Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning OCTOBER 1, 2015, and ending SEPTEMBER 30, 2016

Name of foundation: THE WALLINGFORD HISTORICAL SOCIETY, INC

A Employer identification number: 06-6035188

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 73 | Room/suite

B Telephone number (see instructions): 203.269.9988

City or town, state or province, country, and ZIP or foreign postal code: WALLINGFORD, CT 06492-0073

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,315,936

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	12426			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	75	75	75	
	4	Dividends and interest from securities	7230	7230	7230	
	5a	Gross rents	—	—	—	
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	—			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		—		
	8	Net short-term capital gain			46365	
	9	Income modifications				
	10a	Gross sales less returns and allowances 330				
	b	Less: Cost of goods sold 161				
	c	Gross profit or (loss) (attach schedule)	169			
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	19,900	7305	53,670	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	(92)	(92)	(92)	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	12,754			12,754
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	9025	6133	6133	2892
	24	**Total operating and administrative expenses.** Add lines 13 through 23	21,687	6041	6041	15,646
	25	Contributions, gifts, grants paid	—			
	26	**Total expenses and disbursements.** Add lines 24 and 25	21,687	6041	6041	15,646
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(1,787)			
	b	**Net investment income** (if negative, enter -0-)		1264		
	c	**Adjusted net income** (if negative, enter -0-)			47629	

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	1574	144	144
2	Savings and temporary cash investments	63995	58569	58569
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use	6060	5899	10,140
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	776,454	819,281	882,083
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment. basis ▶			
	Less: accumulated depreciation (attach schedule) ▶	12397	12397	260,000
15	Other assets (describe ▶ FINE ARTS)			105,000
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	860,480	896,290	1,315,936
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	—	—	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	860,480	896,290	
31	**Total liabilities and net assets/fund balances** (see instructions)	860,480	896,290	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	860,480
2	Enter amount from Part I, line 27a	2	(1,787)
3	Other increases not included in line 2 (itemize) ▶ ST. CAP GAINS	3	46,365
4	Add lines 1, 2, and 3	4	905,058
5	Decreases not included in line 2 (itemize) ▶ LT CAP LOSS + ADJ	5	(8,768)
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	896,290

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c	SEE	ATTACHED	SCHEDULE	
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	(4665)
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	46365

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	18304	821,328	.02229
2013	15970	839,195	.01903
2012	15562	804,422	.01935
2011	17047	803,828	.02121
2010	19854	797,715	.02489

2	**Total** of line 1, column (d)	2	.10677
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.02135
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	868,009 ~~18.5~~
5	Multiply line 4 by line 3	5	18,532
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	13
7	Add lines 5 and 6	7	18545
8	Enter qualifying distributions from Part XII, line 4	8	12741

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary—see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	25	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	–	
3	Add lines 1 and 2	3	25	–
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	–	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	25	–
6	Credits/Payments:			
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c — 100 –			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7	100	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	–	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	25	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	75	
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ ______ **Refunded** ▶	11	75	–

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ______			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	X	
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X

Website address ▶ N.A

14 The books are in care of ▶ NOMA G. BEAUMONT Telephone no. ▶ 203.269.9888
Located at ▶ 25 MAPLEWOOD AVE, WALLINGFORD CT ZIP+4 ▶ 06492-3227

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		NA
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b		NA
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (**If not paid, enter -0-**)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
THE SCHEDULE OF				
OFFICERS + DIRECTORS IS ATTACHED.				
NO OFFICER OR DIRECTOR				
RECEIVED ANY COMPENSATION.				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 MAINTENANCE + NORMAL OPERATING	
2 EXPENSES IN CONNECTION WITH THE	
3 SAMUEL PARSONS HOUSE MUSEUM.	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2 NONE	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	819,086
b	Average of monthly cash balances	1b	62,141
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	881,227
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	—
3	Subtract line 2 from line 1d	3	881,227
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	13,218
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	868,009
6	**Minimum investment return.** Enter 5% of line 5	6	43,400

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	43,400
2a	Tax on investment income for 2015 from Part VI, line 5	2a	25		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	25
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	43,375
4	Recoveries of amounts treated as qualifying distributions			4	—
5	Add lines 3 and 4			5	43,375
6	Deduction from distributable amount (see instructions)			6	—
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	43,375

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	12,754
b	Program-related investments—total from Part IX-B	1b	—
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	—
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	—
b	Cash distribution test (attach the required schedule)	3b	—
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	12,754
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	13
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	12,741

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1	Distributable amount for 2015 from Part XI, line 7				
2	Undistributed income, if any, as of the end of 2015:				
a	Enter amount for 2014 only				
b	Total for prior years: 20___,20___,20___				
3	Excess distributions carryover, if any, to 2015:				
a	From 2010				
b	From 2011				
c	From 2012				
d	From 2013				
e	From 2014				
f	**Total** of lines 3a through e				
4	Qualifying distributions for 2015 from Part XII, line 4: ▶ $				
a	Applied to 2014, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2015 distributable amount				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2014. Subtract line 4a from line 2a Taxable amount—see instructions				
f	Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9:				
a	Excess from 2011				
b	Excess from 2012				
c	Excess from 2013				
d	Excess from 2014				
e	Excess from 2015				

NA

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . ▶ NOVEMBER 1981

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	43400	3786	9204	11880	68,270
b 85% of line 2a	36890	3218	7823	10098	58,129
c Qualifying distributions from Part XII, line 4 for each year listed	12741	18341	15,970	15562	62614
d Amounts included in line 2c not used directly for active conduct of exempt activities	—	—	—	—	—
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	12,741	18341	15,970	15562	62614
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets	1,315,936	1,197,128	1,255,620	1,119,777	4,888,461
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)	365,000	365,000	365,000	365,000	1,460,000
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	28,933	27,377	27,974	26814	111,098
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)	12,595	39,806	13,567	9565	75533
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)	12595	39,806	13567	9565	75533
(3) Largest amount of support from an exempt organization	—	—	—	—	—
(4) Gross investment income	7305	10,253	13412	20962	51932

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

NONE

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Form 990-PF (2015) #06-6035188 Page **11**

Part XV **Supplementary Information** *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NA				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
NA				
Total . . . . ▶ **3b**				

Form **990-PF** (2015)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	NA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		
	(2) Other assets	1a(2)		
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		
	(3) Rental of facilities, equipment, or other assets	1b(3)		
	(4) Reimbursement arrangements	1b(4)		
	(5) Loans or loan guarantees	1b(5)		
	(6) Performance of services or membership or fundraising solicitations	1b(6)		
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

N/A

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 8-15-17 | Title 1ST VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Form 990PF

THE WALLINGFORD HISTORICAL SOCIETY, INC.
ID #: 06-6035188
Year Ending 9-30-2016

PART I, Line 10, Profit on Items for Resale:

	Sales	Cost	Profit
"Images of America – Wallingford" (Book)	$160	$64	$96
Wallingford Tea	17	11	6
Glass Medallion	88	61	27
Notepaper	6	3	3
Wallingford Coffee	4	3	1
Reminiscences of My Mother	5	3	2
Post Cards (sets of 4)	50	16	34
	$330	$161	$169

PART 1, Line 18, Taxes:

2014 Taxes on Net Investment Income	$100
2014 Refund of TNII overpayment	(55)
2013 Refund of TNII overpayment	(63)
Reclamation of Taxes paid to the Netherlands on CLB	(74)
Total Taxes Paid during 2014 Tax Year	$(92)

PART I, Line 23, Other Expenses:

Meeting Expenses		$1463
Secretary of the State – Annual Filing Fee		50
Postage & Supplies		1233
Post Office Box Rental		86
Connecticut League of Historical Societies Dues		60
Investment Expense:		
Safe Deposit Box Rental	33	
Portfolio Management Fee	6000	
Fidelity Bond for Treasurer	100	
		6133
Total Other Expenses		$9025

Wallingford Historical Society, Inc.

INVESTMENT PORTFOLIO

Value as of September 30, 2016

ISSUE	Symbol	Number of Shares	Share Price	Market Value	Book Value
Alphabet, Inc Class C	GOOG	**90**	$777.29	$69,956	$63,582
Amazon.Com, Inc.	AMZN	150	$837.31	$125,597	$106,610
Apple, Inc	AAPL	700	$113.05	$79,135	$70,023
ConocoPhillips	COP	2000	$43.47	$86,940	$84,832
EOG Resources Inc	EOG	600	$96.71	$58,026	$49,866
Facebook. Inc Class A	FB	800	$128.27	$102,616	$86,431
Tutor Perini Corp	TPC	4000	$21.47	$85,880	$93,241
Union Pacific Corp	UPN	1000	$97.53	$97,530	$86,844
Vulcan Materials Company	VMC	800	$113.73	$90,984	$92,433
Cash				$440	$440
Schwab Adv Cash Reserve	SWQXX			$84,979	$84,979
TOTAL				**$882,083**	**$819,281**

The Wallingford Historical Society, Inc.

ID #: 60-6035188

Year Ending 9-30-2016

Part IV, Line 1 Capital Gains and Losses for Tax on Investment Income

Issue	Symbol	# of shs	Purch Date	Cost Basis	Sale Date	Sale Price	Long Term Gain/Loss	Short Term Gain/Loss
Facebook, Inc	FB	200	1/27/15	$15,147	10/5/15	$18,758		$3,611
Under Armour, Inc	UA	190	4/1/15	$14,979	10/5/15	$19,319		$4,340
Netflix, Inc	NFLX	600	8/25/15	$62,367	10/15/15	$61,001		($1,366)
Netflix, Inc	NFLX	200	9/2/15	$21,170	10/15/15	$20,334		($836)
Continental Resource	CLR	100	5/28/15	$4,431	10/30/15	$3,261		($1,170)
Under Armour, Inc	UA	100	4/1/15	$7,883	10/30/15	$9,545		$1,662
Under Armour, Inc	UA	200	4/23/15	$16,782	10/30/15	$19,132		$2,350
Under Armour, Inc	UA	200	4/29/15	$15,865	10/30/15	$19,132		$3,267
Facebook, Inc	FB	200	1/30/15	$15,264	11/5/15	$21,845		$6,581
Amazon Com, Inc	AMZN	25	9/4/15	$12,439	11/20/15	$16,603		$4,164
Apple, Inc	AAPL	100	1/2/15	$10,817	11/20/15	$11,942		$1,125
ConocoPhillips	COP	700	9/2/15	$33,523	11/20/15	$37,219		$3,696
Lockheed Martin Corp	LMT	100	10/30/15	$21,906	11/20/15	$22,640		$734
Amazon Com, Inc	AMZN	35	9/4/15	$17,415	11/23/15	$23,835		$6,420
Amazon Com, Inc	AMZN	5	9/18/15	$2,704	11/23/15	$3,405		$701
Gilead Sciences, Inc	GILD	200	9/16/15	$22,116	11/23/15	$21,367		($749)
Gilead Sciences, Inc	GILD	200	9/17/15	$22,328	11/23/15	$21,367		($961)
Gilead Sciences, Inc	GILD	100	9/18/15	$10,967	11/23/15	$10,684		($283)
Gilead Sciences, Inc	GILD	200	9/28/15	$19,307	11/23/15	$21,367		$2,060
Lockheed Martin Corp	LMT	130	10/30/15	$28,488	11/23/15	$29,342		$854
Lockheed Martin Corp	LMT	70	11/3/15	$15,293	11/23/15	$15,799		$506
Starbucks Corp	SBUX	300	8/25/15	$15,674	11/23/15	$18,835		$3,161
Walt Disney Co	DIS	100	8/18/15	$10,686	11/23/15	$11,917		$1,231

The Wallingford Historical Society, Inc.

ID #: 60-6035188

Year Ending 9-30-2016

Part IV, Line 1 Capital Gains and Losses for Tax on Investment Income

Issue	Symbol	# of shs	Purch Date	Cost Basis	Sale Date	Sale Price	Long Term Gain/Loss	Short Term Gain/Loss
Amazon Com, Inc	AMZN	35	9/18/15	$18,928	12/2/15	$23,835		$4,907
Apple, Inc	AAPL	100	1/2/15	$10,817	12/2/15	$11,775		$958
Facebook, Inc	FB	200	2/2/15	$14,879	12/2/15	$21,341		$6,462
Lockheed Martin Corp	LMT	80	11/3/15	$17,478	12/2/15	$17,593		$115
Lockheed Martin Corp	LMT	120	11/5/15	$26,292	12/2/15	$26,389		$97
Starbucks Corp	SBUX	900	8/25/15	$47,171	12/2/15	$55,305		$8,134
Amazon Com, Inc	AMZN	10	9/8/15	$5,408	12/3/15	$6,782		$1,374
Amazon Com, Inc	AMZN	40	9/28/15	$20,101	12/3/15	$27,129		$7,028
Panera Bread Co Cl A	PNRA	250	10/21/15	$64,797	12/3/15	$64,242		($555)
Panera Bread Co Cl A	PNRA	150	10/26/15	$28,323	12/3/15	$27,536		($787)
Continental Resource	CLR	1300	5/28/15	$57,609	2/3/16	$26,666		($30,943)
Walt Disney Co	DIS	100	8/18/15	$10,686	2/9/16	$9,194		($1,492)
Mylan NV	MYL	700	1/13/16	$34,386	2/11/16	$28,821		($5,565)
Mylan NV	MYL	200	2/2/16	$10,200	2/11/16	$8,235		($1,965)
Mylan NV	MYL	200	2/9/16	$10,012	2/11/16	$8,235		($1,777)
Accenture PLC F Cl A		600	1/13/16	$59,662	2/19/16	$59,369		($293)
Accenture PLC F Cl A		100	1/15/16	$9,886	2/19/16	$9,895		$9
Accenture PLC F Cl A		200	2/5/16	$19,752	2/19/16	$19,791		$39
Accenture PLC F Cl A		100	2/9/16	$9,430	2/19/16	$9,895		$465
Goldman Sachs Grp	GS	300	1/13/16	$47,902	2/19/16	$43,995		($3,907)
Honeywell Intl, Inc	HON	300	1/20/16	$28,483	2/19/16	$32,154		$3,671
Lockheed Martin Corp	LMT	150	1/20/16	$31,451	2/19/16	$32,018		$567
Lockheed Martin Corp	LMT	100	2/11/16	$20,734	2/19/16	$21,345		$611

The Wallingford Historical Society, Inc.

ID #: 60-6035188

Year Ending 9-30-2016

Part IV, Line 1 Capital Gains and Losses for Tax on Investment Income

Issue	Symbol	# of shs	Purch Date	Cost Basis	Sale Date	Sale Price	Long Term Gain/Loss	Short Term Gain/Loss
Pepisco Incorporated	PEP	400	1/13/16	$38,640	2/19/16	$39,735		$1,095
Starbucks Corp	SBUX	500	1/13/16	$29,019	2/19/16	$28,811		($208)
Facebook, Inc	FB	200	9/28/15	$17,918	2/22/16	$21,325		$3,407
Facebook, Inc	FB	600	1/13/16	$57,617	2/22/16	$64,066		$6,449
Pepisco Incorporated	PEP	200	1/13/16	$19,320	2/22/16	$20,086		$766
Pepisco Incorporated	PEP	200	1/15/16	$18,701	2/22/16	$20,086		$1,385
Starbucks Corp	SBUX	500	1/13/16	$29,017	2/22/16	$29,173		$156
Starbucks Corp	SBUX	500	1/15/16	$28,452	2/22/16	$29,218		$766
Starbucks Corp	SBUX	200	2/5/16	$10,869	2/22/16	$11,687		$818
Walt Disney Co	DIS	50	8/18/15	$5,343	2/22/16	$4,814		($529)
Walt Disney Co	DIS	350	8/25/15	$34,153	2/22/16	$33,697		($456)
Apple, Inc	AAPL	400	1/13/16	$39,235	2/23/16	$38,370		($865)
Walt Disney Co	DIS	500	12/18/15	$54,432	2/23/16	$48,005		($6,427)
EOG Resources, Inc	EOG	300	5/28/15	$26,429	4/15/16	$22,663		($3,766)
Nike, Inc Cl B	NIKE	5	4/27/16	$297	5/6/16	$281		($16)
JP Morgan Chase & Co	JPM	1000	4/27/16	$64,244	6/24/16	$59,771		($4,473)
JP Morgan Chase & Co	JPM	500	5/4/16	$30,973	6/24/16	$29,885		($1,088)
Microsoft Corp	MSFT	800	4/27/16	$41,098	6/24/16	$39,951		($1,147)
Nike, Inc Cl B	NIKE	1195	4/27/16	$70,915	6/24/16	$63,050		($7,865)
Microsoft Corp	MSFT	700	4/27/16	$35,711	6/27/16	$34,202		($1,509)
Starbucks Corp	SBUX	1200	4/27/16	$68,585	6/27/16	$64,511		($4,074)
Hershey Company	HSY	300	5/4/16	$27,578	7/5/16	$33,803		$6,225
Apple, Inc	AAPL	300	4/27/16	$28,809	8/1/16	$31,757		$2,948

The Wallingford Historical Society, Inc.

ID #: 60-6035188

Year Ending 9-30-2016

Part IV, Line 1 Capital Gains and Losses for Tax on Investment Income

Issue	Symbol	# of shs	Purch Date	Cost Basis	Sale Date	Sale Price	Long Term Gain/Loss	Short Term Gain/Loss
Hershey Company	HSY	100	5/4/16	$9,191	8/9/16	$11,033		$1,842
Hershey Company	HSY	300	5/4/16	$27,574	8/16/16	$33,395		$5,821
Panera Bread Co Cl A	PNRA	400	4/24/16	$85,462	8/16/16	$87,989		$2,527
Alphabet, Inc	GOOG	20	4/27/16	$14,149	8/18/16	$15,582		$1,433
Apple, Inc	AAPL	200	4/27/16	$19,403	8/18/16	$21,877		$2,474
Caterpillar, Inc	CAT	200	6/24/16	$14,677	8/18/16	$16,625		$1,948
Facebook, Inc	FB	200	4/27/16	$21,594	8/18/16	$24,804		$3,210
Caterpillar, Inc	CAT	400	6/24/16	$29,354	9/12/16	$32,428		$3,074
Caterpillar, Inc	CAT	400	6/27/16	$28,581	9/12/16	$32,774		$4,193
EOG Resources, Inc	EOG	50	10/24/13	$4,558	10/5/15	$4,024	($534)	
EOG Resources, Inc	EOG	50	11/7/13	$4,228	10/5/15	$4,024	($204)	
EOG Resources, Inc	EOG	100	11/7/13	$8,455	11/5/15	$8,688	$233	
Apple, Inc	AAPL	200	1/2/15	$21,633	2/22/16	$19,238	($2,395)	
Apple, Inc	AAPL	100	1/5/15	$10,729	2/22/16	$9,619	($1,110)	
Facebook, Inc	FB	200	2/2/15	$14,879	2/22/16	$21,325	$6,446	
Apple, Inc	AAPL	100	1/5/15	$10,684	2/23/16	$9,593	($1,091)	
Apple, Inc	AAPL	200	1/16/15	$21,120	2/23/16	$19,185	($1,935)	
EOG Resources, Inc	EOG	200	11/7/13	$16,910	4/12/16	$15,495	($1,415)	
EOG Resources, Inc	EOG	200	12/30/13	$16,756	4/12/16	$15,495	($1,261)	
EOG Resources, Inc	EOG	200	1/30/14	$16,508	4/15/16	$15,109	($1,399)	
Total Short-Term Cost Basis				$2,049,278				
Total Short-Term Proceeds						$2,095,643		
Total Long-Term Cost Basis				$146,460				
Total Long-Term Proceeds						$141,795		
Total **LONG**-Term (Losses)							($4,665)	
Total **SHORT**-Term Gains								$46,365