



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 Do not enter social security numbers on this form as it may be made public.  
 Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052  
**2015**  
**Open to Public Inspection**

**For calendar year 2015, or tax year beginning 10-01-2015, and ending 09-30-2016**

|  |  |  |
|--|--|--|
| Name of foundation<br>FLORIAN O BARTLETT TRUST   |  | <b>A Employer identification number</b><br>04-6008674  |
| Number and street (or P O box number if mail is not delivered to street address)<br>PO BOX 831041  | Room/suite   | <b>B Telephone number (see instructions)</b><br>(800) 357-7094   |
| City or town, state or province, country, and ZIP or foreign postal code<br>DALLAS, TX 752831041   |  | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>  |
| <b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |  | <b>D 1.</b> Foreign organizations, check here <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/><br><b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| <b>H</b> Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation   |  | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>  |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$</b> 865,249   | <b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis) |  |

| <b>Part I Analysis of Revenue and Expenses</b><br><i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i> |   | Revenue and expenses per books<br><b>(a)</b> | Net investment income<br><b>(b)</b> | Adjusted net income<br><b>(c)</b> | Disbursements for charitable purposes<br><b>(d)</b> (cash basis only) |
|---|---|--|-------------------------------------|-----------------------------------|---|
| <b>Revenue</b>  | <b>1</b> Contributions, gifts, grants, etc., received (attach schedule) . . . . .                                     |  |                                     |                                   |   |
|   | <b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . . |  |                                     |                                   |   |
|   | <b>3</b> Interest on savings and temporary cash investments . . . . .   |  |                                     |                                   |   |
|   | <b>4</b> Dividends and interest from securities . . . . .   | 21,318                                       | 21,244                              |                                   |   |
|   | <b>5a</b> Gross rents . . . . .   |  |                                     |                                   |   |
|   | <b>b</b> Net rental income or (loss) _____  |  |                                     |                                   |   |
|   | <b>6a</b> Net gain or (loss) from sale of assets not on line 10 . . . . .   | -19,029                                      |                                     |                                   |   |
|   | <b>b</b> Gross sales price for all assets on line 6a<br>309,845   |  |                                     |                                   |   |
|   | <b>7</b> Capital gain net income (from Part IV, line 2) . . . . .   |  | 0                                   |                                   |   |
|   | <b>8</b> Net short-term capital gain . . . . .  |  |                                     | 0                                 |   |
|   | <b>9</b> Income modifications . . . . .   |  |                                     |                                   |   |
|   | <b>10a</b> Gross sales less returns and allowances . . . . .  |  |                                     |                                   |   |
| <b>b</b> Less Cost of goods sold . . . . .  |   |  |                                     |                                   |   |
| <b>c</b> Gross profit or (loss) (attach schedule) . . . . .   |   |  |                                     |                                   |   |
| <b>11</b> Other income (attach schedule) . . . . .  | 150   | 3  |                                     |                                   |   |
| <b>12 Total.</b> Add lines 1 through 11 . . . . .   | 2,439   | 21,247                                       |                                     |                                   |   |
| <b>Operating and Administrative Expenses</b>  | <b>13</b> Compensation of officers, directors, trustees, etc . . . . .  | 11,756                                       | 7,054                               |                                   | 4,703   |
|   | <b>14</b> Other employee salaries and wages . . . . .   |  | 0                                   | 0                                 | 0   |
|   | <b>15</b> Pension plans, employee benefits . . . . .  |  | 0                                   | 0                                 |   |
|   | <b>16a</b> Legal fees (attach schedule). . . . .  |  |                                     |                                   | 0   |
|   | <b>b</b> Accounting fees (attach schedule). . . . .   | 1,250  | 0                                   | 0                                 | 1,250   |
|   | <b>c</b> Other professional fees (attach schedule) . . . . .  | 2,117  |                                     |                                   | 2,117   |
|   | <b>17</b> Interest . . . . .  |  |                                     |                                   | 0   |
|   | <b>18</b> Taxes (attach schedule) (see instructions) . . . . .  | 514  | 514                                 |                                   | 0   |
|   | <b>19</b> Depreciation (attach schedule) and depletion . . . . .  | 0  | 0                                   |                                   |   |
|   | <b>20</b> Occupancy . . . . .   |  |                                     |                                   |   |
|   | <b>21</b> Travel, conferences, and meetings . . . . .   |  | 0                                   | 0                                 |   |
|   | <b>22</b> Printing and publications . . . . .   |  | 0                                   | 0                                 |   |
|   | <b>23</b> Other expenses (attach schedule). . . . .   | 289  | 458                                 |                                   | 35  |
|   | <b>24 Total operating and administrative expenses.</b><br>Add lines 13 through 23 . . . . .                           | 15,926                                       | 8,026                               | 0                                 | 8,105   |
|   | <b>25</b> Contributions, gifts, grants paid . . . . .   | 31,150                                       |                                     |                                   | 31,150  |
| <b>26 Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .   | 47,076  | 8,026  | 0                                   | 39,255                            |   |
| <b>27</b> Subtract line 26 from line 12 . . . . .   |   |  |                                     |                                   |   |
| <b>a Excess of revenue over expenses and disbursements</b>  | -44,637   |  |                                     |                                   |   |
| <b>b Net investment income</b> (if negative, enter -0-)   |   | 13,221                                       |                                     |                                   |   |
| <b>c Adjusted net income</b> (if negative, enter -0-)   |   |  | 0                                   |                                   |   |

| <b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) |   | Beginning of year | End of year    |                       |
|--|---|-------------------|----------------|-----------------------|
|  |   | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>  | <b>1</b> Cash—non-interest-bearing . . . . .  |                   |                |                       |
|  | <b>2</b> Savings and temporary cash investments . . . . .   | 30,495            | 28,932         | 28,932                |
|  | <b>3</b> Accounts receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____  |                   | 0              | 0                     |
|  | <b>4</b> Pledges receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____   |                   |                |                       |
|  | <b>5</b> Grants receivable . . . . .  |                   |                |                       |
|  | <b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . . |                   |                |                       |
|  | <b>7</b> Other notes and loans receivable (attach schedule) ▶ _____<br>Less allowance for doubtful accounts ▶ _____ 0                     |                   |                |                       |
|  | <b>8</b> Inventories for sale or use . . . . .  |                   |                |                       |
|  | <b>9</b> Prepaid expenses and deferred charges . . . . .  |                   |                |                       |
|  | <b>10a</b> Investments—U S and state government obligations (attach schedule)   |                   |                |                       |
|  | <b>b</b> Investments—corporate stock (attach schedule) . . . . .  | 819,292           | 771,113        | 836,317               |
|  | <b>c</b> Investments—corporate bonds (attach schedule) . . . . .  |                   |                |                       |
|  | <b>11</b> Investments—land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____             |                   |                |                       |
|  | <b>12</b> Investments—mortgage loans . . . . .  |                   |                |                       |
|  | <b>13</b> Investments—other (attach schedule) . . . . .   |                   |                | 0                     |
|  | <b>14</b> Land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                         |                   |                |                       |
| <b>15</b> Other assets (describe ▶ _____)  |   |                   |                |                       |
| <b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)   | 849,787   | 800,045           | 865,249        |                       |
| <b>Liabilities</b>   | <b>17</b> Accounts payable and accrued expenses . . . . .   |                   |                |                       |
|  | <b>18</b> Grants payable . . . . .  |                   |                |                       |
|  | <b>19</b> Deferred revenue . . . . .  |                   |                |                       |
|  | <b>20</b> Loans from officers, directors, trustees, and other disqualified persons  |                   |                |                       |
|  | <b>21</b> Mortgages and other notes payable (attach schedule). . . . .  |                   |                |                       |
|  | <b>22</b> Other liabilities (describe ▶ _____)  |                   |                |                       |
|  | <b>23 Total liabilities</b> (add lines 17 through 22) . . . . .   |                   | 0              |                       |
| <b>Net Assets or Fund Balances</b>   | <b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b> |                   |                |                       |
|  | <b>24</b> Unrestricted . . . . .  |                   |                |                       |
|  | <b>25</b> Temporarily restricted . . . . .  |                   |                |                       |
|  | <b>26</b> Permanently restricted . . . . .  |                   |                |                       |
|  | <b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>   |                   |                |                       |
|  | <b>27</b> Capital stock, trust principal, or current funds . . . . .  | 849,787           | 800,045        |                       |
|  | <b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund   |                   |                |                       |
| <b>29</b> Retained earnings, accumulated income, endowment, or other funds   |   |                   |                |                       |
| <b>30 Total net assets or fund balances</b> (see instructions) . . . . .   | 849,787   | 800,045           |                |                       |
| <b>31 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .  | 849,787   | 800,045           |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|          |  |          |         |
|----------|--|----------|---------|
| <b>1</b> | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | <b>1</b> | 849,787 |
| <b>2</b> | Enter amount from Part I, line 27a . . . . .   | <b>2</b> | -44,637 |
| <b>3</b> | Other increases not included in line 2 (itemize) ▶ _____   | <b>3</b> | 7,053   |
| <b>4</b> | Add lines 1, 2, and 3 . . . . .  | <b>4</b> | 812,203 |
| <b>5</b> | Decreases not included in line 2 (itemize) ▶ _____   | <b>5</b> | 12,158  |
| <b>6</b> | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .  | <b>6</b> | 800,045 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|--|--|--------------------------------------|----------------------------------|
| <b>1a</b> See Additional Data Table                            |  |                                      |                                  |
| <b>b</b>   |  |                                      |                                  |
| <b>c</b>   |  |                                      |                                  |
| <b>d</b>   |  |                                      |                                  |
| <b>e</b>   |  |                                      |                                  |

| (e) Gross sales price              | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------------|--|---|--|
| <b>a</b> See Additional Data Table |  |   |  |
| <b>b</b>                           |  |   |  |
| <b>c</b>                           |  |   |  |
| <b>d</b>                           |  |   |  |
| <b>e</b>                           |  |   |  |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |  | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) FMV as of 12/31/69  | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |  |
| <b>a</b> See Additional Data Table  |                                   |  |  |
| <b>b</b>  |                                   |  |  |
| <b>c</b>  |                                   |  |  |
| <b>d</b>  |                                   |  |  |
| <b>e</b>  |                                   |  |  |

|  |   |          |         |
|--|---|----------|---------|
| <b>2</b> Capital gain net income or (net capital loss)   | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | <b>2</b> | -19,029 |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 |   | <b>3</b> |         |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
 If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|---------------------------------------|---|---|
| 2014   | 48,748                                | 911,001                                   | 0.05351   |
| 2013   | 44,403                                | 938,290                                   | 0.047323  |
| 2012   | 38,724                                | 900,790                                   | 0.042989  |
| 2011   | 47,201                                | 876,757                                   | 0.053836  |
| 2010   | 45,729                                | 908,144                                   | 0.050354  |

|   |          |          |
|---|----------|----------|
| <b>2</b> Total of line 1, column (d).   | <b>2</b> | 0.248012 |
| <b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | <b>3</b> | 0.049602 |
| <b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.  | <b>4</b> | 836,890  |
| <b>5</b> Multiply line 4 by line 3.   | <b>5</b> | 41,511   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).  | <b>6</b> | 132      |
| <b>7</b> Add lines 5 and 6.   | <b>7</b> | 41,643   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4.  | <b>8</b> | 39,255   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 main rows and sub-rows (a-d) for credits. Columns include line numbers and amounts. Total amount owed is 88, and overpayment is 0.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements and Yes/No columns. Questions cover political activities, unrelated business income, and asset requirements.

**Part VII-A Statements Regarding Activities (continued)**

|  |           |            |           |
|--|-----------|------------|-----------|
| <b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .   | <b>11</b> |            | <b>No</b> |
| <b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .   | <b>12</b> |            | <b>No</b> |
| <b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>   | <b>13</b> | <b>Yes</b> |           |
| <b>14</b> The books are in care of ▶ <u>BANK OF AMERICA NA</u> Telephone no ▶ <u>(617) 434-4847</u><br>Located at ▶ <u>225 FRANKLIN STREET FL 04 BOSTON MA</u> ZIP+4 ▶ <u>02110</u>  |           |            |           |
| <b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here . . . . . ▶ <input checked="" type="checkbox"/>  | <b>15</b> |            |           |
| <b>16</b> At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ | <b>16</b> | <b>Yes</b> | <b>No</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|   |           | <b>Yes</b> | <b>No</b> |
|---|-----------|------------|-----------|
| <b>1a</b> During the year did the foundation (either directly or indirectly)<br><b>(1)</b> Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>(2)</b> Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>(3)</b> Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>(4)</b> Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br><b>(5)</b> Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>(6)</b> Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |           |            |           |
| <b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/><br>Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>   | <b>1b</b> |            | <b>No</b> |
| <b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .  | <b>1c</b> |            | <b>No</b> |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))<br><b>a</b> At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶ 20____, 20____, 20____, 20____<br><b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .<br><b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____  | <b>2b</b> |            |           |
| <b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>b</b> If "Yes," did it have excess business holdings in 2015 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969, <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i> ). . . . .  | <b>3b</b> |            |           |
| <b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | <b>4a</b> |            | <b>No</b> |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?  | <b>4b</b> |            | <b>No</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No **5b**

Organizations relying on a current notice regarding disaster assistance check here.

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d)*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No **6b** **No**

*If "Yes" to 6b, file Form 8870*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address   | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|--|---|---|---|---------------------------------------|
| BANK OF AMERICA N A<br>225 FRANKLIN STREET FL 04<br>BOSTON, MA 02110 | TRUSTEE<br>1  | 11,936                                    |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | Title, and average hours per week (b) devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

**Total** number of other employees paid over \$50,000.  Yes  No **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |

**Total** number of others receiving over \$50,000 for professional services. . . . . **0**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

|          | Expenses |
|----------|----------|
| <b>1</b> |          |
| <b>2</b> |          |
| <b>3</b> |          |
| <b>4</b> |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| <b>1</b>   |        |
| <b>2</b>   |        |
| All other program-related investments. See instructions  |        |

**Total.** Add lines 1 through 3 . . . . .

**Part X Minimum Investment Return**

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |  |           |         |
|----------|--|-----------|---------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes         |           |         |
| <b>a</b> | Average monthly fair market value of securities. . . . .   | <b>1a</b> | 819,984 |
| <b>b</b> | Average of monthly cash balances. . . . .  | <b>1b</b> | 29,651  |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .  | <b>1c</b> | 0       |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c). . . . .   | <b>1d</b> | 849,635 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . . | <b>1e</b> | 0       |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets. . . . .  | <b>2</b>  | 0       |
| <b>3</b> | Subtract line 2 from line 1d. . . . .  | <b>3</b>  | 849,635 |
| <b>4</b> | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .  | <b>4</b>  | 12,745  |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4         | <b>5</b>  | 836,890 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5. . . . .  | <b>6</b>  | 41,845  |

**Part XI Distributable Amount**

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

|           |  |           |        |
|-----------|--|-----------|--------|
| <b>1</b>  | Minimum investment return from Part X, line 6. . . . .   | <b>1</b>  | 41,845 |
| <b>2a</b> | Tax on investment income for 2015 from Part VI, line 5. . . . .  | <b>2a</b> | 264    |
| <b>b</b>  | Income tax for 2015 (This does not include the tax from Part VI ). . . . .                                       | <b>2b</b> |        |
| <b>c</b>  | Add lines 2a and 2b. . . . .   | <b>2c</b> | 264    |
| <b>3</b>  | Distributable amount before adjustments Subtract line 2c from line 1. . . . .                                    | <b>3</b>  | 41,581 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions. . . . .   | <b>4</b>  | 0      |
| <b>5</b>  | Add lines 3 and 4. . . . .   | <b>5</b>  | 41,581 |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .  | <b>6</b>  | 0      |
| <b>7</b>  | <b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . . | <b>7</b>  | 41,581 |

**Part XII Qualifying Distributions** (see instructions)

|          |  |           |        |
|----------|--|-----------|--------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes  |           |        |
| <b>a</b> | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .   | <b>1a</b> | 39,255 |
| <b>b</b> | Program-related investments—total from Part IX-B. . . . .  | <b>1b</b> | 0      |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .   | <b>2</b>  | 0      |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the  |           |        |
| <b>a</b> | Suitability test (prior IRS approval required). . . . .  | <b>3a</b> | 0      |
| <b>b</b> | Cash distribution test (attach the required schedule). . . . .   | <b>3b</b> | 0      |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4   | <b>4</b>  | 39,255 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . . | <b>5</b>  | 0      |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .   | <b>6</b>  | 39,255 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

|  | (a)<br>Corpus | (b)<br>Years prior to 2014 | (c)<br>2014 | (d)<br>2015 |
|--|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2015 from Part XI, line 7  |               |                            |             | 41,581      |
| <b>2</b> Undistributed income, if any, as of the end of 2015   |               |                            |             |             |
| <b>a</b> Enter amount for 2014 only. . . . .   |               |                            | 31,132      |             |
| <b>b</b> Total for prior years 20___, 20___, 20___   |               | 0                          |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2015   |               |                            |             |             |
| <b>a</b> From 2010. . . . .  | 0             |                            |             |             |
| <b>b</b> From 2011. . . . .  | 0             |                            |             |             |
| <b>c</b> From 2012. . . . .  | 0             |                            |             |             |
| <b>d</b> From 2013. . . . .  | 0             |                            |             |             |
| <b>e</b> From 2014. . . . .  | 0             |                            |             |             |
| <b>f</b> Total of lines 3a through e. . . . .  | 0             |                            |             |             |
| <b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>39,255</u>  |               |                            |             |             |
| <b>a</b> Applied to 2014, but not more than line 2a  |               |                            | 31,132      |             |
| <b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .  |               | 0                          |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .  | 0             |                            |             |             |
| <b>d</b> Applied to 2015 distributable amount. . . . .   |               |                            |             | 8,123       |
| <b>e</b> Remaining amount distributed out of corpus  | 0             |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2015<br>(If an amount appears in column (d), the same amount must be shown in column (a) )  | 0             |                            |             | 0           |
| <b>6</b> Enter the net total of each column as indicated below:  |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5   | 0             |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .  |               | 0                          |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               | 0                          |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .   |               | 0                          |             |             |
| <b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .   |               |                            | 0           |             |
| <b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .   |               |                            |             | 33,458      |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .       | 0             |                            |             |             |
| <b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . . . .  | 0             |                            |             |             |
| <b>9</b> Excess distributions carryover to 2016.<br>Subtract lines 7 and 8 from line 6a . . . . .  | 0             |                            |             |             |
| <b>10</b> Analysis of line 9   |               |                            |             |             |
| <b>a</b> Excess from 2011. . . . .   | 0             |                            |             |             |
| <b>b</b> Excess from 2012. . . . .   | 0             |                            |             |             |
| <b>c</b> Excess from 2013. . . . .   | 0             |                            |             |             |
| <b>d</b> Excess from 2014. . . . .   | 0             |                            |             |             |
| <b>e</b> Excess from 2015. . . . .   | 0             |                            |             |             |



**Part XV** **Supplementary Information**(continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business)  |   |                                |                                  |        |
| <b>a</b> <i>Paid during the year</i><br>THIRD SECTOR NEW ENGLAND<br>F/B/O FIRST 042261109 TEACHER<br>221 BLUE HILL AVENUE<br>ROXBURY, MA 02119 | NA  | PC                             | UNRESTRICTED GENERAL             | 7,500  |
| MA IMMIGRANT & REFUGEE<br>ADVOCACY<br>MS EVA A MILLONA EXECUTIVE<br>DIRECTOR<br>105 CHAUNCEY STREET SUITE 901<br>BOSTON, MA 02111              | N/A   | PC                             | UNRESTRICTED GENERAL             | 10,150 |
| ELIZABETH STONE HOUSE INC<br>NANCY O HESS EXECUTIVE<br>DIRECTOR<br>PO BOX 300039<br>JAMAICA PLAIN, MA 02130                                    | NA  | PC                             | UNRESTRICTED GENERAL             | 13,500 |
| <b>Total</b> . . . . . ▶ <b>3a</b>   |   |                                |                                  | 31,150 |
| <b>b</b> <i>Approved for future payment</i>  |   |                                |                                  |        |
| <b>Total</b> . . . . . ▶ <b>3b</b>   |   |                                |                                  |        |





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | Date acquired<br>(c) (mo, day, yr) | (d)<br>Date sold<br>(mo, day, yr) |
|--|---|------------------------------------|-----------------------------------|
| 279 659 AGGREGATE BOND CTF   |   | 2012-08-10                         | 2016-01-08                        |
| 197 17 AGGREGATE BOND CTF  |   | 2012-08-10                         | 2016-04-15                        |
| 68 921 SMALL CAP GROWTH LEADERS CTF  |   | 2012-11-30                         | 2016-07-08                        |
| 221 736 SMALL CAP GROWTH LEADERS CTF   |   | 2012-08-10                         | 2016-07-08                        |
| 53 525 MID CAP VALUE CTF   |   | 2013-11-30                         | 2016-01-08                        |
| 258 569 MID CAP VALUE CTF  |   | 2012-04-20                         | 2016-01-08                        |
| 217 92 SMALL CAP VALUE CTF   |   | 2012-11-30                         | 2016-07-08                        |
| 5 364 SMALL CAP VALUE CTF  |   | 2012-08-10                         | 2016-07-08                        |
| 189 671 MID CAP GROWTH CTF   |   | 2012-08-10                         | 2016-01-08                        |
| 779 624 GOLDMAN SACHS STRATEGIC INCOME FUND INSTL  |   | 2014-03-04                         | 2016-01-08                        |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 4,698                 |  | 4,813   | -115   |
| 3,374                 |  | 3,389   | -15  |
| 1,558                 |  | 1,268   | 290  |
| 5,012                 |  | 3,997   | 1,015  |
| 1,432                 |  | 1,760   | -328   |
| 6,915                 |  | 7,225   | -310   |
| 5,359                 |  | 4,616   | 743  |
| 132                   |  | 112   | 20   |
| 4,998                 |  | 5,242   | -244   |
| 7,469                 |  | 8,287   | -818   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |   | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or<br>Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |   |
|   |                                      |   | -115  |
|   |                                      |   | -15   |
|   |                                      |   | 290   |
|   |                                      |   | 1,015   |
|   |                                      |   | -328  |
|   |                                      |   | -310  |
|   |                                      |   | 743   |
|   |                                      |   | 20  |
|   |                                      |   | -244  |
|   |                                      |   | -818  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | Date acquired<br>(c) (mo, day, yr) | (d)<br>Date sold<br>(mo, day, yr) |
|--|---|------------------------------------|-----------------------------------|
| 2591 928 GOLDMAN SACHS STRATEGIC INCOME FUND INSTL   |   | 2014-03-04                         | 2016-07-08                        |
| 446 839 GOLDMAN SACHS STRATEGIC INCOME FUND INSTL  |   | 2014-03-21                         | 2016-07-08                        |
| 11 027 DIVIDEND INCOME COMMON TRUST FUND   |   | 2013-02-28                         | 2016-01-08                        |
| 174 865 DIVIDEND INCOME COMMON TRUST FUND  |   | 2013-02-28                         | 2016-04-15                        |
| 27 ISHARES CORE S&P 500 ETF  |   | 2015-07-31                         | 2016-01-08                        |
| 266 ISHARES CORE S&P 500 ETF   |   | 2014-12-31                         | 2016-01-08                        |
| 40 ISHARES CORE S&P MID CAP ETF  |   | 2014-03-21                         | 2016-01-08                        |
| 16 ISHARES CORE S&P MID CAP ETF  |   | 2014-03-21                         | 2016-07-08                        |
| 267 336 IVY ASSET STRATEGY FUND CL I   |   | 2014-03-04                         | 2016-01-08                        |
| 622 379 IVY ASSET STRATEGY FUND CL I   |   | 2014-03-21                         | 2016-01-08                        |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 24,390                |  | 27,550  | -3,160                                       |
| 4,205                 |  | 4,750   | -545   |
| 534                   |  | 522   | 12   |
| 9,247                 |  | 8,364   | 883  |
| 5,257                 |  | 5,724   | -467   |
| 51,789                |  | 55,054  | -3,265                                       |
| 5,266                 |  | 5,564   | -298   |
| 2,425                 |  | 2,226   | 199  |
| 5,726                 |  | 8,822   | -3,096                                       |
| 13,331                |  | 19,841  | -6,510                                       |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |  | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69  | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |  |
|   |                                   |  | -3,160   |
|   |                                   |  | -545   |
|   |                                   |  | 12   |
|   |                                   |  | 883  |
|   |                                   |  | -467   |
|   |                                   |  | -3,265   |
|   |                                   |  | -298   |
|   |                                   |  | 199  |
|   |                                   |  | -3,096   |
|   |                                   |  | -6,510   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo, day, yr) | (d) Date sold<br>(mo, day, yr) |
|--|--|------------------------------------|--------------------------------|
| 144 IVY ASSET STRATEGY FUND CL I   |  | 2013-02-28                         | 2016-01-08                     |
| 530 208 IVY ASSET STRATEGY FUND CL I   |  | 2012-08-07                         | 2016-01-08                     |
| 985 059 PIMCO HIGH YIELD FD INSTL CL   |  | 2014-12-31                         | 2016-01-08                     |
| 230 POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT  |  | 2014-06-30                         | 2016-04-15                     |
| 208 VANGUARD FTSE DEVELOPED MARKETS ETF  |  | 2014-03-21                         | 2016-01-08                     |
| 46 VANGUARD FTSE EMERGING MKTS ETF   |  | 2016-01-08                         | 2016-04-15                     |
| 38 VANGUARD S&P 500 ETF  |  | 2016-01-08                         | 2016-04-15                     |
| 63 VANGUARD REIT ETF   |  | 2013-02-28                         | 2016-04-15                     |
| 18 VANGUARD REIT ETF   |  | 2013-02-28                         | 2016-07-08                     |
| 86 084 WELLS FARGO ABSOLUTE RETURN FUND INSTL CL   |  | 2016-01-08                         | 2016-04-15                     |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,084                 |  | 3,898   | -814   |
| 11,357                |  | 13,160  | -1,803                                       |
| 8,097                 |  | 9,003   | -906   |
| 3,120                 |  | 6,123   | -3,003                                       |
| 7,185                 |  | 8,460   | -1,275                                       |
| 1,616                 |  | 1,392   | 224  |
| 7,231                 |  | 6,753   | 478  |
| 5,255                 |  | 4,197   | 1,058  |
| 1,610                 |  | 1,199   | 411  |
| 882                   |  | 844   | 38   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |  | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69  | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |  |
|   |                                   |  | -814   |
|   |                                   |  | -1,803   |
|   |                                   |  | -906   |
|   |                                   |  | -3,003   |
|   |                                   |  | -1,275   |
|   |                                   |  | 224  |
|   |                                   |  | 478  |
|   |                                   |  | 1,058  |
|   |                                   |  | 411  |
|   |                                   |  | 38   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo, day, yr) | (d) Date sold<br>(mo, day, yr) |
|--|--|------------------------------------|--------------------------------|
| 2115 482 WELLS FARGO ABSOLUTE RETURN FUND INSTL CL   |  | 2016-01-08                         | 2016-07-08                     |
| 1 WISDOMTREE JAPAN HEDGED EQUITY FUND  |  | 2016-01-08                         | 2016-06-28                     |
| 268 WISDOMTREE JAPAN HEDGED EQUITY FUND  |  | 2016-01-08                         | 2016-06-28                     |
| 271 WISDOMTREE EUROPE HEDGED EQUITY FUND   |  | 2015-03-31                         | 2016-06-28                     |
| 147 WISDOMTREE EUROPE HEDGED EQUITY FUND   |  | 2016-01-08                         | 2016-06-28                     |
| 993 252 HIGH QUALITY CORE COMMON TRUST FUND  |  | 2014-03-21                         | 2016-01-08                     |
| 604 18 HIGH QUALITY CORE COMMON TRUST FUND   |  | 2014-03-21                         | 2016-07-08                     |
| 629 679 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND   |  | 2014-03-21                         | 2016-07-08                     |
| 133 6 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND   |  | 2013-11-30                         | 2016-07-08                     |
| 517 213 STRATEGIC GROWTH COMMON TRUST FUND   |  | 2013-04-30                         | 2016-01-08                     |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 21,726                |  | 20,753  | 973  |
| 38                    |  | 47  | -9   |
| 10,278                |  | 12,441  | -2,163                                       |
| 13,236                |  | 17,947  | -4,711                                       |
| 7,180                 |  | 7,421   | -241   |
| 12,639                |  | 13,397  | -758   |
| 8,475                 |  | 8,514   | -39  |
| 6,736                 |  | 7,094   | -358   |
| 1,429                 |  | 1,504   | -75  |
| 6,331                 |  | 5,601   | 730  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |  | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69  | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |  |
|   |                                   |  | 973  |
|   |                                   |  | -9   |
|   |                                   |  | -2,163   |
|   |                                   |  | -4,711   |
|   |                                   |  | -241   |
|   |                                   |  | -758   |
|   |                                   |  | -39  |
|   |                                   |  | -358   |
|   |                                   |  | -75  |
|   |                                   |  | 730  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e g , real estate,<br><b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co ) | How acquired<br>P—Purchase<br><b>(b)</b> D—Donation | Date acquired<br><b>(c)</b> (mo , day, yr ) | <b>(d)</b><br>Date sold<br>(mo , day, yr ) |
|---|---|---|--|
| CAPITAL GAIN DIVIDENDS  | P   |   |  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | Gain or (loss)<br><b>(h)</b> (e) plus (f) minus (g) |
|------------------------------|---|--|---|
|                              |   |  | 2,975   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |   |  | <b>(l)</b> Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
|---|---|--|---|
| <b>(i)</b> F M V as of 12/31/69   | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col (i)<br>over col (j), if any |   |
|   |   |  |   |

**TY 2015 Accounting Fees Schedule****Name:** FLORIAN O BARTLETT TRUST**EIN:** 04-6008674

| <b>Category</b>              | <b>Amount</b> | <b>Net Investment<br/>Income</b> | <b>Adjusted Net<br/>Income</b> | <b>Disbursements for<br/>Charitable<br/>Purposes</b> |
|------------------------------|---------------|----------------------------------|--------------------------------|--|
| TAX PREPARATION FEE -<br>BOA | 1,250         |                                  |                                | 1,250  |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2015 Gain/Loss from Sale of Other Assets Schedule

**Name:** FLORIAN O BARTLETT TRUST

**EIN:** 04-6008674

| Name        | Date Acquired | How Acquired | Date Sold | Purchaser Name | Gross Sales Price | Basis | Basis Method | Sales Expenses | Total (net) | Accumulated Depreciation |
|-------------|---------------|--------------|-----------|----------------|-------------------|-------|--------------|----------------|-------------|--------------------------|
| 230 POWERSH | 2014-06       |              | 2016-04   | PURCHASER      | 3,120             | 6,123 |              |                | -3,003      |                          |

**TY 2015 General Explanation Attachment****Name:** FLORIAN O BARTLETT TRUST**EIN:** 04-6008674

| Identifier       | Return Reference                         | Explanation   |
|------------------|--|---|
| FEDERAL FOOTNOTE | PART VIII LIST OF OFFICERS AND DIRECTORS | THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N A AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE, RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES |

**TY 2015 Investments Corporate Stock Schedule****Name:** FLORIAN O BARTLETT TRUST**EIN:** 04-6008674

| <b>Name of Stock</b>           | <b>End of Year Book Value</b> | <b>End of Year Fair Market Value</b> |
|--------------------------------|-------------------------------|--------------------------------------|
| 922908553 VANGUARD REIT ETF    | 38,424                        | 50,222                               |
| 466001864 IVY ASSET STRATEGY F |                               |                                      |
| 693390841 PIMCO HIGH YIELD FD  | 8,342                         | 8,516                                |
| 202671913 AGGREGATE BOND CTF   | 64,567                        | 66,292                               |
| 207543877 SMALL CAP GROWTH LEA | 10,850                        | 13,882                               |
| 29099J109 EMERGING MARKETS STO | 44,226                        | 54,062                               |
| 302993993 MID CAP VALUE CTF    | 15,961                        | 17,601                               |
| 303995997 SMALL CAP VALUE CTF  | 11,145                        | 13,961                               |
| 323991307 MID CAP GROWTH CTF   | 16,159                        | 16,880                               |
| 45399C107 DIVIDEND INCOME COMM | 69,426                        | 79,950                               |
| 99Z466197 INTERNATIONAL FOCUSE | 64,260                        | 75,148                               |
| 99Z501647 STRATEGIC GROWTH COM | 64,548                        | 78,207                               |
| 464287507 ISHARES CORE S&P MID | 15,440                        | 17,173                               |
| 464287655 ISHARES RUSSELL 2000 | 26,539                        | 27,575                               |
| 73935S105 POWERSHARES DB COMMO | 39,126                        | 25,457                               |
| 921943858 VANGUARD FTSE DEVELO | 40,934                        | 40,478                               |
| 38145C646 GOLDMAN SACHS STRATE | 23,581                        | 21,209                               |
| 99Z466163 HIGH QUALITY CORE CO | 21,546                        | 21,447                               |
| 464287200 ISHARES CORE S&P 500 |                               |                                      |
| 97717X701 WISDOMTREE EUROPE HE |                               |                                      |
| 464287226 ISHARES CORE US AGGR | 40,608                        | 41,371                               |
| 922042858 VANGUARD FTSE EMERGI | 24,370                        | 27,169                               |
| 922908363 VANGUARD S&P 500 ETF | 68,770                        | 76,893                               |
| 00203H859 AQR MANAGED FUTURES  | 12,486                        | 11,910                               |
| 09256H286 BLACKROCK STRATEGIC  | 24,970                        | 25,046                               |
| 94987W737 WELLS FARGO ABSOLUTE | 12,228                        | 13,212                               |
| 589509108 THE MERGER FD        | 12,607                        | 12,656                               |

**TY 2015 Other Decreases Schedule****Name:** FLORIAN O BARTLETT TRUST**EIN:** 04-6008674

| <b>Description</b>            | <b>Amount</b> |
|-------------------------------|---------------|
| CTF ADJUSTMENT                | 11,524        |
| ADJUSTMENF FOR CARRYING VALUE | 634           |

**TY 2015 Other Expenses Schedule****Name:** FLORIAN O BARTLETT TRUST**EIN:** 04-6008674

| Description                        | Revenue and Expenses<br>per Books | Net Investment<br>Income | Adjusted Net Income | Disbursements for<br>Charitable Purposes |
|------------------------------------|-----------------------------------|--------------------------|---------------------|--|
| OTHER ALLOCABLE EXPENSE-<br>PRINCI | 127                               | 127                      |                     | 0  |
| OTHER ALLOCABLE EXPENSE-<br>INCOME | 127                               | 127                      |                     | 0  |
| STATE FILING FEE                   | 35                                | 0                        |                     | 35                                       |
| PARTNERSHIP EXPENSES               |                                   | 204                      |                     | 0  |

**TY 2015 Other Income Schedule****Name:** FLORIAN O BARTLETT TRUST**EIN:** 04-6008674

| Description        | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|--------------------|--------------------------------|-----------------------|---------------------|
| EXCISE TAX REFUND  | 150                            | 0                     |                     |
| PARTNERSHIP INCOME |                                | 3                     |                     |

**TY 2015 Other Increases Schedule****Name:** FLORIAN O BARTLETT TRUST**EIN:** 04-6008674

| Description                | Amount |
|----------------------------|--------|
| PARTNERSHIP K-1 ADJUSTMENT | 7,051  |
| ROUNDING                   | 2      |

**TY 2015 Other Professional Fees Schedule****Name:** FLORIAN O BARTLETT TRUST**EIN:** 04-6008674

| <b>Category</b>        | <b>Amount</b> | <b>Net Investment<br/>Income</b> | <b>Adjusted Net<br/>Income</b> | <b>Disbursements for<br/>Charitable<br/>Purposes</b> |
|------------------------|---------------|----------------------------------|--------------------------------|--|
| GRANTMAKING FEES - BOA | 2,117         |                                  |                                | 2,117  |

**TY 2015 Taxes Schedule****Name:** FLORIAN O BARTLETT TRUST**EIN:** 04-6008674

| <b>Category</b>                | <b>Amount</b> | <b>Net Investment<br/>Income</b> | <b>Adjusted Net<br/>Income</b> | <b>Disbursements for<br/>Charitable<br/>Purposes</b> |
|--------------------------------|---------------|----------------------------------|--------------------------------|--|
| FOREIGN TAXES                  | 497           | 497                              |                                | 0  |
| FOREIGN TAXES ON QUALIFIED FOR | 13            | 13                               |                                | 0  |
| FOREIGN TAXES ON NONQUALIFIED  | 4             | 4                                |                                | 0  |