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Return of Private Foundation

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

2015

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2015 or tax year beginning

10/01, 2015, and ending

09/30, 2016

Name of foundation **THE SEEJA FOUNDATION**
C/O JUDITH S. PECK

Number and street (or P O box number if mail is not delivered to street address) Room/suite
1 WEST 72ND STREET # 57

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10023

A Employer identification number
13-3064158

B Telephone number (see instructions)
(201) 348-1200

C If exemption application is pending check here.

D 1 Foreign organizations, check here.
 2 Foreign organizations meeting the 85% test, check here and attach computation.

E If private foundation status was terminated under section 507(b)(1)(A), check here.

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

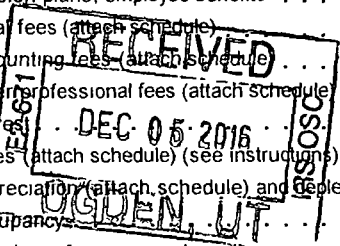
G Check all that apply

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 162,768.**
 Accounting method Cash Accrual
 Other (specify) _____
 (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	11,000.	ATCH 1		
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments.	323.	323.	323.	ATCH 2
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-5,572.			
	b Gross sales price for all assets on line 6a	128,073.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	5,751.	323.	323.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	909.	859.		50.
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 4	150.	5.	5.	145.
	24 Total operating and administrative expenses. Add lines 13 through 23.	1,059.	864.	5.	195.
	25 Contributions, gifts, grants paid	42,500.			42,500.
26 Total expenses and disbursements. Add lines 24 and 25	43,559.	864.	5.	42,695.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-37,808.				
b Net investment income (if negative, enter -0-)		0.			
c Adjusted net income (if negative, enter -0-)			318.		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year		End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	2,937.	383.	383.	
	2	Savings and temporary cash investments	2,164.	2,026.	2,026.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 5	143,611.	108,495.	160,359.	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶ (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	148,712.	110,904.	162,768.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	208,494.	208,494.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds	-59,782.	-97,590.			
30	Total net assets or fund balances (see instructions)	148,712.	110,904.			
31	Total liabilities and net assets/fund balances (see instructions)	148,712.	110,904.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	148,712.
2	Enter amount from Part I, line 27a	2	-37,808.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	110,904.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	110,904.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-5,572.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	65,190.	206,964.	0.314982
2013	85,612.	231,487.	0.369835
2012	112,224.	215,668.	0.520355
2011	101,083.	194,572.	0.519515
2010	148,484.	239,005.	0.621259
2 Total of line 1, column (d)			2.345946
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.469189
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			162,408.
5 Multiply line 4 by line 3			76,200.
6 Enter 1% of net investment income (1% of Part I, line 27b)			
7 Add lines 5 and 6			76,200.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			42,695.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', 'Tax under section 511', 'Add lines 1 and 2', 'Subtitle A (income) tax', 'Tax based on investment income', 'Credits/Payments', 'Total credits and payments', 'Enter any penalty', 'Tax due', 'Overpayment', and 'Enter the amount of line 10 to be Credited to 2016 estimated tax'.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions like 'During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?', 'Did it spend more than \$100 during the year for political purposes?', 'Did the foundation file Form 1120-POL for this year?', 'Enter the amount of tax on political expenditures', 'Has the foundation engaged in any activities that have not previously been reported to the IRS?', 'Did the foundation have unrelated business gross income of \$1,000 or more during the year?', 'Was there a liquidation, termination, dissolution, or substantial contraction during the year?', 'Are the requirements of section 508(e) satisfied either by language in the governing instrument or by state legislation?', 'Did the foundation have at least \$5,000 in assets at any time during the year?', and 'Did any persons become substantial contributors during the tax year?'.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of JUDITH S. PECK Telephone no 201-348-1200 Located at 1 WEST 72ND STREET, NEW YORK, NY NEW YORK, NY ZIP+4 10023
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	155,364.
b	Average of monthly cash balances	1b	9,517.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	164,881.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	164,881.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,473.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	162,408.
6	Minimum investment return. Enter 5% of line 5	6	8,120.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	8,120.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	
b	Income tax for 2015 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	8,120.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	8,120.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	8,120.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	42,695.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	42,695.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	42,695.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				8,120.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20 13 , 20 12 , 20 11				
3 Excess distributions carryover, if any, to 2015				
a From 2010 137,160.				
b From 2011 91,682.				
c From 2012 101,919.				
d From 2013 74,810.				
e From 2014 55,701.				
f Total of lines 3a through e	461,272.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 42,695.				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount				8,120.
e Remaining amount distributed out of corpus.	34,575.			
5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	495,847.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	137,160.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	358,687.			
10 Analysis of line 9				
a Excess from 2011 91,682.				
b Excess from 2012 101,919.				
c Excess from 2013 74,810.				
d Excess from 2014 55,701.				
e Excess from 2015 34,575.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JUDITH S. PECK

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year</p> <p>ATCH 7</p>				
Total				3a 42,500.
<p>b Approved for future payment</p>				
Total				3b

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash, (2) Other assets. b Other transactions: (1) Sales of assets to a noncharitable exempt organization, (2) Purchases of assets from a noncharitable exempt organization, (3) Rental of facilities, equipment, or other assets, (4) Reimbursement arrangements, (5) Loans or loan guarantees, (6) Performance of services or membership or fundraising solicitations. c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' for (b) and (d).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [X] No

b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. All rows are empty.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer or trustee (handwritten), Date 11/22/16, Title PRESIDENT. Box: May the IRS discuss this return with the preparer shown below (see instructions)? [] Yes [X] No

Paid Preparer Use Only section with fields for Print/Type preparer's name, Preparer's signature, Date, Check [] if self-employed, PTIN, Firm's name, Firm's address, Firm's EIN, and Phone no.

Schedule of Contributors

2015

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

THE SEEJA FOUNDATION
C/O JUDITH S. PECK

Employer identification number

13-3064158

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE SEEJA FOUNDATION C/O JUDITH S. PECK	Employer identification number 13-3064158
--	--

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JUDITH STERN PECK 1 WEST 72ND STREET, #57 NEW YORK, NY 10023	\$ 11,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE SEEJA FOUNDATION
C/O JUDITH S. PECK

Employer identification number
13-3064158

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
87,292.		SEE STATEMENT ATTACHED 99,470.					VAR -12,178.	VAR
40,781.		SEE STATEMENT ATTACHED 34,175.					VAR 6,606.	VAR
TOTAL GAIN (LOSS)					<u>-5,572.</u>	

SEEJA Foundation
Realized Capital Gains & Losses
For the Year End September 30, 2016

Shares Sold	Security Description	Purchase Date	Trade Date	Sales Proceeds	Cost Basis	STCG	LTCC	LT Proceeds	LT Cost	LT Gain (Loss)	ST Proceeds	ST Cost	ST Gain (Loss)
29	Bluebird Bio Inc	1/14/2015	9/28/2015	2,721.87	3,115.44	(383.57)	-	-	-	-	2,721.87	3,115.44	(393.57)
25	Vum Brands Inc.	6/4/2014	10/7/2015	2,328.59	2,798.73	-	(470.14)	2,328.59	2,798.73	(470.14)	-	-	-
70	Marketo Inc.	9/18/2015	10/23/2015	1,862.80	2,133.16	(140.23)	-	-	-	-	1,862.80	2,133.16	(140.23)
100	QLIK Technologies Inc	5/27/2015	10/23/2015	3,047.13	3,583.34	(536.21)	-	-	-	-	3,047.13	3,583.34	(536.21)
169	Blue Buffalo Pet Products Inc	7/22/2015	10/27/2015	2,911.17	4,359.78	(1,448.59)	-	-	-	-	2,911.17	4,359.78	(1,448.59)
15	New Ratic Inc	12/18/2014	10/27/2015	568.28	491.33	78.95	-	-	-	-	568.28	491.33	78.95
25	New Ratic Inc	2/17/2015	10/27/2015	947.12	891.08	56.04	-	-	-	-	947.12	891.08	56.04
Oct Realized Gains						(2,343.83)	(470.14)	-	-	-	-	-	-
7	LinkedIn Corporation	5/18/2011	10/28/2015	1,418.17	315.00	-	1,103.17	1,418.17	315.00	1,103.17	-	-	-
8	LinkedIn Corporation	4/18/2014	10/28/2015	1,620.74	1,399.91	-	220.83	1,620.74	1,399.91	220.83	-	-	-
32	Acacia Pharmaceuticals	10/21/2015	11/5/2015	2,352.03	2,352.03	3.38	-	-	-	-	2,352.03	2,352.03	3.38
10	Glaucos Corporation	8/25/2015	11/5/2015	196.81	190.00	-	-	-	-	-	196.81	190.00	18.81
130	Glaucos Corporation	6/25/2015	11/5/2015	2,558.52	3,858.97	(1,300.45)	-	-	-	-	2,558.52	3,858.97	(1,300.45)
40	Glaucos Corporation	7/23/2015	11/5/2015	787.24	1,281.91	(494.67)	-	-	-	-	787.24	1,281.91	(494.67)
11	New Ratic Inc	12/11/2015	11/5/2015	424.77	253.00	171.77	-	-	-	-	424.77	253.00	171.77
125	New Ratic Inc	12/12/2015	11/5/2015	4,826.86	3,973.07	853.81	-	-	-	-	4,826.86	3,973.07	853.81
35	New Ratic Inc	12/18/2015	11/5/2015	1,351.53	1,148.43	203.09	-	-	-	-	1,351.53	1,148.43	203.09
30	Q2 Holdings	2/27/2015	11/5/2015	725.17	637.79	87.38	-	-	-	-	725.17	637.79	87.38
143	Q2 Holdings	3/27/2015	11/5/2015	3,456.67	2,824.25	632.42	-	-	-	-	3,456.67	2,824.25	632.42
5	Pure Science Inc.	10/7/2015	11/5/2015	86.14	84.15	1.99	-	-	-	-	86.14	84.15	1.99
101	Camdan Group PLC	5/22/2015	11/8/2015	1,068.20	1,054.00	14.20	-	-	-	-	1,068.20	1,054.00	14.20
819	Camdan Group PLC	5/27/2015	11/8/2015	2,060.92	3,868.48	(1,807.56)	-	-	-	-	2,060.92	3,868.48	(1,807.56)
6	Sae Therapeutics Inc	4/15/2015	11/20/2015	254.52	315.00	(60.49)	-	-	-	-	254.52	315.00	(60.49)
30	Sae Therapeutics Inc	4/15/2015	11/20/2015	1,272.58	1,738.59	(466.02)	-	-	-	-	1,272.58	1,738.59	(466.02)
Nov Realized Gains						(2,333.02)	1,324.00	-	-	-	-	-	-
50	AAC Holdings Inc	6/11/2015	12/21/2015	922.71	2,289.14	(1,376.43)	-	-	-	-	922.71	2,289.14	(1,376.43)
60	AAC Holdings Inc	9/2/2015	12/21/2015	1,107.25	1,519.22	(411.97)	-	-	-	-	1,107.25	1,519.22	(411.97)
5	Shake Shack Inc	7/22/2015	12/21/2015	186.15	296.50	(110.35)	-	-	-	-	186.15	296.50	(110.35)
20	Shake Shack Inc	7/23/2015	12/21/2015	744.64	1,180.15	(435.51)	-	-	-	-	744.64	1,180.15	(435.51)
5	Twitter Inc	8/31/2015	12/21/2015	158.43	128.53	29.90	-	-	-	-	158.43	128.53	29.90
35	Twitter Inc	8/31/2015	12/21/2015	759.03	1,001.87	(242.84)	-	-	-	-	759.03	1,001.87	(242.84)
50	Twitter Inc	7/2/2014	12/21/2015	1,084.33	2,154.13	(1,069.80)	-	-	-	-	1,084.33	2,154.13	(1,069.80)
Dec Realized Gains						(2,703.60)	(1,069.80)	-	-	-	-	-	-
30	Shake Shack Inc	7/21/2015	1/8/2016	1,116.88	1,735.30	(618.42)	-	-	-	-	1,116.88	1,735.30	(618.42)
15	Shake Shack Inc	7/24/2015	1/8/2016	558.44	887.79	(329.35)	-	-	-	-	558.44	887.79	(329.35)
9	Badu Inc	8/31/2015	1/15/2016	1,515.40	1,352.22	163.18	-	-	-	-	1,515.40	1,352.22	163.18
8	SVB Financial Group	10/24/2014	1/11/2016	831.49	848.55	(17.06)	-	831.49	848.55	(17.06)	-	-	-
80	Twitter Inc	6/31/2015	1/11/2016	1,528.48	2,289.99	(761.51)	-	-	-	-	1,528.48	2,289.99	(761.51)
80	JD Com Inc	5/22/2014	1/12/2016	2,178.69	1,774.64	404.05	-	2,178.69	1,774.64	404.05	-	-	-
20	Amyfar Pharmaceuticals	1/28/2013	1/13/2016	1,495.81	509.60	986.21	-	1,495.81	509.60	986.21	-	-	-
55	Acadia Pharmaceuticals	2/23/2015	1/13/2016	1,258.28	2,087.54	(829.26)	-	-	-	-	1,258.28	2,087.54	(829.26)
55	Acadia Pharmaceuticals	2/23/2015	1/13/2016	1,197.65	2,087.54	(889.89)	-	-	-	-	1,197.65	2,087.54	(889.89)
18	Signature Bank	1/30/2013	1/20/2016	2,346.45	1,324.54	1,021.91	-	2,346.45	1,324.54	1,021.91	-	-	-
8	SVB Financial Group	10/24/2014	1/20/2016	557.96	636.41	(78.45)	-	557.96	636.41	(78.45)	-	-	-
20	Shoopy	5/29/2015	1/20/2016	382.77	550.78	(168.01)	-	-	-	-	382.77	550.78	(168.01)
Jan Realized Gains						(3,412.69)	2,316.67	-	-	-	-	-	-
1	Badu Inc	8/31/2015	1/27/2016	155.52	150.25	5.27	-	-	-	-	155.52	150.25	5.27
17	Badu Inc	7/28/2015	1/27/2016	2,643.90	2,205.73	438.17	-	2,643.90	2,205.73	438.17	-	-	-
27	ServiceNow Inc	2/20/2013	1/27/2016	2,014.75	909.00	1,105.75	-	2,014.75	909.00	1,105.75	-	-	-
25	Alibaba Group Holding Ltd	9/18/2015	1/28/2016	1,759.16	1,700.00	59.16	-	-	-	-	1,759.16	1,700.00	59.16
12	Alibaba Group Holding Ltd	9/19/2015	1/28/2016	844.40	1,134.39	(290.00)	-	-	-	-	844.40	1,134.39	(290.00)
9	Alibaba Group Holding Ltd	10/27/2015	1/28/2016	633.30	748.79	(115.49)	-	-	-	-	633.30	748.79	(115.49)
60	JD Com Inc	5/22/2014	1/28/2016	1,536.87	1,330.98	195.89	-	1,536.87	1,330.98	195.89	-	-	-
56	Tableau Software	5/17/2013	2/4/2016	2,251.92	2,342.90	(90.98)	-	2,251.92	2,342.90	(90.98)	-	-	-
30	Atlassian Corporation PLC	12/9/2015	2/8/2016	514.50	830.00	(315.50)	-	-	-	-	514.50	830.00	(315.50)
40	Pavcity Holdings Corp	12/29/2015	2/8/2016	1,033.72	1,733.85	(700.13)	-	-	-	-	1,033.72	1,733.85	(700.13)
27	ServiceNow Inc	2/20/2013	2/8/2016	1,237.80	909.00	328.80	-	1,237.80	909.00	328.80	-	-	-
5	Solunk Inc	4/19/2012	2/8/2016	157.45	168.67	(11.22)	-	157.45	168.67	(11.22)	-	-	-
25	Solunk Inc	9/5/2014	2/8/2016	787.24	1,474.85	(687.61)	-	787.24	1,474.85	(687.61)	-	-	-
8	Shoopy Inc	5/27/2015	2/8/2016	159.15	136.00	23.15	-	-	-	-	159.15	136.00	23.15
15	Shoopy Inc	5/29/2015	2/8/2016	298.40	413.09	(114.68)	-	-	-	-	298.40	413.09	(114.68)
25	Starbucks Corp	10/26/2015	2/8/2016	1,303.63	1,623.34	(319.71)	-	-	-	-	1,303.63	1,623.34	(319.71)
9	Ultra Salon Cosmetics	12/21/2015	2/8/2016	1,372.53	1,710.02	(337.49)	-	-	-	-	1,372.53	1,710.02	(337.49)
13	Workday Inc	11/29/2015	2/8/2016	610.63	1,083.03	(472.40)	-	-	-	-	610.63	1,083.03	(472.40)
7	Workday Inc	10/12/2012	2/8/2016	329.44	342.87	(13.43)	-	329.44	342.87	(13.43)	-	-	-
10	SVB Financial Group	10/24/2014	2/8/2016	816.88	1,060.69	(243.81)	-	816.88	1,060.69	(243.81)	-	-	-
14	Ultimate Software Group Inc	8/27/2015	2/8/2016	1,394.10	1,640.85	(246.75)	-	-	-	-	1,394.10	1,640.85	(246.75)
9	Ultimate Software Group Inc	5/18/2011	2/10/2016	1,354.79	630.00	724.79	-	1,354.79	630.00	724.79	-	-	-
25	Centropus Inc	10/16/2012	2/11/2016	858.00	944.75	(86.75)	-	-	-	-	858.00	944.75	(86.75)
40	Centropus Inc	12/18/2012	2/11/2016	1,372.80	1,587.40	(214.60)	-	-	-	-	1,372.80	1,587.40	(214.60)
15	Centropus Inc	1/3/2013	2/11/2016	514.80	633.78	(118.98)	-	-	-	-	514.80	633.78	(118.98)
5	Centropus Inc	1/8/2013	2/11/2016	171.80	217.47	(45.67)	-	-	-	-	171.80	217.47	(45.67)
10	Signature Bank	1/3/2013	2/11/2016	1,188.50	735.86	452.64	-	1,188.50	735.86	452.64	-	-	-
Feb Realized Gains						(2,624.58)	1,732.81	-	-	-	-	-	-
20	Nike Inc Cl B	5/19/2015	3/10/2016	1,142.58	73.69	1,068.89	-	-	-	-	1,142.58	73.69	1,068.89
Mar Realized Gains						73.69	-	-	-	-	-	-	-
Apr Realized Gains						-	-	-	-	-	-	-	-
35	JD COM Inc	5/22/2014	5/12/2016	813.88	665.00	148.88	-	813.88	665.00	148.88	-	-	-
20	JD COM Inc	5/22/2014	5/12/2016	465.07	443.66	21.41	-	465.07	443.66	21.41	-	-	-
80	JD COM Inc	2/26/2016	5/12/2016	1,860.29	2,038.02	(177.73)	-	-	-	-	1,860.29	2,038.02	(177.73)
100	JD COM Inc	4/19/2016	5/12/2016	2,325.36	2,991.31	(665.95)	-	-	-	-	2,325.36	2,991.31	(665.95)

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1

DIRECT
PUBLIC
SUPPORT

NAME AND ADDRESS

DATE

JUDITH STERN PECK
1 WEST 72ND STREET, #57
NEW YORK, NY 10023

04/01/2016

11,000.

TOTAL CONTRIBUTION AMOUNTS

11,000.

ATTACHMENT 2

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST INCOME	323.	323.	323.
TOTAL	<u>323.</u>	<u>323.</u>	<u>323.</u>

ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
NY CHARITIES BUREAU FILING FEE	50.		50.
FEDERAL EXCISE TAX	859.	859.	
TOTALS	<u>909.</u>	<u>859.</u>	<u>50.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
NY LAW JOURNAL ADVERTISEMENT	145.			145.
AGENCY PROCESSING FEE	5.	5.	5.	
TOTALS	<u>150.</u>	<u>5.</u>	<u>5.</u>	<u>145.</u>

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INVESTMENT SECURITIES	108,495.	160,359.
TOTALS	<u>108,495.</u>	<u>160,359.</u>

SEEJA Foundation # 13-3064158
Form 990-PF Page 2, Part II, Line 10(b) - Security Positions at 9/30/2016

Security Name	Symbol	Date Acquired	# of Shares	Cost Basis	FMV Price	FMV @ 9/30/2016
Acadia Healthcare	ACHC	5/16/2012	100	1,622	49.55	4,955.00
Acadia Healthcare	ACHC	6/11/2015	12	528	49.55	595.00
Acadia Healthcare	ACHC	6/12/2015	15	685	49.55	743.00
Adobe Systems Inc	ADBE	2/25/2016	20	1,732	108.54	2,171.00
Alibaba Group Holding Ltd	BABA	4/7/2016	40	3,185	105.79	4,232.00
Alibaba Group Holding Ltd	BABA	5/31/2016	10	775	105.79	1,058.00
Alnylam Pharmaceuticals Inc	ALNY	1/28/2013	24	612	67.78	1,627.00
Alphabet Inc f/k/a Google Inc	GOOG	10/14/2011	5	1,539	777.29	3,886.00
Alphabet Inc. f/k/a Google Inc.	GOOG	12/3/2013	2	1,074	777.29	1,555.00
Alphabet Inc f/k/a Google Inc	GOOG	4/9/2015	2	1,134	777.29	1,555.00
Alphabet Inc f/k/a Google Inc	GOOG	5/9/2015	2	1,056	777.29	1,555.00
Alphabet Inc f/k/a Google Inc.	GOOG	8/4/2016	2	1,578	777.29	1,555.00
Amazon com Inc	AMZN	1/3/2013	11	2,917	837.31	9,210.00
Amazon com Inc.	AMZN	12/29/2015	8	2,550	837.31	6,698.00
Amazon com Inc	AMZN	3/16/2015	3	1,135	837.31	2,512.00
At Home Group Inc.	HOME	8/9/2016	5	77	15.15	76.00
At Home Group Inc.	HOME	8/9/2016	51	765	15.15	773.00
Atlassian Corporation PLC	TEAM	3/11/2016	95	2,169	29.97	2,847.00
Atlassian Corporation PLC	TEAM	5/27/2016	10	234	29.97	300.00
Baidu Inc	BIDU	7/26/2013	10	1,297	182.07	1,821.00
Baidu Inc	BIDU	2/26/2016	10	1,750	182.07	1,821.00
Baidu Inc.	BIDU	5/5/2016	3	550	182.07	546.00
ELF Beauty Inc	ELF	9/27/2016	14	238	28.12	394.00
Facebook Inc	FB	7/30/2015	27	2,061	128.27	3,463.00
Facebook Inc	FB	8/26/2015	1	77	128.27	128.00
Facebook Inc	FB	3/16/2015	20	1,590	128.27	2,565.00
Flexion Therapeutics	FLXN	4/13/2016	185	2,280	19.54	3,615.00
Grupo Superville SA	SUPV	5/24/2016	20	233	14.81	296.00
Grupo Superville SA	SUPV	5/24/2016	60	660	14.81	889.00
Hubspot Inc	HUBS	10/9/2015	73	1,825	57.62	4,206.00
Hubspot Inc.	HUBS	10/9/2015	16	538	57.62	922.00
Itau Unibanco Holdings SA	ITUB	5/23/2016	255	2,276	10.94	2,790.00
Mastercard Inc	MA	9/18/2015	32	2,529	101.77	3,257.00
Mindbody Inc	MB	7/21/2016	120	2,126	19.66	2,359.00
Netflix	NFLX	3/21/2016	51	5,224	98.55	5,026.00
New Relic Inc	NEWR	5/26/2016	80	2,286	38.32	3,066.00
Nintendo Co Ltd	NTDOY	7/22/2016	45	1,599	32.84	1,478.00
Paylocity Holdings Corp	PCTY	12/29/2015	25	1,084	44.46	1,112.00
Paylocity Holdings Corp	PCTY	1/14/2016	50	1,741	44.46	2,223.00
Paylocity Holdings Corp	PCTY	6/10/2016	20	815	44.46	889.00
Pacific Biosciences	PACB	10/15/2015	265	2,127	8.96	2,374.00
Pacific Biosciences	PACB	11/20/2015	270	2,820	8.96	2,419.00
Salesforce Com Inc	CRM	9/11/2009	10	576	71.33	713.00
Stock Split 4:1	CRM	4/23/2013	29		71.33	2,069.00
Salesforce Com Inc	CRM	8/31/2015	15	1,064	71.33	1,070.00
Salesforce Com Inc	CRM	9/16/2015	4	292	71.33	285.00
Salesforce Com Inc	CRM	10/20/2015	31	1,769	71.33	2,211.00
Servicenow Inc	NOW	7/13/2016	35	2,433	79.15	2,770.00
Servicenow Inc	NOW	8/4/2016	15	1,146	79.15	1,187.00

Security Name	Symbol	Date Acquired	# of Shares	Cost Basis	FMV Price	FMV @ 9/30/2016
Shopify Inc.	SHOP	8/22/2016	7	268	42 92	300 00
Shopify Inc	SHOP	9/20/2016	15	636	42 92	644.00
Signature Bank	SBNY	1/3/2013	24	1,766	118 45	2,843 00
Signature Bank	SBNY	4/25/2016	8	1,145	118 45	948 00
SVB Financial Group	SIVB	10/24/2015	6	636	110 54	663 00
SVB Financial Group	SIVB	4/27/2016	10	1,073	110 54	1,105 00
Splunk Inc.	SPLK	4/18/2012	20	340	58 68	1,174 00
Splunk Inc	SPLK	4/19/2012	45	1,518	58 68	2,641 00
Splunk Inc	SPLK	3/10/2016	30	1,392	58 68	1,760 00
Starbucks Corp.	SBUX	9/29/2015	65	3,702	54 14	3,519 00
Tencent Holdings Limited	TC	3/30/2015	225	4,326	27 46	6,179 00
Tencent Holdings Limited	TC	5/20/2015	10	213	27 46	275 00
Tencent Holdings Limited	TC	11/3/2015	65	1,280	27 46	1,785 00
Tencent Holdings Limited	TC	4/22/2016	60	1,307	27 46	1,648 00
Ultimate Software Group, Inc.	ULTI	12/21/2011	13	867	204 39	2,657.00
Ultimate Software Group, Inc	ULTI	8/27/2015	2	365	204 39	409 00
Veeva Sys Inc Class A	VEEV	6/7/2016	70	2,461	41 28	2,890 00
Workday Inc	WDAY	10/12/2012	5	140	91 69	458.00
Workday Inc.	WDAY	10/12/2012	41	2,008	91 69	3,759 00
Workday Inc	WDAY	3/10/2016	15	1,078	91 69	1,375 00
Yum Brands	YUM	6/4/2015	5	400	90 81	454 00
Yum Brands	YUM	1/8/2015	15	1,126	90 81	1,362 00
Yum Brands	YUM	2/18/2015	13	1,011	90 81	1,181 00
Zendesk	ZEN	2/17/2016	130	2,158	30 71	3,992 00
Zendesk	ZEN	5/25/2016	5	123	30 71	154 00
Zendesk	ZEN	6/8/2016	70	1,834	30 71	2,150 00
JP Morgan Chase WTS	JPMWS	12/11/2009	195	2,251	24.63	4,803 00
JP Morgan Chase WTS	JPMWS	9/16/2010	135	1,882	24 63	3,325 00
WTS Citigroup Inc	CWSA	1/26/2011	800	816	0 05	39 00
Totals				<u>108,494 96</u>		<u>160,359 00</u>

FORM 990FE, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION

NAME AND ADDRESS

PRESIDENT

JUDITH S. PECK
1 WEST 72ND STREET
NEW YORK, NY 10023

AS NEEDED

VICE-PRESIDENT

EDWARD J. STERN
291 CENTRAL PARK WEST, PH
NEW YORK, NY 10024

AS NEEDED

TREASURER

EMANUEL T. STERN
1 WEST 67TH STREET, APT. 909
NEW YORK, NY 10023

AS NEEDED

SECRETARY

ANDREA C. STERN
151 CENTRAL PARK WEST, APT. 3C
NEW YORK, NY 10023

AS NEEDED

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
ACKERMAN INSTITUTE FOR THE FAMILY 936 BROADWAY, 2ND FLOOR NEW YORK, NY 10010	NONE PC	GENERAL CHARITABLE	10,000.
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST AT RICHARD GILDER WAY NEW YORK, NY 10024	NONE PC	GENERAL CHARITABLE	6,000.
ADVANCING WOMEN PROFESSIONALS 520 8TH AVENUE, 4TH FLOOR NEW YORK, NY 10018	NONE PC	GENERAL CHARITABLE	10,000.
ST. LUKES ORCHESTRA 450 WEST 37TH STREET, SUITE 502 NEW YORK, NY 10018	NONE PC	GENERAL CHARITABLE	5,000.
UJA FEDERATION 130 EAST 59TH STREET NEW YORK, NY 10022	NONE PC	GENERAL CHARITABLE	11,000.
SAINT THOMAS CHURCH FIFTH AVENUE 1 WEST 53RD STREET NEW YORK, NY 10019	NONE PC	GENERAL CHARITABLE	500.

TOTAL CONTRIBUTIONS PAID 42,500.