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DLN: 93493226025567

Form 990

Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public

► Information about Form 990 and its instructions is at <u>www IRS gov/form990</u>

OMB No 1545-0047

Open to Public Inspection

A F	or th	ne 2015 c	a <mark>lendar year, or tax year begi</mark>	nning 10-01-2015 , and ending 09-30-20	16			
_		applicable s change	C Name of organization YALE NEW HAVEN HEALTH SERV CORPORATION	лсes			oyer i	dentification number
_		change etum	Doing business as					
retum		inated	Number and street (or P O box 789 HOWARD AVENUE	r if mail is not delivered to street address) Room/s	ııte	E Teleph		-6088
		ed return ion pendin	City or town, state or province, NEW HAVEN, CT 06519	country, and ZIP or foreign postal code			-	ts \$ 565,851,056
			E Name and address of pri	nounal officer	l			<u></u>
			F Name and address of pri MARNA BORGSTROM 789 HOWARD AVE	пстрат опісег	:	Is this a grou subordinates? No	•	☐ Yes 🗸
T Ta	x-exe	empt statu:	NEW HAVEN, CT 06519 S √ 501(c)(3) 501(c) ()) ◀ (insert no) 4947(a)(1) or 527		Are all subord included?	lınate	S
	ebsi	te:► W	ww YNHHS ORG	, (((((((((((((((((((((((((((((((((((((If "No," attac	h a lis	t (see instructions)
K Fon	n of o	organizatio	n ✓ Corporation ☐ Trust ☐ As	ssociation Other ►		Group exemp		number > M State of legal domicile CT
Pa	rt II		nmary escribe the organization's mis	sion or most significant activities				
				TIFIC AND EDUCATIONAL ACTIVITIES	5			
ce								
Governance								
Ven	2	Check t	this box ▶ ┌ if the organization	on discontinued its operations or disposed	of more t	han 25% of it	s net	assets
<u> </u>			, -				i	
	3	Number	of voting members of the gov	erning body (Part VI, line 1a)			3	18
Activities &	4	Number	of independent voting membe	ers of the governing body (Part VI, line $1\mathrm{b}$			4	16
<u> </u>	5	Total nu	ımber of ındıvıduals employed	ın calendar year 2015 (Part V, line 2a)			5	2,398
AC			·	ıfnecessary)			6	17
				m Part VIII, column (C), line 12			7a	2,711,626
	b	Net unre	lated business taxable incom	e from Form 990-T, line 34			7b	268,200
						Prior Year		Current Year
Qı.		` ' ' '					0	0
Ravenue							503,091 503,36 32,199 61	
Ř	10		·					
_	11		,	(A), lines 5, 6d, 8c, 9c, 10c, and 11e)		17,317	,2/8	11,059,363
	12	12)	rrevenue—add fines 8 through	n 11 (must equal Part VIII, column (A), lır	ie	449,852	,568	515,046,790
	13	Gran	ts and sımılar amounts paıd (F	Part IX, column (A), lines 1-3)		308	,500	496,122
	14	Bene	fits paid to or for members (P	art IX, column (A), line 4)			0	0
æ	15	Salar 5-10		oloyee benefits (Part IX, column (A), lines		238,244	,115	254,461,742
Expenses	16a	a Profe	essional fundraising fees (Par	t IX, column (A), line 11e)			0	0
ŝ	ь	Total 1	fundraising expenses (Part IX, colum	ın (D), line 25) ▶0				
-	17	O the	r expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		191,700	,930	238,664,336
	18	Tota	lexpenses Add lines 13-17	(must equal Part IX, column (A), line 25)		430,253	,545	493,622,200
	19	Reve	nue less expenses Subtract	line 18 from line 12		19,599	,023	21,424,590
Net Assets or Fund Balances					Beginn	ning of Current	Year	End of Year
set	20	Total	l assets (Part X, line 16) .			1,306,178	.203	1,600,675,101
A As	21		·			1,150,284		1,146,369,896
ξŝ	22			ract line 21 from line 20		155,893		454,305,205
Pai	101	Sig	nature Block					
my k	nowl	edge and		examined this return, including accompa complete Declaration of preparer (other t				
						2017-08-14		
Sigr	1	Sigi	nature of officer			Date		
Her			ICENT TAMMARO EXECUTIVE VP & C	FO				
		<u> </u>	Print/Type preparer's name	Preparer's signature	Date	T.,	PTIN	\
Paid	Ч		PHILLIP E GROFF	PHILLIP E GROFF		Check If self-employed	P01	247783
Pre		.er	Firm's name ► KPMG LLP			Firm's EIN		5207
Use	-		Firm's address ► 1601 MARKET STR	REET		Phone no (26	7) 256	-1756
USE	. UI	יייע	PHILADELPHIA, PA	19103				

May the IRS discuss this return with the preparer shown above? (see instructions)

Par	t IV Checklist of Required Schedules			
			Yes	No
	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 🥦	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2		No
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4		No
5	Is the organization a section $501(c)(4)$, $501(c)(5)$, or $501(c)(6)$ organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure $98-19$? If "Yes," complete Schedule C, Part III	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I \square	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 💆	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 🛸	10		No
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a	Yes	
	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		No
	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	Yes	
	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		No
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a		No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16		No
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and $11e^{9}$ If "Yes," complete Schedule G, Part I (see instructions)	17		No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18		No
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		No
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

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Par	t IV Checklist of Required Schedules (continued)			
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Yes	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25a	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
а	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L,			
	Part IV	28a		No
	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M </i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33		No
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V	Statements	Regarding	Other	IRS Filing	s and T	ax Com	pliance

Par	t V	Statements Regarding Other IRS Filings and Tax Compliance Check if Schedule O contains a response or note to any line in this Part V				_
		Check if Schedule O contains a response of note to any line in this part v			Yes	No
1a	Enter	the number reported in Box 3 of Form 1096 Enter -0 - if not applicable 1a	435			
b	Enter	the number of Forms W-2G included in line 1a Enter -0 - if not applicable 1b	0			
c		ne organization comply with backup withholding rules for reportable payments to vendors and repor	table			
	-	ng (gambling) winnings to prize winners?		1 c		No
2a	Tax S	the number of employees reported on Form W-3, Transmittal of Wage and tatements, filed for the calendar year ending with or within the year covered s return	2,398			
b		east one is reported on line 2a, did the organization file all required federal employment tax returns. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	.?	2b	Yes	
За	Did th	ne organization have unrelated business gross income of \$1,000 or more during the year?		3a	Yes	
b	If "Yes	s," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O .		3b	Yes	
4a	over,	y time during the calendar year, did the organization have an interest in, or a signature or other aut a financial account in a foreign country (such as a bank account, securities account, or other finan int)?		4a		No
b	If "Ye: See in (FBAR	s," enter the name of the foreign country	 ounts			
5a	Was th	he organization a party to a prohibited tax shelter transaction at any time during the tax year? .		5a		No
b	Did ar	ny taxable party notify the organization that it was or is a party to a prohibited tax shelter transact	ion?	5b		No
c	If"Ye	s," to line 5a or 5b, did the organization file Form 8886-T?				
				5 c		
	organı	the organization have annual gross receipts that are normally greater than \$100,000, and did the ization solicit any contributions that were not tax deductible as charitable contributions?		6a		No
b		s," did the organization include with every solicitation an express statement that such contribution tax deductible?	ns or gifts	6b		
7		nizations that may receive deductible contributions under section 170(c).				
а		ne organization receive a payment in excess of \$75 made partly as a contribution and partly for god ces provided to the payor?		7a		No
b	If"Ye	s," did the organization notify the donor of the value of the goods or services provided? $\cdot\cdot$.		7 b		
	file Fo	ne organization sell, exchange, or otherwise dispose of tangible personal property for which it was r orm 8282?	equired to	7 c		No
d	If "Ye	s," indicate the number of Forms 8282 filed during the year				
e	Did th	ne organization receive any funds, directly or indirectly, to pay premiums on a personal benefit conf	ract?	7e		No
f	Did th	ie organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract	?	7f		No
g	If the require	organization received a contribution of qualified intellectual property, did the organization file Formed?	n 8899 as	7 g		
h		organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization 1098-C?	n file a	7h		
8	Did a	coring organizations maintaining donor advised funds. donor advised fund maintained by the sponsoring organization have excess business holdings at a the year?	ny time •	8		
9a	Did th	ne sponsoring organization make any taxable distributions under section 4966?		9a		
		ne sponsoring organization make a distribution to a donor, donor advisor, or related person?		9b		
0	Sectio	on 501(c)(7) organizations. Enter				
а	Initiat	tion fees and capital contributions included on Part VIII, line 12 10a				
b	Gross facılıtı	receipts, included on Form 990, Part VIII, line 12, for public use of club les				
		on 501(c)(12) organizations. Enter				
		Income from members or shareholders				
b		st amounts due or received from them)				
2a	Section	on 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 104	1?	12a		
b	If "Ye: year	s," enter the amount of tax-exempt interest received or accrued during the				
3	Sectio	on 501(c)(29) qualified nonprofit health insurance issuers.				
а		e organization licensed to issue qualified health plans in more than one state? Note. See the instruc onal information the organization must report on Schedule O	tions for	13a		
b	Enter	the amount of reserves the organization is required to maintain by the states ch the organization is licensed to issue qualified health plans				
c		the amount of reserves on hand				
4a	Did th	ne organization receive any payments for indoor tanning services during the tax year?		14a		No
		s," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation in Schedule O</i>		14b		

Part VI Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

	Check if Schedule O contains a response or note to any line in this Part VI			🗸
Se	ection A. Governing Body and Management			
			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year 18			
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
b	Enter the number of voting members included in line 1a, above, who are independent 1b 16			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was		V a a	
_	filed?	4	Yes	
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		No
6	Did the organization have members or stockholders?	6		No_
	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		No
	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
а	The governing body?	8 a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8 b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No
Se	ection B. Policies (This Section B requests information about policies not required by the Internal R	eveni	ıe Cod	e.)
			Yes	No
l0a	Did the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10 b		
l1a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
l2a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes	
L3	Did the organization have a written whistleblower policy?	13	Yes	
4	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
l6a	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a	16a 16b		No
ь	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?			No
b Se	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?			No
ь S e	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?			No_
b	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?			No

▶DENIS DONEGAN 789 HOWARD AVE NEW HAVEN, CT 06519 (203) 688-6088

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated **Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII .

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0 - in columns (D), (E), and (F) if no compensation was paid
 - List all of the organization's current key employees, if any See instructions for definition of "key employee"
- List the organization's five current highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's former officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) A verage hours per week (list any hours	more t	tion (han d on is	ne l both	box, an d	heck unless officer stee)	i	(D) Reportable compensation from the organization (W-	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	2/1099-MISC)		organization and related organizations
See Additional Data Table										
	1	ı				1				Form 990 (2015)

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

	(A) Name and Title	(B) A verage hours per week (list any hours	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-	(E) Reportable compensation from related organizations (W-	(F) Estimated amount of other compensation from the	
		for related organizations below dotted line)	Institutional Trustee Individual trustee or director		Officer	Key employee	Highest compensated employee	Former	2/1099-MISC)	2/1099-MISC)	organization and related organizations	
See A	Additional Data Table											
1b	Sub-Total						•					
c d	Total from continuation sheet Total (add lines 1b and 1c).			· ·	٠.	٠.	•		14,350,151	16,209,143	6,457,235	
2	Total number of individuals (in \$100,000 of reportable compe	cluding but not l	lımıted t	o the				e) wł	no received more th	an		

			Yes	No				
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee							
	on line 1a? If "Yes," complete Schedule J for such individual	3	Yes					
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such							
	ındıvıdual	4	Yes					
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule I for such person	5		No				

Section B. Independent Contractors

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
EPIC SYSTEMS CORPORATION	CONSULTING	9,987,101
1979 MILKY WAY VERONA, WI 53593		
MASON INC	CONSULTING	5,774,168
23 AMITY ROAD BETHANY, CT 06524		
REGAN TECHNOLOGIES CORPORATION	CONSULTING	4,113,872
860 N MAIN STREET EXT WALLINGFORD, CT 06492		
TOWERS WATSON DELAWARE INC	CONSULTING	2,896,086
901 NORTH GLEBE ROAD SUITE 600 ARLINGTON, VA 22203		
TOBIN CARBERRY O'MALLEY	CONSULTING	2,487,008
43 BROAD STREET NEW LONDON, CT 06320		

Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 134

Part V	111	Statement o	f Revenue					
		Check If Sched	ule O contains a respo	nse or note to any lir	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated cam						312-314
Gra noi	c	Fundraising eve						
IS.		5						
Gifi ilar	d	_	zations 1d					
is,	e	Government grant	s (contributions) 1e					
tior sr S	f	All other contributed	ons, gifts, grants, and 1f			İ		
tributio Other !	g		ons included in lines			ŀ		
ntri d O	9	1a-1f \$						
Cont and	h	Total. Add lines	s 1a-1f	· · · ·				
ı				Business Code				
Program Serwce Revenue	2a	MANAGEMENT SER	RVICES	900099	369,966,063	369,596,338	369,725	
<u>بخ</u> خ	ь	SYSTEM SUPPORT	SERVICES	900099	45,252,540	45,188,230	64,310	
ce l	С	INSURANCE PREM	IUMS	900099	41,810,272	41,810,272		
ž.	d	MANAGEMENT SER	RVICES-EPIC	621990	29,940,224	29,940,224		
Š	e	EMERGENCY PREP	AREDNESS PROGRAM	900099	16,242,425	16,242,425		
ıran	f	All other progra	am service revenue		157,382	157,382		
ეი γ						,		
	g		s 2a-2f		503,368,906			
	3		ome (including dividen ar amounts)		50,700			50,700
	4	Income from inves	stment of tax-exempt bond	proceeds ►				
	5	Royalties .	<u></u>	•				
			(ı) Real	(II) Personal				
	6a	Gross rents						
	ь	Less rental						
	_	expenses Rental income						
	`	or (loss)						
	d	Net rental inco		•				
	7a	from sales of assets other	(i) Securities 51,372,087	(II) Other				
	b	than inventory Less cost or other basis and	50,804,266					
	c	sales expenses Gain or (loss)	567,821					
	d		ss)		567,821			567,821
enne	8 a	Gross income f events (not inc	rom fundraising	,				
Other Revenue		See Part IV, Iir	a					
o c	b		penses b					
	9a	Gross income f	(loss) from fundraising from gaming activities ne 19	events ▶				
	b c		penses b (loss) from gaming acti	vities				
	102	Gross sales of	inventory less	·				
		returns and allo						
			a					
	b	_	oods sold b					
	С		(loss) from sales of inv					
		Miscellaneou		Business Code	0 701 777	0 704 772		
	11a	OTHER ANCIL	LARY INCOME	900099	8,781,772	8,781,772	2 2	
	b	CORPORATE	CONTRACTING	621990	2,277,591		2,277,591	
	С							
	d	All other reven						
	e	Total. Add lines	s 11a-11d	• [11,059,363			
	12	Total revenue.	See Instructions .	▶	515,046,790	511,716,643	2,711,626	618,52
					, ,	,,	,,	,

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A)	
Charles & Cabadula O cantagara a managara ay mata ta ang lung ya taka Darit IV	

ounts reported on lines 6b. (C)

	ot include amounts reported on lines 6b, o, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21	496,122	496,122		
2	Grants and other assistance to domestic individuals See Part IV, line 22				
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, lines 15 and 16				
4	Benefits paid to or for members				_
5	Compensation of current officers, directors, trustees, and key employees	13,942,826		13,942,826	
6	Compensation not included above, to disqualified persons (as defined under section $4958(f)(1)$) and persons described in section $4958(c)(3)(B)$				
7	Other salaries and wages	188,507,715	158,336,568	30,171,147	
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	9,390,008	7,343,925	2,046,083	
9	Other employee benefits	29,215,137	22,849,159	6,365,978	
10	Payroll taxes	40.405.055	40 40 4 075		
11	Face for carrycas (non-amployees)	13,406,056	10,484,876	2,921,180	
11 a	Fees for services (non-employees) Management				_
b	Legal	5,525,492		5,525,492	
c	Accounting	2,269,601		2,269,601	
d	Lobbying	2,203,001		2,203,001	
e	Professional fundraising services See Part IV, line 17				_
f	Investment management fees				
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	65,748,341	51,421,778	14,326,563	
12	Advertising and promotion				
13	Office expenses	3,091,943	2,418,209	673,734	
14	Information technology				
15	Royalties				
16	Occupancy	71,974,323	56,291,118	15,683,205	
17	Travel				
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	2,041,608	1,596,742	444,866	
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	50,198,394	39,260,164	10,938,230	
23	Insurance	29,701,962	29,701,962		
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a	TELEPHONE & DATA COMMUN	6,465,938	5,057,010	1,408,928	
b	DUES, FEES & MEMBERSHIP	1,225,636	958,570	267,066	
c	CLINICAL PROGRAM & MISC	334,922	262,551	72,371	
d	BOOKS & SUBSCRIPTIONS	86,176	67,398	18,778	
e	A II other expenses				
25	Total functional expenses. Add lines 1 through 24e	493,622,200	386,546,152	107,076,048	0
26	Joint costs.Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				orm 990 (2015)
				FC	rm 990 (2015)

		Check if Schedule O contains a response or note to any	/ line in t	hıs Part X			
					(A) Beginning of year		(B) End of year
	1	Cash-non-interest-bearing				1	
	2	Savings and temporary cash investments			25,319,141	2	47,364,273
	3	Pledges and grants receivable, net		[3	
	4	Accounts receivable, net		[948,323,682	4	945,789,133
	5	Loans and other receivables from current and former of trustees, key employees, and highest compensated en II of Schedule L					
Assets	6	Loans and other receivables from other disqualified pe section 4958(f)(1)), persons described in section 495 contributing employers and sponsoring organizations voluntary employees' beneficiary organizations (see in Part II of Schedule L	58(c)(3)(of section	B), and n 501(c)(9)		5	
As	7	Notes and loans receivable, net				7	
	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges		-	37,266,846	9	28,877,477
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	344,222,010			
	ь	Less accumulated depreciation	10b	233,566,637	135,345,655	10c	110,655,373
	11	Investments—publicly traded securities	· · ·		9,740,825	11	0
	12	Investments—other securities See Part IV, line 11			98,131,949	12	48,866,348
	13	Investments—program-related See Part IV, line 11			0	13	367,072,392
	14	Intangible assets			52,050,105	14	52,050,105
	15	Other assets See Part IV, line 11				15	
	16	Total assets.Add lines 1 through 15 (must equal line 3	34) .	[1,306,178,203	16	1,600,675,101
	17	Accounts payable and accrued expenses			77,717,706	17	103,938,861
	18	Grants payable		[18	
	19	Deferred revenue		[129,406,928	19	102,374,499
	20	Tax-exempt bond liabilities		[832,360,451	20	810,532,314
	21	Escrow or custodial account liability Complete Part I	V of Sche	edule D		21	
abilities	22	Loans and other payables to current and former officer key employees, highest compensated employees, and	,	, ,			
ΞĢ		persons Complete Part II of Schedule L				22	
Ë	23	Secured mortgages and notes payable to unrelated the	ırd partıe	s		23	
	24	Unsecured notes and loans payable to unrelated third	parties			24	
	25	Other liabilities (including federal income tax, payable and other liabilities not included on lines 17-24) Complete Part X of Schedule D	s to rela	ted third parties,			
					110,799,537	25	129,524,222
	26	Total liabilities. Add lines 17 through 25			1,150,284,622	26	1,146,369,896
Fund Balances		Organizations that follow SFAS 117 (ASC 958), check complete lines 27 through 29, and lines 33 and 34.	here ▶	 and			
<u>a</u>	27	Unrestricted net assets			155,893,581	27	454,305,205
Bal	28	Temporarily restricted net assets		[28	
פ	29	Permanently restricted net assets				29	
		Organizations that do not follow SFAS 117 (ASC 958) complete lines 30 through 34.	, check h	ere ▶			
) (}	30	Capital stock or trust principal, or current funds .				30	
Assets or	31	Paid-in or capital surplus, or land, building or equipme	nt fund			31	
	32	Retained earnings, endowment, accumulated income,	or other f	unds		32	
Net	33	Total net assets or fund balances		[155,893,581	33	454,305,205
	34	Total liabilities and net assets/fund balances			1,306,178,203	34	1,600,675,101

orm	990 (2015)			F	age 12
Par	rt XI Reconcilliation of Net Assets				
	Check if Schedule O contains a response or note to any line in this Part XI				🔽
1	Total revenue (must equal Part VIII, column (A), line 12)	1		515,0	146,790
2	Total expenses (must equal Part IX, column (A), line 25)	2		4936	522,200
3	Revenue less expenses Subtract line 2 from line 1	3			24,590
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4			
5	Net unrealized gains (losses) on investments	5			393,581
6	Donated services and use of facilities	6		240,7	85,944
7	Investment expenses	7			
8	Prior period adjustments	8			
9	Other changes in net assets or fund balances (explain in Schedule O)	9		36.2	201,090
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10			305,205
Par	t XII Financial Statements and Reporting				
	Check if Schedule O contains a response or note to any line in this Part XII				
				Yes	No
1	Accounting method used to prepare the Form 990	-			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or revie a separate basis, consolidated basis, or both	wed o	n		
	Separate basis Consolidated basis Both consolidated and separate basis				
b	Were the organization's financial statements audited by an independent accountant?		2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a sepa basis, consolidated basis, or both	ırate			_
	Separate basis Consolidated basis Both consolidated and separate basis				
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?		2 c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain its Schedule O	n			
3а	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in th Single Audit Act and OMB Circular A-133?	е	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		3b	Yes	

Additional Data

Software ID: Software Version:

EIN: 22-2529464

Name: YALE NEW HAVEN HEALTH SERVICES CORPORATION



4a (Code

SEE SCHEDULE O

) (Expenses \$

386,546,152

including grants of \$

496,122) (Revenue \$

512,150,678)

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

(F) Estimated amount

ofother

compensation from the

organization and related organizations

892,153

0

0

0

Compensated Employees, and Inde	pendent Cor	itracto	rs					•	i
(A) Name and Title	(B) A verage hours per week (list any hours	Posit more t perso and a	ion (han o n is b	ne b oth	ox, i an o	unless fficer	5	(D) Reportable compensation from the organization (W-	(E) Reportable compensation from related organizations (W-
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	key employee	Highest compensated employee	Former	2/1099-MISC)	2/1099-MISC)
MARNA BORGSTROM PRESIDENT & CEO	16 00 	х		x				1,186,658	1,779,987
VINCENT CALARCO SECRETARY & TRUSTEE	1 00	х		×				0	0
JOSEPH CRESPO CHAIRMAN & TRUSTEE	1 00	х		×				0	0
MARY FARRELL TRUSTEE	1 00	Х						0	0
THOMAS KETCHUM TRUSTEE	1 00	Х						0	0
JOHN LAHEY TRUSTEE	1 00	×						0	0
MARVIN LENDER	1 00							_	_

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TRUSTEE

TRUSTEE

TRUSTEE

JULIA MCNAMARA

BARBARA MILLER

VICE CHAIR & TRUSTEE

NEWMAN MARSILIUS III

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest **Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) A verage hours per week (list any hours	Posit more th perso and a	ion (han o n is b	ne b oth	ox, ι an o	ınless ficer	3	(D) Reportable compensation from the organization (W-	(E) Reportable compensation from related organizations (W-	(F) Estimated amount of other compensation from the	
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee		key employee	Highest compensated employee	Former	2/1099-MISC)	2/1099-MISC)	organization and related organizations	
BENJAMIN POLAK	1 00										
TRUSTEE	0 00	X						0	0	0	
MEREDITH REUBEN	1 00										
TRUSTEE	1 00	X						0	0	0	
PETER SALOVEY	1 00										
		X						0	0	0	
TRUSTEE	1 00										
ELLIOT SUSSMAN	1 00	v						0		0	
TRUSTEE	0 00	X						0	0	U	
JAMES TORGERSON TRUSTEE	1 00	Х						0	0	0	
JOHN TOWSEND III TRUSTEE	1 00	X						0	0	0	
CARLTON HIGHSMITH TRUSTEE	0 00	X						0	0	0	

1 00

39 00 8 00

32 00

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15,132

169,824

531,120

746,163

R ALAN HUNTER - EFF 9816

STEPHEN ALLEGRETTO

WILLIAM ASELTYNE

TRUSTEE

SR VP

203,129

274,107

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(F) Estimated amount ofother

compensation

from the organization and

related organizations

0

0

908,724

788,285

794,459

3,498,400

227,181

268,462

38,285

208,734

474,585

82,711

156,451

317,179

125,640

compensated Employees, and Inde	penaent cor							i i	
(A) Name and Title	(B) A verage hours per week (list any hours	Posit more th perso and a	ion (nan o n is b	ne b oth	ox, ι an o	unless fficer	3	(D) Reportable compensation from the organization (W-	(E) Reportable compensation from related organizations (W-
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	key employee	Highest compensated employee	Former	2/1099-MISC)	2/1099-MISC)
DANIEL BARCHI - END 113015	8 00			x				180,868	723,473
SR VP	32 00							100,000	, 25, 1, 5
GAYLE CAPOZZALO	40 00			×				1,462,558	0
EXECUTIVE VP	0 00							1,102,000	
EUGENE COLUCCI	4 00			×				61,119	550,067
VP	36 00			l ^				01,113	330,007
RICHARD D'AQUILA EXECUTIVE VP	8 00 32 00			x				373,369	1,493,476
MICHAEL DIMENSTEIN VP (CURRENT YEAR COMP)	2 00 38 00			х				18,836	452,101
MICHAEL DIMENSTEIN VP (VESTED DEFERRED COMP)	2 00 38 00			x				46,576	1,117,798

40 00

0 00 40 00

32 00 1 00

39 00

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WILLIAM GEDGE - RET 93016

WILLIAM GEDGE - RET 93016

WILLIAM JENNINGS

EXECUTIVE VP

ALAN KLIGER

SR VP

SR VP (CURRENT YEAR COMP)

SR VP (VESTED DEFERRED COMP)

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Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest **Compensated Employees, and Independent Contractors**

200,850

429,112

235,088

(A) Name and Title	(B) A verage hours per week (list any hours	Posit more th perso and a	ion (e han o n is b	ne b oth	ox, ι an o /trus	inless fficer tee)		(D) Reportable compensation from the organization (W-	(E) Reportable compensation from related organizations (W-	(F) Estimated amount of other compensation from the	
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	key employee	Highest compensated employee	Former	2/1099-MISC)	2/1099-MISC)	organization and related organizations	
NANCY LEVITT-ROSENTHAL	8 00										
VP	32 00			X				88,273	353,088	154,114	
PATRICK MCCABE	14 00										
SR VP	26 00			X				251,241	466,589	249,847	
KEVIN MYATT	16 00										
SR VP	24 00			X				324,823	487,235	266,850	
JAMES MORRIS	2 00										
JAPIES HORRIS				×				15,034	391,302	147,137	
VP	38 00										
CHRISTOPHER O'CONNOR	33 00										
FVFCIITN/F \/D & COO				X				1,210,574	0	388,215	
EXECUTIVE VP & COO	7 00										
VINCENT PETRINI	1 00							_	644.343	220 757	
SR VP	39 00			X				0	611,342	220,757	
CAR OLVAL CALCON/ER	8 00										
AROLYN SALSGIVER				X				79,095	316,380	156,693	
VP	32 00							.,			
	4.00	i									

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58,943

552,439

268,535

530,492

828,658

402,804

1 00

39 00 16 00

24 00 16 00

24 00

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JOHN SKELLY

JAMES STATEN - END 1216

VINCENT TAMMARO

EXECUTIVE VP, CFO & TREASURER

EXECUTIVE VP, CFO & TREASURER

.....

VP

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors (B) (A) (C) (D) (E)

(F)

71,499

73,290

30,528

86,156

0

0

0

0

409,820

420,495

628,275

382,676

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Name and Title	A verage hours per week (list any hours	Posit more tl perso and a	ion (e han o n is b	ne b oth	ox, u an of /trus	nless ficer tee)		Reportable compensation from the organization (W-	Reportable compensation from related organizations (W-	Estimated amount of other compensation from the
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	key employee	Highest compensated employee	Former	2/1099-MISC)	2/1099-MISC)	organization and related organizations
MELISSA TURNER VP	1 00 39 00			х				0	410,888	147,594
DAVID WURCEL VP	1 00 39 00			x				0	568,234	109,551
NORMAN ROTH EXECUTIVE VP	8 00 32 00			x				215,411	861,647	113,148
PAMELA SCAGLIARINI SR VP	1 00 39 00			×				0	505,853	108,905
PRATHIBHA VARKEY MD-EFF 5216 SR VP	1 00 39 00			x				0	0	0
JOSEPH BISSON VP	40 00					x		494,210	0	88,448
STEPHEN CARBERY	40 00									

0 00 40 00

0 00 40 00

0 00 40 00

0 00

......

LISA STUMP

PHYSICIAN

RICHARD STAHL

MICHAEL ANGELINI

VP

VP

Compensated Employees, and Independent Contractors

(A)
(B)
(C)
(D)
(E)
(F)

Name and Title
A verage
Position (do not check
Reportable
Reportable
Reportable
Estimated amount

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

FORMER OFFICER

Name and Title	hours per week (list any hours for related organizations below dotted line)	more til perso and individual trustee or director	han o n ıs b	one booth	ox, i an o /trus	mile en Highest compensate	Forme	compensation from the organization (W- 2/1099-MISC)	compensation from related organizations (W- 2/1099-MISC)	of other compensation from the organization and related organizations
FRANK CORVINO - RET 123114	0 00									
FORMER OFFICER	0 00						×	915,327	0	3,640
ROBERT NORDGRENMD-RET 32715	0 00						,,		202 427	424.277

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493226025567 OMB No 1545-0047

SCHEDULE A (Form 990 or 990EZ)

Public Charity Status and Public Support

Reason for Public Charity Status (All organizations must complete this part.) See instructions.

A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust. ► Attach to Form 990 or Form 990-EZ.

Open to Public Inspection

Department of the Treasury Internal Revenue Service

CORPORATION

Part I

2 3

Name of the organization

YALE NEW HAVEN HEALTH SERVICES

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). A school described in section 170(b)(1)(A)(ii).(Attach Schedule E (Form 990 or 990-EZ))

A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

Employer identification number 22-2529464

5	I	170(b)(1)(A)(iv). (Co	omplete Part I	I)		·	oy a governmental unit d	escribed iii section
6		A federal, state, or loc	-	-				
7 8		An organization that n described in section 1 A community trust des	70(b)(1)(A)(v	/i). (Complete Part II)	J	mental unit or from the g	eneral public
9	<u></u>	receipts from activitie	es related to it t income and i e 30, 1975 S	s exempt functions—s unrelated business tax ee section 509(a)(2).	ubject to certa kable income (I (Complete Part	in exceptions ess section 5 : III)	atributions, membership s, and (2) no more than 3 511 tax) from businesse ion 509(a)(4).	331/3% of its support
11	\ \ -	one or more publicly s the box in lines 11a th	upported orga Irough 11d tha	nizations described in at describes the type o	section 509(a of supporting or)(1) or section ganization ar	unctions of, or to carry of the section of 509(a)(2) See section and complete lines 11e, 1	n 509(a)(3). Check 1f, and 11g
а	▽		n(s) the power	to regularly appoint o	r elect a majori		organization(s), typical ctors or trustees of the s	
b		Type II. A supporting	organization s pporting orgar	upervised or controlle	d in connection		ported organization(s), b r manage the supported	
c	Γ	supported organization	n(s) (see instr	uctions) You must co	mplete Part IV	, Sections A ,		,
d	Γ		ated The orga	nızatıon generally mu	st satisfy a dis	trıbutıon requ	on with its supported orgonic Prement and an attentive	
e	Γ	Check this box if the contegrated, or Type III	organization re I non-function	ceived a written deter ally integrated suppor	mınatıon from t tıng organızatıo	the IRS that i	t is a Type I, Type II, Ty	pe III functionally
f	Ente	r the number of support					<u>5</u>	
g		Provide the following i	nformation abo	out the supported orga	inization(s)			
Nam	e of s	(i) supported organization	(ii)EIN	(iii) Type of organization (described on lines 1- 9 above (see instructions))	(iv) Is the orga Iisted in your docume	nization governing	(v) A mount of monetary support (see instructions)	(vi) A mount of other support (see instructions)
					Yes	No		
See	A dditi	ional Data Table						
Total	_				l		43,288,000	

Pa	Support Schedule fo (Complete only if you							
	Part III. If the organiza							
S	ection A. Public Support							
	Calendar year	(a)2011	(b) 2012	(c)2013	(d)2014	(e) 2	015	(f)Total
(or	fiscal year beginning in) ► Gifts, grants, contributions, and	. ,						
-	membership fees received (Do							
	not include any unusual grants)							
2	Tax revenues levied for the							
	organization's benefit and either							
3	paid to or expended on its behalf The value of services or facilities							
3	furnished by a governmental unit							
	to the organization without charge							
4	Total. Add lines 1 through 3							
5	The portion of total contributions							
	by each person (other than a							
	governmental unit or publicly supported organization) included							
	on line 1 that exceeds 2% of the							
	amount shown on line 11, column							
	(f)							
6	Public support. Subtract line 5							
-56	from line 4 ection B. Total Support							
	Calendar year							
(or	fiscal year beginning in)	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2	015	(f) Total
7	A mounts from line 4							
8	Gross income from interest,							
	dividends, payments received on							
	securities loans, rents, royalties							
9	and income from similar sources Net income from unrelated							
9	business activities, whether or							
	not the business is regularly							
	carried on							
10	Other income Do not include							
	gain or loss from the sale of							
	capital assets (Explain in Part VI)							
11	Total support. Add lines 7							
	through 10							
12	Gross receipts from related activiti	•	•			12		
13	First five years.If the Form 990 is t	=			·) organization,
	check this box and stop here ection C. Computation of Pub	lia Sunnaut D		<u> </u>		<u> </u>	.▶	
14				11 column (f))		T		
	Public support percentage for 2015	•		11, column (1))		14		
15	Public support percentage for 2014	•	•		1.1 2.220/	15	-11-4	-1 1
10a	33 1/3% support test—2015.If the	-		•	ine 14 is 33 1/3%	ormore	, check t	.nis dox
ь	and stop here. The organization qua 33 1/3% support test—2014. If the				and line 15 is 33	1/3% or	more, ch	neck this
	box and stop here. The organization	-				•	,	▶┌
17a	10%-facts-and-circumstances test			_	e 13, 16a, or 16b	, and lin	e 14	•
	ıs 10% or more, and ıf the organıza							
	in Part VI how the organization mee	ets the "facts-and	d-cırcumstances"	test The organi	zatıon qualıfıes as	a public	:ly suppo	orted
_	organization							▶┌
b	10%-facts-and-circumstances test							
	15 is 10% or more, and if the organization in Part VI how the organization							lv
	supported organization	don meets the h	accs-and-circuits	stances lest III	c organization que	aimes ds	a public	'y ▶ [
18	Private foundation. If the organizat	ion did not check	a box on line 13.	16a, 16b. 17a. d	or 17b, check this	box and	see	F
•	instructions			, -, - : -, -	,			▶□

Part III Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part

	II. If the organization	<u>n fails to qualif</u>	y under the tes	sts listed below	<u>, please comple</u>	ete Part II	i.)	
_Se	ction A. Public Support			1	1	1		
/ a.v. 6	Calendar year iscal year beginning in) ▶	(a)2011	(b) 2012	(c) 2013	(d)2014	(e) 20	15 (f) To	tal
(or 1	Gifts, grants, contributions, and							
•	membership fees received (Do							
	not include any "unusual grants ")							
2	Gross receipts from admissions,							
	merchandise sold or services							
	performed, or facilities furnished							
	in any activity that is related to							
	the organization's tax-exempt purpose							
3	Gross receipts from activities							
•	that are not an unrelated trade or							
	business under section 513							
4	Tax revenues levied for the							
	organization's benefit and either							
_	paid to or expended on its behalf							
5	The value of services or facilities furnished by a governmental unit							
	to the organization without charge							
6	Total. Add lines 1 through 5							
	Amounts included on lines 1, 2,							
,	and 3 received from disqualified							
	persons							
b	A mounts included on lines 2 and							
	3 received from other than							
	disqualified persons that exceed the greater of \$5,000 or 1% of							
	the amount on line 13 for the year							
С	Add lines 7a and 7b							
8	Public support. (Subtract line 7c							
	from line 6)							
Se	ction B. Total Support							
	Calendar year	(a)2011	(b) 2012	(c)2013	(d)2014	(e) 20	15 (f) To	
(or f	iscal year beginning in) 🟲	(a)2011	(6)2012	(6)2013	(4)2017	(6)20	(1)10	
9	A mounts from line 6							
10a	Gross income from interest,							
	dividends, payments received on							
	securities loans, rents, royalties and income from similar sources							
b	Unrelated business taxable							
_	income (less section 511 taxes)							
	from businesses acquired after							
	June 30, 1975							
С	Add lines 10a and 10b							
11	Net income from unrelated							
	business activities not included in line 10b, whether or not the							
	business is regularly carried on							
12	Other income Do not include							
	gain or loss from the sale of							
	capital assets (Explain in Part							
4.7	VI) Total support. (Add lines 9, 10c,							
13	11, and 12)							
14	First five years.If the Form 990 is t	for the organizati	on's first, second	l, third, fourth, or	fıfth tax year as a	section 5	01(c)(3) organiz	ation,
	check this box and stop here	-			•		▶ □	
Se	ction C. Computation of Pub	lic Support P	ercentage					
15	Public support percentage for 2015			e 13, column (f))		15		
16	Public support percentage from 20					-		
						16		
	ction D. Computation of Inv							
17	Investment income percentage for	2015 (line 10c, c	olumn (f) divided	by line 13, colur	nn (f))	17		
18	Investment income percentage from	n 2014 Schedule	A, Part III, line	17		18		
19a	33 1/3% support tests—2015.If the	organization did	not check the b	ox on line 14, and	l line 15 is more t	han 33 1/3	%, and line 17 is	s not
	more than 33 1/3%, check this box	_						• [
ь	33 1/3% support tests—2014.If the							
	18 is not more than 33 1/3%, chec	-						▶□
20	Private foundation.If the organizat		-	•		-		一 '

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I If you checked 11a of Part I, complete Sections A and B If you checked 11b of Part I, complete Sections A, D, and E If you checked 11d of Part I, complete Sections A, D, and E If you checked 11d of Part I, complete Sections A, D, and D, and complete Part V.)

_	I, complete Sections A and D, and complete Part V)			
Se	ction A. All Supporting Organizations	1	V	N-
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.	1	Yes	No No
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2)	2		No
3а	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below	3а		No
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use	3 c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below	4a		No
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign			
	supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations	4b		
С	Did the organization support any foreign supported organization that does not have an IRS determination under sections $501(c)(3)$ and $509(a)(1)$ or (2) ? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section $170(c)(2)(8)$ purposes	4 c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable) Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document)	5a	Yes	
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b	Yes	
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?	5 c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .	6		No
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990)	7		No
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part II of Schedule L (Form 990)	8		No
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509 (a)(1) or (2))? If "Yes," provide detail in Part VI .	9a		No
b	Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .	9b		No
С	Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .	9c		No
	Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer b below	10a		No
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings)	10b		
1	Has the organization accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11a		No
b	A family member of a person described in (a) above?	11b		No

c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI

11c

Νo

Par	rt IV Supporting Organizations (continued)			
Se	ection B. Type I Supporting Organizations			
			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year	r 1		No
2		n(s)		No
Se	ection C. Type II Supporting Organizations			
			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors of trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persthat controlled or managed the supported organization(s)			
Se	ection D. All Type III Supporting Organizations			
			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the priotax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provides.	f		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s)	2		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard			
_				
	ection E. Type III Functionally-Integrated Supporting Organizations			
1 b	The organization supported a governmental entity Describe in Part VI how you supported a governme instructions)			
2	Activities Test Answer (a) and (b) below.		Yes	No
a	a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities			
t	b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement			
3	Parent of Supported Organizations Answer (a) and (b) below.			
	a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustee each of the supported organizations? <i>Provide details in Part VI</i>	3a		
Ŀ	b Did the organization exercise a substantial degree of direction over the policies, programs and activities of ear of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard	3 b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

(Check here if the organization satisfied the Integral Part Test as a qualifying t	trust on N	ov 20,1970 See inst	ructions. All other
1	Type III non-functionally integrated supporting organizations must complete	Sections	A through E	
	Section A - Adjusted Net Income		(A) Prior Year	(B) Current Yea (optional)
	Net short-term capital gain	1		
	Recoveries of prior-year distributions	2		
	Other gross income (see instructions)	3		
	Add lines 1 through 3	4		
	Depreciation and depletion	5		
	Portion of operating expenses paid or incurred for production or collection o gross income or for management, conservation, or maintenance of property held for production of income (see instructions)			
	Other expenses (see instructions)	7		
	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8		
	Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Yea (optional)
	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1		
а	Average monthly value of securities	1a		
b	Average monthly cash balances	1 b		
С	Fair market value of other non-exempt-use assets	1c		
d	Total (add lines 1a, 1b, and 1c)	1d		
e	Discount claimed for blockage or other factors (explain in detail in Part VI)			
	Acquisition indebtedness applicable to non-exempt use assets	2		
	Subtract line 2 from line 1d	3		
	Cash deemed held for exempt use Enter $1\text{-}1/2\%$ of line 3 (for greater amount, see instructions)	4		
	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
	Multiply line 5 by 035	6		
	Recoveries of prior-year distributions	7		
	Minimum Asset Amount (add line 7 to line 6)	8		
	Section C - Distributable Amount			Current Year
	Adjusted net income for prior year (from Section A, line 8, Column A)	1		
	Enter 85% of line 1	2		
	Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
	Enter greater of line 2 or line 3	4		
	Income tax imposed in prior year	5		
	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		
(Check here if the current year is the organization's first as a non-functionally-	-ıntegrate	d Type III supporting o	organization (see

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)							
Section D - Distributions			Current Year				
1 A mounts paid to supported organizations to accom	plish exempt purposes						
2 A mounts paid to perform activity that directly furth excess of income from activity	ers exempt purposes of supp	ported organizations, in					
3 Administrative expenses paid to accomplish exemp	ot purposes of supported org	anızatıons					
4 A mounts paid to acquire exempt-use assets							
5 Qualified set-aside amounts (prior IRS approval re-	quired)						
6 Other distributions (describe in Part VI) See instru	uctions						
7 Total annual distributions. Add lines 1 through 6							
8 Distributions to attentive supported organizations to details in Part VI) See instructions	o which the organization is r	esponsive (provide					
9 Distributable amount for 2015 from Section C, line	6						
10 Line 8 amount divided by Line 9 amount							
Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015				
1 Distributable amount for 2015 from Section C, line							
2 Underdistributions, if any, for years prior to 2015 (reasonable cause requiredsee instructions)							
3 Excess distributions carryover, if any, to 2015							
a							
b							
С							
d From 2013							
e From 2014							
f Total of lines 3a through e							
 g Applied to underdistributions of prior years h Applied to 2015 distributable amount 							
i Carryover from 2010 not applied (see							
instructions)							
j Remainder Subtract lines 3g, 3h, and 3i from 3f							
4 Distributions for 2015 from Section D, line 7							
\$							
a Applied to underdistributions of prior years							
b Applied to 2015 distributable amount							
c Remainder Subtract lines 4a and 4b from 4							
5 Remaining underdistributions for years prior to 2015, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)							
6 Remaining underdistributions for 2015 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)							
7 Excess distributions carryover to 2016. Add lines 31 and 4c							
8 Breakdown of line 7							
a							
b							
c Excess from 2013							
d From 2014							
e From 2015							

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test				
,				

Return Reference	Explanation
PART IV, SECTION A,	EFFECTIVE SEPTEMBER 8, 2016, LAWRENCE + MEMORIAL CORPORATION ("LMC") AND ITS RELATED ORGAN
LINE 5	IZATIONS, INCLUDING LAWRENCE + MEMORIAL HOSPITAL AND WESTERLY HOSPITAL BECAME AFFILIATED W
	ITH THE YALE NEW HAVEN HEALTH SYSTEM AS A RESULT OF THE CLOSING OF THE AFFILIATION TRANSA CTION, THE ORGANIZATION BECAME THE SOLE MEMBER OF LMC THE GOVERNING DOCUMENTS OF THE ORGA
	NIZATION WERE AMENDED TO EXPAND ITS SUPPORTED ORGANIZATIONS TO INCLUDE THE LMC

FLECT THE CHANGE IN MEMBER PURSUANT TO THE AFFILIATION

TWORK, AND THE GOVERNING DOCUMENTS OF THE LMC DELIVERY NETWORK ENTITIES WERE AMENDED

990 Schedule A, Supplemental Information

TO RE

Return Reference	Explanation
PART IV, SECTION B,	AS THE PARENT ORGANIZATION OF AN INTEGRATED HEALTH CARE DELIVERY SYSTEM, THE ORGANIZATION
LINE 1	IS RESPONSIVE TO THE NEEDS AND DEMANDS OF ITS MEMBER HOSPITALS AND OTHER HEALTH CARE PROVI
	DERS (REFERRED TO AS DELIVERY NETWORKS) THE ORGANIZATION CREATES VALUE FOR THE DELIVERY
	ETWORKS AND SUPPORTS THEIR OPERATIONS BY CENTRALIZING CERTAIN ADMINISTRATIVE SERVICES WITH
	THE ORGANIZATION AND SPREADING THE COSTS OF THESE SERVICES ACROSS ALL OF THE DELIVERY
	ETWORKS IN THIS WAY, THE DELIVERY NETWORKS OBTAIN THE SERVICES, EXPERTISE, INFRASTRUCTURE
	AND ECONOMIES OF SCALE OF A MUCH LARGER HEALTH SYSTEM SYSTEM-WIDE SERVICES INCLUDE, IN
	ART, POPULATION HEALTH TECHNOLOGY, BILLING, INFORMATION TECHNOLOGY INFRASTRUCTURE,
	NCE AND LEGAL AND RISK MANAGEMENT SUPPORTING THESE "BACK OFFICE" SERVICES AND OTHER VALUE
	-CREATING ATTRIBUTES ALLOW THE DELIVERY NETWORKS TO FREE UP MEASURABLE RESOURCES, GENERATE
	NEW REVENUE FOR INVESTMENT IN THEIR RESPECTIVE LOCAL AND REGIONAL MISSIONS AND FOCUS
	ATIENT OUTCOMES AND THE HEALTH OF THE COMMUNITIES THEY SERVE THE CHAIRS OF YALE NEW
	HOSPITAL, BRIDGEPORT HOSPITAL, GREENWICH HOSPITAL AND LAWRENCE + MEMORIAL HOSPITAL SERVE.
	EX-OFFICIO, AS VOTING MEMBERS OF THE ORGANIZATION'S BOARD OF TRUSTEES FURTHER, A NUMBER OF THE ORGANIZATION'S SENIOR EXECUTIVES HAVE DELIVERY NETWORK SPECIFIC ROLES AND
	RESPONSIB ILITIES AND REPRESENT THE INTERESTS OF THOSE DELIVERY NETWORKS THE DELIVERY NETWORKS HAVE
	APPROVAL RIGHTS WITH RESPECT TO, IN PART, ARTICULATING THE LOCAL DIMENSIONS OF THE

990 Schedule A. Supplemental Information

SYSTEM MISSION, VISION AND VALUES AND STRATEGY, OVERSEEING AND ASSURING PERFORMANCE IN CLINICAL QUALITY AND PATIENT SAFETY, DEVELOPING THE OPERATING AND CAPITAL BUDGETS AND **OVERSEEING TH** EM IN THE CONTEXT OF THE OVERALL SYSTEM BUDGET, OVERSEEING PUBLIC RELATIONS, COMMUNITY AGEMENT, AND LOCAL GOVERNMENT RELATIONS AND APPROVING THE LOCAL COMMUNITY HEALTH **NEEDS ASS** ESSMENT AND IMPLEMENTATION PLAN

Schedule A (Form 990 or 990-EZ) 2015

Additional Data

Software ID: Software Version:

EIN: 22-2529464

Name: YALE NEW HAVEN HEALTH SERVICES

CORPORATION

Form 990, Sch A, Part I, Line 11g - Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii)EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(i) Is the org listed gove docur	ianization in your rning	(v) A mount of monetary support (see instructions)	A mount of other support (see
			Yes	No		
(A) YALE NEW HAVEN HOSPITALINC	060646652		Yes		0	0
(A) BRIDGEPORT HOSPITAL	060646554		Yes		0	0
(B) GREENWICH HOSPITAL	060646659		Yes		0	0
(C) NORTHEAST MEDICAL GROUP INC	061330992		Yes		43,288,000	0
(D) LAWRENCE MEMORIAL HOSPITAL INC	060646704		Yes		0	0

SCHEDULE D

(Form 990)

Department of the

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

OMB No 1545-0047

DLN: 93493226025567

Treasury Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990. Inspection Internal Revenue Service **Employer identification number** Name of the organization YALE NEW HAVEN HEALTH SERVICES CORPORATION 22-2529464 Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6. (a) Donor advised funds (b) Funds and other accounts Total number at end of year Aggregate value of contributions to (during Aggregate value of grants from (during year) Aggregate value at end of year Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? Yes Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7 Purpose(s) of conservation easements held by the organization (check all that apply) Preservation of land for public use (e.g., recreation or Preservation of an historically important land area education) Protection of natural habitat Preservation of a certified historic structure Preservation of open space Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year Held at the End of the Year Total number of conservation easements 2a Total acreage restricted by conservation easements 2b Number of conservation easements on a certified historic structure included in (a) **2**c Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax vear ▶ Number of states where property subject to conservation easement is located ▶_ Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4) (B)(I) and section 170(h)(4)(B)(II)? In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8. If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items (i) Revenue included on Form 990, Part VIII, line 1 (ii) Assets included in Form 990, Part X If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

Revenue included on Form 990, Part VIII, line 1

Assets included in Form 990, Part X

Part	*****	Organizations Maintaining <i>(continued)</i>	Collections of A	Art, His	torio	cal Tro	easures,	or Ot	ther Similar	Asse	ts	
3		the organization's acquisition, acce tion items (check all that apply)	ession, and other rec	cords, ch	neck a	n y of th	ie following t	that a	re a significant i	ıse of	ıts	
а	┌ P	ublic exhibition		d		Loan	or exchange	progr	ams			
b	⊢ s	cholarly research		e	Γ	Other						
c	•	reservation for future generations										
4		e a description of the organization's	s collections and exi	plain hov	w thev	further	the organiz	atıon's	s exempt purpos	se in		
	Part X				,							
5		the year, did the organization solid to be sold to raise funds rather tha								es	┌ No	
Par		Escrow and Custodial Arra Complete if the organization a	ngements.	·								n 990,
1a		Part X, line 21. organization an agent, trustee, cus ed on Form 990, Part X?	todian or other inter	mediary	forco	ontribut	ions or othe	rasse	ets not	es	┌ No	
L	1 f 1	Yes," explain the arrangement in Pa	ert VIII and complet	a tha fal	louine	, tabla				moun		
b c		inning balance	int XIII and complet	e the lot	nowing	, савте		1c		moun	-	
d	_	itions during the year						1d				
e		ributions during the year						1e				
f		ing balance						1f				
2a	Did th	e organization include an amount or	n Form 990, Part X,	line 21,	for es	crow or	custodial a	ccoun	t liability? Y	es	┌ No	
									·		•	
ь		s," explain the arrangement in Part										Ш
Ра	rt V	Endowment Funds. Comple	te if the organizat		or year		" to Form (c)Two years		Part IV, line 1 (d)Three years back		Four yea	arc hack
1a	Begin	ning of year balance	(a)Current year	(0)-1	ioi yeai		(C) I WO years	Dack ((d)Tillee years back	(6)	i our yea	115 Dack
b	_	butions										
С	Net in losses	vestment earnings, gains, and										
d	Grant	s or scholarships										
е		expenditures for facilities ograms										
f	A dmır	nistrative expenses										
g		year balance										
2	Provid	e the estimated percentage of the o	current year end bala	ance (lın	ne 1 g,	column	(a)) held as	;				
а	Board	designated or quasi-endowment 🕨										
b	Perma	nent endowment ►										
С	•	orarily restricted endowment ► ercentages on lines 2a, 2b, and 2c s	should equal 100%									
3a		ere endowment funds not in the pos zation by	session of the organ	nızatıon	that a	re held	and adminis	tered	for the		Yes	No
		elated organizations							:	3a(i)	163	110
		ated organizations					-		[3	Ba(ii)		
b		s" on 3a(II), are the related organiza	•							3b		
4 Par		be in Part XIII the intended uses of Land, Buildings, and Equip		endowin	ent iu	nus						
		Complete if the organization a		Form 9	90, P	art IV,	line 11a.S	See F			ne 10.	
		Description of property			(a) st or oth (investr	ner basıs	(b) Cost or othe (other		Accumulated (c)depreciation		(d) Book	value
1a	Land .			•								
b	Building											
c	 Leasehr	old improvements		.			2 1	16,244	1,172,3	:00		943,944
		ent						088,025			106	,693,688
	Other		- ·				1	,				. ,
							- ())	17,741				,017,741
ıota	ı. A ad lı	nes 1a through 1e (Column (d) mus	ι equai Form 990, Par	ιχ, colui	mn (B ₎	i, iine 10	J(C))	•	▶ Schedul			,655,373

XIII 🔼

See Form 990, Part X, line 12.	nplete if the orga	anization answered 'Yes	on Fo	rm 990, Part IV, line 11b.
(a) Description of security or category (including name of security)		(b) Book value	Cos	(c)Method of valuation t or end-of-year market value
(1)Financial derivatives				
(2)Closely-held equity interests (3)Other				
(3)0 their				
	•			
Total. (Column (b) must equal Form 990, Part X, col (B) line 12) Part VIII Investments—Program Related.	<u> </u>			
Complete if the organization answered	'Yes' on Form 99	90. Part IV. line 11c.ca	. Earm	000 Part V June 12
(a) Description of investment		(b) Book value		(c) Method of valuation
(a) Bescription of investment		(B) Book Value		or end-of-year market value
(1)INVEST IN L+M CORP		277,307,150		С
(2)INVEST IN NEPC/VHA		193,545		С
(3)INVEST IN TOTAL HEALTH		765,186		С
(4)INVEST IN PATIENT WISDOM (5)INVEST IN MCIC VERMONT		174,000		C C
(6)INVEST IN N SHORE LIJ		492,577		C
(7)MCIC EQUITY		76,328,352		C
(8)MCIC INVESTMENT		10,811,582		С
Total. (Column (b) must equal Form 990, Part X, col (B) line 13) Part IX Other Assets. Complete if the organization	■	367,072,392	1 1 6	000 D+ V J 15
(a) Descri		Troini 990, Pait IV, line I	10 566	(b) Book value
Total. (Column (b) must equal Form 990, Part X, col (B) line 1			•	1 44 446
Part X Other Liabilities. Complete if the organise See Form 990, Part X, line 25.	inization answer	ed Yes on Form 990, F	art IV,	line Tie or Tir.
1. (a) Description of liability	(b) Book val	ue		
<u></u>				
Federal income taxes	<u></u>			
PROFESSIONAL LIABILITY INSURANCE	11,77	5,175		
ACCRUED SUPPLEMENTAL RETIREMENT	23,99	6.480		
ACCROED SOFF EETIERT AE RETIRETIERT	23,33	0,100		
ACQUISITION CONTINGENT LIABILITY	5,00	0,000		
RETRO INSURANCE CREDIT	19,72	4,526		
INTEREST RATE SWAP	69,02	8,041		
	, -			
Table (Colonia (h.)	100 ==	4 222		
Total. (Column (b) must equal Form 990, Part X, col (B) line 25)	129,52		finar -	al statements that remarks (1)
2. Liability for uncertain tax positions In Part XIII, provide organization's liability for uncertain tax positions under FI			_	

Part	Reconciliation of Revenue per Audited Financial Statements With Revenue Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.	per F	leturn
1	Total revenue, gains, and other support per audited financial statements	1	
2	A mounts included on line 1 but not on Form 990, Part VIII, line 12		
а	Net unrealized gains (losses) on investments 2a		
b	Donated services and use of facilities 2b		
c	Recoveries of prior year grants		
d	Other (Describe in Part XIII) 2d		
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	A mounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b . 4a		
b	Other (Describe in Part XIII)		
c	Add lines 4a and 4b	4 c	
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	
Part		s pe	Return.
1	Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a. Total expenses and losses per audited financial statements	1	
2	·		
	A mounts included on line 1 but not on Form 990, Part IX, line 25 Donated services and use of facilities		
a b		ł	
_	The state of the s	-	
c d		1	
	Other (Describe in Part XIII)	2e	
е 3	Subtract line 2e from line 1		
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		+
	Investment expenses not included on Form 990, Part VIII, line 7b 4a		
a b	Other (Describe in Part XIII)	ł	
_	,	4c	
с 5	Add lines 4a and 4b	5	
<u> </u>	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 16)		
Part	XIII Supplemental Information		
	de the descriptions required for Part II, lines 3,5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2 7, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to nation		ide any additional
	Return Reference Explanation		
See A	ditional Data Table		
		_	

chedule D (Form 990) 2015		Page 5
Part XIII Supplemental Information	n (continued)	
Return Reference	Explanation	
<u> </u>		
•		

Additional Data

Software ID: Software Version:

EIN: 22-2529464

Name: YALE NEW HAVEN HEALTH SERVICES

MOST ENTITIES WITHIN THE SYSTEM ARE NOT-FOR-PROFIT CORPORATIONS AS DESCRIBED IN SECTION

CORPORATION

Supplemental Information

Return Reference

PART X, LINE

2	50
	1(C)(3) OF THE CODE, AND ARE GENERALLY EXEMPT FROM FEDERAL INCOME TAXES PURSUANT TO
	SECTIO
	N 501(A) OF THE CODE PROVISIONS FOR INCOME TAXES AND DEFERRED TAXES, WHICH ARE NOT MATERI
	AL TO THE CONSOLIDATED FINANCIAL STATEMENTS, HAVE BEEN MADE FOR THE TAXABLE ENTITIES LISTE
	D ABOVE UNDER THE DESCRIPTION OF THE SYSTEM US GAAP REQUIRES THE SYSTEM TO EVALUATE TAX
	POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN THE COURSE OF PREPARING THE SYSTEM'S TAX RETUR
	NS TO DETERMINE WHETHER THE TAX POSITIONS ARE "MORE-LIKELY-THAN-NOT" OF BEING SUSTAINED BY
	THE APPLICABLE TAX AUTHORITY BASED UPON THE TECHNICAL MERITS OF THE POSITION THE SYSTEM
	RECOGNIZES THE EFFECT OF TAX POSITIONS ONLY IF THEY ARE MORE-LIKELY-THAN-NOT OF BEING SUST
	AINED THIS EVALUATION HAD NO IMPACT ON THE OPERATIONS OF THE SYSTEM AS OF AND FOR THE YEA
	D ENDED SEPTEMBER 30, 2016 AND 2015

Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data Schedule I
(Form 990) | Grants and C
Governments
Complete if the organization

Treasury

Internal Revenue Service

Name of the organization

CORPORATION

YALE NEW HAVEN HEALTH SERVICES

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at <u>www.irs.gov/form990</u>.

OMB No 1545-0047

2015

DLN: 93493226025567

Open to Public Inspection

Employer identification number

22-2529464

Part I General Informatio	n on Grants and	d Assistance					
Does the organization maintain the selection criteria used to aw Describe in Part IV the organization.	vard the grants or as	sıstance?				stance, and	√ Yes N
Part II Grants and Other Assistation that received more than s				plete ıf the organızatıon	answered "Yes" on F	orm 990, Part IV, line 2	l , for any recipient
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of gran or assistance
See Additional Data Table							
2 Enter total number of section 50	. , . ,	2					19
3 Enter total number of other orga							1
For Paperwork Reduction Act Notice, see	the Instructions for	Form 990.		Cat No 50055P		Schedu	le I (Form 990) 2015

(a)Type of grant or assistance	(b)Number of recipients	(c)A mount of cash grant	(d)A mount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistanc

ASSISTANCE PROVIDED, HOWEVER DOES NOT MONITOR SPECIFIC FUNDS

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.									
Return Reference	Explanation								
,	NONE OF THE AMOUNTS REPORTED ON SCHEDULE I, PART II ARE GRAGIVEN TO ORGANIZATIONS TO ASSIST IN THE FURTHERANCE OF THE SERVICES CORPORATION ("HSC") CARRIES OUT DUE DILIGENCE IN PORGANIZATIONS THAT COMPLEMENT ITS MISSION OR SUPPORT THE EACH ORGANIZATION'S EIN AS LISTED ON IRS FORM W-9 THAT HAS I QUALIFYING ORGANIZATIONS IS NOT OUTCOMES-BASED AND IS GIVENDRAISING EVENTS OR IN SUPPORT OF DIRECT SERVICES HSC MA	IR CHARITABLE MISSION YALE ROVIDING MONETARY ASSISTAI GREATER GOOD IN THE COMMU EEN SUBMITTED TO HSC ASSIS EN IN SUPPORT OF AN INDIVID	NEW HAVEN HEALTHCARE NCE ONLY TO QUALIFYING 501(C)3 NITIES SERVED HSC VERIFIES TANCE DONATED BY HSC TO THESE JAL ORGANIZATION'S						

Additional Data

782 ORCHARD STREET NEW HAVEN, CT 06511 Software ID: Software Version:

EIN: 22-2529464

Name: YALE NEW HAVEN HEALTH SERVICES

CORPORATION

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of (b) EIN (c) IRC section (d) Amount of cash (e) Amount of non- (f) Method of valuation (g) Description of

organization or government		ıf applıcable	grant	cash assistance	(book, FMV, appraisal, other)	non-cash assistance	or assistance
ACHIEVEMENT FIRST 403 JAMES STREET NEW HAVEN,CT 06511	65-1203744	501(C)(3)	10,800				SUPPORT MISSION
ANTI DEFAMATION LEAGUE WHITNEY AVE NEW HAVEN,CT 06511	13-1818723	501(C)(3)	9,000				SPONSORSHIP
BEULAH HEIGHTS CHURCH	06-1290930	501(C)(3)	6,000				SPONSORSHIP

(h) Purpose of grant

(a) Name and address of **(b)** EIN (c) IRC section (d) A mount of cash (e) Amount of non- (f) Method of valuation (g) Description of (h) Purpose of grant organization ıfapplıcable cash (book, FMV, appraisal, non-cash assistance orassistance grant or government assistance other) BRISTOL HOSPITAL 06-0646559 501(C)(3) 11,100 SUPPORT MISSION BREWSTER ROAD ORT MISSION

SUPPORT MISSION

FOUNDATION INC 222 SARGENT DRIVE	BRISTOL,CT 06011					
	FOUNDATION INC	06-6073063	501(C)(3)	12,500		SUPPO

21,891

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

501(C)(3)

FIRST CALVERY BAPTIST

609 DIXWELL AVE NEW HAVEN, CT 06511

CHURCH

06-1173497

(a) Name and address of **(b)** EIN (c) IRC section (d) Amount of cash (e) A mount of non- (f) Method of valuation (g) Description of (h) Purpose of grant organization ıf applıcable grant cash (book, FMV, appraisal, non-cash assistance orassistance or government assistance other) 06-6099313 501(C)(4) 19,500 GREATER NEW HAVEN SPONSORSHIP NAACP 545 WHALLEY AVE

NEW HAVEN, CT 06511					
GREENWICH HOSPITAL 5 PERRYRIDGE ROAD GREENWICH,CT 06830	06-0646059	501(C)(3)	9,000		SUPPORT MISSION
JUVENILE DIABETES	23-1907729	501(C)(3)	10,000		SUPPORT MISSION

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

RESEARCH

26 BROADWAY 15TH FLOOR NEW YORK, NY 10004

(a) Name and address of **(b)** EIN (c) IRC section (d) A mount of cash (e) A mount of non- (f) Method of valuation (g) Description of (h) Purpose of grant organization ıfapplıcable grant cash (book, FMV, appraisal, non-cash assistance orassistance or government assistance other) LAWRENCE MEMBOTAL 06-0646704 501(C)(3) 11,500 SUPPORT MISSION HOSPITAL INC. 365 MONTAUK AVENUE NEW LONDON CT 06320

	11211 20113011,01 00320					
	NEWHAVEN	06-1444222	501(C)(3)	26,500		SPONSORSHIP
	INTERNATIONAL FESTIVAL					
	OF ARTS					
	195 CHURCH STREET 12TH FLOOR					
l	NEW HAVEN OF OCE10					

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

ORCHESTRA INC 545 LONG WHARF NEW HAVEN, CT 06511

NEW HAVEN, CT 06510 501(C)(3) NEW HAVEN SYMPHONY 06-6000592 9,000 SPONSORSHIP

(a) Name and address of **(b)** EIN (c) IRC section (d) A mount of cash (e) Amount of non- (f) Method of valuation (g) Description of (h) Purpose of grant organization ıfapplıcable grant cash (book, FMV, appraisal, non-cash assistance orassistance or government assistance other) PLANNED PARENTHOOD OF 06-0263565 501(C)(3) 6,000 SUPPORT MISSION SOUTHERN CT 345 WHITNEY AVENUE SSION

NEW HAVEN, CT 06512					
RONALD MCDONALD HOUSE OF CONNECTICUT INC 501 GEORGE STREET NEW HAVEN,CT 06511	06-1063758	501(C)(3)	5,950		SUPPORT MISSION
SICKLE CELL DISEASE	23-7175985	501(C)(3)	15,000		SUPPORT MISSION

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

ASSOCIATION OF AMERICA INC

3700 KOPPERS ST NO 570 BALTIMORE, MD 21227

(a) Name and address of **(b)** EIN (c) IRC section (d) A mount of cash (e) Amount of non- (f) Method of valuation (g) Description of (h) Purpose of grant organization ıfapplıcable grant cash (book, FMV, appraisal, non-cash assistance orassistance or government assistance other) THE EASTERN 06-6068892 501(C)(3) 7,500 SPONSORSHIP CONNECTICUT SYMPHONY ORCHESTRA 289 STATE STREET RSHIP

6,000

SUPPORT MISSION

NEW LONDON, CT 06320						
THE TENNIS FOUNDATION 900 CHAPEL STREET SUITE 622 NEW HAVEN,CT 06510	06-1287098	501(C)(3)	6,280			SPONSOR
					1	

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

501(C)(3)

UNITED WAY OF GREATER

370 JAMES STREET STE 403 NEW HAVEN, CT 06519

NEWHAVEN

06-0646761

(a) Name and address of **(b)** EIN (c) IRC section (d) A mount of cash (e) Amount of non- (f) Method of valuation (g) Description of (h) Purpose of grant organization ıf applıcable arant cash (book, FMV, appraisal, non-cash assistance or assistance or aovernment assistance other) 06-0646941 501(C)(3) 11.500 SUPPORT MISSION VISITING NURSE ASSOCIATION SOUTH

MISSION

ONE LONG WHARF DRIVE
NEW HAVEN, CT 06511

YALE NEW HAVEN 06-0646652 501(C)(3) 5,500

SUPPORT
HOSPITAL MISSIONSUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

20 YORK STREET

NEW HAVEN, CT 06504

DLN: 93493226025567

Schedule J (Form 990)

Department of the

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Treasury **Employer identification number** Name of the organization YALE NEW HAVEN HEALTH SERVICES CORPORATION

COI	TORA	1011		22-2529464					
Pa	rt I	Questions Regarding Compensation							
						Yes	No		
1a		ck the appropiate box(es) if the organization provide , Part VII, Section A , line 1a Complete Part III to p		,					
		First-class or charter travel	Γ	Housing allowance or residence for personal use					
		Travel for companions	Γ	Payments for business use of personal residence					
		Tax idemnification and gross-up payments	Γ	Health or social club dues or initiation fees					
		Discretionary spending account	Γ	Personal services (e g , maid, chauffeur, chef)	 	 	l I		
b		ly of the boxes in line 1a are checked, did the organi bursement or provision of all of the expenses descri			1b				
2	la companya di managana di								
					2				
3	orga	cate which, if any, of the following the filing organizat nization's CEO/Executive Director Check all that a I by a related organization to establish compensatio	pply	Do not check any boxes for methods					
	✓	Compensation committee	~	Written employment contract					
	✓	Independent compensation consultant	~	Compensation survey or study					
		Form 990 of other organizations	✓	Approval by the board or compensation committee					
4		ng the year, did any person listed on Form 990, Part related organization	VII	, Section A, line 1a with respect to the filing organization					
а	Rec	eive a severance payment or change-of-control payi	ment	:?	4a		Νo		
b	Part	icipate in, or receive payment from, a supplemental	nonc	qualified retirement plan?	4b	Yes			
c	Part	icipate in, or receive payment from, an equity-based	lcon	npensation arrangement?	4c		Νo		
	If"Y	es" to any of lines 4a-c, list the persons and provid	e the	e applicable amounts for each item in Part III					
5	For	r 501(c)(3), 501(c)(4), and 501(c)(29) organizations persons listed on Form 990, Part VII, Section A, line pensation contingent on the revenues of		•					
а	The	organization?			5a		Νo		
b		related organization? es," on line 5a or 5b, describe in Part III			5b		No		
6	For	persons listed on Form 990, Part VII, Section A, line pensation contingent on the net earnings of	e 1a	, did the organization pay or accrue any					
а	The	organization?			6 a		Νo		
b	Any	related organization?			6 b		Νo		
	If"Y	es," on line 6a or 6b, describe in Part III							
7		persons listed on Form 990, Part VII, Section A, line nents not described in lines 5 and 6? If "Yes," desc			7	Yes			
8	subj	e any amounts reported on Form 990, Part VII, paid ect to the initial contract exception described in Req art III			8		No		
9		es" on line 8, did the organization also follow the relion 53 4958-6(c)?	outta	able presumption procedure described in Regulations	9				

	my for each noted marriag	ar mast equal the total	amount of Form 3307	rate vii, section ii, iii	с та, аррисавте сотат	im (b) and (b) amount	o for that marriadar
(A) Name and Title	Base (ii) (iii) (iii) other deferred benefits (B)(I)-(D) (b) compensation (B)(I)-(D)		(E) Total of columns	• •			
	Rase	(ii)			benefits	(B)(ı)-(D)	column(B) reported
	(i) compensation	Bonus & incentive	Other reportable	compensation			as deferred on prior

compensation

Schedule J (Form 990) 2015

See Additional Data Table

Form 990

Schedule J (Form 990) 2015

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information Explanation Return Reference

PART I. LINE 4B THE INDIVIDUALS LISTED BELOW ARE PARTICIPANTS IN A SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN THESE ACCRUALS ARE INCLUDED IN THE AMOUNTS REPORTED IN PART II. COLUMN C (DEFERRED COMPENSATION)AND REPRESENTS BOTH THE REPORTING lENTITY'S AND RELATED ENTITY'S COMBINED AMOUNTS THAT HAVE NOT YET BEEN VESTED CONSISTENT WITH THE COMPENSATION

REPORTING PER IRS SEVERANCE NONOUALIFIED EOUITY-BASED MARNA P BORGSTROM - \$443,905 - RICHARD D'AOUILA - 285,367 -JAMES M STATEN - 240,352 - CHRISTOPHER O'CONNOR - 198,811 - WILLIAM A JENNINGS - 180,742 - DANIEL BARCHI - 144,215 - WILLIAM J ASELTYNE - 141.464 - KEVIN A MYATT - 132.391 - PATRICK MCCABE - 121.484 - ROBERT NORDGREN - 119.513 - VINCENT TAMMARO -103,962 - EUGENE J COLUCCI - 99,337 - VINCENT PETRINI - 98,479 - JOHN SKELLY - 96,527 - STEPHEN ALLEGRETTO - 96,059 - NANCY LEVITT-ROSENTHAL - 74,576 - MELISSA TURNER - 69.659 - CAROLYN SALSGIVER - 65,210 - JAMES B MORRIS - 63,981 - PAMELA SCAGLIARINI - 22,537 - THE INDIVIDUALS LISTED BELOW BECAME VESTED IN BENEFITS VALUED AT THE AMOUNT RESPECTIVELY REPORTED BELOW DURING THE REPORTING YEAR INCLUDED IN SECTION II, COLUMN B (III) ARE AMOUNTS VESTED DURING THE 2015 CALENDAR YEAR THAT WERE RECOGNIZED AS TAXABLE EVENTS AND REPORTED IN THE INDIVIDUALS' 2015 CALENDAR YEAR FORM W-2 SEVERANCE NONQUALIFIED EQUITY-BASED WILLIAM GEDGE - \$3,498,400 - MICHAEL DIMENSTEIN - 1,164,373 - GAYLE CAPOZZALO -380,628 - NORMAN ROTH - 178,599 - FIVE FORMER OFFICERS, OUINTON FRIESEN, FRANK CORVINO, PETER HERBERT, PATRICK LUDDY, AND $oxed{J}$ OSEPH $oxed{J}$ ANELL RECEIVED PAYMENTS FROM THE NONQUALIFIED PLAN $oxed{THESE}$ AMOUNTS ARE INCLUDED IN SECTION II, COLUMN B (III) THE FOLLOWING PAYMENTS WERE MADE DIRECTLY TO THEM FROM THE TRUST OUINTON FRIESEN \$127,684 FRANK CORVINO 63,698 PETER HERBERT 49,611 PATRICK LUDDY 42,792 JOSEPH JANELL 33,365 THE SUPPLEMENTAL RETIREMENT INCOME PLAN (SRIP) IS DESIGNED TO ENSURE THE PAYMENT OF A COMPETITIVE LEVEL OF RETIREMENT INCOME WHEN ADDED TO OTHER SOURCES OF RETIREMENT INCOME IN ORDER TO ATTRACT AND RETAIN KEY MANAGEMENT EMPLOYEES SERVING AS CORPORATE OFFICERS THE PLAN PROVIDES SUPPLEMENTAL RETIREMENT INCOME THROUGH AN UNFUNDED, NONQUALIFIED DEFERRED COMPENSATION ARRANGEMENT THE SHORT TERM INCENTIVE PLAN (STIP) IS A VARIABLE COMPENSATION PLAN WHICH PROVIDES ONE-TIME PAYMENTS TO ELIGIBLE

Schedule J (Form 990) 2015

UNDER SECTION 457(F) AND THROUGH A DEFERRED COMPENSATION PLAN UNDER SECTION 409A OF THE INTERNAL REVENUE CODE AND A MANAGEMENT OR HIGHLY COMPENSATED EMPLOYEES' PLAN UNDER THE EMPLOYEE RETIREMENT INCOME SECURITY ACT OF 1974 (ERISA) MEMBERS OF MANAGEMENT IN RECOGNITION OF THE ACCOMPLISHMENT OF KEY ORGANIZATIONAL AND INDIVIDUAL PERFORMANCE OBJECTIVES PERFORMANCE LEVELS ARE ESTABLISHED AND REVIEWED ANNUALLY AT THRESHOLD, TARGET AND MAXIMUM LEVELS, ACCORDING TO PLANNED "STRETCH" GOALS AND OBJECTIVES INCENTIVE AWARD OPPORTUNITIES ARE ESTABLISHED ACCORDING TO

PART I, LINE 7

Software ID: **Software Version:**

EIN: 22-2529464

Name: YALE NEW HAVEN HEALTH SERVICES CORPORATION

Form 990, Schedule J, F	art :	II - Officers, Direc	ctors, Trustees, Ke	ey Employees, and	d Highest Compen	sated Employee:	5	
(A) Name and Title			f W-2 and/or 1099-MIS		(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation in
		(i) Base Compensation	(ii) Bonus & Incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(ı)-(D)	column (B) reported as deferred on prior Form 990
1MARNA BORGSTROM PRESIDENT & CEO	(1)	687,406	476,932	22,320	295,843	61,018	1,543,519	0
	(11)	1,031,109	715,398	33,480	443,764	91,528	2,315,279	0
1STEPHEN ALLEGRETTOVP	(1)	10,235	2,958	1,939	4,870	757	20,759	0
	(11)	359,260	103,816	68,044	170,939			0
2WILLIAM ASELTYNESR VP	(1)	118,241	22.522	10.051	46.075	26,563		212
			33,532	18,051	46,975	3,844	220,643	218
	(11)	519,522	147,329	79,312	206,398	16,890	969,451	960
DANIEL BARCHI - END 3 113015	(1)	127,020	36,285	17,563	49,633	4,060	234,561	9,559
SR VP	(11)	508,082	145,139	70,252	198,530	16,239	938,242	38,234
4GAYLE CAPOZZALO EXECUTIVE VP	(1)	713,269	274,705	474,584	23,550	14,735		12,135
EXECUTIVE VF	(11)	0	0	0	0			0
5EUGENE COLUCCIVP	(1)	42,682	11,109	7,328	18,509	2,365	81,993	0
	(11)	384,135				2,303		
	(''')	304,133	99,981	65,951	166,578	- 21,282	- 737,927	0
6 RICHARD D'AQUILA EXECUTIVE VP	(1)	253,226	83,006	37,137	90,743	4,174	468,286	6,087
	(11)	1,012,903	332,024	148,549	362,974			24,349
7MICHAEL DIMENSTEIN		10.750				16,694	1,873,144	
VP (CURRENT YEAR COMP)	(1)	12,756	3,347	2,733	2,494	814	22,144	677
	(11)	306,154	80,317	65,630	59,856	- 19,547	. 531,504	16,251
8MICHAEL DIMENSTEIN VP (VESTED DEFERRED	(1)	0	0	46,576	0	0	46,576	7,960
COMP)	(11)	0	0	1,117,798	0			191,048
9	(1)	F27.622				0	1,117,798	
WILLIAM GEDGE - RET 93016 SR VP (CURRENT YEAR COMP)	(1)	527,632 	183,925 0	82,902 0	119,550 0	36,901 	950,910	0
10	(1)	0	0	3,498,400	0	0	3,498,400	1,321,023
WILLIAM GEDGE - RET 93016 SR VP (VESTED DEFERRED COMP)	(11)	0	0	0	0			
11WILLIAM JENNINGS	(1)	156,592	48,832	21,757	59,818	3,617	290,616	2.762
EXECUTIVE VP						3,617	290,616	3,762
TOWN WISCERSON AND	(11)	626,366	195,328	87,030	239,274	- 14,470	1,162,468	15,048
12ALAN KLIGERSR VP	(1)	0	0	0	0	0	0	0
	(11)	557,873	119,580	110,832	90,750	- 34,890	913,925	0
13	(1)	63,317	16,647	8,309	28,665	2,158		0
NANCY LEVITT-ROSENTHALVP	(11)	253,266	66,587	33,235	114,661			
		·	00,307	33,233	114,001	8,630	476,379	
14PATRICK MCCABESR VP	(1)	169,957	56,838	24,446	79,952	7,495	338,688	0
	(11)	315,634	105,556	45,399	148,482	- 13,918	628,989	0
15KEVIN MYATTSR VP	(1)	212,651	70,470	41,702	100,296	6,444		9,520
	(11)	318,977	105,705	62,553	150,445			14,279
16JAMES MORRISVP	(1)	10,454	2,663	1,917	4,489	9,665 955		0
	(11)	272,097					20,170	
		2,2,037	69,315	49,890	116,842	- 24,851	- 532,995	0
17CHRISTOPHER O'CONNOR EXECUTIVE VP & COO	(1)	822,935	283,340	104,299	358,561	29,654	1,598,789	0
	(11)	0	0	0	0			0
18VINCENT PETRINISR VP	(1)	0	0	0	0	0	0	0
	(11)	402,486	133,640	75,216	189,429			0
19CAROLYN SALSGIVERVP	(1)	54,344				31,328		
· · · · · · · · · · · · · · · · · · ·	` `		14,535	10,216	26,331	5,008 	110,434	0
	(11)	217,376	58,138	40,866	105,323	- 20,031	- 441,734	0

art <u>J</u>	[I - Officers, Direc	tors, Trustees, Ke	ey Employees, and	Highest Compens	sated Employees		
	(B) Breakdown of	W-2 and/or 1099-MIS	SC compensation	(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation in
	(i) Base Compensation	(ii) Bonus & Incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(ı)-(D)	column (B) reported as deferred on prior Form 990
(1)	41,604	10,176	7,163	17,847	2,238	79,028	0
(11)	374,440	91,583	64,469	160,625	20.140	711.257	0
(1)	369,091	140,962	42,386	159,321	12,324	724,084	0
(11)	553,636	211,444	63,578	238,981	18.486	1.086.125	0
(1)	184,489	55,574	28,472	79,165	14,870	362,570	0
(11)	276,734	83,362	42,708	118,747	22.306	543.857	0
(1)	0	0	0	0	0	0	0
(11)	278,098	76,072	56,718	123,009		558.482	0
(1)	0	0	0	0	0	0	0
(11)	386,721	107,252	74,261	84,750		677,785	0
(1)	126,011	37,704	51,696	16,150	6,480	238,041	0
(11)	504,044	150,818	206,785	64,600		952 165	0
(1)	0	0	0	0	0	0	0
(11)	375,952	73,408	56,493	88,210		614.758	519
(1)	363,443	86,835	43,932	66,750	21,698	582,658	0
(11)	0	0	0	0			0
(1)	287,234	60,912	61,674	51,506	19,993	481,319	9,234
(11)	0	0	0	0	_ 	- 0	0
(1)	298,688	73,927	47,880	57,550	15,740	493,785	0
(11)	0	0	0	0	0	0	0
(1)	431,260	111,491	85,524	27,592	2,936	658,803	1,584
(11)	0	0	0	0	- 0		0
(1)	298,227	58,630	25,819	43,670	42,486	468,832	0
(11)	0	0	0	0	- - 0		0
(1)	214,763	287,758	412,806	3,640	0	918,967	404,000
(11)	0	0	0	0	-	_ 0	0
(1)	0	0	0	0	0	0	0
(11)	147,176	157,967	78,294	129,375	- 5,002	- 517,814	7,354
		(B) Breakdown of (i) Base Compensation (I) 41,604 (II) 374,440 (I) 369,091 (II) 553,636 (I) 184,489 (II) 276,734 (I) 278,098 (I) 278,098 (I) 386,721 (I) 126,011 (II) 504,044 (I) 375,952 (I) 363,443 (II) 0 (I) 287,234 (III) 0 (I) 298,688 (III) 0 (I) 298,688 (III) 0 (I) 298,688 (III) 0 (I) 298,227 (III) 0 (I) 298,227 (III) 0 (I) 298,227 (III) 0	(B) Breakdown of W-2 and/or 1099-MIS (i) Gii) Bonus & Incentive compensation (i) 41,604 10,176 (ii) 374,440 91,583 (i) 369,091 140,962 (ii) 553,636 211,444 (i) 184,489 55,574 (ii) 276,734 83,362 (i) 278,098 76,072 (ii) 386,721 107,252 (ii) 386,721 107,252 (ii) 375,952 73,408 (ii) 375,952 73,408 (ii) 363,443 86,835 (iii) 0 0 (ii) 287,234 60,912 (iii) 0 0 (ii) 298,688 73,927 (iii) 0 0 (ii) 431,260 111,491 (iii) 0 0 (ii) 298,227 58,630 (iii) 0 0 (ii) 124,763 287,758 (iii) 0 0	Compensation	Compensation	California Cal	Compensation

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Schedule K

06-0806186

20774YQN0

DLN: 93493226025567OMB No 1545-0047

Supplemental Information on Tax Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

2015

Χ

Χ

D

109,094,865

Open to Public Inspection

Department of the Treasury

(Form 990)

Internal Revenue Service

► Attach to Form 990. ►Information about Schedule K (Form 990) and its instructions is at <u>www.irs.qov/form990</u>.

06-23-2014

Name of the organization
YALE NEW HAVEN HEALTH SERVICES
CORPORATION

Employer identification number 22-2529464

Х

C

176,852,421

6,610,000

90,442,157

Part I **Bond Issues** (b) Issuer EIN (c) CUSIP # (d) Date issued (e) Issue price (f) Description of purpose (g) Defeased (i) Pool (a) Issuer name (h) On behalf of financing ıssuer Yes No Yes No Yes No CHEFA - SERIES A 102,300,000 REFUND - J-1 06-0806186 20774YQY6 06-23-2014 Χ Χ Χ 168,275,000 REFUND - M Х Х CHEFA - SERIES B 06-0806186 20774YQP5 06-23-2014 Χ 83,625,000 REFUND - K-1,K-2 CHEFA - SERIES C 06-0806186 20774YQM2 06-23-2014 Χ Χ Χ

108,275,000 REFUND - L-1,L-2

Α

122,999,458

Pai	t II	Proceeds	
1	A mo	unt of bonds retired .	

3

CHEFA - SERIES D

Total proceeds of issue

4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds		1,465,826		1,474,421		680,898		771,839
8	Credit enhancement from proceeds						36,261		43,739
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds								
11	Other spent proceeds	1	21,533,632	1	75,378,000		89,725,000	1	08,279,287
12	Other unspent proceeds								
13	Year of substantial completion	20	14	20	14	20	14	20	14
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		x		Х	X		X	
15	Were the bonds issued as part of an advance refunding issue?	х		Х			Х		Х
16	Has the final allocation of proceeds been made?	х		Х		Х		Х	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	х		Х		Х		Х	

Part III Private Business Us

Pal	Private Business Use								
		-	4		В		С)
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		×		×		×		×
2	Are there any lease arrangements that may result in private business use of bond-financed property?	x		×		X		x	

Par	Private Business Use (Continued)									
				Ą		В		Ç		D
			Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private b of bond-financed property?		×		X		Х		x	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or counsel to review any management or service contracts relating to the finar		×		X		×		×	
prope	Are there any research agreements that may result in private business use	ofhond								
С	financed property?			Х		Х		Х		Х
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or counsel to review any research agreements relating to the financed property									
4	Enter the percentage of financed property used in a private business use by other than a section $501(c)(3)$ organization or a state or local government .			0 030 %		0 030 %		0 020	%	0 640 %
5	Enter the percentage of financed property used in a private business use as unrelated trade or business activity carried on by your organization, anothe 501(c)(3) organization, or a state or local government	rsection								
6	Total of lines 4 and 5			0 030 %		0 030 %		0 020	%	0 640 %
7	Does the bond issue meet the private security or payment test?			×		Х		Х		X
8a	Has there been a sale or disposition of any of the bond-financed property to nongovernmental person other than a $501(c)(3)$ organization since the bond issued?			x		х		х		х
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or d	isposed of								•
С	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations s	ections								
9	Has the organization established written procedures to ensure that all nonq bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?		×		Х		х		х	
Par	t IV Arbitrage								•	
		А			В		С		D	
	·	Yes	No	Yes	No	Ye	5	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		Х		Х			х		Х
2	If "No" to line 1, did the following apply?									_
а	Rebate not due yet?		Х		Х	Х			Х	
b	Exception to rebate?		Х		X	Х			Х	
С	No rebate due?	Х		Х				Х		X
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed									
3	Is the bond issue a variable rate issue?		Х	X		Х			X	
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		х		Х			х		X
b	Name of provider									
С	Term of hedge							-		
d	Was the hedge superintegrated?									
e	Was the hedge terminated?									
			_		_				_	

Were gross proceeds invested in a guaranteed investment

Schedule K (Form 990) 2015

No

	contract (GIC)?	_		X		X		X		X
b	Name of provider									
С	${f c}$ Term of GIC									
d		arbor for establishing the fair market?								
Were any gross proceeds invested beyond an available temporary period?			X		×		X		Х	
7	Has the organization esta the requirements of section	blished written procedures to monitor on 148?	X		×		×		×	
Pai	t V Procedures To	Undertake Corrective Action								
A B										
			Α		В		С		D	
			Yes	No	Yes	No	C Yes	No	Yes	No
	that violations of federal t and corrected through the	blished written procedures to ensure ax requirements are timely identified voluntary closing agreement program if ailable under applicable regulations?		No X	_	No X	Yes	No X		No X
Pa	that violations of federal t and corrected through the self-remediation is not av	ax requirements are timely identified evoluntary closing agreement program if	Yes	Х	Yes	x		X		No X
Pa	that violations of federal t and corrected through the self-remediation is not av	ax requirements are timely identified evoluntary closing agreement program if ailable under applicable regulations?	Yes	Х	Yes	x		X		No X

No

Yes

Yes

No

Yes

No

Yes

Return Reference	Explanation
PART II LINE 3	THE DIFFERENCE BETWEEN THE ISSUE PRICE REPORTED ON PART I, COLUMN (E) AND TOTAL PROCEEDS REPORTED ON PART II, LINE 3 IS DUE TO EITHER INVESTMENT EARNINGS OR PREMIUM RECEIVED FROM PURCHASER

Return Reference	Explanation
PART III LINE 3B	THE ORGANIZATION HAS IN-HOUSE LEGAL STAFF WHO PROVIDE ROUTINE REVIEW OF MANAGEMENT OR SERVICE CONTRACTS OR RESEARCH AGREEMENTS RELATING TO THE FINANCED PROPERTY TO ENSURE THAT SUCH AGREEMENTS ARE COMPLIANT WITH APPLICABLE SAFE HARBORS IN-HOUSE COUNSEL CONSULT WITH THE HOSPITAL'S OUTSIDE BOND COUNSEL AS NEEDED, INCLUDING ON NON-ROUTINE ISSUES

Return Reference	Explanation
PART III, LINE 9 & PART V	THE ORGANIZATION HAS POLICIES AND PROCEDURES IN PLACE TO ENSURE COMPLIANCE WITH FEDERAL TAX LAW, AND TO TIMELY IDENTIFY NONCOMPLIANCE IN THE EVENT OF NON-COMPLIANCE THE ORGANIZATION WOULD INVOLVE ITS LEGAL COUNSEL TO ADVISE REGARDING APPROPRIATE REMEDIATION

efile GRAPHIC print - DO NOT PROCESS As Filed Data -Schedule K

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI. ▶ Attach to Form 990.

2015

Open to Public

OMB No 1545-0047

DLN: 93493226025567

Inspection

Internal Revenue Service

Department of the Treasury

(Form 990)

Name of the organization

▶Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

Employer identification number

	of the organization	DVICEC							Empl	loyer id	entifica	ation nur	nber	
	NEW HAVEN HEALTH SE PORATION	RVICES							22-	25294	64			
	t I Bond Issues													-
	(a) Issuer name	(b) Issuer EIN	(c) CUSIP#	(d) Date issued	(e) Issue price	(f)	Description	of purpose	(g) Defeased			0 n		Pool
											behalf of Issuer		IIIIa	ancing
									Yes	No	Yes	No	Yes	No
A	CHEFA - SERIES E	06-0806186	20774YRV1	06-23-2014	80,935,00	0 CONST	TRUCTION/	EQUIP		X		X	Х	
Par	t III Proceeds					I								
					Α			В		С			D	
1	A mount of bonds retired				1	,320,000								
2	A mount of bonds legally	defeased												
3	Total proceeds of issue				92	2,315,918								
4	Gross proceeds in reserv	ve funds												
5	Capitalized interest from	proceeds												
6	Proceeds in refunding es	crows												
7	Issuance costs from prod	ceeds			1	,157,121								
8	Credit enhancement from	proceeds												
9	Working capital expendit	ures from proceeds .												
10	Capital expenditures from	m proceeds			91	1,158,797								
11	Other spent proceeds .			•										
12	Other unspent proceeds			•										
13	Year of substantial comp	letion			201	5								
					Yes	No	Yes	No	Yes	N	No.	Yes	3	No
14	Were the bonds issued as	s part of a current refun	dıngıssue?			X								
15	Were the bonds issued as	s part of an advance ref	unding issue?.			X								
16	Has the final allocation o	fproceeds been made?			x									
17	Does the organization ma allocation of proceeds?	·	·	pport the final	×									
Par	Private Busine	ss Use	<u> </u>											
					Α			В		С			D	
					Yes	No	Yes	No	Yes	N	ło	Yes		No
1	Was the organization a pa	artner in a partnership,	or a member of an	LLC, which owned		Χ								

Are there any lease arrangements that may result in private business use of bond-

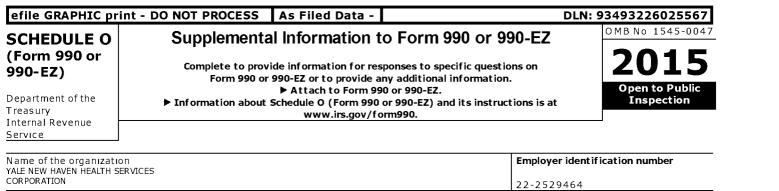
Х

			A		В		С			D
			Yes No		Yes No		Yes No		Yes	No
3a	Are there any management or service contracts that may result in private to of bond-financed property?	ousiness use		×						
b										
prope	counsel to review any management or service contracts relating to the finarty?	ncea								
С	Are there any research agreements that may result in private business use financed property?	of bond-		х						
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or counsel to review any research agreements relating to the financed propert									
4	Enter the percentage of financed property used in a private business use by other than a section $501(c)(3)$ organization or a state or local government			0 580 %		•		•		
5	Enter the percentage of financed property used in a private business use as unrelated trade or business activity carried on by your organization, anothe 501(c)(3) organization, or a state or local government	rsection								
6	Total of lines 4 and 5			0 580 %						
7	Does the bond issue meet the private security or payment test?			Х						
8a	Has there been a sale or disposition of any of the bond-financed property to nongovernmental person other than a 501(c)(3) organization since the bon issued?			х						
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or o	disposed of						•		
С	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations s									
9	Has the organization established written procedures to ensure that all nong bonds of the issue are remediated in accordance with the requirements und Regulations sections 1 141-12 and 1 145-2?		Х							
Par	IV Arbitrage									
		А			В		С		D	
		Yes	No	Yes	No	Υe	es	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X							
2	If "No" to line 1, did the following apply?									
a	Rebate not due yet?		Х							
b	Exception to rebate?		Χ							
С	No rebate due?									
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed									
3	Is the bond issue a variable rate issue?		Χ							
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X							
b	Name of provider									
с	Term of hedge									
d	Was the hedge superintegrated?									
e	Was the hedge terminated?									
_										

-14.	1100cdares to onactake corrective Action		\	В	С	D	
7 Da	Has the organization established written procedures to monitor the requirements of section 148? Tt V Procedures To Undertake Corrective Action	X					
6	Were any gross proceeds invested beyond an available temporary period?		X				
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?						
С	Term of GIC						
	<u>'</u>						

Yes No Yes No Yes No Yes No Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).



Return Reference	Explanation
FORM 990, PART III, LINE 4A	YALE NEW HAVEN HEALTH (Y NHHS), WHICH MARKED ITS 20TH ANNIVERSARY AS A HEALTH SYSTEM IN 201 6, ADDED LAWRENCE + MEMORIAL AND WESTERLY HOSPITALS TO THE SYSTEM IN SEPTEMBER, ALONG WITH THE L+M MEDICAL GROUP AND THE VISITING NURSE ASSOCIATION OF SOUTHEASTERN CONNECTICUT. THE SYSTEM CONTINUED ITS COMMITMENT TO PATIENT SAFETY AND QUALITY OF CARE BY IMPLEMENTING SEV ERAL PROCESSES - ONGOING TRAINING, ROUNDING TO INFLUENCE STAFF, SHARING SAFETY STORIES, TRAINING SAFETY COACHES, STUDY ING METRICS AND OUTCOMES AND HOLDING STAFF ACCOUNTABLE AS A RESULT, EACH SYSTEM HOSPITAL REDUCED THE NUMBER OF SERIOUS SAFETY EVENTS. THE SYSTEM CONTIN UED TO FIND WAYS TO WORK MORE ECONOMICALLY ONGOING COST AND VALUE POSITIONING EFFORTS INC REASED EFFICIENCY, REDUCED WASTE AND CREATED MORE COST. EFFECTIVE AND BENEFICIAL PATIENT CA RE PROCESSES BY STREAMLINING AND STANDARDZING CARE, THESE INITIATIVES SAVED NEARLY \$78 MILLION, AND REDUCED THE LENGTH OF STAY AND COMPLICATIONS FOR TARGETED GROUPS OF PATIENTS A T THE VARIOUS HOSPITALS AS A RESULT OF THE EFFECTIVE USE OF TECHNOLOGY, YNHHS WAS RECOGNIZED AS A MOST WIRED HEALTH SYSTEM BY HOSPITALS AND HEALTH NETWORKS MAGAZINE SAFETY THROUGH TECHNOLOGY WAS A TOP PRIORITY IN JULY, YNHHS LAUNCHED THE EPC BEAKER LABORATORY INFORM ATION SYSTEM AT BRIDGEPORT, GREENWICH AND YALE NEW HAVEN HOSPITALS BEAKER LABORATORY INFORM ATION SYSTEM AT BRIDGEPORT, GREENWICH AND YALE NEW HAVEN HOSPITALS BEAKER STREAMLINED ORD ERING PROCESS, PROVIDED NOTIFICATIONS TO AVOID DUPLICATE TESTS, IMPROVED SPECIMEN TRACKING AND STREAMLINED RESULTS-REPORTING THE USE OF TELEMEDICINE EXPRING HAVE HAVEN HAVEN HOSPITALS OF THE HERST TRANSPLANTATION CENTER IN NEW ENGLAND TO OFFER THE LEMEDICINE VIDEO VISITS TO POST-TRANSPLANT PATIENTS FOR ROUTINE FOLLOW-UP TILE EMEDICINE TECHNOLOGY WAS ALSO USED FOR THE E-PSY CHIATRY AND FOR MORE TILE. INTENSIVE CARE UNIT SERVICES ACROSS THE SYSTEMS HOSP TALLS YNHHS INCREASED THE USE OF FIMM PATIENTS FOR ROUTINE FOLLOW-UP THE EMEDICINE TECHNOLOGY WAS ALSO USED FOR THE E-PSY CHIATRY AND FOR MORE TIL

${\bf 990 \; Schedule \; O, \; Supplemental \; Information}$

Return Reference	Explanation
FORM 990, PART III, LINE 4A	RANSPLANTATION CENTER THROUGHOUT THE YEAR, YNHHS COLLABORATED WITH LOCAL PARTNERS TO ADDR ESS COMMUNITY HEALTH NEEDS EACH HOSPITAL WORKED WITH ITS LOCAL COMMUNITY ON HEALTH INITIA TIVES AND ACCESS TO HEALTHCARE SERVICES, SUCH AS HEALTH SCREENINGS, SUPPORT GROUPS, COMMUNITY EDUCATION SESSIONS, COMMUNITY LEADERSHIP ACTIVITIES AND FINANCIAL GRANTS AND ASSISTANC E, BENEFITING OVER 157,000 PEOPLE. IN ADDITION, THIS YEAR YNHHS PROVIDED APPROXIMATELY \$17 8 1 MILLION IN UNCOMPENSATED CARE, REPRESENTING A 15 PERCENT INCREASE FROM LAST YEAR. WITH COMMUNITY PARTNERS, YNHHS HOSPITALS COMPLETED THE TRIBNINAL COMMUNITY HEALTH NEEDS ASSESS MENTS (CHNA) FOR THE GREATER BRIDGEPORT, GREENWICH, NEW HAVEN, NEW LONDON AND WESTERLY COM MUNITIES THE TOP HEALTH CONCERNS IN FOUR OF THE FIVE COMMUNITIES WERE HEALTHY LIFESTY LES, BEHAVIORAL HEALTH AND SUBSTANCE ABUSE, AND ACCESS TO CARE TO ADDRESS THESE ISSUES, EACH HOSPITAL DEVELOPED A COMMUNITY HEALTH IMPROVEMENT PLAN TO GUIDE EFFORTS OVER THE NEXT THREE YEARS IN CONJUNCTION WITH LOCAL HEALTH AND NONPROFIT ORGANIZATIONS WESTERLY HOSPITAL WO RKED WITH THE HOSPITAL ASSOCIATION OF RHODE ISLAND AND SELECTED BEHAVIORAL HEALTH AS THE SOLE PRIORITY AREA YNHHS CONTINUED ITS FOCUS ON RECRUITING AND RETAINING AN EDUCATED, ENGA GED AND HIGH-PERFORMING WORKFORCE. THE SYSTEM DEVELOPED A SINGLE SET OF PROFESSIONAL BEHAV IORAL STANDARDS TO ENSURE THAT EMPLOY EES AND MEDICAL STAFT THROUGHOUT THE SYSTEM FOLLOW HIGH-LEVEL STANDARDS OF PROFESSION BEHAVIOR AT ALL TIMES UNDERSTANDING THAT DIVERSITY FOSTERS A MORE CREATIVE, INNOVATIVE WORKFORCE, YNHHS HIRED ITS FIRST CHIEF DIVERSITY OFFICER TO LEAD INITIATIVES AND SHAPE DIVERSITY AND INCLUSION EFFORTS RELATED TO COMMUNICATION, ITS WORKFORCE, THE PATIENT EXPERIENCE AND SUPPLIER DIVERSITY AND INCLUSION EFFORTS RELATED TO COMMUNICATION, ITS WORKFORCE, THE PATIENT EXPERIENCE AND SUPPLIER DIVERSITY AND INCLUSION EFFORTS RELATED TO COMMUNICATION, ITS WORKFORCE, THE PATIENT EXPERIENCE AND SUPPLIER DIVERSITY AND INCLUSION EFFORTS RELATED TO COMMUNICATION, ITS WORKF

Return Reference	Explanation
FORM 990, PART VI	PART I, LINE 4 & PART VI, LINE 1B NUMBER OF INDEPENDENT VOTING MEMBERS OF THE GOVERNING BODY THE ORGANIZATION SOUGHT TO CONFIRM THE INDEPENDENCE OF EACH VOTING MEMBER OF ITS GOVERNING BODY BY REQUESTING THAT EACH SUCH VOTING MEMBER RESPOND TO A QUESTIONNAIRE CONTAINING THE PERTINENT INSTRUCTIONS AND DEFINITIONS AND DESIGNED TO ELICIT THE INFORMATION NECESSARY TO DETERMINE INDEPENDENCE BASED ON RESPONSES TO THE QUESTIONNAIRES RECEIVED BY THE ORGANIZATION AND ANNUAL CONFLICTS OF INTEREST DISCLOSURES, THE ORGANIZATION WAS ABLE TO CONFIRM THAT SIXTEEN (16) VOTING MEMBERS ARE INDEPENDENT

Return Reference	Explanation
FORM 990,	PART VI, LINE 2 - BUSINESS RELATIONSHIPS BETWEEN OFFICERS, TRUSTEES, OR KEY EMPLOYEES TRUSTEES JOHN L
PART VI,	LAHEY AND JAMES TORGERSON ARE DIRECTORS AND OFFICERS OF THE SAME BUSINESS ENTITY OFFICERS PATRICK
SECTION A,	MCCABE, JOHN SKELLY, AND DAVID WURCEL ARE DIRECTORS AND OFFICERS OF THE SAME BUSINESS ENTITY THE
LINE 2	ORGANIZATION'S CURRENT OFFICERS AND/OR TRUSTEES SERVE AS OFFICERS AND/OR DIRECTORS OF TAXABLE
	AFFILIATES WITHIN THE ORGANIZATION'S CORPORATE SYSTEM OR JOINT VENTURES IN WHICH THE ORGANIZATION'S
	CORPORATE SYSTEM HAS AN OWNERSHIP INTEREST THE INDIVIDUAL OFFICERS DO NOT HAVE PERSONAL FINANCIAL
	INTERESTS IN THE TAXABLE AFFILIATE AND SERVE ONLY AS A FUNCTION OF THEIR ROLES WITH THE ORGANIZATION OR
	WITHIN THE ORGANIZATION'S CORPORATE SYSTEM

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 4	EFFECTIVE SEPTEMBER 8, 2016, LAWRENCE + MEMORIAL CORPORATION ("LMC") AND ITS RELATED ORGANIZATIONS BECAME AFFILIATED WITH THE YALE NEW HAVEN HEALTH SYSTEM PURSUANT TO THE TERMS OF AN AFFILIATION AGREEMENT BETWEEN LMC AND YALE NEW HAVEN HEALTH SERVICES CORPORATION ("YNHHSC") AS A RESULT OF THE CLOSING OF THE AFFILIATION TRANSACTION, YNHHSC BECAME THE SOLE MEMBER OF LMC ADDITIONALLY, THE CERTIFICATE OF INCORPORATION OF YNHHSC WAS AMENDED TO EXPAND ITS SUPPORTED ORGANIZATIONS TO INCLUDE THE LMC DELIVERY NETWORK

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11	THE FORM 990 TAX RETURN AND ATTACHED SCHEDULES WERE PREPARED BY EMPLOYEES OF THE SYSTEM TAX DEPARTMENT THE RETURN IS INITIALLY REVIEWED BY THE DIRECTOR AND VP OF CORPORATE FINANCE. SUBSEQUENTLY IT IS SENT TO KPMG, LLP FOR THEIR INITIAL REVIEW AFTER ALL COMMENTS FROM THE ABOVE GROUP ARE CLEARED, THE RETURN IS THEN REVIEWED BY THE CHIEF FINANCIAL OFFICER OF THE ENTITY AND A FINAL VERSION OF THE RETURN IS SENT BACK TO KPMG, LLP FOR FINAL REVIEW PRIOR TO FILING, THE ORGANIZATION MAKES AVAILABLE A COMPLETE COPY OF THE RETURN TO THE BOARD OF TRUSTEES A SECURE WEB PORTAL IS AVAILABLE TO BOARD MEMBERS TO ACCESS THE RETURN

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	THE YALE NEW HAVEN HEALTH SYSTEM CONFLICT OF INTEREST POLICY (CC R-7) AND INDIVIDUAL ANNUAL DISCLOSURE FORM APPLIES TO A POOL OF EMPLOYEES, BOARD MEMBERS AND NON-BOARD MEMBERS SERVING ON BOARD COMMITTEES THESE "COVERED INDIVIDUALS" ARE REQUIRED TO COMPLETE A CONFLICT OF INTEREST DISCLOSURE STATEMENT, UPON BEGINNING EMPLOY MENT OR OTHERWISE BECOMING A COVERED INDIVIDUAL AND ANNUALLY THEREAFTER COVERED INDIVIDUALS ARE ALSO REQUIRED TO IMMEDIATELY REPORT MATERIAL CHANGES TO THEIR MOST RECENTLY COMPLETED DISCLOSURE STATEMENT THESE DISCLOSURE STATEMENTS AND REPORTS ARE REVIEWED BY THE OFFICE OF PRIVACY AND CORPORATE COMPLIANCE AND/OR THE LEGAL AND RISK SERVICES DEPARTMENT TO ENSURE COMPLIANCE WITH THE CONFLICT OF INTEREST POLICY IF A POTENTIAL CONFLICT ARISES, THE PRESIDENT AND CEO WOULD CONSULT WITH THE BOARD CHAIRPERSON AND THE LEGAL AND RISK SERVICES DEPARTMENT AND TAKE ANY ACTIONS THAT SHE DEEMS REQUIRED OR APPROPRIATE TO MANAGE OR RESOLVE A POTENTIAL CONFLICT OF INTEREST FOR EXAMPLE, A VOTING BOARD OR COMMITTEE MEMBER WOULD BE REQUIRED TO RECUSE HIMSELF OR HERSELF FROM VOTING ON MATTERS RELATED TO THE POTENTIAL CONFLICT AND THE POTENTIAL CONFLICT WOULD BE DISCLOSED TO OTHER VOTING MEMBERS

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	THE EXECUTIVE COMPENSATION COMMITTEE OF THE YNHHS STRIVES TO TAKE THE STEPS NECESSARY TO QUALIFY FOR THE "REBUTTABLE PRESUMPTION OF REASONABLENESS" UNDER FEDERAL TAX LAW THE EXECUTIVE COMPENSATION COMMITTEE IS AUTHORIZED UNDER THE YNHHS BY LAWS AND IS RESPONSIBLE FOR (1) DETERMINING THE OVERALL TOTAL COMPENSATION STRATEGY FOR ALL CORPORATE OFFICERS, (2) APPROVING ALL COMPENSATION AND BENEFITS DECISIONS FOR CORPORATE OFFICERS, AND (3) REPORTING SUCH ACTIONS TO THE FULL YNHHS BOARD ON AN ANNUAL BASIS IN ADDITION, THE EXECUTIVE COMPENSATION COMMITTEE EXPRESSLY DETERMINES THE REASONABLENESS OF TOTAL COMPENSATION AND BENEFITS FOR ALL CORPORATE OFFICERS, AND ASSURES THAT ALL OFFICER COMPENSATION DECISIONS ARE MADE AFTER THOROUGH CONSIDERATION OF AND COMPARISON TO THE MARKET PRACTICES OF OTHER SIMILARLY SITUATED NOT-FOR-PROFIT HEALTHCARE EXECUTIVES IN COMPARABLE ORGANIZATIONS THE EXECUTIVE COMPENSATION COMMITTEE CONSISTS OF BOARD MEMBERS WHO DO NOT HAVE MATERIAL FINANCIAL INTERESTS THAT COULD BE AFFECTED BY THE OFFICER COMPENSATION DECISIONS MADE BY THE COMMITTEE. THE COMPARABILITY DATA USED TO ASSIST THE EXECUTIVE COMPENSATION COMMITTEE IN ITS COMPENSATION DELIBERATIONS ARE COMPILED BY AN INDEPENDENT, NATIONAL COMPENSATION CONSULTING FIRM THAT IS RETAINED BY AND REPORTS DIRECTLY TO THE EXECUTIVE COMPENSATION COMMITTEE. THE DATA COLLECTED BY THE CONSULTANT CONSISTS OF MARKET INFORMATION FOR EXECUTIVES IN FUNCTIONALLY SIMILAR POSITIONS IN
	SIMILARLY SITUATED NOT-FOR-PROFIT HEALTHCARE ORGANIZATIONS THE DELIBERATIONS AND DECISIONS OF THE EXECUTIVE COMPENSATION COMMITTEE ARE CONTEMPORANEOUSLY DOCUMENTED, REVIEWED AND APPROVED BY THE EXECUTIVE COMPENSATION COMMITTEE, AND PROVIDED TO THE BOARD

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	COPIES OF FORM 990, FORM 1023 (IF AVAILABLE) AND AUDITED FINANCIAL STATEMENTS ARE MAINTAINED IN THE SYSTEM TAX DEPARTMENT OTHER CORPORATE GOVERNING DOCUMENTS ARE MAINTAINED BY THE LEGAL AND RISK SERVICES DEPARTMENT THE CONFLICT OF INTEREST POLICY, WHISTLEBLOWER POLICY, AND DOCUMENT RETENTION POLICY ARE AVAILABLE TO ALL EMPLOYEES ON THE CORPORATE INTERNAL WEBSITE COPIES OF ALL DOCUMENTS ARE AVAILABLE TO THE PUBLIC UPON REQUEST

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART IX, LINE 11G	CONSULTING FEES PROGRAM SERVICE EXPENSES 4,214,941 MANAGEMENT AND GENERAL EXPENSES 1,174,320 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 5,389,261 PERSONNEL SUPPORT/OUTSIDE CONTRACTUAL PROGRAM SERVICE EXPENSES 45,936,991 MANAGEMENT AND GENERAL EXPENSES 12,798,453 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 58,735,444 TEMPORARY HELP/TRAINING/DEVELOPMENT PROGRAM SERVICE EXPENSES 1,269,846 MANAGEMENT AND GENERAL EXPENSES 353,790 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 1,623,636

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART XI, LINE 9	CONTRIBUTION RECEIVED IN A FFLIA TION WITH LMC 36,201,090

efile GRAPHIC print - DO NOT PROCESS | As Filed Data SCHEDULE R | Related O

► Attach to Form 990.

DLN: 93493226025567OMB No 1545-0047

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

2015

Open to Public Inspection

Employer identification number

22-2529464

Department of the Treasury
Internal Revenue Service
Name of the organization

CORPORATION

YALE NEW HAVEN HEALTH SERVICES

(Form 990)

▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

Identification of Disregarded Entities Complete of the organization answered "Yes" on Form 990, Part IV, line 33. Part I (c) (f) (e) Direct controlling Name, address, and EIN (if applicable) of disregarded entity Primary activity Legal domicile (state Total income End-of-year assets or foreign country) entity Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one Part II or more related tax-exempt organizations during the tax year. (b) (c) (d) (e) (f) (g) Name, address, and EIN of related organization Primary activity Legal domicile (state Exempt Code section Public charity status Direct controlling Section 512(b) or foreign country) (if section 501(c)(3)) entity (13) controlled entity? Yes No See Additional Data Table For Paperwork Reduction Act Notice, see the Instructions for Form 990. Cat No 50135Y Schedule R (Form 990) 2015

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(state or foreign	Legal domicile (state or		(e) (f) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	Predominant income(related, unrelated, excluded from tax under sections 512-	Predominant income(related, unrelated, excluded from tax under sections 512-	Predominant income(related, unrelated, excluded from tax under sections 512-	Share of total	Share of total I, income	ant Share of total ated, income d, from er	(g) Share of end- of-year assets	allocations?		end- ar allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	mana part	ral or aging ner?	(k) Percentage ownership
							Yes	No		Yes	-									
(1) SHORELINE SURGERY CENTER LLC 111 GOOSE LANE GUILFORD, CT 06437 90-0110459	HEALTHCARE SERVICES		YALE NEW HAVEN AMBULATORY SERVICE CORP	RELATED	3,781,631	1,255,757		No			No	51 000 %								
(2) SSC II LLC 111 GOOSE LANE GUILFORD, CT 06437 26-1709382	HEALTHCARE SERVICES		YALE NEW HAVEN AMBULATORY SERVICE CORP	RELATED	2,931,492	1,397,576		No			No	51 000 %								
ORTHOPAEDIC & NEUROSURGERY (3) CENTER LLC 55 HOLLY HILL LANE GREENWICH, CT 06830 27-3477197	HEALTHCARE SERVICES		GREENWICH AMBULATORY SERVICE CORP	RELATED	801,312	92,592		No			No	35 000 %								
(4) TOTAL HEALTH CONNECTICUT LLC 789 HOWARD AVENUE NEW HAVEN, CT 06519 47-4070024	HEALTHCARE SERVICES		YALE NEW HAVEN HEALTH SERVICES CORPORATION	RELATED				No			No	60 000 %								
YALE NEW HAVEN HEALTH SYSTEM (5) INVESTMENT 20 YORK STREET NEW HAVEN, CT 06510 27-1374301	INVESTMENT		YALE NEW HAVEN HEALTH SERVICES CORPORATION	RELATED		38,076,739		No			No	2 140 %								

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total Income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
See Additional Data Table									

chedule k (Folili 990) 2013					Ра	ge 3
Part V Transactions With Related Organizations Complete if the organization ans	wered "Yes" on Form	990, Part IV, line	34, 35b, or 36.			
Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule					Yes	No
During the tax year, did the orgranization engage in any of the following transactions with one or more	e related organizations li	sted in Parts II-IV?				<u> </u>
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity				1a		N
b Gift, grant, or capital contribution to related organization(s)				1 b		N
c Gift, grant, or capital contribution from related organization(s)				1 c		N
d Loans or loan guarantees to or for related organization(s)				1 d	Yes	$\overline{}$
e Loans or loan guarantees by related organization(s)				1e		N
f Dividends from related organization(s)				1f		N
g Sale of assets to related organization(s)				1 g		N
h Purchase of assets from related organization(s)				1h		N
i Exchange of assets with related organization(s)				1i		N
j Lease of facilities, equipment, or other assets to related organization(s)				1j		N
						<u> </u>
k Lease of facilities, equipment, or other assets from related organization(s)				1k	Yes	Π
Performance of services or membership or fundraising solicitations for related organization(s)				11	Yes	
m Performance of services or membership or fundraising solicitations by related organization(s) .				1m	Yes	ł
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)				1n		N
o Sharing of paid employees with related organization(s)				10	Yes	$\overline{}$
						$\overline{}$
p Reimbursement paid to related organization(s) for expenses				1 p	Yes	<u> </u>
q Reimbursement paid by related organization(s) for expenses				1 q	Yes	$\overline{}$
						$\overline{}$
r Other transfer of cash or property to related organization(s)				1r	Yes	$\overline{}$
s Other transfer of cash or property from related organization(s)				1s	Yes	<u> </u>
If the answer to any of the above is "Yes," see the instructions for information on who must comple	ete this line, including co	overed relationships	and transaction thresholds	5		
(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining ar	nount II	nvolved	J
e Additional Data Table						
						_
						_

Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships													
(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	org	(e) all partners section (01(c)(3) anizations?	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtiona allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
			314)	Yes	No			Yes	No		Yes	No	
												1 1	

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Schedule R (Form 990) 2015

Schedule R (Form 990) 2015

CONTROLLING ENTITY OF TAX DIRECT CONTROLLING ENTITY GREENWICH HEALTH CARE SERVICES, INC THROUGH 5/12/16, AFTER YALE NEW HAVEN HEALTH SERVICES EXEMPTS ORGANIZATIONS CORPORATION NAME OF RELATED ORGANIZATION PERRYRIDGE CORPORATION DIRECT CONTROLLING ENTITY GREENWICH HEALTH CARE SERVICES, INC. THROUGH 5/12/16, AFTER GREENWICH HOSPTIAL NAME OF RELATED ORGANIZATION. THE GREENWICH HOSPITAL ENDOWMENT FUND INC DIRECT CONTROLLING ENTITY GREENWICH HEALTH CARE SERVICES, INC THROUGH 5/12/16, AFTER GREENWICH

HOSPTIAL

Software ID: Software Version:

EIN: 22-2529464

Name: YALE NEW HAVEN HEALTH SERVICES CORPORATION

Form 990	Schodulo D	Dart II -	Identification	of Polated	Tay-Eyemnt	Organizations

Form 990, Schedule R, Part II - Identification of R	-	_	1.25	1 (3)	145	/>
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c) (3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity? Yes No
GREENWICH HOSPITAL 5 PERRYRIDGE ROAD GREENWICH, CT 06830 06-0646659	HEALTHCARE SERVICES	СТ	501C3	LINE 3	SEE SCHEDULE R PART VII	Yes
GREENWICH HEALTH CARE SERVICES INC - MERGED WITH GH ON 51216 5 PERRYRIDGE ROAD GREENWICH, CT 06830 22-2593399	SYSTEM SUPPORT SERVICES	СТ	501C3	LINE 11B, II	YALE NEW HAVEN HEALTH SERVICES CORPORATION	Yes
THE GREENWICH HOSPITAL ENDOWMENT FUND INC 5 PERRYRIDGE ROAD GREENWICH, CT 06830 06-1526642	SYSTEM SUPPORT SERVICES	СТ	501C3	LINE 11B, II	SEE SCHEDULE R PART VII	Yes
BRIDGEPORT HOSPITAL 267 GRANT STREET BRIDGEPORT, CT 06610 06-0646554	HEALTHCARE SERVICES	СТ	501C3	LINE 3	YALE NEW HAVEN HEALTH SERVICES CORPORATION	Yes
SOUTHERN CONNECTICUT HEALTH SYSTEM PROPERTIES INC 267 GRANT STREET BRIDGEPORT, CT 06610 06-1297708	TITLE HOLDING	СТ	501C2		BRIDGEPORT HOSPITAL	Yes
BRIDGEPORT HOSPITAL AUXILIARY INC 267 GRANT STREET BRIDGEPORT, CT 06610 06-6042500	SYSTEM SUPPORT SERVICES	СТ	501C3	LINE 11A, I	BRIDGEPORT HOSPITAL	Yes
BRIDGEPORT HOSPITAL FOUNDATION INC 267 GRANT STREET BRIDGEPORT, CT 06610 22-2908698	SYSTEM SUPPORT SERVICES	СТ	501C3	LINE 7	BRIDGEPORT HOSPITAL	Yes
NORTHEAST MEDICAL GROUP INC 99 HAWLEY LANE STRATFORD, CT 06614 06-1330992	HEALTHCARE SERVICES	СТ	501C3	LINE 9	YALE NEW HAVEN HEALTH SERVICES CORPORATION	Yes
NORTHEAST MEDICAL GROUP PLLC 99 HAWLEY LANE STRATFORD, CT 06614 35-2380180	HEALTHCARE SERVICES	СТ	501C3	LINE 11A, I	NORTHEAST MEDICAL GROUP INC	Yes
YALE NEW HAVEN HOSPITAL 20 YORK STREET NEW HAVEN, CT 06504 06-0646652	HEALTHCARE SERVICES	СТ	501C3	LINE 3	YALE NEW HAVEN HEALTH SERVICES CORPORATION	Yes
YALE-NEW HAVEN CARE CONTINUUM CORP 789 HOWARD AVE NEW HAVEN, CT 06519 45-5235566	NURSING HOME	СТ	501C3	LINE 3	YALE NEW HAVEN HOSPITAL	Yes
PERRYRIDGE CORPORATION 5 PERRYRIDGE ROAD GREENWICH, CT 06830 06-1207316	SYSTEM SUPPORT SERVICES	СТ	501C3	LINE 11B, II	SEE SCHEDULE R PART VII	Yes
BRIDGEPORT HOSPITAL FRIENDS OF PEDIATRICS 120 COLUMBINE DRIVE TRUMBULL, CT 06611 06-6048427	SYSTEM SUPPORT SERVICES	СТ	501C3	LINE 11A, I	YALE NEW HAVEN HOSPITAL	Yes
LAWRENCE MEMORIAL CORPORATION 365 MONTAUK AVENUE NEW LONDON, CT 06320 22-2553028	PROMOTE HEALTHCARE	СТ	501C3	LINE 9	YALE NEW HAVEN HEALTH SERVICES CORPORATION	Yes
LAWRENCE MEMORIAL HOSPITAL INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 06-0646704	HEALTHCARE SERVICES	СТ	501C3	LINE 3	LAWRENCE MEMORIAL CORPORATION	Yes
ASSOCIATED SPECIALISTS OF SOUTHEASTERN CT INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 20-8006123	HEALTHCARE SERVICES	СТ	501C3	LINE 11A, I	LAWRENCE MEMORIAL HOSPITAL INC	Yes
VISITING NURSE ASSOCIATION OF SOUTHEASTERN CT INC 403 NORTH FRONTAGE ROAD WATERFORD, CT 06385 06-0646616	HOME HEALTHCARE SERVICES	СТ	501C3	LINE 9	LAWRENCE MEMORIAL CORPORATION	Yes
L&M PHYSICIAN ASSOCIATION INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 27-1094375	HEALTHCARE SERVICES	СТ	501C3	LINE 11A, I	LAWRENCE MEMORIAL CORPORATION	Yes
LAWRENCE AND MEMORIAL FOUNDATION INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 22-2553026	FUNDRAISING SERVICES	СТ	501C3	PF	LAWRENCE MEMORIAL CORPORATION	Yes
LMW HEALTHCARE INC DBA WESTERLY HOSPITAL 365 MONTAUK AVENUE NEW LONDON, CT 06320 46-0543230	HEALTHCARE SERVICES	RI	501C3	LINE 3	LAWRENCE MEMORIAL CORPORATION	Yes

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations (d) (e) (f) (g) (a) (b) (c) Name, address, and EIN of related organization Primary activity Legal domicile Exempt Code Public charity Direct controlling Section 512 (b)(13)(state section status entity or foreign (if section 501(c) controlled entity? country) (3)) Yes No FUNDRAISING RΤ 501C3 LINE 11A, I LMW HEALTHCARE Yes THE WESTERLY HOSPITAL FOUNDATION SERVICES INC 25 WELLS STREET WESTERLY, RI 02891 05-0508064 FUNDRAISING RΤ 501C3 LINE 11C, III-FI LMW HEALTHCARE Yes WESTERLY HOSPITAL AUXILIARY INC. ACTIVITIES INC 25 WELLS STREET WESTERLY, RI 02891 22-2507181 СТ SYSTEM SUPPORT 501C3 LINE 11A, I Yes L&M HEALTHCARE INC SERVICES 365 MONTAUK AVENUE NEW LONDON, CT 06320

22-2553031

Form 990, Schedule R, Part IV -	Identification of Rel	ated Organiz	zations Taxable	as a Corpor	ation or Trust				
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total Income	(g) Share of end-of- year assets	(h) Percentage ownership	Sect 512(b) contro entit	ion (13) illed
(1) YNHHS-MSO INC 789 HOWARD AVE NEW HAVEN, CT 06519 06-1467717	MANAGEMENT SERVICES	СТ	N/A	С		260,120	100 000 %	Yes	
YALE-NEW HAVEN AMBULATORY (1) SERVICES 40 TEMPLE STREET NEW HAVEN, CT 06510 06-1398526	HEALTHCARE SERVICES	СТ	YALE NEW HAVEN HOSPITAL	С	2,151,858	12,851,998	100 000 %	Yes	
(2) MEDICAL CENTER REALTY INC 50 YORK STREET NEW HAVEN, CT 06511 06-1110858	REAL ESTATE RENTAL	СТ	YORK ENTERPRISES INC	С	433,646	2,803,308	100 000 %	Yes	
GREENWICH FERTILITY & IVF (3) CENTER PC 5 PERRYRIDGE ROAD GREENWICH, CT 06830 30-0145464	HEALTHCARE SERVICES	СТ	GREENWICH HOSPITAL	С	3,863,828	2,424,217	100 000 %	Yes	
(4) YORK ENTERPRISES INC 50 YORK STREET NEW HAVEN, CT 06511 06-1110937	TITLE HOLDING	СТ	YALE NEW HAVEN HOSPITAL	С		15,586,397	100 000 %	Yes	
	ADMININISTRATIVE SERVICES	СТ	N/A	С		73,592	100 000 %	Yes	
(6) MEDICAL CENTER PHARMACY INC 50 YORK STREET NEW HAVEN, CT 06511 06-1087673	PHARMACY	СТ	YORK ENTERPRISES INC	С	7,553,348	17,803,580	100 000 %	Yes	
(7) CENTURY FINANCIAL SERVICES INC 23 MAIDEN LANE NORTH HAVEN, CT 06473 06-1110797	DEBT COLLECTION	СТ	YORK ENTERPRISES INC	С	6,018,705	3,378,759	100 000 %	Yes	
(8) GREENWICH OCCUPATIONAL HEALTH SERVICES OF NEW YORK PC 5 PERRYRIDGE ROAD GREENWICH, CT 06830 06-1540101	HEALTHCARE	NY	GREENWICH HEALTH SERVICES INC	С	315,893	299,287	100 000 %	Yes	
GREENWICH OCCUPATIONAL (9) HEALTH SERVICES OF NJ PC 5 PERRYRIDGE ROAD GREENWICH, CT 06830 45-3833883	HEALTHCARE	N J	GREENWICH HEALTH SERVICES INC	С	207,822	79,164	100 000 %	Yes	
(10) PRIMARYNET OF CONNECTICUT INC - DISSOLVED 93016 789 HOWARD AVE NEW HAVEN, CT 06519 06-1463534	HEALTHCARE	СТ	CHC PHYSICIANS INC	С			100 000 %	Yes	
(11) CENTURY MANAGEMENT SERVICES INC 23 MAIDEN LANE NORTH HAVEN, CT 06473 06-1303173	RECEIVABLE MANAGEMENT	СТ	YORK ENTERPRISES INC	С			100 000 %	Yes	
(12) L & M SYSTEMS INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 22-2553037	HEALTHCARE RELATED SERVICES	СТ	LA WRENCE MEMORIA L CORPORATION	С	1,153		100 000 %	Yes	
(13) L&M HOME CARE SERVICES INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 06-1389272	HOME THERAPY	СТ	LA WRENCE MEMORIA L CORPORATION	С	282,802	120,351	100 000 %	Yes	
(14) LAWRENCE & MEMORIAL INDEMNITY COMPANY LTD PO BOX 1159 GRAND CAYMAN KY1-1102 CJ 98-1021436	INSURANCE	CJ	LA WRENCE MEMORIA L CORPORATION	С		36,274,691	100 000 %	Yes	

Form	990, Schedule R, Part V - Transactions With Related Organizations			
	(a) Name of related organization	(b) Transaction type(a-s)	(c) A mount Involved	(d) Method of determining amount involved
(1)	BRIDGEPORT HOSPITAL	L	77,416,962	COMPARABLE MARKET VALUE
(1)	BRIDGEPORT HOSPITAL	М	11,098,363	COMPARABLE MARKET VALUE
(2)	BRIDGEPORT HOSPITAL	Р	5,292,353	TRANSACTION REVIEW
(3)	BRIDGEPORT HOSPITAL	Q	79,217,925	TRANSACTION REVIEW
(4)	CENTURY FINANCIAL SERVICES INC	L	96,379	COMPARABLE MARKET VALUE
(5)	GREENWICH HOSPITAL	L	1,368,635	COMPARABLE MARKET VALUE
(6)	GREENWICH HOSPITAL	М	53,468,472	COMPARABLE MARKET VALUE
(7)	GREENWICH HOSPITAL	Р	55,595,097	TRANSACTION REVIEW
(8)	GREENWICH HOSPITAL	Q	5,687,868	TRANSACTION REVIEW
(9)	LAWRENCE MEMORIAL HOSPITAL	L	5,853,541	COMPARABLE MARKET VALUE
(10)	LA WRENCE MEMORIAL HOSPITAL	S	36,201,000	CASH
(11)	NORTHEAST MEDICAL GROUP INC	R	42,822,019	CASH
(12)	YALE NEW HAVEN HOSPITAL	L	240,441,569	COMPARABLE MARKET VALUE
(13)	YALE NEW HAVEN HOSPITAL	М	37,915,079	COMPARABLE MARKET VALUE
(14)	YALE NEW HAVEN HOSPITAL	Р	28,736,686	TRANSACTION REVIEW
(15)	YALE NEW HAVEN HOSPITAL	R	5,836,451	CASH
(16)	YALE NEW HAVEN HOSPITAL	S	15,696,369	CASH
(17)	YORK ENTERPRISES INC	L	835,364	COMPARABLE MARKET VALUE
(18)	NORTHEAST MEDICAL GROUP INC	L	12,201,705	COMPARABLE MARKET VALUE
(19)	YALE NEW HAVEN HOSPITAL	К	3,278,000	COMPARABLE MARKET VALUE
(20)	YALE NEW HAVEN AMBULATORY SERVICES CORP	L	218,320	COMPARABLE MARKET VALUE
(21)	YALE NEW HAVEN CARE CONTINUUM CORP	L	411,882	COMPARABLE MARKET VALUE
(22)	YALE NEW HAVEN HOSPITAL	Q	230,992,216	TRANSACTION REVIEW
(23)	GREENWICH HEALTH CARE SERVICES INC	S	7,552,821	CASH
(24)	GREENWICH HEALTH CARE SERVICES INC	D	1,852,862	CASH
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