

EXTENSION ATTACHED

EXTENDED TO AUGUST 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2015 or tax year beginning

OCT 1, 2015

, and ending

SEP 30, 2016

Name of foundation

THE KEITH HARING FOUNDATION, INC.

A Employer identification number

11-0249024

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

676 BROADWAY 5TH FLOOR

B Telephone number

212-477-1579

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10012

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

Fair market value of all assets at end of year

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify) _____

(from Part II, col. (c), line 16)

\$ 58,675,951.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	763.	763.		STATEMENT 1
	4	Dividends and interest from securities	700,080.	700,080.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	7,494,017.			
	b	Gross sales price for all assets on line 6a	26,338,919.			
	7	Capital gain net income (from Part IV, line 2)		7,494,017.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	1,490,989.	1,490,989.		STATEMENT 3
	12	Total. Add lines 1 through 11	9,685,849.	9,685,849.		
	13	Compensation of officers, directors, trustees, etc	523,085.	167,792.		355,293.
	14	Other employee salaries and wages	492,633.	173,190.		319,443.
	15	Pension plans, employee benefits	174,787.	58,677.		116,110.
	16a	Legal fees	751,296.	227,278.		524,018.
	b	Accounting fees	24,080.	12,040.		12,040.
	c	Other professional fees	215,651.	215,651.		0.
	17	Interest				
	18	Taxes	302,448.	32,697.		34,701.
	19	Depreciation and depletion	5,837.	0.		
	20	Occupancy	314,343.	226,727.		87,616.
	21	Travel, conferences, and meetings	23,660.	0.		23,660.
	22	Printing and publications				
	23	Other expenses	260,394.	44,152.		216,242.
	24	Total operating and administrative expenses. Add lines 13 through 23	3,088,214.	1,158,204.		1,689,123.
	25	Contributions, gifts, grants paid	2,786,812.			2,786,812.
	26	Total expenses and disbursements. Add lines 24 and 25	5,875,026.	1,158,204.		4,475,935.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	3,810,823.			
	b	Net investment income (if negative, enter -0-)		8,527,645.		
	c	Adjusted net income (if negative, enter -0-)			N/A	

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11-24-15

LHA For Paperwork Reduction Act Notice, see instructions

1

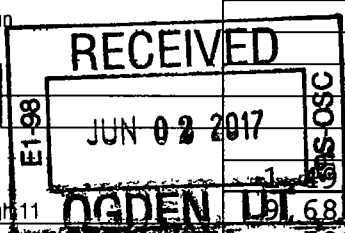
Form 990-PF (2015)

13580523 759915 6375669

2015.05080 THE KEITH HARING FOUNDATION 63756691

POSTMARK DATE MAY 30 2017

SCANNED JUN 08 2017



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		2,791,378.	2,087,028.	2,087,028.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 9	5,067,808.	7,363,146.	7,441,938.	
	b	Investments - corporate stock STMT 10	15,470,885.	16,848,077.	19,171,568.	
	c	Investments - corporate bonds STMT 11	3,423,712.	4,299,997.	4,354,931.	
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶ 87,550.					
	Less: accumulated depreciation STMT 12 ▶ 35,022.	58,365.	52,528.	52,528.		
15	Other assets (describe ▶ STATEMENT 13)	4,988,849.	4,874,627.	25,567,958.		
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	31,800,997.	35,525,403.	58,675,951.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	0.	0.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds	31,800,997.	35,525,403.			
30	Total net assets or fund balances	31,800,997.	35,525,403.			
31	Total liabilities and net assets/fund balances	31,800,997.	35,525,403.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	31,800,997.
2	Enter amount from Part I, line 27a	2	3,810,823.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	35,611,820.
5	Decreases not included in line 2 (itemize) ▶ ADJUSTMENT TO SECURITIES COST BASIS	5	86,417.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	35,525,403.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF ARTWORK	D	VARIOUS	VARIOUS
b PUBLICLY TRADED SECURITIES			
c PUBLICLY TRADED SECURITIES			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,097,080.		113,194.	5,983,886.
b 7,778,012.		7,972,583.	-194,571.
c 12,463,827.		10,759,125.	1,704,702.
d			0.
e			0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			5,983,886.
b			-194,571.
c			1,704,702.
d			0.
e			0.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,494,017.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	2,684,460.	47,753,030.	.056215
2013	3,209,748.	24,857,757.	.129125
2012	2,512,360.	25,484,570.	.098584
2011	3,223,323.	25,159,895.	.128114
2010	2,922,184.	18,747,549.	.155870

2 Total of line 1, column (d)	2	.567908
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.113582
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	50,405,975.
5 Multiply line 4 by line 3	5	5,725,211.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	85,276.
7 Add lines 5 and 6	7	5,810,487.
8 Enter qualifying distributions from Part XII, line 4	8	4,475,935.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	170,553.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	170,553.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	170,553.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	303,782.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	303,782.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	133,229.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.HARING.COM</u>	13	X
14 The books are in care of ► <u>KEITH HARING FOUNDATION, INC.</u> Telephone no. ► <u>212-477-1579</u> Located at ► <u>676 BROADWAY, 5TH FLOOR, NEW YORK, NY</u> ZIP+4 ► <u>10012</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years: _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here: _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		523,085.	77,538.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNELISE REAM - 676 BROADWAY, 5TH FLOOR, NEW YORK, NY 10012	CREATIVE DIRECTOR 40.00	107,556.	20,109.	0.
MATTHEW BAROLO - 676 BROADWAY, 5TH FLOOR, NEW YORK, NY 10012	OPERATIONS MANAGER 40.00	94,658.	19,420.	0.
AMY MURPHY - 676 BROADWAY, 5TH FLOOR, NEW YORK, NY 10012	RESEARCH COORDINATOR 40.00	64,533.	17,331.	0.
ANNA GURTON-WACHTER - 676 BROADWAY, 5TH FLOOR, NEW YORK, NY 10012	ARCHIVIST 40.00	66,346.	14,473.	0.
JOHN RICHEY - 676 BROADWAY, 5TH FLOOR, NEW YORK, NY 10012	REGISTRAR 40.00	67,500.	5,556.	0.

Total number of other employees paid over \$50,000

1

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
STOUT, THOMAS & JOHNSON 477 MADISON AVENUE, NEW YORK, NY 10022	LEGAL SERVICE	474,338.
PROSKAUER ROSE, LLP ELEVEN TIMES SQUARE, NEW YORK, NY 10036	LEGAL SERVICE	232,925.
MORGAN STANLEY 31 WEST 52ND STREET, NEW YORK, NY 10019	INVESTMENT ADVISORY SERVICE	196,711.
JACOBACCI & PARTNERS CORSO EMILIA, 8, TORINO, ITALY 10158	LEGAL SERVICE	62,884.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	29,240,874.
b	Average of monthly cash balances	1b	2,448,010.
c	Fair market value of all other assets	1c	19,484,695.
d	Total (add lines 1a, b, and c)	1d	51,173,579.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	51,173,579.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	767,604.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	50,405,975.
6	Minimum investment return. Enter 5% of line 5	6	2,520,299.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,520,299.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	170,553.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	170,553.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,349,746.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,349,746.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,349,746.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,475,935.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,475,935.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,475,935.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,349,746.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	2,034,901.			
b From 2011	2,025,390.			
c From 2012	1,296,542.			
d From 2013	2,117,185.			
e From 2014	535,696.			
f Total of lines 3a through e	8,009,714.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 4,475,935.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				2,349,746.
e Remaining amount distributed out of corpus	2,126,189.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	10,135,903.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	2,034,901.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	8,101,002.			
10 Analysis of line 9:				
a Excess from 2011	2,025,390.			
b Excess from 2012	1,296,542.			
c Excess from 2013	2,117,185.			
d Excess from 2014	535,696.			
e Excess from 2015	2,126,189.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

- b** 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.**

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 15	NONE	PC	FOR DONEE'S EXEMPT PURPOSE	2,786,812.
Total			3a	2,786,812.
b Approved for future payment				
NONE				
Total			3b	0.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MM DIVIDEND INCOME	760.	760.	
MM INTEREST INCOME	3.	3.	
TOTAL TO PART I, LINE 3	763.	763.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ACCRUED INTEREST RECEIVED	44,130.	0.	44,130.	44,130.	
DIVIDEND INCOME	416,901.	0.	416,901.	416,901.	
INTEREST INCOME FROM SECURITIES	289,941.	0.	289,941.	289,941.	
LESS: ACCRUED INTEREST PAID	-50,892.	0.	-50,892.	-50,892.	
TO PART I, LINE 4	700,080.	0.	700,080.	700,080.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTY INCOME	1,493,997.	1,493,997.	
SECURITY EXCHANGE LOSS	-3,008.	-3,008.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,490,989.	1,490,989.	

FORM 990-PF		LEGAL FEES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	751,296.	227,278.		524,018.	
TO FM 990-PF, PG 1, LN 16A	751,296.	227,278.		524,018.	

FORM 990-PF		ACCOUNTING FEES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	24,080.	12,040.		12,040.	
TO FORM 990-PF, PG 1, LN 16B	24,080.	12,040.		12,040.	

FORM 990-PF		OTHER PROFESSIONAL FEES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PROFESSIONAL EXPENSES	1,995.	1,995.		0.	
INVESTMENT ADVISORY FEES	213,656.	213,656.		0.	
TO FORM 990-PF, PG 1, LN 16C	215,651.	215,651.		0.	

FORM 990-PF		TAXES		STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	52,162.	17,511.		34,651.	
FEDERAL EXCISE TAXES	235,050.	0.		0.	
FOREIGN TAXES WITHHELD	15,186.	15,186.		0.	
NYS LLC FEES	50.	0.		50.	
TO FORM 990-PF, PG 1, LN 18	302,448.	32,697.		34,701.	

FORM 990-PF	OTHER EXPENSES		STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADR FEES	2,935.	2,935.		0.
ARCHIVAL EXPENSES	18,197.	0.		18,197.
BANK CHARGES	36,357.	18,179.		18,178.
COMMUNICATIONS	25,404.	0.		25,404.
EVENTS AND SPECIAL PROJECTS	13,708.	0.		13,708.
INSURANCE	102,504.	0.		102,504.
NYS FILING FEES	750.	0.		750.
OFFICE EXPENSES	23,055.	11,528.		11,527.
PAYROLL PROCESSING FEES	2,974.	998.		1,976.
SECURITY/ALARM	7,501.	0.		7,501.
SHIPPING	3,558.	0.		3,558.
STORAGE/CONSERVATION	7,140.	0.		7,140.
TRASH REMOVAL	1,500.	0.		1,500.
UTILITIES	8,811.	6,312.		2,499.
TECH SUPPORT	6,000.	4,200.		1,800.
TO FORM 990-PF, PG 1, LN 23	260,394.	44,152.		216,242.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT	9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS IN U.S. GOVERNMENT BONDS - SEE STATEMENT 16	X		7,363,146.	7,441,938.
TOTAL U.S. GOVERNMENT OBLIGATIONS			7,363,146.	7,441,938.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			7,363,146.	7,441,938.

FORM 990-PF	CORPORATE STOCK	STATEMENT 10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS IN STOCKS AND OPTIONS - SEE STATEMENT 16	16,848,077.	19,171,568.
TOTAL TO FORM 990-PF, PART II, LINE 10B	16,848,077.	19,171,568.

FORM 990-PF	CORPORATE BONDS	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS IN CORPORATE BONDS - SEE STATEMENT 16	4,299,997.	4,354,931.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,299,997.	4,354,931.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 12
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	87,550.	35,022.	52,528.
TOTAL TO FM 990-PF, PART II, LN 14	87,550.	35,022.	52,528.

FORM 990-PF	OTHER ASSETS	STATEMENT 13
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ARTWORK HELD FOR CHARITABLE USE	4,986,005.	4,872,811.	25,566,142.
ACCRUED INTEREST	2,844.	1,816.	1,816.
TO FORM 990-PF, PART II, LINE 15	4,988,849.	4,874,627.	25,567,958.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 14
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
KRISTEN HARING 676 BROADWAY 5TH FLOOR NEW YORK, NY 10012	DIRECTOR 1.00	30,000.	0.	0.
GILBERT VAZQUEZ 676 BROADWAY 5TH FLOOR NEW YORK, NY 10012	VICE PRESIDENT 1.00	37,500.	0.	0.
ALLEN HARING 676 BROADWAY 5TH FLOOR NEW YORK, NY 10012	TREASURER 1.00	30,000.	0.	0.
TOM ECCLES 676 BROADWAY 5TH FLOOR NEW YORK, NY 10012	DIRECTOR 1.00	30,000.	0.	0.
DAVID STARK 676 BROADWAY 5TH FLOOR NEW YORK, NY 10012	SECRETARY 1.00	30,000.	0.	0.
JULIA GRUEN 676 BROADWAY 5TH FLOOR NEW YORK, NY 10012	EXECUTIVE DIRECTOR 40.00	335,585.	77,538.	0.
JUDITH COX 676 BROADWAY 5TH FLOOR NEW YORK, NY 10012	DIRECTOR 1.00	30,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		523,085.	77,538.	0.

Keith Haring Foundation

EIN: 11-0249024

For the year ended 09/30/2016

Name	Amount	Address
Lesbian & Gay Community Services Center, Inc	50,000.00	Brad Snyder, Director of Development, Lesbian and Gay Community Services Center, Inc., 208 W 13th St, New York, NY 10011
Pediatric AIDS Chicago Prevention Initiative	15,000.00	Stephanie Klam, Development Manager, Pediatric AIDS Chicago Prevention Initiative, 200 W Jackson Blvd, Suite 2100, Chicago, IL 60606
Association to Benefit Children	75,000.00	Greichen Buchenholz, Executive Director, Association to Benefit Children, 419 East 86th St, New York, NY 10028
Bronx Arts Ensemble, Inc	15,000.00	Ebony Banks, Development Consultant, Bronx Arts Ensemble, Inc., 80 Van Cortlandt Park South, Suite 7D-1, Bronx, NY 10463
North Jersey Community Research Initiative	20,000.00	Brian McGovern, Chief Executive Officer, North Jersey Community Research Initiative, 393 Central Avenue, Newark, NJ 07102
ACRIA	25,000.00	Gabe Rodriguez, Director of Institutional Relations, ACRIA, 575 8th Avenue, Suite 502, New York, NY 10018
Solomon R. Guggenheim Foundation	35,000.00	Kern Schlottman-Bright, Director of Institutional Development, Solomon R. Guggenheim Foundation, 1071 Fifth Avenue, New York, NY 10128
The Doe Fund, Inc	20,000.00	Greg Wersching, Senior Manager, Foundation and Corporate Partnerships, The Doe Fund, Inc., 232 East 84th St, Brooklyn, NY 10028
Fales Library	15,000.00	Marvin J. Taylor, Director, Fales Library and Special Collections, New York University, 70 Washington Square South, New York, NY 10012
Montefiore Medical Center	50,000.00	Andrew Loose, Director of Corporate & Foundation Relations, Office of Development, Montefiore Medical Center, The University Hospital for Albert Einstein College of Medicine, 111 E. 210th Street, Bronx, NY 10467
Center for Curatorial Studies, Bard College	80,000.00	Tom Eccles, Executive Director, Center for Curatorial Studies, Hessel Museum of Art, Bard College, Annandale-on-Hudson, NY 12504
Scenarios USA	10,000.00	Maura Minsky, Executive Director, Scenarios USA, 80 Hanson Place, #305, Brooklyn, NY 11217
Ins House	25,000.00	Michael Jones, Director of Development, Ins House, Inc., 2348 Adam Clayton Powell Jr Blvd, New York, NY 10030
The Trevor Project	15,000.00	Denis B. Flavius, Foundation and Corporate Relations Manager, The Trevor Project, 8704 Santa Monica Blvd, #200, West Hollywood, CA 90069
Community Healthcare Network	20,000.00	Freddy Molano, VP of Infectious Disease/LGBTQ Programs and Services, Community Healthcare Network, 60 Madison Avenue, New York, NY 10010
The Bronx Museum of the Arts	12,500.00	Allison Chernow, Director of External Affairs, The Bronx Museum of the Arts, 1040 Grand Concourse, Bronx, NY 10456
New Museum	100,000.00	Lisa Phillips, Toby Devan Lewis Director, The New Museum, 235 Bowery, New York, NY 10002
Exploring The Arts (ETA)	10,000.00	Karin Venegas, Director of Development & Communications, Exploring the Arts (ETA), 28 W 44th St, 16th Fl, New York, NY 10036
Elizabeth Glaser Pediatric AIDS Foundation	10,000.00	Samantha Persaud, Senior Development Coordinator, Elizabeth Glaser Pediatric AIDS Foundation, 1140 Connecticut Ave, NW, Ste 200, Washington, DC 20036
The Andy Warhol Museum	10,000.00	Karen Lautanen, Director of Development, The Andy Warhol Museum, 117 Sandusky St, Pittsburgh, PA 15212
AIDS Walk NY	30,000.00	Demetri Rizos, Sponsorship Director, AIDS Walk NY, 448 West 33rd St, Fl 6, New York, NY 10001
The Alpha Workshops	20,000.00	Mana Pranzo, Director of Development, The Alpha Workshops, 245 W 29th St, Fl 14, New York, NY 10001
Action Against Hunger	25,000.00	Anjala Illemassene, Foundation Liaison Officer, Action Against Hunger, One Whitehall St, 2nd Floor, New York, NY 10004
The Opportunity Network	20,000.00	Petra Babas, Development Manager, The Opportunity Network, 85 Broad St, 6th Fl, New York, NY 10004
Gay Men's Health Crisis	50,000.00	Felecia Webb, MPA, Vice President of Development, Gay Men's Health Crisis, 446 W 33rd St, New York, NY 10001
Museum of the City of New York	15,000.00	Susan Madden, Senior Vice President for External Affairs, Museum of the City of New York, 1220 Fifth Ave, New York, NY 10029
Whitney Museum of American Art	10,000.00	Morgan Aronson, Senior Officer, Institutional Relations, Whitney Museum of American Art, 99 Gansevoort St, New York, NY 10014
Research Foundation of SUNY	25,000.00	Jennifer Lee, Deputy Executive Director for Development and External Affairs, SCAN New York, 345 East 102nd St, Ste 301, New York, NY 10029
Friends of the Children of New York	10,000.00	Kristen Kentner, Development Director, Friends of the Children of New York, 204a W 115th St, PO Box 1649, New York, NY 10026
Nassau County Museum of Art	7,500.00	Laura Lynch, Director of Education, Nassau County Museum of Art, One Museum Drive, Roslyn Harbor, NY 11576
Storefront Academy Harlem	10,000.00	Kirkley Strand, Chief Development Officer, Storefront Academy Harlem, 70 East 129th St, New York, NY 10035
Abraham House	10,000.00	Althea Brooks, Deputy Executive Director, Abraham House, 340 Willis Ave, Bronx, NY 10454
New York Historical Society	15,000.00	Cheryl Morgan, Director of Institution Giving, New-York Historical Society, 170 Central Park West, New York, NY 10024
The Bronx Museum of the Arts	25,000.00	Allison Chernow, Director of External Affairs, The Bronx Museum of the Arts, 1040 Grand Concourse, Bronx, NY 10456
International Rescue Committee Inc	20,000.00	Senka Filipovic, Officer, Institutional Philanthropy & Partnerships, International Rescue Committee, Inc., 122 East 42nd St, New York, NY 10168
The Studio Museum in Harlem	1,000,000.00	Hallie S. Hobson, Director of Institutional Advancement, The Studio Museum in Harlem, 144 West 125th St, New York, NY 10027
Leslie-Lohman Gay Art Foundation, Inc	6,000.00	Hunter O'Hanian, Museum Director, Leslie-Lohman Gay Art Foundation Inc., 26 Wooster St, New York, NY 10013
Safe Horizon	25,000.00	Lindsay Pankok, Manager, Foundation Support, Safe Horizon, 2 Lafayette St, 3rd Fl, 185 W Broadway, New York, NY 10007
The Children's Village	290,000.00	Linda M. Stutz, Vice President for Advancement, The Children's Village, Dobbs Ferry, NY 10522
The Aspen Institute	10,000.00	Tracey Rutnik, Deputy Director, The Aspen Institute, One Dupont Circle, Ste 700, Washington, DC 20036
Summer Search	25,000.00	Jill Kanowitz, Grants Specialist, Summer Search, 90 Broad St, Ste 701, New York, NY 10004
The Partnership for the homeless	25,000.00	Jaana Hinkkanen, Director, Foundations and Corporate Relations, The Partnership for the Homeless, 305 7th Ave, 14th Fl, New York, NY 10001
Queens Museum of Art	20,000.00	Munira Khapra-Reininger, Director of Development, Queens Museum of Art, New York City Building, Flushing Meadows Corona Park, Queens, NY 11368
Americans for the Arts	100,000.00	Kate Gibney, Vice President of Development, Americans for the Arts, 1000 Vermont Avenue, NW, 6th Fl, Washington, DC 20005
Planned Parenthood New York City	290,000.00	Christine K. Lennon, Director of Government and Foundation Funding, Planned Parenthood of New York City, 26 Bleecker St, 6th Fl, New York, NY 10012
Safe Passage Project Corporation	20,000.00	Megan Eiss-Proctor, Associate Director, Operations and Development, Safe Passage Project Corporation, New York Law School, 185 W Broadway, New York, NY 10013
Community Initiatives	10,500.00	Theresa Fay-Bustillos, President, CEO, Community Initiatives on behalf of Global Network of Black People Working in HIV, 354 Pine St, Ste 700, San Francisco, CA 94104
The Door - A Center of Alternatives, Inc	25,000.00	Julie Shapiro, Executive Director, The Door - A Center of Alternatives, Inc., 121 Avenue of the Americas, Ste 506, New York, NY 10013
Stedelijk Museum Amsterdam	25,311.96	Donne Schreurs, Foundation Relations, Stedelijk Museum Amsterdam, Museumplein 10, 1071 DJ Amsterdam, The Netherlands
	2,786,811.96	

CLIENT STATEMENT | For the Period September 1-30, 2016

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Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Account Detail

STOCKS
COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
3M COMPANY (MMM)									
	9/3/14	20 000	\$143.735	\$176.230	\$2,874.70	\$3,524.60	\$649.90 LT		
	10/16/14	29 000	135.103	176.230	3,917.99	5,110.67	1,192.68 LT		
	4/16/15	81 000	166.510	176.230	13,487.33	14,274.63	787.30 LT		
	4/29/15	89 000	157.036	176.230	13,976.16	15,684.47	1,708.31 LT		
	6/3/15	96 000	160.107	176.230	15,370.25	16,918.08	1,547.83 LT		
	9/2/15	81 000	140.226	176.230	11,358.31	14,274.63	2,916.32 LT		
	10/16/15	2 000	148.110	176.230	296.22	352.46	56.24 ST		
	10/16/15	6 000	148.127	176.230	888.76	1,057.38	168.62 ST		
	10/16/15	26 000	148.110	176.230	3,850.86	4,581.98	731.12 ST		
Total		430 000			66,020.58	75,778.90	8,802.34 LT	1,909.00	2.51
Next Dividend Payable 12/20/16; Asset Class: Equities									
ADIDAS AG (ADDYY)									
	8/3/16	174 000	82.620	86.900	14,375.88	15,120.60	744.72 ST		
	8/12/16	246 000	86.250	86.900	21,217.50	21,377.40	159.90 ST		
Total		420 000			35,593.38	36,498.00	904.62 ST	272.00	0.74
Asset Class: Equities									
ADOBE SYSTEMS (ADBE)									
	8/26/15	100 000	76.143	108.540	7,614.29	10,854.00	3,239.71 LT		
	2/8/16	29 000	71.986	108.540	2,087.58	3,147.66	1,060.08 ST		
	2/8/16	140 000	74.333	108.540	10,406.58	15,195.60	4,789.02 ST		
	2/12/16	10 000	76.593	108.540	765.93	1,085.40	319.47 ST		
	2/12/16	61 000	76.593	108.540	4,672.20	6,620.94	1,948.74 ST		
Total		340 000			25,546.58	36,903.60	3,239.71 LT	—	—
Asset Class: Equities									
AETNA INC (NEW)(CT) (AET)									
	11/23/15	146 000	105.202	115.450	15,505.45	16,855.70	1,350.25 ST		
	11/24/15	96 000	105.812	115.450	10,157.94	11,083.20	925.26 ST		
	2/12/16	24 000	98.424	115.450	2,362.17	2,770.80	408.63 ST		
	2/12/16	2 000	98.424	115.450	196.85	230.90	34.05 ST		
Total		268 000			28,222.41	30,940.60	2,718.19 ST	268.00	0.86
Next Dividend Payable 10/20/16; Asset Class: Equities									
AKAMAI TECHNOLOGIES INC (AKAM)									
	11/10/15	191 000	61.767	52.990	11,797.46	10,121.09	(1,676.37) ST		
	11/10/15	10 000	61.756	52.990	617.56	529.90	(87.66) ST		
	11/10/15	2 000	61.767	52.990	123.53	105.98	(17.55) ST		
	11/10/15	2 000	61.767	52.990	123.53	105.98	(17.55) ST		

Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Asset Class: Equities									
ALEXION PHARM INC (ALXN)									
	12/16/15	82,000	52.777	52.990	4,327.71	4,345.18	17.47 ST		
	12/17/15	125,000	53.194	52.990	6,649.29	6,623.75	(25.54) ST		
	2/8/16	23,000	40.223	52.990	925.14	1,218.77	293.63 ST		
	2/8/16	303,000	40.223	52.990	12,187.66	16,055.97	3,868.31 ST		
	9/29/16	135,000	52.869	52.990	7,137.33	7,153.65	16.32 ST		
Total		873,000			43,889.21	46,260.27	2,371.06 ST	—	—
Asset Class: Equities									
ALEXION PHARM INC (ALXN)									
	2/1/16	32,000	142.754	122.540	4,568.14	3,921.28	(646.86) ST		
	2/3/16	50,000	141.984	122.540	7,099.22	6,127.00	(972.22) ST		
	2/12/16	6,000	138.868	122.540	833.21	735.24	(97.97) ST		
	2/12/16	20,000	138.868	122.540	2,777.37	2,450.80	(326.57) ST		
	6/20/16	72,000	125.676	122.540	9,048.64	8,822.88	(225.76) ST		
	6/21/16	36,000	123.936	122.540	4,461.71	4,411.44	(50.27) ST		
	6/27/16	18,000	112.437	122.540	2,023.87	2,205.72	181.85 ST		
	9/8/16	26,000	128.992	122.540	3,353.78	3,186.04	(167.74) ST		
Total		260,000			34,165.94	31,860.40	(2,305.54) ST	—	—
Asset Class: Equities									
ALIBABA GROUP HLDG LTD (BABA)									
	9/2/15	141,000	65.697	105.790	9,263.25	14,916.39	5,653.14 LT		
	11/24/15	250,000	80.970	105.790	20,242.50	26,447.50	6,205.00 ST		
	2/8/16	21,000	61.240	105.790	1,286.04	2,221.59	935.55 ST		
	2/8/16	278,000	61.240	105.790	17,024.72	29,409.62	12,384.90 ST		
	9/29/16	110,000	106.045	105.790	11,664.95	11,636.90	(28.05) ST		
Total		800,000			59,481.46	84,632.00	5,653.14 LT	—	—
Asset Class: Equities									
ALLERGAN PLC SHS (AGN)									
	5/14/15	14,000	297.260	230.310	4,161.64	3,224.34	(937.30) LT		
	5/14/15	19,000	297.469	230.310	5,651.91	4,375.89	(1,276.02) LT		
	5/14/15	113,000	297.260	230.310	33,590.38	26,025.03	(7,565.35) LT		
	5/14/15	2,000	297.469	230.310	594.94	460.62	(134.32) LT		
	5/14/15	9,000	297.469	230.310	2,677.22	2,072.79	(604.43) LT		
	5/14/15	16,000	297.469	230.310	4,759.50	3,684.96	(1,074.54) LT		
	5/14/15	2,000	297.469	230.310	594.94	460.62	(134.32) LT		
	5/14/15	7,000	297.469	230.310	2,082.28	1,612.17	(470.11) LT		
	6/3/15	12,000	306.405	230.310	3,676.87	2,763.72	(913.15) LT		
	6/3/15	17,000	306.740	230.310	5,214.58	3,915.27	(1,299.31) LT		
	6/3/15	9,000	306.405	230.310	2,757.63	2,072.79	(684.84) LT		
	10/16/15	2,000	277.338	230.310	554.68	460.62	(94.06) ST		



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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	10/16/15	1,000	277.420	230.310	277.42	230.31	(47.11) ST		
	10/16/15	48,000	277.710	230.310	13,330.08	11,054.88	(2,275.20) ST		
	10/16/15	2,000	277.480	230.310	554.96	460.62	(94.34) ST		
	10/16/15	55,000	277.338	230.310	15,253.61	12,667.05	(2,586.56) ST		
	10/21/15	33,000	255.750	230.310	8,439.75	7,600.23	(839.52) ST		
	10/21/15	1,000	255.750	230.310	255.75	230.31	(25.44) ST		
	10/21/15	10,000	255.750	230.310	2,557.50	2,303.10	(254.40) ST		
	10/21/15	16,000	255.750	230.310	4,092.00	3,684.96	(407.04) ST		
	5/4/16	100,000	213.939	230.310	21,393.94	23,031.00	1,637.06 ST		
	5/4/16	57,000	213.939	230.310	12,194.55	13,127.67	933.12 ST		
Total		545,000			144,666.13	125,518.95	(15,093.69) LT (4,053.49) ST		

Asset Class: Equities

ALPHABET INC CL A (GOOGL)

	7/13/09	51,000	212.229	804.060	10,823.68	41,007.06	30,183.38 LT		
	1/19/12	2,000	318.305	804.060	636.61	1,608.12	971.51 LT		
	1/9/14	2,000	564.025	804.060	1,128.05	1,608.12	480.07 LT		
Total		55,000			12,588.34	44,223.30	31,634.96 LT		

Asset Class: Equities

ALPHABET INC CL C (GOOG)

	7/13/09	49,000	210.405	777.290	10,309.85	38,087.21	27,777.36 LT		
	1/19/12	2,000	317.285	777.290	634.57	1,554.58	920.01 LT		
	6/3/15	1,000	540.010	777.290	540.01	777.29	237.28 LT		
	6/3/15	3,000	540.090	777.290	1,620.27	2,331.87	711.60 LT		
	6/3/15	2,000	540.090	777.290	1,080.18	1,554.58	474.40 LT		
	6/23/16	19,000	698.246	777.290	13,266.68	14,768.51	1,501.83 ST		
Total		76,000			27,451.56	59,074.04	30,120.65 LT 1,501.83 ST		

Asset Class: Equities

AMAZON COM INC (AMZN)

	4/28/14	3,000	290.892	837.310	872.68	2,511.93	1,639.25 LT		
	10/16/14	21,000	302.860	837.310	6,360.05	17,583.51	11,223.46 LT		
	11/11/14	8,000	310.684	837.310	2,485.47	6,698.48	4,213.01 LT		
	6/3/15	20,000	437.830	837.310	8,756.59	16,746.20	7,989.61 LT		
	11/10/15	6,000	657.597	837.310	3,945.58	5,023.86	1,078.28 ST		
	2/8/16	7,000	477.877	837.310	3,345.14	5,861.17	2,516.03 ST		
	2/8/16	47,000	477.877	837.310	22,460.24	39,353.57	16,893.33 ST		
Total		112,000			48,225.75	93,778.72	25,065.33 LT 20,487.64 ST		

Asset Class: Equities

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AMC NETWORKS INC CL A (AMCO)									
	10/16/14	2 000	55 308	51.860	110 62	103 72	(6.90) LT		
	5/14/15	94 000	75 180	51.860	7 066 91	4 874 84	(2 192 07) LT		
	6/3/15	13 000	78 765	51.860	1 023 95	674 18	(349 77) LT		
	9/2/15	25 000	69 331	51.860	1 733 27	1 296 50	(436 77) LT		
	10/16/15	3 000	74 047	51.860	222 14	155 58	(66 56) ST		
	10/16/15	1 000	73 930	51.860	73 93	51 86	(22 07) ST		
	11/10/15	10 000	76 812	51.860	768 12	518 60	(249 52) ST		
	2/8/16	24 000	64 242	51.860	1 541 81	1 244 64	(297 17) ST		
Total		172 000			12 540 75	8 919 92	(2 985 51) LT		
							(635 32) ST		
AMCOR LIMITED ADR NEW (AMCRY)									
	3/24/16	640 000	43 812	46 715	28 039 49	29 897 60	1 858 11 ST		
	8/12/16	260 000	47 135	46 715	12 255 02	12 145 90	(109 12) ST		
Total		900 000			40 294 51	42 043 50	1 748 99 ST	1 520 00	3 61
Next Dividend Payable 10/06/16; Asset Class: Equities									
AMERICAN EXPRESS CO (AXP)									
	7/27/15	87 000	74 733	64 040	6 501 80	5 571 48	(930 32) LT		
	8/26/15	45 000	74 902	64 040	3 370 58	2 881 80	(488 78) LT		
	10/16/15	81 000	77 075	64 040	6 243 08	5 187 24	(1 055 84) ST		
	10/22/15	51 000	72 330	64 040	3 688 83	3 266 04	(422 79) ST		
	11/10/15	18 000	73 287	64 040	1 319 16	1 152 72	(166 44) ST		
	11/10/15	4 000	73 308	64 040	293 23	256 16	(37 07) ST		
	12/11/15	30 000	69 429	64 040	2 082 88	1 921 20	(161 68) ST		
	2/8/16	14 000	52 246	64 040	731 45	896 56	165 11 ST		
	2/8/16	25 000	52 246	64 040	1 306 16	1 601 00	294 84 ST		
	2/8/16	141 000	52 246	64 040	7 366 74	9 029 64	1 662 90 ST		
	2/8/16	2 000	52 246	64 040	104 49	128 08	23 59 ST		
Total		498 000			33 008 40	31 891 92	(1 419 10) LT	637 00	1 99
							302 62 ST		
Next Dividend Payable 11/1/2016; Asset Class: Equities									
AMERICAN TOWER REIT COM (AMT)									
	12/18/14	131 000	98 229	113 330	12 857 98	14 846 23	1 978 25 LT		
	4/16/15	253 000	96 785	113 330	24 486 66	28 672 49	4 185 83 LT		
	4/16/15	1 000	96 760	113 330	96 76	113 33	16 57 LT		
	4/29/15	133 000	94 085	113 330	12 513 24	15 072 89	2 559 65 LT		
	6/3/15	119 000	92 727	113 330	11 034 49	13 486 27	2 451 78 LT		
	6/3/15	31 000	92 747	113 330	2 875 17	3 513 23	638 06 LT		
	10/16/15	34 000	95 908	113 330	3 260 87	3 853 22	592 35 ST		
	11/10/15	49 000	97 027	113 330	4 754 32	5 553 17	798 85 ST		

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 10/17/16, Asset Class: All									
ANADARKO PETE (APC)	11/10/15	4,000	97.075	113.330	388.30	453.32	65.02 ST		
	2/8/16	117,000	84.972	113.330	9,941.72	13,259.61	3,317.89 ST		
	2/8/16	4,000	84.972	113.330	339.89	453.32	113.43 ST		
	2/8/16	4,000	84.972	113.330	339.89	453.32	113.43 ST		
Total		880,000			82,899.29	99,730.40	11,830.14 LT	1,936.00	1.94
Next Dividend Payable 12/20/16, Asset Class: Equities									
ANHEUSER BUSCH INBEV SA SPON (BUD)	11/10/15	96,000	63.715	63.360	6,116.64	6,082.56	(34.08) ST		
	1/12/16	11,000	37.053	63.360	407.58	696.96	289.38 ST		
	1/12/16	1,233,000	37.053	63.360	45,685.86	78,122.88	32,437.02 ST		
Total		1,340,000			52,210.08	84,902.40	32,692.32 ST	268.00	0.31
Asset Class: Equities									
APPLE INC (AAPL)	2/23/15	175,000	132.450	113.050	23,178.67	19,783.75	(3,394.92) LT		
	2/23/15	18,000	132.450	113.050	2,384.08	2,034.90	(349.18) LT		
	2/23/15	91,000	132.450	113.050	12,052.91	10,287.55	(1,765.36) LT		
	4/16/15	97,000	126.637	113.050	12,283.74	10,965.85	(1,317.89) LT		
Total		676,000			80,037.02	88,833.16	8,056.40 LT	2,179.00	2.45

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	4/16/15	61,000	126.637	113.050	7,724.84	6,896.05	(828.79) LT		
	4/16/15	23,000	126.637	113.050	2,912.64	2,600.15	(312.49) LT		
	4/29/15	37,000	129.205	113.050	4,780.59	4,182.85	(597.74) LT		
	4/29/15	16,000	129.203	113.050	2,067.25	1,808.80	(258.45) LT		
	6/3/15	50,000	130.390	113.050	6,519.51	5,652.50	(867.01) LT		
	7/10/15	16,000	123.098	113.050	1,969.56	1,808.80	(160.76) LT		
	7/10/15	61,000	123.125	113.050	7,510.63	6,896.05	(614.58) LT		
	9/2/15	115,000	111.405	113.050	12,811.59	13,000.75	189.16 LT		
	9/2/15	45,000	111.420	113.050	5,013.90	5,087.25	73.35 LT		
	10/16/15	121,000	110.915	113.050	13,420.68	13,679.05	258.37 ST		
	10/16/15	7,000	110.946	113.050	776.62	791.35	14.73 ST		
	10/16/15	49,000	110.935	113.050	5,435.82	5,539.45	103.63 ST		
	10/16/15	2,000	110.975	113.050	221.95	226.10	4.15 ST		
	2/8/16	4,000	93.440	113.050	373.76	452.20	78.44 ST		
	2/8/16	97,000	93.560	113.050	9,075.30	10,965.85	1,890.55 ST		
	2/8/16	60,000	93.440	113.050	5,606.40	6,783.00	1,176.60 ST		
	2/8/16	103,000	93.440	113.050	9,624.32	11,644.15	2,019.83 ST		
	2/8/16	9,000	93.440	113.050	840.96	1,017.45	176.49 ST		
	2/8/16	9,000	93.440	113.050	840.96	1,017.45	176.49 ST		
	2/8/16	2,000	93.440	113.050	186.88	226.10	39.22 ST		
Total		1,268,000			147,613.56	143,347.40	(10,204.66) LT	2,891.00	2.01
							5,938.50 ST		

Next Dividend Payable 11/20/16; Asset Class: Equities

ASML HOLDING NV NY REG NEW (ASML)

5/14/15	104,000	110.227	109.580	11,463.63	11,396.32	(67.31) LT			
6/3/15	112,000	112.007	109.580	12,544.78	12,272.96	(271.82) LT			
7/10/15	77,000	101.805	109.580	7,838.99	8,437.66	598.67 LT			
9/2/15	86,000	89.761	109.580	7,719.44	9,423.88	1,704.44 LT			
10/16/15	40,000	88.813	109.580	3,552.54	4,383.20	830.66 ST			
10/16/15	101,000	88.813	109.580	8,970.16	11,067.58	2,097.42 ST			
Total	520,000			52,089.54	56,981.60	1,963.98 LT	2,928.08 ST	534.00	0.93

Asset Class: Equities

ASOS PLC SPON ADR (ASOMY)

9/2/15	27,000	45.003	62.810	1,215.08	1,695.87	480.79 LT			
9/2/15	2,000	45.003	62.810	90.01	125.62	35.61 LT			
9/2/15	2,000	45.003	62.810	90.01	125.62	35.61 LT			
10/16/15	89,000	45.801	62.810	4,076.29	5,590.09	1,513.80 ST			
11/11/15	442,000	52.062	62.810	23,011.40	27,762.02	4,750.62 ST			

Security Mark
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CLIENT STATEMENT | For the Period September 1-30, 2016

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN



Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
2/8/16	2/8/16	53 000	39 586	62.810	2,098.06	3,328.93	1,230.87 ST		
2/8/16	2/8/16	253 000	39 586	62.810	10,015.26	15,890.93	5,875.67 ST		
Total		868 000			40,596.11	54,519.08	552.01 LT 13,370.96 ST		

Asset Class: Equities

ASTRAZENECA PLC ADS (AZN)									
7/28/16	7/28/16	1,565,000	33 767	32.860	52,845.67	51,425.90	(1,419.77) ST		
9/23/16	9/23/16	320 000	34 046	32.860	10,894.78	10,515.20	(379.58) ST		
Total		1,885 000			63,740.45	61,941.10	(1,799.35) ST	2,582.00	4.16

Next Dividend Payable 03/2017: Asset Class: Equities

ATLAS COPCO AB SP ADR B SP ADR (ATLCT)									
5/14/15	5/14/15	512 000	27 500	27.325	14,079.90	13,990.39	(89.51) LT		
5/14/15	5/14/15	2 000	27 500	27.325	55.00	54.64	(0.36) LT		
5/14/15	5/14/15	2 000	27 500	27.325	55.00	54.64	(0.36) LT		
6/3/15	6/3/15	353 000	27 493	27.325	9,704.92	9,645.72	(59.20) LT		
7/10/15	7/10/15	279 000	24 798	27.325	6,918.78	7,623.67	704.89 LT		
9/2/15	9/2/15	242 000	22 592	27.325	5,467.34	6,612.64	1,145.30 LT		
10/16/15	10/16/15	137 000	23 085	27.325	3,162.63	3,743.52	580.89 ST		
11/10/15	11/10/15	124 000	23 736	27.325	2,943.26	3,388.29	445.03 ST		
11/10/15	11/10/15	7 000	23 736	27.325	166.15	191.27	25.12 ST		
2/8/16	2/8/16	272 000	19 900	27.325	5,412.80	7,432.39	2,019.59 ST		
2/8/16	2/8/16	303 000	19 900	27.325	6,029.70	8,279.47	2,249.77 ST		
Total		2,233 000			53,995.48	61,016.72	1,700.76 LT 5,320.40 ST	1,195.00	1.95

Asset Class: Equities

AUTODESK INC DELAWARE (ADSK)									
5/14/15	5/14/15	225 000	58 799	72.330	13,229.73	16,274.25	3,044.52 LT		
6/3/15	6/3/15	192 000	55,144	72.330	10,587.65	13,887.36	3,299.71 LT		
9/2/15	9/2/15	184 000	45,615	72.330	8,393.22	13,308.72	4,915.50 LT		
2/8/16	2/8/16	24 000	42 360	72.330	1,016.64	1,735.92	719.28 ST		
2/8/16	2/8/16	1 000	42 360	72.330	42.36	72.33	29.97 ST		
2/8/16	2/8/16	2 000	42 360	72.330	84.72	144.65	59.94 ST		
Total		628 000			33,354.32	45,423.24	11,259.73 LT 809.19 ST		

Asset Class: Equities

AUTOMATIC DATA PROCESSING INC (ADP)									
8/29/14	8/29/14	67 000	72 933	88.200	4,886.50	5,909.40	1,022.90 LT		
9/2/14	9/2/14	40 000	72 952	88.200	2,918.09	3,528.00	609.91 LT		
9/3/14	9/3/14	36 000	73 065	88.200	2,630.35	3,175.20	544.85 LT		
10/16/14	10/16/14	197 000	71 754	88.200	14,135.60	17,375.40	3,239.80 LT		

Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	4/16/15	246 000	85.447	88.200	21,019.94	21,697.20	677.26 LT		
	4/29/15	100 000	85.697	88.200	8,569.74	8,820.00	250.26 LT		
	5/3/15	238 000	85.387	88.200	20,322.03	20,991.60	669.57 LT		
	6/3/15	10 000	85.370	88.200	853.70	882.00	28.30 LT		
	7/10/15	30 000	81.447	88.200	2,443.40	2,646.00	202.60 LT		
	11/10/15	4 000	86.790	88.200	347.16	352.80	5.64 ST		
	11/10/15	2 000	86.790	88.200	173.58	176.40	2.82 ST		
	9/30/16	57 000	88.181	88.200	5,026.34	5,027.40	1.06 ST		
Total		1,027 000			83,326.43	90,581.40	7,245.45 LT 9.52 ST	2,177.00	2.40

Next Dividend Payable 10/01/16; Asset Class: Equities

AXA ADS (AXAHY)

	5/14/15	859 000	26.061	21.160	22,386.67	18,176.44	(4,210.23) LT		
	6/3/15	540 000	25.951	21.160	14,013.48	11,426.40	(2,587.08) LT		
	10/16/15	205 000	26.058	21.160	5,341.95	4,337.80	(1,004.15) ST		
	11/10/15	179 000	26.391	21.160	4,724.05	3,787.64	(936.41) ST		
	2/8/16	394 000	21.794	21.160	8,586.64	8,337.04	(249.60) ST		
Total		2,177 000			55,052.79	46,065.32	(6,797.31) LT (2,190.16) ST	2,214.00	4.80

Asset Class: Equities

BANK OF NEW YORK MELLON CORP (BK)

	10/16/15	183 000	40.570	39.880	7,424.27	7,298.04	(126.23) ST		
	10/20/15	492 000	41.405	39.880	20,371.26	19,620.96	(750.30) ST		
	11/18/15	485 000	43.999	39.880	21,339.47	19,341.80	(1,997.67) ST		
	2/8/16	966 000	32.900	39.880	31,781.11	38,524.08	6,742.97 ST		
	2/12/16	5 000	33.605	39.880	168.02	199.40	31.38 ST		
	2/12/16	599 000	33.605	39.880	20,129.40	23,888.12	3,758.72 ST		
Total		2,730 000			101,213.53	108,872.40	7,658.87 ST	2,075.00	1.90

Next Dividend Payable 11/20/16; Asset Class: Equities

BIOMED INC COM (BIIB)

	4/29/15	21 000	385.994	313.030	8,105.87	6,573.63	(1,532.24) LT		
	4/29/15	25 000	385.994	313.030	9,649.84	7,825.75	(1,824.09) LT		
	5/14/15	34 000	393.866	313.030	13,391.47	10,643.02	(2,748.45) LT		
	5/14/15	1 000	393.866	313.030	393.85	313.03	(80.82) LT		
	6/3/15	24 000	388.758	313.030	9,330.20	7,512.72	(1,817.48) LT		
	6/3/15	22 000	388.661	313.030	8,550.54	6,886.66	(1,663.88) LT		
	8/24/15	4 000	288.138	313.030	1,152.55	1,252.12	99.57 LT		
	8/24/15	63 000	288.138	313.030	18,152.72	19,720.89	1,568.17 LT		
	9/22/15	27 000	294.672	313.030	7,956.15	8,451.81	495.66 LT		
	9/22/15	16 000	294.672	313.030	4,714.75	5,008.48	293.73 LT		

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
BROADCOM LTD SHS (AVGO)									
	5/14/15	197,000	107.312	172.520	21,140.53	33,986.44	12,845.91 LT		
	10/16/15	1,000	154.710	172.520	154.71	172.52	17.81 ST		
	10/16/15	21,000	122.755	172.520	2,577.85	3,622.92	1,045.07 ST		
	11/10/15	2,000	155.505	172.520	311.01	345.04	34.03 ST		
	11/10/15	2,000	84.124	172.520	168.25	345.04	176.79 ST		
	11/10/15	27,000	84.124	172.520	2,271.34	4,658.04	2,386.70 ST		
Total		250,000			26,623.69	43,130.00	12,845.91 LT 3,660.40 ST	510.00	1.18
Next Dividend Payable 12/20/16; Asset Class: Equities									
CDN PACIFIC RY LTD NEW (CP)									
	3/7/16	21,000	130.402	152.700	2,738.43	3,206.70	468.27 ST		
	3/7/16	137,000	130.402	152.700	17,865.01	20,919.90	3,054.89 ST		
	3/7/16	137,000	130.402	152.700	17,865.01	20,919.90	3,054.89 ST		
	3/24/16	137,000	128.925	152.700	17,662.66	20,919.90	3,257.24 ST		
Total		432,000			56,131.11	65,968.40	9,835.29 ST	663.00	1.00
Next Dividend Payable 10/24/16; Asset Class: Equities									
CELGENE CORP (CELG)									
	2/4/11	1,000	25.484	104.530	25.48	104.53	79.05 LT		
	2/4/11	92,000	25.484	104.530	2,344.53	9,616.76	7,272.23 LT		
	4/16/14	80,000	71.405	104.530	5,712.40	8,362.40	2,650.00 LT		
	9/3/14	7,000	94.829	104.530	663.80	731.71	67.91 LT		
	10/16/14	53,000	86.412	104.530	4,579.84	5,540.09	960.25 LT		
	4/29/15	4,000	113.537	104.530	454.15	418.12	(36.03) LT		
	4/29/15	2,000	113.537	104.530	227.07	209.06	(18.01) LT		
	4/29/15	36,000	113.537	104.530	4,087.32	3,763.08	(324.24) LT		
	6/3/15	76,000	112.864	104.530	8,577.63	7,944.28	(633.35) LT		
	6/3/15	8,000	112.810	104.530	902.48	836.24	(66.24) LT		
	10/16/15	4,000	116.930	104.530	467.72	418.12	(49.60) ST		
	10/16/15	2,000	116.980	104.530	233.96	209.06	(24.90) ST		
	11/10/15	68,000	113.940	104.530	7,747.93	7,108.04	(639.89) ST		
	2/8/16	71,000	97.688	104.530	6,935.86	7,421.63	485.77 ST		
Total		504,000			42,960.17	52,683.12	9,951.57 LT (228.62) ST	--	--
Asset Class: Equities									
CH ROBINSON WORLDWIDE INC NEW (CHRW)									
	2/4/15	527,000	70.090	70.460	36,937.48	37,132.42	194.94 LT		
	6/3/15	73,000	64.571	70.460	4,713.71	5,143.58	429.87 LT		
	6/3/15	36,000	64.571	70.460	2,324.57	2,536.56	211.99 LT		
	6/3/15	2,000	64.571	70.460	129.14	140.92	11.78 LT		

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total	Total	638 000			44,104 90	44,953.48	848 58 LT	1,097 00	2 44
Next Dividend Payable 12/20/16, Asset Class: Equities									
CHARLES SCHWAB NEW (SCHW)	8/26/09	64 000	18 110	31 570	1,159.03	2,020.48	861 45 LT		
	9/2/14	21 000	28 536	31 570	599.26	662.97	63 71 LT		
	9/3/14	55 000	28 216	31 570	1,551.90	1,736.35	184 45 LT		
	10/16/14	91 000	25 577	31 570	2,327.54	2,872.87	545 33 LT		
	1/20/16	36 000	25 056	31 570	902 00	1,136.52	234 52 ST		
	1/20/16	61 000	25 056	31 570	1,528.39	1,925.77	397 38 ST		
	2/8/16	11 000	23 347	31 570	256.82	347.27	90 45 ST		
	2/8/16	101 000	23 347	31 570	2,358.06	3,188.57	830 51 ST		
	2/8/16	40 000	23 347	31 570	933.88	1,262.80	328 92 ST		
Total	Total	480 000			11,616.88	15,153.60	1,554 94 LT	134 00	0 88

Next Dividend Payable 11/20/16, Asset Class: Equities

CHECK POINT SOFTWARE TECH LTD (CHKP)

	5/14/15	258 000	87 960	77 610	22,693.57	20,023.38	(2,670.19) LT		
	6/3/15	4 000	83 675	77 610	334.70	310.44	(24 26) LT		
	6/3/15	215 000	83 671	77 610	17,989.33	16,886.15	(1,303 18) LT		
	9/2/15	40 000	78 227	77 610	3,129.09	3,104.40	(24 69) LT		
	10/16/15	113 000	80 547	77 610	9,101.79	8,769.93	(331 86) ST		
	11/10/15	30 000	82 600	77 610	2,478.00	2,328.30	(149 70) ST		
	2/26/16	4 000	83 340	77 610	333.36	310.44	(22 92) ST		
	2/26/16	2 000	83 340	77 610	166.68	155.22	(11 46) ST		
	2/26/16	2 000	83 340	77 610	166.68	155.22	(11 46) ST		
Total	Total	668 000			56,393.20	51,843.48	(4,022.32) LT	--	--

Asset Class: Equities

CITRIX SYSTEMS INC (CTXS)

	6/3/15	73 000	66 659	85 220	4,866.14	6,221.06	1,354 92 LT		
	6/3/15	6 000	66 660	85 220	399.96	511.32	111 36 LT		
	6/3/15	11 000	66 660	85 220	733.26	937.42	204 16 LT		
	6/3/15	1 000	66 660	85 220	66.66	85.22	18 56 LT		
	6/3/15	11 000	66 660	85 220	733.26	937.42	204 16 LT		
	9/2/15	21 000	66 420	85 220	1,394.82	1,789.62	394 80 LT		
	9/2/15	12 000	66 440	85 220	797.28	1,022.64	225 36 LT		
	11/18/15	23 000	71 185	85 220	1,637.26	1,960.06	322 80 ST		
	11/18/15	24 000	71 185	85 220	1,708.44	2,045.28	336 84 ST		
	2/8/16	21 000	62 047	85 220	1,302.99	1,789.62	486 63 ST		
	2/8/16	70 000	62 047	85 220	4,343.29	5,965.40	1,622 11 ST		

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Asset Class: Equities									
CK HUTCHISON HLDGS LTD ADR (CKHUY)									
	2/8/16	32 000	62.038	85.220	1,985.21	2,727.04	741.83 ST		
Total		305 000			19,968.57	25,992.10	2,513.32 LT 3,510.21 ST		
Asset Class: Equities									
COCA COLA AMATIL LTD SPON ADR (OCLAY)									
	7/10/15	1,987,000	14.140	12.730	28,096.18	25,294.51	(2,801.67) LT		
	9/2/15	324,000	13.199	12.730	4,276.48	4,124.52	(151.96) LT		
	10/16/15	213,000	13.930	12.730	2,967.09	2,711.49	(255.60) ST		
	11/10/15	24,000	13.017	12.730	312.40	305.52	(6.88) ST		
	11/10/15	786,000	13.020	12.730	10,233.33	10,005.78	(227.55) ST		
	2/8/16	176,000	11.860	12.730	2,087.36	2,240.48	153.12 ST		
Total		3,510,000			47,972.84	44,682.30	(2,953.63) LT (336.91) ST	1,018.00	2.27
Asset Class: Equities									
COCA COLA AMATIL LTD SPON ADR (OCLAY)									
	7/8/16	4,160,000	6.624	7.930	27,557.50	32,988.80	5,431.30 ST		
	8/16/16	3,680,000	7.288	7.930	26,819.84	29,182.40	2,362.56 ST		
Total		7,840,000			54,377.34	62,171.20	7,793.86 ST	2,391.00	3.84
Next Dividend Payable 10/17/16; Asset Class: Equities									
COCA COLA CO (KO)									
	4/16/15	176,000	40.815	42.320	7,183.49	7,448.32	264.83 LT		
	4/16/15	18,000	40.815	42.320	734.64	761.76	27.12 LT		
	4/29/15	101,000	40.587	42.320	4,099.32	4,274.32	175.00 LT		
	4/29/15	79,000	40.587	42.320	3,206.40	3,343.28	136.88 LT		
	5/7/15	178,000	40.789	42.320	7,260.51	7,532.96	272.45 LT		
	5/7/15	283,000	40.789	42.320	11,543.41	11,976.56	433.15 LT		
	5/7/15	474,000	40.789	42.320	19,334.18	20,059.68	725.50 LT		
	6/3/15	115,000	40.977	42.320	4,712.33	4,866.80	154.47 LT		
	6/3/15	8,000	40.990	42.320	327.92	338.56	10.64 LT		
	6/3/15	179,000	40.977	42.320	7,334.85	7,575.28	240.43 LT		
	6/3/15	15,000	40.985	42.320	614.78	634.80	20.02 LT		
	10/16/15	48,000	42.065	42.320	2,019.12	2,031.36	12.24 ST		
	10/16/15	4,000	42.068	42.320	168.27	169.28	1.01 ST		
	10/16/15	25,000	42.067	42.320	1,051.68	1,058.00	6.32 ST		
	10/16/15	2,000	42.055	42.320	84.11	84.64	0.53 ST		
	11/10/15	92,000	41.735	42.320	3,839.63	3,893.44	53.81 ST		
	11/10/15	169,000	41.740	42.320	7,054.04	7,152.08	98.04 ST		
	11/19/15	4,000	43.044	42.320	172.18	169.28	(2.90) ST		
	11/19/15	938,000	43.044	42.320	40,375.55	39,696.16	(679.39) ST		
	11/19/15	9,000	43.044	42.320	387.40	380.88	(6.52) ST		

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/19/15	9,000	43.044	42.320	387.40	380.88	(6.52) ST		
	11/19/15	2,000	43.044	42.320	86.09	84.64	(1.45) ST		
	9/29/16	378,000	42.155	42.320	15,934.55	15,996.96	62.41 ST		
	9/29/16	129,000	42.155	42.320	5,437.98	5,459.28	21.30 ST		
Total		3,435,000			143,349.83	145,389.20	2,460.49 LT (441.12) ST	4,809.00	3.30
<i>Next Dividend Payable 10/03/16; Asset Class: Equities</i>									
COMCAST CORP (NEW) CLASS A (CMCSA)									
	8/17/11	332,000	21.158	66.340	7,024.34	22,024.88	15,000.54 LT		
	8/17/11	60,000	21.158	66.340	1,269.45	3,980.40	2,710.95 LT		
	8/17/11	97,000	21.158	66.340	2,052.25	6,434.98	4,382.73 LT		
	8/17/11	55,000	21.158	66.340	1,163.68	3,648.70	2,485.02 LT		
	8/29/14	96,000	54.475	66.340	5,229.61	6,368.64	1,139.03 LT		
	8/29/14	103,000	54.478	66.340	5,611.23	6,833.02	1,221.79 LT		
	8/29/14	127,000	54.475	66.340	6,918.34	8,425.18	1,506.84 LT		
	9/2/14	33,000	54.388	66.340	1,794.82	2,189.22	394.40 LT		
	9/2/14	54,000	54.367	66.340	2,935.80	3,582.36	646.56 LT		
	9/3/14	1,000	54.547	66.340	54.55	66.34	11.79 LT		
	9/3/14	10,000	54.544	66.340	545.44	663.40	117.96 LT		
	9/3/14	8,000	54.538	66.340	436.30	530.72	94.42 LT		
	9/3/14	20,000	54.544	66.340	1,090.89	1,326.80	235.91 LT		
	9/3/14	20,000	54.538	66.340	1,090.75	1,326.80	236.05 LT		
	9/3/14	25,000	54.538	66.340	1,363.44	1,658.50	295.06 LT		
	9/3/14	2,000	54.538	66.340	109.08	132.68	23.60 LT		
	9/3/14	2,000	54.538	66.340	109.07	132.68	23.61 LT		
	10/16/14	74,000	49.818	66.340	3,686.51	4,909.16	1,222.65 LT		
	10/16/14	72,000	49.730	66.340	3,580.59	4,776.48	1,195.89 LT		
	10/16/14	153,000	49.817	66.340	7,622.03	10,150.02	2,527.99 LT		
	11/11/14	12,000	52.815	66.340	633.78	796.08	162.30 LT		
	11/11/14	12,000	52.115	66.340	625.38	796.08	170.70 LT		
	11/11/14	26,000	52.815	66.340	1,373.19	1,724.84	351.65 LT		
	4/16/15	2,000	59.525	66.340	119.05	132.68	13.63 LT		
	4/16/15	5,000	59.526	66.340	297.63	331.70	34.07 LT		
	4/16/15	6,000	59.527	66.340	357.16	398.04	40.88 LT		
	4/16/15	1,000	59.530	66.340	59.53	66.34	6.81 LT		
	4/16/15	11,000	59.526	66.340	654.79	729.74	74.95 LT		
	4/29/15	90,000	58.354	66.340	5,251.90	5,970.60	718.70 LT		
	4/29/15	90,000	58.385	66.340	5,254.68	5,970.60	715.92 LT		

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	4/29/15	188 000	58.358	66.340	10,971.29	12,471.92	1,500.63 LT		
	5/14/15	258 000	56.145	66.340	14,485.39	17,115.72	2,630.33 LT		
	5/14/15	31 000	56.147	66.340	1,740.56	2,056.54	315.98 LT		
	5/14/15	532 000	56.145	66.340	29,869.09	35,292.88	5,423.79 LT		
	5/14/15	64 000	56.145	66.340	3,593.27	4,245.76	652.49 LT		
	5/14/15	64 000	56.145	66.340	3,593.27	4,245.76	652.49 LT		
	6/3/15	48 000	58.940	66.340	2,829.12	3,184.32	355.20 LT		
	6/3/15	136 000	58.869	66.340	8,006.24	9,022.24	1,016.00 LT		
	6/3/15	150 000	58.940	66.340	8,840.99	9,951.00	1,110.01 LT		
	11/10/15	3 000	61.737	66.340	185.21	199.02	13.81 ST		
	11/10/15	53 000	61.724	66.340	3,271.36	3,516.02	244.66 ST		
	11/10/15	99 000	61.725	66.340	6,110.78	6,567.66	456.88 ST		
	11/10/15	3 000	61.720	66.340	185.16	199.02	13.86 ST		
	11/10/15	122 000	61.735	66.340	7,531.68	8,093.48	561.80 ST		
	1/21/16	28 000	54.299	66.340	1,520.38	1,857.52	337.14 ST		
	1/21/16	64 000	54.299	66.340	3,475.16	4,245.76	770.60 ST		
	1/21/16	33 000	54.299	66.340	1,791.88	2,189.22	397.34 ST		
	1/21/16	73 000	54.299	66.340	3,963.86	4,842.82	878.96 ST		
	1/21/16	184 000	54.299	66.340	9,991.09	12,206.56	2,215.47 ST		
	1/21/16	174 000	54.299	66.340	9,448.10	11,543.16	2,095.06 ST		
Total		3,906 000			199,719.14	259,124.04	51,419.32 LT	4,297.00	1.65
							7,985.58 ST		

Next Dividend Payable 10/20/16, Asset Class: Equities

COSTCO WHOLESALE CORP NEW (COST)

12/18/14	147 000	140.470	152.510	20,649.05	22,418.97	1,769.92 LT			
2/23/15	90 000	147.885	152.510	13,309.67	13,725.90	416.23 LT			
4/16/15	98 000	147.764	152.510	14,480.83	14,945.98	465.15 LT			
4/29/15	65 000	144.914	152.510	9,419.44	9,913.15	493.71 LT			
6/3/15	2 000	142.430	152.510	284.86	305.02	20.16 LT			
6/3/15	2 000	142.430	152.510	284.86	305.02	20.16 LT			
6/3/15	76 000	142.430	152.510	10,824.71	11,590.76	766.05 LT			
9/30/16	21 000	153.350	152.510	3,220.34	3,202.71	(17.63) ST			
Total	501 000			72,473.76	76,407.51	3,951.38 LT	(17.63) ST	902.00	1.18

Next Dividend Payable 11/20/16, Asset Class: Equities

CREE RESEARCH INC (CREE)

5/6/14	139 000	46.708	25.720	6,492.35	3,575.08	(2,917.27) LT			
8/29/14	55 000	45.444	25.720	2,499.41	1,414.60	(1,084.81) LT			
9/2/14	20 000	45.226	25.720	904.52	514.40	(390.12) LT			

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	9/3/14	23 000	44 775	25.720	1,029.83	591.56	(438.27) LT		
	5/14/15	33 000	29 930	25.720	987.68	848.76	(138.92) LT		
	6/3/15	1 000	30 760	25.720	30.76	25.72	(5.04) LT		
	7/10/15	270 000	24 898	25.720	6,722.46	6,944.40	221.94 LT		
	3/24/16	(87,000)	28 911	25.720	(2,237.64)	(2,237.64)	277.60 ST		
Total		454 000			16,151.77	11,676.88	(4,752.49) LT		
							277.60 ST		

Asset Class: Equities

CVS HEALTH CORP COM (CVS)

10/5/15	576 000	101 597	88 990	58,519.38	51,258.24	(7,261.14) ST			
10/5/15	31 000	101 597	88 990	3,149.71	2,758.69	(391.02) ST			
10/5/15	57 000	101 597	88 990	5,790.98	5,072.43	(718.55) ST			
11/17/15	220 000	94 617	88 990	20,815.80	19,577.80	(1,238.00) ST			
11/17/15	48 000	94 617	88 990	4,541.63	4,271.52	(270.11) ST			
11/17/15	3 000	94 617	88 990	283.85	266.97	(16.88) ST			
11/17/15	26 000	94 617	88 990	2,460.05	2,313.74	(146.31) ST			
11/17/15	26 000	94 617	88 990	2,460.05	2,313.74	(146.31) ST			
11/17/15	2 000	94 617	88 990	189.23	177.98	(11.25) ST			
11/17/15	2 000	94 617	88 990	189.24	177.98	(11.26) ST			
12/16/15	480 000	95 615	88 990	45,895.06	42,715.20	(3,179.86) ST			
2/9/16	1 000	89 620	88 990	89.62	88.99	(0.63) ST			
2/9/16	30 000	89 621	88 990	2,688.63	2,669.70	(18.93) ST			
2/9/16	4 000	89 621	88 990	358.48	355.96	(2.52) ST			
2/9/16	240 000	89 621	88 990	21,509.02	21,357.60	(151.42) ST			
Total	1,746,000			168,940.73	155,376.54	(13,564.19) ST		2,968.00	1.91

Next Dividend Payable 11/20/16; Asset Class: Equities

DEUTSCHE BOERSE AG UNSPON ADR (DBOEY)

1/14/16	5,942 000	8 257	7 750	49,064.86	46,050.50	(3,014.36) ST			
1/14/16	2 000	8 257	7 750	16.52	15.50	(1.02) ST			
1/14/16	2 000	8 257	7 750	16.52	15.50	(1.02) ST			
1/14/16	2 000	8 257	7 750	16.52	15.50	(1.02) ST			
Total	5,948 000			49,114.42	46,097.00	(3,017.42) ST		987.00	2.14

Asset Class: Equities

DIAGEO PLC SPON ADR NEW (DEO)

5/14/15	183 000	112 667	116 040	20,618.03	21,235.32	617.29 LT			
5/14/15	2 000	112 667	116 040	225.33	232.08	675 LT			
5/14/15	2 000	112 667	116 040	225.33	232.08	675 LT			
6/3/15	93 000	110 480	116 040	10,274.68	10,791.72	517.04 LT			
10/7/15	176 000	110 244	116 040	19,402.86	20,423.04	1,020.18 ST			
10/16/15	41 000	113 635	116 040	4,659.04	4,757.64	98.60 ST			

Morgan Stanley

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
DISCOVERY COMMUNICATIONS SER A (DISCA)	11/10/15	52,000	113.614	116.040	5,907.94	6,034.08	126.14 ST		
	2/8/16	2,000	102.818	116.040	205.64	232.08	26.44 ST		
	2/8/16	24,000	102.818	116.040	2,467.63	2,784.96	317.33 ST		
	8/12/16	220,000	114.496	116.040	25,189.19	25,528.80	339.61 ST		
	Total	795,000			89,175.67	92,251.80	1,147.83 LT 1,928.30 ST	2,540.00	2.75

DISCOVERY COMMUNICATIONS SER A (DISCA)	9/2/15	148,000	26.475	26.920	3,918.32	3,984.16	65.84 LT		
	9/2/15	2,000	26.475	26.920	52.95	53.84	0.89 LT		
	9/2/15	2,000	26.475	26.920	52.95	53.84	0.89 LT		
	9/29/15	370,000	26.744	26.920	9,895.32	9,960.40	65.08 LT		
	10/16/15	51,000	28.409	26.920	1,448.85	1,372.92	(75.93) ST		
DISCOVERY COMMUNICATIONS SER A (DISCA)	11/10/15	6,000	29.998	26.920	179.99	161.52	(18.47) ST		
	2/8/16	2,000	25.587	26.920	51.17	53.84	2.67 ST		
	2/8/16	73,000	25.587	26.920	1,867.88	1,955.16	87.28 ST		
	Total	654,000			17,467.43	17,605.68	132.70 LT 5.55 ST		

DOLBY CLIA A COM STK (DLB)	8/7/12	229,000	33.791	54.290	7,738.14	12,432.41	4,694.27 LT		
	12/2/12	70,000	30.109	54.290	2,107.65	3,800.30	1,692.65 LT		
	5/14/15	46,000	39.868	54.290	1,833.94	2,497.34	663.40 LT		
	Total	345,000			11,679.73	18,730.05	7,050.32 LT	166.00	0.88

DU PONT EL DE NEMOURS & CO (DD)	8/29/14	40,000	62.640	66.970	2,505.62	2,678.80	173.18 LT		
	9/2/14	35,000	62.555	66.970	2,189.42	2,343.95	154.53 LT		
	9/3/14	31,000	62.707	66.970	1,943.92	2,076.07	132.15 LT		
	4/16/15	75,000	68.943	66.970	5,170.74	5,022.75	(147.99) LT		
	4/29/15	29,000	70.617	66.970	2,047.90	1,942.13	(105.77) LT		
DU PONT EL DE NEMOURS & CO (DD)	6/3/15	2,000	67.810	66.970	135.62	133.94	(1.68) LT		
	6/3/15	163,000	67.812	66.970	11,053.39	10,916.11	(137.28) LT		
	7/10/15	313,000	58.026	66.970	18,162.29	20,961.61	2,799.32 LT		
	9/2/15	216,000	50.610	66.970	10,931.72	14,465.52	3,533.80 LT		
	2/12/16	236,000	57.161	66.970	13,490.02	15,804.92	2,314.90 ST		
DU PONT EL DE NEMOURS & CO (DD)	9/23/16	210,000	67.250	66.970	14,122.42	14,063.70	(58.72) ST		
	Total	1,350,000			81,753.06	90,409.50	8,656.44 LT 2,256.18 ST	2,052.00	2.26

Next Dividend Payable 10/12/16; Asset Class: Equities
DISCOVERY COMMUNICATIONS SER A (DISCA)

Asset Class: Equities
DOLBY CLIA A COM STK (DLB)

Next Dividend Payable 11/20/16; Asset Class: Equities
DU PONT EL DE NEMOURS & CO (DD)

Next Dividend Payable 12/20/16; Asset Class: Equities
Security Mark at Flight

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EBAY INC (EBAY)	1/19/12	31,000	12,346	32,900	382.73	1,019.90	637.17 LT		
	8/29/14	50,000	21,747	32,900	1,087.35	1,645.00	557.65 LT		
	9/2/14	36,000	21,606	32,900	777.80	1,184.40	406.60 LT		
	9/3/14	38,000	21,441	32,900	814.75	1,250.20	435.45 LT		
	10/16/14	154,000	18,692	32,900	2,878.52	5,066.60	2,188.08 LT		
	4/29/15	28,000	23,238	32,900	650.67	921.20	270.53 LT		
	6/3/15	7,000	24,313	32,900	170.19	230.30	60.11 LT		
	6/3/15	62,000	24,319	32,900	1,507.76	2,039.80	532.04 LT		
	9/2/15	115,000	26,657	32,900	3,065.58	3,783.50	717.92 LT		
	10/16/15	263,000	24,474	32,900	6,436.58	8,652.70	2,216.12 ST		
	10/16/15	11,000	24,480	32,900	269.28	361.90	92.62 ST		
	2/8/16	4,000	22,160	32,900	88.64	131.60	42.96 ST		
	2/8/16	141,000	22,160	32,900	3,124.56	4,638.90	1,514.34 ST		
	2/8/16	2,000	22,160	32,900	44.32	65.80	21.48 ST		
	Total	942,000			21,298.73	30,991.80	5,805.55 LT		
							3,887.52 ST		

Asset Class: Equities

ECOLAB INC (ECL)

9/1/15	15,000	105,594	121,720	1,583.92	1,825.80	241.88 LT			
9/2/15	3,000	106,703	121,720	320.11	365.16	45.05 LT			
9/2/15	82,000	106,704	121,720	8,749.73	9,981.04	1,231.31 LT			
11/10/15	12,000	116,621	121,720	1,399.45	1,460.64	61.19 ST			
11/10/15	61,000	116,623	121,720	7,114.02	7,424.92	310.90 ST			
11/23/15	10,000	119,790	121,720	1,197.90	1,217.20	19.30 ST			
11/23/15	7,000	119,790	121,720	838.53	852.04	13.51 ST			
11/23/15	9,000	119,790	121,720	1,078.11	1,095.48	17.37 ST			
11/23/15	51,000	119,790	121,720	6,109.27	6,207.72	98.45 ST			
1/4/16	32,000	111,856	121,720	3,579.40	3,895.04	315.64 ST			
1/4/16	8,000	111,856	121,720	894.85	973.76	78.91 ST			
1/5/16	55,000	113,071	121,720	6,218.91	6,694.60	475.69 ST			
1/6/16	47,000	107,768	121,720	5,065.11	5,720.84	655.73 ST			
1/6/16	108,000	107,768	121,720	11,638.97	13,145.76	1,506.79 ST			
1/29/16	2,000	107,347	121,720	214.69	243.44	28.75 ST			
1/29/16	19,000	107,347	121,720	2,039.59	2,312.68	273.09 ST			
1/29/16	373,000	107,347	121,720	40,040.27	45,401.56	5,361.29 ST			
1/29/16	26,000	107,347	121,720	2,791.02	3,164.72	373.70 ST			
Total	920,000			100,873.85	111,982.40	1,518.24 LT		1,288.00	1.15
						9,590.31 ST			

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 10/17/16: Asset Class: Equities									
EISAI CO LTD SPON ADR (ESALY)									
	4/29/15	30,000	66.059	62.395	1,981.77	1,871.85	(109.92) LT		
	4/29/15	2,000	66.059	62.395	132.12	124.79	(7.33) LT		
	4/29/15	2,000	66.059	62.395	132.12	124.79	(7.33) LT		
	5/14/15	194,000	66.267	62.395	12,855.80	12,104.63	(751.17) LT		
	6/3/15	11,000	63.548	62.395	699.03	686.34	(12.69) LT		
	6/3/15	80,000	63.548	62.395	5,083.84	4,991.60	(92.24) LT		
	10/16/15	2,000	58.929	62.395	117.86	124.79	6.93 ST		
	10/16/15	87,000	58.929	62.395	5,126.82	5,428.36	301.54 ST		
Total		408,000			26,129.36	25,457.16	(680.68) LT	471.00	1.85
Asset Class: Equities									
ESSILOR INTL SA SPONS ADR (ESLOY)									
	3/12/15	600,000	56.908	64.460	34,144.72	38,676.00	4,531.28 LT		
	2/8/16	4,000	59.193	64.460	236.77	257.84	21.07 ST		
	2/8/16	2,000	59.193	64.460	118.39	128.92	10.53 ST		
Total		606,000			34,499.88	39,062.76	4,531.28 LT	292.00	0.74
Asset Class: Equities									
EXXON MOBIL CORP (XOM)									
	1/31/14	60,000	92.705	87.280	5,562.30	5,236.80	(325.50) LT		
	8/29/14	143,000	99.007	87.280	14,158.00	12,481.04	(1,676.96) LT		
	9/2/14	80,000	98.036	87.280	7,842.91	6,982.40	(860.51) LT		
	9/3/14	35,000	99.297	87.280	3,475.38	3,054.80	(420.58) LT		
	10/16/14	134,000	89.994	87.280	12,059.17	11,695.52	(363.65) LT		
	4/16/15	604,000	87.889	87.280	53,084.71	52,717.12	(367.59) LT		
	4/29/15	147,000	87.875	87.280	12,917.64	12,830.16	(87.48) LT		
	6/3/15	312,000	85.335	87.280	26,624.55	27,231.36	606.81 LT		
	6/3/15	10,000	85.335	87.280	853.35	872.80	19.45 LT		
	9/2/15	412,000	73.171	87.280	30,146.58	35,959.36	5,812.78 LT		
	11/10/15	46,000	82.210	87.280	3,781.65	4,014.88	233.23 ST		
Total		1,983,000			170,506.24	173,076.24	2,569.00	5,949.00	3.43
Next Dividend Payable 12/20/16: Asset Class: Equities									
FACEBOOK INC CL-A (FB)									
Asset Class: Equities									
FLUOR CORP NEW (FLR)									
	6/6/13	348,000	22.929	128.270	7,979.16	44,637.96	36,658.80 LT		
	5/14/15	234,000	60.007	51.320	14,041.64	12,008.88	(2,032.76) LT		
	6/3/15	4,000	57.405	51.320	229.62	205.28	(24.34) LT		
	6/3/15	149,000	57.408	51.320	8,553.73	7,646.68	(907.05) LT		

Security Mark
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CLIENT STATEMENT | For the Period September 1-30, 2016

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 10/04/16; Asset Class: Equities									
FORTINET INC (FTNT)									
	7/10/15	124 000	50.768	51.320	6,295.27	6,363.68	68.41 LT		
	9/2/15	154 000	44.105	51.320	6,792.15	7,903.28	1,111.13 LT		
	10/16/15	14 000	44.610	51.320	624.54	718.48	93.94 ST		
	10/16/15	97 000	44.610	51.320	4,327.19	4,978.04	650.85 ST		
Total		776 000			40,864.14	39,824.32	(1,784.61) LT	652.00	1.63
							744.79 ST		
Asset Class: Equities									
FREEPORT-MCMORAN INC (FCX)									
	4/1/16	68 000	30.798	36.930	2,094.24	2,511.24	417.00 ST		
	4/5/16	34 000	30.827	36.930	1,048.12	1,255.62	207.50 ST		
	4/7/16	68 000	30.329	36.930	2,062.39	2,511.24	448.85 ST		
	5/4/16	58 000	32.090	36.930	1,861.21	2,141.94	280.73 ST		
	5/6/16	32 000	31.712	36.930	1,014.78	1,181.76	166.98 ST		
	5/13/16	70 000	32.419	36.930	2,269.32	2,585.10	315.78 ST		
	5/19/16	25 000	33.224	36.930	830.59	923.25	92.66 ST		
	5/31/16	55 000	34.142	36.930	1,877.79	2,031.15	153.36 ST		
Total		410 000			13,058.44	15,141.30	2,082.86 ST		
Asset Class: Equities									
GENERAL ELECTRIC CO (GE)									
	2/8/16	621 000	5.362	10.860	3,329.49	6,744.06	3,414.57 ST		
	2/8/16	691 000	5.362	10.860	3,704.80	7,504.26	3,799.46 ST		
	2/8/16	691 000	5.362	10.860	3,704.80	7,504.26	3,799.46 ST		
	2/8/16	691 000	5.362	10.860	3,704.80	7,504.26	3,799.46 ST		
	9/12/16	1,086 000	10.835	10.860	11,766.48	11,793.96	27.48 ST		
Total		3,780 000			26,210.37	41,050.80	14,840.43 ST		
Asset Class: Equities									
GENERAL ELECTRIC CO (GE)									
	9/2/14	22 000	25.840	29.620	568.48	651.64	83.16 LT		
	9/2/14	43 000	25.837	29.620	1,110.99	1,273.66	162.67 LT		
	9/2/14	148 000	25.840	29.620	3,824.31	4,383.76	559.45 LT		
	9/2/14	31 000	25.840	29.620	801.03	918.22	117.19 LT		
	9/2/14	18 000	25.840	29.620	465.12	533.16	68.04 LT		
	9/3/14	41 000	25.937	29.620	1,063.40	1,214.42	151.02 LT		
	9/3/14	205 000	25.937	29.620	5,317.09	6,072.10	755.01 LT		
	10/9/14	76 000	24.964	29.620	1,897.25	2,251.12	353.87 LT		
	10/9/14	259 000	24.964	29.620	6,465.62	7,671.58	1,205.96 LT		
	10/16/14	21 000	24.227	29.620	508.76	622.02	113.26 LT		
	10/16/14	95 000	24.250	29.620	2,303.75	2,813.90	510.15 LT		
	3/9/15	34 000	25.560	29.620	869.04	1,007.08	138.04 LT		
	3/9/15	192 000	25.560	29.620	4,907.52	5,687.04	779.52 LT		

Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	3/9/15	35,000	25.560	29.620	894.60	1,036.70	142.10 LT		
	4/16/15	379,000	27.400	29.620	10,394.60	11,225.98	831.38 LT		
	4/16/15	87,000	27.400	29.620	2,538.80	2,576.94	193.14 LT		
	4/29/15	490,000	27.175	29.620	13,315.80	14,513.80	1,198.00 LT		
	4/29/15	113,000	27.187	29.620	3,072.16	3,347.06	274.90 LT		
	6/3/15	423,000	27.530	29.620	11,645.15	12,529.26	884.11 LT		
	7/10/15	39,000	26.267	29.620	1,024.41	1,155.18	130.77 LT		
	7/10/15	253,000	26.270	29.620	6,646.28	7,493.86	847.58 LT		
	9/2/15	516,000	24.470	29.620	12,626.42	15,283.92	2,657.50 LT		
	9/2/15	108,000	24.457	29.620	2,641.39	3,198.96	557.57 LT		
	11/10/15	69,000	30.077	29.620	2,075.30	2,043.78	(31.52) ST		
	11/10/15	82,000	30.078	29.620	2,466.38	2,428.84	(37.54) ST		
	2/8/16	104,000	27.847	29.620	2,896.12	3,080.48	184.36 ST		
	2/8/16	206,000	27.838	29.620	5,734.54	6,101.72	367.18 ST		
	2/8/16	18,000	27.838	29.620	501.08	533.16	32.08 ST		
	7/15/16	748,000	32.897	29.620	24,606.88	22,155.76	(2,451.12) ST		
Total		4,855,000			133,017.27	143,805.10	12,724.39 LT	4,466.00	3.10
							(1,936.56) ST		

Next Dividend Payable 10/25/16; Asset Class: Equities

HOME DEPOT INC (HD)

3/21/14	1,000	81.063	128.680	81.06	128.68	47.62 LT			
3/21/14	27,000	81.063	128.680	2,188.72	3,474.36	1,285.64 LT			
4/22/14	190,000	78.961	128.680	15,002.53	24,449.20	9,446.67 LT			
9/3/14	20,000	89.940	128.680	1,798.80	2,573.60	774.80 LT			
9/3/14	44,000	89.985	128.680	3,959.34	5,661.92	1,702.58 LT			
12/10/14	4,000	99.851	128.680	399.41	514.72	115.31 LT			
12/10/14	75,000	99.851	128.680	7,488.85	9,651.00	2,162.15 LT			
12/10/14	78,000	99.851	128.680	7,788.40	10,037.04	2,248.64 LT			
4/16/15	110,000	113.810	128.680	12,519.06	14,154.80	1,635.74 LT			
4/16/15	1,000	113.790	128.680	113.79	128.68	14.89 LT			
4/29/15	166,000	108.900	128.680	18,077.40	21,360.88	3,283.48 LT			
4/29/15	70,000	108.910	128.680	7,623.71	9,007.60	1,383.89 LT			
6/3/15	51,000	113.220	128.680	5,774.21	6,562.68	788.47 LT			
6/3/15	170,000	113.197	128.680	19,243.46	21,875.60	2,632.14 LT			
10/16/15	15,000	122.577	128.680	1,838.66	1,930.20	91.54 ST			
11/10/15	18,000	125.113	128.680	2,252.04	2,316.24	64.20 ST			
11/10/15	1,000	125.130	128.680	125.13	128.68	3.55 ST			
11/10/15	1,000	125.100	128.680	125.10	128.68	3.58 ST			

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
IMMUNOGEN INC (IMGN)									
	5/14/15	644 000	8.615	2 680	5,548.12	1,725.92	(3,822.20) LT		
	2/8/16	938 000	7 057	2 680	6,619.84	2,513.84	(4,106.00) ST		
Total		1,582,000			12,167.96	4,239.76	(3,822.20) LT (4,106.00) ST		
Asset Class: Equities									
INDUSTRIA DE DISEÑO TEXTIL IND (IDET)									
	3/1/16	1,520,000	16 147	18 450	24,543.44	28,044.00	3,500.56 ST		
	3/30/16	820 000	17 166	18 450	14,076.12	15,129.00	1,052.88 ST		
Total		2,340,000			38,619.56	43,173.00	4,553.44 ST	566.00	1.31
Asset Class: Equities									
ING GROEP NV ADR (ING)									
	5/14/15	2,104,000	16 455	12 340	34,620.89	25,963.36	(8,657.53) LT		
	6/3/15	715 000	16 806	12 340	12,016.65	8,823.10	(3,193.55) LT		
	9/2/15	620 000	14 827	12 340	9,192.93	7,650.80	(1,542.13) LT		
	10/16/15	878 000	14 737	12 340	12,939.26	10,834.52	(2,104.74) ST		
	10/16/15	6 000	14 747	12 340	88.48	74.04	(14.44) ST		
	11/10/15	572 000	14 598	12 340	8,349.88	7,058.48	(1,291.40) ST		
	2/8/16	4 000	11 045	12 340	44.18	49.36	5.18 ST		
	2/8/16	322 000	11 045	12 340	3,556.43	3,973.48	417.05 ST		
	2/8/16	2 000	11 045	12 340	22.09	24.68	2.59 ST		
Total		5,223,000			80,830.79	64,451.82	(13,393.21) LT (2,985.76) ST	3,123.00	4.84

Asset Class: Equities

INTEL CORP (INTC)

	1/31/14	330 000	24 537	37 750	8,097.05	12,457.50	4,360.45 LT		
	4/16/15	234 000	32 868	37 750	7,691.18	8,833.50	1,142.32 LT		
	4/29/15	290 000	32 784	37 750	9,507.48	10,947.50	1,440.02 LT		
	6/3/15	232 000	32 710	37 750	7,588.70	8,758.00	1,169.30 LT		
	6/3/15	114 000	32 707	37 750	3,728.56	4,303.50	574.94 LT		
	7/10/15	598 000	29 179	37 750	17,448.86	22,574.50	5,125.64 LT		
	2/8/16	2 000	28 459	37 750	56.92	75.50	18.58 ST		
	2/8/16	440 000	28 459	37 750	12,522.00	16,610.00	4,088.00 ST		
Total		2,240,000			66,640.75	84,560.00	13,812.67 LT 4,106.58 ST	2,330.00	2.75

Next Dividend Payable 12/20/16; Asset Class: Equities

INTERNATIONAL PAPER CO (IP)

	10/16/15	134 000	42 128	47 980	5,645.09	6,429.32	784.23 ST		
	10/22/15	501 000	42 567	47 980	21,326.02	24,037.98	2,711.96 ST		
	11/10/15	149 000	41 968	47 980	6,253.19	7,149.02	895.83 ST		
	11/10/15	8 000	41 930	47 980	335.44	383.84	48.40 ST		

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THE KEITH HARING FOUNDATION, INC.
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INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 12/20/16: Asset Class: Equities									
IONIS PHARMACEUTICALS INC (IONS)									
	11/17/15	613 000	41.135	47.980	25,215.69	29,411.74	4,196.05 ST		
	2/8/16	530 000	33.830	47.980	17,929.79	25,429.40	7,499.61 ST		
	2/12/16	4 000	34.277	47.980	137.11	191.92	54.81 ST		
	2/12/16	652 000	34.277	47.980	22,348.80	31,282.96	8,934.16 ST		
	2/12/16	2 000	34.277	47.980	68.55	95.96	27.41 ST		
Total		2,593 000			99,259.68	124,412.14	25,152.46 ST	4,564.00	3.66
Next Dividend Payable 12/20/16: Asset Class: Equities									
IONIS PHARMACEUTICALS INC (IONS)									
	10/16/15	75 000	44.354	36.640	3,376.52	2,748.00	(578.52) ST		
	2/8/16	163 000	32.055	36.640	5,224.98	5,972.32	747.34 ST		
	2/24/16	199 000	34.710	36.640	6,907.19	7,291.36	384.17 ST		
	2/25/16	58 000	33.734	36.640	1,956.57	2,125.12	168.55 ST		
Total		495 000			17,415.26	18,136.80	721.54 ST		
Asset Class: Equities									
JOHNSON & JOHNSON (JNJ)									
	10/16/14	15 000	96.717	118.130	1,450.75	1,771.95	321.20 LT		
	10/16/14	75 000	96.637	118.130	7,247.78	8,859.75	1,611.97 LT		
	2/6/15	1 000	102.354	118.130	102.35	118.13	15.78 LT		
	2/6/15	3 000	102.354	118.130	307.06	354.39	47.33 LT		
	2/6/15	73 000	102.354	118.130	7,471.86	8,623.49	1,151.63 LT		
	2/6/15	53 000	102.354	118.130	5,424.77	6,260.89	836.12 LT		
	2/6/15	45 000	102.354	118.130	4,605.94	5,315.85	709.91 LT		
	2/6/15	1 000	102.354	118.130	102.35	118.13	15.78 LT		
	2/6/15	1 000	102.354	118.130	102.35	118.13	15.78 LT		
	4/16/15	174 000	100.020	118.130	17,403.46	20,554.62	3,151.16 LT		
	4/16/15	84 000	100.020	118.130	8,401.67	9,922.92	1,521.25 LT		
	4/29/15	81 000	100.430	118.130	8,134.85	9,568.53	1,433.68 LT		
	4/29/15	32 000	100.434	118.130	3,213.88	3,780.16	566.28 LT		
	6/3/15	65 000	100.264	118.130	6,517.13	7,678.45	1,161.32 LT		
	6/3/15	1 000	100.260	118.130	100.26	118.13	17.87 LT		
	6/3/15	184 000	100.237	118.130	18,443.55	21,735.92	3,292.37 LT		
	6/3/15	3 000	100.267	118.130	300.80	354.39	53.59 LT		
	9/2/15	18 000	93.177	118.130	1,677.19	2,126.34	449.15 LT		
	9/2/15	52 000	93.167	118.130	4,844.67	6,142.76	1,298.09 LT		
	10/16/15	29 000	97.900	118.130	2,839.10	3,425.77	586.67 ST		
	10/16/15	29 000	97.897	118.130	2,839.02	3,425.77	586.75 ST		
	10/16/15	51 000	97.900	118.130	4,992.89	6,024.63	1,031.74 ST		
	11/10/15	2 000	101.430	118.130	202.86	236.26	33.40 ST		
	11/10/15	7 000	101.420	118.130	709.94	826.91	116.97 ST		

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 12/20/16; Asset Class: Equities									
JOHNSON CTLS INTL PLC (UGI)									
	11/10/15	12 000	101.388	118.130	1,216.65	1,417.56	200.91 ST		
	11/10/15	6 000	101.418	118.130	608.51	708.78	100.27 ST		
	11/10/15	8 000	101.388	118.130	811.10	945.04	133.94 ST		
	9/30/16	31 000	118.349	118.130	3,668.81	3,662.03	(6.78) ST		
	9/30/16	51 000	118.349	118.130	6,035.78	6,024.63	(11.15) ST		
Total		1,187 000			119,777.33	140,220.31	17,670.26 LT	3,798.00	2.70
							2,772.72 ST		
Next Dividend Payable 12/20/16; Asset Class: Equities									
JOHNSON CTLS INTL PLC (UGI)									
	5/14/15	71 000	41.914	46.530	2,975.90	3,303.63	327.73 LT		
	5/14/15	171 000	41.767	46.530	7,142.17	7,956.63	814.46 LT		
	5/14/15	280 000	41.643	46.530	11,660.14	13,028.40	1,368.26 LT		
	6/3/15	37 000	42.842	46.530	1,585.16	1,721.61	136.45 LT		
	6/3/15	57 000	42.784	46.530	2,438.70	2,652.21	213.51 LT		
	7/10/15	85 000	39.999	46.530	3,399.95	3,955.05	555.10 LT		
	9/2/15	45 000	38.196	46.530	1,718.80	2,093.85	375.05 LT		
	10/16/15	121 000	38.210	46.530	4,623.45	5,630.13	1,006.68 ST		
	11/10/15	65 000	38.883	46.530	2,527.37	3,024.45	497.08 ST		
	11/10/15	9 000	40.699	46.530	366.29	418.77	52.48 ST		
	3/24/16	287 000	36.856	46.530	10,577.74	13,354.11	2,776.37 ST		
Total		1,228 000			49,015.67	57,138.84	3,790.56 LT	1,055.00	1.84
							4,332.61 ST		
Asset Class: Equities									
KBC GROUP NV UNSPONS ADR (NBCST)									
	4/15/16	814 000	27.109	29.080	22,066.89	23,671.12	1,604.23 ST		
	4/18/16	156 000	27.120	29.080	4,230.72	4,536.48	305.76 ST		
	8/31/16	460 000	29.639	29.080	13,633.76	13,376.80	(256.96) ST		
Total		1,430 000			39,931.37	41,584.40	1,653.03 ST		
Asset Class: Equities									
KIMBERLY CLARK CORP (KMB)									
	8/22/13	238 000	90.914	126.140	21,637.65	30,021.32	8,383.67 LT		
	8/29/14	89 000	103.694	126.140	9,228.81	11,226.46	1,997.65 LT		
	9/2/14	42 000	103.379	126.140	4,341.90	5,297.88	955.98 LT		
	9/3/14	42 000	103.298	126.140	4,338.52	5,297.88	959.36 LT		
	4/16/15	191 000	107.976	126.140	20,623.51	24,092.74	3,469.23 LT		
	4/29/15	26 000	110.720	126.140	2,878.73	3,279.64	400.91 LT		
	6/3/15	82 000	107.974	126.140	8,853.83	10,343.48	1,489.65 LT		
	9/30/16	111 000	126.281	126.140	14,017.22	14,001.54	(15.68) ST		
Total		821 000			85,920.17	103,560.94	17,656.45 LT	3,021.00	2.91
							(15.68) ST		



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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Next Dividend Payable 10/04/16; Asset Class: Equities</i>									
L-3 COMMUNICATIONS HOLDING INC (LLL)									
	5/14/15	258 000	119 651	150 730	30,870 01	38,888 34	8,018 33 LT		
	6/3/15	73 000	119 029	150 730	8,689 12	11,003 29	2,314 17 LT		
	9/2/15	58 000	105 183	150 730	6,100 59	8,742 34	2,641 75 LT		
	10/16/15	30 000	110 295	150 730	3,308 86	4,521 90	1,213 04 ST		
Total		419 000			48 968 58	63,155.87	12,974 25 LT 1,213 04 ST	1,173 00	1.85
<i>Next Dividend Payable 12/20/16; Asset Class: Equities</i>									
LIBERTY BROADBAND CORP S-A (LBROA)									
	5/14/15	22 000	51 640	70 160	1,136 07	1,543 52	407 45 LT		
	6/3/15	1 000	52 800	70 160	52 80	70 16	17 36 LT		
	6/3/15	4 000	52 770	70 160	211 08	280 64	69 56 LT		
	11/10/15	5 000	54 800	70 160	274 00	350 80	76 80 ST		
	2/8/16	10 000	44 680	70 160	446 80	701 60	254 80 ST		
Total		42 000			2,120.75	2,946.72	494.37 LT 331 60 ST	--	--
<i>Asset Class: Equities</i>									
LIBERTY BROADBAND CORP S-C (LBROK)									
	5/14/15	44 000	51 677	71 480	2,273 79	3,145 12	871 33 LT		
	6/3/15	3 000	52 410	71 480	157 23	214 44	57 21 LT		
	6/3/15	9 000	52 412	71 480	471 71	643 32	171 61 LT		
	11/10/15	9 000	54 169	71 480	487 52	643 32	155 80 ST		
	2/8/16	20 000	44 643	71 480	892 85	1,429 60	536 75 ST		
Total		85 000			4,283 10	6,075.80	1,100 15 LT 692 55 ST	--	--
<i>Asset Class: Equities</i>									
LIBERTY INTER CO VENTURE SER A (LVNTA)									
	5/14/15	43 000	38 625	39 870	1,660 88	1,714 41	53 53 LT		
	6/3/15	19 000	38 002	39 870	722 03	757 53	35 50 LT		
	7/10/15	9 000	35 401	39 870	318 61	358 83	40 22 LT		
	11/10/15	10 000	37 699	39 870	376 99	398 70	21 71 ST		
	2/8/16	33 000	29 650	39 870	978 46	1,315 71	337 25 ST		
Total		114 000			4,056.97	4,545.18	129 25 LT 358 96 ST	--	--
<i>Asset Class: Equities</i>									
LIBERTY INTERACTIVE CORP QVC A (QVCA)									
	5/14/15	445 000	28 447	20 010	12,658 70	8,904 45	(3,754 25) LT		
	5/14/15	2 000	28 447	20 010	56 89	40 02	(16 87) LT		
	5/14/15	2 000	28 447	20 010	56 89	40 02	(16 87) LT		
	6/3/15	151 000	28 465	20 010	4,298 15	3,021 51	(1,276 64) LT		
	10/16/15	72 000	28 086	20 010	2,022 19	1,440 72	(581 47) ST		

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/10/15	156 000	26.917	20.010	4,199.08	3,121.56	(1,077.52) ST		
	2/8/16	2 000	22.869	20.010	45.74	40.02	(5.72) ST		
	2/8/16	150 000	22.869	20.010	3,430.38	3,001.50	(428.88) ST		
Total		980 000			26,768.02	19,609.80	(5,064.63) LT (2,093.59) ST		

Asset Class: Equities

LIBERTY MEDIA C SER A SIRIUSXM (LSXMA)

	5/14/15	2 000	29.595	33.980	59.19	67.96	8.77 LT		
	5/14/15	2 000	29.595	33.980	59.19	67.96	8.77 LT		
	5/14/15	85 000	29.595	33.980	2,515.59	2,888.30	372.71 LT		
	6/3/15	22 000	30.490	33.980	670.77	747.56	76.79 LT		
	7/10/15	16 000	28.001	33.980	448.01	543.68	95.67 LT		
	2/8/16	2 000	24.725	33.980	49.45	67.96	18.51 ST		
	2/8/16	49 000	24.724	33.980	1,211.48	1,665.02	453.54 ST		
Total		178 000			5,013.68	6,048.44	562.71 LT 472.05 ST		

Asset Class: Equities

LIBERTY MEDIA C SER C SIRIUSXM (LSXMC)

	5/14/15	178 000	29.852	33.410	5,313.57	5,946.98	633.41 LT		
	6/3/15	46 000	30.538	33.410	1,404.76	1,536.86	132.10 LT		
	7/10/15	32 000	28.048	33.410	897.52	1,069.12	171.60 LT		
	11/10/15	5 000	31.172	33.410	155.86	167.05	11.19 ST		
	2/8/16	98 000	24.752	33.410	2,425.73	3,274.18	848.45 ST		
Total		359 000			10,197.44	11,994.19	937.11 LT 859.64 ST		

Asset Class: Equities

LIBERTY MEDIA CORP S-A BRAVES (BATA)

	5/14/15	0.191	27.906	17.450	5.33	3.33	(2.00) LT		
	5/14/15	0.191	27.906	17.450	5.33	3.33	(2.00) LT		
	5/14/15	8 118	25.293	17.450	205.33	141.65	(63.68) LT		
	6/3/15	2 101	28.767	17.450	60.44	36.66	(23.78) LT		
	7/10/15	1 528	26.420	17.450	40.37	26.66	(13.71) LT		
	2/8/16	0.191	23.298	17.450	4.45	3.33	(1.12) ST		
	2/8/16	4 680	23.323	17.450	109.15	81.66	(27.49) ST		
Total		17 000			430.40	296.65	(105.17) LT (28.61) ST		

Asset Class: Equities

LIBERTY MEDIA CORP S-C BRAVES (BATRC)

	5/14/15	17 354	21.461	17.380	372.44	301.61	(70.83) LT		
	6/3/15	4 485	23.122	17.380	103.70	77.95	(25.75) LT		
	7/10/15	3 120	21.237	17.380	66.26	54.23	(12.03) LT		

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/10/15	0.487	23.635	17.380	11.51	8.46	(3.05) ST		
	2/8/16	9.554	18.744	17.380	179.08	166.05	(13.03) ST		
Total		35.000			732.99	608.30	(108.61) LT (16.08) ST		
Asset Class: Equities									
LIBERTY MEDIA CORP SER A (LMCA)									
	5/14/15	0.494	22.328	28.650	11.03	14.15	3.12 LT		
	5/14/15	0.494	22.328	28.650	11.03	14.15	3.12 LT		
	5/14/15	21.013	21.777	28.650	457.60	602.02	144.42 LT		
	6/3/15	5.438	22.979	28.650	124.96	155.79	30.83 LT		
	7/10/15	3.955	21.102	28.650	83.46	113.31	29.85 LT		
	2/8/16	0.494	18.644	28.650	9.21	14.15	4.94 ST		
	2/8/16	12.112	18.634	28.650	225.69	347.00	121.31 ST		
Total		44.000			922.98	1,260.60	211.34 LT 126.25 ST		
Asset Class: Equities									
LIBERTY MEDIA CORP SER C (LMCK)									
	5/14/15	44.128	21.990	28.140	970.36	1,241.76	271.40 LT		
	6/3/15	11.404	22.881	28.140	260.93	320.90	59.97 LT		
	7/10/15	7.933	21.015	28.140	166.71	223.23	56.52 LT		
	11/10/15	1.240	23.347	28.140	28.95	34.89	5.94 ST		
	2/8/16	24.295	18.546	28.140	450.58	683.66	233.08 ST		
Total		89.000			1,877.53	2,504.46	387.89 LT 239.02 ST		
Asset Class: Equities									
LONDON STK EXCHANGE GROUP ADR (LMSY)									
	11/9/15	7,349,000	9.961	9.004	77,466.29	66,168.92	(6,297.37) ST	838.00	1.26
Next Dividend Payable 10/05/16, Asset Class: Equities									
MC DONALDS CORP (MCD)									
	10/14/15	525,000	104.157	115.360	54,682.32	60,564.00	5,881.68 ST	1,974.00	3.25
Next Dividend Payable 12/20/16, Asset Class: Equities									
MEDTRONIC PLC SHS (MDT)									
	1/27/15	238,000	76.211	86.400	18,138.14	20,563.20	2,425.06 LT R	409.00	1.98
Next Dividend Payable 10/21/16, Asset Class: Equities									
MERCK & CO INC NEW COM (MRK)									
	11/12/13	352,000	47.489	62.410	16,716.09	21,968.32	5,252.23 LT		
	1/31/14	11,000	53.196	62.410	585.16	686.51	101.35 LT		
	8/29/14	29,000	59.936	62.410	1,738.15	1,809.89	71.74 LT		
	9/2/14	65,000	59.747	62.410	3,883.53	4,056.65	173.12 LT		
	9/3/14	31,000	60.686	62.410	1,881.28	1,934.71	53.43 LT		
	10/16/14	218,000	53.698	62.410	11,706.21	13,605.38	1,899.17 LT		
	12/4/14	99,000	61.272	62.410	6,065.91	6,178.59	112.68 LT		

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA O. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
METLIFE INCORPORATED (MET)	4/16/15	548,000	57.879	62.410	31,717.64	34,200.68	2,483.04 LT		
	4/16/15	3,000	57.857	62.410	173.57	187.23	13.66 LT		
	4/29/15	50,000	59.945	62.410	2,997.26	3,120.50	123.24 LT		
	6/3/15	194,000	60.505	62.410	11,737.99	12,107.54	369.55 LT		
	6/3/15	2,000	60.675	62.410	121.35	124.82	3.47 LT		
	7/10/15	85,000	57.945	62.410	4,925.33	5,304.85	379.52 LT		
	9/2/15	252,000	52.655	62.410	13,269.16	15,727.32	2,458.16 LT		
	10/16/15	319,000	51.360	62.410	16,383.78	19,908.79	3,525.01 ST		
	2/8/16	4,000	48.210	62.410	192.84	249.64	56.80 ST		
	2/8/16	2,000	48.210	62.410	96.42	124.82	28.40 ST		
Total		2,264,000			124,191.67	141,296.24	13,494.36 LT	4,166.00	2.94
Next Dividend Payable 10/07/16, Asset Class: Equities									
METTLER TOLEDO INTL (MTD)	4/16/15	45,000	51.047	44.430	2,297.13	1,999.35	(297.78) LT		
	4/29/15	105,000	52.145	44.430	5,527.36	4,709.58	(817.78) LT		
	6/3/15	188,000	54.047	44.430	10,160.80	8,352.84	(1,807.96) LT		
	9/2/15	192,000	48.500	44.430	9,311.96	8,530.56	(781.40) LT		
	10/16/15	389,000	48.757	44.430	18,965.55	17,283.27	(1,682.28) ST		
	11/10/15	17,000	50.686	44.430	861.67	755.31	(106.36) ST		
	2/8/16	4,000	36.781	44.430	147.12	177.72	30.60 ST		
	2/8/16	1,012,000	36.781	44.430	37,222.58	44,963.16	7,740.58 ST		
	2/8/16	2,000	36.781	44.430	73.56	88.86	15.30 ST		
	Total	1,955,000			84,568.73	86,860.65	(3,704.92) LT	3,128.00	3.60
Next Dividend Payable 12/01/16, Asset Class: Equities									
METTLER TOLEDO INTL (MTD)	5/14/15	3,000	324.876	419.830	974.63	1,259.49	284.86 LT		
	5/14/15	2,000	324.876	419.830	649.75	839.66	189.91 LT		
	5/14/15	2,000	324.876	419.830	649.75	839.66	189.91 LT		
	6/3/15	37,000	335.464	419.830	12,412.17	15,533.71	3,121.54 LT		
	9/2/15	38,000	295.290	419.830	11,221.02	15,953.54	4,732.52 LT		
	10/16/15	2,000	290.818	419.830	811.63	839.66	28.03 ST		
	10/16/15	58,000	290.818	419.830	16,867.42	24,350.14	7,482.72 ST		
	Total	142,000			43,356.37	58,615.86	8,518.74 LT	---	---
Asset Class: Equities									

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MICROSOFT CORP (MSFT)									
	11/28/11	344 000	24.855	57.600	8,550.12	19,814.40	11,264.28 LT		
	1/9/14	74 000	35.477	57.600	2,625.28	4,262.40	1,637.12 LT		
	1/9/14	261 000	35.487	57.600	9,261.98	15,033.60	5,771.62 LT		
	1/29/15	72 000	41.870	57.600	3,014.67	4,147.20	1,132.53 LT		
	1/29/15	393 000	41.870	57.600	16,455.07	22,636.80	6,181.73 LT		
	3/3/15	118 000	43.286	57.600	5,107.69	6,796.80	1,689.11 LT		
	3/3/15	267 000	43.286	57.600	11,557.23	15,379.20	3,821.97 LT		
	3/3/15	215 000	43.286	57.600	9,306.38	12,384.00	3,077.62 LT		
	4/16/15	51 000	42.306	57.600	2,157.61	2,937.60	779.99 LT		
	4/16/15	408 000	42.306	57.600	17,260.84	23,500.80	6,239.96 LT		
	4/16/15	262 000	42.307	57.600	11,084.40	15,091.20	4,006.80 LT		
	4/16/15	2 000	42.307	57.600	84.61	115.20	30.59 LT		
	6/3/15	176 000	47.167	57.600	8,301.37	10,137.60	1,836.23 LT		
	6/3/15	377 000	47.177	57.600	17,785.80	21,715.20	3,929.40 LT		
	6/3/15	132 000	47.177	57.600	6,227.39	7,603.20	1,375.81 LT		
	7/10/15	56 000	44.737	57.600	2,505.26	3,225.60	720.34 LT		
	7/10/15	131 000	44.737	57.600	5,860.55	7,545.60	1,685.05 LT		
	10/16/15	37 000	47.264	57.600	1,748.75	2,131.20	382.45 ST		
	10/16/15	5 000	47.268	57.600	236.34	288.00	51.66 ST		
	10/16/15	16 000	47.267	57.600	756.27	921.60	165.33 ST		
	10/16/15	2 000	47.255	57.600	94.51	115.20	20.69 ST		
	2/8/16	2 000	48.497	57.600	96.99	115.20	18.21 ST		
	2/8/16	2 000	48.488	57.600	96.97	115.20	18.23 ST		
	2/8/16	6 000	48.488	57.600	290.93	345.60	54.67 ST		
	2/8/16	97 000	48.497	57.600	4,704.25	5,587.20	882.95 ST		
Total		3,506 000			145,171.26	201,945.60	55,180.15 LT	5,469.00	2.70
							1,594.19 ST		
Next Dividend Payable 12/20/16; Asset Class: Equities									
MOBILEYE N.V. (MBLY)									
	6/3/15	65 000	47.259	42.570	3,071.86	2,767.05	(304.81) LT		
	11/10/15	162 000	43.985	42.570	7,125.59	6,896.34	(229.25) ST		
	11/10/15	11 000	43.985	42.570	483.84	468.27	(15.57) ST		
	2/8/16	693 000	24.964	42.570	17,299.84	29,501.01	12,201.17 ST		
Total		931 000			27,981.13	39,632.67	(304.81) LT	—	—
							11,956.35 ST		
Asset Class: Equities									
MONDELEZ INTL INC COM (MDLZ)									
	8/31/16	565 000	44.943	43.900	25,392.68	24,803.50	(589.18) ST		
	9/12/16	620 000	42.831	43.900	26,555.22	27,218.00	662.78 ST		

Morgan Stanley

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		1,185,000			51,947.90	52,021.50	73.60 ST	901.00	1.73
Next Dividend Payable 10/13/16; Asset Class: Equities									
MONSANTO CO/NEW (MON)									
9/3/14		10,000	115.616	102.200	1,156.16	1,022.00	(134.16) LT		
10/16/14		4,000	110.300	102.200	441.20	408.80	(32.40) LT		
4/16/15		55,000	118.905	102.200	6,539.78	5,621.00	(918.78) LT		
4/29/15		41,000	115.154	102.200	4,721.30	4,190.20	(531.10) LT		
6/3/15		53,000	115.840	102.200	6,139.54	5,416.60	(722.94) LT		
6/3/15		4,000	115.843	102.200	463.37	408.80	(54.57) LT		
7/1/15		36,000	107.461	102.200	3,868.61	3,679.20	(189.41) LT		
9/2/15		43,000	96.543	102.200	4,151.37	4,394.60	243.23 LT		
10/16/15		99,000	90.027	102.200	8,912.65	10,117.80	1,205.15 ST		
10/16/15		5,000	90.058	102.200	450.29	511.00	60.71 ST		
11/10/15		4,000	92.905	102.200	371.62	408.80	37.18 ST		
11/10/15		3,000	92.893	102.200	278.68	306.60	27.92 ST		
Total		357,000			37,494.57	36,485.40	(2,340.13) LT	771.00	2.11

Next Dividend Payable 10/20/16; Asset Class: Equities

NASDAQ INC COM (NDAQ)

1/19/12		45,000	25.188	67.540	1,133.45	3,039.30	1,905.85 LT		
6/21/12		13,000	21.669	67.540	281.70	878.02	596.32 LT		
1/31/14		18,000	38.030	67.540	684.54	1,215.72	531.18 LT		
4/1/14		52,000	35.942	67.540	1,868.98	3,512.08	1,643.10 LT		
4/1/14		150,000	36.202	67.540	5,430.30	10,131.00	4,700.70 LT		
10/16/14		8,000	39.780	67.540	318.24	540.32	222.08 LT		
4/29/15		28,000	49.049	67.540	1,373.37	1,891.12	517.75 LT		
6/3/15		56,000	52.170	67.540	2,921.54	3,782.24	860.70 LT		
2/8/16		4,000	59.144	67.540	236.58	270.16	33.58 ST		
2/8/16		22,000	59.144	67.540	1,301.17	1,485.88	184.71 ST		
2/8/16		2,000	59.144	67.540	118.29	135.08	16.79 ST		
Total		398,000			15,668.16	26,880.92	10,977.68 LT	509.00	1.89

Next Dividend Payable 12/20/16; Asset Class: Equities

NATIONAL OILWELL VARCO INC (NOV)

6/3/15		82,000	49.975	36.740	4,097.96	3,012.68	(1,085.28) LT		
6/3/15		2,000	49.975	36.740	99.95	73.48	(26.47) LT		
6/3/15		2,000	49.975	36.740	99.95	73.48	(26.47) LT		
7/10/15		71,000	44.987	36.740	3,194.07	2,608.54	(585.53) LT		
9/2/15		66,000	41.027	36.740	2,707.76	2,424.84	(282.92) LT		
10/16/15		3,000	39.077	36.740	117.23	110.22	(7.01) ST		

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	10/16/15	161,000	39.077	36.740	6,291.45	5,915.14	(376.31) ST		
	11/10/15	57,000	38.907	36.740	2,217.72	2,094.18	(123.54) ST		
	2/8/16	2,000	28.089	36.740	56.18	73.48	17.30 ST		
	2/8/16	361,000	28.089	36.740	10,140.13	13,263.14	3,123.01 ST		
Total		807,000			29,072.40	29,649.18	(2,006.67) LT 2,633.45 ST	161.00	0.54
Next Dividend Payable 12/20/16; Asset Class: Equities									
NESTLE SPON ADR REP REG SHR (NSRGT)									
	8/29/14	50,000	77.635	79.020	3,881.75	3,951.00	69.25 LT		
	8/29/14	33,000	77.608	79.020	2,561.06	2,607.66	46.60 LT		
	8/29/14	69,000	77.635	79.020	5,356.82	5,452.38	95.56 LT		
	8/29/14	24,000	77.608	79.020	1,862.57	1,896.48	33.91 LT		
	9/2/14	22,000	77.683	79.020	1,709.02	1,738.44	29.42 LT		
	9/2/14	43,000	77.683	79.020	3,340.35	3,397.86	57.51 LT		
	9/3/14	20,000	78.215	79.020	1,564.30	1,580.40	16.10 LT		
	9/3/14	41,000	78.215	79.020	3,206.82	3,239.82	33.00 LT		
	10/16/14	85,000	68.822	79.020	5,849.91	6,716.70	866.79 LT		
	10/16/14	176,000	68.844	79.020	12,116.53	13,907.52	1,790.99 LT		
	2/19/15	177,000	75.900	79.020	13,434.23	13,986.54	552.31 LT		
	4/16/15	154,000	79.897	79.020	12,304.20	12,169.08	(135.12) LT		
	4/16/15	32,000	79.915	79.020	2,557.28	2,528.64	(28.64) LT		
	4/16/15	13,000	79.915	79.020	1,038.90	1,027.26	(11.64) LT		
	4/29/15	75,000	77.898	79.020	5,842.35	5,926.50	84.15 LT		
	4/29/15	124,000	77.889	79.020	9,658.21	9,798.48	140.27 LT		
	5/14/15	281,000	78.976	79.020	22,192.28	22,204.62	12.34 LT		
	5/14/15	66,000	78.976	79.020	5,212.43	5,215.32	2.89 LT		
	5/14/15	5,000	78.976	79.020	394.88	395.10	0.22 LT		
	5/14/15	4,000	78.976	79.020	315.90	316.08	0.18 LT		
	6/3/15	177,000	76.490	79.020	13,538.73	13,986.54	447.81 LT		
	6/3/15	27,000	76.460	79.020	2,064.42	2,133.54	69.12 LT		
	6/3/15	282,000	76.426	79.020	21,552.13	22,283.64	731.51 LT		
	6/3/15	40,000	76.460	79.020	3,058.40	3,160.80	102.40 LT		
	10/16/15	100,000	77.498	79.020	7,749.77	7,902.00	152.23 ST		
	10/16/15	65,000	77.519	79.020	5,038.74	5,136.30	97.56 ST		
	11/10/15	57,000	74.115	79.020	4,224.58	4,504.14	279.56 ST		
	11/10/15	13,000	74.114	79.020	963.48	1,027.26	63.78 ST		
	11/10/15	61,000	74.113	79.020	4,520.89	4,820.22	299.33 ST		
	11/10/15	8,000	74.113	79.020	592.90	632.16	39.26 ST		

Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/10/15	189,000	74.115	79.020	14,007.83	14,934.78	926.95 ST		
	11/10/15	100,000	74.113	79.020	7,411.30	7,902.00	490.70 ST		
	9/19/16	78,000	78.710	79.020	6,139.38	6,163.56	24.18 ST		
	9/19/16	174,000	78.710	79.020	13,695.54	13,749.48	53.94 ST		
	9/29/16	165,000	79.645	79.020	13,141.41	13,038.30	(103.11) ST		
Total		3,030,000			232,099.29	238,430.60	5,006.93 LT 2,324.38 ST	5,899.00	2.46

Next Dividend Payable 05/2017; Asset Class: Equities

NEXTERA ENERGY INC COM (NEE)

1/31/11	119,000	54.075	122.320	6,434.98	14,556.08	8,121.10 LT			
1/19/12	78,000	58.867	122.320	4,591.63	9,540.96	4,949.33 LT			
8/29/14	23,000	98.147	122.320	2,257.38	2,813.36	555.98 LT			
9/2/14	35,000	97.327	122.320	3,406.43	4,281.20	874.77 LT			
9/3/14	20,000	97.643	122.320	1,952.85	2,446.40	493.55 LT			
10/16/14	12,000	93.358	122.320	1,120.30	1,467.84	347.54 LT			
4/16/15	85,000	104.170	122.320	8,854.41	10,397.20	1,542.79 LT			
4/29/15	69,000	102.843	122.320	7,096.18	8,440.08	1,343.90 LT			
6/3/15	33,000	100.407	122.320	3,313.43	4,036.56	723.13 LT			
11/10/15	2,000	98.930	122.320	197.86	244.64	46.78 ST			
11/10/15	2,000	98.930	122.320	197.86	244.64	46.78 ST			
11/10/15	2,000	98.930	122.320	197.86	244.64	46.78 ST			
9/30/16	48,000	122.274	122.320	5,869.14	5,871.36	2.22 ST			
Total	528,000			45,490.31	64,584.96	18,952.09 LT 142.56 ST		1,837.00	2.84

Next Dividend Payable 12/2016; Asset Class: Equities

NOVO NORDISK A/S ADR (NVO)

9/3/14	31,000	45.640	41.590	1,414.84	1,289.29	(125.55) LT			
9/3/14	2,000	45.640	41.590	91.28	83.18	(8.10) LT			
9/3/14	2,000	45.640	41.590	91.28	83.18	(8.10) LT			
10/16/14	44,000	42.870	41.590	1,886.27	1,829.96	(56.31) LT			
11/11/14	39,000	44.277	41.590	1,726.80	1,622.01	(104.79) LT			
5/14/15	693,000	56.520	41.590	39,168.29	28,821.87	(10,346.42) LT			
6/3/15	189,000	57.634	41.590	10,892.75	7,860.51	(3,032.24) LT			
10/16/15	249,000	55.595	41.590	13,843.11	10,355.91	(3,487.20) ST			
11/10/15	199,000	54.047	41.590	10,755.29	8,276.41	(2,478.88) ST			
11/10/15	2,000	54.015	41.590	108.03	83.18	(24.85) ST			
2/8/16	2,000	46.507	41.590	93.02	83.18	(9.84) ST			
2/8/16	240,000	46.507	41.590	11,161.77	9,981.60	(1,180.17) ST			

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		1,692,000			91,232.73	70,370.28	(13,681.51) LT (7,180.94) ST	1,743.00	2.47
Asset Class: Equities									
NOVOZYMES A/S UNSPONS APR (NVZMY)									
5/14/15		48,000	48,204	44.360	2,313.81	2,129.28	(184.53) LT		
5/29/15		865,000	48,932	44.360	42,326.53	38,371.40	(3,955.13) LT		
6/3/15		250,000	49,177	44.360	12,294.15	11,090.00	(1,204.15) LT		
9/2/15		141,000	43,452	44.360	6,126.74	6,254.76	128.02 LT		
10/16/15		4,000	42,227	44.360	168.91	177.44	8.53 ST		
10/16/15		2,000	42,227	44.360	84.46	88.72	4.26 ST		
Total		1,310,000			63,314.60	58,111.60	(5,215.79) LT 12.79 ST	430.00	0.73
Asset Class: Equities									
NOW INC (DNOW)									
6/3/15		144,000	23,455	21.430	3,377.45	3,085.92	(291.53) LT		
7/10/15		149,000	19,450	21.430	2,898.08	3,193.07	294.99 LT		
9/2/15		149,000	16,788	21.430	2,501.35	3,193.07	691.72 LT		
10/16/15		117,000	16,917	21.430	1,979.32	2,507.31	527.99 ST		
2/8/16		265,000	13,602	21.430	3,604.56	5,678.95	2,074.39 ST		
Total		824,000			14,360.76	17,658.32	695.18 LT 2,602.38 ST	--	--
Asset Class: Equities									
NUANCE COMMUNICATIONS INC (NUAN)									
4/16/15		109,000	14,250	14.500	1,553.25	1,580.50	27.25 LT		
4/16/15		21,000	14,250	14.500	299.25	304.50	5.25 LT		
5/14/15		383,000	16,777	14.500	6,425.67	5,553.50	(872.17) LT		
6/3/15		117,000	17,827	14.500	2,085.78	1,696.50	(389.28) LT		
10/16/15		29,000	16,967	14.500	492.05	420.50	(71.55) ST		
10/16/15		10,000	16,967	14.500	169.67	145.00	(24.67) ST		
11/10/15		10,000	17,168	14.500	171.68	145.00	(26.68) ST		
11/10/15		25,000	17,165	14.500	429.13	362.50	(66.63) ST		
Total		704,000			11,626.48	10,208.00	(1,228.95) LT (189.53) ST	--	--
Asset Class: Equities									
NUCOR CORPORATION (NUE)									
5/14/15		355,000	49,556	49.450	17,592.42	17,554.75	(37.67) LT		
6/3/15		110,000	49,830	49.450	5,481.29	5,439.50	(41.79) LT		
7/10/15		164,000	43,427	49.450	7,122.06	8,109.80	987.74 LT		
10/16/15		154,000	42,157	49.450	6,492.22	7,615.30	1,123.08 ST		
11/10/15		91,000	41,718	49.450	3,796.32	4,499.95	703.63 ST		

Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		874 000			40,484.31	43,219.30	908.28 LT 1,826.71 ST	1,311.00	3.03
<i>Next Dividend Payable 11/10/16; Asset Class: Equities</i>									
NXP SEMICONDUCTORS NV (NXP1)									
	7/22/15	362 000	89.617	102.010	32,441.21	36,927.62	4,486.41 LT		
	2/8/16	4 000	63.014	102.010	252.05	408.04	155.99 ST		
	2/8/16	2 000	63.014	102.010	126.03	204.02	77.99 ST		
Total		368 000			32,819.29	37,539.68	4,486.41 LT 233.98 ST	—	—
<i>Asset Class: Equities</i>									
ONO PHARMACEUTICAL CO LTD ADR (OPHLY)									
	5/14/15	2,015 000	7.073	9.300	14,252.76	18,739.50	4,486.74 LT		
	6/3/15	852 000	7.162	9.300	6,102.25	7,923.60	1,821.35 LT		
	6/3/15	126 000	7.158	9.300	901.95	1,171.80	269.85 LT		
Total		2,993 000			21,256.96	27,834.90	6,577.94 LT	238.00	0.85
<i>Asset Class: Equities</i>									
ORIX CORP (IX)									
	10/16/14	148 000	61.893	74.160	9,160.09	10,975.68	1,815.59 LT		
	5/14/15	399 000	77.366	74.160	30,868.91	29,589.84	(1,279.07) LT		
	6/3/15	2 000	81.310	74.160	162.62	148.32	(14.30) LT		
	6/3/15	60 000	81.311	74.160	4,878.67	4,449.60	(429.07) LT		
	7/10/15	142 000	72.392	74.160	10,279.66	10,530.72	251.06 LT		
	9/2/15	140 000	65.109	74.160	9,115.23	10,382.40	1,267.17 LT		
Total		891 000			64,465.18	66,076.56	1,611.38 LT	1,569.00	2.37
<i>Asset Class: Equities</i>									
PALO ALTO NETWORKS INC (PANW)									
	6/24/16	68 000	122.395	159.330	8,322.89	10,834.44	2,511.55 ST		
	6/27/16	36 000	116.898	159.330	4,208.31	5,735.88	1,527.57 ST		
	6/29/16	38 000	123.279	159.330	4,684.62	6,054.54	1,369.92 ST		
	9/1/16	30 000	139.394	159.330	4,181.82	4,779.90	598.08 ST		
Total		172 000			21,397.64	27,404.76	6,007.12 ST	—	—
<i>Asset Class: Equities</i>									
PANDORA A/S ADR (PNDZY)									
	2/11/16	1,570 000	29.805	30.340	46,793.85	47,633.80	839.95 ST		
	3/30/16	445 000	33.051	30.340	14,707.87	13,501.30	(1,206.57) ST		
Total		2,015 000			61,501.72	61,135.10	(366.62) ST	635.00	1.03
<i>Asset Class: Equities</i>									
PAYPAL HDGS INC COM (PYPL)									
	3/27/08	227 000	18.850	40.970	4,279.01	9,300.19	5,021.18 LT		
	1/19/12	60 000	19.092	40.970	1,145.52	2,458.20	1,312.68 LT		
	8/29/14	50 000	33.630	40.970	1,681.48	2,048.50	367.02 LT		
	9/2/14	36 000	33.411	40.970	1,202.79	1,474.92	272.13 LT		

Account Detail

Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	9/3/14	38 000	33.156	40.970	1,259.93	1,556.86	296.93 LT		
	10/16/14	154 000	28.905	40.970	4,451.37	6,309.38	1,858.01 LT		
	4/29/15	28 000	35.936	40.970	1,006.21	1,147.16	140.95 LT		
	6/3/15	7 000	37.597	40.970	263.18	286.79	23.61 LT		
	6/3/15	62 000	37.606	40.970	2,331.60	2,540.14	208.54 LT		
	10/29/15	171 000	35.849	40.970	6,130.25	7,005.87	875.62 ST		
Total		833 000			23,751.34	34,128.01	9,501.05 LT 875.62 ST		

Asset Class: Equities

PENTAIR PLC (PNR)

5/14/15	22 000	64.237	64.240	1,413.22	1,413.28	0.06 LT			
5/14/15	2 000	64.237	64.240	128.47	128.48	0.01 LT			
5/14/15	2 000	64.237	64.240	128.47	128.48	0.01 LT			
5/14/15	57 000	64.237	64.240	3,661.53	3,661.68	0.15 LT			
5/14/15	15 000	64.237	64.240	963.56	963.60	0.04 LT			
6/3/15	46 000	63.887	64.240	2,938.81	2,955.04	16.23 LT			
6/3/15	3 000	63.867	64.240	191.60	192.72	1.12 LT			
9/2/15	73 000	53.353	64.240	3,894.77	4,689.52	794.75 LT			
10/16/15	34 000	55.564	64.240	1,889.16	2,184.16	295.00 ST			
11/10/15	10 000	56.883	64.240	568.83	642.40	73.57 ST			
11/10/15	3 000	56.883	64.240	170.65	192.72	22.07 ST			
2/8/16	2 000	45.442	64.240	90.88	128.48	37.60 ST			
2/8/16	106 000	45.442	64.240	4,816.88	6,809.44	1,992.56 ST			
8/5/16	345 000	64.168	64.240	22,137.99	22,162.80	24.81 ST			
8/15/16	170 000	66.855	64.240	11,365.37	10,920.80	(444.57) ST			
9/22/16	254 000	62.385	64.240	15,845.74	16,316.96	471.22 ST			
9/22/16	26 000	62.385	64.240	1,622.00	1,670.24	48.24 ST			
Total	1,170 000			71,827.93	75,160.80	812.37 LT 2,520.50 ST		1,591.00	2.11

Next Dividend Payable 11/20/16; Asset Class: Equities

PEPSICO INC NC (PEP)

12/18/14	161 000	94.520	108.770	15,217.72	17,511.97	2,294.25 LT			
1/29/15	155 000	95.978	108.770	14,876.53	16,859.35	1,982.82 LT			
4/16/15	168 000	96.797	108.770	16,261.86	18,273.36	2,011.50 LT			
4/29/15	111 000	94.300	108.770	10,467.34	12,073.47	1,606.13 LT			
6/3/15	164 000	95.467	108.770	15,656.56	17,838.28	2,181.72 LT			
6/3/15	5 000	95.488	108.770	477.44	543.85	66.41 LT			
10/16/15	1 000	99.620	108.770	99.62	108.77	9.15 ST			
11/10/15	77 000	98.808	108.770	7,608.19	8,375.29	767.10 ST			

Morgan Stanley

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Next Dividend Payable 12/20/16, Asset Class: Equities</i>									
PFIZER INC (PFE)									
	11/10/15	1,000	98.810	108.770	98.81	108.77	9.96 ST		
	1/27/16	72,000	97.130	108.770	6,993.33	7,831.44	838.11 ST		
Total		915,000			87,757.40	99,524.55	10,142.83 LT 1,624.32 ST	2,754.00	2.76
<i>Next Dividend Payable 12/20/16, Asset Class: Equities</i>									
PFIZER INC (PFE)									
	11/25/15	2,212,000	32.888	33.870	72,748.04	74,920.44	2,172.40 ST		
	2/12/16	4,000	29,212	33.870	116.85	135.48	18.63 ST		
	2/12/16	2,000	29,212	33.870	58.42	67.74	9.32 ST		
Total		2,218,000			72,923.31	75,123.66	2,200.35 ST	2,662.00	3.54
<i>Next Dividend Payable 12/20/16, Asset Class: Equities</i>									
PPG INDUSTRIES INC (PPG)									
	6/3/15	44,000	114.946	103.360	5,057.61	4,547.84	(509.77) LT		
	6/3/15	2,000	114.946	103.360	229.89	206.72	(23.17) LT		
	6/3/15	2,000	114.946	103.360	229.89	206.72	(23.17) LT		
	7/16/15	190,000	113.522	103.360	21,569.24	19,638.40	(1,930.84) LT		
	8/12/15	355,000	103.654	103.360	36,797.10	36,692.80	(104.30) LT		
	9/2/15	111,000	92.849	103.360	10,306.24	11,472.96	1,166.72 LT		
	10/16/15	19,000	100.164	103.360	1,903.12	1,963.84	60.72 ST		
	11/10/15	68,000	101.186	103.360	6,880.62	7,028.48	147.86 ST		
	1/27/16	2,000	93.960	103.360	187.92	206.72	18.80 ST		
	1/27/16	270,000	93.960	103.360	25,369.28	27,907.20	2,537.92 ST		
Total		1,063,000			108,530.91	109,871.68	(1,424.53) LT 2,765.30 ST	1,701.00	1.54
<i>Next Dividend Payable 12/20/16, Asset Class: Equities</i>									
PROCTER & GAMBLE (PG)									
	1/31/14	54,000	76.887	89.750	4,151.90	4,846.50	694.60 LT		
	8/29/14	82,000	82.936	89.750	6,800.79	7,359.50	558.71 LT		
	9/2/14	37,000	82.930	89.750	3,068.41	3,320.75	252.34 LT		
	9/3/14	42,000	82.780	89.750	3,476.76	3,769.50	292.74 LT		
	4/16/15	195,000	83.905	89.750	16,361.49	17,501.25	1,139.76 LT		
	4/29/15	110,000	80.073	89.750	8,808.05	9,872.50	1,064.45 LT		
	6/3/15	117,000	78.487	89.750	9,182.96	10,500.75	1,317.79 LT		
	6/3/15	8,000	78.525	89.750	628.20	718.00	89.80 LT		
	9/2/15	102,000	69.770	89.750	7,116.53	9,154.50	2,037.97 LT		
	10/16/15	17,000	74.797	89.750	1,271.54	1,525.75	254.21 ST		
	11/10/15	1,000	75.648	89.750	75.65	89.75	14.10 ST		
	11/10/15	3,000	75.648	89.750	226.94	269.25	42.31 ST		
	11/10/15	2,000	75.905	89.750	151.81	179.50	27.69 ST		



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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		770 000			61,321.03	69,107.50	7,448.16 LT 338.31 ST	2,062.00	2.98
Next Dividend Payable 11/20/16: Asset Class: Equities									
RATHEON CO (NEW) (RTN)									
11/9/10		196 000	48.498	136.130	9,505.68	26,681.48	17,175.80 LT		
8/29/14		107 000	96.236	136.130	10,297.28	14,565.91	4,268.63 LT		
9/2/14		36 000	96.214	136.130	3,463.72	4,900.68	1,436.96 LT		
9/3/14		29 000	96.590	136.130	2,801.12	3,947.77	1,146.65 LT		
4/16/15		64 000	109.138	136.130	6,984.82	8,712.32	1,727.50 LT		
4/29/15		69 000	107.351	136.130	7,407.21	9,392.97	1,985.76 LT		
6/3/15		111 000	102.475	136.130	11,374.76	15,110.43	3,735.67 LT		
6/3/15		10 000	102.494	136.130	1,024.94	1,361.30	336.36 LT		
Total		622 000			52,859.53	84,672.86	31,813.33 LT	1,822.00	2.15
Next Dividend Payable 11/20/16: Asset Class: Equities									
RECKITT BENCKISER PLC SPNS ADR (RBGLY)									
10/8/15		2,755 000	18.568	19.170	51,155.67	52,813.35	1,657.68 ST	1,063.00	2.01
Next Dividend Payable 10/06/16: Asset Class: Equities									
RED HAT INC (RHT)									
5/13/14		99 000	49.504	80.830	4,900.92	8,002.17	3,101.25 LT		
5/13/14		2 000	49.504	80.830	99.01	161.66	62.65 LT		
5/13/14		2 000	49.504	80.830	99.01	161.66	62.65 LT		
10/16/14		33 000	54.827	80.830	1,809.28	2,667.39	858.11 LT		
4/16/15		48 000	75.015	80.830	3,600.72	3,879.84	279.12 LT		
4/29/15		39 000	75.741	80.830	2,953.88	3,152.37	198.49 LT		
6/3/15		70 000	78.183	80.830	5,472.84	5,658.10	185.26 LT		
9/2/15		68 000	69.526	80.830	4,727.74	5,495.44	768.70 LT		
2/8/16		2 000	59.852	80.830	119.70	161.66	41.96 ST		
2/8/16		147 000	59.852	80.830	8,798.19	11,882.01	3,083.82 ST		
Total		510 000			32,581.29	41,223.30	5,516.23 LT 3,125.78 ST	—	—
Asset Class: Equities									
REGENERON PHARM (REGN)									
4/16/15		7 000	457.060	402.020	3,199.42	2,814.14	(385.28) LT		
4/29/15		3 000	471.067	402.020	1,413.20	1,206.06	(207.14) LT		
6/3/15		5 000	514.620	402.020	2,573.10	2,010.10	(563.00) LT		
9/2/15		8 000	509.823	402.020	4,078.58	3,216.16	(862.42) LT		
10/16/15		6 000	511.397	402.020	3,068.38	2,412.12	(656.26) ST		
2/8/16		24 000	380.561	402.020	9,133.46	9,648.48	515.02 ST		
Total		53 000			23,466.14	21,307.06	(2,017.84) LT (141.24) ST	—	—

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Asset Class: Equities</i>									
<i>Asset Class: Equities</i>									
RENTOKIL GROUP PLC SP ADR (RTOKY)	7/29/16	1,480,000	14.547	14.800	21,529.86	21,904.00	374.14 ST		
	7/29/16	990,000	14.390	14.800	14,246.10	14,652.00	405.90 ST		
	8/15/16	1,445,000	14.584	14.800	21,073.74	21,386.00	312.26 ST		
Total		3,915,000			56,849.70	57,942.00	1,092.30 ST	736.00	1.27
<i>Asset Class: Equities</i>									
<i>Asset Class: Equities</i>									
ROCKWELL COLLINS INC (COL)	11/25/15	105,000	94.042	84.340	9,874.41	8,855.70	(1,018.71) ST		
	12/4/15	15,000	91.310	84.340	1,369.65	1,265.10	(104.55) ST		
	1/5/16	75,000	92.040	84.340	6,903.03	6,325.50	(577.53) ST		
	1/22/16	125,000	83.850	84.340	10,481.24	10,542.50	61.26 ST		
	2/12/16	25,000	80.280	84.340	2,006.99	2,108.50	101.51 ST		
Total		345,000			30,635.32	29,097.30	(1,538.02) ST	455.00	1.56
<i>Next Dividend Payable 12/20/16; Asset Class: Equities</i>									
<i>Asset Class: Equities</i>									
RYANAIR HLDGS PLC ADR (RYAAY)	5/14/15	131,000	68.749	75.030	9,006.09	9,828.93	822.84 LT		
	6/3/15	86,000	72.140	75.030	6,204.07	6,452.58	248.51 LT		
	1/8/16	435,000	85.868	75.030	37,352.58	32,638.05	(4,714.53) ST		
	2/8/16	2,000	74.256	75.030	148.51	150.06	1.55 ST		
	2/8/16	2,000	74.256	75.030	148.51	150.06	1.55 ST		
	2/8/16	141,000	74.256	75.030	10,470.09	10,579.23	109.14 ST		
	2/8/16	2,000	74.256	75.030	148.51	150.06	1.55 ST		
Total		799,000			63,478.36	59,948.97	1,071.35 LT	1,723.00	2.87
<i>Asset Class: Equities</i>									
<i>Asset Class: Equities</i>									
SAP AG (SAP)	10/16/14	76,000	66.414	91.410	5,047.42	6,947.16	1,899.74 LT		
	11/11/14	39,000	67.397	91.410	2,628.49	3,564.99	936.50 LT		
	4/16/15	65,000	72.991	91.410	4,744.44	5,941.65	1,197.21 LT		
	4/29/15	4,000	76.223	91.410	304.89	365.64	60.75 LT		
	5/14/15	516,000	77.280	91.410	39,876.48	47,167.56	7,291.08 LT		
	6/3/15	213,000	75.737	91.410	16,131.94	19,470.33	3,338.39 LT		
	7/10/15	38,000	72.150	91.410	2,741.70	3,473.58	731.88 LT		
	9/2/15	4,000	65.817	91.410	263.27	365.64	102.37 LT		
	9/2/15	65,000	65.817	91.410	4,278.10	5,941.65	1,663.55 LT		
	9/2/15	2,000	65.817	91.410	131.63	182.82	51.19 LT		
Total		1,022,000			76,148.36	93,421.02	17,272.66 LT	951.00	1.01
<i>Asset Class: Equities</i>									



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THE KEITH HARING FOUNDATION, INC.
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INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SCHLUMBERGER LTD (SLB)									
	10/16/14	114 000	90.138	78.640	10,275.78	8,964.96	(1,310.82) LT		
	10/16/14	74 000	90.467	78.640	6,694.57	5,819.36	(875.21) LT		
	10/16/14	86 000	90.467	78.640	7,780.18	6,763.04	(1,017.14) LT		
	10/16/14	153 000	90.467	78.640	13,841.48	12,031.92	(1,809.56) LT		
	12/5/14	136 000	87.128	78.640	11,849.46	10,695.04	(1,154.42) LT		
	12/5/14	24 000	87.128	78.640	2,091.08	1,887.36	(203.72) LT		
	12/5/14	7 000	87.129	78.640	609.90	550.48	(59.42) LT		
	12/5/14	20 000	87.128	78.640	1,742.56	1,572.80	(169.76) LT		
	12/5/14	24 000	87.128	78.640	2,091.04	1,887.36	(203.68) LT		
	12/5/14	24 000	87.128	78.640	2,091.08	1,887.36	(203.72) LT		
	12/5/14	27 000	87.128	78.640	2,352.47	2,123.28	(229.19) LT		
	1/26/15	58 000	83.009	78.640	4,814.50	4,561.12	(253.38) LT		
	1/26/15	54 000	83.009	78.640	4,482.46	4,246.56	(235.90) LT		
	1/26/15	81 000	83.009	78.640	6,723.70	6,369.84	(353.86) LT		
	4/16/15	2 000	92.947	78.640	185.89	157.28	(28.61) LT		
	4/16/15	13 000	92.867	78.640	1,207.27	1,022.32	(184.95) LT		
	4/16/15	11 000	92.947	78.640	1,022.42	865.04	(157.38) LT		
	4/16/15	27 000	92.954	78.640	2,509.76	2,123.28	(386.48) LT		
	4/16/15	1 000	92.954	78.640	92.95	78.64	(4.31) LT		
	4/16/15	1 000	92.947	78.640	92.95	78.64	(1.31) LT		
	4/29/15	24 000	94.180	78.640	2,260.32	1,887.36	(372.96) LT		
	4/29/15	47 000	94.136	78.640	4,424.41	3,696.08	(728.33) LT		
	4/29/15	28 000	94.127	78.640	2,635.56	2,201.92	(433.64) LT		
	4/29/15	17 000	94.136	78.640	1,600.32	1,336.88	(263.44) LT		
	4/29/15	4 000	94.136	78.640	376.55	314.56	(61.99) LT		
	5/14/15	302 000	92.067	78.640	27,804.11	23,749.28	(4,054.83) LT		
	6/3/15	93 000	91.820	78.640	8,539.26	7,313.52	(1,225.74) LT		
	6/3/15	3 000	91.790	78.640	275.37	235.92	(39.45) LT		
	6/3/15	177 000	91.725	78.640	16,235.31	13,919.28	(2,316.03) LT		
	6/3/15	6 000	91.747	78.640	550.48	471.84	(78.64) LT		
	6/3/15	138 000	91.705	78.640	12,655.30	10,852.32	(1,802.98) LT		
	6/3/15	9 000	91.826	78.640	826.43	707.76	(118.67) LT		
	6/3/15	97 000	91.705	78.640	8,895.40	7,628.08	(1,267.32) LT		
	7/10/15	24 000	83.984	78.640	2,015.61	1,887.36	(128.25) LT		
	7/10/15	20 000	83.999	78.640	1,679.99	1,572.80	(107.19) LT		
	7/10/15	89 000	83.977	78.640	7,473.94	6,998.96	(474.98) LT		
	7/10/15	2 000	83.999	78.640	168.00	157.28	(10.72) LT		

Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	7/10/15	3,000	83.999	78.640	235.92	235.92	(16.08) LT		
	7/10/15	143,000	83.999	78.640	12,011.91	11,245.52	(766.39) LT		
	9/2/15	146,000	75.597	78.640	11,037.13	11,481.44	444.31 LT		
	9/2/15	85,000	75.577	78.640	6,424.03	6,684.40	260.37 LT		
	9/2/15	266,000	75.525	78.640	20,089.54	20,918.24	828.70 LT		
	10/16/15	143,000	73.748	78.640	10,545.98	11,245.52	699.54 ST		
	10/16/15	46,000	73.767	78.640	3,393.27	3,617.44	224.17 ST		
	10/16/15	121,000	73.767	78.640	8,925.78	9,515.44	589.66 ST		
	10/16/15	10,000	73.747	78.640	737.47	786.40	48.93 ST		
	10/16/15	81,000	73.760	78.640	5,974.55	6,369.84	395.29 ST		
	10/16/15	25,000	73.847	78.640	1,846.18	1,966.00	119.82 ST		
	10/16/15	225,000	73.748	78.640	16,888.31	18,008.56	1,120.25 ST		
	10/16/15	122,000	73.760	78.640	8,998.71	9,594.08	595.37 ST		
	10/16/15	5,000	73.747	78.640	368.73	393.20	24.47 ST		
	4/4/16	168,000	72.120	78.640	12,116.16	13,211.52	1,095.36 ST		
	4/5/16	99,000	72.827	78.640	7,209.87	7,785.36	575.49 ST		
	4/5/16	51,000	72.827	78.640	3,714.18	4,010.64	296.46 ST		
Total		3,760,000			311,501.66	295,686.40	(21,600.07) LT	7,520.00	2.54
							5,784.81 ST		

Next Dividend Payable 10/14/16, Asset Class: Equities

SEAGATE TECHNOLOGY PLC (STO)

	6/3/15	90,000	55.650	38.550	5,008.46	3,469.50	(1,538.96) LT		
	7/10/15	303,000	45.858	38.550	13,895.06	11,680.65	(2,214.41) LT		
	10/16/15	438,000	39.417	38.550	17,264.51	16,884.90	(379.61) ST		
	10/16/15	41,000	39.416	38.550	1,616.07	1,580.55	(35.52) ST		
	11/10/15	223,000	36.415	38.550	8,120.63	8,596.65	476.02 ST		
	11/10/15	34,000	36.426	38.550	1,238.48	1,310.70	72.22 ST		
	2/8/16	2,000	29.913	38.550	59.83	77.10	17.27 ST		
	2/8/16	2,000	29.913	38.550	59.82	77.10	17.28 ST		
	2/8/16	372,000	29.913	38.550	11,127.63	14,340.60	3,212.97 ST		
	2/8/16	2,000	29.913	38.550	59.83	77.10	17.27 ST		
Total		1,507,000			58,450.32	58,094.85	(3,753.37) LT	3,798.00	6.53
							3,397.90 ST		

Next Dividend Payable 10/05/16, Asset Class: Equities

SHIMANO INC ADR (SMNRY)

	1/15/16	3,100,000	14.773	14.650	45,795.05	45,415.00	(380.05) ST	326.00	0.71
Total									

Asset Class: Equities

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SHIRE PLC ADR (SHPG)									
	5/14/15	55,000	250.461	193.860	13,775.35	10,662.30	(3,113.05) LT		
	6/3/15	1,000	254.970	193.860	254.97	193.86	(61.11) LT		
	6/3/15	31,000	255.570	193.860	7,922.67	6,009.66	(1,913.01) LT		
	9/2/15	39,000	226.930	193.860	8,850.27	7,560.54	(1,289.73) LT		
	10/16/15	86,000	209.542	193.860	18,029.25	16,671.96	(1,357.29) ST		
	10/16/15	1,000	209.910	193.860	209.91	193.86	(16.05) ST		
	11/10/15	15,000	214.930	193.860	3,223.95	2,907.90	(316.05) ST		
	2/8/16	164,000	149.250	193.860	24,477.00	31,793.04	7,316.04 ST		
Total		392,000			76,743.37	75,993.12	(6,376.90) LT	315.00	0.41
SHISEIDO LTD SPON ADR (SSDOY)									
	8/28/15	1,442,000	21.965	26.530	31,672.81	38,256.26	6,583.45 LT		
	8/28/15	2,000	21.965	26.530	43.93	53.06	9.13 LT		
	8/28/15	2,000	21.965	26.530	43.93	53.06	9.13 LT		
	9/2/15	239,000	20.748	26.530	4,958.75	6,340.67	1,381.92 LT		
	10/8/15	636,000	22.660	26.530	14,411.51	16,873.08	2,461.57 ST		
	10/16/15	151,000	24.083	26.530	3,636.53	4,006.03	369.50 ST		
	11/10/15	778,000	22.279	26.530	17,332.75	20,640.34	3,307.59 ST		
	2/8/16	2,000	19.440	26.530	38.88	53.06	14.18 ST		
	2/8/16	443,000	19.440	26.530	8,611.92	11,752.79	3,140.87 ST		
Total		3,695,000			80,751.01	98,028.35	7,983.63 LT	732.00	0.74
SPECTRA ENERGY CORP COM (SE)									
	6/3/15	413,000	34.957	42.750	14,437.36	17,655.75	3,218.39 LT		
	7/10/15	497,000	31.267	42.750	15,539.80	21,246.75	5,706.95 LT		
	9/2/15	534,000	28.038	42.750	14,972.45	22,828.50	7,856.05 LT		
	10/16/15	285,000	29.330	42.750	8,358.91	12,183.75	3,824.84 ST		
	10/16/15	28,000	29.337	42.750	821.43	1,197.00	375.57 ST		
	11/10/15	46,000	27.017	42.750	1,242.76	1,966.50	723.74 ST		
	11/10/15	827,000	27.007	42.750	22,334.62	35,354.25	13,019.63 ST		
Total		2,630,000			77,707.33	112,432.50	16,781.39 LT	4,261.00	3.78
STARZ SERIES A (STRZA)									
	5/14/15	71,000	39.180	31.190	2,781.80	2,214.49	(567.31) LT		
	6/3/15	1,000	41.090	31.190	41.09	31.19	(9.90) LT		
	6/3/15	11,000	41.091	31.190	452.00	343.09	(108.91) LT		
	9/2/15	21,000	36.570	31.190	767.98	654.99	(112.99) LT		

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SUNCOR ENERGY INC (SU)									
	10/16/15	7,000	39.127	31.190	273.89	218.33	(55.56) ST		
	11/10/15	46,000	34.966	31.190	1,608.43	1,434.74	(173.69) ST		
	11/10/15	1,000	34.980	31.190	34.98	31.19	(3.79) ST		
	2/8/16	4,000	22.875	31.190	91.50	124.76	33.26 ST		
	2/8/16	74,000	22.875	31.190	1,692.74	2,308.06	615.32 ST		
	2/8/16	2,000	22.875	31.190	45.75	62.38	16.63 ST		
Total		238,000			7,790.16	7,423.22	(799.11) LT	--	--
							432.17 ST		
Asset Class: Equities									
SUNCOR ENERGY INC (SU)									
	5/14/15	776,000	30.305	27.780	23,516.76	21,557.28	(1,959.48) LT		
	6/3/15	493,000	29.197	27.780	14,394.27	13,695.54	(698.73) LT		
	7/10/15	305,000	26.645	27.780	8,472.90	8,472.90	346.14 LT		
	11/10/15	56,000	29.498	27.780	1,651.87	1,555.68	(96.19) ST		
	2/8/16	4,000	22.590	27.780	90.36	111.12	20.76 ST		
	2/8/16	587,000	22.590	27.780	13,260.27	16,306.86	3,046.59 ST		
	2/8/16	2,000	22.590	27.780	45.18	55.56	10.38 ST		
Total		2,223,000			61,085.47	61,754.94	(2,312.07) LT	1,950.00	3.15
							2,981.54 ST		
Next Dividend Payable 12/20/16; Asset Class: Equities									
SYSCO CORP (SY)									
	8/24/15	1,950,000	39.231	49.010	76,499.86	95,569.50	19,069.64 LT		
	9/30/16	162,000	49.159	49.010	7,963.82	7,939.62	(24.20) ST		
Total		2,112,000			84,463.68	103,509.12	19,069.64 LT	2,619.00	2.53
							(24.20) ST		
Next Dividend Payable 10/20/16; Asset Class: Equities									
TAIWAN SHINDOCTR MFG CO LTD ADR (TSM)									
	9/6/16	945,000	29.461	30.590	27,840.46	28,907.55	1,067.09 ST		
	9/19/16	450,000	29.991	30.590	13,495.86	13,765.50	269.64 ST		
Total		1,395,000			41,336.32	42,673.05	1,336.73 ST	1,049.00	2.45
Asset Class: Equities									
TE CONNECTIVITY LTD NEW (TEL)									
	5/14/15	296,000	70.067	64.380	20,739.83	19,056.48	(1,683.35) LT		
	6/3/15	122,000	69.625	64.380	8,494.26	7,854.36	(639.90) LT		
	7/10/15	122,000	62.415	64.380	7,614.64	7,854.36	239.72 LT		
	9/2/15	50,000	58.622	64.380	2,931.08	3,219.00	287.92 LT		
	10/16/15	11,000	63.410	64.380	697.51	708.18	10.67 ST		
	11/10/15	19,000	65.638	64.380	1,247.12	1,223.22	(23.90) ST		
	11/10/15	8,000	65.628	64.380	525.02	515.04	(9.98) ST		
	2/8/16	229,000	52.007	64.380	11,909.69	14,743.02	2,833.33 ST		



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THE KEITH HARING FOUNDATION, INC.
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INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Total		857,000			54,159.15	55,173.66	(1,795.61) LT 2,810.12 ST	1,268.00	2.29
<i>Next Dividend Payable 12/20/16; Asset Class: Equities</i>	9/29/16	990,000	28.094	27.875	27,813.16	27,586.25	(216.91) ST	53.00	0.19
TENCENT HLDGS LTD UNSPON ADR (TCEHY)									
<i>Asset Class: Equities</i>									
TEXAS INSTRUMENTS (TXN)									
<i>Asset Class: Equities</i>									
10/16/14	57,000	43.715	70.180	2,491.76	4,000.26	1,508.50 LT			
10/16/14	28,000	43.737	70.180	1,224.63	1,965.04	740.41 LT			
4/29/15	15,000	55.087	70.180	826.31	1,052.70	226.39 LT			
4/29/15	8,000	55.086	70.180	440.69	561.44	120.75 LT			
6/3/15	77,000	55.067	70.180	4,240.14	5,403.86	1,163.72 LT			
6/3/15	5,000	55.066	70.180	275.33	350.90	75.57 LT			
6/3/15	88,000	55.039	70.180	4,843.44	6,175.84	1,332.40 LT			
6/3/15	8,000	55.060	70.180	440.48	561.44	120.96 LT			
6/3/15	37,000	55.039	70.180	2,036.44	2,596.66	560.22 LT			
6/22/15	290,000	55.030	70.180	15,958.62	20,352.20	4,393.58 LT			
6/22/15	4,000	55.030	70.180	220.12	280.72	60.60 LT			
6/22/15	63,000	55.030	70.180	3,466.87	4,421.34	954.47 LT			
6/22/15	16,000	55.030	70.180	880.48	1,122.88	242.40 LT			
6/22/15	26,000	55.030	70.180	1,430.77	1,824.68	393.91 LT			
7/10/15	86,000	48.866	70.180	4,202.44	6,035.48	1,833.04 LT			
7/10/15	199,000	48.890	70.180	9,729.09	13,965.82	4,236.73 LT			
8/25/15	43,000	46.161	70.180	1,984.94	3,017.74	1,032.80 LT			
8/25/15	527,000	46.162	70.180	24,327.11	36,984.86	12,657.75 LT			
2/10/16	279,000	51.190	70.180	14,282.01	19,580.22	5,298.21 ST			
2/10/16	9,000	51.190	70.180	460.71	631.62	170.91 ST			
Total	1,865,000			93,762.38	130,885.70	31,654.20 LT 5,469.12 ST		2,835.00	2.16
<i>Next Dividend Payable 11/20/16; Asset Class: Equities</i>	10/29/12	104,000	61.571	159.060	6,403.41	16,542.24	10,138.83 LT		
THERMO FISHER SCIENTIFIC (TMO)									
<i>Asset Class: Equities</i>									
8/29/14	34,000	120.053	159.060	4,081.81	5,408.04	1,326.23 LT			
9/2/14	4,000	122.298	159.060	489.19	636.24	147.05 LT			
9/3/14	5,000	123.664	159.060	618.32	795.30	176.98 LT			
10/16/14	33,000	110.605	159.060	3,649.95	5,248.98	1,599.03 LT			
4/16/15	2,000	133.055	159.060	266.11	318.12	52.01 LT			
4/29/15	45,000	127.641	159.060	5,743.84	7,157.70	1,413.86 LT			
6/3/15	25,000	129.925	159.060	3,248.13	3,976.50	728.37 LT			
Total	252,000			24,500.76	40,083.12	15,582.36 LT		151.00	0.37

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Next Dividend Payable 10/17/16; Asset Class: Equities</i>									
TIFFANY & COMPANY NEW (TIF)	5/13/16	375,000	65.096	72.630	24,410.85	27,236.25	2,825.40 ST	675.00	2.47
<i>Next Dividend Payable 10/11/16; Asset Class: Equities</i>									
TIME WARNER INC NEW (TWX)	9/26/11	36,000	28.928	79.610	1,041.41	2,865.96	1,824.55 LT		
	1/7/14	120,000	65.011	79.610	7,801.36	9,553.20	1,751.84 LT		
	1/9/14	89,000	63.671	79.610	5,666.76	7,085.29	1,418.53 LT		
	1/31/14	114,000	60.688	79.610	6,918.47	9,075.54	2,157.07 LT		
	4/16/15	92,000	84.664	79.610	7,789.13	7,324.12	(465.01) LT		
	4/16/15	1,000	84.650	79.610	84.65	79.61	(5.04) LT		
	4/29/15	98,000	85.474	79.610	8,376.50	7,801.78	(574.72) LT		
	6/3/15	163,000	85.747	79.610	13,976.73	12,976.43	(1,000.30) LT		
	9/2/15	429,000	70.245	79.610	30,134.89	34,152.69	4,017.80 LT		
	10/16/15	152,000	72.037	79.610	10,949.65	12,100.72	1,151.07 ST		
	11/10/15	226,000	69.850	79.610	15,786.08	17,991.86	2,205.78 ST		
Total		1,520,000			108,525.63	121,007.20	9,124.72 LT 3,356.85 ST	2,447.00	2.02
<i>Next Dividend Payable 12/20/16; Asset Class: Equities</i>									
TRAVELERS COMPANIES INC COM (TRV)	8/26/11	374,000	47.193	114.550	17,650.29	42,841.70	25,191.41 LT		
	1/31/14	118,000	81.355	114.550	9,599.90	13,516.90	3,917.00 LT		
	9/2/14	5,000	94.456	114.550	472.28	572.75	100.47 LT		
	9/3/14	33,000	94.554	114.550	3,120.28	3,780.15	659.87 LT		
	4/16/15	21,000	108.960	114.550	2,288.16	2,405.55	117.39 LT		
	4/16/15	1,000	108.950	114.550	108.95	114.55	5.60 LT		
	4/29/15	157,000	103.029	114.550	16,175.55	17,984.35	1,808.80 LT		
	6/3/15	142,000	100.850	114.550	14,320.76	16,266.10	1,945.34 LT		
	6/3/15	2,000	100.875	114.550	201.75	229.10	27.35 LT		
Total		853,000			63,937.92	97,711.15	33,773.23 LT	2,286.00	2.33
<i>Next Dividend Payable 12/20/16; Asset Class: Equities</i>									
TWENTY-FIRST CENTURY FOX CL A (FOXA)	10/16/14	18,000	32.527	24.220	585.49	435.96	(149.53) LT		
	4/16/15	147,000	33.985	24.220	4,995.81	3,560.34	(1,435.47) LT		
	4/29/15	31,000	34.775	24.220	1,078.03	750.82	(327.21) LT		
	6/3/15	87,000	33.706	24.220	2,932.40	2,107.14	(825.26) LT		
	8/6/15	385,000	29.310	24.220	11,284.16	9,324.70	(1,959.46) LT		
	11/10/15	15,000	30.028	24.220	450.42	363.30	(87.12) ST		
	2/8/16	4,000	24.179	24.220	96.71	96.88	0.17 ST		
	2/8/16	199,000	24.179	24.220	4,811.52	4,819.78	8.26 ST		
	2/8/16	2,000	24.179	24.220	48.36	48.44	0.08 ST		

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 10/19/16; Asset Class: Equities									
TWITTER INC (TWTR)									
	8/4/16	132,000	25.751	24.220	3,399.16	3,197.04	(202.12) ST		
Total		1,020,000			29,682.06	24,704.40	(4,996.93) LT (280.73) ST	367.00	1.48
Next Dividend Payable 10/17/16; Asset Class: Equities									
U S BANCORP COM NEW (USB)									
	1/13/16	851,000	18.786	23.050	15,986.63	19,615.55	3,628.92 ST		
	1/14/16	716,000	18.634	23.050	13,341.66	16,503.80	3,162.14 ST		
	2/8/16	632,000	14.857	23.050	9,389.31	14,567.60	5,178.29 ST		
	5/4/16	446,000	14.639	23.050	6,529.13	10,280.30	3,751.17 ST		
Total		2,645,000			45,246.73	60,967.25	15,720.52 ST		
Next Dividend Payable 10/17/16; Asset Class: Equities									
U S BANCORP COM NEW (USB)									
	9/20/16	895,000	43.115	42.890	38,587.66	38,386.55	(201.11) ST		
	9/21/16	585,000	43.294	42.890	25,326.70	25,090.65	(236.05) ST		
Total		1,480,000			63,914.36	63,477.20	(437.16) ST	1,658.00	2.61
Next Dividend Payable 10/17/16; Asset Class: Equities									
UBS GROUP AG SHS (UBS)									
	5/14/15	1,058,000	21.247	13.620	22,479.22	14,409.96	(8,069.26) LT		
	6/3/15	305,000	22.047	13.620	6,724.21	4,154.10	(2,570.11) LT		
	9/2/15	193,000	20.327	13.620	3,923.07	2,628.66	(1,294.41) LT		
	10/16/15	470,000	20.477	13.620	9,624.28	6,401.40	(3,222.88) ST		
	11/10/15	578,000	19.398	13.620	11,211.87	7,872.36	(3,339.51) ST		
	11/10/15	23,000	19.398	13.620	446.15	313.26	(132.89) ST		
	2/8/16	623,000	14.653	13.620	9,129.01	8,485.26	(643.75) ST		
Total		3,250,000			63,537.81	44,265.00	(11,933.78) LT (7,339.03) ST	2,223.00	5.02
Next Dividend Payable 05/20/17; Asset Class: Equities									
UNION PACIFIC CORP (UNP)									
	6/3/15	96,000	102.030	97.530	9,794.85	9,362.88	(431.97) LT		
	7/10/15	49,000	97.703	97.530	4,787.46	4,778.97	(8.49) LT		
	9/2/15	252,000	84.461	97.530	21,284.10	24,577.56	3,293.46 LT		
	11/10/15	274,000	84.768	97.530	23,226.35	26,723.22	3,496.87 ST		
	11/10/15	13,000	84.798	97.530	1,102.37	1,267.89	165.52 ST		
	2/8/16	121,000	75.030	97.530	9,078.62	11,801.13	2,722.51 ST		
Total		805,000			69,273.75	78,511.65	2,853.00 LT 6,384.90 ST	1,771.00	2.25
Next Dividend Payable 12/20/16; Asset Class: Equities									
UNITED PARCEL SER INC CL-B (UPS)									
	8/10/11	283,000	62.922	109.360	17,806.94	30,948.88	13,141.94 LT		
	8/10/11	2,000	62.922	109.360	125.89	218.72	92.83 LT		
	8/29/14	115,000	97.187	109.360	11,176.47	12,576.40	1,399.93 LT		

Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	8/29/14	1,000	97.187	109.360	97.19	109.36	12.17 LT		
	8/29/14	1,000	97.187	109.360	97.19	109.36	12.17 LT		
	8/29/14	1,000	97.187	109.360	97.19	109.36	12.17 LT		
	8/29/14	4,000	97.187	109.360	388.74	437.44	48.70 LT		
	9/2/14	1,000	97.960	109.360	97.96	109.36	11.40 LT		
	9/2/14	37,000	97.946	109.360	3,624.02	4,046.32	422.30 LT		
	9/3/14	11,000	98.065	109.360	1,078.72	1,202.96	124.24 LT		
	9/3/14	30,000	98.067	109.360	2,942.00	3,280.80	338.80 LT		
	4/16/15	247,000	96.357	109.360	23,800.23	27,011.92	3,211.69 LT		
	4/16/15	1,000	96.320	109.360	96.32	109.36	13.04 LT		
	4/16/15	85,000	96.359	109.360	8,190.54	9,295.60	1,105.06 LT		
	6/3/15	128,000	100.904	109.360	12,915.66	13,998.08	1,082.42 LT		
	10/7/15	89,000	102.638	109.360	9,134.82	9,733.04	598.22 ST		
	10/16/15	99,000	103.527	109.360	10,249.15	10,826.64	577.49 ST		
	10/16/15	29,000	103.527	109.360	3,002.29	3,171.44	169.15 ST		
	11/10/15	18,000	104.327	109.360	1,877.89	1,968.48	90.59 ST		
	11/10/15	2,000	104.300	109.360	208.60	218.72	10.12 ST		
	11/10/15	66,000	104.338	109.360	6,886.29	7,217.76	331.47 ST		
	11/10/15	9,000	104.318	109.360	938.86	984.24	45.38 ST		
	2/8/16	5,000	95.655	109.360	478.27	546.80	68.53 ST		
	2/8/16	6,000	95.655	109.360	573.93	656.16	82.23 ST		
	2/8/16	35,000	95.655	109.360	3,347.93	3,827.60	479.67 ST		
	2/8/16	5,000	95.655	109.360	478.28	546.80	68.52 ST		
	2/8/16	1,000	95.655	109.360	95.65	109.36	13.71 ST		
	6/2/16	63,000	102.994	109.360	6,488.62	6,889.68	401.06 ST		
	6/2/16	61,000	102.994	109.360	6,282.63	6,670.96	388.33 ST		
Total		1,435,000			132,578.27	156,931.60	21,078.86 LT 3,324.47 ST	4,478.00	2.85

Next Dividend Payable 11/20/16; Asset Class: Equities

UNITEDHEALTH GP INC (UNH)

10/21/13	44,000	68.362	140,000	3,007.94	6,160.00	3,152.06 LT
10/21/13	52,000	68.362	140,000	3,554.84	7,280.00	3,725.16 LT
10/21/13	17,000	68.362	140,000	1,162.16	2,380.00	1,217.84 LT
10/21/13	6,000	68.362	140,000	410.23	840.00	429.77 LT
10/21/13	7,000	68.362	140,000	478.53	980.00	501.47 LT
1/9/14	6,000	75.960	140,000	455.76	840.00	384.24 LT
1/9/14	19,000	75.965	140,000	1,443.34	2,660.00	1,216.66 LT
1/9/14	28,000	75.960	140,000	2,126.88	3,920.00	1,793.12 LT

Security Mark
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CLIENT STATEMENT | For the Period September 1-30, 2016

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Morgan Stanley

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	1/31/14	82,000	72.085	140.000	5,910.98	11,480.00	5,569.02 LT		
	1/31/14	11,000	72.065	140.000	792.72	1,540.00	747.28 LT		
	1/31/14	29,000	72.065	140.000	2,089.89	4,060.00	1,970.11 LT		
	1/31/14	35,000	72.010	140.000	2,520.35	4,900.00	2,379.65 LT		
	4/29/15	62,000	113.866	140.000	7,059.72	8,680.00	1,620.28 LT		
	4/29/15	89,000	113.866	140.000	10,134.11	12,460.00	2,325.89 LT		
	5/14/15	150,000	118.467	140.000	17,770.01	21,000.00	3,229.99 LT		
	6/3/15	1,000	118.020	140.000	118.02	140.00	21.98 LT		
	6/3/15	57,000	117.990	140.000	6,725.44	7,980.00	1,254.56 LT		
	6/3/15	115,000	118.015	140.000	13,571.67	16,100.00	2,528.33 LT		
	6/3/15	1,000	118.040	140.000	118.04	140.00	21.96 LT		
	6/3/15	1,000	118.010	140.000	118.01	140.00	21.99 LT		
	6/3/15	84,000	117.993	140.000	9,911.45	11,760.00	1,848.55 LT		
	9/2/15	31,000	113.347	140.000	3,513.75	4,340.00	826.25 LT		
	9/2/15	22,000	113.359	140.000	2,493.90	3,080.00	586.10 LT		
	9/2/15	17,000	113.347	140.000	1,926.90	2,380.00	453.10 LT		
	10/16/15	18,000	119.445	140.000	2,150.00	2,520.00	370.00 ST		
	10/16/15	5,000	119.446	140.000	597.23	700.00	102.77 ST		
	10/16/15	15,000	119.425	140.000	1,791.38	2,100.00	308.62 ST		
	10/16/15	3,000	119.423	140.000	358.27	420.00	61.73 ST		
	10/16/15	19,000	119.424	140.000	2,269.06	2,660.00	390.94 ST		
	10/16/15	3,000	119.433	140.000	358.30	420.00	61.70 ST		
	10/16/15	2,000	119.425	140.000	238.85	280.00	41.15 ST		
	10/16/15	14,000	119.445	140.000	1,672.22	1,960.00	287.78 ST		
	10/16/15	4,000	119.445	140.000	477.78	560.00	82.22 ST		
	11/10/15	61,000	115.980	140.000	7,074.77	8,540.00	1,465.23 ST		
	11/10/15	2,000	115.948	140.000	231.90	280.00	48.10 ST		
	11/10/15	51,000	116.000	140.000	5,915.98	7,140.00	1,224.02 ST		
	11/10/15	32,000	115.948	140.000	3,710.33	4,480.00	769.67 ST		
	11/10/15	29,000	115.948	140.000	3,362.48	4,060.00	697.52 ST		
	11/10/15	46,000	116.000	140.000	5,335.98	6,440.00	1,104.02 ST		
	9/23/16	97,000	141.040	140.000	13,680.88	13,580.00	(100.88) ST		
	9/23/16	5,000	141.040	140.000	705.20	700.00	(5.20) ST		
	9/23/16	103,000	141.040	140.000	14,527.12	14,420.00	(107.12) ST		
Total		1,475,000			161,872.37	206,500.00	37,825.36 LT	3,689.00	1.78
							6,802.27 ST		

Next Dividend Payable 12/20/16; Asset Class: Equities

Morgan Stanley

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
VERIZON COMMUNICATIONS (VZ)									
	8/29/14	116 000	49.785	51.980	5,775.07	6,029.68	254.61 LT		
	9/2/14	85 000	49.666	51.980	4,221.63	4,418.30	196.67 LT		
	9/3/14	66 000	49.857	51.980	3,290.55	3,430.68	140.13 LT		
	10/16/14	40 000	47.587	51.980	1,903.49	2,079.20	175.71 LT		
	4/16/15	539 000	49.506	51.980	26,683.73	28,017.22	1,333.49 LT		
	4/29/15	118 000	50.495	51.980	5,958.42	6,133.64	175.22 LT		
	6/3/15	366 000	49.140	51.980	17,985.24	19,024.68	1,039.44 LT		
	6/3/15	26 000	49.137	51.980	1,277.56	1,351.48	73.92 LT		
	7/10/15	95 000	47.075	51.980	4,472.13	4,938.10	465.97 LT		
	10/16/15	8 000	44.475	51.980	355.80	415.84	60.04 ST		
	10/16/15	81 000	44.460	51.980	3,601.24	4,210.38	609.14 ST		
	9/23/16	440 000	52.370	51.980	23,042.80	22,871.20	(171.60) ST		
Total		1,980,000			98,567.66	102,920.40	3,855.16 LT 497.58 ST	4,574.00	4.44

Next Dividend Payable 11/20/16; Asset Class: Equities

VERTEX PHARMACEUTICALS (VRTX)

	1/19/12	33 000	37.026	87.210	1,221.86	2,877.93	1,656.07 LT		
	1/19/12	2 000	37.026	87.210	74.05	174.42	100.37 LT		
	1/19/12	2 000	37.026	87.210	74.05	174.42	100.37 LT		
	5/14/15	16 000	122.753	87.210	1,964.04	1,395.36	(568.68) LT		
	6/3/15	26 000	126.281	87.210	3,283.30	2,267.46	(1,015.84) LT		
	10/16/15	47 000	113.365	87.210	5,328.15	4,098.87	(1,229.28) ST		
	10/16/15	6 000	113.590	87.210	681.54	523.26	(158.28) ST		
	11/10/15	2 000	118.570	87.210	237.14	174.42	(62.72) ST		
	11/10/15	1 000	118.610	87.210	118.61	87.21	(31.40) ST		
	2/8/16	2 000	80.334	87.210	160.67	174.42	13.75 ST		
	2/8/16	138 000	80.334	87.210	11,086.03	12,034.98	948.95 ST		
Total		275,000			24,229.44	23,982.75	272.29 LT (518.98) ST	—	—

Asset Class: Equities

VESTAS WIND SYSTEMS ADS (VWDY)

	10/26/15	2,844 000	19.394	27.390	55,157.68	77,897.16	22,739.48 ST	614.00	0.78
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Asset Class: Equities

VISA INC CL A (V)

	8/29/14	95 000	53.119	82.700	5,046.29	7,856.50	2,810.21 LT		
	9/2/14	32 000	53.595	82.700	1,715.04	2,646.40	931.36 LT		
	9/3/14	36 000	53.739	82.700	1,934.60	2,977.20	1,042.60 LT		
	10/16/14	52 000	50.404	82.700	2,621.02	4,300.40	1,679.38 LT		
	4/16/15	178 000	65.817	82.700	11,715.48	14,720.60	3,005.12 LT		
	4/29/15	40 000	67.425	82.700	2,696.98	3,308.00	611.02 LT		

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 12/20/16; Asset Class: Equities									
VMWARE INC CLASS A (VMW)									
	6/3/15	125,000	69.137	82.700	8,642.10	10,337.50	1,695.40 LT		
	6/3/15	4,000	69.168	82.700	276.67	330.80	54.13 LT		
	2/8/16	121,000	56.927	82.700	8,098.20	10,006.70	1,908.50 ST		
Total		683,000			42,746.38	56,484.10	11,829.22 LT	382.00	0.67
							1,908.50 ST		
Next Dividend Payable 12/20/16; Asset Class: Equities									
VMWARE INC CLASS A (VMW)									
	11/10/15	109,000	60.603	73.350	6,605.76	7,995.15	1,389.39 ST		
	1/5/16	23,000	56.960	73.350	1,310.08	1,687.05	376.97 ST		
	2/8/16	198,000	44.200	73.350	8,751.50	14,523.30	5,771.80 ST		
Total		330,000			16,667.34	24,205.50	7,538.16 ST	—	—
Asset Class: Equities									
VODAFONE GROUP PLC (VOD)									
	10/16/15	206,000	32.244	29.150	6,642.20	6,004.90	(637.30) ST		
	11/10/15	4,000	33.658	29.150	134.63	116.60	(18.03) ST		
	11/24/15	1,419,000	32.951	29.150	46,757.33	41,363.85	(5,393.48) ST		
	2/8/16	27,000	28.965	29.150	782.06	787.05	4.99 ST		
Total		1,656,000			54,316.22	48,272.40	(6,043.82) ST	2,502.00	5.18
Next Dividend Payable 02/20/17; Asset Class: Equities									
W W GRAINGER INC (GWW)									
	8/29/14	16,000	246.015	224.840	3,936.24	3,597.44	(338.80) LT		
	9/2/14	5,000	247.160	224.840	1,235.80	1,124.20	(111.60) LT		
	9/3/14	3,000	249.630	224.840	748.89	674.52	(74.37) LT		
	10/16/14	1,000	229.800	224.840	229.80	224.84	(4.96) LT		
	10/16/14	5,000	232.986	224.840	1,164.93	1,124.20	(40.73) LT		
	2/12/15	11,000	234.004	224.840	2,574.04	2,473.24	(100.80) LT		
	4/16/15	22,000	244.730	224.840	5,384.06	4,946.48	(437.58) LT		
	4/29/15	6,000	251.045	224.840	1,506.27	1,349.04	(157.23) LT		
	6/3/15	25,000	243.328	224.840	6,083.19	5,621.00	(462.19) LT		
	9/2/15	12,000	221.882	224.840	2,662.58	2,698.08	35.50 LT		
	10/16/15	40,000	206.775	224.840	8,270.99	8,993.60	722.61 ST		
	10/16/15	7,000	206.559	224.840	1,445.91	1,573.88	127.97 ST		
	11/10/15	6,000	210.247	224.840	1,261.48	1,349.04	87.56 ST		
Total		159,000			36,504.18	35,749.56	(1,692.76) LT	776.00	2.17
							938.14 ST		
Next Dividend Payable 12/20/16; Asset Class: Equities									
WAL MART STORES INC (WMT)									
	9/2/15	143,000	64.577	72.120	9,234.56	10,313.16	1,078.60 LT		
	10/16/15	639,000	58.755	72.120	37,544.51	46,084.68	8,540.17 ST		
	11/10/15	8,000	58.558	72.120	468.46	576.96	108.50 ST		

Morgan Stanley

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	11/10/15	4,000	58.538	72.120	234.15	288.48	54.33 ST		
	11/10/15	59,000	58.538	72.120	3,453.73	4,255.08	801.35 ST		
	11/10/15	2,000	58.538	72.120	117.08	144.24	27.16 ST		
	9/30/16	38,000	72.345	72.120	2,749.11	2,740.56	(8.55) ST		
Total		893,000			53,801.60	64,403.16	1,078.60 LT	1,786.00	2.77
							9,522.96 ST		

Next Dividend Payable 12/20/16; Asset Class: Equities

WALT DISNEY CO HLDG CO (DIS)

9/4/13	276,000	61.109	92.860	16,866.31	25,629.36	8,763.05 LT			
9/4/13	23,000	61.109	92.860	1,405.52	2,135.78	730.26 LT			
9/4/13	36,000	61.109	92.860	2,199.85	3,342.96	1,143.11 LT			
8/29/14	26,000	89.747	92.860	2,333.41	2,414.36	80.95 LT			
8/29/14	18,000	89.727	92.860	1,615.08	1,671.48	56.40 LT			
9/2/14	12,000	90.647	92.860	1,087.76	1,114.32	26.56 LT			
9/2/14	25,000	90.647	92.860	2,266.17	2,321.50	55.33 LT			
9/3/14	17,000	90.945	92.860	1,546.07	1,578.62	32.55 LT			
9/3/14	28,000	90.937	92.860	2,546.22	2,600.08	53.86 LT			
6/3/15	71,000	111.380	92.860	7,907.96	6,593.06	(1,314.90) LT			
6/3/15	18,000	111.380	92.860	2,004.83	1,671.48	(333.35) LT			
6/3/15	2,000	111.380	92.860	222.76	185.72	(37.04) LT			
9/2/15	40,000	100.954	92.860	4,038.14	3,714.40	(323.74) LT			
9/2/15	90,000	100.954	92.860	9,085.82	8,357.40	(728.42) LT			
10/16/15	22,000	107.997	92.860	2,375.93	2,042.92	(333.01) ST			
10/16/15	4,000	108.008	92.860	432.03	371.44	(60.59) ST			
10/16/15	9,000	108.007	92.860	972.06	835.74	(136.32) ST			
10/16/15	2,000	107.985	92.860	215.97	185.72	(30.25) ST			
11/10/15	49,000	117.170	92.860	5,741.32	4,550.14	(1,191.18) ST			
2/8/16	27,000	90.152	92.860	2,434.11	2,507.22	73.11 ST			
2/8/16	11,000	90.125	92.860	991.37	1,021.46	30.09 ST			
2/8/16	198,000	90.152	92.860	17,850.14	18,386.28	536.14 ST			
2/8/16	108,000	90.125	92.860	9,733.46	10,028.88	295.42 ST			
2/8/16	25,000	90.125	92.860	2,253.11	2,321.50	68.39 ST			
8/30/16	41,000	94.972	92.860	3,893.86	3,807.26	(86.60) ST			
8/30/16	107,000	94.972	92.860	10,162.04	9,936.02	(226.02) ST			
9/30/16	21,000	93.141	92.860	1,955.97	1,950.06	(5.91) ST			
9/30/16	11,000	93.141	92.860	1,024.55	1,021.46	(3.09) ST			
Total	1,317,000			115,161.82	122,296.62	8,204.62 LT	(1,069.82) ST	1,870.00	1.52

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Morgan Stanley

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Next Dividend Payable 01/2017, Asset Class: Equities</i>									
WASTE MGMT INC (DELA) (WM)	1/31/14	47,000	41.590	63.760	1,954.73	2,996.72	1,041.99 LT		
	8/29/14	30,000	46.927	63.760	1,407.81	1,912.80	504.99 LT		
	9/2/14	58,000	47.207	63.760	2,737.98	3,698.08	960.10 LT		
	9/3/14	83,000	46.907	63.760	3,893.24	5,292.08	1,398.84 LT		
	4/16/15	68,000	54.136	63.760	3,681.28	4,335.68	654.40 LT		
	4/16/15	1,000	54.110	63.760	54.11	63.76	9.65 LT		
	4/29/15	281,000	49.995	63.760	14,048.62	17,916.56	3,867.94 LT		
	6/3/15	170,000	49.180	63.760	8,360.60	10,839.20	2,478.60 LT		
	6/3/15	6,000	49.197	63.760	295.18	382.56	87.38 LT		
	7/16/15	4,000	49.048	63.760	196.19	255.04	58.85 LT		
	7/16/15	355,000	49.048	63.760	17,412.03	22,634.80	5,222.77 LT		
	7/16/15	2,000	49.048	63.760	98.10	127.52	29.42 LT		
	9/30/16	100,000	63.847	63.760	6,384.74	6,376.00	(8.74) ST		
Total		1,205,000			60,524.61	76,830.80	16,314.93 LT	1,976.00	2.57
<i>Next Dividend Payable 12/2016, Asset Class: Equities</i>									
WEATHERFORD INTERNATIONAL (WFT)	7/10/15	1,043,000	11.396	5.620	11,886.24	5,861.66	(6,024.58) LT		
	9/2/15	1,338,000	9.706	5.620	12,986.63	7,519.56	(5,467.07) LT		
	10/16/15	1,233,000	9.370	5.620	11,553.21	6,929.46	(4,623.75) ST		
	10/16/15	461,000	9.370	5.620	4,319.57	2,590.82	(1,728.75) ST		
	2/8/16	4,417,000	6.608	5.620	29,185.77	24,823.54	(4,362.23) ST		
	9/12/16	343,000	6.227	5.620	2,135.93	1,927.66	(208.27) ST		
Total		8,835,000			72,067.35	49,652.70	(11,491.65) LT	--	--
<i>Asset Class: Equities</i>									
WEC ENERGY GROUP INC COM (WEC)	9/2/14	42,000	44.777	59.880	1,880.62	2,514.96	634.34 LT		
	9/3/14	55,000	45.047	59.880	2,477.56	3,293.40	815.84 LT		
	4/16/15	540,000	49.545	59.880	26,754.03	32,335.20	5,581.17 LT		
	4/29/15	132,000	49.684	59.880	6,558.35	7,904.16	1,345.81 LT		
	6/3/15	67,000	46.757	59.880	3,132.71	4,011.96	879.25 LT		
	6/3/15	370,000	46.738	59.880	17,293.21	22,155.60	4,862.39 LT		
	11/10/15	119,000	49.458	59.880	5,885.48	7,125.72	1,240.24 ST		
	11/10/15	5,000	49.438	59.880	247.19	299.40	52.21 ST		
	9/30/16	176,000	59.849	59.880	10,533.34	10,538.88	5.54 ST		
Total		1,506,000			74,762.49	90,179.28	14,118.80 LT	2,982.00	3.30
							1,297.99 ST		



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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
XILINX INC (XLNX)									
	7/23/14	116 000	41.145	54.340	4,772.77	6,303.44	1,530.67 LT		
	8/29/14	29 000	42.117	54.340	1,221.38	1,575.86	354.48 LT		
	9/2/14	17 000	41.875	54.340	711.88	923.78	211.90 LT		
	9/3/14	5 000	42.976	54.340	214.88	271.70	56.82 LT		
	10/16/14	77 000	38.317	54.340	2,950.39	4,184.18	1,233.79 LT		
	1/22/15	97 000	38.871	54.340	3,770.52	5,270.98	1,500.46 LT		
	4/16/15	20 000	44.410	54.340	888.20	1,086.80	198.60 LT		
	4/29/15	80 000	43.290	54.340	3,463.22	4,347.20	883.98 LT		
	6/3/15	4 000	47.638	54.340	190.55	217.36	26.81 LT		
	6/3/15	10 000	47.637	54.340	476.37	543.40	67.03 LT		
Total		455 000			18,660.16	24,724.70	6,064.54 LT	601.00	2.43

Next Dividend Payable 11/20/16, Asset Class: Equities

YUM BRANDS INC (YUM)

	4/16/15	2 000	79.717	90.810	159.43	181.62	22.19 LT		
	6/3/15	53 000	92.107	90.810	4,881.69	4,812.93	(68.76) LT		
	9/2/15	50 000	79.684	90.810	3,984.18	4,540.50	556.32 LT		
	10/16/15	146 000	71.817	90.810	10,485.25	13,258.26	2,773.01 ST		
	11/10/15	2 000	68.807	90.810	137.61	181.62	44.01 ST		
	11/10/15	58 000	68.810	90.810	3,990.98	5,266.98	1,276.00 ST		
	11/10/15	4 000	68.807	90.810	275.23	363.24	88.01 ST		
Total		315 000			23,914.37	28,605.15	509.75 LT	643.00	2.24

Next Dividend Payable 11/20/16, Asset Class: Equities

ZOETIS INC CLASS-A (ZTS)

	1/8/16	685 000	46.736	52.010	32,013.92	35,626.85	3,612.93 ST		
	1/8/16	70 000	46.736	52.010	3,271.68	3,640.70	369.02 ST		
	2/8/16	18 000	39.747	52.010	715.45	936.18	220.73 ST		
	2/8/16	92 000	39.747	52.010	3,656.75	4,784.92	1,128.17 ST		
	2/8/16	19 000	39.737	52.010	755.01	988.19	233.18 ST		
	2/9/16	910 000	40.026	52.010	36,423.39	47,329.10	10,905.71 ST		
	2/9/16	35 000	40.026	52.010	1,400.90	1,820.35	419.45 ST		
	2/9/16	35 000	40.026	52.010	1,400.90	1,820.35	419.45 ST		
	2/9/16	8 000	40.026	52.010	320.21	416.08	95.87 ST		
	2/9/16	2 000	40.026	52.010	80.05	104.02	23.97 ST		
	2/9/16	2 000	40.026	52.010	80.05	104.02	23.97 ST		
	3/30/16	415 000	43.965	52.010	18,245.35	21,584.15	3,338.80 ST		
	3/30/16	14 000	43.965	52.010	615.51	728.14	112.63 ST		
Total		2,305 000			98,979.17	119,883.05	20,903.88 ST	876.00	0.73

Next Dividend Payable 11/20/16, Asset Class: Equities

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

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Account Detail

STOCKS	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	64.11%	\$9,518,348.50	\$10,667,505.97	\$716,395.56 LT \$432,761.74 ST	\$209,963.00	1.97%

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj	Unit Price	Orig Total Cost Adj	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ECOLAB INC Coupon Rate 3.000%, Matures 12/08/2016, CUSIP 278865AK6 Int. Semi-Annually Jan/Dec 08; Yield to Maturity 1.122%; Moody BAA1	4/10/14	42,000,000	\$105.402	\$100.352	\$44,268.84	\$42,147.84	\$12,121.00 LT	\$630.00	1.49
BERKSHIRE HATHAWAY INC Coupon Rate 1.900%; Matures 01/31/2017; CUSIP 0846708D9	4/27/15	54,000,000	102.162	100.310	55,167.48	54,167.40	(1,000.08) LT		
	4/27/15	1,000,000	102.162	100.310	1,021.62	1,003.10	(18.52) LT		
	4/27/15	1,000,000	102.162	100.310	1,021.62	1,003.10	(18.52) LT		
	6/4/15	1,000,000	101.826	100.310	1,018.26	1,003.10	(15.16) LT		
	6/4/15	7,000,000	101.826	100.310	7,127.82	7,021.70	(106.12) LT		
Total		64,000,000			65,356.80	64,198.40	(1,158.40) LT	608.00	0.94

Int. Semi-Annually Jan/Jul 31; Yield to Maturity .972%; Moody AA2 S&P AA; Issued 01/31/12; Asset Class: FI & Pref

MERRILL LYNCH & CO

Coupon Rate 6.400%; Matures 08/28/2017; CUSIP 59018Y169

10/31/13	3,000,000	116.216	104.333	3,486.48	3,129.99	(356.49) LT			
10/31/13	1,000,000	116.216	104.333	1,162.16	1,043.33	(118.83) LT			
10/31/13	1,000,000	116.216	104.333	1,162.16	1,043.33	(118.83) LT			
7/16/14	10,000,000	113.962	104.333	11,396.20	10,433.30	(962.90) LT			
4/22/15	15,000,000	110.822	104.333	16,623.30	15,649.95	(973.35) LT			
6/3/15	5,000,000	109.955	104.333	5,497.75	5,216.65	(281.10) LT			
10/23/15	1,000,000	108.529	104.333	1,085.29	1,043.33	(41.96) ST			

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CLIENT STATEMENT | For the Period September 1-30, 2016

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

Security Description	INTERESTED PARTY COPY									
	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %	
Int. Semi-Annually Feb/Aug 28; Yield to Maturity 1.589%; Moody BAA1 S&P BBB+; Issued 08/28/07; Asset Class: FI & Pref WATSON PHARMACEUTICALS INC Coupon Rate 1.875%; Matures 10/01/2017, CUSIP 942683AG8	10/23/15	9,000,000	108.529	104.333	9,767.61	9,389.97	(377.64) ST			
	5/16/16	5,000,000	106.011	104.333	5,300.55	5,216.65	(83.90) ST			
	8/4/16	5,000,000	105.309	104.333	5,265.45	5,216.65	(48.80) ST			
	Total	55,000,000			60,746.95	57,383.15	(2,811.50) LT (552.30) ST	3,520.00	6.13	
					60,746.95			312.88		
Int. Semi-Annually Apr/Oct 01; Yield to Maturity 1.527%; Moody BAA3 S&P BBB; Issued 10/02/12; Asset Class: FI & Pref CONOCO PHILLIPS COMPANY Coupon Rate 1.050%; Matures 12/15/2017; CUSIP 20826FAB2	4/14/15	47,000,000	100.602	100.345	47,282.94	47,162.15	(120.79) LT			
	7/18/16	7,000,000	100.675	100.345	7,047.25	7,024.15	(23.10) ST			
	Total	54,000,000			54,330.19	54,186.30	(120.79) LT (23.10) ST	1,013.00	1.86	
					54,330.19			503.43		
Int. Semi-Annually Jun/Dec 15; Callable \$100.00 on 11/15/17; Yield to Maturity 1.629%; Moody BAA2 S&P A-; Issued 12/07/12; Asset Class: FI & Pref GLAXOSMITHKLINE CAP INC Coupon Rate 5.650%; Matures 05/15/2018, CUSIP 377372AD9	4/28/15	62,000,000	99.879	99.310	61,924.98	61,572.20	(352.78) LT			
	6/4/15	2,000,000	99.496	99.310	1,989.92	1,986.20	(3.72) LT			
	6/4/15	1,000,000	99.496	99.310	994.96	993.10	(1.86) LT			
	Total	65,000,000			64,909.86	64,551.50	(358.36) LT	683.00	1.05	
					64,909.86			199.06		
Int. Semi-Annually May/Nov 15; Yield to Maturity 1.341%; Moody A2 S&P A+; Issued 05/13/08; Asset Class: FI & Pref GLAXOSMITHKLINE CAP INC Coupon Rate 5.650%; Matures 05/15/2018, CUSIP 377372AD9	12/16/13	43,000,000	116.216	106.901	49,972.88	45,967.43	(4,005.45) LT			
	5/17/16	7,000,000	108.730	106.901	7,611.10	7,483.07	(128.03) ST			
	Total	50,000,000			57,583.98	53,450.50	(4,005.45) LT (128.03) ST	2,825.00	5.28	
					57,583.98			1,059.37		

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
VERIZON COMMUNICATIONS Coupon Rate 3.650%, Matures 09/14/2018; CUSIP 92343VBP8	12/10/13	45,000,000	106.279	104.374	47,825.55	46,968.30	(857.25) LT		
	7/8/16	4,000,000	105.175	104.374	4,207.00	4,174.96	(32.04) ST		
Total		49,000,000			52,032.55	51,143.26	(857.25) LT (32.04) ST	1,789.00 79.48	3.49
Int. Semi-Annually Mar/Sep 14; Yield to Maturity 1.375%; Moody BAA1 S&P BBB+, Issued 09/18/13; Asset Class: FI & Pref									
CISCO SYSTEMS INC Coupon Rate 2.125%, Matures 03/01/2019; CUSIP 17275RAR3	4/27/16	50,000,000	102.464	101.956	51,231.80	50,978.00	(253.80) ST		
	8/12/16	6,000,000	102.681	101.956	6,160.86	6,117.36	(43.50) ST		
Total		56,000,000			57,392.66	57,095.36	(297.30) ST	1,190.00 95.86	2.08
Int. Semi-Annually Mar/Sep 01; Yield to Maturity 1.301%; Moody A1 S&P AA-, Issued 03/03/14; Asset Class: FI & Pref									
AMERICAN EXPRESS CREDIT Coupon Rate 1.406%, Matures 03/18/2019; CUSIP 0258MDL0	9/16/16	54,000,000	100.349	100.169	54,188.46	54,091.26	(97.20) ST	760.00 25.31	1.40
Interest Paid Quarterly Jun 18; Yield to Maturity 1.336%; Floater; Moody A2 S&P A-, Issued 03/18/14; Asset Class: FI & Pref			100.349		54,188.46				
WELLS FARGO & COMPANY Coupon Rate 2.125%, Matures 04/22/2019; CUSIP 949748FU9	4/27/15	49,000,000	101.307	101.385	49,640.43	49,678.65	38.22 LT		
	5/16/16	5,000,000	101.805	101.385	5,090.25	5,069.25	(21.00) ST		
Total		54,000,000			54,730.68	54,747.90	38.22 LT (21.00) ST	1,148.00 503.62	2.09
Int. Semi-Annually Apr/Oct 22; Yield to Maturity 1.571%; Moody A2 S&P A, Issued 04/22/14; Asset Class: FI & Pref									
APPLE INC Coupon Rate 1.087%, Matures 05/06/2019; CUSIP 03783JAP5	7/29/16	81,000,000	100.415	100.365	81,336.15	81,295.65	(40.50) ST	881.00 132.14	1.08
Interest Paid Quarterly Aug 06; Yield to Maturity .945%; Floater; Moody AA1 S&P AA+, Issued 05/06/14; Asset Class: FI & Pref			100.415		81,336.15				
ORACLE CORP Coupon Rate 5.000%, Matures 07/08/2019; CUSIP 68389XAG0	9/13/13	40,000,000	111.896	109.770	44,758.40	43,908.00	(850.40) LT		
	3/2/16	2,000,000	111.896	109.770	2,217.52	2,195.40	(22.12) ST		
	3/2/16	1,000,000	110.876	109.770	1,108.76	1,097.70	(11.06) ST		
	5/16/16	5,000,000	111.222	109.770	5,561.10	5,488.50	(72.60) ST		



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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Total		48,000,000			53,645.78 53,645.78	52,689.60	(850.40) LT (105.78) ST	2,400.00 546.66	4.55
CITIGROUP INC									
Int. Semi-Annually Jan/Jul 08; Yield to Maturity 1.394%; Moody A1; S&P AA-; Issued 07/08/09; Asset Class: FI & Pref									
Coupon Rate 5.375%; Matures 08/09/2020; CUSIP 172967FF3	10/30/13	26,000,000	114.404 114.404	112.132	29,745.04 29,745.04	29,154.32	(590.72) LT	1,398.00 197.97	4.79
CHEVRON CORP									
Int. Semi-Annually Feb/Aug 09; Yield to Maturity 2.085%; Moody BAA1; S&P BBB+; Issued 08/09/10; Asset Class: FI & Pref									
Coupon Rate 2.419%; Matures 11/17/2020; CUSIP 166764AY6	4/19/16	38,000,000	102.957 102.957	102.821	39,123.66 39,123.66	39,071.98	(51.68) ST		
	8/12/16	15,000,000	103.949 103.949	102.821	15,592.35 15,592.35	15,423.15	(169.20) ST		
Total		53,000,000			54,716.01 54,716.01	54,495.13	(220.88) ST	1,282.00 473.65	2.35
HSBC HOLDINGS PLC									
Int. Semi-Annually May/Nov 17; Callable \$100.00 on 10/17/20; Yield to Call 1.695%; Moody AA2; S&P AA-; Issued 11/17/15; Asset Class: FI & Pref									
Coupon Rate 5.100%; Matures 04/05/2021; CUSIP 404280AK5	2/19/14	39,000,000	112.128 112.128	110.552	43,729.92 43,729.92	43,115.28	(614.64) LT		
	5/17/16	7,000,000	110.741 110.741	110.552	7,751.87 7,751.87	7,738.64	(13.23) ST		
Total		46,000,000			51,481.79 51,481.79	50,853.92	(614.64) LT (13.23) ST	2,346.00 1,140.41	4.61
US BANCORP									
Int. Semi-Annually Apr/Oct 05; Yield to Maturity 2.607%; Moody A1; S&P A; Issued 04/05/11; Asset Class: FI & Pref									
Coupon Rate 4.125%; Matures 05/24/2021; CUSIP 91159HHA1	7/25/13	12,000,000	105.727 105.727	110.824	12,687.24 12,687.24	13,298.88	611.64 LT		
	6/4/15	23,000,000	108.415 108.415	110.824	24,935.45 24,935.45	25,489.52	554.07 LT		
	3/1/16	8,000,000	109.269 109.269	110.824	8,741.52 8,741.52	8,865.92	124.40 ST		
Total		43,000,000			46,364.21 46,364.21	47,854.32	1,165.71 LT 124.40 ST	1,774.00 620.81	3.72
WALT DISNEY COMPANY									
Int. Semi-Annually May/Nov 24; Callable \$100.00 on 04/23/21; Yield to Call 1.653%; Moody A1; S&P A+; Issued 05/24/11; Asset Class: FI & Pref									
Coupon Rate 2.750%; Matures 08/16/2021; CUSIP 25468PCN4	8/22/16	52,000,000	105.980 105.980	105.585	55,109.60 55,109.60	54,904.20	(205.40) ST	1,430.00 174.77	2.60
NOBLE ENERGY INC									
Int. Semi-Annually Feb/Aug 16; Yield to Maturity 1.556%; Moody A2; S&P A; Issued 08/22/11; Asset Class: FI & Pref									
Coupon Rate 4.150%; Matures 12/15/2021; CUSIP 655044AF2	5/19/16	36,000,000	102.046 102.046	106.331	36,736.56 36,736.56	38,279.16	1,542.60 ST	1,494.00 435.75	3.90

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost	Adj Unit Cost	Unit Price	Orig Total Cost	Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Int. Semi-Annually Jun/Dec 15; Callable \$100.00 on 09/15/21; Yield to Call 2.774%; Moody BAA3 S&P BBB-; Issued 12/08/11; Asset Class: FI & Pref</i>											
BARRICK GOLD CORPORATION	1/15/14	45,000,000	92.181	92.181	105.059	42,403.26	42,403.26	48,787.14	6,383.88 LT	1,771.00	3.63
Coupon Rate 3.850%; Matures 04/01/2022; CUSIP 067901AL2										880.58	
<i>Int. Semi-Annually Apr/Oct 01; Yield to Maturity 2.659%; Moody BAA3 S&P BBB-; Issued 04/03/12; Asset Class: FI & Pref</i>											
ACE INA HOLDINGS INC	12/3/15	48,000,000	99.150	99.150	105.051	47,592.00	47,592.00	50,424.48	2,832.48 ST		
Coupon Rate 2.875%; Matures 11/03/2022; CUSIP 00440EAU1											
	12/3/15	1,000,000	99.150	99.150	105.051	991.50	991.50	1,050.51	59.01 ST		
	12/3/15	1,000,000	99.150	99.150	105.051	991.50	991.50	1,050.51	59.01 ST		
	12/3/15	1,000,000	99.150	99.150	105.051	991.50	991.50	1,050.51	59.01 ST		
	12/3/15	1,000,000	99.150	99.150	105.051	991.50	991.50	1,050.51	59.01 ST		
Total		51,000,000				50,566.50	50,566.50	53,576.01	3,009.51 ST	1,466.00	2.73
<i>Int. Semi-Annually May/Nov 03; Callable \$100.00 on 09/03/22; Yield to Call 1.968%; Moody A3 S&P A-; Issued 11/03/15; Asset Class: FI & Pref</i>											
CVS CAREMARK CORP	4/15/13	42,000,000	100.337	100.337	102.961	42,141.54	42,141.54	43,243.62	1,102.08 LT		
Coupon Rate 2.750%; Matures 12/01/2022; CUSIP 126650BZ2											
	6/4/15	2,000,000	97.132	97.132	102.961	1,942.64	1,942.64	2,059.22	116.58 LT		
	6/4/15	1,000,000	97.132	97.132	102.961	971.32	971.32	1,029.61	58.29 LT		
	7/18/16	7,000,000	104.500	104.500	102.961	7,315.00	7,315.00	7,207.27	(107.73) ST		
Total		52,000,000				52,370.50	52,370.50	53,539.72	1,276.95 LT	1,430.00	2.67
<i>Int. Semi-Annually Jun/Dec 01; Callable \$100.00 on 09/01/22; Yield to Call 2.213%; Moody BAA1 S&P BBB+; Issued 11/29/12; Asset Class: FI & Pref</i>											
PLAINS ALL AMER PIPELINE	11/27/13	45,000,000	98.717	98.717	99.470	44,422.65	44,422.65	44,761.50	338.85 LT		
Coupon Rate 3.850%; Matures 10/15/2023; CUSIP 72650R803											
	4/4/16	7,000,000	89.877	89.877	99.470	6,291.39	6,291.39	6,962.90	671.51 ST		
Total		52,000,000				50,714.04	50,714.04	51,724.40	338.85 LT	2,002.00	3.87
									671.51 ST	917.58	
<i>Int. Semi-Annually Apr/Oct 15; Callable \$100.00 on 07/15/23; Yield to Maturity 3.937%; Moody BAA3 S&P BBB-; Issued 08/15/13; Asset Class: FI & Pref</i>											



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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
GOLDMAN SACHS GROUP INC Coupon Rate 4.000%, Matures 03/03/2024; CUSIP 38141GWM3	3/18/14	40,000,000	99.819	107.379	39,927.60				
			99.819		39,927.60	42,951.60	3,024.00 LT		
	10/23/15	2,000,000	103.890	107.379	2,077.80				
			103.890		2,077.80	2,147.58	69.78 ST		
	10/23/15	1,000,000	103.890	107.379	1,038.90				
			103.890		1,038.90	1,073.79	34.89 ST		
	5/16/16	4,000,000	105.912	107.379	4,236.48	4,295.16	58.68 ST		
	8/12/16	3,000,000	108.598	107.379	3,257.94	3,221.37	(36.57) ST		
			108.598		3,257.94				
Total		50,000,000			50,538.72	53,689.50	3,024.00 LT 126.78 ST	2,000.00 150.00	3.72

Int. Semi-Annually Mar/Sep 03; Yield to Maturity 2.888%; Moody A3 S&P BBB+; Issued 03/03/14; Asset Class FI & Pref

PRUDENTIAL FINANCIAL INC Coupon Rate 3.500%, Matures 05/15/2024; CUSIP 74432QBZ7 Int. Semi-Annually May/Nov 15; Yield to Maturity 2.827%; Moody BAA1 S&P A; Issued 05/15/14; Asset Class FI & Pref	6/1/16	51,000,000	102.717	104.581	52,385.67				
			102.717		52,385.67	53,336.31	950.64 ST	1,785.00	3.34

CSX CORP Coupon Rate 3.400%, Matures 08/01/2024; CUSIP 126408H82	9/11/14	45,000,000	100.317	107.195	45,142.65				
			100.317		45,142.65	48,237.75	3,095.10 LT		
	3/7/16	2,000,000	101.704	107.195	2,034.08				
			101.704		2,034.08	2,143.90	109.82 ST		
	3/7/16	1,000,000	101.704	107.195	1,017.04				
			101.704		1,017.04	1,071.95	54.91 ST		
Total		48,000,000			48,193.77	51,453.60	3,095.10 LT 164.73 ST	1,632.00 267.46	3.17

ENTERPRISE PRODUCTS OPER Coupon Rate 3.750%, Matures 02/15/2025; CUSIP 29379NBE2 Int. Semi-Annually Feb/Aug 15; Callable \$100.00 on 11/15/24; Yield to Call 3.237%; Moody BAA1 S&P BBB+; Issued 10/14/14; Asset Class FI & Pref	8/30/16	52,000,000	105.174	103.634	54,690.48				
			105.174		54,690.48	53,889.68	(800.80) ST	1,950.00	3.61

MCDONALD'S CORP Coupon Rate 3.375%, Matures 05/26/2025; CUSIP 58013MEU4 Int. Semi-Annually May/Nov 26; Callable \$100.00 on 02/26/25; Yield to Call 2.662%; Moody BAA1 S&P BBB+; Issued 05/26/15; Asset Class FI & Pref	9/8/16	52,000,000	106.065	105.336	55,153.80				
			106.065		55,153.80	54,774.72	(379.08) ST	1,755.00	3.20

AMERICAN INTL GROUP Coupon Rate 3.750%, Matures 07/10/2025; CUSIP 026874DD6	8/31/15	45,000,000	100.578	104.885	45,260.10				
			100.578		45,260.10	47,198.25	1,938.15 LT		

	5/17/16	8,000,000	101.193	104.885	8,095.44	8,390.80	295.36 ST		
			101.193		8,095.44				

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Total		53,000,000			53,355.54 53,355.54	55,589.05	1,938.15 LT 295.36 ST	1,988.00 441.66	3.57
<i>Int. Semi-Annually Jan/Jul 10, Callable \$100.00 on 04/10/25, Yield to Call 3.094%, Moody BAA1, S&P A-, Issued 07/10/15, Asset Class: FI & Pref</i>									
COMCAST CORP		43,000,000	99.535	107.464	42,800.05				
Coupon Rate 3.375%, Matures 08/15/2025; CUSIP 20030NBNO	7/6/15		99.535		42,800.05	46,209.52	3,409.47 LT		
	3/2/16	2,000,000	104.896	107.464	2,097.92	2,149.28	51.36 ST		
	3/2/16	1,000,000	104.896	107.464	1,048.96	1,074.64	25.68 ST		
	8/12/16	5,000,000	108.482	107.464	5,424.10	5,373.20	(50.90) ST		
Total		51,000,000			51,371.03	54,806.64	3,409.47 LT 26.14 ST	1,721.00 215.15	3.14
<i>Int. Semi-Annually Feb/Aug 15, Callable \$100.00 on 05/15/25, Yield to Call 2.411%, Moody A3, S&P A-, Issued 05/27/15, Asset Class: FI & Pref</i>									
GENERAL ELECTRIC CAPITAL CORP		16,000,000	132.924	140.535	21,267.84				
Coupon Rate 6.750%, Matures 03/15/2032; CUSIP 36962GXZ2	10/29/14		132.924		21,267.84	22,485.60	1,217.76 LT		
	4/27/15	10,000,000	140.783	140.535	14,078.30	14,053.50	(24.80) LT		
	6/4/15	3,000,000	131.926	140.535	3,957.78	4,216.05	258.27 LT		
	3/1/16	6,000,000	133.076	140.535	7,984.56	8,432.10	447.54 ST		
Total		35,000,000			47,288.48	49,187.25	1,451.23 LT 447.54 ST	2,363.00 98.43	4.80
<i>Int. Semi-Annually Mar/Sep 15, Yield to Maturity 3.365%, Moody A1, S&P AA-, Issued 03/20/02, Asset Class: FI & Pref</i>									
UNITED TECHNOLOGIES CORP		11,000,000	122.316	131.930	13,454.76				
Coupon Rate 5.700%, Matures 04/15/2040; CUSIP 913017BS7	7/16/14		122.316		13,454.76	14,512.30	1,057.54 LT		
	9/4/14	5,000,000	123.938	131.930	6,196.90	6,596.50	399.60 LT		
	6/4/15	16,000,000	118.321	131.930	18,931.36	21,108.80	2,177.44 LT		
	3/1/16	7,000,000	120.001	131.930	8,400.07	9,235.10	835.03 ST		
Total		34,000,000			46,882.89	55,452.70	4,370.68 LT 1,112.17 ST	5,482.87 1,112.17	4.80

Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Morgan Stanley

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Total		39,000,000			46,983.09 46,983.09	51,452.70	3,634.58 LT 835.03 ST	2,223.00 1,018.87	4.32
AT&T INC.									
Int. Semi-Annually Apr/Oct 15; Yield to Maturity 3.664%; Moody A3 S&P A-; Issued 02/26/10; Asset Class: FI & Pref									
Coupon Rate 5.350%; Matures 09/01/2040, CUSIP 04650NAB80	4/27/16	48,000,000	107.508 107.508	112.015	51,603.84 51,603.84	53,767.20	2,163.36 ST	2,568.00 206.86	4.77
JP MORGAN CHASE & CO									
Int. Semi-Annually Mar/Sep 01; Yield to Maturity 4.523%; Moody BAA1 S&P BBB+; Issued 03/01/11; Asset Class: FI & Pref									
Coupon Rate 5.400%; Matures 01/06/2042, CUSIP 48126BAA1	10/29/14	12,000,000	116.142 116.142	125.095	13,937.04 13,937.04	15,011.40	1,074.36 LT		
	4/27/15	23,000,000	120.139 120.139	125.095	27,631.97 27,631.97	28,771.85	1,139.88 LT		
	6/4/15	2,000,000	112.111 112.111	125.095	2,242.22 2,242.22	2,501.90	259.68 LT		
	6/4/15	5,000,000	112.111 112.111	125.095	5,605.55 5,605.55	6,254.75	649.20 LT		
	6/4/15	1,000,000	112.111 112.111	125.095	1,211.11 1,211.11	1,250.95	129.84 LT		
	5/17/16	7,000,000	122.697 122.697	125.095	8,588.79 8,588.79	8,756.65	167.86 ST		
Total		50,000,000			59,126.68 59,126.68	62,547.50	3,252.96 LT 167.86 ST	2,700.00 629.99	4.31
BHP BILLITON FIN USA LTD									
Int. Semi-Annually Jan/Jul 06; Yield to Maturity 3.839%; Moody A3 S&P A-; Issued 12/22/11; Asset Class: FI & Pref									
Coupon Rate 4.125%; Matures 02/24/2042, CUSIP 055451AR9	1/15/14	45,000,000	89.886 89.886	103.858	40,448.70 40,448.70	46,736.10	6,287.40 LT		
	6/4/15	2,000,000	93.668 93.668	103.858	1,873.36 1,873.36	2,077.16	203.80 LT		
	6/4/15	1,000,000	93.668 93.668	103.858	936.68 936.68	1,038.58	101.90 LT		
Total		48,000,000			43,258.74 43,258.74	49,851.84	6,593.10 LT	1,980.00 198.00	3.97
HOME DEPOT INC									
Int. Semi-Annually Feb/Aug 24; Yield to Maturity 3.884%; Moody A3 S&P A-; Issued 02/24/12; Asset Class: FI & Pref									
Coupon Rate 4.200%; Matures 04/01/2043, CUSIP 437076BA9	1/23/15	38,000,000	111.033 111.033	112.685	42,192.54 42,192.54	42,820.30	627.76 LT		
	5/17/16	7,000,000	108.724 108.724	112.685	7,610.68 7,610.68	7,887.95	277.27 ST		
	8/12/16	3,000,000	115.364 115.364	112.685	3,460.92 3,460.92	3,380.55	(80.37) ST		

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Total		48,000,000			53,264.14 53,264.14	54,088.80	627.76 LT 196.90 ST	2,016.00 1,002.39	3.72
TIME WARNER INC									
<i>Int. Semi-Annually Apr/Oct 01; Callable \$100.00 on 10/01/42; Yield to Call 3.457%; Moody A2 S&P A; Issued 04/05/13; Asset Class: FI & Pref</i>									
	7/24/14	44,000,000	98.266	108.283	43,237.04				
Coupon Rate 4.650%; Matures 06/01/2044; CUSIP 887317AU9	3/2/16	2,000,000	98.266	108.283	43,237.04	47,644.52	4,407.48 LT		
	3/2/16	1,000,000	90.245	108.283	1,804.90	2,165.66	360.76 ST		
			90.245		1,804.90				
	3/2/16	1,000,000	90.245	108.283	902.45	1,082.83	180.38 ST		
			90.245		902.45				
Total		47,000,000			45,944.39 45,944.39	50,893.01	4,407.48 LT 541.14 ST	2,186.00 722.42	4.29

<i>Int. Semi-Annually Jun/Dec 01; Callable \$100.00 on 12/01/43; Yield to Call 4.139%; Moody BAA2 S&P BBB; Issued 05/28/14; Asset Class: FI & Pref</i>									
EXXON MOBIL CORP	3/12/15	52,000,000	101.188	101.327	52,617.76				
Coupon Rate 3.567%; Matures 03/06/2045; CUSIP 30231GAN2			101.188		52,617.76	52,690.04	72.28 LT	1,855.00	3.52
<i>Int. Semi-Annually Mar/Sep 06; Callable \$100.00 on 09/06/44; Yield to Call 3.492%; Moody AAA S&P AA+; Issued 03/06/15; Asset Class: FI & Pref</i>									
VISA INC	5/19/16	43,000,000	109.513	115.567	47,090.59				
Coupon Rate 4.300%; Matures 12/14/2045; CUSIP 92826CAF9	8/12/16	4,000,000	109.513	115.567	47,090.59	49,693.81	2,603.22 ST		
			118.773		4,750.92	4,622.68	(128.24) ST		
			118.773		4,750.92				
Total		47,000,000			51,841.51 51,841.51	54,316.49	2,474.98 ST	2,021.00 595.07	3.72

Int. Semi-Annually Jun/Dec 14; Callable \$100.00 on 06/14/45; Yield to Call 3.442%; Moody A1 S&P A+; Issued 12/14/15; Asset Class: FI & Pref							
CORPORATE FIXED INCOME	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
		1,900,000,000	\$1,988,348.05 \$1,988,348.05	\$2,026,283.37	\$27,221.21 LT \$10,714.11 ST	\$66,583.00 \$17,152.94	3.29%

TOTAL CORPORATE FIXED INCOME
(includes accrued interest)

\$2,043,436.31

Account Detail

GOVERNMENT SECURITIES TREASURY SECURITIES

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE									
UNITED STATES TREASURY NOTE	2/3/16	120,500,000	\$102.445 \$102.445	\$101.426	\$123,446.59 \$123,446.59	\$122,218.33	\$(1,228.26) ST		
Coupon Rate 2.375%, Matures 07/31/2017; CUSIP 912828NR7	2/26/16	3,000,000	102.277 102.277	101.426	3,068.32 3,068.32	3,042.78	(25.54) ST		
	3/2/16	2,000,000	102.188 102.188	101.426	2,043.75 2,043.75	2,028.52	(15.23) ST		
	4/7/16	59,000,000	102.246 102.246	101.426	60,325.20 60,325.20	59,841.34	(483.86) ST		
	5/16/16	5,000,000	102.023 102.023	101.426	5,101.17 5,101.17	5,071.30	(29.87) ST		
	8/12/16	12,000,000	101.672 101.672	101.426	12,200.63 12,200.63	12,171.12	(29.51) ST		
Total		201,500,000			206,185.66 206,185.66	204,373.39	(1,812.27) ST	4,786.00 793.26	2.34
UNITED STATES TREASURY NOTE									
UNITED STATES TREASURY NOTE	3/18/14	80,000,000	104.512 104.512	102.664	83,609.36 83,609.36	82,131.20	(1,478.16) LT		
Coupon Rate 2.375%, Matures 05/31/2018; CUSIP 912828006	7/15/14	5,000,000	103.828 103.828	102.664	5,191.41 5,191.41	5,133.20	(58.21) LT		
	10/29/14	19,000,000	104.324 104.324	102.664	19,821.60 19,821.60	19,506.16	(315.44) LT		
	3/31/15	9,000,000	104.426 104.426	102.664	9,398.32 9,398.32	9,239.76	(158.56) LT		
	4/22/15	12,000,000	104.418 104.418	102.664	12,530.16 12,530.16	12,319.68	(210.48) LT		
	8/4/16	6,000,000	103.133 103.133	102.664	6,187.97 6,187.97	6,159.84	(28.13) ST		
Total		131,000,000			136,738.82 136,738.82	134,489.84	(2,220.85) LT (28.13) ST	3,111.00 1,037.08	2.31



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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE									
Coupon Rate 1.625%, Matures 06/30/2019, CUSIP 912828WSS	10/5/15	12,500,000	101.961 101.961	102.035	12,745.11 12,745.11	12,754.37	9.26 ST		
	10/6/15	35,000,000	101.883 101.883	102.035	35,658.98 35,658.98	35,712.24	53.26 ST		
	10/19/15	59,000,000	101.856 101.856	102.035	60,094.75 60,094.75	60,200.64	105.89 ST		
	10/27/15	8,000,000	101.840 101.840	102.035	8,147.18 8,147.18	8,162.79	15.61 ST		
	11/10/15	19,000,000	100.691 100.691	102.035	19,131.37 19,131.37	19,386.64	255.27 ST		
Total		133,500,000			135,777.39 135,777.39	136,216.72	439.29 ST	2,169.00 542.34	1.59
UNIT STATES TREASURY NOTE									
Int. Semi-Annually Jun/Dec 31; Yield to Maturity .874%, Moody AAA; Issued 06/30/14; Asset Class: FI & Pref	12/30/14	193,500,000	99.297 99.297	101.758	192,139.50 192,139.50	196,901.73	4,762.23 LT		
Coupon Rate 1.500%, Matures 10/31/2019, CUSIP 912828F62	5/16/16	10,000,000	101.566 101.566	101.758	10,156.64 10,156.64	10,175.80	19.16 ST		
	8/12/16	10,000,000	102.000 102.000	101.758	10,200.00 10,200.00	10,175.80	(24.20) ST		
Total		213,500,000			212,496.14 212,496.14	217,253.33	4,762.23 LT (5.04) ST	3,203.00 1,331.47	1.47
UNIT STATES TREASURY NOTE									
Int. Semi-Annually Apr/Oct 30; Yield to Maturity .921%, Moody AAA; Issued 10/31/14; Asset Class: FI & Pref	8/2/16	135,000,000	102.484 102.484	102.160	138,353.94 138,353.94	137,916.00	(437.94) ST	2,194.00 731.25	1.59
Coupon Rate 1.625%, Matures 11/30/2020, CUSIP 912828M98	4/7/16	40,000,000	109.535 109.535	108.805	43,814.08 43,814.08	181,704.35	1,376.91 ST		
Int. Semi-Annually May/Nov 31; Yield to Maturity 1.093%, Moody AAA; Issued 11/30/15; Asset Class: FI & Pref	4/15/16	5,000,000	109.254 109.254	108.805	5,462.70 5,462.70	43,522.00	(292.08) ST		
UNIT STATES TREASURY NOTE	5/26/16	3,000,000	108.359 108.359	108.805	3,250.78 3,250.78	5,440.25	(22.45) ST		
Coupon Rate 3.125%, Matures 05/15/2021, CUSIP 912828QN3	8/4/16	10,000,000	109.754 109.754	108.805	10,975.39 10,975.39	3,264.15	13.37 ST		
	8/12/16	11,000,000	109.453 109.453	108.805	12,039.84 12,039.84	10,880.50	(94.89) ST		
Total						11,968.55	(71.29) ST		



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THE KEITH HARING FOUNDATION, INC.
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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Total	Total	236,000,000			255,870.23 255,870.23	256,779.80	909.57 ST	7,375.00 2,765.62	2.87
<i>Int. Semi-Annually May/Nov 15; Yield to Maturity 1.164%, Moody AAA, Issued 05/15/11, Asset Class: FI & Pref</i>									
UNITED STATES TREASURY NOTE	4/22/15	12,000,000	99.113 99.113	101.805	11,893.59 11,893.59	12,216.60	323.01 LT		
Coupon Rate 1.625%, Matures 08/15/2022; CUSIP 912828TJ9	4/29/15	14,000,000	98.656 98.656	101.805	13,811.88 13,811.88	14,252.70	440.82 LT		
	4/29/15	2,000,000	98.656 98.656	101.805	1,973.13 1,973.13	2,036.10	62.97 LT		
	6/4/15	17,000,000	96.766 96.766	101.805	16,450.15 16,450.15	17,306.85	856.70 LT		
	6/24/15	2,000,000	96.625 96.625	101.805	1,932.50 1,932.50	2,036.10	103.60 LT		
	10/6/15	4,000,000	99.324 99.324	101.805	3,972.97 3,972.97	4,072.20	99.23 ST		
	10/19/15	11,000,000	99.324 99.324	101.805	10,925.66 10,925.66	11,198.55	272.89 ST		
	10/27/15	5,000,000	99.418 99.418	101.805	4,970.90 4,970.90	5,090.25	119.35 ST		
	11/10/15	10,000,000	97.328 97.328	101.805	9,732.81 9,732.81	10,180.50	447.69 ST		
	1/13/16	40,000,000	98.731 98.731	101.805	39,492.20 39,492.20	40,722.00	1,229.80 ST		
	2/18/16	5,000,000	100.926 100.926	101.805	5,046.29 5,046.29	5,090.25	43.96 ST		
	3/14/16	12,000,000	99.609 99.609	101.805	11,953.13 11,953.13	12,216.60	263.47 ST		
	3/14/16	88,000,000	99.609 99.609	101.805	87,656.27 87,656.27	89,588.40	1,932.13 ST		
Total	Total	222,000,000			219,811.48 219,811.48	226,007.10	1,787.10 LT 4,408.52 ST	3,608.00 450.93	1.59
<i>Int. Semi-Annually Feb/Aug 15; Yield to Maturity 1.305%, Moody AAA, Issued 08/15/12, Asset Class: FI & Pref</i>									
UNITED STATES TREASURY NOTE	10/5/15	13,000,000	99.457 99.457	102.246	12,929.41 12,929.41	13,291.98	362.57 ST		
Coupon Rate 1.750%, Matures 05/15/2023; CUSIP 912828V83	10/6/15	15,000,000	99.324 99.324	102.246	14,898.63 14,898.63	15,336.90	438.27 ST		
	10/19/15	21,000,000	99.449 99.449	102.246	20,884.33 20,884.33	21,471.66	587.33 ST		

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THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Account Detail

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
	10/27/15	4,000,000	99.445 99.445	102.246	3,977.81				
	11/10/15	6,000,000	97.285 97.285	102.246	5,837.11	6,134.76	297.65 ST		
Total		59,000,000			58,527.29	60,325.14	1,797.85 ST	1,033.00	1.71

Int. Semi-Annually May/Nov 15, Yield to Maturity 1.394%, Moody AAA; Issued 05/15/13; Asset Class: FI & Pref

UNITED STATES TREASURY NOTE

Coupon Rate 2.000%, Matures 02/15/2025, CUSIP 912828J27

	8/18/15	151,500,000	98.438 98.438	103.555	149,132.82	156,885.82	7,753.00 LT		
	5/16/16	5,000,000	102.406 102.406	103.555	5,120.32	5,177.74	57.42 ST		
	5/26/16	3,000,000	101.602 101.602	103.555	3,048.05	3,106.64	58.59 ST		
	9/20/16	150,000,000	103.063 103.063	103.555	154,593.75	155,332.49	738.74 ST		
Total		309,500,000			311,894.94	320,502.72	7,753.00 LT	6,190.00	1.93

Int. Semi-Annually Feb/Aug 15, Yield to Maturity 1.546%, Moody AAA; Issued 02/15/15; Asset Class: FI & Pref

UNITED STATES TREASURY BOND

Coupon Rate 4.250%, Matures 05/15/2039, CUSIP 9128100B7

	5/1/14	37,000,000	115.531 115.531	137.113	42,746.59	50,731.81	7,985.22 LT		
	7/15/14	3,000,000	116.703 116.703	137.113	3,501.09	4,113.39	612.30 LT		
	10/29/14	5,000,000	122.188 122.188	137.113	6,109.38	6,855.65	746.27 LT		
	4/22/15	7,000,000	130.070 130.070	137.113	9,104.92	9,597.91	492.99 LT		
	11/10/15	2,000,000	122.008 122.008	137.113	2,440.15	2,742.26	302.11 ST		
	11/10/15	1,000,000	122.008 122.008	137.113	1,220.08	1,371.13	151.05 ST		
	8/12/16	3,000,000	140.516 140.516	137.113	4,215.47	4,113.39	(102.08) ST		
Total		58,000,000			69,337.68	79,525.54	9,836.78 LT	2,465.00	3.09

Int. Semi-Annually May/Nov 15, Yield to Maturity 2.165%, Moody AAA; Issued 05/15/09; Asset Class: FI & Pref

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CLIENT STATEMENT | For the Period September 1-30, 2016

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN



Account Detail

Security Description	Trade Date	Face Value	Orig Unit Cost		Unit Price	Orig Total Cost		Market Value	Unrealized Gain/(Loss)	Est Ann Income		Current Yield %
			Adj Unit Cost			Adj Total Cost				Accrued Interest		
UNITED STATES TREASURY BOND-INFLATION INDEXED Coupon Rate 1.375%; Matures 02/15/2044, CUSIP 912810RF7	6/6/16	64,000,000	111.719		118.620	73,125.95		78,392.44	5,266.49 ST			
	8/12/16	3,000,000	119.563		118.620	3,702.91		3,674.64	(28.27) ST			
	Total	67,000,000				76,828.86		82,067.09	5,238.22 ST	951.00		1.15

Int. Semi-Annually Feb/Aug 15; Yield to Maturity .633%; Factor 1.03261000; Moody AAA, Issued 02/15/14; Current Face 69,184,870; Asset Class: FI & Pref

UNITED STATES TREASURY BOND Coupon Rate 3.375%; Matures 05/15/2044, CUSIP 912810RG5	11/10/15	10,000,000	105.891		122.012	10,589.06		12,201.20	1,612.14 ST			
	4/5/16	45,000,000	117.481		122.012	52,866.23		54,905.40	2,039.17 ST			
	5/16/16	5,000,000	116.484		122.012	5,824.22		6,100.60	276.38 ST			
	5/26/16	2,000,000	115.594		122.012	2,311.88		2,440.24	128.36 ST			
	8/12/16	3,000,000	124.914		122.012	3,747.42		3,660.36	(87.06) ST	2,194.00		2.76
	Total	65,000,000				75,338.81		79,307.80	3,968.99 ST	822.65		
						75,338.81						

Int. Semi-Annually May/Nov 15; Yield to Maturity 2.295%; Moody AAA, Issued 05/15/14; Asset Class: FI & Pref

TREASURY SECURITIES		1,831,000,000				\$1,897,161.24		\$1,934,764.47	\$21,918.26 LT	\$39,279.00		2.03%
						\$1,897,161.24			\$15,684.89 ST	\$10,678.81		

FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig Unit Cost		Unit Price	Orig Total Cost		Market Value	Unrealized Gain/(Loss)	Est Ann Income		Current Yield %
			Adj Unit Cost			Adj Total Cost				Accrued Interest		
FED HOME LN MTG CORP Coupon Rate 3.750%; Matures 03/27/2019, CUSIP 3137EACAS	4/22/15	3,000,000	\$109.649		\$106.888	\$3,289.47		\$3,206.64	\$(82.83) LT			
	4/29/15	21,000,000	109.483		106.888	22,991.49		22,446.48	(545.01) LT			
	6/3/15	5,000,000	108.578		106.888	5,428.91		5,344.40	(84.51) LT			
	10/6/15	4,000,000	108.976		106.888	4,359.05		4,275.52	(83.53) ST			
	10/19/15	9,000,000	108.897		106.888	9,800.73		9,619.92	(180.81) ST			
			108.897			9,800.73						

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
	4/15/16	5,000,000	108.117	106.888	5,405.85				
	5/26/16	3,000,000	107.421	106.888	3,222.63	5,344.40	(61.45) ST		
	9/19/16	50,000,000	106.954	106.888	53,477.00	3,206.64	(15.99) ST		
			106.954		53,477.00	53,444.00	(33.00) ST		
Total		100,000,000			107,975.13	106,888.00	(712.35) LT (374.78) ST	3,750.00	3.50

Int. Semi-Annually Mar/Sep 27; Yield to Maturity .946%; Moody AAA S&P AA +; Issued 03/27/09; Asset Class: FI & Pref

FED HOME LN MTG CORP MED TERM NOTE

Coupon Rate 2.375%; Matures 01/13/2022; CUSIP 3137EAD082

4/22/15	16,000,000	103.344	105.304	16,535.09	16,535.09	16,848.64	313.55 LT		
4/29/15	9,000,000	102.986	105.304	9,268.74	9,268.74	9,477.36	208.62 LT		
6/3/15	5,000,000	101.150	105.304	5,057.48	5,057.48	5,265.20	207.72 LT		
10/6/15	2,000,000	103.099	105.304	2,061.97	2,061.97	2,106.08	44.11 ST		
10/19/15	6,000,000	103.100	105.304	6,186.00	6,186.00	6,318.24	132.24 ST		
10/27/15	2,000,000	103.249	105.304	2,064.98	2,064.98	2,106.08	41.10 ST		
11/10/15	5,000,000	101.524	105.304	5,076.18	5,076.18	5,265.20	189.02 ST		
5/26/16	2,000,000	104.373	105.304	2,087.46	2,087.46	2,106.08	18.62 ST		
8/4/16	5,000,000	106.069	105.304	5,303.45	5,303.45	5,265.20	(38.25) ST		
Total	52,000,000			53,641.35	53,641.35	54,758.08	729.89 LT 386.84 ST	1,235.00	2.25

Int. Semi-Annually Jan/Jul 13; Yield to Maturity 1.333%; Moody AAA S&P AA +; Issued 01/13/12; Asset Class: FI & Pref

FED NATL MTG ASSN

Coupon Rate 2.625%; Matures 09/06/2024; CUSIP 3135G0Z87

9/22/15	93,000,000	101.600	107.053	94,488.00	94,488.00	99,559.29	5,071.29 LT		
5/26/16	3,000,000	105.151	107.053	3,154.52	3,154.52	3,211.59	57.07 ST		
8/4/16	5,000,000	107.595	107.053	5,379.74	5,379.74	5,352.65	(27.09) ST		

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CLIENT STATEMENT | For the Period September 1-30, 2016

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

Security Description	Trade Date	Face Value	Orig Unit Cost		Unit Price	INTERESTED PARTY COPY		Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
			Adj Unit Cost	Adj Unit Cost		Adj Total Cost	Market Value			
Total		101,000,000				103,022 26	108,123.53	5,071 29 LT	2,651 00	2 45
						103,022 26		29.98 ST	176 75	
<i>Int. Semi-Annually Mar/Sep 06; Yield to Maturity 1.672%; Moody AAA S&P AA+; Issued 09/08/14; Asset Class: FI & Pref</i>										
FHLMC 15 YR GOLD G18601	5/17/16	238,000 000	104 867	104 867	105 088	249,583 94			6,893 00	2 85
Coupon Rate 3.000%; Matures 05/01/2031; CUSIP 3128MMU35			104 867			240,967 33	241,474 68	507 35 ST	555 30	
<i>Interest Paid Monthly May 01; Yield to Maturity 2.579%; Factor .96547611; Issued 05/01/16; Current Face 229,783,314; Asset Class: FI & Pref</i>										
FHLMC 15 YR GOLD G18611	8/25/16	252,000 000	103 672	103 672	103 710	261,253 19			6,267 00	2 41
Coupon Rate 2.500%; Matures 08/01/2031; CUSIP 3128MMV02			103 672			259,899 48	259,994 99	95 51 ST	504 87	
<i>Interest Paid Monthly Aug 01; Yield to Maturity 2.205%; Factor .99481839; Issued 08/01/16; Current Face 250,694,234; Asset Class: FI & Pref</i>										
GOVERNMENT NATIONAL MTG ASSN POOL 487847	8/28/13	170,000 000	107 938	107 938	112 070	47,917 53	18,349 55	676 62 LT	819 00	4 46
Coupon Rate 5.000%; Matures 04/15/2039; CUSIP 362108566			107 938			17,672 93			65 94	
<i>Interest Paid Monthly Apr 01; Factor .09631354; Issued 04/01/09; Current Face 16,373,302; Asset Class: FI & Pref</i>										
GOVERNMENT NATIONAL MTG ASSN POOL MA1012	8/23/13	80,000 000	100 438	100 438	106 603	79,144 68	50,898 11	2,943 74 LT	1,734 00	3 28
Coupon Rate 3.500%; Matures 05/20/2043; CUSIP 36179NDR6			100 438			47,954 37			139 66	
	8/23/13	1,000 000	100 438	100 438	106 603	989 31	636 23	36 80 LT		
	8/23/13	1,000 000	100 438	100 438	106 603	989 31	636 23	36 80 LT		
	8/23/13	1,000 000	100 438	100 438	106 603	989 31	636 23	36 80 LT		
Total		83,000 000	100 438	100 438		82,112 61	52,806 80	3,054 14 LT	1,734 00	3 28
						49,752 66			139 66	
<i>Interest Paid Monthly May 01; Factor .59681858; Issued 05/01/13; Current Face 49,535,942; Asset Class: FI & Pref</i>										
FHLMC 30 YR GOLD G60384	1/19/16	285,000 000	108 766	108 766	109 449	309,981 99	261,847 57	1,634 97 ST		
Coupon Rate 4.500%; Matures 12/01/2045; CUSIP 31335AM96			108 766			260,212 60				
	1/19/16	1,000 000	108 766	108 766	109 449	1,087 65	918 76	573 ST		
	1/19/16	1,000 000	108 766	108 766	109 449	1,087 65	918 76	573 ST		
	1/19/16	1,000 000	108 766	108 766	109 449	1,087 65	918 76	573 ST		
Total		288,000 000	108 766	108 766		313,244 95	264,603 87	1,652 16 ST	10,879 00	4 11
						262,951 69			876 38	
<i>Interest Paid Monthly Jan 01; Yield to Maturity 3.951%; Factor .83944435; Issued 01/01/16; Current Face 241,759,973; Asset Class: FI & Pref</i>										
FHLMC 30 YR GOLD G08699	4/19/16	243,000 000	107 188	107 188	107 395	259,351 78	244,631 93	472 65 ST	9,111 00	3 72
Coupon Rate 4.000%; Matures 03/01/2046; CUSIP 3128MIX54			107 188			244,159 28			733 98	

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Account Detail

THE KEITH HARING FOUNDATION, INC.
C/O JULIA D. GRUEN

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Qng Unit Cost Adj Unit Cost	Unit Price Asset Class: FI & Pref	Qng Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
FEDERAL AGENCIES									
FHLMC 30 YR GOLD G6-044	6/7/16	115,000,000	105.930	106.757	119,028.32	117,060.97	907.15 ST	3,838.00	3.27
Coupon Rate 3.500%, Matures 03/01/2046; CUSIP 31333APZ5			105.930		116,153.82			309.15	
Interest Paid Monthly Feb 01; Yield to Maturity 3.146%, Factor 95349393; Issued 02/01/16; Current Face 109,651,802; Asset Class: FI & Pref									
		1,642,000,000			\$1,597,131.06			\$47,177.00	3.21%
					\$1,456,195.93	\$1,468,692.40	\$8,819.59 LT	\$3,657.42	
							\$3,676.86 ST		
GOVERNMENT SECURITIES									
	Percentage of Holdings	Face Value	Qng Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %		
		3,473,000,000	\$3,494,292.30	\$3,403,456.87	\$30,737.85 LT	\$86,456.00	2.54%		
			\$3,353,357.17		\$19,361.75 ST	\$14,336.23			

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
ABBVIE INC SHS	ABBV	04/11/16	2,264	58.7851	133,089.69	63.0700	142,790.48	9,700.79	5,162 3.61
		07/11/16	415	64.4511	26,747.21	63.0700	26,174.05	(573.16)	947 3.61
		07/12/16	446	64.8836	28,938.09	63.0700	28,129.22	(808.87)	1,017 3.61
Subtotal			3,125		188,774.99		197,093.75	8,318.76	7,126 3.61
ADOBE SYS DEL PV\$ 0 001	ADBE	08/25/15	330	76.6756	25,302.95	108.5400	35,818.20	10,515.25	
		08/26/15	10	76.1430	761.43	108.5400	1,085.40	323.97	
		04/11/16	36	93.7400	3,374.64	108.5400	3,907.44	532.80	
Subtotal			376		29,439.02		40,811.04	11,372.02	
AETNA INC NEW	AET	11/20/15	77	104.3998	8,038.79	115.4500	8,889.65	850.86	77 .86
		11/23/15	190	106.2016	20,178.32	115.4500	21,935.50	1,757.18	191 .86
		04/11/16	55	108.7921	5,983.57	115.4500	6,349.75	366.18	55 .86
Subtotal			322		34,200.68		37,174.90	2,974.22	323 .86
AKAMAI TECHNOLOGIES INC	AKAM	05/17/12	203	29.4094	5,970.11	52.9900	10,756.97	4,786.86	
		03/28/13	135	35.2957	4,764.92	52.9900	7,153.65	2,388.73	
		01/09/14	72	47.3163	3,406.78	52.9900	3,815.28	408.50	
		01/31/14	36	47.9569	1,726.45	52.9900	1,907.64	181.19	
		10/16/14	52	53.6598	2,790.31	52.9900	2,755.48	(34.83)	
		08/03/15	105	75.9503	7,974.79	52.9900	5,563.95	(2,410.84)	
		07/10/15	65	69.7001	4,530.51	52.9900	3,444.35	(1,086.16)	
		10/16/15	5	70.9040	354.52	52.9900	264.95	(89.57)	
		10/16/15	43	70.9200	3,049.56	52.9900	2,278.57	(770.99)	

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
AKAMAI TECHNOLOGIES INC	AKAM	11/10/15	10	61.7560	617.56	52.9900	529.90	(87.66)		
		11/10/15	11	61.7663	679.43	52.9900	582.89	(96.54)		
		04/11/16	233	51.2963	11,952.06	52.9900	12,346.67	394.61		
Subtotal			970		47,817.00		51,400.30	3,583.30		
ALEXION PHARMS INC	ALXN	01/28/16	83	147.5103	12,243.36	122.5400	10,170.82	(2,072.54)		
		02/01/16	25	142.7544	3,568.86	122.5400	3,063.50	(505.36)		
		04/11/16	27	149.2181	4,028.89	122.5400	3,308.58	(720.31)		
		06/20/16	67	125.8164	8,429.70	122.5400	8,210.18	(219.52)		
		06/21/16	44	123.9486	5,453.74	122.5400	5,391.76	(61.98)		
		06/27/16	16	112.3075	1,796.92	122.5400	1,960.64	163.72		
		09/08/16	39	129.1300	5,036.07	122.5400	4,779.06	(257.01)		
Subtotal			301		40,557.54		36,884.54	(3,673.00)		
ALLERGAN PLC	AGN	09/25/08	20	84.3290	1,686.58	230.3100	4,606.20	2,919.62		
		01/19/12	43	97.1372	4,176.90	230.3100	9,903.33	5,726.43		
		02/05/15	143	275.1020	39,339.60	230.3100	32,934.33	(6,405.27)		
		04/16/15	1	296.4500	296.45	230.3100	230.31	(66.14)		
		04/16/15	6	296.5016	1,779.01	230.3100	1,381.86	(397.15)		
		04/16/15	11	296.3081	3,259.39	230.3100	2,533.41	(725.98)		
		04/29/15	16	288.7868	4,620.59	230.3100	3,684.96	(935.63)		
		04/29/15	16	289.1512	4,626.42	230.3100	3,684.96	(941.46)		
		05/14/15	32	297.4690	9,519.01	230.3100	7,369.92	(2,149.09)		
		05/04/16	25	213.6460	5,341.15	230.3100	5,757.75	416.60		
Subtotal			313		74,645.10		72,087.03	(2,558.07)		
ALPHABET INC SHS CL C	GOOG	09/23/08	3	217.7166	653.15	777.2900	2,331.87	1,678.72		
		07/13/09	53	210.4050	11,151.47	777.2900	41,196.37	30,044.90		
		04/11/16	12	742.5666	8,910.80	777.2900	9,327.48	416.68		
		06/23/16	21	698.0414	14,658.87	777.2900	16,323.09	1,664.22		
Subtotal			89		35,374.29		69,178.81	33,804.52		

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
ALPHABET INC SHS	CL A	GOOGL	09/23/08	2	218.4150	436.83	804.0600	1,608.12	1,171.29		
			07/13/09	51	212.2290	10,823.68	804.0600	41,007.06	30,183.38		
	Subtotal			53		11,260.51		42,615.18	31,354.67		
ALTRIA GROUP INC		MO	04/11/16	2,844	63.4547	180,465.17	63.2300	179,828.12	(639.05)	6,940	3.85
AMAZON COM INC COM		AMZN	01/19/12	15	193.7013	2,905.52	837.3100	12,559.65	9,654.13		
			11/15/12	45	219.2371	9,885.67	837.3100	37,678.95	27,813.28		
			01/09/14	4	399.3100	1,597.24	837.3100	3,349.24	1,752.00		
			01/31/14	27	364.5300	9,842.31	837.3100	22,607.37	12,765.06		
			04/25/14	15	307.9960	4,619.94	837.3100	12,559.65	7,939.71		
			04/28/14	7	290.8914	2,036.24	837.3100	5,861.17	3,824.93		
			04/11/16	16	601.3787	9,622.06	837.3100	13,396.96	3,774.90		
	Subtotal			129		40,488.98		108,012.99	67,524.01		
AMC NETWORKS INC SHS		AMCX	09/15/06	44	22.1561	974.87	51.8600	2,281.84	1,306.97		
CL A			03/27/08	124	19.7660	2,450.99	51.8600	6,430.64	3,979.65		
			01/19/12	1	21.0700	21.07	51.8600	51.86	30.79		
			01/19/12	1	41.9100	41.91	51.8600	51.86	9.95		
			10/16/14	2	55.3100	110.62	51.8600	103.72	(6.90)		
			04/11/16	36	64.1800	2,310.48	51.8600	1,866.96	(443.52)		
	Subtotal			208		5,909.94		10,786.88	4,876.94		
AMER EXPRESS COMPANY		AXP	07/06/15	81	77.5832	6,284.24	64.0400	5,187.24	(1,097.00)	104	1.99
			07/07/15	164	77.2362	12,666.74	64.0400	10,502.56	(2,164.18)	210	1.99
			07/08/15	91	76.3516	6,948.00	64.0400	5,827.64	(1,120.36)	117	1.99
			07/27/15	161	74.7334	12,032.08	64.0400	10,310.44	(1,721.64)	207	1.99
			04/11/16	93	59.9659	5,578.83	64.0400	5,955.72	378.89	120	1.99
	Subtotal			590		43,507.89		37,783.60	(5,724.29)	758	1.99
AMN ELEC POWER CO		AEP	04/11/16	860	65.7371	56,533.91	64.2100	55,220.60	(1,313.31)	1,927	3.48



U.S. TRUST
Bank of America Private Wealth Management

EQUITY

Merrill Lynch
Bank of America Corporation

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ANADARKO PETE CORP	APC	04/11/12	19	74.5957	1,417.32	63.3600	1,203.84	(213.48)	4	.31
		06/01/12	71	59.0846	4,195.01	63.3600	4,498.56	303.55	15	.31
		10/16/14	5	88.7920	443.96	63.3600	316.80	(127.16)	1	.31
		11/04/14	14	88.9992	1,245.99	63.3600	887.04	(358.95)	3	.31
		11/13/14	16	89.5837	1,433.34	63.3600	1,013.76	(419.58)	4	.31
		04/16/15	44	94.2945	4,148.96	63.3600	2,787.84	(1,361.12)	9	.31
		04/29/15	33	94.0100	3,102.33	63.3600	2,090.88	(1,011.45)	7	.31
		05/14/15	533	84.6006	45,092.12	63.3600	33,770.88	(11,321.24)	107	.31
		06/03/15	13	84.2692	1,095.50	63.3600	823.68	(271.82)	3	.31
		06/03/15	121	84.2744	10,197.21	63.3600	7,666.56	(2,530.65)	25	.31
		07/10/15	115	75.9798	8,737.68	63.3600	7,286.40	(1,451.28)	23	.31
		08/24/15	181	66.2634	11,993.68	63.3600	11,468.16	(525.52)	37	.31
		11/10/15	57	63.7150	3,631.76	63.3600	3,611.52	(20.24)	12	.31
		11/10/15	118	63.7150	7,518.37	63.3600	7,476.48	(41.89)	24	.31
		04/11/16	44	47.6206	2,095.31	63.3600	2,787.84	692.53	9	.31
Subtotal			1,384		106,348.54		87,690.24	(18,658.30)	283	.31
ANHEUSER-BUSCH INBEV ADR	BUD	08/18/14	11	110.6890	1,217.58	131.4100	1,445.51	227.93	36	2.45
		08/29/14	15	111.4000	1,671.00	131.4100	1,971.15	300.15	49	2.45
		08/29/14	69	111.3946	7,686.23	131.4100	9,067.29	1,381.06	223	2.45
		09/02/14	12	111.4500	1,337.40	131.4100	1,576.92	239.52	39	2.45
		09/02/14	36	111.4497	4,012.19	131.4100	4,730.76	718.57	117	2.45
		09/03/14	9	112.1900	1,009.71	131.4100	1,182.69	172.98	30	2.45
		09/03/14	23	112.1665	2,579.83	131.4100	3,022.43	442.60	75	2.45
		10/16/14	17	103.7576	1,763.88	131.4100	2,233.97	470.09	55	2.45
		10/16/14	52	103.7998	5,397.59	131.4100	6,833.32	1,435.73	168	2.45
		12/10/14	86	113.6993	9,778.14	131.4100	11,301.26	1,523.12	278	2.45
Subtotal			330		36,453.55		43,365.30	6,911.75	1,070	2.45

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EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
APPLE INC	AAPL	01/29/15 02/23/15	45 277	118.6131 132.4494	5,337.59 36,688.51	113.0500 113.0500	5,087.25 31,314.85	(250.34) (5,373.66)	103 632	2.01 2.01
Subtotal			322		42,026.10		36,402.10	(5,624.00)	735	2.01
ASTRAZENECA PLC SPND ADR	AZN	07/21/16 07/22/16 08/02/16	831 750 719	30.5332 30.6128 34.0557	25,373.17 22,959.60 24,486.05	32.8600 32.8600 32.8600	27,306.66 24,645.00 23,626.34	1,933.49 1,685.40 (859.71)	1,139 1,028 986	4.16 4.16 4.16
Subtotal			2,300		72,818.82		75,578.00	2,759.18	3,153	4.16
AT&T INC	T	04/11/16	5,680	38.4467	218,377.26	40.6100	230,684.80	12,287.54	10,906	4.72
AUTODESK INC DEL PV\$0.01	ADSK	05/20/10 08/10/11 08/28/12 05/14/15 04/11/16	55 224 79 269 70	28.9649 28.6937 31.4548 58.7988 57.4894	1,593.07 6,427.41 2,484.93 15,816.88 4,024.26	72.3300 72.3300 72.3300 72.3300 72.3300	3,978.15 16,201.92 5,714.07 19,456.77 5,063.10	2,385.08 9,774.51 3,229.14 3,639.89 1,038.84		
Subtotal			697		30,346.55		50,414.01	20,067.46		
BCE INC	BCE	04/11/16 05/17/16 07/11/16 07/12/16	3,505 451 103 459	46.0371 46.5484 47.1930 47.7050	161,360.04 20,993.33 4,860.88 21,896.60	46.1800 46.1800 46.1800 46.1800	161,860.90 20,827.18 4,756.54 21,196.62	500.86 (166.15) (104.34) (699.98)	7,347 946 216 963	4.53 4.53 4.53 4.53
Subtotal			4,518		209,110.85		208,641.24	(469.61)	9,472	4.53
BIAGEN INC	BIIB	09/28/09 09/28/09 09/28/09 04/25/14 04/25/14 10/13/14 10/13/14 10/15/14 10/15/14 10/16/14	42 50 143 8 17 19 21 4 16 2	51.0766 51.0768 51.0767 292.5337 292.5335 311.2257 311.2257 305.4600 305.4600 303.7500	2,145.22 2,553.84 7,303.97 2,340.27 4,973.07 5,913.29 6,535.74 1,221.84 4,887.36 607.50	313.0300 313.0300 313.0300 313.0300 313.0300 313.0300 313.0300 313.0300 313.0300 313.0300	13,147.26 15,651.50 44,763.29 2,504.24 5,321.51 5,947.57 6,573.63 1,252.12 5,008.48 626.06	11,002.04 13,097.66 37,459.32 163.97 348.44 34.28 37.89 30.28 121.12 18.56		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
BIogen INC	BIB	10/16/14	3	303.8033	911.41	313.0300	939.09	27.68		
		04/16/15	1	428.4200	428.42	313.0300	313.03	(115.39)		
		04/16/15	9	428.4422	3,855.98	313.0300	2,817.27	(1,038.71)		
		04/16/15	15	428.4500	6,426.75	313.0300	4,695.45	(1,731.30)		
		04/29/15	21	386.0047	8,106.10	313.0300	6,573.63	(1,532.47)		
		04/29/15	20	385.9935	7,719.87	313.0300	6,260.60	(1,459.27)		
		04/11/16	63	265.6641	16,736.84	313.0300	19,720.89	2,984.05		
Subtotal			454		82,667.47		142,115.62	59,448.15		
BLACKROCK INC	BLK	05/21/10	49	164.2648	8,048.98	362.4600	17,760.54	9,711.56	449	252
		11/10/10	26	166.3857	4,326.03	362.4600	9,423.96	5,097.93	239	252
		01/19/12	36	186.9552	6,730.39	362.4600	13,048.56	6,318.17	330	252
		01/31/14	10	301.4400	3,014.40	362.4600	3,624.60	610.20	92	252
		08/29/14	8	329.9700	2,639.76	362.4600	2,899.68	259.92	74	252
		09/02/14	6	328.2700	1,969.62	362.4600	2,174.76	205.14	55	252
		09/03/14	4	330.7000	1,322.80	362.4600	1,449.84	127.04	37	252
		10/16/14	5	309.8420	1,549.21	362.4600	1,812.30	263.09	46	252
Subtotal			144		29,601.19		52,194.24	22,593.05	1,322	252
BP PLC	BP	04/11/16	2,913	30.4591	88,727.36	35.1600	102,421.08	13,693.72	6,933	6.76
BRISTOL-MYERS SQUIBB CO	BM	09/18/13	127	46.1573	5,861.98	53.9200	6,847.84	985.86	194	281
		03/24/14	61	51.0778	3,115.75	53.9200	3,289.12	173.37	93	281
		04/16/14	80	48.9397	3,915.18	53.9200	4,313.60	398.42	122	281
		07/02/14	52	48.1892	2,505.84	53.9200	2,803.84	298.00	80	281
		07/02/14	103	48.1893	4,963.50	53.9200	5,553.76	590.26	157	281
		08/29/14	9	50.3855	453.47	53.9200	485.28	31.81	14	281
		09/02/14	4	50.4975	201.99	53.9200	215.68	13.69	7	281
		09/02/14	12	50.4966	605.96	53.9200	647.04	41.08	19	281
		04/11/16	83	66.2800	5,501.24	53.9200	4,475.36	(1,025.88)	127	281
Subtotal			531		27,124.91		28,631.52	1,506.61	813	281

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
BROADCOM LTD	AVGO	07/23/13	10	71.5600	715.60	172.5200	1,725.20	1,009.60	21 1.18
		08/12/13	51	59.0062	3,009.32	172.5200	8,798.52	5,789.20	105 1.18
		08/12/13	66	58.3469	3,850.90	172.5200	11,386.32	7,535.42	135 1.18
		08/12/13	69	58.7672	4,054.94	172.5200	11,903.88	7,848.94	141 1.18
		08/29/14	7	100.3057	702.14	172.5200	1,207.64	505.50	15 1.18
		09/02/14	9	95.2277	857.05	172.5200	1,552.68	695.63	19 1.18
		09/03/14	2	99.7200	199.44	172.5200	345.04	145.60	5 1.18
		10/16/14	29	81.2779	2,357.06	172.5200	5,003.08	2,646.02	60 1.18
		04/29/15	5	116.1340	580.67	172.5200	862.60	281.93	11 1.18
		05/14/15	2	107.3100	214.62	172.5200	345.04	130.42	5 1.18
		04/11/16	5	156.7500	783.75	172.5200	862.60	78.85	11 1.18
Subtotal			255		17,325.49		43,992.60	26,667.11	528 1.18
CELGENE CORP COM	CELG	08/11/10	235	27.7380	6,518.43	104.5300	24,564.55	18,046.12	
		08/11/10	92	27.7380	2,551.90	104.5300	9,616.76	7,064.86	
		02/04/11	34	25.4841	866.46	104.5300	3,554.02	2,687.56	
		02/04/11	143	25.4839	3,644.21	104.5300	14,947.79	11,303.58	
		04/11/16	120	104.8795	12,585.54	104.5300	12,543.60	(41.94)	
Subtotal			624		26,166.54		65,226.72	39,060.18	
CHEVRON CORP	CVX	04/11/16	838	95.8775	80,345.35	102.9200	86,246.96	5,901.61	3,587 4.15
CITRIX SYSTEMS INC COM	CTXS	04/05/13	80	68.7487	5,499.90	85.2200	6,817.60	1,317.70	
		04/25/13	6	64.2550	385.53	85.2200	511.32	125.79	
		04/25/13	39	64.2546	2,505.93	85.2200	3,323.58	817.65	
		04/30/13	7	62.7628	439.34	85.2200	596.54	157.20	
		04/30/13	13	62.7630	815.92	85.2200	1,107.86	291.94	
		10/09/13	91	67.3325	6,127.26	85.2200	7,755.02	1,627.76	
		10/09/13	101	67.3324	6,800.58	85.2200	8,607.22	1,806.64	
		12/04/13	32	59.8900	1,916.48	85.2200	2,727.04	810.56	
		12/04/13	63	59.8901	3,773.08	85.2200	5,368.86	1,595.78	
		01/31/14	21	54.4476	1,143.40	85.2200	1,789.62	646.22	

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U.S. TRUST
Bank of America Private Wealth Management

EQUITY



Merrill Lynch
Bank of America Corporation

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
CITRIX SYSTEMS INC COM	CTXS	01/31/14	41	54.4487	2,232.40	85.2200	3,494.02	1,261.62		
		04/16/15	1	65.3600	65.36	85.2200	85.22	19.86		
		04/16/15	53	65.3737	3,464.81	85.2200	4,516.66	1,051.85		
		04/16/15	54	65.3803	3,530.54	85.2200	4,601.88	1,071.34		
		04/11/16	113	79.0679	8,934.68	85.2200	9,629.86	695.18		
Subtotal			715		47,635.21		60,932.30	13,297.09		
COCA COLA COM	KO	09/28/09	618	26.6949	16,497.48	42.3200	26,153.76	9,656.28	866	3.30
		01/19/12	106	33.6830	3,570.40	42.3200	4,485.92	915.52	149	3.30
		02/27/15	104	43.4145	4,515.11	42.3200	4,401.28	(113.83)	146	3.30
		02/27/15	1,371	43.4145	59,521.28	42.3200	58,020.72	(1,500.56)	1,920	3.30
		04/16/15	194	40.8151	7,918.13	42.3200	8,210.08	291.95	272	3.30
		04/16/15	213	40.8169	8,694.00	42.3200	9,014.16	320.16	299	3.30
		08/02/16	626	43.4460	27,197.20	42.3200	26,492.32	(704.88)	877	3.30
Subtotal			3,232		127,913.60		136,778.24	8,864.64	4,529	3.30
COMCAST CORP NEW CL A	CMCSA	05/26/11	41	23.5031	963.63	66.3400	2,719.94	1,756.31	46	1.65
		05/26/11	186	23.5031	4,371.58	66.3400	12,339.24	7,967.66	205	1.65
		05/26/11	452	23.5030	10,623.39	66.3400	29,985.68	19,362.29	498	1.65
		06/17/11	221	22.3690	4,943.55	66.3400	14,661.14	9,717.59	244	1.65
		06/17/11	221	22.3690	4,943.55	66.3400	14,661.14	9,717.59	244	1.65
		06/17/11	537	22.3689	12,012.15	66.3400	35,624.58	23,612.43	591	1.65
		08/17/11	259	21.1576	5,479.82	66.3400	17,182.06	11,702.24	285	1.65
		08/17/11	259	21.1576	5,479.82	66.3400	17,182.06	11,702.24	285	1.65
		08/17/11	227	21.1575	4,802.77	66.3400	15,059.18	10,256.41	250	1.65
Subtotal			2,403		53,620.26		159,415.02	105,794.76	2,648	1.65
CONSOLIDATED EDISON INC	ED	04/11/16	697	75.6846	52,752.17	75.3000	52,484.10	(268.07)	1,868	3.55

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EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
CREE INC	CREE	10/16/14	117	32.9860	3,859.37	25.7200	3,009.24	(850.13)		
		11/11/14	25	33.7808	844.52	25.7200	643.00	(201.52)		
		04/16/15	2	35.7750	71.55	25.7200	51.44	(20.11)		
		04/16/15	79	35.7750	2,826.23	25.7200	2,031.88	(794.35)		
		04/29/15	147	32.4900	4,776.03	25.7200	3,780.84	(995.19)		
		05/14/15	182	29.9297	5,447.22	25.7200	4,681.04	(766.18)		
Subtotal			552		17,824.92		14,197.44	(3,627.48)		
CROWN CASTLE REIT INC	CCI	05/17/16	508	90.0025	45,721.32	94.2100	47,858.68	2,137.36	1,799	3.75
SHS		07/21/16	258	98.1896	25,332.92	94.2100	24,306.18	(1,026.74)	914	3.75
Subtotal			766		71,054.24		72,164.86	1,110.62	2,713	3.75
CVS HEALTH CORP	CVS	06/03/15	35	102.0151	3,570.53	88.9900	3,114.65	(455.88)	60	1.91
		10/05/15	678	101.5965	68,882.43	88.9900	60,335.22	(8,547.21)	1,153	1.91
Subtotal			713		72,452.96		63,449.87	(9,003.09)	1,213	1.91
DISCOVERY COMMUNICATN INC SERIES A	DISCA	05/12/15	390	32.0587	12,502.93	26.9200	10,498.80	(2,004.13)		
		05/14/15	248	31.3650	7,778.54	26.9200	6,676.16	(1,102.38)		
		06/03/15	3	33.9600	101.88	26.9200	80.76	(21.12)		
		06/03/15	11	33.9454	373.40	26.9200	296.12	(77.28)		
		09/02/15	1	26.4800	26.48	26.9200	26.92	.44		
		04/11/16	56	28.3498	1,587.59	26.9200	1,507.52	(80.07)		
Subtotal			709		22,370.82		19,086.28	(3,284.54)		
DISNEY (WALT) CO COM STK	DIS	08/28/13	190	60.9894	11,587.99	92.8600	17,643.40	6,055.41	270	1.52
		09/04/13	325	61.1095	19,860.59	92.8600	30,179.50	10,318.91	462	1.52
		08/30/16	50	94.9822	4,749.11	92.8600	4,643.00	(106.11)	71	1.52
Subtotal			565		36,197.69		52,465.90	16,268.21	803	1.52

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
	DOLBY LABORATORIES INC	DLB	08/05/11	66	31.0539	2,049.56	54.2900	3,583.14	1,533.58	32	88
	CL A		12/07/11	9	32.2855	290.57	54.2900	488.61	198.04	5	88
			01/19/12	4	34.6075	138.43	54.2900	217.16	78.73	2	88
			08/07/12	229	33.7910	7,738.14	54.2900	12,432.41	4,694.27	110	88
	Subtotal			308		10,216.70		16,721.32	6,504.62	149	88
	DOMINION RES INC NEW VA	D	04/11/16	1,321	73.0067	96,441.98	74.2700	98,110.87	1,668.89	3,699	3.77
	DUKE ENERGY CORP NEW	DUK	04/11/16	1,908	79.6649	152,000.63	80.0400	152,716.32	715.69	6,526	4.27
	EBAY INC COM	EBAY	03/13/07	606	12.0613	7,309.20	32.9000	19,937.40	12,628.20		
			03/27/08	300	12.1897	3,656.92	32.9000	9,870.00	6,213.08		
			01/19/12	35	12.3460	432.11	32.9000	1,151.50	719.39		
			04/11/16	161	24.1750	3,892.18	32.9000	5,296.90	1,404.72		
	Subtotal			1,102		15,290.41		36,255.80	20,965.39		
	ECOLAB INC	ECL	12/17/14	107	104.2267	11,152.26	121.7200	13,024.04	1,871.78	150	1.15
			04/16/15	33	117.2600	3,869.58	121.7200	4,016.76	147.18	47	1.15
			04/29/15	82	114.1903	9,363.61	121.7200	9,981.04	617.43	115	1.15
			06/03/15	1	116.3900	116.39	121.7200	121.72	5.33	2	1.15
			06/03/15	89	116.4391	10,363.08	121.7200	10,833.08	470.00	125	1.15
			09/01/15	45	105.5944	4,751.75	121.7200	5,477.40	725.65	63	1.15
	Subtotal			357		39,616.67		43,454.04	3,837.37	502	1.15
	EXXON MOBIL CORP COM	XOM	12/30/08	193	79.1075	15,267.75	87.2800	16,845.04	1,577.29	579	3.43
			02/26/09	50	72.1118	3,605.59	87.2800	4,364.00	758.41	150	3.43
			10/08/09	200	68.8270	13,765.40	87.2800	17,456.00	3,690.60	600	3.43
			01/28/10	120	64.9930	7,799.16	87.2800	10,473.60	2,674.44	360	3.43
			05/07/10	465	64.4684	29,977.81	87.2800	40,585.20	10,607.39	1,395	3.43
			08/10/10	390	61.8880	24,136.32	87.2800	34,039.20	9,902.88	1,170	3.43

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
EXXON MOBIL CORP COM	XOM	11/08/10	250	70.2071	17,551.78	87.2800	21,820.00	4,268.22	750 3.43
		08/04/11	180	74.7223	13,450.03	87.2800	15,710.40	2,260.37	540 3.43
		01/31/14	23	92.7052	2,132.22	87.2800	2,007.44	(124.78)	69 3.43
Subtotal			1,871		127,686.06		163,300.88	35,614.82	5,613 3.43
FACEBOOK INC	FB	06/06/13	348	22.9286	7,979.16	128.2700	44,637.96	36,658.80	
CLASS A COMMON STOCK		04/11/16	63	109.5698	6,902.90	128.2700	8,081.01	1,178.11	
Subtotal			411		14,882.06		52,718.97	37,836.91	
FLUOR CORP NEW DEL COM	FLR	11/20/08	177	30.4694	5,393.09	51.3200	9,083.64	3,690.55	149 1.63
		02/26/09	70	35.5531	2,488.72	51.3200	3,592.40	1,103.68	59 1.63
		10/04/11	125	46.1584	5,769.80	51.3200	6,415.00	645.20	105 1.63
		06/21/12	11	47.0154	517.17	51.3200	564.52	47.35	10 1.63
		09/02/14	7	73.6685	515.68	51.3200	359.24	(156.44)	6 1.63
		09/03/14	13	73.4784	955.22	51.3200	667.16	(288.06)	11 1.63
		10/16/14	3	61.1833	183.55	51.3200	153.96	(29.59)	3 1.63
		10/16/14	61	61.1609	3,730.82	51.3200	3,130.52	(600.30)	52 1.63
		04/16/15	108	60.3099	6,513.47	51.3200	5,542.56	(970.91)	91 1.63
		04/29/15	21	61.2828	1,286.94	51.3200	1,077.72	(209.22)	18 1.63
		05/14/15	176	60.0069	10,561.23	51.3200	9,032.32	(1,528.91)	148 1.63
Subtotal			772		37,915.69		39,619.04	1,703.35	852 1.63
FORTINET INC	FTNT	04/11/16	199	30.2498	6,019.73	36.9300	7,349.07	1,329.34	
		05/04/16	51	32.0821	1,636.19	36.9300	1,883.43	247.24	
		05/06/16	59	31.7415	1,872.75	36.9300	2,178.87	306.12	
		05/13/16	61	32.4165	1,977.41	36.9300	2,252.73	275.32	
		05/19/16	52	33.2126	1,727.06	36.9300	1,920.36	193.30	
		05/31/16	60	34.1233	2,047.40	36.9300	2,215.80	168.40	
Subtotal			482		15,280.54		17,800.26	2,519.72	

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued): Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
FREEPORT-MCMORAN INC	FCX	06/03/15	32	20.0371	641.19	10.8600	347.52	(293.67)	7	1.84
		06/03/15	304	20.0372	6,091.31	10.8600	3,301.44	(2,789.87)	61	1.84
		07/10/15	292	16.8267	4,913.40	10.8600	3,171.12	(1,742.28)	59	1.84
		09/02/15	1,309	9.7397	12,749.27	10.8600	14,215.74	1,466.47	262	1.84
		09/22/15	818	10.4704	8,564.79	10.8600	8,883.48	318.69	164	1.84
		09/12/16	1,337	10.7054	14,313.25	10.8600	14,519.82	206.57	268	1.84
Subtotal			4,092		47,273.21		44,439.12	(2,834.09)	821	1.84
GENERAL ELECTRIC	GE	01/31/14	243	25.2869	6,144.74	29.6200	7,197.66	1,052.92	224	3.10
		02/05/14	29	24.4393	708.74	29.6200	858.98	150.24	27	3.10
		02/05/14	148	24.4393	3,617.02	29.6200	4,383.76	766.74	137	3.10
		08/29/14	110	25.9066	2,849.73	29.6200	3,258.20	408.47	102	3.10
		08/29/14	557	25.9068	14,430.09	29.6200	16,498.34	2,068.25	513	3.10
		09/02/14	43	25.8369	1,110.99	29.6200	1,273.66	162.67	40	3.10
		09/02/14	6	25.8400	155.04	29.6200	177.72	22.68	6	3.10
Subtotal			1,136		29,016.35		33,648.32	4,631.97	1,049	3.10
GENERAL MILLS	GIS	04/11/16	675	62.8298	42,410.18	63.8800	43,119.00	708.82	1,296	3.00
GLAXOSMITHKLINE PLC ADR	GSK	04/11/16	4,007	41.7972	167,481.78	43.1300	172,821.91	5,340.13	8,752	5.06
HOME DEPOT INC	HD	08/09/11	59	29.2206	1,724.02	128.6800	7,592.12	5,868.10	163	2.14
		01/19/12	15	44.9760	674.64	128.6800	1,930.20	1,255.56	42	2.14
		01/19/12	20	44.9760	899.52	128.6800	2,573.60	1,674.08	56	2.14
		10/11/13	16	76.1556	1,218.49	128.6800	2,058.88	840.39	45	2.14
		10/11/13	184	76.1555	14,012.63	128.6800	23,677.12	9,664.49	508	2.14
		01/31/14	51	77.0598	3,930.05	128.6800	6,562.68	2,632.63	141	2.14
		01/31/14	67	77.0750	5,164.03	128.6800	8,621.56	3,457.53	185	2.14
		03/21/14	49	81.0632	3,972.10	128.6800	6,305.32	2,333.22	136	2.14
Subtotal			461		31,595.48		59,321.48	27,726.00	1,276	2.14

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
IMMUNOGEN INC	IMGN	10/14/10	10	7.3900	73.90	2.6800	26.80	(47.10)		
		10/15/10	39	7.7376	301.77	2.6800	104.52	(197.25)		
		10/18/10	202	7.7691	1,569.36	2.6800	541.36	(1,028.00)		
		10/19/10	114	7.6951	877.25	2.6800	305.52	(571.73)		
		10/20/10	53	7.8771	417.49	2.6800	142.04	(275.45)		
		10/21/10	27	7.8814	212.80	2.6800	72.36	(140.44)		
		10/22/10	7	7.9714	55.80	2.6800	18.76	(37.04)		
		10/26/10	52	8.0067	416.35	2.6800	139.36	(276.99)		
		08/29/14	189	11.7859	2,227.55	2.6800	506.52	(1,721.03)		
		09/03/14	34	11.9705	407.00	2.6800	91.12	(315.88)		
		10/16/14	46	8.3208	382.76	2.6800	123.28	(259.48)		
		10/16/14	260	8.3208	2,163.41	2.6800	696.80	(1,466.61)		
		04/16/15	1	10.0900	10.09	2.6800	2.68	(7.41)		
		04/16/15	1	10.0900	10.09	2.6800	2.68	(7.41)		
		04/29/15	283	8.6400	2,445.12	2.6800	758.44	(1,686.68)		
		05/14/15	152	8.6151	1,309.50	2.6800	407.36	(902.14)		
Subtotal			1,470		12,880.24		3,939.60	(8,940.64)		
IONIS PHARMACEUTICALS SHS	IONS	02/04/11	23	8.8078	202.58	36.6400	842.72	640.14		
		01/19/12	171	8.0174	1,370.99	36.6400	6,285.44	4,894.45		
		08/29/14	40	40.4682	1,618.73	36.6400	1,465.60	(153.13)		
		09/02/14	7	40.5128	283.59	36.6400	256.48	(27.11)		
		09/03/14	4	40.5300	162.12	36.6400	146.56	(15.56)		
		05/14/15	82	62.2045	5,100.77	36.6400	3,004.48	(2,096.29)		
		06/03/15	5	68.4320	332.16	36.6400	183.20	(148.96)		
		07/10/15	76	53.3235	4,052.59	36.6400	2,784.64	(1,267.95)		
		09/02/15	11	50.9581	560.54	36.6400	403.04	(157.50)		
		10/16/15	1	44.3700	44.37	36.6400	36.64	(7.73)		
		10/16/15	75	44.3536	3,326.52	36.6400	2,748.00	(578.52)		
Subtotal			495		17,054.96		18,136.60	1,081.64		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
JOHNSON AND JOHNSON COM	JNJ	09/09/09	217	60.9499	13,226.13	118.1300	25,634.21	12,408.08	695	2.70
		05/27/10	97	59.4685	5,768.45	118.1300	11,458.61	5,690.16	311	2.70
		05/27/10	158	59.4684	9,396.02	118.1300	18,564.54	9,268.52	506	2.70
		08/16/10	154	57.7600	8,895.04	118.1300	18,192.02	9,296.98	493	2.70
		08/16/10	251	57.7600	14,497.76	118.1300	29,650.63	15,152.87	804	2.70
		01/19/12	72	64.9980	4,679.86	118.1300	8,505.36	3,825.50	231	2.70
		01/19/12	119	64.9979	7,734.76	118.1300	14,057.47	6,322.71	381	2.70
		01/09/14	10	94.7370	947.37	118.1300	1,181.30	233.93	32	2.70
Subtotal			1,078		65,145.39		127,344.14	62,198.75	3,453	2.70
JOHNSON CONTROLS INTER	JCI	09/15/06	248	21.5781	5,351.38	46.5300	11,539.44	6,188.06	213	1.84
		03/27/08	95	23.3963	2,222.65	46.5300	4,420.35	2,197.70	82	1.84
		09/25/08	244	19.1237	4,666.20	46.5300	11,353.32	6,687.12	210	1.84
		01/19/12	28	25.5982	716.75	46.5300	1,302.84	586.09	25	1.84
		11/15/12	103	27.8188	2,865.34	46.5300	4,792.59	1,927.25	89	1.84
		04/16/15	1	42.6700	42.67	46.5300	46.53	3.86	1	1.84
		04/16/15	48	45.5064	2,184.31	46.5300	2,233.44	49.13	42	1.84
		04/29/15	108	41.9940	4,535.36	46.5300	5,025.24	489.88	93	1.84
		05/14/15	72	41.5526	2,991.79	46.5300	3,350.16	358.37	62	1.84
		04/11/16	128	37.5818	4,810.48	46.5300	5,955.84	1,145.36	110	1.84
Subtotal			1,075		30,386.93		50,019.75	19,632.82	927	1.84
KIMBERLY CLARK	KMB	11/08/10	183	60.1130	11,000.69	126.1400	23,083.62	12,082.93	674	2.91
		04/01/11	289	62.7191	18,125.82	126.1400	36,454.46	18,328.64	1,064	2.91
		08/22/13	368	90.9144	33,456.53	126.1400	46,419.52	12,962.99	1,355	2.91
		04/11/16	77	138.1064	10,634.20	126.1400	9,712.78	(921.42)	284	2.91
Subtotal			917		73,217.24		115,670.38	42,453.14	3,377	2.91
KRAFT (THE) HEINZ CO SHS	KHC	04/11/16	866	78.2654	67,777.92	89.5100	77,515.66	9,737.74	2,079	2.68

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
L-3 COMMNCTNS HLDGS	LLL	09/15/06	235	73.2479	17,213.26	150.7300	35,421.55	18,208.29	658 1.85
		12/20/06	30	77.7376	2,332.13	150.7300	4,521.90	2,189.77	84 1.85
		09/25/08	20	96.1830	1,923.66	150.7300	3,014.60	1,090.94	56 1.85
		01/19/12	90	68.0063	6,120.57	150.7300	13,565.70	7,445.13	252 1.85
		04/29/15	27	121.7081	3,286.12	150.7300	4,069.71	783.59	76 1.85
		05/14/15	17	119.6511	2,034.07	150.7300	2,562.41	528.34	48 1.85
		04/11/16	25	120.0800	3,002.00	150.7300	3,768.25	766.25	70 1.85
Subtotal			444		35,911.81		66,924.12	31,012.31	1,244 1.85
LIBERTY BROADBAND CORP SHS SERIES SER C-CL C	LBRDK	09/15/06	40	3.8042	152.17	71.4800	2,859.20	2,707.03	
		09/25/08	25	4.2004	105.01	71.4800	1,787.00	1,681.99	
		05/14/15	5	51.6780	258.39	71.4800	357.40	99.01	
Subtotal			70		515.57		5,003.60	4,488.03	
LIBERTY BROADBAND CORP SHS SERIES SER A-CL A	LBRDA	09/15/06	21	3.7676	79.12	70.1600	1,473.36	1,394.24	
		09/25/08	12	4.4108	52.93	70.1600	841.92	788.99	
		05/14/15	2	51.6400	103.28	70.1600	140.32	37.04	
Subtotal			35		235.33		2,455.60	2,220.27	
LIBERTY INTERACTIVE CORP QVC GROUP	QVCA	09/25/08	702	10.1862	7,150.74	20.0100	14,047.02	6,896.28	
		01/19/12	45	12.7284	572.78	20.0100	900.45	327.67	
		10/16/14	61	20.1642	1,230.02	20.0100	1,220.61	(9.41)	
		04/29/15	43	28.7483	1,236.18	20.0100	860.43	(375.75)	
		05/14/15	128	28.4464	3,641.15	20.0100	2,561.28	(1,079.87)	
		04/11/16	51	25.8368	1,317.68	20.0100	1,020.51	(297.17)	
Subtotal			1,030		15,148.55		20,610.30	5,461.75	



U.S. TRUST
Bank of America Private Wealth Management

EQUITY



U.S. TRUST
Bank of America Private Wealth Management

Merrill Lynch
Bank of America Corporation

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
LIBERTY INTERACTIVE SRS	LVNTA	09/25/08	92	12.2498	1,126.99	39.8700	3,668.04	2,541.05		
A LIBERTY VENTURES SRS A		01/19/12	6	15.0766	90.46	39.8700	239.22	148.76		
		10/16/14	8	24.2850	194.28	39.8700	318.96	124.68		
		04/29/15	2	38.3400	76.68	39.8700	79.74	3.06		
		05/14/15	6	38.4666	230.80	39.8700	239.22	8.42		
		04/11/16	9	34.3466	309.12	39.8700	358.83	49.71		
Subtotal			123		2,028.33		4,904.01	2,875.68		
LIBERTY MEDIA CORP DEL	LSXMA	09/15/06	108	2.2802	246.27	33.9800	3,669.84	3,423.57		
COM SER A SIRIUSXM GROUP		09/25/08	50	2.5174	125.87	33.9800	1,699.00	1,573.13		
		05/14/15	16	29.5950	473.52	33.9800	543.68	70.16		
Subtotal			174		845.66		5,912.52	5,066.86		
LIBERTY MEDIA CORP DEL	BATRA	09/15/06	10	2.0540	20.54	17.4500	174.50	153.96		
COM SER A BRAVES GROUP		09/25/08	5	2.2680	11.34	17.4500	87.25	75.91		
		05/14/15	2	16.8150	33.63	17.4500	34.90	1.27		
Subtotal			17		65.51		296.65	231.14		
LIBERTY MEDIA CORP DEL	LMCA	09/15/06	27	1.6988	45.87	28.6500	773.55	727.68		
COM SER A MEDIA GROUP		09/25/08	12	1.8750	22.50	28.6500	343.80	321.30		
		05/14/15	4	22.0525	88.21	28.6500	114.60	26.39		
Subtotal			43		156.58		1,231.95	1,075.37		
LIBERTY MEDIA CORP DEL	LSXMK	09/15/06	219	2.2063	483.18	33.4100	7,316.79	6,833.61		
COM SER C SIRIUSXM GROUP		09/25/08	100	2.4359	243.59	33.4100	3,341.00	3,097.41		
		05/14/15	37	29.8467	1,104.33	33.4100	1,236.17	131.84		
Subtotal			356		1,831.10		11,893.96	10,062.86		
LIBERTY MEDIA CORP DEL	BATRK	09/15/06	21	1.6280	34.19	17.3800	364.98	330.79		
COM SER C BRAVES GROUP		09/25/08	10	1.7980	17.98	17.3800	173.80	155.82		
		05/14/15	4	17.4400	69.76	17.3800	69.52	(0.24)		
Subtotal			35		121.93		608.30	486.37		

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
LIBERTY MEDIA CORP DEL	LMCK	09/15/06	54	1.6411	88.62	28.1400	1,519.56	1,430.94		
COM SER C MEDIA GROUP		09/25/08	25	1.8116	45.29	28.1400	703.50	658.21		
		05/14/15	10	20.6580	206.58	28.1400	281.40	74.82		
Subtotal			89		340.49		2,504.46	2,163.97		
MCDONALDS CORP COM	MCD	10/14/15	525	104.1568	54,682.32	115.3600	60,564.00	5,881.68	1,975	3.25
		04/11/16	768	128.1248	98,399.92	115.3600	88,596.48	(9,803.44)	2,888	3.25
Subtotal			1,293		153,082.24		149,160.48	(3,921.76)	4,863	3.25
MEDTRONIC PLC SHS	MDT	01/27/15	238	76.2106	18,138.13	86.4000	20,563.20	2,425.07	410	1.99
		04/11/16	25	75.9600	1,899.00	86.4000	2,160.00	261.00	43	1.99
Subtotal			263		20,037.13		22,723.20	2,686.07	453	1.99
MERCK AND CO INC SHS	MRK	05/24/13	1,402	46.9035	65,758.71	62.4100	87,498.82	21,740.11	2,580	2.94
		06/21/13	505	47.0726	23,771.71	62.4100	31,517.05	7,745.34	930	2.94
		11/12/13	356	47.4889	16,906.05	62.4100	22,217.96	5,311.91	656	2.94
		04/11/16	616	55.2899	34,058.58	62.4100	38,444.56	4,385.98	1,134	2.94
		04/13/16	407	55.8734	22,740.51	62.4100	25,400.87	2,660.36	749	2.94
Subtotal			3,286		163,235.56		205,079.26	41,843.70	6,049	2.94
MICROSOFT CORP	MSFT	08/10/10	102	25.0789	2,558.05	57.6000	5,875.20	3,317.15	160	2.70
		11/08/10	226	26.7680	6,049.57	57.6000	13,017.60	6,968.03	353	2.70
		11/08/10	549	26.7679	14,695.63	57.6000	31,622.40	16,926.77	857	2.70
		11/28/11	142	24.8550	3,529.41	57.6000	8,179.20	4,649.79	222	2.70
		11/28/11	169	24.8550	4,200.50	57.6000	9,734.40	5,533.90	264	2.70
Subtotal			1,188		31,033.16		68,428.80	37,395.64	1,856	2.70
MONSANTO CO NEW DEL COM	MON	02/05/10	17	76.5405	1,301.19	102.2000	1,737.40	436.21	37	2.11
		05/07/10	100	58.9630	5,896.30	102.2000	10,220.00	4,323.70	216	2.11
		10/04/10	100	47.6828	4,768.28	102.2000	10,220.00	5,451.72	216	2.11
		01/19/12	14	80.1771	1,122.48	102.2000	1,430.80	308.32	31	2.11
		11/15/12	74	84.5567	6,257.20	102.2000	7,562.80	1,305.60	160	2.11
		01/31/14	19	106.4900	2,023.31	102.2000	1,941.80	(81.51)	42	2.11
		08/29/14	17	115.6229	1,965.59	102.2000	1,737.40	(228.19)	37	2.11

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
MONSANTO CO NEW DEL COM	MON	09/02/14	15	114.8726	1,723.09	102.2000	1,533.00	(190.09)	33	2.11
		09/03/14	1	115.6200	115.62	102.2000	102.20	(13.42)	3	2.11
		04/11/16	103	87.0699	8,968.20	102.2000	10,526.60	1,558.40	223	2.11
Subtotal			460		34,141.26		47,012.00	12,870.74	998	2.11
NASDAQ OMX GRP INC	NDAQ	07/09/08	118	24.8610	2,933.60	67.5400	7,969.72	5,036.12	152	1.89
		05/21/10	230	18.5860	4,274.80	67.5400	15,534.20	11,259.40	295	1.89
		01/19/12	49	25.1879	1,234.21	67.5400	3,309.46	2,075.25	63	1.89
		04/11/16	70	64.5552	4,518.87	67.5400	4,727.80	208.93	90	1.89
Subtotal			467		12,961.48		31,541.18	18,579.70	600	1.89
NATIONAL GRID PLC SPADR	NGG	04/11/16	2,796	71.0696	198,710.88	71.1100	198,823.56	112.68	8,797	4.42
NATIONAL OILWELL VARCO INC	NOV	10/24/08	158	21.6247	3,416.71	36.7400	5,804.92	2,388.21	32	.54
		01/19/12	22	67.9531	1,494.97	36.7400	808.28	(686.69)	5	.54
		06/21/12	1	59.2800	59.28	36.7400	36.74	(22.54)	1	.54
		12/11/12	18	61.0127	1,098.23	36.7400	661.32	(436.91)	4	.54
		01/09/14	4	69.2575	277.03	36.7400	146.96	(130.07)	1	.54
		01/31/14	19	67.2705	1,278.14	36.7400	698.06	(580.08)	4	.54
		09/02/14	7	84.4057	590.84	36.7400	257.18	(333.66)	2	.54
		09/03/14	5	85.3660	426.83	36.7400	183.70	(243.13)	1	.54
		10/16/14	41	70.9812	2,910.23	36.7400	1,506.34	(1,403.89)	9	.54
		11/11/14	5	73.1200	365.60	36.7400	183.70	(181.90)	1	.54
		04/16/15	137	56.1299	7,689.80	36.7400	5,033.38	(2,656.42)	28	.54
		04/29/15	43	52.6748	2,265.02	36.7400	1,579.82	(685.20)	9	.54
		05/14/15	318	51.1872	16,277.56	36.7400	11,683.32	(4,594.24)	64	.54
		06/03/15	13	49.9800	649.74	36.7400	477.62	(172.12)	3	.54
		06/03/15	15	49.9753	749.63	36.7400	551.10	(198.53)	3	.54
		04/11/16	166	27.4360	4,554.39	36.7400	6,098.84	1,544.45	34	.54
Subtotal			972		44,104.00		35,711.28	(8,392.72)	201	.54

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016- September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
NOW INC SHS	DNOW	10/24/08	8	9 4862	75.89	21.4300	171.44	95.55	
		01/19/12	5	33 6200	168.10	21.4300	107.15	(60.95)	
		12/11/12	4	30 8725	123.49	21.4300	85.72	(37.77)	
		01/09/14	1	31.1500	31.15	21.4300	21.43	(9.72)	
		01/31/14	5	28.7440	143.72	21.4300	107.15	(36.57)	
		08/29/14	231	33.0016	7,623.37	21.4300	4,950.33	(2,673.04)	
		09/02/14	9	32.8500	295.65	21.4300	192.87	(102.78)	
		09/03/14	9	32.8366	295.53	21.4300	192.87	(102.66)	
		10/16/14	40	28.0635	1,122.54	21.4300	857.20	(265.34)	
		11/11/14	18	28.1166	506.10	21.4300	385.74	(120.36)	
		04/16/15	155	23 0383	3,570.94	21.4300	3,321.65	(249.29)	
		05/14/15	276	25.2197	6,960.66	21.4300	5,914.68	(1,045.98)	
		06/03/15	31	23 4545	727.09	21.4300	664.33	(62.76)	
Subtotal			792		21,644.23		16,972.56	(4,671.67)	
NUANCE COMMUNICATIONS IN	NUAN	03/14/14	72	15 5394	1,118.84	14 5000	1,044.00	(74.84)	
		03/18/14	56	16.1000	901.60	14.5000	812.00	(89.60)	
		03/20/14	131	16.4642	2,156.82	14.5000	1,899.50	(257.32)	
		03/24/14	255	16 6556	4,247.20	14 5000	3,697.50	(549.70)	
		08/29/14	40	17.0170	680.68	14 5000	580.00	(100.68)	
		09/02/14	19	16.8768	320.66	14 5000	275.50	(45.16)	
		09/03/14	16	16 9075	270.52	14 5000	232.00	(38.52)	
		10/01/14	80	15.2482	1,219.86	14 5000	1,160.00	(59.86)	
		10/16/14	13	14.1130	183.47	14 5000	188.50	5.03	
		04/16/15	1	14.2500	14.25	14 5000	14.50	.25	
		04/16/15	20	14.2500	285.00	14 5000	290.00	5.00	
Subtotal			703		11,398.90		10,193.50	(1,205.40)	

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U.S. TRUST
Bank of America Private Wealth Management

EQUITY

Merrill Lynch
Bank of America Corporation

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
				Cost Basis	Yield					
NUCOR CORPORATION	NUE	02/18/09	162	38.9500		6,309.90	49.4500	8,010.90	1,701.00	243 3.03
		09/10/09	43	46.7837		2,011.70	49.4500	2,126.35	114.65	65 3.03
		09/11/09	27	47.0988		1,271.67	49.4500	1,335.15	63.48	41 3.03
		11/08/10	130	40.2280		5,229.64	49.4500	6,428.50	1,198.86	195 3.03
		01/19/12	93	42.8269		3,982.91	49.4500	4,598.85	615.94	140 3.03
		01/31/14	21	48.4500		1,017.45	49.4500	1,038.45	21.00	32 3.03
		08/29/14	10	54.1230		541.23	49.4500	494.50	(46.73)	15 3.03
		09/02/14	14	54.0971		757.36	49.4500	692.30	(65.06)	21 3.03
		09/03/14	7	55.0871		385.61	49.4500	346.15	(39.46)	11 3.03
		10/16/14	37	49.3940		1,827.58	49.4500	1,829.65	2.07	56 3.03
Subtotal		04/16/15	148	47.8152		7,076.65	49.4500	7,318.60	241.95	222 3.03
		04/29/15	12	49.4883		593.86	49.4500	593.40	(0.46)	18 3.03
		05/14/15	132	49.5561		6,541.41	49.4500	6,527.40	(14.01)	198 3.03
			836			37,546.97		41,340.20	3,793.23	1,257 3.03
PALO ALTO NETWORKS INC COM	PANW	06/24/16	81	122.5104		9,923.35	159.3300	12,905.73	2,982.38	
		06/27/16	41	116.8134		4,789.35	159.3300	6,532.53	1,743.18	
		06/29/16	44	123.3640		5,428.02	159.3300	7,010.52	1,582.50	
		09/01/16	29	139.3651		4,041.59	159.3300	4,620.57	578.98	
			195			24,182.31		31,069.35	6,887.04	
Subtotal										
PAYPAL HOLDINGS INC SHS	PYPL	03/13/07	606	18.6518		11,303.00	40.9700	24,827.82	13,524.82	
		03/27/08	227	18.8502		4,279.01	40.9700	9,300.19	5,021.18	
		04/11/16	81	38.2371		3,097.21	40.9700	3,318.57	221.36	
			914			18,679.22		37,446.58	18,767.36	
Subtotal										
PENTAIR PLC SHS	PNR	09/25/08	3	27.2900		81.87	64.2400	192.72	110.85	5 2.11
		09/25/08	61	27.2908		1,664.74	64.2400	3,918.64	2,253.90	83 2.11
		01/19/12	7	37.4742		262.32	64.2400	449.68	187.36	10 2.11
		01/19/12	7	37.4742		262.32	64.2400	449.68	187.36	10 2.11
		11/15/12	76	43.4180		3,299.77	64.2400	4,882.24	1,582.47	104 2.11
		11/15/12	76	43.4180		3,299.77	64.2400	4,882.24	1,582.47	104 2.11

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EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
PENTAIR PLC SHS		PNR	10/16/14	4	61.8825	247.53	64.2400	256.96	9.43		6 2.11
			04/16/15	36	64.9072	2,336.66	64.2400	2,312.64	(24.02)		49 2.11
			04/29/15	23	62.9326	1,447.45	64.2400	1,477.52	30.07		32 2.11
			05/14/15	81	64.2371	5,203.21	64.2400	5,203.44	.23		111 2.11
			04/11/16	12	52.0000	624.00	64.2400	770.88	146.88		17 2.11
Subtotal				386		18,729.64		24,796.64	6,067.00		531 2.11
PEPSICO INC		PEP	01/19/12	8	66.0075	528.06	108.7700	870.16	342.10		25 2.76
			01/19/12	82	66.0080	5,412.66	108.7700	8,919.14	3,506.48		247 2.76
			01/31/14	15	80.3700	1,205.55	108.7700	1,631.55	426.00		46 2.76
			01/31/14	32	80.4300	2,573.76	108.7700	3,480.64	906.88		97 2.76
			08/29/14	13	92.1769	1,198.30	108.7700	1,414.01	215.71		40 2.76
			09/02/14	15	92.7466	1,391.20	108.7700	1,631.55	240.35		46 2.76
			09/03/14	22	92.3881	2,032.54	108.7700	2,392.94	360.40		67 2.76
			12/10/14	150	97.1950	14,579.25	108.7700	16,315.50	1,736.25		452 2.76
			12/18/14	161	94.5200	15,217.72	108.7700	17,511.97	2,294.25		485 2.76
Subtotal				498		44,139.04		54,167.46	10,028.42		1,505 2.76
PHILIP MORRIS INTL INC		PM	04/11/16	2,002	100.6799	201,561.16	97.2200	194,634.44	(6,926.72)		8,329 4.27
PPL CORPORATION		PPL	04/11/16	2,997	37.3209	111,850.74	34.5700	103,606.29	(8,244.45)		4,556 4.39
PROCTER & GAMBLE CO		PG	11/09/10	232	64.8653	15,048.75	89.7500	20,822.00	5,773.25		622 2.98
			01/19/12	2	66.0900	132.18	89.7500	179.50	47.32		6 2.98
			01/19/12	20	66.0880	1,321.76	89.7500	1,795.00	473.24		54 2.98
			01/09/14	102	80.3464	8,195.34	89.7500	9,154.50	959.16		274 2.98
			01/31/14	58	76.8868	4,459.44	89.7500	5,205.50	746.06		156 2.98
			04/11/16	1,558	83.0060	129,323.50	89.7500	139,830.50	10,507.00		4,173 2.98
Subtotal				1,972		158,480.97		176,987.00	18,506.03		5,285 2.98
REALTY INCM CRP MD PWS1 REIT			04/11/16	784	63.1564	49,514.69	66.9300	52,473.12	2,958.43		1,901 3.62

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
	RED HAT INC	RHT	11/18/13	42	46.5000	1,953.00	80.8300	3,394.86	1,441.86		
			11/21/13	95	46.9997	4,464.98	80.8300	7,678.85	3,213.87		
			12/06/13	110	46.6962	5,136.59	80.8300	8,891.30	3,754.71		
			03/13/14	70	57.3951	4,017.66	80.8300	5,658.10	1,640.44		
			04/07/14	125	50.4848	6,310.61	80.8300	10,103.75	3,793.14		
			04/10/14	40	50.0840	2,003.36	80.8300	3,233.20	1,229.84		
			05/13/14	27	49.5040	1,336.61	80.8300	2,182.41	845.80		
			04/11/16	34	73.3900	2,495.26	80.8300	2,748.22	252.96		
	Subtotal			543		27,718.07		43,890.69	16,172.62		
	REGENERON PHARMACTCLS	REGN	07/28/14	34	299.9623	10,198.72	402.0200	13,668.68	3,469.96		
			07/30/14	7	319.9928	2,239.95	402.0200	2,814.14	574.19		
			08/29/14	4	349.0700	1,396.28	402.0200	1,608.08	211.80		
			09/03/14	1	361.7400	361.74	402.0200	402.02	40.28		
			10/16/14	6	340.3683	2,042.21	402.0200	2,412.12	369.91		
			04/16/15	1	457.0600	457.06	402.0200	402.02	(55.04)		
			04/11/16	4	395.8400	1,583.36	402.0200	1,608.08	24.72		
	Subtotal			57		18,279.32		22,915.14	4,635.82		
	REYNOLDS AMERICAN INC	RAI	04/11/16	2,746	50.9971	140,038.04	47.1500	129,473.90	(10,564.14)	5,053	3.90
	ROCKWELL COLLINS INC	COL	11/19/15	89	91.5724	8,149.95	84.3400	7,506.26	(643.69)	118	1.56
			11/20/15	87	93.6298	8,145.80	84.3400	7,337.58	(808.22)	115	1.56
			11/23/15	84	94.0507	7,900.26	84.3400	7,084.56	(815.70)	111	1.56
			11/24/15	85	94.0101	7,990.86	84.3400	7,168.90	(821.96)	113	1.56
			04/11/16	38	91.2365	3,466.99	84.3400	3,204.92	(262.07)	51	1.56
	Subtotal			383		35,653.86		32,302.22	(3,351.64)	508	1.56

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
SANOFI ADR	SNY	04/11/16	1,848	42.5317	78,598.75	38.1900	70,575.12	(8,023.63)	2,078	2.94
		04/13/16	458	43.3296	19,845.00	38.1900	17,491.02	(2,353.98)	515	2.94
		04/14/16	827	43.8102	36,231.08	38.1900	31,583.13	(4,647.95)	930	2.94
		07/11/16	151	41.5771	6,278.15	38.1900	5,766.69	(511.46)	170	2.94
		07/12/16	740	42.1359	31,180.57	38.1900	28,260.60	(2,919.97)	832	2.94
		07/13/16	420	42.2994	17,765.76	38.1900	16,039.80	(1,725.96)	473	2.94
		07/21/16	160	41.9996	6,719.95	38.1900	6,110.40	(609.55)	180	2.94
		07/22/16	157	42.3064	6,642.11	38.1900	5,995.83	(646.28)	177	2.94
Subtotal			4,761		203,261.37		181,822.59	(21,438.78)	5,355	2.94
SCHLUMBERGER LTD	SLB	01/09/14	20	86.1400	1,722.80	78.6400	1,572.80	(150.00)	40	2.54
		01/31/14	2	87.9800	175.96	78.6400	157.28	(18.68)	4	2.54
		01/31/14	20	88.0755	1,761.51	78.6400	1,572.80	(188.71)	40	2.54
		01/31/14	103	88.0299	9,067.08	78.6400	8,099.92	(967.16)	206	2.54
		08/29/14	4	109.5475	438.19	78.6400	314.56	(123.63)	8	2.54
		08/29/14	47	109.5965	5,151.04	78.6400	3,696.08	(1,454.96)	94	2.54
		09/02/14	24	107.9900	2,591.76	78.6400	1,887.36	(704.40)	48	2.54
		09/02/14	34	107.9700	3,670.98	78.6400	2,673.76	(997.22)	68	2.54
		09/02/14	57	107.9764	6,154.66	78.6400	4,482.48	(1,672.18)	114	2.54
		09/03/14	15	108.6400	1,629.60	78.6400	1,179.60	(450.00)	30	2.54
		09/03/14	19	108.6600	2,064.54	78.6400	1,494.16	(570.38)	38	2.54
		09/03/14	43	108.6265	4,670.94	78.6400	3,381.52	(1,289.42)	86	2.54
		10/16/14	114	90.1384	10,275.78	78.6400	8,964.96	(1,310.82)	228	2.54
		10/16/14	94	90.4826	8,505.37	78.6400	7,392.16	(1,113.21)	188	2.54
		04/05/16	168	72.1200	12,116.16	78.6400	13,211.52	1,095.36	336	2.54
Subtotal			764		69,996.37		60,080.96	(9,915.41)	1,528	2.54

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U.S. TRUST
Bank of America Private Wealth Management

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Merrill Lynch
Bank of America Corporation

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
SCHWAB CHARLES CORP NEW	SCHW	08/26/09	347	18.1099	6,284.14	31.5700	10,954.79	4,670.65	98	.88
		08/11/10	157	14.7091	2,309.33	31.5700	4,956.49	2,647.16	44	.88
		01/19/12	67	12.4380	833.35	31.5700	2,115.19	1,281.84	19	.88
		01/19/12	154	12.4379	1,915.45	31.5700	4,861.78	2,946.33	44	.88
		01/31/14	11	24.9654	274.62	31.5700	347.27	72.65	4	.88
		09/02/14	6	28.5366	171.22	31.5700	189.42	18.20	2	.88
		04/16/15	11	30.5563	336.12	31.5700	347.27	11.15	4	.88
		04/29/15	64	30.8271	1,972.94	31.5700	2,020.48	47.54	18	.88
		06/03/15	122	32.4167	3,954.84	31.5700	3,851.54	(103.30)	35	.88
		09/02/15	41	29.2065	1,197.47	31.5700	1,294.37	96.90	12	.88
		01/20/16	21	25.0557	526.17	31.5700	662.97	136.80	6	.88
		04/11/16	107	27.2065	2,911.10	31.5700	3,377.99	466.89	30	.88
Subtotal			1,108		22,686.75		34,979.56	12,292.81	316	.88
SEAGATE TECH PLC SHS	STX	08/18/10	221	11.1716	2,468.94	38.5500	8,519.55	6,050.61	557	6.53
		08/23/10	325	10.9604	3,562.13	38.5500	12,528.75	8,966.62	819	6.53
		01/09/14	12	57.6650	691.98	38.5500	462.60	(229.38)	31	6.53
		01/31/14	64	53.1179	3,399.55	38.5500	2,467.20	(932.35)	162	6.53
		09/02/14	1	61.3600	61.36	38.5500	38.55	(22.81)	3	6.53
		09/03/14	20	61.8340	1,236.68	38.5500	771.00	(465.68)	51	6.53
		10/16/14	94	52.2491	4,911.42	38.5500	3,623.70	(1,287.72)	237	6.53
		04/16/15	1	56.0600	56.06	38.5500	38.55	(17.51)	3	6.53
		04/16/15	89	56.0804	4,991.16	38.5500	3,430.95	(1,560.21)	225	6.53
		04/29/15	13	58.4323	759.62	38.5500	501.15	(258.47)	33	6.53
		05/14/15	568	57.2298	32,506.53	38.5500	21,896.40	(10,610.13)	1,432	6.53
		06/03/15	94	55.6495	5,231.06	38.5500	3,623.70	(1,607.36)	237	6.53
Subtotal			1,502		59,876.49		57,902.10	(1,974.39)	3,790	6.53
SOUTHERN COMPANY	SO	04/11/16	2,917	50.6572	147,767.34	51.3000	149,842.10	1,874.76	6,535	4.36

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
STARZ SERIES A	STRZA	09/15/06	111	1.3028	144.62	31.1900	3,462.09	3,317.47		
		09/25/08	50	1.4384	71.92	31.1900	1,559.50	1,487.58		
		11/11/14	1	31.1400	31.14	31.1900	31.19	.05		
		05/14/15	75	39.1802	2,938.52	31.1900	2,339.25	(599.27)		
		04/11/16	30	25.0400	751.20	31.1900	935.70	184.50		
Subtotal			267		3,937.40		8,327.73	4,390.33		
TE CONNECTIVITY LTD REG SHS	TEL	09/15/06	320	31.0539	9,937.26	64.3800	20,601.60	10,664.34	474	2.29
		11/08/07	218	33.0370	7,202.07	64.3800	14,034.84	6,832.77	323	2.29
		11/09/07	182	32.8690	5,982.16	64.3800	11,717.16	5,735.00	270	2.29
		01/19/12	28	36.6085	1,025.04	64.3800	1,802.64	777.60	42	2.29
		06/21/12	2	31.7400	63.48	64.3800	128.76	65.28	3	2.29
		05/14/15	107	70.0670	7,497.17	64.3800	6,888.66	(608.51)	159	2.29
Subtotal			857		31,707.18		55,173.66	23,466.48	1,271	2.29
TEXAS INSTRUMENTS	TXN	10/05/11	381	27.4124	10,444.16	70.1800	26,738.58	16,294.42	580	2.16
		01/09/14	6	42.9866	257.92	70.1800	421.08	163.16	10	2.16
		01/31/14	19	42.3300	804.27	70.1800	1,333.42	529.15	29	2.16
		08/29/14	14	48.1964	674.75	70.1800	982.52	307.77	22	2.16
		08/29/14	14	48.2050	674.87	70.1800	982.52	307.65	22	2.16
		09/02/14	22	47.7677	1,050.89	70.1800	1,543.96	493.07	34	2.16
		09/02/14	38	47.7663	1,815.12	70.1800	2,666.84	851.72	58	2.16
		09/03/14	13	48.2600	627.38	70.1800	912.34	284.96	20	2.16
		09/03/14	7	48.2571	337.80	70.1800	491.26	153.46	11	2.16
Subtotal			514		16,687.16		36,072.52	19,385.36	786	2.16
THERMO FISHER SCIENTIFIC INC	TMO	07/10/12	148	52.5385	7,775.70	159.0600	23,540.88	15,765.18	89	.37
		10/25/12	104	61.5712	6,403.41	159.0600	16,542.24	10,138.83	63	.37
		04/11/16	30	142.3550	4,270.65	159.0600	4,771.80	501.15	18	.37
Subtotal			282		18,449.76		44,854.92	26,405.16	170	.37



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
TWENTY-FIRST CENTURY FOX INC CLASS A	FOXA	07/18/14	260	32.9475	8,566.35	24.2200	6,297.20	(2,269.15)	94	1.48
		07/25/14	165	32.9958	5,444.32	24.2200	3,996.30	(1,448.02)	60	1.48
		08/29/14	1	35.4100	35.41	24.2200	24.22	(11.19)	1	1.48
		09/02/14	7	35.9271	251.49	24.2200	169.54	(81.95)	3	1.48
		09/03/14	12	36.2800	435.36	24.2200	290.64	(144.72)	5	1.48
		09/08/14	205	36.0093	7,381.91	24.2200	4,965.10	(2,416.81)	74	1.48
		10/09/14	215	33.5644	7,216.35	24.2200	5,207.30	(2,009.05)	78	1.48
		10/16/14	22	32.5272	715.60	24.2200	532.84	(182.76)	8	1.48
		04/11/16	89	28.7164	2,555.76	24.2200	2,155.58	(400.18)	33	1.48
		08/04/16	211	25.7717	5,437.83	24.2200	5,110.42	(327.41)	76	1.48
Subtotal			1,187		38,040.38		28,749.14	(9,291.24)	432	1.48
TWITTER INC	TWTR	09/28/15	420	25.2260	10,594.92	23.0500	9,681.00	(913.92)		
		09/29/15	400	25.4942	10,197.68	23.0500	9,220.00	(977.68)		
		11/10/15	94	27.0777	2,545.31	23.0500	2,166.70	(378.61)		
		12/03/15	528	25.6929	13,514.47	23.0500	12,124.30	(1,390.17)		
		12/08/15	580	24.6955	14,323.39	23.0500	13,369.00	(954.39)		
		01/13/16	179	18.7856	3,362.64	23.0500	4,125.95	763.31		
		04/11/16	198	16.5100	3,268.98	23.0500	4,563.90	1,294.92		
		05/04/16	418	14.6255	6,113.50	23.0500	9,634.90	3,521.40		
Subtotal			2,815		63,920.89		64,885.75	964.86		
UNILEVER PLC NEW ADR	UL	04/11/16	2,506	45.9580	115,170.75	47.4000	118,784.40	3,613.65	3,436	2.89
UNITED PARCEL SVC CL B	UPS	08/10/11	123	62.9221	7,739.43	109.3600	13,451.28	5,711.85	384	2.85
		08/10/11	211	62.9222	13,276.59	109.3600	23,074.96	9,798.37	659	2.85
		06/02/16	66	103.0043	6,798.29	109.3600	7,217.76	419.47	206	2.85
Subtotal			400		27,814.31		43,744.00	15,929.69	1,249	2.85

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
UNITEDHEALTH GROUP INC	UNH	04/05/13	152	62.1003	9,439.25	140.0000	21,280.00	11,840.75	380	1.78
		04/18/13	38	59.7821	2,271.72	140.0000	5,320.00	3,048.28	95	1.78
		04/18/13	52	59.7819	3,108.66	140.0000	7,280.00	4,171.34	130	1.78
		04/18/13	195	59.7820	11,657.49	140.0000	27,300.00	15,642.51	488	1.78
		04/22/13	20	59.3720	1,187.44	140.0000	2,800.00	1,612.56	50	1.78
		04/22/13	139	59.3720	8,252.72	140.0000	19,460.00	11,207.28	348	1.78
		04/22/13	236	59.3721	14,011.82	140.0000	33,040.00	19,028.18	590	1.78
		07/18/13	180	69.7098	12,547.78	140.0000	25,200.00	12,652.22	450	1.78
		10/21/13	60	68.3621	4,101.73	140.0000	8,400.00	4,298.27	150	1.78
Subtotal			1,072		66,578.61		150,080.00	83,501.39	2,681	1.78
VENTAS INC REIT	VTR	04/11/16	1,298	63.0398	81,825.79	70.6300	91,877.74	9,851.95	3,791	4.13
VERIZON COMMUNICATIONS COM	VZ	10/02/09	1,090	28.1256	30,657.00	51.9800	56,658.20	26,001.20	2,518	4.44
		01/28/10	215	27.5487	5,922.98	51.9800	11,175.70	5,252.72	497	4.44
		07/16/10	285	26.6523	7,595.93	51.9800	14,814.30	7,218.37	659	4.44
		11/18/10	482	32.8000	15,809.60	51.9800	25,054.36	9,244.76	1,114	4.44
		04/11/16	2,048	51.6466	105,772.24	51.9800	106,455.04	682.80	4,731	4.44
Subtotal			4,120		165,757.75		214,157.60	48,399.85	9,519	4.44
VERTEX PHARMACEUTICALS INC	VRTX	11/12/08	130	26.7290	3,474.77	87.2100	11,337.30	7,862.53		
		11/13/08	44	26.6284	1,171.65	87.2100	3,837.24	2,665.59		
		11/17/08	84	26.9625	2,264.85	87.2100	7,325.64	5,060.79		
		01/19/12	16	37.0262	592.42	87.2100	1,395.36	802.94		
		04/11/16	41	85.1000	3,489.10	87.2100	3,575.61	86.51		
Subtotal			315		10,992.79		27,471.15	16,478.36		

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September 01, 2016 - September 30, 2016

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
VISA INC CL A SHRS	V	12/01/10	106	18.7888	1,991.62	82.7000	8,766.20	6,774.58	60	.67
		02/10/11	424	18.7225	7,938.37	82.7000	35,064.80	27,126.43	238	.67
		01/19/12	80	25.5950	2,047.60	82.7000	6,616.00	4,568.40	45	.67
		08/29/14	73	53.1187	3,877.67	82.7000	6,037.10	2,159.43	41	.67
		04/11/16	87	78.2398	6,806.87	82.7000	7,194.90	388.03	49	.67
Subtotal			770		22,662.13		63,679.00	41,016.87	433	.67
VMWARE INC	VMW	02/10/15	96	78.7688	7,561.81	73.3500	7,041.60	(520.21)		
		03/10/15	50	84.4240	4,221.20	73.3500	3,667.50	(553.70)		
		03/24/15	95	84.8280	8,058.66	73.3500	6,968.25	(1,090.41)		
		04/16/15	40	84.7200	3,388.80	73.3500	2,934.00	(454.80)		
		04/29/15	3	88.9500	268.85	73.3500	220.05	(46.80)		
		06/03/15	48	89.4827	4,295.17	73.3500	3,520.80	(774.37)		
		07/10/15	10	81.5700	815.70	73.3500	733.50	(82.20)		
		04/11/16	47	51.7489	2,432.20	73.3500	3,447.45	1,015.25		
Subtotal			389		31,040.39		28,533.15	(2,507.24)		
VODAFONE GROUP PLC SHS	VOD	09/15/06	219	40.2099	8,805.97	29.1500	6,383.85	(2,422.12)	331	5.18
ADR		01/19/12	45	50.1244	2,255.60	29.1500	1,311.75	(943.85)	68	5.18
		12/18/12	29	47.1103	1,366.20	29.1500	845.35	(520.85)	44	5.18
		11/12/13	129	67.7468	8,739.34	29.1500	3,760.35	(4,978.99)	195	5.18
		01/14/14	123	71.1587	8,752.53	29.1500	3,585.45	(5,167.08)	186	5.18
		01/31/14	32	62.6568	2,005.02	29.1500	932.80	(1,072.22)	49	5.18
		08/29/14	149	34.3000	5,110.70	29.1500	4,343.35	(767.35)	226	5.18
		09/02/14	19	34.1800	649.42	29.1500	553.85	(95.57)	29	5.18
		09/03/14	15	34.4800	517.20	29.1500	437.25	(79.95)	23	5.18
		10/16/14	4	29.6075	118.43	29.1500	116.60	(1.83)	7	5.18
		10/16/14	88	29.6167	2,606.27	29.1500	2,565.20	(41.07)	133	5.18
		04/16/15	1	33.9500	33.95	29.1500	29.15	(4.80)	2	5.18
		04/16/15	49	33.9506	1,663.58	29.1500	1,428.35	(235.23)	75	5.18
		05/14/15	369	36.9466	13,633.30	29.1500	10,756.35	(2,876.95)	558	5.18

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield %
VODAFONE GROUP PLC SHS	VOD	06/03/15	21	38.2300	802.83	29.1500	612.15	(190.68)	32	5.18
		06/03/15	61	38.2350	2,332.34	29.1500	1,778.15	(554.19)	93	5.18
		07/10/15	42	36.3500	1,526.70	29.1500	1,224.30	(302.40)	64	5.18
		09/02/15	55	34.1900	1,880.45	29.1500	1,603.25	(277.20)	84	5.18
		10/16/15	206	32.2436	6,642.20	29.1500	6,004.90	(637.30)	312	5.18
		04/11/16	3,264	32.4190	105,815.62	29.1500	95,145.60	(10,670.02)	4,932	5.18
		05/17/16	598	33.6322	20,112.06	29.1500	17,431.70	(2,680.36)	904	5.18
		07/11/16	463	30.5117	14,126.96	29.1500	13,496.45	(630.51)	700	5.18
		07/12/16	1,036	30.4827	31,580.08	29.1500	30,199.40	(1,380.68)	1,566	5.18
Subtotal			7,017		241,076.75		204,545.55	(36,531.20)	10,613	5.18
W W GRAINGER INCORP	GWV	12/12/13	35	251.9954	8,819.84	224.8400	7,869.40	(950.44)	171	2.17
		12/16/13	9	252.5488	2,272.94	224.8400	2,023.56	(249.38)	44	2.17
		12/18/13	22	251.8763	5,541.28	224.8400	4,946.48	(594.80)	108	2.17
		01/09/14	4	253.0800	1,012.32	224.8400	899.36	(112.96)	20	2.17
		01/10/14	18	264.9711	4,769.48	224.8400	4,047.12	(722.36)	88	2.17
		01/24/14	26	249.4123	6,484.72	224.8400	5,845.84	(638.88)	127	2.17
		01/31/14	10	235.1400	2,351.40	224.8400	2,248.40	(103.00)	49	2.17
		06/11/14	10	268.9480	2,689.48	224.8400	2,248.40	(441.08)	49	2.17
		08/29/14	16	246.0150	3,936.24	224.8400	3,597.44	(338.80)	79	2.17
Subtotal			150		37,877.70		33,726.00	(4,151.70)	735	2.17
WEATHERFORD INTL PLC	WFT	09/15/06	745	19.9900	14,892.55	5.6200	4,186.90	(10,705.65)		
		10/31/08	540	17.0365	9,199.71	5.6200	3,034.80	(6,164.91)		
		04/21/11	266	20.4625	5,443.05	5.6200	1,494.92	(3,948.13)		
		01/19/12	569	16.3994	9,331.26	5.6200	3,197.78	(6,133.48)		
		06/21/12	340	12.0680	4,103.12	5.6200	1,910.80	(2,192.32)		
		11/15/12	1,195	9.0684	10,836.74	5.6200	6,715.90	(4,120.84)		
		04/16/15	411	14.3227	5,886.63	5.6200	2,309.82	(3,576.81)		
		04/29/15	102	14.3810	1,466.87	5.6200	573.24	(893.63)		
		05/14/15	2,836	14.3097	40,582.59	5.6200	15,938.32	(24,644.27)		

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
WEATHERFORD INTL PLC	WFT	06/03/15	39	14.3869	561.09	5.6200	219.18	(341.91)		
		06/03/15	662	14.3327	9,488.25	5.6200	3,720.44	(5,767.81)		
		07/10/15	787	11.3962	8,968.81	5.6200	4,422.94	(4,545.87)		
		04/11/16	608	7.3069	4,442.60	5.6200	3,416.96	(1,025.64)		
		09/12/16	334	6.0247	2,012.25	5.6200	1,877.08	(135.17)		
		09/13/16	403	6.1524	2,479.42	5.6200	2,264.86	(214.56)		
		09/14/16	1	6.2200	6.22	5.6200	5.62	(0.60)		
Subtotal			9,838		129,701.16		55,289.56	(74,411.60)		
WELLTOWER INC	HCN	04/11/16	1,233	69.8118	86,077.95	74.7700	92,191.41	6,113.46	4,242	4.60
WESTN DIGITAL CORP DEL	WDC	11/03/15	176	68.3906	12,036.75	58.4700	10,290.72	(1,746.03)	352	3.42
		11/05/15	169	67.7411	11,448.26	58.4700	9,881.43	(1,566.83)	338	3.42
		11/10/15	9	63.5300	571.77	58.4700	526.23	(45.54)	18	3.42
		11/10/15	23	63.5300	1,461.19	58.4700	1,344.81	(116.38)	46	3.42
		12/03/15	154	63.0321	9,706.95	58.4700	9,004.38	(702.57)	308	3.42
		04/11/16	64	44.6426	2,857.13	58.4700	3,742.08	884.95	128	3.42
		05/04/16	92	39.3573	3,620.88	58.4700	5,379.24	1,758.36	184	3.42
		05/16/16	124	36.7400	4,555.76	58.4700	7,250.28	2,694.52	248	3.42
Subtotal			811		46,258.69		47,419.17	1,160.48	1,622	3.42
XILINX INC	XLNX	06/05/12	210	31.7898	6,675.86	54.3400	11,411.40	4,735.54	278	2.42
		09/14/12	115	35.5306	4,086.02	54.3400	6,249.10	2,163.08	152	2.42
		01/09/14	14	45.7500	640.50	54.3400	760.76	120.26	19	2.42
		07/23/14	116	41.1445	4,772.77	54.3400	6,303.44	1,530.67	154	2.42
		04/11/16	146	46.4137	6,776.41	54.3400	7,933.64	1,157.23	193	2.42
Subtotal			601		22,951.56		32,658.34	9,706.78	796	2.42

EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
YUM BRANDS INC	YUM	03/13/13	112	69.2394	7,754.82	90.8100	10,170.72	2,415.90	229	2.24
		06/21/13	65	69.6576	4,527.75	90.8100	5,902.65	1,374.90	133	2.24
		10/09/13	40	65.5632	2,622.53	90.8100	3,632.40	1,009.87	82	2.24
		01/31/14	49	67.1502	3,290.36	90.8100	4,449.69	1,159.33	100	2.24
		02/27/14	72	73.8226	5,315.23	90.8100	6,538.32	1,223.09	147	2.24
		08/29/14	22	72.2700	1,589.94	90.8100	1,997.82	407.88	45	2.24
		04/11/16	1	82.2700	82.27	90.8100	90.81	8.54	3	2.24
Subtotal			361		25,182.90		32,782.41	7,599.51	739	2.24
ZOETIS INC	ZTS	02/25/14	14	30.2150	423.01	52.0100	728.14	305.13	6	.73
		04/16/15	176	46.9868	8,269.68	52.0100	9,153.76	884.08	67	.73
		04/29/15	111	45.5056	5,051.13	52.0100	5,773.11	721.98	43	.73
		06/03/15	13	49.3969	642.16	52.0100	676.13	33.97	5	.73
		07/10/15	51	46.9068	2,392.25	52.0100	2,652.51	260.26	20	.73
		09/02/15	29	44.6596	1,295.13	52.0100	1,508.29	213.16	12	.73
		10/16/15	4	43.7050	174.82	52.0100	208.04	33.22	2	.73
		10/16/15	145	43.6926	6,335.43	52.0100	7,541.45	1,206.02	56	.73
		10/23/15	123	42.7237	5,255.02	52.0100	6,397.23	1,142.21	47	.73
		01/08/16	299	46.7358	13,974.03	52.0100	15,550.99	1,576.96	114	.73
Subtotal			965		43,812.66		50,189.65	6,376.99	372	.73
TOTAL					6,916,844.00		8,052,622.12	1,135,778.12	224,218	2.78

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
NEUBERGER BERMAN GENESIS	2,026	103,184.18	56.3500	114,165.10	10,980.92	103,184	10,980	433	.37
FUND CL INSTL									
SYMBOL: NBGIX Initial Purchase 04/11/16									
Equity 100%									



U.S. TRUST

Bank of America Private Wealth Management



Merrill Lynch
Bank of America Corporation



EQUITY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
PRINCIPAL MIDCAP FUND CLP SYMBOL PMCPX Initial Purchase: 04/11/16 Equity 100%	4,982	103,227.04	22.7700	113,440.14	10,213.10	103,227	10,213	105 .09
THE OAKMARK INTL FUND SYMBOL OAKIX Initial Purchase: 04/11/16 Equity 100%	10,334	206,473.32	21.6600	223,834.44	17,361.12	206,473	17,361	5,124 2.28
Subtotal (Equities)		412,884.54		451,439.68	38,555.14		38,554	5,662 1.25
TOTAL								



YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

GOVERNMENT AND AGENCY SECURITIES *									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Estimated Current Yield%
Δ U.S. TREASURY NOTE									
01.000% OCT 31 2016	04/26/16	345,000	345,163.99	100.0560	345,227.70	63.71	1,434.37	3,450	99
MOODY'S: AAA S&P: *** CUSIP: 912828RM4									
ORIGINAL UNIT/TOTAL COST: 100.2931/346,011.32									
Δ U.S. TREASURY NOTE									
1.000% MAR 31 2017	04/22/16	225,000	225,491.46	100.2500	225,582.50	71.04		2,250	99
MOODY'S: AAA S&P: *** CUSIP: 912828SM3									
ORIGINAL UNIT/TOTAL COST: 100.4064/225,914.44									
Δ U.S. TREASURY NOTE									
0.875% JUN 15 2017	04/22/16	245,000	245,393.32	100.1910	245,467.95	74.63	626.72	2,144	87
MOODY'S: AAA S&P: *** CUSIP: 912828WP1									
ORIGINAL UNIT/TOTAL COST: 100.2579/245,632.05									
Δ FEDERAL HOME LN MTG CORP									
NOTES 01.000% JUN 29 2017	04/19/16	145,000	145,338.91	100.2820	145,408.90	69.99	366.53	1,450	99
MOODY'S: AAA S&P: AA+ CUSIP: 3137EADH9									
ORIGINAL UNIT/TOTAL COST: 100.3720/145,539.40									
Δ U.S. TREASURY NOTE									
0.875% NOV 30 2017	04/22/16	125,000	125,150.21	100.1950	125,243.75	93.54	364.58	1,094	87
MOODY'S: AAA S&P: *** CUSIP: 912828M72									
ORIGINAL UNIT/TOTAL COST: 100.1642/125,205.29									

FIXED INCOME

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

GOVERNMENT AND AGENCY SECURITIES (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Current Yield%
U.S. TREASURY NOTE 04/22/16 255,000 255,571.46 100.3950 256,007.25 435.79 850.00 2,550 99									
1.000% MAY 31 2018 018									
MOODY'S: AAA S&P: *** CUSIP: 912828VE7									
ORIGINAL UNIT/TOTAL COST: 100.2814/255,717.62									
U.S. TREASURY NOTE 09/20/16 255,000 254,880.90 99.9810 254,951.55 70.65 158.49 1,913 .75									
0.750% AUG 31 2018									
MOODY'S: AAA S&P: *** CUSIP: 9128282C3									
ORIGINAL UNIT/TOTAL COST: 99.9532/254,880.90									
U.S. TREASURY NOTE 04/22/16 245,000 246,623.60 100.9060 247,219.70 596.10 1,273.27 3,063 1.23									
1.250% OCT 31 2018 01.250% OCT 31 2018									
MOODY'S: AAA S&P: *** CUSIP: 912828WD8									
ORIGINAL UNIT/TOTAL COST: 100.7970/246,952.76									
U.S. TREASURY NOTE 04/22/16 165,000 165,909.64 101.3090 167,159.85 1,250.21 2,269 1.35									
1.375% MAR 31 2020 01.375% MAR 31 2020									
MOODY'S: AAA S&P: *** CUSIP: 912828J84									
ORIGINAL UNIT/TOTAL COST: 100.6173/166,018.64									
U.S. TREASURY NOTE 04/22/16 180,000 183,858.76 103.9060 187,030.80 3,172.04 1,200.00 3,600 1.92									
2.000% NOV 30 2022 02.000% NOV 30 2022									
MOODY'S: AAA S&P: *** CUSIP: 912828M80									
ORIGINAL UNIT/TOTAL COST: 102.2853/184,113.64									
U.S. TREASURY NOTE 04/22/16 110,000 113,630.38 105.5820 116,140.20 2,509.82 928.13 2,475 2.13									
2.250% NOV 15 2024									
MOODY'S: AAA S&P: *** CUSIP: 912828G38									
ORIGINAL UNIT/TOTAL COST: 103.4611/113,807.25									

FIXED INCOME

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016- September 30, 2016

GOVERNMENT AND AGENCY SECURITIES (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated/Current Annual Income Yield%
Δ U.S. TRSY INFLATION NTE 0.250% JAN 15 2025 INFL ADJ PRIN 71,124 MOODY'S: AAA S&P: *** CUSIP: 912828H45 ORIGINAL UNIT/TOTAL COST: 100.1383/70,096.87	04/26/16	70,000	71,144.55	101.8530	72,442.84	1,298.29	36.62	178 .24
Δ U.S. TREASURY NOTE 2.000% AUG 15 2025 MOODY'S: AAA S&P: *** CUSIP: 912828K74 ORIGINAL UNIT/TOTAL COST: 101.1681/182,102.70	04/22/16	180,000	182,013.10	103.4840	188,271.20	4,258.10	450.00	3,600 1.93
FNMA PAB1496 03 50%2025 AMORTIZED FACTOR 0.171674820 AMORTIZED VALUE 52,469 MOODY'S: *** S&P: *** CUSIP: 31416WUW0	04/27/16	305,631	55,568.11	105.4608	55,334.38	(233.73)	147.93	1,837 3.31
Δ U.S. TRSY INFLATION NTE 0.625% JAN 15 2026 00.625% JAN 15 2026 MOODY'S: AAA S&P: *** CUSIP: 912828N71 ORIGINAL UNIT/TOTAL COST: 103.9794/67,586.66	04/15/16	65,000	68,455.57	105.1710	69,238.22	782.65	85.00	412 .59
Δ U.S. TREASURY NOTE 1.625% MAY 15 2026 MOODY'S: AAA S&P: *** CUSIP: 912828R36 ORIGINAL UNIT/TOTAL COST: 102.2736/71,591.55	07/06/16	70,000	71,557.80	100.1330	70,093.10	(1,464.70)	426.56	1,138 1.62
Δ U.S. TREASURY NOTE 08/30/16 ORIGINAL UNIT/TOTAL COST: 100.3752/65,243.88	08/30/16	65,000	65,242.04	100.1330	65,086.45	(155.59)	396.09	1,057 1.62
Subtotal		135,000	136,799.84		135,179.55	(1,620.29)	822.65	2,195 1.62
FHLMC G1 8541 03%2030 AMORTIZED FACTOR 0.764400520 AMORTIZED VALUE 39,748 MOODY'S: *** S&P: *** CUSIP: 3128MMST9	02/26/15	52,000	41,636.90	105.0747	41,765.96	129.06	96.06	1,193 2.85
FHLMC G1 8541 03%2030 AMORTIZED VALUE 39,748	02/26/15	52,000	41,636.90	105.0747	41,765.96	129.06	96.06	1,193 2.85

FIXED INCOME

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

GOVERNMENT AND AGENCY SECURITIES (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
FHLMC G1 8541 03%2030	02/26/15	52,000	41,636.90	105.0747	41,765.96	129.06	96.06	1,193	2.85
AMORTIZED VALUE 39,748									
FHLMC G1 8541 03%2030	02/26/15	70,000	56,049.67	105.0747	56,223.41	173.74	129.31	1,606	2.85
AMORTIZED VALUE 53,508									
Subtotal		226,000	180,960.37		181,521.29	560.92	417.49	5,185	2.85
FHLMC G1 8571 02 50%2030	11/12/15	247,000	214,527.94	103.7102	219,892.61	5,364.67	427.00	5,301	2.41
AMORTIZED FACTOR 0.858404960	AMORTIZED VALUE 212,026								
MOODY'S: *** S&P: ***	CUSIP: 3128MMT52								
GNM P487847X 05%2039	08/28/13	170,000	17,671.70	112.0703	18,349.61	677.91	65.95	819	4.46
AMORTIZED FACTOR 0.096313540	AMORTIZED VALUE 16,373								
MOODY'S: *** S&P: ***	CUSIP: 36210B5G6								
FNMA PAC0380 04 50%2039	04/26/16	1,733,410	268,897.85	109.5412	269,460.43	562.58	891.70	11,070	4.10
AMORTIZED FACTOR 0.141911050	AMORTIZED VALUE 245,990								
MOODY'S: *** S&P: ***	CUSIP: 31417JM64								
FNMA PAL3915 04%2042	07/23/13	28,000	16,011.06	107.7083	16,508.94	497.88	49.39	614	3.71
AMORTIZED FACTOR 0.547409170	AMORTIZED VALUE 15,327								
MOODY'S: *** S&P: ***	CUSIP: 3138ELK51								
GNM PMA1012M 03 50%2043	08/23/13	82,000	49,149.56	106.6030	52,170.57	3,021.01	137.98	1,713	3.28
AMORTIZED FACTOR 0.596818580	AMORTIZED VALUE 48,939								
MOODY'S: *** S&P: ***	CUSIP: 36179NDR6								
FHLMC G6 0384 04 50%2045	01/19/16	287,000	262,025.17	109.4493	263,685.83	1,660.66	873.34	10,842	4.11
AMORTIZED FACTOR 0.839444350	AMORTIZED VALUE 240,920								
MOODY'S: *** S&P: ***	CUSIP: 31335AM96								

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FIXED INCOME

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

GOVERNMENT AND AGENCY SECURITIES (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
FHLMC GO 8697 03%2046	04/26/16	185,650	179,551.47	104.0097	193,006.06	3,453.59	425.21	5,279	2.88
AMORTIZED FACTOR 0.947751100 AMORTIZED VALUE 175,949									
MOODY'S: *** S&P: *** CUSIP: 3128MJX39									
TOTAL		6,009,691	4,009,788.92		4,038,480.68	28,691.76	12,032.35	75,303	1.86
CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
Δ BRISTOL-MYERS SQUIBB CO GLB 00 875% AUG 01 2017	04/19/16	55,000	55,032.40	99.8510	54,918.05	(114.35)	78.87	482	.87
MOODY'S: A2 S&P: A+ CUSIP: 110122AS7									
ORIGINAL UNIT/TOTAL COST: 100.0900/55,049.50									
Δ TOYOTA MOTOR CREDIT CORP SER MTN GLB 01.375% JAN 10 2018	04/15/16	95,000	95,405.16	100.1900	95,180.50	(224.66)	290.28	1,307	1.37
MOODY'S: AA3 S&P: AA- CUSIP: 89233P7E0									
ORIGINAL UNIT/TOTAL COST: 100.5750/95,546.25									
Δ MEDTRONIC INC COMPANY GUARNT GLB 01 500% MAR 15 2018	04/15/16	70,000	70,589.60	100.4510	70,315.70	(273.90)	43.75	1,050	1.49
MOODY'S: A3 S&P: A CUSIP: 585058Q8									
ORIGINAL UNIT/TOTAL COST: 101.0990/70,769.30									
Δ GOLDMAN SACHS GROUP INC GLB 06 150% APR 01 2018	04/19/16	50,000	53,214.32	106.5110	53,255.50	41.18	1,528.96	3,075	5.77
MOODY'S: A3 S&P: BBB+ CUSIP: 38141GFM1									
ORIGINAL UNIT/TOTAL COST: 108.2900/54,145.00									
Δ MORGAN STANLEY GLB 02.125% APR 25 2018	04/15/16	75,000	75,600.65	100.8410	75,630.75	30.10	686.20	1,594	2.10
MOODY'S: A3 S&P: BBB+ CUSIP: 6174467U7									
ORIGINAL UNIT/TOTAL COST: 101.0260/75,769.50									

FIXED INCOME

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

Corporate Bonds (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
Δ APPLE INC GLB 01.000% MAY 03 2018 MOODY'S: AA1 S&P: AA+ CUSIP: 037833AJ9 ORIGINAL UNIT/TOTAL COST: 100.0820/55.045.10	04/19/16	55,000	55,035.36	99.8610	54,923.55	(111.81)	224.58	550	1.00
Δ REYNOLDS AMERICAN INC COMPANY GUARNT GLB 02 300% JUN 12 2018 MOODY'S: BAA3 S&P: BBB CUSIP: 761713BC9 ORIGINAL UNIT/TOTAL COST: 102.1670/71.516.90	04/15/16	70,000	71,203.50	101.4540	71,017.80	(185.70)	483.00	1,610	2.26
Δ TORONTO-DOMINION BANK SER GMTN GLB 01 750% JUL 23 2018 MOODY'S: AA1 S&P: AA- CUSIP: 891114QB64 ORIGINAL UNIT/TOTAL COST: 100.6640/70.464.80	04/19/16	70,000	70,374.71	100.6140	70,429.80	55.09	227.99	1,225	1.73
Δ ANHEUSER-BUSCH INBEV FIN COMPANY GUARNT GLB 01 900% FEB 01 2019 MOODY'S: A3 S&P: A- CUSIP: 035242AG1 ORIGINAL UNIT/TOTAL COST: 101.4540/126.817.50	04/19/16	125,000	126,532.33	100.9850	126,208.25	(326.08)	389.24	2,375	1.88
Δ COSTCO WHOLESALE CORP GLB 01.700% DEC 15 2019 MOODY'S: A1 S&P: A+ CUSIP: 22160KAF2 ORIGINAL UNIT/TOTAL COST: 102.0340/71.423.80	04/15/16	70,000	71,252.38	101.2160	70,851.20	(401.18)	347.08	1,190	1.67
Δ BP CAPITAL MARKETS PLC COMPANY GUARNT GLB 02.521% JAN 15 2020 MOODY'S: A2 S&P: A- CUSIP: 05565QCT3 ORIGINAL UNIT/TOTAL COST: 102.3700/97.251.50	04/15/16	95,000	96,989.50	102.7090	97,573.55	584.05	498.95	2,395	2.45



U.S. TRUST
Bank of America Private Wealth Management

FIXED INCOME

Merrill Lynch
Bank of America Corporation

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

Corporate Bonds (continued)	Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Current Annual Income	Yield%
Δ AMERICAN INTL GROUP	GLB 03 375% AUG 15 2020	04/25/16	70,000	72,072.33	105.5220	73,865.40	1,793.07	295.31	2,363	3.19
	MOODY'S: BAA1 S&P: A- CUSIP: 026874CX3									
	ORIGINAL UNIT/TOTAL COST: 103 2690/72,288.30									
Δ JPMORGAN CHASE & CO	04.250% OCT 15 2020	04/15/16	75,000	80,832.29	108.3940	81,295.50	463.21	1,460.94	3,188	3.92
	MOODY'S: A3 S&P: A- CUSIP: 46625HHU7									
	ORIGINAL UNIT/TOTAL COST: 108 5960/81,447.00									
Δ AMERICAN INTL GROUP	GLB 03.300% MAR 01 2021	04/19/16	65,000	66,718.32	104.9200	68,198.00	1,479.68	172.79	2,145	3.14
	MOODY'S: BAA1 S&P: A- CUSIP: 026874DG9									
	PAR CALL DATE: 02/01/21 PAR CALL PRICE: 100.00									
	ORIGINAL UNIT/TOTAL COST: 102 8920/66,879.80									
Δ BERKSHIRE HATHAWAY INC	GLB 02 200% MAR 15 2021	04/19/16	65,000	66,595.68	102.8620	66,860.30	264.62	59.58	1,430	2.13
	MOODY'S: AA2 S&P: AA CUSIP: 084670B00									
	PAR CALL DATE: 02/15/21 PAR CALL PRICE: 100.00									
	ORIGINAL UNIT/TOTAL COST: 102 6890/66,747.85									
Δ VERIZON COMMUNICATIONS	GLB 04.600% APR 01 2021	04/20/16	65,000	71,829.92	111.2680	72,324.20	494.28	1,486.69	2,990	4.13
	MOODY'S: BAA1 S&P: BBB+ CUSIP: 92343VAX2									
	ORIGINAL UNIT/TOTAL COST: 111 4680/72,454.20									
Δ ORACLE CORP	GLB 02 800% JUL 08 2021	04/19/16	95,000	99,161.31	104.4590	99,236.05	74.74	605.89	2,660	2.68
	MOODY'S: A1 S&P: AA- CUSIP: 68389XBA2									
	ORIGINAL UNIT/TOTAL COST: 104 7680/99,529.60									

FIXED INCOME

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ GOLDMAN SACHS GROUP INC GLB 05 250% JUL 27 2021 MOODY'S: A3 S&P: BBB+ CUSIP: 38141GGQ1 ORIGINAL UNIT/TOTAL COST: 112 9380/101,644.20	04/19/16	90,000	100,723.91	112 8960	101,806.40	882.49	826 88	4,725	4.65
Δ USO UNITED MEXICAN 3 625% MAR 15 2022 MOODY'S: A3 S&P: BBB+ CUSIP: 91086QBA5 ORIGINAL UNIT/TOTAL COST: 103 9000/83,120.00	04/18/16	80,000	82,902.59	105 3750	84,300.00	1,397.41	120 83	2,900	3.44
Δ UNITEDHEALTH GROUP INC GLB 03.350% JUL 15 2022 MOODY'S: A3 S&P: A+ CUSIP 91324PCNO ORIGINAL UNIT/TOTAL COST: 106 5090/85,207.20	04/15/16	80,000	84,856.19	107 3810	85,904.80	1,048.61	558.33	2,680	3.11
Δ CVS HEALTH CORP GLB 03.500% JUL 20 2022 MOODY'S: BAA1 S&P: BBB+ CUSIP: 12665OCK4 PAR CALL DATE: 05/20/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 107,0040/64,202.40	04/19/16	60,000	63,923.55	107 0390	64,223.40	299.85	408.33	2,100	3.26
Δ KINDER MORGAN ENER PART COMPANY GUARNT GLB 03.950% SEP 01 2022 MOODY'S: BAA3 S&P: BBB- CUSIP: 494550BL9 PAR CALL DATE: 06/01/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 98 3420/59,005.20	04/21/16	60,000	59,005.20 ⁶	104 4670	62,680.20	3,675.00	190.92	2,370	3.78
Δ COMCAST CORP COMPANY GUARNT GLB 02.750% MAR 01 2023 MOODY'S: A3 S&P: A- CUSIP: 20030NBR1 PAR CALL DATE: 02/01/23 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 103 4300/46,543.50	04/19/16	45,000	46,450.76	103 6190	46,628.55	177.79	99.69	1,238	2.65

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016 - September 30, 2016

CORPORATE BONDS (continued)	Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ BNP PARIBAS	04/26/16 85,000	87,686.99	104.9750	89,228.75	1,541.76	207.19	2,763	3.09		
	COMPANY GUARANT SER MTN 03.250% MAR 03 2023									
	MOODY'S: A1 S&P: A CUSIP: 05574LFY9									
	ORIGINAL UNIT/TOTAL COST: 103,3510/87,848.35									
Δ COMCAST CORP	04/19/16 80,000	86,722.52	109.3880	87,508.80	786.28	232.00	2,880	3.29		
	COMPANY GUARANT GLB 03.600% MAR 01 2024									
	MOODY'S: A3 S&P: A- CUSIP: 20030NB19									
	ORIGINAL UNIT/TOTAL COST: 108,8600/87,088.00									
Δ METLIFE INC	04/19/16 60,000	62,630.54	105.9200	63,552.00	921.46	1,020.00	2,160	3.39		
	GLB 03.600% APR 10 2024									
	MOODY'S: A3 S&P: A- CUSIP: 59156RBH0									
	ORIGINAL UNIT/TOTAL COST: 104,6130/62,767.80									
Δ WELLS FARGO & COMPANY	04/19/16 95,000	97,968.39	104.5200	99,294.00	1,325.61	182.88	3,135	3.15		
	SER MTN 03.300% SEP 09 2024									
	MOODY'S: A2 S&P: A CUSIP: 94974BGA2									
	ORIGINAL UNIT/TOTAL COST: 103,2790/98,115.05									
CAPITAL ONE FINANCIAL CO	04/15/16 80,000	79,584.80	101.9380	81,550.40	1,965.60	391.11	2,560	3.13		
	GLB 03.200% FEB 05 2025									
	MOODY'S: BAA1 S&P: BBB CUSIP: 14040HBG9									
	PAR CALL DATE: 01/05/25 PAR CALL PRICE: 100.00									
	ORIGINAL UNIT/TOTAL COST: 99,4810/79,584.80									
Δ ENTERPRISE PRODUCTS OPER	04/19/16 65,000	66,664.92	103.6340	67,362.10	697.18	304.69	2,438	3.61		
	COMPANY GUARANT 03.750% FEB 15 2025									
	MOODY'S: BAA1 S&P: BBB+ CUSIP: 29379VBE2									
	PAR CALL DATE: 11/15/24 PAR CALL PRICE: 100.00									
	ORIGINAL UNIT/TOTAL COST: 102,6780/66,740.70									

FIXED INCOME

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

September 01, 2016- September 30, 2016

CORPORATE BONDS (continued)	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
GS MTG SEC II CMO 2007	105,000	94,088.91	101.5087	92,728.63	(1,362.28)	440.65	5,470	5.89
GGIO A4 VAR% AUG 10 45 AMORTIZED VALUE 91,348								
MOODY'S: A2 S&P: BBB- CUSIP: 36246LAE1								
TOTAL	2,250,000	2,311,649.03		2,328,648.13	16,999.10	13,863.60	69,048	2.97