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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

10/01, 2015, and ending

09/30, 2016

Name of foundation THE HERB BLOCK FOUNDATION		A Employer identification number 26-0008276
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions)
1730 M STREET, NW	901	() -
City or town, state or province, country, and ZIP or foreign postal code WASHINGTON, DC 20036		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here. <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 50,257,404.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)					
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.					
3 Interest on savings and temporary cash investments.					
4 Dividends and interest from securities		721,734.	721,734.		ATCH 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-376,039.			
b Gross sales price for all assets on line 6a	4,470,959.				
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 2		21,028.			
12 Total. Add lines 1 through 11		366,723.	721,734.		
13 Compensation of officers, directors, trustees, etc.		359,289.			359,289.
14 Other employee salaries and wages					
15 Pension plans, employee benefits		35,109.			35,109.
16a Legal fees (attach schedule)		3,561.			3,561.
b Accounting fees (attach schedule)		17,786.	5,000.		12,786.
c Other professional fees (attach schedule) [3]		225,128.	146,114.		79,014.
17 Interest					
18 Taxes (attach schedule) (see instructions) [4]		101,302.			34,986.
19 Depreciation (attach schedule) and depletion		102,605.			
20 Occupancy		108,210.			108,210.
21 Travel, conferences, and meetings		12,056.			12,056.
22 Printing and publications		11,180.			11,180.
23 Other expenses (attach schedule) ATCH 5		722,010.	1,163.		720,847.
24 Total operating and administrative expenses. Add lines 13 through 23.		1,698,236.	152,277.		1,377,038.
25 Contributions, gifts, grants paid		1,255,000.			1,255,000.
26 Total expenses and disbursements. Add lines 24 and 25		2,953,236.	152,277.		2,632,038.
27 Subtract line 26 from line 12.		-2,586,513.			
a Excess of revenue over expenses and disbursements			569,457.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	259,394.	174,287.	174,287.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable.			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use.			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule).			
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule).			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans.				
13 Investments - other (attach schedule) ATCH 6	47,605,040.	48,820,785.	48,820,785.	
14 Land, buildings, and equipment basis ▶ 577,695.			ATCH 7	
Less: accumulated depreciation (attach schedule) ▶ 353,330.	231,984.	224,365.	224,365.	
15 Other assets (describe ▶ ATCH 8)	1,130,003.	1,037,967.	1,037,967.	
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	49,226,421.	50,257,404.	50,257,404.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable.			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons.			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	49,226,421.	50,257,404.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund.			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions).	49,226,421.	50,257,404.	
	31 Total liabilities and net assets/fund balances (see instructions)	49,226,421.	50,257,404.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).	1	49,226,421.
2 Enter amount from Part I, line 27a.	2	-2,586,513.
3 Other increases not included in line 2 (itemize) ▶ ATCH 9	3	3,617,496.
4 Add lines 1, 2, and 3	4	50,257,404.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	50,257,404.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-376,039.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	2,697,841.	53,031,488.	0.050872
2013	3,042,550.	49,066,198.	0.062009
2012	2,579,807.	47,328,568.	0.054508
2011	2,782,113.	44,549,145.	0.062450
2010	2,875,084.	52,887,820.	0.054362
2 Total of line 1, column (d)			2 0.284201
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.056840
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 48,836,290.
5 Multiply line 4 by line 3			5 2,775,855.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 5,695.
7 Add lines 5 and 6			7 2,781,550.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 2,632,038.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	11,389.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	11,389.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	11,389.
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	44,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	44,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	32,611.	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax <input type="checkbox"/> 12,000. Refunded <input type="checkbox"/>	11	20,611.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>HERBBLOCKFOUNDATION.ORG</u>	13	X	
14	The books are in care of <u>THE HERB BLOCK FOUNDATION</u> Telephone no <u>202-223-8801</u> Located at <u>1730 M STREET, NW SUITE 901 WASHINGTON, DC</u> ZIP+4 <u>20036</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. <u>15</u>			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>	16	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly):		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years <u></u>		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		359,289.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

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3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

Part IX-A Summary of Direct Charitable Activities

Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Amount

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	47,630,769.
b	Average of monthly cash balances	1b	686,889.
c	Fair market value of all other assets (see instructions)	1c	1,262,332.
d	Total (add lines 1a, b, and c)	1d	49,579,990.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	49,579,990.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	743,700.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	48,836,290.
6	Minimum investment return. Enter 5% of line 5	6	2,441,815.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,441,815.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	11,389.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	11,389.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,430,426.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,430,426.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,430,426.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,632,038.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,632,038.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,632,038.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,430,426.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20 <u>13</u> , 20 <u>12</u> , 20 <u>11</u>				
3 Excess distributions carryover, if any, to 2015:				
a From 2010	256,457.			
b From 2011	582,310.			
c From 2012	244,686.			
d From 2013	629,158.			
e From 2014	88,583.			
f Total of lines 3a through e	1,801,194.			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ <u>2,632,038.</u>				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount.				2,430,426.
e Remaining amount distributed out of corpus.	201,612.			
5 Excess distributions carryover applied to 2015. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	2,002,806.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	256,457.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	1,746,349.			
10 Analysis of line 9				
a Excess from 2011	582,310.			
b Excess from 2012	244,686.			
c Excess from 2013	629,158.			
d Excess from 2014	88,583.			
e Excess from 2015	201,612.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling **▶**

- b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(i)(3) or

4942(1)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2015

(b) 2014

(c) 2013

(d) 2012

(e) Total

- b** 85% of line 2a

- C** Qualifying distributions from Part XII, line 4 for each year listed . . .

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

- a "Assets" alternative test - enter**

- (1) Value of all assets . . .

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .

- C "Support" alternative test - enter**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization. . . .

- (4) Gross investment income.**

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

- ### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 12-22	NONE		GENERAL SUPPORT	1,255,000.
Total			▶ 3a	1,255,000.
b Approved for future payment				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
▼	

This image shows a single sheet of white paper with horizontal blue or grey ruling lines. A vertical line runs down the left side, creating a narrow margin. The paper appears to be from a notebook or a standard sheet of stationery. There are no markings, text, or drawings on the page.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

► MARCELA BRANE

01/11/2017

► PRESIDENT

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

MICHAEL S. OLER

Preparer's signature

MICHAEL S. OLER

Date

01/11/2017

Check		
-------	--	--

7 self-employed

PTIN

P00889622

Firm's name ► OLER TOOTSEY & ASSOCIATES CPAS, LLC

Firm's EIN ▶ 52-1792567

Firm's address ▶ 555 QUINCE ORCHARD ROAD, SUITE 530
GAITHERSBURG, MD

Phone no 301-320-0100

Form **990-PF** (2015)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS				79,042.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS				176,917.	
4,082.		STATE ST GOVT 3,462.				620.	
510,918.		STATE ST S&P 500 TOB FR 283,853.				227,065.	
300,000.		MONDRIAN/DELAWARE 561,688.				-261,688.	
1,000,000.		VANGUARD EMERG MKTS 1,612,576.				-612,576.	
500,000.		T ROWE PRICE 835,907.				-335,907.	
500,000.		BRIDGEWAY 351,211.				148,789.	
1,000,000.		FORESTER 772,613.				227,387.	
400,000.		LAZARD 402,613.				-2,613.	
		1607 CAP PARTNERS 23,075.				-23,075.	
TOTAL GAIN(LOSS)						<u>-376,039.</u>	

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

INVESTMENTS IN FOREIGN COUNTRIES

THE FOUNDATION INVESTS IN VARIOUS FUNDS WHICH HOLD INVESTMENTS IN VARIOUS COUNTRIES.

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
MONDRIAN (FORM. DEL)	107,884.	107,884.
VANGUARD	109,899.	109,899.
1607 CAPITAL	178,459.	178,459.
T ROWE PRICE	35,030.	35,030.
BRIDGEWAY	89,213.	89,213.
NORTHERN TRUST	24,199.	24,199.
LAZARD	160,375.	160,375.
VULCAN VALUE	16,675.	16,675.
TOTAL	<u>721,734.</u>	<u>721,734.</u>

ATTACHMENT 2FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
CARTOON REPRINT SALES	20,809.
ROYALTIES-CARTOONS	219.
TOTALS	<u>21,028.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	146,114.	146,114.	
PAYROLL FEES	5,208.		5,208.
COMPUTER CONSULTANTS	7,260.		7,260.
SCHOLARSHIP PROCESSING	54,957.		54,957.
OTHER CONSULTANTS	11,589.		11,589.
TOTALS	<u>225,128.</u>	<u>146,114.</u>	<u>79,014.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
PAYROLL	26,861.	26,861.
REAL ESTATE	8,087.	8,087.
OTHER	38.	38.
EXCISE	66,316.	
TOTALS	<u>101,302.</u>	<u>34,986.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
BANK FEES	1,163.	1,163.	
DUES & SUBSCRIPTIONS	18,949.		18,949.
INSURANCE	12,113.		12,113.
AWARDS & PRIZES	30,306.		30,306.
OFFICE & ADMIN EXPS	14,370.		14,370.
MEALS & ENTERTAINMENT	114,440.		114,440.
MARKETING/ADVERTISING	30,669.		30,669.
SCHOLARSHIPS	500,000.		500,000.
TOTALS	<u>722,010.</u>	<u>1,163.</u>	<u>720,847.</u>

ATTACHMENT 6FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
STATE STREET TRUST CO	6,655,280.	7,434,790.	7,434,790.
MONDRIAN (FORM. DEL)	3,934,059.	3,886,676.	3,886,676.
VANGUARD	5,895,238.	4,995,169.	4,995,169.
1607 CAPITAL	4,489,956.	4,853,985.	4,853,985.
T ROWE PRICE	2,297,802.	2,303,786.	2,303,786.
BRIDGEWAY FUNDS	3,302,183.	3,290,781.	3,290,781.
NORTHERN TRUST	1,180,466.	1,591,990.	1,591,990.
FORESTER DIV	13,962,476.	13,172,234.	13,172,234.
CARAVEL	820,533.	900,898.	900,898.
LAZARD FUNDS	2,933,123.	2,807,408.	2,807,408.
VULCAN VALUE PTNRS	2,133,924.	2,298,266.	2,298,266.
JOHCM FUNDS		1,284,802.	1,284,802.
TOTALS	<u>47,605,040.</u>	<u>48,820,785.</u>	<u>48,820,785.</u>

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENTATTACHMENT 7

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
FURNITURE & FIXTS	SL	6,387.			6,387.	6,387.			6,387.
CONDOMINIUM	SL	330,000.			330,000.	105,187.	8,250.		113,437.
FURNITURE & FIXTS	SL	38,992.			38,992.	38,992.			38,992.
CONDO-TRANE RTU	SL	9,602.			9,602.	8,240.	960.		9,200.
TRAV EXHIBIT SET 1	SL	46,638.			46,638.	46,638.			46,638.
TRAV EXHIBIT 2-4	SL	76,708.			76,708.	76,708.			76,708.
VIDEO	SL	198,705.			198,705.	119,226.	19,871.		139,097.
WEBSITE	SL	35,800.			35,800.	35,800.			35,800.
TRAV EXHIBIT SET 5	SL	58,495.			58,495.	58,495.			58,495.
TV & BLU RAY	SL	1,001.			1,001.	381.	143.		524.
DOCUMENTARY FILM	SL	1,000,000.			1,000,000.	120,833.	50,000.		170,833.
DVD PROD-EXHIBITS	SL	221,649.			221,649.	55,412.	22,165.		77,577.
3 COMPUTERS & SFTW	SL	2,921.			2,921.	876.	584.		1,460.
GIFTS ONLINE SETU	SL	4,000.			4,000.	857.	571.		1,428.
CONDO-WINDOW	SL		2,950.		2,950.		61.		61.
TOTALS		<u>2,030,898.</u>			<u>2,033,848.</u>	<u>674,032.</u>			<u>776,637.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SECURITY DEPOSIT	5,120.	5,120.	5,120.
VIDEO PRODUCTION, NET	79,479.	59,608.	59,608.
DOCUMENTARY FILM, NET	879,167.	829,167.	829,167.
WEBSITE, NET			
DVD PRODUCTION, NET	166,237.	144,072.	144,072.
TOTALS	<u>1,130,003.</u>	<u>1,037,967.</u>	<u>1,037,967.</u>

ATTACHMENT 9FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED GAIN ON INVESTMENTS

3,617,496.

TOTAL

3,617,496.

THE HERB BLOCK FOUNDATION

26-0008276

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JEAN J. RICKARD 1730 M STREET, NW 901 WASHINGTON, DC 20036	EXEC DIR EMERITAS & VP 40.00	112,772.	0.	0.
ROBIN MESZOLY 1730 M STREET, NW 901 WASHINGTON, DC 20036	TREASURER 1.00	0.	0.	0.
MARCELA BRANE 1730 M STREET, NW 901 WASHINGTON, DC 20036	PRESIDENT 40.00	126,432.	0.	0.
SARAH ARMSTRONG ALEX 1730 M STREET, NW 901 WASHINGTON, DC 20036	EXEC DIRECTOR 40.00	120,085.	0.	0.
ATHELIA KNIGHT 1730 M STREET, NW 901 WASHINGTON, DC 20036	DIRECTOR 1.00	0.	0.	0.

MHS075 M175

V 15-7.15

ATTACHMENT 10

THE HERB BLOCK FOUNDATION

26-0008276

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ROBERT ASHER 1730 M STREET, NW 901 WASHINGTON, DC 20036	DIRECTOR 1.00	0.	0.	0.
JANE ASHER 1730 M STREET, NW 901 WASHINGTON, DC 20036	DIRECTOR 1.00	0.	0.	0.
JILL HAMMER STANLEY 1730 M STREET, NW 901 WASHINGTON, DC 20036	DIRECTOR 1.00	0.	0.	0.
DONNA CANZANO MCNULTY 1730 M STREET, NW 901 WASHINGTON, DC 20036	CHAIRMAN OF THE BOARD 1.00	0.	0.	0.
LAURIE STRAYER 1730 M STREET, NW 901 WASHINGTON, DC 20036	DIRECTOR 1.00	0.	0.	0.

MHS075 M175

V 15-7.15

ATTACHMENT 10

THE HERB BLOCK FOUNDATION

26-0008276

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ROGER WILKINS 1730 M STREET, NW 901 WASHINGTON, DC 20036	DIRECTOR 1.00	0.	0.	0.
CLARENCE PAGE 1730 M STREET, NW 901 WASHINGTON, DC 20036	DIRECTOR 1.00	0.		0.
LAURA HUTCHISON 1730 M STREET, NW 901 WASHINGTON, DC 20036	DIRECTOR 1.00	0.	0.	0.
RAYMOND BONIESKIE 1730 M STREET, NW 901 WASHINGTON, DC 20036	DIRECTOR 1.00	0.	0.	0.
LYNDA BONIESKIE 1730 M STREET, NW 901 WASHINGTON, DC 20036	SECRETARY 1.00	0.	0.	0.
GRAND TOTALS		359,289.	0.	0.

MHS075 M175

V 15-7.15

ATTACHMENT 10

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
CAMBRIDGE ASSOCIATES LLC PO BOX 83232 CHICAGO, IL 60691-0232 INVESTMENT MANAGER	INVESTMENT MGR	98,658.
INTERNATIONAL SCHOLARSHIP 1321 MURFREESBORO DR #800 NASHVILLE, TN 37217 PROCESS SCHOLARSHIPS - ABOVE AMOUNT INCLUDES SCHOLARSHIP FUNDS	PROCESS SCHOLARSHIPS	554,020.
RAZME INC 140 CAMERON STREET ALEXANDRIA, VA 22304 EVENT PLANNER	EVENT PLANNER	60,748.
TOTAL COMPENSATION		<u>713,426.</u>

10/1/2015-09/30/2016 tax grantees list

11/21/2016

Organization	Project Title	Paid Amount
826DC 3333 14th St. NW Suite M120 Washington, DC 20010	General Operating Support	\$10,000.00 ✓
A.M.E. Capital Endowment Trust 1518 M Street, NW Washington, DC 20005-1771	Restoration Project	\$5,000.00
AARP Foundation 601 E Street NW Washington, DC 20049	Experience Corps' DC	\$10,000.00
Adirondack Railway Preservation Society, Inc. 421 Broad Street Suite 7 Utica, NY 13501		\$2,500.00
Africa America Institute 420 Lexington Avenue Suite 1706 New York, NY 10170	general operating	\$5,000.00
Alliance for Young Artists & Writers 557 Broadway New York, NY 10012	Sponsorship of Editorial Cartooning category	\$100,000.00
American Cancer Society 7500 Greenway Center Drive Suite 300 Greenbelt, MD 20770		\$3,000.00
American Foundation for Suicide Prevention 120 Wall Street 29th Floor New York, NY 10005	to support DOC warriors team in the Out of darkness Walk	\$3,000.00
American Red Cross National Capital Region 8550 Arlington Blvd Fairfax, VA 22031	2016 Louisiana Flooding	\$25,000.00
American Red Cross National Capital Region 8550 Arlington Blvd Fairfax, VA 22031	2016 Louisiana Flooding relief work	\$1,000.00
American Refugee Committee 615 1st Avenue, NE Suite 500 Minneapolis, MN 55413-2681	Syria work	\$3,000.00
America's Second Harvest of the Big Bend 4446 Entrepot Blvd. Tallahassee, FL 32310	Senior Program	\$3,500.00

STMT 12

Organization	Project Title	Paid Amount
America's Second Harvest of the Big Bend 4446 Entrepot Blvd. Tallahassee, FL 32310	backpack program	\$3,500.00
Arkansas Public Policy Panel 1308 West Second Street Little Rock, AK 72201	General Operating Support	\$15,000.00
Asian American Legal Defense and Education Fund 99 Hudson Street 12th Floor New York,, NY 10013-2815	Encouraging the Civic Participation of Asian Americans	\$10,000.00
Aspire! Afterschool Learning PO Box 41318 Arlington, VA 22204	Aspire! Afterschool Learning - General Operating Support (2)	\$10,000.00
Association of American Editorial Cartoonists 3899 N. Front Street Harrisburg, PA 17110	AAEC Convention	\$15,000.00
AYUDA, Inc. 6925B Willow Street, NW Washington, DC 20012	general operating support	\$10,000.00
Bethany Beach Volunteer Fire Company 215 Hollywood Street PO Box 950 Bethany Beach, DE 19930	2016 Discretionary Fund for Jean Rickard	\$500.00
Bright Beginnings 128 M Street NW Washington, DC 20001	General Operating Support	\$10,000.00
BUILD 1763 Columbia Road, NW Suite 100 Washington, DC 20009	General Support for BUILD Metro DC	\$10,000.00
Campaign for the Fair Sentencing of Youth 1319 F St. NW Suite 303 Washington, DC 20004	general operating support	\$15,000.00
Capital Area Food Bank 4900 Puerto Rico Ave NE Washington, DC 20017	2016 Discretionary Fund for Jean Rickard	\$1,500.00
Capital Hospice 2900 Telestar Court Falls Church, VA 22042	2016 Discretionary Fund for Jean Rickard	\$500.00
Capital Partners for Education 1413 K Street, NW, Third Floor Washington, DC 20005	General Operating Support	\$15,000.00
CARE USA PO Box 7039 Merrifield, VA 22116	2016 Discretionary grant by Sarah Alex	\$2,000.00

Organization	Project Title	Paid Amount
Cartoonists Rights Network International No. 7272 Fairfax Station, VA 22039-7272	Emergency Fund for Cartoonists in Trouble	\$10,000.00
Cartoonists Rights Network International No. 7272 Fairfax Station, VA 22039-7272	general operating	\$2,000.00
CASA Court Appointed Special Advocates for Children 515 M Street, SE Suite 200 Washington, DC 20003	General Operating Support	\$10,000.00
CASA of Maryland, Inc. 8151 15th Avenue Hyattsville, MD 20783	CASA's 2016 Non-Partisan Virginia Electoral Program	\$15,000.00
Center for Community Alternatives 25 Chapel Street 7th Floor Brooklyn, NY 11201	Expanding Access to Higher Education	\$10,000.00
Center for Democracy and Technology 1401 K Street NW Suite 200 Washington, DC 20005 Washington, DC 20006	Freedom, Security, & Technology - Digital Fourth Amendment Project	\$10,000.00
CFYJ 1220 L St NW Suite 605 Washington, District of Columbia 20005	They're kids, not criminals: Ending the prosecution of youth in adult court	\$10,000.00
Chess Challenge in DC 5185 MacArthur Blvd., NW #620 Washington, DC 20016	Chess Challenge in DC Academic Enrichment Program for Middle School Students	\$10,000.00
Children's Home Society of Florida North Central Division 1801 Miccosukee Commons Drive Tallahassee, FL 32308	adoption unit	\$1,000.00
Children's Rights 88 Pine Street, Suite 800 New York, NY 10005	Improving Child Welfare Systems	\$15,000.00
City Year Washington DC 1875 Connecticut Ave NW, Suite 1130 Washington, DC 20009	General Operating Support	\$20,000.00
Committee to Protect Journalists 330 Seventh Avenue, 11th floor New York, NY 10001	general operating	\$1,500.00
Corporation for Educational Radio and Television 1520 York Avenue Suite 7A New York, NY 10028	2016 discretionary from Clarence Page	\$3,000.00

Organization	Project Title	Paid Amount
Corporation for Educational Radio and Television 1520 York Avenue Suite 7A New York, NY 10028	2016 Discretionary Fund for Clarence Page	\$3,000.00
Court Watch NOLA P.O. Box 750633 New Orleans, LA 70175	2016 Discretionary Grant by Sarah Alex	\$2,000.00
CREATE FOUNDATION 213 West Main Street Tupelo, MS 38804	2016 Discretionary Fund for Athelia Knight	\$1,000.00
Critical Exposure 1816 12th Street, NW Third Floor Washington, DC 20009	Critical Exposure	\$10,000.00
Crittenton Services of Greater Washington 815 Silver Spring Avenue Silver Spring, MD 20910	General Operating Support	\$15,000.00
Cystic Fibrosis Foundation 6939 Arlington Road Suite B Bethesda, MD 20814		\$10,000.00
Cystic Fibrosis Foundation 6939 Arlington Road Suite B Bethesda, MD 20814	Martin Miller xtreme hike	\$5,000.00
D.C. Central Kitchen 425 Second Street NW Washington, DC 20001	The Culinary Job Training Program	\$15,000.00
Dallas Area Interfaith Sponsoring Committee 1104 Lupo Dr. Dallas, TX 75007	General Operating Support	\$10,000.00
DC Appleseed Center for Law and Justice, Inc. 1111 14th Street, NW Suite 510 Washington, DC 20005	D.C. Voting Rights	\$15,000.00
DC SCORES 1224 M Street, NW Suite 200 Washington, DC 20005	DC SCORES	\$10,000.00
DC Volunteer Lawyers Project 5335 Wisconsin Avenue NW Suite 440 Washington, DC 20015	Domestic Violence Program	\$10,000.00
Donors Choose 213 West 35th Street 2nd Floor East New York, NY 10001	2 projects -	\$2,000.00

STMT 15

Organization	Project Title	Paid Amount
Doorways for Women and Families P.O. Box 100185 Arlington, VA 22210	Financial Independence Track (FIT)	\$10,000.00
DREDF 3075 Adeline Street Suite 210 Berkeley, CA 94703	Keeping Youth in School and Out of Juvenile Justice	\$10,000.00
ECHO Tallahassee 702 West Madison Street Tallahassee, FL 32304	general operating	\$1,000.00
First Star 901 K Street NW Suite 700 Washington, DC 20001	First Star Greater Washington Academy	\$10,000.00
Food & Friends 219 Riggs Road, NE Washington, DC 20011	2016 Discretionary Fund for Jean Rickard	\$500.00
Free Minds Book Club & Writing Workshop 2201 P Street, NW Washington, DC 20037	General Operating Support	\$20,000.00
Friends of Conservation 700 Commerce Drive Suite 500 Oak Brook, IL 60523	general operating	\$5,000.00
Friends of Guest House, Inc. One East Luray Avenue Alexandria, VA 22301	General Operating Support	\$10,000.00
Greater Boston Legal Services 197 Friend Street Boston, MA 02114	Refugees and Immigrants Protection Project	\$15,000.00
Herb Block Foundation 1730 M Street NW Suite 901 Washington, DC 20036	TEST	\$0.00
Higher Achievement Program 317 8th Street, NE Washington, DC 20002-6107	General Operating Support	\$10,000.00
Homeless Children's Playtime Project 1525 Newton Street, NW Washington, DC 20010	Teen Program	\$10,000.00
Hope for Children U.S. 5801 Searl terrace Bethesda, MD 20816	general operating	\$4,000.00
Horton's Kids 100 Maryland Avenue NE, Suite 520 Washington, DC 20002	Horton's Kids' Older Youth Program	\$15,000.00
House of Ruth 5 Thomas Circle, NW Washington, DC 20005	2016 Discretionary Fund for Jean Rickard	\$500.00

STMT 16

Organization	Project Title	Paid Amount
Howard County Food Bank c/o Howard County Community Action Council 6751 Columbia Gateway Drive Columbia, MD 21046	general operating	\$7,000.00
Human Rights First 75 Broad Street 31st Floor New York, NY 10004	Protecting and Promoting Human Rights	\$15,000.00
Intercambio Uniting Communities 4735 Walnut Street, Suite B Boulder, CO 80301	Supporting Immigrants' Full Participation in Local Communities	\$10,000.00
Iowa Citizens for Community Improvement 2001 Forest Avenue Des Moines, IA 50311	General Operating Support	\$10,000.00
Jane's Due Process P.O. Box 685137 Austin, TX 78768-5137	general operating	\$5,000.00
Jubilee Jobs, Inc. 2712 Ontario Road, NW Washington, DC 20009	general operating support	\$15,000.00
Junior Achievement of Greater Washington 1050 17th Street NW, Suite 750 Washington, DC 20036	JA Finance Park® Prince George' County	\$10,000.00
Justice at Stake 1220 19th Street, NW Suite 320 Washington, DC 20036	Critical Research to Advance Diversity on the Bench	\$10,000.00
Latino Student Fund P.O. Box 5403 Washington, DC 20016	Listo Cohort College Prep Program	\$5,000.00
Lawyers' Committee for Civil Rights Under Law 1401 New York Avenue, NW, Suite 400 Washington, DC 20005	Voting Rights Project	\$15,000.00
Legal Aid Society of the District of Columbia 1331 H Street, NW, Suite 350 Washington, DC 20005	General Operating	\$10,000.00
Liberty's Promise 2900A Jefferson Davis Hwy. Alexandria, VA 22305-3023	general operating support	\$15,000.00
Library of Congress Millennium Foundation 101 Independence Avenue, SE Washington, DC 20540-1400	Graphic Novels Programming at the National Book Festival	\$2,000.00
Literacy Council Of Montgomery County Maryland Inc 21 Maryland Ave. Suite 320 Rockville, MD 20850	Adult Literacy Programs	\$10,000.00

STMT 17

Organization	Project Title	Paid Amount
Literacy Council of Northern Virginia 2855 Annandale Road Falls Church, VA 22042	Beginning-level English Classes	\$10,000.00
Live It Learn It 735 8th Street, SE, Suite 300 Washington, DC 20003	general operating support	\$15,000.00
Living Classrooms of the National Capital Region 515 M Street, SE Building 74 Suite 222 Washington, DC 20003	Fresh Start Program for Underserved Youth and Young Adults	\$15,000.00
Louisiana Center For Children's Rights 1100-B Milton Street New Orleans, LA 70122	Eliminating Juvenile Life Without Parole in Louisiana	\$10,000.00
Main Street Child Development Center 4401 Sideburn Road Fairfax, VA 22030	General Operating Support	\$10,000.00
Make A Wish Foundation Gift Processing center PO Box 97104 Washington, DC 20090-7104	2016 Discretionary Fund for Jean Rickard	\$500.00
Marine Education Research and Rehabilitation 801 Pilottown Road Lewes, DE 19958	2016 discretionary for Laura Hutchison	\$10,000.00
Men Can Stop Rape 1130 6th Street, NW Suite 100 Washington, DC 20001	Building Community through Youth Engagement	\$15,000.00
Montgomery College Foundation 40 West Gude Dr. Suite 220 Rockville, MD 20850	Achieving Collegiate Excellence and Success (ACES)	\$10,000.00
Multicultural Career Intern Program Bell Multicultural High School 3101 16th Street, NW Washington, DC 20010		\$500.00
NAACP Legal Defense Fund 40 Rector Street 5th Floor New York, NY 10006	general operating	\$5,000.00
National Juvenile Justice Network PO Box 43740 Washington, DC 20004	Youth Justice Leadership Institute	\$15,000.00
National Law Center on Homelessness & Poverty 2000 M Street, NW Suite 210 Washington, DC 20036	Ending Unconstitutional Policies Criminalizing Homelessness and Campaigning for the Right to Housing	\$10,000.00

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Organization	Project Title	Paid Amount
National Network of Abortion Funds P.O. Box 170280 Boston, MA 02117	general operating support	\$10,000.00
National Religious Campaign Against Torture (NRCAT) 110 Maryland Avenue, NW, Suite 502 Washington, DC 20002	Ending U.S.-Sponsored Torture -- From Guantanamo Bay to Domestic Prisons	\$15,000.00
Nativity Preparatory School 39 Lamartine Street Jamaica Plain, MA 02130	general operating	\$5,000.00
New Mexico Environmental Law Center 1405 Luisa St. Suite 5 Santa Fe, NM 87505	Breathe In New Mexico - Albuquerque/Bernalillo County Air Quality Project	\$5,000.00
New York Immigration Coalition 137-139 West 25th St. (12th floor) New York, NY 10001	The 2016 Immigrants Vote! Campaign	\$15,000.00
Norfolk State University Dr. Carl Haywood Norfolk State University 700 Park Avenue Norfolk, VA 23504	General Scholarship Fund	\$1,000.00
Northern Virginia Community College Education Foundation 4001 Wakefield Chapel Road Annandale, VA 22003	Joseph Windham Memorial Scholarship	\$500.00
Northern Virginia Family Service 10455 White Granite Drive Suite 100 Oakton, VA 22124	Training Futures	\$10,000.00
One World Education 1800 Kenyon St, NW Washington, DC 20010	general operating support	\$10,000.00
Open City Advocates (formerly Mentoring Today) 4202 Benning Road, NE, #2 Washington, DC 20019	general operating support	\$10,000.00
Operation Seas the Day, Inc PO Box 811 Bethany Beach, DE 19930	2016 Discretionary Fund for Jean Rickard	\$1,000.00
Operation Seas the Day, Inc PO Box 811 Bethany Beach, DE 19930	general operating	\$1,000.00
Organizing Neighborhoods For Equality Northside 4648 N. Racine Avenue Chicago, IL 60618	General Operating Support	\$10,000.00
Our Daily Bread 4080 Chain Bridge Road Fairfax, VA 22030	2016 Discretionary Fund for Jean Rickard	\$1,500.00

Sept 19

Organization	Project Title	Paid Amount
PANDAS Network 655 Oak Grove Avenue #1373 Menlo Park, CA 94026	general operating	\$3,000.00
PANDAS Network 655 Oak Grove Avenue #1373 Menlo Park, CA 94026	2016 Discretionary grant by Sarah Alex	\$2,000.00
PAVE 233 S. Wacker Drive 84th Floor Chicago, IL 60606	general operating	\$2,000.00
Pen American Center, Inc 588 Broadway, Suite 303 New York, NY 10012	PEN American Center Free Expression Programs	\$10,000.00
Polaris Project PO Box 65323 Washington, DC 20035	general operating	\$5,000.00
Prison Birth Project 400 Dwight St Holyoke, MA 01040	2016 Discretionary Grant by Sarah Alex	\$2,000.00
Prison Policy Initiative PO Box 127 Northampton, MA 01061	Ending prison gerrymandering	\$10,000.00
Project Mercy 7011 Ardmore Avenue Fort Wayne, IN 46809	general operating	\$4,000.00
Reporters without Borders 1500 K Street, NW Suite 600 Washington, DC 20005	general operating support	\$15,000.00
School Justice Project 1805 7th Street, NW 7th Floor Washington, DC 20001	general operating support	\$10,000.00
SOME 71 O Street, NW Washington, DC 20001	SOME Center for Employment Training	\$10,000.00
SOME 71 O Street, NW Washington, DC 20001	2016 Discretionary Fund for Jean Rickard	\$1,500.00
SOME 71 O Street, NW Washington, DC 20001	general operating	\$5,000.00
Southern Center for Human Rights 83 Poplar Street, NW Atlanta, GA 30303-2122	general operating support	\$25,000.00
St. Ann's Center for Youth, Children, and Families 4901 Eastern Avenue Hyattsville, MD 20782-3301	general operating	\$5,000.00

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Organization	Project Title	Paid Amount
St. Jude Children's Research Hospital 501 St. Jude Place Memphis, TN 38105	2016 Discretionary Fund for Jean Rickard	\$500.00
Tahirih Justice Center 6402 Arlington Blvd. Suite 300 Falls Church, VA 22042	general operating support	\$10,000.00
Teens Run DC 2607 Bowen Road, SE Washington, DC 20020		\$1,000.00
Tennis in Africa 3050 Oak Meadow Drive Snellville, GA 30078	general operating	\$3,000.00
The Excel Institute 1235 Kenilworth Avenue, NE Unit #1 Washington, DC 20019	general operating support	\$10,000.00
The Farmworker Association of Florida 1264 Apopka Boulevard Apopka, FL 32703	Defending Immigrants' Rights Project	\$10,000.00
The Gridiron Club and Foundation 529 14th Street NW 976 National Press Building Washington, DC 20045	general operating	\$2,500.00
The Patricia M. Sitar Center for the Arts 1700 Kalorama Road NW Suite 101 Washington, DC 20009	The Arts for Teens Initiative	\$10,000.00
The Sterling Playmakers P.O. Box 1611 Sterling, VA 20167	general operating	\$1,500.00
The Urban Alliance Foundation, Inc. 2030 Q Street NW Washington, DC 20009	intern partnership	\$10,000.00
The Working Group/Not In Our Town PO Box 70232 Oakland, CA 94612	Not In Our Town	\$15,000.00
Turning the Page 1625 K Street NW, Suite 102 Washington, DC 20036	General Operating Support	\$10,000.00
University of Notre Dame 1100 Grace Hall Notre Dame, IN 46556-5612	William and Mary Shields Scholarship	\$5,000.00
Vera Institute of Justice Common Justice 540 Atlantic Avenue 4th Floor Brooklyn, NY 11217	Common Justice	\$10,000.00

STMT 21

Organization	Project Title	Paid Amount
Violence Policy Center 1730 Rhode Island Avenue, NW Suite 1014 Washington, DC 20036	general operating support	\$20,000.00
Violence Policy Center 1730 Rhode Island Avenue, NW Suite 1014 Washington, DC 20036	general operating	\$10,000.00
Washington Association of Black Journalists PO Box 2683 Washington, DC 20013	Urban Journalism Workshop for high school students	\$1,000.00
Washington Lawyers' Committee for Civil Rights and Urban Affairs 11 Dupont Circle, NW Suite 400 Washington, DC 20036	DC Prisoners Project	\$10,000.00
Washington Tennis & Education Foundation The William H.G. Fitzgerald Tennis Center 16th and Kennedy Streets, NW Washington, DC 20011	Center for Excellence Program	\$10,000.00
Wounded Warrior Project PO Box 758517 Topeka, KS 66675	2016 Discretionary Fund for Jean Rickard	\$500.00
Grand Totals		\$1,255,000.00

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