



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2015**

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2015 or tax year beginning 10/1/2015, and ending 9/30/2016

Name of foundation: **ANSONIA FOUNDATION ADVISORY A/C**

Number and street (or P O box number if mail is not delivered to street address): **C/O JEFFREY C STURGESS, 35 ROSEBROOK ROAD**

City or town: **NEW CANAAN** State: **CT** ZIP code: **06840-3727**

Foreign country name: \_\_\_\_\_ Foreign province/state/county: \_\_\_\_\_ Foreign postal code: \_\_\_\_\_

**A** Employer identification number: **06-6033969**

**B** Telephone number (see instructions): **(203) 266-2932**

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 570,252**

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	8,694	8,623		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	9,484			
	b Gross sales price for all assets on line 6a 127,414				
	7 Capital gain net income (from Part IV, line 2)		9,484		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 <b>Total. Add lines 1 through 11</b>	18,178	18,107	0		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	9,634	5,781		3,853
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,269	232		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	750	1		749
	24 <b>Total operating and administrative expenses. Add lines 13 through 23</b>	11,653	6,014	0	4,602
	25 Contributions, gifts, grants paid	33,850			33,850
26 <b>Total expenses and disbursements. Add lines 24 and 25</b>	45,503	6,014	0	38,452	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements	-27,325				
b <b>Net investment income</b> (if negative, enter -0-)		12,093			
c <b>Adjusted net income</b> (if negative, enter -0-)			0		

RECEIVED  
FEB 22 2017  
OGDEN, UT

SCANNED FEB 23 2017

IRS-OSC

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2015)

A 13

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	4,799	4,735	4,735
	2	Savings and temporary cash investments	13,709	9,904	9,904
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	503,072	478,656	555,613	
14	Land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	521,580	493,295	570,252	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	521,580	493,295	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see instructions)	521,580	493,295		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	521,580	493,295		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 521,580
2	Enter amount from Part I, line 27a	2 -27,325
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3	4 494,255
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5 960
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30</b>	6 493,295

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	See Attached Statement			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	9,484
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	31,518	603,713	0.052207
2013	26,680	620,477	0.042999
2012	28,426	564,963	0.050315
2011	26,320	539,971	0.048743
2010	35,322	569,891	0.061980

  

<b>2</b>	Total of line 1, column (d)	<b>2</b>	0.256244
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.051249
<b>4</b>	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	<b>4</b>	563,057
<b>5</b>	Multiply line 4 by line 3	<b>5</b>	28,856
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	121
<b>7</b>	Add lines 5 and 6	<b>7</b>	28,977
<b>8</b>	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	<b>8</b>	38,452

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	121
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
<b>3</b>	Add lines 1 and 2	3	121
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	121
<b>6</b>	Credits/Payments.		
<b>a</b>	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	936
<b>b</b>	Exempt foreign organizations—tax withheld at source	6b	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	6c	
<b>d</b>	Backup withholding erroneously withheld	6d	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	7	936
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	0
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	815
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2016 estimated tax</b> 121 <b>Refunded</b>	11	694

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b>		X
<b>1b</b>		X
<b>1c</b>		X
<b>2</b>		X
<b>3</b>		X
<b>4a</b>		X
<b>4b</b>	N/A	
<b>5</b>		X
<b>6</b>	X	
<b>7</b>	X	
<b>8a</b>		
<b>8b</b>	X	
<b>9</b>		X
<b>10</b>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ BNY MELLON, N A Telephone no ▶ (203) 266-2932 Located at ▶ P.O. BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here, and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a During the year did the foundation pay or incur any amount to
  - (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No
- b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No
- Organizations relying on a current notice regarding disaster assistance check here
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

5b	N/A		
6b			X
7b	N/A		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEFFREY C STURGESS 35 ROSEBROOK ROAD NEW CANAAN, CT 06840	PRESIDENT 1 00	NONE	NONE	NONE

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000  NONE

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services ▶ NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	\$
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶ NONE

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions )

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	541,908
<b>b</b>	Average of monthly cash balances	<b>1b</b>	29,723
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	571,631
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	571,631
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	8,574
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	563,057
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	28,153

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part )

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	28,153
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5	<b>2a</b>	121
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI )	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	121
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	28,032
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	28,032
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	28,032

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	38,452
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	NONE
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	38,452
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	121
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	38,331

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				28,032
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only			4,776	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010				
<b>b</b> From 2011				
<b>c</b> From 2012				
<b>d</b> From 2013				
<b>e</b> From 2014				
<b>f</b> Total of lines 3a through e	0			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>38,452</u>				
<b>a</b> Applied to 2014, but not more than line 2a			4,776	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2015 distributable amount				28,032
<b>e</b> Remaining amount distributed out of corpus	5,644			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5	5,644			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2015 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	5,644			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011				
<b>b</b> Excess from 2012				
<b>c</b> Excess from 2013				
<b>d</b> Excess from 2014				
<b>e</b> Excess from 2015	5,644			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶ \_\_\_\_\_

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>b</b> 85% of line 2a					0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
<b>(3)</b> Largest amount of support from an exempt organization					0
<b>(4)</b> Gross investment income					0

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ANSONIA FOUNDATION, C/O JEFFREY C STURGESS, 35 ROSEBROOK ROAD, NEW CANAAN, CT 06840

**b** The form in which applications should be submitted and information and materials they should include:

WRITTEN LETTER, INCLUDE FULL FINANCIAL PURPOSE OF GIFT

**c** Any submission deadlines

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANT NOT TO EXCEED \$5,000 IN A FISCAL YEAR, FAVOR NORTHEAST INSTITUTION

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p><b>a</b> <i>Paid during the year</i> See Attached Statement</p>				
<p><b>Total</b></p>			▶ <b>3a</b>	33,850
<p><b>b</b> <i>Approved for future payment</i> NONE</p>				
<p><b>Total</b></p>			▶ <b>3b</b>	NONE





**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

NEW CANAAN NATURE CENTER

**Street**

144 OENOKE RIDGE

**City**

NEW CANAAN

**State**

CT

**Zip Code**

06840

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

500

**Name**

METROPOLITAN MUSEUM OF ART

**Street**

1000 5TH AVE

**City**

NEW YORK

**State**

NY

**Zip Code**

10028

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

600

**Name**

PLANNED PARENTHOOD SNE

**Street**

345 WHITNEY AVENUE

**City**

NEW HAVEN

**State**

CT

**Zip Code**

06511

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

500

**Name**

PLANNED PARENTHOOD

**Street**

BOX 97166

**City**

WASHINGTON

**State**

DC

**Zip Code**

20090-7166

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

500

**Name**

TABOR ACADEMY

**Street**

66 SPRING STREET

**City**

MARION

**State**

MA

**Zip Code**

02738

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

10,000

**Name**

TRINITY COLLEGE

**Street**

300 SUMMIT STREET

**City**

HARTFORD

**State**

CT

**Zip Code**

06106

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

5,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

AMERICARES FREE CLINICS

**Street**

88 HAMILTON AVE

**City**

STAMFORD

**State**

CT

**Zip Code**

06902

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

500

**Name**

YALE CANCER CENTER

**Street**

BOX 489

**City**

WILTON

**State**

CT

**Zip Code**

06897

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

1,000

**Name**

VISITING NURSE &amp; HOSPICE CARE OF FAIRFIELD COUNTY

**Street**

PO BOX 489

**City**

WILTON

**State**

CT

**Zip Code**

06897-0489

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

500

**Name**

ST MARKS EPISCOPAL CHURCH

**Street**

111 OENOKE RIDGE ROAD

**City**

NEW CANAAN

**State**

CT

**Zip Code**

06840

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

2,750

**Name**

VASSAR COLLEGE

**Street**

124 RAYMOND AVE

**City**

POUGHKEEPSIE

**State**

NY

**Zip Code**

12604

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

500

**Name**

HATHAWAY BROWN SCHOOL

**Street**

19600 N PARK BLVD

**City**

SHAKER HEIGHTS

**State**

OH

**Zip Code**

44122

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

10,500

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

NEW CANAAN LIBRARY

**Street**

151 MAIN ST

**City**

NEW CANAAN

**State**

CT

**Zip Code**

06840

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

500

**Name**

THE NATURE CONSERVANCY

**Street**

322 8TH AVE

**City**

NEW YORK

**State**

NY

**Zip Code**

10001

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING PURPOSES

**Amount**

500

**Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Line	Description	CUSIP #	Amount		Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Capital Gains/Losses		Gross Sales	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
			Long Term CG Distributions	Short Term CG Distributions					Gross Sales	Other sales						
1	AFLAC INC	001055102		7,119	X	6/10/2014	10/19/2015	609	1,818	633	127,414					9,484
2	AFLAC INC	001055102		1,818	X	6/10/2014	10/20/2015	1,895	-77							-24
3	AT&T INC	002068102		338	X	8/4/2015	12/8/2015	338	-9							-9
4	ABBVIE INC	002871108		550	X	7/18/2014	10/12/2015	550	9							9
5	ABBVIE INC	002871108		2,083	X	7/18/2014	11/3/2016	2,083	-135							-135
6	ACTIVISION BLIZZARD INC	005071109		329	X	10/13/2015	2/3/2016	329	1							1
7	ACTIVISION BLIZZARD INC	005071109		961	X	10/9/2015	2/4/2016	961	-5							-5
8	ADDBE SYSTEMS INC	00724F101		820	X	8/4/2015	7/12/2016	820	155							155
9	ANGEN INC	031162100		461	X	10/12/2015	7/8/2016	461	17							17
10	ANACOR PHARMACEUTICAL	032420101		598	X	7/15/2015	5/26/2016	598	803							205
11	ANACOR PHARMACEUTICAL	032420101		199	X	7/29/2015	5/26/2016	199	-97							-97
12	ANADARKO PETROLEUM CO	032511107		1,178	X	1/7/2015	11/12/2015	1,540	-362							-362
13	ANADARKO PETROLEUM CO	032511107		589	X	10/7/2015	11/12/2015	688	-99							-99
14	ANALOG DEVICES INC	032854105		724	X	10/21/2015	1/6/2016	724	-142							-142
15	ANALOG DEVICES INC	032854105		1,317	X	10/21/2015	1/7/2016	1,509	-192							-192
16	ANHEUSER BUSCH INBEV SF	03524A108		602	X	4/14/2014	1/6/2016	602	37							37
17	ANHEUSER BUSCH INBEV SF	03524A108		881	X	4/15/2014	1/6/2016	881	126							126
18	ANHEUSER BUSCH INBEV SF	03524A108		778	X	12/28/2009	12/14/2015	778	567							567
19	APPLE INC	037833100		721	X	12/28/2009	1/5/2016	721	510							510
20	APPLE INC	037833100		198	X	3/30/2016	6/8/2016	198	-21							-21
21	APPLE INC	037833100		219	X	3/31/2016	6/8/2016	219	-82							-82
22	APPLE INC	037833100		1,582	X	12/28/2009	6/8/2016	1,582	1,099							1,099
23	APPLE INC	037833100		30	X	12/28/2009	9/8/2016	106	76							76
24	APPLE INC	037833100		18,917	X	12/28/2009	10/5/2015	15,000	-3,917							-3,917
25	BNY MELLON EMERGING MK	05569M855		1,110	X	12/31/2009	11/20/2015	1,346	-236							-236
26	BNY MELLON EMERGING MK	05569M855		1,890	X	12/22/2014	11/20/2015	2,178	-288							-288
27	BNY MELLON EMERGING MK	05569M855		264	X	8/3/2015	1/20/2016	264	-59							-59
28	BIOGEN INC	09062X103		264	X	8/3/2015	1/20/2016	264	-66							-66
29	BIOGEN INC	09062X103		970	X	8/4/2015	1/20/2016	970	-64							-64
30	BIOGEN INC	09062X103		1,034	X	8/25/2015	5/26/2016	1,034	-8							-8
31	CVS HEALTH CORPORATION	126850100		97	X	8/25/2015	5/26/2016	97	103							103
32	CVS HEALTH CORPORATION	126850100		899	X	9/22/2015	8/11/2016	873	-26							-26
33	CVS HEALTH CORPORATION	126850100		1,507	X	6/4/2014	9/14/2016	1,507	89							89
34	CARDINAL HEALTH INC	14149Y108		753	X	6/5/2014	9/14/2016	753	41							41
35	CHEESECAKE FACTORY INC	163072101		410	X	2/19/2015	4/18/2016	396	14							14
36	CHEESECAKE FACTORY INC	163072101		605	X	2/19/2015	4/18/2016	595	10							10
37	CHEESECAKE FACTORY INC	163072101		303	X	12/15/2014	4/19/2016	292	11							11
38	CHEESECAKE FACTORY INC	163072101		202	X	12/15/2014	4/20/2016	202	21							21
39	CHEESECAKE FACTORY INC	163072101		505	X	12/15/2014	4/20/2016	484	21							21
40	CHEVRON CORPORATION	166764100		101	X	3/3/2016	5/3/2016	101	14							14
41	CHEVRON CORPORATION	166764100		715	X	6/27/2016	7/27/2016	607	108							108
42	CISCO SYSTEMS INC	17275R102		284	X	18/2015	10/21/2015	284	4							4
43	CISCO SYSTEMS INC	17275R102		273	X	16/2015	10/21/2015	273	11							11
44	CISCO SYSTEMS INC	17275R102		1,087	X	16/2015	12/1/2015	1,083	4							4
45	COGNIZANT TECHNOLOGY S	192446102		595	X	12/1/2015	5/27/2016	595	-96							-96
46	EXELON CORP	30161N101		341	X	8/19/2015	5/31/2016	342	-1							-1
47	EXELON CORP	30161N101		341	X	8/20/2015	5/31/2016	341	0							0
48	EXXON MOBIL CORP	30231G102		164	X	6/18/2014	3/3/2016	164	-41							-41
49	EXXON MOBIL CORP	30231G102		823	X	7/22/2015	3/3/2016	823	-2							-2
50	EXXON MOBIL CORP	30231G102		592	X	8/21/2015	3/3/2016	592	65							65
51	EXXON MOBIL CORP	30231G102		148	X	8/21/2015	3/17/2016	148	20							20
52	EXXON MOBIL CORP	30231G102		673	X	2/19/1997	3/17/2016	673	607							607
53	FACEBOOK INC-A	30303M102		1,024	X	12/16/2014	9/30/2016	1,024	421							421
54	F N F GROUP	31620R303		379	X	12/10/2013	10/19/2015	379	152							152
55	F N F GROUP	31620R303		349	X	12/10/2013	10/20/2015	349	97							97
56	F N F GROUP	31620R303		173	X	12/10/2013	10/21/2015	173	47							47
57	F N F GROUP	31620R303		381	X	12/9/2013	10/21/2015	381	111							111
58	F N F GROUP	31620R303		467	X	12/9/2013	10/22/2015	467	54							54
59	HALLIBURTON CO	406216101		447	X	12/31/2014	6/22/2016	447	153							153
60	HALLIBURTON CO	406216101		447	X	2/9/2010	6/22/2016	447	-152							-152
61	HARLEY DAVIDSON INC	412822108		864	X	8/16/2013	1/7/2016	864	302							302
62	HARLEY DAVIDSON INC	412822108		730	X	10/20/2014	7/20/2016	730	143							143
63	HARTFORD FINL SVCS GRO	416515104		425	X	12/19/2014	1/26/2016	425	-100							-100
64	HERSHEY CO	427866108		425	X	12/19/2014	1/26/2016	425	-100							-100
65	HERSHEY CO	427866108		891	X	1/7/2015	3/22/2016	891	136							136
66	HERSHEY CO	427866108		90	X	1/7/2015	3/22/2016	90	-15							-15
67	HERSHEY CO	427866108		1,314	X	1/17/2013	7/20/2016	1,314	659							659
68	INTERCONTINENTAL EXCHA	45866F104		898	X	8/4/2015	5/19/2016	898	96							96
69	JOHNSON & JOHNSON	478160104		834	X	1/7/2016	5/9/2016	834	-184							-184
70	KOHL'S CORP	500255102		571	X	12/9/2015	6/30/2016	571	-32							-32
71	COGNIZANT TECHNOLOGY S	192446102		571	X	12/9/2015	6/30/2016	571	0							0
72	COGNIZANT TECHNOLOGY S	192446102		571	X	12/14/2015	6/30/2016	571	-23							-23

Part I. Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Amount		Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Capital Gains/Losses		Gross Sales	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
		Long Term CG Distributions	Short Term CG Distributions							Gross Sales	Other sales						
73 COMCAST CORP CL A	20030N101	7,119	0	X			12/16/2013	1/28/2015	596	495	127,414	117,930	0	0	0	0	9,484
74 COMCAST CORP CL A	20030N101			X			10/14/2013	1/7/2016	552	464							88
75 COMCAST CORP CL A	20030N101			X			4/16/2012	1/13/2016	538	297							241
76 COMCAST CORP CL A	20030N101			X			4/16/2012	1/21/2016	543	297							246
77 COMCAST CORP CL A	20030N101			X			4/16/2012	8/19/2016	685	671							14
78 COMCAST CORP CL A	20030N101			X			4/16/2012	9/2/2016	680	297							383
79 COSTCO WHSL CORP NEW	22160K105			X			12/28/2009	10/7/2015	591	242							349
80 DEVON ENERGY CORPORAT	25179M103			X			3/17/2016	9/27/2016	820	242							326
81 DOMINION RES INC VA NEW	25746U109			X			1/6/2016	8/23/2016	759	685							74
82 DREYFUS DIVERSIFIED EM	26203E646			X			1/31/2014	11/20/2015	7,045	767							216
83 ELECTRONIC ARTS INC	285512109			X			7/18/2014	10/9/2015	1,296	383							529
84 ELECTRONIC ARTS INC	285512109			X			7/18/2014	12/9/2015	671	288							288
85 EXELON CORP	30181N101			X			9/15/2014	2/4/2016	1,163	730							15
86 EXELON CORP	30181N101			X			8/19/2015	3/17/2016	688	683							146
87 PNC FINANCIAL SERVICES G	693475105			X			12/28/2009	1/20/2016	418	272							206
88 PNC FINANCIAL SERVICES G	693475105			X			1/18/2011	1/20/2016	836	540							296
89 P V H CORP	693656100			X			4/24/2014	10/30/2015	183	242							59
90 P V H CORP	693656100			X			6/21/2013	10/30/2015	731	966							235
91 P V H CORP	693656100			X			6/21/2013	12/4/2015	978	1,449							471
92 P V H CORP	693656100			X			4/1/2015	12/4/2015	321	244							77
93 PALO ALTO NETWORKS INC	697435105			X			7/29/2015	7/21/2016	127	182							55
94 PALO ALTO NETWORKS INC	697435105			X			10/27/2015	7/21/2016	263	317							64
95 PALO ALTO NETWORKS INC	697435105			X			10/27/2015	8/2/2016	253	317							64
96 PALO ALTO NETWORKS INC	697435105			X			4/21/2015	8/2/2016	380	461							51
97 PFIZER INC	717081103			X			10/22/2013	9/14/2016	678	615							63
98 PFIZER INC	717081103			X			1/8/2015	9/14/2016	325	325							14
99 PFIZER INC	717081103			X			5/1/1987	9/14/2016	339	29							310
100 PHILLIPS 66	718546104			X			11/20/2014	2/11/2016	777	727							50
101 PHILLIPS 66	718546104			X			12/10/2014	2/11/2016	727	671							56
102 PIONEER NATURAL RESOUR	723787107			X			2/19/2015	5/3/2016	319	320							1
103 PIONEER NATURAL RESOUR	723787107			X			2/17/2015	5/3/2016	314	319							5
104 PIONEER NATURAL RESOUR	723787107			X			2/17/2015	8/25/2016	721	629							82
105 SALESTORGE COM INC	794661302			X			5/22/2015	10/21/2016	758	235							523
106 SCHLUMBERGER LTD	806857108			X			5/22/2015	8/23/2016	818	921							103
107 SEMpra ENERGY	816851109			X			5/6/2015	1/6/2016	369	413							44
108 SEMpra ENERGY	816851109			X			6/29/2015	1/6/2016	646	697							51
109 SEMpra ENERGY	816851109			X			12/28/2009	1/7/2016	1,670	1,071							599
110 SEMpra ENERGY	816851109			X			6/29/2015	1/7/2016	264	299							35
111 SERVICENOW INC	81762P102			X			8/15/2013	3/17/2016	1,217	870							347
112 MICROSOFT CORPORATION	594918104			X			1/5/2016	6/23/2016	518	552							34
113 MICROSOFT CORPORATION	594918104			X			1/5/2016	6/23/2016	518	540							22
114 MICROSOFT CORPORATION	594918104			X			4/20/2016	6/23/2016	1,036	1,123							87
115 MICROSOFT CORPORATION	594918104			X			1/7/2016	6/23/2016	518	529							11
116 MICROSOFT CORPORATION	594918104			X			1/7/2016	9/2/2016	575	529							46
117 MONSTER BEVERAGE CORP	61174X109			X			1/3/2014	10/7/2015	673	348							325
118 NETFLIX COM INC	64110L106			X			1/7/2016	7/19/2016	474	474							132
119 NETFLIX COM INC	64110L106			X			1/3/2016	7/19/2016	512	649							137
120 NETFLIX COM INC	64110L106			X			1/3/2016	7/19/2016	512	649							137
121 PNC FINANCIAL SERVICES G	693475105			X			12/28/2009	1/19/2016	1,280	814							466
122 SERVICENOW INC	81762P102			X			8/14/2013	3/20/2016	613	431							182
123 SERVICENOW INC	81762P102			X			8/14/2013	3/1/2016	608	431							177
124 ICW EMERGING MARKETS II	87234N165			X			5/7/2013	10/5/2016	9,674	11,920							2,246
125 UNION PAC CORP	907818108			X			12/28/2009	1/22/2016	1,248	596							642
126 UNION PAC CORP	907818108			X			8/3/2015	1/22/2016	693	963							270
127 UNION PAC CORP	907818108			X			8/4/2015	1/22/2016	962	962							269
128 VALERO ENERGY CORP NEW	91913Y100			X			12/28/2009	12/8/2015	708	156							552
129 VERIZON COMMUNICATIONS	92343V104			X			8/12/2015	12/8/2015	457	473							16
130 VERIZON COMMUNICATIONS	92343V104			X			9/29/2015	12/8/2015	457	436							21
131 ALLERGAN PLC	G0177J108			X			9/30/2013	1/20/2016	868	432							436
132 ALLERGAN PLC	G0177J108			X			9/30/2013	1/20/2016	868	432							436
133 ACCENTURE PLC-CL A	G1151C101			X			8/19/2015	10/13/2015	415	411							4
134 ACCENTURE PLC-CL A	G1151C101			X			7/29/2015	4/20/2016	463	410							53
135 ACCENTURE PLC-CL A	G1151C101			X			8/19/2015	4/20/2016	347	308							39
136 ACCENTURE PLC-CL A	G1151C101			X			8/19/2015	4/20/2016	347	308							39
137 TE CONNECTIVITY LTD	H84989104			X			5/2/2014	10/21/2015	583	583							22
138 TE CONNECTIVITY LTD	H84989104			X			5/3/2014	10/21/2015	615	604							11
139 TE CONNECTIVITY LTD	H84989104			X			5/7/2014	10/21/2015	621	593							28
140 TE CONNECTIVITY LTD	H84989104			X			4/30/2014	10/29/2015	640	590							50
141 BROADCOM LTD	Y09827109			X			3/17/2016	9/2/2016	518	454							64
142 ACCENTURE PLC-CL A	G1151C101			X			4/21/2015	4/20/2016	463	373							90

**Part I, Line 16c (990-PF) - Other Professional Fees**

		9,634	5,781	0	3,853
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)	
1   BNY MELLON, N A - AGENT FEE	9,634	5,781		3,853	

**Part I, Line 18 (990-PF) - Taxes**

		1,269	232	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES WITHHELD	232	232		
2	PRIOR YEAR EXCISE TAXES DUE	101			
3	ESTIMATED EXCISE TAX PAYMENTS	936			

**Part I, Line 23 (990-PF) - Other Expenses**

		750	1	0	749
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	CORPORATION SERVICE COMPANY FEE	519			519
2	CHECKBOOK MAINTENANCE FEE	230			230
3	ADR FEES	1	1		

Part II, Line 13 (990-PF) - Investments - Other

		503,072	478,656	555,613
1	Asset Description	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
2	ALLERGAN PLC	0	1,691	2,303
3	ACCENTURE PLC IRELAND SHS CLA	0	1,392	1,955
4	EATON CORP PLC	0	2,156	3,023
5	INGERSOLL-RAND PLC	0	1,917	4,076
6	INVECO LTD	0	2,592	3,440
7	NABORS INDUSTRIES LTD COM	0	1,629	1,946
8	NXP SEMICONDUCTORS N V	0	3,968	5,100
9	BROADCOM LTD	0	1,999	4,831
10	AT&T INC	0	3,116	3,655
11	ABBOTT LABORATORIES	0	1,515	1,692
12	ADOBE SYS INC COM	0	1,148	3,256
13	ADVANTAGE DYN TOTAL RET -Y	0	25,000	25,253
14	LAETNA INC	0	1,796	1,963
15	AGILENT TECHNOLOGIES INC	0	2,063	2,354
16	ALLY FINANCIAL INC	0	2,396	2,142
17	ALPHABET INC/CA	0	4,934	7,237
18	AMAZON COM INC	0	2,000	6,698
19	AMERICAN TOWER CORP-CL A	0	2,837	3,400
20	AMGEN INC	0	818	1,168
21	APPLE COMPUTER INC COM	0	905	3,392
22	BNY MELLON INCOME STK FD CL M	0	19,978	22,544
23	BNY MELLON MID CAP STK FD CL M	0	53,468	67,442
24	BNY MELLON INTL APPR FD CL M	0	39,363	40,070
25	BNY MELLON S/T US GVT SECS FD CL M	0	3,781	3,655
26	BNY MELLON INTERMEDIATE BOND FD C	0	48,106	47,286
27	BNY MELLON EMERGING MKTS FD CL M	0	17,822	16,846
28	BANK AMER CORP	0	4,619	4,382
29	BIOMARIN PHARMACEUTICAL INC	0	1,171	1,018
30	BIOGEN IDEC INC	0	1,538	1,565
31	BRISTOL MYERS SQUIBB CO COM	0	1,414	1,618
32	CAPITAL ONE FINL CORP COM	0	2,152	2,873
33	CELANESE CORP DEL	0	1,366	2,662
34	CELGENE CORP	0	1,381	1,359
35	CHEVRONTEXACO CORP	0	1,653	2,161
36	CITIZENS FINANCIAL GROUP INC	0	2,179	2,471
37	COMCAST CORP NEW CL A	0	889	1,990
38	CONSTELLATION BRANDS INC	0	1,658	2,164
39	COSTCO WHSL CORP NEW	0	984	1,678
40	DISNEY (WALT) COMPANY HOLDING CO	0	582	1,671
41	DOMINION RES INC VA NEW	0	2,055	2,228
42	DOW CHEMICAL COMPANY COMMON	0	2,452	2,592
43	DYNEGY INC/NEW	0	1,899	1,487
44	EXELON CORP	0	1,577	1,664
45	EXXON MOBIL CORP	0	181	1,920
46	FACEBOOK INC	0	3,258	7,696

Part II, Line 13 (990-PF) - Investments - Other

		503,072	478,656	555,613
Asset Description		Book Value	Book Value	FMV
		Beg. of Year	End of Year	End of Year
	Basis of Valuation			
47	GENERAL ELECTRIC CO	0	2,252	2,370
48	HALLIBURTON COMPANY COM	0	1,178	1,795
49	HARTFORD FINL SVCS GROUP INC COM	0	1,824	2,141
50	DREYFUS PREM LT TRM HI YLD-R	0	10,000	9,672
51	DREYFUS GLOBAL REAL ES SEC-Y	0	9,938	10,329
52	DREYFUS INTL SMALL CAP-Y	0	25,000	24,693
53	HOME DEPOT INC USD 0.05	0	822	3,603
54	HONEYWELL INTL INC	0	1,392	4,081
55	ILLINOIS TOOL WORKS INC COM	0	2,604	3,595
56	INTEL CORPORATION	0	2,633	3,020
57	INTERCONTINENTAL EXCHANGE GRO	0	1,573	3,232
58	JOHNSON & JOHNSON COM	0	2,579	3,071
59	ELI LILLY & CO COM	0	1,635	1,605
60	MARATHON PETROLEUM CORP	0	1,943	1,624
61	MERCK & CO INC	0	2,089	2,496
62	MICROSOFT CORP COM	0	1,363	1,728
63	MONDELEZ INTERNATIONAL INC	0	1,634	1,756
64	MONSTER BEVERAGE CORP	0	1,367	2,936
65	ASG GLOBAL ALTERNATIVES FUND-Y	0	40,000	34,406
66	NIKE INC CL B	0	2,351	2,211
67	NUCOR CORP	0	1,743	1,978
68	PALO ALTO NETWORKS INC	0	1,619	1,753
69	PANERA BREAD CO	0	2,159	1,947
70	PEPSICO INC	0	2,448	4,351
71	PFIZER INC COM	0	215	2,473
72	PHILIP MORRIS INTERNATIONAL	0	2,193	3,889
73	PIONEER NAT RES CO	0	1,169	1,485
74	PROCTER & GAMBLE CO COM	0	2,186	4,039
75	QUALCOMM INC	0	1,268	1,370
76	SALESFORCE COM INC	0	932	2,996
77	SCHLUMBERGER LIMITED COM	0	1,151	2,438
78	SCHWAB CHARLES CORP NEW	0	3,518	3,473
79	DREYFUS SELECT MGER S/C GR-Y	0	15,000	13,014
80	DREYFUS SELECT MGER S/C VL-Y	0	20,006	25,256
81	TAIWAN SEMICONDUCTOR ADR	0	1,286	1,530
82	3M CO	0	2,138	2,643
83	TIME WARNER INC	0	2,999	3,184
84	UNITED TECHNOLOGIES CORP	0	1,835	2,540
85	UNITED HEALTH GROUP INC	0	2,798	2,940
86	UNIVERSAL HEALTH SVCS INC CL B	0	1,804	1,602
87	VALERO ENERGY CORP NEW	0	2,127	3,180
88	VERIZON COMMUNICATIONS INC	0	588	1,040
89	VISA INC	0	3,094	3,308
90	VOYA FINANCIAL INC	0	2,831	2,306
91	WELLS FARGO & CO NEW	0	1,618	2,214
92	WILLIAMS CO INC	0	915	922

**Part II, Line 13 (990-PF) - Investments - Other**

		503,072	478,656	555,613
Asset Description		Book Value	Book Value	FMV
		Beg. of Year	End of Year	End of Year
93	YUM BRANDS INC	0	1,724	3,632
94	ZIMMER HLDGS INC	0	1,717	1,820

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

---

**Line 5 - Decreases not included in Part III, Line 2**

1	EXCESS FMV OVER COST OF ASSETS CONTRIBUTED - TCW EMERGING MARKETS INCOME FUND	1	25
2	GAIN ON CY SALES NOT SETTLED AT YEAR END	2	421
3	LOSS ON PY SALES SETTLED IN CY	3	413
4	RETURN OF CAPITAL ADJUSTMENT, CUSIP G29183103 - EATON CORP PLC	4	101
5	Total	5	960

---



Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Line	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expenses of Sale	Gain or Loss	FMV as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
70	KOHL'S CORP	500255104		1/17/2016	5/9/2016	834		0	1,018	-184				-184
71	COGNIZANT TECHNOLOGY S	192446102		12/9/2015	6/30/2016	571		0	603	-32				-32
72	COGNIZANT TECHNOLOGY S	192446102		12/14/2015	6/30/2016	571		0	594	-23				-23
73	COMCAST CORP CL A	20030N101		12/16/2013	12/8/2015	586		0	485	101				101
74	COMCAST CORP CL A	20030N101		10/14/2013	1/7/2016	582		0	464	88				88
75	COMCAST CORP CL A	20030N101		4/16/2012	1/13/2016	538		0	297	241				241
76	COMCAST CORP CL A	20030N101		4/16/2012	1/2/2016	543		0	297	246				246
77	COMCAST CORP CL A	20030N101		7/19/2016	8/19/2016	665		0	671	-6				-6
78	COMCAST CORP CL A	20030N101		4/16/2012	9/2/2016	660		0	297	363				363
79	COSTCO WHSL CORP NEW	22160K105		12/28/2009	10/7/2015	591		0	242	349				349
80	DEVON ENERGY CORPORAT	25179M103		3/17/2016	9/27/2016	1,148		0	820	326				326
81	DOMINION RES INC VA NEW	25748U109		1/6/2016	8/23/2016	759		0	685	74				74
82	DREYFUS DIVERSIFIED EM	26203E646		1/31/2014	11/20/2015	6829		0	7,045	-216				-216
83	ELECTRONIC ARTS INC	285512109		7/18/2014	10/9/2015	1,296		0	767	529				529
84	ELECTRONIC ARTS INC	285512109		7/18/2014	12/9/2015	671		0	383	288				288
85	ELECTRONIC ARTS INC	285512109		9/15/2014	2/4/2016	1,163		0	730	433				433
86	EXELON CORP	30161N101		8/19/2015	3/17/2016	698		0	683	15				15
87	PNC FINANCIAL SERVICES G	693475105		12/28/2009	1/20/2016	418		0	272	146				146
88	PNC FINANCIAL SERVICES G	693475105		11/8/2011	1/20/2016	836		0	540	296				296
89	PVH CORP	693656100		4/24/2014	10/30/2015	183		0	242	-59				-59
90	PVH CORP	693656100		6/21/2013	10/30/2015	731		0	966	-235				-235
91	PVH CORP	693656100		6/21/2013	12/4/2015	978		0	1,449	-471				-471
92	PVH CORP	693656100		4/17/2015	12/4/2015	244		0	321	-77				-77
93	PALO ALTO NETWORKS INC	697435105		7/29/2015	7/21/2016	127		0	182	-55				-55
94	PALO ALTO NETWORKS INC	697435105		7/29/2015	7/21/2016	253		0	317	-64				-64
95	PALO ALTO NETWORKS INC	697435105		10/27/2015	8/2/2016	253		0	317	-64				-64
96	PALO ALTO NETWORKS INC	697435105		4/21/2015	8/2/2016	380		0	461	-81				-81
97	PRIZER INC	717081103		10/22/2013	9/14/2016	615		0	63	53				53
98	PRIZER INC	717081103		1/6/2015	9/14/2016	339		0	325	14				14
99	PRIZER INC	717081103		5/11/1987	9/14/2016	339		0	26	310				310
100	PHILLIPS 66	718546104		11/20/2014	2/11/2016	727		0	777	-50				-50
101	PHILLIPS 66	718546104		12/10/2014	2/11/2016	727		0	671	96				96
102	PIONEER NATURAL RESOUR	723787107		2/19/2015	5/3/2016	318		0	320	-1				-1
103	PIONEER NATURAL RESOUR	723787107		2/17/2015	5/3/2016	319		0	314	5				5
104	PIONEER NATURAL RESOUR	723787107		2/17/2015	8/25/2016	721		0	628	92				92
105	SALESFORCE COM INC	79468L302		6/22/2010	10/21/2015	758		0	235	523				523
106	SCHLUMBERGER LTD	806857108		5/22/2015	8/23/2016	818		0	921	-103				-103
107	SEMPRA ENERGY	816851109		5/6/2015	1/6/2016	369		0	413	-44				-44
108	SEMPRA ENERGY	816851109		6/29/2015	1/6/2016	646		0	697	-51				-51
109	SEMPRA ENERGY	816851109		12/28/2009	1/7/2016	1,670		0	1,071	599				599
110	SEMPRA ENERGY	816851109		6/29/2015	1/7/2016	264		0	289	-35				-35
111	SERVICENOW INC	81762P102		8/15/2016	6/23/2016	1,217		0	870	347				347
112	MICROSOFT CORPORATION	594918104		1/5/2016	6/23/2016	518		0	552	-34				-34
113	MICROSOFT CORPORATION	594918104		1/6/2016	6/23/2016	518		0	540	-22				-22
114	MICROSOFT CORPORATION	594918104		4/20/2016	6/23/2016	1,038		0	1,123	-87				-87
115	MICROSOFT CORPORATION	594918104		1/7/2016	6/23/2016	518		0	529	-11				-11
116	MICROSOFT CORPORATION	594918104		1/7/2016	9/2/2016	575		0	529	46				46
117	MONSTER BEVERAGE CORP	61174X109		1/13/2014	10/7/2015	673		0	348	325				325
118	NETFLIX COM INC	64110L106		1/7/2016	7/19/2016	342		0	474	-132				-132
119	NETFLIX COM INC	64110L106		11/3/2016	7/19/2016	512		0	649	-137				-137
120	NETFLIX COM INC	64110L106		1/2/2016	7/19/2016	171		0	213	-42				-42
121	PNC FINANCIAL SERVICES G	693475105		12/28/2009	1/19/2016	1,280		0	814	466				466
122	SERVICENOW INC	81762P102		8/14/2013	3/30/2016	613		0	431	182				182
123	SERVICENOW INC	81762P102		8/14/2013	3/31/2016	608		0	431	177				177
124	TOW EMERGING MARKETS I	87234N765		5/7/2013	10/5/2015	674		0	11,920	-2,246				-2,246
125	UNION PAC CORP	907818108		12/28/2009	1/22/2016	1,248		0	986	652				652
126	UNION PAC CORP	907818108		8/3/2015	1/22/2016	693		0	963	-270				-270
127	UNION PAC CORP	907818108		8/4/2015	1/22/2016	693		0	962	-269				-269
128	VALERO ENERGY CORP NEW	91913Y100		12/28/2009	12/8/2015	708		0	156	552				552
129	VERIZON COMMUNICATIONS	92343V104		8/7/2015	12/8/2015	457		0	473	-16				-16
130	VERIZON COMMUNICATIONS	92343V104		9/29/2015	12/8/2015	457		0	436	21				21
131	VERIZON COMMUNICATIONS	92343V104		9/29/2015	9/2/2016	526		0	436	90				90
132	ALLERGAN PLC	G0177J108		6/22/2015	12/0/2016	868		0	432	436				436
133	ALLERGAN PLC	G0177J108		9/30/2013	12/0/2016	868		0	432	436				436
134	ACCENTURE PLC-CL A	G1151C101		8/19/2015	10/13/2016	415		0	411	4				4
135	ACCENTURE PLC-CL A	G1151C101		7/29/2015	4/20/2016	463		0	410	53				53
136	ACCENTURE PLC-CL A	G1151C101		8/19/2015	4/20/2016	347		0	308	39				39
137	E CONNECTIVITY LTD	H84989104		5/2/2014	10/21/2015	615		0	593	22				22
138	E CONNECTIVITY LTD	H84989104		6/3/2014	10/21/2015	615		0	604	11				11

Long Term CG Distributions 7,119  
Short Term CG Distributions 0

2,365  
0

0

**Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income**

		Amount																	
		Long Term CG Distributions	Short Term CG Distributions	120,295	0	0	117,930	2,365	0	0	0	0	0	0	0	0	0	0	2,365
				Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses							
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold															
139 ITC CONNECTIVITY LTD	H84989104		5/1/2014	10/27/2015	621	0	593	28	0	0	0	28	0	0	0	0	0	0	28
140 ITC CONNECTIVITY LTD	H84989104		4/30/2014	10/29/2015	640	0	590	50	0	0	0	50	0	0	0	0	0	0	50
141 BROADCOM LTD	Y09827109		3/17/2016	9/2/2016	518	0	454	64	0	0	0	64	0	0	0	0	0	0	64
142 ACCENTURE PLC-CL A	G1151C101		4/21/2015	4/20/2016	463	0	373	90	0	0	0	90	0	0	0	0	0	0	90

**Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers**

1	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
	JEFFREY C STURGESS	X	35 ROSEBROOK ROAD	NEW CANAAN	CT	06840		PRESIDENT	1 00	NONE	NONE	NONE

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date		Amount
1 Credit from prior year return		1	0
2 First quarter estimated tax payment	2/9/2016	2	234
3 Second quarter estimated tax payment	3/9/2016	3	234
4 Third quarter estimated tax payment	6/9/2016	4	234
5 Fourth quarter estimated tax payment	9/9/2016	5	234
6 Other payments		6	
7 Total		7	936

**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2014 that remained undistributed at the beginning of the 2015 tax year	1	4,776
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	4,776