Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning 10/01 , 2015, and ending 09/30, 2016

Name of foundation: THE ROBERT G. & JANE V. ENGEL FOUNDATION INC.

A Employer identification number: 22-2764445

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 42 - 80 WEARIMUS ROAD

Room/suite:

B Telephone number (see instructions): ( ) -

City or town, state or province, country, and ZIP or foreign postal code: HO-HO-KUS, NJ 07423-0042

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 896,701.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	108,390.			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	24,367.	24,367.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	111,331.			
	b Gross sales price for all assets on line 6a 589,485.				
	7 Capital gain net income (from Part IV, line 2)		111,331.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 2	18,148.			
	12 Total. Add lines 1 through 11	262,236.	135,700.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 3	14,420.			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)[4]	10,232.	1,506.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	12,571.	12,571.		
	24 Total operating and administrative expenses. Add lines 13 through 23	37,223.	14,077.		
	25 Contributions, gifts, grants paid	264,000.			264,000.
	26 Total expenses and disbursements Add lines 24 and 25	301,223.	14,077.	0.	264,000.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-38,987.			
	b Net investment income (if negative, enter -0-)		121,623.		
	c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	15,724.	35,452.	35,452.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 6	832,102.	673,202.	731,427.
	c	Investments - corporate bonds (attach schedule) ATCH 7	25,518.	25,518.	25,910.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 8	106,513.	106,513.	103,912.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	979,857.	840,685.	896,701.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	979,857.		
	30	**Total net assets or fund balances** (see instructions)	979,857.	0.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	979,857.	840,685.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	979,857.
2	Enter amount from Part I, line 27a	2	-38,987.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	940,870.
5	Decreases not included in line 2 (itemize) ▶ ATCH 9	5	100,185.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	840,685.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	111,331.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	276,744.	1,252,009.	0.221040
2013	280,415.	1,306,138.	0.214690
2012	277,678.	1,271,753.	0.218343
2011	303,041.	1,343,564.	0.225550
2010	306,710.	1,501,798.	0.204229

2	**Total** of line 1, column (d)	2	1.083852
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.216770
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,030,784.
5	Multiply line 4 by line 3	5	223,443.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,216.
7	Add lines 5 and 6	7	224,659.
8	Enter qualifying distributions from Part XII, line 4	8	264,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	1,216.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,216.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,216.
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 3,726.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	3,726.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,510.
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶ 2,510. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JANE V. ENGEL Telephone no ▶ 201-445-4248

Located at ▶ 80 WEARIMUS RD. HO-HO-KUS, NJ ZIP+4 ▶ 07423

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ [X]

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? [ ] Yes [X] No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? [ ] Yes [X] No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? [ ] Yes [X] No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? [ ] Yes [X] No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? [ ] Yes [X] No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) [ ] Yes [X] No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ [ ]			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? [ ] Yes [X] No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? [ ] Yes [X] No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . . **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☐ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 . . . ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	976,803.
b	Average of monthly cash balances	1b	69,678.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,046,481.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,046,481.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	15,697.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,030,784.
6	**Minimum investment return.** Enter 5% of line 5	6	51,539.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	51,539.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,216.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,216.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	50,323.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	50,323.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	50,323.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	264,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	264,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,216.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	262,784.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					50,323.
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only					
b Total for prior years 20 13 ,20 12 ,20 11					
3 Excess distributions carryover, if any, to 2015					
a From 2010	237,200.				
b From 2011	242,171.				
c From 2012	220,284.				
d From 2013	217,750.				
e From 2014	220,656.				
f Total of lines 3a through e		1,138,061.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 264,000.					
a Applied to 2014, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see instructions)					
c Treated as distributions out of corpus (Election required - see instructions)					
d Applied to 2015 distributable amount					50,323.
e Remaining amount distributed out of corpus		213,677.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,351,738.			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see instructions					
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions					
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)		237,200.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		1,114,538.			
10 Analysis of line 9					
a Excess from 2011	242,171.				
b Excess from 2012	220,284.				
c Excess from 2013	217,750.				
d Excess from 2014	220,656.				
e Excess from 2015	213,677.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 11

b The form in which applications should be submitted and information and materials they should include

LETTER, NO OTHER REQUIREMENTS

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 12				
Total . . . . . . ▶ **3a**				264,000.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	24,367.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	111,331.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 13				18,148.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				153,846.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 153,846.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Jane V. Engel — 7/16/17 — OFFICER Trustee

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Kelli H Archibald	Kelli H Archibald	07/10/17		P00180332

Firm's name ▶ ERNST & YOUNG U.S. LLP — Firm's EIN ▶ 34-6565596

Firm's address ▶ 2 NORTH CENTRAL AVENUE SUITE 2300 PHOENIX, AZ 85004 — Phone no 602-322-3017

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs gov/form990.*

OMB No 1545-0047

2015

Name of the organization
THE ROBERT G. & JANE V. ENGEL FOUNDATION INC.

Employer identification number
22-2764445

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE ROBERT G. & JANE V. ENGEL FOUNDATION INC.

Employer identification number 22-2764445

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JANE V. ENGEL 80 WEARIMUS ROAD, PO BOX 42 HO-HO-KUS, NJ 07423-0042	$ 108,390.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization THE ROBERT G. & JANE V. ENGEL FOUNDATION INC.

Employer identification number 22-2764445

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	420 SHS KRAFT HEINZ CO	$ 32,458.	04/21/2016

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1,786 SHS MONDELEZ INTL INC COM	$ 75,932.	04/21/2016

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization THE ROBERT G. & JANE V. ENGEL FOUNDATION INC.

Employer identification number 22-2764445

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year. (Enter this information once See instructions.) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					745.	
		LOSS FROM SALE OF PUBLICLY TRADED SECURI					VARIOUS	VARIOUS
221,375.		228,659.					-7,284.	
		GAIN FROM SALE OF PUBLICLY TRADED SECURI					VARIOUS	VARIOUS
367,365.		249,495.					117,870.	
TOTAL GAIN(LOSS)							111,331.	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MORGAN STANLEY SB#100383-090- DIV	6,701.	6,701.
MORGAN STANLEY SB#100383-090- INT	1,615.	1,615.
MORGAN STANLEY SB#100385-090- DIV	6,162.	6,162.
MORGAN STANLEY SB#100385-090- INT	1.	1.
MORGAN STANLEY SB#100386-090- DIV	5,341.	5,341.
MORGAN STANLEY SB#100386-090- INT	1.	1.
MORGAN STANLEY SB#124549-090- DIV	4,545.	4,545.
MORGAN STANLEY SB#124549-090- INT	1.	1.
TOTAL	24,367.	24,367.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
2014 EXCISE TAX O/P/A TO 2015	3,726.	
OTHER INCOME-TAX PREP FEES PAID	14,420.	
OTHER INCOME	2.	2.
TOTALS	18,148.	2.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ERNST & YOUNG TAX PREPARATION	14,420.			
TOTALS	14,420.			

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAX PAID	1,506.	1,506.
2014 EXCISE TAX O/P/A TO 2015	3,726.	
2014 EXCISE TAX EXTENSION	5,000.	
TOTALS	10,232.	1,506.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK FEES	12,270.	12,270.
OTHER EXPENSES	301.	301.
TOTALS	12,571.	12,571.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MSSB #100385-090 SEE STATEMENT	210,262.	237,498.
MSSB #100386-090 SEE STATEMENT	252,409.	269,864.
MSSB #124549-090 SEE STATEMENT	210,531.	224,065.
TOTALS	673,202.	731,427.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MSSB #100383-090 SEE STATEMENT	25,518.	25,910.
TOTALS	25,518.	25,910.

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MSSB #100383-090 (ETF/CEF) SEE STATEMENT	19,513.	20,792.
MSSB #100383-090(MUTUAL FUND) SEE STATEMENT	87,000.	83,120.
TOTALS	106,513.	103,912.

Account Detail

Active Assets Account
615-100383-090

ROBERT G & JANE V ENGEL FND IN
C/O JANE V ENGEL

INTERESTED PARTY COPY

Investment Objectives †: Capital Appreciation, Income, Aggressive Income, Speculation

Brokerage Account

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g , Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

 # Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
WESTERN ASSET INVT GRA DEF OPP (IGI)	7/12/13	920 000	$21.210	$22 600	$19,513.26	$20,792.00	$1,278.74 LT	$1,104.00	5.30
Next Dividend Payable 10/2016; Asset Class: FI & Pref									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	12.45%	$19,513.26	$20,792.00	$1,278.74 LT	$1,104 00	5.31%

034761 MSGDD216 018915

Account Detail

Active Assets Account
615-100383-090

ROBERT G & JANE V ENGEL FND IN
C/O JANE V ENGEL

INTERESTED PARTY COPY

CORPORATE FIXED INCOME

FIXED-RATE CAPITAL SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
MERRILL LYNCH CAP TRUST I 6.45 EXT TO 12/15/2086 (MER.K)	5/30/07	1,000.000	$25 517	$25 910	$25,517 35			$1,613.00	6.22
(MER.K)			$25.517		$25,517.35	$25,910.00	$392.65 LT A		

Coupon Rate 6.450%; Matures 12/15/2066

Interest Paid Quarterly Jun 15; Callable $25.00 on 10/31/16; Moody BA1 S&P BB+; Asset Class: FI & Pref

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		—	$25,517.35			$1,613.00	6.22%
	15.52%		$25,517.35	$25,910.00	$392.65 LT	$0.00	

MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
PRINCIPAL PREFERRED SEC A (PPSAX)	5/11/15	8,069.898	$10.781	$10.300	$87,000.00	$83,119.95	$(3,880.05) LT	$3,801.00	4.57
Total Purchases vs Market Value					87,000.00	83,119 95			
Cumulative Cash Distributions						5,822.41			
Net Value Increase/(Decrease)						1,942.36			

Dividend Cash; Capital Gains Cash; Asset Class. FI & Pref

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MUTUAL FUNDS	49.79%	$87,000.00	$83,119.95	$(3,880.05) LT	$3,801.00	4.57%

Security Mark at Right

Account Detail

Fiduciary Services Active Assets Account
615-100385-090

ROBERT G. AND JANE V. ENGEL FNDN
C/O JANE V ENGEL
Nickname: Global Currents
INTERESTED PARTY COPY

Investment Objectives†: Capital Appreciation, Aggressive Income, Speculation, Income

Investment Advisory Account
Manager: HARDING LOEVNER L P.

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class- Struct Inv," may appear in various statement product categories When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AIA GROUP LTD SPON ADR (AAGIY)	7/12/13	301 000	$17 950	$26.950	$5,402 95	$8,111 95	$2 709.00 LT		
	10/14/14	70 000	21 523	26 950	1,506 59	1,886 50	379 91 LT		
	Total	371 000			6,909 54	**9,998.45**	3,088 91 LT	127 00	1 27
Asset Class. Equities									
AIR LIQUIDE ADR (AIQUY)	7/12/13	190 000	23 164	22 030	4,401 24	4,185 70	(215 54) LT		
	1/20/15	23 000	24 503	22 030	563 56	506 69	(56 87) LT		
	2/10/16	44 000	21 309	22.030	937.61	969 32	31 71 ST		

Security Mark at Right

Account Detail

Fiduciary Services Active Assets Account
615-100385-090

ROBERT G. AND JANE V. ENGEL FNDN
C/O JANE V ENGEL
Nickname: Global Currents
INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	257 000			5,902.41	**5,661.71**	(272 41) LT	112 00	1 97
							31 71 ST		
Asset Class: Equities									
ALFA LAVAL AB-UNSPONS ADR (ALFVY)	2/9/16	153 000	15 071	15 620	2,305.92	**2,389.86**	83 94 ST	60 00	2 51
Asset Class: Equities									
ALLIANZ SE ADS (AZSEY)	7/12/13	309 000	15 160	14 830	4,684 44	**4,582.47**	(101 97) LT	189 00	4 12
Asset Class: Equities									
ANHEUSER BUSCH INBEV SA SPON (BUD)	7/12/13	39.000	91 520	131 410	3,569.28	**5,124.99**	1,555 71 LT	126 00	2 45
Asset Class. Equities									
ATLAS COPCO AS A ADR A NEW (ATLKY)	7/12/13	136.000	25.100	30 130	3,413.60	**4,097.68**	684 08 LT	73 00	1 78
Asset Class: Equities									
BAIDU INC ADS (BIDU)	7/12/13	6 000	96 650	182 070	579.90	1,092.42	512 52 LT		
	2/17/15	13.000	208 683	182.070	2,712 88	2,366.91	(345 97) LT		
	5/22/15	13 000	203 429	182 070	2,644.58	2,366 91	(277.67) LT		
	2/22/16	5.000	167 808	182.070	839 04	910 35	71 31 ST		
	4/12/16	8.000	186 726	182 070	1,493 81	1,456.56	(37 25) ST		
	6/9/16	8.000	167.858	182.070	1,342 86	1,456 56	113 70 ST		
	Total	53 000			9,613.07	**9,649 71**	(111 12) LT	—	—
							147.76 ST		
Asset Class: Equities									
BANCO BILBAO VIZ ARG SA ADS (BBVA)	7/12/13	393 000	8 300	5 960	3,261 90	**2,342.28**	(919 62) LT	130 00	5 55
Next Dividend Payable 10/2016; Asset Class: Equities									
BAYER AG SPON ADR (BAYRY)	6/7/16	35.000	103.992	100 500	3,639 71	3,517 50	(122 21) ST		
	8/2/16	22.000	106.613	100 500	2,345 49	2,211 00	(134 49) ST		
	9/6/16	14 000	107 207	100 500	1,500 90	1,407 00	(93 90) ST		
	Total	71 000			7,486 10	**7,135.50**	(350 60) ST	148.00	2 07
Next Dividend Payable 05/2017; Asset Class: Equities									
BAYERISCHE MOTOREN WERKE ADR (BMWYY)	7/12/13	67 000	30 730	28 050	2,058 91	1,879 35	(179.56) LT		
	7/24/13	47 000	32 490	28.050	1,527.02	1,318 35	(208 67) LT		
	10/14/13	29.000	36.374	28.050	1,054.84	813.45	(241.39) LT		
	Total	143 000			4,640 77	**4,011.15**	(629 62) LT	120 00	2 99
Asset Class: Equities									
BBA AVIATION PLC ADR (BBAVY)	6/20/16	10 000	15.690	16.280	156.90	162 80	5.90 ST		
	6/21/16	37.000	15 912	16 280	588.75	602.36	13 61 ST		
	6/22/16	44 000	16.032	16.280	705 41	716 32	10 91 ST		
	Total	91.000			1,451 06	**1,481.48**	30 42 ST	50 00	3 37

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Account Detail

Fiduciary Services Active Assets Account
615-100385-090

ROBERT G. AND JANE V. ENGEL FNDN
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 11/21/16, Asset Class. Equities									
BUNGE LTD (BG)	7/12/13	47 000	74 266	59 230	3,490 52	2,783 81	(706 71) LT		
	11/23/15	24 000	67 948	59 230	1,630 76	1,421 52	(209.24) ST		
	Total	71 000			5,121 28	**4,205.33**	(706.71) LT (209 24) ST	119 00	2 82
Next Dividend Payable 12/2016; Asset Class· Equities									
CANADIAN NATL RAILWAY CO (CNI)	7/12/13	83 000	50 479	65 400	4,189 77	**5,428.20**	1,238 43 LT	94 00	1.73
Next Dividend Payable 12/2016; Asset Class: Equities									
CHECK POINT SOFTWARE TECH LTD (CHKP)	9/9/16	35 000	77 005	77 610	2,695 17	**2,716.35**	21 18 ST	—	—
Asset Class: Equities									
COLOPLAST AS ADR (CLPBY)	9/28/15	124 000	7 040	7 780	872 96	964.72	91 76 LT		
	1/8/16	50 000	7 716	7.780	385 82	389.00	3.18 ST		
	1/11/16	130.000	7 605	7 780	988 61	1,011 40	22 79 ST		
	Total	304 000			2,247 39	**2,365.12**	91 76 LT 25 97 ST	31 00	1 31
Asset Class: Equities									
CSL LTD (CSLLY)	7/12/13	107.000	29 820	41 355	3,190 74	**4,424.98**	1,234.24 LT	62 00	1.40
Next Dividend Payable 10/17/16; Asset Class: Equities									
DASSAULT SYSTEMS SA ADS (DASTY)	1/20/15	120.000	60 120	86.470	7,214 40	**10,376.40**	3,162.00 LT	44 00	0 42
Asset Class. Equities									
DBS GROUP HOLDINGS LTD SP (DBSDY)	7/12/13	64 000	51 980	45.580	3,326 72	2,917.12	(409.60) LT		
	4/5/16	27.000	44 310	45.580	1,196.38	1,230 66	34 28 ST		
	Total	91.000			4,523.10	**4,147.78**	(409 60) LT 34.28 ST	152.00	3 66
Asset Class. Equities									
FANUC CORPORATION UNSP ADR (FANUY)	7/12/13	177 000	25 470	28.100	4,508.19	4,973 70	465 51 LT		
	10/16/13	44.000	28 485	28.100	1,253 34	1,236 40	(16 94) LT		
	1/20/16	43 000	26 035	28.100	1,119 51	1,208 30	88.79 ST		
	2/10/16	51.000	23 331	28 100	1,189 87	1,433 10	243 23 ST		
	4/12/16	38 000	27.401	28 100	1,041 23	1,067 80	26 57 ST		
	Total	353 000			9,112 14	**9,919.30**	448 57 LT 358 59 ST	177 00	1 78
Asset Class. Equities									
FRESENIUS MEDICAL CARE AG&CO (FMS)	7/12/13	111 000	32 678	43 770	3,627 25	**4,858 47**	1,231 22 LT	35 00	0 72
Asset Class: Equities									

Account Detail

Fiduciary Services Active Assets Account
615-100385-090

ROBERT G. AND JANE V. ENGEL FNDN
C/O JANE V ENGEL
Nickname: Global Currents
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
FUCHS PETROLUB AG UNSPON ADR (FUPBY)	8/29/14	74 000	10.194	11 371	754 37	841 41	87 04 LT		
	1/20/15	191 000	9 930	11 371	1,896 63	2,171 76	275 13 LT		
	Total	265 000			2,651 00	**3,013.18**	362 17 LT	40 00	1 32
Asset Class: Equities									
GRIFOLS SA ADR (GRFS)	11/11/15	154 000	17 503	15 970	2,695 39	**2,459.38**	(236.01) ST	41 00	1 66
Asset Class: Equities									
GRUPO TELEVISA S.A.GLOBAL DEP (TV)	5/19/16	78 000	25 470	25 690	1,986 68	**2,003.82**	17 14 ST	7 00	0 34
Asset Class. Equities									
ITAU UNIBANCO MULTIPLE ADR (ITUB)	1/20/15	124 000	12 666	10 940	1,570 62	1,356 56	(214.06) LT		
	7/21/15	38 000	9 683	10 940	367 94	415 72	47 78 LT		
	11/9/15	14 000	7.203	10 940	100 84	153 16	52 32 ST		
	11/10/15	170 000	7 288	10 940	1,238 91	1,859 80	620 89 ST		
	Total	346 000			3,278.31	**3,785.24**	(166 28) LT 673 21 ST	19 00	0.50
Next Dividend Payable 10/2016; Asset Class: Equities									
JGC CORP UNSPONSORED ADR (JGCCY)	1/20/15	83 000	40 480	34 700	3,359 84	**2,880.10**	(479 74) LT	54 00	1 87
Asset Class: Equities									
KONE OYJ ADR (KNYJY)	2/2/15	93 000	22 381	25 330	2,081 42	**2,355.69**	274 27 LT	56 00	2 37
Asset Class: Equities									
KUBOTA CP ADR (KUBTY)	5/19/16	6 000	76 759	75 700	460 56	454 20	(6 36) ST		
	5/20/16	28 000	76 675	75 700	2,146 89	2 119 60	(27.29) ST		
	Total	34 000			2,607 45	**2,573.80**	(33 65) ST	51 00	1 98
Asset Class Equities									
L OREAL CO ADR (LRLCY)	1/20/15	176 000	34 379	37 790	6,050 64	**6,651.04**	600 40 LT	96 00	1 44
Asset Class· Equities									
LINDE AG SPONSORED ADR (LNEGY)	10/13/14	128 000	18 746	16 930	2,399 50	2,167 04	(232 46) LT		
	3/29/16	73 000	14 564	16 930	1,063 18	1,235 89	172 71 ST		
	Total	201 000			3,462 68	**3,402.93**	(232 46) LT 172 71 ST	55 00	1 61
Asset Class: Equities									
LVMH MOET HENNESSY LOUIS VUITT (LVMUY)	1/20/15	74 000	34 469	33 970	2,550.69	**2,513.78**	(36.91) LT	42.00	1.67
Asset Class. Equities									
MITSUBISHI EST ADR (MITEY)	1/20/15	117 000	20 390	18 720	2,385 63	**2,190.24**	(195 39) LT	12 00	0.54
Asset Class· Equities									

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Account Detail

Fiduciary Services Active Assets Account
515-100385-090

ROBERT G. AND JANE V. ENGEL FNDN
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MONOTARO CO LTD ADR (MONOY)	11/12/13	60.000	11 456	26 785	687 35	1,607 10	919 75 LT		
	11/13/13	38 000	11 144	26 785	423 46	1,017 83	594 37 LT		
	Total	98 000			1,110 81	**2,624.93**	1,514 12 LT	9 00	0 34
Asset Class. Equities									
MTN GRP LTD SPONS ADR (MTNOY)	1/20/15	200 000	17 380	8 570	3,476 00	**1,714.00**	(1,762 00) LT	117 00	6 82
Asset Class: Equities									
NASPERS LIMITED ADS (NPSNY)	6/30/15	200 000	15 440	17 430	3,088 06	3,486 00	397 94 LT		
	2/8/16	130 000	11 669	17 430	1,517 01	2,265 90	748 89 ST		
	Total	330 000			4,605.07	**5,751.90**	397 94 LT 748 89 ST	9.00	0 15
Asset Class. Equities									
NESTLE SPON ADR REP REG SHR (NSRGY)	7/12/13	121.000	67 330	79 020	8,146 93	**9,561.42**	1 414 49 LT	236 00	2 46
Next Dividend Payable 05/2017; Asset Class: Equities									
PARK24 CO LTD SPONSORED (PKCOY)	1/28/16	11.000	26 276	32.340	289 04	355 74	66 70 ST		
	1/29/16	52 000	27 065	32 340	1,407 38	1,681 68	274.30 ST		
	2/1/16	54 000	28 114	32 340	1,518.16	1,746 36	228 20 ST		
	Total	117.000			3,214 58	**3,783.78**	569 20 ST	43.00	1 13
Asset Class: Equities									
ROCHE HOLDINGS ADR (RHHBY)	7/12/13	199 000	32 050	30 970	6,377.95	6,163 03	(214 92) LT		
	9/30/15	51.000	32 885	30 970	1,677 14	1,579.47	(97 67) ST		
	6/7/16	34 000	33 613	30 970	1,142 84	1.052.98	(89 86) ST		
	9/6/16	33.000	31 366	30.970	1,035 09	1,022.01	(13 08) ST		
	Total	317 000			10,233 02	**9,817.49**	(214.92) LT (200 61) ST	266 00	2.70
Asset Class: Equities									
ROYAL DUTCH SHELL PLC CL B (RDS'B)	2/23/16	59 000	44 879	52 830	2,647.87	3,116 97	469 10 ST		
	2/26/16	31 000	45 703	52 830	1,416 79	1,637.73	220 94 ST		
	3/8/16	56 000	47 624	52 830	2,666.97	2,958 48	291.51 ST		
	Total	146.000			6,731 63	**7,713.18**	981 55 ST	549 00	7 11
Asset Class: Equities									
SAP AG (SAP)	1/20/15	93.000	63 441	91 410	5,900 05	**8,501.13**	2,601 08 LT	87 00	1 02
Asset Class: Equities									
SASOL LTD SPON ADR (SSL)	1/20/15	31 000	33.587	27.320	1,041.20	846.92	(194.28) LT		
	7/2/15	44.000	36 610	27 320	1,610.82	1,202 08	(408 74) LT		
	Total	75 000			2,652 02	**2,049.00**	(603 02) LT	115 00	5 61
Next Dividend Payable 10/13/16; Asset Class: Equities									

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Account Detail

Fiduciary Services Active Assets Account
615-100385-090

ROBERT G. AND JANE V. ENGEL FNDN
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SCHLUMBERGER LTD (SLB)	7/12/13	55.000	76 857	78 640	4,227 13	4,325 20	98 07 LT		
	11/2/15	17 000	79 211	78 640	1,346 59	1,336 88	(9 71) ST		
	Total	72 000			5,573.72	**5,662.08**	98 07 LT (9 71) ST	144 00	2 54
Next Dividend Payable 10/14/16; Asset Class Equities									
SONOVA HLDG AG UNSP ADR (SONVY)	7/12/13	101 000	22 250	28 230	2,247 25	**2,851.23**	603 98 LT	25 00	0 87
Asset Class: Equities									
SYMRISE AG UNSPONS ADR (SYIEY)	5/30/14	122 000	13 424	18 290	1,637 69	2,231 38	593 69 LT		
	6/2/14	64 000	13 436	18 290	859.91	1,170 56	310 65 LT		
	Total	186 000			2,497.60	**3,401.94**	904.34 LT	28 00	0.82
Asset Class: Equities									
SYSMEX CORP UNSPON ADR (SSMXY)	7/12/13	152.000	15.725	36.930	2,390.20	**5,613.36**	3,223.16 LT	26 00	0 46
Asset Class: Equities									
TAIWAN SMCNDCTR MFG CO LTD ADR (TSM)	7/12/13	286.000	18.450	30 590	5,276.70	8,748 74	3,472.04 LT		
	1/13/14	102.000	17.067	30 590	1,740 83	3,120.18	1,379 35 LT		
	Total	388.000			7,017 53	**11,868.92**	4,851.39 LT	292 00	2 46
Asset Class: Equities									
TENARIS S A. (TS)	5/20/16	85 000	26 246	28 400	2,230 90	**2,414.00**	183 10 ST	77 00	3 18
Next Dividend Payable 12/2016, Asset Class: Equities									
TURKIYE GARANTI BANKASI A S (TKGBY)	1/20/15	925 000	4.380	2.720	4,051 50	**2,516.00**	(1,535 50) LT	33 00	1 31
Asset Class: Equities									
UNILEVER PLC (NEW) ADS (UL)	1/20/15	57 000	41.146	47 400	2,345.31	2,701.80	356 49 LT		
	6/23/15	34 000	45.145	47 400	1,534.94	1,611.60	76 66 LT		
	Total	91.000			3,880.25	**4,313.40**	433.15 LT	125 00	2 89
Next Dividend Payable 12/2016; Asset Class: Equities									
WPP PLC SPON NEW ADR (WPPGY)	6/6/12	18 000	58.267	117.720	1,048 81	2,118 96	1,070.15 LT		
	7/12/13	55 000	90.643	117.720	4,985.37	6,474 60	1,489.23 LT		
	Total	73.000			6,034.18	**8,593.56**	2,559.38 LT	249 00	2.89
Next Dividend Payable 01/2017; Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	96.90%	$210,262.30	$237,497.73	$24,195.59 LT $3,039.83 ST	$4,752.00	2.00%

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Account Detail

Fiduciary Services Active Assets Account
615-100385-090

ROBERT G. AND JANE V. ENGEL FNDN
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	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$210,262.30	$245,093.66	$24,195.59 LT $3,039.83 ST	$4,754.00 $0.00	1.94%

Account Detail

Fiduciary Services Active Assets Account
615-100386-090

ROBERT G. AND JANE V. ENGEL FNDN
C/O JANE V ENGEL
Nickname: US CORE
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STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AGNICO EAGLE MINES LTD (AEM)	8/21/15	37 000	$26.819	$54.180	$992 30	$2,004 66	$1,012 36 LT		
	3/7/16	70 000	35 107	54 180	2,457 47	3,792.60	1,335 13 ST		
	Total	107 000			3,449 77	**5,797.26**	1,012.36 LT 1,335.13 ST	43 00	0 74
Next Dividend Payable 12/2016, Asset Class: Equities									
ALLERGAN PLC SHS (AGN)	8/21/15	67.000	302.910	230 310	20,294.95	**15,430.77**	(4,864 18) LT	—	—
Asset Class: Equities									
ALLIED WORLD ASSUR CO HLDG LTD (AWH)	8/21/15	78 000	41 755	40.420	3,256 91	**3,152.76**	(104 15) LT	81 00	2.56
Next Dividend Payable 12/2016; Asset Class: Equities									
ALPHABET INC CL A (GOOGL)	8/21/15	6.000	664 610	804 060	3,987.66	4,824 36	836 70 LT		
	10/7/15	3 000	664.770	804 060	1,994.31	2,412 18	417.87 ST		
	2/29/16	4 000	724.785	804.060	2,899 14	3,216.24	317 10 ST		
	3/28/16	2 000	754 180	804.060	1,508 36	1,608 12	99 76 ST		
	3/29/16	1.000	759 980	804 060	759.98	804.06	44.08 ST		
	3/30/16	2 000	771 600	804.060	1,543 20	1,608.12	64 92 ST		
	3/31/16	1 000	764 770	804 060	764.77	804 06	39 29 ST		
	4/7/16	3 000	760 447	804 060	2,281 34	2,412 18	130 84 ST		
	4/8/16	3 000	758 547	804.060	2,275 64	2,412.18	136 54 ST		
	Total	25.000			18,014.40	**20,101.50**	836 70 LT 1,250.40 ST	—	—
Asset Class: Equities									
AMGEN INC (AMGN)	8/21/15	45 000	160 414	166 810	7,218 62	7,506 45	287 83 LT		
	9/8/15	4 000	152 828	166 810	611 31	667 24	55 93 LT		
	Total	49 000			7,829 93	**8,173.69**	343 76 LT	196 00	2.39
Next Dividend Payable 12/2016; Asset Class· Equities									
AMTRUST FINANCIAL SRV INC (AFSI)	8/21/15	68 000	29 871	26.830	2,031 25	1,824 44	(206 81) LT		
	9/8/15	34.000	29 310	26 830	996 53	912 22	(84 31) LT		
	11/24/15	42 000	31 075	26 830	1,305 15	1,126 86	(178 29) ST		
	2/5/16	34.000	27 683	26 830	941 22	912 22	(29 00) ST		
	Total	178 000			5,274 15	**4,775.74**	(291 12) LT (207.29) ST	121.00	2.53
Next Dividend Payable 10/2016, Asset Class: Equities									

Account Detail

Fiduciary Services Active Assets Account
615-100386-090

ROBERT G. AND JANE V. ENGEL FNDN
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Nickname: US CORE
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
APPLE INC (AAPL)	8/29/14	63.000	102 310	113 050	6,445 53	7,122 15	676 62 LT		
	8/21/15	58 000	108 689	113 050	6,303 94	6,556 90	252 96 LT		
	Total	121 000			12,749 47	**13,679.05**	929 58 LT	276 00	2 01
Next Dividend Payable 11/2016, Asset Class: Equities									
BLACKROCK INC (BLK)	8/21/15	11 000	313 539	362 460	3,448.93	3,987 06	538.13 LT		
	9/12/16	4 000	366 313	362 460	1,465 25	1,449 84	(15 41) ST		
	9/19/16	2 000	370 500	362 460	741 00	724 92	(16.08) ST		
	Total	17 000			5,655 18	**6,161.82**	538 13 LT (31 49) ST	156.00	2 53
Next Dividend Payable 12/2016, Asset Class: Equities									
BROADCOM LTD SHS (AVGO)	9/15/16	7 000	172.594	172 520	1,208 16	1,207.64	(0 52) ST		
	9/28/16	7 000	170 913	172 520	1,196 39	1,207 64	11 25 ST		
	Total	14 000			2,404 55	**2,415.28**	10 73 ST	29 00	1 20
Next Dividend Payable 12/2016; Asset Class- Equities									
CIGNA CORPORATION COM (CI)	8/21/15	20 000	143 831	130.320	2,876 62	**2,606.40**	(270.22) LT	1 00	0 03
Next Dividend Payable 04/2017, Asset Class: Equities									
COMCAST CORP (NEW) CLASS A (CMCSA)	6/10/15	40 000	58 444	66 340	2,337 76	2,653 60	315 84 LT		
	8/21/15	223 000	57 444	66.340	12,809 92	14,793 82	1,983 90 LT		
	Total	263 000			15,147 68	**17,447.42**	2,299 74 LT	289 00	1 65
Next Dividend Payable 10/2016; Asset Class: Equities									
CVS HEALTH CORP COM (CVS)	8/21/15	155 000	103 938	88.990	16,110 39	**13,793.45**	(2,316 94) LT	264.00	1 91
Next Dividend Payable 11/2016, Asset Class. Equities									
DANAHER CORPORATION (DHR)	4/25/16	3 000	73 384	78.390	220 15	235 17	15 02 ST		
	5/2/16	23 000	74 166	78.390	1,705 82	1,802 97	97.15 ST		
	6/22/16	20.000	75 645	78.390	1,512 90	1,567 80	54 90 ST		
	7/6/16	23.000	80.567	78.390	1,853.03	1,802.97	(50.06) ST		
	8/26/16	25 000	81 038	78 390	2,025 94	1,959 75	(66.19) ST		
	8/30/16	23 000	81 339	78 390	1,870 80	1,802 97	(67 83) ST		
	9/7/16	21 000	79 537	78 390	1,670 27	1,646 19	(24 08) ST		
	9/12/16	13.000	77.954	78 390	1,013 40	1,019 07	5 67 ST		
	9/19/16	9 000	77.014	78 390	693 13	705 51	12 38 ST		
	Total	160 000			12,565 44	**12,542.40**	(23 04) ST	80.00	0 63
Next Dividend Payable 10/28/16; Asset Class Equities									
EMERSON ELECTRIC CO (EMR)	12/11/15	33 000	45.526	54 510	1,502 37	1,798 83	296 46 ST		
	12/14/15	42 000	44 931	54 510	1,887.10	2,289 42	402 32 ST		
	1/25/16	48 000	42 550	54 510	2,042 42	2,616 48	574 06 ST		

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Account Detail

Fiduciary Services Active Assets Account
615-100386-090

ROBERT G. AND JANE V. ENGEL FNDN
C/O JANE V ENGEL
Nickname: US CORE
INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	1/28/16	34 000	44.294	54 510	1,506 00	1,853 34	347 34 ST		
	4/12/16	26 000	54.568	54 510	1,418.77	1,417.26	(1 51) ST		
	Total	183 000			8,356 66	**9,975.33**	1,618 67 ST	348 00	3 48
Next Dividend Payable 12/2016, Asset Class. Equities									
FORTIVE CORP (FTV)	4/25/16	3 000	45 661	50 900	136 98	152 70	15 72 ST		
	5/2/16	11 000	46.091	50 900	507 00	559 90	52 90 ST		
	6/22/16	10 000	47.069	50 900	470 69	509 00	38 31 ST		
	Total	24 000			1,114 67	**1,221.60**	106 93 ST	7 00	0 57
Next Dividend Payable 12/2016; Asset Class: Equities									
FRANKLIN RESOURCES INC (BEN)	5/2/16	21.000	37 719	35 570	792.09	746 97	(45 12) ST		
	5/5/16	24 000	35 954	35 570	862 89	853 68	(9 21) ST		
	5/9/16	55 000	35.994	35 570	1,979 65	1,956 35	(23 30) ST		
	5/23/16	86 000	35.717	35.570	3,071 63	3,059 02	(12.61) ST		
	5/24/16	25 000	36 527	35 570	913 17	889.25	(23 92) ST		
	8/26/16	45.000	36 174	35 570	1,627.85	1,600.65	(27.20) ST		
	8/31/16	56 000	36 466	35 570	2,042 11	1,991 92	(50 19) ST		
	Total	312 000			11,289 39	**11,097.84**	(191 55) ST	225 00	2 02
Next Dividend Payable 10/14/16; Asset Class. Equities									
ILL TOOL WORKS INC (ITW)	4/18/16	2 000	105 209	119 840	210 42	239 68	29.26 ST		
	4/19/16	12.000	105 608	119 840	1,267.30	1,438 08	170 78 ST		
	4/25/16	20 000	104 383	119 840	2,087 66	2,396 80	309 14 ST		
	4/29/16	21 000	104 372	119 840	2,191 82	2,516 64	324 82 ST		
	5/9/16	18 000	103 998	119 840	1 871 97	2,157 12	285 15 ST		
	6/1/16	18 000	106 349	119 840	1,914 28	2,157 12	242 84 ST		
	6/17/16	17 000	105 778	119 840	1,798 22	2,037 28	239 06 ST		
	6/21/16	10 000	106 149	119 840	1,061 49	1,198 40	136 91 ST		
	Total	118 000			12,403.16	**14,141.12**	1,737 96 ST	307 00	2 17
Next Dividend Payable 10/06/16, Asset Class Equities									
INVESCO LTD (IVZ)	5/12/16	33 000	29 026	31 270	957 84	1,031 91	74 07 ST		
	6/13/16	19 000	28 374	31 270	539 11	594 13	55 02 ST		
	6/22/16	41 000	28.962	31 270	1,187 44	1,282 07	94 63 ST		
	Total	93 000			2,684 39	**2,908.11**	223 72 ST	104 00	3 57
Next Dividend Payable 12/2016; Asset Class Equities									
JPMORGAN CHASE & CO (JPM)	6/2/09	4 000	34 948	66 590	139 79	266 36	126 57 LT		
	1/24/11	35.000	45 021	66.590	1,575 74	2,330 65	754 91 LT		
	Total	39 000			1,715 53	**2,597.01**	881 48 LT	75 00	2 88

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Account Detail

Fiduciary Services Active Assets Account
615-100386-090

ROBERT G. AND JANE V. ENGEL FNDN
C/O JANE V ENGEL
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INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 10/2016, Asset Class: Equities									
LOWES COMPANIES INC (LOW)	7/20/16	26 000	81 057	72 210	2,107 47	1,877 46	(230.01) ST		
	8/1/16	17 000	82 183	72 210	1,397 11	1,227 57	(169.54) ST		
	8/8/16	11 000	81 574	72 210	897 31	794 31	(103 00) ST		
	Total	54 000			4,401 89	**3,899.34**	(502 55) ST	76 00	1 94
Next Dividend Payable 11/2016, Asset Class: Equities									
MASTERCARD INC CL A (MA)	8/21/15	13 000	92 704	101 770	1,205 16	**1,323.01**	117.85 LT	10 00	0 75
Next Dividend Payable 11/2016, Asset Class: Equities									
NATIONAL OILWELL VARCO INC (NOV)	12/28/15	17 000	34 123	36 740	580 08	624 58	44 50 ST		
	2/16/16	81 000	27 936	36 740	2,262 84	2,975.94	713 10 ST		
	3/3/16	51 000	31 366	36 740	1,599 66	1,873 74	274 08 ST		
	Total	149.000			4,442 58	**5,474.26**	1 031 68 ST	30.00	0 54
Next Dividend Payable 12/2016; Asset Class: Equities									
NEWELL BRANDS INC (NWL)	8/21/15	146 000	44 205	52.660	6,453 93	**7,688.36**	1,234 43 LT	111 00	1 44
Next Dividend Payable 12/2016; Asset Class: Equities									
NORTHROP GRUMMAN CP(HLDG CO) (NOC)	8/21/15	67 000	168 900	213 950	11,316.30	**14,334.65**	3,018 35 LT	241 00	1 68
Next Dividend Payable 12/2016, Asset Class: Equities									
QUALCOMM INC (QCOM)	4/12/16	19.000	50 746	68 500	964 17	1,301 50	337 33 ST		
	4/19/16	15 000	51 719	68 500	775 78	1,027 50	251 72 ST		
	4/25/16	29 000	52 335	68 500	1,517 72	1,986 50	468.78 ST		
	5/12/16	29 000	51 611	68 500	1,496 72	1,986 50	489 78 ST		
	6/17/16	17 000	53 378	68 500	907 42	1,164 50	257 08 ST		
	6/21/16	28 000	53 846	68 500	1,507 68	1,918 00	410.32 ST		
	8/22/16	35 000	62 673	68 500	2,193.55	2,397.50	203.95 ST		
	Total	172 000			9,363 04	**11,782.00**	2,418 96 ST	365 00	3 09
Next Dividend Payable 12/2016; Asset Class: Equities									
SCHLUMBERGER LTD (SLB)	8/21/15	92 000	78 481	78 640	7,220 25	7,234.88	14.63 LT		
	8/27/15	22.000	72 835	78 640	1,602 36	1,730 08	127 72 LT		
	12/11/15	22 000	70 072	78 640	1,541.59	1,730 08	188 49 ST		
	Total	136.000			10,364 20	**10,695.04**	142 35 LT 188 49 ST	272 00	2 54
Next Dividend Payable 10/14/16; Asset Class: Equities									
SOUTHWEST AIRLINES (LUV)	10/12/15	43 000	40 732	38 890	1,751 50	1,672 27	(79 23) ST		
	12/1/15	30 000	46 507	38 890	1,395 21	1,166 70.	(228 51) ST		
	Total	73 000			3,146 71	**2,838.97**	(307.74) ST	29 00	1 02
Next Dividend Payable 12/2016; Asset Class: Equities									

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Account Detail

Fiduciary Services Active Assets Account
615-100386-090

ROBERT G. AND JANE V. ENGEL FNDN
C/O JANE V ENGEL
Nickname: US CORE
INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STARBUCKS CORP WASHINGTON (SBUX)	8/21/15	158 000	53 517	54 140	8,455 62	**8,554.12**	98 50 LT	126.00	1 47
Next Dividend Payable 11/2016; Asset Class. Equities									
SYNCHRONY FINANCIAL (SYF)	11/17/15	45 000	30.664	28 000	1,379 89	1,260 00	(119 89) ST		
	11/24/15	45 000	31 588	28 000	1,421.46	1,260 00	(161.46) ST		
	Total	90 000			2,801 35	**2,520.00**	(281 35) ST	47 00	1 86
Next Dividend Payable 11/2016; Asset Class. Equities									
TAIWAN SMCNDCTR MFG CO LTD ADR (TSM)	8/21/15	397 000	19 199	30 590	7,622.17	12,144 23	4,522 06 LT		
	2/2/16	40 000	22 526	30 590	901.03	1,223 60	322 57 ST		
	Total	437 000			8,523.20	**13,367.83**	4,522 06 LT 322 57 ST	329 00	2 46
Asset Class: Equities									
UNITED PARCEL SER INC CL-B (UPS)	4/19/16	11 000	106 779	109 360	1,174.56	1,202.96	28 40 ST		
	5/2/16	14 000	105 159	109 360	1,472.22	1,531.04	58 82 ST		
	Total	25 000			2,646 78	**2,734.00**	87 22 ST	78 00	2 85
Next Dividend Payable 11/2016; Asset Class: Equities									
VERIZON COMMUNICATIONS (VZ)	8/21/15	134 000	46 668	51 980	6,253.53	6,965.32	711.79 LT		
	2/29/16	30 000	51 059	51.980	1,531.77	1,559.40	27 63 ST		
	3/9/16	58 000	52 182	51 980	3,026 53	3,014.84	(11 69) ST		
	3/15/16	45 000	52 645	51 980	2,369.04	2,339 10	(29 94) ST		
	3/21/16	18 000	53.429	51 980	961.73	935 64	(26 09) ST		
	7/25/16	35 000	55 777	51 980	1,952 18	1,819 30	(132 88) ST		
	Total	320 000			16,094.78	**16,633.60**	711 79 LT (172 97) ST	739 00	4.44
Next Dividend Payable 11/2016, Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	**98.10%**	**$252,408.78**	**$269,863.73**	**$8,840.47 LT** **$8,614.48 ST**	**$5,055.00**	**1.87%**

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		**$252,408.78**	**$275,080.98**	**$8,840.47 LT** **$8,614.48 ST**	**$5,056 00** **$0 00**	**1.84%**

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Account Detail

Fiduciary Services Active Assets Account
615-124549-090

ROBERT G & JANE V ENGEL
FOUNDATION INC

INTERESTED PARTY COPY

Investment Objectives †: Capital Appreciation, Income, Aggressive Income, Speculation

Investment Advisory Account
Manager: APPLIED EQUITY ADVISORS

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AGNICO EAGLE MINES LTD (AEM)	3/10/16	36 000	$36 232	$54 180	$1,304.36	$1,950 48	$646.12 ST		
	3/17/16	18.000	38 535	54.180	693.63	975 24	281.61 ST		
	3/28/16	22.000	35 565	54.180	782 44	1,191.96	409.52 ST		
	3/30/16	26 000	36 632	54.180	952.42	1,408.68	456 26 ST		
	7/26/16	11 000	52 654	54 180	579 19	595 98	16 79 ST		
	7/28/16	12 000	56 536	54 180	678 43	650 16	(28 27) ST		
	Total	125.000			4,990 47	**6,772.50**	1,782 03 ST	50 00	0 73

Next Dividend Payable 12/2016; Asset Class: Equities

Security Mark
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Account Detail

Fiduciary Services Active Assets Account
615-124549-090

ROBERT G & JANE V ENGEL
FOUNDATION INC

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ALLERGAN PLC SHS (AGN)	3/21/14	21 000	211 973	230 310	4,451 43	4,836 51	385 08 LT		
	4/17/14	6 000	206.915	230 310	1,241 49	1,381 86	140 37 LT H		
	5/15/14	4 000	204 130	230 310	816 52	921 24	104 72 LT		
	6/16/14	5 000	209 020	230 310	1,045 10	1,151 55	106 45 LT		
	7/1/14	3 000	223 960	230 310	671 88	690 93	19 05 LT		
	5/13/15	34 000	297 181	230 310	10,104 14	7,830 54	(2,273 60) LT		
	Total	73 000			18,330 56	**16,812.63**	(1,517.93) LT	—	—
Basis Adjustment Due to Wash Sale: $25.95; Asset Class: Equities									
ALPHABET INC CL A (GOOGL)	2/11/16	3.000	699 396	804.060	2,098 19	2,412 18	313.99 ST		
	2/29/16	5 000	725.204	804.060	3,626.02	4,020 30	394 28 ST		
	4/11/16	2 000	762 895	804 060	1,525 79	1,608 12	82 33 ST		
	5/6/16	2 000	721.795	804.060	1,443.59	1,608.12	164 53 ST		
	6/13/16	3 000	733.807	804.060	2,201 42	2,412 18	210.76 ST		
	8/29/16	1 000	796 990	804 060	796.99	804 06	7 07 ST		
	9/6/16	2.000	805.635	804 060	1,611.27	1,608 12	(3 15) ST		
	9/12/16	1.000	797 130	804 060	797.13	804 06	6 93 ST		
	9/14/16	1.000	792 620	804.060	792 62	804 06	11 44 ST		
	9/19/16	2 000	796 375	804.060	1,592 75	1,608 12	15 37 ST		
	Total	22 000			16,485 77	**17,689.32**	1,203 55 ST	—	—
Asset Class: Equities									
AMTRUST FINANCIAL SRV INC (AFSI)	6/22/15	25.000	31.980	26 830	799 50	670 75	(128 75) LT		
	8/13/15	32 000	31 474	26 830	1,007 16	858 56	(148 60) LT		
	8/17/15	28.000	31.475	26.830	881 29	751 24	(130.05) LT		
	9/11/15	30.000	29 674	26 830	890 23	804 90	(85 33) LT		
	9/14/15	22.000	29 991	26 830	659 81	590.26	(69 55) LT		
	9/17/15	16.000	30.804	26 830	492.87	429 28	(63 59) LT		
	11/6/15	16 000	33.576	26 830	537 21	429 28	(107 93) ST		
	11/16/15	14.000	30.221	26.830	423 10	375 62	(47 48) ST		
	11/19/15	16.000	30.316	26.830	485 05	429 28	(55 77) ST		
	11/30/15	28 000	31 334	26.830	877 36	751 24	(126 12) ST		
	Total	227 000			7,053.58	**6,090.41**	(625 87) LT (337 30) ST	154 00	2.52
Next Dividend Payable 10/2016; Asset Class: Equities									
COMCAST CORP (NEW) CLASS A (CMCSA)	5/15/14	11 000	49 910	66 340	549 01	729 74	180 73 LT		
	6/16/14	13 000	52 310	66 340	680 03	862 42	182 39 LT		
	7/1/14	12 000	54 260	66 340	651 12	796 08	144 96 LT		

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Account Detail

Fiduciary Services Active Assets Account
615-124549-090

ROBERT G & JANE V ENGEL
FOUNDATION INC

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	10/14/14	15 000	51 832	66 340	777 48	995 10	217 62 LT		
	5/13/15	117 000	56 242	66 340	6,580 37	7,761.78	1,181.41 LT		
	9/2/15	12 000	55 682	66 340	668 18	796 08	127.90 LT		
	11/16/15	9 000	60 872	66 340	547 85	597 06	49 21 ST		
	Total	189 000			10,454 04	**12,538.26**	2,035 01 LT 49 21 ST	208 00	1.65
Next Dividend Payable 10/2016; Asset Class: Equities									
CVS HEALTH CORP COM (CVS)	5/15/14	8 000	75 260	88 990	602 08	711 92	109 84 LT		
	5/16/14	17 000	76 272	88 990	1,296 62	1,512 83	216 21 LT		
	6/16/14	9 000	76 030	88.990	684 27	800.91	116.64 LT		
	7/1/14	9 000	76 150	88 990	685 35	800 91	115 56 LT		
	7/10/14	21.000	76 689	88 990	1,610 46	1,868.79	258 33 LT		
	5/13/15	90 000	99 737	88 990	8,976.37	8,009.10	(967 27) LT		
	9/8/15	5 000	101 374	88 990	506 87	444 95	(61 92) LT		
	9/11/15	9.000	100 944	88 990	908.50	800.91	(107 59) LT		
	2/8/16	9.000	90 000	88 990	810 00	800 91	(9 09) ST		
	6/9/16	14 000	97 212	88 990	1,360 97	1,245 86	(115 11) ST		
	Total	191 000			17,441 49	**16,997.09**	(320.20) LT (124 20) ST	325.00	1 91
Next Dividend Payable 11/2016; Asset Class: Equities									
EMERSON ELECTRIC CO (EMR)	1/5/16	25 000	46 936	54 510	1,173.40	1,362.75	189 35 ST		
	1/8/16	20 000	44 555	54 510	891 10	1,090 20	199.10 ST		
	1/28/16	21 000	44 262	54 510	929 51	1,144.71	215 20 ST		
	4/18/16	46 000	55 131	54 510	2,536 01	2,507 46	(28 55) ST		
	4/19/16	46 000	55 450	54 510	2,550 71	2,507 46	(43 25) ST		
	5/16/16	36 000	52.776	54 510	1,899.95	1,962 36	62 41 ST		
	Total	194 000			9,980 68	**10,574.94**	594.26 ST	369 00	3 48
Next Dividend Payable 12/2016; Asset Class. Equities									
FRANKLIN RESOURCES INC (BEN)	5/18/16	21 000	35 589	35 570	747 38	746 97	(0 41) ST		
	5/19/16	70 000	35 125	35.570	2,458 72	2,489 90	31 18 ST		
	5/23/16	14.000	35 701	35.570	499.82	497 98	(1.84) ST		
	5/27/16	21.000	37 296	35 570	783.22	746 97	(36 25) ST		
	6/15/16	20 000	33 482	35 570	669 64	711 40	41 76 ST		
	6/16/16	20 000	32 803	35 570	656.05	711 40	55 35 ST		
	6/27/16	30 000	30 882	35 570	926 45	1,067 10	140 65 ST		
	7/20/16	25 000	34 828	35 570	870 69	889 25	18.56 ST		
	8/3/16	18 000	35 542	35 570	639.75	640 26	0 51 ST		

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Account Detail

Fiduciary Services Active Assets Account
615-124549-090

ROBERT G & JANE V ENGEL
FOUNDATION INC

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	8/16/16	39 000	36.135	35 570	1,409 26	1 387.23	(22 03) ST		
	9/8/16	34 000	36 711	35 570	1,248 19	1,209 38	(38 81) ST		
	Total	312 000			10,909 17	**11,097 84**	188 67 ST	225 00	2.02
Next Dividend Payable 10/14/16, Asset Class· Equities									
ILL TOOL WORKS INC (ITW)	4/22/16	1 000	104.578	119 840	104 58	119.84	15 26 ST		
	4/28/16	12 000	105.120	119 840	1,261 44	1,438.08	176 64 ST		
	5/10/16	11 000	105 403	119 840	1,159 43	1,318 24	158 81 ST		
	6/2/16	11 000	106 723	119 840	1,173 95	1,318 24	144 29 ST		
	6/7/16	6 000	108 548	119 840	651.29	719 04	67 75 ST		
	6/8/16	6 000	109 218	119 840	655 31	719 04	63 73 ST		
	7/6/16	6 000	104 900	119 840	629 40	719 04	89 64 ST		
	7/11/16	12 000	109 278	119 840	1,311 34	1,438 08	126 74 ST		
	9/21/16	9 000	118 648	119 840	1,067.83	1,078 56	10 73 ST		
	9/26/16	6 000	117 957	119 840	707 74	719 04	11 30 ST		
	Total	80 000			8,722 31	**9,587.20**	864 89 ST	208 00	2 16
Next Dividend Payable 10/06/16; Asset Class: Equities									
NATIONAL OILWELL VARCO INC (NOV)	2/9/16	36 000	27 673	36 740	996 24	1,322.64	326 40 ST		
	3/10/16	12 000	31 108	36.740	373 29	440 88	67 59 ST		
	3/14/16	6.000	33.297	36 740	199 78	220 44	20 66 ST		
	4/7/16	28 000	28.533	36 740	798 92	1,028.72	229.80 ST		
	4/28/16	45 000	31 594	36 740	1,421 72	1,653 30	231 58 ST		
	Total	127 000			3,789 95	**4,665.98**	876 03 ST	25 00	0.53
Next Dividend Payable 12/2016; Asset Class. Equities									
NIPPON TELEGRAPH&TELEPHONE ADS (NTT)	10/27/15	17 000	37 631	45 810	639 73	778 77	139 04 ST		
	11/2/15	20 000	37.075	45 810	741 50	916.20	174 70 ST		
	11/6/15	12 000	38 508	45 810	462 09	549 72	87 63 ST		
	11/16/15	14.000	38 714	45 810	541.99	641.34	99 35 ST		
	11/18/15	14 000	38 431	45 810	538.04	641.34	103.30 ST		
	11/19/15	15 000	38 455	45 810	576 83	687.15	110 32 ST		
	12/8/15	21 000	37 603	45 810	789 67	962 01	172 34 ST		
	12/14/15	9.000	38 374	45 810	345 37	412 29	66 92 ST		
	12/15/15	26 000	38 410	45 810	998 67	1,191 06	192 39 ST		
	12/17/15	35 000	39 439	45.810	1,380 38	1,603 35	222 97 ST		
	12/21/15	17 000	39 382	45 810	669 49	778 77	109 28 ST		
	12/28/15	14 000	39 585	45 810	554 19	641 34	87 15 ST		
	1/8/16	24 000	40 265	45 810	966 36	1,099 44	133 08 ST		

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Account Detail

Fiduciary Services Active Assets Account
615-124549-090

ROBERT G & JANE V ENGEL
FOUNDATION INC

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	1/15/16	14 000	40 143	45 810	562 00	641 34	79 34 ST		
	2/19/16	9 000	42 413	45 810	381 72	412 29	30 57 ST		
	2/24/16	40 000	41.398	45 810	1,655 92	1,832 40	176 48 ST		
	2/29/16	20 000	42 820	45 810	856 40	916 20	59 80 ST		
	3/3/16	18 000	43 017	45 810	774 31	824 58	50 27 ST		
	3/8/16	7.000	41 894	45 810	293 26	320 67	27 41 ST		
	3/9/16	9 000	43 222	45 810	389 00	412 29	23 29 ST		
	3/15/16	16 000	43 161	45 810	690.58	732.96	42 38 ST		
	8/10/16	9 000	48 051	45 810	432 46	412 29	(20 17) ST		
	Total	380 000			15,239 96	**17,407.80**	2,167 84 ST	335 00	1 92
Asset Class: Equities									
QUALCOMM INC (QCOM)	6/15/16	15 000	53 225	68 500	798 38	1,027.50	229 12 ST		
	6/21/16	23 000	53.851	68 500	1,238 58	1,575 50	336 92 ST		
	6/22/16	18.000	54 600	68 500	982 80	1,233 00	250 20 ST		
	6/23/16	18 000	55 267	68 500	994 81	1,233.00	238 19 ST		
	7/13/16	16.000	54 791	68 500	876 66	1,096 00	219 34 ST		
	7/14/16	31 000	55 027	68 500	1.705 84	2,123 50	417.66 ST		
	8/23/16	14 000	63 242	68.500	885 39	959 00	73 61 ST		
	Total	135 000			7,482 46	**9,247.50**	1,765 04 ST	286 00	3 09
Next Dividend Payable 12/2016, Asset Class: Equities									
RYANAIR HLDGS PLC ADR (RYAAY)	1/20/15	3 000	67 228	75 030	201 68	225 09	23.41 LT		
	1/23/15	4 000	68 515	75 030	274 06	300.12	26 06 LT		
	1/28/15	5 000	68 348	75 030	341 74	375.15	33 41 LT		
	2/3/15	5 000	64.960	75 030	324 80	375.15	50 35 LT		
	2/10/15	5 000	64 068	75 030	320 34	375.15	54 81 LT		
	2/11/15	8.000	63 044	75.030	504 35	600 24	95.89 LT		
	2/13/15	7 000	61 964	75 030	433.75	525 21	91 46 LT		
	2/24/15	4 000	64 543	75 030	258 17	300.12	41.95 LT		
	3/18/15	10 000	64.102	75.030	641.02	750.30	109.28 LT		
	3/23/15	8 000	65 376	75 030	523 01	600.24	77 23 LT		
	5/13/15	123 000	71 138	75 030	8,749 94	9,228.69	478 75 LT		
	10/9/15	8 000	76 179	75.030	609 43	600 24	(9.19) ST		
	Total	190 000			13,182 29	**14,255.70**	1,082.60 LT (9 19) ST	410.00	2.87
Asset Class: Equities									

Account Detail

Fiduciary Services Active Assets Account
615-124549-090

ROBERT G & JANE V ENGEL
FOUNDATION INC

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SCHLUMBERGER LTD (SLB)	5/13/15	60 000	92 490	78 640	5,549.37	4,718.40	(830.97) LT		
	6/1/15	9 000	90 553	78 640	814 98	707.76	(107 22) LT		
	6/2/15	11 000	91.916	78 640	1,011.08	865 04	(146 04) LT		
	6/18/15	6 000	89 193	78 640	535 16	471 84	(63 32) LT		
	7/14/15	13 000	85 361	78 640	1,109 69	1,022 32	(87 37) LT		
	7/22/15	26 000	84 982	78.640	2,209.53	2,044 64	(164 89) LT		
	7/23/15	14 000	85.667	78 640	1,199 34	1,100.96	(98 38) LT		
	8/26/15	9 000	69 364	78 640	624 28	707 76	83 48 LT		
	Total	148 000			13,053 43	**11,638.72**	(1,414 71) LT	296.00	2 54
Next Dividend Payable 10/14/16, Asset Class. Equities									
SHIRE PLC ADR (SHPG)	6/16/14	2.000	180 340	193 860	360.68	387.72	27 04 LT		
	7/1/14	4 000	233.560	193 860	934 24	775 44	(158 80) LT		
	10/16/14	7.000	174 153	193.860	1,219.07	1,357.02	137 95 LT		
	10/27/14	4 000	195 915	193 860	783.66	775.44	(8.22) LT		
	5/13/15	32.000	244.189	193 860	7,814 04	6,203 52	(1,610.52) LT		
	1/19/16	6 000	179 160	193.860	1,074.96	1,163.16	88.20 ST		
	1/21/16	8 000	175.718	193 860	1,405.74	1,550 88	145 14 ST		
	1/25/16	5 000	181 682	193 860	908 41	969 30	60 89 ST		
	8/22/16	3 000	200 573	193.860	601.72	581.58	(20.14) ST		
	Total	71.000			15,102 52	**13,764 06**	(1,612 55) LT 274 09 ST	57 00	0 41
Next Dividend Payable 10/07/16, Asset Class: Equities									
SYNCHRONY FINANCIAL (SYF)	9/28/15	29 000	31 024	28 000	899 71	812 00	(87.71) LT		
	10/5/15	24 000	32 972	28 000	791.33	672.00	(119 33) ST		
	10/20/15	19 000	32.410	28 000	615.79	532.00	(83 79) ST		
	10/22/15	20 000	31.654	28 000	633 07	560 00	(73.07) ST		
	10/26/15	21 000	31 150	28 000	654 14	588 00	(66 14) ST		
	11/2/15	23 000	31 099	28 000	715 28	644.00	(71 28) ST		
	11/6/15	12.000	33.728	28.000	404.74	336.00	(68.74) ST		
	11/16/15	18 000	30 159	28 000	542 86	504 00	(38 86) ST		
	11/19/15	17 000	31 444	28 000	534 55	476.00	(58 55) ST		
	Total	183 000			5,791.47	**5,124.00**	(87 71) LT (579.76) ST	95 00	1.85
Next Dividend Payable 11/2016, Asset Class· Equities									
TAIWAN SMCNDCTR MFG CO LTD ADR (TSM)	5/16/14	31 000	20 739	30 590	642 90	948 29	305 39 LT		
	6/10/14	23.000	21 341	30 590	490.85	703 57	212 72 LT		
	6/16/14	33 000	21.200	30 590	699 60	1,009 47	309 87 LT		

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Account Detail

Fiduciary Services Active Assets Account
615-124549-090

ROBERT G & JANE V ENGEL
FOUNDATION INC

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	7/1/14	31 000	21 960	30 590	680.76	948 29	267 53 LT		
	7/24/14	18 000	20 891	30.590	376 03	550 62	174 59 LT		
	10/27/14	33.000	21 268	30 590	701 83	1,009 47	307 64 LT		
	11/4/14	12.000	21.902	30 590	262 82	367 08	104 26 LT		
	2/24/15	28 000	24 898	30 590	697 14	856 52	159.38 LT		
	5/13/15	377 000	24 437	30 590	9,212 79	11,532 43	2,319 64 LT		
	Total	586 000			13,764 72	**17,925.74**	4,161 02 LT	441.00	2 46
Asset Class: Equities									
TENCENT HLDGS LTD UNSPON ADR (TCEHY)	11/20/15	2 000	20.240	27 875	40 48	55 74	15.26 ST		
	12/1/15	69 000	19.991	27 875	1,379 40	1,923 37	543 97 ST		
	12/8/15	33 000	19 192	27 875	633 33	919 87	286 54 ST		
	12/14/15	25 000	18 784	27 875	469 60	696 87	227 27 ST		
	12/17/15	38 000	19 585	27 875	744 22	1,059 24	315.02 ST		
	12/21/15	30 000	19 535	27 875	586 04	836 24	250.20 ST		
	12/29/15	42 000	19 629	27 875	824 43	1,170 74	346 31 ST		
	1/8/16	28 000	18.175	27 875	508 90	780 49	271 59 ST		
	1/22/16	37 000	18 055	27 875	668 05	1,031 37	363 32 ST		
	1/25/16	56 000	18 371	27 875	1,028 75	1,560 99	532 24 ST		
	1/28/16	20 000	18 242	27 875	364 84	557 49	192 65 ST		
	2/3/16	29 000	18 008	27 875	522 22	808 37	286 15 ST		
	2/22/16	37 000	18 451	27 875	682 68	1,031 37	348 69 ST		
	3/21/16	39 000	20 458	27 875	797 87	1,087 12	289 25 ST		
	3/23/16	44 000	20.526	27 875	903 13	1,226 49	323 36 ST		
	7/7/16	58 000	22 671	27 875	1,314.92	1,616 74	301 82 ST		
	7/20/16	38 000	23 984	27 875	911 41	1,059 24	147 83 ST		
	Total	625 000			12,380 27	**17,421.87**	5,041 47 ST	33.00	0 18
Asset Class: Equities									
UBS GROUP AG SHS (UBS)	5/13/15	55 000	21.180	13 620	1,164 89	749 10	(415 79) LT		
	8/3/15	51 000	23 076	13 620	1,176 88	694 62	(482.26) LT		
	8/11/15	44 000	22.399	13 620	985.55	599 28	(386 27) LT		
	10/2/15	56.000	19.038	13.620	1,066 14	762.72	(303 42) ST		
	10/5/15	55 000	19 477	13 620	1,071 21	749.10	(322 11) ST		
	9/21/16	66 000	13 810	13 620	911 44	898 92	(12 52) ST		
	Total	327 000			6,376 11	**4,453.74**	(1,284 32) LT (638 05) ST	224 00	5 02

Next Dividend Payable 05/2017; Asset Class. Equities

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Account Detail

Fiduciary Services Active Assets Account
615-124549-090

ROBERT G & JANE V ENGEL
FOUNDATION INC

INTERESTED PARTY COPY

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	98.75%	$210,531.25	$224,065.30	$415.34 LT $13,118.58 ST	$3,741 00	1.67%

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$210,531.25	$226,904.62	$415.34 LT $13,118.58 ST	$3,742 00 $0.00	1.65%

ATTACHMENT 9

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
ADJ TO COST BASIS OF DONATED STOCK		100,049.
ADJ TO MSSB ACCOUNTS		136.
	TOTAL	100,185.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ROBERT A. ENGEL 1601 QUEENS ROAD WEST CHARLOTTE, NC 28207-243	TRUSTEE 1.00	0.	0.	0.
JANE V. ENGEL 80 WEARIMUS ROAD HO-HO-KUS, NJ 07423-0042	PRESIDENT 1.00	0.	0.	0.
JENNIFER E. YOUNG 927 TAUGANNOCK BLVD. ITHACA, NY 14850	TRUSTEE 1.00	0.	0.	0.
ELIZABETH HUNTER ENGEL 22 BELLEVUE AVENUE SOUTH PORTLAND, ME 04106	TRUSTEE 1.00	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

ATTACHMENT 11

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

JANE V. ENGEL
80 WEARIMUS ROAD
HO-HO-KUS, NJ 07423
201-445-4248

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ANIMAL REFUGE LEAGUE P O BOX 336 WESTBROOK, ME 04098	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,000
BIRCH ROCK CAMP 30 BELLEVUE AVENUE SOUTH PORTLAND, ME 04106	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,000
CANCER COMMUNITY CENTER 778 MAIN STREET SOUTH PORTLAND, ME 04106	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	2,000
CANCER RESOURCE CENTER OF THE FINGER LAKES 612 WEST STATE STREET ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
CATHEDRAL CHURCH OF ST JOHN THE DIVINE 1047 AMSTERDAM AVENUE NEW YORK, NY 10025	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	21,000
CAYUGA LAKE WATERSHED NETWORK P O BOX 348 AURORA, NY 13026	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	250

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CENTER FOR ORANGUTAN AND CHIMPANZEE CONSERVATION BOX 488 WAUCHULA, FL 33873	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	2,000
CHARLOTTE COUNTRY DAY SCHOOL 1440 CARMEL ROAD CHARLOTTE, NC 28226	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	5,000
COLET FOUNDATION C/O ROBERT A ENGEL 1601 QUEENS ROAD WE CHARLOTTE, NC 28207-2433	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	750
CORNELL PLANTATIONS ONE PLANTATION ROAD ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	8,000
CORNELL UNIVERSITY, LABORATORY OF ORNITHOLOGY 159 SAPSUCKER WOODS ROAD ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	5,000
CSMA 330 E STATE STREET ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
DEERFIELD ACADEMY MAIN STREET DEERFIELD, CT 01342	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	3,000
EVER AFTER MUSTANG RESCUE 463 WEST STREET BIDDEFORD, ME 04005	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,500
HISTORY CENTER 401 E STATE STREET ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
HOSPICARE 127 E KIND ROAD ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
HUTCHISON SCHOOL 1740 RIDGEWAY ROAD MEMPHIS, TN 38119	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	3,000
ITHACA PUBLIC EDUCATION INITIATIVE P O BOX 4268 ITHACA, NY 14852	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	10,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MAINE AUDUBON SOCIETY 20 GISLAND FARM ROAD FALMOUTH, ME 04105	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
MAINE STATE SOCIETY FOR PROTECTION OF ANIMALS P O BOX 10 SOUTH WINDHAM, ME 04082	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,500
MORRIS MUSEUM 6 NORMANDY HEIGHTS ROAD MORRISTOWN, NJ 07960	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	5,000
PLANNED PARENTHOOD P O. BOX 268 HORSEHEAD, NY 14845	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	3,000
PRINCETON UNIVERSITY P O. BOX 39 PRINCETON, NJ 08540	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	3,750
SOUTHERN MAINE AGENCY ON AGING 136 U S RT 1 SCARBOROUGH, ME 04074	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SPCA, TOMPKINS COUNTY CHAPTER 1640 HANSHAW ROAD ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
STATE THEATRE 107 W STATE STREET ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
TEACH FOR AMERICA 5855 EXECUTIVE CENTER DRIVE SUITE 200 CHARLOTTE, NC 28212	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	5,000
THE KITCHEN THEATRE 108 WEST STATE STREET ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
THE SEEING EYE P O BOX 375 MORRISTOWN, NJ 07963	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	50,000
TOMPKINS COUNTY PUBLIC LIBRARY 101 E GREEN STREET ITHACA, NY 14850	NONE SO-DP	TO PROMOTE THE WORK OF THE ORGANIZATION	500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VALLEY HOSPITAL FOUNDATION 223 N VAN DIEN STREET RIDGEWOOD, NJ 07450	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	50,000
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	30,500
WSKG 601 GATES ROAD VESTAL, NY 13850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	250
COMMUNITY FOUNDATION WOMEN'S FUND ENDOWMENT 309 N AURORA STREET ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
SOUTH PORTLAND LAND TRUST P O BOX 2312 SOUTH PORTLAND, ME 04106	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,500
GULF OF MAINE RESEARCH INSTITUTE 350 COMMERCIAL STREET PORTLAND, ME 04010	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	3,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LUCKY PUP DOG RESCUE PO BOX 461 KENNEBUNKPORT, ME 04046	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,500
FRIENDS OF STEWART PARK 101 EAST SHORE DRIVE ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	250
THE RAPTOR TRUST 1390 WHITE BRIDGE ROAD MILLINGTON, NJ 07946	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	7,000
CINEMAPOLIS 120 GREEN STREET ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	250
COMMUNITY RECREATION CENTER 1767 EAST SHORE DRIVE ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	2,500
ITHACA CHILDREN'S GARDEN 615 WILLOW AVENUE ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MAINE HUMANITIES COUNCIL 674 BRIGHTON AVENUE PORTLAND, ME 04102	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,000
OPERA CAROLINA 1500 ELIZABETH AVENUE CHARLOTTE, NC 28204	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	3,750
PORTLAND TRAILS 305 COMMERCIAL STREET PORTLAND, ME 04101	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
RIDING TO THE TOP 10 LILAC DRIVE WINDHAM, ME 04062	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,000
SCIENCE CENTER, DEVELOPMENT OFFICE 601 FIRST STREET ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	6,000
SOUTH PORTLAND HISTORICAL SOCIETY 55 BUG LIGHT PARK SOUTH PORTLAND, ME 04102	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WAYSIDE FOOD PROGRAM 135 WALTON STREET PORTLAND, ME 04103	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,000
CORNELL UNIVERSITY 144 EAST AVE. ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	2,000
FRIENDS OF THE MAINE WILDLIFE P.O BOX 1231 GRAY, ME 04039	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,000
THIRD CHURCH OF CHRIST SCIENTIST 583 PARK AVENUE NEW YORK, NY 10065	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	1,000
WIND OVER WINGS PO BOX 289 DRESDEN, ME 04342	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	2,000
YOUTH RALLY COMMITTEE, INC 949 CHESTNUT OAK DR ST. CHARLES, MO 63303	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
STONELEIGH-BURNHAM SCHOOOL BERNARDSTON ROAD GREENFIELD, MA 01301	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	5,000
KINGS ACADEMY INC 245 PARK AVENUE 39TH FLOOR NEW YORK, NY 10167	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	750
THE IVY 1879 FOUNDATION 43 PROSPECT AVENUE PRINCETON, NJ 08540	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	2,500
CAYUGA NATURE CENTER 1420 TAUGHANNOCK BOULEVARD ITHACE, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
FAMILY & CHILDREN'S SERVICES OF ITHACA 127 W. STATE STREET ITHACA, NY 14850	NONE PC	TO PROMOTE THE WORK OF THE ORGANIZATION	500
		TOTAL CONTRIBUTIONS PAID	264,000

THE ROBERT G. & JANE V. ENGEL FOUNDATION INC. 22-2764445

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 13

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
TAX REFUND				3,726.	
OTHER INCOME-EY TAX PREP FEES PAID				14,420.	
OTHER INCOME				2.	
TOTALS				18,148.	