOBAL	48121L692		7/1/2016	8/12/2016	12		0	11	1	0	0	0	1
125	JP MORGAN GLOBAL	48121L692		4/1/2016	8/12/2016	17		0	16	1	0	0	0	1
126	JP MORGAN GLOBAL	48121L692		7/1/2015	8/12/2016	17		0	17	0	0	0	0	0
127	JP MORGAN GLOBAL	48121L692		12/21/2015	8/12/2016	50		0	48	2	0	0	0	2
128	JP MORGAN GLOBAL	48121L692		12/21/2015	8/12/2016	17		0	16	1	0	0	0	1
129	JP MORGAN GLOBAL	48121L692		1/29/2015	8/12/2016	40,140		0	39,565	575	0	0	0	575
130	JP MORGAN GLOBAL	48121L692		11/9/2015	8/12/2016	13,542		0	13,316	226	0	0	0	226
131	KINDER MORGAN INC DEL	49456B101		10/20/2015	1/4/2016	1,501		0	3,156	-1,655	0	0	0	-1,655
132	MFS GLOBAL ALTERNATIVE	55276T404		1/19/2016	7/26/2016	4,459		0	4,454	5	0	0	0	5
133	MFS GLOBAL ALTERNATIVE	55276T404		1/19/2016	7/26/2016	0		0	0	0	0	0	0	0
134	MENTOR GRAPHICS CORP	587200106		2/24/2014	1/4/2016	7,306		0	8,455	-1,149	0	0	0	-1,149
135	NRG ENERGY INC	629377508		9/16/2015	10/20/2015	3,191		0	3,992	-801	0	0	0	-801
136	NRG ENERGY INC	629377508		9/16/2015	1/4/2016	3,962		0	6,590	-2,628	0	0	0	-2,628
137	NEXTERA ENERGY INC SHS	65339F101		7/23/2010	7/18/2016	1,154		0	476	678	0	0	0	678
138	OPPENHEIMER FUNDAMENT	68380E841		12/4/2015	1/19/2016	103		0	110	-7	0	0	0	-7

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	2,595
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						1,014,238	0	5	1,035,602	-21,359	0	0	0	-21,359
139	OPPENHEIMER FUNDAMENT	68380E841		8/31/2015	1/19/2016	20,733		0	20,969	-236	0	0	0	-236
140	OPPENHEIMER FUNDAMENT	68380E841		12/29/2015	1/19/2016	51		0	55	-4	0	0	0	-4
141	OPPENHEIMER FUNDAMENT	68380E841		12/29/2015	1/19/2016	9		0	9	0	0	0	0	0
142	OPPENHEIMER FUNDAMENT	68380E841		12/29/2015	1/19/2016	27		0	27	0	0	0	0	0
143	PPG INDUSTRIES INC SHS	693506107		8/6/2009	7/18/2016	546		0	140	406	0	0	0	406
144	PRINCIPAL FINANCIAL GRP	74251V102		7/18/2013	7/21/2016	3,626		0	3,273	353	0	0	0	353
145	BOSTON PARTNERS LONG /	74925K581		12/17/2015	1/20/2016	752		0	787	-35	0	0	0	-35
146	BOSTON PARTNERS LONG /	74925K581		12/17/2015	1/20/2016	5		0	5	0	0	0	0	0
147	BOSTON PARTNERS LONG /	74925K581		10/9/2015	1/20/2016	9,720		0	10,487	-767	0	0	0	-767
148	BOSTON PARTNERS LONG /	74925K581		11/5/2015	1/20/2016	9,592		0	10,593	-1,001	0	0	0	-1,001
149	BOSTON PARTNERS LONG /	74925K581		11/5/2015	1/20/2016	14		0	15	-1	0	0	0	-1
150	ISHARES RUSSELL MIDCAP	464287499		11/1/2013	7/18/2016	2,421		0	2,011	410	0	0	0	410
151	JOHN HANCOCK GLOBAL AB	47804M878		1/19/2016	7/26/2016	2		0	2	0	0	0	0	0
152	JOHN HANCOCK GLOBAL AB	47804M878		1/19/2016	7/26/2016	3,992		0	4,088	-96	0	0	0	-96
153	JOHN HANCOCK GLOBAL AB	47804M878		1/19/2016	7/26/2016	7		0	7	0	0	0	0	0
154	JP MORGAN GLOBAL	48121L692		7/1/2015	10/9/2015	31		0	33	-2	0	0	0	-2
155	VANGUARD DIVIDEND	921908844		9/27/2013	11/9/2015	7,580		0	6,790	790	0	0	0	790
156	VANGUARD DIVIDEND	921908844		9/27/2013	11/9/2015	8,518		0	7,632	886	0	0	0	886
157	VANGUARD DIVIDEND	921908844		9/27/2013	11/9/2015	8,674		0	7,768	906	0	0	0	906
158	VANGUARD DIVIDEND	921908844		9/27/2013	11/9/2015	9,299		0	8,327	972	0	0	0	972
159	VANGUARD DIVIDEND	921908844		9/27/2013	1/19/2016	3,859		0	3,712	147	0	0	0	147
160	VANGUARD DIVIDEND	921908844		9/27/2013	1/19/2016	146		0	140	6	0	0	0	6
161	VANGUARD DIVIDEND	921908844		11/19/2013	1/19/2016	45,503		0	46,388	-885	0	0	0	-885
162	VANGUARD S&P 500 GROWT	921932505		9/27/2013	11/9/2015	7,076		0	5,383	1,693	0	0	0	1,693
163	VANGUARD S AND P 500	921932703		10/20/2015	11/9/2015	21,635		0	21,244	391	0	0	0	391
164	VANGUARD S AND P 500	921932703		10/20/2015	7/21/2016	5,885		0	5,479	406	0	0	0	406
165	VANGUARD S AND P 500	921932703		10/20/2015	8/12/2016	22,276		0	20,630	1,646	0	0	0	1,646
166	WSTN DIGITAL CORP DEL	958102105		6/26/2015	4/20/2016	1,740		0	3,379	-1,639	0	0	0	-1,639
167	WSTN DIGITAL CORP DEL	958102105		2/24/2014	4/20/2016	2,045		0	4,194	-2,149	0	0	0	-2,149
168	SEAGATE TECH PLC SHS	G7945M107		7/20/2015	4/20/2016	4,367		0	7,993	-3,626	0	0	0	-3,626
169	VALIDUS HOLDINGS LTD	G9319H102		9/13/2013	7/18/2016	2,624		0	1,960	664	0	0	0	664
170	LYONDELLBASELL INDUSTRI	N53745100		11/21/2014	8/12/2016	4,487		0	5,404	-917	0	0	0	-917
171	AVAGO TECHNOLOGIES LTD	Y0486S104		9/13/2013	1/6/2016	4,464		0	1,282	3,182	0	0	0	3,182
172	BROADCOM LTD	Y09827109		9/13/2013	4/28/2016	913		0	233	680	0	0	0	680
173	REGIONS FINL CORP	7591EP100		2/23/2015	7/18/2016	1,242		0	1,297	-55	0	0	0	-55
174	REGIONS FINL CORP	7591EP100		11/7/2013	7/18/2016	3,755		0	3,866	-111	0	0	0	-111
175	REGIONS FINL CORP	7591EP100		2/23/2015	8/12/2016	5,508		0	5,774	-266	0	0	0	-266
176	SHIRE PLC-ADR	82481R106		6/6/2016	6/16/2016	19		0	22	-3	0	0	0	-3
177	VANGUARD DIVIDEND	921908844		6/20/2013	11/9/2015	16,098		0	13,745	2,353	0	0	0	2,353
178	DOUBLELINE TOTAL RETURN	258620103		7/31/2014	11/9/2015	1,102		0	1,116	-14	0	0	0	-14
179	DOUBLELINE TOTAL RETURN	258620103		9/13/2013	11/9/2015	659		2	662	-1	0	0	0	-1
180	DOUBLELINE TOTAL RETURN	258620103		1/30/2015	11/9/2015	1,286		0	1,320	-34	0	0	0	-34
181	DOUBLELINE TOTAL RETURN	258620103		9/13/2013	11/9/2015	26,237		0	26,333	-96	0	0	0	-96
182	DOUBLELINE TOTAL RETURN	258620103		9/13/2013	11/9/2015	81,629		0	81,928	-299	0	0	0	-299
183	DOUBLELINE TOTAL RETURN	258620103		9/30/2015	11/9/2015	1,470		0	1,488	-18	0	0	0	-18
184	DOUBLELINE TOTAL RETURN	258620103		12/31/2014	11/9/2015	1,146		0	1,163	-17	0	0	0	-17
185	DOUBLELINE TOTAL RETURN	258620103		10/31/2014	11/9/2015	940		0	954	-14	0	0	0	-14
186	DOUBLELINE TOTAL RETURN	258620103		3/31/2015	11/9/2015	1,502		0	1,535	-33	0	0	0	-33
187	DOUBLELINE TOTAL RETURN	258620103		8/29/2014	11/9/2015	1,059		0	1,078	-19	0	0	0	-19
188	DOUBLELINE TOTAL RETURN	258620103		8/31/2015	11/9/2015	1,459		0	1,470	-11	0	0	0	-11
189	DOUBLELINE TOTAL RETURN	258620103		10/30/2015	11/9/2015	2		0	2	0	0	0	0	0
190	DOUBLELINE TOTAL RETURN	258620103		6/30/2015	11/9/2015	1,513		0	1,522	-9	0	0	0	-9
191	DOUBLELINE TOTAL RETURN	258620103		11/28/2014	11/9/2015	994		0	1,013	-19	0	0	0	-19
192	DOUBLELINE TOTAL RETURN	258620103		9/30/2014	11/9/2015	983		0	996	-13	0	0	0	-13
193	DOUBLELINE TOTAL RETURN	258620103		5/29/2015	11/9/2015	1,535		0	1,559	-24	0	0	0	-24
194	DOUBLELINE TOTAL RETURN	258620103		6/30/2014	11/9/2015	1,027		0	1,044	-17	0	0	0	-17
195	DOUBLELINE TOTAL RETURN	258620103		2/28/2014	11/9/2015	1,048		0	1,063	-15	0	0	0	-15
196	DOUBLELINE TOTAL RETURN	258620103		5/30/2014	11/9/2015	1,038		0	1,057	-19	0	0	0	-19
197	DOUBLELINE TOTAL RETURN	258620103		10/30/2015	11/9/2015	1,470		0	1,480	-10	0	0	0	-10
198	DOUBLELINE TOTAL RETURN	258620103		4/30/2014	11/9/2015	1,070		0	1,082	-12	0	0	0	-12
199	DOUBLELINE TOTAL RETURN	258620103		3/31/2014	11/9/2015	1,059		0	1,068	-9	0	0	0	-9
200	DOUBLELINE TOTAL RETURN	258620103		1/31/2014	11/9/2015	1,113		0	1,130	-17	0	0	0	-17
201	DOUBLELINE TOTAL RETURN	258620103		7/31/2015	11/9/2015	1,578		0	1,594	-16	0	0	0	-16
202	DOUBLELINE TOTAL RETURN	258620103		12/31/2013	11/9/2015	1,264		0	1,261	3	0	0	0	3
203	DOUBLELINE TOTAL RETURN	258620103		11/29/2013	11/9/2015	1,059		0	1,069	-10	0	0	0	-10
204	DOUBLELINE TOTAL RETURN	258620103		11/19/2013	11/9/2015	11		0	11	0	0	0	0	0

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

										0	0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	PHYLIS Y HSIA		14 PELICAN POINT DRIVE	NEWPORT COAST	CA	92657		PRESIDENT	1 00	0		
2	DAVID C HSIA		14 PELICAN POINT DRIVE	NEWPORT COAST	CA	92657		SECRETARY	1 00	0		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		1	
2	First quarter estimated tax payment	2/12/2016	2	389
3	Second quarter estimated tax payment	3/14/2016	3	482
4	Third quarter estimated tax payment	6/14/2016	4	73
5	Fourth quarter estimated tax payment		5	
6	Other payments		6	
7	Total		7	944

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2014 that remained undistributed at the beginning of the 2015 tax year	1	166,085
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	166,085