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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 10-01-2015, and ending 09-30-2016

Name of foundation ROBERT & NANCY BROOKS FOUNDATION INC		A Employer identification number 11-2847123	
Number and street (or P O box number if mail is not delivered to street address) 9 PROSPECT AVENUE		Room/suite	B Telephone number (see instructions) (516) 883-8373
City or town, state or province, country, and ZIP or foreign postal code PORT WASHINGTON, NY 11050		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		☐ Initial return of a former public charity ☐ Amended return ☐ Name change	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,345,667		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	41	41	41	
	4 Dividends and interest from securities	48,728	48,728	48,728	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-64,757			
	b Gross sales price for all assets on line 6a 2,761,987				
	7 Capital gain net income (from Part IV, line 2) . . .				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	-15,988	48,769	48,769	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	750			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	830	830		
	19 Depreciation (attach schedule) and depletion . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	430	180		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	2,010	1,010		0
	25 Contributions, gifts, grants paid	46,000			46,000
	26 Total expenses and disbursements. Add lines 24 and 25	48,010	1,010		46,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-63,998			
	b Net investment income (if negative, enter -0-)		47,759		
	c Adjusted net income (if negative, enter -0-) . . .			48,769	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	749,358	442,135	442,135
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	616,695	839,612	853,806
	c	Investments—corporate bonds (attach schedule)	25,000	45,000	49,726
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	571			
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	1,391,624	1,326,747	1,345,667	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)	15	20	
	23	Total liabilities(add lines 17 through 22)	15	20	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,391,609	1,326,727	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions)	1,391,609	1,326,727	
31	Total liabilities and net assets/fund balances(see instructions)	1,391,624	1,326,747		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,391,609
2	Enter amount from Part I, line 27a	2	-63,998
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,327,611
5	Decreases not included in line 2 (itemize) ▶ _____	5	884
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,326,727

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-64,757
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	-37,299

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	45,000	1,343,874	0 03349
2013	71,936	1,253,651	0 05738
2012	40,000	1,122,996	0 03562
2011	42,500	1,094,708	0 03882
2010	30,000	1,054,598	0 02845

2	Total of line 1, column (d).	2	0 193755
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 038751
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	1,276,151
5	Multiply line 4 by line 3.	5	49,452
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	478
7	Add lines 5 and 6.	7	49,930
8	Enter qualifying distributions from Part XII, line 4.	8	46,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2.

3

955

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

955

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

969

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

7

969

8

Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

8

14

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

11

Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ Refunded ☐

11

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file Form 1120-POL for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on Form 990-T for this year?

4b

No

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)
☐ NY _____

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶SEXTER & WARMFLASH PC Located at ▶115 BROADWAY NEW YORK NY Telephone no ▶(212) 577-2800 ZIP+4 ▶10006			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>) ☐	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A) ? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERT BROOKS	PRESIDENT/TREAS	0		
9 PROSPECT ST	0 00			
PT WASHINGTON, NY 11050				
NANCY BROOKS	Secretary	0		
9 PROSPECT ST	0 00			
PORT WASHINGTON, NY 11050				
DAVID WARMFLASH	Vice President	0		
186 RIVERSIDE DRIVE	0 00			
NEW YORK, NY 10024				
MICHAEL GORMAN	ASST SECRETARY	0		
44 HICKORY DRIVE	0 00			
MAPLEWOOD, NJ 07040				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. ▶	

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	813,033
b	Average of monthly cash balances.	1b	482,552
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,295,585
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,295,585
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	19,434
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,276,151
6	Minimum investment return.Enter 5% of line 5.	6	63,808

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	46,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	46,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions.Subtract line 5 from line 4.	6	46,000

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ _____				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				
c Excess from 2013.				
d Excess from 2014.				
e Excess from 2015.				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b

85% of line 2a

c

Qualifying distributions from Part XII, line 4 for each year listed

d

Amounts included in line 2c not used directly for active conduct of exempt activities

e

Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3

Complete 3a, b, or c for the alternative test relied upon

a

"Assets" alternative test—enter

(1)

Value of all assets

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c

"Support" alternative test—enter

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Tax year	Prior 3 years				(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012		
48,769	44,487	56,409	47,592	197,257	
41,454	37,814	47,948	40,453	167,669	
46,000	45,000	72,500	40,000	203,500	
46,000	45,000	72,500	40,000	203,500	
42,539	44,796	41,788	37,433	166,556	

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2015)

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> ST PETER OF ALCANTARA CHURCH 1327 PT WASHINGTON BLVD PORT WASHINGTON, NY 11050	none	PC	CHARITABLE/RELIGIOUS	8,500
HAGEDORN LITTLE VILLAGE SCHOOL HOUS 750 HICKSVILLE RD SEAFORD, NY 11783	none	PC	CHARITABLE/EDUCATIONAL	2,500
NORTHWELL HEALTH FOUNDATION 2000 MARCUS AVENUE NEW HYDE PARK, NY 11042	none	PC	CHARITABLE/HOSPITAL	10,000
CRYSTAL BRIDGES MUSEUM 600 MUSEUM WAY BENTONVILLE, AR 72712	none	PRIV	CHARITABLE/EDUCATIONAL	25,000
Total			3a	46,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments			14	41		
4 Dividends and interest from securities.			14	48,728		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						
8 Gain or (loss) from sales of assets other than inventory			18	-64,757		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e).				-15,988		
13 Total. Add line 12, columns (b), (d), and (e).					-15,988	

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
217 TALEN ENERGY	P	2013-06-11	2015-10-26
1783 TALEN ENERGY	P	2015-10-20	2015-10-26
1000 WHOLE FOODS MARKET	P	2015-10-20	2015-10-28
1000 WILLIS LEASE FNCL	P	2015-10-28	2016-05-30
10 567 PIMCO TOTAL RTN FUND	P	2010-12-31	2015-12-28
ROYAL DUTCH CIL	P	2015-12-22	2015-12-22
1000 AMERICAN EXPRESS	P	2015-12-30	2016-01-11
1000 FIREYE	P	2015-12-28	2016-01-11
1000 FIREYE	P	2015-12-30	2016-01-11
1000 UNION PACific	P	2015-12-28	2016-01-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,999		3,727	-1,728
16,426		17,420	-994
29,895		33,322	-3,427
17,193		15,394	1,799
107		115	-8
34			34
63,467		70,464	-6,997
17,160		21,381	-4,221
17,159		21,069	-3,910
74,277		78,335	-4,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,728
			-994
			-3,427
			1,799
			-8
			34
			-6,997
			-4,221
			-3,910
			-4,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1500 SUBURBAN PROPANE	P	2016-02-08	2016-03-02
1000 ROYAL DUTCH SHELL	P	2015-08-11	2016-03-08
2000 FIREYE	P	2016-01-01	2016-05-06
217 TALEN ENERGY	P	2013-06-11	2016-07-18
5000 MEMORIAL PRODUCTION PTRS LP	P	2016-01-01	2016-08-16
15000 QUEST CORP NOTES	P	2011-06-01	2016-08-29
813 LEIDOS HOLDINGS INC	P	2016-08-09	2016-08-24
LEIDOS CORP CIL	P	2016-08-09	2016-08-24
2000 COMMUNITY HEALTH SYSTEMS	P	2015-10-27	2015-10-27
700 DICKS SPORTING GOODS	P	2015-10-30	2015-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42,509		32,790	9,719
47,187		58,892	-11,705
28,189		37,039	-8,850
2,896		3,848	-952
8,825		19,799	-10,974
15,000		15,000	
31,916		25,794	6,122
6			6
55,829		55,076	753
30,687		30,282	405

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9,719
			-11,705
			-8,850
			-952
			-10,974
			6,122
			6
			753
			405

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
700 PROSH ULTRA VIXST 2X	P	2015-11-02	2015-11-02
5000 EV ENERGY PTRS	P	2015-11-09	2015-11-09
5000 MEMORIAL PRODUCTION PTRS LP	P	2015-11-09	2015-11-10
1900 CASTLIGHT HEALTH	P	2015-11-10	2015-11-10
900 WYNN RESORTS	P	2015-11-13	2015-11-16
500 WYNN RESORTS	P	2015-11-13	2015-11-17
316 SUNOCO LP	P	2015-11-17	2015-11-17
700 WILLIS LEASE	P	2015-08-28	2015-11-20
1500 COHEN & STEERS	P	2015-11-25	2015-11-27
2500 WPX ENERGY	P	2015-12-01	2015-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,845		18,746	99
31,564		29,757	1,807
25,175		25,857	-682
7,425		7,227	198
59,874		61,697	-1,823
33,052		34,265	-1,213
10,740		10,272	468
12,040		11,660	380
45,667		46,357	-690
17,423		22,132	-4,709

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			99
			1,807
			-682
			198
			-1,823
			-1,213
			468
			380
			-690
			-4,709

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
500 PROSH ULTRA VIXST 2X	P	2015-12-14	2015-12-15
500 PROSH ULTRA VIXST 2X	P	2015-12-16	2015-12-18
5500 WPX ENERGY	P	2015-01-01	2015-12-18
2000 MEMORIAL PRODUCTION PTRS LP	P	2015-12-09	2015-12-30
1000 KAYNE ANDERSON ENERGY TOT RTN FD	P	2015-12-30	2015-12-31
1000 WPX ENERGY	P	2015-12-17	2016-01-04
1000 KAYNE ANDERSON MIDSTREAM FD	P	2015-12-30	2016-01-06
2000 WPX ENERGY	P	2015-12-31	2016-01-06
1000 UNION PACIFIC	P	2016-01-06	2016-01-07
1000 KAYNE ANDERSON ENERGY TOT RTN FD	P	2015-12-30	2016-01-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,998		21,776	222
21,844		21,035	809
35,024		41,425	-6,401
6,075		6,046	29
8,693		9,175	-482
5,197		5,852	-655
12,125		13,357	-1,232
11,535		10,665	870
78,473		78,215	258
7,541		9,057	-1,516

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			222
			809
			-6,401
			29
			-482
			-655
			-1,232
			870
			258
			-1,516

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 KAYNE ANDERSON MIDSTREAM FD	P	2015-12-30	2016-01-14
1000 SOUTHWEST ENERGY	P	2015-12-31	2016-01-14
1000 SOUTHWEST ENERGY	P	2015-12-31	2016-01-19
1000 DEVON ENERGY	P	2016-01-07	2016-01-20
5000 MEMORIAL PRODUCTION PTRS LP	P	2016-01-01	2016-01-20
1000 DEVON ENERGY	P	2016-01-21	2016-01-21
1000 ALON USA ENERGY	P	2016-01-21	2016-01-22
1000 DEVON ENERGY	P	2016-01-15	2016-01-22
2000 HERTZ GLOBAL	P	2016-01-06	2016-01-22
1000 SUBURBAN PROPANE	P	2015-12-15	2016-01-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,429		13,207	-2,778
6,508		6,774	-266
6,238		6,774	-536
25,651		32,651	-7,000
10,549		11,685	-1,136
24,475		23,457	1,018
11,705		12,104	-399
23,055		24,984	-1,929
18,538		28,543	-10,005
22,110		27,057	-4,947

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,778
			-266
			-536
			-7,000
			-1,136
			1,018
			-399
			-1,929
			-10,005
			-4,947

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
500 SUBURBAN PROPANE	P	2016-01-08	2016-01-22
1000 WHOLE FOODS MARKET	P	2016-01-15	2016-01-22
500 WALT DISNEY CO	P	2016-01-25	2016-01-25
500 GOLDMAN SACHS	P	2016-01-25	2016-01-25
500 IBM	P	2016-01-25	2016-01-25
500 TEJON RANCH	P	2014-11-06	2016-01-25
500 TEJON RANCH	P	2014-11-21	2016-01-25
500 TESLA MOTORS	P	2016-01-25	2016-01-25
500 WYNN RESORTS	P	2016-01-25	2016-01-25
1500 DEVON ENERGY	P	2016-01-26	2016-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
11,055		12,626	-1,571
29,315		32,215	-2,900
46,349		45,995	354
77,241		77,632	-391
60,464		60,507	-43
9,546		15,007	-5,461
9,546		14,407	-4,861
99,061		96,507	2,554
28,105		26,928	1,177
37,018		34,348	2,670

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,571
			-2,900
			354
			-391
			-43
			-5,461
			-4,861
			2,554
			1,177
			2,670

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 SECTOR SPDR ENERGY	P	2016-01-26	2016-01-26
1000 SUBURBAN PROPANE	P	2015-12-31	2016-01-26
1000 ALON USA ENERGY	P	2016-01-21	2016-01-27
2000 MEMORIAL PRODUCTION PTRS LP	P	2016-01-04	2016-01-28
500 PROSH ULTRA VIXST 2X	P	2016-01-27	2016-01-28
1500 SECTOR SPDR ENERGY	P	2016-02-01	2016-02-02
1000 GLOBAL PARTNERS	P	2016-02-02	2016-02-02
3000 MEMORIAL PRODUCTION PTRS LP	P	2016-01-01	2016-02-02
300 INTERCONTINENTAL EXCHANGE	P	2016-02-09	2016-02-10
1000 GLOBAL PARTNERS	P	2016-02-10	2016-02-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53,733		51,833	1,900
24,885		24,257	628
12,535		12,104	431
8,087		4,861	3,226
21,463		20,959	504
85,498		81,996	3,502
15,493		13,802	1,691
7,066		6,492	574
73,492		73,109	383
15,243		15,541	-298

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,900
			628
			431
			3,226
			504
			3,502
			1,691
			574
			383
			-298

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 GLOBAL PARTNERS	P	2016-02-10	2016-02-12
1500 SUBURBAN PROPANE	P	2016-02-10	2016-02-12
2500 MEMORIAL PRODUCTION PTRS LP	P	2016-01-28	2016-02-12
1500 BUNGE LTD	P	2016-02-17	2016-02-18
1000 WYNN RESORTS	P	2016-02-17	2016-02-17
1400 TEXTAINER GROUP	P	2016-02-22	2016-02-23
500 CATALANTIC GROUP	P	2016-02-22	2016-02-24
1000 TEJON RANCH	P	2015-12-31	2016-02-22
2040 MEMORIAL PRODUCTION PTRS LP	P	2016-01-01	2016-02-23
2960 MEMORIAL PRODUCTION PTRS LP	P	2016-01-01	2016-02-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,993		15,541	-548
33,757		34,507	-750
5,141		5,054	87
74,066		73,331	735
57,850		57,447	403
14,515		14,565	-50
14,886		14,965	-79
17,264		19,907	-2,643
4,991		5,146	-155
6,287		7,467	-1,180

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-548
			-750
			87
			735
			403
			-50
			-79
			-2,643
			-155
			-1,180

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
700 SCHWEITZER MAUDUIT	P	2016-02-23	2016-02-23
1000 DYNAVAX	P	2016-02-26	2016-02-29
500 DYNAVAX	P	2016-02-26	2016-03-03
1000 DYNAVAX	P	2016-02-29	2016-03-07
500 DYNAVAX	P	2016-02-29	2016-03-08
1000 ALTISOURCE	P	2016-03-04	2016-03-07
1000 DYNAVAX	P	2016-03-04	2016-03-09
2500 MEMORIAL PRODUCTION PTRS LP	P	2016-02-05	2016-03-09
1000 DYNAVAX	P	2016-03-21	2016-03-29
1000 DYNAVAX	P	2016-03-22	2016-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,255		22,137	118
18,120		18,084	36
8,456		9,042	-586
17,517		17,060	457
8,619		8,530	89
20,461		19,864	597
17,359		16,403	956
7,118		6,731	387
19,287		16,390	2,897
18,547		17,185	1,362

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			118
			36
			-586
			457
			89
			597
			956
			387
			2,897
			1,362

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 DYNAVAX	P	2016-03-28	2016-04-18
1000 DYNAVAX	P	2016-03-30	2016-04-22
2500 MEMORIAL PRODUCTION PTRS LP	P	2016-02-05	2016-03-28
1000 CARDIOVASCULAR SYSTEMS	P	2016-05-03	2016-05-04
1000 CARDIOVASCULAR SYSTEMS	P	2016-05-03	2016-05-05
52000 HOUSTON AMERICAN ENERGY	P	2000-01-01	2016-05-23
36400 HOUSTON AMERICAN ENERGY	P	2000-01-01	2016-05-26
10000 HOUSTON AMERICAN ENERGY	P	2014-12-08	2016-06-01
10000 HOUSTON AMERICAN ENERGY	P	2014-12-08	2016-06-02
1000 JW NORDSTROM	P	2016-06-09	2016-06-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,980		18,754	2,226
22,862		18,152	4,710
5,662		6,750	-1,088
13,521		14,334	-813
13,846		14,334	-488
9,828		22,038	-12,210
6,149		10,989	-4,840
2,181		1,811	370
3,176		1,811	1,365
37,756		39,833	-2,077

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,226
			4,710
			-1,088
			-813
			-488
			-12,210
			-4,840
			370
			1,365
			-2,077

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 AIR INDS GP	P	2016-06-10	2016-06-15
1000 AIR INDS GP	P	2016-06-10	2016-06-16
500 CATALANTIC GROUP	P	2016-02-22	2016-06-10
10000 HOUSTON AMERICAN ENERGY	P	2014-12-08	2016-06-10
1000 TEJON RANCH	P	2016-05-24	2016-06-10
1000 VERIFONE HOLDINGS	P	2016-06-13	2016-06-13
1000 DAVIDS TEA	P	2016-06-15	2016-07-07
1000 TEJON RANCH	P	2016-07-07	2016-07-12
1000 CARE CAP PROPERTIES	P	2016-06-10	2016-07-08
1000 DAVIDS TEA	P	2016-06-16	2016-07-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,993		4,180	813
4,975		4,180	795
18,855		14,965	3,890
2,678		1,811	867
24,492		19,757	4,735
32,865		30,408	2,457
13,836		12,392	1,444
25,742		24,357	1,385
26,242		27,305	-1,063
13,208		11,807	1,401

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			813
			795
			3,890
			867
			4,735
			2,457
			1,444
			1,385
			-1,063
			1,401

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 HARTFORD FINANCIAL	P	2016-07-29	2016-07-29
1500 COSTAMARE INC	P	2016-07-29	2016-08-01
1000 DYNAVAX	P	2016-05-18	2016-08-11
1500 NORD AMERICAN TANKERS	P	2016-09-01	2016-09-02
1000 AIR INDS GP	P	2016-06-10	2016-09-02
1500 ACETO	P	2016-08-31	2016-09-08
500 PHILBRO ANIMAL	P	2016-08-30	2016-09-01
500 PHILBRO ANIMAL	P	2016-08-30	2016-09-02
500 DYNAVAX	P	2016-05-11	2016-09-06
1000 DYNAVAX	P	2016-09-02	2016-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,342		39,007	335
14,468		14,730	-262
16,373		14,905	1,468
15,860		15,082	778
4,643		4,180	463
29,083		30,106	-1,023
12,720		11,998	722
12,893		11,998	895
6,707		7,452	-745
13,415		11,225	2,190

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			335
			-262
			1,468
			778
			463
			-1,023
			722
			895
			-745
			2,190

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
500 CHEFS WAREHOUSE	P	2016-08-08	2016-09-06
500 CHEFS WAREHOUSE	P	2016-08-08	2016-09-06
10000 NOVAVAX INC	P	2016-09-28	2016-09-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,866		5,716	150
5,866		5,632	234
23,891		22,707	1,184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			150
			234
			1,184

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ROBERT BROOKS
NANCY BROOKS

TY 2015 Accounting Fees Schedule

Name: ROBERT & NANCY BROOKS FOUNDATION INC

EIN: 11-2847123

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	750	0	0	0

TY 2015 Investments Corporate Bonds Schedule

Name: ROBERT & NANCY BROOKS FOUNDATION INC

EIN: 11-2847123

Software ID: 15000324

Software Version: 2015v2.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
corporate bonds/notes	45,000	49,726

TY 2015 Investments Corporate Stock Schedule

Name: ROBERT & NANCY BROOKS FOUNDATION INC

EIN: 11-2847123

Software ID: 15000324

Software Version: 2015v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
corporate stocks	839,612	853,806

TY 2015 Other Decreases Schedule

Name: ROBERT & NANCY BROOKS FOUNDATION INC

EIN: 11-2847123

Software ID: 15000324

Software Version: 2015v2.0

Description	Amount
FEDERAL EXCISE TAX	884

TY 2015 Other Expenses Schedule**Name:** ROBERT & NANCY BROOKS FOUNDATION INC**EIN:** 11-2847123**Software ID:** 15000324**Software Version:** 2015v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	180	180		
FILING FEES	250			

TY 2015 Other Liabilities Schedule

Name: ROBERT & NANCY BROOKS FOUNDATION INC

EIN: 11-2847123

Software ID: 15000324

Software Version: 2015v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value
rounding	15	20

TY 2015 Taxes Schedule**Name:** ROBERT & NANCY BROOKS FOUNDATION INC**EIN:** 11-2847123**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	830	830		