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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 10-01-2015 , and ending 09-30-2016

Name of foundation
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION
% ANDREW HEYER

A Employer identification number
13-4146979

Number and street (or P O box number if mail is not delivered to street address) 55 CUSHMAN ROAD
Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
SCARSDALE, NY 10583

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 969,574

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	215,530			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	72	72	72	
	4	Dividends and interest from securities	11,583	11,583	11,583	
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	89,019			
	b	Gross sales price for all assets on line 6a 490,648				
	7	Capital gain net income (from Part IV, line 2)		89,019		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	316,204	100,674	11,655	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,750	4,750	4,750	0
	c	Other professional fees (attach schedule)	13,205	13,205	13,205	
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,750	2,750	2,750	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	20,705	20,705	20,705	0
	25	Contributions, gifts, grants paid	420,215			420,215
	26	**Total expenses and disbursements.** Add lines 24 and 25	440,920	20,705	20,705	420,215
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-124,716			
	b	**Net investment income** (if negative, enter -0-)		79,969		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	16,832	45,957	45,957
2	Savings and temporary cash investments	1,510	1,511	1,511
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	700,073	546,231	922,106
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets**(to be completed by all filers—see the instructions Also, see page 1, item I)	718,415	593,699	969,574
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	718,415	593,699	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances**(see instructions)	718,415	593,699	
31	**Total liabilities and net assets/fund balances**(see instructions)	718,415	593,699	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	718,415
2	Enter amount from Part I, line 27a	2	-124,716
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	593,699
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	593,699

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	NORTHERN TRUST-SCHEDULE ATTACHED			
b	NORTHERN TRUST-SCHEDULE ATTACHED	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	129,291		127,427	1,864
b	361,357		274,202	87,155
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				1,864
b				87,155
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	89,019
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	469,815	1,127,651	0 416632
2013	559,655	1,257,383	0 445095
2012	782,734	1,353,887	0 578138
2011	597,407	805,705	0 741471
2010	704,202	2,066,081	0 340839

2	**Total** of line 1, column (d).	2	2 522175
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 504435
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	1,058,982
5	Multiply line 4 by line 3.	5	534,188
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	800
7	Add lines 5 and 6.	7	534,988
8	Enter qualifying distributions from Part XII, line 4.	8	420,215

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	1,599
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3	Add lines 1 and 2		3	1,599
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	1,599
6	Credits/Payments			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a 3,353		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	3,353
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,754
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 1,754 **Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).		11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	Yes	

Website address ▶

14 The books are in care of ▶ANDREW HEYER Telephone no ▶(212) 616-9650

Located at ▶55 CUSHMAN ROAD SCARSDALE NY ZIP+4 ▶10583

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

		Yes/No		
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☑			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☐ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ANDREW R HEYER 55 CUSHMAN ROAD SCARSDALE, NY 10583	TRUSTEE 0	0		
MINDY B HEYER 55 CUSHMAN ROAD SCARSDALE, NY 10583	TRUSTEE 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,042,205
b	Average of monthly cash balances	1b	32,904
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,075,109
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,075,109
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	16,127
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,058,982
6	**Minimum investment return.** Enter 5% of line 5	6	52,949

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	52,949
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,599		
b	Income tax for 2015 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,599
3	Distributable amount before adjustments Subtract line 2c from line 1			3	51,350
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	51,350
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	51,350

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	420,215
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	420,215
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	420,215

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				51,350
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 2013 , 2012 , 2011		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010. 601,106				
b From 2011. 563,658				
c From 2012. 716,360				
d From 2013. 499,147				
e From 2014. 415,136				
f **Total** of lines 3a through e.	2,795,407			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 420,215				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				51,350
e Remaining amount distributed out of corpus	368,865			
5 Excess distributions carryover applied to 2015				
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,164,272			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .	601,106			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	2,563,166			
10 Analysis of line 9				
a Excess from 2011. . . . 563,658				
b Excess from 2012. . . . 716,360				
c Excess from 2013. . . . 499,147				
d Excess from 2014. . . . 415,136				
e Excess from 2015. . . . 368,865				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information**(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				420,215
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments		72			
4 Dividends and interest from securities. . .		11,583			
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory		89,019			
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a CONTRIBUTIONS					215,530
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		100,674			215,530

13 **Total.** Add line 12, columns (b), (d), and (e). 13 316,204

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
******	2017-08-14	******

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Scott Martin CPA		2017-08-14		P00164717

Firm's name ▶ Band Rosenbaum & Martin PC

Firm's EIN ▶

Firm's address ▶ 440 Mamaroneck Ave-Ste508 Harrison, NY 10528

Phone no (914) 636-7200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF PENNSYLVANIA FRANKLIN BUILDING 3451 WALNUT ST ROOM 433 PHILADELPHIA, PA 191046205	NONE	PC	EDUCATION	382,715
NY PRESBYTERIAN FUND 525 EAST 68TH STREET NEW YORK, NY 100654870	NONE	PC	EDUCATION AND RESEARCH	2,000
AMERICAN FRIENDS OF THE HEBREW UNIVERSITY 1 BATTERY PARK PLAZA 2501 NEW YORK, NY 10004	NONE	PC	EDUCATION	5,000
UJA FEDERATION OF NEW YORK 130 E 59TH STREET NEW YORK, NY 10022	NONE	PC	RELIGIOUS	5,000
UNIVERSITY OF PENNSYLVANIA VETERINARY SCHOOL 3800 SPRUCE ST PHILADELPHIA, PA 19104	NONE	PC	EDUCATION	25,000
Total . . . . ▶ **3a**				420,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CYSTIC FIBROSIS 4550 MONTGOMERY AVE BETHESDA,MD 20814	NONE	PC	MEDICAL RESEARCH	500
Total . . . . ▶ **3a**				420,215

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TY 2015 Accounting Fees Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BAND ROSENBAUM & MARTIN PC	4,750	4,750	4,750	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

TY 2015 Investments Corporate Stock Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NORTHERN TRUST SECURITIES ACCT	546,231	922,106

TY 2015 Other Professional Fees Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NORTHERN TRUST ADVISORY FEES	13,205	13,205	13,205	

TY 2015 Substantial Contributors Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

Name	Address
ANDREW AND MINDY HEYER	55 CUSHMAN ROAD SCARSDALE,NY 10583

TY 2015 Taxes Schedule

Name: THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

EIN: 13-4146979

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	2,500	2,500	2,500	
NYS FILING FEE	250	250	250	

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990.

OMB No 1545-0047

2015

Name of the organization	Employer identification number
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION	13-4146979

Organization type (check one)

Filers of: **Section:**

Form 990 or 990-EZ
- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF
- ☑ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33^{1}/_{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION

Employer identification number
13-4146979

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANDREW AND MINDY HEYER 55 CUSHMAN ROAD SCARSDALE, NY 10583	$ 210,856	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE ANDREW R HEYER AND MINDY B HEYER FOUNDATION	13-4146979

Part II **Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE ANDREW R HEYER AND MINDY B HEYER
FOUNDATION

Employer identification number
13-4146979

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** ***exclusively*** **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $**

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
Italy									
02 Jun 16 07 Jun 16	ADR LUXOTTICA GROUP S P A SPONSORED ADR CUSIP 55068R202	-175 000	9,362 01	-4,903 50	0 00	4,458 51	0 00	0 00	4,458 51
	30 Dec 11	-175 000	9,362 01	-4,903 50	0 00	4,458 51	0 00	0 00	4,458 51
Total Italy			**9,362.01**	**-4,903.50**	**0.00**	**4,458.51**	**0.00**	**0 00**	**4,458.51**
Netherlands									
02 Jun 16 07 Jun 16	ADR ASML HLDG NV NY REG 2012 (POST REV SPLIT) CUSIP N07059210	-15 000	1,495 28	-1 251 89	0 00	243 39	0 00	0 00	243 39
	29 Jul 14	-15 000	1,495 28	-1 251 89	0 00	243 39	0 00	0 00	243 39
09 Feb 16 12 Feb 16	ADR ASML HLDG NV NY REG 2012 (POST REV SPLIT) CUSIP N07059210	-100 000	8,546 35	-8,345 92	0 00	200 43	0 00	0 00	200 43
	29 Jul 14	-100 000	8,546 35	-8 345 92	0 00	200 43	0 00	0 00	200 43
Total Netherlands			**10,041.63**	**-9,597.81**	**0.00**	**443.82**	**0.00**	**0.00**	**443.82**
Switzerland									
02 Jun 16 07 Jun 16	ADR NESTLE S A SPONSORED ADR REPSTG REG SH CUSIP 641069406	-50 000	3,712 16	-2 886 13	0 00	826 03	0 00	0 00	826 03
	30 Dec 11	-50 000	3,712 16	-2,886 13	0 00	826 03	0 00	0 00	826 03
Total Switzerland			**3,712.16**	**-2,886.13**	**0.00**	**826.03**	**0.00**	**0.00**	**826.03**
United States									
14 Oct 15 19 Oct 15	#REORG/FMC TECHNOLOGIES STOCK MERGER TECHNIPFMC INC 2E1WAA2 01-17-2017 CUSIP 30249U101	-375 000	12,727 00	-18,515 18	0 00	-5,788 18	0 00	0 00	-5,788 18
	25 Apr 12	-125 000	4,242 33	-5,801 25	0 00	-1,558 92	0 00	0 00	-1,558 92
	08 Aug 13	-75 000	2 545 40	-4,041 10	0 00	-1,495 70	0 00	0 00	-1,495 70
	14 Nov 13	-175 000	5 939 27	-8,672 83	0 00	-2,733 56	0 00	0 00	-2,733 56

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
29 Feb 16 03 Mar 16	#REORG/SANDISK CORP CASH AND STOCK MERGER WESTERN DIGITAL 2922315 05-13-16 CUSIP 80004C101	-325 000	23,655 78	-18 361 71	0 00	5 294 07	0 00	0 00	5 294 07
	08 Aug 13	-175 000	12,737 73	-10,128 33	0 00	2,609 40	0 00	0 00	2,609 40
	19 Aug 13	-150 000	10,918 05	-8,233 38	0 00	2,684 67	0 00	0 00	2,684 67
30 Oct 15 04 Nov 15	#REORG/SIRONA DENTAL STOCK MERGER DENTSPLY SIRONA 231NA21 02-29-2016 CUSIP 82966C103	-25 000	2,745 83	-1 669 09	0 00	1,076 74	0 00	0 00	1,076 74
	19 Sep 13	-25 000	2 745 83	-1 669 09	0 00	1,076 74	0 00	0 00	1 076 74
02 Jun 16 07 Jun 16	#REORG/WHITEWAVE FOODS CASH MERGER 04-12-2017 CUSIP 966244105	-50 000	2,265 96	-1 136 81	0 00	1,129 15	0 00	0 00	1 129 15
	05 Feb 14	-50 000	2,265 96	-1,136 81	0 00	1,129 15	0 00	0 00	1,129 15
15 Aug 16 18 Aug 16	#REORG/WHITEWAVE FOODS CASH MERGER 04-12-2017 CUSIP 966244105	-325 000	17,921 92	-7 389 30	0 00	10,532 62	0 00	0 00	10 532 62
	05 Feb 14	-325 000	17,921 92	-7,389 30	0 00	10,532 62	0 00	0 00	10,532 62
05 Jan 16 08 Jan 16	ALLERGAN PLC COM STK CUSIP G0177J108	-70 000	21,652 37	-20 262 35	1,390 02	0 00	0 00	1,390 02	0 00
	26 Feb 15	-70 000	21,652 37	-20,262 35	1,390 02	0 00	0 00	1,390 02	0 00
02 Jun 16 07 Jun 16	ALLERGAN PLC COM STK CUSIP G0177J108	-16 000	3,866 90	-4 368 56	-262 76	-238 90	0 00	-262 76	-238 90
	26 Feb 15	-5 000	1,208 41	-1,447 31	0 00	-238 90	0 00	0 00	-238 90
	01 Apr 16	-11 000	2,658 49	-2,921 25	-262 76	0 00	0 00	262 76	0 00
02 Jun 16 07 Jun 16	ALPHABET INC CAP STK USD0 001 CL C CUSIP 02079K107	-5 000	3,633 59	-3,606 76	26 83	0 00	0 00	26 83	0 00
	22 Apr 16	-5 000	3,633 59	-3,606 76	26 83	0 00	0 00	26 83	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
02 Jun 16 07 Jun 16	APPLE INC COM STK CUSIP 037833100	-50 000	4,858 57	-3 436 28	0 00	1,422 29	0 00	0 00	1,422 29
	08 Nov 12	-13 000	1 263 23	-1,011 72	0 00	251 51	0 00	0 00	251 51
	29 Jan 13	-37 000	3 595 34	-2,424 56	0 00	1,170 78	0 00	0 00	1,170 78
15 Aug 16 18 Aug 16	APPLE INC COM STK CUSIP 037833100	-30 000	3 279 89	-1,965 86	0 00	1 314 03	0 00	0 00	1,314 03
	29 Jan 13	-30 000	3 279 89	-1,965 86	0 00	1,314 03	0 00	0 00	1 314 03
08 Feb 16 11 Feb 16	BRISTOL MYERS SQUIBB CO COM CUSIP 110122108	-125 000	7,486 99	-4 224 81	0 00	3 262 18	0 00	0 00	3 262 18
	04 Oct 12	-125 000	7 486 99	-4,224 81	0 00	3,262 18	0 00	0 00	3 262 18
02 Jun 16 07 Jun 16	BRISTOL MYERS SQUIBB CO COM CUSIP 110122108	-50 000	3 609 93	-3 277 06	0 00	332 87	0 00	0 00	332 87
	17 Apr 15	-50 000	3,609 93	-3,277 06	0 00	332 87	0 00	0 00	332 87
02 Jun 16 07 Jun 16	CABOT OIL & GAS CORP COM CUSIP 127097103	-100 000	2,428 82	-2,987 52	0 00	-558 70	0 00	0 00	-558 70
	15 Dec 14	-100 000	2 428 82	-2,987 52	0 00	-558 70	0 00	0 00	-558 70
02 Jun 16 07 Jun 16	CDW CORP COM CUSIP 12514G108	-75 000	3,196 16	-2,676 50	0 00	519 66	0 00	0 00	519 66
	12 Jan 15	-75 000	3 196 16	-2,676 50	0 00	519 66	0 00	0 00	519 66
08 Oct 15 14 Oct 15	CDW CORP COM CUSIP 12514G108	-150 000	6 396 59	-5,428 78	967 81	0 00	0 00	967 81	0 00
	12 Jan 15	-100 000	4 264 39	-3,568 66	695 73	0 00	0 00	695 73	0 00
	08 May 15	-50 000	2,132 20	-1,860 12	272 08	0 00	0 00	272 08	0 00
02 Jun 16 07 Jun 16	CHUBB LTD ORD CHF24 15 CUSIP H1467J104	-25 000	3,175 93	-2,944 49	231 44	0 00	0 00	231 44	0 00
	04 May 16	-25 000	3,175 93	-2,944 49	231 44	0 00	0 00	231 44	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
18 Feb 16 23 Feb 16	CHURCH & DWIGHT INC COM CUSIP. 171340102	-50 000	4 475 26	-2,548 85	0 00	1,926 41	0 00	0 00	1,926 41
	08 Nov 12	-50 000	4,475 26	-2,548 85	0 00	1,926 41	0 00	0 00	1,926 41
02 Jun 16 07 Jun 16	CHURCH & DWIGHT INC COM CUSIP 171340102	-25 000	2 462 86	-1 274 43	0 00	1,188 43	0 00	0 00	1,188 43
	08 Nov 12	-25 000	2,462 86	-1,274 43	0 00	1,188 43	0 00	0 00	1,188 43
22 Aug 16 25 Aug 16	CME GROUP INC COM STK CUSIP 12572Q105	-75 000	7,936 46	-5 588 51	0 00	2,347 95	0 00	0 00	2,347 95
	13 Jan 14	-25 000	2 645 49	-1,861 96	0 00	783 53	0 00	0 00	783 53
	24 Feb 14	-50 000	5,290 97	-3 726 55	0 00	1,564 42	0 00	0 00	1,564 42
02 Jun 16 07 Jun 16	CME GROUP INC COM STK CUSIP 12572Q105	-25 000	2,440 53	-1 863 28	0 00	577 25	0 00	0 00	577 25
	24 Feb 14	-25 000	2,440 53	-1,863 28	0 00	577 25	0 00	0 00	577 25
07 Dec 15 10 Dec 15	CME GROUP INC COM STK CUSIP 12572Q105	-125 000	12 394 90	-9,353 88	0 00	3,041 02	0 00	0 00	3,041 02
	14 Jan 14	-100 000	9,915 92	-7,490 60	0 00	2,425 32	0 00	0 00	2,425 32
	24 Feb 14	-25 000	2,478 98	-1,863 28	0 00	615 70	0 00	0 00	615 70
02 Jun 16 07 Jun 16	COMCAST CORP NEW-CL A CUSIP 20030N101	-50 000	3 179 84	-2,636 34	0 00	543 50	0 00	0 00	543 50
	02 Feb 15	-50 000	3,179 84	-2,636 34	0 00	543 50	0 00	0 00	543 50
17 Feb 16 22 Feb 16	CONCHO RES INC COM STK CUSIP 20605P101	-200 000	19,103 14	-22 060 38	-2,957 24	0 00	0 00	-2,957 24	0 00
	06 Nov 15	-125 000	11,939 46	-14,142 63	-2 203 17	0 00	0 00	-2 203 17	0 00
	11 Nov 15	-50 000	4,775 79	-5,364 00	-588 21	0 00	0 00	-588 21	0 00
	13 Nov 15	-25 000	2 387 89	-2,553 75	-165 86	0 00	0 00	-165 86	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
07 Apr 16 12 Apr 16	COOPER COS INC COM NEW COM NEW CUSIP 216648402	-50 000	7,830 54	-6 521 81	0 00	1 308 73	0 00	0 00	1 308 73
	06 Jun 14	-50 000	7,830 54	-6,521 81	0 00	1,308 73	0 00	0 00	1,308 73
26 May 16 01 Jun 16	COOPER COS INC COM NEW COM NEW CUSIP 216648402	-25 000	4,058 39	-2,978 55	0 00	1 079 84	0 00	0 00	1 079 84
	03 Feb 14	-25 000	4,058 39	-2,978 55	0 00	1,079 84	0 00	0 00	1 079 84
27 May 16 02 Jun 16	COOPER COS INC COM NEW COM NEW CUSIP 216648402	-25 000	4,056 18	-2 978 56	0 00	1,077 62	0 00	0 00	1,077 62
	03 Feb 14	-25 000	4,056 18	-2,978 56	0 00	1,077 62	0 00	0 00	1,077 62
31 May 16 03 Jun 16	COOPER COS INC COM NEW COM NEW CUSIP 216648402	-50 000	8,158 52	-6 202 56	855 25	1,100 71	0 00	855 25	1,100 71
	03 Feb 14	-25 000	4,079 26	-2,978 55	0 00	1,100 71	0 00	0 00	1,100 71
	04 Dec 15	-25 000	4,079 26	-3,224 01	855 25	0 00	0 00	855 25	0 00
24 Jun 16 29 Jun 16	COSTCO WHOLESALE CORP NEW COM CUSIP 22160K105	-20 000	3,120 67	-2 279 33	0 00	841 34	0 00	0 00	841 34
	21 Apr 14	-20 000	3,120 67	-2,279 33	0 00	841 34	0 00	0 00	841 34
02 Jun 16 07 Jun 16	COSTCO WHOLESALE CORP NEW COM CUSIP 22160K105	-25 000	3,732 54	-2 849 16	0 00	883 38	0 00	0 00	883 38
	21 Apr 14	-25 000	3,732 54	-2,849 16	0 00	883 38	0 00	0 00	883 38
05 Nov 15 10 Nov 15	COSTCO WHOLESALE CORP NEW COM CUSIP 22160K105	-50 000	7,815 51	-5,698 33	0 00	2,117 18	0 00	0 00	2,117 18
	21 Apr 14	-50 000	7,815 51	-5,698 33	0 00	2,117 18	0 00	0 00	2,117 18
29 Jan 16 03 Feb 16	CVS HEALTH CORP COM CUSIP 126650100	-50 000	4,749 73	-5 033 78	-284 05	0 00	0 00	284 05	0 00
	01 Sep 15	-50 000	4,749 73	-5,033 78	-284 05	0 00	0 00	284 05	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
02 Jun 16 07 Jun 16	CVS HEALTH CORP COM CUSIP 126650100	-25 000	2,411 24	-2 516 89	-105 65	0 00	0 00	-105 65	0 00
	01 Sep 15	-25 000	2,411 24	-2 516 89	-105 65	0 00	0 00	-105 65	0 00
22 Aug 16 25 Aug 16	DANAHER CORP COM CUSIP 235851102	-75 000	6,058 39	-2,665 87	0 00	3,392 52	0 00	0 00	3,392 52
	30 Dec 11	-75 000	6 058 39	-2 665 87	0 00	3,392 52	0 00	0 00	3,392 52
02 Jun 16 07 Jun 16	DANAHER CORP COM CUSIP 235851102	-50 000	4,909 90	-2 356 00	0 00	2,553 90	0 00	0 00	2,553 90
	30 Dec 11	-50 000	4,909 90	-2,356 00	0 00	2,553 90	0 00	0 00	2,553 90
02 Jun 16 07 Jun 16	DENTSPLY SIRONA INC COM CUSIP 24906P109	-62 000	3,870 25	-2 276 34	0 00	1,593 91	0 00	0 00	1,593 91
	19 Sep 13	-44 510	2,778 46	-1 638 16	0 00	1,140 30	0 00	0 00	1,140 30
	20 Sep 13	-17 490	1,091 79	-638 18	0 00	453 61	0 00	0 00	453 61
01 Mar 16 12 Apr 16	DENTSPLY SIRONA INC COM CUSIP 24906P109	-0 840	49 17	-30 92	0 00	18 25	0 00	0 00	18 25
	19 Sep 13	-0 840	49 17	-30 92	0 00	18 25	0 00	0 00	18 25
02 Jun 16 07 Jun 16	DEVON ENERGY CORP NEW COM CUSIP 25179M103	-100 000	3,656 94	-2 636 10	1,020 84	0 00	0 00	1,020 84	0 00
	01 Apr 16	-100 000	3,656 94	-2,636 10	1,020 84	0 00	0 00	1,020 84	0 00
02 Jun 16 07 Jun 16	DOVER CORP COM CUSIP 260003108	-50 000	3,327 92	-3 851 15	0 00	-523 23	0 00	0 00	-523 23
	17 Oct 13	-25 000	1,663 96	-1,804 59	0 00	-140 63	0 00	0 00	-140 63
	24 Sep 14	-25 000	1,663 96	-2,046 56	0 00	-382 60	0 00	0 00	-382 60
02 Jun 16 07 Jun 16	EOG RESOURCES INC COM CUSIP 26875P101	-25 000	1,990 37	-1,235 50	0 00	754 87	0 00	0 00	754 87
	30 Dec 11	-25 000	1,990 37	-1,235 50	0 00	754 87	0 00	0 00	754 87

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
07 Jan 16 12 Jan 16	ESTEE LAUDER COMPANIES INC CL A USD0 01 CUSIP 518439104	-100 000	8,341 55	-5 067 20	0 00	3 274 35	0 00	0 00	3 274 35
	02 Aug 12	-100 000	8,341 55	-5,067 20	0 00	3 274 35	0 00	0 00	3 274 35
02 Jun 16 07 Jun 16	ESTEE LAUDER COMPANIES INC CL A USD0 01 CUSIP 518439104	-25 000	2,328 07	-1,266 80	0 00	1,061 27	0 00	0 00	1,061 27
	02 Aug 12	-25 000	2,328 07	-1,266 80	0 00	1 061 27	0 00	0 00	1,061 27
02 Jun 16 07 Jun 16	EXPRESS SCRIPTS HLDG CO COM CUSIP 30219G108	-225 000	17,096 88	-18,331 29	-1,234 41	0 00	0 00	-1,234 41	0 00
	05 Oct 15	-225 000	17,096 88	-18,331 29	-1,234 41	0 00	0 00	-1,234 41	0 00
02 Jun 16 07 Jun 16	EXXON MOBIL CORP COM CUSIP 30231G102	-25 000	2,195 95	-776 75	0 00	1 419 20	0 00	0 00	1,419 20
	01 Jan 70	-25 000	2,195 95	-776 75	0 00	1 419 20	0 00	0 00	1,419 20
02 Jun 16 07 Jun 16	FEDEX CORP COM CUSIP 31428X106	-75 000	12 291 48	-12,832 39	0 00	-540 91	0 00	0 00	-540 91
	10 Apr 15	-25 000	4,097 16	-4,344 58	0 00	-247 42	0 00	0 00	-247 42
	16 Apr 15	-50 000	8,194 32	-8,487 81	0 00	-293 49	0 00	0 00	-293 49
02 Jun 16 07 Jun 16	FIDELITY NATL INFORMATION SVCS INC COM STK CUSIP 31620M106	-50 000	3,732 42	-3,128 60	603 82	0 00	0 00	603 82	0 00
	11 Mar 16	-50 000	3,732 42	-3,128 60	603 82	0 00	0 00	603 82	0 00
09 Jun 16 14 Jun 16	FISERV INC COM CUSIP 337738108	-75 000	8 041 31	-2 208 00	0 00	5 833 31	0 00	0 00	5,833 31
	30 Dec 11	-75 000	8 041 31	-2,208 00	0 00	5 833 31	0 00	0 00	5,833 31
25 Jan 16 28 Jan 16	FISERV INC COM CUSIP 337738108	-25 000	2,272 90	-736 00	0 00	1 536 90	0 00	0 00	1,536 90
	30 Dec 11	-25 000	2 272 90	-736 00	0 00	1 536 90	0 00	0 00	1,536 90

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
02 Jun 16 07 Jun 16	FISERV INC COM CUSIP 337738108	-25 000	2,648 78	-736 00	0 00	1,912 78	0 00	0 00	1,912 78
	30 Dec 11	-25 000	2 648 78	-736 00	0 00	1,912 78	0 00	0 00	1,912 78
05 Jul 16 08 Jul 16	FORTIVE CORP COM MON STOCK CUSIP 34959J108	137 000	-6,654 42	0 00	-6,654 42	0 00	0 00	-6,654 42	0 00
05 Jul 16 20 Jul 16	FORTIVE CORP COM MON STOCK CUSIP 34959J108	-0 500	24 90	-11 58	0 00	13 32	0 00	0 00	13 32
	30 Dec 11	-0 500	24 90	-11 58	0 00	13 32	0 00	0 00	13 32
05 Jul 16 08 Jul 16	FORTIVE CORP COM MON STOCK CUSIP 34959J108	-137 000	6,654 42	0 00	6,654 42	0 00	0 00	6,654 42	0 00
	05 Jul 16	-137 000	6,654 42	0 00	6,654 42	0 00	0 00	6,654 42	0 00
05 Jul 16 08 Jul 16	FORTIVE CORP COM MON STOCK CUSIP 34959J108	-137 000	6,654 42	-3,171 57	0 00	3,482 85	0 00	0 00	3 482 85
	30 Dec 11	-137 000	6,654 42	-3,171 57	0 00	3,482 85	0 00	0 00	3 482 85
05 Oct 15 08 Oct 15	INTUIT COM CUSIP. 461202103	-50 000	4 537 91	-2 944 15	0 00	1,593 76	0 00	0 00	1,593 76
	28 May 13	-50 000	4,537 91	-2,944 15	0 00	1,593 76	0 00	0 00	1,593 76
24 May 16 27 May 16	INTUIT COM CUSIP 461202103	-50 000	5 331 46	-2,944 15	0 00	2,387 31	0 00	0 00	2,387 31
	28 May 13	-50 000	5 331 46	-2,944 15	0 00	2,387 31	0 00	0 00	2 387 31
02 Jun 16 07 Jun 16	INTUIT COM CUSIP 461202103	-25 000	2 676 19	-1,472 07	0 00	1,204 12	0 00	0 00	1,204 12
	28 May 13	-25 000	2,676 19	-1,472 07	0 00	1,204 12	0 00	0 00	1,204 12
26 Jan 16 29 Jan 16	IPG PHOTONICS CORP COM CUSIP 44980X109	-100 000	8 076 95	-6,860 49	0 00	1,216 46	0 00	0 00	1,216 46
	30 Sep 14	-50 000	4 038 47	-3,424 75	0 00	613 72	0 00	0 00	613 72

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
	02 Oct 14	-25 000	2,019 24	-1,701 97	0 00	317 27	0 00	0 00	317 27
	03 Oct 14	-25 000	2,019 24	-1,733 77	0 00	285 47	0 00	0 00	285 47
02 Jun 16 07 Jun 16	IPG PHOTONICS CORP COM CUSIP 44980X109	-50 000	4,374 43	-3,391 22	0 00	983 21	0 00	0 00	983 21
	29 Sep 14	-25 000	2,187 21	-1,689 25	0 00	497 96	0 00	0 00	497 96
	02 Oct 14	-25 000	2,187 22	-1,701 97	0 00	485 25	0 00	0 00	485 25
02 Jun 16 07 Jun 16	JOHNSON & JOHNSON COM USD1 CUSIP 478160104	-50 000	5,669 38	-4 044 48	0 00	1,624 90	0 00	0 00	1 624 90
	08 Nov 12	-25 000	2,834 69	-1,744 68	0 00	1,090 01	0 00	0 00	1 090 01
	28 Feb 14	-25 000	2,834 69	-2,299 80	0 00	534 89	0 00	0 00	534 89
02 Jun 16 07 Jun 16	JPMORGAN CHASE & CO COM CUSIP 46625H100	-75 000	4,898 73	-3,995 82	0 00	902 91	0 00	0 00	902 91
	15 Aug 13	-75 000	4,898 73	-3 995 82	0 00	902 91	0 00	0 00	902.91
02 Jun 16 07 Jun 16	LENNAR CORP CL A CL A CUSIP 526057104	-50 000	2 270 96	-1,917 92	0 00	353 04	0 00	0 00	353 04
	08 Nov 12	-50 000	2,270 96	-1,917 92	0 00	353 04	0 00	0 00	353 04
02 Jun 16 07 Jun 16	MARRIOTT INTL INC NEW COM STK CL A CUSIP 571903202	-50 000	3,368 82	-3 678 29	-309 47	0 00	0 00	-309 47	0 00
	17 Nov 15	-50 000	3 368 82	-3,678 29	-309 47	0 00	0 00	-309 47	0 00
02 Jun 16 07 Jun 16	MOODYS CORP COM CUSIP 615369105	-125 000	12,321 42	-4,218 61	0 00	8,102 81	0 00	0 00	8,102 81
	30 Dec 11	-125 000	12,321 42	-4,218 61	0 00	8,102 81	0 00	0 00	8,102 81
02 Jun 16 07 Jun 16	MOTOROLA SOLUTIONS INC CUSIP 620076307	-50 000	3,441 43	-3 547 90	-106 47	0 00	0 00	-106 47	0 00
	13 May 16	-50 000	3,441 43	-3,547 90	-106 47	0 00	0 00	-106 47	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
02 Jun 16 07 Jun 16	NEXTERA ENERGY INC COM CUSIP 65339F101	-25 000	2,990 42	-2 616 14	374 28	0 00	0 00	374 28	0 00
	07 Jan 16	-25 000	2,990 42	-2,616 14	374 28	0 00	0 00	374 28	0 00
18 Jul 16 21 Jul 16	NEXTERA ENERGY INC COM CUSIP 65339F101	-100 000	12,834 03	-10,464 56	2,369 47	0 00	0 00	2,369 47	0 00
	07 Jan 16	-100 000	12,834 03	-10,464 56	2 369 47	0 00	0 00	2 369 47	0 00
02 Jun 16 07 Jun 16	PFIZER INC COM CUSIP 717081103	-100 000	3,480 48	-3 263 66	216 82	0 00	0 00	216 82	0.00
	24 Aug 15	-100 000	3,480 48	-3 263 66	216 82	0 00	0 00	216 82	0 00
14 Jan 16 20 Jan 16	PITNEY BOWES INC COM CUSIP 724479100	-1 100 000	20,408 36	-25,005 94	-932 48	-3,665 10	0 00	-932 48	-3 665 10
	23 Jan 14	-725 000	13,450 96	-16,202 30	0 00	-2,751 34	0 00	0 00	-2,751 34
	28 Apr 14	-100 000	1,655 31	-2,450 29	0 00	-594 98	0 00	0 00	-594 98
	29 Apr 14	-50 000	927 65	-1,246 43	0 00	-318 78	0 00	0 00	-318 78
	11 Feb 15	-225 000	4,174 44	-5,106 92	-932 48	0 00	0 00	-932 48	0 00
02 Jun 16 07 Jun 16	RANGE RES CORP COM CUSIP 75281A109	-50 000	2,130 46	-2,456 08	0 00	-325 62	0 00	0 00	-325 62
	02 Mar 15	-50 000	2,130 46	-2,456 08	0 00	-325 62	0 00	0 00	-325 62
02 Jun 16 07 Jun 16	ROPER TECHNOLOGIES , INC CUSIP 776696106	-25 000	4,261 03	-3,109 58	0 00	1,151 45	0 00	0 00	1,151 45
	28 Oct 13	-25 000	4,261 03	-3,109 58	0 00	1,151 45	0 00	0 00	1,151 45
14 Jan 16 20 Jan 16	SCHLUMBERGER LTD COM COM CUSIP 806857108	-200 000	12,915 40	-13 631 70	0 00	-716 30	0 00	0 00	-716 30
	30 Dec 11	-100 000	6,457 70	-6,842 00	0 00	-384 30	0 00	0 00	-384 30
	08 Nov 12	-100 000	6,457 70	-6,789 70	0 00	-332 00	0 00	0 00	-332 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Sales									
Equities									
Common stock									
United States									
14 Jan 16 20 Jan 16	SCHLUMBERGER LTD COM COM CUSIP 806857108	-25 000	1 614 43	-1 697 43	0 00	-83 00	0 00	0 00	-83 00
	08 Nov 12	-25 000	1,614 43	-1,697 43	0 00	-83 00	0 00	0 00	-83 00
14 Jan 16 20 Jan 16	TOLL BROS INC COM CUSIP 889478103	-50 000	1 481 79	-1,685 67	0 00	-203 88	0 00	0 00	-203 88
	04 Jan 13	-50 000	1,481 79	-1,685 67	0 00	-203 88	0 00	0 00	-203 88
14 Jan 16 20 Jan 16	TOLL BROS INC COM CUSIP 889478103	-700 000	20,745 09	-22,931 76	0 00	-2,186 67	0 00	0 00	-2,186 67
	04 Jan 13	-375 000	11 113 44	-12,642 49	0 00	-1,529 05	0 00	0 00	-1,529 05
	08 Aug 13	-325 000	9 631 65	-10,289 27	0 00	-657 62	0 00	0 00	-657 62
14 Apr 16 19 Apr 16	WALT DISNEY CO CUSIP 254687106	-25 000	2,468 06	-938 75	0 00	1,529 31	0 00	0 00	1,529 31
	30 Dec 11	-25 000	2,468 06	-938 75	0 00	1,529 31	0 00	0 00	1,529 31
02 Jun 16 07 Jun 16	WALT DISNEY CO CUSIP 254687106	-25 000	2 457 61	-938 75	0 00	1,518 86	0 00	0 00	1,518 86
	30 Dec 11	-25 000	2,457 61	-938 75	0 00	1,518 86	0 00	0 00	1,518 86
09 Nov 15 13 Nov 15	WALT DISNEY CO CUSIP 254687106	-50 000	5 802 07	-1,877 50	0 00	3,924 57	0 00	0 00	3,924 57
	30 Dec 11	-50 000	5 802 07	-1,877 50	0 00	3,924 57	0 00	0 00	3,924 57
Total United States			**460,877.05**	**-377,586.38**	**1,864.05**	**81,426 62**	**0.00**	**1,864.05**	**81,426.62**
Total S/T translation gain/loss for equities							**0.00**		
Total L/T translation gain/loss for equities							**0 00**		
Total realized gains for equities					**14,711.00**	**101,985 47**	**0 00**	**14,711.00**	**101,985 47**
Total realized losses for equities					**-12,846.95**	**-14,830 49**	**0.00**	**-12,846.95**	**-14,830 49**
Total Equities			**483,992.85**	**-394,973.82**	**1,864.05**	**87,154.98**	**0.00**	**1,864 05**	**87,154 98**

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Realized gain/loss – Market Long Term	Realized gain/loss – Translation	Realized gain/loss – Total Short Term	Realized gain/loss – Total Long Term
Total Sales			483,992 85	-394,973.82	1,864.05	87,154.98	0.00	1,864.05	87,154.98
Total Short Term Gain Proceeds			72,286.46						
Total Short Term Loss Proceeds			57,004.17						
Total Long Term Gain Proceeds			274,261.93						
Total Long Term Loss Proceeds			87,104.72						
Total Undetermined Tax Lot Proceeds			-6,654.42						
Additional Short Term Proceeds from Zero G/L Transactions			0.00						
Additional Long Term Proceeds from Zero G/L Transactions			0.00						
Short Term Proceeds due to REIT Re-Allocatio			0.00						
Long Term Proceeds due to REIT Re-Allocation			0.00						
Total Short Term Proceeds			129,290.62						
Total Long Term Proceeds			361,356.65						
Total S/T translation gain/loss							0.00		
Total L/T translation gain/loss							0.00		
Total realized gains					14,711.00	101,985.47	0.00	14,711.00	101,985.47
Total realized losses					-12,846.95	-14,830.49	0.00	-12,846.95	-14,830.49
Total realized gain/loss			483,992.85	-394,973.82	1,864.05	87,154.98	0.00	1,864.05	87,154.98