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| | | |
|--|---|---|
| Form 990  Department of the Treasury Internal Revenue Service | Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) ▶ Do not enter social security numbers on this form as it may be made public ▶ Information about Form 990 and its instructions is at www.irs.gov/form990 | OMB No 1545-0047 2015 Open to Public Inspection |
|--|---|---|

| | | | |
|---|---|--|--|
| A For the 2015 calendar year, or tax year beginning 10-01-2015 , and ending 09-30-2016 | | | |
| B Check if applicable <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending | C Name of organization YALE NEW HAVEN HOSPITAL | | D Employer identification number 06-0646652 |
| | Doing business as | | E Telephone number (203) 688-6088 |
| | Number and street (or P O box if mail is not delivered to street address) 20 YORK STREET | Room/suite | |
| | City or town, state or province, country, and ZIP or foreign postal code NEW HAVEN, CT 06504 | | |
| | F Name and address of principal officer RICHARD D'AQUILA 20 YORK STREET NEW HAVEN, CT 06504 | | H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| I Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 | | H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions) | |
| J Website: ► WWW.YNHH.ORG | | H(c) Group exemption number ► | |
| K Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ► | | L Year of formation 1826 | M State of legal domicile CT |

| Part I Summary | | | |
|--|--|---------------------------|---------------|
| Activities & Governance | 1 Briefly describe the organization's mission or most significant activities <u>TO PROVIDE HEALTH CARE SERVICES</u> | | |
| | 2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets | | |
| | 3 Number of voting members of the governing body (Part VI, line 1a) | 3 | 25 |
| | 4 Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 22 |
| | 5 Total number of individuals employed in calendar year 2015 (Part V, line 2a) | 5 | 13,934 |
| | 6 Total number of volunteers (estimate if necessary) | 6 | 2,311 |
| | 7a Total unrelated business revenue from Part VIII, column (C), line 12 | 7a | 581,549 |
| b Net unrelated business taxable income from Form 990-T, line 34 | 7b | -109,433 | |
| Revenue | 8 Contributions and grants (Part VIII, line 1h) | Prior Year | Current Year |
| | 9 Program service revenue (Part VIII, line 2g) | 56,214,868 | 66,450,596 |
| | 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) | 2,457,990,234 | 2,589,902,533 |
| | 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 10,381,660 | 21,907,730 |
| | 12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 33,758,151 | 31,501,919 |
| Expenses | 13 Grants and similar amounts paid (Part IX, column (A), lines 1–3) | 2,558,344,913 | 2,709,762,778 |
| | 14 Benefits paid to or for members (Part IX, column (A), line 4) | 3,359,630 | 6,392,744 |
| | 15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 0 | 0 |
| | 16a Professional fundraising fees (Part IX, column (A), line 11e) | 1,054,013,760 | 1,077,622,826 |
| | b Total fundraising expenses (Part IX, column (D), line 25) <u>9,503,825</u> | 0 | 0 |
| | 17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) | | |
| | 18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) | 1,362,407,293 | 1,499,999,566 |
| | 19 Revenue less expenses Subtract line 18 from line 12 | 2,419,780,683 | 2,584,015,136 |
| | | 138,564,230 | 125,747,642 |
| Net Assets or Fund Balances | | Beginning of Current Year | End of Year |
| | 20 Total assets (Part X, line 16) | 3,121,321,423 | 3,334,892,698 |
| | 21 Total liabilities (Part X, line 26) | 1,895,817,609 | 1,991,535,059 |
| | 22 Net assets or fund balances Subtract line 21 from line 20 | 1,225,503,814 | 1,343,357,639 |

| | | | | | |
|---|---|--|---|--|---|
| Part II Signature Block | | | | | |
| Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge | | | | | |
| Sign Here | Signature of officer | | | | 2017-08-14 |
| | Date | | | | |
| | VINCENT TAMMARO EXECUTIVE VP & CFO | | | | |
| | Type or print name and title | | | | |
| Paid Preparer Use Only | Print/Type preparer's name PHILLIP E GROFF | | Preparer's signature PHILLIP E GROFF | | Date |
| | Firm's name ▶ KPMG LLP | | Firm's EIN ▶ 13-5565207 | | Check <input type="checkbox"/> if self-employed |
| | Firm's address ▶ 1601 MARKET STREET PHILADELPHIA, PA 19103 | | Phone no (267) 256-1756 | | PTIN P01247783 |

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☐ Yes ☒ No

1 Briefly describe the organization's mission

YNHH'S MISSION IS TO PROVIDE INNOVATIVE AND EXCELLENT PATIENT CARE, TEACHING, RESEARCH, AND SERVICE TO THE COMMUNITIES IT SERVES

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code) (Expenses \$ 2,187,614,426 including grants of \$ 6,392,744) (Revenue \$ 2,601,539,040)

See Additional Data

4b (Code) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ▶ 2,187,614,426

Part IV Checklist of Required Schedules

| | Yes | No |
|---|-----|----|
| 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A | Yes | |
| 2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? | Yes | |
| 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I | | No |
| 4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II | Yes | |
| 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III | | No |
| 6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I | | No |
| 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II | | No |
| 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III | | No |
| 9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV | | No |
| 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V | Yes | |
| 11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | | |
| a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI | Yes | |
| b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII | Yes | |
| c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII | | No |
| d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX | Yes | |
| e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X | Yes | |
| f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X | Yes | |
| 12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII | | No |
| b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional | Yes | |
| 13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E | | No |
| 14a Did the organization maintain an office, employees, or agents outside of the United States? | Yes | |
| b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV | | No |
| 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV | | No |
| 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV | | No |
| 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) | | No |
| 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II | Yes | |
| 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III | | No |
| 20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H | Yes | |
| b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | Yes | |

Part IV Checklist of Required Schedules (continued)

| | | | | |
|-----|---|-----|-----|----|
| 21 | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II | 21 | Yes | |
| 22 | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III | 22 | Yes | |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J | 23 | Yes | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a | 24a | Yes | |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | No |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | No |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | No |
| 25a | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. | | | |
| | Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I | 25a | | No |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I | 25b | | No |
| 26 | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II | 26 | | No |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III | 27 | | No |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| a | A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28a | | No |
| b | A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28b | | No |
| c | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV | 28c | Yes | |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M | 29 | Yes | |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M | 30 | Yes | |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I | 31 | | No |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II | 32 | | No |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I | 33 | Yes | |
| 34 | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 | 34 | Yes | |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | Yes | |
| b | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 | 35b | Yes | |
| 36 | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 | 36 | | No |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI | 37 | | No |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O | 38 | Yes | |

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

| | | | |
|---|--|--------|-----|
| | | Yes | No |
| 1a | Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. | 1,845 | |
| 1b | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable. | 0 | |
| c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | 1c | |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return. | 13,934 | |
| b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions). | | 2b | Yes |
| 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? | | 3a | Yes |
| b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O. | | 3b | Yes |
| 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | 4a | No |
| b If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). | | | |
| 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | 5a | No |
| b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | 5b | No |
| c If "Yes," to line 5a or 5b, did the organization file Form 8886-T? | | 5c | |
| 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? | | 6a | No |
| b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | 6b | |
| 7 Organizations that may receive deductible contributions under section 170(c). | | | |
| a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | | 7a | Yes |
| b If "Yes," did the organization notify the donor of the value of the goods or services provided? | | 7b | Yes |
| c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | 7c | No |
| d If "Yes," indicate the number of Forms 8282 filed during the year. | | 7d | |
| e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | 7e | No |
| f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 7f | No |
| g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | | 7g | |
| h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | | 7h | |
| 8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? | | | |
| 9a Did the sponsoring organization make any taxable distributions under section 4966? | | 9a | |
| b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? | | 9b | |
| 10 Section 501(c)(7) organizations. Enter | | | |
| a Initiation fees and capital contributions included on Part VIII, line 12. | | 10a | |
| b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. | | 10b | |
| 11 Section 501(c)(12) organizations. Enter | | | |
| a Gross income from members or shareholders. | | 11a | |
| b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them). | | 11b | |
| 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | | 12a | |
| b If "Yes," enter the amount of tax-exempt interest received or accrued during the year. | | 12b | |
| 13 Section 501(c)(29) qualified nonprofit health insurance issuers. | | | |
| a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. | | 13a | |
| b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans. | | 13b | |
| c Enter the amount of reserves on hand. | | 13c | |
| 14a Did the organization receive any payments for indoor tanning services during the tax year? | | 14a | No |
| b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O. | | 14b | |

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

| | | | | |
|----|---|----|-----|----|
| | | | Yes | No |
| 1a | Enter the number of voting members of the governing body at the end of the tax year | 1a | 25 | |
| | If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent | 1b | 22 | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | Yes | |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | 3 | | No |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | No |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | No |
| 6 | Did the organization have members or stockholders? | 6 | Yes | |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7a | Yes | |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7b | Yes | |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | |
| a | The governing body? | 8a | Yes | |
| b | Each committee with authority to act on behalf of the governing body? | 8b | Yes | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | 9 | | No |

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

| | | | | |
|-----|--|-----|-----|----|
| | | | Yes | No |
| 10a | Did the organization have local chapters, branches, or affiliates? | 10a | | No |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | Yes | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | | |
| 12a | Did the organization have a written conflict of interest policy? If "No," go to line 13 | 12a | Yes | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | Yes | |
| c | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done | 12c | Yes | |
| 13 | Did the organization have a written whistleblower policy? | 13 | Yes | |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official | 15a | Yes | |
| b | Other officers or key employees of the organization | 15b | Yes | |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | No |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | | |

Section C. Disclosure

| | | |
|----|---|--|
| 17 | List the States with which a copy of this Form 990 is required to be filed | |
| 18 | Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O) | |
| 19 | Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year | |
| 20 | State the name, address, and telephone number of the person who possesses the organization's books and records DENIS DONEGAN 789 HOWARD AVE NEW HAVEN, CT 06519 (203) 688-6088 | |

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

| | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512-514 |
|---|-----------------------|--|----------------------|--|---|---|
| Contributions, Gifts, Grants and Other Similar Amounts | 1a | Federated campaigns 1a | | | | |
| | b | Membership dues 1b | | | | |
| | c | Fundraising events 1c | 2,406,609 | | | |
| | d | Related organizations 1d | 6,075 | | | |
| | e | Government grants (contributions) 1e | 11,032,930 | | | |
| | f | All other contributions, gifts, grants, and similar amounts not included above 1f | 53,004,982 | | | |
| | g | Noncash contributions included in lines 1a-1f \$ | 2,243,146 | | | |
| | h | Total. Add lines 1a-1f ▶ | 66,450,596 | | | |
| Program Service Revenue | 2a | INPATIENT SERVICES | | | | |
| | | Business Code | | | | |
| | | 612990 | 1,390,783,914 | 1,390,783,914 | | |
| | b | OUTPATIENT SERVICES | | | | |
| | | 621400 | 1,198,553,036 | 1,198,553,036 | | |
| | c | LABORATORY SERVICES | | | | |
| | | 621500 | 565,583 | | 565,583 | |
| | f | All other program service revenue | | | | |
| Other Revenue | g | Total. Add lines 2a-2f ▶ | 2,589,902,533 | | | |
| | 3 | Investment income (including dividends, interest, and other similar amounts) ▶ | 5,354,803 | | 15,966 | 5,338,837 |
| | 4 | Income from investment of tax-exempt bond proceeds . . ▶ | | | | |
| | 5 | Royalties ▶ | | | | |
| | 6a | (i) Real | | | | |
| | | (ii) Personal | | | | |
| | | Gross rents | 1,117,905 | | | |
| | | Less rental expenses | 1,502,939 | | | |
| | b | Rental income or (loss) | -385,034 | | | |
| | d | Net rental income or (loss) ▶ | -385,034 | | | -385,034 |
| | 7a | (i) Securities | | | | |
| | | (ii) Other | | | | |
| | | Gross amount from sales of assets other than inventory | 405,424,040 | | | |
| | | Less cost or other basis and sales expenses | 388,871,113 | | | |
| | b | Gain or (loss) | 16,552,927 | | | |
| | d | Net gain or (loss) ▶ | 16,552,927 | | | 16,552,927 |
| | 8a | Gross income from fundraising events (not including \$ 2,406,609 of contributions reported on line 1c) See Part IV, line 18 | | | | |
| | a | | 361,549 | | | |
| | b | Less direct expenses b | 1,048,969 | | | |
| | c | Net income or (loss) from fundraising events . . ▶ | -687,420 | | | -687,420 |
| | 9a | Gross income from gaming activities See Part IV, line 19 | | | | |
| | a | | | | | |
| | b | Less direct expenses b | | | | |
| | c | Net income or (loss) from gaming activities . . . ▶ | | | | |
| | 10a | Gross sales of inventory, less returns and allowances | | | | |
| | a | | | | | |
| | b | Less cost of goods sold b | | | | |
| | c | Net income or (loss) from sales of inventory . . ▶ | | | | |
| | Miscellaneous Revenue | | Business Code | | | |
| | 11a | CAFETERIA/VENDING | 900099 | 12,444,881 | | 12,444,881 |
| | b | OTHER ANCILIARY SERVICES | 900099 | 11,627,497 | 11,627,497 | |
| | c | PARKING | 900099 | 8,492,985 | | 8,492,985 |
| | d | All other revenue | | 9,010 | 9,010 | |
| | e | Total. Add lines 11a-11d ▶ | 32,574,373 | | | |
| | 12 | Total revenue. See Instructions ▶ | 2,709,762,778 | 2,600,973,457 | 581,549 | 41,757,176 |

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX



| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|--|---|-----------------------|---------------------------------|--|-----------------------------|
| 1 | Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 | 5,560,258 | 5,560,258 | | |
| 2 | Grants and other assistance to domestic individuals. See Part IV, line 22 | 832,486 | 832,486 | | |
| 3 | Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 | | | | |
| 4 | Benefits paid to or for members | | | | |
| 5 | Compensation of current officers, directors, trustees, and key employees | 19,536,912 | | 19,536,912 | |
| 6 | Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| 7 | Other salaries and wages | 824,368,598 | 676,633,235 | 145,977,205 | 1,758,158 |
| 8 | Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) | 61,992,195 | 49,953,247 | 11,914,900 | 124,048 |
| 9 | Other employee benefits | 109,867,615 | 91,853,702 | 17,761,497 | 252,416 |
| 10 | Payroll taxes | 61,857,506 | 49,844,715 | 11,889,013 | 123,778 |
| 11 | Fees for services (non-employees) | | | | |
| a | Management | | | | |
| b | Legal | 2,865,817 | 2,314,801 | 551,016 | |
| c | Accounting | 44,057 | | 44,057 | |
| d | Lobbying | 787,390 | 787,390 | | |
| e | Professional fundraising services. See Part IV, line 17 | | | | |
| f | Investment management fees | | | | |
| g | Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O) | 698,128,085 | 566,625,675 | 130,745,941 | 756,469 |
| 12 | Advertising and promotion | 18,807 | 15,192 | 3,615 | |
| 13 | Office expenses | 42,403,139 | 33,316,748 | 8,990,059 | 96,332 |
| 14 | Information technology | | | | |
| 15 | Royalties | | | | |
| 16 | Occupancy | 51,069,360 | 40,964,964 | 10,104,396 | |
| 17 | Travel | 2,617,194 | 2,067,658 | 503,025 | 46,511 |
| 18 | Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | |
| 19 | Conferences, conventions, and meetings | | | | |
| 20 | Interest | 22,535,979 | 22,535,979 | | |
| 21 | Payments to affiliates | | | | |
| 22 | Depreciation, depletion, and amortization | 125,736,245 | 101,569,739 | 24,166,506 | |
| 23 | Insurance | 24,891,955 | 24,476,131 | 415,824 | |
| 24 | Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) | | | | |
| a | MEDICAL & PHARMACY SUPP | 500,426,199 | 500,426,199 | | |
| b | COMMUNITY ACTIVITIES | 9,382,012 | 2,519,932 | 626,771 | 6,235,309 |
| c | LINEN & LAUNDERING SERV | 6,278,441 | 5,071,725 | 1,206,716 | |
| d | PROPERTY TAXES | 5,154,465 | 4,163,777 | 990,688 | |
| e | All other expenses | 7,660,421 | 6,080,873 | 1,468,744 | 110,804 |
| 25 | Total functional expenses. Add lines 1 through 24e | 2,584,015,136 | 2,187,614,426 | 386,896,885 | 9,503,825 |
| 26 | Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) | | | | |

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X ☐ ☐

| | | | | (A) Beginning of year | | (B) End of year | |
|-----------------------------|--|---|---------------|--------------------------|---------------|--------------------|-------------|
| Assets | 1 | Cash—non-interest-bearing | | 743,845 | 1 | 938,595 | |
| | 2 | Savings and temporary cash investments | | 151,638,288 | 2 | 106,549,266 | |
| | 3 | Pledges and grants receivable, net | | | 3 | | |
| | 4 | Accounts receivable, net | | 340,127,258 | 4 | 314,270,111 | |
| | 5 | Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L | | | 5 | | |
| | 6 | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L | | | 6 | | |
| | 7 | Notes and loans receivable, net | | | 7 | | |
| | 8 | Inventories for sale or use | | 39,407,544 | 8 | 39,475,461 | |
| | 9 | Prepaid expenses and deferred charges | | 90,503,378 | 9 | 74,194,344 | |
| | 10a | Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D | 10a | 1,783,243,993 | | | |
| | b | Less: accumulated depreciation | 10b | 891,139,438 | 936,784,431 | 10c | 892,104,555 |
| | 11 | Investments—publicly traded securities | | 551,757,304 | 11 | 719,411,228 | |
| | 12 | Investments—other securities. See Part IV, line 11 | | 768,495,000 | 12 | 866,752,831 | |
| | 13 | Investments—program-related. See Part IV, line 11 | | | 13 | | |
| | 14 | Intangible assets | | 44,774,265 | 14 | 44,767,415 | |
| | 15 | Other assets. See Part IV, line 11 | | 197,090,110 | 15 | 276,428,892 | |
| 16 | Total assets. Add lines 1 through 15 (must equal line 34) | | 3,121,321,423 | 16 | 3,334,892,698 | | |
| Liabilities | 17 | Accounts payable and accrued expenses | | 346,209,496 | 17 | 399,984,859 | |
| | 18 | Grants payable | | | 18 | | |
| | 19 | Deferred revenue | | 42,720,046 | 19 | 41,481,784 | |
| | 20 | Tax-exempt bond liabilities | | 94,815,000 | 20 | 93,607,323 | |
| | 21 | Escrow or custodial account liability. Complete Part IV of Schedule D | | | 21 | | |
| | 22 | Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L | | | 22 | | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | 182,725,000 | 23 | 180,211,378 | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | | 24 | | |
| | 25 | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D | | 1,229,348,067 | 25 | 1,276,249,715 | |
| | 26 | Total liabilities. Add lines 17 through 25 | | 1,895,817,609 | 26 | 1,991,535,059 | |
| Net Assets or Fund Balances | Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34. | | | | | | |
| | 27 | Unrestricted net assets | | 1,107,464,594 | 27 | 1,239,657,554 | |
| | 28 | Temporarily restricted net assets | | 71,153,450 | 28 | 56,466,588 | |
| | 29 | Permanently restricted net assets | | 46,885,770 | 29 | 47,233,497 | |
| | Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34. | | | | | | |
| | 30 | Capital stock or trust principal, or current funds | | | 30 | | |
| | 31 | Paid-in or capital surplus, or land, building or equipment fund | | | 31 | | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | | 32 | | |
| | 33 | Total net assets or fund balances | | 1,225,503,814 | 33 | 1,343,357,639 | |
| | 34 | Total liabilities and net assets/fund balances | | 3,121,321,423 | 34 | 3,334,892,698 | |

Part XI

Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

☒

| | | | |
|----|---|----|---------------|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 2,709,762,778 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 2,584,015,136 |
| 3 | Revenue less expenses Subtract line 2 from line 1 | 3 | 125,747,642 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 1,225,503,814 |
| 5 | Net unrealized gains (losses) on investments | 5 | 65,599,121 |
| 6 | Donated services and use of facilities | 6 | |
| 7 | Investment expenses | 7 | |
| 8 | Prior period adjustments | 8 | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | -73,492,938 |
| 10 | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 1,343,357,639 |

Part XII

Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

☐

| | | Yes | No |
|----|--|-----|----|
| 1 | Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis | | No |
| 2b | Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis | Yes | |
| 2c | If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | Yes | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | Yes | |
| 3b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | Yes | |

Additional Data

Software ID:
Software Version:
EIN: 06-0646652
Name: YALE NEW HAVEN HOSPITAL

Form 990, Part III, Line 4a

| | | | | | | |
|-----------|----------------|----------------|---------------|------------------------|-------------------------|-----------------|
| 4a | (Code |) (Expenses \$ | 2,187,614,426 | including grants of \$ | 6,392,744) (Revenue \$ | 2,601,539,040) |
| | SEE SCHEDULE O | | | | | |

| Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors | | | | | | | | | | |
|---|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| BRUCE ALEXANDER TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| ROBERT ALPERN TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| THOMAS BALCEZAK SR VP | 38 00 2 00 | X | | X | | | | 796,573 | 0 | 254,646 |
| MARNA BORGSTROM CEO/TRUSTEE | 24 00 16 00 | X | | X | | | | 1,779,987 | 1,186,658 | 892,153 |
| BISHOP THEODORE BROOKS TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| VINCENT CALARCO SECRETARY/TRUSTEE | 1 00 1 00 | X | | X | | | | 0 | 0 | 0 |
| JOSEPH CRESPO TRUSTEE | 1 00 1 00 | X | | | | | | 0 | 0 | 0 |
| RICHARD D'AQUILA PRESIDENT/TRUSTEE | 32 00 8 00 | X | | X | | | | 1,493,476 | 373,369 | 474,585 |
| MARY FARRELL CHAIRMAN/TRUSTEE | 1 00 1 00 | X | | | | | | 0 | 0 | 0 |
| MICHAEL FLYNN TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| WILLIAM GINSBERG TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| THOMAS HANSON TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| THOMAS B KETCHUM TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| JOHN LAHEY TRUSTEE | 1 00 1 00 | X | | | | | | 0 | 0 | 0 |
| MARVIN LENDER TRUSTEE | 1 00 1 00 | X | | | | | | 0 | 0 | 0 |
| LINDA KOCH LORIMER TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| LINDA MASCI TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| JULIA MCNAMARA VICE CHAIR | 1 00 1 00 | X | | X | | | | 0 | 0 | 0 |
| THANASIS MOLOKOTOS TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| SISTER ROSEMARY MOYNIHAN TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| PETER SALOVEY TRUSTEE | 1 00 | X | | | | | | 0 | 0 | 0 |
| MICHAEL SPROULE TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| JAMES TORGERSO TRUSTEE | 1 00 | X | | | | | | 0 | 0 | 0 |
| SUSAN WHETSTONE TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| AARON HOLLANDER EFF 10115 TRUSTEE | 1 00 0 00 | X | | | | | | 0 | 0 | 0 |
| WILLIAM ASELTYN SR VP | 22 00 18 00 | | | X | | | | 507,100 | 408,888 | 274,107 |
| DANIEL BARCHI THRU 113015 SR VP | 20 00 20 00 | | | X | | | | 452,171 | 452,171 | 268,464 |
| PATRICIA SUE FITZSIMONS SR VP | 40 00 0 00 | | | X | | | | 761,504 | 0 | 43,430 |
| MICHEAL HOLMES SR VP | 40 00 0 00 | | | X | | | | 632,121 | 0 | 210,154 |
| THOMAS LEARY THRU 10816 VP (CURRENT YEAR COMP) | 38 00 2 00 | | | X | | | | 424,806 | 22,358 | 131,091 |

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| THOMAS LEARY THRU 10816 VP (VESTED DEFERRED COMP) | 38 00 2 00 | | | X | | | | 1,765,584 | 92,925 | 0 |
| ABE LOPMAN SR VP | 40 00 0 00 | | | X | | | | 797,301 | 0 | 217,676 |
| STEPHEN MERZ THRU 41816 VP | 38 00 2 00 | | | X | | | | 385,743 | 0 | 135,259 |
| KEVIN A MYATT SR VP | 24 00 16 00 | | | X | | | | 487,235 | 324,823 | 266,850 |
| PAUL PATTON THRU 90916 VP | 40 00 0 00 | | | X | | | | 542,290 | 0 | 182,298 |
| VINCENT PETRINI SR VP | 39 00 1 00 | | | X | | | | 611,342 | 0 | 220,757 |
| CYNTHIA SPARER SR VP | 38 00 2 00 | | | X | | | | 764,759 | 0 | 125,660 |
| JAMES STATEN THRU 10216 SR VP | 24 00 16 00 | | | X | | | | 828,658 | 552,439 | 429,112 |
| KEVIN WALSH VP | 40 00 0 00 | | | X | | | | 518,926 | 0 | 167,897 |
| VINCENT TAMMARO EFF 50616 SR VP & CFO | 24 00 16 00 | | | X | | | | 402,804 | 268,535 | 235,088 |

| Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors | | | | | | | | | | |
|---|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| RICHARD LISITANO VP | 40 00 0 00 | | | | | X | | 444,894 | 0 | 90,236 |
| VICTOR MORRIS VP | 40 00 0 00 | | | | | X | | 561,046 | 0 | 98,181 |
| THOMAS DONOHUE VP | 40 00 0 00 | | | | | X | | 571,678 | 0 | 69,897 |
| KEITH CHURCHWELL SR VP | 40 00 0 00 | | | | | X | | 652,368 | 0 | 127,807 |
| ALAN KLIGER SR VP | 28 00 12 00 | | | | | X | | 551,799 | 236,486 | 125,640 |
| PETER HERBERT RET 102814 FORMER OFFICER | 0 00 0 00 | | | | | | X | 836,103 | 0 | 0 |

SCHEDULE A
(Form 990 or 990EZ)

Public Charity Status and Public Support

OMB No 1545-0047

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

2015

Open to Public Inspection

Name of the organization
YALE NEW HAVEN HOSPITAL

Employer identification number
06-0646652

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions.

- The organization is not a private foundation because it is (For lines 1 through 11, check only one box)
- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).**(Attach Schedule E (Form 990 or 990-EZ))
- 3

☒

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See**section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g
- a

☐

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
- b

☐

Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
- c

☐

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
- d

☐

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
- e

☐

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f

Enter the number of supported organizations _____
- g

Provide the following information about the supported organization(s)

| (i) Name of supported organization | (ii)EIN | (iii) Type of organization (described on lines 1- 9 above (see instructions)) | (iv) Is the organization listed in your governing document? | | (v) Amount of monetary support (see instructions) | (vi) Amount of other support (see instructions) |
|---------------------------------------|---------|---|--|----|--|--|
| | | | Yes | No | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Total | | | | | | |

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

| Section A. Public Support | | | | | | |
|---|---------|---------|---------|---------|---------|----------|
| Calendar year (or fiscal year beginning in) ► | (a)2011 | (b)2012 | (c)2013 | (d)2014 | (e)2015 | (f)Total |
| 1 Gifts, grants, contributions, and membership fees received (Do not include any unusual grants) | | | | | | |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 Total. Add lines 1 through 3 | | | | | | |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 Public support. Subtract line 5 from line 4 | | | | | | |

| Section B. Total Support | | | | | | |
|---|---------|---------|---------|---------|---------|----------|
| Calendar year (or fiscal year beginning in) ► | (a)2011 | (b)2012 | (c)2013 | (d)2014 | (e)2015 | (f)Total |
| 7 Amounts from line 4 | | | | | | |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI) | | | | | | |
| 11 Total support. Add lines 7 through 10 | | | | | | |
| 12 Gross receipts from related activities, etc (see instructions) | | | | | 12 | |
| 13 First five years.If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► | | | | | | |

| Section C. Computation of Public Support Percentage | | | | | | |
|--|--|----|--|--|--|---|
| 14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f)) | | 14 | | | | |
| 15 Public support percentage for 2014 Schedule A , Part II, line 14 | | 15 | | | | |
| 16a 33 1/3% support test—2015.If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization | | | | | | ► |
| b 33 1/3% support test—2014.If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization | | | | | | ► |
| 17a 10%-facts-and-circumstances test—2015.If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization | | | | | | ► |
| b 10%-facts-and-circumstances test—2014.If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization | | | | | | ► |
| 18 Private foundation.If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions | | | | | | ► |

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

| Calendar year (or fiscal year beginning in) ► | (a)2011 | (b)2012 | (c)2013 | (d)2014 | (e)2015 | (f)Total |
|---|---------|---------|---------|---------|---------|----------|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 Total. Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 Public support. (Subtract line 7c from line 6.) | | | | | | |

Section B. Total Support

| Calendar year (or fiscal year beginning in) ► | (a)2011 | (b)2012 | (c)2013 | (d)2014 | (e)2015 | (f)Total |
|---|---------|---------|---------|---------|---------|----------|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) | | | | | | |
| 13 Total support. (Add lines 9, 10c, 11, and 12.) | | | | | | |
| 14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► <input type="checkbox"/> | | | | | | |

Section C. Computation of Public Support Percentage

| | | |
|--|-----------|--|
| 15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f)) | 15 | |
| 16 Public support percentage from 2014 Schedule A, Part III, line 15 | 16 | |

Section D. Computation of Investment Income Percentage

| | | |
|---|-----------|--|
| 17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f)) | 17 | |
| 18 Investment income percentage from 2014 Schedule A, Part III, line 17 | 18 | |
| 19a 33 1/3% support tests—2015. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/> | | |
| b 33 1/3% support tests—2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/> | | |
| 20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► <input type="checkbox"/> | | |

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

| | Yes | No |
|--|-----|----|
| 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i> | | |
| 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i> | | |
| 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i> | | |
| b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i> | | |
| c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i> | | |
| 4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.</i> | | |
| b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i> | | |
| c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i> | | |
| 5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).</i> | | |
| b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document? | | |
| c Substitutions only. Was the substitution the result of an event beyond the organization's control? | | |
| 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i> | | |
| 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990).</i> | | |
| 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part II of Schedule L (Form 990).</i> | | |
| 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i> | | |
| b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i> | | |
| c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i> | | |
| 10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer b below.</i> | | |
| b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i> | | |
| 11 Has the organization accepted a gift or contribution from any of the following persons? | | |
| a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? | | |
| b A family member of a person described in (a) above? | | |
| c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i> | | |

Part IV Supporting Organizations (continued)

Section B. Type I Supporting Organizations

| | Yes | No |
|---|-----|----|
| 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i> | | |
| 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i> | | |

Section C. Type II Supporting Organizations

| | Yes | No |
|--|-----|----|
| 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i> | | |

Section D. All Type III Supporting Organizations

| | Yes | No |
|--|-----|----|
| 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? | | |
| 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i> | | |
| 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i> | | |

Section E. Type III Functionally-Integrated Supporting Organizations

| | | |
|---|-----|----|
| 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions) | | |
| a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below. | | |
| b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below. | | |
| c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). | | |
| 2 <u>Activities Test</u> Answer (a) and (b) below. | Yes | No |
| a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i> | | |
| b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i> | | |
| 3 <u>Parent of Supported Organizations</u> Answer (a) and (b) below. | | |
| a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i> | | |
| b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i> | | |

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1

Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E. ☐

| Section A - Adjusted Net Income | | (A) Prior Year | (B) Current Year (optional) |
|---------------------------------|--|----------------|-----------------------------|
| 1 | Net short-term capital gain | 1 | |
| 2 | Recoveries of prior-year distributions | 2 | |
| 3 | Other gross income (see instructions) | 3 | |
| 4 | Add lines 1 through 3 | 4 | |
| 5 | Depreciation and depletion | 5 | |
| 6 | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | |
| 7 | Other expenses (see instructions) | 7 | |
| 8 | Adjusted Net Income (subtract lines 5, 6, and 7 from line 4) | 8 | |

| Section B - Minimum Asset Amount | | (A) Prior Year | (B) Current Year (optional) |
|----------------------------------|--|----------------|-----------------------------|
| 1 | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year) | 1 | |
| a | Average monthly value of securities | 1a | |
| b | Average monthly cash balances | 1b | |
| c | Fair market value of other non-exempt-use assets | 1c | |
| d | Total (add lines 1a, 1b, and 1c) | 1d | |
| e | Discount claimed for blockage or other factors (explain in detail in Part VI) _____ | | |
| 2 | Acquisition indebtedness applicable to non-exempt use assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | |
| 4 | Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | |
| 5 | Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | |
| 6 | Multiply line 5 by .035 | 6 | |
| 7 | Recoveries of prior-year distributions | 7 | |
| 8 | Minimum Asset Amount (add line 7 to line 6) | 8 | |

| Section C - Distributable Amount | | Current Year | |
|----------------------------------|---|--------------|--|
| 1 | Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | |
| 2 | Enter 85% of line 1 | 2 | |
| 3 | Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | |
| 4 | Enter greater of line 2 or line 3 | 4 | |
| 5 | Income tax imposed in prior year | 5 | |
| 6 | Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 | |
| 7 | Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions). <input type="checkbox"/> | | |

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

| Section D - Distributions | Current Year |
|--|--------------|
| 1 Amounts paid to supported organizations to accomplish exempt purposes | |
| 2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity | |
| 3 Administrative expenses paid to accomplish exempt purposes of supported organizations | |
| 4 Amounts paid to acquire exempt-use assets | |
| 5 Qualified set-aside amounts (prior IRS approval required) | |
| 6 Other distributions (describe in Part VI) See instructions | |
| 7 Total annual distributions. Add lines 1 through 6 | |
| 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions | |
| 9 Distributable amount for 2015 from Section C, line 6 | |
| 10 Line 8 amount divided by Line 9 amount | |

| Section E - Distribution Allocations (see instructions) | (i) Excess Distributions | (ii) Underdistributions Pre-2015 | (iii) Distributable Amount for 2015 |
|---|-----------------------------|--|---|
| 1 Distributable amount for 2015 from Section C, line 6 | | | |
| 2 Underdistributions, if any, for years prior to 2015 (reasonable cause required--see instructions) | | | |
| 3 Excess distributions carryover, if any, to 2015 | | | |
| a | | | |
| b | | | |
| c | | | |
| d From 2013. | | | |
| e From 2014. | | | |
| f Total of lines 3a through e | | | |
| g Applied to underdistributions of prior years | | | |
| h Applied to 2015 distributable amount | | | |
| i Carryover from 2010 not applied (see instructions) | | | |
| j Remainder Subtract lines 3g, 3h, and 3i from 3f | | | |
| 4 Distributions for 2015 from Section D, line 7 \$ | | | |
| a Applied to underdistributions of prior years | | | |
| b Applied to 2015 distributable amount | | | |
| c Remainder Subtract lines 4a and 4b from 4 | | | |
| 5 Remaining underdistributions for years prior to 2015, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions) | | | |
| 6 Remaining underdistributions for 2015 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions) | | | |
| 7 Excess distributions carryover to 2016. Add lines 3j and 4c | | | |
| 8 Breakdown of line 7 | | | |
| a | | | |
| b | | | |
| c Excess from 2013. | | | |
| d From 2014. | | | |
| e From 2015. | | | |

Part VI Supplemental Information.

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

| Facts And Circumstances Test |
|------------------------------|
| |

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

| | |
|---|--|
| Name of the organization YALE NEW HAVEN HOSPITAL | Employer identification number 06-0646652 |
|---|--|

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

| | | |
|---|--|----|
| 1 | Provide a description of the organization's direct and indirect political campaign activities in Part IV | |
| 2 | Political expenditures | \$ |
| 3 | Volunteer hours | |

Part I-B Complete if the organization is exempt under section 501(c)(3).

| | | |
|----|---|--|
| 1 | Enter the amount of any excise tax incurred by the organization under section 4955 | \$ |
| 2 | Enter the amount of any excise tax incurred by organization managers under section 4955 | \$ |
| 3 | If the organization incurred a section 4955 tax, did it file Form 4720 for this year? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4a | Was a correction made? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| b | If "Yes," describe in Part IV | |

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

| | | |
|---|---|--|
| 1 | Enter the amount directly expended by the filing organization for section 527 exempt function activities | \$ |
| 2 | Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities | \$ |
| 3 | Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b | \$ |
| 4 | Did the filing organization file Form 1120-POL for this year? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 5 | Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV | |

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds If none, enter -0- | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0- |
|----------|-------------|---------|---|--|
| | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ☐ if the filing organization checked box A and "limited control" provisions apply

| Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.) | | (a) Filing organization's totals | (b) Affiliated group totals | | | | | | | | | | | | |
|--|---|---|------------------------------------|--------------------|------------------------------|---|---|---|---|--|--|-------------------|-------------|--|--|
| 1a | Total lobbying expenditures to influence public opinion (grass roots lobbying) | | | | | | | | | | | | | | |
| b | Total lobbying expenditures to influence a legislative body (direct lobbying) | | | | | | | | | | | | | | |
| c | Total lobbying expenditures (add lines 1a and 1b) | | | | | | | | | | | | | | |
| d | Other exempt purpose expenditures | | | | | | | | | | | | | | |
| e | Total exempt purpose expenditures (add lines 1c and 1d) | | | | | | | | | | | | | | |
| f | Lobbying nontaxable amount Enter the amount from the following table in both columns | | | | | | | | | | | | | | |
| <table><tr><th>If the amount on line 1e, column (a) or (b) is:</th><th>The lobbying nontaxable amount is:</th></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table> | | If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000 | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000 | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000 | Over \$17,000,000 | \$1,000,000 | | |
| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | | | | | | | | | | | | | | |
| Not over \$500,000 | 20% of the amount on line 1e | | | | | | | | | | | | | | |
| Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000 | | | | | | | | | | | | | | |
| Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000 | | | | | | | | | | | | | | |
| Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000 | | | | | | | | | | | | | | |
| Over \$17,000,000 | \$1,000,000 | | | | | | | | | | | | | | |
| g | Grassroots nontaxable amount (enter 25% of line 1f) | | | | | | | | | | | | | | |
| h | Subtract line 1g from line 1a If zero or less, enter -0- | | | | | | | | | | | | | | |
| i | Subtract line 1f from line 1c If zero or less, enter -0- | | | | | | | | | | | | | | |
| j | If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | | | | | | | | | | | | | | |

☐ **Y e s** ☐ **No**

4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

| Lobbying Expenditures During 4-Year Averaging Period | | | | | |
|--|---------|---------|---------|---------|-----------|
| Calendar year (or fiscal year beginning in) | (a)2012 | (b)2013 | (c)2014 | (d)2015 | (e) Total |
| 2a Lobbying nontaxable amount | | | | | |
| b Lobbying ceiling amount (150% of line 2a, column(e)) | | | | | |
| c Total lobbying expenditures | | | | | |
| d Grassroots nontaxable amount | | | | | |
| e Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | |
| f Grassroots lobbying expenditures | | | | | |

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

| | | | | |
|---|--|------------|-----------|---------------|
| <i>For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity</i> | | (a) | | (b) |
| | | Yes | No | Amount |
| 1 | During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of | | | |
| a | Volunteers? | | No | |
| b | Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? | Yes | | |
| c | Media advertisements? | | No | |
| d | Mailings to members, legislators, or the public? | Yes | | 500 |
| e | Publications, or published or broadcast statements? | | No | |
| f | Grants to other organizations for lobbying purposes? | | No | |
| g | Direct contact with legislators, their staffs, government officials, or a legislative body? | Yes | | 311,651 |
| h | Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | | No | |
| i | Other activities? | Yes | | 787,390 |
| j | Total Add lines 1c through 1i | | | 1,099,541 |
| 2a | Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | No | |
| b | If "Yes," enter the amount of any tax incurred under section 4912 | | | |
| c | If "Yes," enter the amount of any tax incurred by organization managers under section 4912 | | | |
| d | If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

| | | | |
|----------|---|------------|-----------|
| | | Yes | No |
| 1 | Were substantially all (90% or more) dues received nondeductible by members? | 1 | |
| 2 | Did the organization make only in-house lobbying expenditures of \$2,000 or less? | 2 | |
| 3 | Did the organization agree to carry over lobbying and political expenditures from the prior year? | 3 | |

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

| | | | |
|----------|--|-----------|--|
| 1 | Dues, assessments and similar amounts from members | 1 | |
| 2 | Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). | | |
| a | Current year | 2a | |
| b | Carryover from last year | 2b | |
| c | Total | 2c | |
| 3 | Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 | |
| 4 | If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 | |
| 5 | Taxable amount of lobbying and political expenditures (see instructions) | 5 | |

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

| Return Reference | Explanation |
|-------------------|---|
| PART II-B, LINE 1 | THE AMOUNT REPORTED IN "OTHER ACTIVITIES" REPRESENTS A PORTION OF PROFESSIONAL DUES ATTRIBUTABLE TO LOBBYING DURING 2016 ALSO, THE HEALTH SYSTEM OFFICIALS HAD MEETINGS AND CONTACTS WITH STATE GOVERNMENT OFFICIALS, INCLUDING STATE LEGISLATORS AND THEIR STAFF TO DISCUSS VARIOUS HEALTH CARE REFORM PROPOSALS YALE-NEW HAVEN HOSPITAL IS PART OF A CONTROLLED GROUP WITH THE FOLLOWING LOBBYING EXPENSES BRIDGEPORT HOSPITAL EIN 06-0646554 \$ 596,691 GREENWICH HOSPITAL EIN 06-0646659 \$ 120,642 LAWRENCE + MEMORIAL HOSPITAL EIN 06-0646704 \$ 97,147 LMW HEALTHCARE, INC EIN 46-0543230 \$ 66,063 NORTHEAST MEDICAL GROUP EIN 06-1330992 \$ 42,892 |

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
YALE NEW HAVEN HOSPITAL

Employer identification number
06-0646652

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

1

Total number at end of year

(a) Donor advised funds

(b) Funds and other accounts

2

Aggregate value of contributions to (during year)

3

Aggregate value of grants from (during year)

4

Aggregate value at end of year

5

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☐ Yes

☐ No

6

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

☐ Yes

☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)

☐ Protection of natural habitat

☐ Preservation of open space

☐ Preservation of an historically important land area

☐ Preservation of a certified historic structure

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

a

Total number of conservation easements

b

Total acreage restricted by conservation easements

c

Number of conservation easements on a certified historic structure included in (a)

d

Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

2a

Held at the End of the Year

2b

2c

2d

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes

☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
►

7

Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4) (B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes

☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i)

Revenue included on Form 990, Part VIII, line 1

► \$

(ii)

Assets included in Form 990, Part X

► \$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenue included on Form 990, Part VIII, line 1

► \$

b

Assets included in Form 990, Part X

► \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 52283D

Schedule D (Form 990) 2015

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets

(continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a☐ Public exhibition

b☐ Scholarly research

c☐ Preservation for future generations

d☐ Loan or exchange programs

e☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

| | Amount |
|----|-------------------------------|
| 1c | Beginning balance |
| 1d | Additions during the year |
| 1e | Distributions during the year |
| 1f | Ending balance |

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds.

Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | (a)Current year | (b)Prior year | b (c)Two years back | (d)Three years back | (e)Four years back | |
|----|--|---------------|---------------------|---------------------|--------------------|------------|
| 1a | Beginning of year balance | 85,431,600 | 72,145,000 | 63,261,000 | 63,422,000 | 62,861,000 |
| b | Contributions | 128,000 | 18,630,331 | 3,493,000 | | 2,000 |
| c | Net investment earnings, gains, and losses | 6,591,400 | 1,271,318 | 11,289,000 | 6,181,000 | 7,683,000 |
| d | Grants or scholarships | | | | | |
| e | Other expenditures for facilities and programs | 4,512,000 | 6,615,049 | 5,898,000 | 6,342,000 | 7,124,000 |
| f | Administrative expenses | | | | | |
| g | End of year balance | 87,639,000 | 85,431,600 | 72,145,000 | 63,261,000 | 63,422,000 |

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

b

Permanent endowment

53 890 %

c

Temporarily restricted endowment

46 110 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

(ii) related organizations

| | Yes | No |
|--------|-----|----|
| 3a(i) | | No |
| 3a(ii) | | No |
| 3b | | |

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property | (a)Cost or other basis (investment) | (b)Cost or other basis (other) | (c)Accumulated depreciation | (d)Book value |
|---|-------------------------------------|--------------------------------|-----------------------------|---------------|
| 1a Land | | 50,475,482 | | 50,475,482 |
| b Buildings | | 271,464,271 | 99,098,584 | 172,365,687 |
| c Leasehold improvements | | 17,632,132 | 17,372,461 | 259,671 |
| d Equipment | | 1,443,672,108 | 774,668,393 | 669,003,715 |
| e Other | | | | |
| Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c)) | | | | 892,104,555 |

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

| | | | | |
|----------|---|-----------|-----------|--|
| 1 | Total revenue, gains, and other support per audited financial statements | | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | | |
| a | Net unrealized gains (losses) on investments | 2a | | |
| b | Donated services and use of facilities | 2b | | |
| c | Recoveries of prior year grants | 2c | | |
| d | Other (Describe in Part XIII) | 2d | | |
| e | Add lines 2a through 2d | | 2e | |
| 3 | Subtract line 2e from line 1 | | 3 | |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1 | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | |
| b | Other (Describe in Part XIII) | 4b | | |
| c | Add lines 4a and 4b | | 4c | |
| 5 | Total revenue Add lines 3 and 4c . (This must equal Form 990, Part I, line 12) | | 5 | |

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

| | | | | |
|----------|--|-----------|-----------|--|
| 1 | Total expenses and losses per audited financial statements | | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | | |
| a | Donated services and use of facilities | 2a | | |
| b | Prior year adjustments | 2b | | |
| c | Other losses | 2c | | |
| d | Other (Describe in Part XIII) | 2d | | |
| e | Add lines 2a through 2d | | 2e | |
| 3 | Subtract line 2e from line 1 | | 3 | |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1 : | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | |
| b | Other (Describe in Part XIII) | 4b | | |
| c | Add lines 4a and 4b | | 4c | |
| 5 | Total expenses Add lines 3 and 4c . (This must equal Form 990, Part I, line 18) | | 5 | |

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

| Return Reference | Explanation |
|---------------------------|-------------|
| See Additional Data Table | |
| | |
| | |
| | |
| | |
| | |
| | |

Part XIII **Supplemental Information** *(continued)*

| Return Reference | Explanation |
|------------------|-------------|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Additional Data

Software ID:
Software Version:
EIN: 06-0646652
Name: YALE NEW HAVEN HOSPITAL

Supplemental Information

| Return Reference | Explanation |
|-------------------|---|
| PART V, LINE 4 | THE ENDOWED FUNDS' INTENDED USE IS TO GENERATE INCOME TO SUPPORT YALE NEW HAVEN HOSPITAL PROGRAM SERVICE FUNCTIONS AND OTHER OPERATIONS IN ACCORDANCE WITH THE YALE NEW HAVEN HOSPITAL POOLED INVESTMENT POLICY, INCLUDING TO PROVIDE FREE CARE, ALL IN ACCORDANCE WITH DONORS WISHES |

Supplemental Information

| Return Reference | Explanation |
|-------------------|---|
| PART X, LINE 2 | YNHCCC AND THE HOSPITAL ARE NOT FOR PROFIT CORPORATIONS AS DESCRIBED IN SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE (THE CODE), AND ARE GENERALLY EXEMPT FROM FEDERAL INCOME TAXE S PURSUANT TO SECTION 501(A) OF THE CODE ASC AND YORK ARE SUBJECT TO FEDERAL AND STATE CO RPORATE INCOME TAXES DEFERRED INCOME TAXES ARE PROVIDED ON TEMPORARY DIFFERENCES BETWEEN FINANCIAL STATEMENT AND TAX REPORTING THE PROVISION FOR INCOME TAXES AND DEFERRED TAXES A RE NOT MATERIAL TO THE CONSOLIDATED FINANCIAL STATEMENTS U S GAAP REQUIRES YNHCCC AND TH E HOSPITAL TO EVALUATE TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN THE COURSE OF PREPAR ING YNHCCC'S AND THE HOSPITAL'S TAX RETURNS TO DETERMINE WHETHER THE TAX POSITIONS ARE "MO RE-LIKELY THAN-NOT" OF BEING SUSTAINED BY THE APPLICABLE TAX AUTHORITY BASED UPON THE TECH NICAL MERITS OF THE POSITION YNHCCC AND THE HOSPITAL RECOGNIZE THE EFFECT OF TAX POSITION S ONLY IF THEY ARE MORE-LIKELY THAN-NOT OF BEING SUSTAINED THIS EVALUATION HAD NO IMPACT ON THE OPERATIONS YNHCCC OR THE HOSPITAL AS OF AND FOR THE YEAR ENDED SEPTEMBER 30, 2016 A ND 2015 |

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a

Attach to Form 990 or Form 990-EZ

Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
YALE NEW HAVEN HOSPITAL

Employer identification number
06-0646652

Part I Fundraising Activities

Complete if the organization answered "Yes" on Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a ☐ Mail solicitations

e ☐ Solicitation of non-government grants

b ☐ Internet and email solicitations

f ☐ Solicitation of government grants

c ☐ Phone solicitations

g ☐ Special fundraising events

d ☐ In-person solicitations
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No
- b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

| (i) Name and address of individual or entity (fundraiser) | (ii) Activity | (iii) Did fundraiser have custody or control of contributions? | | (iv) Gross receipts from activity | (v) Amount paid to (or retained by) fundraiser listed in col (i) | (vi) Amount paid to (or retained by) organization |
|---|---------------|--|----|-----------------------------------|--|---|
| | | Yes | No | | | |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |
| Total | | | | | | |

- 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.
-
-

Part II Fundraising Events.

Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

| | | (a)Event #1 | (b)Event #2 | (c)Other events | (d) |
|-----------------|---|--------------------------------------|---|----------------------------|--|
| | | CTF BIKE RIDE (event type) | ANNIVERSARY GALA (event type) | 6 (total number) | Total events (add col (a) through col (c)) |
| Revenue | 1 Gross receipts | 1,959,910 | 247,474 | 560,774 | 2,768,158 |
| | 2 Less Contributions | 1,832,631 | 122,678 | 451,300 | 2,406,609 |
| | 3 Gross income (line 1 minus line 2) | 127,279 | 124,796 | 109,474 | 361,549 |
| Direct Expenses | 4 Cash prizes | | | | |
| | 5 Noncash prizes | | | | |
| | 6 Rent/facility costs | 7,300 | 2,000 | 6,116 | 15,416 |
| | 7 Food and beverages | 28,437 | 86,102 | 64,753 | 179,292 |
| | 8 Entertainment | 4,930 | 3,826 | 3,550 | 12,306 |
| | 9 Other direct expenses | 755,647 | 14,927 | 71,381 | 841,955 |
| | 10 Direct expense summary Add lines 4 through 9 in column (d) ▶ | | | | 1,048,969 |
| | 11 Net income summary Subtract line 10 from line 3, column (d) ▶ | | | | -687,420 |

Part III Gaming.

Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

| | | (a)Bingo | (b)Pull tabs/Instant bingo/progressive bingo | (c)Other gaming | (d) |
|-----------------|---|---|---|---|---|
| | | | | | Total gaming (add col (a) through col (c)) |
| Revenue | 1 Gross revenue | | | | |
| Direct Expenses | 2 Cash prizes | | | | |
| | 3 Noncash prizes | | | | |
| | 4 Rent/facility costs | | | | |
| | 5 Other direct expenses | | | | |
| | 6 Volunteer labor | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | |
| | 7 Direct expense summary Add lines 2 through 5 in column (d) ▶ | | | | |
| | 8 Net gaming income summary Subtract line 7 from line 1, column (d). ▶ | | | | |

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain _____

- 11

Does the organization conduct gaming activities with nonmembers?

☐ Yes ☐ No
- 12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☐ No
- 13

Indicate the percentage of gaming activity conducted in

| | | | |
|---|-----------------------------|-----|---|
| a | The organization's facility | 13a | % |
| b | An outside facility | 13b | % |
- 14

Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

- 15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☐ No
- b

If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the amount of gaming revenue retained by the third party ▶ \$
- c

If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

- 17

Mandatory distributions
- a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☐ No
- b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$

Part IV

Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

| Return Reference | Explanation |
|------------------|-------------|
|------------------|-------------|

SCHEDULE H
(Form 990)

Department of the
Treasury
Internal Revenue
Service

Hospitals

► Complete if the organization answered "Yes" on Form 990, Part IV, question 20.

► Attach to Form 990.

► Information about Schedule H (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public
Inspection

| | |
|---|--|
| Name of the organization YALE NEW HAVEN HOSPITAL | Employer identification number 06-0646652 |
|---|--|

Part I Financial Assistance and Certain Other Community Benefits at Cost

| | | | | |
|--|--|-----|-----|----|
| | | Yes | No | |
| 1a | Did the organization have a financial assistance policy during the tax year? If "No," skip to question 6a | 1a | Yes | |
| b | If "Yes," was it a written policy? | 1b | Yes | |
| 2 | If the organization had multiple hospital facilities, indicate which of the following best describes application of the financial assistance policy to its various hospital facilities during the tax year <input checked="" type="checkbox"/> Applied uniformly to all hospital facilities <input type="checkbox"/> Applied uniformly to most hospital facilities <input type="checkbox"/> Generally tailored to individual hospital facilities | | | |
| 3 | Answer the following based on the financial assistance eligibility criteria that applied to the largest number of the organization's patients during the tax year | | | |
| a | Did the organization use Federal Poverty Guidelines (FPG) as a factor in determining eligibility for providing <i>free</i> care? If "Yes," indicate which of the following was the FPG family income limit for eligibility for <i>free</i> care <input type="checkbox"/> 100% <input type="checkbox"/> 150% <input type="checkbox"/> 200% <input checked="" type="checkbox"/> Other <u>25000 0000000000</u> % | 3a | Yes | |
| b | Did the organization use FPG as a factor in determining eligibility for providing <i>discounted</i> care? If "Yes," indicate which of the following was the family income limit for eligibility for discounted care <input type="checkbox"/> 200% <input type="checkbox"/> 250% <input type="checkbox"/> 300% <input type="checkbox"/> 350% <input type="checkbox"/> 400% <input type="checkbox"/> Other _____ % | 3b | | No |
| c | If the organization used factors other than FPG in determining eligibility, describe in Part VI the criteria used for determining eligibility for free or discounted care. Include in the description whether the organization used an asset test or other threshold, regardless of income, as a factor in determining eligibility for free or discounted care | | | |
| 4 | Did the organization's financial assistance policy that applied to the largest number of its patients during the tax year provide for free or discounted care to the "medically indigent"? | 4 | Yes | |
| 5a | Did the organization budget amounts for free or discounted care provided under its financial assistance policy during the tax year? | 5a | Yes | |
| b | If "Yes," did the organization's financial assistance expenses exceed the budgeted amount? | 5b | Yes | |
| c | If "Yes" to line 5b, as a result of budget considerations, was the organization unable to provide free or discounted care to a patient who was eligible for free or discounted care? | 5c | | No |
| 6a | Did the organization prepare a community benefit report during the tax year? | 6a | Yes | |
| b | If "Yes," did the organization make it available to the public? | 6b | Yes | |
| Complete the following table using the worksheets provided in the Schedule H instructions. Do not submit these worksheets with the Schedule H. | | | | |

| 7 Financial Assistance and Certain Other Community Benefits at Cost | | | | | | |
|---|---|-------------------------------|-------------------------------------|-------------------------------|-----------------------------------|------------------------------|
| Financial Assistance and Means-Tested Government Programs | (a) Number of activities or programs (optional) | (b) Persons served (optional) | (c) Total community benefit expense | (d) Direct offsetting revenue | (e) Net community benefit expense | (f) Percent of total expense |
| a Financial Assistance at cost (from Worksheet 1) | | 35,314 | 222,007,000 | 17,918,000 | 204,089,000 | 7 900 % |
| b Medicaid (from Worksheet 3, column a) | | 374,125 | 564,370,878 | 318,568,169 | 245,802,709 | 9 510 % |
| c Costs of other means-tested government programs (from Worksheet 3, column b) | | 0 | 0 | 0 | | |
| d Total Financial Assistance and Means-Tested Government Programs | | 409,439 | 786,377,878 | 336,486,169 | 449,891,709 | 17 410 % |
| Other Benefits | | | | | | |
| e Community health improvement services and community benefit operations (from Worksheet 4) | 16 | 47,956 | 9,484,397 | 3,188,124 | 6,296,273 | 0 240 % |
| f Health professions education (from Worksheet 5) | 4 | 2,762 | 116,106,127 | 23,021,940 | 93,084,187 | 3 600 % |
| g Subsidized health services (from Worksheet 6) | 1 | 20,134 | 8,317,883 | 3,133,799 | 5,184,084 | 0 200 % |
| h Research (from Worksheet 7) | 0 | 0 | 0 | 0 | | |
| i Cash and in-kind contributions for community benefit (from Worksheet 8) | 11 | 100 | 1,639,452 | 7,800 | 1,631,652 | 0 060 % |
| j Total. Other Benefits | 32 | 70,952 | 135,547,859 | 29,351,663 | 106,196,196 | 4 100 % |
| k Total. Add lines 7d and 7j | 32 | 480,391 | 921,925,737 | 365,837,832 | 556,087,905 | 21 510 % |

Part II Community Building Activities

Complete this table if the organization conducted any community building activities during the tax year, and describe in Part VI how its community building activities promoted the health of the communities it serves.

| | (a) Number of activities or programs (optional) | (b) Persons served (optional) | (c) Total community building expense | (d) Direct offsetting revenue | (e) Net community building expense | (f) Percent of total expense |
|---|---|-------------------------------|--------------------------------------|-------------------------------|------------------------------------|------------------------------|
| 1 Physical improvements and housing | 1 | 8 | 150,000 | 0 | 150,000 | 0 010 % |
| 2 Economic development | 1 | 0 | 449,354 | 0 | 449,354 | 0 020 % |
| 3 Community support | 3 | 105 | 116,253 | 0 | 116,253 | 0 % |
| 4 Environmental improvements | 0 | 0 | 0 | 0 | | |
| 5 Leadership development and training for community members | 0 | 0 | 0 | 0 | | |
| 6 Coalition building | 0 | 0 | 0 | 0 | | |
| 7 Community health improvement advocacy | 0 | 0 | 0 | 0 | | |
| 8 Workforce development | 3 | 55 | 42,756 | 0 | 42,756 | 0 % |
| 9 Other | 1 | 0 | 2,612,597 | 0 | 2,612,597 | 0 100 % |
| 10 Total | 9 | 168 | 3,370,960 | | 3,370,960 | 0 130 % |

Part III Bad Debt, Medicare, & Collection Practices

Section A. Bad Debt Expense

1 Did the organization report bad debt expense in accordance with Heathcare Financial Management Association Statement No 15?

1

Yes

No

2 Enter the amount of the organization's bad debt expense Explain in Part VI the methodology used by the organization to estimate this amount

2

62,868,918

3 Enter the estimated amount of the organization's bad debt expense attributable to patients eligible under the organization's financial assistance policy Explain in Part VI the methodology used by the organization to estimate this amount and the rationale, if any, for including this portion of bad debt as community benefit

3

4 Provide in Part VI the text of the footnote to the organization's financial statements that describes bad debt expense or the page number on which this footnote is contained in the attached financial statements

Section B. Medicare

5 Enter total revenue received from Medicare (including DSH and IME)

5

843,229,193

6 Enter Medicare allowable costs of care relating to payments on line 5

6

947,074,144

7 Subtract line 6 from line 5 This is the surplus (or shortfall)

7

-103,844,951

8 Describe in Part VI the extent to which any shortfall reported in line 7 should be treated as community benefit Also describe in Part VI the costing methodology or source used to determine the amount reported on line 6 Check the box that describes the method used

☒ Cost accounting system

☐ Cost to charge ratio

☐ Other

Section C. Collection Practices

9a Did the organization have a written debt collection policy during the tax year?

9a

Yes

No

b If "Yes," did the organization's collection policy that applied to the largest number of its patients during the tax year contain provisions on the collection practices to be followed for patients who are known to qualify for financial assistance? Describe in Part VI

9b

Yes

No

Part IV Management Companies and Joint Ventures

(owned 10% or more by officers, directors, trustees, key employees, and physicians—see instructions)

| (a) Name of entity | (b) Description of primary activity of entity | (c) Organization's profit % or stock ownership % | (d) Officers, directors, trustees, or key employees' profit % or stock ownership % | (e) Physicians' profit % or stock ownership % |
|--------------------|---|--|--|---|
| 1 1 NONE | NONE | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |
| 11 | | | | |
| 12 | | | | |
| 13 | | | | |

Section A. Hospital Facilities

Name, address, primary website address, and state license number (and if a group return, the name and EIN of the subordinate hospital organization that operates the hospital facility)

[illegible]

Part V

Facility Information (continued)

Section B. Facility Policies and Practices

(Complete a separate Section B for each of the hospital facilities or facility reporting groups listed in Part V, Section A.)

YALE NEW HAVEN HOSPITAL

Name of hospital facility or letter of facility reporting group

Line number of hospital facility, or line numbers of hospital facilities in a facility reporting group (from Part V, Section A): 1

| | Yes | No |
|---|----------------------|-----|
| Community Health Needs Assessment | | |
| 1 Was the hospital facility first licensed, registered, or similarly recognized by a state as a hospital facility in the current tax year or the immediately preceding tax year? | 1 | No |
| 2 Was the hospital facility acquired or placed into service as a tax-exempt hospital in the current tax year or the immediately preceding tax year? If "Yes," provide details of the acquisition in Section C | 2 | No |
| 3 During the tax year or either of the two immediately preceding tax years, did the hospital facility conduct a community health needs assessment (CHNA)? If "No," skip to line 12 If "Yes," indicate what the CHNA report describes (check all that apply) a <input checked="" type="checkbox"/> A definition of the community served by the hospital facility b <input checked="" type="checkbox"/> Demographics of the community c <input checked="" type="checkbox"/> Existing health care facilities and resources within the community that are available to respond to the health needs of the community d <input checked="" type="checkbox"/> How data was obtained e <input checked="" type="checkbox"/> The significant health needs of the community f <input checked="" type="checkbox"/> Primary and chronic disease needs and other health issues of uninsured persons, low-income persons, and minority groups g <input type="checkbox"/> The process for identifying and prioritizing community health needs and services to meet the community health needs h <input checked="" type="checkbox"/> The process for consulting with persons representing the community's interests i <input checked="" type="checkbox"/> Information gaps that limit the hospital facility's ability to assess the community's health needs j <input type="checkbox"/> Other (describe in Section C) 4 Indicate the tax year the hospital facility last conducted a CHNA 20 <u>15</u> 5 In conducting its most recent CHNA, did the hospital facility take into account input from persons who represent the broad interests of the community served by the hospital facility, including those with special knowledge of or expertise in public health? If "Yes," describe in Section C how the hospital facility took into account input from persons who represent the community, and identify the persons the hospital facility consulted | 3 5 | Yes |
| 6 a Was the hospital facility's CHNA conducted with one or more other hospital facilities? If "Yes," list the other hospital facilities in Section C | 6a | Yes |
| b Was the hospital facility's CHNA conducted with one or more organizations other than hospital facilities? If "Yes," list the other organizations in Section C | 6b | Yes |
| 7 Did the hospital facility make its CHNA report widely available to the public? If "Yes," indicate how the CHNA report was made widely available (check all that apply) a <input checked="" type="checkbox"/> Hospital facility's website (list url) <u>SEE PART V</u> b <input checked="" type="checkbox"/> Other website (list url) <u>SEE PART V</u> c <input checked="" type="checkbox"/> Made a paper copy available for public inspection without charge at the hospital facility d <input type="checkbox"/> Other (describe in Section C) | 7 | Yes |
| 8 Did the hospital facility adopt an implementation strategy to meet the significant community health needs identified through its most recently conducted CHNA? If "No," skip to line 11 | 8 | Yes |
| 9 Indicate the tax year the hospital facility last adopted an implementation strategy 20 <u>15</u> 10 Is the hospital facility's most recently adopted implementation strategy posted on a website? | 10 | Yes |
| a If "Yes" (list url) <u>SEE PART V</u> b If "No," is the hospital facility's most recently adopted implementation strategy attached to this return? | 10b | No |
| 11 Describe in Section C how the hospital facility is addressing the significant needs identified in its most recently conducted CHNA and any such needs that are not being addressed together with the reasons why such needs are not being addressed 12a Did the organization incur an excise tax under section 4959 for the hospital facility's failure to conduct a CHNA as required by section 501(r)(3)? | 12a | No |
| b If "Yes" on line 12a, did the organization file Form 4720 to report the section 4959 excise tax? | 12b | |
| c If "Yes" on line 12b, what is the total amount of section 4959 excise tax the organization reported on Form 4720 for all of its hospital facilities? \$ _____ | | |

Part V Facility Information (continued)**Financial Assistance Policy (FAP)**

YALE NEW HAVEN HOSPITAL

Name of hospital facility or letter of facility reporting group _____

| | | Yes | No |
|--------------------------------|--|---------------|----|
| 13 | Did the hospital facility have in place during the tax year a written financial assistance policy that explained eligibility criteria for financial assistance, and whether such assistance included free or discounted care? If "Yes," indicate the eligibility criteria explained in the FAP | 13 Yes | |
| a | <input checked="" type="checkbox"/> Federal poverty guidelines (FPG), with FPG family income limit for eligibility for free care of <u>250 000000000000</u> % and FPG family income limit for eligibility for discounted care of _____ % | | |
| b | <input type="checkbox"/> Income level other than FPG (describe in Section C) | | |
| c | <input type="checkbox"/> Asset level | | |
| d | <input type="checkbox"/> Medical indigency | | |
| e | <input checked="" type="checkbox"/> Insurance status | | |
| f | <input checked="" type="checkbox"/> Underinsurance discount | | |
| g | <input checked="" type="checkbox"/> Residency | | |
| h | <input checked="" type="checkbox"/> Other (describe in Section C) | | |
| 14 | Explained the basis for calculating amounts charged to patients? | 14 Yes | |
| 15 | Explained the method for applying for financial assistance? | 15 Yes | |
| | If "Yes," indicate how the hospital facility's FAP or FAP application form (including accompanying instructions) explained the method for applying for financial assistance (check all that apply) | | |
| a | <input checked="" type="checkbox"/> Described the information the hospital facility may require an individual to provide as part of his or her application | | |
| b | <input checked="" type="checkbox"/> Described the supporting documentation the hospital facility may require an individual to submit as part of his or her application | | |
| c | <input checked="" type="checkbox"/> Provided the contact information of hospital facility staff who can provide an individual with information about the FAP and FAP application process | | |
| d | <input type="checkbox"/> Provided the contact information of nonprofit organizations or government agencies that may be sources of assistance with FAP applications | | |
| e | <input type="checkbox"/> Other (describe in Section C) | | |
| 16 | Included measures to publicize the policy within the community served by the hospital facility? | 16 Yes | |
| | If "Yes," indicate how the hospital facility publicized the policy (check all that apply) | | |
| a | <input checked="" type="checkbox"/> The FAP was widely available on a website (list url) <u>SEE PART V</u> | | |
| b | <input checked="" type="checkbox"/> The FAP application form was widely available on a website (list url) <u>SEE PART V</u> | | |
| c | <input checked="" type="checkbox"/> A plain language summary of the FAP was widely available on a website (list url) <u>SEE PART V</u> | | |
| d | <input checked="" type="checkbox"/> The FAP was available upon request and without charge (in public locations in the hospital facility and by mail) | | |
| e | <input checked="" type="checkbox"/> The FAP application form was available upon request and without charge (in public locations in the hospital facility and by mail) | | |
| f | <input checked="" type="checkbox"/> A plain language summary of the FAP was available upon request and without charge (in public locations in the hospital facility and by mail) | | |
| g | <input checked="" type="checkbox"/> Notice of availability of the FAP was conspicuously displayed throughout the hospital facility | | |
| h | <input checked="" type="checkbox"/> Notified members of the community who are most likely to require financial assistance about availability of the FAP | | |
| i | <input type="checkbox"/> Other (describe in Section C) | | |
| Billing and Collections | | | |
| 17 | Did the hospital facility have in place during the tax year a separate billing and collections policy, or a written financial assistance policy (FAP) that explained all of the actions the hospital facility or other authorized party may take upon non-payment? | 17 Yes | |
| 18 | Check all of the following actions against an individual that were permitted under the hospital facility's policies during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP | | |
| a | <input type="checkbox"/> Reporting to credit agency(ies) | | |
| b | <input type="checkbox"/> Selling an individual's debt to another party | | |
| c | <input type="checkbox"/> Actions that require a legal or judicial process | | |
| d | <input type="checkbox"/> Other similar actions (describe in Section C) | | |
| e | <input checked="" type="checkbox"/> None of these actions or other similar actions were permitted | | |

Part V

Facility Information (continued)

YALE NEW HAVEN HOSPITAL

Name of hospital facility or letter of facility reporting group

| | | | |
|---|---|-----|-----|
| | | Yes | No |
| 19 | Did the hospital facility or other authorized party perform any of the following actions during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP? If "Yes," check all actions in which the hospital facility or a third party engaged | 19 | No |
| a | <input type="checkbox"/> Reporting to credit agency(ies) | | |
| b | <input type="checkbox"/> Selling an individual's debt to another party | | |
| c | <input type="checkbox"/> Actions that require a legal or judicial process | | |
| d | <input type="checkbox"/> Other similar actions (describe in Section C) | | |
| 20 | Indicate which efforts the hospital facility or other authorized party made before initiating any of the actions listed (whether or not checked) in line 19 (check all that apply) | | |
| a | <input checked="" type="checkbox"/> Notified individuals of the financial assistance policy on admission | | |
| b | <input checked="" type="checkbox"/> Notified individuals of the financial assistance policy prior to discharge | | |
| c | <input checked="" type="checkbox"/> Notified individuals of the financial assistance policy in communications with the individuals regarding the individuals' bills | | |
| d | <input checked="" type="checkbox"/> Documented its determination of whether individuals were eligible for financial assistance under the hospital facility's financial assistance policy | | |
| e | <input type="checkbox"/> Other (describe in Section C) | | |
| f | <input type="checkbox"/> None of these efforts were made | | |
| Policy Relating to Emergency Medical Care | | | |
| 21 | Did the hospital facility have in place during the tax year a written policy relating to emergency medical care that required the hospital facility to provide, without discrimination, care for emergency medical conditions to individuals regardless of their eligibility under the hospital facility's financial assistance policy? If "No," indicate why | 21 | Yes |
| a | <input type="checkbox"/> The hospital facility did not provide care for any emergency medical conditions | | |
| b | <input type="checkbox"/> The hospital facility's policy was not in writing | | |
| c | <input type="checkbox"/> The hospital facility limited who was eligible to receive care for emergency medical conditions (describe in Section C) | | |
| d | <input type="checkbox"/> Other (describe in Section C) | | |
| Charges to Individuals Eligible for Assistance Under the FAP (FAP-Eligible Individuals) | | | |
| 22 | Indicate how the hospital facility determined, during the tax year, the maximum amounts that can be charged to FAP-eligible individuals for emergency or other medically necessary care | | |
| a | <input type="checkbox"/> The hospital facility used its lowest negotiated commercial insurance rate when calculating the maximum amounts that can be charged | | |
| b | <input type="checkbox"/> The hospital facility used the average of its three lowest negotiated commercial insurance rates when calculating the maximum amounts that can be charged | | |
| c | <input type="checkbox"/> The hospital facility used the Medicare rates when calculating the maximum amounts that can be charged | | |
| d | <input checked="" type="checkbox"/> Other (describe in Section C) | | |
| 23 | During the tax year, did the hospital facility charge any FAP-eligible individual to whom the hospital facility provided emergency or other medically necessary services more than the amounts generally billed to individuals who had insurance covering such care? If "Yes," explain in Section C | 23 | No |
| 24 | During the tax year, did the hospital facility charge any FAP-eligible individual an amount equal to the gross charge for any service provided to that individual? If "Yes," explain in Section C | 24 | No |

Part V **Facility Information** *(continued)*

Section C. Supplemental Information for Part V, Section B.

Provide descriptions required for Part V, Section B, lines 2, 3j, 5, 6a, 6b, 7d, 11, 13b, 13h, 15e, 16i, 18d, 19d, 20e, 21c, 21d, 22d, 23, and 24. If applicable, provide separate descriptions for each hospital facility in a facility reporting group, designated by facility reporting group letter and hospital facility line number from Part V, Section A ("A, 1," "A, 4," "B, 2," "B, 3," etc.) and name of hospital facility.

| Form and Line Reference | Explanation |
|-------------------------|-------------|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Part V

Facility Information *(continued)*

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility
(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? **80**

| Name and address | Type of Facility (describe) |
|------------------------------------|-----------------------------|
| 1 See Additional Data Table | |
| 2 | |
| 3 | |
| 4 | |
| 5 | |
| 6 | |
| 7 | |
| 8 | |
| 9 | |
| 10 | |

Part VI

Supplemental Information

Provide the following information

- 1
- Required descriptions.** Provide the descriptions required for Part I, lines 3c, 6a, and 7, Part II and Part III, lines 2, 3, 4, 8 and 9b
- 2
- Needs assessment.** Describe how the organization assesses the health care needs of the communities it serves, in addition to any CHNAs reported in Part V, Section B
- 3
- Patient education of eligibility for assistance.** Describe how the organization informs and educates patients and persons who may be billed for patient care about their eligibility for assistance under federal, state, or local government programs or under the organization's financial assistance policy
- 4
- Community information.** Describe the community the organization serves, taking into account the geographic area and demographic constituents it serves
- 5
- Promotion of community health.** Provide any other information important to describing how the organization's hospital facilities or other health care facilities further its exempt purpose by promoting the health of the community (e g , open medical staff, community board, use of surplus funds, etc)
- 6
- Affiliated health care system.** If the organization is part of an affiliated health care system, describe the respective roles of the organization and its affiliates in promoting the health of the communities served
- 7
- State filing of community benefit report.** If applicable, identify all states with which the organization, or a related organization, files a community benefit report

| Form and Line Reference | Explanation |
|-------------------------|--|
| PART I, LINE 3C | THE FINANCIAL ASSISTANCE POLICY PROVIDES THAT THE PATIENT MUST SUBMIT A FINANCIAL ASSISTANCE APPLICATION |

| Form and Line Reference | Explanation |
|-------------------------|--|
| PART I, LINE 7 | THE HOSPITAL USES A COST ACCOUNTING SYSTEM, STRATAJAZZ, TO CALCULATE THE AMOUNTS PRESENTED IN PART I, LINE 7 THE COST ACCOUNTING SYSTEM ADDRESSES ALL PATIENT SEGMENTS |

| Form and Line Reference | Explanation |
|---|---|
| PART II, COMMUNITY BUILDING ACTIVITIES | <p>FOR YALE NEW HAVEN HOSPITAL, QUALITY AND SAFETY REMAINED TOP PRIORITIES THIS YEAR, WITH A THREE-FOLD FOCUS HIGH RELIABILITY (HRO) PRACTICES, HOSPITAL-ACQUIRED INFECTIONS AND CARE TRANSITIONS 1) AS A RESULT OF HRO PRACTICES, SERIOUS SAFETY EVENTS AT YNHHS DECLINED BY 43 PERCENT 2) YNHHS WAS ABLE TO REDUCE HOSPITAL-ACQUIRED INFECTIONS RELATED TO CENTRAL LINE-ASSOCIATED BLOODSTREAM INFECTIONS, CATHETER-ASSOCIATED URINARY TRACT INFECTIONS, C DIFFICILE INFECTIONS, AND DEEP VEIN THROMBOSIS/PULMONARY EMBOLISM RATES 3) IMPROVED CARE TRANSITIONS HELPED THE HOSPITAL LOWER PATIENT READMISSION RATES AND THE TIME IT TOOK TO ADMIT PATIENTS FROM THE EMERGENCY DEPARTMENT THE HOSPITAL CONTINUED TO TAKE ITS RESPONSIBILITY TO CONTAIN HEALTHCARE COSTS SERIOUSLY THE YNHHS COST AND VALUE POSITIONING INITIATIVE INCREASED EFFICIENCY, REDUCED WASTE AND CREATED MORE COST-EFFECTIVE AND BENEFICIAL PATIENT CARE PROCESSES AT YNHHS, AS WELL AS EACH OF THE OTHER SYSTEM HOSPITALS IN TERMS OF QUALITY OF CARE, SMILOW CANCER HOSPITAL AND EIGHT OF 10 SMILOW CANCER CARE CENTERS RECEIVED THE QUALITY ONCOLOGY PRACTICE INITIATIVE CERTIFICATION FROM THE AMERICAN SOCIETY OF CLINICAL ONCOLOGY YALE NEW HAVEN CHILDREN'S HOSPITAL WON THE PEDIATRIC QUALITY AWARD FROM THE CHILDREN'S HOSPITAL ASSOCIATION U S NEWS & WORLD REPORT RECOGNIZED YALE NEW HAVEN HOSPITAL AMONG THE BEST IN THE NATION IN 11 ADULT AND SIX PEDIATRIC SPECIALTIES THE HOSPITAL RECEIVED THE PRESTIGIOUS MAGNET DESIGNATION IN 2016 FROM THE AMERICAN NURSES CREDENTIALING CENTER YNHHS FIRST RECEIVED MAGNET DESIGNATION IN 2011, BUT THE RE-DESIGNATION NOW INCLUDES THE SAINT RAPHAEL CAMPUS MAGNET RECOGNIZES QUALITY PATIENT CARE, NURSING EXCELLENCE AND INNOVATIONS IN PROFESSIONAL NURSING PRACTICE IN ADDITION, YNHHS EARNED INTERNATIONAL RECOGNITION AS A BABY-FRIENDLY BIRTH FACILITY, SIGNIFYING AN OPTIMAL LEVEL OF CARE FOR BREASTFEEDING MOTHERS YNHHS WAS THE FIRST CONNECTICUT HOSPITAL TO EARN THE JOINT COMMISSION'S GOLD SEAL OF APPROVAL FOR CHEST PAIN CERTIFICATION ADDITIONALLY, THE HEART AND VASCULAR CENTER TAKEHEART CARDIAC REHABILITATION PROGRAM RECEIVED THREE-YEAR CERTIFICATION BY THE AMERICAN ASSOCIATION OF CARDIOVASCULAR AND PULMONARY REHABILITATION YALE NEW HAVEN HOSPITAL CONTINUED TO INVEST IN FACILITIES AND CLINICAL PROGRAMS THIS YEAR, OPENING A NEW CANCER GENETICS UNIT AT THE SAINT RAPHAEL CAMPUS AND A NEW PHASE 1 CLINICAL TRIAL INFUSION CENTER AT 55 PARK STREET NEW BARIATRIC AND GASTROINTESTINAL INPATIENT UNITS OPENED AT THE SAINT RAPHAEL CAMPUS, AS WELL AS NEW MUSCULOSKELETAL OFFICES AND A NEW RADIOLOGY AND GASTROINTESTINAL AND BARIATRIC SURGERY SUITE AT THE YORK STREET CAMPUS, A MAJOR RENOVATION OF MATERNITY AND NEWBORN INTENSIVE CARE UNITS BEGAN, AND THE CHILDREN'S HOSPITAL SURGERY CENTER WAS RENOVATED YNHHS BECAME THE FIRST HOSPITAL IN CONNECTICUT TO PERFORM A NEW, LESS INVASIVE PROCEDURE TO HELP CLEAR CAROTID ARTERIES USING THE TRANSCAROTID ARTERY REVASCLARIZATION PROCEDURE TO HELP CLEAR CAROTID ARTERIES AND PROTECT THE BRAIN FROM STROKE RISK DURING CAROTID ARTERY STENTING THE HOSPITAL WAS ALSO ONE OF ONLY TWO STROKE CENTERS IN THE STATE TO OFFER STENT RECEIVERS, A NEW TECHNOLOGY FOR TREATMENT OF SEVERE STROKES YNHHS ALSO PERFORMED THE NATION'S FIRST THYROIDECTOMY THROUGH THE MOUTH TO AVOID VISIBLE SCARRING THE HOSPITAL OPENED A BARIATRIC AND GASTROINTESTINAL SURGERY UNIT AT THE SAINT RAPHAEL CAMPUS IN JANUARY A NEW CENTER FOR LIVING DONORS OPENED AT THE YNHHS TRANSPLANTATION CENTER TO PROVIDE LONG-TERM, FOLLOW-UP CARE TO THE LIVING DONORS OF A KIDNEY OR LIVER YNHHS WAS ONE OF JUST TWO COMPREHENSIVE STROKE CENTERS IN THE STATE TO OFFER A NEW MINIMALLY INVASIVE TECHNOLOGY CALLED STENT RECEIVERS YNHHS ALSO PERFORMED THE NATION'S FIRST THYROIDECTOMY THROUGH THE MOUTH, WHICH AVOIDS VISIBLE SCARRING IN PATIENTS YNHHS CONTINUED TO SERVE AS AN IMPORTANT TERTIARY CARE RESOURCE FOR OTHER HOSPITALS IN THE STATE AND BEYOND THIS YEAR YNHHS RECEIVED NEARLY 7,000 TRANSFERS OF ACUTE PATIENTS FROM OTHER HEALTHCARE ORGANIZATIONS THROUGH Y ACCESS, INCLUDING 151 TRANSPORTED BY THE SKYHEALTH HELICOPTER</p> |

| Form and Line Reference | Explanation |
|-------------------------|---|
| PART III, LINE 2 | IN ACCORDANCE WITH THE ESTABLISHED POLICIES OF THE HOSPITAL, DURING THE REGISTRATION, BILLING AND COLLECTION PROCESS A PATIENT'S ELIGIBILITY FOR FREE CARE FUNDS IS DETERMINED FOR PATIENTS WHO WERE DETERMINED BY THE HOSPITAL TO HAVE THE ABILITY TO PAY BUT DID NOT, THE UNCOLLECTED AMOUNTS ARE BAD DEBT EXPENSE THE HOSPITAL'S COST ACCOUNTING SYSTEM UTILIZES PATIENT-SPECIFIC DATA TO ACCUMULATE AND DERIVE COSTS RELATED TO THESE BAD DEBT ACCOUNTS |

| Form and Line Reference | Explanation |
|-------------------------|---|
| PART III, LINE 3 | THE ORGANIZATION DOES NOT CURRENTLY HAVE A METHODOLOGY TO ACCURATELY QUANTIFY OR ESTIMATE THE AMOUNT OF BAD DEBT EXPENSE THAT WOULD BE ATTRIBUTABLE TO PATIENTS ELIGIBLE UNDER THE ORGANIZATION'S FINANCIAL ASSISTANCE POLICY |

| Form and Line Reference | Explanation |
|-------------------------|--|
| PART III, LINE 4 | <p>YNHH'S COMMITMENT TO COMMUNITY SERVICE IS EVIDENCED BY SERVICES PROVIDED TO THE INDIGENT AND BENEFITS PROVIDED TO THE BROADER COMMUNITY SERVICES PROVIDED TO THE INDIGENT INCLUDE SERVICES PROVIDED TO PERSONS WHO CANNOT AFFORD HEALTH CARE BECAUSE OF INADEQUATE RESOURCES AND/OR WHO ARE UNINSURED OR UNDERINSURED YNHH MAKES AVAILABLE FREE CARE PROGRAMS FOR QUALIFYING PATIENTS IN ACCORDANCE WITH THE ESTABLISHED POLICIES OF YNHH, DURING THE REGISTRATION, BILLING AND COLLECTION PROCESS A PATIENT'S ELIGIBILITY FOR FREE CARE FUNDS IS DETERMINED FOR PATIENTS WHO WERE DETERMINED BY YNHH TO HAVE THE ABILITY TO PAY BUT DID NOT, THE UNCOLLECTED AMOUNTS ARE THE PROVISION FOR BAD DEBTS FOR PATIENTS WHO DO NOT AVAIL THEMSELVES OF ANY FREE CARE PROGRAM AND WHOSE ABILITY TO PAY CANNOT BE DETERMINED BY YNHH, CARE GIVEN BUT NOT PAID FOR, IS CLASSIFIED AS CHARITY CARE TOGETHER, CHARITY CARE AND THE PROVISION FOR BAD DEBTS REPRESENT UNCOMPENSATED CARE THE ESTIMATED COST OF TOTAL UNCOMPENSATED CARE IS APPROXIMATELY \$129.9 MILLION AND \$114.4 MILLION FOR THE YEARS ENDED SEPTEMBER 30, 2016 AND 2015, RESPECTIVELY THE ESTIMATED COST OF UNCOMPENSATED CARE IS BASED ON THE RATIO OF COST TO CHARGES, AS DETERMINED BY CLAIMS ACTIVITY THE ESTIMATED COST OF CHARITY CARE AND FREE CARE PROVIDED WAS APPROXIMATELY \$88.0 MILLION AND \$82.4 MILLION FOR THE YEARS ENDED SEPTEMBER 30, 2016 AND 2015, RESPECTIVELY THE ESTIMATED COST OF CHARITY CARE IS BASED ON THE RATIO OF COST TO CHARGES THE ALLOCATION BETWEEN THE PROVISION FOR BAD DEBTS AND CHARITY CARE IS DETERMINED BASED ON MANAGEMENT'S ANALYSIS ON THE PREVIOUS 12 MONTHS OF HOSPITAL DATA THIS ANALYSIS CALCULATES THE ACTUAL PERCENTAGE OF ACCOUNTS WRITTEN OFF OR DESIGNATED AS BAD DEBT VERSUS CHARITY CARE WHILE TAKING INTO ACCOUNT THE TOTAL COSTS INCURRED BY THE HOSPITAL FOR EACH ACCOUNT ANALYZED FOR THE YEARS ENDED SEPTEMBER 30, 2016 AND 2015, THE PROVISION FOR BAD DEBTS, AT CHARGES, WAS APPROXIMATELY \$63.4 MILLION AND \$50.4 MILLION, RESPECTIVELY FOR THE YEARS ENDED SEPTEMBER 30, 2016 AND 2015, THE PROVISION FOR BAD DEBTS, AT COST, WAS APPROXIMATELY \$41.9 MILLION AND \$32.0 MILLION, RESPECTIVELY THE PROVISION FOR BAD DEBTS IS MULTIPLIED BY THE RATIO OF COST TO CHARGES FOR PURPOSES OF INCLUSION IN THE TOTAL UNCOMPENSATED CARE AMOUNT IDENTIFIED ABOVE THE CONNECTICUT DISPROPORTIONATE SHARE HOSPITAL PROGRAM (CDSHP) WAS ESTABLISHED TO PROVIDE FUNDS TO HOSPITALS FOR THE PROVISION OF UNCOMPENSATED CARE AND IS FUNDED, IN PART, BY AN ASSESSMENT ON HOSPITAL NET PATIENT SERVICE REVENUE DURING THE YEARS ENDED SEPTEMBER 30, 2016 AND 2015, THE HOSPITAL RECEIVED APPROXIMATELY \$17.9 MILLION AND \$9.3 MILLION, RESPECTIVELY, IN CDSHP DISTRIBUTIONS, OF WHICH APPROXIMATELY \$12.1 MILLION AND \$6.7 MILLION, RESPECTIVELY WAS RELATED TO CHARITY CARE YNHH MADE PAYMENTS INTO THE CDSHP OF \$136.8 MILLION AND \$89.3 MILLION FOR THE YEARS ENDED SEPTEMBER 30, 2016 AND 2015, RESPECTIVELY, FOR THE ASSESSMENT THESE ARE RECORDED IN NET PATIENT SERVICE REVENUE ADDITIONALLY, YNHH PROVIDES BENEFITS FOR THE BROADER COMMUNITY WHICH INCLUDES SERVICES PROVIDED TO OTHER NEEDY POPULATIONS THAT MAY NOT QUALIFY AS INDIGENT BUT NEED SPECIAL SERVICES AND SUPPORT BENEFITS INCLUDE THE COST OF HEALTH PROMOTION AND EDUCATION OF THE GENERAL COMMUNITY, INTERNS AND RESIDENTS, HEALTH SCREENINGS, AND MEDICAL RESEARCH THE BENEFITS ARE PROVIDED THROUGH THE COMMUNITY HEALTH CENTERS, SOME OF WHICH SERVICE NONENGLISH SPEAKING RESIDENTS, DISABLED CHILDREN, AND VARIOUS COMMUNITY SUPPORT GROUPS YNHH VOLUNTARILY ASSISTS WITH THE DIRECT FUNDING OF SEVERAL CITY OF NEW HAVEN PROGRAMS, INCLUDING AN ECONOMIC DEVELOPMENT PROGRAM AND A YOUTH INITIATIVE PROGRAM IN ADDITION TO THE QUANTIFIABLE SERVICES DEFINED ABOVE, YNHH PROVIDES ADDITIONAL BENEFITS TO THE COMMUNITY THROUGH ITS ADVOCACY OF COMMUNITY SERVICE BY EMPLOYEES YNHH'S EMPLOYEES SERVE NUMEROUS ORGANIZATIONS THROUGH BOARD REPRESENTATION, MEMBERSHIP IN ASSOCIATIONS AND OTHER RELATED ACTIVITIES YNHH ALSO SOLICITS THE ASSISTANCE OF OTHER HEALTHCARE PROFESSIONALS TO PROVIDE THEIR SERVICES AT NO CHARGE THROUGH PARTICIPATION IN VARIOUS COMMUNITY SEMINARS AND TRAINING PROGRAMS</p> |

| Form and Line Reference | Explanation |
|-------------------------|--|
| PART III, LINE 8 | THE ENTIRE MEDICARE LOSS PRESENTED SHOULD BE TREATED AS A COMMUNITY BENEFIT FOR THE FOLLOWING REASONS THE IRS COMMUNITY BENEFIT STANDARD INCLUDES THE PROVISION OF CARE TO MEDICARE BENEFICIARIES, IRS REVENUE RULING 69-545 INDICATES THAT HOSPITALS OPERATE FOR THE PROMOTION OF HEALTH IN THE COMMUNITY WHEN IT PROVIDES CARE TO PATIENTS WITH GOVERNMENTAL HEALTH BENEFITS, THE ORGANIZATION PROVIDES CARE TO MEDICARE PATIENTS REGARDLESS OF MEDICARE SHORTFALLS (REDUCING THE BURDEN ON THE GOVERNMENT), AND MANY OF THE MEDICARE PARTICIPANTS WOULD HAVE QUALIFIED FOR THE CHARITY CARE OR OTHER MEANS TESTED PROGRAMS ABSENT BEING ENROLLED IN THE MEDICARE PROGRAM THE MEDICARE SHORTFALL REPORTED IS DETERMINED BY THE HOSPITAL'S COST ACCOUNTING SYSTEM, STRATAJAZZ |

| Form and Line Reference | Explanation |
|-------------------------|---|
| PART III, LINE 9B | IT IS THE HOSPITAL'S POLICY TO TREAT ALL PATIENTS EQUITABLY WITH RESPECT AND COMPASSION, FROM THE BEDSIDE TO THE BILLING OFFICE THE HOSPITAL WILL PURSUE PATIENT ACCOUNTS, DIRECTLY AND THROUGH ITS COLLECTION AGENTS, FAIRLY AND CONSISTENTLY TAKING INTO CONSIDERATION DEMONSTRATED FINANCIAL NEED AS PART OF ITS COLLECTION PROCESS, THE HOSPITAL WILL MAKE REASONABLE EFFORTS TO DETERMINE IF AN INDIVIDUAL IS ELIGIBLE FOR FINANCIAL ASSISTANCE UNDER ITS FINANCIAL ASSISTANCE POLICY IN THE EVENT A PATIENT IS ELIGIBLE FOR FINANCIAL ASSISTANCE, THE HOSPITAL WILL NOT ENGAGE IN ANY EXTRAORDINARY COLLECTION ACTION AS DEFINED BY LAW AND HOSPITAL POLICY |

| Form and Line Reference | Explanation |
|-------------------------|--|
| PART VI, LINE 2 | COMMUNITY NEEDS ARE ROUTINELY REVIEWED AND ADDRESSED AS PART OF THE OPERATIONS AND SERVICE LINE TEAMS AT YALE NEW HAVEN HOSPITAL THESE MULTI-DISCIPLINARY GROUPS PROVIDE ANALYSIS AND INSIGHT INTO PATIENT UTILIZATION TRENDS ACROSS OUR DELIVERY OF CARE AND ARE REVIEWED IN TANDEM WITH CARE MANAGEMENT AND PATIENT SATISFACTION RESULTS AND OTHER COMMUNITY FEEDBACK COUPLED WITH THE RECENTLY COMPLETED COMMUNITY NEEDS ASSESSMENT, THIS INFORMATION ASSISTS WITH THE DEVELOPMENT OF NEW INITIATIVES, PARTNERSHIPS, PROGRAMS AND SERVICES TO BENEFIT OUR COMMUNITY |

| Form and Line Reference | Explanation |
|-------------------------|--|
| PART VI, LINE 3 | YALE NEW HAVEN HOSPITAL INFORMS INDIVIDUALS ABOUT ITS FINANCIAL ASSISTANCE PROGRAMS ON ITS WEBSITE, THROUGH VISIBLE POSTINGS AND COMMUNICATIONS AT POINTS OF REGISTRATION AND FRONT LINE ACCESS THE FINANCIAL ASSISTANCE POLICY, APPLICATION AND SUMMARY ARE AVAILABLE ON REQUEST WITHOUT CHARGE BY MAIL, INCLUDING AT ADMITTING DEPARTMENT FURTHER, PATIENTS RECEIVE A SUMMARY OF FINANCIAL ASSISTANCE PROGRAMS, INCLUDING ELIGIBILITY REQUIREMENTS THROUGH A FIRST STATEMENT MAILER AS PART OF THE BILLING PROVES THESE COMMUNICATIONS INCLUDE TELEPHONE NUMBERS AND POINT OF CONTACT FOR INDIVIDUALS TO VISIT OR CALL THE HOSPITAL HAS RESOURCES TO ASSIST PATIENTS WITH STATE OF CONNECTICUT MEDICAID APPLICATIONS |

| Form and Line Reference | Explanation |
|-------------------------|---|
| PART VI, LINE 4 | <p>YALE NEW HAVEN HOSPITAL'S COMMUNITY HEALTH IMPROVEMENT EFFORTS ARE SPECIFICALLY FOCUSED IN THE TOWNS WHERE THE HOSPITAL IS ENGAGED WITH COMMUNITY PARTNERS. THIS GEOGRAPHIC AREA INCLUDES THE CITY OF NEW HAVEN AND THE TOWNS COMPRISING THE INNER AND OUTER RING SUBURBS INCLUDING EAST HAVEN, HAMDEN, WEST HAVEN, BETHANY, BRANFORD, GUILFORD, MILFORD, MADISON, ORANGE, NORTH BRANFORD, NORTH HAVEN, AND WOODBRIDGE. THE GREATER NEW HAVEN REGION HAS A POPULATION OF 465,227. THE MEDIAN AGE FOR BOTH THE POPULATION OF NEW HAVEN AND THE INNER RING TOWNS OF HAMDEN AND WEST HAVEN WERE LESS THAN THE STATE OF CONNECTICUT MEDIAN AGE (40.3) AND GREATER NEW HAVEN REGION (39.2). WHEREAS THE REMAINDER OF THE GREATER NEW HAVEN REGION INCLUDING EAST HAVEN AND THE TOWNS COMPRISING THE OUTER RING SUBURBS OF NEW HAVEN HAD HIGHER MEDIAN AGES RANGING FROM 43.6 TO 48. THE TOWNS IN THE REGION VARY DRAMATICALLY IN TERMS OF THEIR RACIAL AND ETHNIC COMPOSITION. THE TOWNS COMPRISING THE INNER AND OUTER RINGS OF NEW HAVEN ARE RESPECTIVELY 63% WHITE AND 16% HISPANIC AND 89% WHITE AND 1% HISPANIC. BY CONTRAST, NEW HAVEN IS 32% WHITE AND 34% HISPANIC. MEDIAN HOUSEHOLD INCOME IN THE STATE OF CONNECTICUT (\$69,899) AND THE GREATER NEW HAVEN REGION (\$65,764) ARE SIMILAR, HOWEVER WIDE GAPS EXIST WITHIN THE REGION ITSELF PARTICULARLY WHEN COMPARING THE INNER RING (\$59,872) AND OUTER RING (\$89,102). THE CITY OF NEW HAVEN HAS A MEDIAN INCOME OF \$37,508 WHICH IS LESS THAN HALF OF THE STATEWIDE MEDIAN INCOME. THE PROPORTION OF RESIDENTS IN THE GREATER NEW HAVEN REGION WITH A COLLEGE DEGREE OR HIGHER (39%) IS APPROXIMATELY THE SAME AS THE STATE OVERALL (37%). HOWEVER, THE NEW HAVEN PROMISE ZONE COMMUNITIES (17%) AND INNER RING SUBURBAN TOWNS OF EAST HAVEN AND WEST HAVEN (BOTH 21%) ARE SIGNIFICANTLY LESS THAN THAT OF THE STATE OF CONNECTICUT.</p> |

| Form and Line Reference | Explanation |
|-------------------------|--|
| PART VI, LINE 5 | <p>EVERY YEAR, YALE NEW HAVEN HOSPITAL SPONSORS, DEVELOPS, PARTICIPATES IN AND FINANCIALLY SUPPORTS A WIDE VARIETY OF COMMUNITY-BASED PROGRAMS AND SERVICES DURING FISCAL YEAR 2016, YALE NEW HAVEN HOSPITAL MANAGED \$631.7 MILLION IN COMMUNITY BENEFITS THROUGH FINANCIAL AND IN-KIND CONTRIBUTIONS IN FIVE WIDE-RANGING PROGRAMS - GUARANTEEING ACCESS TO CARE, PROMOTING HEALTH AND WELLNESS, ADVANCING CAREERS IN HEALTH CARE, RESEARCH, AND CREATING HEALTHIER COMMUNITIES. YALE NEW HAVEN HOSPITAL ALSO CONTRIBUTES TO THE COMMUNITY IN WAYS THAT ARE NOT QUANTIFIED AS PART OF THIS REPORT AND SERVES AS AN IMPORTANT COMMUNITY RESOURCE. THIS INCLUDES HAVING A VOLUNTARY BOARD OF TRUSTEES WITH THE MAJORITY OF THE MEMBERS RESIDING IN NEW HAVEN, GUILFORD, HAMDEN AND WOODBRIDGE. THE HOSPITAL ALSO EXTENDS MEDICAL STAFF PRIVILEGES TO ALL QUALIFIED PHYSICIANS IN ITS COMMUNITY. THE HOSPITAL'S MEDICAL STAFF TOTALS 4,248 MEMBERS INCLUDING RESIDENTS, FELLOWS AND HOSPITALISTS. YALE NEW HAVEN HOSPITAL, FOUNDED IN 1826 AS THE FIRST HOSPITAL IN CONNECTICUT, IS A 1,541-BED ACUTE AND TERTIARY CARE HOSPITAL WITH TWO INPATIENT CAMPUSES IN NEW HAVEN, YALE NEW HAVEN IS THE PRIMARY TEACHING HOSPITAL FOR YALE SCHOOL OF MEDICINE AND IS A MAJOR TERTIARY CARE CENTER FOR ACUTELY ILL OR INJURED PATIENTS, RECEIVING REGIONAL, NATIONAL AND INTERNATIONAL REFERRALS. YALE NEW HAVEN HOSPITAL DISCHARGED 79,940 INPATIENTS AND PROVIDED 1.3 MILLION OUTPATIENT ENCOUNTERS. YALE NEW HAVEN HOSPITAL IS ONE OF THE LARGEST EMPLOYERS IN THE REGION AND THE SECOND LARGEST IN THE CITY OF NEW HAVEN WITH 12,700 EMPLOYEES IN 2016.</p> |

| Form and Line Reference | Explanation |
|-------------------------|--|
| PART VI, LINE 6 | THE YALE NEW HAVEN HEALTH SYSTEM'S FUNDAMENTAL MISSION IS TO ENSURE THAT THE DELIVERY NETWORKS ASSOCIATED WITH THE SYSTEM PROMOTE THE HEALTH OF THE COMMUNITIES THEY SERVE AND ENSURE THAT ALL PATIENTS HAVE ACCESS TO APPROPRIATE HEALTHCARE SERVICES THE YALE NEW HAVEN HEALTH SYSTEM REQUIRES ITS HOSPITALS TO INCORPORATE PLANS TO PROMOTE HEALTHY COMMUNITIES WITHIN THE HOSPITAL'S EXISTING BUSINESS PLANS FOR WHICH THEY ARE HELD ACCOUNTABLE IN ADDITION, REGULAR REPORTING ON COMMUNITY BENEFITS IS REQUIRED ON A QUARTERLY BASIS |

| Form and Line Reference | Explanation |
|---|-------------|
| PART VI, LINE 7, REPORTS FILED WITH STATES | CT |

Schedule H (Form 990) 2015

Additional Data

Software ID:
Software Version:
EIN: 06-0646652
Name: YALE NEW HAVEN HOSPITAL

Form 990 Schedule H, Part V Section A. Hospital Facilities

Section A. Hospital Facilities

(list in order of size from largest to smallest—see instructions)
How many hospital facilities did the organization operate during the tax year?
1

Name, address, primary website address, and state license number

| | Licensed hospital | General medical & surgical | Children's hospital | Teaching hospital | Critical access hospital | Research facility | ER-24 hours | ER-other | Other (Describe) | Facility reporting group |
|---|--|----------------------------|---------------------|-------------------|--------------------------|-------------------|-------------|----------|------------------|--------------------------|
| 1 | YALE NEW HAVEN HOSPITAL 20 YORK STREET NEW HAVEN, CT 06504 WWW.YNHH.ORG 0044 | X | X | X | | X | X | | | |

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year?

| Name and address | Type of Facility (describe) |
|---|--|
| 1 1 - MEDICAL CENTER 6 DEVINE STREET NORTH HAVEN,CT 06473 | MEDICAL OFFICE |
| 1 2 - SHORELINE MEDICAL CENTER 111 GOOSE LANE GUILFORD,CT 06437 | MEDICAL OFFICE |
| 2 3 - RADIOLOGYWOMEN'S SURGICAL 40-60 TEMPLE STREET NEW HAVEN,CT 06511 | MEDICAL FACILITY |
| 3 4 - SMILOW CANCER HOSPITAL CARE CENTER 1075 CHASE PARKWAY WATERBURY,CT 06708 | MEDICAL FACILITY |
| 4 5 - SMILOW CANCER HOSPITAL CARE CENTER 200-220 KENNEDY DRIVE TORRINGTON,CT 06790 | MEDICAL OFFICE |
| 5 6 - SMILOW CANCER HOSPITAL CARE CENTER 111 BEACH ROAD FAIRFIELD,CT 06824 | CANCER CENTER |
| 6 7 - MEDICAL CENTER 1 LONG WHARF NEW HAVEN,CT 06511 | MATERNAL/PEDIATRIC/RADIOLOGY |
| 7 8 - PRIMARY CARE CENTER 789 HOWARD AVENUE NEW HAVEN,CT 06519 | LABORATORY, MEDICAL OFFICE, DIAGNOSTIC RADIOLOGY |
| 8 9 - SMILOW CANCER HOSPITAL CARE CENTER 350 SEYMOUR AVENUE DERBY,CT 06418 | MEDICAL OFFICE |
| 9 10 - SMILOW CANCER HOSPITAL CARE CENTER 240 INDIAN RIVER ROAD ORANGE,CT 06477 | MEDICAL OFFICE |
| 10 11 - PEDIATRIC SPECIALTY CENTER 1 PARK STREET NEW HAVEN,CT 06511 | PEDIATRIC SERVICES |
| 11 12 - YNHH BLOOD DRAW 330 ORCHARD STREET NEW HAVEN,CT 06511 | MEDICAL FACILITY |
| 12 13 - YNHASC TEMPLE SURGICAL CENTER 60 TEMPLE STREET NEW HAVEN,CT 06511 | SATELLITE |
| 13 14 - YNHH LAB 55 PARK STREET NEW HAVEN,CT 06511 | LABORATORY |
| 14 15 - HAMDEN RADIOLOGYDENTAL CLINIC 2560 DIXWELL AVENUE HAMDEN,CT 06518 | RADIOLOGY, DENTAL CLINIC |

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year?

| Name and address | Type of Facility (describe) |
|---|---|
| 16 16 - YNHH BLOOD DRAW 2 DEVINE STREET NORTH HAVEN,CT 06473 | BLOOD DRAW CENTER |
| 1 17 - SOUND MEDICAL CENTER 1591 BOSTON POST ROAD GUILFORD,CT 06437 | MEDICAL FACILITY |
| 2 18 - SMILOW CANCER HOSPITAL CARE CENTER 2080 WHITNEY AVE HAMDEN,CT 06518 | MEDICAL OFFICE |
| 3 19 - YNHH BLOOD DRAWRADIOLOGY 150 SARGENT DRIVE NEW HAVEN,CT 06511 | BLOOD DRAW CENTER, DIAGNOSTIC RADIOLOGY |
| 4 20 - YNHH BLOOD DRAW 46 PRINCE STREET NEW HAVEN,CT 06511 | MEDICAL FACILITY |
| 5 21 - AMBULATORY SERVICES EAST HAVEN 500 ELM STREET WEST HAVEN,CT 06516 | DIAGNOSTIC RADIOLOGY |
| 6 22 - YNHH BLOOD DRAW 11 HARRISON AVE BRANFORD,CT 06405 | BLOOD DRAW CENTER |
| 7 23 - AMBULATORY SERVICES BLOOD LAB 556 MAIN STREET EAST HAVEN,CT 06512 | MEDICAL FACILITY |
| 8 24 - ADULT SLEEP CENTER 8 DEVINE STREET NORTH HAVEN,CT 06473 | MEDICAL FACILITY |
| 9 25 - YNHH MEDICAL CENTER 2200 WHITNEY AVENUE HAMDEN,CT 06518 | MEDICAL FACILITY |
| 10 26 - LAKEBROOK MEDICAL CENTER 5 PEQUOT PARK ROAD WESTBROOK,CT 06498 | MEDICAL FACILITY |
| 11 27 - YNHH MEDICAL CENTER 633 MIDDLESEX TURNPIKE OLD SAYBROOK,CT 06475 | MEDICAL FACILITY |
| 12 28 - CONTINUING CARE CLINIC 1294 CHAPEL STREET NEW HAVEN,CT 06511 | MEDICAL OFFICE |
| 13 29 - HEART & VASCULAR OUTPATIENT 325 POST ROAD ORANGE,CT 06477 | MEDICAL OFFICE |
| 14 30 - SLEEP LABUROLOGY 1291 BOSTON POST ROAD MADISON,CT 06443 | SLEEP LAB/UROLOGY |

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year?

| Name and address | Type of Facility (describe) |
|--|--|
| 31 31 - BRANFORD ADOLESCENT CARE 21 BUSINESS PARK DRIVE BRANFORD,CT 06405 | ADOLESCENT CARE |
| 1 32 - AMBULATORY SERVICES GUILFORD 1445 BOSTON POST ROAD GUILFORD,CT 06437 | DIAGNOSTIC RADIOLOGY, PHYSICAL THERAPY |
| 2 33 - YNHH CARDIOLOGY 84 NORTH MAIN STREET BRANFORD,CT 06405 | MEDICAL OFFICE |
| 3 34 - DIAGNOSTIC RADIOLOGYBLOOD DRAW 317 FOXON ROAD EAST HAVEN,CT 06512 | RADIOLOGY, BLOOD DRAW, URGENT CARE |
| 4 35 - ADULT PHP 1100 SHERMAN AVENUE HAMDEN,CT 06514 | ADULT CARE |
| 5 36 - ADOLESCENT CARE 646 GEORGE STREET NEW HAVEN,CT 06511 | ADOLESCENT CARE |
| 6 37 - YNHH BLOOD DRAWPEDIATRICRADIOLOGY 747 BELDEN AVENUE NORWALK,CT 06850 | PEDIATRIC SPECIALTY |
| 7 38 - MILFORD OFFICE PARK 48 WELLINGTON ROAD MILFORD,CT 06460 | RADIOLOGY |
| 8 39 - REHABILITATIONOCCUPATIONAL 175 SHERMAN AVENUE NEW HAVEN,CT 06511 | CARDIAC SERVICES |
| 9 40 - YNHH MEDICAL CENTER 50 HOSPITAL HILL ROAD SHARON,CT 06069 | MEDICAL OFFICE |
| 10 41 - YNHH BLOOD DRAW 1475 WHALLEY AVE NEW HAVEN,CT 06511 | MEDICAL FACILITY |
| 11 42 - YNHH BLOOD DRAW 665 NORTH COLONY ROAD WALLINGFORD,CT 06492 | BLOOD DRAW CENTER |
| 12 43 - YNHH BLOOD DRAW 236 BOSTON POST ROAD ORANGE,CT 06477 | BLOOD DRAW CENTER |
| 13 44 - YNHH BLOOD DRAW 252 EAST MAIN STREET CLINTON,CT 06413 | BLOOD DRAW CENTER |
| 14 45 - ADLER GERIATRIC CENTER 874 HOWARD AVE NEW HAVEN,CT 06511 | MEDICAL OFFICE |

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year?

| Name and address | Type of Facility (describe) |
|--|-----------------------------|
| 46 46 - YNHH BLOOD DRAW 247 BROAD STREET MILFORD,CT 06460 | BLOOD DRAW CENTER |
| 1 47 - YNHH BLOOD DRAW 170 BOSTON POST ROAD NEW HAVEN,CT 06344 | BLOOD DRAW CENTER |
| 2 48 - CENTER FOR WOMEN'S HEALTH 1441 CHAPEL STREET NEW HAVEN,CT 06511 | OB/GYN SERVICES |
| 3 49 - YNHH BLOOD DRAW 1 NEW HAVEN AVENUE MILFORD,CT 06460 | BLOOD DRAW CENTER |
| 4 50 - RADIOLOGY 100 BROADWAY NORTH HAVEN,CT 06473 | TEMPLE RADIOLOGY |
| 5 51 - YNHH MEDICAL CENTER 1000 ASYLUM AVENUE HARTFORD,CT 06105 | MEDICAL OFFICE |
| 6 52 - YALE-GRIFFIN PREVENTION RESEARCH 130 DIVISION STREET DERBY,CT 06418 | RESEARCH |
| 7 53 - YNHH DIALYSIS 137 WATER STREET NEW HAVEN,CT 06511 | DIALYSIS |
| 8 54 - YNHH MEDICAL CENTER 1401 CHAPEL STREET NEW HAVEN,CT 06511 | MEDICAL OFFICE |
| 9 55 - YNHH BLOOD DRAW 141 MILL HILL AVE BRIDGEPORT,CT 06610 | BLOOD DRAW CENTER |
| 10 56 - YNHH MEDICAL CENTER 1453 WHALLEY AVENUE NEW HAVEN,CT 06511 | PATIENT SERVICES |
| 11 57 - YALE ORTHOPEDIC ASSOCIATES 157 GOOSE LANE GUILFORD,CT 06437 | DIAGNOSTIC RADIOLOGY |
| 12 58 - YNHH MEDICAL CENTER 158 STATE STREET NORTH HAVEN,CT 06473 | MEDICAL FACILITY |
| 13 59 - SMILOW ONCOLOGY 19 LUNAR DRIVE WOODBIDGE,CT 06525 | CANCER CARE |
| 14 60 - YNHH MEDICAL CENTER 2 IVY BROOK ROAD SHELTON,CT 06484 | MEDICAL OFFICE |

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year?

| Name and address | Type of Facility (describe) |
|--|-----------------------------|
| 61 61 - YNHH MEDICAL CENTER 200 ORCHARD STREET NEW HAVEN, CT 06511 | MEDICAL FACILITY |
| 1 62 - YNHH DIALYSIS 266 STATE STREET NORTH HAVEN, CT 06473 | DIALYSIS |
| 2 63 - REHABILITATION & WELLNESS 300 SEASIDE AVENUE MILFORD, CT 06460 | MEDICAL FACILITY |
| 3 64 - CARDIAC OUTPATIENT SERVICESYALE CARD 311 HARRISON AVE BRANFORD, CT 06405 | CARDIAC SERVICES |
| 4 65 - YNHH BLOOD DRAW 3115 MAIN STREET STRATFORD, CT 06614 | BLOOD DRAW CENTER |
| 5 66 - SHORELINE DIALYSIS 34 EAST INDUSTRIAL RD BRANFORD, CT 06405 | DIALYSIS |
| 6 67 - CARDIAC OUTPATIENT SERVICES 365 MONTAUK AVENUE NEW LONDON, CT 06320 | CARDIAC SERVICES |
| 7 68 - MERIDEN DIALYSIS 377 RESEARCH PARKWAY MERIDEN, CT 06450 | DIALYSIS |
| 8 69 - PEDIATRIC INFUSION CENTER 405 CHURCH STREET NEW HAVEN, CT 06437 | DIAGNOSTIC RADIOLOGY |
| 9 70 - YNHH MEDICAL CENTER 430 CONGRESS AVENUE NEW HAVEN, CT 06511 | MEDICAL FACILITY |
| 10 71 - HEMATOLOGY & ONCOLOGY 455 LEWIS AVE MERIDEN, CT 06450 | CANCER SERVICES |
| 11 72 - YNHH DIALYSIS 50 COMMERCE PARK DRIVE MILFORD, CT 06460 | DIALYSIS |
| 12 73 - YNHH MEDICAL CENTER 51 YORK STREET NEW HAVEN, CT 06511 | MEDICAL FACILITY |
| 13 74 - YNHH MEDICAL CENTER 55 CHURCH STREET NEW HAVEN, CT 06511 | MEDICAL FACILITY |
| 14 75 - YNHH BLOOD DRAWUROLOGY 6 WOODLAND MADISON, CT 06443 | BLOOD DRAW, UROLOGY |

Form 990 Schedule H, Part V Section D. Other Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year?

| Name and address | Type of Facility (describe) |
|---|-----------------------------|
| 76 76 - YNHH MEDICAL CENTER 674 WASHINGTON AVENUE WEST HAVEN,CT 06516 | MEDICAL FACILITY |
| 1 77 - CARDIAC DIAGNOSTIC CENTERUROLOGYMEDICA 687 CAMPBELL AVE WEST HAVEN,CT 06516 | CARIACE SERVICES/UROLOGY |
| 2 78 - YNHH MEDICAL CENTER 79 WAWECUS STREET NORWICH,CT 06360 | MEDICAL FACILITY |
| 3 79 - YNHH MEDICAL CENTER 85 WILLOW STREET NEW HAVEN,CT 06511 | MEDICAL FACILITY |
| 4 80 - OLD PEDI DENTAL CLINIC 860 HOWARD AVENUE NEW HAVEN,CT 06511 | MEDICAL OFFICE |

2015

06-0646652

Schedule I (Form 990) 2015

Part III

Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22
Part III can be duplicated if additional space is needed

| (a)Type of grant or assistance | (b)Number of recipients | (c)Amount of cash grant | (d)Amount of non-cash assistance | (e)Method of valuation (book, FMV, appraisal, other) | (f)Description of non-cash assistance |
|--------------------------------|-------------------------|-------------------------|----------------------------------|--|---------------------------------------|
| (1) NURSING SCHOLARSHIPS | 28 | 68,500 | | FMV | |
| (2) ENGINEERING SCHOLARSHIPS | 2 | 49,500 | | FMV | |
| (3) PATIENT EXPENSE FUNDING | 405 | 714,486 | | FMV | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Part IV

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

| Return Reference | Explanation |
|------------------|--|
| PART I, LINE 2 | YALE NEW HAVEN HOSPITAL ("YNHH") CARRIES OUT DUE DILIGENCE IN PROVIDING MONETARY ASSISTANCE ONLY TO QUALIFYING 501 (C)(3) ORGANIZATIONS THAT COMPLEMENT ITS MISSION OR SUPPORT THE GREATER GOOD IN THE COMMUNITIES SERVED NONE OF THE AMOUNTS REPORTED ON SCHEDULE I, PART II ARE GRANTS THESE AMOUNTS ARE DONATIONS AND SPONSORSHIPS GIVEN TO ORGANIZATIONS TO ASSIST IN THE FURTHERANCE OF THEIR CHARITABLE MISSION YNHH VERIFIES EACH ORGANIZATION'S EIN AS LISTED ON IRS FORM W-9 THAT HAS BEEN SUBMITTED TO YNHH ASSISTANCE DONATED BY YNHH TO THESE QUALIFYING ORGANIZATIONS IS NOT OUTCOMES-BASED AND IS GIVEN IN SUPPORT OF AN INDIVIDUAL ORGANIZATION'S FUNDRAISING EVENTS OR IN SUPPORT OF DIRECT SERVICES YNHH MAINTAINS FULL AND COMPLETE RECORDS OF ALL MONETARY ASSISTANCE PROVIDED, HOWEVER DOES NOT MONITOR SPECIFIC FUNDS |

Additional Data

Software ID:
Software Version:
EIN: 06-0646652
Name: YALE NEW HAVEN HOSPITAL

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV , appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|----------------|--------------------------------------|---------------------------------|--|---|---|---|
| AMERICAN LIVER FOUNDATION 39 BROADWAY SUITE 2700 NEW YORK,NY 10006 | 36-2883000 | 501(C)(3) | 20,000 | | | | SUPPORT MISSION |
| CENTER FOR CHILDREN ADVOCACY 65 ELIZABETH STREET HARTFORD,CT 06105 | 06-1489575 | 501(C)(3) | 183,000 | | | | SUPPORT MISSION |
| CITY OF NEW HAVEN 165 CHURCH STREET NEW HAVEN,CT 06511 | | GOVERNMENT | 2,612,597 | | | | COMMUNTY BENEFIT DEV |

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|----------------|--------------------------------------|---------------------------------|--|--|---|---|
| CONNECTICUT SPORTS FOUNDATION 455 BOSTON POST ROAD NO 203B OLD SAYBROOK, CT 06475 | 06-1240574 | 501(C)(3) | 100,000 | | | | SUPPORT MISSION |
| FAIR HAVEN COMMUNITY HEALTH CENTER 374 GRAND AVE NEW HAVEN, CT 06513 | 06-0883545 | 501(C)(3) | 20,000 | | | | SUPPORT MISSION |
| GARRETT B SMITH FOUNDATION C/O PAW PARTNERS 4 GREENWICH GREENWICH, CT 06831 | 06-6411358 | 501(C)(3) | 123,250 | | | | SUPPORT MISSION |

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|----------------|--------------------------------------|---------------------------------|--|--|---|---|
| GATEWAY COMMUNITY COLLEGE FOUNDATION INC 20 CHURCH STREET NEW HAVEN, CT 06510 | 22-3135128 | 501(C)(3) | 150,000 | | | | SUPPORT MISSION |
| GREATER NEW HAVEN CHAMBER OF COMMERCE INC 900 CHAPEL STREET NEW HAVEN, CT 06510 | 06-0468900 | 501(C)(6) | 8,050 | | | | COMMUNITY BENEFIT DEV |
| HABITAT FOR HUMANITY 37 UNION STREET NEW HAVEN, CT 06511 | 06-1178712 | 501(C)(3) | 579,018 | | | | SUPPORT MISSION |

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|----------------|--------------------------------------|---------------------------------|--|--|---|---|
| HAVEN 835 WEST QUEEN STREET 2ND FL SOUTHINGTON, CT 06489 | 51-0642913 | 501(C)(3) | 8,000 | | | | SUPPORT MISSION |
| MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT STREET BOSTON, MA 02114 | 04-1564655 | 501(C)(3) | 25,000 | | | | SUPPORT MISSION |
| PROJECT ACCESS OF NEW HAVEN INC PO BOX 9293 NEW HAVEN, CT 06533 | 26-4379967 | 501(C)(3) | 16,000 | | | | SUPPORT MISSION |

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|----------------|--------------------------------------|---------------------------------|--|--|---|---|
| THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN 70 AUDUBON STREET NEW HAVEN, CT 06510 | 06-6032106 | 501(C)(3) | 502,500 | | | | SUPPORT MISSION |
| THE TENNIS FOUNDATION 900 CHAPEL STREET SUITE 622 NEW HAVEN, CT 06510 | 06-1287098 | 501(C)(3) | 189,280 | | | | SPONSORSHIP |
| URU THE RIGHT TO BE INC 70 AUDUBON STREET NEW HAVEN, CT 06510 | 56-2520642 | 501(C)(3) | 10,000 | | | | SUPPORT MISSION |

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|----------------|--------------------------------------|---------------------------------|--|--|---|---|
| YALE UNIVERSITY PO BOX 6028 NEW HAVEN, CT 06510 | 06-0646973 | 501(C)(3) | 1,013,563 | | | | SUPPORT MISSION |

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
YALE NEW HAVEN HOSPITAL

Employer identification number
06-0646652

Part I Questions Regarding Compensation

| | Yes | No |
|---|-----------|-----|
| 1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <div><div><input type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Tax indemnification and gross-up payments</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div> | | |
| b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain | 1b | |
| 2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a? | 2 | |
| 3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div> | | |
| 4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization: a Receive a severance payment or change-of-control payment? | 4a | No |
| b Participate in, or receive payment from, a supplemental nonqualified retirement plan? | 4b | Yes |
| c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III. | 4c | No |
| Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9. | | |
| 5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: a The organization? | 5a | No |
| b Any related organization? If "Yes," on line 5a or 5b, describe in Part III. | 5b | No |
| 6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization? | 6a | No |
| b Any related organization? If "Yes," on line 6a or 6b, describe in Part III. | 6b | No |
| 7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III. | 7 | Yes |
| 8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III. | 8 | No |
| 9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? | 9 | |

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column(B) reported as deferred on prior Form 990 |
|---------------------------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|--|
| | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| See Additional Data Table | | | | | | | |

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

| Return Reference | Explanation |
|------------------|--|
| PART I, LINE 4B | THE INDIVIDUALS LISTED BELOW ARE PARTICIPANTS IN A SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN. THESE ACCRUALS ARE INCLUDED IN THE AMOUNTS REPORTED IN PART II, COLUMN C (DEFERRED COMPENSATION) AND REPRESENTS BOTH THE REPORTING ENTITY'S AND RELATED ENTITY'S COMBINED AMOUNTS THAT HAVE NOT YET BEEN VESTED CONSISTENT WITH THE COMPENSATION REPORTING PER IRS. SEVERANCE NONQUALIFIED EQUITY-BASED: MARNA P. BORGSTROM \$0 \$443,905 \$0; RICHARD D'AQUILA - 285,367 - JAMES M. STATEN - 240,352 - DANIEL BARCHI - 144,215 - WILLIAM J. ASELTINE - 141,464 - KEVIN A. MYATT - 132,391 - THOMAS J. BALCEZAK - 122,557 - ABE LOPMAN - 118,303 - MICHAEL HOLMES - 107,688 - VINCENT TAMMARO - 103,962 - VINCENT PETRINI - 98,479 - PAUL N. PATTON - 88,566 - KEVIN F. WALSH - 85,171 - STEPHEN M. MERZ - 64,332. INDIVIDUALS LISTED BELOW BECAME VESTED IN BENEFITS VALUED AT THE AMOUNTS RESPECTIVELY REPORTED BELOW DURING THE REPORTING YEAR. INCLUDED IN SECTION II, COLUMN B (III) ARE AMOUNTS VESTED DURING THE 2015 CALENDAR YEAR THAT WERE RECOGNIZED AS TAXABLE EVENTS AND REPORTED IN THE INDIVIDUALS' 2015 CALENDAR YEAR FORM W-2S: THOMAS LEARY \$ 1,858,509; PATRICIA SUE FITZSIMONS 163,431. THE FORMER OFFICERS LISTED BELOW RECEIVED PAYMENTS THROUGHOUT THE YEAR FROM THE NONQUALIFIED PLAN. THESE AMOUNTS ARE INCLUDED IN SECTION II, COLUMN B (III). THE TOTAL OF THESE PAYMENTS LISTED BELOW WERE MADE DIRECTLY TO THEM FROM THE RABBI TRUST: PETER HERBERT \$ 49,611; PATRICK LUDDY 42,792. |
| PART I, LINE 7 | THE SHORT TERM INCENTIVE PLAN (STIP) IS A VARIABLE COMPENSATION PLAN WHICH PROVIDES ONE-TIME PAYMENTS TO ELIGIBLE MEMBERS OF MANAGEMENT IN RECOGNITION OF THE ACCOMPLISHMENT OF KEY ORGANIZATIONAL AND INDIVIDUAL PERFORMANCE OBJECTIVES. PERFORMANCE LEVELS ARE ESTABLISHED AND REVIEWED ANNUALLY AT THRESHOLD, TARGET AND MAXIMUM LEVELS, ACCORDING TO PLANNED "STRETCH" GOALS AND OBJECTIVES. INCENTIVE AWARD OPPORTUNITIES ARE ESTABLISHED ACCORDING TO MARKET PRACTICES BASED ON EACH ELIGIBLE POSITION'S RESPONSIBILITIES, PERFORMANCE AND LEVEL OF AUTHORITY. PERFORMANCE RELATIVE TO STIP AWARD OPPORTUNITIES INCORPORATES A BROAD SPECTRUM OF PRE-DEFINED FINANCIAL AND NON-FINANCIAL METRICS THAT ARE ALIGNED WITH ORGANIZATIONAL MISSION AND VALUES. |

Additional Data

Software ID:

Software Version:

EIN: 06-0646652

Name: YALE NEW HAVEN HOSPITAL

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name and Title | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|---|------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|---|
| | | (i) Base Compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| 1THOMAS BALCEZAKSR VP | (i) | 551,978 | 156,240 | 88,355 | 209,094 | 45,552 | 1,051,219 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 1MARNABORGSTROM CEO/TRUSTEE | (i) | 1,031,109 | 715,398 | 33,480 | 443,764 | 91,528 | 2,315,279 | 0 |
| | (ii) | 687,406 | 476,932 | 22,320 | 295,843 | -61,018 | -1,543,519 | 0 |
| 2RICHARDD'AQUILA PRESIDENT/TRUSTEE | (i) | 1,012,903 | 332,024 | 148,549 | 362,974 | 16,694 | 1,873,144 | 24,349 |
| | (ii) | 253,226 | 83,006 | 37,137 | 90,743 | -4,174 | -468,286 | 6,087 |
| 3WILLIAMASELTYNESR VP | (i) | 353,072 | 100,126 | 53,902 | 140,270 | 11,479 | 658,849 | 648 |
| | (ii) | 284,691 | 80,735 | 43,462 | 113,103 | -9,255 | -531,246 | 530 |
| DANIELBARCHI THRU 4113015 SR VP | (i) | 317,551 | 90,712 | 43,908 | 124,082 | 10,150 | 586,403 | 23,896 |
| | (ii) | 317,551 | 90,712 | 43,908 | 124,082 | -10,150 | -586,403 | 23,897 |
| 5PATRICIASUE FITZSIMONS SR VP | (i) | 398,568 | 133,365 | 229,571 | 23,550 | 19,880 | 804,934 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 6MICHEAL HOLMESSR VP | (i) | 426,210 | 140,845 | 65,066 | 178,185 | 31,969 | 842,275 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 7THOMAS LEARY THRU 10816 VP (CURRENT YEAR COMP) | (i) | 300,626 | 82,172 | 42,008 | 76,713 | 47,823 | 549,342 | 0 |
| | (ii) | 15,822 | 4,325 | 2,211 | 4,038 | -2,517 | -28,913 | 0 |
| 8THOMAS LEARY THRU 10816 VP (VESTED DEFERRED COMP) | (i) | 0 | 0 | 1,765,584 | 0 | 0 | 1,765,584 | 732,991 |
| | (ii) | 0 | 0 | 92,925 | 0 | -0 | -92,925 | 38,578 |
| 9ABELOPMANSR VP | (i) | 531,070 | 150,071 | 116,160 | 202,156 | 15,520 | 1,014,977 | 6,562 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 10STEPHEN MERZ THRU 41816 VP | (i) | 274,740 | 64,390 | 46,613 | 114,882 | 20,377 | 521,002 | 16,528 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 11KEVIN A MYATTSR VP | (i) | 318,977 | 105,705 | 62,553 | 150,445 | 9,665 | 647,345 | 14,279 |
| | (ii) | 212,651 | 70,470 | 41,702 | 100,296 | -6,444 | -431,563 | 9,520 |
| 12PAUL PATTON THRU 90916 VP | (i) | 379,145 | 90,563 | 72,582 | 156,916 | 25,382 | 724,588 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 13VINCENT PETRINISR VP | (i) | 402,486 | 133,640 | 75,216 | 189,429 | 31,328 | 832,099 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 14CYNTHIA SPARERSR VP | (i) | 492,912 | 163,800 | 108,047 | 98,459 | 27,201 | 890,419 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 15JAMES STATEN THRU 10216 SR VP | (i) | 553,636 | 211,444 | 63,578 | 238,981 | 18,486 | 1,086,125 | 0 |
| | (ii) | 369,091 | 140,962 | 42,386 | 159,321 | -12,324 | -724,084 | 0 |
| 16KEVIN WALSHVP | (i) | 345,442 | 97,680 | 75,804 | 147,521 | 20,376 | 686,823 | 4,341 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| VINCENT TAMMARO EFF 1750616 SR VP & CFO | (i) | 276,734 | 83,362 | 42,708 | 118,747 | 22,306 | 543,857 | 0 |
| | (ii) | 184,489 | 55,574 | 28,472 | 79,165 | -14,870 | -362,570 | 0 |
| 18RICHARD LISITANOV | (i) | 303,712 | 76,950 | 64,232 | 69,750 | 20,486 | 535,130 | 21,003 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 19VICTOR MORRISVP | (i) | 397,339 | 94,905 | 68,802 | 74,750 | 23,431 | 659,227 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name and Title | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|--|------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|---|
| | | (i) Base Compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| 21THOMAS DONOHUEVP | (i) | 474,256 | 50,520 | 46,902 | 15,750 | 54,147 | 641,575 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 1KEITH CHURCHWELLSR VP | (i) | 496,246 | 121,000 | 35,122 | 82,338 | 45,469 | 780,175 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |
| 2ALAN KLIGERSR VP | (i) | 390,511 | 83,706 | 77,582 | 63,525 | 24,423 | 639,747 | 0 |
| | (ii) | 167,362 | 35,874 | 33,250 | 27,225 | -10,467 | -274,178 | 0 |
| 3PETER HERBERT RET 102814 FORMER OFFICER | (i) | 0 | 250,361 | 585,742 | 0 | 0 | 836,103 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | -0 | -0 | 0 |

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As Filed Data -

DLN: 93493226025107

Schedule K
(Form 990)

Supplemental Information on Tax Exempt Bonds

OMB No 1545-0047

2015

Open to Public Inspection

Department of the Treasury

Internal Revenue Service

Name of the organization

YALE NEW HAVEN HOSPITAL

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

► Attach to Form 990.

► Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

Employer identification number

06-0646652

| Part I Bond Issues | | | | | | | | | | | |
|--------------------|----------------|-------------|-----------------|-----------------|----------------------------|--------------|----|-------------------------|----|--------------------|----|
| (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased | | (h) On behalf of issuer | | (i) Pool financing | |
| | | | | | | Yes | No | Yes | No | Yes | No |
| A CHEFA-SERIES N | 06-0806186 | 20774YNC7 | 02-14-2013 | 44,815,000 | ACQUISITION OF ASSETS-HSR | | X | | X | | X |
| B CHEFA-SERIES O | 06-0806186 | 20774YNE3 | 02-14-2013 | 50,000,000 | ACQUISITION OF ASSETS-HSR | | X | | X | | X |

| Part II | | Proceeds | | | | | | | |
|---------|---|------------|----|------------|----|-----|----|-----|----|
| | | A | | B | | C | | D | |
| 1 | Amount of bonds retired | | | | | | | | |
| 2 | Amount of bonds legally defeased | | | | | | | | |
| 3 | Total proceeds of issue | 49,999,105 | | 50,000,000 | | | | | |
| 4 | Gross proceeds in reserve funds | | | | | | | | |
| 5 | Capitalized interest from proceeds | | | | | | | | |
| 6 | Proceeds in refunding escrows | | | | | | | | |
| 7 | Issuance costs from proceeds | 640,219 | | 640,218 | | | | | |
| 8 | Credit enhancement from proceeds | 14,606 | | 14,607 | | | | | |
| 9 | Working capital expenditures from proceeds | | | | | | | | |
| 10 | Capital expenditures from proceeds | 49,344,280 | | 49,345,175 | | | | | |
| 11 | Other spent proceeds | | | | | | | | |
| 12 | Other unspent proceeds | | | | | | | | |
| 13 | Year of substantial completion | 2013 | | 2013 | | | | | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 14 | Were the bonds issued as part of a current refunding issue? | | X | | X | | | | |
| 15 | Were the bonds issued as part of an advance refunding issue? | | X | | X | | | | |
| 16 | Has the final allocation of proceeds been made? | X | | X | | | | | |
| 17 | Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | | | | |

| Part III Private Business Use | | | | | | | | | |
|-------------------------------|--|-----|----|-----|----|-----|----|-----|----|
| | | A | | B | | C | | D | |
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | X | | X | | | | |
| 2 | Are there any lease arrangements that may result in private business use of bond-financed property? | X | | X | | | | | |

Part III Private Business Use (Continued)

| | | A | | B | | C | | D | |
|----|--|---------|----|---------|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a | Are there any management or service contracts that may result in private business use of bond-financed property? | X | | X | | | | | |
| b | If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? | X | | X | | | | | |
| c | Are there any research agreements that may result in private business use of bond-financed property? | | X | | X | | | | |
| d | If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? | | | | | | | | |
| 4 | Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | 0 060 % | | 0 060 % | | | | | |
| 5 | Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | | | | | | | |
| 6 | Total of lines 4 and 5 | 0 060 % | | 0 060 % | | | | | |
| 7 | Does the bond issue meet the private security or payment test? | | X | | X | | | | |
| 8a | Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?. | | X | | X | | | | |
| b | If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of | | | | | | | | |
| c | If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? | | | | | | | | |
| 9 | Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? | | X | | X | | | | |

Part IV Arbitrage

| | | A | | B | | C | | D | |
|----|--|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? | | X | | X | | | | |
| 2 | If "No" to line 1, did the following apply? | | | | | | | | |
| a | Rebate not due yet? | X | | X | | | | | |
| b | Exception to rebate? | X | | X | | | | | |
| c | No rebate due? | | X | | X | | | | |
| | If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed | | | | | | | | |
| 3 | Is the bond issue a variable rate issue? | | X | X | | | | | |
| 4a | Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | X | | X | | | | |
| b | Name of provider | | | | | | | | |
| c | Term of hedge | | | | | | | | |
| d | Was the hedge superintegrated? | | | | | | | | |
| e | Was the hedge terminated? | | | | | | | | |

Part IV

Arbitrage (Continued)

| | | A | | B | | C | | D | |
|----|---|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 5a | Were gross proceeds invested in a guaranteed investment contract (GIC)? | | X | | X | | | | |
| b | Name of provider | | | | | | | | |
| c | Term of GIC | | | | | | | | |
| d | Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 6 | Were any gross proceeds invested beyond an available temporary period? | | X | | X | | | | |
| 7 | Has the organization established written procedures to monitor the requirements of section 148? . . . | X | | X | | | | | |

Part V

Procedures To Undertake Corrective Action

| | | A | | B | | C | | D | |
|--|--|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations? | | | X | | X | | | | |

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

| Return Reference | Explanation |
|------------------|--|
| PART II LINE 3 | THE DIFFERENCE BETWEEN THE ISSUE PRICE REPORTED ON PART I, COLUMN (E) AND TOTAL PROCEEDS REPORTED ON PART II, LINE 3 IS DUE TO EITHER INVESTMENT EARNINGS OR PREMIUM RECEIVED FROM PURCHASER |

| Return Reference | Explanation |
|--|--|
| <p>ON JUNE 24, 2014, THE SOLE MEMBER OF YALE NEW HAVEN HOSPITAL,</p> | <p>YALE NEW HAVEN HEALTH SERVICES CORPORATION ("CORPORATION") ISSUED APPROXIMATELY \$543M OF CHEFA REVENUE BONDS SERIES A, B, C, D & E CONCURRENT WITH THE ISSUANCE OF THE CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY (CHEFA) REVENUE BONDS, YALE-NEW HAVEN HEALTH OBLIGATED GROUP ISSUE, SERIES A, B, C, D AND E DATED MAY 20, 2014, SIX MEMBERS OF THE SYSTEM WERE COMBINED TO FORM AN OBLIGATED GROUP THE OBLIGATED GROUP COMPRISES OF THE CORPORATION, YALE-NEW HAVEN HOSPITAL, YALE NEW HAVEN CARE CONTINUUM CORPORATION, BRIDGEPORT HOSPITAL, BRIDGEPORT HOSPITAL FOUNDATION, INC , AND NORTHEAST MEDICAL GROUP, INC THE MEMBERS OF THE OBLIGATED GROUP HAVE ADOPTED CERTAIN GOVERNANCE PROVISIONS IN THEIR CERTIFICATES OF INCORPORATION AND BY-LAWS PURSUANT TO WHICH YALE NEW HAVEN HEALTH SERVICES, CORPORATION RETAINS THE AUTHORITY TO DIRECTLY TAKE CERTAIN ACTIONS ON BEHALF OF EACH OBLIGATED GROUP MEMBER WITHOUT THE APPROVAL OF THE BOARD OF TRUSTEES OF THE APPLICABLE OBLIGATED GROUP MEMBER, INCLUDING THE INCURRENCE OF INDEBTEDNESS ON BEHALF OF EACH OBLIGATED GROUP MEMBER, THE MANAGEMENT AND CONTROL OF THE LIQUID ASSETS OF EACH, AND THE APPOINTMENT OF THE PRESIDENT AND CHIEF EXECUTIVE OFFICER OF EACH OBLIGATED GROUP MEMBER GHCS AND ITS SUBSIDIARIES ARE PART OF THE SYSTEM, BUT THEY ARE NOT MEMBERS OF THE OBLIGATED GROUP</p> |

| Return Reference | Explanation |
|------------------|---|
| PART III LINE 3B | THE ORGANIZATION HAS IN-HOUSE LEGAL STAFF WHO PROVIDE ROUTINE REVIEW OF MANAGEMENT OR SERVICE CONTRACTS OR RESEARCH AGREEMENTS RELATING TO THE FINANCED PROPERTY TO ENSURE THAT SUCH AGREEMENTS ARE COMPLIANT WITH APPLICABLE SAFE HARBORS IN-HOUSE COUNSEL CONSULT WITH THE HOSPITAL'S OUTSIDE BOND COUNSEL AS NEEDED, INCLUDING ON NON-ROUTINE ISSUES |

| Return Reference | Explanation |
|---------------------------|---|
| PART III, LINE 9 & PART V | THE ORGANIZATION HAS POLICIES AND PROCEDURES IN PLACE TO ENSURE COMPLIANCE WITH FEDERAL TAX LAW, AND TO TIMELY IDENTIFY NONCOMPLIANCE IN THE EVENT OF NON-COMPLIANCE THE ORGANIZATION WOULD INVOLVE ITS LEGAL COUNSEL TO ADVISE REGARDING APPROPRIATE REMEDIATION |

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|-------------------------------|---|---------------------------|--------------------------------|---|----|
| | | | | Yes | No |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

| Return Reference | Explanation |
|------------------|-------------|
|------------------|-------------|

Additional Data

Software ID:
Software Version:
EIN: 06-0646652
Name: YALE NEW HAVEN HOSPITAL

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|-------------------------------|---|---------------------------|---|---|----|
| | | | | Yes | No |
| (1) SUBST CONTR #9 | VENDOR | 935,754 | WASTE MANAGEMENT AND RECYCLING SERVICES | | No |
| (1) SUBST CONTR #12 | VENDOR | 3,205,558 | MEDICAL TRANSPORTATION SERVICES | | No |
| (2) SUBST CONTR #90 | VENDOR | 546,331 | ARCHITECTURAL SERVICES | | No |

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|-------------------------------|---|---------------------------|--------------------------------|---|----|
| | | | | Yes | No |
| (4) SUBST CONTR #146 | VENDOR | 538,796 | ADVERTISING SERVICES | | No |
| (1) SUBST CONTR #161 | VENDOR | 12,394,853 | FOOD SERVICE | | No |
| (2) SUBST CONTR #163 | VENDOR | 2,036,071 | MEDICAL PRODUCTS SUPPLIER | | No |

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|-------------------------------|---|---------------------------|---|---|----|
| | | | | Yes | No |
| (7) SUBST CONTR #174 #49 | VENDOR | 3,429,021 | CONSTRUCTION SERVICES | | No |
| (1) SUBST CONTR #244 | VENDOR | 47,930,827 | CONSTRUCTION SERVICES | | No |
| (2) SUBST CONTR #247 | VENDOR | 6,332,749 | MEDICAL LINEN AND UNIFORM RENTAL SERVICES | | No |

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
YALE NEW HAVEN HOSPITAL

Employer identification number
06-0646652

Part I

Types of Property

| | (a) Check if applicable | (b) Number of contributions or items contributed | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d) Method of determining noncash contribution amounts |
|--|----------------------------------|--|---|--|
| 1 Art—Works of art | X | 2 | 4,700 | COMPARABLE MARKET |
| 2 Art—Historical treasures | | | | |
| 3 Art—Fractional interests | | | | |
| 4 Books and publications | | | | |
| 5 Clothing and household goods | X | | 50,412 | COMPARABLE MARKET |
| 6 Cars and other vehicles | | | | |
| 7 Boats and planes | | | | |
| 8 Intellectual property | | | | |
| 9 Securities—Publicly traded | | | | |
| 10 Securities—Closely held stock | | | | |
| 11 Securities—Partnership, LLC, or trust interests | | | | |
| 12 Securities—Miscellaneous | | | | |
| 13 Qualified conservation contribution—Historic structures | | | | |
| 14 Qualified conservation contribution—Other | | | | |
| 15 Real estate—Residential | | | | |
| 16 Real estate—Commercial | | | | |
| 17 Real estate—Other | | | | |
| 18 Collectibles | X | 4 | 1,050 | COMPARABLE MARKET |
| 19 Food inventory | X | 16 | 42,542 | COMPARABLE MARKET |
| 20 Drugs and medical supplies | | | | |
| 21 Taxidermy | | | | |
| 22 Historical artifacts | | | | |
| 23 Scientific specimens | | | | |
| 24 Archeological artifacts | | | | |
| 25 Other ▶ () | See Additional Data | | | |
| 26 Other ▶ () | | | | |
| 27 Other ▶ () | | | | |
| 28 Other ▶ () | | | | |

29

Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

30a

During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30a

Yes

No

b

If "Yes," describe the arrangement in Part II

31

Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31

Yes

32a

Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

No

b

If "Yes," describe in Part II

33

If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 512273

Schedule M (Form 990) (2015)

Part II

Supplemental Information.

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

| Return Reference | Explanation |
|------------------|-------------|
|------------------|-------------|

Additional Data

Software ID:
Software Version:
EIN: 06-0646652
Name: YALE NEW HAVEN HOSPITAL

Part I, Types of
Property, Lines 25-28

| | (a) Check if applicable | (b) Number of contributions or items contributed | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d) Method of determining noncash contribution amounts |
|--|----------------------------------|---|---|--|
| Other ▶ (VACCINES & WIC VOUCHERS) | X | 4 | 1,941,789 | COMPARABLE MARKET |
| Other ▶ (GIFTS / TOYS / OTHER) | X | 11 | 104,736 | COMPARABLE MARKET |
| Other ▶ (GIFT CERTIFICATES) | X | 41 | 44,275 | COMPARABLE MARKET |
| Other ▶ (TRAVEL) | X | 7 | 26,239 | COMPARABLE MARKET |
| Other ▶ (EVENT TICKETS/GOLF/FISHING) | X | 46 | 20,337 | COMPARABLE MARKET |
| Other ▶ (JEWELRY/HANDBAGS) | X | 17 | 5,966 | COMPARABLE MARKET |
| Other ▶ (PHOTOGRAPHY) | X | 3 | 1,100 | COMPARABLE MARKET |

SCHEDULE O
(Form 990 or
990-EZ)Department of the
Treasury
Internal Revenue
Service**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.**2015****Open to Public
Inspection**Name of the organization
YALE NEW HAVEN HOSPITAL**Employer identification number**

06-0646652

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|-----------------------------------|--|
| FORM 990, PART III, LINE 4A | <p>FOR YALE NEW HAVEN HOSPITAL, QUALITY AND SAFETY REMAINED TOP PRIORITIES THIS YEAR, WITH A THREE-FOLD FOCUS: HIGH RELIABILITY (HRO) PRACTICES, HOSPITAL-ACQUIRED INFECTIONS AND CARE TRANSITIONS. 1) AS A RESULT OF HRO PRACTICES, SERIOUS SAFETY EVENTS AT YNHH DECLINED BY 43 PERCENT. 2) YNHH WAS ABLE TO REDUCE HOSPITAL-ACQUIRED INFECTIONS RELATED TO CENTRAL LINE-ASSOCIATED BLOODSTREAM INFECTIONS, CATHETER-ASSOCIATED URINARY TRACT INFECTIONS, C. DIFFICILE INFECTIONS, AND DEEP VEIN THROMBOSIS/PULMONARY EMBOLISM RATES. 3) IMPROVED CARE TRANSITIONS HELPED THE HOSPITAL LOWER PATIENT READMISSION RATES AND THE TIME IT TOOK TO ADMIT PATIENTS FROM THE EMERGENCY DEPARTMENT. THE HOSPITAL CONTINUED TO TAKE ITS RESPONSIBILITY TO CONTAIN HEALTHCARE COSTS SERIOUSLY. THE YNHH'S COST AND VALUE POSITIONING INITIATIVE INCREASED EFFICIENCY, REDUCED WASTE AND CREATED MORE COST-EFFECTIVE AND BENEFICIAL PATIENT CARE PROCESSES AT YNHH, AS WELL AS EACH OF THE OTHER SYSTEM HOSPITALS. IN TERMS OF QUALITY OF CARE, SMILOW CANCER HOSPITAL AND EIGHT OF 10 SMILOW CANCER CARE CENTERS RECEIVED THE QUALITY ONCOLOGY PRACTICE INITIATIVE CERTIFICATION FROM THE AMERICAN SOCIETY OF CLINICAL ONCOLOGY. YALE NEW HAVEN CHILDREN'S HOSPITAL WON THE PEDIATRIC QUALITY AWARD FROM THE CHILDREN'S HOSPITAL ASSOCIATION. U.S. NEWS & WORLD REPORT RECOGNIZED YALE NEW HAVEN HOSPITAL AMONG THE BEST IN THE NATION IN 11 ADULT AND SIX PEDIATRIC SPECIALTIES. THE HOSPITAL RECEIVED THE PRESTIGIOUS MAGNET DESIGNATION IN 2016 FROM THE AMERICAN NURSES CREDENTIALING CENTER. YNHH FIRST RECEIVED MAGNET DESIGNATION IN 2011, BUT THE RE-DESIGNATION NOW INCLUDES THE SAINT RAPHAEL CAMPUS. MAGNET RECOGNIZES QUALITY PATIENT CARE, NURSING EXCELLENCE AND INNOVATIONS IN PROFESSIONAL NURSING PRACTICE. IN ADDITION, YNHH EARNED INTERNATIONAL RECOGNITION AS A BABY-FRIENDLY BIRTH FACILITY, SIGNIFYING AN OPTIMAL LEVEL OF CARE FOR BREASTFEEDING MOTHERS. YNHH WAS THE FIRST CONNECTICUT HOSPITAL TO EARN THE JOINT COMMISSION'S GOLD SEAL OF APPROVAL FOR CHEST PAIN CERTIFICATION. ADDITIONALLY, THE HEART AND VASCULAR CENTER TAKEHEART CARDIAC REHABILITATION PROGRAM RECEIVED THREE-YEAR CERTIFICATION BY THE AMERICAN ASSOCIATION OF CARDIOVASCULAR AND PULMONARY REHABILITATION. YALE NEW HAVEN HOSPITAL CONTINUED TO INVEST IN FACILITIES AND CLINICAL PROGRAMS THIS YEAR, OPENING A NEW CANCER GENETICS UNIT AT THE SAINT RAPHAEL CAMPUS AND A NEW PHASE 1 CLINICAL TRIAL INFUSION CENTER AT 55 PARK STREET. NEW BARIATRIC AND GASTROINTESTINAL INPATIENT UNITS OPENED AT THE SAINT RAPHAEL CAMPUS, AS WELL AS NEW MUSCULOSKELETAL OFFICES AND A NEW RADIOLOGY AND GASTROINTESTINAL AND BARIATRIC SURGERY SUITE. AT THE YORK STREET CAMPUS, A MAJOR RENOVATION OF MATERNITY AND NEWBORN INTENSIVE CARE UNITS BEGAN, AND THE CHILDREN'S HOSPITAL SURGERY CENTER WAS RENOVATED. YNHH BECAME THE FIRST HOSPITAL IN CONNECTICUT TO PERFORM A NEW, LESS INVASIVE PROCEDURE TO HELP CLEAR CAROTID ARTERIES USING THE TRANSCAROTID ARTERY REVASCLARIZATION PROCEDURE TO HELP CLEAR CAROTID ARTERIES AND</p> |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|-----------------------------------|--|
| FORM 990, PART III, LINE 4A | <p>PROTECT THE BRAIN FROM STROKE RISK DURING CAROTID ARTERY STENTING THE HOSPITAL WAS ONE OF JUST TWO COMPREHENSIVE STROKE CENTERS IN THE STATE TO OFFER A NEW MINIMALLY INVASIVE TECHNOLOGY CALLED STENT RECEIVERS, A NEW TREATMENT OF SEVERE STROKES THE HOSPITAL OPENED A BARIATRIC AND GASTROINTESTINAL SURGERY UNIT AT THE SAINT RAPHAEL CAMPUS IN JANUARY A NEW CENTER FOR LIVING DONORS OPENED AT THE YNHH TRANSPLANTATION CENTER TO PROVIDE LONG-TERM, FOLLOW-UP CARE TO THE LIVING DONORS OF A KIDNEY OR LIVER YNHH ALSO PERFORMED THE NATION'S FIRST THYROIDECTOMY THROUGH THE MOUTH, WHICH AVOIDS VISIBLE SCARRING IN PATIENTS YNHH CONTINUED TO SERVE AS AN IMPORTANT TERTIARY CARE RESOURCE FOR OTHER HOSPITALS IN THE STATE AND BEYOND THIS YEAR YNHH RECEIVED NEARLY 7,000 TRANSFERS OF ACUTE PATIENTS FROM OTHER HEALTH CARE ORGANIZATIONS THROUGH ACCESS, INCLUDING 151 TRANSPORTED BY THE SKYHEALTH HELICOPTER</p> |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|----------------------|--|
| FORM 990, PART VI | PART I, LINE 4 & PART VI, LINE 1B NUMBER OF INDEPENDENT VOTING MEMBERS OF THE GOVERNING BODY THE ORGANIZATION SOUGHT TO CONFIRM THE INDEPENDENCE OF EACH VOTING MEMBER OF ITS GOVERNING BODY BY REQUESTING THAT EACH SUCH VOTING MEMBER RESPOND TO A QUESTIONNAIRE CONTAINING THE PERTINENT INSTRUCTIONS AND DEFINITIONS AND DESIGNED TO ELICIT THE INFORMATION NECESSARY TO DETERMINE INDEPENDENCE BASED ON RESPONSES TO THE QUESTIONNAIRES RECEIVED BY THE ORGANIZATION AND ANNUAL CONFLICTS OF INTEREST DISCLOSURES, THE ORGANIZATION WAS ABLE TO CONFIRM THAT TWENTY (20) VOTING MEMBERS ARE INDEPENDENT BASED ON OTHER INFORMATION KNOWN TO THE ORGANIZATION, THE ORGANIZATION HAS NO REASON TO BELIEVE THAT EITHER OF THE TWO (2) OUTSTANDING VOTING MEMBERS ARE NOT INDEPENDENT |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|---|--|
| FORM 990, PART VI, SECTION A, LINE 2 | BUSINESS RELATIONSHIPS BETWEEN OFFICERS, DIRECTORS, TRUSTEES, OR KEY EMPLOYEES TRUSTEES JOHN L LAHEY AND JAMES TORGERSON ARE DIRECTORS AND OFFICERS OF THE SAME BUSINESS ENTITY THE ORGANIZATION'S CURRENT OFFICERS AND/OR TRUSTEES SERVE AS OFFICERS AND/OR DIRECTORS OF TAXABLE AFFILIATES WITHIN THE ORGANIZATION'S CORPORATE SYSTEM OR JOINT VENTURES IN WHICH THE ORGANIZATION'S CORPORATE SYSTEM HAS AN OWNERSHIP INTEREST THE INDIVIDUAL OFFICERS DO NOT HAVE PERSONAL FINANCIAL INTERESTS IN THE TAXABLE AFFILIATE AND SERVE ONLY AS A FUNCTION OF THEIR ROLES WITH THE ORGANIZATION OR WITHIN THE ORGANIZATION'S CORPORATE SYSTEM |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|---|--|
| FORM 990, PART VI, SECTION A, LINE 6 | THE SOLE MEMBER OF YALE NEW HAVEN HOSPITAL IS YALE NEW HAVEN HEALTH SERVICES CORPORATION |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|--|--|
| FORM 990, PART VI, SECTION A, LINE 7A | THE HOSPITAL NOMINATES INDIVIDUALS TO SERVE ON THE HOSPITAL'S BOARD FOR APPROVAL BY ITS SOLE MEMBER, YALE NEW HAVEN HEALTH SERVICES CORPORATION |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|--|---|
| FORM 990, PART VI, SECTION A, LINE 7B | THE YALE NEW HAVEN HEALTH SERVICES CORPORATION, AS THE ORGANIZATIONS' SOLE MEMBER, HAS THE RIGHTS, POWERS AND PRIVILEGES SET FORTH IN THE ORGANIZATION'S BYLAWS, INCLUDING, IN PART, THE AUTHORITY TO APPROVE THE NOMINEES TO THE BOARD OF TRUSTEES, AMENDMENTS TO THE GOVERNING DOCUMENTS, OPERATING AND CAPITAL BUDGETS, INITIATION OF NEW PROGRAMS AND CLINICAL SERVICES OR THE DISCONTINUATION OR CONSOLIDATION OF SUCH PROGRAMS, CERTAIN FUNDAMENTAL CORPORATION TRANSACTIONS, AND THE ISSUANCE AND INCURRENCE OF INDEBTEDNESS |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|--|--|
| FORM 990, PART VI, SECTION B, LINE 11 | THE FORM 990 TAX RETURN AND ATTACHED SCHEDULES WERE PREPARED BY EMPLOYEES OF THE SYSTEM TAX DEPARTMENT THE RETURN IS INITIALLY REVIEWED BY THE DIRECTOR AND VP OF CORPORATE FINANCE SUBSEQUENTLY IT IS SENT TO KPMG LLP FOR THEIR INITIAL REVIEW AFTER ALL COMMENTS FROM THE ABOVE GROUP ARE CLEARED, THE RETURN IS THEN REVIEWED BY THE CHIEF FINANCIAL OFFICER OF THE ENTITY AND A FINAL VERSION OF THE RETURN IS SENT BACK TO KPMG LLP FOR FINAL REVIEW PRIOR TO FILING, THE ORGANIZATION MAKES AVAILABLE A COMPLETE COPY OF THE RETURN TO THE BOARD OF TRUSTEES A SECURE WEB PORTAL IS AVAILABLE TO BOARD MEMBERS TO ACCESS THE RETURN |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|---|---|
| FORM 990, PART VI, SECTION B, LINE 12C | <p>THE YALE NEW HAVEN HEALTH SYSTEM CONFLICT OF INTEREST POLICY (CC R-7) AND INDIVIDUAL ANNUAL DISCLOSURE FORM APPLIES TO A POOL OF EMPLOYEES, BOARD MEMBERS AND NON-BOARD MEMBERS SERVING ON BOARD COMMITTEES. THESE "COVERED INDIVIDUALS" ARE REQUIRED TO COMPLETE A CONFLICT OF INTEREST DISCLOSURE STATEMENT, UPON BEGINNING EMPLOYMENT OR OTHERWISE BECOMING A COVERED INDIVIDUAL AND ANNUALLY THEREAFTER. COVERED INDIVIDUALS ARE ALSO REQUIRED TO IMMEDIATELY REPORT MATERIAL CHANGES TO THEIR MOST RECENTLY COMPLETED DISCLOSURE STATEMENT. THESE DISCLOSURE STATEMENTS AND REPORTS ARE REVIEWED BY THE OFFICE OF PRIVACY AND CORPORATE COMPLIANCE AND/OR THE LEGAL AND RISK SERVICES DEPARTMENT TO ENSURE COMPLIANCE WITH THE CONFLICT OF INTEREST POLICY. IF A POTENTIAL CONFLICT ARISES, THE PRESIDENT AND CEO WOULD CONSULT WITH THE BOARD CHAIRPERSON AND THE LEGAL AND RISK SERVICES DEPARTMENT AND TAKE ANY ACTIONS THAT SHE DEEMS REQUIRED OR APPROPRIATE TO MANAGE OR RESOLVE A POTENTIAL CONFLICT OF INTEREST. FOR EXAMPLE, A VOTING BOARD OR COMMITTEE MEMBER WOULD BE REQUIRED TO RECUSE HIMSELF OR HERSELF FROM VOTING ON MATTERS RELATED TO THE POTENTIAL CONFLICT AND THE POTENTIAL CONFLICT WOULD BE DISCLOSED TO OTHER VOTING MEMBERS.</p> |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|--|--|
| FORM 990, PART VI, SECTION B, LINE 15 | THE YALE NEW HAVEN HEALTH SERVICES CORPORATION EXECUTIVE COMPENSATION COMMITTEE APPROVES THE TOTAL COMPENSATION STRATEGY FOR ALL EXECUTIVES EMPLOYED BY YALE NEW HAVEN HEALTH SERVICES CORPORATION AND THE YALE NEW HAVEN HOSPITAL COMPENSATION COMMITTEE APPROVES THE TOTAL COMPENSATION STRATEGY FOR ALL EXECUTIVES EMPLOYED BY THE HOSPITAL, BOTH TAKING INTO ACCOUNT THE MARKET PRACTICES OF OTHER SIMILARLY SITUATED HEALTHCARE EXECUTIVES IN COMPARABLE ORGANIZATIONS. THE MEMBERS OF THE COMMITTEES VOTING TO APPROVE COMPENSATION DO NOT HAVE A CONFLICT OF INTEREST WITH RESPECT TO THE COMPENSATION ARRANGEMENTS. THE COMPARABILITY DATA USED TO ASSIST THE COMMITTEES IN THEIR COMPENSATION DELIBERATIONS IS COMPILED BY AN INDEPENDENT, NATIONAL COMPENSATION CONSULTING FIRM THAT IS RETAINED BY AND REPORTS DIRECTLY TO THESE COMMITTEES. THE DELIBERATIONS AND DECISIONS OF THESE COMMITTEES ARE CONTEMPORANEOUSLY DOCUMENTED, REVIEWED AND APPROVED BY THE COMMITTEES, AND SHARED WITH THE BOARDS OF TRUSTEES. |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|--|---|
| FORM 990, PART VI, SECTION C, LINE 19 | COPIES OF FORM 990, FORM 1023 (IF AVAILABLE) AND AUDITED FINANCIAL STATEMENTS ARE MAINTAINED IN THE SYSTEM TAX DEPARTMENT OTHER CORPORATE GOVERNING DOCUMENTS ARE MAINTAINED BY THE LEGAL AND RISK SERVICES DEPARTMENT THE CONFLICT OF INTEREST POLICY, WHISTLEBLOWER POLICY, AND DOCUMENT RETENTION POLICY ARE AVAILABLE TO ALL EMPLOYEES ON THE CORPORATE INTERNAL WEBSITE COPIES OF ALL DOCUMENTS ARE AVAILABLE TO THE PUBLIC UPON REQUEST |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|-----------------------------------|--|
| FORM 990, PART IX, LINE 11G | <p>PROFESSIONAL & CONSULTING FEES PROGRAM SERVICE EXPENSES 8,376,260 MANAGEMENT AND GENERAL EXPENSES 714,506 FUNDRAISING EXPENSES 13,979 TOTAL EXPENSES 9,104,745 OHCA ASSESSMENT PROGRAM SERVICE EXPENSES 697,089 MANAGEMENT AND GENERAL EXPENSES 0 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 697,089 SYSTEM SUPPORT FEES PROGRAM SERVICE EXPENSES 27,046,378 MANAGEMENT AND GENERAL EXPENSES 4,390,785 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 31,437,163 OUTSIDE CONTRACTUAL SERVICES PROGRAM SERVICE EXPENSES 130,565,813 MANAGEMENT AND GENERAL EXPENSES 31,225,779 FUNDRAISING EXPENSES 730,639 TOTAL EXPENSES 162,522,231 YNHSC SHARED PROJECT FEES PROGRAM SERVICE EXPENSES 192,585,281 MANAGEMENT AND GENERAL EXPENSES 45,821,851 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 238,407,132 EMPLOYEE RECRUITMENT FEES PROGRAM SERVICE EXPENSES 210,965 MANAGEMENT AND GENERAL EXPENSES 50,195 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 261,160 AMBULANCE SERVICES PROGRAM SERVICE EXPENSES 3,134,440 MANAGEMENT AND GENERAL EXPENSES 0 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 3,134,440 YALE U PERSONNEL & SUPPORT FEE PROGRAM SERVICE EXPENSES 198,225,411 MANAGEMENT AND GENERAL EXPENSES 47,163,808 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 245,389,219 TEMPORARY STAFFING PROGRAM SERVICE EXPENSES 5,784,038 MANAGEMENT AND GENERAL EXPENSES 1,379,017 FUNDRAISING EXPENSES 11,851 TOTAL EXPENSES 7,174,906</p> |

990 Schedule O, Supplemental Information

| Return Reference | Explanation |
|---------------------------------|---|
| FORM 990, PART XI, LINE 9 | OTHER CHANGES IN NET ASSETS 238,771 TRANSFER TO HSC - MISSION SUPPORT -9,244,000 PENSION RELATED CHANGES -63,316,000 INCOME ATTRIBUTABLE TO NONCONTROLLING INTEREST -1,296,000 CHANGE IN BENEFICIAL INTEREST IN PERPETUAL TRUSTS 291,000 NET ASSETS RELEASED FROM RESTRICTIONS FOR CAPITAL -69,000 AUXILIARY -97,709 |

SCHEDULE R
(Form 990)

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE NEW HAVEN HOSPITAL

Employer identification number
06-0646652

Part I

Identification of Disregarded Entities

Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Total income | (e) End-of-year assets | (f) Direct controlling entity |
|--|------------------------------------|--|---------------------|---------------------------|----------------------------------|
| (1) YALE NEW HAVEN HOSPITAL RABBI TRUST PO BOX 535007 PITTSBURGH, PA 152535007 30-6206686 | GRANTORS TRUST- RETIREMENT PLAN | PA | 1,833,987 | 84,034,462 | YALE NEW HAVEN HOSPITAL |
| (2) 150 SARGENT DRIVE LLC 150 SARGENT DRIVE NEW HAVEN, CT 06511 47-4108921 | HOLDING COMPANY | CT | 1,091,690 | 0 | YALE NEW HAVEN HOSPITAL |
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Part II

Identification of Related Tax-Exempt Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b) (13) controlled entity? | |
|---|-------------------------|--|----------------------------|---|----------------------------------|---|----|
| | | | | | | Yes | No |
| See Additional Data Table | | | | | | | |
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Part III

Identification of Related Organizations Taxable as a Partnership

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income(related, unrelated, excluded from tax under sections 512- 514) | (f) Share of total income | (g) Share of end-of- year assets | (h) Disproportionate allocations? | | (i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|--|-------------------------|--|--|--|---------------------------------|--|---|----|--|---|----|--------------------------------|
| | | | | | | | Yes | No | | Yes | No | |
| (1) SHORELINE SURGERY CENTER LLC 111 GOOSE LANE GUILFORD, CT 06437 90-0110459 | HEALTHCARE SERVICES | CT | N/A | | | | | | | | | |
| (2) SSC II LLC 111 GOOSE LANE GUILFORD, CT 06437 26-1709382 | HEALTHCARE SERVICES | CT | N/A | | | | | | | | | |
| (3) ORTHOPAEDIC & NEUROSURGERY CENTERLLC 55 HOLLY HILL LANE GREENWICH, CT 06830 27-3477197 | HEALTHCARE SERVICES | CT | N/A | | | | | | | | | |
| (4) TOTAL HEALTH CONNECTICUT LLC 789 HOWARD AVENUE NEW HAVEN, CT 06519 47-4070024 | HEALTHCARE SERVICES | CT | N/A | | | | | | | | | |
| (5) YALE NEW HAVEN HEALTH SYSTEM INVESTMENT 20 YORK STREET NEW HAVEN, CT 06519 27-1374301 | INVESTMENT | DE | N/A | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end- of-year assets | (h) Percentage ownership | (i) Section 512 (b)(13) controlled entity? | |
|--|-------------------------|---|-------------------------------------|---|---------------------------------|---|--------------------------------|--|----|
| | | | | | | | | Yes | No |
| See Additional Data Table | | | | | | | | | |
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Part V

Transactions With Related Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest, (ii)annuities, (iii)royalties, or(iv)rent from a controlled entity

b

Gift, grant, or capital contribution to related organization(s)

c

Gift, grant, or capital contribution from related organization(s)

d

Loans or loan guarantees to or for related organization(s)

e

Loans or loan guarantees by related organization(s)

f

Dividends from related organization(s)

g

Sale of assets to related organization(s)

h

Purchase of assets from related organization(s)

i

Exchange of assets with related organization(s)

j

Lease of facilities, equipment, or other assets to related organization(s)

k

Lease of facilities, equipment, or other assets from related organization(s)

l

Performance of services or membership or fundraising solicitations for related organization(s)
.

m

Performance of services or membership or fundraising solicitations by related organization(s)

n

Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o

Sharing of paid employees with related organization(s)

p

Reimbursement paid to related organization(s) for expenses

q

Reimbursement paid by related organization(s) for expenses

r

Other transfer of cash or property to related organization(s)

s

Other transfer of cash or property from related organization(s)

Yes

No

1a

No

1b

No

1c

No

1d

No

1e

No

1f

No

1g

No

1h

No

1i

No

1j

Yes

1k

Yes

1l

Yes

1m

Yes

1n

No

1o

No

1p

Yes

1q

Yes

1r

Yes

1s

Yes

2

If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

| (a) Name of related organization | (b) Transaction type (a-s) | (c) Amount involved | (d) Method of determining amount involved |
|-------------------------------------|----------------------------------|------------------------|--|
| See Additional Data Table | | | |
| | | | |
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Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

| Return Reference | Explanation |
|--|--|
| PART II, COLUMN F - DIRECT CONTROLLING ENTITY OF TAX EXEMPTS ORGANIZATIONS | NAME OF RELATED ORGANIZATION GREENWICH HOSPITAL DIRECT CONTROLLING ENTITY GREENWICH HEALTH CARE SERVICES, INC THROUGH 5/12/16, AFTER YALE NEW HAVEN HEALTH SERVICES CORPORATION NAME OF RELATED ORGANIZATION PERRYRIDGE CORPORATION DIRECT CONTROLLING ENTITY GREENWICH HEALTH CARE SERVICES, INC THROUGH 5/12/16, AFTER GREENWICH HOSPITAL NAME OF RELATED ORGANIZATION THE GREENWICH HOSPITAL ENDOWMENT FUND INC DIRECT CONTROLLING ENTITY GREENWICH HEALTH CARE SERVICES, INC THROUGH 5/12/16, AFTER GREENWICH HOSPITAL |

Additional Data

Software ID:

Software Version:

EIN: 06-0646652

Name: YALE NEW HAVEN HOSPITAL

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512 (b)(13) controlled entity? | |
|--|--------------------------|--|----------------------------|---|-------------------------------------|---|----|
| | | | | | | Yes | No |
| GREENWICH HOSPITAL 5 PERRYRIDGE ROAD GREENWICH, CT 06830 06-0646659 | HEALTHCARE SERVICES | CT | 501(C)(3) | LINE 3 | SEE SCHEDULE R PART VII | Yes | |
| GREENWICH HEALTH CARE SERVICES INC - MERGED WITH GH ON 51216 5 PERRYRIDGE ROAD GREENWICH, CT 06830 22-2593399 | SYSTEM SUPPORT SERVICES | CT | 501(C)(3) | LINE 11B, II | YALE NEW HAVEN HEALTH SERVICES CORP | | No |
| THE GREENWICH HOSPITAL ENDOWMENT FUND INC 5 PERRYRIDGE ROAD GREENWICH, CT 06830 06-1526642 | SYSTEM SUPPORT SERVICES | CT | 501(C)(3) | LINE 11B, II | SEE SCHEDULE R PART VII | Yes | |
| BRIDGEPORT HOSPITAL 267 GRANT STREET BRIDGEPORT, CT 06610 06-0646554 | HEALTHCARE SERVICES | CT | 501(C)(3) | LINE 3 | YALE NEW HAVEN HEALTH SERVICES CORP | Yes | |
| SOUTHERN CONNECTICUT HEALTH SYSTEM PROPERTIES INC 267 GRANT STREET BRIDGEPORT, CT 06610 06-1297708 | TITLE HOLDING | CT | 501(C)(2) | | BRIDGEPORT HOSPITAL | Yes | |
| BRIDGEPORT HOSPITAL AUXILIARY INC 267 GRANT STREET BRIDGEPORT, CT 06610 06-6042500 | SYSTEM SUPPORT SERVICES | CT | 501(C)(3) | LINE 11A, I | BRIDGEPORT HOSPITAL | Yes | |
| BRIDGEPORT HOSPITAL FOUNDATION INC 267 GRANT STREET BRIDGEPORT, CT 06610 22-2908698 | SYSTEM SUPPORT SERVICES | CT | 501(C)(3) | LINE 7 | BRIDGEPORT HOSPITAL | Yes | |
| NORTHEAST MEDICAL GROUP INC 99 HAWLEY LANE STRATFORD, CT 06614 06-1330992 | HEALTHCARE SERVICES | CT | 501(C)(3) | LINE 9 | YALE NEW HAVEN HEALTH SERVICES CORP | Yes | |
| NORTHEAST MEDICAL GROUP PLLC 99 HAWLEY LANE STRATFORD, CT 06614 35-2380180 | HEALTHCARE SERVICES | CT | 501(C)(3) | LINE 11A, I | NORTHEAST MEDICAL GROUP INC | Yes | |
| YALE NEW HAVEN HEALTH SERVICES CORP 789 HOWARD AVE NEW HAVEN, CT 06519 22-2529464 | SYSTEM SUPPORT SERVICES | CT | 501(C)(3) | LINE 11A, I | N/A | | No |
| YALE-NEW HAVEN CARE CONTINUUM CORP 789 HOWARD AVE NEW HAVEN, CT 06519 45-5235566 | NURSING HOME | CT | 501(C)(3) | LINE 3 | YALE NEW HAVEN HOSPITAL | Yes | |
| PERRYRIDGE CORPORATION 5 PERRYRIDGE ROAD GREENWICH, CT 06830 06-1207316 | SYSTEM SUPPORT SERVICES | CT | 501(C)(3) | LINE 11B, II | SEE SCHEDULE R PART VII | Yes | |
| BRIDGEPORT HOSPITAL FRIENDS OF PEDIATRICS 120 COLUMBINE DR TRUMBULL, CT 06611 06-6048427 | SYSTEM SUPPORT SERVICES | CT | 501(C)(3) | LINE 11A, I | YALE NEW HAVEN HOSPITAL | Yes | |
| LAWRENCE MEMORIAL CORPORATION 365 MONTAUK AVENUE NEW LONDON, CT 06320 22-2553028 | PROMOTE HEALTHCARE | CT | 501(C)(3) | LINE 9 | YALE NEW HAVEN HEALTH SERVICES CORP | Yes | |
| LAWRENCE MEMORIAL HOSPITAL INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 06-0646704 | HEALTHCARE SERVICES | CT | 501(C)(3) | LINE 3 | LAWRENCE MEMORIAL CORPORATION | Yes | |
| ASSOCIATED SPECIALISTS OF SOUTHEASTERN CONNECTICUT INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 20-8006123 | HEALTHCARE SERVICES | CT | 501(C)(3) | LINE 11A, I | LAWRENCE MEMORIAL HOSPITAL INC | Yes | |
| VISITING NURSE ASSOCIATION OF SOUTHEASTERN CONNECTICUT INC 403 NORTH FRONTAGE ROAD WATERFORD, CT 06385 06-0646616 | HOME HEALTHCARE SERVICES | CT | 501(C)(3) | LINE 9 | LAWRENCE MEMORIAL CORPORATION | Yes | |
| L&M PHYSICIAN ASSOCIATION INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 27-1094375 | HEALTHCARE SERVICES | CT | 501(C)(3) | LINE 11A, I | LAWRENCE MEMORIAL CORPORATION | Yes | |
| LAWRENCE AND MEMORIAL FOUNDATION INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 22-2553026 | FUNDRAISING SERVICES | CT | 501(C)(3) | PF | LAWRENCE MEMORIAL CORPORATION | Yes | |
| LMW HEALTHCARE INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 46-0543230 | HEALTHCARE SERVICES | RI | 501(C)(3) | LINE 3 | LAWRENCE MEMORIAL CORPORATION | Yes | |

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c) (3)) | (f) Direct controlling entity | (g) Section 512 (b)(13) controlled entity? | |
|---|---------------------------|---|-------------------------------|---|-------------------------------------|--|----|
| | | | | | | Yes | No |
| THE WESTERLY HOSPITAL FOUNDATION INC 25 WELLS STREET WESTERLY, RI 02891 05-0508064 | FUNDRAISING SERVICES | RI | 501(C)(3) | LINE 11A, I | LMW HEALTHCARE INC | Yes | |
| WESTERLY HOSPITAL AUXILIARY INC 25 WELLS STREET WESTERLY, RI 02891 22-2507181 | FUNDRAISING ACTIVITIES | RI | 501(C)(3) | LINE 11C, III-FI | LMW HEALTHCARE INC | Yes | |

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of- year assets | (h) Percentage ownership | (i) Section 512(b)(13) controlled entity? | |
|---|--------------------------------------|---|-------------------------------------|---|---------------------------------|---|--------------------------------|---|----|
| | | | | | | | | Yes | No |
| (1) YNHHS-MSO INC 789 HOWARD AVE NEW HAVEN, CT 06519 06-1467717 | MANAGEMENT SERVICES | CT | N/A | C | | | | Yes | |
| YALE NEW HAVEN AMBULATORY (1) SERVICES 40 TEMPLE STREET NEW HAVEN, CT 06510 06-1398526 | HEALTHCARE SERVICES | CT | N/A | C | | | | Yes | |
| (2) MEDICAL CENTER REALTY INC 50 YORK STREET NEW HAVEN, CT 06511 06-1110858 | RENTAL SERVICES | CT | N/A | C | | | | Yes | |
| (3) GREENWICH FERTILITY & IVF CENTER PC 5 PERRYRIDGE ROAD GREENWICH, CT 06830 30-0145464 | HEALTHCARE SERVICES | CT | N/A | C | | | | Yes | |
| (4) YORK ENTERPRISES INC 50 YORK STREET NEW HAVEN, CT 06511 06-1110937 | TITLE HOLDING | CT | N/A | C | | | | Yes | |
| (5) YNHHS-PHYSICIANS CORP 789 HOWARD AVE NEW HAVEN, CT 06519 06-1202305 | ADMINISTRATIVE SERVICES | CT | N/A | C | | | | Yes | |
| (6) MEDICAL CENTER PHARMACY INC 50 YORK STREET NEW HAVEN, CT 06511 06-1087673 | PHARMACY | CT | N/A | C | | | | Yes | |
| (7) GREENWICH OCCUPATIONAL HEALTH SERVICES OF NEW YORK PC 5 PERRYRIDGE ROAD GREENWICH, CT 06830 06-1540101 | HEALTHCARE SERVICES | NY | N/A | C | | | | Yes | |
| (8) CENTURY FINANCIAL SERVICES INC 23 MAIDEN LANE NORTH HAVEN, CT 06473 06-1110797 | DEBT COLLECTION SERVICES | CT | N/A | C | | | | Yes | |
| (9) GREENWICH OCCUPATIONAL HEALTH SERVICES OF NEW JERSEY 5 PERRYRIDGE ROAD GREENWICH, CT 06830 45-3833883 | HEALTHCARE SERVICES | NJ | N/A | C | | | | Yes | |
| (10) PRIMARYNET OF CT INC - TERMINATED 93016 789 HOWARD AVE NEW HAVEN, CT 06519 06-1463534 | HEALTHCARE SERVICES | CT | N/A | C | | | | Yes | |
| (11) L & M SYSTEMS INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 22-2553037 | HEALTHCARE RELATED SERVICES | CT | N/A | C | | | | Yes | |
| (12) CENTURY MANAGEMENT SERVICES INC 23 MAIDEN LANE NORTH HAVEN, CT 06473 06-1303173 | RECEIVABLE MANAGEMENT SERVICES | CT | N/A | C | | | | Yes | |
| (13) L&M HOME CARE SERVICES INC 365 MONTAUK AVENUE NEW LONDON, CT 06320 06-1389272 | HOME THERAPY | CT | N/A | C | | | | Yes | |
| (14) LAWRENCE & MEMORIAL INDEMNITY COMPANY LTD PO BOX 1159 GRAND CAYMAN KY1-1102 CJ 98-1021436 | INSURANCE | CJ | N/A | C | | | | Yes | |

Form 990, Schedule R, Part V - Transactions With Related Organizations

| (a) Name of related organization | | (b) Transaction type(a-s) | (c) Amount Involved | (d) Method of determining amount involved |
|-------------------------------------|---|---------------------------------|------------------------|---|
| (1) | CENTURY FINANCIAL SERVICES INC | M | 2,001,726 | COMPARABLE MARKET VALUE |
| (1) | CENTURY FINANCIAL SERVICES INC | P | 2,001,726 | TRANSACTION REVIEW |
| (2) | MEDICAL CENTER PHARMACY INC | L | 68,057,501 | COMPARABLE MARKET VALUE |
| (3) | MEDICAL CENTER PHARMACY INC | Q | 73,621,217 | TRANSACTION REVIEW |
| (4) | NORTHEAST MEDICAL GROUP INC | L | 7,953,074 | COMPARABLE MARKET VALUE |
| (5) | NORTHEAST MEDICAL GROUP INC | M | 47,651,590 | COMPARABLE MARKET VALUE |
| (6) | NORTHEAST MEDICAL GROUP INC | P | 33,436,969 | TRANSACTION REVIEW |
| (7) | NORTHEAST MEDICAL GROUP INC | S | 4,669,000 | CASH |
| (8) | YALE NEW HAVEN AMBULATORY SERVICES CORP | L | 6,623,884 | COMPARABLE MARKET VALUE |
| (9) | YALE NEW HAVEN AMBULATORY SERVICES CORP | Q | 7,832,984 | TRANSACTION REVIEW |
| (10) | YALE NEW HAVEN CARE CONTINUUM CORP | L | 4,300,902 | COMPARABLE MARKET VALUE |
| (11) | YALE NEW HAVEN CARE CONTINUUM CORP | Q | 5,175,961 | TRANSACTION REVIEW |
| (12) | YALE NEW HAVEN HEALTH SERVICES CORP | J | 3,278,000 | COMPARABLE MARKET VALUE |
| (13) | YALE NEW HAVEN HEALTH SERVICES CORP | L | 28,736,686 | COMPARABLE MARKET VALUE |
| (14) | YALE NEW HAVEN HEALTH SERVICES CORP | M | 240,441,569 | COMPARABLE MARKET VALUE |
| (15) | YALE NEW HAVEN HEALTH SERVICES CORP | P | 230,992,216 | TRANSACTION REVIEW |
| (16) | YALE NEW HAVEN HEALTH SERVICES CORP | Q | 37,915,079 | TRANSACTION REVIEW |
| (17) | YALE NEW HAVEN HEALTH SERVICES CORP | R | 15,696,369 | CASH |
| (18) | YALE NEW HAVEN HEALTH SERVICES CORP | S | 5,836,451 | CASH |
| (19) | YALE NEW HAVEN MEDICAL CENTER INC | K | 289,712 | COMPARABLE MARKET VALUE |
| (20) | YNHH PHYSICIANS CORP | R | 73,343 | CASH |